

An index of floodplain surface complexity

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Abstract

Floodplain surface topography is an important component of floodplain ecosystems. It is the primary physical template upon which ecosystem processes are acted out, and complexity in this template can contribute to the high biodiversity and productivity of floodplain ecosystems. There has been a limited appreciation of floodplain surface complexity because of the traditional focus on temporal variability in floodplains as well as limitations to quantifying spatial complexity. An index of floodplain surface complexity (*FSC*) is developed in this paper and applied to eight floodplains from different geographic settings. The index is based on two key indicators of complexity; variability in surface geometry (*VSG*) and the spatial organization of surface conditions (*SPO*) and was determined at three sampling scales. *FSC*, *VSG*, and *SPO* varied between the eight floodplains and these differences depended upon sampling scale. Relationships between these measures of spatial complexity and seven geomorphological and hydrological drivers were investigated. There was a significant decline in all complexity measures with increasing floodplain width, which was explained by either a power, logarithmic, or exponential function. There was an initial rapid decline in surface complexity as floodplain width increased from 1.5 to 5 km, followed by little change in floodplains wider than 10 km. *VSG* also increased significantly with increasing sediment yield. No significant relationships were determined between any of the four hydrological variables and floodplain surface complexity.

1 **1 Introduction**

2 The floodplain surface is an important component of floodplain ecosystems. It provides the
3 primary physical template (sensu Southwood, 1977) upon which floodplain ecosystem
4 processes are acted out (Salo, 1990). For example, the floodplain surface provides a
5 succession of geomorphic features upon which vegetation can establish and different
6 communities can develop (Hughes, 1997; Pollock et al., 1998), as well as influencing
7 inundation patterns, soil moisture, and nutrient dynamics (Pinay et al., 2000; De Jager et al.,
8 2012). Topographic complexity of floodplain surfaces contributes to the abundance of
9 different physical habitats (Hamilton et al., 2007), high biodiversity (Ward et al., 1999), and
10 elevated levels of ecosystem productivity (Thoms, 2003), as well as complex nonlinear
11 ecosystem responses to inundation (Murray et al., 2006; Thapa et al., 2015). The majority of
12 floodplain research has focused on temporal variability; in particular, how hydrological
13 variability drives floodplain structure and function (Junk et al., 1989; Hughes, 1990; Bayley,
14 1995; Whited et al., 2007). Such a focus has contributed to a limited appreciation of the
15 spatial complexity of floodplain surfaces.

16 There are two main components to the spatial complexity of floodplain surfaces (Scown et al.,
17 2015a). The first component relates to the presence/absence, abundance, and diversity of
18 geomorphic features present. This influences the number and range of distinct habitats and
19 potential interactions between those habitats; both of which contribute to complexity (Levin,
20 1998; Phillips, 2003). The second component is concerned with the spatial organization of
21 those geomorphic features present within a floodplain surface. Spatial organization affects
22 local interactions and feedbacks between physical features of any landscape, as well as the
23 flux of matter and energy throughout the ecosystems present (Wiens, 2002). Any
24 measurement of spatial complexity must incorporate both components; something that does
25 not generally occur (Cadenasso et al., 2006). In addition, riverine landscapes and their
26 floodplains are hierarchically organized ecosystems (Dollar et al., 2007; Thorp et al., 2008),
27 being composed of discrete levels of organization distinguished by different process rates
28 (O'Neill et al., 1989). Each level of organization, or holon, has a spatial and temporal scale
29 over which processes occur and patterns emerge (Holling, 1992). Thus, any measurement of
30 spatial complexity must also acknowledge the effects of measurement scale (Scown et al.,
31 2015a).

1 Studies of floodplain surface complexity have been limited because they tend to only measure
2 one of the components of spatial complexity and often only at a single scale (Scown et al.,
3 2015c). Moreover, many of the measures of spatial complexity that have been proposed are
4 based on categorical ‘patch’ data (e.g., Papadimitriou, 2002). Such data have limitations
5 because of the qualitative delineation of patch boundaries, loss of information within patches,
6 and subsequent analyses of these data being restricted to the minimum scale at which patches
7 were initially defined (McGarigal et al., 2009). Continuous numerical data have been used in
8 some studies, and single metrics of surface complexity have been developed, such as rugosity
9 or fractal dimension (see review by Kovalenko et al., 2012). These single-metric-based
10 indices do not fully encompass the multivariate nature of spatial complexity; thus, multiple
11 indicators are required to get the full measure of surface complexity (Dorner et al., 2002;
12 Frost et al., 2005; Tokeshi and Arakaki, 2012). While frameworks encompassing the multiple
13 dimensions of complexity have also been proposed (e.g., Cadenasso et al., 2006), they have
14 not provided a quantitative measure of spatial complexity (Scown et al., 2015c).

15 Environmental conditions that contribute to floodplain surface complexity have remained
16 largely overlooked in floodplain research because of the limited application of quantitative
17 measures of spatial complexity. However, several geomorphological and hydrological drivers
18 are known to influence other floodplain patterns and processes. Valley trough or floodplain
19 width has been identified as a primary controller of floodplain flow and sediment patterns in
20 several previous studies. Spatial patterns of flow depth, velocity, and shear stress in overbank
21 flows were all found by Miller (1995) to be influenced by valley width, and this influence was
22 particularly noticeable at locations of valley widening or narrowing. Similarly, Thoms et al.
23 (2000) found that valley width had a significant effect on sediment texture and associated
24 heavy metal concentrations within different morphological units of the Hawkesbury River
25 Valley, New South Wales. The textural character of sediments delivered to the floodplain and
26 local energy conditions during inundation have also been postulated as important controls of
27 floodplain morphology (Nanson and Croke, 1992). In addition to these geomorphological
28 drivers of pattern, hydrological variability is considered a major determinant of floodplain
29 ecosystem processes (Junk et al., 1989; Hughes, 1990; Bayley, 1995; Whited et al., 2007).
30 The influences of environmental drivers on floodplain pattern and process likely extend to
31 floodplain surface complexity; however, determining such relationships requires a
32 quantitative measure of surface complexity.

1 New technologies are available for intensive data capture, such as light detection and ranging
2 (LiDAR), and the analysis of these data using geographic information systems (GIS)
3 overcomes many of the limitations that have inhibited the quantification of spatial
4 complexity. LiDAR provides high resolution, quantitative topographic data over large areas
5 for many landscapes including floodplains. These data are useful for measuring floodplain
6 surface complexity. LiDAR-derived digital elevation models (DEMs) of floodplain surfaces
7 can be used to measure the character and variability of surface features using a suite of
8 surface metrics (McGarigal et al., 2009) and moving window analyses (Bar Massada and
9 Radeloff, 2010; De Jager and Rohweder, 2012). The spatial organization of these features can
10 then be measured using spatial correlograms and geostatistical models (Rossi et al., 1992).
11 These quantitative measurements of the two components of spatial complexity can be
12 incorporated into a single multivariate index. The advantages of using single indices that can
13 be decomposed into sub-indices (e.g., for use in assessing ecosystem health [Norris et al.,
14 2007]) have been widely favoured in ecosystem research.

15 A quantitative index of floodplain surface complexity is developed in this study and applied
16 to eight floodplains from different geographic settings. The primary data source is a LiDAR-
17 derived DEM for each floodplain. The character and variability of surface features and
18 conditions and their spatial organization are incorporated into a single quantitative index to
19 enable a comparison of surface complexity between floodplains. The different environmental
20 settings of each floodplain provide an opportunity to determine the influence of
21 environmental controls on floodplain surface complexity. In addition, the index is measured
22 over three sampling scales (moving window sizes) to investigate the effects of scale on
23 floodplain surface complexity. In this study we ask three questions: 1) Does the surface
24 complexity of the eight floodplains differ and is this consistent among sampling scales? 2)
25 Are the two components of spatial complexity related in floodplain surfaces? 3) What
26 environmental factors influence floodplain surface complexity?

27

28 **2 Study area**

29 Eight floodplain surfaces from different geographic settings were examined in this study (Fig.
30 1, Table 1). The Bidgee, Gwydir, Macquarie, Narran, and Yanga floodplains are all located
31 within the Murray-Darling Basin in S.E. Australia; whereas the floodplain of the Woodforde
32 is located in central Australia approximately 150 km north of the town of Alice Springs. The

1 floodplain of the Shingwedzi is located in N.E. South Africa, in the northern regions of
2 Kruger National Park; and the floodplain of the Upper Mississippi is located within
3 navigation Pool 9 and forms the boundary of the states of Minnesota, Wisconsin and Iowa in
4 the USA. Details of the eight floodplains are provided in Table 1, and in summary, they
5 differed in terms of their degree of valley confinement, climate, and position within the
6 stream network. Four floodplains (the Bidgee, Mississippi, Shingwedzi, and Woodforde) are
7 contained within relatively confined river valley troughs with floodplains width ranging
8 between one and five kilometers. The other four floodplains (the Gwydir, Macquarie, Narran,
9 and Yanga) are all contained within relatively unconfined river valleys with floodplain widths
10 up to 60 kilometers. The eight floodplains also differ in their hydrology and geomorphology,
11 exhibiting a variety of morphological features such as flood channels, oxbows, natural levees,
12 crevasse splays, and back swamps. Detailed descriptions of each of the eight floodplains are
13 provided by Scown et al. (2015a).

14

15 **3 Methods**

16 The Index of Floodplain Surface Complexity (*FSC*) developed for this study was calculated
17 from data extracted from LiDAR-derived DEMs for each floodplain. Floodplain extents were
18 delineated using multiple lines of evidence. This delineation was based on examination of
19 breaks of slope in the DEM, contours, changes in vegetation from aerial photography, soil
20 conditions from local soil conservation surveys, and floodwater extents derived from Landsat
21 TM imagery. A buffer within this manually delineated extent was also removed to ensure
22 nothing other than what was deemed to be part of the floodplain was included. Permanently
23 inundated areas were also removed because attaining accurate subsurface land elevations
24 using LiDAR is difficult. Each DEM was then detrended to remove the overall downstream
25 slope to ensure it had no effect on topographic measurements. Details of the detrending
26 procedures for each of the floodplains are provided by Scown et al. (2015a; 2015b). Each
27 detrended DEM was subsequently resampled to a $5 \times 5 \text{ m}^2$ grid size using the cubic method in
28 ArcGIS 10.2 because this was the finest resolution available for one of the floodplains.

29 The *FSC* index is comprised of two sub-indices, which record the two components of spatial
30 complexity; the variability in surface geometry (*VSG*) and the spatial organization of surface
31 conditions (*SPO*). *VSG* is a composite of four surface metrics (Table 2), measured at 50
32 random sample locations throughout each of the floodplains, while *SPO* is calculated from

1 spatial correlogram models of Moran's I over increasing lag distances for each of the four
2 surface metrics from 1000 random sample locations (Table 2). Details of the procedures for
3 calculating each indicator are provided by Scown et al. (2015a). In summary, the surface
4 metrics are used to indicate increasing surface variability, while the spatial correlogram model
5 parameters (range and nugget) are used to indicate increasing 'patchiness' or organization in
6 the surface (Table 2). It is argued here, and elsewhere (Scown, 2015; Scown et al., 2015a),
7 that increasing variability and spatial organization results in increasing spatial complexity. All
8 surface metrics were measured within sampling windows of 50 m, 200 m, and 1000 m radius.
9 These window sizes were chosen based on the identification of scale thresholds between them
10 by Scown et al. (2015b). This enabled us to determine whether any effect of sampling scale
11 occurred.

12 The individual indicators were combined and weighted, using the standardized Euclidean
13 distance procedure, to calculate the overall *FSC* index. This index was used for an overall
14 assessment of floodplain surface complexity and the sub-indices of *VSG* and *SPO* were
15 derived to provide specific interpretations of the two components of spatial complexity for
16 each floodplain surface. An example of *FSC* calculation is given in Equation (1), where *I* is
17 the overall index and *A*, *B*, *C*, ... , *N* are the *n* individual indicators of surface complexity,
18 the details of which are provided in Table 2.

$$I = 1 - \frac{\sqrt{(1 - A)^2 + (1 - B)^2 + (1 - C)^2 + \dots + (1 - N)^2}}{\sqrt{n}} \quad (1)$$

19
20 Calculating the *FSC* index required the *SPO* indicators to have an additional weighting of 0.5,
21 as there were twice as many indicators of *SPO* compared to *VSG*. All indicators were range-
22 standardized and scaled between 0 and 1, hence this index provides a relative measure among
23 those floodplains studied. An index value approaching one indicates the floodplain surface is
24 among the most spatially complex of all floodplains observed, while an index value
25 approaching zero indicates the floodplain surface is among the least spatially complex. The
26 approach used has been applied successfully in developing a large scale index of River
27 Condition (Norris et al., 2007).

28 Relationships between the two components of spatial complexity were also investigated *VSG*
29 and *SPO* at each sampling scale. In addition, relationships between *VSG*, *SPO*, and *FSC* and
30 seven environmental variables were also investigated. The environmental variables were

1 mean daily discharge in ML/day (Q), CV daily discharge (Q_{CV}), CV mean annual discharge
2 (Q_{CVAnn}), CV maximum annual discharge (Q_{CVMax}), sediment yield in $t/km^2/y$ (SY), average
3 valley slope in m/m (Vs), and average floodplain width in km (Fpw). Detailed calculations of
4 environmental variables are provided by Scown et al. (2015a). Each of these environmental
5 variables reflect an aspect of the flow, sediment, energy, and valley conditions, which have
6 previously been shown to influence floodplain surface morphology (Nanson and Croke, 1992;
7 Warner, 1992). Curve estimation between VSG , SPO , and FSC and each environmental
8 variable at each sampling scale was conducted in SPSS. Q , SY , and Vs were normalized using
9 a logarithmic transformation before analysis.

10

11 **4 Results**

12 **4.1 Floodplain surface complexity (FSC)**

13 Floodplain surface complexity, as measured by the FSC index, was highly variable among the
14 eight floodplains and across sampling scales. The Gwydir floodplain had the least complex of
15 surfaces across all sampling scales (mean FSC of 0.17), while the Shingwedzi floodplain had
16 the most complex surface (mean FSC of 0.69) across all scales (Fig. 2). This presumably
17 reflects differences in the geomorphology of these two floodplains. The Shingwedzi
18 floodplain is dissected by numerous channels and gullies, which create highly organized
19 patches of increased topographic relief, whereas the Gwydir floodplain has a relatively flat,
20 featureless surface over larger continuous areas and limited organization around any of the
21 significant surface features. The effect of sampling scale on FSC was not consistent across the
22 eight floodplains (Fig. 2), indicating that differences among floodplains are scale-dependent.
23 For example, the Gwydir and Narran floodplain surfaces became more complex with
24 increasing window size, whereas the Shingwedzi, Macquarie, and Mississippi floodplains
25 became less complex.

26 **4.2 Variability in surface geometry (VSG)**

27 The VSG index was also highly variable among the eight floodplains and across sampling
28 scales (Fig. 3). Again, the Gwydir floodplain consistently had the lowest values for this index
29 over all window sizes (mean VSG of 0.06), while the Shingwedzi floodplain consistently had
30 the highest (mean VSG of 0.65). This reflects the large differences in topographic relief and

1 variability between these two floodplains. The *VSG* score of 0.00 for the Gwydir floodplain at
2 the 50 m window size indicates that this floodplain had the lowest scores for all four
3 indicators of variability in surface geometry of the eight floodplains studied at this scale.
4 Similar to *FSC*, the effect of sampling scale on *VSG* was not consistent across floodplains
5 (Fig. 3). *VSG* increased with sampling scale for the Narran floodplain, but decreased for the
6 Shingwedzi, Bidgee, Macquarie, and Woodforde floodplains. *VSG* was highest at the 50 m
7 window size and lowest at 200 m for the Mississippi and Yanga floodplains, while it was
8 highest at 200 m and lowest at 50 m for the Gwydir. This indicates that the scale at which
9 surface geometry is most variable depends on the floodplain.

10 **4.3 Spatial organisation of surface conditions (*SPO*)**

11 The *SPO* index was also highly variable among the eight floodplains and across sampling
12 scales (Fig. 4). Unlike *FSC* and *VSG*, there was no consistency as to which floodplain had the
13 highest and lowest *SPO* across sampling scales. This indicates that no floodplain has
14 consistently the highest or lowest degree of spatial organization of surface conditions among
15 the eight floodplains studied. The effect of sampling scale on *SPO* was inconsistent across
16 floodplains (Fig. 4). For five of the eight floodplains, *SPO* was lowest at the 200 m window
17 size and highest at 1000 m. For the Mississippi and Woodforde floodplains, the opposite was
18 observed, with *SPO* being highest at 200 m and lowest at 1000 m. The Bidgee floodplain was
19 the only floodplain for which *SPO* increased consistently across all sampling scales. This
20 indicates that the degree of spatial organization of surface conditions is highest at large
21 sampling scales for most floodplains, but at intermediate scales for some. *SPO* was highly
22 variable across window sizes for the Yanga, Woodforde, and Gwydir floodplains. *SPO* was
23 178 % higher at the 1000 m window size than at 200 m for the Gwydir floodplain and 138 %
24 higher for the Yanga floodplain, while for the Woodforde floodplain it was 61 % lower. This
25 indicates a significant change in the spatial organization of these floodplain surfaces between
26 these two sampling scales. The results also showed that floodplain and window size have a
27 greater combined effect on *SPO* among the eight floodplains than on relative *FSC* and *VSG*
28 (Figs. 2, 3, and 4).

4.4 Relationships between floodplain surface complexity and environmental variables

Floodplain width (Fpw) was the only environmental variable statistically related to any of the three indices of spatial complexity ($p < 0.05$). This variable was significantly related to *FSC* and *VSG* over all window sizes, and to *SPO* over all but the 1000 m window size (Table 3). The decrease in all three complexity indices with increasing Fpw was best explained by either a power, logarithmic, or exponential function (Table 3). In terms of the decrease in *FSC* with increasing Fpw, this was best explained by a power function at all window sizes (Fig. 5a), indicating *FSC* undergoes rapid decline with increases in Fpw, approaching an asymptote at approximately 10 km in Fpw. The modelled change in *FSC* with increasing Fpw was almost identical between the 50 m and 200 m window sizes. At the 1000 m window size, *FSC* was generally lower compared to that at 50 m and 200 m windows sizes in narrow floodplains, before approaching a higher asymptote at larger Fpw. This indicates that broad floodplains generally have higher *FSC* when measured at larger sampling scales, whereas narrow floodplains generally have higher *FSC* when measured at smaller sampling scales.

Decreases in *VSG* with increasing Fpw was best explained by a logarithmic function at the 50 m window size, a power function at the 200 m window size, and an exponential function at 1000 m (Fig. 5b). These models indicate a more rapid initial decline in *VSG* with increasing Fpw at the 200 m window size than at the 50m and 1000 m window sizes. This is followed by approach to a higher asymptote at the 200 m window size above Fpw of approximately 10 km, whereas modelled *VSG* continues to decline between Fpw of 10 km and 25 km at the 50 m and 1000 m window sizes. This indicates that Fpw has a greater effect on *VSG* in wider floodplains when measured at small and large sampling scales than it does at intermediate scales. The relationship was strongest at the 200 m window size, with more than 80 % of the variance in *VSG* being explained by Fpw.

The decrease in *SPO* with increasing Fpw was best explained by a logarithmic function at the 50 m and 200 m window sizes (Fig. 5c). The modelled decline in *SPO* was initially more rapid at the 50 m window size than at 200 m, before approaching a higher asymptote at narrower Fpw. This indicates that Fpw has more of an effect on *SPO* in wider floodplains when measured at the 200 m window size than at 50 m. The relationship was strongest at the 200 m window size, with more than 77 % of the variance in *SPO* being explained by Fpw. This was reduced to 71 % at the 50 m window size. There was no significant relationship

1 between *Fpw* and *SPO* at the 1000 m window size (Fig. 5c). This suggests that *Fpw* exerts
2 little or no control over the spatial organization of surface conditions when measured at large
3 sampling scales.

4 A weak statistical relationship was recorded between *SY* and *VSG*. An increase in *VSG* with
5 increasing *SY* was observed at the 200 m window size ($r^2 = 0.44$; $p = 0.07$). The relatively
6 lower level of significance of this result was attributable to the Gwydir having a high *SY* but a
7 very low *VSG*. When the Gwydir floodplain was removed from the analysis, there was a
8 significant and strong linear relationship between log-transformed *SY* and *VSG* across all
9 window sizes for the remaining seven floodplains (Table 4, Fig. 6). This relationship was
10 almost identical across all window sizes.

11

12 **5 Discussion**

13 **5.1 The *FSC* index**

14 The Euclidean Index of floodplain surface complexity (*FSC*) used in this study is comprised
15 of two key components of spatial complexity; the character and variability of features or
16 conditions, and their spatial organization. This index appears to discriminate between
17 floodplains with distinctly different geomorphological features. The multivariate nature of the
18 index, comprised of 12 indicators of surface complexity (Table 2), has advantages over
19 univariate indices that have been applied to measure floodplain surface complexity.
20 Univariate indices fail to incorporate multiple aspects of surface structure that contribute to
21 surface complexity (Dorner et al., 2002; Frost et al., 2005; Tokeshi and Arakaki, 2012).
22 Having a single, multivariate-based index is also favourable rather than multiple individual
23 indicators of floodplain surface complexity, as it allows a quantitative measure that can be
24 compared for multiple riverine landscapes. Norris et al. (2007) provide a comparable example
25 of such an application in their assessment of river condition, as do Flotemersch et al. (2015) in
26 their Watershed Integrity Index. It is important to note that, the standardization of indicator
27 scores from 0 to 1 is necessary for the Euclidean Index equation (Norris et al., 2007), as the
28 *FSC* index is a relative index of floodplain surface complexity across a group of floodplains
29 all of which were included in the standardization of the indicators. This is appropriate for
30 examining relationships between floodplain surface complexity and environmental controls,
31 given adequate replication over a range of floodplain settings is achieved. However, it should

1 not be used to compare against indices of other studies, unless all floodplains being compared
2 are included in the calculation of the index.

3 Recent approaches to examining and understanding ecosystem complexity and the emergent
4 properties that arise from interactions within systems emphasise the importance of
5 heterogeneity, connectivity, and contingency within the landscape (Loreau et al., 2003;
6 Cadenasso et al., 2006). We have presented an index of floodplain surface complexity within
7 such a framework that incorporates measures of variability and spatial organization. These
8 two components of spatial complexity are directly associated with heterogeneity and
9 connectivity (Wiens, 2002), although no direct measure of historical contingency is given in
10 this spatial approach. Metrics and indicators used to measure properties of landscape and
11 ecosystem complexity in the past have largely been based on discrete units and the familiar
12 concept of ‘patches’ (Forman and Godron, 1981). The surface metrics employed in this study
13 are conceptually equivalent to certain patch metrics and a comprehensive comparison of
14 surface and patch metrics is provided by McGarigal et al. (2009). Thus, the approach
15 presented in this study should be considered complimentary to other ecosystem complexity
16 frameworks, such as the meta-ecosystem approach (Loreau et al., 2003), which are based on
17 patches.

18 **5.2 Environmental drivers of floodplain surface complexity**

19 The results of this research demonstrate that floodplain surface complexity is highly variable
20 among the eight floodplains studied, and that floodplain width exerts a significant ‘top-down’
21 control (sensu Thorp et al., 2008) on differences in floodplain surface complexity. These
22 results clearly support geomorphological and ecological thinking that “...*the valley rules the*
23 *stream...*”, as argued first by Hynes (1975) and strongly supported since (e.g., Schumm, 1977;
24 Miller, 1995; Panin et al., 1999; Thoms et al., 2000). In this case, the valley rules the
25 floodplain surface complexity, at least in terms of the ‘top-down’ influences investigated here.
26 The influence of floodplain width on floodplain surface complexity decreases once widths are
27 greater than 10 km. This is likely due to the dissipation of flood energy in wide floodplains,
28 limiting the construction of large topographic features that contribute to surface complexity.
29 However, subtle topographic features in wide floodplains are also importance surface features
30 (Fagan and Nanson, 2004), which may have been overlooked in this index. In narrower,
31 confined settings, where widths are less than 10 km, floodplain construction may be the result
32 primarily of vertical processes (e.g., accretion/incision) leading to more prominent

1 topographic features that exhibit a higher degree of spatial organization and thus increased
2 surface complexity (Nanson and Croke, 1992). Such complexity can lead to the concentration
3 of flood energies in particular areas, promoting episodic catastrophic stripping (Nanson,
4 1986). The narrowest floodplain examined in this study was, on average, 1.5 km in width and
5 the results presented in this study may not apply to narrower floodplains. In particular, there is
6 known to be a loss of surface complexity when floodplains are contained between artificial
7 levees or embankments (Florsheim and Mount, 2002; Gurnell and Petts, 2002), so floodplain
8 surface complexity should not be considered to increase indefinitely with declining width in
9 floodplains.

10 Contemporary sediment yield estimates were used in this study to investigate the influence of
11 sediment yield on floodplain surface complexity. However, historical sediment yields are
12 thought to be relatively more important in structuring floodplains (Panin et al., 1999).
13 Substantial anthropogenic increases in sediment loads have been reported for the Gwydir
14 floodplain (De Rose et al., 2003), and once this floodplain was removed as an outlier,
15 variability in surface geometry was found to significantly increase with sediment yield. This
16 result suggests that sediment yield may exert ‘top-down’ control on the variability of
17 floodplain surface geometry, although recent anthropogenic changes in sediment yields
18 (Prosser et al., 2001), particularly increased erosion in the catchment due to land use changes,
19 may have delayed ‘lag’ effects on floodplain surfaces which have not yet been observed
20 (sensu Thoms, 2006).

21 Valley slope was used in this study as a surrogate for stream energy, and this was not found to
22 have any effect on overall floodplain surface complexity. More accurate measures of energy
23 conditions such as specific stream power (Nanson and Croke, 1992) may reveal effects of
24 energy conditions on floodplain surface complexity. It is also likely that variable flood energy
25 conditions within each floodplain have an effect on localized surface complexity. For
26 example, Fagan and Nanson (2004) found distinct differences in floodplain surface channel
27 patterns among high, intermediate, and low energy areas of the semi-arid Cooper Creek in
28 Australia. They also found the energy of flood flows to be largely controlled by floodplain
29 width.

30 Hydrology has been widely considered the main determinant of floodplain ecosystem pattern
31 and process (Junk et al., 1989; Hughes, 1990; Bayley, 1995; Whited et al., 2007). However,
32 the research presented in this paper indicates that this may not be the case for floodplain

1 surface complexity. None of the four hydrological variables measured here had a significant
2 effect on floodplain surface complexity. This suggests that, although hydrology is largely
3 important in driving floodplain ecosystem processes, floodplain width and sediment
4 conditions appear to exert more control over the complexity of floodplain surfaces. This is
5 important given that floodplain research and restoration is often focused on hydrology,
6 particularly connectivity (e.g., Thoms, 2003; Thoms et al., 2005); whereas valley trough,
7 sediment, and energy conditions may be more important in structuring and maintaining the
8 physical template upon which hydrology acts as an ecosystem driver (Salo, 1990). Loss of
9 floodplain surface complexity due to changes in sediment yield or calibre, or confinement
10 between artificial levees, may be as ecologically important as changes to hydrology and
11 should not be overlooked (Thoms, 2003). It is important to note, however, that some of the
12 eight floodplains studied have experienced anthropogenic alterations to their hydrology. Thus,
13 hydrological parameters based on contemporary data may not reflect the nature of the flow
14 regime that was influential in establishing current surface conditions; lagged effects of altered
15 hydrology on surface complexity may occur in the future (Thoms, 2006).

16 In terms of the origin and implications of floodplain surface complexity, this research focuses
17 on ‘top-down’ environmental drivers of floodplain surface complexity. ‘Bottom-up’
18 feedbacks from the floodplain ecosystem are also likely to affect surface complexity. For
19 example, vegetation establishment on deposited floodplain sediments is known to produce a
20 positive feedback loop in which more sediment is trapped and semi-permanent morphological
21 features such as islands develop (Nanson and Beach, 1977; Hupp and Osterkamp, 1996). Such
22 feedbacks are likely to influence floodplain surface complexity, particularly in floodplains
23 dominated by such features (Gurnell and Petts, 2002; Stanford et al., 2005). ‘Bottom-up’
24 influences on floodplain surface complexity are difficult to quantify and were not examined in
25 this study. Future research into the influence of vegetation type and density on floodplain
26 surface complexity, particularly in relation to its hydraulic roughness, may provide valuable
27 insights into ‘bottom-up’ controls on floodplain surface complexity. Such data are also
28 available through LiDAR (Straatsma and Baptist, 2008). Effects of floodplain surface
29 complexity on biodiversity and productivity should also be examined in future research. The
30 floodplain surface provides the primary geomorphic template upon which ecosystem and
31 evolutionary processes are acted out (Salo, 1990) and it would be expected that increased
32 surface complexity would promote the range of physical habitats required to maintain
33 floodplain biodiversity (Hamilton et al., 2007).

1 The inclusion of other floodplains, from different regions, in future studies of this nature,
2 would further determine whether the trends observed in this study extend beyond the
3 floodplains investigated here. This study was limited to eight floodplains because of data
4 availability. As high-resolution LiDAR data across many more floodplains are made available
5 to researchers, other analyses such as multiple regression will be possible in studies such as
6 this. Multiple regression would enable the interactive effects of environmental variables to be
7 elucidated, whereas this study was limited to relatively simple linear regression because of the
8 sample size of only eight floodplains.

9

10 **5.3 The effect of scale**

11 The different sampling scales used in this research indicate that the scale at which patterns in
12 floodplain surfaces are most complex depends on the floodplain setting. In particular, wide,
13 unconfined floodplains appear to have higher floodplain surface complexity when measured
14 at larger sampling scales, whereas narrow, confined floodplains have so at smaller sampling
15 scales. These results suggest that the scale of processes that maximize complexity, and
16 potentially biodiversity and productivity (Tockner and Ward, 1999), in floodplains differ
17 between different valley settings. This has implications for understanding and managing the
18 complexity of floodplain ecosystems. Floodplain processes, which operate over certain
19 temporal scales, elicit a response over relative spatial scales (Salo, 1990; Hughes, 1997).
20 Consequently, managing processes at the appropriate scale to achieve desired outcomes is
21 important (Parsons and Thoms, 2007). This has already been recognized for managing
22 floodplain hydrology to maintain biodiversity (Amoros and Bornette, 2002) and these results
23 indicate it is also important for managing the processes that maintain floodplain surface
24 complexity.

25

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3

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- 6

1 Table 1. Summary of the geographical and climatic settings of the eight study floodplains.

Floodplain name	Valley setting	Climate	Stream network setting
Bidgee	Confined	Semi-arid/temperate	Lowland continuous
Gwydir	Unconfined	Semi-arid/temperate	Lowland terminal
Macquarie	Unconfined	Semi-arid/temperate	Lowland continuous
Mississippi	Confined	Continental	Upland continuous
Narran	Unconfined	Semi-arid	Lowland terminal
Shingwedzi	Confined	Sub-tropical	Upland continuous
Woodforde	Confined	Arid	Headwaters continuous
Yanga	Unconfined	Semi-arid/temperate	Lowland continuous

2

1 Table 2. Summary of the indicators used to calculate the index of Floodplain Surface
 2 Complexity (*FSC*). Averages and standard deviations of the surface metrics (left columns) are
 3 calculated from 50 random sample locations throughout each floodplain. The nugget and
 4 range from the Moran's I spatial correlograms (right columns) are extracted from the
 5 exponential isotropic models fit to these. See Scown et al. (2015a) for detailed calculation
 6 procedures.

Indicators of variability in surface geometry		Indicators of spatial organisation of surface conditions	
Average standard deviation of surface heights	Indicates variability in surface elevation within an area	Spatial correlogram exponential isotropic model nugget ($\times 4$ metrics)	Indicates strength of spatial organisation
Average coefficient of variation of surface heights	Indicates variability in surface elevation relative to the mean elevation within an area	Inverse of the spatial correlogram exponential isotropic model range ($\times 4$ metrics)	Indicates patchiness or fragmentation in spatial organisation
Standard deviation of skewness of surface heights	Indicates variability in erosional and depositional features within an area		
Average standard deviation of surface curvature	Indicates how convoluted the surface is		

7

1 Table 3. Results from regression analyses of *FSC*, *VSG*, and *SPO* against *Fpw* at each of the
 2 three window sizes.

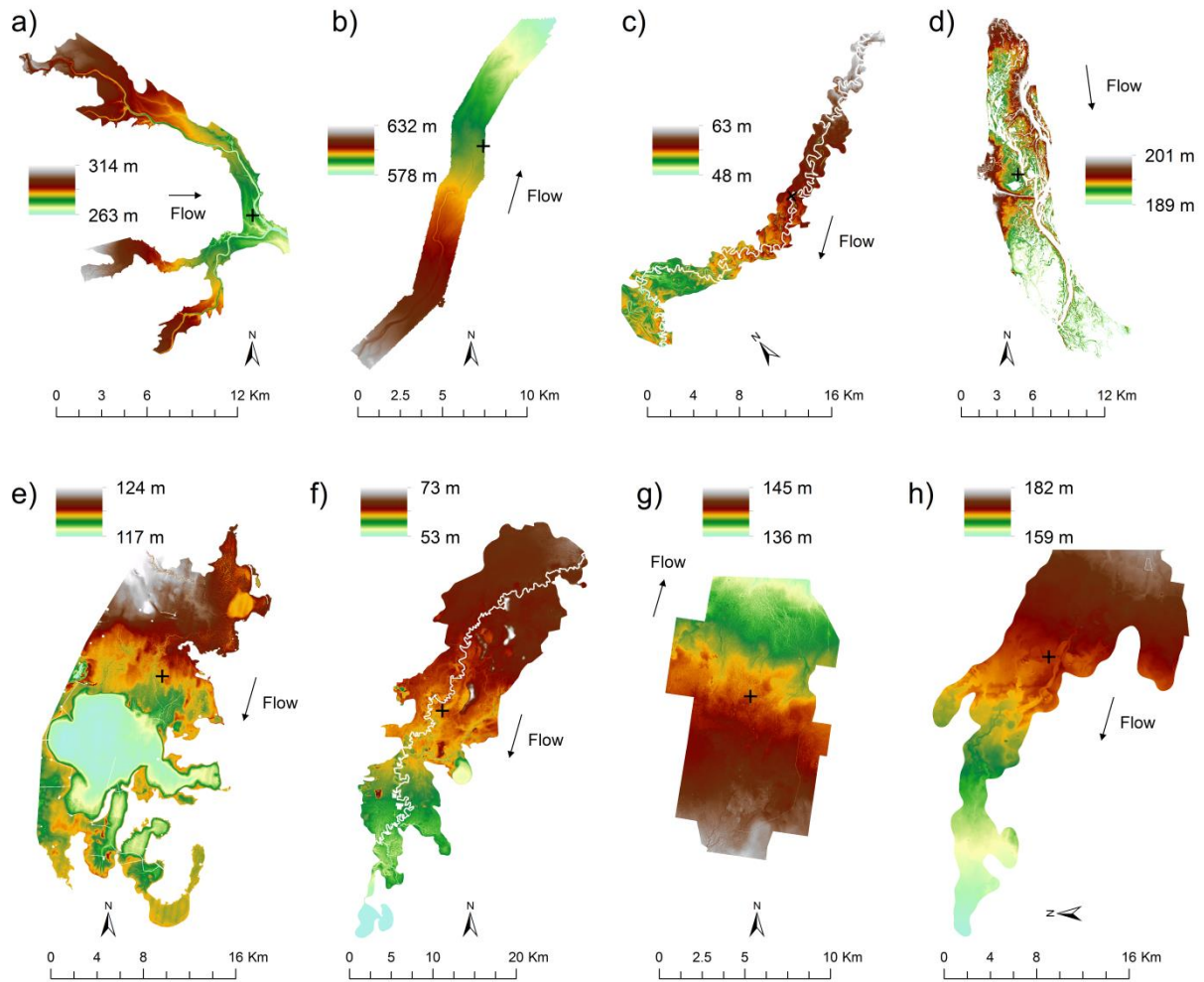
	Best model	F	<i>d.f.</i>	p	r ²
<i>FSC</i>	50 m $y = 0.765x^{-0.414}$	10.344	1, 7	0.02	0.63
	200 m $y = 0.762x^{-0.420}$	25.523	1, 7	0.00	0.81
	1000 m $y = 0.549x^{-0.213}$	5.871	1, 7	0.05	0.50
<i>VSG</i>	50 m $y = -0.151 \ln x + 0.630$	9.642	1, 7	0.02	0.62
	200 m $y = 0.627x^{-0.418}$	26.319	1, 7	0.00	0.81
	1000 m $y = 0.472e^{-0.064x}$	13.574	1, 7	0.01	0.69
<i>SPO</i>	50 m $y = -0.145 \ln x + 0.737$	14.515	1, 7	0.01	0.71
	200 m $y = -0.204 \ln x + 0.866$	20.586	1, 7	0.00	0.77
	1000 m	0.570	1, 7	0.48*	0.09

3

- 1 Table 4. Results from regression analyses of *VSG* against $\log_{10}(SY) + 1$ at each of the three
 2 window sizes with Gwydir removed.

	Best model	F	<i>d.f.</i>	p	r^2
50 m	$y = 0.183x + 0.088$	50.497	1, 6	0.00	0.91
200 m	$y = 0.158x + 0.084$	18.179	1, 6	0.00	0.78
1000 m	$y = 0.142x + 0.088$	36.076	1, 6	0.00	0.88

3

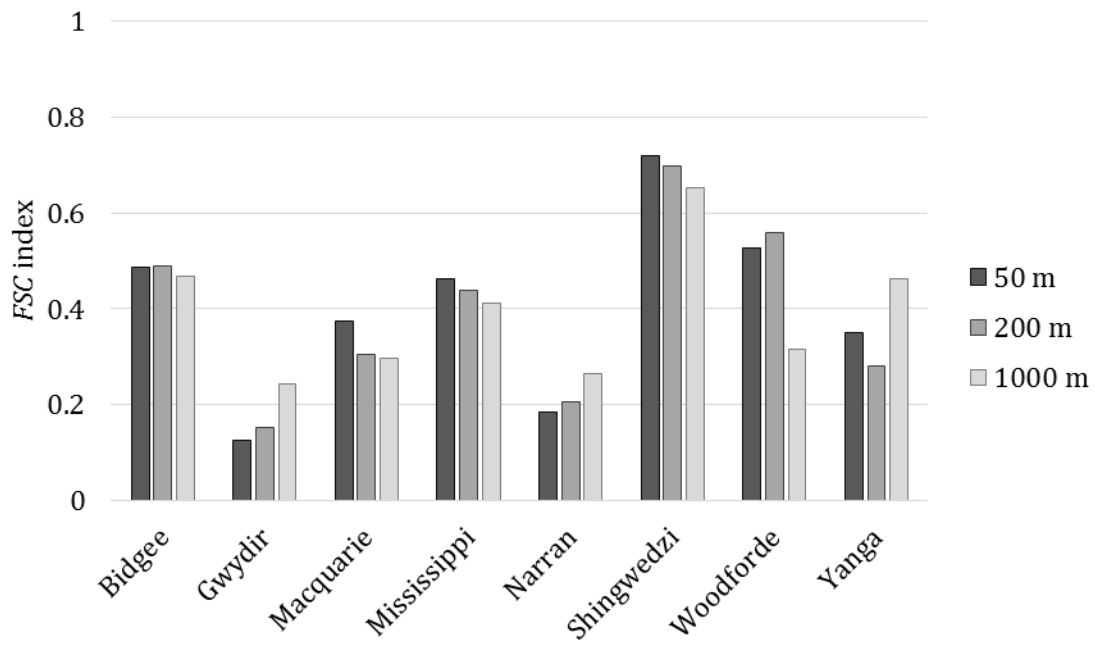


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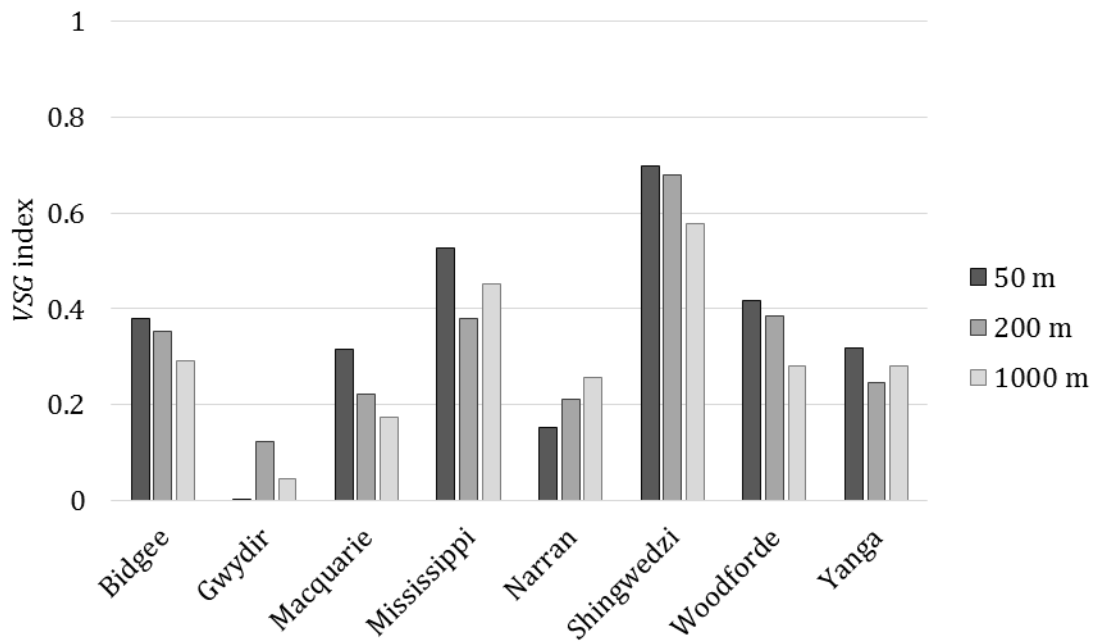
3 Figure 1. Digital elevation models displaying the floodplain surface in meters above sea level
 4 for each study site (crosses indicate coordinates listed): a) Shingwedzi ($31^{\circ}24'E$, $23^{\circ}05'S$); b)
 5 Woodforde ($133^{\circ}20'E$, $22^{\circ}21'S$); c) Bidgee ($143^{\circ}24'E$, $34^{\circ}42'S$); d) Mississippi ($91^{\circ}15'W$,
 6 $43^{\circ}29'N$); e) Narran ($147^{\circ}23'E$, $29^{\circ}48'S$); f) Yanga ($143^{\circ}42'E$, $34^{\circ}30'S$); g) Macquarie
 7 ($147^{\circ}33'E$, $30^{\circ}41'S$); h) Gwydir ($149^{\circ}20'E$, $29^{\circ}16'S$).

8



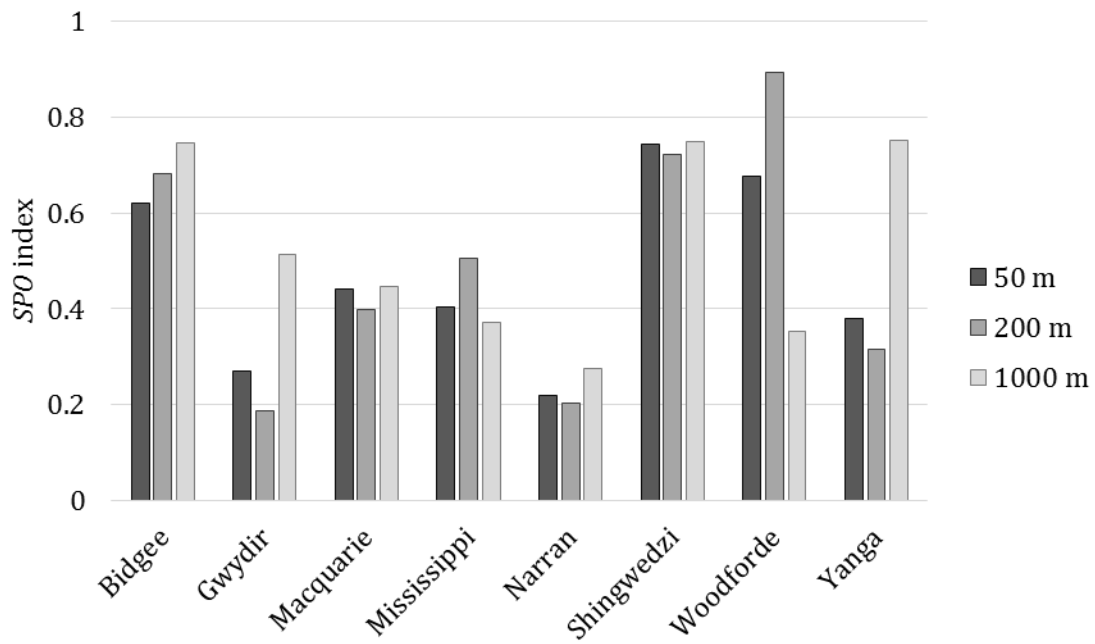
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Figure 2. Index of floodplain surface complexity (*FSC*) for the eight floodplains at each of the three window sizes.



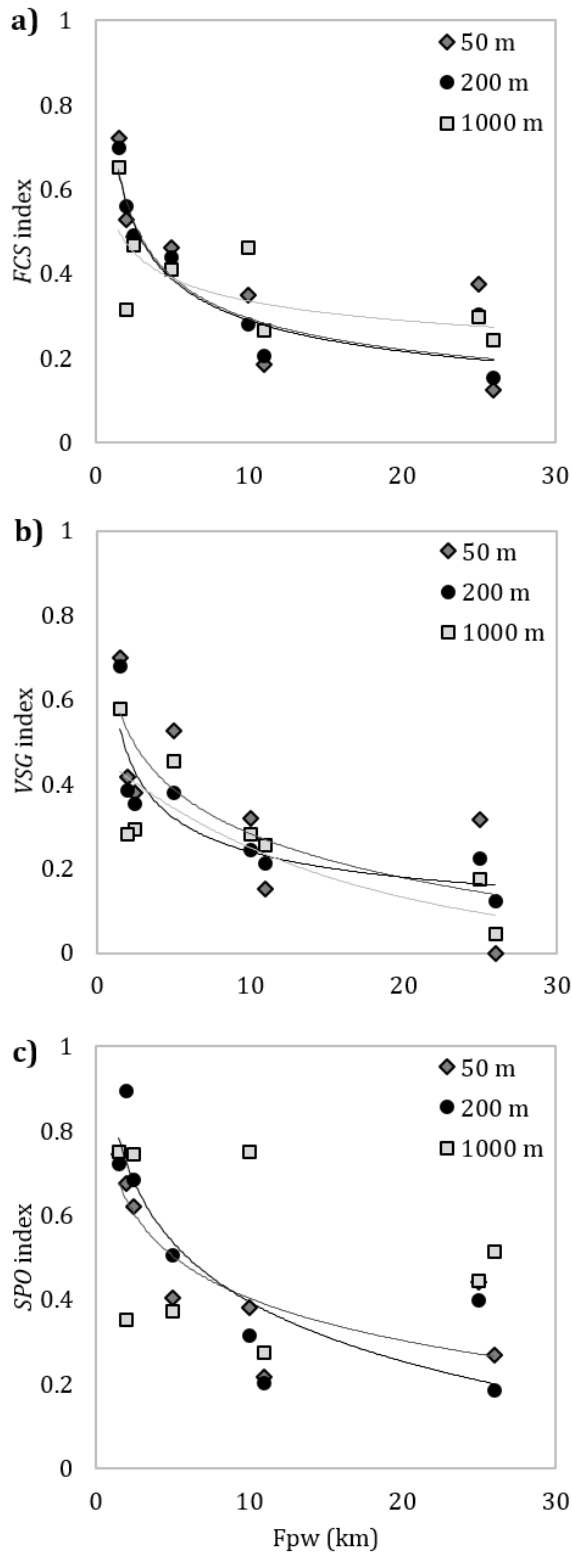
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Figure 3. Index of variability in surface geometry (VSG) for the eight floodplains at each of the three window sizes.



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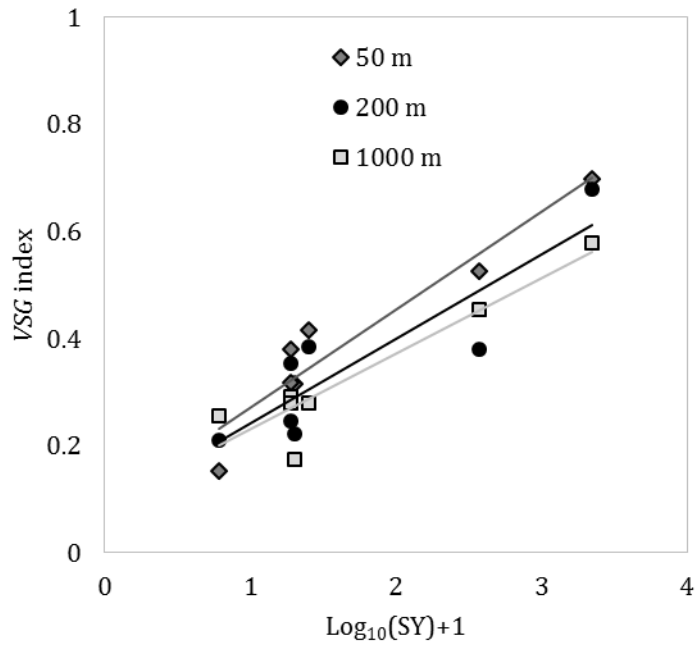
Figure 4. Index of spatial organisation of surface conditions (*SPO*) for the eight floodplains at each of the three window sizes.



1

2 Figure 5. Power relationships between floodplain width (Fpw) and a) floodplain surface
 3 complexity (*FSC*), b) variability of surface geometry (*VSG*), and c) spatial organisation of
 4 surface conditions (*SPO*) at each of the three window sizes.

5



1

2

3 Figure 6. Linear relationships between log-transformed SY and variability of surface

4 geometry (VSG) at each of the three window sizes with Gwydir removed.