

Dear Professor Kjeldsen,

Thank you for handling the review of our manuscript. We thank the two reviewers for their constructive comments and following on from our response to their reviews in the online discussion, we have conducted a major revision of the manuscript. Below is a point-by-point summary of the changes that have been made following the online discussion, as well as a copy of the revised manuscript showing the marked changes. We hope that this manuscript is now acceptable for publication in your journal.

Best regards

Murray Scown

Point-by-point changes:

- Further information regarding the study floodplains has been added to the study area section
- More detail of the DEMs used and how floodplains were delineated has been added to the methods section
- Further detail on the calculation of the indicators has been added to the methods section and Table 2
- The results section has been significantly shortened and rewritten in parts to make it less laborious
- Brief discussion on human impacts to hydrology has been added to the discussion section
- Discussion of tailoring window sizes for each floodplain individually based on floodplain width in future studies has been add to the discussion section
- Discussion of the present approach related to the meta-ecosystem approach has been included in the discussion section, along with mention of the complementarity of patch and surface metrics
- Discussion of the limitations due to the limited number of floodplains and lack of multiple regression approaches has been added to the discussion section
- The acronym SOC has been changed to SPO based on advice from another reviewer, due to the already established use of SOC as self-organized criticality
- Minor edits throughout the manuscript have been made

1 An index of floodplain surface complexity

2
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9 10 Abstract

11 Floodplain surface topography is an important component of floodplain ecosystems. It is the
12 primary physical template upon which ecosystem processes are acted out, [and complexity in](#)
13 [this template can contribute is largely thought to contribute to the high biodiversity and](#)
14 [productivity of floodplain ecosystems](#). There has been a limited appreciation of floodplain
15 surface complexity because of the traditional focus on temporal variability in floodplains as
16 well as limitations to quantifying spatial complexity. An index of floodplain surface
17 complexity (*FSC*) is developed in this paper and applied to eight floodplains from different
18 geographic settings. The index is based on two key indicators of complexity; variability in
19 surface geometry (*VSG*) and the spatial organization of surface conditions (*SOCSP*) and was
20 determined at three sampling scales. Relationships between these measures of spatial
21 complexity and environmental drivers, namely; flow variability (mean daily discharge [*Q*],
22 the coefficient of variation of daily discharge [*Q_{CV}*], the coefficient of variation of mean
23 annual discharge [*Q_{CVAnn}*], the coefficient of variation of maximum annual discharge
24 [*Q_{CVMax}*]), sediment yield (*SY*), valley slope (*Vs*), and floodplain width (*Fpw*) were
25 examined. *FSC*, *VSG*, and *SOCSP* varied between the eight floodplains and ~~this was~~
26 ~~dependent~~ [these differences depended](#) upon sampling scale. All complexity values declined
27 with increasing *Fpw* in either a power, logarithmic, or exponential function. There was little
28 change in surface complexity with floodplain widths greater than 10 km. *VSG* was
29 significantly related to *SY* and no significant relationships were determined between any of
30 the hydrological variables and floodplain surface complexity.

1 1 Introduction

2 The floodplain surface is an important component of floodplain ecosystems. It provides the
3 primary physical template (sensu Southwood, 1977) upon which ecosystem and evolutionary
4 processes are acted out (Salo, 1990). Complexity of floodplain surfaces contributes to the
5 relative abundance of physical habitat (Hamilton et al., 2007), high biodiversity (Ward et al.,
6 1999), and elevated levels of productivity (Thoms, 2003), as well as nonlinear ecosystem
7 responses to inundation (Murray et al., 2006; Thapa et al., 2015). The majority of floodplain
8 research has focused on temporal variations and in particular how hydrology drives floodplain
9 structure and function (Junk et al., 1989; Hughes, 1990; Bayley, 1995; Whited et al., 2007).
10 Such a focus has contributed to a limited appreciation of the spatial complexity of floodplain
11 surfaces.

12 There are two main components to the spatial complexity of floodplain surfaces (Scown [et al.,](#)
13 [2015a](#)). The first component relates to the presence/absence, abundance, and diversity of
14 features or conditions present. This influences the number and range of distinct habitats and
15 potential interactions between those habitats; both of which contribute to complexity (Levin,
16 1998; Phillips, 2003). The second component is concerned with the spatial organization of
17 features or conditions present within a floodplain surface. Spatial organization controls local
18 interactions and feedbacks between ~~features, features~~ and can emerge in the absence of any
19 global control (Hallet, 1990). It also affects the flux of matter and energy throughout systems
20 (Wiens, 2002). Any measurement of spatial complexity must incorporate both components;
21 something that does not generally occur (Cadenasso et al., 2006). Studies of floodplain
22 surface complexity have been limited because they tend to only measure one of the
23 components of spatial complexity (Scown et al., ~~in press~~[2015c](#)). Moreover, many of the
24 measures of spatial complexity that have been proposed are based on categorical ‘patch’ data
25 (e.g., Papadimitriou, 2002). Such data have limitations because of the qualitative delineation
26 of patch boundaries, loss of information within patches, and subsequent analyses of these data
27 being restricted to the ~~initial–minimum~~ scale at which patches were initially defined
28 (McGarigal et al., 2009). Continuous numerical data have been used in some studies, and
29 single metrics of surface complexity have been developed, such as rugosity or fractal
30 dimension (see review by Kovalenko et al., 2012). These single-metric-based indices do not
31 fully encompass the multivariate nature of spatial complexity; thus, multiple indicators are
32 required to get the full measure of surface complexity (Dorner et al., 2002; Frost et al., 2005;

1 Tokeshi and Arakaki, 2012). While frameworks encompassing the multiple dimensions of
2 complexity have also been proposed (e.g., Cadenasso et al., 2006), they have not provided a
3 quantitative measure of spatial complexity (Scown [et al.](#), 2015c). Quantitative measures of
4 floodplain spatial complexity are required in order to advance our understanding of the
5 influences of spatial complexity on these ecosystems and how it varies between floodplains.

6 New technologies are available for intensive data capture, such as light detection and ranging
7 (LiDAR), and the analysis of these data using geographic information systems (GIS)
8 overcomes many of the limitations that have inhibited the quantification of spatial
9 complexity. LiDAR provides high resolution, quantitative topographic data over large areas
10 for many landscapes including floodplains. These data are useful for measuring floodplain
11 surface complexity. LiDAR-derived digital elevation models (DEMs) of floodplain surfaces
12 can be used to measure the character and variability of surface features or conditions using a
13 suite of surface metrics (McGarigal et al., 2009) and moving window analyses (Bar Massada
14 and Radeloff, 2010; De Jager and Rohweder, 2012). The spatial organization of these features
15 or conditions can then be measured using spatial correlograms and geostatistical models
16 (Rossi et al., 1992). These quantitative measurements of the two components of spatial
17 complexity can be incorporated into a single multivariate index. The advantages of using
18 single indices that can be decomposed into sub-indices (e.g., for use in assessing ecosystem
19 health [Norris et al., 2007]) have been widely favoured in ecosystem research.

20 A quantitative index of floodplain surface complexity is developed in this study and applied
21 to eight floodplains from different geographic settings. The primary data source is a LiDAR-
22 derived DEM for each floodplain. The character and variability of surface features and
23 conditions and their spatial organization are incorporated into a single quantitative index to
24 enable a comparison of surface complexity between floodplains. The different environmental
25 settings of each floodplain provide an opportunity to determine the influence of
26 environmental controls on floodplain surface complexity. In addition, the index is measured
27 over three sampling scales (moving window sizes) to investigate the effects of scale on
28 floodplain surface complexity. In this study we ask three questions: 1) Does the surface
29 complexity of the eight floodplains differ and is this consistent among sampling scales? 2)
30 Are the two components of spatial complexity related in floodplain surfaces? 3) What
31 environmental factors influence floodplain surface complexity?

1 **2 Study area**

2 Eight floodplain surfaces from different geographic settings were examined in this study (Fig.
3 1, Table 1). The Bidgee, Gwydir, Macquarie, Narran, and Yanga floodplains are all located
4 within the Murray-Darling Basin in S.E. Australia; whereas the floodplain of the Woodforde
5 is located in central Australia approximately 150 km north of the town of Alice Springs. The
6 floodplain of the Shingwedzi is located in N.E. South Africa, in the northern regions of
7 Kruger National Park; and the floodplain of the Upper Mississippi is located within
8 navigation Pool 9 and forms the boundary of the states of Minnesota, Wisconsin and Iowa in
9 the USA. Details of the eight floodplains are provided in Table 1, and in summary, they
10 differed in terms of their degree of valley confinement, climate, and position within the
11 stream network. Four floodplains (the Bidgee, Mississippi, Shingwedzi, and Woodforde) are
12 contained within relatively confined river valley troughs with floodplains width ranging
13 between one and five kilometers. The ~~remaining-other~~ four floodplains (the Gwydir,
14 Macquarie, Narran, and Yanga) are all contained within relatively unconfined river valleys
15 with floodplain widths up to 60 kilometers. [The eight floodplains also differ in their
16 hydrology and geomorphology, exhibiting a variety of morphological features such as flood
17 channels, oxbows, natural levees, crevasse splays, and back swamps. Detailed descriptions of
18 each of the eight floodplains are provided by Scown et al. \(2015a\).](#)

19

20 **3 Methods**

21 The Index of Floodplain Surface Complexity (*FSC*) developed for this study was calculated
22 from data extracted from LiDAR-derived digital elevation models (DEMs) for each
23 floodplain. [Floodplain extents were delineated using multiple lines of evidence. This
24 delineation was based on examination of breaks of slope in the DEM, contours, changes in
25 vegetation from aerial photography, soil conditions from local soil conservation surveys, and
26 floodwater extents derived from Landsat TM imagery. A buffer within this manually
27 delineated extent was also removed to ensure nothing other than what was deemed to be part
28 of the floodplain was included. Permanently inundated areas were also removed because
29 attaining accurate subsurface land elevations using LiDAR is difficult. Each DEM was then
30 detrended to remove the overall downstream slope. Details of the detrending procedures for
31 each of the floodplains are provided by Scown et al. \(2015a; 2015b\). Each ~~All~~-detrended](#)

1 ~~DEM was~~ were subsequently resampled to a 5×5 m² grid size using the cubic method in
2 ~~ArcGIS 10.2~~ because this was the ~~highest~~ finest resolution available for one of the floodplains.

3 The *FSC* index is comprised of two sub-indices, which record the two components of spatial
4 complexity; the variability in surface geometry (*VSG*) and the spatial organization of surface
5 conditions (*SOCSPO*). *VSG* is a composite of four surface metrics (Table 2), measured at 50
6 random sample locations throughout each of the floodplains, while *SOCSPO* is calculated
7 from spatial correlogram models ~~of Moran's I over increasing lag distances~~ for each of the
8 four surface metrics ~~from 1000 random sample locations~~ (Table 2). ~~Specific details~~ Details of
9 ~~the procedures~~ for ~~calculating~~ each indicator are provided by Scown et al. (2015a). In
10 ~~summary, the surface metrics are used to indicate increasing surface variability, while the~~
11 ~~spatial correlogram model parameters (range and nugget) are used to indicate increasing~~
12 ~~'patchiness' or organization in the surface (Table 2). It is argued here, and elsewhere (Scown,~~
13 ~~2015; Scown et al., 2015a), that increasing variability and spatial organization results in~~
14 ~~increasing spatial complexity.~~ All surface metrics were measured within sampling windows of
15 50 m, 200 m, and 1000 m radius. ~~These window sizes were chosen based on the identification~~
16 ~~of scale thresholds between them by Scown et al. (2015b).~~ This enabled us to determine ~~the~~
17 ~~analyses to be conducted across multiple sampling scales~~ whether any effect of sampling scale
18 ~~occurred.~~

19 The individual indicators were combined ~~and~~ weighted, using the standardized Euclidean
20 distance procedure, to calculate the overall *FSC* index. This index was used for an overall
21 assessment of floodplain surface complexity and the sub-indices of *VSG* and *SOCSPO* were
22 derived to provide specific interpretations of the two components of spatial complexity for
23 each floodplain surface. An example of *FSC* calculation is given in Equation (1), where *I* is
24 the overall index and *A*, *B*, *C*, ... , *N* ~~are the~~ *n* ~~are the~~ individual indicators of surface
25 complexity, the details of which are provided in Table 2.

$$I = 1 - \frac{\sqrt{(1 - A)^2 + (1 - B)^2 + (1 - C)^2 + \dots + (1 - N)^2}}{\sqrt{n}} \quad (1)$$

27 Calculating the *FSC* index required the *SOCSPO* indicators to have an additional weighting of
28 0.5, as there were twice as many ~~as~~ indicators of *SOCSPO* compared to *VSG*. All indicators
29 were range-standardized and scaled between 0 and 1, hence this index provides a relative
30 measure among those floodplains studied. An index value approaching one indicates the

1 floodplain surface is among the most spatially complex of all floodplains observed, while an
2 index value approaching zero indicates the floodplain surface is among the least spatially
3 complex. The approach used has been applied successfully in developing a large scale ~~n~~-index
4 of River Condition (Norris et al., 2007).

5 Relationships between the two components of spatial complexity were also investigated *VSG*
6 and *SOCSPO* at each sampling scale. In addition, relationships between *VSG*, *SOCSPO*, and
7 *FSC* and seven environmental variables were also investigated. The environmental variables
8 were mean daily discharge in ML/day (*Q*), CV daily discharge (Q_{CV}), CV mean annual
9 discharge (Q_{CVAnn}), CV maximum annual discharge (Q_{CVMax}), sediment yield in t/km²/y (*SY*),
10 average valley slope in m/m (*Vs*), and average floodplain width in km (*Fpw*). Detailed
11 calculations of environmental variables are provided by Scown [et al. \(2015a\)](#). Each of these
12 environmental variables reflect an aspect of the flow, sediment, energy, and valley conditions,
13 which have previously been shown to influence floodplain surface morphology ([Nanson and](#)
14 [Croke, 1992; Warner, 1992](#)). Curve estimation between *VSG*, *SOCSPO*, and *FSC* and each
15 environmental variable at each sampling scale was conducted in SPSS using linear regression.
16 *Q*, *SY*, and *Vs* were normalized using a logarithmic transformation before analysis.

18 4 Results

19 4.1 Overall ~~f~~Floodplain surface complexity (*FSC*)

20 Floodplain surface complexity, as measured by the *FSC* index, was highly variable among the
21 eight floodplains and across sampling scales. ~~The *FSC* index ranged from 0.12 for the~~
22 ~~Gwydir, at the 50 m window size, to 0.72 for the Shingwedzi also at the 50 m window size.~~
23 The Gwydir floodplain had the least complex of surfaces across all sampling scales (mean
24 *FSC* of 0.17), while the Shingwedzi floodplain had the most complex surface (mean *FSC* of
25 0.69) across all scales (Fig. 2). This presumably reflects differences in the geomorphology of
26 these two floodplains. The Shingwedzi floodplain is dissected by numerous channels and
27 gullies, which create highly organized patches of increased topographic relief, whereas the
28 Gwydir floodplain has a relatively flat, featureless surface over larger continuous areas and
29 limited organization around any of the significant surface features. The effect of sampling
30 scale on *FSC* was not consistent across the eight floodplains (Fig. 2), indicating that
31 comparisons among floodplains are scale-dependent. the scale at which floodplain surfaces

1 ~~are most complex depends on the floodplain.~~ For example, ~~FSC values increased with~~
2 ~~window size for the Gwydir and Narran floodplain surfaces became more complex with~~
3 ~~increasing window size, but decreased for the~~ whereas the Shingwedzi, Macquarie, and
4 Mississippi floodplains ~~became less complex.~~ In the Bidgee, however, ~~FSC was relatively~~
5 ~~consistent across all window sizes, with an index range of only 0.02. FSC was most variable~~
6 ~~across window sizes for the Woodforde and Yanga floodplains. In particular, for the~~
7 ~~Woodforde, FSC was 44 % lower at the 1000 m window size than at 200 m, while for Yanga~~
8 ~~it was 65 % higher. This indicates that most floodplain surfaces become quantitatively more~~
9 ~~or less complex at particular sampling scales.~~

10 ~~In addition, the relative FSC among the eight floodplains differed across sampling window~~
11 ~~sizes. Rank order of FSC among the floodplains was consistent at the 50 m and 200 m~~
12 ~~window sizes, with the Shingwedzi having the most complex floodplain surface, followed by~~
13 ~~the Woodforde, Bidgee, Mississippi, Macquarie, Yanga, Narran, and Gwydir floodplains.~~
14 ~~However, at the 1000 m window size, the Bidgee floodplain became the second most~~
15 ~~complex, followed by the Yanga, Mississippi, Woodforde, Macquarie, Narran, and Gwydir~~
16 ~~floodplains. This indicates that the relative surface complexity among the eight floodplains is~~
17 ~~consistent at small to intermediate window sizes, although the actual index scores may vary~~
18 ~~slightly, but there is a reordering of relative surface complexity among the eight floodplains at~~
19 ~~large sampling scales.~~

20 **4.2 Variability in surface geometry (VSG)**

21 The VSG index was also highly variable among the eight floodplains and across sampling
22 scales. ~~VSG ranged from 0.00 for the Gwydir floodplain when measured at the 50 m window~~
23 ~~size, to 0.70 for the Shingwedzi also at the 50 m window size~~ (Fig. 3). ~~Again, T~~ the Gwydir
24 floodplain consistently had the lowest values for this index over all window sizes (mean VSG
25 of 0.06), while the Shingwedzi floodplain consistently had the highest (mean VSG of 0.65).
26 This reflects the large differences in topographic relief and variability between these two
27 floodplains. The VSG score of 0.00 for the Gwydir floodplain at the 50 m window size
28 indicates that this floodplain had the lowest scores for all four indicators of variability in
29 surface geometry of the eight floodplains studied at this scale. ~~T~~ Similar to FSC, the effect of
30 sampling scale on VSG was not consistent across floodplains (Fig. 3). VSG increased with
31 sampling scale for the Narran floodplain, but decreased for the Shingwedzi, Bidgee,
32 Macquarie, and Woodforde floodplains. VSG was highest at the 50 m window size and lowest

1 at 200 m for the Mississippi and Yanga floodplains, while it was highest at 200 m and lowest
2 at 50 m for the Gwydir. This indicates that the scale at which surface geometry is most
3 variable depends on the floodplain. ~~That is, surface geometry is most variable at small
4 sampling scales for some floodplains and at large sampling scales for others.~~

5 ~~In addition, relative VSG among the eight floodplains was dependent upon sampling scale
6 (Fig. 3). At the 50 m window size, the Shingwedzi floodplain had the highest VSG, followed
7 by the Mississippi, Woodforde, Bidgee, Yanga, Macquarie, Narran, and Gwydir floodplains.
8 At the 200 m window size, the Woodforde floodplain increased to the second highest VSG,
9 and the Mississippi floodplain dropped to third, while all others remained consistent. At the
10 1000 m window size, the Mississippi floodplain again had the second highest VSG, followed
11 by the Bidgee, Yanga, Woodforde, Narran, Macquarie, and Gwydir floodplains. This
12 indicates that the relative variability in surface geometry among floodplains depends on the
13 sampling scale. That is, a particular floodplain can have a more variable surface geometry
14 than another at one sampling scale, but less so at another sampling scale.~~

15 **4.3 Spatial organisation of surface conditions (SOCSPO)**

16 The SOCSPO index was also highly variable among the eight floodplains and across sampling
17 scales. ~~SOCSPO ranged from 0.19 for the Gwydir floodplain when measured at the 200 m
18 window size, to 0.89 for the Woodforde floodplain when measured at the 200 m window size~~
19 (Fig. 4). Unlike FSC and VSG, there was no consistency as to which floodplain had the
20 highest and lowest SOCSPO across sampling scales. This indicates that no floodplain has
21 consistently the highest or lowest degree of spatial organization of surface conditions among
22 the eight floodplains studied. The effect of sampling scale on SOCSPO was ~~not also~~
23 inconsistent across floodplains (Fig. 4). For five of the eight floodplains, SOCSPO was lowest
24 at the 200 m window size and highest at 1000 m. For the Mississippi and Woodforde
25 floodplains, the opposite was observed, with SOCSPO being highest at 200 m and lowest at
26 1000 m. The Bidgee floodplain was the only floodplain for which SOCSPO increased
27 consistently across all sampling scales. This indicates that the degree of spatial organization
28 of surface conditions is highest at large sampling scales for most floodplains, but at
29 intermediate scales for some. ~~The Shingwedzi floodplain had the most consistent SOCSPO
30 across all window sizes, with an index range of only 0.03, indicating little change in the
31 spatial organization of surface conditions across sampling scales for this floodplain.~~
32 Conversely, SOCSPO was highly variable across window sizes for the Yanga, Woodforde,

1 and Gwydir floodplains. *SOCSPO* was 178 % higher at the 1000 m window size than at 200
2 m for the Gwydir floodplain and 138 % higher for the Yanga floodplain, while for the
3 Woodforde floodplain it was 61 % lower. This indicates a significant change in the spatial
4 organization of these floodplain surfaces between these two sampling scales. The results also
5 showed that floodplain and window size have a greater combined effect on *SPO* among the
6 eight floodplains than on relative *FSC* and *VSG* (Figs. 2, 3, and 4).

7 ~~In addition, relative *SOCSPO* among the eight floodplains was highly dependent upon~~
8 ~~sampling scale (Fig. 4). The Shingwedzi floodplain had the highest *SOCSPO* of all~~
9 ~~floodplains at the 50 m window size, and the second highest at 200 m and 1000 m. The~~
10 ~~Woodforde floodplain had the second highest *SOCSPO* at 50 m and the highest at 200 m, but~~
11 ~~dropped to second lowest at 1000 m. The Bidgee floodplain had the third highest *SOCSPO* at~~
12 ~~all window sizes. The Yanga floodplain had the third lowest *SOCSPO* at 50 m and 200 m, but~~
13 ~~the highest at 1000 m. The Gwydir floodplain had the second lowest *SOCSPO* at 50 m, the~~
14 ~~lowest at 200 m, and the fourth highest at 1000 m. The Macquarie and Mississippi floodplains~~
15 ~~always had either the fourth, fifth, or sixth highest *SOCSPO*. The Narran floodplain always~~
16 ~~had a *SOCSPO* in the lowest two floodplains. This indicates that the relative degree of spatial~~
17 ~~organization of surface conditions among floodplains depends on the sampling scale. That is,~~
18 ~~a particular floodplain can have a more highly organized surface than another at one sampling~~
19 ~~scale, but less so at another sampling scale. It also indicates that floodplain and window size~~
20 ~~have a greater combined effect on relative *SOCSPO* among the eight floodplains than on~~
21 ~~relative *FSC* and *VSG*.~~

22 **4.4 Relationship between *VSG* and *SOCSPO***

23 *SOCSPO* values were, on average, 17 % higher than the *VSG* values. The greatest difference
24 between *SOCSPO* and *VSG* was 0.51 for the Woodforde floodplain, at the 200 m window
25 size, followed by 0.47 for the Bidgee and Yanga floodplains at the 1000 m window size (Figs.
26 3 and 4). The Mississippi floodplain was the only floodplain where *SOCSPO* was lower than
27 the *VSG*, with an average difference of -0.03. This comparison between *SOCSPO* and *VSG*
28 values, suggests surface conditions across the eight floodplains are generally more highly
29 spatially organized than they are geometrically variable.

30 Average variance of *SOCSPO* across sampling scales within a floodplain (0.0212) was almost
31 six times higher than that of *VSG* (0.0037). However, the average *SOCSPO* variance was

1 dominated by a limited number of floodplains; notably the Gwydir, Woodforde, and Yanga
2 floodplains (Fig. 4). Four of the five other floodplains had a less variable *SOCSPO* across
3 sampling scales compared to their *VSG*; with the exception being the Bidgee floodplain.
4 These results of *SOCSPO* variance across sampling scales indicates that, on average, the
5 spatial organization of surface conditions is much more sensitive to sampling scale than the
6 variability of surface geometry.

7 Significant linear relationships between *VSG* and *SOCSPO* were recorded at the 50 m and 200
8 m window sizes only (Table 3). Overall, *SOCSPO* increased with *VSG* (Fig. 5) and this
9 positive relationship was strongest at the 50 m window size, with more than 61 % of the
10 variance in *SOCSPO* explained by *VSG*, reducing to 56 % at the 200 m window size, and less
11 than 8 % at 1000 m window size. The y-intercept of each regression line was greater than 0.1
12 at all window sizes, while the slope was less than one at 50 m and 1000 m, but greater than
13 one at 200 m (Table 3). This ~~indicates-provides further indication~~ that *SOCSPO* is generally
14 higher than *VSG* in these eight floodplains, ~~and that this difference increases as index values~~
15 ~~increase when measured at the 200 m window size. However, at the 50 m window size, the~~
16 ~~two indices tend to converge as their values increase.~~

17 **4.5 Relationships between floodplain surface complexity and environmental** 18 **variables**

19 Floodplain width (Fpw) was the only environmental variable statistically related to any of the
20 three indices of spatial complexity ($p < 0.05$). This variable was significantly related to *FSC*
21 and *VSG* over all window sizes, and to *SOCSPO* over all but the 1000 m window size (Table
22 4). The decrease in all three complexity indices with increasing Fpw was best explained by
23 either a power, logarithmic, or exponential function (Table 4). In terms of the decrease in *FSC*
24 with increasing Fpw, this was best explained by a power function at all window sizes (Fig.
25 6a), indicating *FSC* undergoes rapid decline with increases in Fpw, approaching an asymptote
26 at approximately 10 km in Fpw. The modelled change in *FSC* with increasing Fpw was
27 almost identical between the 50 m and 200 m window sizes. At the 1000 m window size, *FSC*
28 was generally lower compared to that at 50 m and 200 m windows sizes in narrow
29 floodplains, before approaching a higher asymptote at larger Fpw. This indicates that broad
30 floodplains generally have higher *FSC* when measured at larger sampling scales, whereas
31 narrow floodplains generally have higher *FSC* when measured at smaller sampling scales.

1 Decreases in *VSG* with increasing *Fpw* ~~are was~~ best explained by a logarithmic function at the
2 50 m window size, a power function at the 200 m window size, and an exponential function at
3 1000 m (Fig. 6b). These models indicate a more rapid initial decline in *VSG* with increasing
4 *Fpw* at the 200 m window size than at the 50m and 1000 m window sizes. This is followed by
5 approach to a higher asymptote at the 200 m window size above *Fpw* of approximately 10
6 km, whereas modelled *VSG* continues to decline between *Fpw* of 10 km and 25 km at the 50
7 m and 1000 m window sizes. This indicates that *Fpw* has a greater effect on *VSG* in wider
8 floodplains when measured at small and large sampling scales than it does at intermediate
9 scales. The relationship was strongest at the 200 m window size, with more than 80 % of the
10 variance in *VSG* being explained by *Fpw*.

11 The decrease in *SOESPO* with increasing *Fpw* was best explained by a logarithmic function
12 at the 50 m and 200 m window sizes (Fig. 6c). The modelled decline in *SOESPO* was initially
13 more rapid at the 50 m window size than at 200 m, before approaching a higher asymptote at
14 narrower *Fpw*. This indicates that *Fpw* has more of an effect on *SOESPO* in wider floodplains
15 when measured at the 200 m window size than at 50 m. The relationship was strongest at the
16 200 m window size, with more than 77 % of the variance in *SOESPO* being explained by
17 *Fpw*. This was reduced to 71 % at the 50 m window size. There was no significant
18 relationship between *Fpw* and *SOESPO* at the 1000 m window size (Fig. 6c). This suggests
19 that *Fpw* exerts little or no control over the spatial organization of surface conditions when
20 measured at large sampling scales.

21 A weak statistical relationship was recorded between *SY* and *VSG*. ~~The An~~ increase in *VSG*
22 with increasing *SY* was observed at the 200 m window size ($r^2 = 0.44$; $p = 0.07$). The
23 relatively lower level of significance of this result was attributable to the Gwydir having a
24 high *SY* but a very low *VSG*. When the Gwydir floodplain was removed from the analysis,
25 there was a significant and strong linear relationship between log-transformed *SY* and *VSG*
26 across all window sizes for the remaining seven floodplains (Table 5, Fig. 7). This
27 relationship was almost identical across all window sizes.

28

29 **5 Discussion**

30 The Euclidean Index of floodplain surface complexity (FSC) used in this study is comprised
31 of the two key components of spatial complexity; the character and variability of features or
32 conditions, and their spatial organization. This index appears to discriminate between

1 floodplains with distinctly different geomorphological features. The multivariate nature of the
2 index, comprised of 12 indicators of surface complexity (Table 2), has advantages over
3 univariate indices that have been applied to measure floodplain surface complexity.
4 Univariate indices fail to incorporate both aspects of surface structure, which contribute to
5 surface complexity (Dorner et al., 2002; Frost et al., 2005; Tokeshi and Arakaki, 2012).
6 Having a single, multivariate-based index is also favorable rather than multiple individual
7 indicators of floodplain surface complexity, as it allows a quantitative measure that can be
8 compared for multiple riverine landscapes. Norris et al. (2007) provide a comparable example
9 of such an application in their assessment of river condition. It is important to note that, the
10 standardization of indicator scores from 0 to 1 is necessary for the Euclidean Index equation
11 (Norris et al., 2007), as the FSC index is a relative index of floodplain surface complexity
12 across a group of floodplains all of which were ~~all~~ included in the standardization of the
13 indicators. This is appropriate for examining relationships between floodplain surface
14 complexity and environmental controls, given adequate replication over a range of floodplain
15 settings is achieved. However, it should not be used to compare against indices of other
16 studies, unless all floodplains being compared are included in the calculation of the index.

17 The results of this research demonstrate ~~;~~ floodplain surface complexity to be highly variable
18 among the eight floodplains studied ~~;~~ and ~~;~~ floodplain width to exert a significant ‘top-down’
19 control (sensu Thorp et al., 2008) on differences in floodplain surface complexity. These
20 results clearly support geomorphological and ecological thinking that “...*the valley rules the*
21 *stream...*”, as argued first by Hynes (1975) and strongly supported since (e.g., Schumm, 1977;
22 Miller, 1995; Panin et al., 1999; Thoms et al., 2000). In this case, the valley rules the
23 floodplain surface complexity, [at least in terms of the ‘top-down’ influences investigated here.](#)

24 The influence of floodplain width on floodplain surface complexity decreases significantly
25 once widths are greater than 10 km. Above 10 km, little change in the index of floodplain
26 surface complexity was recorded. This is likely due to the dissipation of flood energy in wide
27 floodplains, limiting the construction of large topographic features, which contribute to
28 surface complexity. However, subtle topographic features in wide floodplains are also
29 importance surface features (Fagan and Nanson, 2004), which may have been overlooked in
30 this index. In narrower, confined settings, where widths are less than 10 km, floodplain
31 construction may be the result primarily of vertical processes (e.g., accretion/incision) leading
32 to more prominent topographic features that exhibit a higher degree of spatial organization
33 and thus increased surface complexity (Nanson and Croke, 1992). Such complexity can lead

1 to the concentration of flood energies in particular areas, promoting episodic catastrophic
2 stripping (Nanson, 1986). The narrowest floodplain examined in this study was, on average,
3 1.5 km in width and the results presented in this study may not be consistent in floodplains
4 narrower than this. In particular, there is a loss of surface complexity when floodplains are
5 contained between artificial levees or embankments (Florsheim and Mount, 2002; Gurnell and
6 Petts, 2002), so floodplain surface complexity should not be considered to increase
7 indefinitely in floodplains approaching a width of 0 km.

8 Valley trough or floodplain width has been identified as a primary controller of floodplain
9 pattern and process in several previous studies. Spatial patterns of flow depth, velocity, and
10 shear stress in overbank flows were found by (Miller, (1995) to all be influenced by valley
11 width and this influence was particularly noticeable at locations of valley widening or
12 narrowing. Similarly, Thoms et al. (2000) found that valley width had a significant effect on
13 sediment textural character and associated heavy metal concentrations within different
14 morphological units of the Hawkesbury River Valley, New South Wales. In particular, they
15 found higher proportions of silt and clay, and lower proportions of sand and gravel, in wide
16 floodplain sections compared to narrow floodplains. The results of this present research
17 support the findings that floodplain width is an important ~~controlled~~-controller of floodplain
18 pattern and process.

19 The effect of floodplain width was relatively consistent across all three indices examined.
20 This suggests that floodplain width has a similar effect on the variability of floodplain surface
21 geometry, the degree of spatial organization, and overall floodplain surface complexity. This
22 likely explains the significant positive linear relationship between the variability of surface
23 geometry and the spatial organization of surface conditions sub-indices. This relationship
24 likely occurs because environmental conditions, particularly related to floodplain width,
25 which promote higher variability in floodplain surfaces, also cause a high degree of spatial
26 organization. Reinforcing feedbacks between these two components of spatial complexity
27 may also exist. That is, high variability of surface geometry promotes a high degree of spatial
28 organization, and vice versa. Positive feedback is common in complex systems (Levin, 1998;
29 Phillips, 2003), and feedbacks between hydrology, geomorphology, and biology in
30 floodplains may play a part in this (Hughes, 1997).

31 The textural character of floodplain sediments and local energy conditions during inundation
32 has been postulated as important controls of floodplain morphology (Nanson and Croke,

1 1992). These two drivers would also be expected to influence floodplain surface complexity.
2 In this study, sediment yield was found to have a weak effect on the variability in surface
3 geometry, although relationships were not significant. This may be because estimates of
4 contemporary sediment yield were used in this study, ~~whereas~~ and historical sediment yields
5 are relatively more important (Panin et al., 1999). Substantial anthropogenic increases in
6 sediment loads have been reported for the Gwydir floodplain (De Rose et al., 2003). Removal
7 of this floodplain from our analyses, resulted in a significant increase in variability in surface
8 geometry with increasing sediment yield across the seven remaining floodplains. This result
9 suggests that sediment yield may exert ‘top-down’ control on the variability of floodplain
10 surface geometry, although recent anthropogenic changes in sediment yields (Prosser et al.,
11 2001), particularly increased erosion in the catchment due to land use changes, may have
12 delayed ‘lag’ effects on floodplain surfaces which have not yet been observed. Valley slope
13 was used in this study as a surrogate for stream energy, and this was not found to have any
14 effect on overall floodplain surface complexity. More accurate measures of energy conditions
15 such as specific stream power (Nanson and Croke, 1992) may reveal any effects of energy
16 conditions on floodplain surface complexity, if they exist, more clearly. It is also likely that
17 variable flood energy conditions within each floodplain have an effect on localized surface
18 complexity. For example, Fagan and Nanson (2004) found distinct differences in floodplain
19 surface channel patterns among high, intermediate, and low energy areas of the semi-arid
20 Cooper Creek in Australia. They also found the energy of flood flows to be largely controlled
21 by floodplain width.

22 Hydrology has been widely considered the main determinant of floodplain ecosystem pattern
23 and process (Junk et al., 1989; Hughes, 1990; Bayley, 1995; Whited et al., 2007). However,
24 the research presented in this paper indicates that this may not be the case for floodplain
25 surface complexity. None of the four hydrological variables measured here had a significant
26 effect on floodplain surface complexity. This suggests that, although hydrology is largely
27 important in driving floodplain ecosystem processes, floodplain width and sediment
28 conditions appear to exert more control over the complexity of floodplain surfaces. This is
29 important given that floodplain research and restoration is often focused on hydrology,
30 particularly connectivity (e.g., Thoms, 2003; Thoms et al., 2005); whereas valley trough,
31 sediment, and energy conditions may be more important in structuring and maintaining the
32 physical template upon which hydrology acts as an ecosystem driver (Salo, 1990). Loss of
33 floodplain surface complexity due to changes in sediment yield ~~and~~ or ~~caliber~~ calibre, or

1 confinement between artificial levees, may be as ecologically important as changes to
2 hydrology and should not be overlooked (Thoms, 2003). It is important to note, however, that
3 some most of the eight floodplains studied have experienced anthropogenic alterations to their
4 hydrology. Thus, hydrological parameters based on contemporary data may not reflect the
5 nature of the flow regime that was influential in establishing current surface conditions;
6 lagged effects of altered hydrology on surface complexity may occur in the future (sensu
7 Thoms, 2006).

8 Riverine landscapes and their floodplains are hierarchically organized ecosystems (Dollar et
9 al., 2007; Thorp et al., 2008), being composed of discrete levels of organization distinguished
10 by different process rates (O'Neill et al., 1989). Each level of organization, or holon, has a
11 spatial and temporal scale over which processes occur and patterns emerge (Holling, 1992).
12 The different sampling scales used in this research ~~reflect different spatial scales over which~~
13 ~~patterns occur. The results~~ indicate that the scale at which patterns in floodplain surfaces are
14 most complex depends on the floodplain setting. In particular, wide, unconfined floodplains
15 appear to have higher floodplain surface complexity when measured at larger sampling scales,
16 whereas narrow, confined floodplains have so at smaller sampling scales. Thus, the scale at
17 which floodplain surface complexity is maximized likely relates to the width of the
18 floodplain. Selecting different window sizes tailored to each floodplain individually relative
19 to floodplain width should be the focus of future research. This may reveal consistent effects
20 of scale on floodplain surfaces.

21 ~~These results suggest that~~Thus, the scale of processes that maximize complexity, and
22 potentially biodiversity and productivity (Tockner and Ward, 1999), in floodplains differ
23 between different valley settings. This has implications for understanding and managing the
24 complexity of floodplain ecosystems. Floodplain processes, which operate over certain
25 temporal scales, elicit a response over relative spatial scales (Salo, 1990; Hughes, 1997).
26 Consequently, managing processes at the appropriate scale to achieve desired outcomes is
27 important (Parsons and Thoms, 2007). This has already been recognized for managing
28 floodplain hydrology to maintain biodiversity (Amoros and Bornette, 2002) and these results
29 indicate it is also important for managing the processes that maintain floodplain surface
30 complexity. ~~The effects of scale were also inconsistent among the three indices, indicating~~
31 ~~that particular component of floodplain surface complexity may respond to processes at~~
32 ~~different scales.~~

1 [Recent approaches to examining and understanding ecosystem complexity and the emergent](#)
2 [properties that arise from interactions within ~~the~~ systems emphasise the importance of](#)
3 [heterogeneity, connectivity, and contingency within the landscape \(Loreau et al., 2003;](#)
4 [Cadenasso et al., 2006\). We have presented an index of floodplain surface complexity within](#)
5 [such a framework that incorporates measures of variability and spatial organization. These](#)
6 [two components of spatial complexity are directly associated with heterogeneity and](#)
7 [connectivity \(Wiens, 2002\), although no direct measure of historical contingency is given in](#)
8 [this spatial approach. Metrics and indicators used to measure properties of landscape and](#)
9 [ecosystem complexity in the past have largely been based on discrete units and the familiar](#)
10 [concept of ‘patches’ \(Forman and Godron, 1981\). The surface metrics employed in this study](#)
11 [are conceptually equivalent to certain patch metrics and a comprehensive comparison of](#)
12 [surface and patch metrics is provided by McGarigal et al. \(2009\). Thus, the approach](#)
13 [presented in this study should be considered complimentary to other ecosystem complexity](#)
14 [frameworks, such as the meta-ecosystem approach \(Loreau et al., 2003\), which are based on](#)
15 [patches.](#)

16 ~~The~~ [In terms of the origin and implications of floodplain surface complexity, this](#) research
17 ~~presented~~ focuses on ‘top-down’ environmental drivers of floodplain surface complexity.
18 ‘Bottom-up’ feedbacks from the floodplain ecosystem are also likely to affect surface
19 complexity. For example, vegetation establishment on deposited floodplain sediments is
20 known to produce a positive feedback loop in which more sediment is trapped and semi-
21 permanent morphological features such as islands develop (Nanson and Beach, 1977; Hupp
22 and Osterkamp, 1996). Such feedbacks are likely to influence floodplain surface complexity,
23 particularly in floodplains dominated by such features (Gurnell and Petts, 2002; Stanford et
24 al., 2005). ‘Bottom-up’ influences on floodplain surface complexity are difficult to quantify
25 and were not examined in this study. Future research into the influence of vegetation type and
26 density on floodplain surface complexity, particularly in relation to its hydraulic roughness,
27 may provide valuable insights into ‘bottom-up’ controls on floodplain surface complexity.
28 Such data are also available through LiDAR (Straatsma and Baptist, 2008). Effects of
29 floodplain surface complexity on biodiversity and productivity should also be examined in
30 future research. The floodplain surface provides the primary geomorphic template upon which
31 ecosystem and evolutionary processes are acted out (Salo, 1990) and it would be expected
32 that increased surface complexity would promote the range of physical habitats required to
33 maintain floodplain biodiversity (Hamilton et al., 2007).

1 The inclusion of other humid-floodplains, from different regions, in future studies of this
2 nature, along with more arid, sub-tropical, and continental locations, would is essential to
3 further determine whether the trends observed in this study extend beyond the eight
4 floodplains investigated here. This study was limited to eight floodplains because of due to
5 data availability. As high-resolution LiDAR data across many more floodplains are made
6 available to researchers, other more sophisticated analyses such as multiple regression will be
7 possible in studies such as this. Multiple regression would enable the interactive effects of
8 environmental variables to be elucidated, whereas this study was limited to relatively simple
9 linear regression because of due to the sample size of only eight floodplains.

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- 12

1 Table 1. Summary of the geographical and climatic settings of the eight study floodplains.

Floodplain name	Valley setting	Climate	Stream network setting
Bidgee	Confined	Semi-arid/temperate	Lowland continuous
Gwydir	Unconfined	Semi-arid/temperate	Lowland terminal
Macquarie	Unconfined	Semi-arid/temperate	Lowland continuous
Mississippi	Confined	Continental	Upland continuous
Narran	Unconfined	Semi-arid	Lowland terminal
Shingwedzi	Confined	Sub-tropical	Upland continuous
Woodforde	Confined	Arid	Headwaters continuous
Yanga	Unconfined	Semi-arid/temperate	Lowland continuous

2

1 Table 2. Summary of the indicators used to calculate the index of Floodplain Surface
 2 Complexity (*FSC*). [Averages and standard deviations of the surface metrics \(left columns\) are](#)
 3 [calculated from 50 random sample locations throughout each floodplain. The nugget and](#)
 4 [range from the Moran's I spatial correlograms \(right columns\) are extracted from the](#)
 5 [exponential isotropic models fit to these. See Scown et al. \(2015a\) for detailed calculation](#)
 6 [procedures.](#)

Indicators of variability in surface geometry		Indicators of spatial organisation of surface conditions	
Average standard deviation of surface heights	Indicates variability in surface elevation within an area	Spatial correlogram exponential isotropic model nugget (×4 metrics)	Indicates strength of spatial organisation
Average coefficient of variation of surface heights	Indicates variability in surface elevation relative to the mean elevation within an area	Inverse of the spatial correlogram exponential isotropic model range (×4 metrics)	Indicates patchiness or fragmentation in spatial organisation
Standard deviation of skewness of surface heights	Indicates variability in erosional and depositional features within an area		
Average standard deviation of surface curvature	Indicates how convoluted the surface is		

7

1 Table 3. Results from regression analyses of *SOCSPO* against *VSG* at each of the three
 2 window sizes.

	Best model	F	<i>d.f.</i>	p	r^2
50 m	$y = 0.703x + 0.223$	9.676	1, 7	0.02	0.61
200 m	$y = 1.135x + 0.120$	7.627	1, 7	0.03	0.56
1000 m	$y = 0.329x + 0.429$	0.472	1, 7	0.52	0.07

3

1 Table 4. Results from regression analyses of *FSC*, *VSG*, and *SOCSPO* against Fpw at each of
 2 the three window sizes.

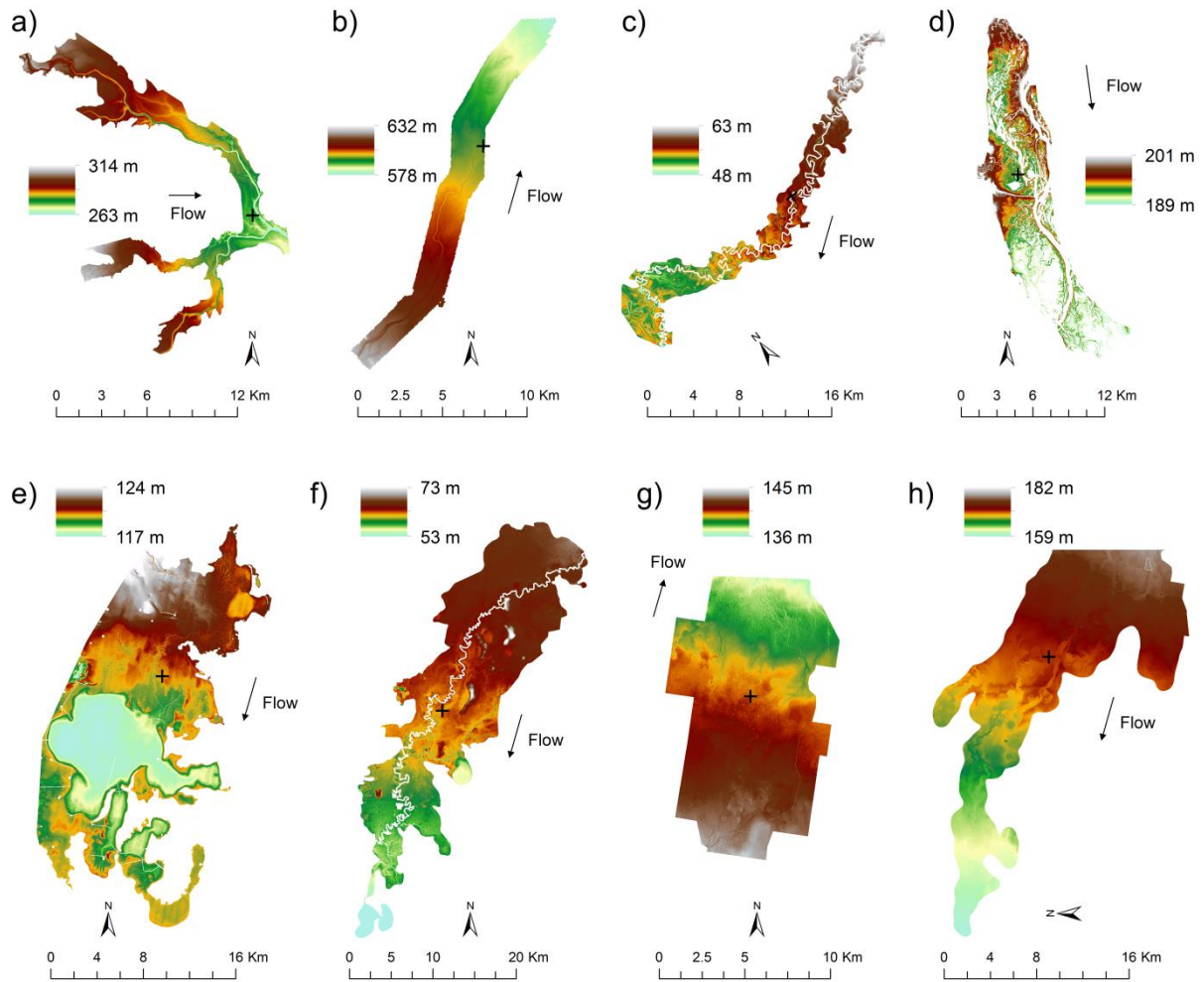
	Best model	F	<i>df.</i>	p	r ²
<i>FSC</i>	50 m $y = 0.765x^{-0.414}$	10.344	1, 7	0.02	0.63
	200 m $y = 0.762x^{-0.420}$	25.523	1, 7	0.00	0.81
	1000 m $y = 0.549x^{-0.213}$	5.871	1, 7	0.05	0.50
<i>VSG</i>	50 m $y = -0.151 \ln x + 0.630$	9.642	1, 7	0.02	0.62
	200 m $y = 0.627x^{-0.418}$	26.319	1, 7	0.00	0.81
	1000 m $y = 0.472e^{-0.064x}$	13.574	1, 7	0.01	0.69
<i>SOCSPO</i>	50 m $y = -0.145 \ln x + 0.737$	14.515	1, 7	0.01	0.71
	200 m $y = -0.204 \ln x + 0.866$	20.586	1, 7	0.00	0.77
	1000 m	0.570	1, 7	0.48*	0.09

3

- 1 Table 5. Results from regression analyses of *VSG* against $\log_{10}(SY) + 1$ at each of the three
 2 window sizes with Gwydir removed.

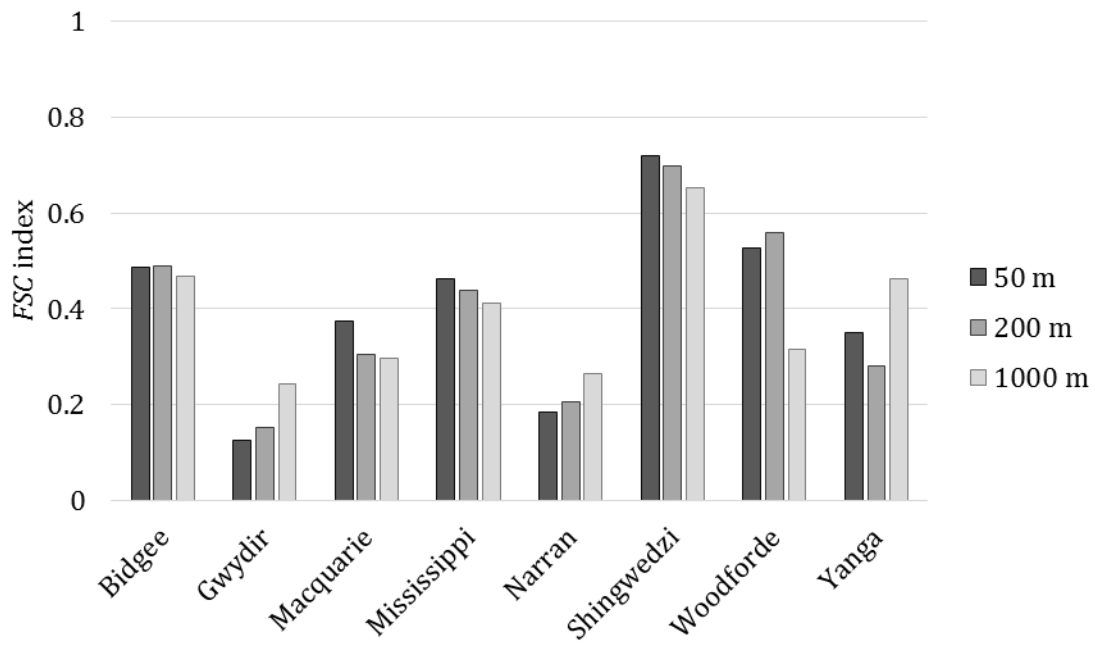
	Best model	F	<i>d.f.</i>	p	r^2
50 m	$y = 0.183x + 0.088$	50.497	1, 6	0.00	0.91
200 m	$y = 0.158x + 0.084$	18.179	1, 6	0.00	0.78
1000 m	$y = 0.142x + 0.088$	36.076	1, 6	0.00	0.88

3



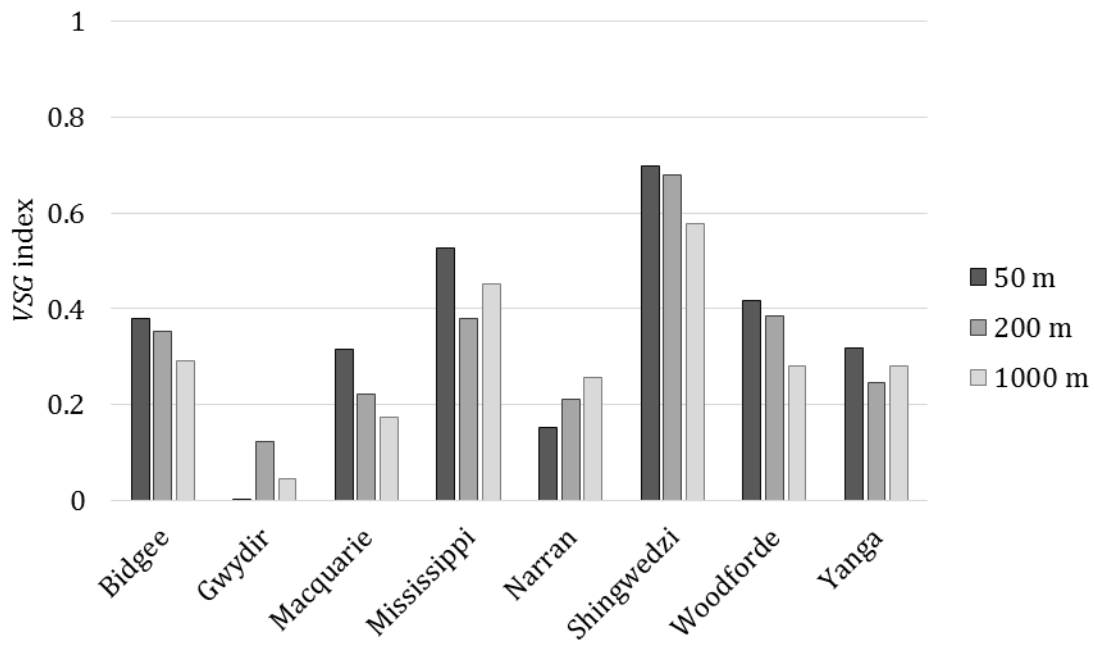
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Figure 1. Digital elevation models displaying the floodplain surface in meters above sea level for each study site (crosses indicate coordinates listed): a) Shingwedzi ($31^{\circ}24'E$, $23^{\circ}05'S$); b) Woodforde ($133^{\circ}20'E$, $22^{\circ}21'S$); c) Bidgee ($143^{\circ}24'E$, $34^{\circ}42'S$); d) Mississippi ($91^{\circ}15'W$, $43^{\circ}29'N$); e) Narran ($147^{\circ}23'E$, $29^{\circ}48'S$); f) Yanga ($143^{\circ}42'E$, $34^{\circ}30'S$); g) Macquarie ($147^{\circ}33'E$, $30^{\circ}41'S$); h) Gwydir ($149^{\circ}20'E$, $29^{\circ}16'S$).



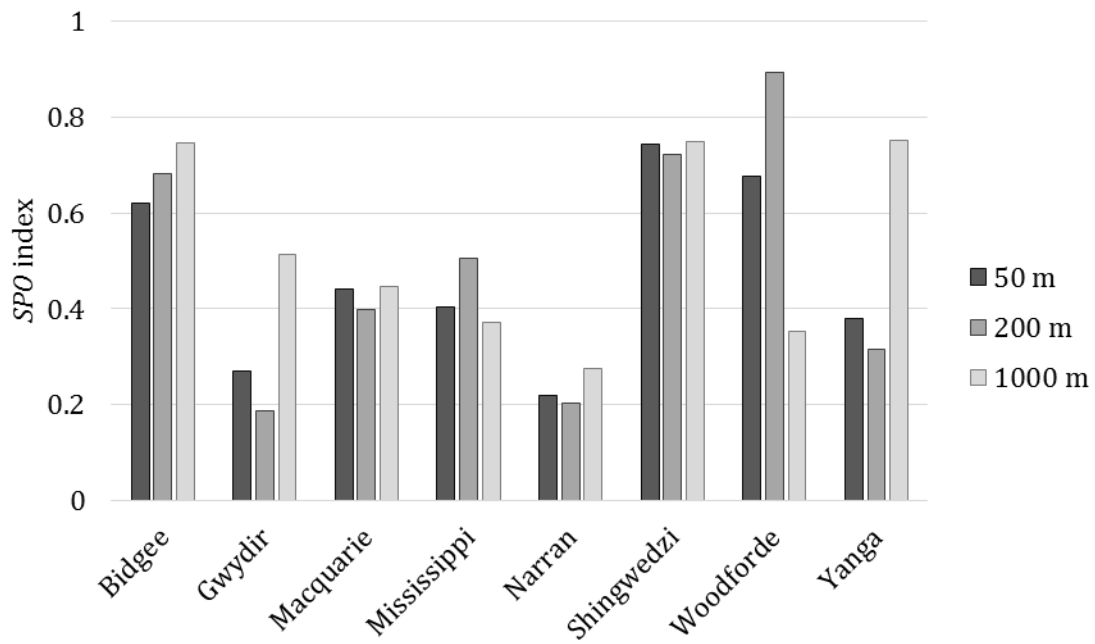
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Figure 2. Index of floodplain surface complexity (*FSC*) for the eight floodplains at each of the three window sizes.



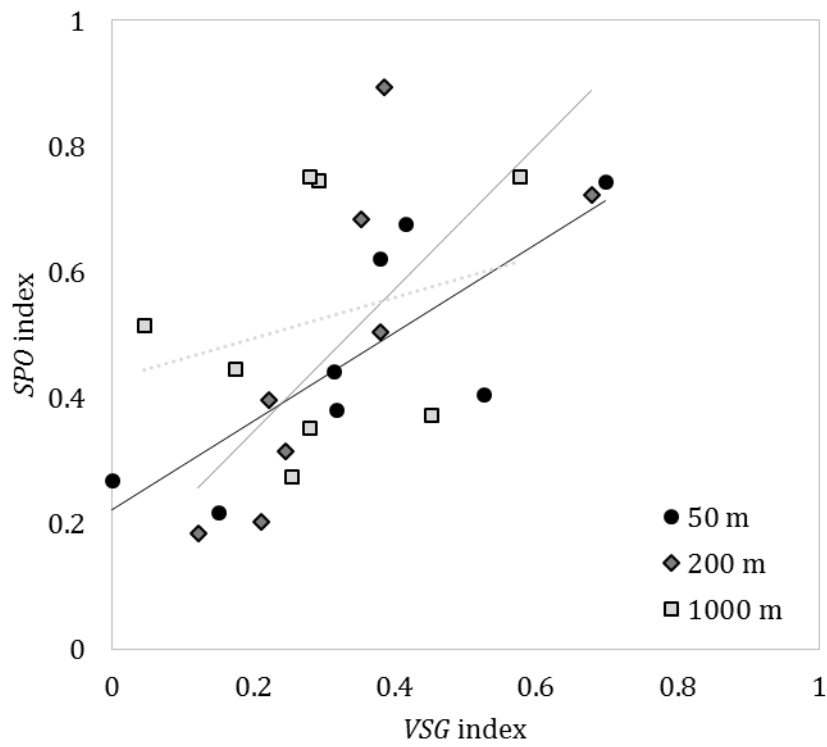
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Figure 3. Index of variability in surface geometry (VSG) for the eight floodplains at each of the three window sizes.



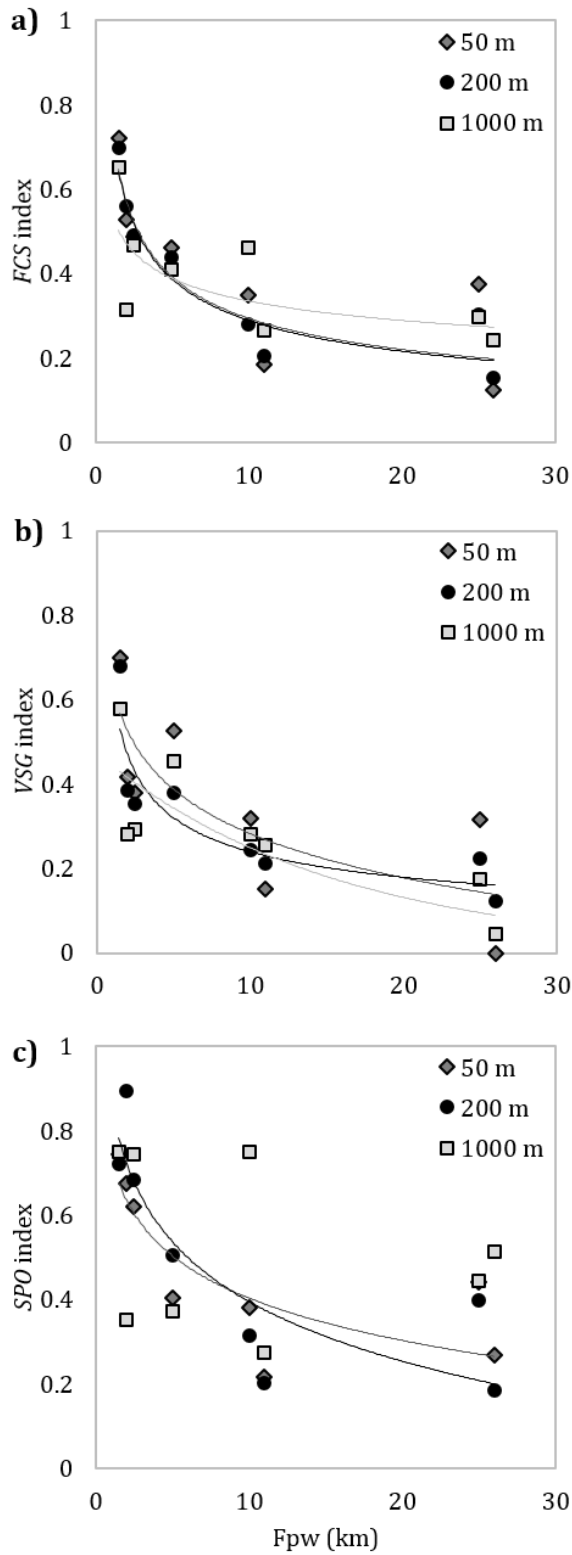
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Figure 4. Index of spatial organisation of surface conditions (*SPO*) for the eight floodplains at each of the three window sizes.



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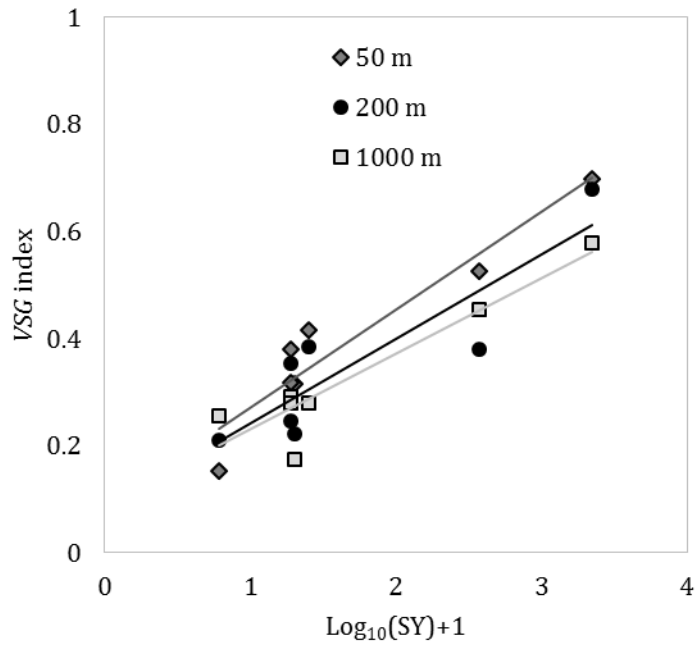
Figure 5. Linear relationships between variability in surface geometry (*VSG*) and spatial organisation of surface conditions (*SPO*) at each of the three window sizes.



1

2 Figure 6. Power relationships between floodplain width (Fpw) and a) floodplain surface
 3 complexity (*FSC*), b) variability of surface geometry (*VSG*), and c) spatial organisation of
 4 surface conditions (*SPO*) at each of the three window sizes.

5



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3 Figure 7. Linear relationships between log-transformed SY and variability of surface

4 geometry (VSG) at each of the three window sizes with Gwydir removed.