

Spatio-temporal assessment of WRF, TRMM and in situ precipitation data in a tropical mountain environment (Cordillera Blanca, Peru)

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Abstract. The estimation of precipitation over the broad range of scales of interest for climatologists, meteorologists and hydrologists is challenging in high altitudes of tropical regions, where the spatial variability of precipitation is important while in situ measurements remain scarce largely due to operational constraints. Three different types of rainfall products – ground based (kriging
5 interpolation), satellite derived (TRMM3B42), atmospheric model outputs (WRF) – are compared for one hydrological year in order to retrieve rainfall patterns at time scales ranging from sub-daily to annual over a watershed of approximately 10 000 km² in Peru. An ensemble of three different spatial resolutions is considered for the comparison (27, 9 and 3 km), as long as a range of time scales (annual totals, daily rainfall patterns, diurnal cycle). WRF simulations largely overestimate
10 the annual totals, especially at low spatial resolution, while reproducing correctly the diurnal cycle and locating the spots of heavy rainfall more realistically than either the ground-based KED or the TRMM products. The main weakness of kriged products is the production of annual rainfall maxima over the summit rather than on the slopes, mainly due to a lack of in situ data above 3800 m a.s.l.. This study also confirms that one limitation of TRMM is its poor performance over ice-covered
15 areas because ice on the ground behaves in a similar way as rain or ice drops in the atmosphere in term of scattering the microwave energy. While all three products are able to correctly represent the spatial rainfall patterns at the annual scale, it not surprisingly turns out that none of them meets the challenge of representing both accumulated quantities of precipitation and frequency of occurrence at the short time scales (sub-daily and daily) required for glacio-hydrological studies in this region.
20 It is concluded that new methods should be used to merge various rainfall products so as to make the most of their respective strengths.

1 Introduction: the challenge of precipitation estimation in the tropical Peruvian Andes

Located in the north-west of Peru, the Cordillera Blanca is the most glaciated tropical mountain range in the inter-tropical band. The Cordillera Blanca glaciers are melting at an unprecedented high rate (e.g., Georges, 2004; Silverio and Jaquet, 2005; Vuille et al., 2008a, 2008b; Bury et al., 2011), impacting the whole water cycle of the region. At the seasonal scale, the distribution of water discharge in rivers downstream of glaciers is changing, while at the decadal scale, the mean annual discharge is increasing, with the prospect of decreasing in the long run. Temperature and precipitation are the two major forcing variables most influencing the interannual variability and long term evolution of the water balance. A proper evaluation of these two variables is consequently a key issue for properly predicting the future of the glaciers and of the associated water resources.

The tropics are thermally characterized by an annual variation less important than the diurnal cycle (e.g., Kaser, 1999; Baraer et al., 2012). This applies to the Cordillera Blanca, where homogeneous thermal conditions are observed throughout the year (Juen et al., 2007). For instance, at Querococha, located in the southern part of the Cordillera Blanca, mean monthly temperature variation is less than 1 °C (Kaser et al., 2003).

By contrast, there is a strong seasonality of precipitation, controlled by the upper air circulation, with easterly wind transporting moisture from the Amazon plain (Aceituno, 1987) and westerly flow causing dry conditions due to the Humboldt current (Garreaud et al., 2003). This results in two distinct seasons: the wet season from October to April with an average of 80 % of the annual precipitation (Vuille et al., 2008a), and the dry season from May to August. The wet season corresponds to the South American Monsoon System (SAMS) (e.g., Vera et al., 2006; Garreaud, 2009; Marengo et al., 2012), bringing humidity far to the West. The dry season is associated with the North American Monsoon System, the Intertropical Convergence Zone (ITCZ) being located as its northernmost position. The inter-annual variability of rainfall is important, in relation with the fluctuations of the sea surface temperature (SST) of the North Atlantic, and the El Niño Southern Oscillation (ENSO) (e.g., Espinoza et al., 2009; Lavado et al., 2012; Lavado and Espinoza, 2014). According to Lavado and Espinoza (2014), the Rio Santa catchment belongs to an area where positive precipitation anomalies are observed during strong Niño as well as during strong Niña events.

The rainfall climatology is also characterized by strong spatial gradients at all temporal scales. First of all, the main annual rainfall pattern between 5 and 30° S is the contrast between the dry and cold conditions on the Pacific coast, stretching to the west slopes of the Andes, and the warm, humid and rainy conditions prevailing on the eastern slopes (Garreaud, 2009). This results in high precipitation amounts on the windward slopes of the Andes in easterly flows situation (up to 6000 mm yr⁻¹), and much smaller precipitation amounts on the leeward side, even at high altitudes (under 530 mm yr⁻¹) between 5° N and 20° S (Espinoza et al., 2009). Superimposed to this large scale spatial pattern, the influence of the topography becomes more and more pregnant

when considering smaller temporal scales at which convective and orographic processes have a deep
60 influence. Rainfall hot spots, heavy rainfall gradients over a few kilometers and flash floods (Young
and Leon, 2009; Espinoza et al., 2015) are the most prominent hydro-meteorological patterns
induced by the rough topography of the region.

Another issue arises from the high altitude involving that a significant amount of precipitation falls
as snow over 4800 m a.s.l. This requires measuring reliably both the solid and the liquid precipitation
65 all year around, something that is far from granted and that remains a major difficulty in mountain
hydrology.

The estimation of precipitation over the broad range of scales of interest for climatologists,
meteorologists and hydrologists is thus especially challenging in this region characterized by very
uncommon geographical features. And yet socio-economic stakes are high as far as potentially
70 drastic changes of the water cycle related to precipitation variability and long term changes are
concerned, affecting the access to drinkable water in urban areas, the yields of agricultural projects
and the operation of numerous hydroelectric power plants.

The driving question of this study is to identify and compare the precipitation data sets that can
be used for properly characterizing the water balance over catchments of the region from sub-daily
75 to yearly temporal scales. Both the accumulated quantities of precipitation and the frequency of
occurrence have to be properly estimated if one is to compute coherent water budgets over this large
range of temporal scales, an accomplishment that no single precipitation data set can pretend to
achieve on its own.

Each precipitation data set has its own strength and weakness. Starting with ground data, their
80 main shortcoming – beyond their key advantage of being the only direct measurement of rainfall – is
a poor sampling of the spatial variability that is especially important in mountainous regions (Scheel
et al., 2011). This is compounded by the difficulty of installing and maintaining ground stations in
a harsh environment, making whole areas very difficult to access (Salzmann et al., 2013; Schwarb
et al., 2011). Rain gauges are thus most often available in the vicinity of villages, meaning that non
85 habited areas are virtually not sampled, especially at high altitudes where distinguishing between
liquid and solid precipitation is a major issue.

On their side, rainfall satellite products provide the global coverage that is lacking for ground
data sets. However, the early satellite rainfall products elaborated in the mid-1980s were solely
based on infrared data, affecting their accuracy in case of convective rainfall and, more generally,
90 in presence of strong rainfall gradient. The most recent products now make use of various sources
of information, blending infrared and microwave satellite data and often incorporating ground data,
which make them more performant in spotting the patches of intense rainfall. It remains that there are
still significant differences between the most commonly used satellite rainfall products, especially in
the Tropics and for orographically forced rainfall (Ward et al., 2011). This means that the ability of

95 these satellite products to fulfill user's expectations must be scrutinized on a case by case basis. Note also that satellite products are rather weak in distinguishing between liquid and solid precipitation.

In the perspective of quantifying the spatial and temporal variability of water budgets over catchments, another possibility for providing the required rainfall component is to use the precipitation produced by climate models. This presents two main advantages: (i) the physical
100 coherency of the various elements of the water budgets computed by these models and (ii) the possibility of studying the evolution of the water budgets in the future in a context of global warming. Note, however, that global climate models usually fail to simulate properly the regional processes and their spatial variability, especially for precipitation in mountainous area, a default particularly critical in the Andes due to their complex topography (Giovannettone and Barros, 2009). To remedy
105 these limitations, downscaling approaches based on the nesting of regional climate models (RCM) into global models is frequently used. The performance of nested regional models depends on the study area, the spatial resolution and the parameterization used (Box and Bromwich, 2004), which means that their added value, as compared to the other sources of rainfall information, should also be considered on a case by case basis.

110 **2 Study area and data**

2.1 Study area

Draining an area of 11 930 km² located between 8 and 10° S and 79 and 77° W, the Rio Santa runs northward, between the Cordillera Negra to the West and the Cordillera Blanca to the East (Mark and Seltzer, 2003), before making its way to the Pacific. 41 % of the catchment area is
115 above 4000 m a.s.l., including the highest point of the cordillera, Huascarán, peaking at 6768 m a.s.l. (Fig. 1). The upper Rio Santa catchment, with an outlet at Condorcerro, drains an area of about 10 000 km², and will be our main study area.

Some modeling projections based on the mean of meteorological variables from four GCM grid points predict the disappearance of ice cover for 2080 in some sub-watershed of the Rio Santa
120 (Juen et al., 2007), which would have a significant impact on the flow regime of the river, since glaciers meltwater regulates its annual flow. For a sub-watershed of the upper Rio Santa watershed (4 700 km², 8 % glaciated), glaciers meltwater currently provides 10–20 % of the annual rate, and up to 40 % in the dry season (Kaser et al., 2003; Mark and Seltzer, 2003; Baraer et al., 2012; Condom et al., 2012).

125 The larger studied area is a rectangle of 84 000 km² (Fig. 1). It can be divided into four hydrological sub-regions from the North–East to the South–West. The Rio Marañón catchment is located on the Amazon side; where the highest yearly precipitated amount was measured in situ during the hydrological year 2012–2013 (> 1100 mm yr⁻¹). The second sub-region is the West side of the Cordillera Blanca, draining to the Pacific. Stations in this area are located inside the Rio Santa

130 catchment. In situ measured precipitation amounts in the Cordillera Blanca area range from 478 to
1000 mm yr⁻¹ (Table 1 and Fig. 1). The third region is the Cordillera Negra, which is much drier
(from 44 to 434 mm yr⁻¹) and lower in altitude (Table 1 and Fig. 1). This zone includes all stations
located west of the Rio Santa riverbed, up from 3625 m a.s.l. to an altitude of 1000 m a.s.l. Finally,
the dry area near the Pacific Ocean, named Costa, is defined as the land area whose altitude ranges
135 from 0 to 1000 m a.s.l. The topography data shown in Fig. 1 is from STRM (90 m resolution). While
we will be looking at the entire 84 000 km² region, our analysis is focused on the precipitation falling
over the upper Santa watershed, because this is our region of interest from a hydrological standpoint
and because it is where we have the best ground network coverage.

2.2 In situ data

140 It was not an easy task to gather data from a sufficiently large number of stations in order to properly
document our study area. First of all, there was the need to obtain some background climatological
information; 10 stations operated by the *Servicio Nacional de Meteorología e Hidrología de
Perú* (SENAMHI) since 1965 (Table 1) allow computing monthly and yearly long term averages.
However, their specific location and loose spatial sampling prevent from estimating correctly the
145 long term average rainfall either over the upper Rio Santa catchment or over the whole study area.
Data from an additional set of 8 SENAMHI stations cover the period August 2012 to July 2013
at a daily resolution. We also had access to 3 stations from the *Unidad de Glaciología y Recursos
Hídricos* (UGRH) from the *Autoridad Nacional de Agua* (ANA). These stations are of a tipping
bucket type; they have the double advantage of being located at higher altitudes and of providing data
150 at sub-daily time steps. As compared to previous studies in this region the key new information used
comes from a database of 16 meteorological stations with hourly data located in the Ancash region
of Peru. They were installed in the framework of a project (*Centro de Información e Investigación
Ambiental de Desarrollo Regional Sostenible – CIIADERS*), operated by the *Universidad Nacional
Santiago Antúñez de Mayolo* (UNASAM) of Huaraz. These stations provide essential information for
155 understanding the spatial (increased sampling density) and temporal (hourly resolution) distribution
of precipitation within our study area. The SENAMHI data are routinely quality controlled, using
standard procedures in use in the Met services worldwide. For the UGRH and UNASAM data, we
had to carry out our own quality check, for instance by comparing precipitation amounts reported by
stations located in the same area, leading to remove errant values.

160 Unfortunately the CIIADERS network has been in operation since 2012 only, limiting this study
to one hydrological year (August 2012 to July 2013). The average pluviometric index of this one-
year study period, which corresponds to a reduced centered anomaly is close to 0 (0.0774), meaning
that the annual precipitation is close to the mean precipitation of the 1965–2014 period as calculated
from 10 long term stations among our total of 37. Note also that stations with more than 25 % of

165 missing data during that year have been removed, leaving only 32 stations available to compute our
ground based rainfall grids (Table 1 and Fig. 1).

A weakness of this 32-station network is the lack of data for the dry Cordillera Negra and the
high altitudes areas of the Cordillera Blanca (only 3 stations located above 3800 m a.s.l.). This
shortcoming was partly overcome by using accumulation data provided by the UGRH for the
170 Artesonraju and Yanamarey glaciers at near 5000 m a.s.l., which are net accumulations during one
year, including solid precipitation and melting over the period. Related to snow, it is important to
keep in mind that the rainy season occurs during austral summer when temperature is slightly higher
and that consequently few solid precipitations are observed under 4600 m a.s.l. (Condom et al.,
2011).

175 **2.3 Gridded precipitation from in situ data**

A major problem when comparing precipitation data sets from different sources relates to their
different spatial sampling. Satellite and atmospheric model data are provided as gridded products,
while rain gauges provide point data. A spatial interpolation procedure is thus required to get each
product on the same grid. There is a considerable amount of literature on selecting an appropriate
180 interpolation method for computing rain grids from point data. This is an especially tricky problem
in regions of rough topography.

Several studies showed that Kriging with External Drift (KED), using altitude as an external
variable, provides good results over complex terrains (e.g. Masson and Frei, 2014; Tobin et al.,
2011; Ochoa et al., 2014). Block kriging with altitude as an external drift was thus chosen here
185 as our reference interpolation method - note however that other types of kriging interpolators were
tested, but a cross validation evaluation showed KED to be the most efficient of all in our case.
While accounting for the strong influence of topography on the structure of rain fields is crucial in
mountainous regions, another issue arises from the type of variogram to be used and whether it is
allowed to vary from day to day. Related to this topic, different concepts of spatio-temporal kriging
190 have been tested in previous studies (Amani and Lebel, 1997; Vischel et al., 2011; Gräler et al.,
2012). Daily evolving variograms assumes the hypothesis of a relationship between precipitation
amounts of day D and $D - 1$ and information from the previous days is considered with a weight
chosen by the user (10% is used in this study). This is the method that was finally chosen to compute
daily gridded precipitation at 27 km, 9 km and 3 km spatial resolutions, thus matching the resolution
195 of the satellite and WRF model products that will be presented below in Sects. 2.4 and 2.5.

2.4 TRMM product

Tropical Rainfall Measuring Mission (TRMM) Multi-Satellite Precipitation Analysis (TMPA)
products are available since 1998. This study makes use of the TRMM3B42 version 7 product,
which provides precipitation data at a 3 h time step from a combination of remote sensing

200 observations (microwave imager, precipitation radar, visible and infrared scanner) and monthly in
situ observations (Huffman et al., 2007; Huffman and Bolvin, 2012). This product will simply be
referred to as TRMM in the rest of the study. The TRMM dataset covers a region between 50° S
and 50° N, with a spatial resolution of 0.25° (approximately 27 km) (Table 3). This product can
be used for hydrological application in regions with scarce in situ data. Even though the TRMM
205 mission was focused on the monitoring of tropical rainfall, it suffers from a number of drawbacks,
the main being its poor time sampling reduced to one or two passage per day depending on the
area considered. This causes a significant loss of information for short duration storms (Roca et al.,
2010; Condom et al., 2011; Ward et al., 2011). The effect of these time sampling errors are reduced
when aggregating in time (Scheel et al., 2011; Mantas et al., 2014), but TRMM products still show
210 significant biases in monthly values in the tropical Andes (Condom et al., 2011) as well as on solid
precipitation (Maussion et al., 2014).

2.5 WRF simulation

In this study we use the high resolution simulations from the Weather Research and Forecasting
(WRF) model version 3.4.1 (Skamarock et al., 2008), that had only few application in the tropical
215 Andes (Murthi et al., 2011; Ochoa et al., 2014; Sanabria et al., 2014). The WRF is a nonhydrostatic
model and uses a terrain-following vertical coordinate (sigma). The limited domain simulations are
forced by boundary condition every 6 h by the National Center for Environmental Prediction (NCEP)
Final Analyses (FNL) Global Forecast System (GFS) with 1° of latitude and longitude horizontal
resolution. Elevation dataset is from the USGS GTOPO30. A large tropical Andes domain was first
220 delimited for simulations at a 27 km resolution (WRF27). Two sub-domains were then used for
carrying out simulations at a 9 km (WRF9) and a 3 km (WRF3) resolution respectively, both being
centered in the Santa river basin (Tables 2, 3). WRF9 (WRF3) simulations are forced by the WRF27
(WRF9) simulations using a one-way nesting technique. The simulations begin on April 2012, the
four first months being used as a spin-up period for producing one year of data to be compared to
225 the KED and TRMM products.

Figure 2 shows the boxes corresponding to each simulation domain, and Table 2 lists the
resolutions and coordinates of each configuration. Table 3 lists the parameterizations used in the
simulations. We use the Thompson microphysical scheme (Thompson et al., 2008), and the Grell–
Devenyi ensemble scheme for the cumulus parameterization. We also use a topographic correction
230 for surface winds, previously tested in a complex orographic terrain of the Iberian Peninsula
(Jimenez and Dudhia, 2012). The Noah-MP (Multi-physics) land surface model is used for the
representation of land–atmosphere interaction processes (Niu et al., 2011; Yang et al., 2011). Noah-
MP is an extended version of the Noah land surface model with enhanced Multi-Physics option
to address critical shortcomings in Noah for long-term soil state spin-up and snow modeling. In
235 particular, this version of the Noah model has shown improvements in the representation of surface

energy fluxes, snow cover and snow albedo treatment. The partitioning precipitation into rainfall and snowfall was set to option 2 ($\text{opt_snf} = 2$) using the Biosphere–Atmosphere Transfer Scheme which assumes all precipitation as snowfall when the air temperature is lower than the freezing point plus 2.2 K, and rainfall otherwise.

240 The over-estimation of precipitation is a frequent bias in numerical models (e.g. Mearns et al 1995), particularly in complex orographic regions. Preliminary tests of sensitivity with various WRF parameterizations (including different cumulus schemes, cloud microphysics, planetary boundary layer and land surface options) have been done in the tropical Andes at a 27 km horizontal resolution; a clear over-estimation of precipitation was observed with all these configurations and over all the
245 domain, including the high mountain areas. The biases found with other configurations were almost similar to those of the configuration selected here in terms of the precipitation spatial distribution, and with quantitative differences more pronounced in the eastern slopes of the Andes and in the Amazon region rather than in high mountain zones like the Cordillera Blanca. The configuration finally retained for this study (Table 2) has been selected because (i) it minimizes the positive
250 precipitation bias in the tropical Andes above 3500 m a.s.l., and (ii) it simulates correctly the spatial distribution of the precipitation in the region, including the zones of maximum precipitation situated in the Amazon basin and in the eastern slopes of the Andes (Fig. 2), when compared with the TRMM2B31 data. At 3 km resolution, the Noah-MP option was found to decrease the precipitation over-estimation in the Cordillera Blanca and show a more realistic snow distribution when compared
255 with previous observations.

3 Methods and criteria used for comparing the rainfall products

A total of seven gridded rainfall products are compared here, as described in Table 4. These products differ from one another on two accounts: (i) the type of information used (ground data, satellite data, atmospheric model), (ii) the spatial resolution, ranging from 27 km corresponding to the size of the
260 TRMM satellite product grid mesh, down to 3 km, the finest resolution at which the WRF model was run. These seven gridded products are available at the daily scale which is the corner scale for the comparison carried out in this paper. While TRMM products and WRF simulations are inherently gridded, in situ data need to be interpolated in order to build grids at the three spatial resolutions: 27, 9 and 3 km.

265 3.1 Computation of daily precipitation grids from in situ data

The performance of the KED outputs is determined based on a “leave-one-out” cross validation procedure (Li and Heap, 2008). It consists in leaving aside one measurement point at a time, and estimating the value at that point from the remaining 31 stations. The procedure is applied successively to each of the 32 measurement stations, allowing for computing the bias (Eq. 1), the

270 root mean square (RMSE) score (Eq. 2) and the correlation coefficient, as follows:

$$\text{bias} = \sum_{i=1}^n \sum_{d=1}^m (\hat{P}_{i,d} - P_{i,d}) \quad (1)$$

$$\text{RMSE} = \sum_{d=1}^m \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{P}_{i,d} - P_{i,d})^2} \quad (2)$$

275 Where $\hat{P}_{i,d}$ is the daily precipitation estimated at point i for day d , using all the other gauges, $P_{i,d}$ is the corresponding measured daily rainfall, n is the number of stations (32 when no missing data on day d) and m the number of days studied.

In the following, the gridded daily precipitation product at 27, 9, and 3 km spatial resolutions will respectively be referred to as KED27, KED9 and KED3 (see Table 4 and Fig. 3). Daily RMSE value is large (3.41 mm d^{-1}), compared to the mean daily precipitation over all stations (1.85 mm d^{-1}), and errors are reduced with aggregation on a yearly basis (RMSE of 271 mm yr^{-1} for an average in situ amount of 572 mm y^{-1} for the 32 stations, and correlation coefficient of 0.78). In yearly values, kriging products will then be the basis of our comparison to TRMM data and WRF outputs. Despite some bias in the estimation of annual and daily rainfall, it is assumed that the most important spatial pattern is captured by KED.

285 3.2 Comparing the daily and annual precipitation products

Daily precipitation is defined as the accumulation of rainfall between 00:00:00 LT (Local Time) and 23:59:00 LT. An important point is that all gridded products suffer from weakness and thus, the aim of the comparison is to analyze differences between products. The daily products are compared from three different standpoints: the statistical distribution of non-zero rainfall, the grid annual values and the seasonal cycle.

290 The frequency of daily precipitation at one location (one station or the grid mesh corresponding) was studied through the cumulative distribution function of the non-zero precipitation (Sambou, 2004):

$$295 \quad f(x) = -\log_{10}(1 - F(x)) \quad (3)$$

Where $F(x)$ is the cumulative frequency of the daily precipitation amount above 1 mm d^{-1} and x is the daily precipitation (mm d^{-1}).

To assess the statistical performance of the 3 km resolution products against punctual in situ data at a daily time scale, the contingency table for rainfall/no rainfall was built (Table 5). The bias score (BIAS – ratio of the number of rainy days simulated ($A + B$) over the number of rainy days observed ($A + C$)), false alarm rate (FAR – ratio of the number of rainy days incorrectly simulated (B) over the total number of rainy days simulated ($A + B$)), probability of false detection (POFD – ratio of the number of rainy days incorrectly simulated (B) over the number of days without rain in the

observations ($B + D$) and the frequently used Heidke Skill Score (HSS) (Eqs. 4–6) were calculated.

$$305 \quad \text{HSS} = \frac{S - S_{\text{ref}}}{1 - S_{\text{ref}}} \quad (4)$$

$$S = \frac{A + D}{N} \quad (5)$$

$$S_{\text{ref}} = \frac{(A + B)(A + C) + (B + D)(C + D)}{N^2} \quad (6)$$

Where N is the size of the statistical population, and A, B, C and D values are explained in Table 5.

310 A perfect product would have a BIAS of 1, a FAR of 0, a POFD of 0 and a HSS of 1.

Annual grids were computed by temporal aggregation of the daily grids. In the aim to study the water balance in a purpose of hydrological applications, each product was evaluated in terms of volume of water precipitated over the area of the upper Rio Santa watershed, corresponding to the watershed limited by the outlet at Condorcerro (Fig. 1).

315 Finally, to evaluate the seasonal cycle of precipitation in one site, we used the temporal standard score S_t (Eq. 7).

$$S_t = \frac{\overline{P}_j^{10} - \langle P_j \rangle}{\sigma_j} \quad (7)$$

320 Where \overline{P}_j^{10} is the running means of daily precipitation amounts over 10 days in one location, $\langle P_j \rangle$ and σ_j are the temporal average and standard deviation of the daily precipitation respectively.

It is important to mention that when comparing the performances at one location of the KED daily products with those of the TRMM and WRF, use is made of the cross-validation products, so that the local information is not taken into account, which would artificially advantage the ground product with respect to the satellite and model products.

325 **3.3 Assessing the quality of the WRF3 hourly precipitation grids**

To facilitate the comparison among all stations, the hourly precipitation amounts were normalized by dividing them by the mean of hourly values during the year. Few studies deal with hourly rainfall amounts from WRF modeling. In this study, we compared the timing of the precipitation peak from hourly rain gauge data and from WRF3 simulation outputs. Studying hourly data allowed us to see if 330 short time processes governing precipitation in the Rio Santa valley are well represented in WRF3, considering in situ hourly measurement as the reference.

4 Results

4.1 Frequency and intensities of daily precipitation amounts

In this section, we first analyze the statistics of daily precipitation, temporal scale for which all the 335 8 products are available (Table 4), and presented them for the Corongo location (no. 2 in Table 1

and Fig. 1). This station, located in the northern part of the Rio Santa watershed was selected because it is representative of the 16 stations located inside the upper Rio Santa catchment in terms of precipitation areal averaging effect, except when comparing the differences between the three different spatial resolution products of WRF. In a second part, we studied daily precipitation occurrences based on the contingency table indices (see Sect. 3.2, Table 5) for all stations located in the Sierra area.

Figure 4 shows the cumulative frequency of daily precipitation above 1 mm d^{-1} for the Corongo location comparing (i) the three spatial resolutions of WRF (Fig. 4a), (ii) the three spatial resolution of KED (Fig. 4b), (iii) comparing TRMM, WRF and KED products at 27 km (Fig. 4c), (iv) comparing WRF and KED products at 3 km spatial resolution vs. in situ punctual data (Fig. 4d). The number in the box of each graph represents the number of days with precipitation over 1 mm d^{-1} ($n_{p>1}$) for each product. Regarding KED data, the three spatial resolutions have few differences that can also be seen in the number of $n_{p>1}$ (Fig. 4b). Concerning the 27 km spatial resolution, KED27 and TRMM are more similar to each other compared to WRF27 (Fig. 4c), despite an underestimation of $n_{p>1}$ for TRMM (108 days) compared to KED27 (183 days). WRF3, as WRF27 (Fig. 4c and d), do not correctly reports daily precipitation amounts, with stronger values compared to the other datasets. In this comparison, KED3 seems to underestimate daily precipitation amounts and overestimate $n_{p>1}$ in light of in situ data, but this can be related to a resolution effect between 3 km resolution grid and punctual measurement.

Noting that WRF products are unrealistic in term of daily precipitated quantities we will now evaluate its performances in term of occurrence, a notion that is essential in glacio-hydrological studies. This can be seen in the results of the contingency table and is studied comparing KED3 and WRF3 with in situ data for different daily precipitation threshold in Fig. 5. The results are shown for the Sierra region, but are similar for the Cordillera Negra and Marañón area.

WRF3 largely overestimate the number of strong daily precipitation, which can be linked with the overestimation of the product (Fig. 4d). The FAR, POFD and HSS show that there is an important improvement considering only precipitation above 1 mm d^{-1} in KED3 and that the number of daily precipitation between 0 and 1 mm d^{-1} is largely overestimated by this product (Fig. 5b–d). POFD can be seen as an inter-comparison indicator as it does not depend on the number of predicted events. Above 1 mm d^{-1} , KED3 is then a better estimator of precipitation occurrence compared to WRF3. However, we faced the same spatial resolution problem as above, when comparing 3 km mesh grid and in situ data for low precipitation amounts. HSS indicates that daily precipitation in KED3 is in better accordance to in situ data than WRF3, with few rainy days well predicted in WRF3. Although we noted a spatial resolution effect for daily precipitation quantities under 1 mm d^{-1} , KED3 appears as a good estimate of precipitation in terms of daily average quantities and occurrences and will be considered later as a basis of comparison between different gridded products.

4.2 Annual amount and seasonal cycle

4.2.1 Annual cumulated precipitation amounts during the hydrological year 2012–2013

The estimations of the annual precipitation over the upper Rio Santa catchment (about 10 000 km²)
375 for the 27 km resolution products, range from 570 mm yr⁻¹ for TRMM to 2910 mm yr⁻¹ for
WRF27 (and 830 mm yr⁻¹ for KED27) (Table 4). Thus, even at this large integrative scale, the
27 km products display large discrepancies. KED annual rainfall is 15 % larger at the 3 km resolution
(950 mm yr⁻¹), compared to the 27 km resolution, while it is a diminution of 30 % for WRF (1970
vs. 2910 mm yr⁻¹). Figure 6 shows those annual precipitation amounts for all different products
380 used in this study. Even though the KED3 estimate is certainly not devoid of bias, it is clear that
WRF overestimates rainfall. WRF products, compared to KED, shows more spatial variability in
precipitation amounts in both 3 and 9 km resolutions, with stronger altitudinal gradient. TRMM and
KED27 are closer along the Rio Santa valley, as they both incorporate rain gauges data. However,
on the Marañón watershed side, TRMM integrates the tropospheric flows from the Amazonian
385 lowlands, compared to KED27 which ground observations are under sampled over this area, not
catching the rainfall effect of the moisture influx from the Amazon basin. Although coarse resolution
products (TRMM and WRF27) do not provide acceptable rainfall grids for hydrological applications
in complex topography area because of their lack of representation of the finer spatial pattern, they
are not totally useless at this annual scale. They correctly represent the longitudinal precipitation
390 gradient between the humid and rainy condition of the Amazon plain, the orographic influence of
the Cordillera Blanca and the dry and cold Pacific coast conditions (Fig. 6f and h). Those products
may thus be used as indicators of spatial precipitation pattern for the study of long term trends in
precipitation (that are costly to generate with WRF3, and not available with KED, because half of
the gauge network was installed only in 2012).

395 4.2.2 Orographic influence on annual amount at 9 and 3 km spatial resolution

Field data are too remote, with no measurement at high altitude to provide information on the
altitudinal gradient of precipitation. On a longitudinal transect near the Huascaran peak, we observed
important differences in annual precipitation amount and spatial pattern between KED products and
WRF outputs (Fig. 7b and c). At very high altitude, we compared precipitation to accumulation data
400 measured at 5100 m a.s.l. on the Artesonraju glacier (station no. 5 from Table 1 and Fig. 1). We can
observe in Fig. 7c that KED3 and KED9 products suffer from one major impediment: in regions of
low gauge density, the spatial pattern will be solely driven by the altitude, not taking into account
the effect of local slopes and orientation. As a consequence daily rainfall maxima produced by KED
are located over the summits, whereas it is well known that these maxima are rather located on the
405 slopes, as correctly simulated by WRF3 (Fig. 7b). The only area with less precipitation in WRF3
compared to WRF9 is the upper zone of the Cordillera Blanca mountain range, near the highest

peaks (Fig. 7b). In WRF3, the altitudinal variation is greater than in WRF9 with summit reaching 5000 m a.s.l., the spatial resolution is finer, and in this configuration, the orographic processes on the eastern slopes of the Andes is more pronounced and correctly represented at the 3 km spatial
410 resolution.

4.2.3 Seasonal changes along the Rio Santa valley

The annual cycle is presented in detail for cells corresponding to three stations located along the Rio Santa valley: Corongo (station no. 2), Shilla (station no. 12) and Shancayan (station no. 16) (Fig. 1, Table 1), as these three stations are representative of others located in the Sierra area. Day 1
415 in Fig. 8 corresponds to the beginning of the hydrological year, the 1 August 2012. The upper panels (Fig. 8 a–c) correspond to the three products available at the 27 km spatial resolution (TRMM, KED27, WRF27). During the dry period, between day 1 and 50, and 300 to 350, TRMM largely overestimates precipitation amount for Shilla (Fig. 8b). The percentage of ice-covered area in the mesh corresponding to Shilla station is up to 10 %, while it is less than 0.5 % for the meshes of
420 Corongo and Shancayan. Error in dry season for Shilla can be seen as a poor consideration of ice covered surface in TRMM algorithm, as ice on the ground scatter energy in a similar way as precipitation drops in the atmosphere (Yin et al., 2004). Temporal trends of KED27 and WRF27 are similar, with occasional shifts of few days in heavy rainfall events (for example between day 200 and 230 for Corongo station, Fig. 8a).

425 Concerning the finer spatial resolution (Fig. 8d–f), KED3 and in situ data have strong similarities for the 3 stations and that confirms the use of the 3 km spatial resolution to compare gridded data with in situ punctual data. Regarding WRF3, intensities of precipitation peaks are false in the heart of the rainy season, but the temporal distribution remains close to that of rain gauges precipitation.

4.3 Diurnal cycle of precipitation along the Rio Santa valley

430 Half of the rain gauges available over the region of study are daily-reading stations; the network of recording rain gauges is consequently too sparse and too unevenly distributed to permit the computation of relevant rainfall grids at sub-daily scale. WRF3 thus remains the only product able to account for the diurnal cycle of precipitation by providing hourly rainfall grids (even though TRMM3B42 is available at a 3 hourly time step, the fact that the satellite overpasses the studied
435 area only once or twice daily makes it difficult to trust its accuracy for sub-daily time scales). This is important since the diurnal cycle in a glaciological context controls the precipitation phase and consequently the surface albedo (one strength of WRF is that it produces liquid as well as solid precipitation).

In situ data at Corongo (station no. 2), Shilla (station no. 12) and Shancayan (station no. 16),
440 display a clear precipitation peak in the late afternoon, between 16:00:00 and 19:00:00 LT (Fig. 9). This diurnal cycle is visible in the WRF3 simulations, even though somewhat less pronounced (more

rainfall around noon), and with a slight lag at Shilla and Shancayan. Looking at the diurnal cycle of precipitation at a regional scale (Fig. 10), it is noteworthy that the peak hour of precipitation occurs later in the bottom of the Rio Santa valley (dark green for altitudes below 4000 m a.s.l., around 19:00:00 LT) than in the surrounding mountains (light green color, around 17:00:00 LT). A lack of hourly information at high altitude prevent from validating these hourly-scale characteristics with observations, but they correspond to well documented orographic processes (valley and mountain breezes) (Biasutti et al., 2012; Barros, 2013). In the afternoon, moisture is transported to the peaks by anabatic winds. At the beginning of the night, moisture downs into the valley with katabatic winds.

445 In a physical climate model like WRF, the representation of thermal and orographic circulations theoretically benefits from a finer resolution (Jimenez et al., 2013; Weckwerth et al., 2014), and mountain-valleys breezes seem to be accurately estimated for the 3 km resolution runs.

5 Summary and conclusions

Over the past 40 years the warming climate of the tropical Andes has led to a significant melting of the glaciers, impacting the hydrological cycle to an extent that remains to be assessed, both for present and for future times. One obstacle in doing so is our limited ability to evaluate properly the precipitation falling over high altitude catchments if only because of the difficulties for installing and maintaining sufficiently dense in situ networks. In addition, the rough topography generates strong spatial gradients that are very challenging to sample. In such a context, remote sensing and modeling look as attractive means for complementing the information provided by in situ measurements. With this in mind, this paper has presented a comparison of rainfall products based on three different sources of information: rain gauge measurements, satellite imagery and atmospheric model outputs. While TRMM3B42 is a widely used standard, making it a natural candidate to represent the family of satellite rainfall products, there is a larger range of possibilities for selecting a ground based product and an atmospheric model product. Preliminary tests, the results of which are not detailed in this paper, were used to finally select kriging with external drift interpolation (KED) as a typical ground based product, the external drift being the altitude. As for atmospheric models, the retained product is made of WRF simulations, WRF being run in a configuration minimizing the differences between the observations and the model outputs over the Cordillera Blanca.

460

470 The TRMM3B42 product having a resolution of 27 km; the same resolution was thus used for the computation of coarse rainfall grids from gauge measurements (KED27) and for WRF simulations (WRF27). Then gauge rainfall grids and WRF simulations were also produced at the finer resolutions of 9 km (KED9 and WRF9) and 3 km (KED3 and WRF3). This makes a total of seven gridded precipitation products that were computed and inter-compared over the region of the Rio Santa in Peru, a glaciated catchment and the second largest river flowing from the tropical Andes to the Pacific.

Each process leading to the computation of gridded rainfall products has its own weaknesses: interpolation errors for the rain gauge products, indirect measurement of rainfall for the satellite products, sub-mesh parameterization for the WRF model outputs. Therefore none of them can be taken as an undisputable reference, whether be in term of quantities or in terms of occurrence. This is why the performances of each product were assessed from a double perspective. A comparison with measured on site data was carried out when relevant (diurnal and seasonal cycle, statistics of rainfall occurrence), while the ability of each product to reproduce some well-known spatial features of precipitation fields at various time scales (from annual down to daily) was analysed when no obvious quantitative reference could be used.

In line with the results of other studies, WRF27 simulations are found to be totally unrealistic in terms of annual quantities. WRF9 and WRF3 simulations are better in this respect but still largely overestimate the annual total, with WRF9 being in addition unable to catch properly the details of the spatial pattern, that are well restituted by WRF3. This shortcoming of WRF9 can be explained by its resolution that is still too coarse to reproduce correctly the orographic influence, because a number of key features are smoothed out (for instance, grid meshes reaching altitudes above 5000 m a.s.l. are found in the WRF3 topography, which is not the case in the WRF9 topography).

TRMM, with its coarse spatial resolution of 27 km, performs poorly over ice covered surfaces, because ice on the ground behaves in a similar way as rain or ice drops in the atmosphere in term of scattering the microwave energy (Yin et al., 2004). Using TRMM in glaciated mountain ranges should thus be avoided, especially at small time scales where spatial error compensation does not occur, as it might do when averaging annual totals over large areas. On the other hand, TRMM might provide some useful information over the low lands in the Amazonian side of the Andes as already mentioned by Lavado et al. (2009).

Coarse resolution products (TRMM and WRF27), however, correctly represent the large spatial gradient between the humid Amazonian lowlands and the dry Pacific coast and their long term precipitation series can thus be used to study the interannual variability of the spatial patterns at large regional scale and possible long term trends linked to climate change.

Comparing the diurnal cycle of the hourly WRF3 simulations with observations in meshes containing one recording rain gauge leads to the conclusion that this diurnal cycle is fairly realistic. Of course the default of the large overestimation of precipitation by WRF3 prevents from using directly the WRF3 grids as inputs to hydrological models. The challenge is thus to combine the hourly temporal distribution of precipitation in WRF3 with more accurate precipitated amounts. In this respect, one path to explore is to use the WRF3 diurnal cycle for disaggregating the KED daily grids.

A more general conclusion is that the topography and the associated rainfall gradients are too steep in this region for rainfall products at the spatial resolution of either 9 or 27 km to provide good rainfall estimates and good rainfall spatial patterns for glacio-hydrological purposes. Moreover, due

to a poor sampling at high altitudes, kriging with external drift does not take into account local slope
515 and orientation effects as the spatial pattern is solely driven by altitude. In summary, combining the
daily rain gauge measurements with the spatial patterns generated by WRF3 appears as promising
way for building daily rain fields. There are several techniques to do so, one being to use the WRF3
rain field, instead of the topography, as the external drift when interpolating the in situ measurements
with a KED technique.

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Table 1. Information on in situ rainfall stations. For the Situation, CB=Cordillera Blanca, CN=Cordillera Negra, M=Marañon, C=Costa. [NS] indicate stations used for the study along the Rio Santa valley. [H] indicate stations used for the transect along the Huascaran peak. * indicate stations used to calculate the precipitation index (data from 1965) (Sect. 2.2). Precipitation (mm yr^{-1}) during the hydrological year 2012/13 is indicated at each rain gauge station for in situ data (Obs), TRMM and WRF (WRF27, WRF9 and WRF3). Accu indicates that it is the value for glacier accumulation over the year.

UNASAM no.	Lon	Lat	Alt. [m]	Situation	Obs	TRMM	WRF27	WRF9	WRF3
2 [NS]	-77.9	-8.6	3172	CB	542	407	2173	1517	1225
6	-77.2	-8.9	2786	M	577	671	3377	1090	1716
7	-77.8	-9.1	2350	CB	478	307	4219	997	796
9	-78.4	-9.2	125	C	31	107	121	214	341
10	-77.4	-9.2	3770	M	1162	271	2758	2421	2821
11 [H]	-77.7	-9.2	2500	CB	598	1596	4219	1000	849
12 [NS]	-77.6	-9.2	3040	CB	738	1596	2758	1073	1145
14	-78.2	-9.5	133	C	14	158	121	182	338
15	-77.5	-9.3	3480	M	1028	558	2558	3472	3948
16 [NS]	-77.5	-9.5	3091	CB	666	434	4625	1663	1025
18	-77.4	-9.5	3850	CB	-	1674	4625	3168	2513
28	-78.1	-10.1	18	C	8	78	49	102	250
29	-77.1	-10.1	3405	CB	624	381	1861	1664	3069
32	-77.4	-10.4	3268	CN	307	523	2203	1990	2860
SENAMHI no.	Lon	Lat	Alt. [m]	Situation	Obs	TRMM	WRF27	WRF9	WRF3
1	-78.0	-8.4	3160	CB	972	343	2502	1498	1373
3 *	-77.6	-8.6	3375	M	959	437	2173	1651	1483
4 [H]	-77.5	-8.8	3605	M	1030	530	2758	2248	2160
8	-77.7	-9.1	2527	CB	744	307	4219	1000	719
13	-78.2	-9.4	216	C	28	158	121	219	396
16	-77.5	-9.5	3079	CB	634	434	4625	1663	1025
19 *	-77.8	-9.5	2285	CN	251	233	1320	797	761
20	-77.9	-9.5	1260	CN	91	234	710	502	528
21 *	-77.7	-9.6	3625	CN	668	434	4348	1800	1169
22 *	-77.7	-9.6	3325	CN	-	434	4348	1524	1310
23 *	-77.2	-10.1	3137	M	687	790	2402	2456	3289
25 *	-77.4	-9.7	3444	CB	756	790	4348	1942	1541
26 *	-77.6	-9.8	3440	CN	-	358	4348	1705	973
27 *	-77.2	-9.9	4400	CB	-	645	3413	2684	3922
29 *	-77.2	-10.1	3382	CB	620	381	1861	1678	3069
30	-77.4	-10.2	3200	CN	-	329	1861	837	1867
31	-77.5	-9.6	1221	CN	44	192	499	454	662
32 *	-77.4	-10.4	3230	CN	383	271	1861	1255	1586
UGRH no.	Lon	Lat	Alt. [m]	Situation	Obs	TRMM	WRF27	WRF9	WRF3
5 [H]	-77.6	-9.0	5100	CB	Accu: 1006	545	4188	3010	2922
17	-77.4	-9.5	4281	CB	-	1674	3215	2691	2479
24	-77.3	-9.6	4955	CB	Accu: 1000	790	3215	2809	3894

Table 2. Characteristics of the WRF simulations at the three different spatial scales.

	WRF27	WRF9	WRF3
Horizontal resolution (km)	27	9	3
Domain	Tropical Andes	Rio Santa region	Rio Santa watershed
Domain center coordinates	8°30' S, 72° W	9°1'4" S, 77°37'53" W	9°11'25" S, 77°43'7" W
Configuration	Regional simulation	One-way nesting	One-way nesting
Forcing	NCEP_FNL	WRF27	WRF9
Vertical resolution	27 sigma levels	27 sigma levels	27 sigma levels
Run time-step (s)	150	50	6
Outputs time resolution (h)	6	3	1

Table 3. List of the physical parameterizations used in the WRF simulations.

	Parameterizations	References
Clouds microphysics	New Thompson Scheme	Thompson et al. (2008)
Radiation	Longwave: Rapid Radiative Transfer Model (RRTM)	Mlawer et al. (1997)
	Shortwave: Dudhia Scheme	Dudhia (1989)
Cumulus parameterization	Grell–Devenyi ensemble Scheme	Grell and Devenyi (2002)
Planetary boundary layer	Yonsei University Scheme	Hong et al. (2006)
	Wind topographic correction (option 1)	Jimenez and Dudhia (2012)
Land surface	Noah-MP (multi-physics) Partitioning precipitation option 2	Niu et al. (2011); Yang et al. (2011)
Surface layer	MM5 similarity	Paulson (1970)

Table 4. Precipitation data used in this study, with their spatial and temporal resolution, and the accumulated amount precipitated over the upper Rio Santa watershed during the hydrological year 2012/13. WRF and KED (corresponding to kriging data with external drift – daily evolving variogram) are at 3 different spatial resolutions (27, 9 and 3 km). TRMM is the TRMM3B42 product.

Product	Spatial resolution	Temporal resolution used in this study			Annual precipitation over the watershed [m]
		Hourly	Daily	Yearly	
In situ	Punctual	x	x		–
KED27	27 km × 27 km		x	x	0.83
KED9	9 km × 9 km		x	x	1.01
KED3	3 km × 3 km		x	x	0.95
WRF27	27 km × 27 km		x	x	2.91
WRF9	9 km × 9 km		x	x	1.95
WRF3	3 km × 3 km	x	x	x	1.97
TRMM	27 × 27 km		x	x	0.57

Table 5. Contingency table used to assess the statistical performances of the 3 km resolution products against punctual in situ data at a daily time scale. The B value corresponds for example to a day with no precipitation in the in situ data and precipitation $>$ threshold mm d^{-1} in the 3 km grid product.

		In situ	
		Yes	No
3 km grid Product	Yes	<i>A</i>	<i>B</i>
	No	<i>C</i>	<i>D</i>

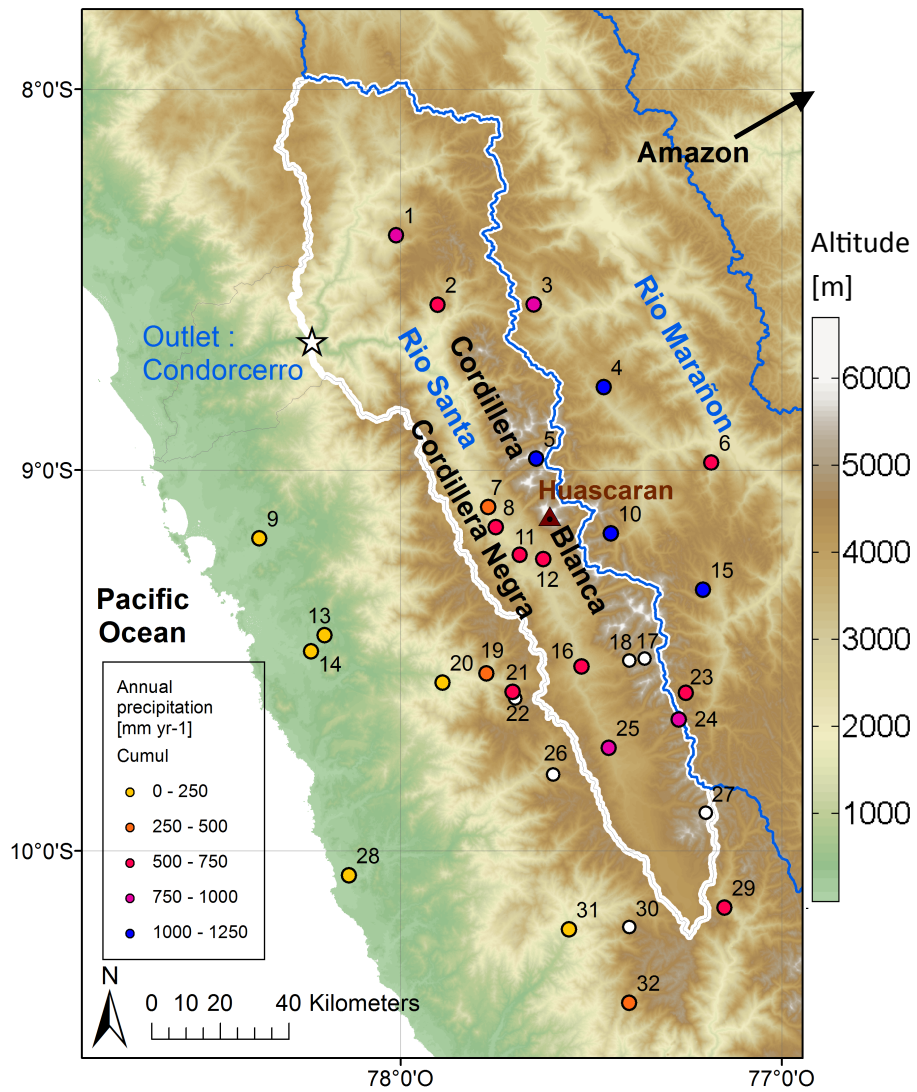


Figure 1. Location of the upper Santa watershed (the star marks outlet: Condorcerro). Color dots indicate annual precipitation amounts at in situ stations. The Huascarán peak is indicated, as well as the Rio Marañon watershed. Topography is from SRTM (<http://srtm.csi.cgiar.org/>).

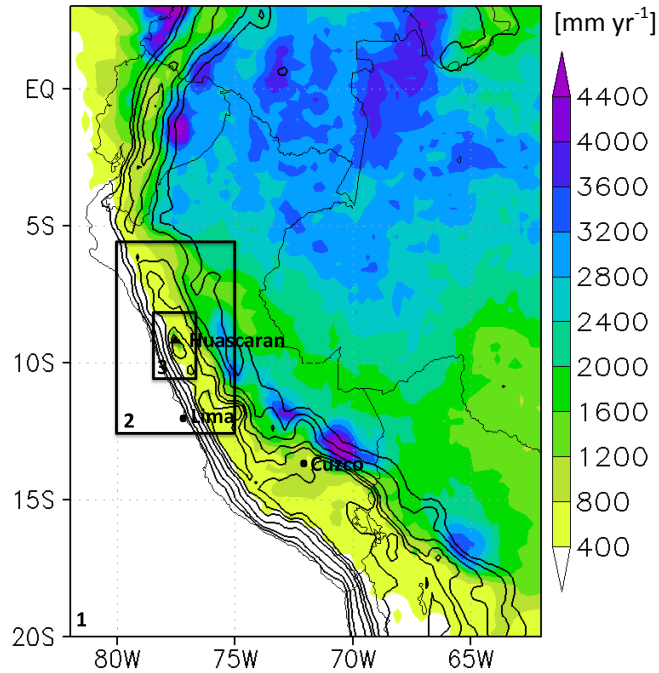


Figure 2. Annual precipitation (mm yr^{-1}) from TRMM2B31 for the hydrological year 2012/2013 (August 2012/July 2013). The 3 boxes indicate the WRF simulations domain. Box [1] for $27 \text{ km} \times 27 \text{ km}$; box [2] for $9 \text{ km} \times 9 \text{ km}$; box [3] for $3 \text{ km} \times 3 \text{ km}$. Topography contours are displayed every 500 m.

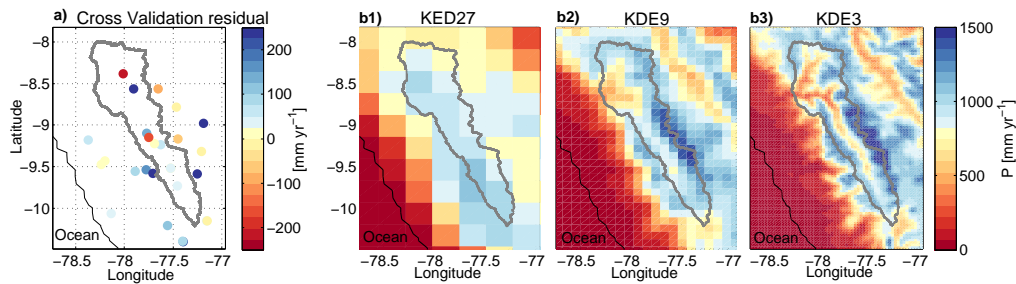


Figure 3. (a) Cross validation residuals with in situ yearly precipitation amount. (b) Annual precipitation amount from KED interpolations at 27 (b1), 9 (b2) and 3 km (b3) spatial resolutions. Delimitation of the upper Rio Santa watershed is indicated in bold gray lines. The coastline is also indicated in black.

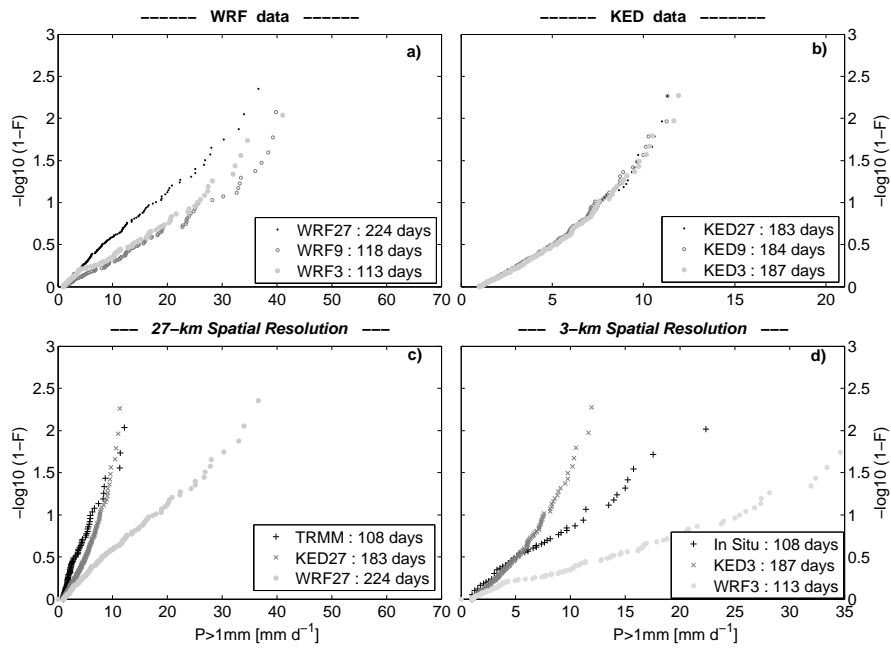


Figure 4. Frequency diagram of Corongo (station n°2) of daily precipitation data $> 1 \text{ mm d}^{-1}$ for WRF outputs (a) and KED products (b) at three different spatial resolutions, and for all products at 27 km (c) and 3 km spatial resolution (d). Numbers in the bottom right corner indicates the number of days with precipitation $> 1 \text{ mm d}^{-1}$ for each dataset.

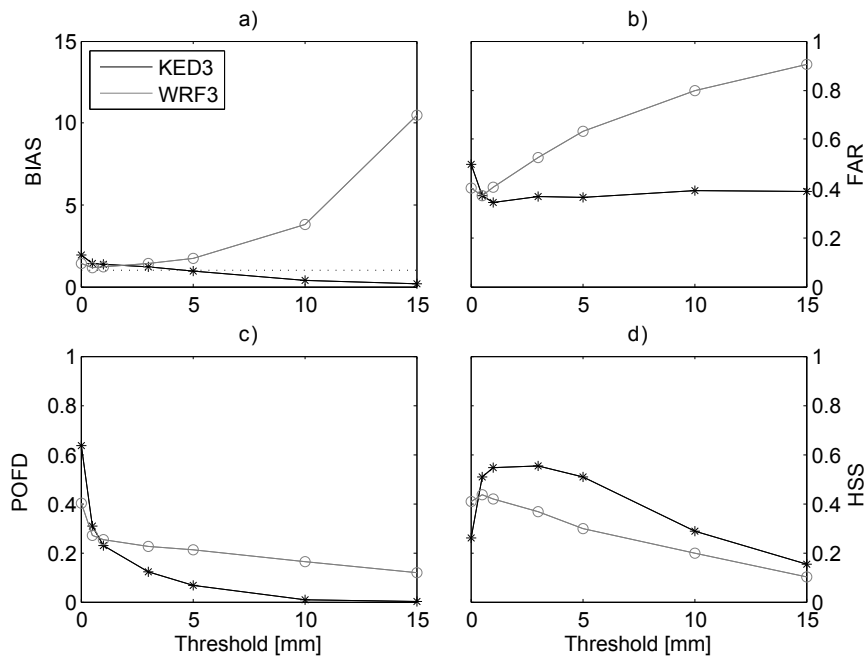


Figure 5. Daily precipitation indices: BIAS (a), False Alarm Rate (b), Probability Of False Detection (c) and Heidke Skill Score (d). Calculated for KED3 (black) and WRF3 (gray) against rain gauges precipitation data located in the Sierra area. Scores have been evaluated for several daily precipitation thresholds: 0.1, 0.5, 1, 3, 5, 10 and 15 mm.

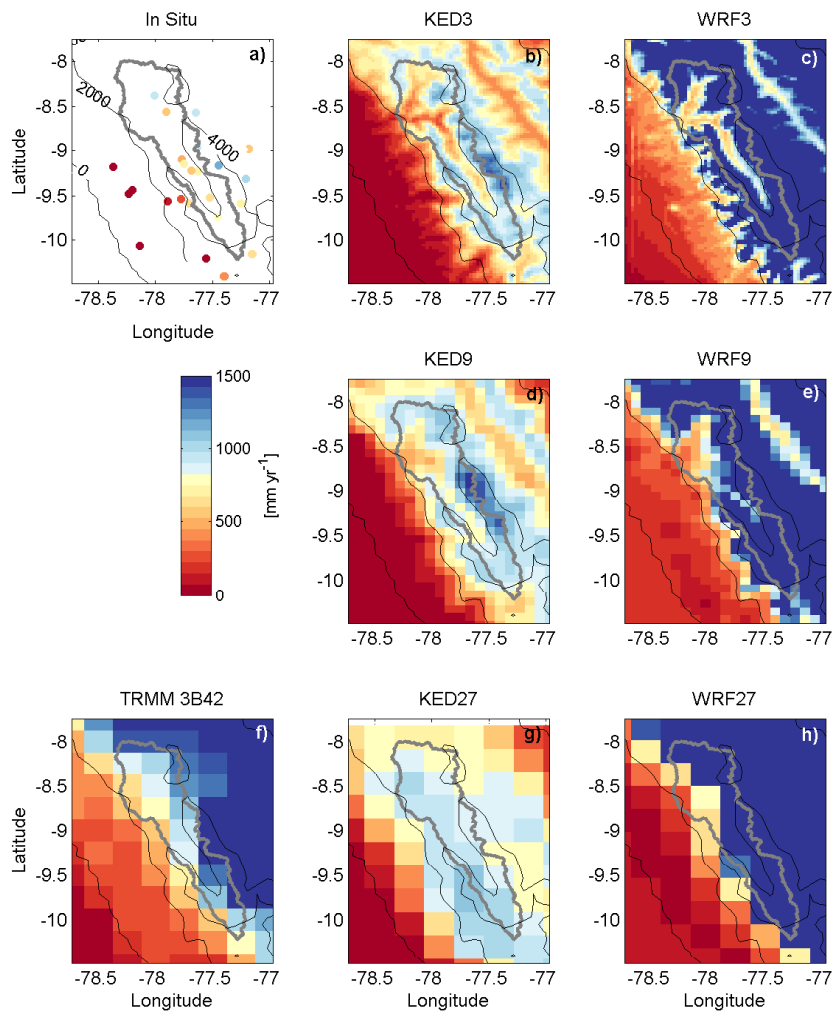


Figure 6. Annual precipitation amounts for all products. Altitudinal contours of WRF9 are drawn every 2000 m (altitudes indicated in **a**). Delimitation of the upper Rio Santa watershed is in bold gray line.

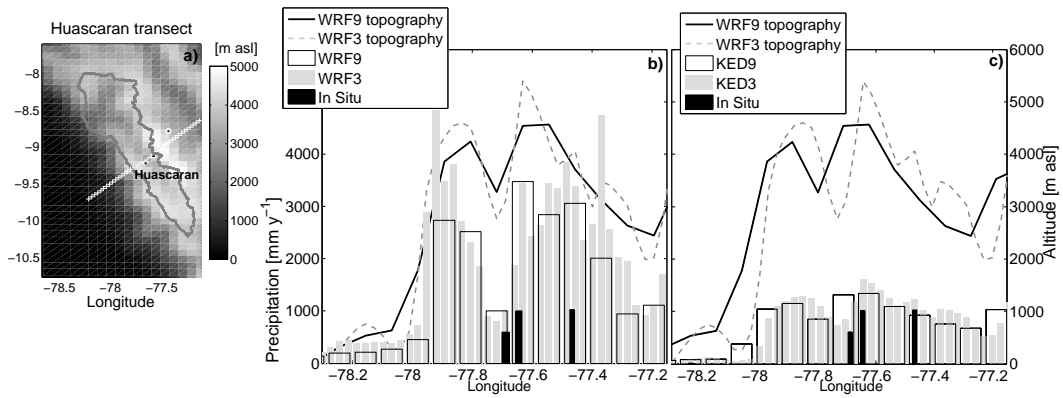


Figure 7. Annual precipitation along a longitudinal transect (white crosses in **a**). Black bars in **(b, c)** corresponds to measured precipitation or accumulation. Elevation at 9 km spatial resolution is in solid black line, at 3 km in dotted gray line. WRF9 (empty bars) and WRF3 precipitation (light gray) are plotted in **(b)**. KED9 (empty bars) and KED3 precipitation (light gray) are plotted in **(c)**.

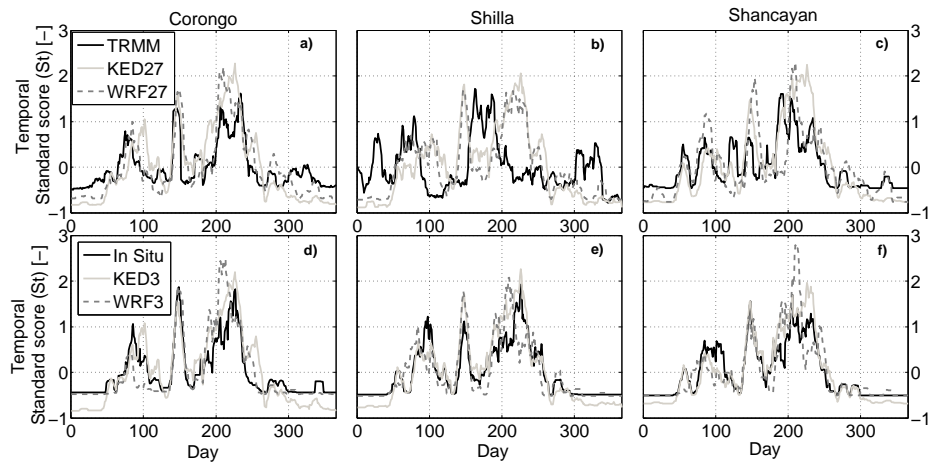


Figure 8. Temporal Standard score of running means of daily precipitation amounts over 10 days for three stations along the Rio Santa valley, for 27 km **(a–c)** and 3 km **(d–f)** spatial resolutions. Gray line is for KED, dotted line for WRF, and dark line either for TRMM (upper panels) or in situ (lower panels). Day 1 corresponds to the 1 August 2012.

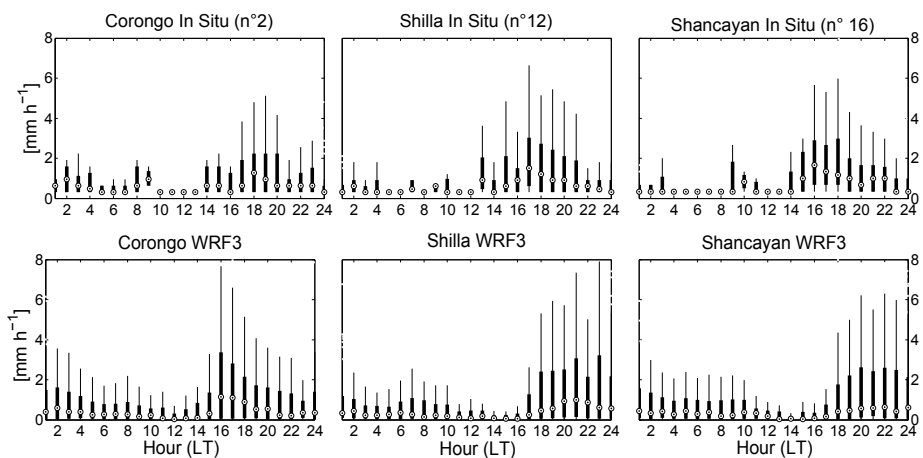


Figure 9. Box plot of hourly precipitation amounts normalized by the mean of hourly data during one hydrological year (August 2012/July 2013) for three rain gauges along the Rio Santa valley (Corongo, Shilla and Shancayan). In situ data are plotted in the upper panel, while WRF3 outputs are plotted in the lower panels.

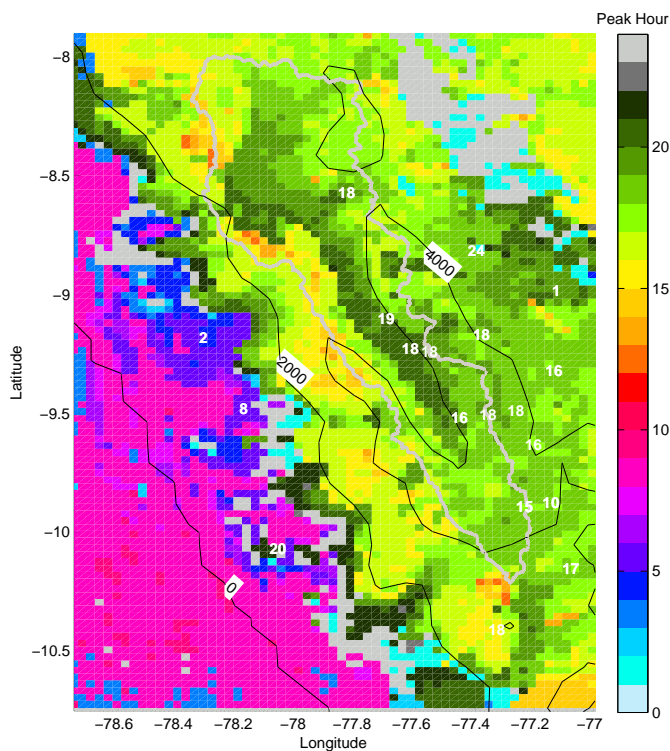


Figure 10. Peak hour of precipitation in WRF3. White numbers correspond to peak hour for the in situ data. The altitude of WRF9 is drawn every 2000 m (black lines). Delimitation of the upper Rio Santa watershed is in gray.