

1 Dear Professor Romano,

2

3 The authors would like to thank the first anonymous reviewer and Dr. T. Caldwell the second  
4 reviewer for the careful review of our manuscript and for providing us with their valuable  
5 comments and the suggestions. We are pleased that the reviewers find the importance of our  
6 study as well as being of sufficient scientific quality and general interest to consider  
7 publication in HESS after revisions. The following responses have been prepared to address  
8 all of the reviewers' comments in a point - by - point fashion. We have made major revision,  
9 clarification and additions/deletions to part of the manuscript. After revision we found out  
10 that the manuscript has greatly improved.

11 In the following pages, the reviewer's comments are in italics, followed by responses from  
12 the authors in plain and blue type and details of changes/modifications (in plain and bold  
13 type). Please note that page and line numbers refer to the revised version (track changed  
14 word).

15

16 Sincerely yours,

17 Meisam Rezaei

18

19

## 20 **Anonymous Referee #1 comments**

### 21 **General Comments**

22 *This is a very interesting paper focusing on the sensitivity analysis of modelling tools used*  
23 *in agricultural studies and applications. The manuscript is well-written and clearly*  
24 *structured and perhaps a bit lengthy and with a possibility to be reduced in size in some*  
25 *areas; language used is appropriate for the scope and scientific context on which this study*  
26 *belongs to. The objectives of the paper are clearly set and the materials and methods*  
27 *adopted in the study are also well-described. Some suggestions I have for the consideration*  
28 *of the authors to further improve their manuscript before it is accepted for publication*  
29 *include:*

### 30 **Specific Comments**

31 ***Specific Comments 1:** In model calibration: o Include a justification of why this particular*  
32 *period was included for the model calibration. Also, make a statement (in the results section*  
33 *perhaps?) of the effect it could have on the final study results the selection of a different*  
34 *time period. Similarly also for the time interval selection of 2h which was chosen.*

35

36 **Response:** Indeed, the choice of the calibration period may have influence on the results of  
37 the analysis. On the other hand, the observed soil water range and dynamics, rainfall  
38 intensity and ET<sub>o</sub> were similar in calibration and validation periods in which a similar model

1 response and performance is expected in other different period. However, we tested  
2 parameter sensitivity and optimization for 2013 growing season period which as we  
3 expected they were similar model outputs as calibration period 2012 (results not shown in  
4 this paper). Then, we will modified the text as follows:

5  
6 **In page 11, line 11-13: “For accurate parameter estimation, the longer period  
7 such a growing season (i.e. 2012) with several drying and wetting events was  
8 selected. It is also suggested by Wöhling et al. (2009); Wöhling et al. (2008).  
9 Therefore, the period ...”**

10  
11 **In page 11, line 14-17: “We used a time interval of two hours, resulting in  
12 12960 soil water content records based on hourly precipitation and evaporation  
13 input data. Based on our experience we found out those number of data are  
14 sufficient for optimization purposes.”**

15  
16  
17 *Specific Comments 2: Model evaluation and statistical analysis: o Why only those specific  
18 statistical metrics were selected? I feel a stronger justification needs to be provided there.*

19  
20 **Response:** We modified the text and add the justification as follows:

21 **In page 12, line 3-16: “The performance of models can be evaluated with a  
22 variety of statistics (Neuman and Wierenga, 2003). It has been known that there  
23 is no efficiency criteria which performs ideally. Each of the criteria has specific  
24 pros and cons which have to be taken into account during model calibration  
25 and evaluation. It suggested a combination of different efficiency criteria to  
26 assess of the absolute or relative volume error (Krause et al., 2005). The root-  
27 mean-square errors (RMSE), the coefficient of determination ( $r^2$ ), and the  
28 Nash–Sutcliffe coefficient of model efficiency (American Society of Civil  
29 Engineers, 1993), are popular and widely used performance criteria to evaluate  
30 the difference between observed and modeled data (Gandolfi et al., 2006; Nasta  
31 et al., 2013; Verbist et al., 2009; Verbist et al., 2012; Vrugt et al., 2004; Wöhling  
32 and Vrugt, 2011; Wollschlager et al., 2009). They are calculated ...”**

33  
34 *Specific Comments 3: In results & discussion: o I would like to have seen a more in-depth  
35 discussion on the potential implications of this study results in regards to the models' use in the  
36 future and also on how those results here agree with previous SA studies on the same models.*

37  
38 **Response:** Generally, we would like to stress that at the field scale non-uniform irrigation  
39 distribution (water supply in dryer parts with ground water level below 120 cm) would be  
40 necessary and resulting in cost saving for the farmer in one hand. On the other hand,  
41 improper timing in irrigation strategy could be improved by considering soil water statues,  
42 crop condition and weather forecast using combined hydrological and crop growth model in  
43 irrigation management and precision agriculture. We have tried to simplify the  
44 parameterization scenarios in the calibration and validation stage of model development.  
45 Current study provides adequate procedure to apply hydrological model in combination with  
46 crop growth model for irrigation scheduling by the practitioners. This simple approach of

1 modeling for precision agricultural managements may extend from a local to regional scale  
2 and different crops such as the study area.

3 The link with similar modeling exercises focusing on sensitivity analysis is already made in  
4 the current version page 3, lines 17-20 and page 13 lines 9-11, and also here in the following  
5 paragraphs. However, many studies did aggregate the sensitivities of different aspects  
6 and/or time steps to summarizing sensitivity indices e.g. (Abbasi et al., 2003a; Li et al.,  
7 2012; Mertens et al., 2005; Rocha et al., 2006; Šimůnek and vanGenuchten, 1996; Verbist et  
8 al., 2012; Zhou et al., 2012). The latter makes it difficult to compare the current contribution  
9 with other papers in literature. However, we would address the following text in the  
10 manuscript:

11 **In P 13-14, lines 27-30 and 1-4: “Generally, all soil hydraulic parameters**  
12 **showed higher sensitivity in dry periods as compared to wet periods. On the**  
13 **other hand, there is a clear effect of parameter variability in layer 1 on water**  
14 **content estimation at 10 cm, and the effect is slightly declining at 20 and 30 cm,**  
15 **which suggested the great importance and influence of upper boundary**  
16 **variables especially evapotranspiration. Similar results were observed by Rocha**  
17 **et al. (2006). They found soil water content and pressure heads were most**  
18 **sensitive to hydraulic parameters variation in the dry period near the soil**  
19 **surface using local sensitivity analysis of Hydrus.”**  
20  
21

22 **In page 14, lines 6-15: “...soil-water content is sensitive to variations of  $\alpha$ ,  $n$ , and**  
23  **$K_s$  in both layers. The sensitivity is the largest for  $n$ ,  $\alpha$  and less so for  $K_s$  in the**  
24 **first layer. For the second layer, soil-water content was most sensitive to  $\alpha$**   
25 **followed by  $n$  and  $K_s$ . Abbasi et al. (2003a) reported that  $n$ ,  $\theta_s$  and  $K_s$  were most**  
26 **sensitive parameters in their study which more pronounced in deeper parts,**  
27 **however they also observed some sensitivity near the soil surface during the**  
28 **drier conditions. The most sensitive parameters were  $\theta_s$ ,  $n$  and  $\alpha$  and less**  
29 **sensitive parameter was  $K_s$  in study of Schneider et al. (2013) using Hydrus-1D.**  
30 **They found large interaction (correlation) among sensitive parameters. In**  
31 **contrast, Wegehenkel and Beyrich (2014) reported that soil water content**  
32 **predictions were most sensitive to  $\theta_r$  and  $\theta_s$  and least sensitive to  $\alpha$ ,  $n$ , and  $K_s$**   
33 **input parameters using hydrus-1D. Similarly Caldwell et al. (2013) found  $\theta_r$ ,  $n$**   
34 **and  $l$  were sensitive and  $\theta_s$ ,  $\alpha$  and  $K_s$  were insensitive to water content**  
35 **simulation.”**  
36

37 ***Specific Comments 4:** Also, I think it would be of great value if the authors could underline a bit*  
38 *more the limitations inherited in their LSA (e.g. in contrast to a GSA, e.g. how about interactions*  
39 *between input parameters?) and on the potential impact of that on generalizing the results reported*  
40 *in this study in regards to the models' future use by the users community of those models.*  
41

42 **Response: Regards to underline a bit more the limitations inherited in our LSA:** It is  
43 indeed correct that the manuscript does not elaborate about the drawbacks of a local  
44 sensitivity analysis. However, we are convinced that the selection of a LSA is justified,  
45 notwithstanding the impossibility of getting more insight in higher order parameter

1 interaction. We do agree with the referee that the reader should be informed about these  
2 limitations. As such, we adapted the text, justifying the selection of a LSA as follows:

3 **Page 9-10 lines 21-30 and 1-8: “The effect of each input factor or parameter on**  
4 **the model output is determined by a local sensitivity analysis (SA), using a one-**  
5 **at-a-time (OAT) approach. We used this approach because it allows a clear**  
6 **identification of single parameter effects. Relevant parameters have major**  
7 **effects on output variables with only a small change in their value (Saltelli et al.,**  
8 **2008). Sensitivity analysis is, among other purposes, used to find the most**  
9 **relevant parameters which enable a reduction of the number of parameters**  
10 **that need to be optimized. In a local sensitivity analysis, only the local**  
11 **properties of the parameter values are taken into account in contrast to global**  
12 **sensitivity analysis which computing a number of local sensitivities. Since the**  
13 **interest in this study goes specifically to the measured (parameter) values in the field, a**  
14 **local sensitivity analysis is chosen. Furthermore, an OAT approach (local or**  
15 **global) does not provide direct information about higher and total order**  
16 **parameter interaction as is provided by variance based sensitivity analysis**  
17 **(Saltelli et al., 2008). However, by evaluating the parameter sensitivities in time,**  
18 **insight is given about potential interaction when similar individual effects are**  
19 **observed. The latter can be quantified by a collinearity analysis (Brun et al.,**  
20 **2001), but will be done graphically in this contribution. Here, a dynamic (time-**  
21 **variable) local...”**

22 **Response: *Regards to potential impact of that on generalizing the results - use in the***  
23 ***future:*** We do already emphasize the importance of correct parameterizing the hydraulic  
24 parameters for irrigation management, specifically because of the importance in dry periods  
25 (which are essential for a correct irrigation management) (page 14, lines 21-25). The  
26 application of a time variant sensitivity analysis is crucial to this respect. However, we do  
27 not want to generalize the results of the SA itself too much towards other applications, due  
28 to the case-specific aspects. Each field is specific (sometimes referred to as uniqueness of  
29 place, (Beven, 2000)) and should be treated as such. Local sensitivity analysis is a  
30 straightforward methodology, which we consider as an essential step within the modeling  
31 workflow to learn about model behavior and to identify key parameters. Applying it time  
32 variant instead of aggregating the sensitivity in a single metric is crucial to derive this kind  
33 of information. It could be interesting to compare the results with other applications in  
34 sandy two-layered soil under grass in a temperate maritime climate, but the application of  
35 the SA is as important as the result itself and will be useful in a wide set of conditions,  
36 climates and soil types. Therefore, we deliberately inform the reader in the conclusions part  
37 about the case-specific conclusions e.g. (page 19, lines 4-7), (page 19, line 12-13),...  
38 To make this more clear, we adapted the text as follows:

39 **Page 19, lines 26-28: “... they generate. We showed that it is sufficient to**  
40 **estimate limited amount of key parameters for which the temporal variant**  
41 **information of the sensitivity is crucial. Furthermore, that optimization**  
42 **strategies involving multiple...”**

43

44

1

2

3

## Dr. T. Caldwell (Referee #2) comments

4

### General Comments

5 *The study presents a numerical analysis of hydraulic properties, water stress, and potential yields*  
6 *using a time-dependent sensitivity analysis. Overall, it is well written and presented. I not sure I*  
7 *follow the whole time-dependency argument but it is could be very interesting with a little more*  
8 *clarification. More clarification is required on the LINGRA-N model and the metrics presented for*  
9 *the sensitivity analysis. Fist, what is driving the LINGRA model so that it can feed LAI into*  
10 *HYDRUS? Please expand this section. Second, is the sensitivity analysis presented in Eq 12-14 new?*  
11 *Is there any reference? I am familiar with regional sensitivity analyses and monte carlo based*  
12 *approaches (Freer et al, 1996; Mertens et al., 2005); I even did one myself using Hydrus (Caldwell*  
13 *et al., 2013), but I don't know this method. What are the limitation of only changing a single*  
14 *parameter while holding everything else constant?*

15

16 **Response:** Firstly, we agree with the referee for more clarification about the crop model  
17 setup. Therefore, this section was adopted as:

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

**In page 6, lines 8-25: “The simple generic crop growth model, LINGRA-N model (Wolf, 2012) which can calculate grass growth and yields under potential (i.e. optimal), water limited (i.e. rain fed) and nitrogen limited growing conditions, was used to calculate the leaf area index (LAI) and grass yield. This tool was calibrated and tested for perennial rye grass and natural annual grass over Europe (Barrett et al., 2004; Schapendonk et al., 1998). LINGRA-N simulates the growth of a grass crop as a function of intercepted radiation, temperature, light use efficiency and available water (Wolf, 2012). The LAI and crop growth simulations were carried out from 1 January 2012 to 31 December 2013. The model calculated LAI and yield on a daily time intervals using daily weather data, solar radiation ( $\text{kJ m}^{-2} \text{d}^{-1}$ ), minimum temperature ( $^{\circ}\text{C}$ ), maximum temperature ( $^{\circ}\text{C}$ ), vapour pressure (kPa), wind speed ( $\text{m s}^{-1}$ ) and precipitation ( $\text{mm d}^{-1}$ ). A grass crop data file is available mainly derived from WOFOST. Soil data for our soil were produced using measured values of soil moisture content at air dry ( $\text{pF}=6$ ), wilting point ( $\text{pF}=4.2$ ), field capacity ( $\text{pF}=2.3$ ) and at saturation and also percolation to deeper soil layers ( $\text{cm day}^{-1}$ ) in the laboratory. The maximum rooting depth was adjusted to 40 cm. Irrigation supply was imposed at the specific applied times with optimal nitrate application. The simulated LAI was ....”**

37

38

39

40

Secondly, the local sensitivity analysis as it is applied in the paper is just a direct implementation of the definition of sensitivity analysis itself, i.e. the partial derivative of the model output towards the individual parameter value in a specific point in the parameter space:

$$\frac{\partial y}{\partial x}$$

1 with  $y$  the model output and  $x$  the model parameters. This is not new at all and is in most  
2 text books about dynamical modeling and/or sensitivity analysis described. We would  
3 address some references such as: Abbasi et al. (2003a); Abbasi et al. (2003b); Rocha et al.  
4 (2006); Šimůnek and vanGenuchten (1996) among many others. For some models, it can be  
5 derived analytically (by hand or using symbolic manipulation software like sympy,  
6 mathematica, symbolic toolbox of matlab), but is in the case of environmental modeling  
7 mostly done using a numerical approximation as it is provided in the paper. By using a  
8 perturbation factor small enough to rely on the fact that the linear approximation of the  
9 partial derivative is accurate in the direct neighborhood of the parameter value, the  
10 sensitivity is calculated by approximating it as such (see paper). The numerical  
11 approximation is needed for closed software applications such as Hydrus. We've written a  
12 wrapper around, which is available on Github:  
13 [https://github.com/stijnvanhoeve/hydrus\\_wrapper](https://github.com/stijnvanhoeve/hydrus_wrapper).

14 Choosing a local method does have some limitations, with the fact that it is only looking  
15 locally in the parameter space as a major drawback and the One-At-a-Time (OAT) property  
16 limiting the insight in higher order interactions.

17 This comment is correct and already mentioned by the first referee. So we decided to adapt  
18 the text as follows to justify the usage of a local method and pointing out the limitations:

19  
20 **Page 9-10 lines 21-30 and 1-8: “The effect of each input factor or parameter on**  
21 **the model output is determined by a local sensitivity analysis (SA), using a one-**  
22 **at-a-time (OAT) approach. We used this approach because it allows a clear**  
23 **identification of single parameter effects. Relevant parameters have major**  
24 **effects on output variables with only a small change in their value (Saltelli et al.,**  
25 **2008). Sensitivity analysis is, among other purposes, used to find the most**  
26 **relevant parameters which enable a reduction of the number of parameters**  
27 **that need to be optimized. In a local sensitivity analysis, only the local**  
28 **properties of the parameter values are taken into account in contrast to global**  
29 **sensitivity analysis which computing a number of local sensitivities. Since the**  
30 **interest in this study goes specifically to the measured (parameter) values in the field, a**  
31 **local sensitivity analysis is chosen. Furthermore, an OAT approach (local or**  
32 **global) does not provide direct information about higher and total order**  
33 **parameter interaction as is provided by variance based sensitivity analysis**  
34 **(Saltelli et al., 2008). However, by evaluating the parameter sensitivities in time,**  
35 **insight is given about potential interaction when similar individual effects are**  
36 **observed. The latter can be quantified by a collinearity analysis (Brun et al.,**  
37 **2001), but will be done graphically in this contribution. Here, a dynamic (time-**  
38 **variable) local...”**

39  
40 **Line specific comments**

41 *p6886 l14: Despite topographic and groundwater depth variability, is there no variation in*  
42 *Ap thickness (33cm)?*

43

1 **Response:** In this study we excavated a profile at one location that the sensors were  
2 installed. Therefore in this line we just mentioned the first layer depth of the profile (i.e.  
3 33cm). Indeed, Ap thickness varied between 30 to 50 cm. We added the sentence to address  
4 this variation as:

5  
6 **In page 4, lines 7-9: “The measured depth of the groundwater table was  
7 between 80 and 150 cm and the Ap horizon thickness was between 30 and 50 cm  
8 below the soil surface at various locations across the field depending on the  
9 topography.”**

10  
11 *p6886 l18: how was rooting density measured or determined?*

12  
13 **Response:** The mentioned rooting density was observed during profile excavation.

14  
15 **In page 4, lines 23-24: “Maximum grass root density was found at about 6 cm  
16 and decreased from 6 to 33 cm (based on field observation during profile  
17 excavation).”**

18  
19 *p6888 l17: I am not following how LINGA-N was integrated into HYDRUS. At a  
20 minimum,tell me what the forcing functions are for LINGA-N. Was it only used to  
21 parameterize a time-varying LAI in hydrus?*

22  
23 **Response:** As explained in the first general comment we used a time variant LAI provided  
24 by LINGRA-N in Hydrus.

25  
26 *p6689 l17: ... air entry or hysteresis ...*

27  
28 **Response:** We used van Genuchten-Mualem model without air entry value and with no  
29 hysteresis condition. We stated at the text as:

30 **In page 7 lines 17-19: “To solve the Eq. 5, the van Genuchten-Mualem (MVG)  
31 soil hydraulic model (Eqs. 1-4) without air entry value and without hysteresis  
32 was used.”**

33  
34 *p6890 eq8: add 'DWS =' to this equation - it will make it a little easier to figure out what  
35 DWS means throughout the manuscript.*

36  
37 **Response:** We agree with the reviewer. This suggestion was taken into account (page 8)

38

$$DWS = \frac{T_a}{T_p} = \int_{L_r} w(h)R(x)dx \quad (8)$$

39  
40  
41 *p6891 l8: the subscript of ET are coming and going - I suggest sticking with the subscripts  
42 on ETo and ETp, ETa, etc.*

43  
44 **Response:** This suggestion was taken into account. (page 7, lines 22-23, page 9, lines 1-4,  
45 and also page 11, line 2 for hydraulic properties).

1 p6892 eq. 11:  $S(h)$  was previously defined - seems odd to now have 'S' be a function of  
2 another variable, time. Obviously they aren't related but perhaps you could change this for  
3 clarity.

4

5 **Response:** In eq. 11, S denotes as Sensitivity function we will change it to SF(t) as:

6

$$\mathbf{SF(t)} = \frac{\partial \mathbf{y(t)}}{\partial \mathbf{x}} \quad (11)$$

7 **In page 10 , line 16: “where  $SF(t)$ ,  $y(t)$ , and  $x$  denote”...**

8

In addition eq. 13 was modified (see page 10).

9

10 p6893 l17: what error term was used for the objective function? And how was this  
11 optimization performed? You present 3 different cost functions later. Also, did you use the  
12 Levenberg optimization routine built into Hydrus?

13

14 **Response:** We used Levenberg–Marquardt optimization procedures which were  
15 implemented into Hydrus. We also referred to this in the introduction on p 6884, line 129.  
16 The inverse solution is finalized when the Value of the objective function is being  
17 minimized during the parameter optimization process (SSQ). Indeed we evaluated the  
18 simulated results comparing with measured ones using three different statistics criteria (at  
19 Model evaluation and statistical analysis section). We did not represent the objective  
20 function formula in the text since it is available in the literature.

21

22 p6898 l23: 'model performance during the calibration was superior to the validation  
23 period' or something to replace 'less well'.

24

25 **Response:** This suggestion was taken into account. The text was changed as:

26

27 **In page 16 lines 28-29: “...boundary conditions, show that model performance  
28 during the calibration was superior to the validation period at all observation  
29 depths (Fig. 5, Table 3)....”**

30

31 p6908 Table 1. Where did this data come from? Lab analysis? How many samples make up  
32 the average? You note 'measured values' on p6896 l23 - unless this data is in another  
33 manuscript - you need to present the methods for C, texture and hydraulic properties.

34

35 **Response:** We performed all analysis on soil characterizations. As mentioned in material  
36 and methods section, page 5, lines 11-31 and page 6, lines 1-7, we explained number of  
37 samples and the method to determine each parameter.

38

39 p6910 Table 3: Node Depth - not Nodes

40

**Response:** This comment was taken into account (page 27).

41

42 p6920 Figure 8: the units on the y-axis could use a space between mm and h - it looks  
43 like there's a millihour in there.

44

45 **Response:** Indeed it is necessary to use a space between mm and h. The figure is adopted  
46 now (page 37).



## 1 **References**

- 2 Abbasi, F., Jacques, D., Šimunek, J., Feyen, J., van Genuchten, M.T., 2003a. Inverse  
3 estimation of soil hydraulic and solute transport parameters from transient field  
4 experiments: Heterogeneous soil. *T Asae* 46, 1097-1111.
- 5 Abbasi, F., Šimunek, J., Feyen, J., van Genuchten, M.T., Shouse, P.J., 2003b. Simultaneous  
6 inverse estimation of soil hydraulic and solute transport parameters from transient field  
7 experiments: Homogeneous soil. *T Asae* 46, 1085-1095.
- 8 American Society of Civil Engineers, A., 1993. Criteria for Evaluation of Watershed  
9 Models. *Journal of Irrigation and Drainage Engineering* 119, 429-442.
- 10 Barrett, P.D., Laidlaw, A.S., Mayne, C.S., 2004. An evaluation of selected perennial  
11 ryegrass growth models for development and integration into a pasture management  
12 decision support system. *J Agr Sci* 142, 327-334.
- 13 Beven, K.J., 2000. Uniqueness of place and process representations in hydrological  
14 modelling. *Hydrol Earth Syst Sc* 4, 203-213.
- 15 Brun, R., Reichert, P., Kunsch, H.R., 2001. Practical identifiability analysis of large  
16 environmental simulation models. *Water Resour Res* 37, 1015-1030.
- 17 Caldwell, T.G., Wöhling, T., Young, M.H., Boyle, D.P., McDonald, E.V., 2013.  
18 Characterizing Disturbed Desert Soils Using Multiobjective Parameter Optimization.  
19 *Vadose Zone J* 12.
- 20 Gandolfi, C., Facchi, A., Maggi, D., 2006. Comparison of 1D models of water flow in  
21 unsaturated soils. *Environ Modell Softw* 21, 1759-1764.
- 22 Krause, P., Boyle, D.P., Bäse, F., 2005. Comparison of different efficiency criteria for  
23 hydrological model assessment. *Adv. Geosci.* 5, 89-97.
- 24 Li, Y., Kinzelbach, W., Zhou, J., Cheng, G.D., Li, X., 2012. Modelling irrigated maize with  
25 a combination of coupled-model simulation and uncertainty analysis, in the northwest of  
26 China. *Hydrol Earth Syst Sc* 16, 1465-1480.
- 27 Mertens, J., Madsen, H., Kristensen, M., Jacques, D., Feyen, J., 2005. Sensitivity of soil  
28 parameters in unsaturated zone modelling and the relation between effective, laboratory and  
29 in situ estimates. *Hydrol Process* 19, 1611-1633.
- 30 Nasta, P., Vrugt, J.A., Romano, N., 2013. Prediction of the saturated hydraulic conductivity  
31 from Brooks and Corey's water retention parameters. *Water Resour Res* 49, 2918-2925.
- 32 Neuman, S.P., Wierenga, P.J., 2003. A comprehensive strategy of hydrogeologic modeling  
33 and uncertainty analysis for nuclear facilities and sites. Division of Systems Analysis and  
34 Regulatory Effectiveness, Office of Nuclear Regulatory Research, U.S. Nuclear Regulatory  
35 Commission, Washington, DC.
- 36 Rocha, D., Abbasi, F., Feyen, J., 2006. Sensitivity analysis of soil hydraulic properties on  
37 subsurface water flow in furrows. *J Irrig Drain E-Asce* 132, 418-424.
- 38 Schapendonk, A.H.C.M., Stol, W., van Kraalingen, D.W.G., Bouman, B.A.M., 1998.  
39 LINGRA, a sink/sourced model to simulate grassland productivity in Europe. *European J. of*  
40 *Agronomy* 9, 87-100.
- 41 Schneider, S., Jacques, D., Mallants, D., 2013. Inverse modelling with a genetic algorithm  
42 to derive hydraulic properties of a multi-layered forest soil. *Soil Res* 51, 372-389.
- 43 Šimunek, J., vanGenuchten, M.T., 1996. Estimating unsaturated soil hydraulic properties  
44 from tension disc infiltrometer data by numerical inversion. *Water Resour Res* 32, 2683-  
45 2696.
- 46 Verbist, K., Baetens, J., Cornelis, W.M., Gabriels, D., Torres, C., Soto, G., 2009. Hydraulic  
47 Conductivity as Influenced by Stoniness in Degraded Drylands of Chile. *Soil Sci Soc Am J*  
48 73, 471-484.

1 Verbist, K.M.J., Pierreux, S., Cornelis, W.M., McLaren, R., Gabriels, D., 2012.  
2 Parameterizing a coupled surface-subsurface three-dimensional soil hydrological model to  
3 evaluate the efficiency of a runoff water harvesting technique. *Vadose Zone J* 11.  
4 Vrugt, J.A., Schoups, G., Hopmans, J.W., Young, C., Wallender, W.W., Harter, T., Bouten,  
5 W., 2004. Inverse modeling of large-scale spatially distributed vadose zone properties using  
6 global optimization. *Water Resour Res* 40.  
7 Wegehenkel, M., Beyrich, F., 2014. Modelling hourly evapotranspiration and soil water  
8 content at the grass-covered boundary-layer field site Falkenberg, Germany. *Hydrolog Sci J*  
9 59, 376-394.  
10 Wöhling, T., Schütze, N., Heinrich, B., Šimůnek, J., Barkle, G.F., 2009. Three-dimensional  
11 modeling of multiple automated equilibrium tension lysimeters to measure vadose zone  
12 fluxes. *Vadose Zone J* 8, 1051-1063.  
13 Wöhling, T., Vrugt, J.A., 2011. Multiresponse multilayer vadose zone model calibration  
14 using Markov chain Monte Carlo simulation and field water retention data. *Water Resour*  
15 *Res* 47.  
16 Wöhling, T., Vrugt, J.A., Barkle, G.F., 2008. Comparison of three multiobjective  
17 optimization algorithms for inverse modeling of vadose zone hydraulic properties. *Soil Sci*  
18 *Soc Am J* 72, 305-319.  
19 Wolf, J., 2012. LINGRA-N a grassland model for potential, water limited and N limited  
20 conditions (FORTRAN). Wageningen University, Wageningen, The Netherlands.  
21 Wollschlager, U., Pfaff, T., Roth, K., 2009. Field-scale apparent hydraulic parameterisation  
22 obtained from TDR time series and inverse modelling. *Hydrol Earth Syst Sc* 13, 1953-1966.  
23 Zhou, J., Cheng, G.D., Li, X., Hu, B.X., Wang, G.X., 2012. Numerical Modeling of Wheat  
24 Irrigation using Coupled HYDRUS and WOFOST Models. *Soil Sci Soc Am J* 76, 648-662.

25

1 **Sensitivity of water stress in a two-layered sandy grassland**  
2 **soil to variations in groundwater depth and soil hydraulic**  
3 **parameters**

4 M. Rezaei<sup>1,2\*</sup>, P. Seuntjens<sup>1,2,3</sup>, I. Joris<sup>2</sup>, Wesley Boënne<sup>2</sup>, S. Van Hoey<sup>4</sup>, P.  
5 Campling<sup>2</sup>, W. M. Cornelis<sup>1</sup>

6 [1] Department of Soil Management, Ghent University, Coupure Links 653, B-9000 Ghent, Belgium.

7 [2] Unit Environmental Modeling, Flemish Institute for Technological Research (VITO NV), Boeretang  
8 200, B-2400 Mol, Belgium.

9 [3] Department of Bioscience Engineering, University of Antwerp, Groenenborgerlaan 171, B-2020  
10 Antwerp, Belgium,

11 [4] Department of Mathematical Modelling, Statistics and Bioinformatics, Ghent University., Coupure  
12 Links 653, 9000 Ghent, Belgium.

13 \* Correspondence to: M. Rezaei ([meisam.rezaei@ugent.be](mailto:meisam.rezaei@ugent.be); [meisam.rezaei@vito.be](mailto:meisam.rezaei@vito.be);

14 [meisam.rezaei@gmail.com](mailto:meisam.rezaei@gmail.com)) Department of Soil Management, Ghent University, Coupure Links 653, B-

15 9000 Ghent, Belgium. Tel: +3292646038, +3214336896, Cell phone: +32486446398, Fax: +3292646247

16 **Abstract**

17 Monitoring and modeling tools may improve irrigation strategies in precision agriculture. We  
18 used non-invasive soil moisture monitoring, a crop growth and a soil hydrological model to  
19 predict soil-water content fluctuations and crop yield in a heterogeneous sandy grassland soil  
20 under supplementary irrigation. The sensitivity of the model to hydraulic parameters, water  
21 stress, crop yield and lower boundary conditions was assessed. Free drainage and incremental  
22 constant head conditions was implemented in a lower boundary sensitivity analysis. A time-  
23 dependent sensitivity analysis showed that changes in soil water content are mainly affected  
24 by the soil saturated hydraulic conductivity  $K_s$  and the Mualem-van Genuchten retention  
25 curve shape parameters  $n$  and  $\alpha$ . Results further showed that different parameter optimization

1 strategies (two-, three-, four- or six-parameter optimizations) did not affect the calculated  
2 water stress and water content as significantly as does the bottom boundary. For this case, a  
3 two-parameter scenario, where  $K_s$  was optimized for each layer under the condition of a  
4 constant groundwater depth at 135-140 cm, performed best. A larger yield reduction, and a  
5 larger number and longer duration of stress conditions occurred in the free drainage condition  
6 as compared to constant boundary conditions. Numerical results showed that optimal  
7 irrigation scheduling using the aforementioned water stress calculations can save up to 12-  
8 22% irrigation water as compared to the current irrigation regime. This resulted in a yield  
9 increase of 4.5-6.5%, simulated by crop growth model.

10 **Keywords:** soil hydrological model; crop model; sensitivity analysis; groundwater level; soil  
11 water stress; irrigation management, saturated hydraulic conductivity, crop yield

## 12 **1 Introduction**

13 Efficient water use and optimal water supply to increase food and fodder productivity are of  
14 great importance when confronted with worldwide water scarcity, climate change, growing  
15 populations and increasing water demands (FAO, 2011). In this respect, irrigation efficiency  
16 which is influenced by the type of irrigation and irrigation scheduling is essential for  
17 achieving higher water productivity. In particular, precision irrigation is adopting new  
18 methods of accurate irrigation scheduling (Jones, 2004). Various irrigation scheduling  
19 approaches such as soil-based, weather-based, crop-based, and canopy temperature-based  
20 methods have been presented (Jones, 2004; Mohanty et al., 2013; Pardossi et al., 2009; Evett et  
21 al., 2008; Nasetto et al., 2012; Huo et al., 2012).

22 Numerical models are increasingly adopted in water resources planning and management.  
23 They contain numerical solutions of the Richards' equation (Richards, 1931) for water flow  
24 and root water uptake (Fernández-Gálvez et al., 2006; Vrugt et al., 2001; Skaggs et al., 2006)  
25 or contain reservoir cascade schemes (Gandolfi et al., 2006). Hydrological models require  
26 determination of hydraulic properties (Šimůnek and Hopmans, 2002), upper boundary  
27 conditions related to atmospheric forcing (evapotranspiration and precipitation) (Brutsaert,  
28 2005; Nasetto et al., 2012) and groundwater dynamics at the lower boundary of the soil profile  
29 (Gandolfi et al., 2006). Numerical models such as Hydrus 1D (Šimůnek et al., 2013) have  
30 been used in a wide range of irrigation management applications, for example, by Sadeghi  
31 and Jones (2012), Tafteh and Sepaskhah (2012), Akhtar et al. (2013), and Satchithanatham et

1 al. (2014). The tool has been combined with crop-based models for accurate irrigation  
2 purposes and for predicting the crop productivity for cotton (Akhtar et al., 2013), vegetables  
3 and winter wheat (Awan et al., 2012). The degree of soil-water stress was used for irrigation  
4 management by coupling a hydrological model (Hydrus-1D) with a crop-growth model  
5 (WOFOST) for maize (Li et al., 2012) and wheat (Zhou et al., 2012). The importance of  
6 correct average representation of the soil-plant-atmosphere interaction in numerical models  
7 has been stressed by (Wollschlager et al., 2009). A combination of crop growth model and the  
8 hydrological model enables calculating crop yield reduction based on soil-water stress derived  
9 by the hydrological model.

10 Direct measurement of hydraulic parameters may be inaccurate for predictions at the field  
11 scale (Verbist et al., 2012; Wöhling et al., 2008). As an alternative, parameters can be  
12 determined by inverse modeling. A single-objective inverse parameter estimation using the  
13 Levenberg–Marquardt optimization procedures has been used in different studies (Abbasi et  
14 al., 2004; Jacques et al., 2012; Šimůnek et al., 2013). A typical challenge in parameter  
15 optimization is the non-uniqueness of the parameters, leading to parameter identifiability  
16 problems (Hopmans et al., 2002). Non-uniqueness can be reduced by decreasing the number  
17 of parameters to be estimated based on a sensitivity analysis. Sensitivity analysis has been  
18 used to optimize parameter estimation, to reduce parameter uncertainty (Rocha et al., 2006),  
19 and to investigate the effects of various parameters or processes on water flow and transport  
20 (van Genuchten et al., 2012).

21 In this study, we used a combination of soil moisture monitoring and modeling to estimate  
22 hydraulic properties and to predict soil-water content in a two layered sandy soil for precision  
23 irrigation management purposes. The objective of this paper is to investigate the impact of  
24 parameter estimation and boundary conditions on the irrigation requirements, calculated using  
25 a soil hydrological model in combination with a crop growth model. The effect of changing  
26 bottom boundary conditions on model performance was evaluated in a first step. A systematic  
27 local sensitivity analysis was then used to identify dominant hydraulic model parameters. This  
28 was followed by a model calibration using inverse modeling with field data to estimate the  
29 hydraulic properties. Finally, the degree of soil-water stress was calculated with different  
30 parameterization scenarios to show to what extent hydrological model parameter choice and  
31 boundary conditions affect estimations of irrigation requirement and crop yield.

## 1 **2 Materials and Methods**

### 2 **2.1 Description of the Study Site**

3 The study site is located in a sandy agricultural area at the border between Belgium and the  
4 Netherlands (with central coordinates 51°19'05" N, 05°10'40" E), characterized by a  
5 temperate maritime climate with mild winters and cool summers. During the study period  
6 2011-2013, the farmer cultivated grass. The farm is almost flat (less than 1% sloping up from  
7 NW to SE) and runoff is not considered to be important. The measured depth of the  
8 groundwater table was between 80 and 155 cm and the Ap horizon thickness was between 30  
9 and 50 cm below the soil surface at various locations across the field depending on the  
10 topography. The field is partly drained by parallel drainage pipes which are placed at 10 to 20  
11 m intervals and at around 90 cm below the soil surface (as measured in the ditch). Drainage  
12 pipes are connected to a ditch in the North-West border of the field. Figure 1 shows the  
13 location and layout of the field. Reel Sprinkler Gun irrigation (type Bauer rainstar E55,  
14 Röhren- und Pumpenwerk BAUER Ges.m.b.H., Austria) was used on a 290 m by 400 m field  
15 to improve crop growth in the sandy soil during dry periods in summer. The field was  
16 irrigated three times throughout each growing season (2012: 64.5 mm and 2013: 85.4 mm).

17 Figure 2 shows the soil profile, a typical Podzol (Zcg-Zbg type according to the Belgian soil  
18 classification or cambisol according to WRB, (FAO, 1998)) consisting of a uniform dark  
19 brown layer of sandy soil (Ap horizon, 0 to 33 cm) with elevated organic matter content,  
20 followed by a yellowish to white sandy soil, including stones and gravels, (C1 horizon, 33 to  
21 70 cm). A deeper horizon is light gray sandy soil (C2 horizon, 70 to 135 cm), including more  
22 stones and gravels (max 20%), but having similar hydraulic properties as the C1 horizon.  
23 Maximum grass root density was found at about 6 cm and decreased from 6 to 33 cm: (based  
24 on field observation during profile excavation). The properties of the two layers are  
25 summarized in Table 1.

26

### 27 **2.2 Field Monitoring System**

28 The site was equipped with two weather stations (type CM10, Campbell Scientific Inc., Utah,  
29 USA), one in the study field and another 100 m away from the field. Soil-water content was  
30 recorded (from 1 Mar. until 25 Nov. in both 2012 and 2013) using a water content profile

1 probe (type EasyAG50, Sentek Technologies Ltd., Stepney, Australia), placed vertically, that  
2 measures soil-water content at 10, 20, 30, 40 and 50 cm depths. The weather stations were  
3 connected to a CR800 data logger (Campbell Scientific Inc., Utah, USA) and the water  
4 content profile probe provided the soil water content wirelessly. All measurements were taken  
5 on an hourly basis and an hourly reference evapotranspiration was calculated based on the  
6 Penman–Monteith equation (Allen et al., 1998) using weather station data. The amount of  
7 irrigation was derived by subtracting measurements of rain gauges of the field's weather  
8 station (i.e. rainfall and irrigation) and the local meteorological station (i.e. only rainfall)  
9 outside the study field. Grass yield was measured at each harvesting time (4 times in each  
10 growing season) across the field (Fig. 3).

11 At the sensor location (indicated by the star on the map in Figure 1), duplicate undisturbed  
12 ( $100\text{ cm}^3$  Kopecky rings, Eijkelkamp Agrisearch Equipment, Giesbeek, the Netherlands) soil  
13 samples were taken to determine the soil saturated hydraulic conductivity and water retention  
14 curve, and one disturbed sample to measure soil properties such as texture, dry bulk density  
15 and organic matter, from the Ap (topsoil) and C (subsoil) horizons in June 2013. Groundwater  
16 depth at the sensor location was measured four times on 4 June and 5 October 2012 (140 and  
17 136 cm, respectively), and 24 June and 25 October 2013 (135 and 133 cm, respectively) using  
18 augering.

19 The saturated hydraulic conductivity ( $K_s$ ) was determined using a constant head laboratory  
20 permeameter (M1-0902e, Eijkelkamp Agrisearch Equipment, Giesbeek, the Netherlands). The  
21 soil-water retention curve, (SWRC,  $\theta(h)$ ), was determined using the sandbox method  
22 (Eijkelkamp Agrisearch Equipment, Giesbeek, the Netherlands) up to a matric head of -100  
23 cm and the standard pressure plate apparatus (Soil moisture Equipment, Santa Barbara CA,  
24 USA) for matric heads equal to or below -200 cm, following the procedure outlined in  
25 (Cornelis et al., 2005). Bulk density was obtained by drying volumetric soil samples ( $100$   
26  $\text{cm}^3$ ) at  $105\text{ }^\circ\text{C}$ . Particle size distribution of the mineral component was obtained using the  
27 pipette method for clay and silt fractions and the sieving method for sand particles (Gee and  
28 Bauder, 1986). The organic matter content was determined by method of Walkley and Black  
29 (1934).

30 Soil hydraulic properties were determined according to the van Genuchten (1980) and  
31 Mualem (1976) conductivity model (MVG model). The parameters of the water retention

1 equation were fitted to the observed data set using the RETC, version 6.02 (van Genuchten et  
2 al., 1991). The MVG model (Mualem, 1976;van Genuchten, 1980) is given by:

$$S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r} \quad (1)$$

$$S_e(h) = 1 \quad h \geq 0 \quad (2)$$

$$S_e(h) = (1 + |\alpha h|^n)^{-m} \quad h < 0; \text{ where } m = 1 - \frac{1}{n} \quad (3)$$

$$K(S_e) = K_s S_e^l \left[ 1 - (1 - S_e^{\frac{1}{m}})^m \right]^2 \quad (4)$$

3 where  $\theta_s$ ,  $\theta_r$ , and  $\theta$  are the saturated, residual and actual volumetric water content respectively  
4 ( $L^3L^{-3}$ ),  $\alpha$  is the inverse of air entry value ( $L^{-1}$ ),  $n$  is a pore size distribution index  $> 1$ ,  $m=1-1/n$   
5 (dimensionless),  $S_e$  is the effective saturation (dimensionless), and  $l$  is a pore connectivity and  
6 tortuosity parameter in the hydraulic conductivity function, which is assumed to be 0.5 as an  
7 average for many soils (Mualem, 1976).

8

## 9 **2.3 Modeling at Monitoring Locations**

### 10 **2.3.1 Simulation of leaf area index and grass yield**

11 The simple generic crop growth model, LINGRA-N model (Wolf, 2012) which can calculate  
12 grass growth and yields under potential (i.e. optimal), water limited (i.e. rain fed) and  
13 nitrogen limited growing conditions, was used to calculate the leaf area index (LAI) and grass  
14 yield. This tool was calibrated and tested for perennial rye grass and natural annual grass over  
15 Europe (Barrett et al., 2004;Schapendonk et al., 1998). LINGRA-N simulates the growth of a  
16 grass crop as a function of intercepted radiation, temperature, light use efficiency and  
17 available water (Wolf, 2012). ~~The LAI and yield was simulated with a daily time intervals.~~  
18 ~~The simulated LAI were.~~ The LAI and crop growth simulations were carried out from 1  
19 January 2012 to 31 December 2013. The model calculated LAI and yield on a daily time  
20 intervals using daily weather data, solar radiation ( $\text{kJ m}^{-2} \text{d}^{-1}$ ), minimum temperature ( $^{\circ}\text{C}$ ),  
21 maximum temperature ( $^{\circ}\text{C}$ ), vapour pressure (kPa), wind speed ( $\text{m s}^{-1}$ ) and precipitation (mm  
22  $\text{d}^{-1}$ ). A grass crop data file is available mainly derived from WOFOST. Soil data for our soil  
23 were produced using measured values of soil moisture content at air dry (pF=6), wilting point  
24 (pF= 4.2), field capacity (pF= 2.3) and at saturation and also percolation to deeper soil layers



1 | (cm day<sup>-1</sup>) in the laboratory. The maximum rooting depth was adjusted to 40 cm. Irrigation  
2 | supply was imposed at the specific applied times with optimal nitrate application. The  
3 | simulated LAI was scaled to an hourly basis using linear interpolation between two adjacent  
4 | simulated daily values of LAI. The model was run for optimal (no water limitation) and  
5 | realistic conditions (actual water inlet i.e. irrigation and rainfall) for each growing season.  
6 | Figure 3 represents predicted LAI and grass yield of 2012 and 2013.

### 7 | **2.3.2 Simulation of Water Flow**

8 | The simulated soil profile in the model extends to 150 cm depth and is divided into two  
9 | layers: Layer 1 (0 to 33 cm) and Layer 2 (33 to 150 cm). Simulation of root water uptake and  
10 | water flow, which is assumed to be in the vertical direction in the vadose zone, was carried  
11 | out for two growing seasons (from 1 Mar. until 25 Nov. in 2012 and 2013) using Hydrus-1D  
12 | version 4.16 which solves the 1-D Richards' equation:

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ K(h) \left( \frac{\partial h(\theta)}{\partial z} + 1 \right) \right] - S(h) \quad (5)$$

13 | Where  $\theta$  is the volumetric water content ( $L^3L^{-3}$ ),  $t$  is time (T),  $z$  is the radial and vertical  
14 | space coordinate taken positive downward (L),  $K(h)$  is the unsaturated hydraulic conductivity  
15 | function ( $LT^{-1}$ ),  $h$  is the pressure head (L), and  $S(h)$  represents a sink term ( $L^3L^{-3}T^{-1}$ ), defined  
16 | as the volume of water removed from a unit volume of soil per unit time due to plant water  
17 | uptake.

18 | To solve the Eq. 5, the van Genuchten-Mualem (MVG) soil hydraulic model (Eqs. 1-4)  
19 | without air entry value and without hysteresis was used. The initial pressure head distribution  
20 | was calculated using the inverse of Equation (3),  $h(S_e)$ , from the measured initial water  
21 | content of each observation node. These point values were then interpolated linearly from the  
22 | deepest observation node to the groundwater level ( $h=0$ , GWL). The pore connectivity  
23 | parameter of the MVG model was fixed at  $l=0.5$ . The upper condition for water flow was an  
24 | atmospheric boundary condition (based on rainfall and irrigation water supply, leaf area index  
25 | (LAI) calculated by LINGRA-N (see 2.3.1) and reference evapotranspiration ( $ET_e$ )) with  
26 | surface runoff. The  $ET_e$  was initially used without adjusting the crop coefficient assuming that  
27 | grass at our site did not differ much from the reference crop. The Feddes' model (Feddes et

Formatted: Subscript

Formatted: Subscript

1 al., 1978) without solute stress was used for root water uptake. The default grass parameters  
2 values provided by Hydrus-1D were used (Taylor and Ashcroft, 1972).

3

## 4 **2.4 Soil-Water Stress and yield reduction**

5 The Feddes' model (Feddes et al., 1978) as the sink term of Richards' equation Eq. (5),  $S(h)$ ,  
6 is specified in terms of quantify potential root water uptake and water stress, as:

$$S(h) = w(h)R(x)T_p \quad (6)$$

7 | where  $R(x)$  is the root distribution function (cm),  $T_p$  is potential transpiration ( $\text{cm}_h^{-1}$ ), and  $w(h)$   
8 is the water stress response function ( $0 \leq w(h) \leq 1$ ) which prescribes the reduction in uptake  
9 that occurs due to drought stress . Crop specific values of this reduction function are chosen  
10 from the default Hydrus data set. The actual plant transpiration is calculated numerically, as:

$$T_a = \int_{L_r} S(h)dx = T_p \int_{L_r} w(h)R(x)dx \quad (7)$$

11 Where  $L_r$  is the rooting depth (cm).

12 By assuming root water uptake is equal to actual transpiration, the ratio of actual to potential  
13 transpiration by the root uptake was introduced as a degree of water stress, DWS, (Jarvis,  
14 1989), as:

$$\left| \frac{T_a}{T_p} DWS = \frac{T_a}{T_p} = \int_{L_r} w(h)R(x)dx \quad (8) \right.$$

Formatted Table

15 The effect of the boundary conditions and parameter uncertainty on soil-water stress was  
16 evaluated using the ratio between the calculated actual water uptake/actual transpiration and  
17 the potential transpiration provided by the model (Li et al., 2012;Zhou et al., 2012). In optimal  
18 and stress free conditions, this ratio should be (close to) unity ( $>0.90$  of maximum reference  
19 evapotranspiration).

1 The ratio between actual crop evapotranspiration and potential evapotranspiration was  
2 introduced as a water stress factor equal to the crop yield reduction due to water shortage  
3 (Doorenbos and Kassam, 1979), given as:

$$1 - \frac{Y_a}{Y_m} = K_y \left(1 - \frac{ET_a}{ET_p}\right) \quad (9)$$

4 Where  $Y_a$  is actual crop yield,  $Y_m$  is the maximum crop yield in optimal condition,  $K_y$  is the  
5 crop yield factor (for grass  $K_y=1$ ),  $ET_a$  is actual crop evapotranspiration estimated by the  
6 model. The  $Y_m$  value was simulated using LINGRA-N in optimal condition (no water stress)  
7 for 2012 and 2013 growing seasons.  $ET_p$  is potential evapotranspiration and can be calculated  
8 from the reference evapotranspiration by:

$$ET_p = ET_0 \times K_c \quad (10)$$

9 Where  $K_c$  is the crop coefficient and equal to one, assuming that grass at our site did not differ  
10 much from the reference crop. Accordingly, crop yield reduction of each scenario was  
11 calculated using Eq. 9 for both periods to show to what extent different scenarios affect soil  
12 water stress and crop yield.

## 13 2.5 Sensitivity Analysis

14 ~~The contribution of each input factor or parameter to the uncertainty of the model output is~~  
15 ~~determined by sensitivity analysis (SA). To reduce the number of parameters that need to be~~  
16 ~~optimized, local sensitivity analyses are often performed that evaluate model output for each~~  
17 ~~parameter perturbation using a one at a time approach. Relevant parameters have major~~  
18 ~~effects on output variables with only a small change in their value (Saltelli et al., 2008).~~  
19 ~~Generally, in model calibration purposes, a local SA is used to find the most relevant~~  
20 ~~parameters and the analysis is invariant with time. Here, a dynamic (time variable) local~~  
21 ~~sensitivity analysis was conducted by linking Equations (11-14), programmed in Python~~  
22 ~~software (<https://www.python.org/>) to Hydrus-1D. A dynamic sensitivity function can be~~  
23 ~~written as follows:~~

24 The effect of each input factor or parameter on the model output is determined by a local  
25 sensitivity analysis (SA), using a one-at-a-time (OAT) approach. We used this approach  
26 because it allows a clear identification of single parameter effects. Relevant parameters have

Formatted: Subscript

Formatted: Subscript

1 major effects on output variables with only a small change in their value (Saltelli et al., 2008).  
 2 Sensitivity analysis is, among other purposes, used to find the most relevant parameters which  
 3 enable a reduction of the number of parameters that need to be optimized. In a local  
 4 sensitivity analysis, only the local properties of the parameter values are taken into account in  
 5 contrast to global sensitivity analysis which computing a number of local sensitivities. Since  
 6 the interest in this study goes specifically to the measured (parameter) values in the field, a  
 7 local sensitivity analysis is chosen. Furthermore, an OAT approach (local or global) does not  
 8 provide direct information about higher and total order parameter interaction as is provided by  
 9 variance based sensitivity analysis (Saltelli et al., 2008). However, by evaluating the  
 10 parameter sensitivities in time, insight is given about potential interaction when similar  
 11 individual effects are observed. The latter can be quantified by a collinearity analysis (Brun et  
 12 al., 2001), but will be done graphically in this contribution. Here, a dynamic (time-variable)  
 13 local sensitivity analysis was conducted by linking Equations (11-14), programmed in Python  
 14 software (<https://www.python.org/>) to Hydrus-1D. A dynamic sensitivity function can be  
 15 written as follows:

$$SSF(t) = \frac{\partial y(t)}{\partial x} \quad (11)$$

16 where  $SSF(t)$ ,  $y(t)$ , and  $x$  denote the sensitivity function, output variable and parameter  
 17 respectively. If an output variable ( $y$ ) significantly changes (evaluated by calculating the  
 18 variance or coefficient of determination or by visualizing in a scatter plot) due to small  
 19 changes of the parameter of interest  $x$ , it is called a sensitive parameter.

20 This partial derivative can be calculated analytically or numerically with a finite different  
 21 approach by a local linearity assumption of the model on the parameters. Local sensitivity  
 22 functions evaluate the partial derivative around the nominal parameter values. The central  
 23 differences of the sensitivity function are used to rank the parameter sensitivities and can be  
 24 expressed as follows:

$$\Delta x = p_f \cdot x_j \quad (12)$$

$$CAS = \frac{\partial y(t)}{\partial x} = \lim_{\Delta x_j \rightarrow 0} \frac{y(t, x_j + \Delta x_j) - y(t, x_j - \Delta x_j)}{2\Delta x_j} \quad (13)$$

$$CTRS = \frac{\partial y(t)}{\partial x} \cdot \frac{x_j}{y}, \quad CPRS = \frac{\partial y(t)}{\partial x} \cdot x_j \quad (14)$$

Field Code Changed

Formatted Table

Formatted Table

1 where  $p_f$  is the perturbation factor,  $x_j$  is the parameter value and  $\Delta x_j$  is the perturbation, CAS is  
2 the Central Absolute Sensitivity, CTRS is the Central Total Relative Sensitivity analysis, and  
3 CPRS is a Central Parameter Relative Sensitivity. Since the parameters and variables have  
4 different orders of magnitude for which the sensitivity is calculated, direct comparison of the  
5 sensitivity indices with CAS is not possible. Hence, recalculation towards relative and  
6 comparable values is needed. In order to compare the sensitivity of the different parameters  
7 towards the different variables, CTRS is preferred. CPRS is sufficient when the sensitivity of  
8 different parameters is compared for a single variable, i.e., soil-water content.

9 Given the output accuracy of Hydrus-1D (0.001), a perturbation factor of 0.1 was chosen. To  
10 carry out the sensitivity analysis, each hydraulic parameter ( $K_s$ ,  $\theta_r$ ,  $\theta_s$ ,  $\alpha$ , and  $n$ ) in each layer  
11 was varied (measured value  $\pm$  perturbation factor) and its CTRS was calculated (Eq. 13-14),  
12 while the values of other parameters were fixed to the measured values. The model was ran in  
13 forward mode 20 times, i.e., 10 runs for each layer and two runs for each parameter. A weak  
14 direct effect of a parameter in SA is denoted by low absolute values close to zero. A positive  
15 effect is expressed by a positive value and a negative effect by a negative value.

Formatted: Subscript

Formatted: Subscript

Formatted: Subscript

16

## 17 **2.6 Model Calibration and validation**

### 18 **2.6.1 Model calibration**

19 ~~The~~For accurate parameter estimation, the longer period such a growing season (i.e. 2012)  
20 with several drying and wetting events was selected. It is also suggested by Wöhling et al.  
21 (2009); Wöhling et al. (2008). Therefore, the period between 1 Mar. 2012 (00:00 h) and 25  
22 Nov. 2012 (23:00 h) was used as the calibration period. We used a time interval of two hours,  
23 resulting in 12960 soil-water content records based on hourly precipitation and evaporation  
24 input data. Based on our experience we found out those number of data are sufficient for  
25 optimization purposes. The objective functions to be optimized were soil water content and  
26 water retention data for both soil layers with unit weighting. In the calibration, we optimized  
27 only the values of the most sensitive parameters ( $K_s$ ,  $n$ , and  $\alpha$ ) of the two layers, taking initial  
28 values of hydraulic parameters for each layer equal to the values estimated by the RETC  
29 program for the independent field samples, while keeping the insensitive hydraulic parameters  
30 ( $\theta_s$ ,  $\theta_r$ ) fixed to the measured values. Thirty seven parameter optimization scenarios were

1 selected and analyzed to identify correlations among optimized parameters and to identify the  
 2 most influential parameter sets on soil water stress and water content in different lower  
 3 boundary conditions. The thirty seven scenarios comprised optimizing all six parameters  
 4 simultaneously (1 scenario), four parameters (9 scenarios), three parameters (18 scenarios)  
 5 and two parameters (9 scenarios). Finally, the best performing parameter set - based on  
 6 performance criteria, the correlation between optimized parameters (non-uniqueness of the  
 7 parameter sets) and the visual inspection of simulated and observed soil-water content - was  
 8 selected for validation using independent data from 2013 (from 1 Mar. until 12 Sep. 2013).

9

## 10 2.6.2 Model Evaluation and Statistical Analysis

11 The performance of models can be evaluated with a variety of statistics (Neuman and  
 12 Wierenga, 2003). ~~The root-mean-square errors (RMSE), the coefficient of determination ( $r^2$ ),  
 13 and the Nash-Sutcliffe coefficient of model efficiency (American Society of Civil Engineers,  
 14 1993), are popular and widely used performance criteria to evaluate the difference between  
 15 observed and modeled data (Krause et al., 2005).~~ It has been known that there is no  
 16 efficiency criteria which performs ideally. Each of the criteria has specific pros and cons  
 17 which have to be taken into account during model calibration and evaluation. It suggested a  
 18 combination of different efficiency criteria to assess of the absolute or relative volume error  
 19 (Krause et al., 2005). The root-mean-square errors (RMSE), the coefficient of determination  
 20 ( $r^2$ ), and the Nash-Sutcliffe coefficient of model efficiency ( $C_e$ ) (American Society of Civil  
 21 Engineers, 1993), are popular and widely used performance criteria to evaluate the difference  
 22 between observed and modeled data (Wöhling and Vrugt, 2011;Verbist et al., 2012;Gandolfi  
 23 et al., 2006;Vrugt et al., 2004;Wollschlager et al., 2009;Nasta et al., 2013;Verbist et al.,  
 24 2009). They are calculated as follows:

$$C_e = 1 - \frac{\sum_{i=1}^n (O_i - S_i)^2}{\sum_{i=1}^n (O_i - \bar{O})^2} \quad (15)$$

$$r^2 = \left( \frac{\sum_{i=1}^n (O_i - \bar{O})(S_i - \bar{S})}{\sqrt{\sum_{i=1}^n (S_i - \bar{S})^2 \sum_{i=1}^n (O_i - \bar{O})^2}} \right)^2 \quad (16)$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (O_i - S_i)^2}{n}} \quad (17)$$

1

2 where  $O$  and  $S$  are observed and simulated values at time/place  $i$ , respectively.

3  $C_e$  and  $r^2$  are considered to be satisfying when they are close to one, while RSME should be  
4 close to zero.  $C_e$  may result in negative values when the mean square error exceeds the  
5 variance (Hall, 2001).

## 6 **2.7 Irrigation Scheduling**

7 The value of soil-water stress, and the number and the duration of stress periods was  
8 calculated for two growing seasons (2012 and 2013), as an indicator for the performance of  
9 the irrigation scheduling (van Dam et al., 2008). To optimize the irrigation scheduling (timing  
10 of application), the actual water supply (all irrigation events) was deleted from the model  
11 input of the hydrological model. Secondly, the LAI simulated with the LINGRA-N for  
12 optimal conditions (no water stress) was used as a variable in the hydrological model. Then,  
13 the hydrological model with a constant bottom boundary condition was run with the new  
14 input variables to elucidate water stress without actual water supply. Subsequently, the  
15 required irrigation was added to the precipitation at the beginning of each water stress period  
16 to exclude water stress from the simulations. To simulate crop yield at the optimized  
17 condition, the new precipitation variables (rainfall and required irrigation) were used in  
18 LINGRA-N model. The optimal yield obtained using the optimized irrigation scheduling was  
19 compared to the actual (simulated and measured) yield of current irrigation management  
20 practices.

## 21 **3 Results and Discussion**

### 22 **3.1 Parameter Sensitivity Analysis**

23 Due to the variable rainfall, irrigation, evapotranspiration and drainage, the soil-water content  
24 changes in the soil profile, and, consequently, parameter sensitivities are time dependent. The  
25 soil-water content has a low sensitivity to  $\theta_s$  and  $\theta_r$ , especially for the second layer. Low  
26 sensitivities to  $\theta_r$  have been reported by others (Kelleners et al., 2005; Mertens et al.,  
27 2006; Wöhling et al., 2008).

1 Figure 4 illustrates the results of the sensitivity analysis as a function of time for the most  
2 influential parameters  $\alpha$ ,  $n$ , and  $K_s$ , and for both soil layers as depicted by the suffix 1 for  
3 layer 1 and suffix 2 for layer 2. A weak direct effect of a parameter is reflected by low  
4 absolute values (close to zero).

5 The results show for all parameters a general change in sensitivity with time with the seasonal  
6 changes in irrigation application and rainfall. Generally, all soil hydraulic parameters showed  
7 higher sensitivity in dry periods as compared to wet periods. On the other hand, there is a  
8 clear effect of parameter variability in layer 1 on water content estimation at 10 cm, and the  
9 effect is slightly declining at 20 and 30 cm, which suggested the great importance and  
10 influence of upper boundary variables especially evapotranspiration. Similar results were  
11 observed by Rocha et al. (2006). They found soil water content and pressure heads were most  
12 sensitive to hydraulic parameters variation in the dry period near the soil surface using local  
13 sensitivity analysis of Hydrus.

14 Soil-water content is sensitive to variations of  $\alpha$ ,  $n$ , and  $K_s$  in both layers. The sensitivity is the  
15 largest for  $n$ ,  $\alpha$  and less so for  $K_s$  in the first layer. For the second layer, soil-water content was  
16 most sensitive to  $\alpha$  followed by  $n$  and  $K_s$ . Abbasi et al. (2003) reported that  $n$ ,  $\theta_s$  and  $K_s$  were  
17 most sensitive parameters in their study which more pronounced in deeper parts, however  
18 they also observed some sensitivity near the soil surface during the drier conditions. The most  
19 sensitive parameters were  $\theta_s$ ,  $n$  and  $\alpha$  and less sensitive parameter was  $K_s$  in study of  
20 Schneider et al. (2013) using Hydrus-1D. They found large interaction (correlation) among  
21 sensitive parameters. In contrast, Wegehenkel and Beyrich (2014) reported that soil water  
22 content predictions were most sensitive to  $\theta_r$  and  $\theta_s$  and least sensitive to  $\alpha$ ,  $n$ , and  $K_s$  input  
23 parameters using hydrus-1D. Similarly, Caldwell et al. (2013) found  $\theta_r$ ,  $n$  and  $l$  were sensitive  
24 and  $\theta_s$ ,  $\alpha$  and  $K_s$  were insensitive to water content simulation. In dry periods, there is a general  
25 negative correlation between  $n$  and  $\alpha$  on the one hand and soil-water content on the other  
26 hand, whereas a positive correlation exists between  $K_s$  and soil-water content (Fig. 4). Figure  
27 4 shows that in the first layer, the soil-water content is more influenced by rainfall at 10 cm  
28 than at 30 cm (higher and lower sensitivity for observation nodes 10 and 30 cm, respectively,  
29 within first layer).

30 The fact that the model predictions in the upper part of the soil profile are extremely sensitive  
31 to variations in hydraulic parameters in dry periods, is of great importance to irrigation  
32 management. To improve the timing of irrigation in these crucial periods, numerical soil



1 models that are used to determine irrigation requirement, need to be well parameterized for  $\alpha$ ,  
2  $n$  and  $K_s$ .

### 3 **3.2 Model Calibration**

4 Since soil-water content prediction was insensitive to the parameters  $\theta_s$  and  $\theta_r$ , they were  
5 fixed to the measured (initial) values (Table 1). Similar strategies were used by (Verbist et al.,  
6 2012; Schwartz and Evett, 2002).

7 The model was run inversely using time series of soil-water content with values for  $\alpha$ ,  $n$  and  
8  $K_s$  being optimized for the two layers (i.e., six-parameter optimization scenario). A significant  
9 correlation appears between optimized  $\alpha$  and  $K_s$  for both layers (layer 1:  $r= 0.85$ ; layer 2:  
10  $r=0.95$  constant head; and layer 1:  $r= 0.82$ ; layer 2:  $r=0.80$  free drainage) and between  
11 optimized  $n$  and  $\alpha$  (both layers:  $r=-0.99$  constant head; and layer 1:  $r=-0.83$  and layer 2:  $r=-$   
12  $0.84$  free drainage) within each layer, but not between layers. On the other hand, there is a  
13 significant correlation between  $n$  and  $K_s$  in both layers (layer 1:  $r= -0.85$ ; layer 2:  $r=-0.94$   
14 constant head; and layer 1:  $r= -0.75$ ; layer 2:  $r=-0.98$  free drainage). This means that  $\alpha$ ,  $n$ , and  
15  $K_s$  within one layer cannot be determined independently and different sets of correlated  
16 parameters lead to very similar predictions of soil-water content. The high correlation  
17 between optimized parameters within a layer leads to a large uncertainty of the final  
18 parameter estimates (Hopmans et al., 2002). To avoid non-uniqueness of the inverse solution  
19 (Šimůnek and Hopmans, 2002), 36 additional systematic four-, three- and two-parameter  
20 optimizations were conducted. All optimizations resulting in correlations among the  
21 optimized parameters were removed and only the optimization scenarios with the uncorrelated  
22 parameters were kept. This resulted in parameter values as shown in Table 2 for a constant  
23 head corresponding to a groundwater depth of -140 cm and free drainage. For comparison  
24 purposes, six parameter scenario (all parameters optimized) and only the best performing  
25 optimization with two parameters is presented for the other boundary condition (i.e., GWL = -  
26 120 cm).

27 The performance results of the parameter optimizations according to the performance criteria  
28 for all scenarios with uncorrelated parameters and different boundary conditions are presented  
29 in Table 3, together with the performance of the six parameter scenario. The results show that  
30 a two parameter optimization (optimizing only  $K_s$  in both layers) performs equally well as  
31 compared to a six-, four- or three-parameter scenario for all performance criteria and

1 observation depths. However, parameters in the six parameter scenario are considered  
2 unidentifiable due to their correlations. In this case, the model was not able to find a global  
3 minimum but found a local minimum (Marquardt-Levenberg method) due to the high  
4 dimensionality of the problem (Ritter et al., 2003) and the large uncertainty of the optimized  
5 values.

6 Large differences in model performance were obtained when using free drainage or constant  
7 head conditions (Table 3). After optimization, the  $r^2$  for different free drainage and constant  
8 head conditions and various optimization scenarios was similar, while  $C_e$  and RSME were  
9 different. Overall, the performance of the model to predict soil-water content at 40 cm was  
10 lowest. The model performs well for the 10, 20, and 30 cm depths where the plant roots are  
11 concentrated and which are consequently the most critical in terms of irrigation optimization.  
12 The model with a constant head (-140 cm) clearly performed better than the free drainage  
13 boundary condition. The smallest differences were detected at the top node (10 cm) compared  
14 to deeper nodes in constant head and free drainage conditions. The optimization approach  
15 showed that the free drainage condition was unsuccessful to predict soil water content  
16 sufficiently well in agreement with observations, even using different parameter estimations.

17 The two-parameter scenario requires less parameters (one parameter for each layer) to be  
18 optimized, performs better as compared to the uncalibrated model (see supplementary  
19 materials) and is therefore to be preferred. Large confidence limits indicate uncertain  
20 estimations of a particular parameter (Šimůnek and Hopmans, 2002). The optimized  $K_s$  with  
21 95% confidence limits (CL) for the first and second layer were 1.20 (1.15 – 1.24)  $\text{cm}\cdot\text{h}^{-1}$ , and  
22 2.17 (2.06 – 2.26)  $\text{cm}\cdot\text{h}^{-1}$ , respectively, in the two-parameter scenario with -140 cm GWL.  
23 Therefore, this optimization result was considered the best and was chosen for the evaluation  
24 run.

### 25 3.3 Model Evaluation

26 The validation results (using the same hydraulic parameters values as in the calibration  
27 period) under different upper (rainfall and water supply,  $ET_p$ , LAI) and lower (groundwater  
28 depth, i.e. -135 cm) boundary conditions, show that ~~the model performs less well as compared~~  
29 ~~to performance during~~ the calibration was superior to the validation period at all observation  
30 depths (Fig. 5, Table 3). The same result was reported by (Ritter et al., 2003), Wöhling et al.  
31 (2008), Wöhling et al. (2009). Similar to the calibration period, soil-water content was

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Subscript

1 predicted better during the rain and irrigation period than in the dry period. Specifically, soil-  
2 water content was overpredicted during summer months (June-August) and underpredicted  
3 during winter and spring. Wöhling et al. (2009) explained that the differences can be partly  
4 attributed to non-uniqueness of the optimization process, inadequacy of the model structure,  
5 the large number of optimized parameters, different information content in the calibration and  
6 evaluation data, and seasonal changes in soil hydraulic properties. To what extent the soil  
7 water content prediction affects the calculated irrigation requirements, is shown in the  
8 subsequent paragraph.

9

### 10 **3.4 Effect of Optimization Scenarios on Estimated Water Stress and** 11 **yield reduction**

12 Using the two-parameter optimization scenario (Table 4), the calculated potential-reference  
13 evapotranspiration ( $ET_p$ ) values for 2012 and 2013 (same period from 1 Mar. to 12 Sep.) were  
14 523 and 524 mm, respectively. The cumulative actual transpiration and evaporation, provided  
15 by the hydrological model, were 353 and 86 mm for the calibration (2012) and 343 and 114  
16 mm for validation (2013) periods. Calculated cumulative actual fluxes across the bottom of  
17 the soil profile were -15.4 mm (outflow) and 63.3 mm (upward inflow), respectively. The  
18 calculations are valid for the location where the soil moisture sensor was placed, i.e., in the  
19 dryer part of the field with groundwater depths below 120 cm. The sum of irrigation and  
20 precipitation over the simulation period was 463 mm (64.5 mm irrigation and 398.5 mm  
21 precipitation) in 2012 and 428.7 mm (85.4 mm irrigation and 343.3 mm precipitation) in  
22 2013. In 2013, the amount of water from irrigation and rainfall was lower as compared to  
23 2012, resulting in a larger recharge from the groundwater. Generally, the periods of water  
24 stress was 671 h in 2012 and 675 h in 2013 (Table 4). Despite these similarity, the extent of  
25 soil water stress was larger in 2013 as compared to 2012. This can be attributed that the first  
26 water stress event in 2012 with about 328 h duration is not related to soil water availability  
27 but is also due to climate limitations (low temperature and light-radiation limitation). No  
28 significant reduction or increase in yield and LAI was achieved during this first water stress  
29 event in current and optimum conditions (Fig. 3).

30 There was a significant effect of the bottom boundary condition on the calculated water stress.  
31 A free drainage condition resulted in a larger number, longer duration of stress conditions

Formatted: Subscript

1 (Fig. 6 and Table 4) and overestimated water stress due to excessive recharge to the  
2 groundwater (more than 148 mm). On the other hand, a shallower imposed groundwater level  
3 (-120 cm) creates less estimated water stress (Fig 6 and Table 4), because this boundary  
4 condition allows inflow (upward flow) from ground water table. When the ground water level  
5 was -140 cm the outflow of the bottom flux increase from six-optimized parameters scenario  
6 (-4.6 mm) to two- parameters scenario (-15.4 mm) in calibration period. While upward flow  
7 increased with increasing number of optimized parameters in validation period (63.3 to 76.9  
8 mm). But these inflow did not meet the crop water requirement (see next paragraph). Huo et  
9 al. (2012) reported that the maximum contribution of ground water level to crop water  
10 requirement occurred when the groundwater level was less than 100 cm. Overall, to overcome  
11 the water stress effects on crop yield, additional required irrigation should be supplied for  
12 different optimization scenarios and boundary conditions. During water stress, yield reduction  
13 would be in range of 0 to 33% for different optimization scenarios (Table 4). In addition, two-  
14 to six-parameter optimizations showed a similar value in yield reduction (16% for two and  
15 13% for three- to six-parameter in calibration and 13% for two and 11% for three to six-  
16 parameters to be optimized in validation periods). The maximum yield reduction occurred in  
17 the free drainage condition among different boundary conditions and parameter optimization  
18 scenarios. Different parameter optimization strategies (two-, three-, four- or six-parameter  
19 optimizations) do not affect the calculated water stress as significantly as does the bottom  
20 boundary. Therefore, these results suggest that simultaneous optimization is needed for  
21 irrigation management purposes, i.e. optimize/choosing boundary conditions to accurately  
22 describe recharge to or from groundwater and, in second order, optimize hydraulic parameters  
23 to accurately describe soil-water content variation in the topsoil.

### 24 **3.5 Irrigation scheduling scheme**

25 The simulated results further showed that, to avoid drought stress during summer, a more  
26 accurate irrigation schedule would be needed in the dryer part of the field. It would be better  
27 to supply water in June and July instead of a huge amount in late summer or at an  
28 inappropriate time (see Figure 6 and 7). Results revealed that the actual water supply  
29 exceeded crop demand but did not meet the crop requirement (Fig. 7 and Table 5). Irrigation  
30 volume affects soil water fluxes. In the 'no irrigation' scenario for 2012 the upward/inflow  
31 fluxes from groundwater were larger than current and guided irrigation scenarios (Fig. 8). The  
32 upward flow of water was not sufficient to meet the crop requirement. For guided irrigation,

1 recharge from groundwater was larger than current irrigation in 2012 and 2013. Which means  
2 some part of crop water demand would supply from groundwater in guided irrigation.

3 Results show that, although reducing water supply throughout growth period by about 22.5%  
4 in 2012 and 12% in 2013, yield would have increased about 4.5% in 2012 and 6.5% in 2013  
5 on average (Table 5, Figure 3), by rescheduling irrigation at the precise time when the crop is  
6 exposed to water stress. The number of irrigation events would remain similar to realistic  
7 applications (three times in each growing season). At the field scale non-uniform irrigation  
8 distribution (water supply in dryer parts with ground water level below 120 cm) would be  
9 necessary.

10

## 11 **4 Conclusions**

12 The results of this study demonstrated clearly the profound effect of the position of the  
13 groundwater table on the estimated soil-water content and associated water stress in a sandy  
14 two-layered soil under grass in a temperate maritime climate. Indeed, field scale variations in  
15 soil-water content can be very large, due to topography and variable depth of the  
16 groundwater. Furthermore, the model performance was affected by the spatial variability of  
17 hydraulic parameters such as  $K_s$ . Results show that the uniform distribution of water using  
18 standard gun sprinkler irrigation may not be an efficient approach since at locations with  
19 shallow groundwater, the amount of water applied will be excessive as compared to the crop  
20 requirements, while in locations with a deeper groundwater table, the crop irrigation  
21 requirements will not be met during crop water stress.

22 The results show that the effect of groundwater level was dominant in soil-water content  
23 prediction, at least under conditions similar to those in our study. This reflects the need for  
24 accurate determination of the bottom boundary condition, both in space and time. In a  
25 subsequent field experiment in an adjacent field, the temporal fluctuations of the groundwater  
26 table based on diver (Mini-Diver, Eijkelkamp Agrisearch Equipment, Giesbeek, the  
27 Netherlands) measurements in boreholes revealed changes in groundwater depth of about 10  
28 cm. The temporal changes were smaller than the expected variation due to topography which  
29 may well range more than 100 cm even for relatively flat areas. This has important  
30 consequences for precision irrigation management and variable water applications at sub-field

1 scale. The use of detailed (cm scale) digital elevation models, geophysical measurement  
2 techniques such as electromagnetic induction or ground penetrating radar as proxies for  
3 hydraulic parameters will serve as valuable data sources for hydrological models to calculate  
4 variable irrigation requirements within agricultural fields. The parameterization scenarios in  
5 the calibration and validation stage of model development should be kept simple in view of  
6 the information they generate. We showed that it is sufficient to estimate limited amount of  
7 key parameters and for which the temporal variant information of the sensitivity is crucial.  
8 Furthermore, that optimization strategies involving multiple parameters do not perform better  
9 in view of the optimization of irrigation management. We showed that a combined modeling  
10 approach could increase water use efficiency (12-22.5%) and yield (5-7%) by changing the  
11 irrigation scheduling. Results of study call for taking into account weather forecast and water  
12 content data in irrigation management and precision agriculture. The combination of accurate  
13 and spatially distributed field data with appropriate numerical models will allow to accurately  
14 determine the field scale irrigation requirements, taking into account variations in boundary  
15 conditions across the field and spatial variations of model parameters. The information gained  
16 in this study with respect to dominant parameters and effect of boundary conditions at the plot  
17 scale (1D) will be scaled up in a 2D approach to the field scale using detailed spatial  
18 information on groundwater depth and hydraulic conductivity  $K_s$ .

19

20

## 21 **Acknowledgments**

22 This work was funded by the Ministry of Science, Research and Technology (MSRT) of Iran,  
23 Ghent University and Flemish Institute for Technological Research (VITO) of Belgium. The  
24 authors are grateful to all study participants for their contributions, especially the farmer and  
25 field owner Jacob Van Den Borne and Ghent University laboratory staff for the great  
26 technical support.

## 27 **References**

28 | Abbasi, F., Jacques, D., Simunek, J., Feyen, J., and van Genuchten, M. T.: Inverse estimation of soil  
29 hydraulic and solute transport parameters from transient field experiments: Heterogeneous soil, T  
30 Asae, 46, 1097-1111, 2003.

1 Abbasi, F., Feyen, J., and van Genuchten, M. T.: Two-dimensional simulation of water flow and solute  
2 transport below furrows: model calibration and validation, *J Hydrol*, 290, 63-79,  
3 10.1016/j.jhydrol.2003.11.028, 2004.

4 Akhtar, F., Tischbein, B., and Awan, U. K.: Optimizing deficit irrigation scheduling under shallow  
5 groundwater conditions in lower reaches of Amu Darya river basin, *Water Resour Manag*, 27, 3165-  
6 3178, 10.1007/s11269-013-0341-0, 2013.

7 Allen, R. G., Pereira, L. S., Raes, D., and Smith, M.: *Crop evapotranspiration*, FAO Irrig. Drain. Pap. 56,  
8 Rome, Italy, 1998.

9 American Society of Civil Engineers, A.: Criteria for Evaluation of Watershed Models, *Journal of*  
10 *Irrigation and Drainage Engineering*, 119, 429-442, 10.1061/(ASCE)0733-9437(1993)119:3(429), 1993.

11 Awan, U., Tischbein, B., Kamalov, P., Martius, C., and Hafeez, M.: Modeling Irrigation Scheduling  
12 Under Shallow Groundwater Conditions as a Tool for an Integrated Management of Surface and  
13 Groundwater Resources, in: *Cotton, Water, Salts and Soums*, edited by: Martius, C., Rudenko, I.,  
14 Lamers, J. P. A., and Vlek, P. L. G., Springer Netherlands, 309-327, 2012.

15 Barrett, P. D., Laidlaw, A. S., and Mayne, C. S.: An evaluation of selected perennial ryegrass growth  
16 models for development and integration into a pasture management decision support system, *J Agr*  
17 *Sci*, 142, 327-334, Doi 10.1017/S0021859604004289, 2004.

18 Brun, R., Reichert, P., and Kunsch, H. R.: Practical identifiability analysis of large environmental  
19 simulation models, *Water Resour Res*, 37, 1015-1030, Doi 10.1029/2000wr900350, 2001.

20 Brutsaert, W.: *Hydrology, An Introduction.*, Cambridge University Press, Cambridge, United Kingdom,  
21 2005.

22 Caldwell, T. G., Wöhling, T., Young, M. H., Boyle, D. P., and McDonald, E. V.: Characterizing Disturbed  
23 Desert Soils Using Multiobjective Parameter Optimization, *Vadose Zone J*, 12, 10.2136/vzj2012.0083,  
24 2013.

25 Cornelis, W. M., Khlosi, M., Hartmann, R., Van Meirvenne, M., and De Vos, B.: Comparison of  
26 unimodal analytical expressions for the soil-water retention curve, *Soil Sci Soc Am J*, 69, 1902-1911,  
27 10.2136/sssaj2004.0238, 2005.

28 Doorenbos, J., and Kassam, A. H.: *Yield response to water*, FAO Irrigation and Drainage Paper No. 33,  
29 Rome, Italy, 1979.

30 Evett, S. R., Heng, L. K., Moutonnet, P., and Nguyen, M. L.: *Field estimation of soil water content: A*  
31 *practical guide to methods, instrumentation and sensor technology*, IAEA-TCS-30, Vienna, Austria,  
32 2008.

33 FAO: *World reference base for soil resources: keys to reference soil groups of the world*, Rome, 1998.

34 FAO: *Climate change, water and food security*. By Turra H., Burke, J., and Faurès, J. M., Food and  
35 agriculture organization of the united nation Rome, Italy, book, 2011.

36 Feddes, R. A., Kowalik, P. J., and Zaradny, H.: *Simulation of field water use and crop yield.*, Simul.  
37 *Monogr. Pudoc, Wageningen, The Netherlands*, 189 pp., 1978.

38 Fernández-Gálvez, J., Simmonds, L. P., and Barahona, E.: Estimating detailed soil water profile records  
39 from point measurements, *European Journal of Soil Science*, 57, 708-718, 10.1111/j.1365-  
40 2389.2005.00761.x, 2006.

41 Gandolfi, C., Facchi, A., and Maggi, D.: Comparison of 1D models of water flow in unsaturated soils,  
42 *Environ Modell Softw*, 21, 1759-1764, 10.1016/j.envsoft.2006.04.004, 2006.

43 Gee, G. W., and Bauder, J. W.: Particle-size analysis, in: *Methods of soil analysis, Part 1*, 2nd edn, 2nd  
44 ed., edited by: Klute, A., Soil Science Society of America, Madison, 383-411, 1986.

45 Hall, J. M.: How well does your model fit the data?, *J. Hydroinform* 3, 49-55, 2001.

46 Hopmans, J. W., Šimůnek, J., Romano, N., and Durner, W.: Simultaneous determination of water  
47 transmission and retention properties. Inverse Methods, in: *Method of soil analysis. Part 4. Physical*  
48 *methods*, edited by: Dane, J. H. a. T., G.C., Soil Science Society of America Book Series, Madison, USA,  
49 963-1008, 2002.

1 Huo, Z., Feng, S., Dai, X., Zheng, Y., and Wang, Y.: Simulation of hydrology following various volumes  
2 of irrigation to soil with different depths to the water table, *Soil Use and Management*, 28, 229-239,  
3 10.1111/j.1475-2743.2012.00393.x, 2012.

4 Jacques, D., Smith, C., Simunek, J., and Smiles, D.: Inverse optimization of hydraulic, solute transport,  
5 and cation exchange parameters using HP1 and UCODE to simulate cation exchange, *J Contam*  
6 *Hydrol*, 142, 109-125, 10.1016/j.jconhyd.2012.03.008, 2012.

7 Jarvis, N. J.: A simple empirical model of root water uptake, *J Hydrol*, 107, 57-72,  
8 [http://dx.doi.org/10.1016/0022-1694\(89\)90050-4](http://dx.doi.org/10.1016/0022-1694(89)90050-4), 1989.

9 Jones, H. G.: Irrigation scheduling: advantages and pitfalls of plant-based methods, *Journal of*  
10 *experimental botany*, 55, 2427-2436, 10.1093/jxb/erh213, 2004.

11 Kelleners, T. J., Soppe, R. W. O., Ayars, J. E., Simunek, J., and Skaggs, T. H.: Inverse analysis of upward  
12 water flow in a groundwater table lysimeter, *Vadose Zone J*, 4, 558-572, 10.2136/Vzj2004.0118,  
13 2005.

14 Krause, P., Boyle, D. P., and Bäse, F.: Comparison of different efficiency criteria for hydrological  
15 model assessment, *Adv. Geosci.*, 5, 89-97, 10.5194/adgeo-5-89-2005, 2005.

16 Li, Y., Kinzelbach, W., Zhou, J., Cheng, G. D., and Li, X.: Modelling irrigated maize with a combination  
17 of coupled-model simulation and uncertainty analysis, in the northwest of China, *Hydrol Earth Syst*  
18 *Sc*, 16, 1465-1480, 10.5194/hess-16-1465-2012, 2012.

19 Mertens, J., Stenger, R., and Barkle, G. F.: Multiobjective inverse modeling for soil parameter  
20 estimation and model verification, *Vadose Zone J*, 5, 917-933, 10.2136/Vzj2005.0117, 2006.

21 Mohanty, B. P., Cosh, M., Lakshmi, V., and Montzka, C.: Remote sensing for vadose zone hydrology: A  
22 synthesis from the vantage point, *gsvadzone*, 12, 1-6, 10.2136/vzj2013.07.0128, 2013.

23 Mualem, Y.: New model for predicting hydraulic conductivity of unsaturated porous-media, *Water*  
24 *Resour Res*, 12, 513-522, 10.1029/Wr012i003p00513, 1976.

25 Nasta, P., Vrugt, J. A., and Romano, N.: Prediction of the saturated hydraulic conductivity from  
26 Brooks and Corey's water retention parameters, *Water Resour Res*, 49, 2918-2925, 2013.

27 Neuman, S. P., and Wierenga, P. J.: A comprehensive strategy of hydrogeologic modeling and  
28 uncertainty analysis for nuclear facilities and sites, Division of Systems Analysis and Regulatory  
29 Effectiveness, Office of Nuclear Regulatory Research, U.S. Nuclear Regulatory Commission,  
30 Washington, DC, 2003.

31 Nosetto, M. D., Jobbagy, E. G., Brizuela, A. B., and Jackson, R. B.: The hydrologic consequences of  
32 land cover change in central Argentina, *Agr Ecosyst Environ*, 154, 2-11, 10.1016/j.agee.2011.01.008,  
33 2012.

34 Pardossi, A., Incrocci, L., Incrocci, G., Malorgio, F., Battista, P., Bacci, L., Rapi, B., Marzialesi, P.,  
35 Hemming, J., and Balendonck, J.: Root zone sensors for irrigation management in intensive  
36 agriculture, *Sensors-Basel*, 9, 2809-2835, 10.3390/S90402809, 2009.

37 Richards, L. A.: Capillary conduction of liquids through porous mediums, *Journal of Applied Physics*, 1,  
38 318-333, 10.1063/1.1745010, 1931.

39 Ritter, A., Hupet, F., Muñoz-Carpena, R., Lambot, S., and Vanclooster, M.: Using inverse methods for  
40 estimating soil hydraulic properties from field data as an alternative to direct methods, *Agr Water*  
41 *Manage*, 59, 77-96, [http://dx.doi.org/10.1016/S0378-3774\(02\)00160-9](http://dx.doi.org/10.1016/S0378-3774(02)00160-9), 2003.

42 Rocha, D., Abbasi, F., and Feyen, J.: Sensitivity analysis of soil hydraulic properties on subsurface  
43 water flow in furrows, *J Irrig Drain E-Asce*, 132, 418-424, 10.1061/(Asce)0733-9437(2006)132:4(418),  
44 2006.

45 Sadeghi, M., and Jones, S. B.: Scaled solutions to coupled soil-water flow and solute transport during  
46 the redistribution process, *Vadose Zone J*, 11, no. 2, 10.2136/Vzj2012.0023, 2012.

47 Saltelli, A., Ratto, M., Andres, T., Campolongo, F., Cariboni, J., Gatelli, D., Saisana, M., and Tarantola,  
48 S.: Global sensitivity analysis. The Primer, John Wiley & Sons, West Sussex, England, 2008.

49 Satchithanatham, S., Krahn, V., Sri Ranjan, R., and Sager, S.: Shallow groundwater uptake and  
50 irrigation water redistribution within the potato root zone, *Agr Water Manage*, 132, 101-110, 2014.



1 Schapendonk, A. H. C. M., Stol, W., van Kraalingen, D. W. G., and Bouman, B. A. M.: LINGRA, a  
2 sink/sourced model to simulate grassland productivity in Europe, *European J. of Agronomy* 9, 87-100,  
3 1998.

4 Schneider, S., Jacques, D., and Mallants, D.: Inverse modelling with a genetic algorithm to derive  
5 hydraulic properties of a multi-layered forest soil, *Soil Res*, 51, 372-389, 10.1071/Sr13144, 2013.

6 Schwartz, R. C., and Evett, S. R.: Estimating hydraulic properties of a fine-textured soil using a disc  
7 infiltrometer, *Soil Sci Soc Am J*, 66, 1409-1423, 2002.

8 Šimůnek, J., and Hopmans, J. W.: Parameter optimization and nonlinear fitting, in: *Method of soil*  
9 *analysis. Part 4. Physical methods* ( Dane, J.H., and Topp, G.C. Eds.), Soil Science Society of America  
10 Book Series, 139-157, 2002.

11 Šimůnek, J., Šejna, M., Saito, H., Sakai, M., and van Genuchten, M. T.: The Hydrus-1D software  
12 package for simulating the movement of water, heat, and multiple solutes in variably saturated  
13 media, version 4.16, HYDRUS software series 3 Department of Environmental Sciences, University of  
14 California Riverside, Riverside, California, USA, 308, 2013.

15 Skaggs, T. H., Shouse, P. J., and Poss, J. A.: Irrigating forage crops with saline waters: 2. Modeling root  
16 uptake and drainage, *Vadose Zone J*, 5, 824-837, 10.2136/Vzj2005.0120, 2006.

17 Tafteh, A., and Sepaskhah, A. R.: Application of HYDRUS-1D model for simulating water and nitrate  
18 leaching from continuous and alternate furrow irrigated rapeseed and maize fields, *Agr Water*  
19 *Manage*, 113, 19-29, 10.1016/j.agwat.2012.06.011, 2012.

20 Taylor, S. T., and Ashcroft, G. L.: *Physical edaphology: The physics of irrigated and nonirrigated soils*  
21 W.H. Freeman, San Francisco, CA, 1972.

22 van Dam, J. C., Groenendijk, P., Hendriks, R. F. A., and Kroes, J. G.: Advances of Modeling Water Flow  
23 in Variably Saturated Soils with SWAP *Vadose Zone J*, 7, 640-653, 10.2136/vzj2007.0060, 2008.

24 van Genuchten, M. T.: A closed-form equation for predicting the hydraulic conductivity of  
25 unsaturated soils, *Soil Sci Soc Am J*, 44, 892-898, 1980.

26 van Genuchten, M. T., Leij, F. J., and Yates, S. R.: *The RETC code for quantifying the hydraulic*  
27 *functions of unsaturated soils, version 1.0, USDA, ARS, Riverside, California.* , 1991.

28 van Genuchten, M. T., Simunek, J., Leij, F. J., Toride, N., and Šejna, M.: Stanmod: Model use,  
29 calibration, and validation, *T Asabe*, 55, 1353-1366, 2012.

30 Verbist, K., Baetens, J., Cornelis, W. M., Gabriels, D., Torres, C., and Soto, G.: Hydraulic Conductivity  
31 as Influenced by Stoniness in Degraded Drylands of Chile, *Soil Sci Soc Am J*, 73, 471-484,  
32 10.2136/sssaj2008.0066, 2009.

33 Verbist, K. M. J., Pierreux, S., Cornelis, W. M., McLaren, R., and Gabriels, D.: Parameterizing a coupled  
34 surface-subsurface three-dimensional soil hydrological model to evaluate the efficiency of a runoff  
35 water harvesting technique, *Vadose Zone J*, 11, DOI: 10.2136/Vzj2011.0141, 2012.

36 Vrugt, J. A., van Wijk, M. T., Hopmans, J. W., and Simunek, J.: One-, two-, and three-dimensional root  
37 water uptake functions for transient modeling, *Water Resour Res*, 37, 2457-2470,  
38 10.1029/2000wr000027, 2001.

39 Vrugt, J. A., Schoups, G., Hopmans, J. W., Young, C., Wallender, W. W., Harter, T., and Bouten, W.:  
40 Inverse modeling of large-scale spatially distributed vadose zone properties using global  
41 optimization, *Water Resour Res*, 40, Artn W0650310.1029/2003wr002706, 2004.

42 Walkley, A., and Black, I. A.: An examination of the Degtjareff method for determining soil organic  
43 matter, and a proposed modification of the chromic acid titration method, *Soil Science* 37, 29-38,  
44 1934.

45 Wegehenkel, M., and Beyrich, F.: Modelling hourly evapotranspiration and soil water content at the  
46 grass-covered boundary-layer field site Falkenberg, Germany, *Hydrolog Sci J*, 59, 376-394,  
47 10.1080/02626667.2013.835488, 2014.

48 Wöhling, T., Vrugt, J. A., and Barkle, G. F.: Comparison of three multiobjective optimization  
49 algorithms for inverse modeling of vadose zone hydraulic properties, *Soil Sci Soc Am J*, 72, 305-319,  
50 10.2136/sssaj2007.0176, 2008.

1 Wöhling, T., Schütze, N., Heinrich, B., Šimůnek, J., and Barkle, G. F.: Three-dimensional modeling of  
2 multiple automated equilibrium tension lysimeters to measure vadose zone fluxes, *Vadose Zone J*, 8,  
3 1051-1063, 10.2136/vzj2009.0040, 2009.  
4 Wöhling, T., and Vrugt, J. A.: Multiresponse multilayer vadose zone model calibration using Markov  
5 chain Monte Carlo simulation and field water retention data, *Water Resour Res*, 47,  
6 10.1029/2010wr009265, 2011.  
7 Wolf, J.: LINGRA-N a grassland model for potential, water limited and N limited conditions  
8 (FORTRAN), Wageningen University, Wageningen, The Netherlands, 2012.  
9 Wollschläger, U., Pfaff, T., and Roth, K.: Field-scale apparent hydraulic parameterisation obtained  
10 from TDR time series and inverse modelling, *Hydrol Earth Syst Sc*, 13, 1953-1966, 2009.  
11 Zhou, J., Cheng, G. D., Li, X., Hu, B. X., and Wang, G. X.: Numerical Modeling of Wheat Irrigation using  
12 Coupled HYDRUS and WOFOST Models, *Soil Sci Soc Am J*, 76, 648-662, DOI 10.2136/sssaj2010.0467,  
13 2012.

14 |

1 Table 1. Average of soil properties of soil profile.  $\theta_r$ ,  $\theta_s$  are residual and saturated water content, respectively;  $\alpha$  and  $n$  are shape parameters for  
 2 the van Genuchten-Mualem equation.  $K_s$  denotes the saturated hydraulic conductivity.

3

	$K_s$	$\theta_r$	$\theta_s$	$\alpha$	$n$	OC	Sand	Silt	Clay	$\rho_b$
	cmh <sup>-1</sup>	— cm <sup>3</sup> cm <sup>-3</sup>	—	cm <sup>-1</sup>		— %	—	—		gcm <sup>-3</sup>
<b>Topsoil</b>	9.59	0.09	0.39	0.017	2.72	2.08	91.65	7.0	1.35	1.57
<b>Subsoil</b>	4.74	0.03	0.31	0.021	2.34	0.18	95.7	3.1	1.2	1.76

1 Table 2. Optimized values of hydraulic parameters for the optimization scenarios yielding uncorrelated parameters (except for reference scenario  
 2 with 6 optimized parameters). Values indicated in italic are values fixed to the measured values close to the sensor location. Number between  
 3 parentheses represents the standard errors of optimized parameter.

4

5

Boundary condition	Number of optimized parameters	First soil layer			Second soil layer		
		$\alpha_1$ (cm <sup>-1</sup> )	$n_1$	$K_{s1}$ (cmh <sup>-1</sup> )	$\alpha_2$ (1/cm)	$n_2$	$K_{s2}$ (cmh <sup>-1</sup> )
Constant head (-140 cm)	6	0.023 (0.0004)	2.14 (0.02)	2.87 (0.111)	0.022 (0.0006)	2.15 (0.034)	1.95 (0.14)
	4	<i>0.017</i>	2.64 (0.003)	1.54 (0.028)	0.020 (0.00005)	<i>2.34</i>	1.43 (0.026)
	3	<i>0.017</i>	<i>2.72</i>	1.39 (0.026)	0.020 (0.00005)	<i>2.34</i>	1.65 (0.031)
	2	<i>0.017</i>	<i>2.72</i>	1.20 (0.023)	<i>0.021</i>	<i>2.34</i>	2.17 (0.044)
Constant head (-120 cm)	2	<i>0.017</i>	<i>2.72</i>	3.45 (0.162)	<i>0.021</i>	<i>2.34</i>	0.75 (0.0107)
Free drainage	6	0.036 (0.0007)	1.45 (0.003)	16.68 (0.48)	0.013 (0.0005)	1.59 (0.013)	5.10 (0.51)
	4	<i>0.017</i>	1.53 (0.003)	5.09 (0.12)	0.003 (0.00013)	<i>2.34</i>	0.33 (0.005)
	3	<i>0.017</i>	<i>2.72</i>	0.97 (0.02)	0.017 (0.00008)	<i>2.34</i>	0.22 (0.004)
	2	<i>0.017</i>	<i>2.72</i>	0.86 (0.022)	<i>0.021</i>	<i>2.34</i>	0.39 (0.004)

1 Table 3. Calculated performance criteria describing the correspondence between measured  
 2 and simulated soil water content for each scenario for various boundary conditions.

3

	Boundary condition	Number of optimized parameters	Nodes		RMSE †	C <sub>e</sub> †	r <sup>2</sup> †
			depth (cm)	Node			
Calibration period (2012)	Constant head (-140 cm)	6	10		0.023	0.56	0.62
			20		0.016	0.53	0.74
			30		0.010	0.67	0.69
			40		0.008	0.63	0.64
		4	10		0.024	0.52	0.62
			20		0.016	0.54	0.76
			30		0.010	0.65	0.70
			40		0.008	0.64	0.64
		3	10		0.026	0.45	0.62
			20		0.014	0.65	0.75
			30		0.010	0.65	0.70
			40		0.008	0.63	0.64
	2	10		0.026	0.46	0.63	
		20		0.014	0.65	0.75	
		30		0.010	0.66	0.69	
		40		0.010	0.45	0.63	
	Constant head (-120 cm)	2	10		0.022	0.60	0.61
			20		0.031	-0.65	0.72
			30		0.025	-0.97	0.64
			40		0.019	-1.01	0.56
	Free drainage	6	10		0.023	0.57	0.60
			20		0.018	0.46	0.71
			30		0.016	0.19	0.56
			40		0.011	0.34	0.50
4		10		0.022	0.62	0.64	
		20		0.018	0.45	0.71	
		30		0.014	0.13	0.55	
		40		0.016	-0.11	0.42	
3		10		0.032	0.18	0.54	
		20		0.021	0.29	0.62	
		30		0.027	0.12	0.50	
		40		0.019	-0.95	0.43	
2	10		0.028	0.39	0.51		
	20		0.022	0.31	0.59		
	30		0.015	0.12	0.51		
	40		0.014	-0.98	0.50		
Validation period (2013)	Constant head (-135 cm)	2	10		0.042	0.34	0.37
			20		0.027	0.30	0.40
			30		0.020	0.24	0.33
			40		0.016	0.11	0.29

4 †RMSE, C<sub>e</sub> and r<sup>2</sup> are the root-mean-square deviation, the Nash–Sutcliffe coefficient of  
 5 efficiency (cm<sup>3</sup> cm<sup>-3</sup>) and the coefficient of determination.

1 Table 4. Total duration, number and extent of water stress for different boundary conditions and scenarios (from 1 Mar. to 12 Sep.). Total rainfall  
 2 and irrigation amount were 398.2 and 64.5 mm in 2012 and 343.3 and 85.4 mm in 2013 respectively. Number between parentheses represents the  
 3 duration of first water stress event due to light-radiation and temperature limitations.

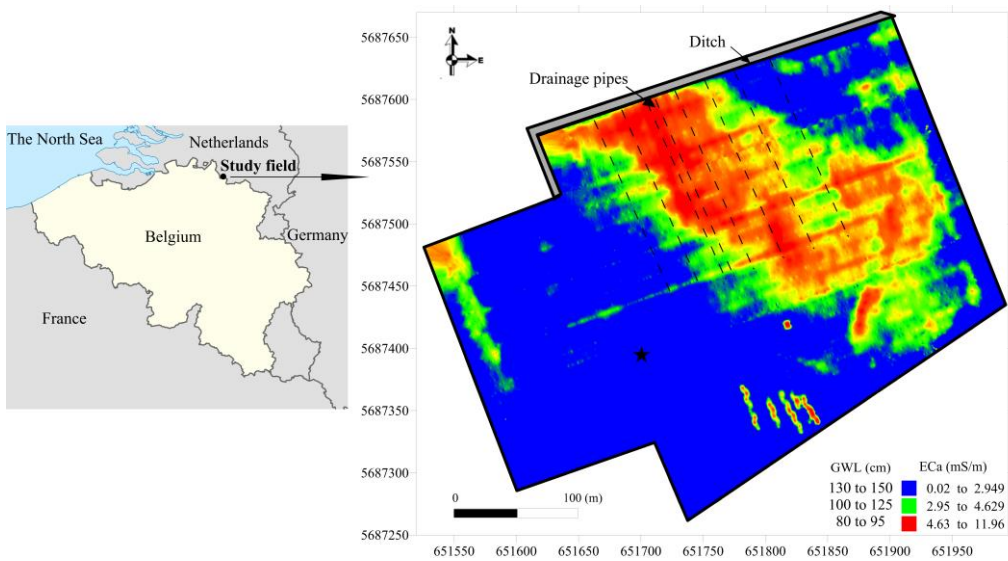
4

	Boundary condition	Number of parameters optimized	Number of water stress periods	Total Duration of water stress h	Degree of water stress	Profile bottom flux mm	Yield reduction %
<b>Calibration period</b>	Free drainage	2	7	867 (345)	0.37	-167.7	18
	Constant head (-120 cm)	2	0	0	$\geq 1$	71.9	0
	Constant head (-140 cm)	2	7	671 (328)	0.65	-15.4	16
	Constant head (-140 cm)	4	4	524 (277)	0.65	-1	13
	Constant head (-140 cm)	6	5	540 (276)	0.66	-4.6	13
<b>Validation period</b>	Free drainage	2	7	1093	0.10	-148.7	23
	Constant head (-120 cm)	2	1	20	0.85	64.4	0
	Constant head (-135 cm)	2	5	675	0.65	63.3	13
	Constant head (-135 cm)	4	4	598	0.65	76.6	11
	Constant head (-135 cm)	6	3	579	0.65	76.9	11

1 Table 5. Comparison of optimized irrigation schedule with farmer's conventional irrigation schedule.

2

Boundary condition	Observed irrigation schedule				Optimized irrigation schedule			Difference
	Time	amount	Yield observed	Yield simulated	Time	amount	Yield simulated	amount
	day	mm	ton ha <sup>-1</sup>		day	mm	ton ha <sup>-1</sup>	mm
<b>Calibration period (2012)</b>	20 May	22.5			27 May	15		
Constant head (-140 cm) with 2 optimized parameters	11 June	21	10.39	10.91	2 July	15	11.39	14.5
	13 August	21			11 August	20		
<b>Validation period (2013)</b>	13 June	32.4			6 June	25		
Constant head (-135 cm) with 2 optimized parameters	23 July	24.8	10.83	11.11	8 July	25	11.82	10.4
	23 August	28.2			17 July	25		

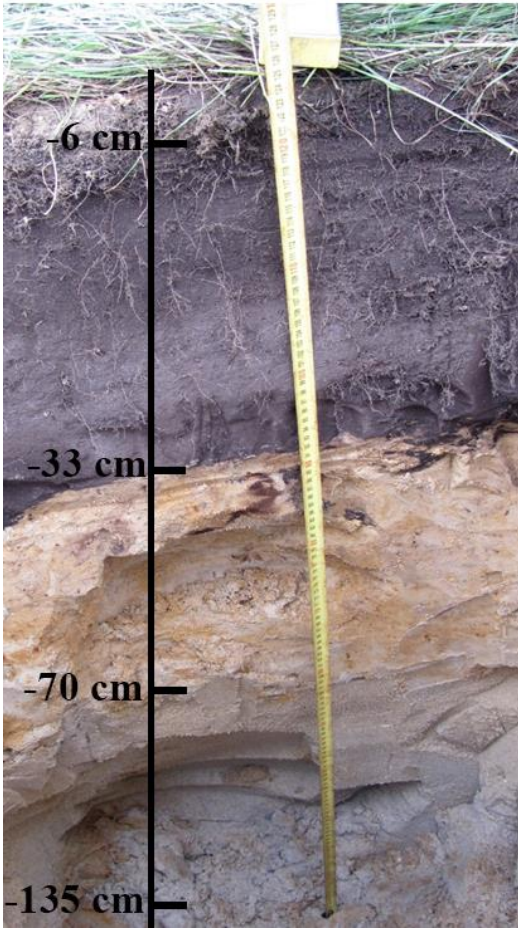


1  
 2 Figure. 1. Geographical location of the experimental field and the map of the apparent soil  
 3 electrical conductivity ( $EC_a$ ) of the study site corresponding to 3 different zones of  
 4 groundwater levels. The black star on the  $EC_a$  map indicates the sensor location.

5

6



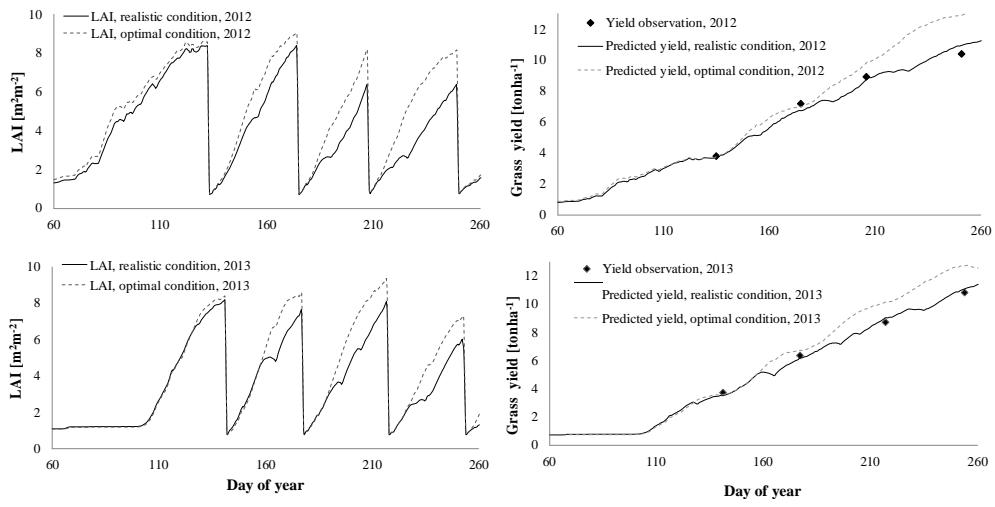


1

2 Figure. 2. Two-layered typical soil profile of the field close to the location of the sensor.

3

4

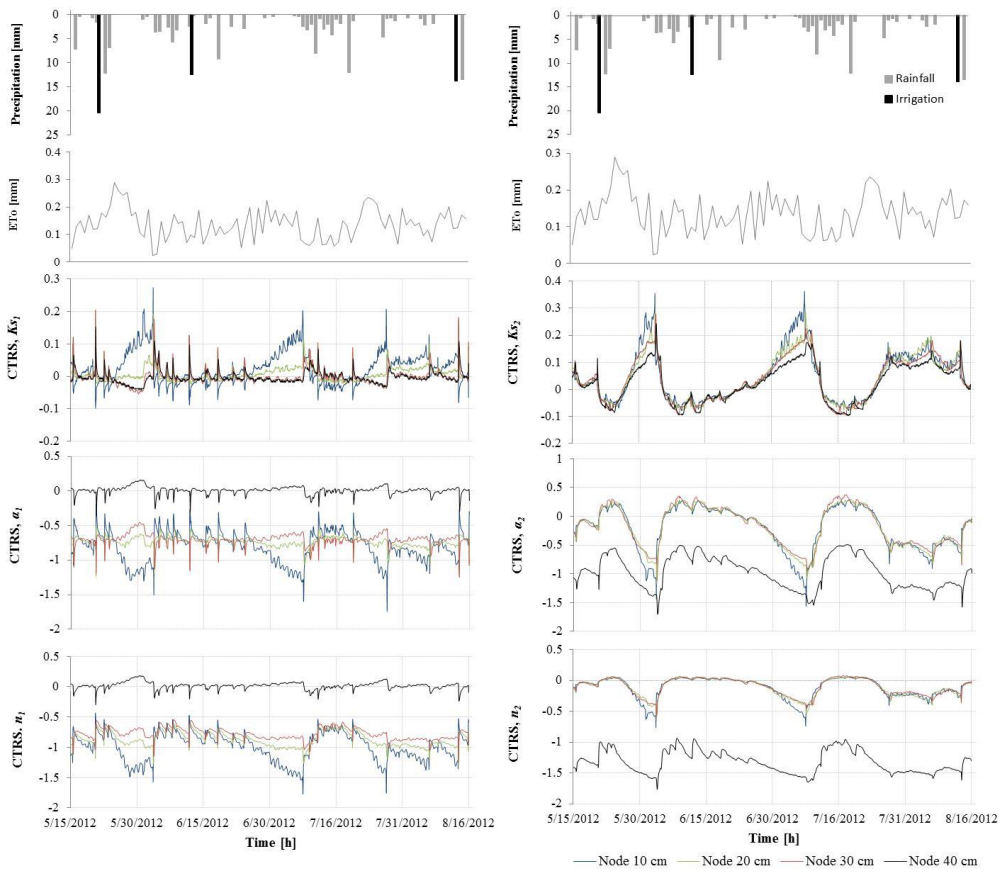


1  
2

3 Figure. 3. Predicted leaf area index, LAI and grass yield using LINGRA-N model for 2012  
4 and 2013.

5

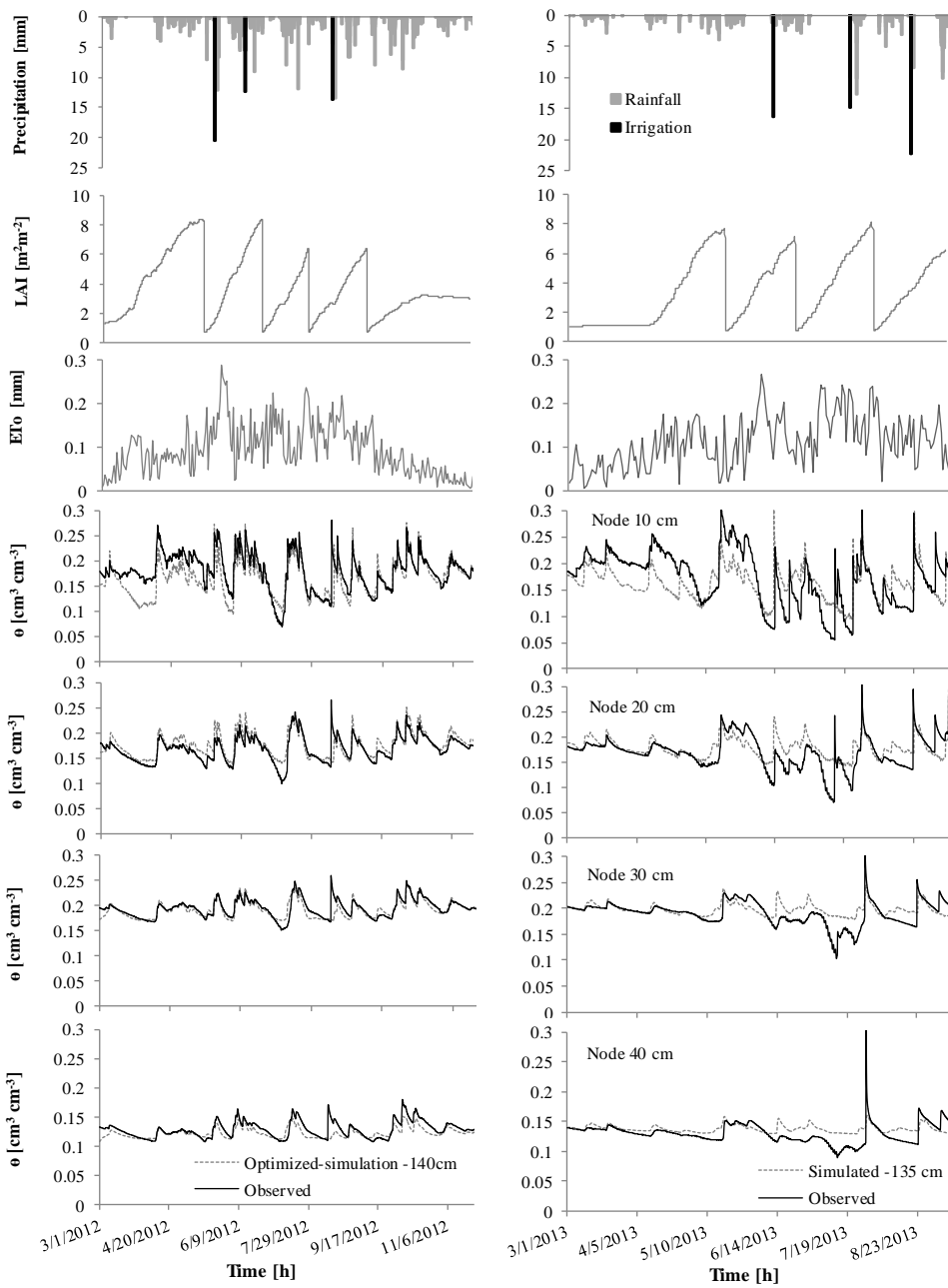
6



1  
 2 Figure. 4. Parameter sensitivity as a function of time. The numbers 1 and 2 correspond to the  
 3 first and second layer, respectively.

4

5

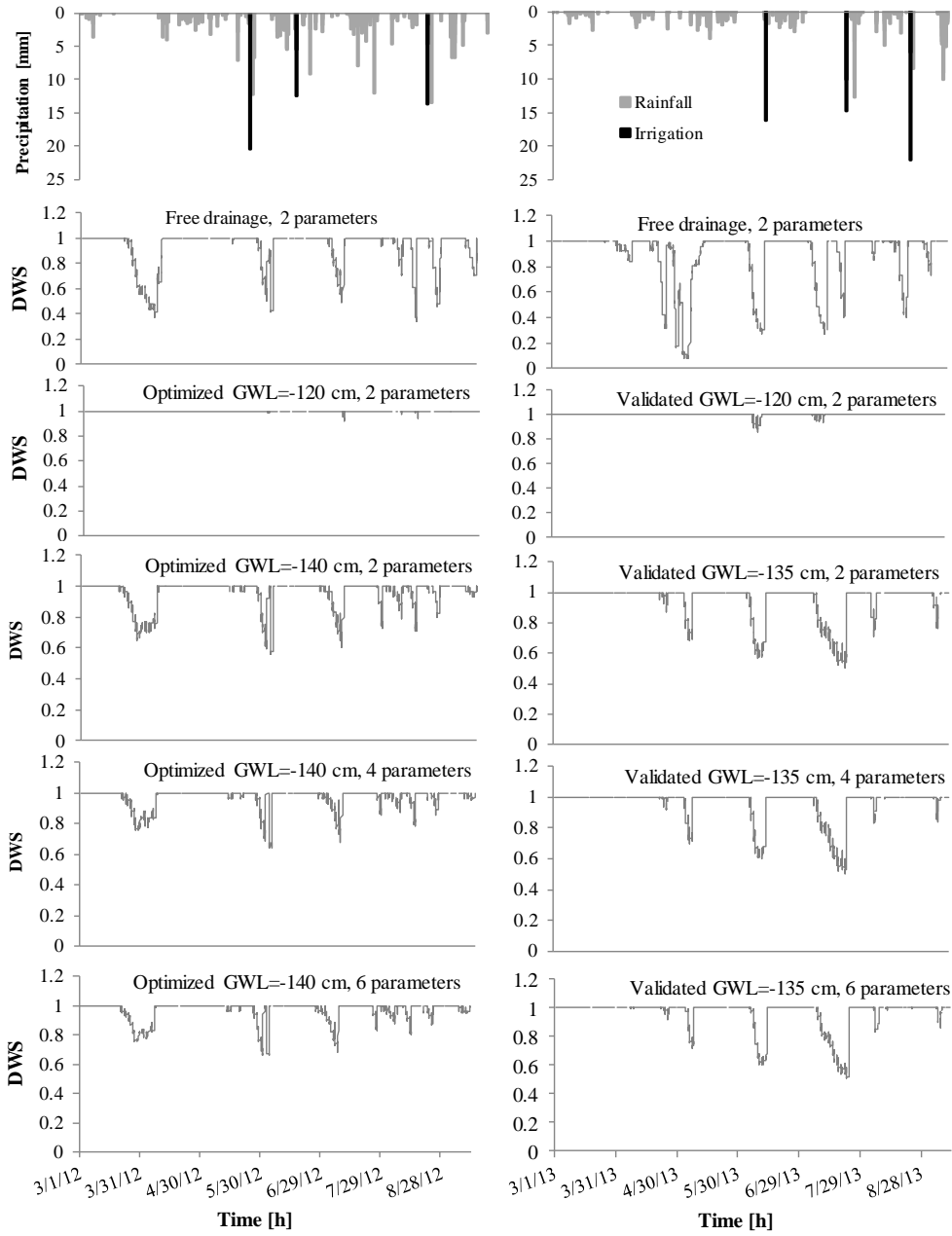


1  
 2 Figure. 5. Observed and simulated time series of soil water content with calibration using the  
 3 two-parameter  $K_s$  scenario for 2012 and validation results of 2013.

4

5

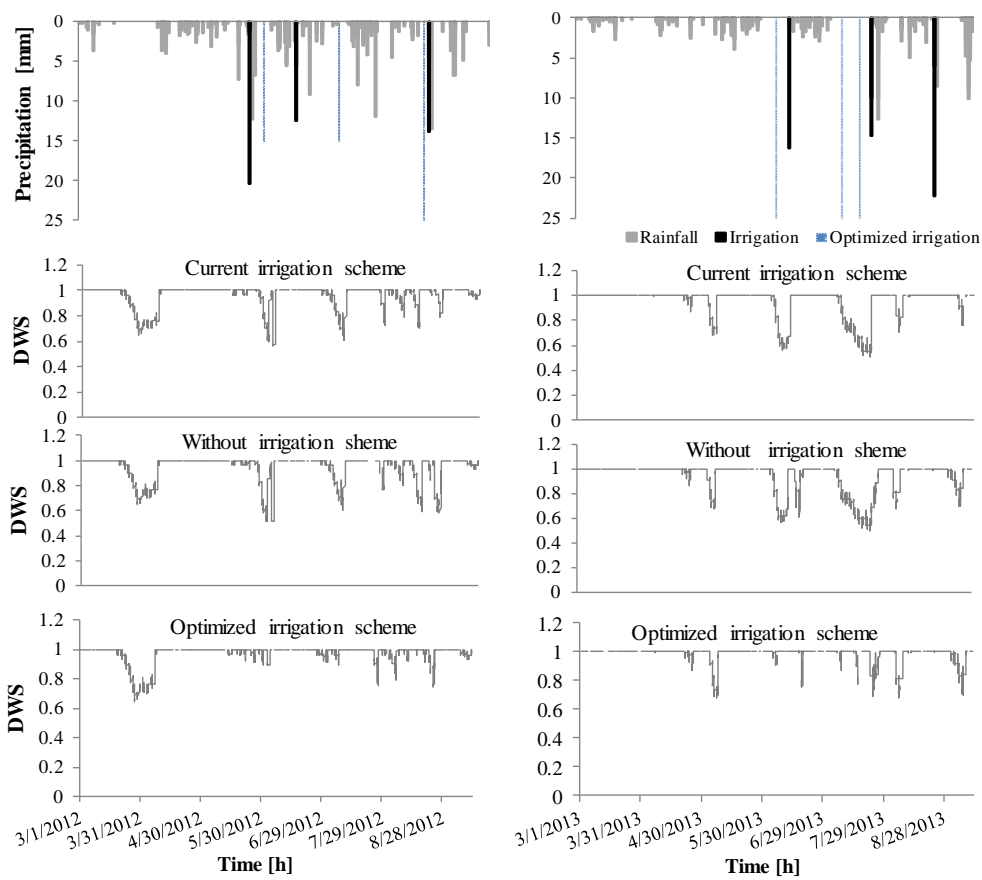
1



2

3 Figure. 6. Degree of water stress at potential reference evapotranspiration in 2012 and 2013  
4 for various scenarios and bottom boundary conditions.

5



1  
 2 Figure 7. Comparison degree of water stress between farmer's conventional irrigation (current  
 3 irrigation), without irrigation and optimized irrigation scheme for calibration and validation  
 4 periods.

5  
 6



9 Figure 8. Actual flux of farmer's conventional irrigation (current irrigation), without irrigation  
 10 and optimized irrigation scheme (guided irrigation) for 2012 and 2013.