Impacts of Grid Resolution on Surface Energy Fluxes Simulated with an Integrated Surface-Groundwater Flow Model

P. Shrestha¹, M. Sulis¹, C. Simmer^{1,3}, S. Kollet^{2,3}

 ¹Meteorological Institute, University of Bonn, Germany
 ²Forschungszentrum Jülich GmbH, Jülich, Germany
 ³Centre for High-Performance Scientific Computing in Terrestrial Systems, Geoverbund ABC/J Jülich, Germany

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Corresponding Author: Dr. Prabhakar Shrestha Meckenheimer Allee 176, Meteorological Institute, University of Bonn, Germany E-mail:pshrestha@uni-bonn.de

1 Abstract

2 The hydrological component of the Terrestrial System Modeling Platform 3 (TerrSysMP) which includes integrated surface-groundwater flow, was used to 4 investigate the grid resolution dependence of simulated soil moisture, soil 5 temperature, and surface energy fluxes over a sub-catchment of the Rur, Germany. 6 The investigation was motivated by the recent developments of new earth system models, which include 3D physically based groundwater models for the coupling of 7 8 land-atmosphere interaction and subsurface hydrodynamics. Our findings suggest 9 that for grid resolutions between 100 and 1000 m, the non-local controls of soil 10 moisture are highly grid resolution dependent. Local vegetation, however, strongly 11 modulates the scaling behavior especially for surface fluxes and soil temperature, 12 which depends on the radiative transfer property of the canopy. This study also 13 shows that for grid-resolutions above a few 100 meters, the variation of spatial and 14 temporal pattern of sensible and latent heat fluxes may significantly affect the 15 resulting atmospheric mesoscale circulation and boundary layer evolution in coupled 16 runs.

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20 1 Introduction

21 In recent years, a growing number of earth system modeling platforms 22 attempted to include physically based hydrological models, with lateral flow and 23 groundwater surface water interactions, to study the linkages between land-24 atmosphere and subsurface hydrodynamics (e.g., Anyah et al. 2008; Maxwell et al. 25 2011; Shrestha et al. 2014; Butts et al. 2014). These studies show that the inclusion of groundwater dynamics improves the simulated spatial variability in root zone soil 26 27 moisture and groundwater table depth, and shows the potential for improved 28 forecasts of the whole terrestrial system. However, as soon as one moves from 29 column-based land surface models to 3D-models with lateral flows, a new dimension 30 of spatial complexity is added where scaling issues become highly relevant (Becker 31 and Braun 1999). This is mainly due to the introduction of non-local controls on soil 32 moisture patterns (e.g., patterns of soil moisture dominated by lateral fluxes of 33 surface and subsusurface flow) as earlier identified by Grayson et al. (1997), which also depend on grid resolution. For spatial extents of 100 kilometers and above 34 35 atmospheric models are still run at grid resolutions \geq 1 km due to computational limitations or physical parameterizations, and hydrological models coupled the 36 37 atmospheric models are usually run at similar grid resolutions, which may however be inadequate to correctly simulate subsurface flow. Hyper-resolution models have 38 39 already been suggested by e.g., Wood et al. (2011), while Beven and Cloke et al. 40 (2012) have suggested the need of spatial scale dependent subgrid-scale 41 parameterizations to adequately simulate soil moisture variability.

In reality catchments exhibit variability and heterogeneity at a range of scales
(Blöschl and Sivapalan 1995), while in numerical models, the variability of soil
moisture, soil temperature and surface fluxes can only be controlled by the

45 heterogeneity at the chosen grid resolution. Previous studies with offline hydrological 46 models have shown that the aggregation of topography to coarser grid resolution (e.g., 1 km) has a strong impact on the water balance (e.g., Zhang and Montgomery 47 48 1994; Kuo et al. 1999; Vivoni et al. 2005; Bormann 2006; Herbst et al. 2006; Giertz et al. 2006; Dixon and Earls 2009; Sciuto and Diekkrueger 2010; Sulis et al. 2011). 49 50 Many of these studies focused primarily on the spatial scale dependent behavior of 51 catchment discharge, groundwater table depth and catchment mean soil moisture. A 52 better understanding of the spatial scale dependency of the simulated patterns of soil 53 moisture, temperature and surface fluxes is required, however, when such models 54 are coupled to atmosphere models. In an idealized setup using a mosaic approach, 55 Shrestha et al. (2014) demonstrated the importance of subgrid-scale topography on 56 topographically driven surface-subsurface flow for land-atmosphere interactions and 57 stressed the importance of accurate simulation of spatio-temporal variability of 58 surface fluxes for the evolution of the terrestrial system as a whole.

59 The aim of this study is to examine the effects of resolution-dependent model 60 heterogeneity using the Terrestrial System Modeling Platform (TerrSysMP, Shrestha 61 et al. 2014; Gasper et al. 2014; Sulis et al. 2015), on the variability of modeled soil 62 moisture, soil temperature and surface fluxes in a temperate climate when no 63 subgrid-scale parameterizations are used. The rest of the manuscript is organized as 64 follows: Section 2 describes the modeling tool used for the study; experiment design 65 and setup of the catchment is discussed in section 3. Topography heterogeneity 66 analysis is presented in section 4 while results and discussions are presented in 67 Section 5, and conclusions in Section 6.

68 2 Modeling Tool

69 The hydrological component of TerrSysMP consists of the NCAR Community 70 Land Model CLM3.5 (Oleson et al. 2008) and the 3D variably saturated groundwater 71 and surface water flow code ParFlow (Ashby and Falgout 1996; Jones and 72 Woodward 2001; Kollet and Maxwell 2006; Maxwell 2013). The two models (Fig. 1) 73 are coupled using the external coupler OASIS3 (Valcke 2013). In the sequential 74 information exchange procedure, ParFlow sends the updated relative saturation (S_w) 75 and pressure (Ψ) for the top 10 layers to CLM. In turn, CLM sends the depth-76 differentiated source and sink terms for soil moisture (top soil moisture flux (q_{rain}) , soil evapotranspiration (q_e)) for the top 10 soil layers to ParFlow (see Fig. 1). A more 77 78 detailed description on the coupling can be found in Shrestha et al. (2014). In this 79 study the hydrological component of TerrSysMP is decoupled from its atmospheric 80 component and forced with spatially distributed atmospheric forcing data at 2.8 km 81 spatial resolution and hourly temporal resolution (air temperature (T), wind speed (U), 82 specific humidity (QV), total precipitation (Rain), pressure (P), incoming shortwave 83 (SW) and longwave (LWdn)) from COSMO-DE (Baldauf et al. 2011) analysis data of the German Weather Service (DWD). 84

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Numerical Experiment Design

The model was setup for a sub-catchment of the Rur river (TR32 test bed site, Vereecken et al. 2010; Simmer et al. 2014), on the northern foothills of the lower Eifel mountain range with an approximated drainage area of 325 km² (Fig. 2a). The subcatchment encompasses the tributary Wehebach, which merges with the River Inde. The elevation in the model domain reaches from 50 to 600 m from North to South

(Fig. 2b), with mostly agricultural crops (c1n) near the foothills and needleleaf
evergreen trees (nle) and broadleaf deciduous trees (bld) along the sloping terrain
(Fig. 2c). The urban areas are represented in the model as agricultural canopy (c1f)
with a fixed Leaf Area Index (LAI = 0.6). Topography and landuse are based on the
90 m resolution Shuttle Radar Topography Mission (SRTM) data and 15 m resolution
data available from TR32 database (Waldhoff 2012), respectively.

97 The SRTM data was aggregated to 120, 240, 480 and 960 m horizontal grid 98 resolution for the model domain setup (Table 1) by first interpolating the 90 m 99 topography to 120 m using bilinear interpolation before aggregating to the coarser 100 resolutions. Landuse was aggregated by specifying only the dominant plant 101 functional type (PFT) at the coarser resolution. The chosen grid resolutions are within 102 the limits where a positive spatial autocorrelation of the topographic index exists (Cai 103 and Wang 2006), and roughly cover the range of grid resolutions between large-eddy 104 simulation (LES) and mesoscale atmospheric modeling. For fully coupled mesoscale 105 modeling with grid resolutions \geq 1000 m (atmosphere component model), the above 106 selected grid resolutions can be used for the hydrological component in TerrSysMP 107 with the mosaic approach to better resolve the heterogeneity of topography, landuse 108 and geology.

109 The model setup for all grid resolutions used the same 10 vertically stretched 110 layers (2-100 cm from top to bottom) followed by 20 constant depth levels (135 cm) 111 extending to 30 m below the land surface. A uniform soil texture was used for this 112 study by keeping the soil parameters spatially constant. The subsurface parameters were set as follows: saturated hydraulic conductivity, $K_s = 0.00034$ mh⁻¹; van 113 Genuchten parameters, $\alpha = 2.1$, n = 2.0 m⁻¹; and porosity, $\phi = 0.4449$. This removes 114 115 any impact of soil heterogeneity on non-local controls of simulated soil moisture 116 variability and scaling of soil hydraulic properties. The soil moisture profile for all

setups was initialized with a horizontally homogeneous hydrostatic pressure head and a water table depth at 5 m from the surface. The soil temperature was also initialized horizontally homogeneous with a uniform temperature of 10°C for all levels. A time step of 3600 seconds was used, and the simulation was integrated for six years using hourly atmospheric forcing from COSMO-DE analysis data. The same atmospheric forcing data for the year 2009 was used recursively for the six years. The model outputs were averaged over five days for the analysis.

124 4 Topography Heterogeneity Analysis

125 Heterogeneity analysis of the topography at different grid resolutions are 126 summarized in Table 2. The profile and plan curvature represents the flow 127 acceleration and convergent/divergent flow, respectively. The profile curvature is 128 parallel to the direction of maximum slope and a positive/negative value indicates 129 that the surface is upwardly concave/convex at the grid cell. The plan curvature is 130 perpendicular to the direction of the flow and the positive/negative value indicates the 131 surface is sidewardly convex/concave at the grid cell (see 132 https://resources.arcgis.com for pictorial descriptions). The distributions of plan and 133 profile curvature change with coarsening of grid resolution. The plan curvature is 134 negatively skewed at small grid resolution, and the skewness decreases with the 135 coarsening, while skewness changes for profile curvature are negligible. However, 136 the kurtosis of both plan and profile curvature decreases exponentially with higher 137 exponential power for plan curvature. This is also gualitatively visible in the 138 streamline maps of D4 flow direction and the local slopes for the sub-catchment at 139 the different grid resolutions (Fig. 3a-d): The aggregation of topography results in 140 smoothing of slope magnitudes and the filtering of small-scale convergence and

divergence zones. Without sub-grid scale parameterization, this spatial filtering will impact lateral flow and simulated mean grid cell soil moisture distributions (Shrestha et al. 2014). Similar to the findings of Quinn et al. 1995, grid coarsening also affects the location of the water divides and makes it difficult to accurately delineate the catchment contributing area especially for d480 and d960.

146 **5 Results and Discussions**

147 The simulated unsaturated storage (S_{unsat}) at different grid resolutions for the 148 sub-catchment, showed different temporal evolutions (Fig. 4). The unsaturated 149 storage was normalized by the modeled sub-catchment area to account for differences in catchment size at different grid resolutions. The increase of Sunsat 150 151 during the first year reflects the adjustment of the subsurface storage from the 152 horizontally homogeneous hydrostatic initial condition, with a ground to water table 153 depth of 5 m. In the first half of this year the finer grid resolutions adjust faster due to 154 a more efficient drainage mechanism In the second year, Sunsat starts to decrease 155 gradually reaching a guasi-equilibrium in all simulations in the fifth year. This steady 156 state value range is lower for the coarser grid resolutions, caused by a higher ground 157 water table, which results from a less efficient drainage combined with higher 158 infiltration at the lower resolutions. This is also illustrated in Fig. 4b, which shows that the average annual unsaturated storage $(\overline{S_{unsat}}^t)$ in the sixth year is concurrent with 159 160 the average slope of the sub-catchment. The decrease in average catchment slope 161 with resolution coarsening is, however, also accompanied by decreasing plan and 162 profile curvature kurtosis (see Table 2). These results are consistent with e.g., Kuo et 163 al. (1999), who related the increase in average soil moisture contents with grid 164 coarsening to decreasing slope gradient and curvature variations. Sulis et al. (2011) also explained catchment wetness in terms of storage and ground to water table depth via decreasing local slopes and plan curvature variations due to aggregation effects. Different $\overline{S_{unsat}}^t$ with grid resolutions reflects different spatial soil moisture variability, which in turn influence simulated land-atmosphere interactions.

169 Figure 5 shows the distribution of average top 10 cm relative soil moisture (S_w) , 170 average top 10 cm soil temperature (T_{soil}) , sensible heat flux (SH) and latent heat 171 flux (LH) for time periods when the model exhibits strong coupling with the 172 atmospheric forcing. We assume strong coupling, when the 5-day mean incoming solar radiation exceeds 128 Wm⁻² which corresponds to the period from April to 173 174 September. We further filter for different PFTs to analyze the linkages between local 175 vegetation and the non-local controls of soil moisture patterns with grid coarsening. 176 Their respective temporal and catchment averaged values $(\overline{S_w}^{x,t}, \overline{T_{soul}}^{x,t}, \overline{SH}^{x,t} \text{ and } \overline{LH}^{x,t})$ are indicated as solid markers (Fig. 5) and 177 178 summarized in Table 3 for the discussion below. For relative soil moisture, grid 179 coarsening leads to a sharp decrease in interguartile range and increase in the 25% 180 quartile, median and mean value, i.e. reduced variability and higher mean simulated 181 soil moisture. This is true for all PFTs.

182 While no significant loss in interguartile range with reduced resolution is observed for 183 temperature and the turbulent fluxes, a clear PFT dependent scaling with grid 184 coarsening exist, especially for crop PFTs and the crop PFT with fixed low LAI. 185 Average relative soil moisture increases by 30 % for trees and 23 % for crops when 186 coarsening the grid resolution from 120 m to 960 m. The difference between the 187 different PFTs is partly related to their spatial location: trees are mostly located in 188 steeper terrain compared to crops (according to the distribution of slopes for the different PFTs, not shown here). For trees, grid coarsening leads to lower $\overline{T_{soul}}^{x,t}$ by 189

190 0.6°C and 0.3°C for needleleaf evergreen tree and broadleaf deciduous tree, 191 respectively, while for crops $\overline{T_{soul}}^{x,t}$ is lowered by almost 1°C. Thus forested grid cells 192 exhibit higher grid resolution sensitivity for soil moisture and lower sensitivity for soil 193 temperature, while grid cells with crops show the inverse.

194 These findings can be attributed to the PFT specific transmissivity for solar 195 radiation, or the partitioning of absorbed solar radiation by vegetation and the ground. 196 Needleleaf evergreen trees (nle) absorb more and transmit less solar radiation to 197 ground compared to broadleaf deciduous trees (bld), while the crop type with variable 198 LAI (c1n) absorbs more solar radiation and transmit less compared to the constant 199 LAI type (c1f). The PFT specific optical parameters including albedo and amplitudes 200 of seasonal LAI, control the variability in solar radiation partitioning. This directly 201 modulates the partitioning of sensible and latent heat flux and constitutes a local 202 vegetation control.

203 For all grid resolutions, the inter-PFT flux differences are much higher than the 204 intra-PFT differences due to different grid resolutions. In general, grid cells with crops 205 are more sensitive to grid resolution changes. A 60 % decrease in $\overline{SH}^{x,t}$ and 35 % 206 increase in $\overline{LH}^{x,t}$ are observed for c1f, with the grid coarsening from 120 m to 960 m. 207 $\overline{SH}^{x,t}$ decreases by 30 % and $\overline{LH}^{x,t}$ increases by 16 % for c1n. For forest, $\overline{SH}^{x,t}$ decreases only by about 20 % and 11 % while $\overline{LH}^{x,t}$ increases only by 8 % and 208 209 11 % for bld and nle respectively. In general, the latent heat flux increases and 210 sensible heat flux decreases for all PFTs for coarser grid resolutions while amplitudes 211 of change are PFT-dependent which suggests a local control by the PFT. This also 212 suggests that the non-local control of soil moisture, which is affected by change in 213 grid resolution, also controls the partitioning of surface energy fluxes, especially for 214 PFTs transmitting more solar radiation to the ground. The average relative soil

215 moisture for the sub-catchment in this study was relatively wet; for drier regimes the216 effects of model grid resolution on surface fluxes may be stronger.

217 The above findings bear important consequence in terms of spatial variability of 218 surface fluxes, which may affect the evolution of the atmospheric boundary layer, 219 induce mesoscale circulations, and even lead to the formation of clouds and 220 precipitation (Avissar and Schmidt 1998; Baidya and Avissar 2002). This spatial 221 variability is illustrated using a cross-section along the sub-catchment. Figure 6a 222 shows the spatial heterogeneity of topography and landuse along cross-section AA' 223 indicated in Fig. 2 for different grid resolutions. The difference in variability of 224 topography is not visible along the cross-section due to the plot-scale but the effect of grid resolution on the simulated average top 10 cm soil moisture $\overline{S_w}^t$ is obvious in 225 226 Fig. 6b. With grid coarsening, the finer scale plan and profile curvatures are filtered, 227 which reduces drainage efficiency, increases the simulated grid cell soil moisture, 228 and damps the spatial variability. This effect is more pronounced, from d240 to d480 229 and d960 than from d120 to d240. Quantitatively, the spatial average and standard deviation for $\overline{S_w}^t$ along the cross-section AA' are 0.70±0.16, 0.75±0.17, 0.90±0.10 230 231 and 0.93±0.04 for d120, d240, d480 and d960, respectively, which clearly shows the 232 increase in soil wetness and decrease in variability with coarsening with largest 233 changes between d240 and d480.

The strong scaling behavior of soil moisture also modulates the partitioning of surface energy fluxes. Figure 6c shows the annual average Bowen ratio (ratio of sensible to latent heat flux), along cross-section AA'; its profile matches well with the PFT profile, indicating local vegetation control on the spatial pattern of surface flux partitioning. This could be partly enhanced due to wet soil condition in the subcatchment for the simulated period. However, the change in the non-local control of

240 soil moisture with grid resolution also contributes to the profile of surface flux 241 partitioning visible as a perturbation in the amplitudes of the Bowen ratio for d960. 242 Some perturbations are also caused by different PFTs for finer grid resolution. Table 243 4 summarizes the statistics of the Bowen ratio profile along the cross-section and 244 shows that its dominant pattern is strongly controlled by the PFT pattern. For trees, 245 nle has a higher Bowen ratio (>1) than bld (<1), which is mainly due to difference in 246 plant physiological properties, consistent with observations (Baldocchi and Vogel 247 1996). For crops, c1f has a higher Bowen ratio compared to c1n, which is due to the 248 LAI difference. For both trees and crops, the Bowen ratio in general decreases with 249 grid coarsening. Coarsening from d120 to d960 decreases the Bowen ratio by 20 %, 250 28 %, 39 % and 73 % for nle, bld, c1n and c1f, respectively. The most significant 251 change is found for crops with low LAI. Radiation absorbed by the ground plays a 252 significant role in amplifying/attenuating the grid resolution dependence of surface 253 flux partitioning. Again, it has to be mentioned that this statement may be valid only 254 for wet regimes.

255 Figure 7 shows the scatter plot between Bowen ratio and average top 10 cm 256 relative soil moisture along cross-section AA' over the averaged time period filtered 257 for different PFTs to illustrate the PFT-related dependence of the Bowen ratio on 258 relative soil moisture. Large scatter for d480 and d960 m is found for $S_w < 0.7$, while for d120 and d240 large scatter is only found for $S_w \leq 0.4$. Thus the Bowen ratio 259 260 distribution shifts with grid resolution. A linear regression between Bowen ratio and 261 relative soil moisture gives a first order estimate of the Bowen ratio dependence on 262 relative soil moisture. Tree canopies exhibit more variability on Bowen ratio than 263 crops. For trees, nle exhibits stronger scaling behavior with relative soil moisture than 264 bld. For crops, c1f exhibits stronger scaling behavior with relative soil moisture than 265 c1n. The results again show that crops with low LAI has stronger influence on flux

266 partitioning with grid resolution.

267 We also evaluated the grid resolution effects on fluxes using the mosaic 268 approach by aggregating the simulated surface sensible and latent heat fluxes for 269 d120, d240, d480 to 960 m resolution. Figure 8a shows the time series of the five day 270 average of sensible heat flux along cross-section AA' for d120. It shows the strong 271 seasonal cycle of sensible heat flux which correlates with the seasonal cycle of net 272 radiation (not shown here), and also the strong gradient along AA', owing to the 273 different canopy cover. The differential heating along AA' potentially generates 274 mesoscale boundary layer circulations embedded in the local topographic circulation, 275 whose strength would also depend on the mean heating rates of the catchment and the synoptic wind strength as indicated in many previous studies (Lemone et al. 276 277 2002; Baidya and Avissar 2002; Grossman et al. 2005). Figure 8b-8d shows the 278 difference in the time-series of SH along cross-section AA' between the coarser grid 279 resolutions and d120. With grid coarsening the amplitude of the SH difference 280 increases, suggesting an overall decrease in SH as also observed from the bulk 281 quantities. Some d960 grid cells also exhibit an increase in SH mainly due to the 282 changing dominant PFT, when the subgrid cells consist of trees and crops. Similarly, 283 Figure 9a shows the time series of five day average latent heat flux along cross-284 section AA' for d120, which also exhibits a strong seasonal cycle and a strong 285 gradient along AA'. The difference in time-series of LH along AA' for coarser 286 resolutions with respect to d120 shows the sharp increase in latent heat flux. The 287 decrease and increase of SH and LH, respectively, are particularly high between 480 288 and 960 m resolution. Thus, when using coarser grid resolutions for the hydrological 289 model, coupled to the atmospheric model, the simulated boundary layer would be 290 relatively moister and cooler.

291 6 Summary and Conclusions

292 This study was motivated by recent efforts in including physically based 293 hydrological models into earth system models both for seasonal scale and climate 294 studies that would allow for examining the linkages between land-atmosphere and 295 subsurface hydrodynamics. The hydrological component of the newly developed 296 TerrSysMP was used over a sub-catchment of the Rur at grid resolutions 297 encompassing roughly the spatial scales between LES and mesoscale atmospheric 298 models to quantify the effect of grid resolution on simulated soil moisture, soil 299 temperature and surface fluxes.

300 The terrain analysis of the sub-catchment showed the expected smoothing of 301 slopes and filtering of the profile and plan curvature with grid coarsening. This grid 302 resolution has a strong effect on the non-local controls of soil moisture simulated by 303 the model, while the local vegetation exerts a strong modulation on the transfer of the 304 grid resolution dependent soil moisture variability on soil temperature and surface 305 fluxes. In this study, soil moisture beneath forests was found to decrease more than 306 beneath crops due to the location of forests over steeper slopes. However, due to the 307 plant physiological properties affecting the transmissivity of solar radiation, crops lead 308 to a higher grid resolution dependence than trees in terms of soil temperature and 309 surface fluxes. For crops, the magnitude of LAI was also found to have strong effect 310 on the scaling behavior of surface fluxes. This non-linear scaling behavior of the 311 energy balance with respect to grid resolution can alter the spatial and temporal 312 pattern of simulated surface fluxes. Larger differences were especially observed 313 when moving from d480 to d960. These dependencies can induce or weaken 314 mesoscale circulations and the ensuing boundary layer evolution when using coupled 315 simulations. Using an idealized setup, such atmospheric feedback effects for a

316 rainfall-runoff process (runoff due to excess infiltration, creating wet and dry patches), 317 with varying grid resolution was shown earlier by Shrestha et al. (2014). Here the 318 heterogeneity at different resolutions was introduced in terms of topographic slopes, 319 while keeping a homogeneous land cover (crop). In this study, the inclusion of 320 convergent zones at finer grid resolutions compared to coarser grid resolutions, 321 where they are usually filtered out by topography smoothing, enhanced the overland 322 flow, thereby reducing the infiltration and the mean soil moisture content while 323 extending the downslope moist area. This extended downslope moist area increased 324 the extent of moist patch compared to the coarser run, thereby lowering the Bowen 325 ratio along the extended patch, and also increasing the extent of the downdraft 326 region in the atmospheric boundary layer. Thus, the dependency of the simulated 327 surface-subsurface physical processes on grid resolution potentially also affects the 328 local circulation and atmospheric boundary layer evolution. However, fully coupled 329 real data simulations including the atmosphere at different grid resolutions are 330 required to further improve our understanding on the land-atmosphere feedbacks.

331 The study was limited to grid resolutions from 120 m to 960 m. One could argue 332 that even the 120 m resolution is not sufficient for hydrological models and much finer 333 resolutions (≤30 m) are needed (e.g., Kuo et al. 1999). We acknowledge the 334 limitation in this study, but finer resolutions challenge currently available computation 335 resources and also the convergence of 3D integrated surface groundwater models. 336 We realize that sub-grid scale parameterizations along with resolutions of 337 approximately 100-200 m would be sufficient for coupled simulations. The interaction 338 between surface and groundwater in ParFlow is simulated using a two-dimensional 339 shallow overland flow equation as an upper boundary condition (Kollet and Maxwell 340 2006), instead of the commonly applied conductance concept. Particularly for the 341 surface-groundwater interaction, this upper boundary condition and the Darcy flux in

342 the horizontal direction are affected by the spatial filtering of the terrain curvature in 343 the coarsening of the grid resolution. This results in an increase in infiltration, a 344 reduction of lateral flow and a shallower groundwater table. So, there is a need for a 345 scale-dependent subgrid parameterization for the upper boundary condition and for 346 the lateral flow in the ground water model used in this study. For the upper boundary 347 condition, e.g., the concept of a fractional saturated area (parameterized by the 348 subgrid-scale distributions of topographic index and ground water table depth) is 349 widely used to control surface runoff and hence infiltration in many 1D-land surface 350 models (e.g., Niu et al. 2005). For the subsurface, e.g., Niedda (2004) proposed an 351 amplification/upscaling of hydraulic conductivity to compensate for the reduction in 352 the hydraulic gradients using the information content of terrain curvature to produce 353 similar lateral flow. In general, the topography heterogeneity analysis and the soil 354 moisture data presented in this study do provide the necessary data to investigate 355 such parameterizations for the upper boundary condition and lateral subsurface flow. 356 Future studies will involve developing such robust scale dependent subgrid 357 parameterization for the 3D physically based groundwater model.

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Tables

Table 1: Model Setup indicating the horizontal grid resolution ($\Delta X = \Delta Y$) and domain discretization.

Model Setup	$\Delta X = \Delta Y(m)$	NX * NY * NZ
d120	120	190*220*30
d240	240	100*110*30
d480	480	50*70*30
d960	960	20*30*30

Table 2: Heterogeneity Analysis of Topography at different grid resolution.

Model Domain	Plan Curvature (m ⁻¹)		Profile Curvature (m ⁻¹)	
	Skewness	Kurtosis	Skewness	Kurtosis
d120	-99.43	13273.9	-0.92	5.66
d240	-2.86	666.28	-0.78	3.97
d480	-8.36	268.06	-0.20	1.92
d960	-0.07	3.64	0.24	0.59

Table 3: Mean sub-catchment relative soil moisture (S_w) soil temperature T_{soil} , sensible SH_{tavg} and latent fluxes LH_{tavg} for grid columns with land use classes nle (needleleaf evergreen tree), bld (broadleaf deciduous tree), c1n (crops with seasonal LAI), c1f (crops with fixed LAI)

PFT	Variable	d120	d240	d480	d960
nle	S _w (-)	0.72	0.78	0.87	0.93
	T _{soil} (°C)	13.41	13.26	12.97	12.80
	SH _{tavg} (Wm ⁻²)	70.3	65.0	63.5	62.6
	LH _{tavg} (Wm ⁻²)	49.1	53.0	54.4	55.5
bld	S _w (-)	0.69	0.75	0.83	0.91
	T _{soil} (°C)	13.47	13.52	13.33	13.21
	SH _{tavg} (Wm ⁻²)	45.0	39.3	37.6	36.3
	LH _{tavg} (Wm ⁻²)	66.0	69.0	70.2	71.4
c1n	S _w (-)	0.73	0.78	0.85	0.90
	T _{soil} (°C)	16.05	15.52	15.17	15.0
	SH _{tavg} (Wm ⁻²)	24.0	21.4	19.0	17.0

	LH _{tavg} (Wm ⁻²)	62.0	66.5	69.5	71.8
c1f	S _{w_tavg} (-)	0.74	0.79	0.86	0.91
	T _{soil_tavg} (°C)	16.22	15.60	15.36	15.20
	SH _{tavg} (Wm ⁻²)	25.0	20.3	15.0	10.2
	LH _{tavg} (Wm ⁻²)	59.3	67.3	73.8	79.6

Table 4: Annual average Bowen ratio and standard deviation along the cross-section AA' for the land use classes nle (needleleaf evergreen tree), bld (broadleaf deciduous tree), c1n (crops with seasonal LAI), c1f (crops with fixed LAI)

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PFT	120 m	240 m	480 m	960 m
nle	1.56±0.16	1.35±0.22	1.22±0.12	1.24±0.07
bld	0.82±0.08	0.72±0.08,	0.60±0.09	0.59±0.08
c1n	0.46±0.13	0.50±0.15	0.36±0.13	0.28±0.07
c1f	0.67±0.28	0.53±0.38	0.17±0.11	0.18±0.13

Figures



Figure 1: Schematic diagram of the hydrological component of the Terrestrial System Modeling Platform (TerrSysMP). OASIS3 is the driver of the component models for land surface (CLM) and subsurface (ParFlow). The configuration file for OASIS3 prescribes the end-point data exchange between the component models in sequential manner. The variables exchanged between the two models are: relative saturation (S_w), soil pressure head (Ψ), top soil moisture flux (q_{rain}), soil evapotranspiration (q_e), air temperature (T), wind speed (U), specific humidity (QV), total precipitation (*Rain*), pressure (P), incoming shortwave (SW) and longwave (LWdn).



Figure 2: a) Topography of the Rur catchment, which lies at the border of Germany, Belgium, Luxembourg and the Netherlands. b) Topography of the sub-catchment of Rur used in this study (catchment of the River Inde including the tributary Wehebach in the east), overlaid with the stream networks. c) Land use map of the sub-catchment.



Figure 3: a)-d) Streamlines resulting from D4 flow directions along with slopes for the four grid resolutions. The black solid outline represents the catchment boundary at 120 m resolution.



Figure 4: a) Time series of unsaturated storage per unit catchment area (S_{unsat}) for the sub-catchment at the four grid resolutions. b) Relationship between the annual average unsaturated storage ($\overline{S_{unsat}}^t$) and the average catchment slope for the four grid resolutions.



Figure 5: Scaling behavior of relative soil moisture (S_w), soil temperature (T_{soil}), sensible heat flux (*SH*) and latent heat flux (*LH*) for the four different canopy covers (nle, bld, c1n and c1f). The solid markers indicate the mean value of the distribution for each grid resolutions.



Figure 6: Horizontal variations over cross-section AA' in figure 2: a) Topography and landuse, b) Annual average top 10 cm relative soil moisture and c) Annual average bowen ratio at different model grid resolutions.



Figure 7: Distribution of Bowen ratio as a function of relative soil moisture along cross-section AA'. The distribution is plotted separately for different landuse types in the sub-catchment.



Figure 8: a) Time evolution of 5 day mean sensible heat flux (SH) along crosssection AA' for d120 domain. **b)** Difference in the time evolution of SH between the d240 and d120. **c)** Difference in the time evolution of SH between the d480 and d120. **d)** Difference in the time evolution of SH between the d960 and d120. For d120, d240 and d480, the SH fluxes were spatially aggregated to 960 m resolution.



Figure 9: a) Time evolution of 5 day mean sensible heat flux (LH) along crosssection AA' for d120 domain. **b)** Difference in the time evolution of LH between the d240 and d120. **c)** Difference in the time evolution of LH between the d480 and d120. **d)** Difference in the time evolution of LH between the d960 and d120. For d120, d240 and d480, the LH fluxes were spatially aggregated to 960 m resolution.