Creating long term gridded fields of reference evapotranspiration in Alpine terrain based on a re calibrated Hargreaves method

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10 Abstract

11 A new approach for the construction of high resolution gridded fields of reference 12 evapotranspiration for the Austrian domain on a daily time step is presented. Gridded data of 13 minimum and maximum temperatures are used to estimate reference evapotranspiration based 14 on the formulation of Hargreaves. The calibration constant in the Hargreaves equation is 15 recalibrated to the Penman-Monteith equation in a monthly and station-wise assessment. This 16 ensures on one hand eliminated biases of the Hargreaves approach compared to the 17 formulation of Penman-Monteith and on the other hand also reduced root mean square errors 18 and relative errors on a daily time scale. The resulting new calibration parameters are 19 interpolated over time to a daily temporal resolution for a standard year of 365 days. The 20 overall novelty of the approach is the use of surface elevation as the only predictor to estimate 21 the re-calibrated Hargreaves parameter in space. A third order polynomial is fitted to the re-22 calibrated parameters against elevation at every station which yields a statistical model for 23 assessing these new parameters in space by using the underlying digital elevation model of 24 the temperature fields. With these newly calibrated parameters for every day of year and 25 every grid point, the Hargreaves method is applied to the temperature fields, yielding 26 reference evapotranspiration for the entire grid and time period from 1961-2013. This 27 approach is opening opportunities to create high resolution reference evapotranspiration fields 28 based only temperature observations, but being closest as possible to the estimates of the 29 Penman-Monteith approach.

1 **1 Introduction**

2 The water balance in its most general form is determined by fluxes of precipitation, change in storage and evapotranspiration (Shelton 2009). Particularly for evapotranspiration, 3 4 measurement is rather costly, since it requires sophisticated techniques like eddy correlation 5 methods or lysimeters. In hydrology as well as agricultural sciences the actual 6 evapotranspiration as part of the water balance equation is mostly assessed from the potential 7 evapotranspiration (PET). PET refers to the maximum moisture loss from the surface, 8 determined by meteorological conditions and the surface type, assuming unlimited moisture 9 supply (Lhomme 1997). Since surface conditions determine the amount of PET, the concept 10 of reference evapotranspiration (ET0) was introduced (Doorenbos and Pruitt, 1977). ET0 11 refers to the evapotranspiration from a standardized vegetated surface (grass) under 12 unrestricted water supply, making ET0 independent of soil properties. Numerous methods 13 exist for estimating ETO; differences arise in the complexity and the amount of necessary 14 input data for calculation.

15 A standard method, recommended by the Food and Agricultural Organisation (FAO; Allen et 16 al. 1998), is the Penman-Monteith (PM) formulation of ETO. There are of course countless 17 other methods as thoroughly described in McMahon et al. (2013), but the PM equation is 18 considered the most reliable estimate and serves as a standard for comparisons with other 19 methods (Allen et al. 1998). PM is fully physically based and requires four meteorological 20 parameters (air temperature, wind speed, relative humidity and net radiation). It utilizes 21 energy balance calculations at the surface to derive ET0 and is therefore considered a 22 radiation based method (Xu and Singh 2000).

23 On the contrary, much simpler methods which use air temperature as a proxy for radiation 24 (Xu and Singh 2001) are applied as alternatives for regions where the input data is not sufficient to use PM. One of these simpler methods; the method of Hargreaves (HM, 25 26 Hargreaves et al. 1985), is used in this paper. It requires minimum and maximum air 27 temperature and extra-terrestrial radiation, which can be derived from the geographical 28 location and the day of year. Hence, HM is much broader applicable for many regions, 29 because temperature observations are dense and easily accessible. Nevertheless, like most 30 temperature based methods, HM has been developed for distinct studies and regions 31 representing also distinct climate conditions (Xu and Singh, 2001). To avoid large errors, 32 these temperature-based methods need to undergo a recalibration procedure to make them applicable in different climatic regions than in those they were originally designed for
 (Chattopadhyay and Hulme 1997, Xu and Chen 2005).

3 In this paper, the method for constructing a dataset of ETO is presented on a daily time 4 resolution and a 1 km spatial resolution based on the method of Hargreaves. The HM is 5 calibrated to the PM in a station-wise assessment. Many studies describe re-calibration 6 procedures for ET0 estimations in general (Tegos et al., 2015; Oudin et al. 2005) and for the 7 HM in particular (Pandey et al. 2014; Tabari and Talaee, 2011; Bautista et al., 2009; Gavilán 8 et al. 2006) in order to achieve results comparable to PM. There are also some studies 9 describing methods for creating interpolated ET0 estimates (e. g. Aguila and Polo, 2011; 10 Todorovic et al, 2013). However, two main methodological frameworks emerged for the 11 interpolation of ET0 (McVicar et al., 2007): (i) interpolation of the forcing data and then calculating ET0, or (ii) calculating ET0 at every weather station followed by an interpolation 12 13 of ET0 onto the grid. Here we follow the first approach and combine it with methods 14 proposed by Tegos et al. (2015) and Mancosu et al. (2014) which use spatially interpolated 15 ET0 model parameters. Gridded data of minimum and maximum temperatures are used as forcing fields for the application of the Hargreaves formulation of ETO. The novelty of this 16 17 study is the application of elevation as a predictor for the interpolation of the re-calibrated 18 HM calibration parameter. Furthermore, these new calibration parameters are also variable in 19 time, by changing day-by-day for all days of the year. This approach goes a step further than 20 the method of Aguilar and Polo (2011) which derived one new calibration parameter for the 21 dry and one for the wet season of the year. An evaluation of the final gridded product is 22 carried out by assessing different error metrics at grid points next to weather stations where 23 PM ET0 is available, and also by comparing the ET0 fields with those of the operational ET0 24 estimates based on INCA (Integrated Nowcasting through Comprehensive Analysis, Haiden 25 et al. 2011), the nowcasting system of the Austrian weather service.

The presented dataset aims at using the best of two worlds by (i) using a method for estimating ET0 that is calibrated to the standard algorithm as defined by the FAO and (ii) being applicable to a comprehensive, long-term forcing dataset, on a high temporal and spatial resolution.

1 2 Forcing Data

2 The ETO calculations are based on a high resolution gridded dataset of daily minimum and 3 maximum temperatures calculated for the Austrian domain (SPARTACUS, see Hiebl and Frei 4 2015), whereas the actual data stretches beyond Austria to entirely cover catchments close to 5 the border. SPARTACUS is an operationally, daily updated dataset starting in 1961. For the 6 ETO fields, the SPARTACUS temperature forcing is used for the period 1961-2013. The 7 interpolation algorithm is tailored to complex, mountainous terrain with spatially complex 8 temperature distributions. SPARTACUS also aims at ensuring temporal consistency through a 9 fixed station network over the full time period, providing robust trend estimations in space. 10 SPARTACUS uses the SRTM (Shuttle Radar Topography Mission, Farr and Kobrick 2000) 11 version 2 Digital Elevation Model (DEM). The SRTM DEM is also applied in the present 12 study.

SPARTACUS provides the input data for calculating ET0 following the Hargreaves method 13 (HM, Hargreaves and Samani 1982, Hargreaves and Allen 2003). However, a recalibration of 14 HM is necessary to avoid considerable estimation errors. This is carried out in a station wise 15 16 assessment. Data of 42 meteorological stations (provided by the Austrian weather service ZAMG) are used to calibrate the HM to PM on a monthly basis. Figure 1 shows the location 17 18 of these stations, which are spread homogeneously over Austria and cover rather different 19 elevations and environmental settings (Table 1). Data of daily global radiation, wind speed, 20 humidity, maximum and minimum temperatures for the period 2004-2013 are used to 21 calculate ET0 simultaneously with HM and PM.

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23 3 Methods

24 Numerous methods exist for the estimation of ETO, which is defined as the maximum moisture loss from a standardized, vegetated surface, determined by the meteorological 25 26 forcing (Shelton, 2009). These methods can roughly be classified as temperature based and radiation based estimates (Xu and Singh, 2000, Xu and Singh, 2001, Bormann, 2011). 27 28 Following the recommendations of the FAO (Allen et al. 1998) the radiation-based Penman-29 Monteith Method (PM) provides most realistic results and generally outperforms temperature 30 based methods. The overall shortcoming of the PM is the data intense calculation algorithm which requires daily values of net radiation, wind speed, humidity, maximum and minimum 31

temperatures. Data coverage for these variables is usually rather sparse, particularly if gridded
data is required. ET0 following the PM is calculated as displayed in Equation 1:

3
$$ET0_p = \frac{0.408\Delta(R_N - G) + \gamma \frac{900}{T + 273}u_2(e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)}$$
 (1)

where E is the reference evapotranspiration [mm day⁻¹], R_N is the net radiation at the crop 4 surface [MJ m^{-2} dav⁻¹]. G is the soil heat flux density [MJ m^{-2} dav⁻¹]. T is the mean air 5 temperature at 2 m height [°C], u_2 is the wind speed at 2 m height [m s⁻¹], e_s is the saturation 6 vapour pressure [kPa], e_a is the actual vapour pressure [kPa]; giving the vapour pressure 7 deficit by subtracting e_a from e_s ; Δ is the slope of the vapour pressure curve [kPa °C⁻¹] and γ is 8 the psychrometric constant [kPa $^{\circ}C^{-1}$]. Given the time resolution of one day the soil heat flux 9 term is set to zero. The calculation of the other individual terms of Equation 1 is described in 10 Allen et al. (1998). It should be mentioned, that the original Penman-Monteith equation 11 contains a "surface resistance" term, expressing the response of different vegetation types, 12 which is set constant for FAO PM, since it uses a standardized vegetated surface. 13

14 In contrast to the radiation based PM, the HM is based on daily minimum and maximum 15 temperatures (T_{min}, T_{max}). Hargreaves (1975) stated from regression analysis between 16 meteorological variables and measured ETO that temperature multiplied by surface global radiation is able to explain 94 % of the variance of ET0 for a five day period (see Hargreaves 17 and Allen 2003). Furthermore, wind and relative humidity explained only 10 and 9 % 18 19 respectively. Additional investigations by Hargreaves led to an assessment of surface 20 radiation which can be explained by extra-terrestrial radiation at the top of the atmosphere and the diurnal temperature range as an indicator for the percentage of possible sunshine hours. 21 22 The final form of the Hargreaves equation is given by:

23
$$ET0_h = C(T_{mean} + 17.78)(T_{max} - T_{min})^{0.5} R_a$$
 (2)

where ET0_h is the reference evapotranspiration $[mm day^{-1}]$, T_{mean} , T_{max} and T_{min} are the daily mean, maximum and minimum air temperatures [°C] respectively and R_a is the water equivalent of the extra-terrestrial radiation at the top of the atmosphere $[mm day^{-1}]$. C is the calibration parameter of the HM and was set to 0.0023 in the original publication of Hargreaves et al. (1985).

29 Following these formulations the ET0 for all stations is calculated for the period 2004-2013.

In order to achieve a meaningful representation of ET0 by HM, an adjustment of the calibration parameter (C_{adj}) of HM is necessary, with respect to ET0 derived from PM. This is carried out on an average monthly basis for every station by the following equation, as also proposed by Bautista et al. (2009):

5
$$C_{adi} = 0.0023/(E_H/E_P)$$
 (3)

6 where C_{adj} represents the new calibration parameter of the HM, E_H is the original ET0_h from 7 HM, using a C of 0.0023 and E_P is the ET0_p from PM. As a result, a new set of C values for 8 every month and every station is available. An analysis on the behaviour of C_{adj} in space 9 revealed rather strong altitude dependence, particularly in the cold season. This feature 10 enables to estimate C_{adj} in space for every grid point by using the underlying DEM of the 11 temperature fields as a predictor.

As a first step, the monthly C_{adj} values at every station are linearly interpolated to daily values to avoid stepwise changes and therefore abrupt shifts of C_{adj} between months. This is carried out for a standard year with length of 365 days. The result is a time series of daily changing values of C_{adj} over the course of the year, available for every station, stretching over different altitudes and therefore yielding 42 different annual time series of C_{adj} .

Subsequently the daily, station-wise values of C_{adj} are interpolated in space. The analysis of the C_{adj} -altitude relationship indicated non-linear characteristics, so a third order polynomial fit was chosen. Using the underlying DEM of the SPARTACUS dataset it is possible to determine adjusted calibration parameters for every grid point in space by this relationship. The polynomial fit is applied for every day of the daily interpolated station-wise C_{adj} values, since these are changing day by day as well. The result is a gridded dataset of C_{adj} for the SPARTACUS domain for 365 time steps from January 1st to December 31st.

Having these gridded C_{adj} values the ETO_h.c is calculated for every grid point and day since 1961 to 2013. In the case of leap years the C_{adj} grid of February 28th is also used for February 26 29th. The final gridded product is termed AET (Austrian reference EvapoTranspiration 27 dataset) throughout the rest of the paper.

The AET fields are finally evaluated against station data and another ET0 product. Unfortunately there is no long-term gridded dataset of ET0 for the Austrian domain, so we used the ET0 of the nowcasting system INCA (Integrated Nowcasting through Comprehensive Analysis, Haiden et al., 2011) which yields daily fields of ET0 based on PM on 1 km grid resolution. INCA uses weather stations, remote sensing data, rainfall radar data
as well as DEM information to derive nowcasting fields of several meteorological variables.
INCA is operational for several years, but due to constant changes in data input quality and
other improvements we chose to use only the 5-year period from 2009-2013.

5 For the skill assessment of the AET dataset we calculate mean monthly values of mean bias,

6 Root Mean Squared Error (RMSE) and Relative Error (RE) of those grid points in AET as

- 7 well as INCA closest to a station with PM ET0.
- 8

9 4 Results

10 Figure 2a shows, as an example, the daily time series of ETO as derived by PM (ETO_p) and HM (ET0_h) in the year 2004 at the station Grossenzersdorf. The differences between those 11 12 two are obvious as ET0 p shows clearly higher variability, with ET0 h underestimating the 13 upward peaks in the cold season and downward peaks in the warm season. This feature is 14 more noticeable in Figure 2b, which shows the monthly averages over all stations, indicating the spread among all 42 stations. Here, an underestimation of the ET0_h compared to ET0_p 15 16 from October to April is counteracted by an overestimation between May and September. On 17 the other hand, ET0_p shows higher spread among stations compared to ET0_h except for 18 November to January.

19 Figure 4 shows the adjusted C values for three exemplary stations. C_{adj} is generally higher in 20 winter and autumn compared to the original value indicated by the dashed line at 0.0023. It is also obvious that at station Grossenzersdorf the original value is matching rather well to the 21 22 C_{adj} from April to October, in the other months the adjusted values are clearly higher. On the 23 contrary, at station Weissensee Gatschach Cadj is lower than 0.0023 except for the months 24 from November to February. At station Rudolfshuette-Alpinzentrum the adjusted values are above the original ones all year round, reaching the highest values in wintertime of about 25 26 0.007. These results clearly underpin the necessity for a re-calibration of C in order to receive 27 sound ET0 from temperature observations.

For simplicity for a first assessment the monthly values of C_{adj} were used for all days of the month, no temporal interpolation was conducted. As a result, the monthly mean bias is reduced to zero at every station. Furthermore, the RMSE has also slightly decreased by 0.1 to 0.2 mm day⁻¹, as can be seen in Figure 4a. The Relative Error (RE) has also decreased, from around 45 % to fewer than 35 % in January for example (cf. Figure 4b). The improvements
regarding RE in summer are lower due to the higher absolute values of ET0 in the warm
season.

4 The complete monthly mean time series from 2004 to 2013 of ET0_p, ET0_h and ET0_h.c 5 for three stations are shown in Figure 5. At station Grossenzersdorf the underestimation of 6 ETO_h in winter is reduced as well as the overall underestimation at station Rudolfshuette-7 Alpinzentrum. On the other hand, the overestimation in summer at station Weissensee-8 Gatschach is considerably reduced with ET0_h.c. These features in combination with the 9 information on the altitude of the given stations provide some information on more general 10 characteristics of Cadi and the effects of the calibration, which underpins an altitudedependence of C_{adj} , which is displayed in more detail in Figure 6. It shows the monthly 11 12 average C_{adj} for stations which where binned to distinct classes of altitude ranging from 100 to 2300 m in steps of 100 m. As already seen in Figure 3 as an example for three stations, C_{adi} is 13 14 clearly higher in winter than the unadjusted value. From April to September C_{adj} is lower than 15 0.0023 up to altitudes of 1500 m.a.s.l., lowest values are visible in May to August between 16 altitudes of 400 to 1000 m.a.s.l. Figure 7 displays the adjusted calibration parameters plotted 17 against altitude for the monthly means of Cadj. From this Figure it comes clear that this 18 relationship is not linear. C_{adi} is decreasing from the very low situated stations until altitudes 19 between 500 and 1000 m.a.s.l. Going further up Cadi increases and one could say it might be a 20 linear increase, particularly in winter. On the other hand, looking at the summer months the 21 station with the highest elevation (Sonnblick, 3106 m.a.s.l.) shows somewhat lower or at least 22 equal values of C_{adj} compared to the cluster of stations between 2000 and 2400 m.a.sl. This 23 feature indicates that the relationship above 1000 m.a.s.l. might not be linear. Taking all this 24 characteristics into account, a higher order polynomial fit was chosen to describe the Cadj-25 altitude relation. .

The results of the spatial interpolation of C_{adj} are displayed in Figure 8, where two examples of C_{adj} distribution in space are displayed; on January 1st (a) and July 1st (b). Particularly in January the altitude dependence of the calibration parameter is clearly standing out, showing rather high values of C_{adj} in the mountainous areas. In contrast to winter the spatial variations in summer are smaller, only some central Alpine areas between 1000 and 3000 m.a.s.l. are appearing in somewhat different shading than the surrounding low lands.

The climatological mean (1961-2013) of the final AET fields is displayed in Figure 9a. 1 Lowest daily mean values of below 1.5 mm day⁻¹ are apparent on the highest mountain ridges 2 of the main Alpine crest. Highest values of 2.4 mm day⁻¹ and above are found in the eastern 3 4 and southern low lands. Other spatial features are visible as well, for example higher ET0 in 5 the valleys in the far western part of Austria. This higher ET0 is driven by the longer sunshine hours in these areas, which are also known as "inner alpine dry valleys", because rainfall 6 7 approaching from the west is often screened by the mountain chains in the northwest. In the 8 ETO estimate this feature of less cloud cover and therefore longer sunshine durations is 9 reflected in the higher Diurnal Temperature Range (DTR), yielding larger values in that 10 particular area. A similar characteristic is apparent in the very south of Austria. Here ET0 is 11 higher as well, compared to topographically similar regions on the northern rim of the Alps. 12 This is also connected to the longer sunshine hours which enhance indirectly ET0 through 13 higher DTR values.

Figure 9b shows the ETO field of August 8th 2013. For the first time on that particular day, 14 temperatures reached above 40 °C in Austria at some stations in the east and south. Values of 15 ET0 are particularly high, reaching up to 7 mm day⁻¹ in some areas in the southeast. That day 16 was also characterized by an approaching cold front, which brought rain, dropping 17 18 temperatures and overcast conditions from the west. These conditions were featured as well in 19 the ETO field, showing a considerable gradient from west to east, with almost zero ETO at the headwaters of the Inn River in the far southwest of the domain. Furthermore, the implications 20 21 of overcast conditions in the west with lower altitudinal gradients of ET0 compared to the east 22 with sunny conditions and distinct gradients along elevation are visible.

23 July, the month with the highest absolute values of ET0 shows considerable variations in the 24 last 53 years. As an example, the mean anomaly of ET0 in July of 1983 with respect to the July mean of 1961-2013 is displayed in Figure 10a. This month was characterized by a 25 26 considerable heat wave and mean temperature anomalies of +3.5 °C which also affected ET0. The absolute anomaly of ET0 reaches above 1 mm day⁻¹ with respect to the climatological 27 mean in some areas. The relative anomaly is in a range between 10 to 30 % (Figure 10c). July 28 of 1979 was rather cool instead with temperatures 1.5 °C below the climatological mean and 29 30 accompanied by a strong negative anomaly in sunshine duration, particularly in the areas 31 north of the main Alpine crest. These characteristics implicated a distinctly negative anomaly of ET0 in this particular month (Figure 10b). The absolute anomaly stretches between 0 and 32

more than -1 mm day⁻¹, which is equivalent to a relative anomaly of 0 to -30 % (Figure 10d).
The negative signal is stronger in the areas north of the Alpine crest, zero anomalies are found
in some areas in the south.

4 In Figure 11 the overall benefits of the re-calibration of the HM are revealed. It shows the 5 mean ET0 in July 2012, a month accompanied by a considerable heat wave at the beginning 6 and an overall temperature anomaly of around +2 °C. In Figure 11b the ETO field of the 7 original HM formulation without calibration is shown, and Figure 11a displays the results 8 with re-calibration as described in this study. Overall, the gradient along elevation of ET0 is 9 larger in the non-calibrated field. Particularly in this time of the year with large absolute values, the re-calibration has a considerable impact, although C_{adi} in July is relatively small 10 11 compared to winter. As shown before (cf. Figure 3), the ET0 estimation using the original C is good for July in the very lowlands, since biases tend to be rather small. However, going to 12 13 higher elevations, the overestimation of the original HM is rather pronounced. Mean biases reach $+1 \text{ mm day}^{-1}$ or +30 % over large parts of the domain. This signal switches to negative 14 biases of -0.5 mm day⁻¹ (-25 %) above 1500 m.a.s.l. Considering Austrian topography it 15 comes clear that using a method like HM without calibration has major impacts on the result. 16 17 Using non-calibrated HM ET0 data for rainfall-runoff modelling for example would introduce large errors and uncertainties. Given the fact that gridded ET0 based on PM are only available 18 19 for a rather short time period from the INCA system, the AET dataset provides a sound 20 alternative for ET0 estimates on a high spatial resolution covering the last 53 years.

The overall performance of AET compared to the station wise PM estimates is displayed in Figure 12. 12a shows the monthly bias of the original HM ETO and the calibrated ETO of the nearest grid point. The bias is clearly reduced in nearly all months. However, in April, as the only exception, the bias of the calibrated grid point values is larger than the bias of the original estimation. The biases concerning different levels of altitude are reduced as well, as can be seen in Figure 12b which shows the biases in July and Figure 12c displaying the biases in January.

A comparison between AET and INCA ET0 and station based PM ET0 is given in Figure 13, showing ET0 on two different days in summer 2013. The first example (Figures 13a and 13b) is June the 4th 2013, a day with mostly overcast conditions, lower than average temperatures of between 7 to 12 °C and high relative humidity, it was the time after a big flood event in northern Austria. AET is clearly overestimating ET0 by a median difference of +1 mm day⁻¹

across all stations as shown by the boxplot in Figure 13c. INCA has a median difference of 1 2 nearly zero, although the spread is larger than in AET. Under the given circumstances AET cannot compete with INCA, which considers, through using PM, information on relative 3 4 humidity, which might has a strong forcing on ETO on that particular day, information that is not available in the AET estimate. Another example is July 23rd 2013 (Figure 13d and 13e) 5 which characterized by temperatures ranging between 20 °C in the West and 29 °C in the east, 6 7 accompanied by some rainfall in the West and South. ET0 in both AET and INCA range between 3 and 6 mm day⁻¹, although INCA shows a general overestimation with a median 8 difference around $+0.5 \text{ mm day}^{-1}$ (Figure 13f). On the other hand median differences of AET 9 compared to stations are around zero. There might be some biases in the global radiation in 10 11 INCA, which is derived based on sunshine duration estimates (blended remote sensing and 12 station data) and a simple radiation model.

13 However, comparing error characteristics in AET and INCA against station data (Table 2) for 14 the period 2009-2013 reveals only minor differences. The mean bias all year round is lower in INCA (0.03 mm day⁻¹) compared to AET (0.12 mm day⁻¹). Considering monthly mean values 15 the spread is rather similar spanning -0.30 to 0.66 mm day⁻¹ in INCA and -0.17 to 0.80 mm 16 dav⁻¹ in AET. The highest monthly mean values are in both dataset found in April (AET: 0.80 17 18 mm day⁻¹, INCA: 0.66 mm day⁻¹) and May (AET: 0.79 mm day⁻¹, INCA: 0.51 mm day⁻¹). The RMSE is slightly lower in AET reaching maximum values in June of 1.42 mm day⁻¹ 19 compared to INCA with 1.80 mm day⁻¹. The overall mean RMSE is 0.89 mm day⁻¹ in AET 20 and 1.05 mm day⁻¹ in INCA. Concerning the RE the characteristics are similar to the bias and 21 22 the RMSE, with only minor differences between AET and INCA. The RE in AET ranges 23 between +35 % (April) and -15 % (November) and in INCA these are rather similar spanning +25 % (February) and -18 % (November). 24

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26 **5 Discussion**

By comparing the characteristics of ET0 based on HM and PM on a daily time step it came clear that a re-calibration of C within the formulation of Hargreaves follows distinct patterns. The values of C_{adj} show markedly variations in space and time (over the course of the year). It turned out, that a monthly re-calibration of C reveals an annual cycle of C_{adj} , with C_{adj} being close to the original value of 0.0023 in the warm season (April-October) and low elevations. Going to higher elevations, C_{adj} decreases until roughly 1000 m.a.s.l. Reaching altitudes

above 1700 m.a.s.l., C_{adi} has generally a higher value than Hargreaves' original value, 1 2 particularly during the cold season (November-March). This altitude dependency of the calibration parameter in HM is mentioned in Samani (2000), but the authors also claimed that 3 this relationship may be affected by different latitudes. Aguila and Polo (2011) also found that 4 5 the original HM using a C of 0.0023 underestimates ET0 at higher elevations and defined a value of 0.0038 at an elevation of 2500 m.a.s.l. However, this altitude dependency of C turned 6 7 out to be more complex, as we are able to display, showing a distinct variation throughout the 8 year along with elevation.

9 To reveal the sources of this altitude dependence of C some additional analysis was done. In 10 general, the HM utilizes the Diurnal Temperature Range (DTR, T_{max} minus T_{min}) to mimic the 11 amount of global radiation at the land surface. Clear sky conditions are usually associated 12 with higher DTR. There is more heating during daytime due to large proportions of direct 13 solar radiation, whereas at night time temperatures drop further down since the outgoing long-14 wave radiation is not reflected by clouds. Numerous studies investigating the relationship 15 between DTR and radiation (Pan et al., 2013; Makowski et al., 2009; Bindi and Miglietta, 1991; Bristow and Campbell, 1984), which show considerable correlations. For example 16 17 Makowski et al. 2009 reported a correlation coefficient of 0.87 of the annual means of DTR 18 and solar radiation averaged over 31 stations across Europe.

19 Figure 14 shows the linear regression coefficients of the square root of DTR and Global Top-20 Of-Atmosphere (TOA) radiation ratio on a daily time scale at the 42 stations used in this study. The idea is to get a better understanding of the parameterization embedded in HM, 21 22 which tries to assess the amount of global radiation via the DTR and the TOA radiation. The 23 coefficients show a distinct altitudinal dependency, particularly in winter. In January the 24 coefficients are generally high at altitudes between 300 and 1100 m.a.s.l. At higher elevations they are dropping considerably, getting slightly negative above 3000 m.a.s.l. at station 25 Sonnblick. This altitude dependency is also apparent in the transitional season (c.f. Figure 14; 26 April and October) although not as pronounced as in winter. In July the coefficients are 27 generally higher, roughly ranging between 0.15 and 0.30, with no change along altitude. 28

The reasons for the patterns in Figure 14 seem to be rooted in the lower atmospheric mixing ratios at the lowest stations, some of them located in, or nearby cities, which might dampen the DTR, although clear sky conditions are apparent. At moderate altitudes between 400 and 1500 m.a.s.l. the daily temperature amplitude is more dominantly driven by surface energy balance processes which reflects higher regression coefficients. Going further up, the proportion of the DTR which is determined by large scale air mass changes rises, as the station locations reach up above the planetary boundary layer into the free atmosphere. So for any given value of cloudiness, DTR is much smaller in winter and high elevations than in low elevation environments where boundary layer processes are dominant. This means for yielding realistic values of global radiation relative to TOA radiation, a much higher C_{adj} value is needed to compensate for this.

Although these circumstances seem to be a drawback of the methodology, the overall effect is only minor. Figure 15 shows the HM ET0 in dependence of the DTR and the daily mean temperature. At low daily mean temperatures, between -10 and +10 °C, the contour lines determining the value of ET0 are rather steep. This implies that a change in DTR has only minor effects on the ET0 outcome, whereas a change in daily mean temperature is more important.

14 However, the procedure of altering the coefficient C has also implications on the variability of 15 ET0 on a daily time scale. As was visible in Figure 2a the variability of ET0 based on HM is 16 lower than using PM. The presented re-calibration has only little effect on the enhancement of 17 variability. By scaling C, variability is slightly enhanced in those areas and time of the year 18 where C_{adi} is higher than 0.0023. This is the case for most of the time and widespread areas, 19 but there are regions or altitudinal levels where the opposite is taking place. As is visible in 20 Figure 6 areas up to 1500 m.a.s.l. show lower than original values of Cadj in the summer 21 months. There are particular areas in June between altitudes of 500 to 1000 m.a.s.l. that show 22 the largest deviation from the original value. In these areas variability is lower in the re-23 calibrated version. On the other hand the benefit of an ETO formulation being unbiased 24 compared to the reference of PM may overcome these shortcomings.

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27 6 Conclusion

In this paper a gridded dataset of ET0 for the Austrian domain from 1961-2013 on daily time step is presented. The forcing fields for estimating ET0 are daily minimum and maximum temperatures from the SPARTACUS dataset (Hiebl and Frei 2015). These fields are used to calculate ET0 by the formulation of Hargreaves et al. (1985). The HM is calibrated to the Penman-Monteith equation, which is the recommended method by the FAO (Allen et al. 1998). This is done using a set of 42 meteorological stations from 2004-2013, which have full data availability for calculating ETO by PM. The adjusted monthly calibration parameters C_{adj} are interpolated in time (resulting in daily C_{adj} for a standard year) and space (resulting in C_{adj} for every grid point of SPARTACUS and day of year). With these gridded C_{adj} the daily fields of reference evapotranspiration are calculated for the time period from 1961-2013.

7 This dataset is highly valuable for users in the field of hydrology, agriculture, ecology etc. as 8 it provides ET0 in a high spatial resolution and a long time period. Data for calculating ET0 9 by recommended PM is usually not available for such long time spans and/or with this spatial 10 and temporal resolution. However, the method presented in this study combined both 11 strengths of long time series, high spatial and temporal resolution provided by the temperature 12 based HM and the physical more realistic radiation based PM by adjusting HM.

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- 23

	Station	Lon (°)	Lat (°)	Alt (m)	Setting
1	Aflenz	15.24	47.55	783	Mountainous
2	Alberschwende	9.85	47.46	715	Mountainous
3	Arriach	13.85	46.73	870	Mountainous
4	Bregenz	9.75	47.50	424	Lakeside
5	Dornbirn	9.73	47.43	407	Valley
6	Feldkirchen	14.10	46.72	546	Valley
7	Feuerkogel	13.72	47.82	1618	Summit
8	Fischbach	15.64	47.44	1034	Mountainous
9	Galzig	10.23	47.13	2084	Alpine
10	Graz Universitaet	15.45	47.08	366	City
11	Grossenzersdorf	16.56	48.20	154	Lowland
12	Gumpoldskirchen	16.28	48.04	219	Lowland
13	Irdning Gumpenstein	14.10	47.50	702	Valley
14	Ischgl Idalpe	10.32	46.98	2323	Alpine
15	Jenbach	11.76	47.39	530	Valley
16	Kanzelhoehe	13.90	46.68	1520	Summit
17	Krems	15.62	48.42	203	Lowland
18	Kremsmünster	14.13	48.06	382	Lowland
19	Langenlois	15.70	48.47	207	Lowland
20	Lilienfeld Tarschberg	15.59	48.03	696	Mountainous
21	Lofereralm	12.65	47.60	1624	Alpine
22	Lunz am See	15.07	47.85	612	Valley
23	Lutzmannsburg	16.65	47.47	201	Lowland

1 Table 1. Location, altitude and setting of the 42 meteorological stations used for calibration.

24	Mariapfarr	13.75	47.15	1153	Mountainous
25	Mariazell	15.30	47.79	864	Mountainous
26	Neumarkt	14.42	47.07	869	Mountainous
27	Patscherkofel	11.46	47.21	2247	Summit
28	Poertschach	14.17	46.63	450	Lakeside
29	Retz	15.94	48.76	320	Lowland
30	Reutte	10.72	47.49	842	Valley
31	Rudolfshuette-Alpinzentrum	12.63	47.13	2304	Alpine
32	Schaerding	13.43	48.46	307	Lowland
33	Schmittenhoehe	12.74	47.33	1973	Alpine
34	Sonnblick	15.96	47.05	3109	Summit
35	Spittal Drau	13.49	46.79	542	Valley
36	Villacheralpe	13.68	46.60	2156	Summit
37	Virgen	12.46	47.00	1212	Valley
38	Weissensee Gatschach	13.29	46.72	945	Lakeside
39	Wien Donaufeld	16.43	48.26	161	City
40	Wien Hohewarte	16.36	48.25	198	City
41	Wien Unterlaa	16.42	48.12	201	City
42	Wolfsegg	13.67	48.11	638	Lowland

	Bias [mm/d]	RMSE [mm/d]		RE [%]	
-	AET	INCA	AET	INCA	AET	INCA
January	-0.01	-0.05	0.29	0.34	1	-7
February	-0.17	-0.30	0.60	0.65	-12	-25
March	0.04	-0.23	0.84	0.89	4	-14
April	0.80	0.66	1.34	1.59	35	28
May	0.79	0.51	1.38	1.58	29	19
June	0.19	-0.24	1.42	1.80	6	-8
July	0.39	0.31	1.29	1.58	12	9
August	-0.09	-0.01	1.16	1.42	-1	1
September	-0.14	-0.10	0.96	1.11	-6	-4
October	-0.15	-0.06	0.57	0.69	-8	-3
November	-0.03	0.01	0.43	0.54	2	5
December	-0.16	-0.18	0.39	0.43	-15	-18
Year	0.12	0.03	0.89	1.05	4	-1

1 Table 2. Error Characteristics of AET and INCA against station data





Figure 1. Location of the meteorological stations used for calibration; coloured circles around

- points indicate stations that are exemplary displayed in other plots: Grossenzersdorf (blue),
- Weissensee Gatschach (green) and Rudolfshuette-Alpinzentrum (red).



Figure 2. Daily time series of ET0 in 2004 for ET0 based on PM (ET0_p) and HM (ET0_h) at
the station Grossenzersdorf (a); Monthly mean ET0 from 2004 to 2013 averaged over all
stations, error bars denote the spread among all stations (b).



- 4 Figure 3. Monthly values of C_{adj} at three different stations, the dashed black lines indicates the
- 5 original C value of 0.0023 from Hargreaves et al. (1985).
- 6



4 Figure 4. Monthly Root Mean Square Error (a) and monthly Relative Error (b) between daily

5 ET0_p and ET0_h (black) and ET0_p and ET0_h.c (red).





Figure 5. Monthly ET0 sums derived from ET0_p, ET0_h and ET0_h.c for three stations
located at different altitudes.



- 4 Figure 6. Monthly variations of C_{adj} with respect to altitude; the black contour line defines the
- 5 original Hargreaves Calibration Parameter C value of 0.0023; stations are binned to classes of
- 6 altitude from 100 to 2300 m every 100 m; white areas denote classes of altitude with no
- 7 station available.



Figure 7. Station-wise monthly third-order polynomial fit of the Hargreaves Calibration Parameter C_{adj} against altitude; the blue dotted line indicates the original C value of 0.0023.



4 Figure 8. Spatially interpolated C_{adj} values for January 1st (a) and July 1st (b).



Figure 9. Climatological daily mean ET0 from 1961-2013 (a); example of a daily field of ET0
on August 8th 2013 (b).





Figure 10. Upper panel: absolute anomalies of ET0 sum in July 1983 (a) and July 1979 (b)
with respect to the climatological mean in July from 1961-2013; lower panel: corresponding
relative anomaly (c, d).





3

Figure 11. July 2012 monthly mean ET0 based on C_{adj} values – ET0_h.c (a), using the original C of 0.0023 for the whole grid ET0_h (b) and the corresponding absolute (c) and relative bias (d); the dots in (a) and (b) denote for the PM ET0 at the stations.







3 Figure 13. ET0 fields of AET (a, d) and INCA (b, e) and station wise PM ET0 on June 4th

- 4 2013 and July 23rd 2013 and corresponding differences at grid points closest to a station with
- 5 PM ET0 of both datasets displayed as boxplots (c, f).
- 6



Figure 14. Station-wise linear regression coefficient of the TOA radiation - Global Radiation ratio against the square root of the Diurnal Temperature Range $(T_{max}-T_{min})$ against altitude represented by black dots in January, April, July and October.



Figure 15. ET0 response to varying Daily Mean Temperature and Diurnal Temperature
Range; ET0 values are calculated with 1st of April Top of the Atmosphere Radiation and the
original C value of 0.0023.