Review of “Does drought alter hydrological functions in forest soils? An infiltration experiment” hess-2015-255 by K. Gimbel, H. Puhlmann, and M. Weiler

1 Response to Reviewers and Summary of Changes

First, we would like to thank the referees for the review and the helpful comments to improve the paper. We have addressed all the comments as explained below.

Comments of Anonymous Referee #1

COMMENTS TO AUTHORS:

This manuscript, “Does drought alter hydrological functions in forest soils? An infiltration experiment”, studies the effect of drought events on soil properties through dye tracer experiments. While the manuscript addresses an interesting research topic, which is the correct understanding of drought effects on soils, the paper lacks clarity and organization. The manuscript is suitable for publication in HESS Journal after addressing the both major and minor recommendations provided below.

MAJOR COMMENTS:

1. The manuscript is very hard to read unless the reader is very familiar with dye tracer experiments. This work would make a far greater and more accessible contribution with some major reorganization and explanation of both experimental setup and background information.

Answer: We agree with the referee and added much more information. For specific changes, please see comments 8, 10, and 14.

2. Until section 2.6 I had no idea the authors were using a soil moisture model. The authors should make clear in the introduction that both dye tracer experiments and simulations were used to address their research question. Furthermore, the soil moisture model is used to state that differences in the infiltration patterns are due to changes in soil properties. This statement is at the basis of the whole work and, in order to infer this from some simulations, the authors should, at least, provide some model validation (even as supplementary information). In section 3.1 the authors say that measurements support the modeling results but this validation is not shown, why? Also, if soil moisture measurements are available, why would the authors use a model?

Answer: We agree with the referee and decided to remove that results of the model. Instead, we show now the measured soil moisture data of the experimental plot. Therefore, we changed the sections 2.6 and 3.1 accordingly. Please see also Referee #3, comment 2.

3. The paper is poorly written: most sentences lack of clarity.

Answer: We improved the manuscript considerably and focused on making the sentences more clear.

OTHER COMMENTS, QUESTIONS AND LINE EDITS:

1. Pag. 7690, lines 1-3: the climate is expected to change and thus have an effect on the water cycle. The sentence is not clear, please rephrase.

Answer: We agree with the referee and changed the sentence to:
"Climate change is expected to change the water cycle and severely affect precipitation patterns across central Europe and in other parts of the world in future, leading to more frequent and severe droughts."

2. Pag. 7690, line 3: "Usually.." When is this assumption usually made? In modeling frameworks? Please be more specific.

Answer: We agree with the referee and clarified the sentence to:
"Usually when projecting drought impacts on hydrological systems, it is assumed that system properties, like soil properties, remain stable and will not be affected by drought events."

3. Pag. 7691, line 27: the manuscript investigates only the impact of drought on soil properties, I would remove "and climate change".

Answer: We agree with the referee and changed the sentence; the new sentence read now as follows:
"To assess the impacts of drought, rainfall exclusion experiments are valuable and often applied tools (e.g. English et al., 2005; Phillips et al., 2009; Da Costa et al., 2010; Kopittke et al., 2014), often in addition to elevated CO2 concentrations (e.g. Dermody et al., 2007) and night-time warming (e.g. Albert et al., 2011; Selsted et al., 2012)."

4. Pag. 7692, lines 2-6: the study of drought effects on forest ecosystems is also the study of a single aspect in a particular ecosystem. Please rephrase with something like: "While most studies focus on drought effects on plant growth and seedling activity and focus on grasslands and heather ecosystems, only few…"

Answer: We agree with the referee and clarified the sentence; the new sentences read now as follows:
"While many studies focus on single aspects of drought effects like plant growth and seedling activity (Meijer et al., 2011; Wu and Chen, 2013) or focus on particular ecosystems like grassland (Suttle and Thomson, 2007; Bütof 5 et al., 2012) or heather ecosystems (Albert et al., 2011; Selsted et al., 2012), only few studies focus on forest ecosystems or take a closer look at drought impacts on soils where often only soil moisture is observed and no change other soil properties are monitored (Ozolinčius et al., 2009; Albert et al., 2011; Glaser et al., 2013)."

5. Pag. 7692, line 7: where often only soil moisture is observed", what does it mean?

Answer: Drought impacts on soils are often reduced to changes in soil moisture alone without taking other soil properties into account. We clarified the sentence to:
"…only few studies focus on forest ecosystems or take a closer look at drought impacts on soils where often only soil moisture is observed and no change other soil properties are monitored…" See also comment 4.

6. Pag. 7692, lines 23: "objectives of this study ARE: first, to INVESTIGATE WHETHER droughts"

Answer: We agree with the referee and clarified the sentence to:

"The objectives of this study are: first, to investigate whether droughts predicted by climate projections affect the infiltration behavior of forest soils, and second, whether changes in infiltration patterns can be attributed to changes in the hydrologic properties of the soils."

7. Pag. 7693, lines 19-22: "The underlying… precipitation is 533 mm". Please connect these sentences to show that information regards the same site.

Answer: We agree with the referee and clarified the sentence to:
"The underlying geology of the Hainich-Dün is Triassic limestone. The soils at this site are loamy Stagnosols with depths between 45 and 65 cm. The Hainich-Dün site experiences a mean annual temperature of 7.2 °C and a mean annual precipitation of 533 mm."

8. Pag. 7694, lines 20-21: the experimental setup is not very clear, unless the reader is very familiar with this type of experiments. For example, why do the authors want an application amount of 20, 40 and 60 mm in the three sub-regions?

Answer: We agree with the referee and changed the section to:
"For an overall application amount of 20, 40 and 60 mm, each sub-area was sprinkled with an intensity of 20 mm/h. The applied rainfall intensity of 20 mm/h reflects a heavy rainfall event in all regions, therefore the sprinkling amounts simulate one, two, and three hours of heavy rainfall. The advantage of using three sprinkling amounts is to better understand the temporal infilteration processes, since the 20 and 40mm amounts can be considered as the pattern as it would have occurred after one or two hours for the 60mm experiment (details in Bachmair et al. 2009). "

9. Figure 1: consider combining this figure with Figure 3.

Answer: We disagree with the referee here. Combining Figure 1 (location map) and Figure 3 (excavation and WDPT experiment scheme) in one panel (?) holds no advantages, except space saving. However, if during typesetting process a combination of this both figures is requested, we will not decline.

10. Figure 3a: I would add more details in the figure to make the experimental setup clearer (e.g. write what are the 20, 40, 60 mm; point where the soil profiles were taken in each sublayer and not just in one).

Answer: We agree with the referee concerning the sprinkling volumes, and changed the figure caption accordingly (see below). Concerning the soil profile lines, we do not agree with the referee. Additional soil profiles lines – which would follow equidistant the scheme showed in the front part of the figure (which is clear from the text) – were omitted for the sake of clarity.

"Figure 3. Scheme for profile excavation (a) and WDPT experiment (b). The 20 mm, 40 mm, and 60 mm in (a) denote the applied sprinkling volumes. For the WDPT experiment (b), five sampling locations (boxes) were used traverse the profile. On every sampling location, the tests were repeated three times."

11. Pag. 7606, line 1: “For objective measures to compare the dye patterns...”. What does it mean? The sentence is not clear.

Answer: We agree with the referee and clarified the sentence. The sentence reads now as follows: “To obtain objective measures to compare the dye patterns of the different profiles and sites, we derived three depth related variables of the binary images: (1) volume density, (2) surface density and (3) stained path width as basis for further delineation and comparison of flow processes.”

12. Pag. 7696, lines 1-12: please move the definition of the abbreviations (sd, vd, SPW) to lines 2 – 4 where volume density, surface density, and stained path width are first defined. Also, I would suggest using uppercase for all abbreviations.

Answer: We partly disagree with the referee here: The variables are first named AND immediately defined in the next sentence. To move the definition would not enhance readability or understandability, but the opposite. Nevertheless, all abbreviations are converted in to uppercase.

13. Pag. 7696, line 12: “As third variable… was calculated”. The authors already said that SPW was calculated. Remove this sentence.

Answer: We agree with the referee here and removed the sentence.

14. Pag. 7696, lines 15-19: since this classification is used in the text, more information should be provided. For example, how are the SPW values related to the different flow processes? Also, please add a quick definition of what homogeneous/heterogeneous matrix flow are and what low, mixed and high interaction with matrix mean. This would make the reading more accessible.

Answer: We agree with the referee and in accordance with referee #2 (comment 1), we changed the section and added more information. The section reads now as follows:

“To obtain objective measures to compare the dye patterns of the different profiles and sites, we derived three depth related variables of the binary images: (1) volume density, (2) surface density and (3) stained path width as basis for further delineation of flow processes. The volume density (VD) is similar to the frequently used dye coverage. It is defined as stained volume divided by the reference space and is originating from the methods of stereology, which relates a three-dimensional parameter to two-dimensional measurements (Weibel 1979). Surface density (SD) is defined as surface area of an object divided by the volume of the reference space. Surface density provides information on the size and number of features: a high SD is caused by a large number of small objects, whereas a low SD indicates less but larger objects (Weiler 2001). The stained path width (SPW) is derived by measuring the width of every stained object at a certain depth. The SPW of every depth were classified into three classes of < 20 mm, 20 – 200 mm, and > 200 mm (Weiler and Flühler 2004). The sum of the three SPW classes per depth corresponds to the VD of the regarding depth. Using the frequency distribution of the SPW of every depth, the dye pattern can be related to distinct flow processes. For example, macropore flow with low interaction can be identified by long and narrow stains, whereas macropore flow with mixed interaction shows a broader distribution of shapes (Weiler and Flühler 2004) .The classification introduced by Weiler and Flühler (2004) was used to distinguish five flow processes, depending on the proportion of stains in each SPW class: two types of matrix flow ((1) homogeneous and (2) heterogeneous) and three types of macropore flow ((3) low, (4) mixed and (5) high interaction with matrix), where interaction is understood as the lateral water flow from macropores into the surrounding soil matrix (Weiler and Naef 2003).”

15. Pag. 7697, lines 1-2: “depending on… of the profile”. What does this sentence mean? Are the measures made all at the same depths in the different sections? If not, why? What depth, on average, was investigated? I suppose 50-80 cm (looking at the results) but I would make this clear in the figure (both 3a and 3b) and in the text when explaining the experimental set up. Also, I would suggest why different depths were investigated at different sites.

Answer: A misapprehension might have occurred here: The WDPT tests were performed in every plot 15 times (five locations times three replicates) per depths. As is clearly visible in figure 5, we tried to cover the whole profile, according to the main soil horizons, and NOT only an average depth of 50 – 80 cm (given the fact, that four out of six plots not even reach 60 cm soil depth…).

To make that more clear, we added the following sentence:

“Depending on the profile depths, WDPT tests were performed in several depths of the profile, covering the main soil horizons (Figure 2).”

16. Figure 3b: please provide in the figure some explanations (e.g. All the 20 boxes are the locations of the WDPT measures? The small rectangle with 3 boxes inside represents the 3 time repetition of the measure?

Answer: We agree with the referee here and changed the caption (see #10).

17. Pag. 7697, line 5: should be Table 1?

Answer: We agree with the referee here and corrected the sentence. The sentence reads now as follows:

“The mean and maximum values of the WDPT test were classified after Bisdom et al. (1993) (Table 1).”

18. Figure 4: what is the green line?

Answer: The figure has been removed. Please see comment 2 and Referee comment #3, No. 2

19. Pag. 7697, section 2.6: Only at this point of the paper it is clear that the authors used a soil moisture model. I would suggest explaining this earlier in the text

Answer: The model and the results thereof are being removed. We now show the results from the soil moisture measurements.

20. Pag. 7697, lines 21-22: which parameters were available and which are the assumed ones? A full list of parameters and references for the assumed values (maybe in the supplementary material) would be useful. Also, some model validation should be added (maybe always as supplementary information). How can we assess the ability of the model without any comparison with data?

Answer: The model and the results thereof are being removed.

21. “Results” section: I would suggest following the same structure of the “Methods” – in the results the authors start with soil moisture simulations, which is the last thing explained in the methods. Consider reordering the methods section in order to follow the results.

Answer: We agree with the referee and reordered the method section. The section is ordered now as following: 2.1 Study sites, 2.2 Soil moisture, 2.3 Soil water repellency, 2.4 Dye tracer experiments, 2.5 Image processing, and 2.6 Dye pattern analysis.

22. Pag. 7698, lines 1-2: “All soils..during the summer months” - do the authors show any modeling results for year 2011 in Figure 4? Where can we see the drop in soil moisture during 2011?

Answer: The model and the results thereof are being removed. The figure has been removed. Please see comments 2 and 18 and Referee comment #3, No. 2

23. Figure 4: Precipitation measurements are related to what year? 2011 or 2013? More information should be provided in the caption.

Answer: The model and the results thereof are being removed. The figure has been removed. Please see comments 2 and 18 and Referee comment #3, No. 2.

24. Pag. 7698, lines 7-8: “soil moisture contents are observed”. Are these observations or modeling results?

Answer: The model and the results thereof are being removed. We now show the results from the soil moisture measurements.

25. Pag. 7698, lines 12-13: again, why isn’t the comparison with data shown? The authors need to validate the modeling results against measurements in order to use those numerical experiments to infer something. Also, if measurements are available, why would they use a model?

Answer: The model and the results thereof are being removed. The figure has been removed. Please see comments 2 and 18 and referee #3, comment 2. We now show the results from the soil moisture measurements.

26. Pag. 7698, lines 13-15: I do not understand why the different patterns are due only to soil properties. How can the authors exclude any other effect? In general, this section (3.1) is not very clear to me. What is the soil moisture model used for?

Answer: The model and the results thereof are being removed. Instead, we provide the measured soil moisture data to show the development of differences between the drought and control soils.

The section 3.1 read now as follows:

“Figure 4 shows the normalized cumulated sums of the soil moisture measurements of the control and the drought plots over the course of two years. All plots developed a soil moisture deficit compared to the control plots in the upper 5 cm of the soil (cumulative sums are below the 1:1 line). The water deficit is also transduced to the 15 cm and 30 cm depths in both Schwäbische Alb plots and in the coniferous plot of Hainich-Dün, but is generally less pronounced. The plots at the Schorfheide-Chorin site show no deficit (deciduous plot) or even a small plus in soil moisture (coniferous plot) compared to the control plot. The sandy soils of Schorfheide-Chorin are already very dry without drought treatment. The reverse moisture effect might be caused by root effects, for example hydraulic redistribution. However, we did not find any signs for hydraulic redistribution in the data. The deciduous plot of the Hainich-Dün site experienced major probe failures due to animal damage during the summer month of 2012 and again in 2013. Therefore, only the data taken during the winter month could be used for the comparison."

27. Figure 5: write a label for the x-axis (e.g. WDTP).

Answer: We added an x-axis. The x-axis now reads: "water drop penetration time in s".

28. Figure 6: what is on the x-axis of these figures (SPW or VD)? What are the orders of magnitude?

Answer: The convenience of this type of plot is, that the sum of the SPW (<20 mm, 20 – 200 mm, and >200 mm) are the VD. This plot is therefore showing the SPW values AND the VD values. Because the SPW and VD are referenced to the profile width, both values range between 0 and 1. Nevertheless, we added an x-axis, changed the caption of Figure 6 and 8, and added information in the section 2.6.

The captions read now as follows:

Figure 6: Comparison between the stained path width (SPW) of pre-drought (2011) and control (2013) plot. The graphs show the proportion of SPW of the total profile width. Blue shades indicate the SPW classes. The sum of SPW is the volume density (VD) per depth. Grey and black indicate the VD of stones (sum of stone widths, same classes as for SPW are used).

Figure 8: Comparison between before drought (2011) and after drought (2013) stained path widths (SPW) and flow processes for coniferous and deciduous stand plots. The graphs show the proportion of the SPW of the total profile width. The sum of SPW is the volume density (VD) per depth. Grey and black indicate the VD of stones (sum of stone widths, same classes as for SPW are used).

29. Pag. 7700, lines 21-25: I am not very familiar with this type of measurements, but I do not see a strong similarity between pre-drought and control plots.

Answer: The chapter was rewritten and comparisons of the volume density using boxplots are now provided to underline our arguments. The part in question now read as follows:

"To summarize, the comparison between the pre-drought and control plots showed a broad agreement. Differences, that need to be accounted for, are the lower VD in the profile top layers of all sites. These differences might be due to spatial heterogeneities, given the distance between the control and the pre-drought plots (15 m to 30 m). The pre-drought and drought experiment were performed in close vicinity (0.5 m). In the Hainich-Dün, the drop and rise of VD in all profiles points to a soil layer boundary effect on infiltration. This is not time dependent and present in both pre-drought and control profiles, therefore the comparability between the pre-drought and drought pattern is not affected."

30. Pag. 7703, lines 23-25: not clear: no differences which can be addressed"?

Answer: We agree with the referee and changed the paragraph; the paragraph reads now as follows:

"The comparison of pre-drought infiltration patterns of the drought plots with patterns of the control plots (without drought treatment) showed broad agreements. All control plot profiles are comparable to the pre-drought plot profiles, including differences that can be addressed to small scale heterogeneities of soil properties. When interpreting the patterns, the differences in VD in the top layers of all plots need to be taken into account. When doing this, at all sites, the dye experiments before and during drought conditions can be directly compared."

31. Pag. 7703, line 26: can be assumed to be comparable? Are the results comparable or not? And then "therefore it can be assumed..". This first lines of discussion are not clear.

Answer: We changed the paragraph, please see comment 30.

32. Pag. 7704, line 8: "the tree main species" – not clear.

Answer: We agree with the referee and clarified the sentence. The sentence reads now as follows:

"In this study, it was hypothesized that the induced drought alters infiltration patterns due to changes in soil hydraulic properties (e.g., soil water repellency and forming of shrinkage cracks) which depends in addition on the main tree species having an effect on the magnitude of the response."

33. Pag. 7706, lines 12-14: "The authors...repellent agents" : not clear.

Answer: We agree with the referee and clarified the sentence. The sentence reads now as follows:

"The authors explained this fact by the lower specific surface of the coarse textured samples compared to fine textured samples, which have therefore a smaller area that has to be covered by water repellent agents."

34. Conclusions are too "fast". I would suggest adding some comments about the different effect of deciduous/coniferous species.

Answer: It is not clear to us, what the referee refers to that the conclusion is too "fast". We did also consider the effects of coniferous and deciduous trees.

Comments of Anonymous Referee #2

COMMENTS TO AUTHORS:

Properties over longer periods compared to the time frame of more common experiments imposing short but intense dryness. While the experiments seem well executed, I found the presentation to be lacking and the interpretation of the results to be problematic. In some places the conclusions do not follow directly from the results presented. I outline some major issues below.

MAJOR COMMENTS:

1. Description of the dye pattern analysis (section 2.4): this section is tailored for those already familiar with dye pattern analysis. Otherwise, it is difficult to understand the reason the 3 metrics (volume density, surface density, and stained path width) are selected for characterizing flow patterns within the soil column. In addition to referring to previous literature that adopts these metrics, I think the authors should include more descriptions for the advantages of using these metrics and how they relate to physical processes in the soil. In addition, results pertaining to surface density is not presented anywhere in the results section. How does information from surface density complement that from volume density?

Answer: We agree with the referee here and in accordance with referee #1 (comment 14), we changed the section (now section 2.6) and added more information:

"To obtain objective measures to compare the dye patterns of the different profiles and sites, we derived three depth related variables of the binary images: (1) volume density, (2) surface density and (3) stained path width as basis for further delineation of flow processes. The volume density (VD) is similar to the frequently used dye coverage. It is defined as stained volume divided by the reference space and is originating from the methods of stereology, which relates a three-dimensional parameter to two-dimensional measurements (Weibel 1979). Surface density (SD) is defined as surface area of an object divided by the volume of the reference space. Surface density provides information on the size and number of features: a high SD is caused by a large number of small objects, whereas a low SD indicates less but larger objects (Weiler 2001). The stained path width is derived by measuring the width of every stained object at a certain depth. Using the frequency distribution of the SPW of every depth, the dye pattern can be related to distinct flow processes. For example, macropore flow with low interaction can be identified by long and narrow stains, whereas macropore flow with mixed interaction shows a broader distribution of shapes (Weiler and Flühler 2004) .The classification introduced by Weiler and Flühler (2004) was used to distinguish five flow processes: two types of matrix flow ((1) homogeneous and (2) heterogeneous) and three types of macropore flow ((3) low, (4) mixed and (5) high interaction with matrix), where interaction is understood as the lateral water flow from macropores into the surrounding soil matrix (Weiler and Naef 2003). The SPW of every depths were classified into three classes of < 20 mm, 20 – 200 mm, and > 200 mm. Depending on the proportion of stains in each class, a flow type was determined using the classification rules of Weiler and Flühler (2004)."

2. Soil moisture changes (Section 3.1): There are 2 lines delineating the dates of experiments in 2011 and 2013, but the period over which the simulation has been conducted is never indicated. Which year was this? In Figure 4, what does the green line signify? This needs to be explained in the legends. Throughout the manuscript, the authors use qualitative words to describe quantifiable results, such as in page 7698, line 5, “before the experiment in 2013, the soil moisture status of the drought treated plots and the control plots are very similar.” I found this to be vague and misleading, and furthermore inadequate to support the main result from this section, which is that “the observed infiltration patterns and changes among the sites are mainly a result of change in soil properties [due to drought].” In fact, differences in trajectories between drought plots and control plots can be observed even prior to the start of experimentation (e.g., coniferous forest in Schwäbische Alb, deciduous forest in Schorfheide-Chorin), sometime to the same extent observed after rainfall-exclusion. The authors need to address these differences, using statistical evidence if possible. In general, this section needs to be overhauled and written to highlight the connection between the main points. Also, if soil measurements have been taken, why not show them on the plots?

Answer: We agree with the referee here and changed the mentioned parts. The soil moisture model and the results thereof were discarded in accordance with reviewer 1 and 3. We now show the results of the soil moisture measurements at all locations. To address the issue of using statistical evidence, we added boxplots of VD values for each 10cm soil depth for better comparison (see also referee #1, comment 29).

In accordance with the referee #1 (comment 26 and 29) and referee #3 (comment 3), we rewrote section 3.3.1 and 3.3.2. In addition, we now show boxplots of VD values of the pre-drought, control and drought profiles. The sections now read as follows:

“3.3.1 Comparison between pre-drought pattern and control pattern

Differences between pre-drought and control plots (without drought treatment) reflect differences in soil structure, texture and moisture due to a distance of 20 - 40 m between the drought and reference plot, but may also include time dependent changes of the soil characteristics, which are independent from the drought treatment. To ensure validity of the dye pattern analyses, it is necessary to assure comparability among the plots. To exclude time dependent changes as reasons for differences in pre-drought and drought treated dye patterns, the pre-drought pattern were checked against the pattern of the control plots. Figure 6 compares the pre-drought pattern and the control pattern of the deciduous plots. In addition, Figure 7 provides VD boxplots of the pre-drought and the control profiles for direct comparison.

The Schwäbische Alb pre-drought plot (Figure 6, top left) shows high VD in the top 10 cm in all profiles. The 40 mm and 60 mm sprinkling volume profiles show a high SPW in the top 5 to 10 cm. On the control plot (Figure 6, top right) also large areas in the top 10 cm are stained, but the VD and SPW are not as high as in the pre-drought profiles. This is especially evident in the VD boxplots of the upper 10 cm (Figure 7, top). All Schwäbische Alb profiles have high stone contents, in some cases exceeding 50 % of the profile width (Figure 6). Below 10 cm depth, the control plot profiles are almost completely stained. This pattern is similar to the pre-drought profiles. In general, the patterns of the control profiles are similar in VD, SPW values, and distribution to the 20 mm pre-drought profile. The 60 mm control profile is reflecting the high VD and SPW values in top layers, which are characteristic of the 40 mm and 60 mm pre-drought profiles.

The Hainich-Dün pre-drought profiles (Figure 6, center left) show low to medium SPW in all depths. VD values are high in the top 5 cm in all profiles and between 10 cm to 30 cm in the 40 mm and

60 mm sprinkling amount profiles. The 20 mm profile displays only small VD values below 10 cm depth. All profiles have a medium to high stone content (30 – 60 %) below 30 cm depth. The control plot profiles (Figure 6, center right) are very similar in VD and SPW to the pre-drought profile pattern, but with generally lower VD in the 60 mm sprinkling amount profile (Figure 7, center right). Except for the 20 mm profile, which displays no stones, the control plot profiles have a medium to high stone content below 25 cm depth. In all profiles, large areas of the profile stayed unstained. However, although having a low VD in top layer, the 60 mm control plot profile is not following the pronounced drop in VD between 5 cm and 10 cm depths and the subsequent rise between 15 cm and 25 cm, which is characteristic for all other profiles (pre-drought and control). These distinct differences are visible in the boxplots (Figure 7)

In the Schorfheide-Chorin pre-drought profiles (Figure 6, bottom left), high VD and SPW values are present. The highest VD and SPW values can be found in the 60 mm sprinkling amount profile. Below 10 cm depth, the 20 mm pre-drought profile displays only small to medium SPW and – in comparison to the 40 mm and 60 mm profiles – small VD values. The control plot profiles (Figure 6, bottom right), show in general high VD and SPW values, but have lower values in the top 10 cm than the pre-drought profiles (Figure 6 bottom right and Figure 7, bottom). This is more apparent in the 20 mm and 40 mm profiles (Figure 7). In the pre-drought and control plot, infiltration reached down to depths over 70 cm and no stones are present.

To summarize, the comparison between the pre-drought and control plots showed a broad agreement. Differences, that need to be accounted for, are the lower VD in the profile top layers of all sites. These differences might be due to spatial heterogeneities, given the distance between the control and the pre-drought plots (15 m to 30 m). The pre-drought and drought experiment were performed in close vicinity (0.5 m). In the Hainich-Dün, the drop and rise of VD in all profiles points to a soil layer boundary effect on infiltration. This is not time dependent and present in both pre-drought and control profiles, therefore the comparability between the pre-drought and drought pattern is not affected.

3.3.2 Comparison between pre-drought pattern and drought pattern

As can be seen in Figure 8, all plots show marked differences between pre- and after-drought infiltration patterns. The clayey and loamy sites (Schwäbische Alb and Hainich-Dün) develop unstained (= unwetted) areas in the topsoil layers. This is more pronounced in the coniferous plots, where unstained areas are already visible in the pre-drought infiltration pattern. Figure 9 is showing the paired VD boxplot comparisons of the drought and pre-drought profiles.

Schwäbische Alb plots

At the Schwäbische Alb coniferous site, medium to low volume densities (VD) were found on the pre-drought coniferous plot throughout the whole profile for the 20 mm and 40 mm sprinkling depth and high VD for 60 mm sprinkling depth (Figure 8, top left). The drought 40 mm and 60 mm profiles are lower in VD in the top layers (0 – 10 cm), than the pre-drought profiles (Figure 8 and 9, top left); the 40 mm profile is displaying even unstained areas (no VD). The 20 mm pre-drought profile is already very low in VD, therefore the differences to the after drought profile is not distinct (Figure 8, top left). The drought coniferous plot shows a rise of VD culminating around 20 cm depth (Figure 8, top) for all sprinkling amounts (20 mm, 40 mm and 60 mm). Below 20 cm depth, the 20 mm and 40 mm profiles show (Figure 9, top left) higher VD in the after drought profiles than in the pre-drought profiles, whereas the 60 mm profile show the same extent of VD in the drought and in the pre-drought profile.

The stained path ways (SPW) of the Schwäbische Alb coniferous pre-drought profiles are small to medium in the 20 mm and 40 mm profiles and high in the 60 mm profile (Figure 8, top left). After drought, low to medium SPW are dominant in the 20 mm and 60 mm profiles; high SPW values are occurring in the 40 mm profile below 20 cm. The flow processes identified in this depth as matrix flow, are caused by local saturation due to low Ks (Figure 8, top left). The dominating flow types in the pre-drought profiles are identified as macropore flow, with low, mixed and high interaction depending on soil layer and infiltration volume. Dominating flow types in the drought plot are macropore flow with low, medium and high interaction.

The Schwäbische Alb deciduous plot shows in the 40 mm and 60 mm pre-drought profiles high SPW and in all infiltrating volumes high VD in the top layer (0 – 10 cm; Figure 8 and Figure 9, top right). Medium to high VD are maintained throughout the whole 40 mm and 60 mm profiles, and to lesser extend in the 20 mm profile. The drought profiles show lower VD in the top 10 cm, compared to the pre-drought profiles (Figure 9, top right). Below 20 – 25 cm depths, the 20 cm and 40 cm drought profiles show higher VD than the pre-drought profiles. However, the drought profiles are more similar in shape to the VD pattern of the control than to the pre-drought profiles (Figure 6, top). Also, the stone contents in the three pre-drought profiles are higher than in the drought profiles (Figure 8, top right).

The dominating flow types in the Schwäbische Alb deciduous pre-drought profiles are identified as macropore flow with low, mixed, and high interaction, and as matrix flow, depending on soil layer and infiltration volume (Figure 8, top right). The flow processes identified in the top layers of the 40 mm and 60 mm pre-drought profiles as matrix flow are caused by local saturation due to low Ks. The dominating flow types of the drought deciduous profiles are identified as macropore flow with low, mixed, and high interaction, but without matrix flow in the topsoil (Figure 8, top right).

Hainich-Dün plots

The Hainich-Dün coniferous pre-drought profiles show low VD for all sprinkling amounts, especially in the topsoil between 4 cm and 22 cm (Figure 8, center left). The 20 mm and 40 mm pre-drought profiles show unstained areas (no VD). The small VD values are even more pronounced in the drought profiles (Figure 8 and Figure 9, center left), in which all profiles exhibit unstained areas. Below the unstained layer, the VD rises to a maximum in 15 to 20 cm depth and drops again around 30 cm depth. The 20 mm and 60 mm drought profiles show throughout all depths low VD (Figure 8, center left).

In all Hainich-Dün coniferous pre-drought profiles, no large SPW occur and flow types are classified as macropore flow with low, mixed and high interaction. This applies also for the 20 mm and 60 mm drought profiles. In contrast, the 40 mm drought profile exhibits high SPW between 15 cm and 25 cm depth. Therefore, the flow types in this depths are identified as matrix flow (Figure 8, center left). The main flow types in the coniferous drought profiles are macropore flow with low, mixed and high interaction. The pre-drought profiles are dominated by macropore flow with low and mixed interaction. In both, pre-drought and drought profiles, the stone content is comparable (Figure 8, center left).

The Hainich-Dün deciduous drought profiles exhibit smaller VD in the top 5 cm compared to the pre-drought profiles (Figure 8 and Figure 9, center right). Unstained areas are present in the top 5 – 10 cm of the 20 mm drought profile. The 40 mm and 60 mm pre-drought profiles show high VD values between 10 cm and 25 cm. High VD values are also present in the drought profiles, maintaining high values throughout the whole profile. While no high SPW values are found in the pre-drought profiles, high SPW values can be found in the 40 mm drought profile between 10 cm and 30 cm and in the 60 mm drought profile between 10 cm and 40 cm (Figure 8 and Figure 9, center right). The flow types of the deciduous pre-drought profiles are classified as macropore flow with low, mixed, and high interaction. The drought profiles are also classified as macropore flow with low, mixed, and high interaction and, where high SPW values occur, as matrix flow (homogeneous and heterogeneous) (Figure 8, center right). The stone contents of the pre-drought and drought profiles are increasing with depth below 25 – 30 cm; the drought profiles are exhibiting a slightly higher stone content than the pre-drought profiles (Figure 8).

Schorfheide-Chorin plot

The pre-drought pattern of the Schorfheide-Chorin coniferous site show high SPW and VD in the top layers (0 - 10 cm depth) decreasing with depth (Figure 8, bottom left). While the 20 mm and 40 mm pre-drought profiles show a maximum infiltration depth of about 45 cm and 30 cm, respectively, the 60 mm pre-drought profile is stained below 70 cm, exhibiting medium VD values (Figure 8, bottom left). High SPW values are found in the 20 mm pre-drought profile up to a depth of 15 cm and in the 40 mm and 60 mm profiles up to 10 cm and 30 cm, respectively. The drought profiles of the coniferous plots show far lower VD values in the top layers compared to the pre-drought profiles (Figure 8 and Figure 9, bottom left). The 40 mm drought profile is exhibiting even an unstained layer in about 5 cm depth. High SPW values can be found in the 40 mm and 60 mm drought profile, not in the top layers, but between 20 cm and 25 cm depth (40 mm profile), and between 10 cm and 25 cm depth (60 mm profile) (Figure 8, bottom left). This is reflected in the flow type classification. Whereas matrix flow is dominating the top layers in pre-drought profiles (at least the top 10 cm), matrix flow is occurring below 10 cm depth in the 40 mm and 60 mm drought profiles (Figure 8, bottom left).

The Schorfheide-Chorin deciduous pre-drought and drought patterns do not exhibit much differences in shape and in VD values in the 20 mm and 40 mm profiles (Figure 8, bottom right). The largest differences in VD can be found in the top 10 cm of the 20 mm profiles and in the 60 mm profile (Figure 9, bottom right). In addition, the 20 mm drought profile exhibits an unstained layer around 40 cm depth (Figure 8, bottom right). The difference between pre-drought and drought is more evident in the SPW values: Whereas high SPW values are found in the 40 mm and 60 mm pre-drought profiles in the top and bottom half of the profile, high SPW values are found in the drought profile in the bottom half, plus a small layer of two centimeter of high SPW around 10 cm depth in the 40 mm profile (Figure 8, bottom right). In the Schorfheide-Chorin deciduous pre-drought profiles, flow types of the 40 mm and 60 mm are dominated by matrix flow (Figure 8, bottom right). However, all profiles in the pre-drought plots have, a proportion of macropore flow. In the drought profiles, matrix flow is only occurring in bottom half of the 40 mm and 60 mm profiles.

To summarize, compared with pre-drought infiltration pattern, the drought pattern of all plots show differences in infiltration processes. Clayey and loamy soils behave similarly as they developed hydrophobic soil layers. High SPW values in 20 to 30 cm depth of the drought pattern indicate local saturation. In sandy soils, the change from high SPW values of the pre-drought pattern to medium and low in the drought pattern exhibit a change from front-like to a more scattered infiltration. In general, the effects were more pronounced at the coniferous plots. These findings correspond well with the results of the WDPT tests: In the clayey and loamy soils (except Hainich-Dün deciduous plot), the unstained topsoil layers are coinciding with the high WDPTs (Figure 5). Coniferous plot Hainich-Dün stays unwetted up to a depth of about 15 to 20 cm and Schwäbische Alb plots to a depth of about 10 cm, which is corresponding to the depths where the highest WDPT values were observed (Hainich-Dün: WDPT class 4; Schwäbische Alb: WDPT classes 4 and 3, respectively). In the sandy soils of the Schorfheide-Chorin profiles, low SPW values correspond to high WDPTs (class 2 and 3). Below the water repellent zone, SPW values are increasing again (Figure 5, bottom).”

3. Interpretation of results: As mentioned before, the authors have a tendency to make broad stroke generalizations on the results that should otherwise be addressed with more nuance to accommodate for other explanations. On page 7698, line 20, “In general, coniferous plots under drought had higher WDPTs than deciduous plots.” By looking at Figure 5 it is clear that this is the case for 2 out of 3 sites and far from a general observation. This tendency continues throughout the manuscript: “in general, the patterns of the control profiles are similar in vd, SPW… (page 7699, line 24)” and “The comparison… showed no differences which can be addressed to other reasons than small scale heterogeneities of soil properties… All control plot profiles can be assumed to be comparable to the pre-drought plot profiles (page 7703, line 23).” I would dispute the accuracy of those statements. This becomes extremely disconcerting in Section 3.3, when the authors dismiss “time dependent changes of the soil characteristics” by equating control profiles to pre-drought profiles and attributes observed differences between pre- and post-drought profiles to the effects of rainfall-exclusion. However, by looking at Figure 6 and 7, it is not apparent to me the degree that the differences can be attributed to either the pre-drought and control pair or pre- and post-drought pair. In some cases, the difference between post-drought and control profiles seem much less than pre-drought and control profiles (Schwäbische Alb, coniferous, 60mm), which would invalidate the authors’ premise. These differences are brushed aside, which to me raises red flags about the validity of the ensuing arguments. The authors should strive to clarify this section a bit more. It would help, for example, to reorganize Figures 6 and 7 to highlight the similarity and differences between the 3 classes of observations (control, pre, post) and include the flow processes bands in Figure 6.

Answer: Concerning the sentence on page 7698, line 20 “in general, coniferous….”: We do not agree with the referee here, the exception is given directly in the sentence afterwards. Nevertheless, we changed the sentences in question to avoid future misapprehensions to:

“The coniferous plots under drought of Hainich-Dün and Schwäbische Alb showed higher WDPTs than the deciduous plots. This is valid for both mean and maximum values. The Schorfheide-Chorin deciduous plot showed higher water repellency than the coniferous plot.”

To improve the comparability between the pre-drought, control and drought pattern, we show now boxplots of the VD values. In addition, the sections 3.3.1 and 3.3.2 were completely rewritten (see comment above and see also referee #1, comment 30 and referee #3, comment 7) and the first paragraph of section 4 improved (see also referee #3 comment7).

OTHER COMMENTS, QUESTIONS AND LINE EDITS:

1. Page 7690, line 15: “WDPT tests” This is the first time this acronym appears in the paper and needs to be written out.

Answer: We agree with the referee and changed the sentence; the sentence reads now as follows:

“This was 15 confirmed by water drop penetration time (WDPT) tests, which revealed, in all except one plot, moderate to severe water repellency.”

2. Page 7691, line 15: “these shrinkage cracks foster bypassing of the soil matrix” This is done through preferential flows? The sentence as it stands now does not make much sense and needs to be expanded.

Answer: We agree with the referee and changed the sentence; the sentence reads now as follows:

“These soil shrinkage cracks channel the infiltrating water, and by that foster the bypassing of the soil matrix (Hendrickx and Flury, 2001; Ritsema et al., 1997) and therefore alter the infiltration patterns in soil.”

3. Page 7692, line 13: “in respect to the expectable behavior” needs to be changed to “expected behavior”

Answer: We agree with the referee and changed the sentence; the sentence reads now as follows:

“By introducing these extreme events, the question of transferability of the results to natural systems in respect to the expected behavior under predicted future drought conditions arises.”

4. Page 7692, line 15, “avoiding tentativeness due to an overreaction to…” needs to be rephrased.

Answer: We agree with the referee and changed the sentence; the new sentences read now as follows:

“Therefore, this study employs a moderate rainfall reduction equivalent to an annual drought with a 40-year return period, which is in accordance with climate predictions. Thereby we avoid an unnatural extreme drought resulting in system overreaction (Gimbel et al., 2015).”

5. Page 7692, line 19, “because they reflect integrally…” needs to be changed to something like “they reflect the integrated changes in soil hydrological functions…”

Answer: We agree with the referee and changed the sentence to:

“Infiltration patterns were chosen because they reflect the integrated changes of soil hydrological functions and directly show how water moves in the soil under altered conditions.”

6. Page 7694, line 8, “The incoming precipitation was reduced… to the level equivalent to an annual drought with a return period of 40 years” This would imply different levels of reduction for each of the sites. The basis for this choice was puzzling to me. The authors clearly points to projected climate change with increasing dryness in Europe (Page 7691, line 10) and thus a drought level with a return period of 40 years calculated using historical data would contain little meaning when applied to future, nonstationary conditions. In theory 40-year droughts would become increasingly likely in the future, but the frequency with which it happens would depend on each site. What is the advantage of using this instead of a uniform reduction cross each site? Additionally, the actual amount that was reduced should have been listed somewhere in the paper.

Answer: We agree with the referee: using historical data/a drought with a 40-year return period to forecast future droughts in nonstationary conditions is not advisable. The aim was not to forecast drought levels, but to use the 2.5 %-percentile of the historical annual precipitation inputs (drought with 40-year return period) to make water inputs comparable between the plots: The plots observed in this study range in mean annual precipitation between 533 mm and 940 mm. A annual drought with a return period of 40 years represents a comparative drought event in all of the examined plots and corresponds with the projections of the A1F1 scenario.

Applying a uniform reduction (e.g. -40% rainfall) to all of the plots would result in rather harsh drought conditions for the plots with lower precipitation and rather mild drought conditions for the plots with the highest mean annual precipitation, and therefore make comparisons of the results more difficult.

In accordance with referee #3 (comment 1), we inserted following information:

"The incoming precipitation was reduced between March and November to the level equivalent to an annual drought with a return period of 40 years. The resulting annual targeted precipitation inputs under the roofs were 700 mm (26 % reduction) for Schwäbische Alb, 355 mm (33 % reduction) at the Hainich-Dün, and 395 mm (27 % reduction) at the Schorfheide-Chorin site."

7. Page 7694, line 17: "experimental area was kept shaded and sheltered" how does shaded differ from sheltered?

Answer: Shaded = protected from sunlight; sheltered = protected from rain and other external influences. But we agree with the referee here, the sentence may be confusing. Therefore, we changed the sentence to:

"The experimental area was kept shaded and sheltered from rain in all weather conditions to minimize evaporation and uncontrolled water input during the experiments."

8. Page 7699, line 12: "By comparing the pre-drought pattern and the pattern for the control plots…" This sentence is convoluted and needs to be rephrased for clarity.

Answer: We agree with the referee and split the sentence; the new sentences read now as follows:

"To exclude time dependent changes as reasons for differences in pre-drought and drought treated dye patterns, the pre-drought pattern were checked against the pattern of the control plots."

9. In general the paper needs to be rewritten with an eye on clarity of the sentences and the organization of the paragraphs (to emphasize a few main points).

Answer: In accordance with referee #1, we rearranged the Materials and Method section. To harmonize the order of the subsections of the Materials and Methods section with the Results section, the new order is as follows: 2.1 Study sites, 2.2 Soil moisture measurements, 2.3 soil water repellency, 2.4 Dye tracer experiments, 2.5 Image processing and data analysis, and 2.6 Dye pattern analysis. We also reorganized the paragraphs in order to make the paper more clear.

10. Figure 6: The black and grey regions are not properly explained. They indicate the vd of stones but what differentiates between them?

Answer: The VD of stones following the same logic as the VD of stains: Whereas the VD sums up of the different SPWs (< 20mm, 20 – 200 mm, > 200 mm), the VD of stones sums up of the stone widths

(< 20mm, 20 – 200 mm, > 200 mm). To make this clearer, we inserted following explanation in section 2.6:

"…The stained path width (SPW) is derived by measuring the width of every stained object at a certain depth. The SPW of every depth were classified into three classes of < 20 mm, 20 – 200 mm, and > 200 mm (Weiler and Flühler 2004). The sum of the three SPW classes per depth corresponds to the VD of the regarding depth. …"

Comments of Anonymous Referee #3

COMMENTS TO AUTHORS:

The study of the effects of drought on altered functions of soil is of great interest for the HESS community. The authors combine an elaborate experimental setup at three sites in Germany with a hydrological model to study the impact of a moderate drought with a repeat time of 40 years on soil water repllence/wettability and infiltration patterns. Unfortunately the current manuscript suffers from large gaps in the explanation, making the manuscript arduous to read. It is almost imperative to first read Gimbel et al (2015) in Biogeosciences to be able to understand this manuscript. Below my concerns and comments.

MAJOR COMMENTS:

1. The Material and methods are not complete. While I do not expect to see a complete repetition of Gimbel et al. (2015) it should not be necessary to read that paper first before grasping the nuances in this manuscript. This is already clear from comparing Fig 1 in both manuscripts. Statements like P7693L12-13 "similar with respect to topography and soil type (Fig 2) but differ in tree species composition" do not do justice to what can be seen in Fig 2. Also, in the discussion I would have like to read about possible differences in infiltration as a result of a rock fraction of 80% occurring 10 cm lower in the deciduous plot in Schwabische Alp compared to the coniferous plot, but nothing is mentioned. P7694L7 "a level equivalent to annual drought with a return period of 40 years" is vague wording, please give amounts.

Answer: We agree with the referee and added more information about the drought set up (section 2.1) and added statements on the rock fraction in the Discussion section (4). The section 2.1 reads now as follows:

"To identify the influence of drought on infiltration patterns of forest soils, six plots in three different regions across Germany were selected. The plots were located in Schwäbische Alb (South-West Germany), Hainich-Dün (Central Germany) and Schorfheide-Chorin (North-East Germany) (Figure 1). All plots are part of the Biodiversity Exploratories framework that incorporates, in total, 150 sites on grassland and 150 sites in forest (for more information on the Biodiversity Exploratories, refer to Fischer et al. 2010). In each of the Exploratories, two forest plots were selected, which are – within each Exploratory – similar with respect to topography and soil texture type (Figure 2) but differ in tree species composition. In each site, one plot with a coniferous and one with a deciduous main tree species was selected. At the Schwäbische Alb and Hainich-Dün sites, beech (Fagus sylvatica) and spruce (Picea abies) were chosen, in Schorfheide-Chorin beech and pine (Pinus sylvestris), respectivly.

The Schwäbische Alb soils are shallow (25 to 35 cm) Leptosols on Jurassic shell limestone with high stone content (Figure 2, top). The mean annual temperature at this site is 6.5° C and the mean annual precipitation amounts to 940 mm. The underlying geology of the Hainich-Dün is Triassic limestone. The soils at this site are loamy Stagnosols with depths between 45 and 65 cm. The Hainich-Dün site experiences a mean annual temperature is 7.2° C and a mean annual precipitation of 533 mm. The Schorfheide-Chorin plots are located in a young glacial landscape where the dominant geological substrate is glacial till covered by glacio-fluvial and aeolian sands. The soils at this site are deep, sandy Cambisols. At the Schorfheide-Chorin site, mean annual temperature is 8.5° C and the mean annual precipitation amounts to 589 mm. All climate data are taken from nearby stations of the German weather service (DWD, years 1950–2010).

The experiments of this study are part of the interdisciplinary project 'Global Change Effects on Forest Understorey: Interactions between Drought and Land-use Intensity' (Gimbel et al. 2015). The artificial imposed drought was created by a 10 m x 10 m partially roofed subplot, covered with transparent panels. In addition, a control plot with the same technical equipment, but without the roofing was installed. The control and roofed plots include a central adult overstorey tree, which are similar in age, size, and canopy structure between control and the drought imposed plot. To provide sufficient exchange with ambient air (avoiding of a "greenhouse effect"), all four sides of the roof are open. To collect water from the roof, rain gutters are mounted alongside the timber construction. The roof is designed to reduce precipitation between 11 and 100 %; 11 % already intercepted by the roofing construction and rain gutters itself. The incoming precipitation was reduced between March and November to the level equivalent to an annual drought with a return period of 40 years by adapting the proportion of panels at each site separately at a monthly interval. The resulting annual target precipitation inputs under the roofs were 700 mm (26 % reduction) for Schwäbische Alb, 355 mm (33 % reduction) at the Hainich-Dün, and 395 mm (27 % reduction) at the Schorfheide-Chorin site. For a more detailed description of the whole experimental drought setting and of the study plots see Gimbel et al. (2015)."

We inserted following paragraph in section 4:

"The examined soils of Schwäbische Alb and Hainich-Dün have high stone contents. Stones can act during infiltration either as impeding barrier or as conveyor fostering preferential flow along the stone surfaces. The soil profiles of the three different soils revealed high spatial variability in stone content between the pre-drought and the control plots (distance between 15 m and 30m, e.g. Schwäbische Alb deciduous plot) and between pre-drought and drought plots (distance 0.5 m – e.g. in Schwäbische Alb deciduous plot and Hainich-Dün deciduous plot). Furthermore, the stone content differed substantial between the profiles of a single experiment (e.g. Schwäbische Alb coniferous drought plot below 30 cm depth). However, with the used methods, no conclusion about interrelation between dye pattern or flow type and stone content could be drawn."

2. The soil moisture model is not well described. Reader needs to read Hammel and Kennel (2001) for any specifics of the model. Input parameters are not given. There is no indication of use of or comparison with data from Gimbel et al. (2015). Values for water retention curve, soil hydraulic functions, and vegetation parameters are not given. Also, given the title of the manuscript, do the authors expect the soil hydraulic functions to change? And if so, did they accommodate for this in the model? And why did the authors use pedotransfer functions if they had such a laborious experiment and could have sampled to measure these soil hydraulic functions? In the results section the performance of the model is only described by "additional soil moisture measurements on the plots support the modelling results (not shown)". No validation. A Nash-Sutcliffe coefficient would also be appropriate. Differences at the start of the simulation in Fig 4 between the deciduous and coniferous plots are not mentioned.

Answer: We agree with the referee and discarded the model in agreement with the other referees. We now show the measured soil moisture contents of the drought and control plot. The sections 2.6 and 3.1 are changed accordingly. Please see also referee 1#, comment 2.

3. The manuscript is overly qualitative when it comes to describing results. For example P7699L13-15 "By comparing the pre-drought pattern and the pattern for the control plots time dependent changes as a reason for differences in pre-drought and drought treated dye pattern can be excluded". How was the comparison done? How different are these patterns? And why are inherent spatial differences between different sampling locations within the same plot not mentioned here? The authors chose 3 samples within one treatment, is this enough? P7700L22 "showed only small differences" Can these be quantified? The rest of the manuscript follows a similar style in qualitative statements.

Answer: To improve the comparability between the pre-drought and the control profiles as well as between the pre-drought and drought profiles, we provide now boxplots of the volume densities in 10 cm steps. In addition, we overhauled the sections 3.3.1 and 3.3.2 (see referee #1, comment 29). The above mentioned sentence is deleted – the paragraph in question now reads as follows:

"Differences between pre-drought and control plots (without drought treatment) reflect differences in soil structure, texture and moisture due to a distance of 20 - 40 m between the drought and reference plot, but may also include time dependent changes of the soil characteristics, which are independent from the drought treatment. To ensure validity of the dye pattern analyses, it is necessary to assure comparability among the plots. To exclude time dependent changes as reasons for differences in pre-drought and drought treated dye patterns, the pre-drought pattern were checked against the pattern of the control plots. Figure 6 compares the pre-drought pattern and the control pattern of the deciduous plots. In addition, Figure 7 provides VD boxplots of the pre-drought and the control profiles for direct comparison. The VDs of one plot are assumed to be significantly different from the VDs of another plot, when the corresponding boxes of the boxplots do not overlap in their spreads."

4. The discussion mainly focuses on water repellency, but the rationale for the paper, namely drought, is only mentioned at the last five lines. Considering the justification for this study (moderate drought with a 40 yr return instead of 100yr or 1000yr) it would enhance the impact of this particular study to include discussion on aspects of drought.

Answer: We agree with the referee and inserted a new paragraph in the discussion section:

In this experiment, a moderate drought with a 40-year reoccurrence probability already changed water repellency and flow pathways. But the applied drought stress was not intensive enough to induce plant mortality or strong changes in biomass of particular species on the time span of the experiment (Gimbel et al. 2015). Under more extreme conditions an even more extreme soil responses might be possible. Higher level of water repellency and the establishment of more preferential pathways might change the water availability of the whole ecosystems. The formation of non-wetting soil layers may trigger drought stress for shallow rooting plants that might even lead to die-off of. Enhanced overland flow, due to water repellency and general reduced infiltration capacity might increase flooding risks and erosion (Doerr and Ritsema 2006). On the other hand, preferential infiltration might even facilitate transport of pollutants into the soil via omitting the degradation in the microbiotic active top layer (Hendrickx and Flury 2001, Keesstra et al. 2012).

OTHER COMMENTS, QUESTIONS AND LINE EDITS:

1. Page 7690 line 15: Do not use abbreviations in the abstract

Answer: We agree with the referee and changed the sentence in accordance with referee #2. The sentence reads now as follows:

"This was 15 confirmed by water drop penetration time (WDPT) tests, which revealed, in all except one plot, moderate to severe water repellency."

2. Page 7692 line 9-16: The sentence is confusing by using occurrence equivalents, I suppose the authors mean drought events equivalent to those occurring maybe once every 100 to 1000 years?

Answer: We agree with the referee and changed the sentence; the sentence reads now as follows:

"To achieve drought effects, often extreme short-time events equivalent to droughts with occurrence probabilities of up to 100 or even 1000 years, are introduced to the examined soils (e.g. Glaser et al. 2013)."

3. Page 7692 line 26-30: The hypothesis give away the conclusions, and not referred back to at the discussion except in one place (Page 7705, line 23-24), but further not proven or falsified except when the reader tries to deduce it from the results/discussion. I do not entirely agree with the phrasing of hypothesis one; it refers to soil hydraulic properties, but to me this is to broadly formulated, the wettability and infiltration of the soils will be "tested" as mentioned in line 26.

Answer: We agree with the referee and inserted a new paragraph in the discussion section:

"In this paper, three hypotheses were tested: (1) Induced drought alters infiltration patterns due to changes in soil hydraulic properties; e.g. soil water repellency and forming of shrinkage cracks, leading to preferential flow paths and faster infiltration. (2) The main tree species have an effect on the magnitude of the observed response. (3) The drought will increase water repellency depending on tree species and soil properties.

The results of the infiltration experiments support our first hypothesis: applied drought changed the infiltration pattern of all examined soils. Water repellency was found in eight out of nine soils and signs of preferential flow could be observed in all soils. The observed changes in infiltration pattern were more pronounced for the coniferous plots than for the deciduous plots, therefore the second hypothesis can be accepted. Water repellency was higher on the coniferous than on the deciduous plots of the clayey and loamy soils (Schwäbische Alb and Hainich-Dün); and higher in the deciduous plot of the sandy soil (Schorfheide-Chorin). Therefore, the third hypothesis can be accepted."

4. Page 7694 line 19-20: " was sprayed with a backpack nozzle for even distribution" Was even distribution achieved? From what I know of dye tracer experiments it is quite hard to achieve an even distribution. Perhaps a backpack nozzle sprayer does spray rather homogeneous, but it also depends on the persons handling the sprayer. Did the authors test evenness in a test setup beforehand?

Answer: We agree with the referee here: the evenness of dye tracer distribution, when using a backpack nozzle sprayer, depends on the person handling the sprayer. Therefore, the tracer application was carried out with great care. Dye tracer experiments are frequently performed in our working group; tests performed before other experiments using the same equipment exhibit a uniformity coefficient (1 - s/x) of 0.89, suggesting reasonably uniform application (e.g. Bachmair et al. 2009).

Reference:

Bachmair, S., Weiler, M., and Nützmann, G.: Controls of land use and soil structure on water movement: Lessons for pollutant transfer through the unsaturated zone, Journal of Hydrology, 369, 241–252, doi:10.1016/j.jhydrol.2009.02.031, 2009.

5. Page 7695 line 24: What is IDL?

Answer: We agree with the referee and changed the sentence; the sentence reads now as follows:

“All calculations were done with the programming language IDL (Interactive Data Language, Exelis Inc.).”

6. Page 7700 line 8: “medium to high stone content” vague wording.

Answer: We agree with the referee and changed the sentence; the sentence reads now as follows:

“All profiles have a medium to high stone content (30 – 60 %) below 30 cm depth.”

7. Page 7703 line 24-25: that instead of which

Answer: In accordance with referee #1, comment 30 and referee #2 comment 3 we changed the whole paragraph; the paragraph reads now as follows:

“The comparison of pre-drought infiltration patterns of the drought plots with patterns of the control plots (without drought treatment) showed broad agreements. All control plot profiles are comparable to the pre-drought plot profiles, including differences that can be addressed to small scale heterogeneities of soil properties. When interpreting the patterns, the differences in VD in the top layers of all plots need to be taken into account. When doing this, at all sites, the dye experiments before and during drought conditions can be directly compared.”

2 List of relevant changes

We addressed all comments of the three reviewers (see above) and changed the manuscript according to their recommendations. The detailed list of changes can be found above. The main changes in the new manuscript are:

- Instead of the results of a soil moisture model, we show now the moisture measurements (sections 2.6 and 3.1). Therefore, we also changed figure 4.

- The VD (dye pattern) of the pre-drought, drought and control plots are assessed with statistical tests. Figures 7 and 9 are added, to present boxplot comparisons between the different treatments (pre-drought, drought and control) and the outcomes of the statistical (Kruskal Wallis) test.

- We completed the information on the experimental set up of the dye tracer experiment.

- We added more details in the method section on the general drought experiment and on the dye pattern analysis (especially on SPW and flow process differentiation).

- The figures 5, 6 and 8 (figure 7 in the old version) are improved.

- The Methods section is reorganized (sections 2.2 to 2.6).

- Parts of the Results section are rearranged (section 3.3.2).

3 Manuscript with mark-ups

Does drought alter hydrological functions in forest soils? An infiltration experiment

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Abstract

~~The water cycle~~ Climate change is expected to change ~~in future~~the water cycle and severely affect precipitation patterns across central Europe and in other parts of the world, leading to more frequent and severe droughts. ~~Usually~~Usually when projecting drought impacts on hydrological systems, it is assumed that system properties, like soil properties, remain stable and will not be affected by drought events. To study if this assumption is appropriate, we address the effects of drought on the infiltration behavior of forest soils using dye tracer experiments on six sites in three regions across Germany, which were forced into drought conditions. The sites cover clayey, loamy and sandy textured soils. In each region, we compared a deciduous and a coniferous forest stand to address differences between the main tree species. The results of the dye tracer experiments show clear evidence for changes in infiltration behavior at the sites. The infiltration changed at the clayey plots from regular and homogeneous flow to fast preferential flow. Similar behavior was observed at the loamy plots, where large areas in the upper layers remained dry, displaying signs of strong water repellency. This was confirmed by water drop penetration times (WDPT) tests, which revealed, in all except one plot, moderate to severe water repellency. Water repellency was

also accountable for the change of regular infiltration to fingered flow in the sandy soils. The results of this study suggest that the "drought-history" or generally the climatic conditions in the past of a soil are more important than the actual antecedent soil moisture status regarding hydrophobicity and infiltration behavior; and also, that drought effects on infiltration need to be considered in hydrological models to obtain realistic predictions concerning water quality and quantity in runoff and groundwater recharge.

1 Introduction

Soils moderate how water moves through the vadose zone and govern the percolation of water to groundwater and stream flow. Soils not only store water for plant growth, function as a habitat for different biota and as transition zone to groundwater, but are also important – especially the top layers – for sorption and degradation of contaminants and (agri-)chemicals (Hendrickx and Flury 2001). The efficiency of this important ecosystem service for groundwater and surface water protection depends on the behavior of pollutants in the soil and the hydrological transport processes (Keesstra et al. 2012). How fast water passes the vadose zone depends on its hydraulic soil properties and distribution such as pore volume distribution, soil aggregation, water repellency and rooting pattern.

Due to climate change and increasing human intervention, the global water cycle is expected to change with probably increasing summer dryness and winter wetness in many regions across the world including Western and Central Europe (IPCC 2012, Prudhomme et al. 2014). In addition, droughts are expected to be more frequent and severe in the future (Prudhomme et al. 2014, Seneviratne et al. 2006). Drought conditions can alter the hydrological functions of soils, and soil structure is responding to drought by shrinkage and fracturing of soil aggregates. These soil shrinkage cracks ~~foster~~channel the infiltrating water and by that foster the bypassing of the soil matrix (Hendrickx and Flury 2001, Ritsema et al. 1997) and therefore alter the infiltration patterns in soil. Thus, the infiltration and redistribution of water within the soil changes and hence also the proportion of water reaching the groundwater (Hendrickx and Flury 2001).

Soils under drought conditions are prone to become water repellent, depending on soil properties and organic matter content (DeBano 1981 and 2000). Due to modifications of the three-dimensional distribution and dynamics of soil moisture, water repellency has far reaching consequences for infiltration processes (Doerr and Ritsema 2006). Water repellency hinders infiltration and thus either increases overland flow (Doerr and Ritsema 2006) or redirects the water into preferential flow paths and creates instable wetting fronts (fingered preferential flow; Ritsema et al. 1993 and 2000, Dekker and Ritsema 2000).

To assess the impacts of drought~~ and climate change~~, rainfall exclusion experiments are valuable and often applied tools (e.g. English et al. 2005, Phillips et al. 2009, Da Costa et al. 2010, Kopittke et al. 2014), often in addition to elevated CO$_2$ concentrations (e.g. Dermody et al. 2007~~)~~), and night-time warming (e.g. Albert et al. 2011, Selsted et al. 2012). ~~Many~~While many studies focus on single aspects of drought effects like plant growth and seedling activity (Meijer et al~~.~~., 2011~~,~~; Wu and Chen, 2013) or ~~explore~~on particular ecosystems like grassland (Suttle and Thomson, 2007~~,~~; Bütof 5 et al~~.~~., 2012) and heather ecosystems (Albert et al~~.~~., 2011~~,~~; Selsted et al~~.~~., 2012~~). Only a~~), only few studies focus on forest ecosystems or take a closer look at drought impacts on soils where often only soil moisture is observed (Ozolinčius et al~~.~~., 2009~~,~~; Albert et al~~.~~., 2011~~,~~; Glaser et al~~.~~., 2013).

To ~~achieve~~study drought effects, often extreme short-time ~~drought~~ events ~~up~~equivalent to droughts with occurrence ~~equivalents~~probabilities of up to 100~~-year~~ or even 1000~~-year droughts are often~~ years have been introduced to the examined soils (e.g. Glaser et al. 2013). By introducing these extreme events, the question of transferability of the results to natural systems in respect to the ~~expectable~~expected behavior under predicted future drought conditions arises. Therefore, this study employs a moderate rainfall reduction equivalent to an annual drought with a 40-year return period, in accordance to climate predictions, thereby avoiding tentativeness due to an overreaction to an unnatural extreme drought (Gimbel et al. 2015).

To monitor changes in soil hydraulic properties, the changes in infiltration patterns in the soil after two years of prolonged drought were observed in three regions across Germany. Infiltration patterns were chosen because they reflect ~~integrally~~ the integrated changes of soil hydrological functions and directly show how water moves in the soil under altered conditions. In this paper, we present results of several dye tracer infiltration experiments before and after two years of prolonged artificial drought. The objectives of this study ~~were~~are: First, to investigate~~,~~ whether droughts predicted by climate projections affect the infiltration behavior of forest soils, and second, whether changes in infiltration patterns can be attributed to changes in the hydrologic properties of the soils. Three hypotheses will be tested: (1) Induced drought alters infiltration patterns due to changes in soil hydraulic properties; e.g.

soil water repellency and forming of shrinkage cracks, leading to preferential flow paths and faster infiltration. (2) The main tree species have an effect on the magnitude of the observed response. (3) The drought will increase water repellency depending on tree species and soil properties.

2 Material and Methods

2.1 Study sites

To identify the influence of drought on infiltration patterns of forest soils, six plots in three different regions across Germany were selected. The plots were located in Schwäbische Alb (South-West Germany), Hainich-Dün (Central Germany) and Schorfheide-Chorin (North-East Germany) (Figure 1). All plots are part of the Biodiversity Exploratories framework that incorporates, in total, 150 sites on grassland and 150 sites in forest (for more information on the Biodiversity Exploratories, refer to Fischer et al. 2010). In each of the Exploratories, two forest plots were selected, which are – within each Exploratory – similar with respect to topography and soil texture type (Figure 2) but differ in tree species composition. In each site, one plot with a coniferous and one with a deciduous main tree species was selected. At the Schwäbische Alb and Hainich-Dün sites, beech (*Fagus sylvatica*) and spruce (*Picea abies*) were chosen, in Schorfheide-Chorin beech and pine (*Pinus sylvestris*).

The Schwäbische Alb soils are shallow (25 to 35 cm) Leptosols on Jurassic shell limestone with a high stone content (Figure 2, top). The mean annual temperature at this site is 6.5° C and the mean annual precipitation amounts to 940 mm. The underlying geology of the Hainich-Dün is Triassic limestone. The soils at this site are loamy Stagnosols with depths between 45 and 65 cm. ~~The~~At the Hainich-Dün site the mean annual temperature is 7.2° C and the mean annual precipitation is 533 mm. The Schorfheide-Chorin plots are located in a young glacial landscape where the dominant geological substrate is glacial till covered by glacio-fluvial and aeolian sands. The soils at this site are deep, sandy Cambisols. At the Schorfheide-Chorin site, mean annual temperature is 8.5° C and the mean annual precipitation amounts to 589 mm. All climate data are taken from nearby stations of the German weather service (DWD, years 1950–2010).

The experiments of this study are part of the interdisciplinary project ‘Global Change Effects on Forest Understorey: Interactions between Drought and Land-use Intensity’ (Gimbel et al.

2015). The artificial imposed drought was created by a 10 m x 10 m roofed subplot, covered with transparent panels. In addition, a control plot with the same technical equipment, but without the roofing was installed. The distance between the roofed and the control plots range between 15 m and 30 m. The control and roofed plots include a central adult overstorey tree, which are similar in age, size, and canopy structure. To provide sufficient exchange with ambient air (avoiding a ‘greenhouse effect’), all four sides of the roof are open. To collect water from the roof, rain gutters are mounted alongside the timber construction. The roof is designed to reduce precipitation between 11 and 100 % - 11 % already intercepted by the roofing construction and rain gutters itself. The incoming precipitation was reduced between March and November to the level equivalent to an annual drought with a return period of 40 years. The resulting annual target precipitation inputs under the roofs were 700 mm (26 % reduction) for Schwäbische Alb, 355 mm (33 % reduction) at the Hainich-Dün, and 395 mm (27 % reduction) at the Schorfheide-Chorin site. ~~In addition, a control plot with the same technical equipment, but without the roofing was installed.~~ For a more detailed description of the whole experimental drought setting and of the study plots see Gimbel et al. (2015).

2.2 Soil moisture measurements

To observe the impact of reduced precipitation input on soil moisture, soil moisture probes were installed on the drought and on the control subplots of every site. The probes (5TM and 5TE, Decagon Devices Inc.) were inserted in 5 cm, 15 cm and 30 cm depths in three replicates on the plots at 2, 3, and 4 m distance from the central trees. The accuracy according to the technical data sheets of the 5TE and 5TM probes is ±15 % of the measured value for the volumetric water content. The readings of every probe are logged at 15 min intervals. For better comparability among the sites, the mean values of the three replicates of every depth per control and drought plot were cumulated and normalized to the maximum cumulated value of the control plot.

2.3 Soil water repellency

Hydrophobicity in soil was measured with the water drop penetration time (WDPT) test (e.g., Bisdom et al. 1993). This test determines how long water repellency persists on a porous surface. The tests were performed immediately before the dye tracer experiments in 2013, in the drought and control profiles of the deciduous plots and in the drought profiles of the coniferous plots. For the WDPT tests, a water droplet is placed on a planar soil surface with a pipette and the time is taken until the water drop is completely taken up by the soil. The observation was stopped after exceeding a time of 3600 seconds. Depending on the profile depths, WDPT tests were performed in several depths of the profile, covering the main soil horizontation. In each depth, five sampling locations were used to traverse the profile, and the tests were repeated three times per location, resulting in 15 WDPTs per depth (Figure 3b). The mean and maximum values of the WDPT test were classified after Bisdom et al. (1993) (Table 1).

~~2.2~~2.4 Dye tracer experiments

The dye tracer experiments were conducted in August 2011 before installation of the roofs and in August 2013 after two years of drought. For each experiment, an area of 80 x 120 cm was prepared by cutting smaller vegetation (grasses, herbaceous plants, and small tree offshoots), covering the surroundings with a thin plastic sheet, and dividing the area into three sub-areas with a size of 80 x 40 cm each (Figure 3 a). The experimental area was kept shaded and sheltered from rain in all weather conditions to minimize evaporation and uncontrolled water input during the experiments. Brilliant Blue FCF was diluted in water of local origin to a concentration of 4 g/l and was sprayed with a backpack nozzle sprayer for even distribution (Bachmair et al, 2009). For an overall application amount of 20, 40 and 60 mm, each sub-area was sprinkled with an intensity of 20 mm/h. The applied rainfall intensity of 20 mm/h reflects a heavy rainfall event in all regions~~.~~, therefore the sprinkling amounts simulate one, two, and three hours of heavy rainfall. After sprinkling, the experimental area was covered with plastic sheets to prevent evaporation and further water input through eventual rain.

The next day (after waiting at least 12 hours), three vertical soil profiles per sub-area were prepared. Keeping a 10 cm buffer stripe at the beginning and between the individual sub-areas, every sub-area was divided in three sections, spaced 10 cm from each other (Figure 3 a). To obtain the dye pattern, the surface of the excavated soil profiles was smoothed with a spatula and loose particles were removed with a brush, avoiding smearing. Stones were left in place and shaped into relief when needed. Roots were trimmed. Pictures were taken from each profile with a standard digital compact camera with a resolution of 10 megapixels (3648 x 2736 pixel). The single profiles were photographed with a ruler frame and a grey scale under even illumination and different illumination settings (Weiler and Flühler, 2004). The picture with the best image quality from each profile was used for further processing.

~~2.3~~2.5 Image processing and data analysis

To objectively compare the flow pattern of the different profiles, we used the image analysis procedure developed by Weiler and Flühler (2004). We provide a short description of the process here, for more detailed information, refer to Weiler (2001) and Weiler and Flühler (2004). The image processing consists of three main steps. In the first step, geometric distortion of the image is corrected by establishing a relationship between the image pixel location and the true location on the soil profile. During this step, the image is also scaled such that, one pixel corresponds to a square of 1 x 1 mm^2. In the second step, the spectral composition changes in daylight are balanced to ensure inter-picture comparability. This is done by a color adjustment of the image using the photographed grey scale. In the third step, the images are classified into stained and unstained areas. Applying a semi-supervised classification technique, a binary image of stained versus unstained areas is obtained. In contrast to the work of Weiler and Flühler (2004), we did not use the information of different dye tracer concentrations, due to the high heterogeneity of the background color. In this step, objects like stones and vegetation are manually digitized, too. All calculations were done with the programming language IDL~~.~~ (Interactive Data Language, Exelis Inc.).

~~2.4~~2.6 Dye pattern analysis

~~For~~To obtain objective measures to compare the dye patterns of the different profiles and sites, we derived three depth related variables of the binary images: (1) volume density, (2) surface density and (3) stained path width as basis for further delineation of flow processes. The volume density (~~vd~~VD) is similar to the frequently used dye coverage. It is defined as stained volume divided by the reference space and is originating from the methods of stereology, which relates a three-dimensional parameter to two-dimensional measurements (Weibel 1979). Surface density (~~sd~~SD) is defined as surface area of an object divided by the volume of the reference space. Surface density provides information on the size and number of features: a high ~~sd~~SD is caused by a large number of small objects, whereas a low ~~sd~~SD indicates less but larger objects (Weiler 2001). ~~As third variable, the stained path width (SPW) was calculated.~~ The stained path width (SPW) is derived by measuring the width of every stained object at a certain depth. The SPW of every depth were classified into three classes of < 20 mm, 20 – 200 mm, and > 200 mm (Weiler and Flühler 2004). The sum of the three SPW classes per depth corresponds to the VD of the regarding depth. Using the frequency distribution of the SPW of every depth, the dye pattern can be related to distinct flow processes. For example, macropore flow with low interaction can be identified by long and narrow stains, whereas macropore flow with mixed interaction shows a broader distribution of shapes (Weiler and Flühler 2004). The classification introduced by Weiler and Flühler (2004) was used to distinguish five flow processes, depending on the proportion of stains in each SPW class: two types of matrix flow ((1) homogeneous and (2) heterogeneous) and three types of macropore flow ((3) low, (4) mixed and (5) high interaction with matrix), where interaction is understood as the lateral water flow from macropores into the surrounding soil matrix (Weiler and Naef 2003). To assess the differences in the VD values between the treatments (pre-drought, control, drought), the Kruskal-Wallis test and the Nemenyi post-hoc test were applied, using R (version 3.2.3, The R Foundation for Statistical Computing, 2015) and the package "PMCMR" (version 4.1 by Thorsten Pohlert) within. Differences between treatments were supposed significant, when p-values are ≤ 0.01.

~~2.5 Soil water repellency~~

~~Hydrophobicity in soil was measured with the water drop penetration time (WDPT) test (e.g., Bisdom et al. 1993). This test determines how long water repellency persists on a porous surface. The tests were performed immediately before the dye tracer experiments in 2013, in the drought and control profiles of the deciduous plots and in the drought profiles of the coniferous plots. For the WDPT tests, a water droplet is placed on a planar soil surface with a pipette and the time is taken until the complete intake of the water drop into the soil. The observation was stopped after exceeding a time of 3600 seconds. Depending on the profile depths, WDPT tests were performed in several depths of the profile. In each depth, five sampling locations were used to traverse the profile, and the tests were repeated three times per location, resulting in 15 WDPTs per depth (Figure 3 b). The mean and maximum values of the WDPT test were classified after Bisdom et al. (1993) (Table 2).~~

~~2.6 Soil moisture model – LWF-BROOK90~~

~~To evaluate the soil moisture conditions before and during the infiltration experiment, we used the forest hydrological LWF-Brook90 model of Hammel & Kennel (2001). LWF-Brook90 is a one-dimensional, process-oriented model (Federer et al. 2003). The daily soil water budget is simulated as the result of infiltrating precipitation, water flow through the soil~~

~~and water loss by evapotranspiration. For the climate input data, daily time series of nearby weather stations (station IDs 03402, 00487, and 00164) of the German Weather Service (DWD) were used. Additional soil and site parameters were obtained from soil profile and on-location analyses (soil genetic horizons and their soil texture, bulk density, stone content). The water retention curve and the hydraulic conductivity of the soil horizons were estimated using a pedotransfer function (Puhlmann and von Wilpert 2012). Additional model parameter, used for the description of vegetation effects on the local water budget, were either obtained from field observations (depth distribution of roots), the BExIS database (id17687 forestEP stand structure and composition, stand density and tree age), approximated from literature (e.g. annual course of leaf area index). If a certain vegetation parameter was not available, the values were set following the suggestions of the model developers.~~

3 Results

3.1 Soil moisture ~~changes~~

~~Figure 4 shows the results of the LWF-Brook90 simulations. All soils show a drop in soil moisture during the summer months (2011 and 2013). Before the experiment in 2013 (orange line marks the date), the soil moisture status of the drought treated plots and the control plots are very similar, except for the coniferous plot in Schorfheide-Chorin. Rainfall was reduced between March and November. Therefore, the highest soil moisture contents are observed during winter and early spring (December – April). However, the largest differences in soil moisture between drought and control plots are observed generally in fall and early winter (October – December). Compared to deciduous plots, all coniferous plots (drought and control) show pronounced low water contents in the 15 cm layers. Additional soil moisture measurements on the plots support the modeling results (not shown). Hence, the observed infiltration patterns and changes among the sites are mainly a result of changes in soil properties and not due to differences in soil moisture conditions prior and during the experiment.~~

Figure 4 shows the normalized cumulated sums of the soil moisture measurements of the control and the drought plots over the course of two years. All plots developed a soil moisture deficit compared to the control plots in the upper 5 cm of the soil, as shown by the black line below the 1:1 line. The water deficit is also transduced to the 15 cm and 30 cm depths in both

Schwäbische Alb plots and in the coniferous plot of Hainich-Dün, but is generally less pronounced. The plots at the Schorfheide-Chorin site show no deficit (deciduous plot) or even a small plus in soil moisture (coniferous plot) compared to the control plot. The sandy soils of Schorfheide-Chorin are already very dry without drought treatment. The reverse moisture effect might be caused by root effects, for example hydraulic redistribution. However, we did not find any signs for hydraulic redistribution in the data. The deciduous plot of the Hainich-Dün site experienced major probe failures due to animal damage during the summer month of 2012 and again in 2013. Therefore, only the data taken during the winter month could be used for the comparison. For this reason, the data do not cover the months with the highest expected soil moisture deficits.

3.2 Soil water repellency

Figure 5 shows the results of the WDPT test in 2013. All drought treated plots at all sites – coniferous and deciduous – exhibit water repellency (WDPT data from control plot under coniferous not available). All control plot soils are wettable (WDPT class 1) or feature at least lower water repellency than the drought treated plots. ~~In general,~~The coniferous plots under drought ~~had~~of Hainich-Dün and Schwäbische Alb showed higher WDPTs than the deciduous plots. This is valid for both mean and maximum values~~, with the exception of the~~. The Schorfheide-Chorin deciduous plot~~, which~~ showed higher water repellency than the coniferous plot. In all soil profiles, water repellency is highest in the topsoil and diminishes at a depth of about 20 cm. However, in the Schorfheide-Chorin deciduous plot, water repellency is present up to a depth of 50 – 60 cm. When present, strong to severe water repellency is dominant in the measured drought treated plots. Only the Hainich-Dün deciduous plot soil is classified as wettable in average and the Schorfheide-Chorin coniferous plot as slightly water repellent. Highest values in mean and maximum water repellency were found in the coniferous plots Hainich-Dün (mean 941 s; max 3600 s) in about 10 – 15 cm depth and in the Schwäbische Alb (mean 990 s; max 2340 s) in the topsoil (Figure 5).

3.3 Dye tracer experiments and dye pattern analysis

3.3.1 Comparison between pre-drought pattern and control pattern

Differences between pre-drought and control plots (without drought treatment) reflect differences in soil structure, texture and moisture due to a distance of 20 - 40 m between the drought and reference plot, but may also include time dependent changes of the soil characteristics, which are independent from the drought treatment. To ensure validity of the dye pattern analyses, it is necessary to assure comparability among the plots. ~~By comparing the pre-drought pattern and the pattern for the control plots~~To exclude time dependent changes as ~~reason~~reasons for differences in pre-drought and drought treated dye ~~pattern can be excluded.~~patterns, the pre-drought pattern were checked against the pattern of the control plots. Figure 6 compares the pre-drought pattern and the control pattern of the deciduous plots. In addition, Figure 7 provides boxplots of VD for different depths of the pre-drought and the control profiles for direct comparison.

The Schwäbische Alb pre-drought plot (Figure 6, top left) shows high ~~vd~~VD in the top 10 cm in all profiles. The 40 mm and 60 mm sprinkling volume profiles show a high SPW in the top 5 to 10 cm. On the control plot (Figure 6, top right) also large areas of the profiles top 10 cm are stained, but the ~~vd~~VD and SPW are not as high as the pre-drought profiles. This is especially evident in the VD boxplots of the upper 0 – 10 cm (Figure 7, top). All Schwäbische Alb profiles have high stone ~~content~~contents, in some cases exceeding 50 % of the profile width~~.~~ (Figure 6). Below 10 cm depth, the control plot profiles are almost completely stained. This pattern is~~ very~~ similar to the pre-drought profiles. In general, the patterns of the control profiles are similar in ~~vd~~VD, SPW values, and distribution to the 20 mm pre-drought profile. The 60 mm control profile is reflecting the high ~~vd~~VD and SPW values in top layers, which are characteristic of the 40 mm and 60 mm pre-drought profiles.

The Hainich-Dün pre-drought profiles (Figure 6, center left) show low to medium SPW in all depths. ~~Vd~~VD values are high in the top 5 cm in all profiles and between 10 cm to 30 cm in the 40 mm and 60 mm sprinkling amount profiles. The 20 mm profile displays only small ~~vd~~VD values below 10 cm depth. All profiles have a medium to high stone content (30 – 60 %) below 30 cm depth. The control plot profiles (Figure 6, center right) are very similar in ~~vd~~VD and SPW to the pre-drought profile pattern, but with generally lower ~~vd~~VD in the ~~top 5 cm of the~~ 60 mm sprinkling amount profile~~.~~ (Figure 7, center right). Except for the 20 mm profile, which displays no stones, the control plot profiles have a medium to high stone content below 25 cm depth. In all profiles, large areas of the profile stayed unstained. However, although having a low ~~vd~~VD in top layer, the 60 mm control plot profile is not following the pronounced drop in ~~vd~~VD between 5 cm and 10 cm depths and the subsequent rise between 15 cm and 25 cm, which is characteristic for all other profiles (pre-drought and control). These distinct differences are apparent in the boxplots (Figure 7)

In the Schorfheide-Chorin pre-drought profiles (Figure 6, bottom left), high ~~vd~~VD and SPW values are present. The highest ~~vd~~VD and SPW values can be found in the 60 mm sprinkling amount profile. Below 10 cm depth, the 20 mm pre-drought profile displays only small to medium SPW and – in comparison to the 40 mm and 60 mm profiles – small ~~vd~~VD values. The control plot profiles (Figure 6, bottom right), show in general high ~~vd~~VD and SPW values, but have lower values in the top 10 cm than the pre-drought profiles~~.~~ (Figure 6 bottom right and Figure 7, bottom). This is more apparent in the 20 mm and 40 mm profiles (Figure 7). In the pre-drought and control plot, infiltration reached down to depths over 70 cm and no stones are present.

To summarize, the comparison between the pre-drought and control plots showed ~~only small differences. In the Hainich-Dün, the drop and rise of vd~~a broad agreement. Differences, that need to be accounted for, are the lower VD in the profile top layers, especially at the Schwäbische Alb and Schorfheide-Chorin site. These differences might be due to spatial heterogeneities, e.g. slight differing in soil layer boundary depths, given the distance between the control and the pre-drought plots (15 m to 30 m). In addition, the initial conditions (soil moisture) were also slightly different possibly resulting in the observed differences. Choosing 10 cm steps for statistical comparison of the VD may in addition introduce differences, if soil layer boundary depths differ. Therefore, not only the VD, but also the SPW and the determined flow processes need to be taken into account for comparison. However, the pre-drought and drought experiment were performed in close vicinity (1 m). In the Hainich-Dün, the drop and rise of VD in all profiles points to a soil layer boundary effect on infiltration. This is not time dependent and present in both pre-drought and control profiles, therefore the comparability between the pre-drought and drought pattern is not affected.

3.3.2 Comparison between pre-drought pattern and drought pattern

As can be seen in Figure ~~7~~8, all plots show marked differences between pre- and after-drought infiltration patterns. ~~All~~The clayey and loamy sites (Schwäbische Alb and Hainich-Dün) develop unstained (=unwetted) areas in the topsoil layers. This is more pronounced in the coniferous plots, where unstained areas are already visible in the pre-drought infiltration pattern. Figure 9 compares VD in boxplot for different depths of the drought and pre-drought profiles including the statistical significance.

~~At~~

Schwäbische Alb~~,~~ coniferous plot

At the ~~pre-drought deciduous plot showed~~Schwäbische Alb site, medium to ~~high vd in all depths; high vd in top 15 cm of 40 mm and 60 mm sprinkling volume profile. This is corresponding with small to medium stained path ways (SPW), found in pre-drought coniferous plots and large SPW in the top layer of the 40 mm and 60 mm sprinkling volume profiles of pre-drought deciduous plots (Figure 7, top). Medium to~~ low volume densities (~~vd~~VD) were found on the pre-drought coniferous plot throughout the whole profile for the 20 mm and 40 mm sprinkling depth and high ~~vd~~VD for 60 mm sprinkling depth (Figure ~~7, top).~~8, top left). The drought 40 mm and 60 mm profiles are lower in VD in the top layers (0 – 10 cm), than the pre-drought profiles (Figure 8 and 9, top left); the 40 mm profile is displaying even unstained areas (no VD). The 20 mm pre-drought profile is already very low in VD, therefore the differences to the after drought profile is not distinct (Figure 8, top left). The drought coniferous plot shows a rise of VD culminating around 20 cm depth (Figure 8, top) for all sprinkling amounts (20 mm, 40 mm and 60 mm). Below 20 cm depth, the 20 mm and 40 mm profiles show (Figure 9, top left) higher VD in the after drought profiles than in the pre-drought profiles, whereas the 60 mm profile show the same extent of VD in the drought and in the pre-drought profile.

The stained path ways (SPW) of the Schwäbische Alb coniferous pre-drought profiles are small to medium in the 20 mm and 40 mm profiles and high in the 60 mm profile (Figure 8, top left). After drought, low to medium SPW are dominant in the 20 mm and 60 mm profiles; high SPW values are occurring in the 40 mm profile below 20 cm. The flow processes identified in this depth as matrix flow, are caused by local saturation due to low K_s (Figure 8, top left). The dominating flow types in the pre-drought profiles are identified as macropore flow, with low, mixed and high interaction depending on soil layer and infiltration volume. ~~The~~Dominating flow ~~processes identified as matrix~~types in the drought plot are macropore flow ~~are caused by local saturation due to~~with low~~ K_s (Figure 7, top).~~, medium and high interaction.

Schwäbische Alb deciduous plot

The Schwäbische Alb ~~drought coniferous~~ deciduous plot shows ~~small to no (40 mm profile) vd~~in the 40 mm and 60 mm pre-drought profiles high SPW and in all infiltrating volumes high VD in the top layer (0 – 10 cm~~ followed by a rise of vd culminating around 20 cm depth (~~; Figure ~~7~~8 and Figure 9, top~~) for all sprinkling amounts (20 mm,~~ right). Medium to high VD are maintained throughout the whole 40 mm and 60 mm~~). This is also the case for all deciduous~~ profiles~~ under~~, and to lesser extend in the 20 mm profile. The drought~~. SPW as well coniferous as deciduous profiles is small to medium, except the 40 mm coniferous profile with large SPW between~~ profiles show lower VD in the top 10 cm, compared to the pre-drought profiles (Figure 9, top right). Below 20 – 25 cm depths, the 20 cm and ~~35 cm. Dominating~~40 cm drought profiles show higher VD than the pre-drought profiles. However, the drought profiles are more similar in shape to the VD pattern of the control than to the pre-drought profiles (Figure 6, top). Also, the stone contents in the three pre-drought profiles are higher than in the drought profiles (Figure 8, top right).

The dominating flow types ~~are~~ in the Schwäbische Alb deciduous pre-drought profiles are identified as macropore flow with low, mixed, and high interaction, and as matrix flow, depending on soil layer and infiltration volume (Figure 8, top right). The flow processes identified in the top layers of the 40 mm and 60 mm pre-drought profiles as matrix flow are caused by local saturation due to low K_S. The dominating flow types of the drought deciduous profiles are identified as macropore flow with low, ~~medium~~mixed, and high interaction~~. Heterogeneous matrix flow is identified at the coniferous plot with 40 mm sprinkling amount between 20 cm and 35 cm~~ (Figure ~~7~~8, top right).

~~The~~

Hainich-Dün coniferous plot

The Hainich-Dün coniferous pre-drought ~~plot~~profiles show low ~~vd~~VD for all sprinkling amounts, especially in the topsoil between 4 cm and 22 cm (Figure ~~7~~8, center~~). Vd in the deciduous pre-drought plot is very low for the 20 mm sprinkling amount and medium for 40 mm and 60 mm. In both profiles, high vd in the topsoil alternates with low vd around 10 cm depths followed by~~ left). The 20 mm and 40 mm pre-drought profiles show unstained areas (no VD). The small VD values are even more pronounced in the drought profiles (Figure 8 and Figure 9, center left), in which all profiles exhibit unstained areas. Below the unstained layer, the VD rises to a ~~rise around~~maximum in 15 to 20 cm depth and ~~a drop~~drops again around 30 cm depth. The 20 mm and 60 mm drought profiles show throughout all depths low VD (Figure 8, center left).

In all Hainich-Dün coniferous pre-drought profiles, no large SPW occur and flow types are classified as macropore flow with low, mixed and high interaction. ~~The coniferous pre-drought plot is dominated by macropore flow with low interaction, while this is only the case for the 20 mm profile in the deciduous pre-drought plot (Figure 7, center~~This applies also for the 20 mm and 60 mm drought profiles. In contrast, the 40 mm drought profile exhibits high SPW between 15 cm and 25 cm depth. Therefore, the flow types in this depths are identified as matrix flow (Figure 8, center left). The main flow types in the coniferous drought profiles are macropore flow with low, mixed and high interaction. The pre-drought profiles are dominated by macropore flow with low and mixed interaction. In both, pre-drought and drought profiles, the stone content is comparable (Figure 8, center left).

~~The Hainich-Dün coniferous drought plot shows, for all sprinkling amounts, unstained areas in the top layers between 2 cm and 15 cm and low vd in all other depths (Figure 7, center). Unstained areas and low vd is also found in the top 5 – 10 cm of the deciduous drought plot. They are followed in the profiles of all sprinkling volumes by a sharp rise in vd, with highest values between 15 cm and 30 cm. Despite the small overall vd values of the coniferous plots, the 40 mm profile shows large SPW around 20 cm depth, which can also be found for the 40 mm and 60 mm sprinkling depths of the deciduous plot. However, large SPW at 60 mm profile occur between 15 cm and 40 cm and therefore flow types are identified as matrix flow at these high SPW areas (Figure 7, center).~~

~~All pre-drought profiles at Schorfheide-Chorin show high vd in the topsoil, declining with increasing depth (Figure 7, bottom). While vd of the 20 mm and 40 mm coniferous pre-drought plots decline fast reaching unstained areas at 45 cm and 30 cm, respectively, the 60 mm coniferous and all deciduous pre-drought profiles keep a moderate vd until the end of profile. SPW is large in all profiles under pre-drought coniferous and deciduous plots, especially in top layers. An exception is the deciduous 20 mm profile, which has no large SPW. Flow types are dominated by matrix flow in the topsoil, however, except for the deciduous 60 mm profile, all profiles in both pre-drought plots have, a proportion of macropore flow (Figure 7, bottom).~~

~~Whereas the Schorfheide-Chorin deciduous drought profiles show not much change in vd compared to the pre-drought profiles, vd in the coniferous plots differ substantially between~~

~~the pre-drought and drought profiles (Figure 7, bottom). Vd in the topsoil is small in the drought coniferous profiles and very low vd and even unstained areas occur (at the 20 mm sprinkling amount in 5 cm depth and at the 40 mm sprinkling amount in 5 cm depth, respectively). For the drought profiles with 40 mm and 60 mm sprinkling amount, vd rises again between 10 cm to 35 cm depth, where a large SPW can also be observed. In contrast to the low changes in vd in the deciduous profiles between drought and pre-drought conditions, the SPW pattern are different: Pre-drought profiles show large SPW values in the top half of the profile, in the drought profiles, large SPWs occur in the bottom half. This is reflected by the flow type classification. Matrix flow is occurring in bottom half of all drought deciduous profiles. In the drought coniferous profiles, matrix flow is occurring between 10 and 25 cm in the 40 mm and 60 mm profiles (Figure 7, bottom).~~

Hainich-Dün deciduous plot

The Hainich-Dün deciduous drought profiles exhibit smaller VD in the top 5 cm compared to the pre-drought profiles (Figure 8 and Figure 9, center right). Unstained areas are present in the top 5 – 10 cm of the 20 mm drought profile. The 40 mm and 60 mm pre-drought profiles show high VD values between 10 cm and 25 cm. High VD values are also present in the drought profiles, maintaining high values throughout the whole profile. While no high SPW values are found in the pre-drought profiles, high SPW values can be found in the 40 mm drought profile between 10 cm and 30 cm and in the 60 mm drought profile between 10 cm and 40 cm (Figure 8 and Figure 9, center right). The flow types of the deciduous pre-drought profiles are classified as macropore flow with low, mixed, and high interaction. The drought profiles are also classified as macropore flow with low, mixed, and high interaction and, where high SPW values occur, as matrix flow (homogeneous and heterogeneous) (Figure 8, center right). The stone contents of the pre-drought and drought profiles are increasing with depth below 25 – 30 cm; the drought profiles are exhibiting a slightly higher stone content than the pre-drought profiles (Figure 8).

Schorfheide-Chorin coniferous plot

The pre-drought pattern of the Schorfheide-Chorin site show high SPW and VD in the top layers (0 - 10 cm depth) decreasing with depth (Figure 8, bottom left). While the 20 mm and 40 mm pre-drought profiles show a maximum infiltration depth of about 45 cm and 30 cm, respectively, the 60 mm pre-drought profile is stained below 70 cm, exhibiting medium VD values (Figure 8, bottom left). High SPW values are found in the 20 mm pre-drought profile up to a depth of 15 cm and in the 40 mm and 60 mm profiles up to 10 cm and 30 cm, respectively. The drought profiles of the coniferous plots show far lower VD values in the top layers compared to the pre-drought profiles (Figure 8 and Figure 9, bottom left). The 40 mm drought profile is exhibiting even an unstained layer in about 5 cm depth. High SPW values can be found in the 40 mm and 60 mm drought profile, not in the top layers, but between 20 cm and 25 cm depth (40 mm profile), and between 10 cm and 25 cm depth (60 mm profile) (Figure 8, bottom left). This is reflected in the flow type classification. Whereas matrix flow is dominating the top layers in pre-drought profiles (at least the top 10 cm), matrix flow is occurring below 10 cm depth in the 40 mm and 60 mm drought profiles (Figure 8, bottom left).

Schorfheide-Chorin deciduous plot

The Schorfheide-Chorin deciduous pre-drought and drought patterns do not exhibit much differences in shape and in VD values in the 20 mm and 40 mm profiles (Figure 8, bottom right). The largest differences in VD can be found in the top 10 cm of the 20 mm profiles and in the 60 mm profile (Figure 9, bottom right). In addition, the 20 mm drought profile exhibits an unstained layer around 40 cm depth (Figure 8, bottom right). The difference between pre-drought and drought is more evident in the SPW values: Whereas high SPW values are found in the 40 mm and 60 mm pre-drought profiles in the top and bottom half of the profile, high SPW values are found in the drought profile in the bottom half, plus a small layer of two centimeter of high SPW around 10 cm depth in the 40 mm profile (Figure 8, bottom right). In the Schorfheide-Chorin deciduous pre-drought profiles, flow types of the 40 mm and 60 mm are dominated by matrix flow (Figure 8, bottom right). However, all profiles in the pre-drought plots have, a proportion of macropore flow. In the drought profiles, matrix flow is only occurring in bottom half of the 40 mm and 60 mm profiles.

To summarize, compared with pre-drought infiltration pattern, the drought pattern of all plots reveal differences in infiltration processes. For example, over 90% of the depths ranges show significant differences in VD between the drought and pre-drought site. Clayey and loamy soils behave similarly, developing unwetted soil layers. High SPW values in 20 to 30 cm depth of the drought pattern indicate local saturation. In sandy soils, the change from high

SPW values of the pre-drought pattern to medium and low in the drought pattern exhibit a change from front-like to a more scattered infiltration. In general, the effects were more pronounced at the coniferous plots. These findings correspond well with the results of the WDPT tests: In the clayey and loamy soils (except Hainich-Dün deciduous plot), the unstained topsoil layers are coinciding with the high WDPTs (Figure 5). Coniferous plot Hainich-Dün stays unwetted up to a depth of about 15 to 20 cm and Schwäbische Alb plots to a depth of about 10 cm, which is corresponding to the depths where the highest WDPT values were observed (Hainich-Dün: WDPT class 4; Schwäbische Alb: WDPT classes 4 and 3, respectively). In the sandy soils of the Schorfheide-Chorin profiles, low SPW values correspond to high WDPTs (class 2 and 3). Below the water repellent zone, SPW values are increasing again (Figure 5, bottom).

4 Discussion

4.1 Infiltration patterns and influence of main tree species

The comparison of pre-drought infiltration patterns of the drought plots with patterns of the control plots (without drought treatment) showed ~~no differences which can be addressed to other reasons than small scale heterogeneities of soil properties.~~ broad agreements. All control plot profiles ~~can be assumed to be~~are comparable to the pre-drought plot profiles~~. Therefore, it can also be assumed~~ , including differences that ~~no substantial difference between~~can be addressed to small scale heterogeneities of soil properties. When interpreting the ~~drought (treatment) plots and~~patterns, the ~~pre-drought plots exist; no relevant time dependent changes happened between the pre-drought experiment~~differences in ~~2011 and the experiments on the control and drought plots~~VD in ~~2013. Therefore, we can directly compare~~the top layers of all plots need to be taken into account. When doing this, at all sites, the dye experiments ~~at all sites~~ before and during drought conditions can be directly compared.

In this study, it was hypothesized that the induced drought alters infiltration patterns due to changes in soil hydraulic properties (e.g., soil water repellency and forming of shrinkage cracks) and the main tree~~ main~~ species is having an effect on the magnitude of the response. The results of the infiltration experiment show a clear evidence for changes in infiltration pattern as well as the importance of tree species on infiltration pattern: Schwäbische Alb plots have clayey soils with a high stone content, and show, in pre-drought and control plots, a slow and even infiltration. The drought-treated plots developed large areas with small volume densities and SPWs in the topsoil, while for deeper layers, broad stains (large SPW) were observed which cover the profiles for the most part (high ~~vd~~VD). This is typical for preferential flow that follows the shrinkage cracks of clayey soils or biopores of roots or soil fauna (Dekker and Ritsema 2000, Hendrickx and Flury 2001, Hardie et al. 2011). Water infiltrates quickly to deeper layers, bypassing a large proportion of the soil matrix. In deeper soil layers where the cracks or biopores end, local saturation occurs, and lateral redistribution into the soil matrix due to the now lower infiltration capacity and velocity can be observed. This also explains the similar pattern in the loamy Hainich-Dün soils.

A trend to more preferential flow was also observed in the Hainich-Dün plots, where the dense and loamy soils are also prone to shrinkage. Furthermore, in the Hainich-Dün drought profiles unstained (i.e., unwetted) areas in the topsoil layers were observed. This is more pronounced in the coniferous plot, where unstained areas were already visible in the pre-drought experiment. Preferential flow does not only originate from cracks and biopores, but also from textual boundaries and instable wetting fronts (Doerr and Ritsema. 2006, Hendrickx and Flury 2001). Unstable wetting fronts can occur due to air entrapment or hydrophobicity, which effectively hinders infiltration and redirects the water to structural and textural preferential flow paths (Doerr and Ritsema 2006). The unwetted topsoil layers of the Hainich-Dün coniferous plots can be explained by the combination of severe water repellency and shrinkage cracks acting as effective bypasses.

In contrast to the other sites, Schorfheide-Chorin soils are sandy and highly permeable with low stone content. In both Schorfheide-Chorin plots, the infiltration patterns changed from a regular front-like stable infiltration to unstable, more scattered and fingered infiltration patterns. Following the conceptualization of unstable flow in water repellent soils by Ritsema et al. (1993, 2000), water flows, after entering the soil, through preferential pathways through the water repellent layer and distributes laterally in the divergence layer underneath. In fact, such flow patterns were pronounced in the Schorfheide-Chorin deciduous drought plot: medium ~~vd~~VD and SPW up to a depth of 50 - 60 cm and larger SPW in the layer beneath. This fits with the results of the WDPT tests, which show a slight to strong water repellency in the top 50 - 60 cm of the profile.

In general, drought induced major changes on the infiltration behavior of the examined soils. Clayey and loamy soils developed preferential flow. In these soils, the bypassing of the top

10 cm – 20 cm is fostered by water repellency, leading to unwetted topsoil layers. Sandy soils developed fingered infiltration patterns, due to the forming of a water repellent layer. In all three sites, the effects of the drought treatment were more pronounced in soils with coniferous main tree species than with deciduous main tree species.

4.2 Water repellency

In this study, it was hypothesized that the artificial induced drought will increase soil water repellency depending on the main tree species and soil properties. The highest water repellency was found in the coniferous plots of Schwäbische Alb and Hainich-Dün. Soils under coniferous trees often feature acidic soil conditions, which promote water repellency (Orfánus et al. 2014). In a study by Orfánus et al. (2014) liming practices and associated rise of pH-values significantly reduced water repellency of former pine forest soils. Jost et al. (2004) explained the difference in recharge under a beech and a spruce forest stand, with the higher hydrophobicity, and therefore the hindering of infiltration, combined with higher surface runoff of the spruce stand. This is in contrast to the findings of Buczko et al. (2006), who found the highest proportion of water repellent soils in pure beech stands compared to pure pine and mixed stands on sandy soils. However, in our study the sandy Schorfheide-Chorin plots showed higher mean WDPTs in the deciduous (beech) plot, than on the coniferous (pine) plot.

The soil texture can also influence the water repellency: A study of Gonzalez-Penaloza et al. (2013) suggests that water repellency is related to soil particle size. They induced water repellency by using different concentrations of stearic acid on samples of fine, medium, and coarse sand. Water repellency was extreme in coarse textured samples. The authors explained that by the lower specific surface compared to fine textured samples and therefore smaller area that has to be covered by water repellent agents. We could not observe this effect in our sandy soils. The coarser textured coniferous plot was less water repellent than the finer textured deciduous Schorfheide-Chorin plot. However, water repellency can originate from a broad range of factors. The degree of water repellency of a soil also depends on the amount and type of organic matter that is incorporated in it (DeBano 1981, Bisdom et al. 1993, Buczko et al. 2006, Vogelmann et al. 2013), the age and type of forest and litter type (Neris et al. 2013).

Several studies detected a significant impact of spruce litter on infiltration processes, either by hydrophobicity (Schume et al. 2004) or interception (Neris et al. 2013). Schume et al. (2004) found that spruce litter can intercept up to 5 mm of precipitation and Neris et al. (2013) found infiltration rates of 20 mm/h compared to that of 50mm/h of deciduous stands, doubling the runoff of the sites. In this study, we did not record the interception of the litter layer, which may have altered the total amount of water infiltrating into the soil. However, a natural litter layer is always present and intercepts precipitation (e.g. Gerrits et al. 2010). By keeping the natural litter layer in our experimental setup, our test results include the two influencing factors of the systems natural response in the infiltration pattern: The redistribution of incoming precipitation by the litter layer, leading to more spatial heterogeneous water input in the soil, compared to a soil with removed or hydrophilic litter layer. The measured infiltration pattern is a result of both factors, giving a more natural representation than a separate observation of litter layer and soil response.

Furthermore, the plants of the forest understory can also influence hydrophobicity of the soil; plants are covered with a cuticle composed of hydrophobic liquids, embedded in a polyester matrix and wax crystalloids (Holloway 1994, Barthlott and Neinhus 1997). Water repellent plant coatings can be found in all plant life forms with a clear dominance in among herbs (Neinhus and Barthlott 1997, Dekker and Ritsema 2000). It is even discussed that hydrophobic exudates might be a strategy for plants, microorganisms and fungi, to suppress germination and growth of competing vegetation by reducing evaporation and nutrient leaching (Doerr et al. 2007).

Hydrophobicity is dependent on the moisture status of the soil, which is defined by Doerr and Thomas (2003) as critical moisture or transition zone. Vogelmann et al. (2013) found a critical water threshold of 0.36 to 0.57 cm^3 cm^{-3} beyond which hydrophobic soils become hydrophilic, varying as a function of soil organic matter content. In contrast to the findings of Doerr and Thomas (2003), we found very similar water contents in drought treated and control soils, but very different hydrophobicity conditions. This indicates that the “drought-history” or generally the climatic condition in the past of a soil is more important than the actual antecedent soil moisture status regarding hydrophobicity and infiltration behavior.

In our rainfall exclusion experiment drought stress was not intense enough to induce mortality or strong changes in above-ground biomass of a particular species (Gimbel et al. 2015). Nevertheless, drought and water repellency may promote the die-off of fine roots, which thereupon contribute to the total organic matter in the soil. The amount of soil organic matter and its composition has a strong influence on the strength of water repellency (e.g. Vogelmann et al. 2013, Bisdom et al. 1993, DeBano 1981). Therefore, the die-off of fine roots may lead to a self-reinforcing circle of water repellency.

Soil organic matter can form micro- and macro-aggregates by acting as binding agent between soil components (e.g. Tisdall and Oades 1982, Annabi et al. 2011) or by covering soil particles (e.g., Vogelmann et al. 2013 a). Vogelmann et al. (2013 b) concluded in their study, that water repellency leads to slower wetting of soil aggregates. Therefore, cohesive forces hold up longer, which increases the resistance to disaggregation and thus, indirectly aiding in maintaining soil structure. Terrestrial fungi are also in the focus of research concerning soil water repellency and aggregation (e.g. Tisdall and Oades 1982, Rillig and Mummey 2006, Chau et al. 2012). Zheng et al. (2014) found in three of nine species of ectomycorrhizal fungi associated with *Pinus sylvestris* seedlings increased soil water repellency and in six of nine species an increase of water stable aggregation. In our study, only the coniferous plot in Schorfheide-Chorin has *Pinus sylvestris* as main tree species. In fact, the plot showed slight (mean values) to strong (maximum values) water repellency in the top 20 cm. Nevertheless, the WDPT values of the deciduous plot in this area indicated stronger water repellency (in mean and maximum values).

All of our experimental plots showed clear response to the drought treatment, irrespective of their soil type and vegetation cover. Especially the fast bypassing of the topsoil layer and the developing of unstained and hence not wetted areas may bear consequences in the upcoming climate change. Sorption and degradation of contaminants is strongest in the topsoil and decrease with soil depth (Hendrickx and Flury 2001). Thus, bypassing of the topsoil soil matrix foster early arrival times and high concentrations of contaminants in the groundwater, which was shown by several tracer field studies (e.g. Hendrickx and Flury 2001, Ritsema et al. 1997, Hardie et al. 2011). Once formed, dry zones persist further wetting and additional water infiltrates through already existing preferential pathways, further stabilizing established flow paths (Dekker and Ritsema 2000; Hagedorn and Bundt 2002). Under present climate conditions, soil water repellency is already a widespread phenomenon (Buczko et al. 2006). For the predicted climate conditions, where droughts will be more common, an even higher level of hydrophobicity is to be expected, according to the findings of our rainfall reduction experiments.

5 Conclusions

Two years of rainfall reduction equivalent to an annual drought with a 40 year return interval was sufficient to change the soil properties and hence the infiltration pathways of six forest soils independent of soil type and tree species. All drought treated soils, except one, developed slight to severe water repellency. Main tree species had a particular effect on hydrophobicity, but is only accounting for minor differences in infiltration pattern. The “drought-history” or generally the climatic condition in the past had more effect on the observed hydrophobicity and infiltration behavior than the actual antecedent soil moisture conditions of the soils. The results of this study suggest that drought effects on infiltration processes need to be considered in hydrological models to obtain realistic predictions regarding water quality and quantity in runoff and groundwater recharge.

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Table 1: Classification of water repellency by WDPT time, after Bisdom et al. (1993)

WDPT in s	Classification	Class
< 5	wettable	1
5 – 60	slightly water repellent	2
60 – 600	strongly water repellent	3
600 – 3600	severely water repellent	4
> 3600	extremely water repellent	5

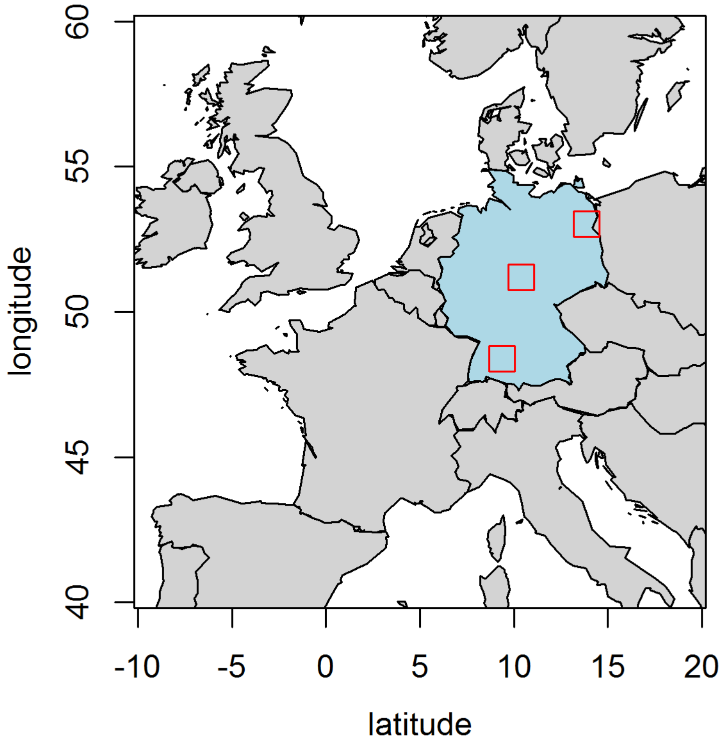


Figure 1: Location of the study sites (red squares) within Germany (light blue); South-West: Schwäbische Alb; center: Hainich-Dün; North-East: Schorfheide-Chorin.

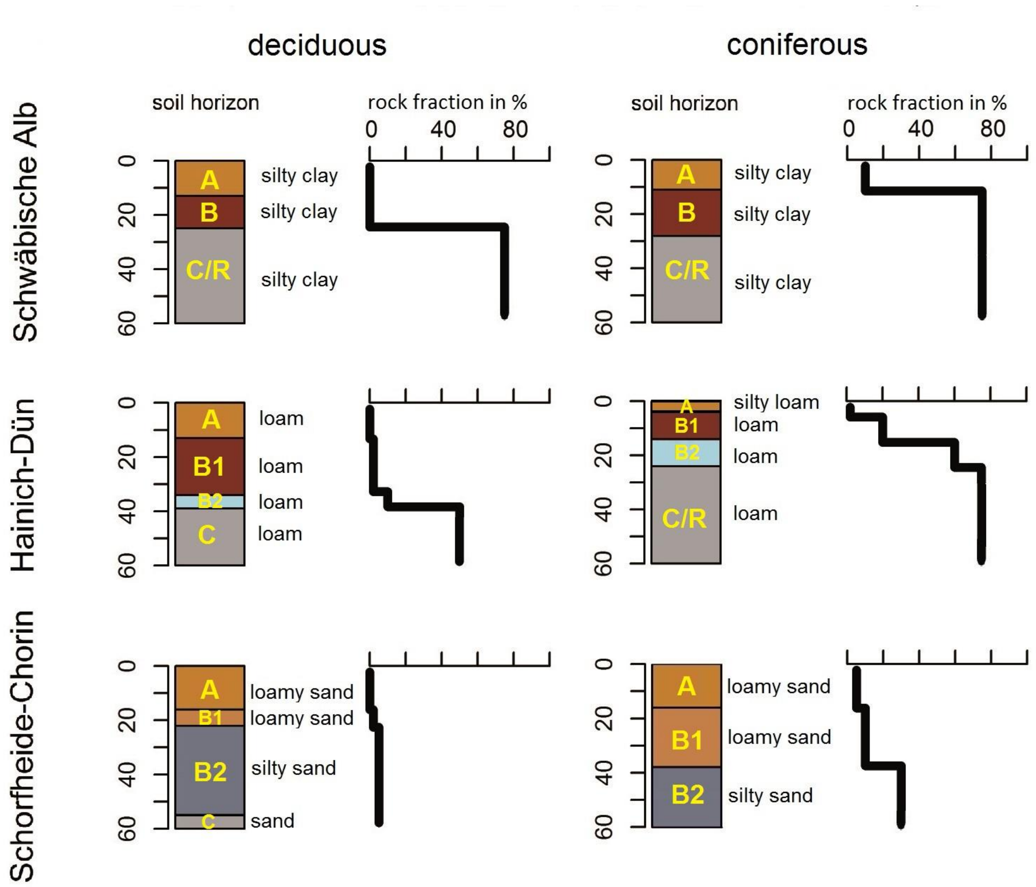
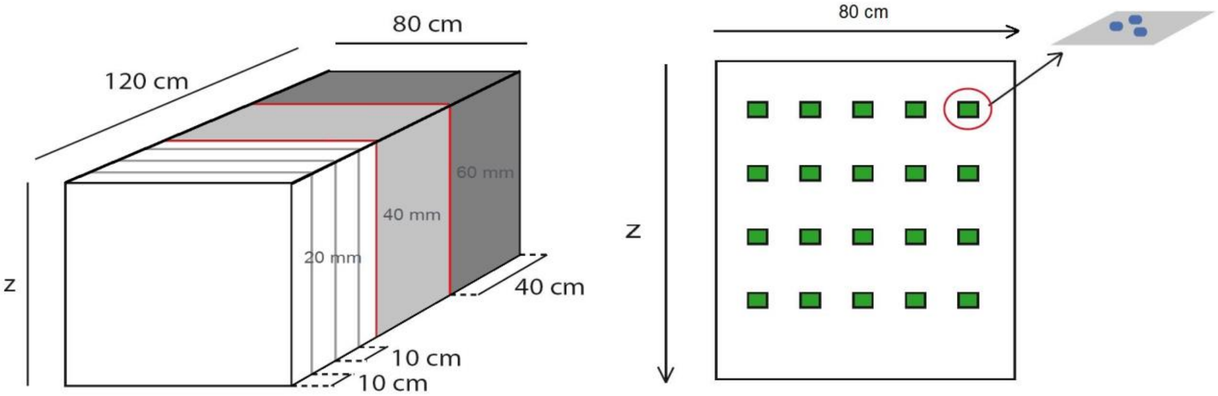
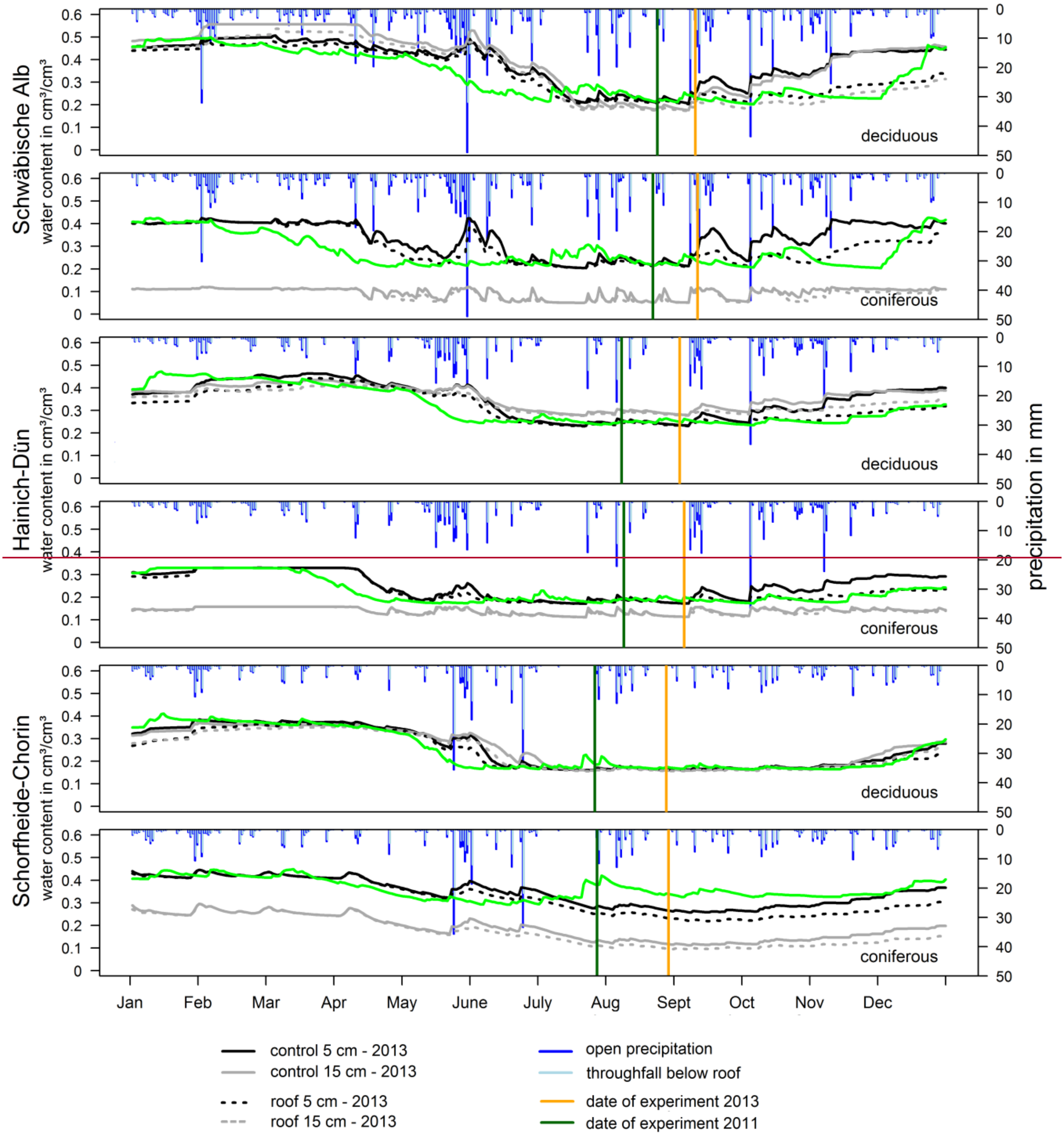


Figure 2: Soil horizons, texture, and rock fractions of the six experimental plots. Soil type classification according to the World reference base for soil (FAO 2006).



a) b)

Figure 3: Scheme for profile excavation (a) and WDPT experiment (b). The 20 mm, 40 mm, and 60 mm in (a) denote the applied sprinkling volumes. For the WDPT experiment (b), five sampling locations (boxes) were used traversing the profile. On every sampling location, the tests were repeated three times.


Schwäbische Alb
water content in cm³/cm³
Hainich-Dün
water content in cm³/cm³
Schorfheide-Chorin
water content in cm³/cm³
precipitation in mm
0.6
0.5
0.4
0.3
0.2
0.1
0
0
10
20
30
40
50
deciduous
coniferous
deciduous
coniferous
deciduous
coniferous
Jan
Feb
Mar
Apr
May
June
July
Aug
Sept
Oct
Nov
Dec
control 5 cm - 2013
control 15 cm - 2013
roof 5 cm - 2013
roof 15 cm - 2013
open precipitation
throughfall below roof
date of experiment 2013
date of experiment 2011

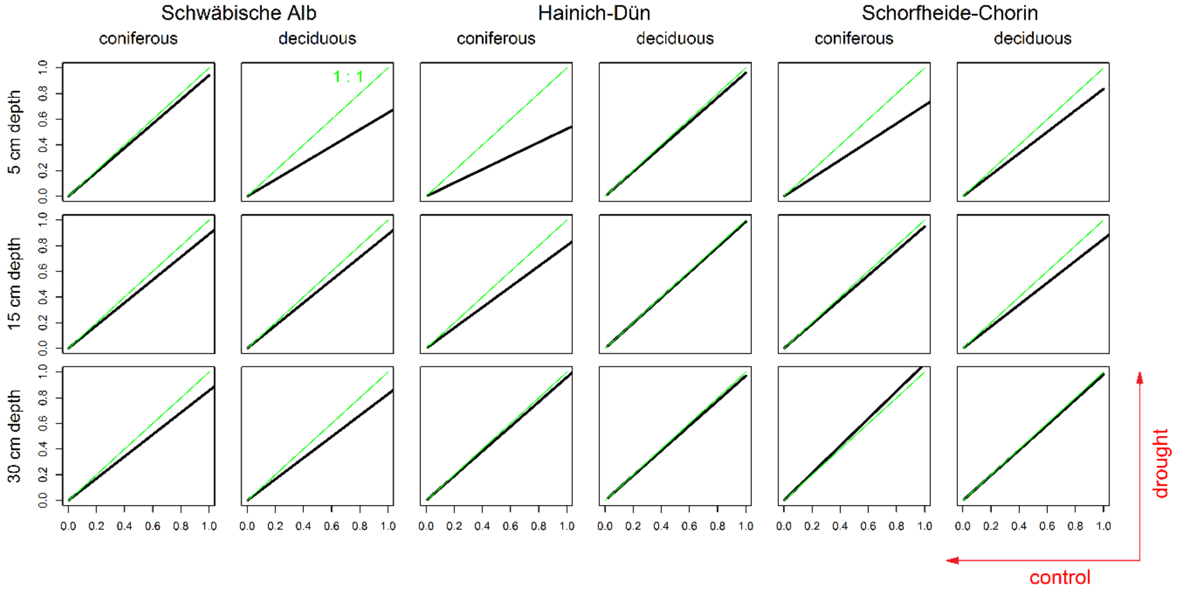
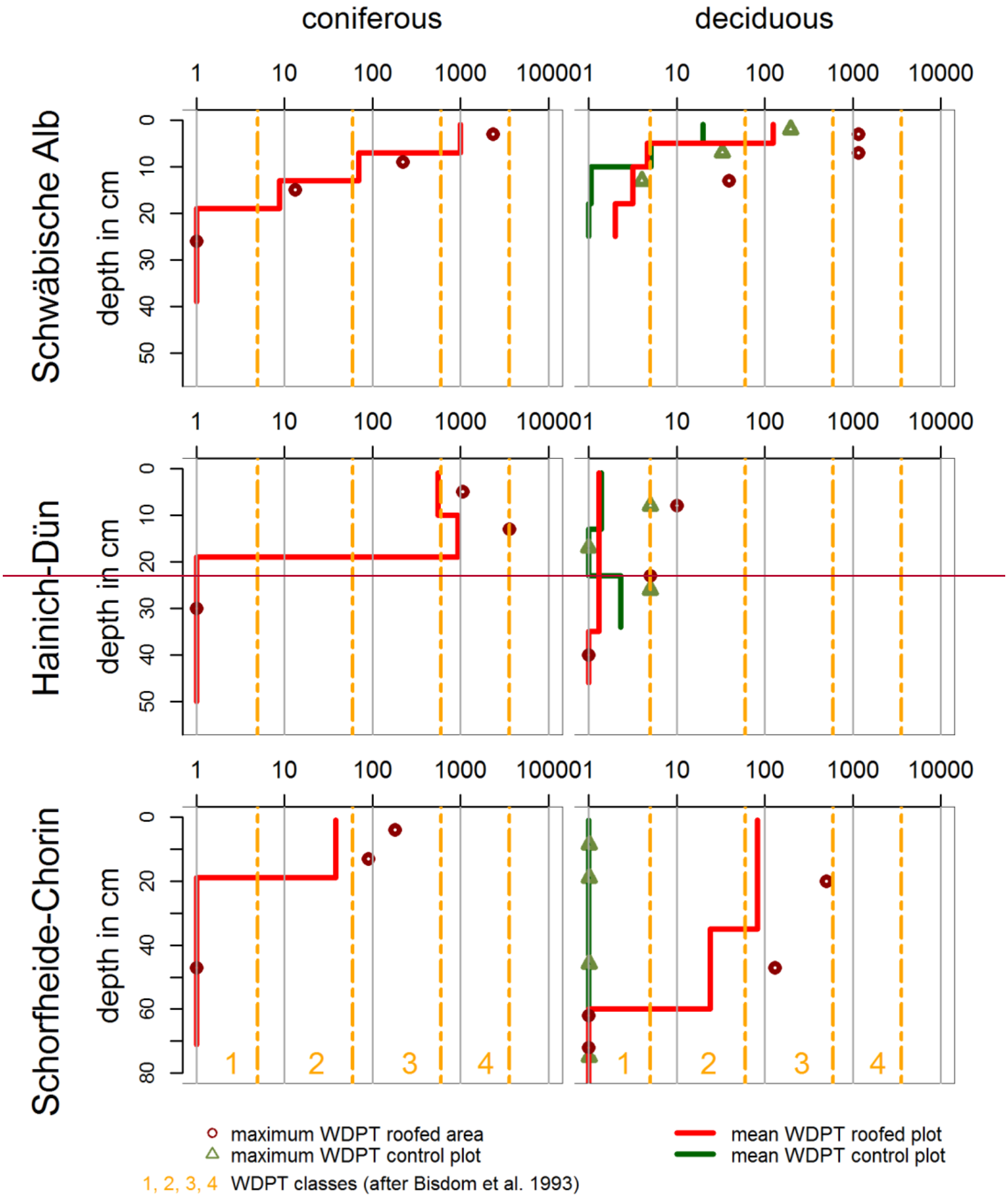


Figure 4: ~~Results~~Normalized cumulated sums of soil moisture of the ~~LWF-BROOK90 model runs for~~drought versus the ~~six plots and comparison between~~control subplots of the ~~open precipitation and incoming precipitation under the roofs~~investigated soils.


coniferous
deciduous
Schwäbische Alb
Hainich-Dün
Schorfheide-Chorin
depth in cm
1
10
100
1000
10000
0
10
20
30
40
50
60
80
1
2
3
4
maximum WDPT roofed area
maximum WDPT control plot
1, 2, 3, 4 WDPT classes (after Bisdom et al. 1993)
mean WDPT roofed plot
mean WDPT control plot

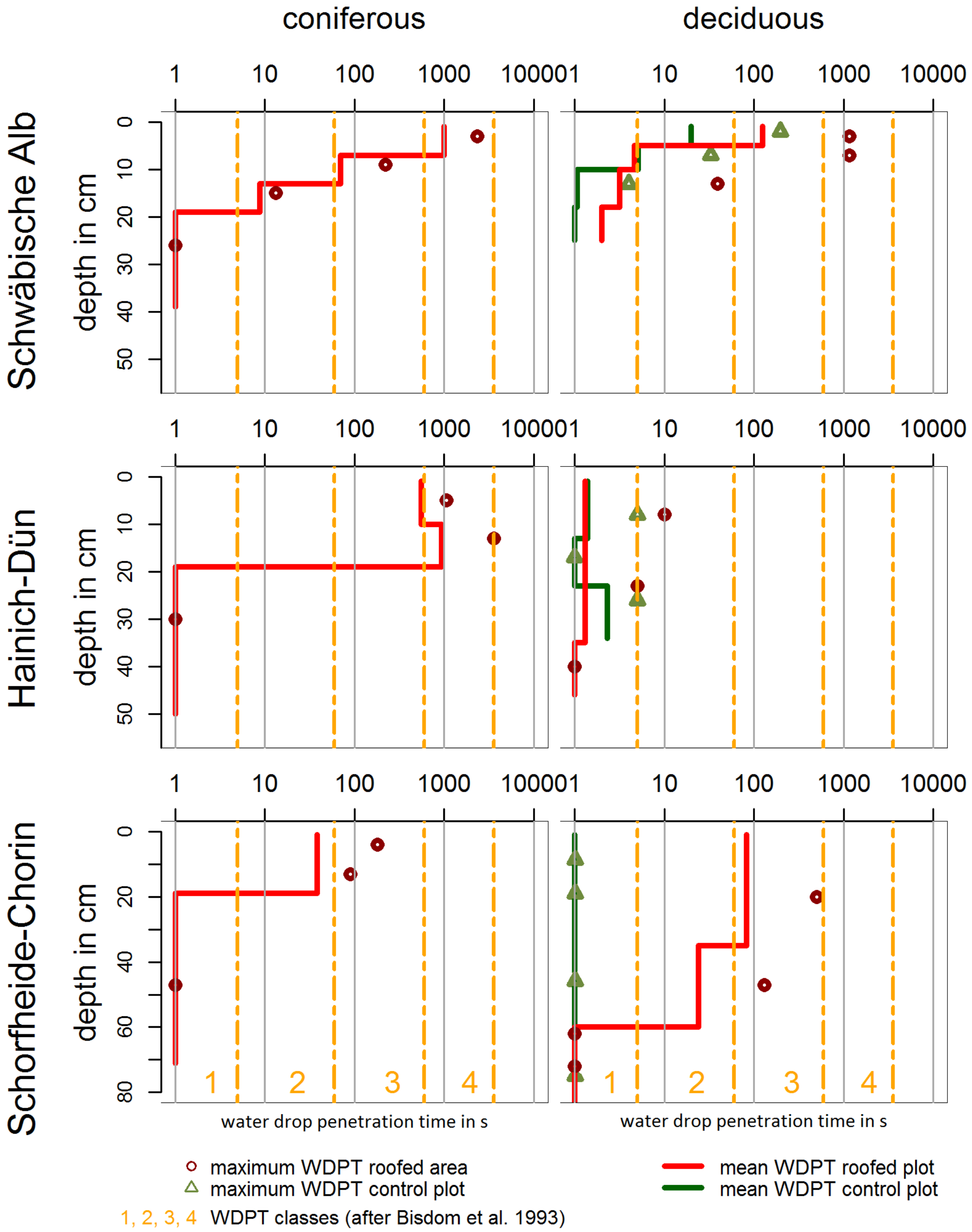
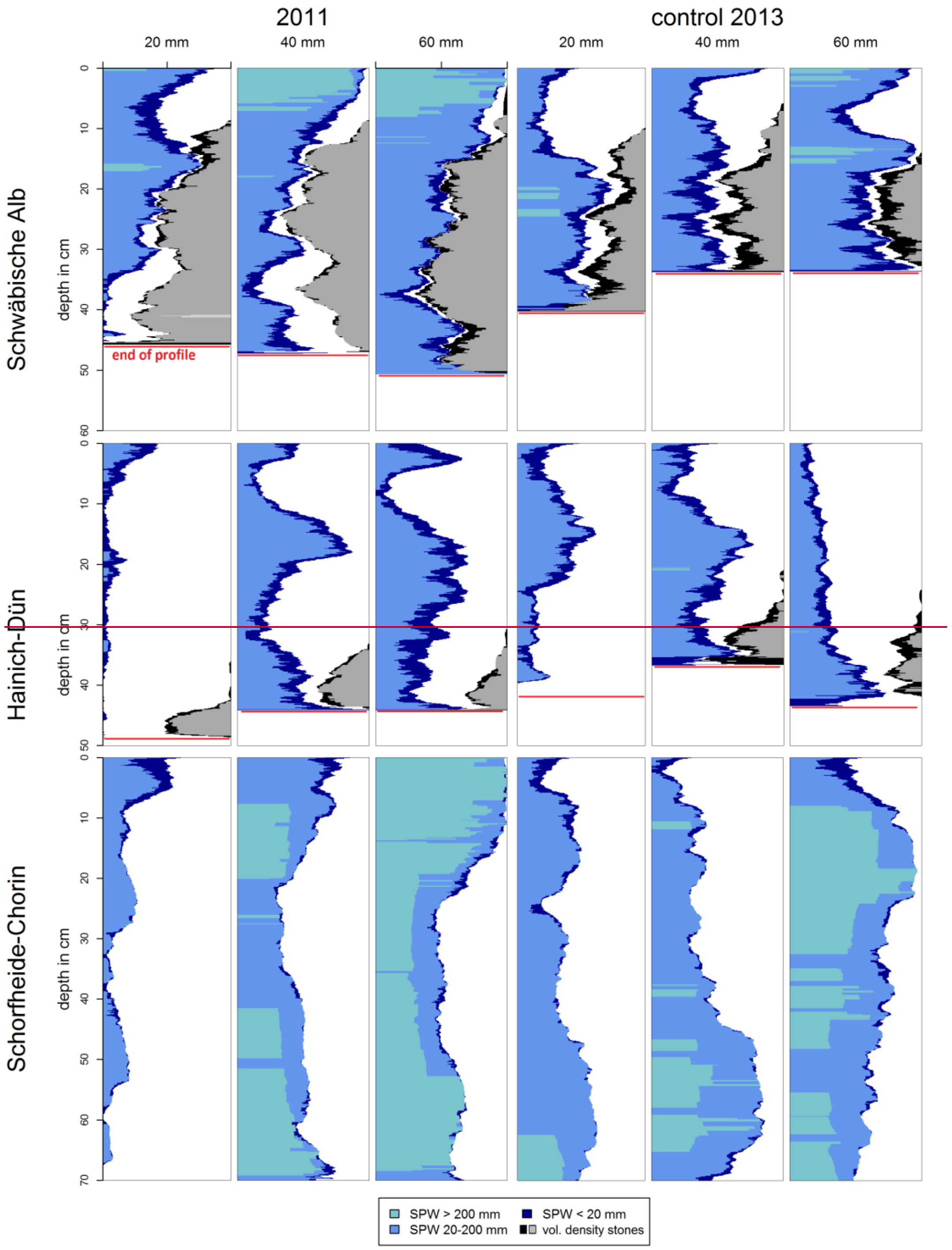


Figure 5: Mean and maximum water drop penetration times (WDPTs) of the control (green) and drought (red) plots. Orange lines and numbers refer to the WDPT classes after Bisdom et al. (1993) (see Table 2).


2011
control 2013
20 mm
40 mm
60 mm
20 mm
40 mm
60 mm
Schwäbische Alb
Hainich-Dün
Schorfheide-Chorin
depth in cm
0
10
20
30
40
50
60
70
end of profile
SPW > 200 mm
SPW 20-200 mm
SPW < 20 mm
vol. density stones

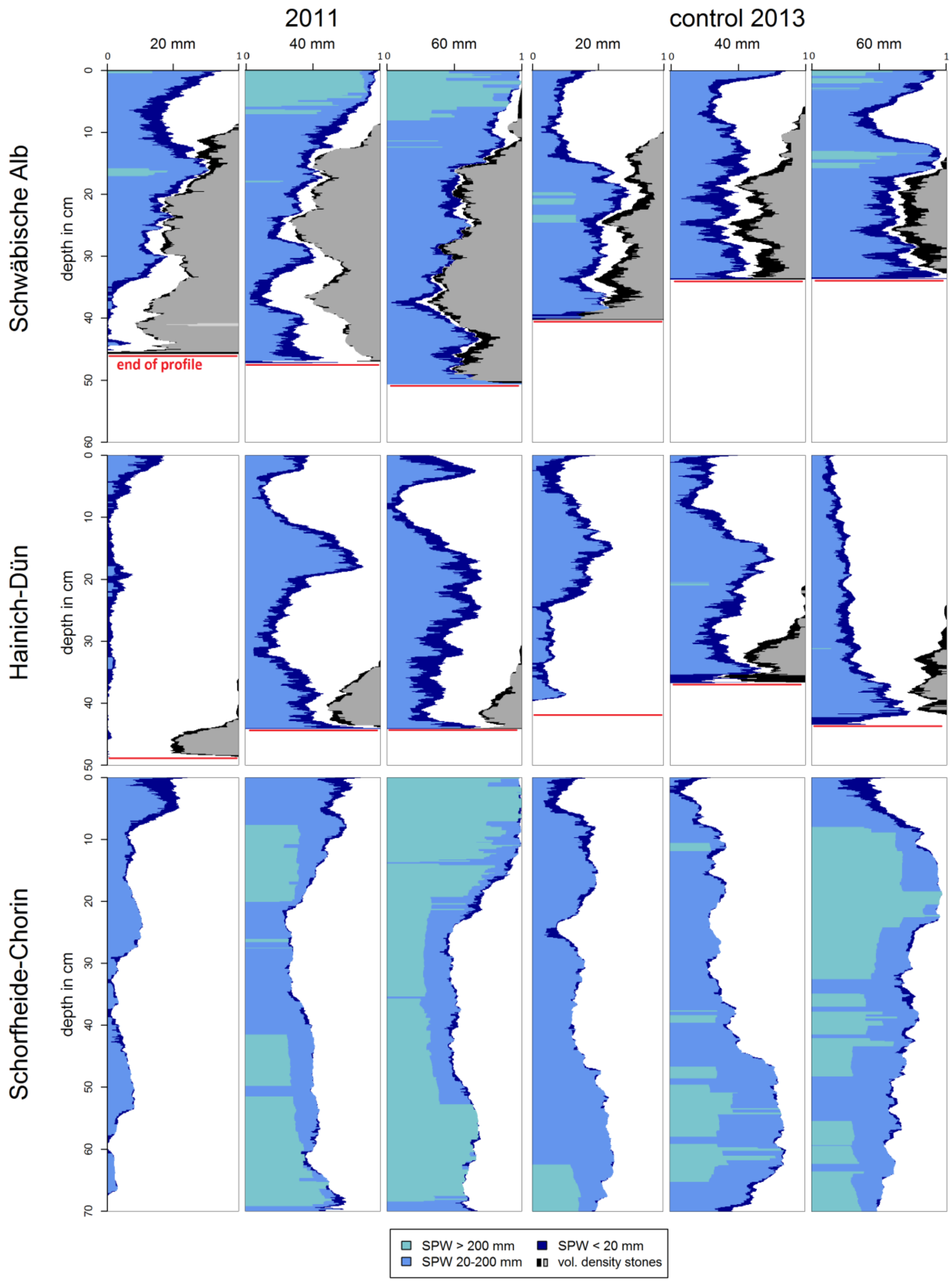
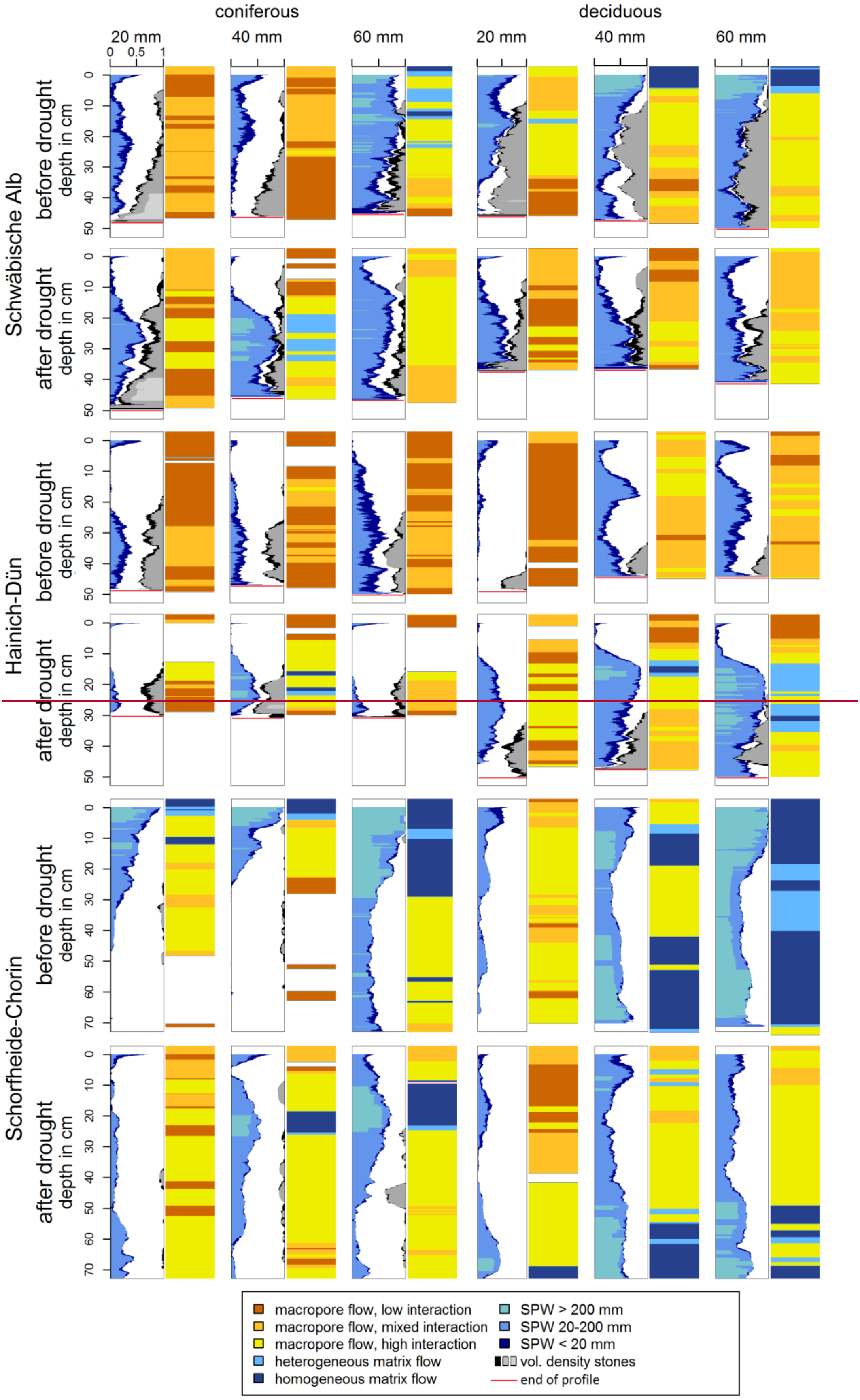

2011
control 2013
20 mm
40 mm
60 mm
Schwäbische Alb
Hainich-Dün
Schorfheide-Chorin
depth in cm
end of profile
SPW > 200 mm
SPW 20-200 mm
SPW < 20 mm
vol. density stones

Figure 6: Comparison between stained path width (SPW) of pre-drought (2011) and control (2013) plot. Blue shades indicate the SPW classes. The sum of SPW is the volume density (~~vd~~VD) per depth. Grey and black indicate the ~~vd~~VD of stones.


coniferous
deciduous
20 mm
40 mm
60 mm
20 mm
40 mm
60 mm
0
0.5
1
Schwäbische Alb
Hainich-Dün
Schorfheide-Chorin
before drought
after drought
depth in cm
0
10
20
30
40
50
60
70
macropore flow, low interaction
macropore flow, mixed interaction
macropore flow, high interaction
heterogeneous matrix flow
homogeneous matrix flow
SPW > 200 mm
SPW 20-200 mm
SPW < 20 mm
vol. density stones
end of profile

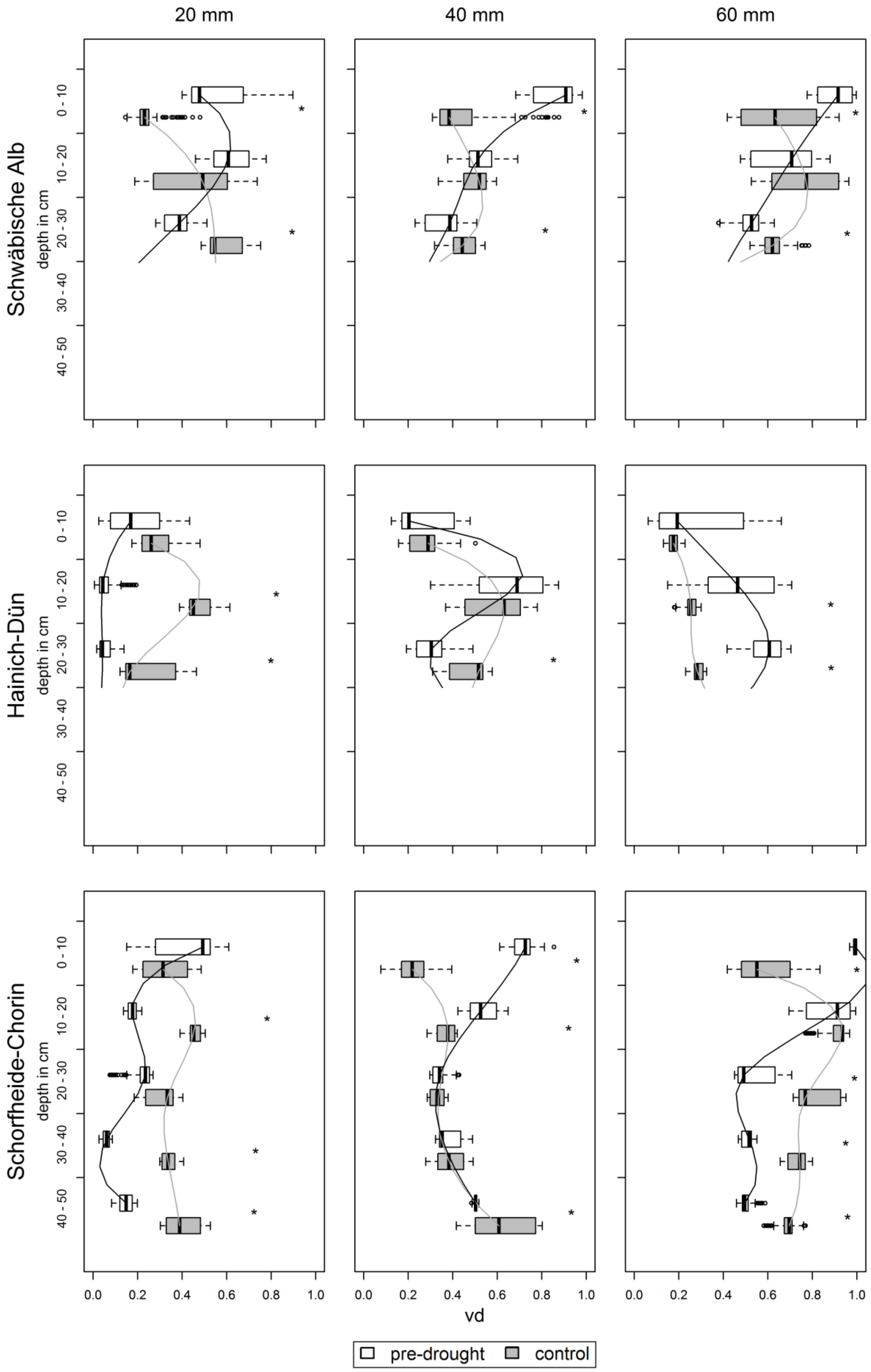
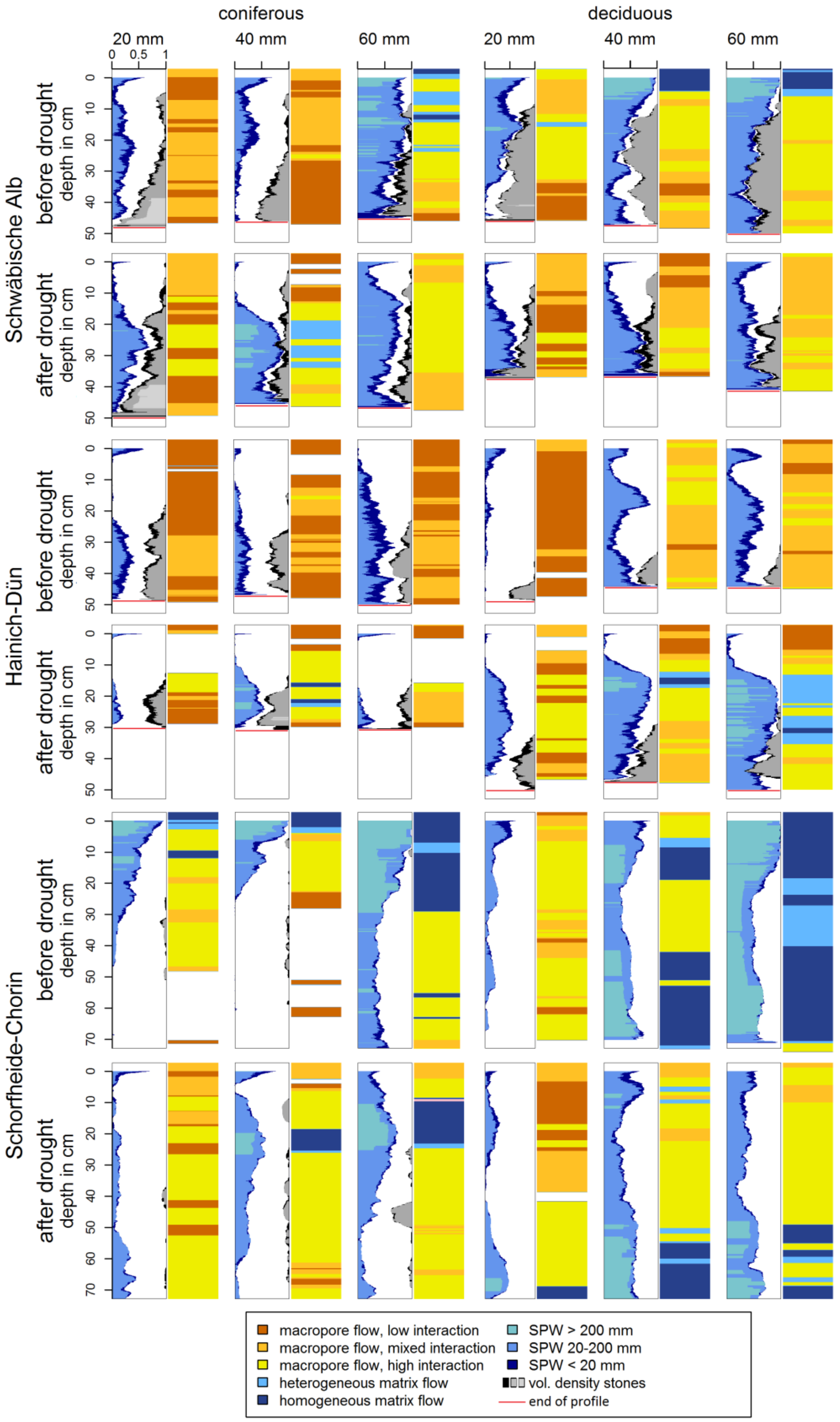

20 mm
40 mm
60 mm
Schwäbische Alb
Hainich-Dün
Schorfheide-Chorin
depth in cm
0 - 10
10 - 20
20 - 30
30 - 40
40 - 50
*
0.0
0.2
0.4
0.6
0.8
1.0
vd
pre-drought
control

Figure 7: VD boxplots of the drought and the pre-drought pattern. Depth ranges are omitted, where one of the profile is shorter than the other. Statistically significant (p-value ≤ 0.01) differences between the treatments are marked with an asterisk.



coniferous
deciduous
20 mm
40 mm
60 mm
0
0.5
1
Schwäbische Alb
Hainich-Dün
Schorfheide-Chorin
before drought
after drought
depth in cm
0
10
20
30
40
50
60
70
macropore flow, low interaction
macropore flow, mixed interaction
macropore flow, high interaction
heterogeneous matrix flow
homogeneous matrix flow
SPW > 200 mm
SPW 20-200 mm
SPW < 20 mm
vol. density stones
end of profile

Figure 8: Comparison between before drought (2011) and after drought (2013) stained path widths (SPW) and flow processes for coniferous and deciduous stand plots. The sum of SPW is the volume density (~~vd~~VD) per depth. Grey and black indicate the ~~vd~~VD of stones.

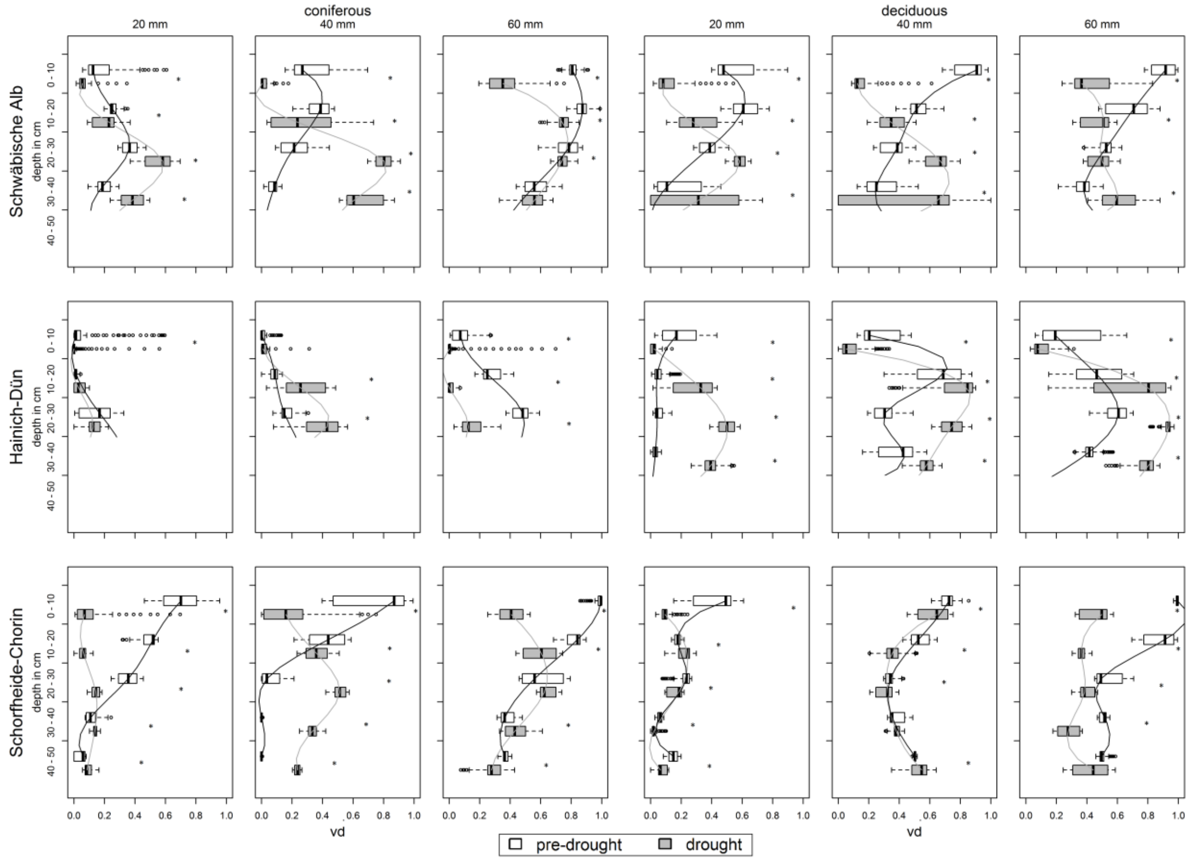


Figure 9: VD boxplots of the drought and the pre-drought pattern. Depth ranges are omitted, where one of the profile is shorter than the other. Statistically significant (p-value ≤ 0.01) differences between the treatments are marked with an asterisk.