Influence of environmental factors on spectral characteristic
 of chromophoric dissolved organic matter (CDOM) in Inner
 Mongolia Plateau, China

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10 Abstract

11 Spectral characteristics of chromophoric dissolved organic matter (CDOM) were examined in conjunction with environmental factors in the waters of rivers and 12 13 terminal lakes in Hulun Buir plateau, northeast China. Dissolved organic carbon (DOC), total nitrogen (TN), and total phosphorous (TP) were significantly higher in 14 terminal lakes than rivers waters (p < 0.01). Principal component analysis (PCA) 15 16 indicated that non- water light absorption and anthropogenic nutrient disturbances might be the causes of the diversity of water quality parameters in Hulun Buir plateau. 17 CDOM absorption in river waters was significantly lower than terminal lakes (p < p18 0.01). Analysis of ratio of absorption at 250 to 365 nm (E_{250:365}), specific UV 19 absorbance (SUVA₂₅₄), and spectral slope ratio (S_r) indicated that CDOM in river 20 waters had higher aromaticity, molecular weight, and vascular plant contribution than 21 22 in terminal lakes. Furthermore, results showed that DOC concentration, CDOM light absorption, and the proportion of autochthonous sources of CDOM in plateau waters 23 were all higher than in other freshwater rivers reported in the literature. The strong 24 25 evapoconcentration, intense ultraviolet irradiance and landscape features of Hulun 26 Buir plateau may be responsible for the above phenomenon. Redundancy analysis (RDA) indicated that the environmental variables total suspended matter (TSM), TN, 27 28 and electrical conductivity (EC) had a strong correlation with light absorption characteristics, followed by TDS and chlorophyll a. In most sampling locations, 29 CDOM was the dominant non- water light-absorbing substance. Light absorption by 30

non-algal particles often exceeded that by phytoplankton in the plateau waters. Study
of these optical-physicochemical correlations is helpful in the evaluation of the
potential influence of water quality factors on non-water light absorption in cold
plateau water environments. The construction of correlation between DOC
concentration and water quality factors (eg. alkalinity, EC, TN, and TP) in plateau
region is benefit to the derivation of DOC concentration via water quality information,
which will contribute to carbon storage estimation in water environment.

8

9 1 Introduction

Chromophoric dissolved organic matter (CDOM) is the colored component of 10 dissolved organic matter (DOM) in the natural waters environment. CDOM is 11 principally contributed by terrestrial inputs and river discharge in coastal waters. 12 Phytoplankton excretion, zooplankton and bacterial metabolism are the major CDOM 13 sources in aquatic ecosystems (Coble, 2007). As an important constituent of DOM, 14 which is the largest reservoir of organic carbon on Earth, CDOM plays a vital role in 15 the global carbon cycle (Gonnelli et al., 2013; Mopper & Kieber, 2002). CDOM is 16 17 one of the major light absorbing constituents in natural waters, it can absorb solar radiation in the UV and visible ranges of the light spectrum to shield biota from 18 19 harmful UV radiation. As a consequence of its optical behavior, CDOM is also largely responsible for the bio-optical properties of natural water, and has a potential effect on 20 the productivity of the water column (Organelli et al., 2014). The absorption 21 characteristic of CDOM also influences the inversion accuracy of remote sensing of 22 chlorophyll a (Chl a) and other suspended solids (Siegel et al., 2005; Song et al., 23 2014). 24

Spectral analysis of CDOM (absorption and fluorescence) has been used to trace 25 its origin and chemical composition (Stedmon et al., 2000; Vodacek et al., 1997; Xie 26 et al., 2014). Understanding the spectral characteristics of CDOM could help to 27 understand DOM cycling in aquatic ecosystem. According to previous studies, the 28 light absorption of CDOM often decreases in a near-exponential manner with 29 increasing optical wavelength (Coble, 2007; Zhang et al., 2010). In order to 30 characterize the properties of CDOM from absorption spectra, several spectral indices 31 have been developed. The ratio of absorption at 250 to 365nm (E_{250:365}) is used to 32

track changes in the size of DOM molecules (De Haan & De Boer, 1987); specific UV 1 absorbance (SUVA₂₅₄) is found to have strong correlation with DOM aromaticity as 2 measured by ¹³CNMR spectroscopy (Weishaar et al., 2003); Two optical parameters, 3 the absorption coefficient at specific wavelengths λ nm ($a_{CDOM}\lambda$) and CDOM spectral 4 slopes (S), are universally recognized proxies of CDOM concentration and molecular 5 origin (Helms et al., 2008). Furthermore, S may also correlate with the ratio of fulvic 6 acid (FA) to humic acid (HA). In fact, the use of S is dependent on the calculated 7 wavelength intervals, and the ratio of the slope (S_r) , as a dimensionless parameter, 8 9 could avoid the limitations of spectral wavelength measurements (Helms et al., 2008; Spencer et al., 2012). The analysis of these spectral indices is useful for understanding 10 spatial and temporal CDOM variations in the aquatic environment. 11

Recent studies have proven that CDOM in aquatic ecosystems exerts an impact 12 on ecosystem productivity, optical properties of water, and biochemical processes 13 14 (Zhang et al., 2007). However, regional CDOM characteristics are still not thoroughly understood in diverse aquatic environments because of various physico-chemical 15 parameters of water (Findlay & Sinsabaugh, 2003). Many water quality parameters 16 have been proposed to affect the temporal and spatial variation of CDOM, and some 17 correlations among them have been established; a significant positive correlation was 18 19 found between dissolved organic carbon (DOC) and CDOM absorption coefficients, and a series of different models were established based on this correlation in Lake 20 Taihu (Zhang et al., 2007), the Yangtze River (Zhang et al., 2005), and the Georgia 21 river in the USA (Yacobi et al., 2003). CDOM strongly absorbs in the blue spectral 22 region, which interferes with the determination of Chla concentration by remote 23 image sensing (Siegel et al., 2005), therefore the relationship between the spectral 24 characteristics of CDOM with Chla concentration has received widespread attention. 25 A significant linear relationship between $a_{CDOM}(300)$ and *Chla* concentrations was 26 identified in the central eastern Mediterranean Basin (Bracchini et al., 2010), the 27 Atlantic Ocean (Kitidis et al., 2006), and the Baltic Sea (Kowalczuk et al., 2006), but 28 a_{CDOM}(440) was loosely related to pigment concentrations in the 0- 400 m depth layer 29 of NW Mediterranean Sea (Organelli et al., 2014). Furthermore, the relationship 30 between $a_{CDOM}(\lambda)$ and other physico-chemical parameters of water, such as total 31 nitrogen (TN), total phosphorous (TP), salinity, and extracellular enzyme activities 32 33 (Gonnelli et al., 2013; Kowalczuk et al., 2006; Niu et al., 2014; Phong et al., 2014), were all investigated in different aquatic environments. However, these studies
 reached different conclusions due to regional variations in water quality.

Studies published to date have focused on the relationship between CDOM 3 properties and environmental factors; results indicate that salinity, solar radiation, and 4 5 watershed characteristics all have important effects on CDOM optical properties (Graeber et al., 2012; Gueguen et al., 2011; Mavi et al., 2012; Song et al., 2013b). The 6 properties of CDOM in plateau water at high altitude have attracted interest due to the 7 unique natural environmental and climatic features of these waters. The DOM 8 composition in two Tibetan alpine lakes showed a limited terrigenous DOM and 9 exhibited a high biolability of DOC (Spencer et al., 2014). The analysis of CDOM 10 11 parameters in three intermontane plateau rivers in western U.S. indicated that autochthonous DOM or DOM derived from anthropogenic sources dominated the 12 13 DOM pool (Spencer et al., 2012). However, the relationship between CDOM and 14 environmental factors in plateaus area has been less well studied. Analysis of these optical-physicochemical correlations is critical for understanding the source and 15 distribution of CDOM in plateau water environments and evaluating the potential 16 influence of water quality factors on non-water light absorption. 17

Inner Mongolia Plateau in China is located in an arid and cold climate zone with 18 19 sparse annual rainfall. The plateau is covered with numerous lakes surrounded by vast grasslands and forest. These lakes are located far away from the ocean and are 20 supplied with water by precipitation and river runoff, and most of them are 21 noncontributing lakes (Tao et al., 2015). The unique geographical environment and 22 climatic factor in arid and cold plateau regions altered CDOM properties, when 23 compared with the bulk of inland water. Moreover, over 80% of the lakes are saline, 24 which allows higher carbon storage levels than fresh water lakes (Duarte et al., 2008; 25 Song et al., 2013b). The plateau lakes therefore play an important role in global 26 carbon balance estimation. 27

Based on the above studies, we address the following issues: (1) Whether CDOM properties in cold plateau region differ within the plains or not? And is this difference related to water quality of terminal lakes? (2) Under the unique climatic and hydrological conditions of boreal plateau, which is the main non-water light absorption component in water? And is this absorption pattern a stable state?" We expect that the information obtained in this study can answer all these questions.

1 These answers can enhance our understanding of the non-water light absorption 2 characteristics of inland waters in arid and cold plateau region. The results of CDOM 3 source and constitute analysis are also helpful to carbon storage estimation in plateau 4 waters. The optical-physicochemical correlation analysis could contribute to improve 5 the understanding and interpretation of satellite remote sensing imagery in this area.

6

7 2 Material and methods

8 2.1 Study sites

Inner Mongolia Autonomous Region is located in the north of China with an area of 9 about 1.18 million km² ($37^{\circ}24'$ - $53^{\circ}23'$ N, $97^{\circ}12'$ - $126^{\circ}04'$ E). The average altitude of 10 the whole region is over 1000 m, and it is basically a plateau landform composed of 11 Hulun Buir, XilinGol, Ulanqab, Bayannur, Alxa, and Erdos plateaus. Rivers, lakes, 12 reservoirs and other surface water areas account for 0.8% of the whole area. All the 13 collected water samples were taken from Hulun Buir Plateau (Fig. 1). Hulun Buir 14 15 plateau is located in the northeast of Inner Mongolia plateau with the topography of high in the east and low in the west. The east side of the plateau is connected to 16 Daxing'anling mountains, and the geology composition is mainly the Paleozoic 17 granite, the Mesozoic andesite, quartz trachyte, and tuff. Most of the overlying rock 18 19 has weathered away into loess. The central regions are undulating rolling plains, and are made up of the loose river and lacustrine sedimentary sand. The western regions 20 are mainly low hilly composed by volcanics. The physico-geographic zone of whole 21 22 Hulun Buir plateau is devided into chernozem zone with forest and steppe and 23 chestnut earth zone with steppe. This plateau is characterized by a typical semi-humid and semi-arid continental monsoon climate with intensive solar radiation throughout 24 the year. Hulun Buir Plateau has distinct seasons with a dry spring, a hot and rainless 25 summer, a windy and short autumn, and a cold dry winter (Zheng et al., 2015). Based 26 27 on long-term meteorological data (1961-2010), the average annual temperature is 0.8 °C. The average annual wind speed is 3.5 m/s. The average annual rainfall is 28 273.9 mm, 70%- 80% of which falls in May- August (Bai et al., 2008). There has over 29

three-quarters days of each year with direct sunlight. In this aera, the average sunlight per day is over 8.2 h. The average annual evaporation is 1615.3 mm, which is far greater than precipitation, resulting in water scarcity. The soils of the area are Mollisols, and the topography consists of gently rolling hills and tablelands.

The plateau is dotted with numerous lakes surrounded by vast grasslands and 5 forests, and the two largest freshwater lakes (Hulun Lake and Buir Lake) of Inner 6 Mongolia are located in this area. Most lakes in this area are inland stagnant lakes 7 filled with terminal lakes due to the particular climate and geographic location. 8 9 Several rivers flow through Hulun Buir Plateau, including the Kerulen, Ergun, 10 Wuerxun, Hailar, and Zhadun Rivers. Wuerxun River originates from Buir Lake's northern shore, flows north and empties into Hulun Lake. Kerulen River flows east 11 through Hulun Lake, and finally into the Ergun River. The Zhadun River flows into 12 13 the Hailar River, flowing north to join the Ergun River. A total of 46 surface waters were collected in this study with respect to both watershed characteristics and lake 14 size. Based on the salinity and EC (salinity threshold value = 0.5 PSU, EC threshold 15 value = 1000 ms/cm), these waters were divided to 22 river waters and 24 saline 16 waters. Particularly, the saline waters were collected from lakes without outflow or 17 the terminal-flow of rivers. Hulun Lake and Buir Lake are connected with rivers, so 18 19 the water samples collected from these lakes are classified as river water samples in the subsequent analysis in this study. The surface water (0.5-1 m) was collected to 20 sample bottle at least 4 L in every sampling point, and kept in a portable refrigerator 21 22 before they were carried back to laboratory.

23 2.2 Water Sampling and water quality measurement

Water samples were taken from 46 sampling sites in Hulun Buir Plateau, China, during September 2012 (Fig. 1), and the sample numbers for each water body are listed in Table 1 and marked in Fig. 1. The saline lakes size in this study ranged from 1 km² to 42.5 km², with the average depth of 0.4- 2.8 m. These terminal lakes in this study are terminal-flow areas and some of lakes are temporary waters. The related hydrological data of rivers and freshwater lakes have shown in the Table 2, including

rivers (or freshwater lakes) names, sampling numbers, basin area, width, Length, max 1 2 water depth, elevation. The surface water (0.5-1 m) was collected to sample bottle at least 4 L in every sampling point, and kept in a portable refrigerator before they were 3 carried back to laboratory. Chemical and physical parameters, e.g., pH, total dissolved 4 solid (TDS) and electrical conductivity (EC) were determined in sampling situ by a 5 portable multi-parameter water quality analyzer (YSI6600, U.S). Concentrations of 6 DOC, TN, and TP were measured with unfiltered water samples by a standard 7 procedure (APHA et al., 1998). Total suspended matter (TSM) was determined by 8 9 gravimetrical analysis (Song et al., 2013a). Water turbidity (Turb) was determined by a UV spectrophotometer in 680 nm (Shangfen, 7230) with Milli-Q water as reference 10 at room temperature $(20\pm 2^{\circ}C)$. Chlorophyll-a (Chla) was extracted from water 11 samples by 90% buffered acetone solution, and the concentrations were determined 12 with a UV spectrophotometer (Shimadzu, UV-2600PC) by the method detailed in 13 Song et al. (2013a). 14

15 **2.3 Non- water light absorption analysis**

CDOM was extracted from the collected water samples by filtering through a 0.7 µm 16 glass fiber membrane (Whatman, GF/F 1825-047) and then was further filtered 17 through 0.22 µm polycarbonate membrane (Whatman, 110606). The filtering process 18 was finished within two days in dim light in order to avoid alteration by microbial 19 activity. Filtered samples were kept refrigerated and warmed at room temperature at 20 21 the time of the analysis. CDOM absorption was analyzed within 12 h using UV-2600 spectrophotometer equipped with 1-cm quartz cuvette. Absorbance scans were 22 performed from 200 to 800 nm, and Milli-Q water was used as reference. Between 23 each sample, the quartz cuvette was flushed with Milli-Q water, and the cleanliness 24 was checked according to the optical density of reference water. The bubbles should 25 be avoided during the measurement. In order to eliminate the internal backscattering, 26 the absorbance at 700 nm was used to correct absorption coefficients (Bricaud et al., 27 1981). The absorption coefficient (a_{CDOM}) was calculated from the measured water 28 optical density (OD) following the Eq. (1). 29

$$a_{CDOM}(\lambda) = 2.303 \times OD_{\lambda}/L \qquad (1)$$

31 Where L is the cuvette path length (0.01 m) and 2.303 is the conversion factor.

1 OD_{λ} is the average optical density. The absorption coefficients at wavelengths 335 2 nm (a_{CDOM}335) and 440 nm (a_{CDOM}440) were selected to express the CDOM 3 concentration (Miller, 1998). CDOM absorption ratio (E_{250:365}) was calculated using 4 absorbance at 250 nm and 365 nm. SUVA₂₅₄ values were calculated by dividing the 5 UV absorbance at 254 nm by the DOC concentration (mg L⁻¹) (Weishaar et al., 2003).

6 CDOM spectral slopes ($S_{275-295}$ and $S_{350-400}$) between wavelengths 275-295 nm 7 and 350- 400 nm were both calculated using a nonlinear fit of an exponential function 8 to the absorption spectrum according to the Eq. (2) by Origin 8.0 software (Bricaud et 9 al., 1981; Jerlov, 1968).

10
$$a_{CDOM}(\lambda) = a_{CDOM}(\lambda_0) \times e^{S(\lambda_0 - \lambda)}$$
(2)

Where a_{CDOM}(λ) is the CDOM absorption at a given wavelength, and a_{CDOM}(λ₀)
is the absorption at a reference wavelength (440 nm). The spectral slope ratio (S_r) was
calculated as the ratio of S₂₇₅₋₂₉₅ to S₃₅₀₋₄₀₀.

14 Particulate absorption was determined by quantitative membrane filter technique (QFT) (Cleveland & Weidemann, 1993). A certain volume of water was filtered 15 through 0.7 µm glass fiber membrane (Whatman, GF/F 1825- 047), and the filter 16 membrane was subsequently stored in laboratory at -80°C until analysis. The light 17 absorption of total particulate trapped on the filter membrane was determined by UV 18 spectrophotometry (Shimadzu, 2660) from 280 to 800 nm with virgin wet membrane 19 20 as reference. After correction of the path length with path length amplification factor (β) , the measured optical densities were transformed into total particulate absorption 21 coefficients according to the Eq. (3) (Bricaud & Stramski, 1990). 22

$$a_{PB}(\lambda) = 2.303 \times \frac{S}{V} \times OD_{S}(\lambda)$$
(3)

23

Where $a_{PB}(\lambda)$ is the total particulate absorption at a given wavelength (nm), S is the effective area of the deposited particle on the fiber membrane (m²), and V is the volume of the filtered water (m³). *OD*_{λ} is the optical density at the given wavelength (nm).

The above fiber membranes loaded with total particulate were soaked in the sodium hypochlorite solution in order to remove the pigments, and the light absorption coefficient of non-algal particles (a_{NAP}λ) was determined and calculated as
 the a_{PB}(λ). The phytoplankton light absorption coefficient (a_{phy}λ) was the difference
 between a_{PB}(λ) and a_{NAP}(λ) according to the Eq. (4).

$$a_{phy}(\lambda) = a_{PB}(\lambda) - a_{NAP}(\lambda) \tag{4}$$

5 2.4 Statistical analysis

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The contributions of CDOM, phytoplankton, and non-algal particles (NAP) to 6 non-water light absorption at 440 nm were calculated using Origin 8.0 software 7 (Ortega-Retuerta et al., 2010). The variation of water quality parameters in different 8 sampling locations was assessed by principal component analyses (PCA) using 9 CANOCO 4.5 for Windows with centered and standardized variables. Correlations 10 between water quality parameters and light absorption characteristics were 11 determined by Redundancy analysis (RDA) using CANOCO 4.5, light absorption 12 characteristics were defined as species variables, and water quality parameters were 13 14 selected as explanatory variables. The Pearson Correlation Coefficient (r_p) was calculated using SPSS 5.0. Because the responding variables may exist in the high 15 16 autocorrelation, they were first screened through Canonical Correspondence Analysis (CCA) using CANOCO 4.5 to remove the variables with an inflation coefficient 17 18 greater than 20 (Leps and Smilauer, 2003). A Monte Carlo permutation test was conducted with CANOCO 4.5 and indicated that the selected environmental variables 19 20 were significantly related to light absorption characteristics (499 permutations under 21 the reduced model, $p \leq 0.05$).

22 **3 Results**

23 **3.1 Water quality**

The collected river and terminal lakes samples exhibited large variations in water quality (Table 1). A significant difference of DOC was also observed between these two water types (p < 0.001). The DOC concentration ranged from 8.44 - 39.74 mg L⁻¹ in river waters, and exhibited higher values in the terminal lakes (23.03 - 300.5 mg L⁻¹). Further, the terminal lakes also had higher alkalinity and EC than river waters. We have redone the statistics after log-transformation of the data and normal distribution test. A positive relationship between DOC and alkalinity was established

in Hulun Buir Plateau waters (Fig. 2a, $R^2 = 0.87$). Regression analyses were also 1 conducted, and a linear relationship between EC and DOC was shown based on the 2 collected data (Fig. 2b; $R^2 = 0.72$). The average nutrient concentrations for TN (1.33 ± 3 0.63 mg L⁻¹) and TP (0.11 \pm 0.04 mg L⁻¹) in river waters were both lower than in 4 terminal lakes, and significant differences were observed for TN (p < 0.001) and TP 5 (p < 0.01). Strong linear relationships were shown between TN and DOC in Hulun 6 Buir Plateau (Fig. 2c; $R^2 = 0.67$). A positive correlation between DOC and TP was 7 found in surface water in this area (Fig. 2c; $R^2 = 0.66$). 8

9 PCA was performed for all the sampling locations with ten water environment variables (Fig. 3A). The first two principal components (PC) of the PCA explained 10 61.0% of the variability in all the selected variables (PC1, 36.4%; PC2, 24.6%). 11 Relatively high loadings on PC1 were TSM and Turb, whereas DOC and CDOM 12 showed high negative loadings. The second PCA axis revealed gradients of nutrients 13 14 (TN and TP). These all had positive loadings on PC2. Furthermore, TDS and Chla showed high negative loadings on PC2. A clear difference was found between river 15 waters and terminal lakes (Fig. 3B). Terminal water samples clustered in close 16 proximity to each other and were distributed on the negative side of PC2 (with the 17 exception of one point) in Fig. 3B, and river waters clustered almost exclusively on 18 19 the positive side of PC2.

20 **3.2 Spectral characteristics of CDOM**

CDOM absorption spectra of the waters collected from Hulun Buir Plateau decreased 21 as the classical near-exponential manner with increasing wavelengths from the 22 ultraviolet to the visible spectral region. This near-exponential CDOM absorption 23 spectra has been observed in many natural waters (Bricaud et al., 1981; Spencer et al., 24 2009; Xie et al., 2014). The comparative analysis was conducted in two types of 25 sampling waters in the study, and the mean values of $a_{CDOM}(335)$ and $a_{CDOM}(440)$ both 26 27 showed that the terminal lakes exhibited significantly higher CDOM light absorption 28 than river waters (Table 1).

E_{250:365} values in the waters examined ranged from 5.43 to 20.73, and the mean values were 7.80 \pm 2.30, and 8.02 \pm 3.48 in the river and terminal lakes respectively. The majority of the SUVA₂₅₄ values in the river waters ranged from 1.09 - 3.56 L mg C⁻¹ m⁻¹, and the mean SUVA₂₅₄ was clearly higher in river waters (2.74 \pm 1.08 L mg

 C^{-1} m⁻¹) than the terminal lakes (1.90 ±0.57 L mg C⁻¹ m⁻¹), and this was significant (p 1 < 0.01). In order to confirm the source and composition of CDOM in different types 2 of waters, the spectral slopes in the 275-295 nm ($S_{275-295}$) and 350-400 nm ($S_{350-400}$) 3 ranges were both calculated as the indicators (Table 1). S₂₇₅₋₂₉₅ values showed a wide 4 variation in the river water samples ranging from 14.80 \times 10⁻³ to 26.79 \times 10⁻³ nm⁻¹ 5 (mean = $19.25 \pm 4.05 \times 10^{-3}$ nm⁻¹), and the majority of river waters in the study 6 exhibited $S_{275-295}$ between 17.11 - 17.82 $\times 10^{-3}$ nm⁻¹. There was not a significant 7 difference when compared with terminal lakes. S₃₅₀₋₄₀₀ values also showed no 8 9 significant difference between the two types of waters. Furthermore, the mean values of S₂₇₅₋₂₉₅ and S₃₅₀₋₄₀₀ in Hulun Lake were both lower than Buir Lake. 10

11 **3.3 Light absorption of CDOM and particulates**

Detailed knowledge regarding the relative contributions of CDOM, phytoplankton 12 13 and non-algal particles to the total non-water light absorption are essential in 14 bio-optical and biogeochemical models, and the relative contributions at 440 nm are shown in Fig. 4. There was no obvious difference in the relative contributions of 15 CDOM, phytoplankton and non-algal particles between river waters and terminal 16 lakes (p > 0.5). At all the sampling locations, the mean contribution of CDOM to the 17 18 total non-water light absorption was 52.78% with the range varied from 2.87% to 97.23%, and the relative contribution of non-algal particles was on mean 39.84%, 19 ranging from 2.01% up to 97.13%. Phytoplankton absorption played a minor role in 20 total non-water light absorption with the mean 7.61%. In most water samples 21 22 examined in this study, CDOM was the dominant non-water light-absorbing substance. 23

To assess the distribution of light absorption in the waters of Hulun Buir Plateau, 24 levels of light absorption due to CDOM, phytoplankton and non-algal particles were 25 plotted based on the numbers of sampling locations and their contributions to total 26 27 light absorption at 440 nm using a Pareto-Lorenz curve (Lorenz, 1905). The relative 28 contributions were arranged from high to low. Subsequently, the cumulative sampling points are represented on the abscissa axis, and the cumulative contributions plotted 29 30 on the vertical axis. The more the curve deviated from the theoretical perfect evenness 31 line (45 °diagonal), the more inhomogenous light contributions were observed (Fig. 5). 32 According to the Pareto principle, the value of vertical axis was in accordance with

20% abscissa axis, being used to interpret the Pareto- Lorenz curves. From the degree 1 of curve deviation (Fig. 5), it was observed that the light absorption of optically active 2 substances in Hulun Buir Plateau area presented inhomogenous phenomena. Among 3 them, CDOM absorption was the most representative relative to other non-water 4 absorption components. CDOM light absorption by 20% of the samples corresponded 5 with 5.03% of the cumulative CDOM contributions to non-water absorption. For 6 non-algal particles and phytoplankton, 20% of the samples corresponded with 1.46% 7 and 0.51% of cumulative light absorption contributions, respectively. Thus, for all the 8 9 non-water absorption types, it was observed that CDOM light absorption was numerically dominant compared with non-algal particles and phytoplankton. 10

3.4 Correlations between water quality parameters and light absorption

The RDA data showed that the forward selected explanatory variables could explain 12 13 the variability of light absorption characteristics with species-environment correlations of 0.781 (Fig. 6). The first two axes of RDA explained 43.7% of total 14 variability in light absorption characteristics of all the collected water samples (axis 15 one, 34.3%; axis two, 9.4%). Coefficients between environmental variables with axes 16 in RDA indicated that TSM, TN, and EC had a strong correlation with light 17 absorption characteristics, followed by TDS and Chla. TDS, TP, and DOC were most 18 closely corrected to CDOM light absorption (Fig. 6). TSM, TN, and Chla were best 19 correlated to light absorption of phytoplankton, non-algal particulates, and total 20 particulates at 440 nm (Fig. 6). EC and pH were related to the CDOM spectral slope 21 22 (S₂₇₅₋₂₉₅) (Fig. 6).

The Pearson correlation coefficients (r_p) between water quality and light 23 absorption characteristics presented in Table 3 indicate that CDOM light absorption 24 $(\alpha_{CDOM}335 \text{ and } \alpha_{CDOM}440)$ showed a significantly positive correlation with TN, TP, TDS, 25 and DOC (p < 0.01), but had no correlation with Chla concentration (p > 0.05, n=46). 26 There was also no correlation between S₂₇₅₋₂₉₅ and Chla concentration. However, 27 28 S₂₇₅₋₂₉₅ presented a significantly positive correlation with DOC, pH, and EC in this plateau water (p < 0.01, n = 46). Light absorption of pigments at 440 nm showed a 29 significantly positive correlation with TN ($r_p = 0.377$, p < 0.01, n = 46) and TSM ($r_p =$ 30 0.515, p < 0.01, n = 46), and there was also no linear relationship with Chla 31 32 concentration. The light absorption at 440 nm of total particulates and non-algal 1 particulates both had a significant positive correlation with TSM ($r_p = 0.985$, p < 0.01, 2 n = 46).

3 4 Discussion

4 4.1 Dissolved organic carbon in river and terminal lakes

Previous studies showed that DOC concentrations in inland waters always decrease 5 with the prolongation of water residence times due to biodegradation and 6 photobleaching in humid regions (Curtis & Adams, 1995). However, terminal lakes 7 with long water residence times exhibited higher DOC values than the river waters in 8 this study. The most likely explanation for the opposite pattern of DOM 9 concentration is that the most refractory DOC is diluted in humid regions and 10 evapoconcentrated in semi-arid regions (Song et al., 2013b). Further, the higher 11 alkalinity and EC in the terminal lakes compared with river waters may explain the 12 inverse pattern (Table 1). The sodicity of water could also increase DOM solubility. 13 14 Increasing EC (salt concentration) would result in decreased osmotic potential, which has negative effects on microbial activity (Mavi et al., 2012). DOM along 15 with other nutrients come from soil via runoff and leaching, and can accumulate in 16 terminal lakes due to lower microbial activity. Furthermore, the average DOC 17 concentration in rivers (25.99 \pm 6.64 mg L⁻¹) is higher than in many rivers reported 18 in other studies (Alvarez-Cobelas et al., 2012; Evans et al., 2005; Findlay and 19 Sinsabaugh, 2003; Song et al., 2013b; Spencer et al., 2012; Spencer et al., 2010; 20 Worrall and Burt, 2004). DOC levels in rivers are linked to climate and watershed 21 22 landscape characteristics (Alvarez-Cobelas et al., 2012; Jiang et al., 2014). The elevated DOC concentrations in these plateau rivers could be attributed to 23 evaporation, which would be expected to be extreme in the arid environment of 24 Inner Mongolia Plateau (Hao et al., 2007). Furthermore, Inner Mongolia region is 25 26 located in semiarid climatic zones with low rainfall, and the impoundment of these plateau waters mainly depended on surface runoff. The land use types around the 27 sampling locations were mainly grassland and forest (Bai et al., 2008). The high 28

DOC concentration in the waters highlights the organic-rich nature of these
 ecosystems (Zheng et al., 2015).

Many monitoring data indicate that DOC concentration in rivers shows a 3 tendency to increase year by year, potentially due to recovery from acid deposition 4 (Evans et al., 2005; Monteith et al., 2007). DOC concentrations in surface water are 5 depressed when acid anion concentrations are high, and increase as acidic anion 6 concentrations decrease (Evans et al., 2005). The response of water parameters to acid 7 deposition would be apparent in the alkalinity measurements. In this study, the 8 9 positive relationship between DOC and alkalinity indicated that an empirical model might be established in Hulun Buir Plateau waters for estimating DOC storage based 10 on water alkalinity, with calibration by a comprehensive dataset. Within semi-arid 11 regions, DOC was always related to salinity, which could reflect the water residence 12 times and dissolved organic matter accumulation (Curtis and Adams, 1995; Song et al., 13 2013b). A positive correlation between DOC and EC was established based on the 14 collected data (Fig. 2b; $R^2 = 0.72$). A positive correlation between DOC and EC was 15 also reported in semi-arid east-central Alberta, Canada (Curtis & Adams, 1995). More 16 than 80% of lakes in Inner Mongolia Plateau are saline lakes, and the salinity in the 17 18 collected waters was generally higher. Prior research has shown that inland saline lakes always contain higher concentrations of DOC in semi-arid region (Arts et al., 19 20 2000). Also, concentrations of DOC in various waters were found to increase with salinity in semi-humid/semi-arid regions in China (Song et al., 2013b). 21

22 Relationship between CDOM properties and nutrients (TN and TP) may be used to track the plant-derived source. Strong linear relationships were shown between 23 nutrients (TN and TP) and DOC in the surface waters of Hulun Buir Plateau (Fig. 2c, 24 Fig. 2d). The types of land use around the water sampling locations may be a crucial 25 to the nutrition levels in the waters. The main land types in Hulun Buir Plateau were 26 grassland and forest with high nitrogen and organic matter content. A similar 27 relationship between TN and DOC was also shown during rainfall in agricultural and 28 forested wetlands in the Shibetsu watershed, Japan (Jiang et al., 2014). Investigations 29 of 14 boreal and temperate regions of Quebec (Canada) with 198 different lakes 30 showed that when TP concentrations were at very low level, DOC and TP fitted linear 31 regressions on log-transformed data ($r^2 = 0.34$, p < 0.001) (Lapierre & del Giorgio, 32 2012). Studies have indicates that DOC concentration in the natural waters 33

environment is closely related to the phytoplankton production and biological activity. 1 2 Phytoplankton can convert dissolved inorganic carbon (mainly means CO₂) to DOM through the photosynthesis, and part of the DOM in the water can be degraded to the 3 CO₂ by heterotrophic microorganisms. TN and TP concentrations could affect the 4 respiration and reproduction of microbes and phytoplankton, which could have a 5 pronounced influence on the conversion between DOC and CO₂. The respiration is 6 conducted according to the Redfield ratio (C: N: P= 106:16:1) under aerobic 7 conditions. When C:N >20 and C:P >100, the biodegradation of DOC cannot be fully 8 9 performed (Redfield et al., 1963). In this study, the ratios in the most water samples accord with the degradation condition. We suspect the above relationships (Fig. 2c, 10 Fig. 2d) may be connected with the escape of CO_2 from the waters. Furthermore, CO_2 11 flux in lakes is negatively correlated with lake size (Raymond et al., 2013). If the flow 12 and size of lakes in the study is reduced by the construction of reservoirs, irrigation, 13 and landuse, the higher carbon emissions may develop. Furmore, DOC concentration 14 and CO₂ escape from the waters are both affect by season and watershed characters 15 (Organelli et al., 2014; Tranvik et al., 2009; Riera et al., 1999). 16

The surface area of global inland waters is 3,624,000 km² based on the 17 calculation of Raymond et al. (2013), it is 2.47% of the Earth's land surface 18 19 (Raymond et al., 2013). They play a substantial role in the global carbon (C) cycle, and about 2.9 Pg C/yr is migrated, transformed, and stored via inland water ecological 20 system (Tranvik et al., 2009). DOM is the major component of organic matter during 21 the process of the terrestrial organic matter transported to the lakes and coastal zone 22 by rivers, and it represents an essential link between terrestrial and aquatic ecosystems 23 (Cole et al., 2007; Harrison et al., 2005). DOC is the most important intermediate in 24 the global carbon cycle fueling microbial metabolism. Based on the revision of the 25 'active pipe' hypothesis, the total current emissions from inland waters to atmosphere 26 as CO₂ and CH₄ may be as high as 1.4 Pg C/yr, the carbon burial in inland waters 27 sediments may amount to 0.6 Pg C/yr, and the annual transport of 0.9 Pg C from 28 inland waters to the ocean (Tranvik et al., 2009). The inland waters area in Inner 29 Mongolian Plateau is about 9,843 km², which is 0.27% of the global inland waters 30 surface. So a rough count in this study would be 3.8 Tg C for annual emissions, 1.6 31 Tg C for annual sediment burial, and 2.4 Tg C the annual transport from Inner 32 Mongolian Plateau inland waters. Furthermore, over 80% of lakes in Inner Mongolian 33

Plateau are saline lakes and salt lakes. Previous studies have showed that saline lakes
emitted more substantial carbon to the atmosphere and contained higher DOC
concentrations than freshwater (Anderson and Stedmon, 2007; Duarte et al., 2008;
Osburn et al., 2011). The dissolved inorganic C concentrations was about 10-15 times
greater than in freshwater lakes (Cole et al., 2011; Duarte et al., 2008; Tranvik et al.,
2009). Therefore the above estimation possibly underestimated the contribution of
Inner Mongolian Plateau inland waters to the global carbon cycle.

PCA was performed in order to explain the variations in water quality in the 8 different sampling waters (Fig. 3). From the locations of the variables in Fig. 3A, PC1 9 could be involved in the non-water light absorption, which may be one important 10 11 factor that distinguishes particulate light absorption from CDOM light absorption. TN and TP with positive loadings on PC2 indicated that PC2 may be related to 12 anthropogenic nutrient disturbance. The close juxtaposition of TDS and Chla shown 13 14 in Fig. 3A indicated that TDS concentration may be linked to phytoplankton metabolism. The PCA also indicated that non-water light absorption and 15 anthropogenic nutrient disturbance might be the causes of the diversity of water 16 quality in different sampling locations. 17

18 **4.2** Analysis of CDOM spectral characteristic

Terminal lakes exhibited significantly higher CDOM light absorption than river 19 waters (Table 1). Terminal lakes in the study all had high EC value (>1000 μ s cm⁻¹) 20 (Song et al., 2013b). Researchers reported that the structure and composition of 21 DOM alters obviously after flowing into saline lakes (Waiser & Robarts, 2000). The 22 use of E_{250:365} for the tracking of changes in CDOM molecule size has been 23 24 practically demonstrated by many researchers (Helms et al., 2008; Song et al., 2013b). Increasing $E_{250:365}$ values indicate a decrease in aromaticity and molecular 25 weight (MW) of CDOM, and the results of this study showed that CDOM in river 26 waters had higher aromaticity and MW than terminal lakes. The relatively low 27 CDOM MW in terminal lakes implied that chromophores associated with high MW 28 CDOM were destroyed by photolysis with the prolongation of hydraulic retention 29 time and irradiation. In terminal lakes, the change of molecular structure in high MW 30

CDOM caused by bond cleavage, resulted in its transformation to a low MW pool. 1 Furthermore, previous studies showed that the bulk of E_{250:365} mean values in 30 U.S. 2 rivers ranged from 5.00 to 6.50 (Spencer et al., 2012), and in the Elizabeth River and 3 Chesapeake Bay estuary ranged from 4.33 to 6.23 (Helms et al., 2008). Compared 4 with the reported river waters, the plateau rivers in the study presented significantly 5 6 higher mean E_{250:365} values. The intense solar irradiance in this region potentially enhances the photochemical degradation of allochthonous DOM and high MW 7 8 CDOM, causing an increase in the $E_{250:365}$ values with the production of low MW CDOM. Two rivers in the intermontane plateaus of the western U.S. with intense 9 solar irradiance also presented higher $E_{250:365}$ values (9.05 \pm 1.47, 7.38 \pm 0.84) than 10 other plain rivers (Spencer et al., 2012). 11

SUVA₂₅₄ values in the river waters in this study were lower than the following 12 rivers: Mean SUVA₂₅₄ values in 30 U.S. rivers examined ranged from 1.31 to 4.56 L 13 mg C^{-1} m⁻¹ (Spencer et al., 2012), while SUVA₂₅₄ in the Songnen Plain waters ranged 14 from 2.3 (± 0.14 SD) to 8.7 (± 2.8 SD) (Song et al., 2013b), in the tropical Epulu river 15 ranged from 3.08 to 3.57 L mg C^{-1} m⁻¹ (Spencer et al., 2010). A possible driver of this 16 CDOM characteristic in these plateau rivers is coupled evapoconcentration, 17 photo-degradation and photobleaching with strong plateau ultraviolet radiation 18 (Spencer et al., 2014; Spencer et al., 2009). SUVA₂₅₄ values have been proven to have 19 a correlation with DOM aromaticity as determined by ¹³C-NMR (Weishaar et al., 20 2003). In this study, the lower SUVA₂₅₄ measurements in terminal lakes indicated that 21 the aromatic moieties of CDOM in this environment were lower compared within 22 river waters due to the effect of photodegradation and microbial degradation with 23 prolonged water residence times. From the conclusions of some studies on SUVA₂₅₄ 24 and hydrophobic organic acid fraction (HPOA), the SUVA₂₅₄ values were always 25 comparable to HPOA, and the conjecture could be reached that low SUVA₂₅₄ values 26 indicate that the aquatic systems with little vascular plant input, and the 27 autochthonous sources (algal or microbial) dominated the organic matter content 28 (Spencer et al., 2008; Weishaar et al., 2003). Conversely, high SUVA₂₅₄ values 29 indicated that the organic matter in aquatic systems was dominated by allochthonous 30 sources with significant vascular plant inputs (Cory et al., 2007; Spencer et al., 2012). 31 32 In this study, the SUVA₂₅₄ revealed that the contribution of vascular plant matter to DOM in rivers might be greater than the terminal lakes, and the high MW DOM was more abundant in fresh waters than terminal lakes. Shorter residence time of DOM in river waters and the quick exchange rates of flow water shortened the photo-oxidation of DOM, which could be responsible for the phenomenon (Song et al., 2013b; Spencer et al., 2012).

The majority of river waters in the study exhibited significantly higher $S_{275-295}$ 6 values than allochthonous-dominated fresh waters which include the majority of U.S. 7 rivers (13.00 - 16.50 \times 10⁻³ nm⁻¹) (Spencer et al., 2012), and the Congo River (12.34 \times 8 10⁻³ nm⁻¹) (Spencer et al., 2009), which indicated the proportion of autochthonous 9 sources CDOM and photolysis of allochthonous CDOM in plateau waters was higher 10 11 than freshwater rivers. The ratio of spectral slopes (S_R) , an indicator of CDOM molecular weight and source (Helms et al., 2008; Spencer et al., 2010), indicated that 12 river water samples with lower S_R values contained greater allochthonous and higher 13 MW DOM than terminal lakes. Previous studies have proven that S values were 14 inversely proportional to CDOM MW, with a steeper spectral slope signifing 15 decreasing aromaticity, and a shallower spectral slope signifing an increasing 16 aromatic content (Gonnelli et al., 2013; Helms et al., 2008). S values in this study 17 indicated that the percentage of high MW humic acid in CDOM in Hulun Lake was 18 19 greater than in Buir Lake, whereas the proportion of fulvic acid and aromatic compounds showed the reverse trend. Furthermore, S₂₇₅₋₂₉₅ could be used as indicator 20 for terrigenous DOC percentage in bodies of water (Gonnelli et al., 2013). Our results 21 indicate that the percentage of terrigenous DOC is higher in Hulun Lake than Buir 22 Lake. From the known geological history of the region, Buir Lake is a throughput lake 23 with inflow from the Halaha river and outflow from the Wuerxun river to Hulun Lake. 24 Also, the land use pattern in Buir Lake watersheds shows potential desertification. 25 Hulun Lake not only accepts the Wuerxun River flowing from Buir Lake, but also 26 receives the water from the Kerulun River. Natural grassland with the fresh organic 27 rich layers was dominant in Hulun Lake watersheds. The geographical location and 28 land use pattern together account for the larger percentage of terrigenous DOM in 29 Hulun Lake. 30

4.3 Correlations between water quality parameters and light absorption

32 Strong positive correlations between CDOM absorption coefficients and TN, TP, and

DOC concentrations in all water samples indicated that CDOM light absorbance 1 could be explained by variations in nutrients and DOC concentration to a greater 2 extent. Previous studies have shown that CDOM absorption in a range of spectra 3 could be used as an proxy for DOC in many inland water bodies, including the 4 Kolyma river basin (Griffin et al., 2011), the Epulu river (Spencer et al., 2010), as 5 well as many U.S. rivers (Spencer et al., 2012), and our results once again support this 6 relationship in the aquatic environment of Hulun Buir Plateau. S275-295 and Chla 7 concentration had no correlation; a similar phenomenon has been identified in the 8 9 Ligurian Sea (BOUSSOLE site) and the Mediterranean Sea (central eastern basin) (Bracchini et al., 2010; Organelli et al., 2014). These results indicated that CDOM in 10 natural waters did not originate entirely from the release and dissociation of the 11 phytoplankton, and that terrestrial input and microbial activities all play an important 12 role in the generation and properties of CDOM (Ogawa et al., 2001; Rochelle-Newall 13 & Fisher, 2002). Furthermore, strong solar radiation in the plateau area and the open 14 15 ocean enhanced the photobleaching of CDOM, resulting in variation in the structural composition of CDOM. Inner Mongolian Plateau has high levels of wind and dust, 16 and a number of lakes in the region have shrunk remarkably in recent decades (Tao et 17 18 al., 2015). The shrinkage and resuspension of lakes as a result of climatic conditions may seriously influence the optical characteristics and Chla concentration. The 19 20 significant positive correlation between light absorption with TSM may be related to the unique climate of Hulun Buir Plateau with alternating of windy, rainless, and 21 22 frigid conditions, which need to be further studied.

4.4 Contribution of CDOM to light absorption

At all the sampling locations, phytoplankton absorption played a minor role on total 24 non-water light absorption (Fig. 4). The low levels of phytoplankton in the Hulun 25 Buir Plateau lakes with higher salinity may be responsible for this phenomenon. 26 Previous studies also showed that light absorption by non-algal particles often 27 exceeds that of phytoplankton in shallow, inland lakes and coastal waters (Carder et 28 al., 1991; Frenette et al., 2003). In most water samples examined in this study, CDOM 29 was the dominant light-absorbing substance even when the CDOM absorption was 30 minimal due to photobleaching in summer. The large contribution of CDOM to total 31 absorption (approximately 50% at 440 nm in the surface layer) was also shown in the 32

Sepik River (Parslow et al., 1998). The large contribution of CDOM was also 1 identified in other water environments, such as a fluvial lake (Frenette et al., 2003), 2 the equatorial Pacific area (Bricaud et al., 2002), and the Ligurian Sea (Organelli et al., 3 2014). The above analysis indicated that the waters in Hulun Buir Plateau were 4 Case-2 water with CDOM present in all the water samples (Morel & Prieur, 1977). 5 According to the optical classification of surface waters (Prieur & Sathyendranath, 6 1981), the majority of the collected river and terminal water samples in Hulun Buir 7 Plateau could be classified as "CDOM-type" water, and others were "NAP-type". 8 9 Different catchment properties and water quality parameters could be responsible for the variation in optical classification of these waters. Other studies have shown that 10 CDOM absorption was related to the EC of water (Sieczko & Peduzzi, 2014). EC 11 values in rivers and lakes of Hulun Buir Plateau showed a wide range, which may 12 affect the agglomeration or dissociation of particles and CDOM in the waters and 13 indirectly influence light absorption. In addition, in lakes located near the paddy field 14 and built-up areas, water quality is greatly influenced by human activities (Graeber et 15 al., 2012). 16

17 The light absorption of optically active compounds (OACs) determines the inherent optical properties of waters. In our opinion, the pattern showed in Fig.4 is not 18 19 invariable, and it may change with season and some extreme climate events. First, as a constituent of DOM, CDOM inputs to lakes are the mixture of allochthonous 20 organic substances delivered by river discharge and metabolites produced by 21 metabolic activities of autochthonous heterotrophic bacteria (Dillon and Molot, 1997; 22 Zhou et al., 2015). Terrestrial CDOM leached from the soil to the rivers and flowing 23 to the lakes is subject to diverse processes: physical flocculation and adsorption, 24 chemical photobleaching, and microbial degradation (Li et al., 2014). It is widely 25 recognized that CDOM loading and composition in aquatic environment are regulated 26 by ambient hydrology, landscape features, climate and aquatic organisms activity, and 27 vary seasonally and interannually (Dillon and Molot, 2005; Spencer et al., 2012; 28 Worrall and Burt, 2004; Griffin et al., 2011). For example, studies have indicated that 29 highly seasonal variability of DOC has been observed in high-latitude rivers, 30 characterized by rising concentration significantly with increasing discharge of these 31 rivers; spring snowmelt and winter freeze both have effect on DOC concentration 32 33 (Raymond et al., 2007). Photobleaching in summer dramatically altered the optical

properties of the surface waters with the CDOM absorption and fluorescence lost 1 2 (Vodacek et al., 1997). Hulun Buir plateau is characterized by a typical semi-humid and semi-arid continental monsoon climate with intensive solar radiation (especially 3 in summer) and long frozen period. The temperature, snowmelt, solar radiation, water 4 quality, and plankton and microbes activity all have unnegligible effect on CDOM 5 photo-absorption characteristic. Secondly, in shallow, inland lakes, light absorption of 6 non-algal particles often exceeds that by phytoplankton. The light absorption of 7 8 CDOM and non-algal particles often decreases in a near-exponential manner with 9 increasing optical wavelength, which will not be benefit for the growth of phytoplankton. In Hulun Buir plateau, phytoplankton growth is very slow even in the 10 warm season, the high pH, salinity and alkalinity of water may be responsible for the 11 phenomenon. So the relative contributions of phytoplankton to non-light absorption in 12 Hulun Buir plateau may be difficult to improve due to the depressed algae growth. 13 14 Non-algal particles concentration is related to the TSM (Table 2), the sediment suspension caused by strong winds in late autumn and winter, the increase of surface 15 16 runoffs in spring with snowmelt, and the change of land use pattern, these factors may cause the increase of TSM concentration, resulting the increasing of the light 17 18 absorption by non-algal particles. Above all, many factors could affect the relative contributions of OACs to total non-water light absorption, and the issue should be 19 20 discussed upon the local environment and climate.

Pareto-Lorenz curve analysis indicated that in Hulun Buir Plateau and the similar geographical aquatic environment, we could randomly select 20% of the collected water samples to analyze the light absorption, the contributions of optically active substances might be estimated based on these absorption values and the cumulative contributions in this study. Then the estimated value could be used to identify water type and evaluate regional homogeneity of non-water light absorption.

27 **5** Conclusions

There have little knowledge of CDOM properties and their relationship to environmental factors in plateaus areas based on the previous research results. The unique environmental conditions of plateau areas with dry and cold climates have an important effect on CDOM properties and potential implications for carbon cycling in inland water. A preliminary study was conducted in Hulun Buir plateau which includes several freshwater rivers and numerous non-contributing lakes with high 21

salinity. The study primarily provides information on the water quality and CDOM in 1 2 river and terminal lakes in cold and arid plateaus regions. Also it provides insight into CDOM properties linked to water quality and climate in inland water. The following 3 conclusions were obtained: (1) A significant difference in water quality was observed 4 between river and terminal lakes in Hulun Buir plateau (p < 0.01). The non-water 5 light absorption and anthropogenic nutrient disturbance might be the main causes of 6 the wide range of water quality parameters; (2) CDOM in river waters had higher 7 aromaticity, MW, and vascular plant contribution than in terminal lakes in cold and 8 9 arid plateau regions and other fresh water rivers due to the strong evapoconcentration, intense ultraviolet irradiance and plateau landscape features; (3) Environmental 10 variables TSM, TN, and EC had a strong correlation with light absorption 11 characteristics, followed by TDS and Chla in the waters of Hulun Buir plateau. Study 12 of the optical-physicochemical correlation is helpful for evaluating the potential 13 influence of water quality factors on non-water light absorption in arid and cold 14 plateau water environments, and it is useful for the understanding the satellite remote 15 16 sensing data of plateau inland water.

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- 1 Table 1. Water quality and CDOM absorption parameters of water samples collected
- 2 in Hulun Buir plateau

	River water (n=	22)	Terminal lakes (n=	= 24)
	Mean	Min- Max	Mean	Min- Max
DOC	25.99±6.64	8.44- 39.74	83.83±68.79	23.03-300.50
TN	1.33±0.63	0.64-3.51	4.58±3.80	1.39-19.03
TP	0.11±0.04	0.06- 0.23	1.52±1.87	0.12-6.31
TAlk	156.22±53.60	48.00- 298.56	652.70±642.15	96.00-2906.40
EC	325.95±141.64	106.70-745.00	5729.69±9715.26	1236-41000.00
TDS	163.07 ± 70.62	53.40-372.00	743.59±483.34	93.10-1505.00
Turb	20.21 ± 20.80	2.19-83.84	273.79±608.75	1.75-2521.20
Chl a	4.62±3.95	0.04-11.06	6.27±11.06	0-41.07
a _{CDOM} 335	18.29±9.87	4.71-40.07	36.16±30.27	10.47-158.24
a _{CDOM} 440	2.68 ± 1.68	0.60-7.14	$5.60\!\pm\!5.10$	0.83-26.21
E _{250:365}	7.80 ± 2.30	5.43-12.30	8.02±3.48	5.47-20.73
SUVA ₂₅₄	2.74 ± 1.08	1.08- 4.79	1.90±0.57	0.79-3.74
S ₂₇₅₋₂₉₅	0.019 ± 0.004	0.015-0.027	0.02 ± 0.004	0.015-0.031
S _R	1.00±0.17	0.73-1.35	1.05±0.09	0.91-1.25

TN, TP, TDS, TSM, TAlk and DOC represent total nitrogen, total phosphorus, total dissolved solids, total suspended matter, total alkalinity and dissolved organic carbon concentration, respectively (mg L⁻¹). Turb represents water turbidity (NTU), and EC represents the electrical conductivity of water samples (μ s cm⁻¹). Chl*a* is chlorophyll a concentration (μ g L⁻¹). The unit SUVA₂₅₄ was L mg C⁻¹ m⁻¹.

2 Table 2 Rivers (or freshwater lakes) names, sampling numbers, basin area, width,

0	1	·		U			
Nama	Number	Area	Max	Elevation	Width	Length	Defined
Iname		(km ²)	Depth (m)	(m)	(m)	(km)	Туре
Kerulen River	1, 11	7153	1.9	-	60-70	1264	River
Hulun Lake	5-9	2339	33	545.9	30-40 km	-	River
Hailar River	21, 31	54500	1	1100	30-130	1430	River
Yimin River	22-23	22725	2.5	-	20-50	390	River
Buir Lake	24-28	609	21.6	583	20 km	-	River
Ergun River	29	151184	>2	-	200-300	1666	River
Moegele River	36	150	2	-	1-6	319	River
Zhadun River	30, 41	3100	4	675	2-8		River
Wulannuor wetland	34	710	3.5	540	-	-	River
Qingkai River	38	-	2	580	1-10		River
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3 Length, max water depth, elevation in Inner Mongolia Plateau

4 "-"denotes without data.

2 Table 3. Pearson correlation coefficients for general water quality and light absorption

	a _{CDOM} (335)	a _{CDOM} (440)	a _{PB} (440)	a _{phy} (440)	a _{NAP} (440)	S ₂₇₅₋₂₂₉₅
TN	0.574^{**}	0.548^{**}	0.288^{*}	0.377^{**}	0.264	0.164
TP	0.508^{**}	0.401^{**}	0.078	0.194	0.062	0.151
TDS	0.483**	0.534**	-0.048	0.178	-0.068	0.015
DOC	0.527^{**}	0.411**	-0.007	0.151	-0.024	0.377^{**}
pН	0.192	0.129	-0.121	0.026	-0.131	0.567^{**}
Chl a	0.021	0.084	-0.056	0.224	-0.083	0.089
TSM	0.021	0.045	0.985^{**}	0.515^{**}	0.985^{**}	-0.073
EC	-0.024	-0.083	0.055	0.081	0.050	0.506^{**}

3 properties

4 * p < 0.05; ** p < 0.01.

5 Units of DOC, TN, TP, TDS, TSM, and DOC concentrations are mg L^{-1} , Chla 6 concentrations unit is μ g L^{-1} , EC unit is μ s cm⁻¹.





4 numbers, and water types are listed in support materials (Table 1S)



3 Figure 2. Correlation between DOC and alkalinity, EC, TN, and TP in Hulun Buir

4 plateau water



4 Figure 3. PCA of the physico-chemical characteristics of all collected waters, (A)

5 Factors loading data, and (B) Sample scores. \bullet represents terminal lakes, and \blacklozenge

6 represents river waters.



- 2 Figure 4. Relative contributions of CDOM, phytoplankton and non-algal particles to
- 3 total non-water light absorption at 440 nm



3 Figure 5. Pareto- Lorenz curves derived from the total non-water light absorption at

4 440 nm



3 Figure 6. RDA of CDOM adsorption data and water quality parameters (n=44)