1 Estimating evaporation with thermal UAV data and two

2 source energy balance models.

H. Hoffmann¹, H. Nieto², R. Jensen¹, R. Guzinski^{1,*}, P. Zarco-Tejada² and T.
 Friborg¹

5 [1]{Department of Geosciences and Natural Resource Management, University of
6 Copenhagen, Øster Voldgade 10, 1350 Copenhagen, Denmark}

- 7 [2] {Instituto de Agricultura Sostenible (IAS), Consejo Superior de Investigaciones Científicas
- 8 (CSIC), Campus Alameda del Obispo, Av. Menéndez Pidal s/n, 14004 Córdoba, Spain}

9 [*]{now at: DHI GRAS, Agern Allé 5, 2970 Hørsholm, Denmark}

10 Correspondence to: H. Hoffmann (helene.hoffmann@ign.ku.dk)

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12 Abstract

Estimating evaporation is important when managing water resources and cultivating crops. 13 14 Evaporation can be estimated using land surface heat flux models and remotely sensed land surface temperatures (LST), which have recently become obtainable in very high resolution 15 16 using light weight thermal cameras and Unmanned Aerial Vehicles (UAVs). In this study a 17 thermal camera is mounted on a UAV and applied into the field of heat fluxes and hydrology 18 by concatenating thermal images into mosaics of LST and using these as input for the two 19 source energy balance modelling scheme (TSEB). Thermal images are obtained with a fixed-20 wing UAV overflying a barley field in western Denmark during the growing season of 2014 21 and a spatial resolution of 0.20 m is obtained in final LST-mosaics. Two models are used: the 22 original TSEB model (TSEB-PT) and a dual-temperature-difference model (DTD). In contrast 23 to the TSEB-PT model, the DTD model account for the bias that is likely present in remotely 24 sensed LST. TSEB-PT and DTD have been well tested, however only during sunny weather 25 conditions and with satellite images serving as thermal input. The aim is to assess whether a lightweight thermal camera mounted on a UAV is able to provide data of sufficient quality to 26 constitute as model input and thus attain accurate and high spatial and temporal resolution 27

surface energy heat fluxes, with special focus on latent heat flux (evaporation). Furthermore, 1 2 this study evaluates the performance of the two source energy balance scheme during cloudy and overcast weather conditions, which is feasible due to the low data retrieval altitude (due 3 to low UAV flying altitude) compared to satellite thermal data that are only available during 4 5 clear sky conditions. TSEB-PT and DTD fluxes are compared and validated against eddy covariance measurements and the comparison show that both TSEB-PT and DTD simulations 6 7 are in good agreement with eddy covariance measurements with DTD obtaining the best 8 results. The DTD model provides results comparable to studies estimating evaporation with 9 similar experimental setups, but with LST retrieved from satellites instead of a UAV. Further, 10 systematic irrigation patterns on the barley field provide confidence to the veracity of the 11 spatially distributed evaporation revealed by model output maps. Lastly, this study outlines 12 and discusses the thermal UAV image processing that result in mosaics suited for model 13 input. This study shows that the UAV platform and the lightweight thermal camera provide 14 high spatial and temporal resolution data valid for model input and for other potential 15 applications requiring high resolution and consistent LST.

16

17 **1** Introduction

Evaporation (latent heat flux) serves as a key component in both hydrological and landsurface energy processes. However, it is often estimated indirectly because spatially distributed, physical measurements of evaporated water are cumbersome. Provided information on net solar radiation (R_n), sensible- (H) and soil heat flux (G), the latent heat flux (LE) can be estimated as a residual using the assumption of surface energy balance in cases with no significant heat advection:

$$24 \qquad R_n = H + LE + G$$

25 All terms in the above equation are related to the land surface temperature (LST). Since the 26 1980s estimates of evaporation have been obtained through remotely sensed LST and 27 advanced land surface heat flux models accounting for vegetation, soil and atmospheric 28 conditions (Anderson et al., 1997; Kalma et al., 2008) and a large number of heat flux models 29 exist with significant variations in physical system conceptualisation and input requirements 30 (Boulet et al., 2012; Kustas and Norman, 1996; Stisen et al., 2008). Norman et al. (1995) 31 applied the two source energy balance model (TSEB) (Shuttleworth and Wallace, 1985) to 32 remotely sensed data and this modelling scheme has proven to estimate reliable surface heat

(1)

fluxes over cropland, rangeland and forest at various spatial scales (Anderson et al., 2004; 1 2 Norman et al., 2003). The TSEB modelling scheme generates robust estimates of surface heat fluxes despite a simple solution scheme demanding relatively few input data. It was 3 developed to be operational using thermal satellite images (Anderson et al., 2011) which 4 5 serves as a key boundary condition in simulations. The TSEB modelling scheme partitions the remotely sensed LST into two layers; a soil temperature and a canopy temperature, using a 6 7 Priestley-Taylor approximation (Priestley and Taylor, 1972). This enables a partition of heat 8 flux estimations into its components from soil and canopy respectively. This approach is 9 hereafter referred to as TSEB-PT in order to differentiate it from other TSEB approaches, 10 such as TSEB-LUE (Houborg et al., 2012), based on the Light Use Efficiency concept, or 11 TSEB-2ART, which utilizes dual angle LST observations for direct retrieval of soil and 12 canopy temperatures (Guzinski et al., 2015).

13 Remotely sensed LST may deviate from the actual surface temperature by several degrees 14 Kelvin due to atmospheric and surface emissivity effects. Consequently thermal-based models 15 utilizing remotely sensed LST that do not address this issue are prone to producing less accurate results. Trying to overcome this issue, Norman et al. (2000) developed the Dual-16 17 Temperature-Difference model (DTD) by incorporating two temperature observations into the TSEB modelling scheme; one conducted an hour after sunrise and another conducted later the 18 19 same day when flux estimations are desired. One hour after sunrise, the surface heat fluxes are 20 neglectable and observations acquired at this time represent a 'starting point' for the 21 temperatures collected later the same day. For agricultural and some hydrological purposes, 22 there is a shortcoming in spatial and temporal resolution of satellite observations (Guzinski et 23 al., 2014). This is especially true in areas where overcast weather conditions often occur, such 24 as in northern Europe where the present study is conducted, as satellite thermal infrared and 25 visible observations cannot penetrate clouds (Guzinski et al., 2013). Unmanned aerial vehicles 26 (UAVs) (or Remotely Piloted Aircraft System, RPAS, in its most recent terminology) enable 27 a critical improvement for spatial and temporal resolution of remotely sensed data. UAVs can 28 operate at any specific time of day and year provided that strong wind and rainfall are absent. 29 The relative low flying height enable both data collection during overcast conditions (Hunt Jr 30 et al., 2005) and data with higher spatial resolution than what can be obtained from satellite data. Here we hypothesis that UAV data can substitute satellite images and in combination 31 32 with the presented heat flux models, can be used to generate spatially detailed heat flux maps that provide insight to different evaporation rates and plant stress at decimeter scale. There is 33

rapidly growing interest in the potential of data collection with UAVs, particularly in the 1 2 science of precision agriculture but also in a range of different scientific and commercial communities (Díaz-Varela et al., 2015; Gonzalez-Dugo et al., 2014; Swain et al., 2010; 3 Zarco-Tejada et al., 2013, 2014). As scientists strive to understand the potential of UAVs and 4 5 the new applications to which they are suited, the development of efficient workflows, operational systems and improved software that capture and process UAV data are continuing 6 7 (Harwin and Lucieer, 2012; Lucieer et al., 2014; Turner et al., 2012; Wallace et al., 2012). 8 However, research in possibilities and limitations of UAV platforms is still at an early stage 9 and the present paper introduces the usage of UAV platforms into the fields of heat fluxes and hydrology. 10

In this study, surface energy balance components are estimated using LST retrieved with a 11 12 UAV and used as input for the physically-based, two source energy balance models: TSEB-13 PT and DTD. The aim is to assess whether a lightweight thermal camera installed on board a 14 UAV is able to provide data of sufficient quality to attain high spatial and temporal resolution 15 surface energy heat fluxes. Besides facilitating high resolution LST, the UAV platform enable the application of TSEB-PT and DTD models in cloudy and overcast weather conditions. 16 17 Model outputs are quantitatively validated with data from an eddy covariance system located at the same barley field over which the UAV flights were conducted and known irrigation 18 19 patterns provide confidence to the spatially distributed evaporation variations revealed within 20 the barley field. Additionally, this study outline thermal UAV image processing which result 21 in mosaics suited for model input.

22

23 2 Materials and methods

24 2.1 Site

The TSEB models are applied in the HOBE (Hydrological OBsErvatory) agricultural site within the Skjern River catchment, western Denmark, see Fig. 1. The 400 x 400 m site is located in the maritime climate zone where mild winters and cold summers result in a mean annual temperature of 8.2°C and a mean annual precipitation of 990 mm. The prevailing winds are westerly and windy conditions are common; with 30% of wind in 2014 coming from westerly direction and an average wind speed of 3.8 ms⁻¹. Cloudy and overcast weather conditions are frequent with 1727 hours of sunshine in 2014, which is 16% above normal

(Cappelen, 2015). The site is cultivated with barley during UAV campaign and a plow layer 1 2 of homogeneous sandy loam soil constitutes the upper layer of the soil profile. Course sand is found from 0.25 m and downwards. Soil porosity of the upper 1 m range between 0.35 and 3 4 0.40 and the available soil water [pF 2.0–4.2, suction pF = log_{10} (suction in centimeters of 5 water)] is 19% (v_{water}/v_{soil}) in the upper 0.20 m of the plow layer and only 6% (v_{water}/v_{soil}) in the remaining part of the root zone, necessitating frequent irrigation to maintain crop growth 6 7 during growing seasons (Ringgaard et al., 2011). The overall area is somewhat heterogeneous 8 consisting of three barley fields separated by a gravel road to the south and a row of conifers 9 to the west. Conifers are bordering the barley fields at several places. A meteorological tower 10 with an eddy covariance system consisting of a Gill R3-50 sonic anemometer and a LI-7500 11 open path infrared gas analyser, is located in the middle of the site (black square in Fig. 1). 12 Meteorological data used as input to the models and as heat flux-validation are measured at 13 this tower.

14 2.2 UAV campaign

UAV data was collected on seven days distributed evenly during spring and summer 2014 (Table 1). In total 19 flights were conducted, of which 7 were flown early in the morning, constituting the additional input data for the DTD model. The entire airborne campaign thus resulted in 12 sets of input data for the TSEB-PT and DTD model. Dates with (c) in Table 1 mark days where the UAV flights were conducted in cloudy or overcast conditions.

20 A fixed-wing UAV (Q300, QuestUAV, UK) with a wingspan of 2.2 m was used as platform 21 for the airborne operations. It was able to carry a payload of 2 kg for approximately 25 min in the air. With a speed of 60 km h^{-1} and flying height of 90 m above ground, the 400×400 m site 22 23 area was covered in a single flight. The UAV was controlled by the SkyCircuits Ltd SC2 autopilot in a dual redundant system with separate laptop and transmitter control. 24 25 Communication between autopilot and ground was performed by a radio link that transmits position and attitude. Landing was conducted manually using the transmitter. SkyCircuits 26 27 Ground Control Station software was used for generating the flight route and for visual 28 inspection of the UAV, while it was is in the air.

1 2.3 Thermal data and image processing

2 An Optris PI 450 LightWeight infrared camera of 380 g was mounted on the UAV. The 3 camera detects infrared energy in the 7.5-13 µm thermal spectrum and surface temperatures 4 were computed automatically using a fixed emissivity of unity. Thermal images were stored 5 at 16 bit radiometric resolution. According to manufacturer specifications, the system has an 6 accuracy of +/- 2°C or +/- 2% at an ambient temperature of 23+/-5 °C. The thermal detector within the camera collects an image array of 382×288 pixels with a nadir viewing footprint of 7 8 50×40 m per image at 90 m flying height, resulting in an effective ground resolution of 9 approx. 0.13 m per pixel.

10 Time synchronization between camera and autopilot was necessary in order to link the logged 11 GPS and rotation position with each image. This was performed before launching the UAV 12 with a USB GPS connected to the camera thus synchronizing the timestamp on each image 13 with the GPS clock. Timestamps were recorded in UTC time and accurate to within 1 second. 14 Re-calculation of camera position was therefore necessary and performed using a self-15 calibrating bundle adjustment in Agisoft PhotoScan software (Professional Edition version 16 1.0.4). No ground control points were used, nor needed, during camera alignment and bundle 17 adjustment. Images were converted into unsigned 16 bit data to enable processing in 18 Photoscan.

19 Between 700 and 1000 images were collected for each flight with camera recording in 20 continuous mode, triggering an image every second. Generally half of the images were 21 suitable for further processing. Non-suitable images occur due to strong gusts of wind 22 affecting flight velocity which causes poor quality recording and blurry images. Images 23 collected during take-off and landing were likewise discarded before post-processing. In 24 addition to re-calculating the camera positions, the self-calibrating bundle adjustment 25 computed three dimensional point clouds from which thermal ortho-mosaics were built using a mean value composition. The view zenith angle of ortho-mosaics was set to 0° for all pixels, 26 27 hence the largest possible amount of soil was assumed visible.

The thermal mosaics served as key boundary conditions to TSEB-PT and DTD. Resulting resolution on thermal mosaics from midday flights was 0.20 m. However, the software was not able to mosaic the early morning data, presumably because temperatures were too homogeneous to enable the detection of common features between images needed for the bundle adjustment. Consequently, LST from early morning flights were extracted manually and only the average LST for the barley fields was used as the additional data input for DTD
 model runs. This average was a satisfying representation of sunrise LST because of its
 homogenous nature.

4 **2.4 Heat flux models**

5 The original TSEB model developed by Norman et al. (1995) is a two-layer model of turbulent heat exchange. Observations of remotely sensed LST are split into two layers: a 6 canopy $(T_{\rm C})$ and a soil $(T_{\rm S})$ temperature. This is performed with the Priestley-Taylor 7 8 approximation that partitions the divergence of net radiation in the canopy into sensible and 9 latent heat fluxes. The initial estimate of canopy sensible heat flux is used to split LST into 10 canopy and soil temperatures, enabling separation of sensible and latent heat flux between 11 canopy and soil. Further it enables a simpler parameterisation of resistances compared to 12 single layer models (Monteith, 1965) as no empirical excess resistance adjustment is needed 13 for the calculation of the bulk sensible heat flux (Norman et al. 1995). The excess resistance 14 term is used in single-layer models in order to correct for a substitution of directional 15 radiometric temperature for aerodynamic temperature when calculating sensible heat fluxes (Eq. 5, 8 and 9 in Norman et al. (1995)). The TSEB modelling scheme uses directional 16 17 radiometric temperature (collected with the thermal camera and UAV) and therefore no 18 substitution of temperatures or correction via the excess resistance is needed. Section 2.2.1 19 and 2.2.2 contain equations of relevance for the present study and highlight the difference between TSEB-PT and DTD computations. 20

21 2.4.1 TSEB-PT

Net radiation (R_n) and the three resistances in this soil-canopy-atmosphere heat flux network: the aerodynamic resistance to heat transfer (R_A) , the resistance to heat transport from soil surface (R_S) and the total boundary layer resistance of leaf canopy (R_X) (all in s m⁻¹) remain constant during the individual model runs. For calculations of R_A and R_S , see Norman et al. (2000) Eq. 10 and 11, for calculations on R_X see Norman et al. (1995) Eq. A8. R_n is calculated as a sum of short- and long wave radiation:

28
$$R_n = (R_{s,in} - R_{s,out}) + (R_{l,in} - R_{l,out})$$
 (2)

29
$$R_{s,in} - R_{s,out} = R_{s,in}(1 - \alpha)$$
 (3)

30
$$R_{l,in} - R_{l,out} = \epsilon_{surf} \epsilon_{atm} \sigma T_A^4 - \epsilon_{surf} \sigma T(\theta)_R^4$$
 (4)

1 where R_s , R_l is short- and long wave radiation respectively and in and out refers to the direction 2 of the radiation, α is the combined vegetation and soil albedo which was estimated from incoming and outgoing short wave radiation from a four-component radiation sensor (NR01, 3 Hukseflux Thermal Sensor). Albedo for bare soil was measured before the first barley shouts 4 5 appeared on the surface and was kept fixed (although some changes are expected with soil humidity) whereas albedo for vegetation was retrieved for each flying day and hence varied 6 7 between individual model runs. Combined vegetation and soil albedo for each flying day is 8 shown in Table 2. σ is Stefan-Boltzman constant, T_A is air temperature (K) attained from the 9 meteorological tower (section 2.1), $T(\theta)_R$ is radiometric land surface temperature (K) which in 10 the present study is collected with a UAV. ϵ_{surf} is combined vegetation and soil emissivity 11 obtained under similar conditions from Guzinski et al. (2014) and ϵ_{atm} is atmosphere 12 emissivity computed as in Brutsaert (1975):

13
$$\epsilon_{\text{atm}} = 1.24 \left(\frac{ea}{T_A}\right)^{0.14286}$$
 (5)

14 where e_a is water vapor pressure (mb) attained from meteorological tower.

Assuming neutral atmospheric stability and the Monin-Obukhov length tending to infinity, theiterative part of the model is then initiated.

During first iteration the net radiation divergence, partitioning R_n into radiation reaching the soil ($R_{n,S}$) and the canopy ($R_{n,C}$) respectively, is computed as in (Norman et al., 2000):

19
$$\Delta R_n = R_n \left[1 - \exp(\frac{-\kappa F \Omega_0}{\sqrt{2\cos(\theta_s)}}) \right]$$
(6)

20 Where Ω_0 is the nadir view clumping factor that depends on the ratio of vegetation height to 21 plant crown width which is set to 1.0, θ_s is the sun zenith angle calculated by model from 22 time of the day, κ is an extinction coefficient varying smoothly from 0.45 for LAI more than 2 23 to 0.8 for LAI less than 2, and F is the total Leaf Area Index (LAI). Measurements of LAI 24 were obtained with a canopy analyzer LAI2000 instrument three times during the UAV 25 campaign: 21 May 2014, 3 June 2014 and 18 June 2014 and an average from six locations in 26 the northern and southern barley fields were computed for each day. LAI values for each model run were extrapolated from these measurements taking canopy height and fraction of 27 28 green vegetation into account.

Sensible heat flux of the canopy can thus be estimated using the Priestley-Taylorapproximation:

1
$$H_{C} = \Delta R_{n} \left(1 - \alpha_{PT} f_{g} \frac{sp}{sp + \gamma} \right)$$
(7)

Where α_{PT} is the Priestley-Taylor parameter set to an initial value of 1.26 assuming unstressed vegetation transpiration (Priestley and Taylor, 1972), f_g is fraction of vegetation that is green which was estimated *in situ* for each flying day (Table 2), sp is the slope of saturation pressure curve and γ is the psychometric constant, both obtained from Allen et al. (1998).

6 Using the sensible heat flux from canopy, canopy temperature (T_c) can be computed using 7 Eq. A7, A11, A12 and A13 from Norman et al. (1995). Calculations of soil temperature (T_s) 8 can thus be performed:

9
$$T_S = \left(\frac{T_R^4 - f_\theta T_C^4}{1 - f_\theta}\right)^{0.25}$$
(8)

10 Where f_{θ} is fraction of view of radiometer covered by vegetation calculated as $f_{\theta} = 1 - 11 \exp(\frac{-0.5\Omega_{\theta}F}{\cos(\theta)})$, where Ω_{θ} is the clumping factor at view zenith angle (θ).

12 With known resistances and temperatures, fluxes are then calculated in the following 13 sequence (all in W m^{-2}):

$$14 H_C = \rho c_p \frac{T_C - T_{AC}}{R_X} (9)$$

15 Where $H_{\rm C}$ is sensible heat flux from canopy, ρ is air density (kg m⁻³), c_p is specific heat of air 16 (J kg⁻¹ K⁻¹) and $T_{\rm AC}$ is inter-canopy air temperature (K) computed with $T_{\rm A}$, $T_{\rm S}$, $T_{\rm C}$, and 17 resistances.

18 Canopy latent heat flux:

$$19 \quad LE_{C} = \Delta R_n - H_C \tag{10}$$

20 Sensible heat flux from soil:

$$21 H_S = \rho c_p \frac{T_S - T_{AC}}{R_S} (11)$$

22 Soil heat flux is computed following Liebethal and Foken (2007):

23
$$G = 0.3R_{n,s} - 35$$
 (12)

24 Where $R_{n,S}$ is net radiation that reaches the soil surface computed as $R_{n,S} = R_n - \Delta R_n$.

25
$$LE_S = R_{n,S} - G - H_S$$
 (13)

1 Now it is possible to calculate the total sensible (*H*) and latent heat fluxe (LE) as a summation 2 of their canopy and soil components: $H = H_c + H_s$ and $LE = LE_c + LE_s$.

The Monin-Obukhov length is then re-calculated according to Brutsaert (2005) Eq. 2.46 and the iterative part of the model is re-run until the Monin-Obukhov length converges to a stable value, at which point the final flux values are attained.

6 2.4.2 DTD

1

7 The DTD model described in Norman et al. (2000) is a further development of the TSEB-PT 8 model. DTD similarly divides the observed LST into vegetation and soil temperatures and 9 computes surface energy balance components following virtually the same procedure. However, DTD accounts for the discrepancy between the fact that the TSEB modelling 10 11 scheme is sensitive to the temperature difference between land surface and air, and that 12 absolute LST retrieved from remote sensing data are regarded as inaccurate. This is accounted 13 for by adding an additional input dataset: LST retrieved one hour after sunrise when energy 14 fluxes are minimal. The modelled fluxes are hence based on a temperature difference between 15 the two observations, which is assumed to be a more robust parameter compared to a single retrieval of remotely sensed temperature as it minimizes consistent bias in the temperature 16 17 estimates. The essential equation that differs between TSEB-PT and DTD is the one computing sensible heat flux. In the series implementation of DTD the linear approximation 18 19 of Eq. (2) is taken together with Eq. (7) to (9) and applied at midday and one hour after 20 sunrise and subsequently subtracted from each other to arrive at the following:

21
$$H_{i} = \rho c_{p} \left[\frac{(T_{R,i}(\theta_{i}) - T_{R,0}(\theta_{0})) - (T_{A,i} - T_{A,0})}{(1 - f(\theta_{i}))R_{S,i} + R_{A,i}} \right] + H_{C,i} \left[\frac{(1 - f(\theta_{i}))R_{S,i} - f(\theta_{i})R_{X,i}}{(1 - f(\theta_{i}))R_{S,i} + R_{A,i}} \right]$$
(14)

where subscripts i and 0 refer to observations at midday and one hour after sunrise respectively. Since the early morning (time 0) sensible heat fluxes are negligible they are omitted in the above equation.

25 Computations of soil heat flux (*G*) also differ because the difference in radiometric 26 temperature between sunrise and midday observations can be used as an approximation of the 27 diurnal variation in soil surface temperature. Soil heat flux computations are derived from the 28 soil heat flux model of Santanello and Friedl (2003):

29
$$G = R_{n,S}A\cos(2\pi \frac{t+10800}{B})$$
 (15)

1 Where *t* is time in seconds between the observation time and solar noon, $A = 0.0074\Delta T_R + 0.088$, $B = 1729\Delta T_R + 65013$ and ΔT_R is an approximation of the diurnal variation in the 3 soil surface temperature from UAV data.

4 For an in-depth review of the TSEB-PT and DTD models including all equations, see
5 Guzinski et al., (2014) and Guzinski et al. (2015).

6 **2.5** Footprint extraction from model output maps

7 In order to compare modelled R_n , H, G and LE to measurements from the eddy covariance 8 system, a single representative value from each TSEB-PT and DTD output map has to be 9 extracted in accordance with the coverage of eddy covariance footprints. Each eddy flux 10 measurement represents an area for which the size, shape and location are determined by surface roughness, atmospheric thermal stability and wind direction at a given time - in this 11 12 case UAV flight times. Sensible and latent heat fluxes are extracted from TSEB-PT and DTD 13 maps using a two-dimensional footprint analysis approach as described in Detto et al. (2006). 14 The twelve footprint outputs were applied to corresponding maps of sensible and latent heat 15 fluxes by weighing each modelled pixel according to the contribution of that pixel's location 16 to the measured flux. Approximations of the 70 % eddy flux footprint-coverages are shown in 17 Appendix B. Net radiation and soil heat flux measurements have footprints that are much 18 smaller than sensible and latent heat flux measurements and values from Rn and G output 19 maps were extracted from a 5×5 m area on the barley field next to the eddy flux tower.

20 **2.6 Validation data**

21 An eddy covariance system consisting of a Gill R3-50 sonic anemometer and a LI-7500 open path infrared gas analyzer was mounted 6 m above ground in the middle of the site (see Fig. 22 23 1). Wind components in three dimensions and concentrations of water vapor were measured 24 at 10 Hz. Sensible and latent heat fluxes for validation of model outputs were computed from 25 the eddy covariance system using EddyPro 5.1.1 software (Fratini and Mauder, 2014). Computations include two dimensional coordinate rotation, block averaging of measurements 26 27 in 30 min windows, corrections for density fluctuations (Webb et al., 1980), spectral corrections (Moncrieff et al., 2005; Moncrieff, J B et al., 1997) and measurement quality 28 29 checking according to Mauder and Foken (2006). Furthermore, the computed heat fluxes were subject to an outlier quality control following procedures described in Papale et al. (2006). 30

Short- and long wave, incoming and outgoing radiation and soil heat fluxes were measured with a Hukseflux four component net radiometer (model NR01) and heat flux plate (model HFP01). Gap-filling of the validation data was not required because no gaps in the data occurred during the twelve flights. When applying the surface energy balance expression any residual was assigned to latent heat flux, as recommended by Foken et al. (2011). This ensures energy balance closure and comparability with TSEB-PT and DTD modelled fluxes. The average-size of residuals from the twelve datasets was 9 %.

8

9 3 Results and discussion

10 TSEB-PT and DTD models are executed twelve times with data collected on seven days 11 during the spring and summer of 2014. Spatially distributed maps of net radiation, soil-, 12 sensible- and latent heat fluxes are attained with resolutions of 0.20 m.

13 **3.1** Comparison between fluxes from UAV data and eddy covariance

14 Modelled fluxes attained with thermal UAV data and measured fluxes from the eddy 15 covariance system are shown in Table 3. As expected, there are large variations throughout 16 the season determined primarily by time of year and time of day - dates and hours with 17 potentially large incoming solar radiation (summer and midday) contain potential for largest 18 evaporation. Figure 2A-C show modelled versus measured fluxes and a statistical comparison 19 is presented in Table 4. Calculations for R_n are alike in TSEB-PT and DTD and generally in good agreement with measured R_n with a RMSE value of 44 W m⁻² (11 %) and a correlation 20 coefficient (r) of 0.98 (Table 4). Simulated R_n from 10 April and 2 July 2014 are in less good 21 agreement with measured $R_{\rm n}$ and are underestimated with 88 W m⁻² and 96 W m⁻² 22 respectively. Modelled R_n consists of short- and longwave incoming and outgoing radiation 23 24 $(R_{s,in}, R_{s,out}, R_{l,in}, R_{l,out})$ of which $R_{s,in}$ is provided as model input from eddy tower 25 measurements. This contributes positively to the agreement between modelled and measured $R_{\rm n}$ but it cannot be assigned to model performance or the quality of collected temperature data. 26 27 Therefore a comparison is also conducted between modelled and measured net longwave radiation (R_1), which as opposed to modelled and measured R_n are entirely independent of 28 each other. The TSEB modelling scheme produce R_1 estimates to a satisfactory level if results 29 30 from 10 April and 2 July are not regarded, see appendix A. R_1 estimates depend on 31 atmospheric emissivity which in the TSEB modelling scheme are calculated with Eq. 5 (from

Brutsaert (1975)). Eq. 5 builds on the assumption of exponential atmospheric profiles for 1 2 temperature, pressure and humidity. The stability of atmosphere is affected by relative humidity (RH) (Herrero and Polo, 2012) and errors between measured and modelled R_1 are 3 4 related to RH in second graph in appendix A. It is seen that there's a correlation between the 5 highest errors and the highest RH. This suggests that assumptions behind Eq. 5 might not be met on 10 April and 2 July. Different approaches for estimating R_1 could have been chosen for 6 7 these two dates (e.g. Brutsaert (1982)) but for simplicity the approach presented in Brutsaert 8 (1975) is maintained for all dates. Appendix A show that if algorithm-assumptions are met, 9 UAV collected surface temperatures can be satisfactorily used to estimate R_1 using the TSEB scheme. Eq. 5 also builds on the assumption of clear skies. Since poor simulations of R_1 is not 10 11 significant in data collected in overcast conditions, the larger incoming longwave radiation 12 due to clouds might compensate for the smaller path between UAV and surface, compared to 13 between satellite and surface.

14 Sensible heat fluxes (H) are generally well estimated by both models. TSEB-PT sensible heat 15 fluxes are consistently underestimated, however r is better (in contrast to RMSE and MAE) than r calculated for DTD. This implies a better linear relation between measured and 16 modelled sensible heat flux from TSEB-PT, see Fig. 2B. The DTD model computes slightly 17 more scattered sensible heat fluxes but results do not show any systematic errors - they are 18 centered around measured values and are generally in better accordance with measured fluxes 19 with RMSE and MAE values of 59 W m^{-2} (64 %) and 49 W m^{-2} (52 %), compared to TSEB-20 PT RMSE and MAE values of 85 W m^{-2} (91 %) and 75 W m^{-2} (81 %). 21

Soil heat fluxes (G) are generally underestimated by both algorithms and RMSE and MAE 22 values of 48 W m⁻² (91 %) and 45 W m⁻² (86 %), and 38 W m⁻² (72 %) and 35 W m⁻² (66 %) 23 24 are obtained from DTD and TSEB-PT respectively. G was measured from two heat flux plates located approximately 3 cm below the surface. Heat flux plates might not provide the 25 26 best estimate of energy partitioning at the surface (Jansen et al., 2011) which could lead to 27 uncertainties in measured G. Further, the difference between heat conduction of soil and air create a discrepancy between measured G and H and LE, since fast changes in Rn (as a 28 29 consequence of intermittent cloud cover) will have a faster response in H and LE than in G(Gentine et al., 2012). The TSEB modelling scheme does not account for the delay in G 30 31 response and therefore also a discrepancy between measured and modelled G will occur. However the magnitude of G is small compared to the remaining surface energy fluxes and 32

1 therefore has a comparably small impact on LE estimations even though it is computed as a

2 residual of R_n , H and G.

Modelled latent heat flux (LE) is in good agreement with measured latent heat fluxes. As a
consequence of underestimation of sensible heat flux in TSEB-PT simulations, a small
overestimation of TSEB-PT latent heat flux is seen (Fig. 2C). DTD latent heat flux is again
more scattered but with lower RMSE and MAE values of 67 W m⁻² (26 %) and 57 W m⁻² (22
%), compared to TSEB-PT RMSE and MAE values of 94 W m⁻² (37 %) and 84 W m⁻² (33 %).

8 The DTD algorithm generally produces results in better accordance with measurements and is 9 concluded to be a better algorithm when simulating heat fluxes with present experimental 10 setup. This suggests a consistent bias in the UAV derived LST which can be corrected by subtracting the early morning observations from the midday ones and demonstrates the 11 robustness and added utility of the DTD approach. A calibration of the camera with in situ 12 temperatures would likely have improved TSEB-PT heat flux computations. Further a 13 14 conversion of brightness temperature to actual LST using a spatially distributed emissivity would presumably improve both TSEB-PT and DTD results. In average there was a 95 % 15 16 overlap between the coverage of eddy flux footprints and the model output maps from all twelve datasets. The lacking percentages of fluxes from maps were simply added from the 17 18 flux values obtained from overlapping eddy flux footprints and maps. This introduces a small 19 uncertainty to the extraction of flux values from model output and thus also to the comparison 20 between measured and modelled H and LE.

21 Guzinski et al. (2014) applied their TSEB-PT study to the same field site as the present study 22 but they used thermal satellite images from Landsat as boundary conditions as opposed to 23 thermal UAV images. A comparison between the two studies shows similar accurate results. Guzinski et al. (2014) achieve RMSEs of 46 W m⁻² for R_n , 56 W m⁻² for H and 66 W m⁻² for 24 LE (Table 2, column ND_H in Guzinski et al. (2014)). This study achieves RMSEs of 44 W m^{-2} 25 for R_n , 59 W m⁻² for H and 67 W m⁻² for LE, using the DTD model. r is likewise similar 26 between the two studies. However, when Guzinski et al. (2014) uses both MODIS and 27 28 Landsat data to disaggregate DTD fluxes, modelled sensible and latent heat fluxes were in 29 better agreement with the observed fluxes (Table 2, column EF in Guzinski et al. (2014)). 30 Further, a comparison between this study and other studies seeking to estimate surface fluxes from remotely sensed data (such as Colaizzi et al. (2012); Guzinski et al. (2013); Norman et 31 32 al. (2000)) show that measured and modelled fluxes are in same order of agreement.

Contrary to studies using satellite images, the majority of data in this study is retrieved under 1 2 cloudy or overcast conditions. Data collected during sunny conditions are enclosed by black circles in Fig. 2A-C and Table 5 shows statistical parameters calculated using only data from 3 days with cloudy or overcast weather conditions. Based on Fig. 2A-C and on a comparison 4 between statistical parameters in Table 4 and 5, no significant difference can be seen between 5 data collected during cloudy, overcast and sunny weather conditions. Thus it is concluded that 6 7 the TSEB modelling scheme can be applied to data obtained in all three weather types. 8 However, it is worth mentioning that data collected during conditions with scattered clouds, 9 and hence quickly changing irradiance, would lead to large variations in retrieved LST during 10 a single flight. LST collected with UAVs are instantaneous but also a mosaic of instantaneous 11 LST collected in a time span of 20 min. Comparing this kind of measurement to a 30 min flux 12 average from the eddy covariance system can lead to disagreement between measured and 13 modelled fluxes (Kustas et al., 2002).

14 The view zenith angle of ortho-mosaics was set to 0° (section 2.3). However the maximum view zenith angle of the thermal camera is 15° and setting a theoretical view zenith angle to 15 0° could lead to a small overestimation of latent heat flux. Any bias due to the 0° view zenith 16 17 angle in models could maybe have been accommodated using a maximum value composition 18 (instead of a mean value composition) when generating LST-mosaics. However, a mean value 19 composition was used because the mosaics produced with this method compared well with 20 mosaics produced manually in which the edges of each image were removed. Edges were 21 removed in order to eliminate the vignetting effect, which generally affects thermal images in 22 particular and therefore also the images collected in this study. Using a mean value 23 composition is thus assumed to enable the usage of entire images without eliminating or 24 correcting for vignetting effects. Using entire images allow a larger image overlap which is 25 crucial when images are mosaicked in Photoscan. The difference between using a mean and a maximum value composition was approx. 0.3° Kelvin and 5 W m⁻² latent heat flux for mosaic 26 27 from 10 April 2014.

Disagreement between measured and modelled fluxes may also be due to the presented approach of handling the residual between eddy covariance surface energy fluxes. The average-size of residuals from the twelve datasets was as mentioned 9 % (section 2.6). A different approach to handling the energy balance residual (e.g Foken, 2008) would lead to slightly different results in the comparison between measured and modelled fluxes.

3.2 Spatial patterns in evaporation maps

2 The TSEB modelling scheme, with input of high spatial resolution temperatures, produce spatially distributed heat flux maps which reveal patterns in the evaporation which could not 3 4 have been quantified through more established techniques, such as eddy covariance systems 5 or when using satellite data. Twelve evaporation maps computed with DTD are shown in 6 Appendix B. Patterns of evaporation within the barley fields are the same for TSEB-PT and 7 DTD maps. The maps differ in size due to different flight routes, which are determined by 8 wind direction and velocity on the given day. This study does not have access to data with 9 same spatial resolution that could have validated the evaporation patterns. However the 10 irrigation system applied to the barley field constitute valid explanation for patterns seen in 11 maps from the late growing season, which provides confidence on spatial patterns seen in all 12 maps:

During the UAV campaign the barley field was irrigated five times: 23 May, 29 May, 5 June, If June and 25 June, 2014. On each occasion 25 mm of water was applied. Irrigation is performed with a traveling irrigation gun that is automatically pulled across the field in tramlines that run in north-south direction on northern field and east-west direction on southern field, Fig. 3. The irrigation tubing has to be moved manually to a new tramline when the distance of one tramline has been traveled and the pattern of which water is irrigated remains the same during entire growing season.

20 The evaporation maps from 18 June 2014 and onwards (when irrigation would plausible have 21 made its mark on plant health) reveal significant differences within the barley fields: patterns 22 of approx. 20 m wide blueish areas running parallel to the tramlines. The blueish color 23 illustrate that these areas produce less evaporation than the surrounding field. The location of 24 these areas corresponds well with areas where irrigators running in tramline trails have not 25 been able to irrigate as intensively as areas closer to the tramlines (Fig. 3). These areas likely 26 consist of less healthy plants which will generate higher LST and lower rates of evaporation. 27 Recognition of very likely patterns of evaporation within the barley field demonstrates a high 28 degree of confidence in the veracity of the spatially distributed model output.

1 **4** Conclusions and outlook

2 Land surface temperatures (LST) were obtained with a lightweight thermal camera mounted 3 on a UAV with the ability to cover a 400 x 400 m barley field in both sunny, cloudy and 4 overcast weather conditions. Thermal images were successfully concatenated into LST-5 mosaics that served as key boundary condition to the two source energy balance models: 6 TSEB-PT and DTD. Simulated net radiation, soil-, sensible- and latent heat fluxes were in 7 good agreement with flux measurements from an eddy covariance system located at same 8 barley field at which the UAV flights were conducted, with the DTD simulations showing 9 better agreement with measurements. In contrast to TSEB-PT, the DTD model accounts for 10 the bias in remotely sensed LST, likely to be present in images from the lightweight thermal 11 camera. Systematic irrigation patterns on the barley field support the confidence in the veracity of the spatially distributed evaporation patterns produced by the models. A 12 comparison between present results and results from other studies estimating surface energy 13 fluxes from heat flux models and remotely sensed LST, reveal that the UAV platform and the 14 15 lightweight thermal camera provide good quality, high spatial and temporal resolution data that can be used to generate surface energy fluxes with similar accuracy as can be generated 16 17 using satellite data. LST-mosaics can be used for model input and for other potential applications requiring high resolution and consistent LST. Additionally, the UAV platform 18 19 accommodated validation of the applicability of the TSEB modelling scheme in cloudy and 20 overcast weather conditions which was possible due to the low altitude retrieval of LST 21 compared to satellite retrievals of LST which are only feasible during clear sky conditions.

Future improvements will incorporate spatially distributed optical data into the two source energy balance models in order to estimate spatially varying ancillary variables such as albedo, leaf area index and canopy height. This will enable flux estimations in areas with heterogeneous vegetation types and have a positive effect on estimations over maturing crops when differences in irrigation may have impacted their developmental stage.

Extending the present setup to other land cover types would further strengthen the applicability of thermal UAV data and presented model scheme. A calibration of the thermal camera with *in situ* temperatures should improve TSEB-PT results with a potential positive effect on DTD results as well.

Adjustments in the TSEB modelling scheme that consider differences between satellite and
 UAV images might be valuable. The atmospheric path from the ground to satellites and from

the ground to UAVs, differs greatly and a comparison between measured and modelled
 longwave radiation reveal that a different approach for estimating atmospheric emissivity
 (when using UAV data) might influence results positively.

1 Appendix A





First graph show measured and modelled net longwave radiation (R_1). R_1 from 10 April and 2 July 2014 are enclosed by black stars. Second graph show the error between measured and modelled R_1 as percent of measured R_1 compared to relative humidity at the time of UAV flights. Again measurements from 10 April and 2 July 2014 are enclosed by black stars.

1 Appendix B

- 2 Evaporation maps from the DTD model. Black star represent location of eddy flux tower and
- 3 black circles mark location of eddy covariance footprint.









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- 9

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Table 1 - UAV retrievals of LST, constituting 12 sets of input data to TSEB-PT and DTD.
 Early morning flights conducted one hour after sunrise are only used in DTD. (c) means data
 were collected during cloudy or overcast conditions.

							5
		Early flights $(T_{R,0(\theta)})$	Daylig $(T_{R,i(\theta)})$	ht flights			6
Date		Time (UTC)					7
10 April 2014	(c)	07:00			11:30		
22 April 2014	(c)	06:00					$14:30^{8}$
15 May 2014		05:30			11:00	12:00	9
22 May 2014	(c)	05:00	08:00	09:00	11:30	12:00	10
18 June 2014	(c)	05:00			11:00	12:00	11
02 July 2014	(c)	07:30			11:30		11
22 July 2014		06:30				12:30	12

1 Table 2 – Changing input parameters for each flying day.

	Date	LAI	Canopy height (m)	Green veg. fraction	Albedosoil+veg.
	10 April 2014	0.48	0.02	1	0.142
	22 April 2014	0.88	0.08	1	0.181
	15 May 2014	1.49	0.12	1	0.182
	22 May 2014	3.90	0.30	1	0.226
	18 June 2014	4.03	0.95	0.7	0.181
	02 July 2014	3.43	1.10	0.3	0.202
	22 July 2014	3.02	1.20	0.02	0.189
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1 Table 3 – Measured and modelled net radiation (R_n) , sensible heat flux (H), latent heat flux

- 2 (LE) and soil heat flux (G). Dates marked with (c) represent days with cloudy or overcast
- 3 conditions.

		Me	Measured (W m^{-2}) TS		TSE	TSEB-PT (W m ⁻²)			DTD (W m^{-2})				
Date, time (UTC)		R_n	Н	LE	G	Rn	Н	LE	G	Rn	Н	LE	G
10 April 2014 11:30	(c)	243	87	105	50	155	15	134	2	155	20	121	8
22 April 2014 14:30	(c)	203	73	81	49	180	1	181	4	180	62	118	-2
15 May 2014 11:00		453	124	241	88	401	42	330	27	401	75	295	25
15 May 2014 12:00		619	132	385	102	600	49	492	54	600	97	472	26
22 May 2014 08:00	(c)	270	33	206	31	284	-20	296	2	284	95	179	-1
22 May 2014 09:00	(c)	306	-26	290	43	301	-48	337	10	301	63	231	1
22 May 2014 11:30	(c)	406	-16	367	55	397	-33	418	14	397	101	287	6
22 May 2014 12:00	(c)	440	14	365	61	436	-51	465	21	436	42	387	4
18 June 2014 11:00	(c)	538	158	326	55	505	89	397	27	505	191	309	9
18 June 2014 12:00	(c)	631	200	378	52	612	54	514	43	612	156	450	7
02 July 2014 11:30	(c)	217	54	152	11	121	-9	135	-8	121	52	68	1
22 July 2014 12:30		479	282	161	36	511	125	335	52	511	211	293	6

Table 4 – Root mean square error (RMSE), mean absolute error (MAE) and correlation
 coefficient (r) computed for TSEB-PT and DTD results. Values in parenthesis are RMSE and
 MAE respectively as percentage (%) of measured fluxes.

5		7	TSEB-PT DTD					
6		RMSE	MAE	r		RMSE	MAE	r
7		$(W m^{-2})$	(W m ⁻²)			(W m ⁻²)	(W m ⁻²)	
8	$R_{\rm n}$	44 (11)	33 (8)	0.98		44 (11)	33 (8)	0.98
9	G	38 (72)	35 (66)	0.58		48 (91)	45 (86)	0.86
10	Н	85 (91)	75 (81)	0.96		59 (64)	49 (52)	0.74
11	LE	94 (37)	84 (33)	0.92		67 (26)	57 (22)	0.85
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Table 5 – Statistical parameters based on data that was collected during only cloudy and
overcast weather conditions (9 dates). Root mean square error (RMSE), mean absolute error
(MAE) and correlation coefficient (r) computed for TSEB-PT and DTD results. Values in
parenthesis are RMSE and MAE respectively as percentage (%) of measured fluxes.

6		TS	EB-PT		DTD				
7		RMSE	MAE	r	RMSE	MAE	r		
8		(W m ⁻²)	$(W m^{-2})$		(W m ⁻²)	(W m ⁻²)			
9	R _n	40 (11)	32 (8)	0.99	40 (11)	32 (8)	0.99		
10	G	30 (66)	33 (72)	0.66	38 (83)	42 (92)	0.61		
11	H	63 (99)	64 (100)	0.84	53 (83)	50 (78)	0.69		
12	LE	69 (27)	71 (28)	0.98	46 (18)	46 (18)	0.95		
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Figure 1 - HOBE agricultural site in western Denmark (56.037644°N, 9.159383°E). The black
square represents location of the eddy flux tower. The green square represents location for
zoom inset on the right (RGB image obtained with Lumix camera mounted on UAV).





Figure 2 - Modelled vs measured net radiation (R_n) , soil- (G), sensible- (H) and latent heat fluxes (LE). Data collected in sunny weather conditions are enclosed by black circles.

- 4
- 5



Figure 3 – Grey lines highlight tramlines in which irrigation guns are placed at all five
irrigation events in 2014. The underlying map shows evaporation patterns on 18 June 2014.
Red colors are high evaporation and blue colors are low evaporation. Patterns of lower
evaporation correspond well with areas being furthest away from irrigation guns.