1 Rainfall erosivity estimation based on rainfall data

2 collected over a range of temporal resolutions

- 3 S. Yin^{1, 2, *}, Y. Xie^{1, 2}, B. Liu^{1, 2} and M. A. Nearing³
- 4 [1]{State Key Laboratory of Earth Surface Processes and Resource Ecology, Beijing Normal
- 5 University, Beijing 100875, China}
- 6 [2]{School of Geography, Beijing Normal University, Beijing 100875, China}
- 7 [3]{USDA-ARS Southwest Watershed Research Center, Tucson 85719, U.S.A.}
- 8 Correspondence to: S. Yin (yinshuiqing@bnu.edu.cn)

9

10

Abstract

- 11 Rainfall erosivity is the power of rainfall to cause soil erosion by water. The rainfall
- erosivity index for a rainfall event, EI₃₀, is calculated from the total kinetic energy and
- maximum 30 minute intensity of individual events. However, these data are often
- unavailable in many areas of the world. The purpose of this study was to develop models
- based on commonly available rainfall data resolutions, such as daily or monthly totals, to
- rainfall erosivity. Eleven stations with one-minute temporal resolution rainfall data
- 17 collected from 1961 through 2000 in the eastern half of China were used to develop and
- calibrate 21 models. Seven independent stations, also with one-minute data, were utilized to
- validate those models, together with 20 previously published equations. The models in this
- 20 study performed better or similar to models from previous research to estimate rainfall
- 21 erosivity for these data. Using symmetric mean absolute percentage errors and
- Nash-Sutcliffe model efficiency coefficients, we can recommend 17 of the new models that
- had with model efficiencies ≥ 0.59 . Prediction capabilities were generally better using
- 24 higher resolution rainfall data as inputs at a given erosivity time scale. Also, using
- equations with the finest data resolution possible, and aggregating or summing results for
- 26 finer erosivity time scales, gave the best results.

1. Introduction

1

Soil erosion prediction models are effective tools for helping to guide and inform soil 2 conservation planning and practice. The most widely used soil erosion models used for 3 conservation planning are derived from the Universal Soil Loss Equation (USLE) 4 (Wischmeier and Smith, 1965, 1978). These models include the USLE, the Revised USLE 5 (RUSLE) (Renard et al., 1997), and RUSLE2 (Foster, 2004). Adaptations of the USLE have 6 7 also been developed for use in other parts of the world, including, for example, Germany (Schwertmann et al., 1990), Russia (Larionov, 1993), and China (Liu et al., 2002). For 8 9 example, the Chinese Soil Loss Equation (CSLE) was successfully utilized in the first national water erosion sample survey in China (Liu et al., 2013). 10 These models have in common a rainfall erosivity factor (R), which reflects the potential 11 capability of rainfall to cause soil loss from hillslopes, and which is one of the most important 12 basic factors for estimating soil erosion. In its simplest form, the R factor is as an average 13 annual value, calculated as a summation of event-based energy-intensity values, EI₃₀, for a 14 15 location divided by the number of years over which the data was collected. EI₃₀ is defined as the product of kinetic energy of rainfall and the maximum contiguous 30-min rainfall 16 intensity during the rainfall event. It is the basic rainfall erosivity index that was developed 17 by Wischmeier (1958) originally for the USLE, and is still widely used in other erosion 18 19 prediction models (e.g., RUSLE, RUSLE2), with some modifications and improvements. Wischmeier (1976) suggested that more than 20 years' rainfall data are needed to calculate 20 average annual erosivity to include dry and wet periods. 21 Determination of the maximum contiguous 30-min rainfall intensity during the rainfall 22 event is a relatively straightforward process, although it requires a temporally detailed rainfall 23 record for a storm. Determination of the kinetic energy of a storm is more complex. 24 Kinetic energy (KE) is generally suggested to indicate the ability of a raindrop to detach 25 26 soil particles from a soil mass (e.g., Nearing and Bradford, 1985). Since the direct 27 measurement of KE requires sophisticated and costly instruments, several different estimating methods have been developed that estimate KE based on rainfall intensity (I) 28 using logarithmic, exponential, or power functions. The original 1978 release of the USLE 29

- 1 utilized a logarithmic function (Wischmeier and Smith, 1978) that was based on rainfall
- 2 energy data published by Laws and Parsons (1943). Brown and Foster (1987) re-evaluated
- 3 this relationship and recommended the use of an exponential relationship, which was
- 4 subsequently used in RUSLE (Renard et al., 1997).
- 5 McGregor et al. (1995) compared the KE equations used in the USLE and RUSLE with
- 6 the results from the equation and data of McGregor and Mutchler (1976), which was
- 7 developed based on 29 standard recording rain gauges in the Goodwin Creek Watershed in
- 8 northern Mississippi, USA. The results showed that the annual erosivities predicted by the
- 9 equation of McGregor and Mutchler (1976) and the USLE were almost identical, whereas the
- 10 RUSLE predicted values that were about 8% lower. McGregor et al. (1995) suggested that the
- equation of Brown and Foster (1987) be modified, changing the value of the exponential
- function to -0.082 rather than -0.05 that was used in RUSLE. Foster (2004) used the -0.082
- value in RUSLE2, as follows:

14
$$e_r = 0.29[1 - 0.72 \exp(-0.082i_r)]$$
 (1)

- where e_r is the estimated unit rainfall kinetic energy (MJ ha^{-1} mm⁻¹) and i_r is the rainfall
- intensity (mm h⁻¹) at any given time within a rainfall event (usually taken as one minute for
- computational purposes, with average intensity representative of the time increment).
- Other work has been done to evaluate the relationships between rainfall intensity and KE.
- 19 After reviewing more than 20 exponential KE vs. I relationships based on natural rainfall data
- observed in a variety of climate classifications, van Dijk et al. (2002) derived:

$$e_{r} = 0.283[1 - 0.52 \exp(-0.042i_{r})]$$
 (2)

- Salles et al. (2002) suggested using a power law KE_{time} vs. I expression wherein the
- constants of the power law were different for convective rain and stratiform rain types. It is,
- 24 however, often difficult to define if a storm should be classified as convective or stratiform
- based on the breakpoint data alone. (Breakpoint data is fine resolution information on time
- during a rainfall event with associated cumulative rainfall depth. The term breakpoint refers
- 27 to times when there are detectible changes in rainfall intensity as shown by a change in the

- slope of the cumulative rainfall curve. It originates from the time that rainfall records were
- 2 read from recording pen charts.)
- 3 Preliminary analysis (not shown) of our data from China indicated that the van Dijk
- 4 equation resulted on average in similar R values to those from RUSLE2, slightly lower R
- 5 values compared to USLE, and much greater R values than given by RUSLE. The Salles et
- al. (2002) equations produced on average much greater values of erosivity than did all of the
- 7 other equations. In general, the RUSLE2 value produced results in the mid-range of all of
- 8 these equations.
- 9 The temporal resolution of rainfall data across the world varies greatly (Sadeghi et al.,
- 2011; Sadeghi and Tavangar, 2015; Oliveira et al., 2012; Panagos et al., 2015), even within
- countries with extensive rainfall monitoring programs. In the United States, for example,
- intra-storm, temporally detailed data (historically taken on pen recording charts, now taken as
- one-minute digital data) are only available at limited stations, whereas daily data are common
- 14 (Nicks and Lane, 1995; Flanagan et al., 2001). There is a need for developing models for
- application in all areas of the world in order to produce erosivity maps that can be used for
- evaluating soil erosion rates (e.g., Sadeghi et al., 2011, Sadeghi and Tavangar, 2015; Oliveira
- 17 et al., 2012; Panagos et al., 2015).
- In Europe, Panagos et al. (2015) undertook the task to develop an erosivity map for
- Europe based on data from 1541 precipitation stations with temporal resolutions of 5 to 60
- 20 min. To use data that had been reported at the different time resolutions they had to apply
- adjustment factors to the data, which they reported to have introduced some uncertainty into
- 22 the estimations. Sadeghi and Tavangar (2015) evaluated various erosivity estimation indices,
- including Fournier (Fournier, 1960), modified Fournier (Arnoldus, 1977), Roose (1977) and
- Lo (Lo et al., 1985), using data from 14 stations in Iran. They evaluated annual, seasonal
- and monthly information. Similarly, the work in Brazil summarized by Oliveira et al. (2012)
- 26 highlighted several studies that used various estimations of erosivity based on various types
- of data and interpolations.
- Other innovative ways have been advanced to produce better mappings of erosivity,
- including the use of daily (Fan et al., 2013) or 3 hour (Vrieling et al., 2010 and 2014) data

- from the TRMM Multi-satellite Precipitation Analysis (TRMM).
- 2 Other efforts to address this problem have been made by developing simpler methods to
- 3 estimate rainfall erosivity by using daily (Richardson et al., 1983; Yu, 1998; Capolongo et al.,
- 4 2008), monthly (Arnoldus, 1977; Renard and Freimund, 1994; Yu and Rosewell, 1996; Ferro
- 5 et al., 1999), or annual rainfall data (Lo et al., 1985; Renard and Freimund, 1994; Yu and
- 6 Rosewell, 1996; Bonilla and Vidal, 2011). Generally the technique has been to develop a
- 7 simple empirical relationship using limited breakpoint data and then to extend the analyses to
- 8 wider areas and longer periods with coarser temporal resolution rainfall data
- 9 (Angulo-Martinez and Begueria, 2012; Ma et al., 2014; Ramos and Duran, 2014;
- Sanchez-Moreno et al., 2014).
- Several simpler models for estimating rainfall erosivity from coarse resolution data have
- also been developed in China in specific areas, including the Loess Plateau (Wang, 1987; Sun,
- 13 1990), Fujian Province (Huang et al., 1992; Zhou et al., 1995) and Anhui Province (Wu,
- 14 1994). Wang et al. (1995) developed a series of simplified equations at several time scales
- by utilizing stations located in different areas of China. In China the specifications for
- surface meteorological observations by the China Meteorological Administration (China
- Meteorological Administration, 2003) have required since the 1950s that the maximum 60
- and 10 minute rainfall amounts, $(P_{60})_{day}$ and $(P_{10})_{day}$ be compiled, hence these data are readily
- available in China. The measurements were made using siphon-method, self-recording rain
- 20 gauges. Because of this, there is an interest in China to utilize the maximum daily 10 and 60
- 21 minute rainfall intensities, $(I_{10})_{day}$ and $(I_{60})_{day}$, to calculate erosivity.
- There have been several other research efforts to estimate erosivity based on Chinese
- data, many of which are published only in Chinese. These include an daily or sub-daily
- 24 models (Yin et al., 2007; Zhang et al., 2002a; Xie et al., 2001; Zhang et al., 2002b) and
- 25 monthly or annual models (Zhang and Fu, 2003). Zhang and Fu (2003) compared five
- 26 models for estimating annual average rainfall erosivity, including one model using daily
- 27 rainfall (Zhang et al., 2002b) and four models using monthly or annual rainfall (Zhang and Fu,
- 28 2003). They demonstrated that the model using daily rainfall performed best and that there
- were no significant differences among the other four models. Xie et al. (2015) found that

- the daily erosivity model with information on $(I_{60})_{day}$ improved the daily EI_{30} index
- 2 estimation significantly when compared with that using only daily rainfall totals.
- Renard and Freimund (1994) developed two power law models for the continental
- 4 United States using average annual rainfall and a Modified Fournier Index reflecting seasonal
- 5 variation in precipitation. Using data from 29 sites in southeastern Australia, Yu and
- 6 Rosewell (1996) calibrated the two models developed by Renard and Freimund (1994) and
- 7 recommended the model using average annual rainfall as input for the estimation of average
- 8 annual erosivity because of similar model efficiency as compared with the model using the
- 9 Modified Fournier Index and the ready availability of annual rainfall data.
- The objectives of this study were three-fold: (1) calibrate methods of estimating erosivity
- for time scales ranging from daily to average annual based on different temporal resolutions
- of rainfall data from 11 calibration stations with one-minute resolution data; (2) compare
- models in this study with those published in previous research, based on seven independent
- validation stations using the same data types; and (3) determine the most accurate methods,
- based on these data, for calculating different time scales of erosivity when different temporal
- resolutions of rainfall data are available. Note that, in this paper, we use the term "time
- scales" when discussing the erosivity values (equation outputs) and "resolution" (equation
- inputs) when referring to the rainfall input data, for clarity. Although several studies have
- been conducted on this topic in the past, no study used as comprehensive a data set collected
- 20 over this wide geographic area of China to evaluate the wide range of erosivity time scales
- 21 needed for erosion work, and utilizing such a wide range of temporal resolution rainfall data
- as the independent variable.

2. Data and Methods

24 **2.1 Data**

- Data collected at 18 stations by the Meteorological Bureaus of Heilongjiang, Shanxi,
- Shaanxi, Sichuan, Hubei, Fujian, and Yunnan provinces and the municipality of Beijing were
- used (Fig.1, Table 1). These stations were distributed over the eastern half of China.
- One-minute resolution rainfall data (Data M) were obtained by using a siphon, self-recording
- rain gauge. The data collection period began in 1971 for Wuzhai (53663) and Yangcheng

- 1 (53975) in Shanxi Province and from 1961 for the remaining 16 stations. The data records
- 2 ended in 2000 for all stations. Quality control of Data M was done to select the best
- 3 observation years using the more complete data sets of daily rainfall totals, Data D, which
- 4 were observed by simple rain gauges at the same stations. Data M was compared with Data
- 5 D on a day-by-day basis, and those days with deviation exceeding a certain criterion were
- 6 marked as questionable and were not used in this analysis (Wang et al., 2004). The criterion
- 7 used was that the data were considered good when the absolute deviation between Data M
- 8 and Data D was less than 0.5 mm when the daily rainfall amount was less than 5 mm and no
- 9 more than 10% when the daily rainfall amount was greater than or equal to 5 mm. Data M
- in the earlier years of record tended to have more days with missing or suspicious
- observations. These totals of Data M and Data D were compared year-by-year to determine
- which years could be designated as "common" years for use in this study, with an effective
- year having a relative deviation for yearly rainfall amount of no more than 15%. There
- were at least 29 common years for all 18 stations, and seven stations had common years of at
- least 38 years (Table 1). Note that though there were missing data in the information used,
- Data D was only used for quality control purposes and the data used in the analysis, Data M,
- were internally consistent in that only the data from common years were used in all
- 18 comparisons and evaluations reported.
- Data M were used to calculate the event-based EI₃₀ values as a function of the calculated
- 20 kinetic energy and maximum 30 minute rainfall intensity (Foster, 2004). These were treated
- as observed values and summed to obtain the erosivity factors, R, for daily, month (individual
- 22 month totals), year (individual year totals), average monthly (one value for each month at
- each station), and average annual (one value for each station) time scales. Total rainfall
- event depth values were also compiled into the other temporal resolutions of rainfall data,
- including correspondent daily, month, year, average monthly, and average annual resolutions.
- For the eight stations in the northern part of China (including stations in Heilongjiang, Shanxi,
- 27 Shaanxi provinces and Beijing municipality), only the periods from May through September
- were used because the siphon, self-recording rain gauges were not utilized in the winter to
- 29 avoid freeze damage. Percentages of precipitation during May through September to total

- annual precipitation varied from 75.6 to 89.2% for these eight northern stations. Data M for
- the full 12 month year were used from the remaining ten stations located in the southern parts
- 3 of China.

10

11

12

13

14

15

16

17

18

19

20

26

27

- 4 Eleven stations, including Nenjiang, Wuzhai, Suide, Yan'an, Guangxiangtai, Chengdu,
- 5 Suining, Neijiang, Fangxian, Kunming, and Fuzhou, marked with dots in Fig. 1, were used to
- 6 calibrate the models (Table 1). The other seven stations, including Tonghe, Yangcheng,
- 7 Miyun, Xichang, Huangshi, Tengchong, and Changting, marked with triangles in Fig. 1, were
- 8 used to validate the models.

2.2 Calculation of the R factor at different time scales

Different time scales for RUSLE2 erosivity, R, including event, daily, month, year, average monthly, and average annual, were calculated based on the one-minute resolution data (Data M). Recall that "month" and "year" refer to individual months and years, and not averages. EI₃₀ (MJ mm ha⁻¹ h⁻¹) is the rainfall erosivity index for a rainfall event, where E is the total rainfall kinetic energy during an event and I₃₀ is the maximum contiguous 30-min intensity during an event (Wischmeier and Smith, 1978). An individual rainfall event was defined as a period of rainfall with at least six preceding and six succeeding non-precipitation hours (Wischmeier and Smith, 1978). An erosive rainfall event was defined as one with rainfall amounts greater than or equal to 12 mm, following Xie et al. (2002). We used the equation recommended by Foster (2004) for RUSLE2 to calculate the kinetic energy of the storms, which used Eq. 1 combined with:

$$E = \sum_{r=1}^{n} (e_r \cdot P_r)$$
 (3)

where e_r is the estimated unit rainfall kinetic energy (from Eq. 1) for the r^{th} minute (MJ ha⁻¹

23 mm⁻¹); P_r is the one-minute rainfall amount for the r^{th} minute (mm); r=1, 2, ..., n represents

each 1-min interval in the storm; and i_r is the rainfall intensity for the r^{th} minute (mm h^{-1}).

25 The Foster (2004) equations were chosen because they are currently used for erosion

assessment for RUSLE2 in the United States and for the CSLE in China, and it appears to

give results similar to the original USLE and in the mid-range of other equations that have

been developed, as was discussed in the Introduction.

- Our evaluation included 4 models for events and one for daily erosivities. Event
- 2 models were simply models to predict individual event erosivities, regardless of whether they
- 3 occurred in one or more days, and regardless of whether more than one event occurred in a
- 4 day. For the daily model, rainfall erosivity for each day, R_{day}, was calculated following the
- 5 method by Xie et al. (2015). When a day had only one erosive event and this event began
- 6 and finished during the same day, then

$$R_{\text{day}} = EI_{30} \tag{4}$$

8 When more than one full rainfall event happened during one day, then

$$R_{day} = \sum_{i=1}^{n} E_{event_i} \cdot (I_{30})_{event_i}$$
(5)

- where n is the number of rainfall events during the day, and E_{event_i} and $(I_{30})_{event_i}$ are the total
- rainfall energy and the maximum contiguous 30-min intensity, respectively, for the ith event.
- When only one part of a rainfall event occurred during one day, then

$$R_{\text{day}} = E_{\text{day_d}} \cdot (I_{30})_{\text{event}}$$
 (6)

- where E_{day_d} is the rainfall energy generated by the part of rainfall occurred during the d^{th} day
- and $(I_{30})_{event}$ is the maximum contiguous 30-min intensity for the entire event. The
- remaining situations were calculated by combining Eqs. (5) and (6).
- Month, year, average monthly, and average annual R values were summed from the
- event EI₃₀ index by erosive storms that occurred during the corresponding period. They
- were calculated by using Eqs. (7)-(10).

20
$$R_{\text{month,y,m}} = \sum_{j=0}^{J} (EI_{30})_{y,m,j}$$
 (7)

$$R_{\text{ave_month,m}} = \frac{1}{Y} \sum_{y=1}^{Y} R_{\text{month,y,m}}$$
(8)

$$R_{\text{year, y}} = \sum_{m=1}^{12} R_{\text{month, y, m}}$$
(9)

$$R_{\text{ave_annual}} = \sum_{y=1}^{Y} R_{\text{year, y}}$$
(10)

- where Y is the number of years of record, $(EI_{30})_{y,\,m,\,j}$ is the EI_{30} value for the j^{th} event in
- 3 the m^{th} month of the y^{th} year; $R_{month, y, m}$ is the R value for the m^{th} month of the y^{th} year;
- 4 $R_{ave_month, m}$ is the average R value for the mth month over the years of record; $R_{year, y}$ is R
- value in the y^{th} year; and R_{ave_annual} represents average annual erosivity, correspondent to
- 6 the annual average R-factor in USLE-type models (MJ mm ha⁻¹ h⁻¹ a⁻¹).

2.3 Model calibration using different resolutions of rainfall data

8 A total of 21 models were calibrated for different time scales of R, based on varying resolutions of rainfall data (Table 2). Event amount Pevent and peak intensity indices were 9 10 derived based on the one-minute resolution data, including I₁₀, I₃₀, and I₆₀, which were the maximum contiguous 10-min, 30-min, and 60-min intensities, respectively, within an event. 11 I_{10} and I_{60} were used because of their close correlation with the daily $(I_{10})_{day}$ and $(I_{60})_{day}$ 12 values commonly reported by the Chinese Meteorological Administration (2003). Four 13 14 event-based models were developed relating measured EI₃₀ to estimated EI₃₀ (Table 2). Similar models for the other time scales were also calibrated (Table 2). Data was organized 15 in various ways. P_{day}, P_{month}, P_{year}, P_{ave_month}, and P_{annual} were the daily, (individual) month, 16 (individual) year, average monthly, and average annual rainfall amounts, respectively, for a 17 given station. (P₆₀)_{month} and (P₆₀)_{year} represented maximum contiguous 60-min rainfall 18 amount observed within a specific month or year, respectively. (P₆₀)_{month max} represented the 19 maximum of $(P_{60})_{month}$ values for each month of the year over the entire period of record. 20 The average of $(P_{60})_{month}$ values was $\overline{(P_{60})_{month}}$. Each station had 12 values of $(P_{60})_{month_max}$ 21 and $\overline{(P_{60})_{\mathit{month}}}$, one for each month of the year. $(P_{60})_{\mathit{year_max}}$ was the maximum value of 22 $(P_{60})_{year}$ and $\overline{(P_{60})_{\it annual}}$ was the average of $(P_{60})_{year}$ values. Each station had only one value 23 for these two parameters. P_{1440} was daily rainfall amount and its related index, including 24 $(P_{1440})_{month}, (P_{1440})_{year}, (P_{1440})_{month_max}, \ \overline{(P_{1440})_{month}}, (P_{1440})_{year_max}, \ and \ \overline{(P_{1440})_{annual}}, \ which$ 25 were defined in an analogous way as were correspondent values for P₆₀. 26

- 1 The parameters were obtained station-by-station for calibration stations first and
- 2 parameters for linear relationships were compared to determine if data from all stations could
- 3 be pooled together to conduct the regressions (Snedecor and Cochran, 1989). Parameters
- 4 for power-law models, including Month I, Year I, Average Monthly I, and Annual I (Table 2),
- 5 were obtained by using the Levenberg-Marquardt algorithm (Seber and Wild, 2003). Note
- 6 that models coded as "Annual" refer to annual averages.

2.4 Models published in previous research for comparison

- 8 In addition to the 21 new models presented here, 20 representative models developed
- 9 using data from China in previous research were also compared (Table 3). For these models
- other variables were needed. P_{d12} was average daily erosive rainfall total and P_{y12} was
- average annual erosive rainfall total. P_{5-10} represented the rainy season rainfall amount from
- May through October for a specific year. $P_{>10 \text{ year}}$ was the summation of daily rainfall no less
- than 10 mm in a year and $P_{\geq 10annual}$ was the annual average for $P_{\geq 10year}$.
- Models by Wang (1987) and Wang et al. (1995) utilized (m t cm ha⁻¹ h⁻¹ a⁻¹) as the units
- of R for comparison. A conversion factor of 10.2 was multiplied to convert R to (MJ mm
- 16 ha⁻¹ h⁻¹ a⁻¹). Later, models by Wu (1994) and Zhou et al. (1995) utilized (J cm m⁻² h⁻¹ a⁻¹).
- 17 Their conversion factor, 10, was multiplied to convert (J cm m⁻² h⁻¹ a⁻¹) to (MJ mm ha⁻¹ h⁻¹
- 18 a^{-1}).

19

7

2.5 Assessment of the models

- After the 21 models in Table 2 were calibrated with the data from the 11 calibration
- stations, the performance for these models was assessed and compared with the performance
- 22 of the previously published models listed in Table 3 using data from the seven validation
- stations. Symmetric mean absolute percentage error (MAPE_{sym}) and the Nash-Sutcliffe
- 24 model efficiency coefficient (ME) were utilized to reflect the deviation of the calculated
- values from the observation data. MAPE_{sym} is considered to be superior to MAPE, since it
- 26 corrects the problem of MAPE's asymmetry and the possible influence by outliers
- 27 (Makridakis and Hibon, 1995). MAPE_{sym} was calculated as follows (Armstrong, 1985):

1
$$MAPE_{sym} = \frac{100}{m} \sum_{k=1}^{m} \left| \frac{R_{sim}(k) - R_{obs}(k)}{(R_{sim}(k) + R_{obs}(k))/2} \right|$$
 (11)

- where R_{obs} is the measured rainfall erosivity for the k^{th} period of time, such as month, year, or
- annual, based on one-minute resolution rainfall data. R_{sim} is the estimated value for the
- 4 same period using equations in Tables 2 or 3.
- 5 ME was calculated as follows (Nash and Sutcliffe, 1970):

6
$$ME = 1 - \frac{\sum_{k}^{m} [R_{sim}(k) - R_{obs}(k)]^{2}}{\sum_{k}^{m} [R_{obs}(k) - \overline{R_{obs}}(k)]^{2}}$$
 (12)

- 7 ME compares the measured values to a perfect fit (1:1 line). Hence, ME is a combined
- 8 measure of linearity, bias, and relative differences between the measured and predicted values.
- 9 The maximum possible value for ME is 1. The higher the value the better the model fit.
- An efficiency of ME < 0 indicates the single value (the mean) for the measured data's mean is
- a better predictor of the data than the model.
- MAPE_{sym} and ME were calculated based on all the data for the seven validation stations.
- 13 Individual values for all stations were also determined.

14 3. Results and discussion

3.1 Basic data results

15

20

- Average annual rainfall ranged from 449.7 to 1728.1 mm, and average annual erosivity
- varied from 781.9 to 8258.5 MJ mm ha⁻¹ h⁻¹ yr⁻¹ (Table 1). A total of 11,801 erosive events
- were used in the study. The eleven stations had 6,376 erosive events, which were used to
- calibrate the models, and the seven validation stations had 5,425 erosive events.

3.2 Validation and calibration for the new models

- Parameters, MAPE_{sym}, ME, and coefficients of determination, R², for calibration models
- are shown in Table 4. The model Event IV, with a combination of event rainfall amount
- P_{event} and I_{30} , when I_{30} was divided into two categories, with a threshold of 15 mm h^{-1} ,
- 24 performed slightly better in terms of the MAPE_{sym} value than did Event II, which used the

- same variables but did not separate the rainfall events by intensity. The performance of
- 2 Daily I with daily rainfall amount and $(I_{10})_{daily}$ was similar with that for Event I with event
- 3 rainfall amount and I_{10} .
- 4 Using only total rainfall amount as input, the models for month, year, and average
- 5 monthly scales were statistically significant, with determination coefficients R² greater than
- 6 0.66 (Table 4 and Fig. 2). However, their capabilities in predicting erosivity were limited
- 5 based on the ME values (Table 4). Data from Tengchong and Xichang, located in the
- 8 southwestern part of China, were in part responsible for these low ME values. Table 5 shows
- 9 the individual values of MAPE_{sym} and ME for the seven validation stations, with average of
- each using all the stations and using only the five without Tengchong and Xichang. Results
- were much better without those two stations. The model Annual I, which use only average
- annual precipitation values, performed reasonably well, considering that the only input
- required was annual average precipitation (Table 4). If other information is available, other
- models performed better, but Annual I may be used if only average annual precipitation is
- 15 available at a location.
- In general, we found that the finer the temporal resolution of the rainfall input data, the
- better the models performed for a given erosivity time scale. Models that used some
- expression of maximum daily rainfall amount (Month III, Year III, Average Monthly III,
- Average Monthly V, Annual III, and Annual Model V) predicted the R factor better than those
- 20 models with only total rainfall amount as input (Table 4), for a specific time scale. Models
- based on rainfall amount and maximum contiguous 60-min rainfall amounts (Month II, Year
- 22 II, Average Monthly II, Average Monthly IV, Annual II, and Annual IV) generally performed
- better than corresponding models with rainfall amount and maximum daily rainfall amount
- 24 (Month III, Year III, Average Monthly III, Average Monthly V, Annual III), except for Annual
- 25 Model V, which performed well. The reason for that may be due to the fact that maximum
- 26 contiguous 60-min rainfall amounts may have been more highly correlated with maximum
- 27 contiguous 30-min intensity in an event as compared to just the maximum daily rainfall
- amount. The only annual average model that did not perform well was Annual III, which
- utilized $(P_{1440})_{year max}$, the maximum of $(P_{1440})_{year}$ values for each year over the entire period

- 1 of record.
- 2 Tables 3 and 4 show the models only evaluated for the erosivity temporal scale that
- 3 corresponds to the input data resolution. For example, the event-based models are only
- 4 evaluated on the basis of events modelled. We also evaluated the models at the aggregate
- 5 scale. For example, EI₃₀ estimated from event-based models were summed up to month and
- 6 year values, in order to evaluate if fine-scale temporal resolution data improves also the
- 7 accuracy of aggregate erosivity measures (Table 6). Two important facts emerge. First,
- 8 when the models are applied at the aggregated scale their predictions get better. Secondly, the
- 9 models that use finer resolution of input data predict better for the same erosivity time scale
- 10 compared to models using coarser resolution input data. This has important implications for
- 11 model applications.

3.3 Seasonal variations of erosivity

- Taking Tonghe and Tengchong as examples, it was found that Month II generated better
- results than Month III, which performed better than Month I, in estimating seasonal and
- yearly variations (Figs. 3a, b and Figs. 4a, b). Correspondingly, seasonal variations by
- Average Monthly II were closer to observations as compared to those by Average Monthly III
- and Average Monthly I (Figs. 3c and d). Year II and Year III produced better simulations of
- yearly variations compared with Year I, especially for the Tengchong station (Figs. 4c, d).
- Seasonal variations by monthly and average monthly models (Fig. 3) and yearly
- variations by month and year models (Fig. 4) were demonstrated using Tonghe and
- 21 Tengchong stations. Month I and Average Monthly I captured the general seasonal pattern
- for the Tonghe station (Figs. 3a and c), but the simulated peak value of monthly R was in July
- for the Tengchong station, which was not consistent with observation. Month I and Year I
- captured the general year-to-year pattern for the Tonghe station (Figs. 4a and c), but they
- overestimated yearly erosivity for the Tengchong station (Figs. 4b and d). Month I and Year
- I also overestimated the yearly erosivity for the Xichang station. The reason for the
- overestimation for the Tengchong and Xichang stations was mainly due to two aspects: (1)
- the percentages of erosive rainfall amount to total rainfall at those stations were lower (71.9%

- and 76.9%, respectively), suggesting that more events occurred with small amount totals that
- do not generate soil loss (Table 5); and (2) the ratio for event EI₃₀ to event rainfall amount P
- 3 was lower (3.6 and 4.1, respectively), inferring that rainfall intensity and erosivity generated
- 4 by per amount of rainfall were both less than that of the other stations (Table 5). This result
- 5 was consistent with that of Nel et al. (2013), which demonstrated that two models using
- 6 annual average rainfall and average monthly rainfall substantially overestimated annual
- 7 erosivity in the west coast and the Central Plateau of Mauritius, which also have a large
- 8 amount of non-erosive rainfall. Rainfall erosivity reflected a combined effect of rainfall
- 9 amount and rainfall intensity. Therefore, it was reasonable that rainfall amount only
- 10 explained part of rainfall erosivity variation at these stations.

3.4 Evaluation of models from previous research with current models

- Generally speaking, the more accurate the resolution of input data for models, the better
- was the performance of the model for estimating at the same temporal erosivity scale. For
- example, the models with daily rainfall amount and daily maximum 60-min or 10-min
- amount as inputs performed better than models with only daily rainfall amount as input.
- Similarly, results from models with maximum 60-min rainfall amount (Month II, Year II,
- Average Monthly IV, and Annual IV) were generally better than those with maximum daily
- rainfall amount (Month III, Year III, Average Monthly V, and Annual V, Fig. 5).
- Wang et al. (1995) used a combination of event rainfall amount P_{event} and I₁₀ for event
- scale models. The model using the I_{10} data was divided into two categories, with a threshold
- of 10 mm h⁻¹, performed best among the four models compared (Table 3). That model had
- similar performance with Event IV in this study (Table 4), which also divided the data by a
- 23 rainfall intensity threshold.

- There were three kinds of daily scale models, according to the number and type of inputs
- required. Two models used daily rainfall amount (Zhang et al., 2002b and Xie et al., 2015),
- 26 two models used daily rainfall amount and daily maximum 10-min intensity (Xie et al., 2001
- and Daily I), and one model used daily rainfall amount and daily maximum 60-min intensity
- 28 (Xie et al., 2015). The model with daily rainfall amount as input in Xie et al. (2015)

- 1 performed better than that of Zhang et al., (2002b) (Table 3). Daily I, which used daily
- 2 rainfall amount and daily maximum 10-min intensity as inputs in this study, performed better
- than the model in Xie et al., (2001). Models with an additional daily 10-min or 60-min
- 4 intensity index performed better than those with only a total rainfall amount (Table 3 and
- 5 Table 4).
- There were generally four groups of models for month, year, average monthly, and
- 7 annual scale models. The first group used linear regression (Sun et al., 1990; Wu, 1994;
- 8 Zhou et al., 1995) or a power law function (Zhang and Fu, 2003; Month I, Year I, Average
- 9 Monthly I, and Annual I) with only rainfall amount as input, so that the data required were
- relatively easy to collect. Models by Sun et al., (1990), Wu (1994) and Zhou et al. (1995),
- when they were used to estimate the monthly scale of R, had MAPE_{sym} values of 86.7, 60.2
- and 67.3% and ME of -0.63, 0.57 and 0.35, respectively (Table 3). When they were used to
- estimate annual scale of R, there was a tendency of underestimation, especially for the
- stations with larger erosivity (Figs. 5a, b). Four models by Zhang and Fu (2003)
- overestimated the R factor, with MAPE_{sym} varying between 34.6 and 60.8% and ME varying
- between -2.11 to 0.10 (Table 3, Fig. 5), which suggested the models' abilities were limited.
- 17 Two models by Zhang and Fu (2003) using the Modified Fournier Index generated poorer
- 18 results than the model by Zhang and Fu (2003) using average annual rainfall as input (Table
- 19 3), which was consistent with the findings of Yu and Rosewell (1996). The power law
- 20 models in this study, including Month I, Year I, Average Monthly I, and Annual I, tended to
- overestimate the R factor for the stations with larger erosivity (Fig. 5).
- The second group of models (Wang et al., 1995, Month II, Year II, Average Monthly IV,
- Annual IV) used linear regression with rainfall amount (total rainfall or total rainfall with
- 24 daily rainfall no less than 10 mm) and maximum 60-min rainfall as inputs. All these seven
- 25 models generated statistically significant results, with MAPE_{sym} for R with time scale
- intended for the model ranging from 11.5 to 36.0% and ME from 0.80 to 0.94 (Table 3 and
- 27 Table 4; Fig. 5).
- The third group used linear regression with rainfall amount and maximum daily rainfall
- as inputs (Month III, Year III, Average Monthly V, Annual V), which generated reasonable

- 1 results (Table 4) and a slightly overestimated annual R (Fig. 5). Overall they did not
- 2 perform as well as did the models in the second group (Table 4).
- The fourth group (Wang et al., 1995) used a combination of three indices, including
- 4 rainfall amount, maximum 60-min rainfall amount, and maximum daily rainfall amount as
- 5 inputs and generated good simulation results, however, there was no improvement compared
- 6 with the two models by Wang et al., (1995) in the second group (Table 3).

3.5 Applications and recommendations

- 8 The results of this study provide a multitude of options for dealing with the problem of
- 9 variations in available temporal resolutions of rainfall data from across the world for
- developing erosivity maps and databases. We present a series of 21 potential equations for
- use in estimating erosivity at time scales from event to average annual using input data
- resolution ranging from maximum ten minute rainfall intensity to average annual rainfall
- amount. Of the 21 equations we can recommend the use of 17. Equations Month I, Year I,
- and Average Monthly I, which use only total rainfall amounts for the respective time scales,
- all had low ME values and poor prediction capability (Table 4). Annual III, which is a
- linear function of average annual rainfall and the maximum daily precipitation over the
- 17 recording period, performed very poorly, with a negative ME value (Table 4).
- We found that using finer resolution data input produced better predictions of erosivity at
- a given output time scale. An exception was for the event-based models, where using I_{30}
- gave slightly better results than using I_{60} or I_{10} . However, we also found that using
- 21 equations with the finest data resolution possible, and aggregating or summing results for
- finer erosivity time scales, gave the best results (Table 6). In other words, if one were
- interested in average annual erosivity, but had rainfall data available for using the Daily I
- 24 model, then results are better using the Daily I model and summing results over the period of
- 25 data record rather than using Annual I-V models. It is also evident that predictions of
- 26 erosivity using Daily I improve as the time scale increases. In other words, the predictions
- of average annual erosivity calculated by summing the daily values from Daily I give a
- 28 higher level of fit than when using Daily I to estimate daily erosivity (Table 6).

- 1 Models in this study performed better or similar to models from previous research based
- 2 on these independent validation data.

4

Acknowledgments

- 5 The authors would like to thank the Heilongjiang, Shanxi, Shaanxi, Beijing, Sichuan, Hubei,
- 6 Fujian, and Yunnan Meteorological Bureaus for supplying rainfall data. This work was
- 7 supported by the National Natural Science Foundation of China (No. 41301281) and the
- 8 China Scholarship Council. USDA is an equal opportunity provider and employer.

9

10

References

- Angulo-Martinez, M. and Begueria, S.: Trends in rainfall erosivity in NE Spain at annual,
- seasonal and daily scales, 1955-2006. Hydrol. Earth Syst. Sc., 16(10), 3551-3559,
- 13 2012.
- Armstrong, J. S.: Long-range forecasting: From crystal ball to computer, 2nd edn., Wiley,
- 15 New York, 1985.
- Arnoldus, H. M. J.: Methodology used to determine the maximum potential average
- annual soil loss due to sheet and rill erosion in Morocco. FAO Soils Bull., 34, 39-51,
- 18 1977.
- 19 Bonilla, C. A., and Vidal, K. L.: Rainfall erosivity in Central Chile. J. Hydrol., 410,
- 20 126-133, 2011.
- Brown, L. C., and Foster, G. R.: Storm erosivity using idealized intensity distributions. Trans.
- 22 ASABE., 30(2), 379-386, 1987.
- Capolongo, D., Diodato, N., Mannaerts, C. M., Piccarreta, M.: Analyzing temporal
- changes in climate erosivity using a simplified rainfall erosivity model in Basilicata
- 25 (southern Italy). J. Hydrol., 356, 119-130, 2008.
- 26 China Meteorological Administration (CMA): Specifications for surface meteorological
- observation. Meteorology Publishing House, Beijing, 2003.
- Fan, J., Chen, Y., Yan, D., and Guo, F.: Characteristics of rainfall erosivity based on

- tropical rainfall measuring mission data in Tibet, China. J. Mountain Science, 10(6),
- 2 1008-1017, 2013.
- 3 Ferro, V., Porto, P., and Yu, B.: A comparative study of rainfall erosivity estimation for
- 4 southern Italy and southeastern Australia. Hydrolog. Sci. J., 44(1), 3-24, 1999.
- 5 Flanagan, D. C., Meyer, C. R., Yu, B., Scheele, D. L.: Evaluation and enhancement of the
- 6 CLIGEN weather generator. in: Proceedings-Soil Erosion Research for the 21st
- 7 Century, edited by Ascough II, J. C. and Flanagan, D. C., American Society of
- 8 Agricultural Engineers, St. Joeseph, 107-110, 2001.
- 9 Foster, G. R.: User's Reference Guide: Revised Universal Soil Loss Equation (RUSLE2).
- U.S. Department of Agriculture, Agricultural Research Service, Washington DC,
- 11 2004.
- Fournier, F.: Climate et erosion; la relation entre lerosion du sol par leau et les
- precipitations atmospheriques. Universitaires de France, Paris, 1960.
- Huang, Y. H., Lu., C. L., Zheng, T. F., Fu, Q., and Xu, J. J.: Rainfall erosivity index in
- southeastern Fujian. J. Soil Water Conserv., 6(4), 1-5, 1992.
- Larionov, G. A.: Erosion and Wind Blown Soil. Moscow State University Press, Moscow,
- 17 1993.
- Laws, O. J. and Parsons, D. A.: The relation of drop size to intensity. Trans. AGU, 24,
- 19 452-460, 1943.
- 20 Liu, B. Y., Guo, S. Y., Li, Z. G., Xie, Y., Zhang, K. L. and Liu, X. C.: Water erosion
- sample survey in China, Soil Water Conserv. China, 10, 26-34, 2013.
- 22 Liu, B. Y., Zhang, K. L., and Xie, Y.: An empirical soil loss equation. in:
- Proceedings-Process of soil erosion and its environment effect (Vol. II), 12th
- international soil conservation organization conference, Tsinghua University Press,
- 25 Beijing, 21-25, 2002.
- Lo, A., EI-Swaify, S. A., Dangler, E. W., and Shinshiro, L.: Effectiveness of EI₃₀ as an
- erosivity index in Hawaii, in: Soil Erosion and Conservation, edited by: EI-Swaify, S.
- A., Moldenhauer, W. C., and Lo, A., Soil Conservation Society of America, Ankeny,
- 29 384-392, 1985.
- Ma, X., He, Y. D., Xu, J. C., Noordwijk, M. V., and Lu, X. X.: Spatial and temporal

- variation in rainfall erosivity in a Himalayan watershed. Catena, 121, 248-259, 2014.
- 2 Makridakis, S., and Hibon, M.: Evaluating accuracy (or error) measures. Working paper,
- 3 INSEAD, Fontainebleau, France, 1995.
- 4 McGregor, K. C., and Mutchler, C. K.: Status of the R factor in north Mississippi, in: Soil
- 5 erosion: prediction and control. Soil and Water Conservation Society, Ankeny, IA,
- 6 pp.135-142, 1976.
- 7 McGregor, K. C., Bingner, R. L., Bowie, A. J., and Foster, G. R.: Erosivity index values for
- 8 northern Mississippi. Trans. ASABE., 38(4), 1039-1047, 1995.
- 9 Nash, J. E., and Sutcliffe, J. V.: River flow forecasting through conceptual models, Part 1:
- 10 A discussion of principles. J. Hydrol., 10(3), 282-290, 1970.
- Nearing, M. A. and Bradford, J. M.: Single waterdrop splash detachment and mechanical
- properties of soils. Soil Science Soc. of Am. J., 49, 547-552, 1985.
- Nel, W., Anderson, R. L., Sumner, P. D., Boojhawon, R., Rughooputh, S. D. D. V. and
- Dunputh, B. H. J.: Temporal sensitivity analysis of erosivity estimations in a high
- rainfall tropical island environment. Geogr. Ann. A, 95, 337-343, 2013.
- Nicks, A. D. and Lane, L. J.: Weather Generator. in: USDA-Water Erosion Prediction
- project: Hillslope profile and watershed model documentation, edited by Flanagan, D.
- 18 C. and Nearing, M. A. NSERL Report No. 10. USDA-ARS National Soil Erosion
- 19 Research Laboratory, West Lafayette, IN, 1995.
- Oliveira, P. T. S., Wendland, E., and Nearing, M. A.: Rainfall erosivity in Brazil: A review.
- 21 Catena, 100, 139-147. 2012.
- 22 Panagos, P., Ballabio, C., Borrelli, P., Meusburger, K., Klikc, A., Rousseva, S., Perčec
- Tadić., M., Michaelides, S., Hrabal kov á, M., Olsen, P., Aalto, J., Lakatos, M.,
- Rymszewicz, A., Dumitrescu, A., Beguer á, S., and Alewell C.: Rainfall erosivity in
- 25 Europe, Sci. Total Environ., 511, 801-814, 2015.
- Ramos, M. C., and Duran, B.: Assessment of rainfall erosivity and its spatial and temporal
- variabilities: Case study of the Pened & area (NE Spain). Catena, 123, 135-147, 2014.
- 28 Renard, K. G, and Freimund, J. R.: Using monthly precipitation data to estimate the R-
- 29 factor in the revised USLE. J. Hydrol., 15, 287-306, 1994.
- Renard, K. G., Foster, G. R., Weesies, G. A., McCool, D. K. and Yoder, D. C.: Predicting

- soil erosion by water. Agriculture Handbook 703, U.S. Department of Agriculture,
- 2 Agricultural Research Service, Washington DC, 1997.
- 3 Richardson, C. W., Foster, G. R., and Wright, D. A.: Estimation of erosion index from
- 4 daily rainfall amount. T. ASAE, 26(1), 153-156, 1983.
- 5 Roose, E.: Erosion et ruissellement en Afrique de louest-vingt annees de mesures en
- petites parcelles experimentales. Pour faire face à ce problème préoccupant,
- 7 I'ORSTOM et les Instituts Travaux et Documents de I'ORSTOM, No. 78,
- 8 O.R.S.T.O.M, Paris, 1977.
- 9 Sadeghi, S. H. R., and Tavangar, S.: Development of stational models for estimation of
- rainfall erosivity factor in different timescales. Nat. Hazards, 77, 429-443, 2015.
- Sadeghi, S. H. R., Moatamednia, M., and Behzadfar, M.: Spatial and Temporal Variations
- in the Rainfall Erosivity Factor in Iran. J. Agr. Sci. Tech., 13, 451-464, 2011.
- Salles, C., Poesen, J., and Sempere-Torres, D.: Kinetic energy of rain and its functional
- relationship with intensity. J. Hydrol., 257, 256-270, 2002.
- Sanchez-Moreno, J. F., Mannaerts, C. M., and Jetten, V.: Rainfall erosivity mapping for
- Santiago island, Cape Verde. Geoderma, 217, 74-82, 2014.
- 17 Schwertmann, U., Vogl, W., and Kainz, M.: Bodenerosion durch Wasser. Eugen Ulmer
- 18 GmbH & Co., Stuttgart, 1990.
- 19 Seber, G. A. F., and Wild, C. J.: Nonlinear Regression. John Wiley & Sons, Inc., Hoboken,
- 20 2003.
- 21 Snedecor, G. W., and Cochran, W. G.: Statistical Methods, 12th edn., The Iowa State
- University Press, Ames, 1989.
- Sun, B. P., Zhao, Y. N., and Qi, S.: Application of USLE in loessial gully hill area. Memoir
- of NISWC, Academia Sinica & Ministry of Water Conservancy, Yangling, 12, 50-59,
- 25 1990.
- Van Dijk, A. I. J. M., Bruijnzeel, L. A., and Rosewell, C. J.: Rainfall intensity-kinetic energy
- 27 relationships: a critical literature appraisal. J. Hydrol., 261, 1-23., 2002.
- Vrieling, A., Hoedjes, J. C. B., van der Velde, M.: Towards large-scale monitoring of soil
- erosion in Africa: Accounting for the dynamics of rainfall erosivity. Global Planet.
- 30 Change, 115, 33-43, 2014.

- 1 Vrieling, A., Sterk, G., de Jong, S. M.: Satellite-based estimation of rainfall erosivity for
- 2 Africa. J. Hydrol., 395, 235-241, 2010.
- Wang, B. M., Lu, Y. P., and Zhang, Q.: The color scanning digitizing processing system of
- 4 precipitation autographic record paper. J. Appl. Meteor. Sci., 15, 737-744, 2004.
- 5 Wang, W. Z., Jiao, J. Y., and Hao, X. P.: Distribution of rainfall erosivity R value in China.
- 6 J. Soil Water Conserv., 9(4), 5-18, 1995.
- 7 Wang, W. Z.: Index of ranfall erosivity (r) in loess area. Soil Water Conserv. China, 12,
- 8 34-40, 1987.
- 9 Wischmeier, W. H. and Smith, D. D.: Predicting rainfall erosion losses: A guide to
- conservation planning, Agriculture Handbook 537, U. S. Department of Agricultural,
- 11 Agricultural Research Service, Washington DC, 1978.
- Wischmeier, W. H. and Smith, D. D.: Predicting rainfall-erosion losses from cropland east
- of the Rocky Mountains, Agriculture Handbook 282, U. S. Department of Agricultural
- Research Service, Agricultural Research Service, Washington DC, 1965.
- Wischmeier, W. H., and Smith, D. D.: Rainfall energy and its relationship to soil loss. T.
- 16 Am. Geophys. Union, 39(3), 285-291, 1958.
- 17 Wu, S. Y.: Simplified method on calculation of runoff erosion force in Dabieshan
- mountainous area and its temporal and spatial distribution. Soil Water Conserv. China,
- 19 4, 12-13, 1994.
- 20 Xie Y., Liu B. Y., and Nearing M. A.: Practical thresholds for separating erosive and
- 21 non-erosive storms. T. ASAE, 45(6), 1843-1847, 2002.
- 22 Xie Y., Zhang, W. B., and Liu, B. Y.: Rainfall erosivity estimation using daily rainfall
- amount and intensity. Bull. Soil Water Conserv., 6, 53-56, 2001.
- 24 Xie, Y., Yin, S. Q., Liu, B. Y., Nearing, A. M., and Zhao, Y.: Daily rainfall erosivity model
- development in China. Submitted to J. Hydrol., 2015.
- Yin, S. Q., Xie, Y., Nearing, M. A., and Wang, C. G.: Estimation of rainfall erosivity using
- 5- to 60-minute fixed-interval rainfall data from China. Catena, 70(3), 306-312, 2007.
- Yu, B. and Rosewell, C. J.: A robust estimator of the R-factor for the universal soil loss
- 29 equation. T. ASAE, 39(2), 559-561, 1996.
- Yu, B.: Rainfall erosivity and its estimation for Australia's tropics. Aust. J. Soil Res., 36,

1 143-165, 1998.

- 2 Zhang, W. B., and Fu, J. S.: Rainfall erosivity estimation under different rainfall amount.
- 3 Resour. Sci., 25(1), 35-41, 2003.
- 4 Zhang, W. B., Xie, Y., and Liu, B. Y.: Estimation of rainfall erosivity using rainfall amount
- 5 and rainfall intensity. Geogr. Res., 21(3), 384-390, 2002a.
- 6 Zhang, W. B., Xie, Y., and Liu, B. Y.: Rainfall erosivity estimation using daily rainfall
- 7 amounts. Sci. Geogr. Sin., 22(6), 705-711, 2002b.
- 8 Zhou, F. J., Chen, M. H., and Lin, F. X.: The rainfall erosivity index in Fujian province. J.
- 9 Soil Water Conserv., 9(1), 13-18, 1995.

Table 1. Information for the 18 rainfall stations

Province	Station name	Lat.	Long.	Elevation (m)	Common years	No. of erosive events	Annual rainfall ^[3] (mm)	$R^{[4]}$ (MJ mm ha^{-1} $h^{-1} a^{-1}$)
Heilongjiang ^[1]	Nenjiang	49.17	125.23	243.0	30	343	485.8	1368.7
	Tonghe ^[2]	45.97	128.73	110.0	38	471	596.2	1632.5
Shanxi ^[1]	Wuzhai	38.92	111.82	1402.0	30	289	464.0	781.9
	Yangcheng ^[2]	35.48	112.4	658.8	30	340	605.9	1503.3
Shaanxi ^[1]	Suide	37.5	110.22	928.5	29	256	449.7	992.8
	Yan'an	36.6	109.5	958.8	39	411	534.6	1233.7
Beijing ^[1]	Guanxiangtai	39.93	116.28	54.7	40	434	575.0	3188.1
	Miyun ^[2]	40.38	116.87	73.1	37	476	648.1	3575.0
Sichuan	Chengdu	30.67	104.02	506.1	39	717	891.8	3977.0
	Xichang ^[2]	27.9	102.27	1590.9	40	998	1007.5	3021.0
	Suining	30.5	105.58	279.5	33	654	932.7	4091.3
	Neijiang	29.58	105.05	352.4	39	826	1034.1	5097.9
Hubei	Fangxian	32.03	110.77	427.1	31	563	829.5	2298.4
	Huangshi ^[2]	30.25	115.05	20.6	32	898	1438.5	6049.4
Yunnan	Tengchong ^[2]	25.02	98.5	1648.7	36	1205	1495.7	3648.9
	Kunming	25.02	102.68	1896.8	33	747	1018.8	3479.0
Fujian	Fuzhou	26.08	119.28	84.0	39	1136	1365.4	5871.1
	Changting ^[2]	25.85	116.37	311.2	31	1037	1728.1	8258.5

^[1] The eight stations in these provinces are located in the northern part of China and had one-minute resolution data collected from May through September. The remaining ten stations were based on data collected during the entire year. [2] Seven validation stations (The other 11 stations were calibration stations.) [3] Based on daily rainfall datasets collected during 1961-2000. [4] R in this case is the average annual erosivity.

1 Table 2. New models calibrated

Model codes	Models	Model codes	Models
Event I	$EI_{30} = \lambda_1 P_{event} I_{10}$	Average Monthly I	$R_{ave_month} = \alpha_3 P_{ave_month}^{\beta_3}$
Event II	$EI_{30} = \lambda_2 P_{event} I_{30}$	Average Monthly II	$R_{ave_month} = \lambda_{11} P_{ave_month} (P_{60})_{month_max}$
Event III	$EI_{30} = \lambda_3 P_{event} I_{60}$	Average Monthly III	$R_{ave_month} = \lambda_{12} P_{ave_month} (P_{1440})_{month_max}$
Event IV	$EI_{30} = \lambda_4 P_{event} I_{30}$ $I_{30} < 15mm/h$ $EI_{30} = \lambda_5 P_{event} I_{30}$ $I_{30} \ge 15mm/h$	Average Monthly IV	$R_{ave_month} = \lambda_{13} P_{ave_month} \overline{(P_{60})_{month}}$
Daily I	$R_{day} = \lambda_6 P_{day}(I_{10})_{day}$	Average Monthly V	$R_{ave_month} = \lambda_{14} P_{ave_month} \overline{(P_{1440})_{month}}$
Month I	$R_{month} = \alpha_1 P_{month}^{\beta 1}$	Annual I ^[1]	$R_{annual} = lpha_4 P_{annual}^{ eta_4}$
Month II	$R_{month} = \lambda_7 P_{month} (P_{60})_{month}$	Annual II	$R_{annual} = \lambda_{15} P_{annual} (P_{60})_{year_max}$
Month III	$R_{month} = \lambda_8 P_{month} (P_{1440})_{month}$	Annual III	$R_{annual} = \lambda_{16} P_{annual} (P_{1440})_{year_max}$
Year I	$R_{year} = \alpha_2 P_{year}^{\beta_2}$	Annual IV	$R_{annual} = \lambda_{17} P_{annual} \overline{(P_{60})_{annual}}$
Year II	$R_{year} = \lambda_9 P_{year} (P_{60})_{year}$	Annual V	$R_{annual} = \lambda_{18} P_{annua} \overline{(P_{1440})_{annual}}$
Year III	$R_{year} = \lambda_{10} P_{year} (P_{1440})_{year}$		

 $^{2 \}hspace{1cm} {}^{\scriptscriptstyle{[1]}}$ Annual refers to Average Annual values of erosivity.

1 Table 3. Models published in previous research and their prediction capabilities determined using

2 the validation stations-the symmetric mean absolute percentage errors, $MAPE_{sym}$, and

3 Nash-Sutcliffe model efficiencies, ME.

Erosivity time scales	Models	Sources	MAPE _{sym} (%)	ME ^[2]
Event	$R_{event} = 10.2 \cdot (0.0247 P_{event} I_{30} - 0.17)$	Wang, 1987	30.6	0.97
	$R_{event} = 10.2 \cdot (0.025 P_{event} I_{30} - 0.32)$	Wang, 1987	28.8	0.97
	$\begin{split} R_{event} &= 10.2 \cdot (1.70 \frac{P_{event} I_{30}}{100} - 0.136) \qquad I_{30} < 10 mm h^{-1} \\ R_{event} &= 10.2 \cdot (2.35 \frac{P_{event} I_{30}}{100} - 0.523) \qquad I_{30} \ge 10 mm h^{-1} \end{split}$	Wang et al., 1995	15.5	0.98
	$R_{event} = 0.1773 P_{event} I_{10}$	Zhang et al., 2002a	44.7	0.89
Daily	$R_{day} = 0.184 P_{day} (I_{10})_{day}$	Xie et al., 2001	44.9	0.91
	$R_{day} = \alpha P_{day}^{\beta}$ $\beta = 0.8363 + \frac{18.144}{P_{d12}} + \frac{24.455}{P_{v12}}, \ \alpha = 21.586 \beta^{-7.1891}$	Zhang et al., 2002b	74.6	0.69
	$R_{day} = 0.2686[1 + 0.5412\cos(\frac{\pi}{6}j - \frac{7\pi}{6})]P_{day}^{1.7265}$	Xie et al., 2015	63.7	0.71
	$R_{day} = 0.3522 P_{day} (P_{60})_{day}$	Xie et al., 2015	38.2	0.95
Month	$R_{month} = 10 \cdot 0.0125 P_{month}^{1.6295}$	Wu, 1994	60.2	0.57
	$R_{month} = 10 \cdot (0.3046 P_{month} - 2.6398)$	Zhou et al., 1995	67.3	0.35
Year	$R_{year} = 1.77 P_{5-10} - 133.03$	Sun et al., 1990	86.7	-0.63
	$R_{year} = 10.2 \cdot 0.272 (P_{year}(P_{60})_{year}/100)^{1.205}$	Wang et al., 1995	31.8	0.80
	$R_{year} = 10.2 \cdot 1.67 (P_{\geq 10 year} (P_{60})_{year} / 100)^{0.953}$	Wang et al., 1995	18.9	0.87
	$R_{year} = 0.0534 P_{year}^{-1.6548}$	Zhang and Fu, 2003	44.4	0.10

Average Annual	$R_{\text{annual}} = 10.2 \cdot 0.009 P_{\text{annual}}^{0.564} \cdot \overline{(P_{60})_{annual}}^{1.155} \cdot \overline{(P_{1440})_{annual}}^{0.560}$	Wang et al., 1995	17.3	0.83
	$R_{\text{annual}} = 10.2 \cdot 0.0244 P_{\geq 10 \text{annual}}^{0.551} \cdot \overline{(P_{60})_{annual}^{1.175}} \cdot \overline{(P_{1440})_{annual}^{0.376}}$	Wang et al., 1995	12.0	0.86
	$R_{\text{annual}} = 10.2 \cdot 2.135 (P_{\geq 10 \text{annual}} \cdot \overline{(P_{60})_{annual}} / 100)^{0.919}$	Wang et al., 1995	11.5	0.94
	$R_{annual} = 0.1833 F_F^{-1.9957}, \ F_F = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{12} P_{i,j}^2$	Zhang and Fu, 2003	55.9	-1.21
	$R_{annual} = 0.3589 F^{1.9462}, \ F = (\sum_{j=1}^{12} P_{ave_month_j}^2) / P_{annual}$	Zhang and Fu, 2003	60.8	-2.11
	$R_{annual} = 0.0668 P_{annual}^{1.6266}$	Zhang and Fu, 2003	34.6	-0.03

¹ $^{[1]}MAPE_{sym}$ (%) is the symmetric mean absolute percentage error values for all the data across validation

² stations for R with time scale intended for the model.

 $^{{\}bf 3} \qquad ^{[2]} ME \ is \ the \ Nash-Sutcliffe \ model \ efficiency \ coefficient \ for \ all \ the \ data \ across \ validation \ stations \ for \ R \ with \ time$

⁴ scale intended for the model.

 ${\bf 1} \qquad {\bf Table~4.~Models~calibrated~in~this~study~and~their~prediction~capabilities~determined~using~the}$

3 model efficiencies, ME.

Model codes	Models [1]	R ^{2 [2]}	MAPE _{sym} (%)	ME
Event I	$EI_{30} = 0.1547 P_{event} I_{10}$	0.92	34.5	0.91
Event II	$EI_{30} = 0.2372 P_{event} I_{30}$	0.98	29.3	0.98
Event III	$EI_{30} = 0.3320 P_{event} I_{60}$	0.94	35.8	0.96
Event IV	$\begin{split} R_{event} &= 0.1592 P_{event} I_{30} & I_{30} < 15 mm/h \\ R_{event} &= 0.2394 P_{event} I_{30} & I_{30} \ge 15 mm/h \end{split}$	0.97	13.9	0.98
Daily I	$R_{day} = 0.1661 P_{day}(I_{10})_{day}$	0.92	38.4	0.91
Month I	$R_{month} = 0.1575 P_{month}^{-1.6670}$	0.66	69.5	0.48
Month II	$R_{month} = 0.1862 P_{month} (P_{60})_{month}$	0.85	36.0	0.88
Month III	$R_{month} = 0.0770 P_{month} (P_{1440})_{month}$	0.65	55.2	0.69
Year I	$R_{year} = 0.5115 P_{year}^{-1.3163}$	0.70	38.1	0.48
Year II	$R_{year} = 0.1101 P_{year} (P_{60})_{year}$	0.80	20.9	0.84
Year III	$R_{year} = 0.0502 P_{year} (P_{1440})_{year}$	0.54	28.9	0.59
Average Monthly I	$R_{ave_month} = 0.0755 P_{ave_month}^{1.8430}$	0.89	44.7	0.17
Average Monthly II	$R_{ave_month} = 0.0877 P_{ave_month} (P_{60})_{month_max}$	0.94	23.5	0.88
Average Monthly III	$R_{ave_month} = 0.0410 P_{ave_month} (P_{1440})_{month_max}$	0.87	30.1	0.73
Average Monthly IV	$R_{ave_month} = 0.2240 P_{ave_month} \overline{(P_{60})_{month}}$	0.98	22.9	0.88
Average Monthly V	$R_{ave_month} = 0.1082 P_{ave_month} \overline{(P_{1440})_{month}}$	0.94	31.4	0.79
Annual I	$R_{annual} = 1.2718 P_{annual}^{1.1801}$	0.89	25.6	0.63
Annual II	$R_{annual} = 0.0584 P_{annual} (P_{60})_{year_max}$	0.92	15.4	0.91

Annual III	$R_{annual} = 0.0253 P_{annual} (P_{1440})_{year_max}$	0.92	22.5	-0.44
Annual IV	$R_{annual} = 0.1058 P_{annual} \overline{(P_{60})_{annual}}$	0.94	17.0	0.88
Annual V	$R_{annual} = 0.0492 P_{annual} \overline{(P_{1440})_{annual}}$	0.92	18.2	0.91

Parameters of models for power law models, including α_1 , β_1 , α_2 , β_2 , α_3 , β_3 , α_4 , β_4 , α_5 , β_5 , were solved by pooling data from 11 stations together. Parameters for average annual scale models, including λ_{15} , λ_{16} , λ_{17} , λ_{18} , were calculated by fitting data from all calibration stations and for the remainder they were the average values of parameters for the 11 calibration stations. [2] R^2 is the coefficient of determination.

- 1 Table 5. Validation station-averaged symmetric mean absolute percentage errors (MAPE $_{sym}$) and
- $\label{eq:local_problem} 2 \qquad Nash-Sutcliffe \ model \ efficiency \ coefficients \ (ME) \ for \ R_{month} \ by \ Month \ I, \ R_{year} \ by \ Year \ I \ and \ R_{ave_month}$
- 3 by Average Monthly I models for seven validation stations and statistics on event rainfall amount
- 4 and event EI_{30} .

Station	R _{month} by I	Month	R _{year} by Y	ear I		R_{ave_month} by Average Monthly I		EI ₃₀ /P
	$MAPE_{sym}$	ME	$MAPE_{sym}$	ME	$MAPE_{sym}$	ME		
Tonghe	70.2	0.73	30.9	0.47	29.5	0.93	71.2	4.8
Yangcheng	65.5	0.31	27.1	0.55	16.4	0.96	81.7	4.2
Miyun	52.0	0.71	45.1	-0.06	37.6	0.88	82.8	7.8
Xichang	77.5	0.47	45.4	-0.15	57.2	0.09	76.9	4.1
Huangshi	70.1	0.65	24.5	0.63	46.1	0.73	86.5	5.7
Tengchong	83.4	-2.01	66.6	-7.51	68.3	-6.98	71.9	3.6
Changting	52.0	0.54	20.9	0.26	35.2	0.30	88.4	6.1
Mean ^[1]	67.2	0.20	37.2	-0.83	41.5	-0.44	79.9	5.2
Mean ^[2]	62.0	0.59	29.7	0.37	38.7	0.60	82.1	5.7

^{5 [1]} Averaged value for seven validation stations.

^{6 [2]} Averaged value for five validation stations except Xichang and Tengchong.

$1 \qquad \text{Table 6. MAPE}_{\text{sym}} \, \text{for the models when used to estimate longer time scales of erosivity.}$

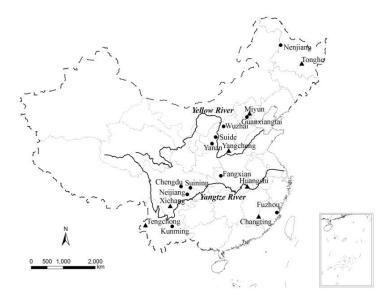
Model	Models	Event & Daily	Month	Ave. monthly	Year	Annual
Event I	$EI_{30} = 0.1547 P_{event} I_{10}$	34.5	29.0	20.4	16.4	12.0
Event II	$EI_{30} = 0.2372 P_{event}I_{30}$	29.3	24.2	16.0	11.4	9.1
Event III	$EI_{30} = 0.3320 P_{event} I_{60}$	35.8	28.5	15.1	10.8	6.2
Event IV	$\begin{split} R_{event} &= 0.1592 P_{event} I_{30} \qquad I_{30} < 15 mm/h \\ R_{event} &= 0.2394 P_{event} I_{30} \qquad I_{30} \ge 15 mm/h \end{split}$	13.9	11.0	7.0	6.4	4.7
Daily I	$R_{day} = 0.1661 P_{day}(I_{10})_{day}$	38.4	29.2	19.6	16.2	11.7
Month I	$R_{month} = 0.1575 P_{month}^{1.6670}$		69.5	46.7	39.4	28.7
Month II	$R_{month} = 0.1862 P_{month} (P_{60})_{month}$		36.0	19.9	18.6	13.1
Month III	$R_{month} = 0.0770 P_{month} (P_{1440})_{month}$		55.2	26.7	24.8	12.3
Year I	$R_{year} = 0.5115 P_{year}^{-1.3163}$				38.1	23.5
Year II	$R_{year} = 0.1101 P_{year} (P_{60})_{year}$				20.9	14.3
Year III	$R_{year} = 0.0502 P_{year} (P_{1440})_{year}$				28.8	17.3

1 Figures

2

5

6



3 Fig. 1. Locations of the 18 stations with one-minute resolution rainfall data. Eleven stations

4 marked with dots were used to calibrate 21 models. The other seven stations marked with triangles

were used to validate models and conduct comparisons with previous research.

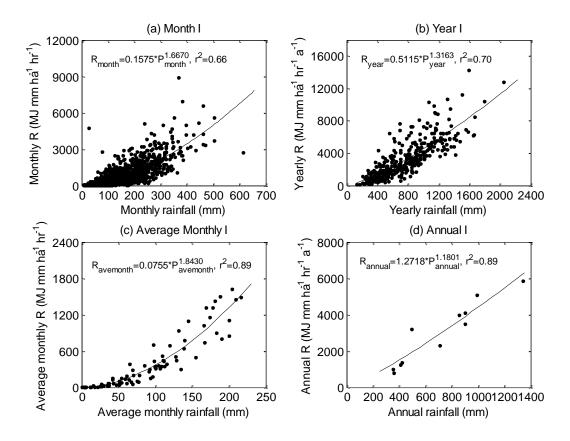


Fig. 2. Scatterplots for power law models using rainfall amount: (a) Month I, (b) Year I, (c) Average

3 Monthly I, and (d) Annual I, based on the 11 calibration stations.

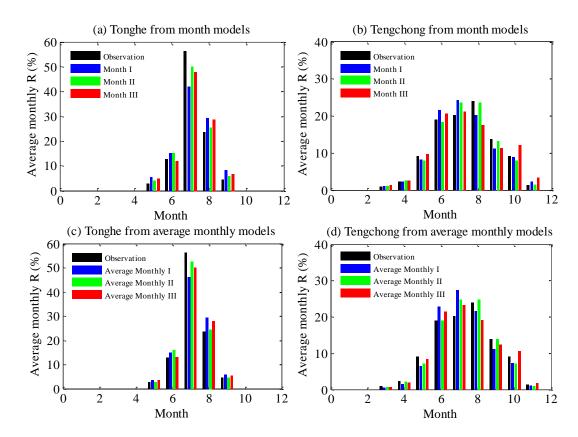


Fig. 3. Comparisons of average monthly R values between observation values calculated using one-minute resolution rainfall data and estimated values using month models (a, b) and average monthly models (c, d) for the Tonghe and Tengchong stations.

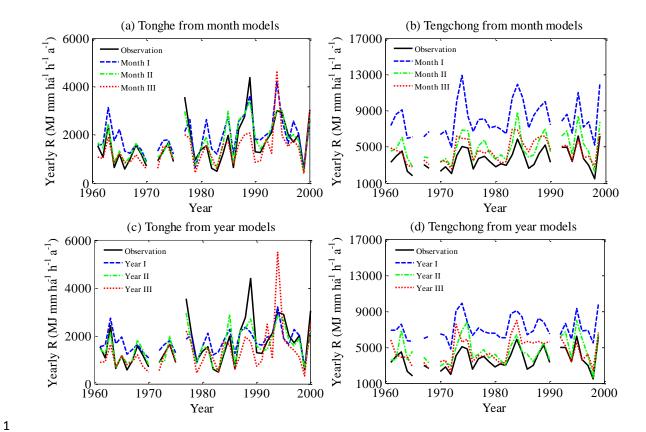


Fig. 4. Comparison of yearly R values between observation values calculated using one-minute resolution rainfall data and estimated values using month models (a, b) and year models (c, d) for the

4 Tonghe and Tengchong stations. The years without marks were ineffective years.

2

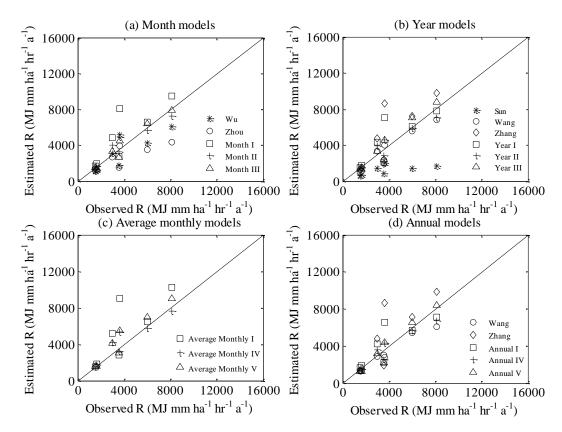


Fig. 5. Comparisons of the estimated R-factor value calculated based on (a) month, (b) year, (c) average monthly, and (d) average annual models using one-minute resolution data for the seven independent validation stations. Month models included models in Wu (1994), Zhou et al. (1995), and Month I, II, and III from this study. Year models included models from Sun et al. (1990), Wang et al. (1995, the one with MAPE $_{sym}$ of 18.9%), Zhang and Fu (2003), and Year I, II, and III from this study. Average monthly models included models from Average Monthly I, II, and III from this study. Average annual models included models from Wang et al. (1995, the one with MAPE $_{sym}$ of 11.5%), Zhang and Fu (2003, the one with MAPE $_{sym}$ of 34.6%), and Annual I, II, and III from this study.