1	<b>Climatological Characteristics of Raindrop Size</b>
2	Distributions in Busan, Korea
3	Sung-Ho SUH <sup>1</sup>
4	
5	Cheol-Hwan YOU <sup>2*</sup>
6	Email: <u>youch@pknu.ac.kr</u>
7	
8	Dong-In LEE <sup>1,2</sup>
9	
10	
11	Institutional addresses:
12	<sup>1</sup> Department of Environmental Atmospheric Sciences, Pukyong National University, Daeyeon
13	campus 45, Yongso-ro, Namgu, Busan 608-737 Republic of Korea
14	
15	<sup>2</sup> Atmospheric Environmental Research Institute, Daeyeon campus 45, Yongso-ro, Namgu,
16	Busan 608-737 Republic of Korea
17	
18	* Corresponding author
19	

#### 20 Abstract

21 Raindrop size distribution (DSD) characteristics within the complex area of Busan, Korea 22 (35.12°N, 129.10°E) were studied using a Precipitation Occurrence Sensor System (POSS) disdrometer over a four-year period from 24 February 2001 to 24 December 2004. Also, to find 23 the dominant characteristics of polarized radar parameters which is differential radar 24 reflectivity (Zdr), specific differential phase (Kdp) and specific attenuation (Ah), T-matrix 25 26 scattering simulation was applied in present study. To analyze the climatological DSD 27 characteristics in more detail, the entire period of recorded rainfall was divided into 10 28 categories not only covering different temporal and spatial scales, but also different rainfall 29 types. When only convective rainfall was considered, mean values of mass weighted mean 30 diameter (D<sub>m</sub>) and normalized number concentration (N<sub>w</sub>) values for all these categories 31 converged around a maritime cluster, except for rainfall associated with Typhoons. The convective rainfall of a Typhoon showed much smaller  $D_m$  and larger  $N_w$  compared with 32 the other rainfall categories. 33

In terms of diurnal DSD variability, we analyzed maritime (continental) precipitation during the daytime (DT) (nighttime, NT), which likely results from sea (land) wind identified through wind direction analysis. These features also appeared in the seasonal diurnal distribution. The DT and NT Probability Density Function (PDF) during the Summer was similar to the PDF of the entire study period. However, the DT and NT PDF during the Winter season displayed an inverse distribution due to seasonal differences in wind direction.



#### 42 **1. Introduction**

43 Raindrop Size Distribution (DSD) is controlled by the microphysical processes of rainfall and therefore it plays an important role in development of the Quantitative Precipitation Estimation 44 (QPE) algorithms based on forward scattering simulations of radar measurements (Seliga and 45 Bringi, 1976). DSD data accurately reflects local rainfall characteristics within an observation 46 area (You et al., 2014). Many DSD models have been developed to characterize spatial-47 temporal differences in DSDs under various atmospheric conditions (Ulbrich, 1983). Marshall 48 and Palmer (1948) developed an exponential DSD model using DSD data collected by a filter 49  $N(D) = 8 \times 10^3 \exp(-410R^{-0.21}D)$  in m<sup>-3</sup>mm<sup>-1</sup>, technique 50 paper ( D in mm and R in mm  $h^{-1}$ ). In subsequent studies, a lognormal distribution was assumed to 51 overcome the problem of exponential DSD mismatching with real data (Mueller, 1966; Levin, 52 53 1971; Markowitz, 1976; Feingold and Levin, 1986).

To further investigate natural DSD variations, Ulbrich (1983) developed a gamma DSD that permitted changing the dimension of the intercept parameter ( $N_0$  in m<sup>-3</sup> mm<sup>-1-µ</sup>) with  $N(D) = N_0 D^{\mu} exp(-\Lambda D)$ . In addition, to enable the quantitative analysis of different rainfall events, the development of a normalized gamma DSD model that accounted for the independent distribution of DSD from the disdrometer channel interval enabled a better representation of the actual DSD (Willis, 1984; Dou et al., 1999; Testud et al., 2001).

DSDs depend on the rainfall type, geographical and atmospheric conditions and observation time. Also these are closely linked to microphysical characteristics that control rainfall development mechanisms. In the case of stratiform rainfall, raindrops grow by the accretion mechanism because of the relatively long residence time in weak updraft condition, in which almost all water droplets are changed to ice particles. With time, the ice particles grow

65 sufficiently and fall to the ground. The raindrop size of stratiform rainfall observed at the ground level is larger than that of convective rainfall for a same rainfall intensity due to the 66 resistance of the ice particles to break-up mechanisms. In contrast to stratiform rainfall, 67 convective rainfall raindrops grow by the collision-coalescence mechanism associated with 68 relatively strong vertical wind speeds and short residence time in the cloud. Fully-grown 69 70 raindrops of maritime precipitation are smaller in diameter than those in stratiform rainfall due to the break-up mechanism in case of same rainfall rate (Mapes and Houze Jr, 1993; Tokay and 71 72 Short, 1996). Convective rainfall can be classified into two types based on the origin and direction of movement. Rainfall systems occurring over ocean and land are referred to as 73 maritime and continental rainfall, respectively (Göke et al., 2007). Continental rainfall is 74 75 related to a cold-rain mechanism whereby raindrops grow in the form of ice particles. In contrast, maritime rainfall is related to a warm-rain mechanism whereby raindrops grow by the 76 collision-coalescence mechanism. Therefore, the mass-weighted drop diameter (D<sub>m</sub>) of 77 continental rainfall observed on the ground is larger than that of maritime rainfall, and a smaller 78 normalized intercept parameter  $(N_w)$  is observed in continental rainfall (Bringi et al., 2003). 79 Specific heat is a major climatological feature that creates differences between DSDs in 80 maritime and continental regions. These two regions have different thermal capacities and thus 81 82 different temperature variations are occurred with time. The surface temperature of the ocean 83 changes slowly because of the higher thermal capacity compared with land. While the continental regions which have comparatively lower thermal capacity show greater diurnal 84 85 temperature variability. Sea winds generally are occurred in from afternoon to early evening when the temperature gradient between the sea and land becomes negative, which is opposite 86

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gradient in the daytime (DT). In coastal regions, the land and sea wind effect causes a

mountains are located near the coast, the difference is intensified by the effect of mountain and
valley winds (Qian, 2008).

91 In the present study, we analyzed a four-year dataset spanning from 2001 to 2004, collected from Busan, Korea (35.12°N, 129.10°E) using a Precipitation Occurrence Sensor System 92 (POSS) disdrometer, to investigate the characteristics of DSDs in Busan, Korea which consist 93 94 complex mid-latitude region comprising both land and ocean. To quantify the effect of land and sea wind on these characteristics, we also analyzed diurnal variations in DSDs. The 95 96 remainder of the manuscript is organized as follows. In Section 2 we review the normalized gamma model and explain the DSD quality control method and the classification of rainfall. In 97 Section 3 we report the results of DSD analysis with respect to stratiform/convective and 98 99 continental/maritime rainfall, and discuss diurnal variations. Finally, a summary of the results and the main conclusions are presented in Section 4. 100

101

#### 102 **2. Data and Methods**

#### 103 2.1. Normalized Gamma DSD

DSDs are defined by  $N(D) = N_0 \exp(-\Lambda D) (m^{-3}mm^{-1})$  and are reflect the microphysical characteristics of rainfall using the number concentration of raindrops (N(D)). Also, DSDs are able to calculate the many kind of parameters which show the dominant feature of raindrops. Normalization is used to define the DSD and to solve the non-independence of each DSD parameter (Willis, 1984; Dou et al., 1999; Testud et al., 2001). Furthermore, a normalized gamma DSD enables the quantitative comparison for rainfall cases regardless of time scale and rain rate. Here, we use the DSD model designed by Testud et al. (2001): 112 
$$N(D) = N_w f(\mu) (\frac{D}{D_m})^{\mu} \exp\left[-(4+\mu) \frac{D}{D_m}\right].$$
 (1)

114 where D is the volume equivalent spherical raindrop diameter (mm), and  $f(\mu)$  is defined 115 using the DSD model shape parameter ( $\mu$ ) and gamma function ( $\Gamma$ ) as follows:

117 
$$f(\mu) = \frac{6}{4^4} \frac{(\mu+4)^{4+\mu}}{\Gamma(4+\mu)}$$
 (2)

119 From the value of N(D), the median volume diameter ( $D_0$  in mm) can be obtained as follows: 

121 
$$\int_0^{D_0} D^3 N(D) dD = \frac{1}{2} \int_0^{D_{\text{max}}} D^3 N(D) dD.$$
 (3)

123 Mass-weighted mean diameter ( $D_m$  in mm) is calculated as the ratio of the fourth to the third 124 moment of the DSD:

126 
$$D_{\rm m} = \frac{\int_0^{D_{\rm max}} D^4 N(D) dD}{\int_0^{D_{\rm max}} D^3 N(D) dD}.$$
 (4)

128 The normalized intercept parameter ( $N_w$  in m<sup>-3</sup>mm<sup>-1</sup>) is calculated as follows:

130 
$$N_{w} = \frac{4^{4}}{\pi \rho_{w}} \left( \frac{LWC}{D_{m}^{4}} \right).$$
(5)

132 The shape of the DSD is calculated as the ratio of  $D_m$  to the standard deviation (SD) of  $D_m$ 133 ( $\sigma_m$  in mm) (Ulbrich and Atlas, 1998; Bringi et al., 2003; Leinonen et al., 2012):

135 
$$\sigma_{\rm m} = \left[\frac{\int_0^{\rm Dmax} D^3 (D - D_{\rm m})^2 N(D) dD}{\int_0^{\rm Dmax} D^3 N(D) dD}\right]^{\frac{1}{2}}.$$
 (6)

137 In addition, 
$$\sigma_m/D_m$$
 is related to  $\mu$  as follows:

139 
$$\frac{\sigma_{\rm m}}{D_{\rm m}} = \frac{1}{(4+\mu)^{1/2}}.$$
 (7)

141 Liquid water content (LWC in  $g m^{-3}$ ) can be defined from the estimated DSD:

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$$LWC = \frac{\pi}{6} \rho_w \int_0^{D_{max}} D^3 N(D) dD.$$
 (8)

145 where  $\rho_w$  is the water density (g m<sup>-3</sup>) and it assumed as  $1 \times 10^6$  g m<sup>-3</sup> for a liquid. Similarly, 146 the rainfall rate (R in mm h<sup>-1</sup>) can be defined as follows:

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148 
$$R = \frac{3.6}{10^3} \frac{\pi}{6} \int_0^{D_{max}} v(D) D^3 N(D) dD.$$
(9)

149

where the value of factor  $3.6 \times 10^3$  is the unit conversion which converts the mass flux unit (mg m<sup>-2</sup> s<sup>-1</sup>) to the common unit (mm h<sup>-1</sup>) for the convenience. v(D) (m s<sup>-1</sup>) is the terminal velocity for each raindrop size. The relationship between v(D) and D (mm) is given by Atlas et al. (1973) who developed an empirical formula based on the data reported by Gunn and Kinzer (1949):

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156 
$$v(D) = 9.65 - 10.3 \exp[-0.6D].$$
 (10)

157

### 158 2.2 Quality Control of POSS Data

POSS is used to measure the number of raindrops within the diameter range of 0.34-5.34 mm, using bistatic, continuous wave X-band Doppler radar (10.525 GHz) across 34 channels (Fig. 1; Sheppard and Joe, 2008). To estimate DSDs, Doppler power density spectrum is calculated as follows;

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165 
$$S(f) = \int_{D_{\min}}^{D_{\max}} N(D_m) V(D_m, \rho, h, w) \overline{S}(f, D_m, \rho, h, w) dD_m.$$
 (11)

166

Where S(f) means Doppler spectrum power density,  $V(D_m, \rho, h, w)\overline{S}(f, D_m, \rho, h, w)$  means 167 weighting function of S(f),  $\overline{S}$  is the mean of S(f),  $\rho$  is density of precipitation distribution, h 168 is the shape of precipitation distribution,  $w(m s^{-1})$  is wind speed and V(x) is sample 169 volume and the symbol 'x' means arbitrary parameters which affect the sampling volume. The 170 Doppler power density spectrum has a resolution of 16Hz and terminal velocity  $(v_t)$  has a 171 resolution of 0.24 m s<sup>-1</sup>. Transmitter and receiver skewed about 20° toward each other, and 172 cross point of signal is located over 34 cm from transmitter-receiver. Transmitter-receiver 173 toward upper side detects N(D) in V(x) (Sheppard, 1990). Also, Sheppard (1990) and 174 Sheppard and Joe (1994) noted some shortcomings as the overestimation of small drops at 175 horizontal wind larger than 6 m s<sup>-1</sup>. However, in present study, the quality control of POSS 176 for wind effect was not considered because it lies beyond this work. Detailed specifications 177 and measurement ranges and raindrop sizes for each observation channel of the POSS 178 disdrometer are summarized in Table 1. 179

A POSS disdrometer have been operating in Busan, Korea (35.12°N, 129.10°E), along with other atmospheric instruments, the locations of which are shown in Fig. 2. Estimating raindrop diameter correctly is challenging and care should be taken to ensure reliable data are collected. We performed the following quality controls to optimize the accuracy of the disdrometer estimates. i) Non-liquid type event data (e.g., snow, hail etc.) detected by POSS were excluded by routine observation and surface weather chart provided by Korea Meteorological Administration (KMA). ii) DSD spectra in which drops were not found in at least five 187 consecutive channels were removed as non-atmospheric. iii) Only data recorded in more than 188 10 complete channels were considered. iv) To compensate for the reduced capability to detect 189 raindrops smaller than 1 mm when  $R > 200 \text{ mm h}^{-1}$  (as recorded by the disdrometer), data 190 for  $R>200 \text{ mm h}^{-1}$  were not included in the analyses, even though the number of samples was 191 only 64 for the entire period. v) To eliminate wind and acoustic noise, data collected when R <192 0.1 mm h<sup>-1</sup> are removed (Tokay and Short, 1996).

After performing all quality control procedures, 99,388 spectra were left from original data (166,682) for 1-min temporal resolution. Accumulated rainfall amount from POSS during the entire period was 4261.49 mm. To verify the reliability of the POSS data, they were compared with data collected by a 0.5 mm tipping bucket rain gaugeat an automatic weather system (AWS) located ~368 m from the POSS (Fig. 3).

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#### 199 2.3 Radar Parameters

First, the radar reflectivity factor (z in  $mm^6m^{-3}$ ) and non-polarized radar reflectivity (Z in dBZ) were computed using the DSD data collected by POSS, as follows:

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203 
$$z = \int_0^{D_{max}} D^6 N(D) dD.$$
 (12)

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205 
$$Z = 10\log_{10}(z).$$
 (13)

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207 The T-matrix method used in this study is initially proposed by Waterman (1965, 1971) to

calculate electromagnetic scattering by single non-spherical raindrops. The adaptable 208 parameters for this calculation are frequency, temperature, hydrometeor types, raindrop's 209 210 canting angle and axis ratio ( $\gamma$ ) and explained the following sentences. Axis ratios of raindrops differ with atmospheric conditions and rainfall type. To derive the drop shape relation from the 211 drop diameter, we applied the results of numerical simulations and wind tunnel tests employing 212 a forth-polynomial equation, as in many previous studies (Beard and Chuang, 1987; 213 Pruppacher and Beard, 1970; Andsager et al., 1999; Brandes et al., 2002). The axis ratio 214 relation used in the present study is a combination of those from Andsager et al. (1999) and 215 Beard and Chuang (1987) for three raindrop size ranges (Bringi et al., 2003). 216

217 The raindrop axis ratio relation of Andsager et al. (1999) is applied in the range of 1 <</li>
218 D (mm) < 4, as follows:</li>

219

$$220 \quad r = 1.0048 + 0.0057D - 2.628D^2 + 3.682D^3 - 1.677D^4.$$
(14)

221

The drop-shape relation of Beard and Chuang (1987) is applied in the range of D < 1 mmand D > 4 mm, as follows:

224

225 
$$r = 1.012 + 0.01445D - 0.01028D^2$$
. (15)

226

We assumed SD and the mean canting angle of raindrops as 7° and 0°, respectively. The refractive indices of liquid water at 20 °C were used (Ray, 1972). Also, the condition of frequency for electromagnetic wave of radar is 2.85 GHz (S-band). We calculated dual polarized radar parameters based on these conditions. The parameters of differential reflectivity  $(Z_{dr} \text{ in dB})$ , specific differential phase ( $K_{dp}$  in deg km<sup>-1</sup>), and attenuation ( $A_h$  in dB km<sup>-1</sup>), using DSD data were calculated and analyzed.

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## 234 2.4. Classification of Rainfall Types and Rainfall Events

Rainfall systems can be classified as stratiform or convective in nature, via analysis of the 235 236 following microphysical characteristics: i) DSD, using relationships between  $N_0$  and R ( $N_0 >$  $4 \times 10^{9} R^{-4.3}$  in m<sup>-3</sup>mm<sup>-1</sup> is considered as convective rainfall, Tokay and Short, 1996; 237 238 Testud et al., 2001); ii) Z, where, according to Gamache and Houze (1982), a rainfall system that displays radar reflectivity larger than 38 dBZ is considered to be convective; and iii) R, 239 where average value larger than 0.5 mm per 5 min is considered as convective rainfall (Johnson 240 and Hamilton, 1988). Alternatively, rainfall that has 1-min R > 5 (0.5) mm h<sup>-1</sup> and a SD of 241  $R > (<) 1.5 \text{ mm h}^{-1}$  is considered as convective (stratiform) type (Bringi et al., 2003). The 242 rainfall classification method proposed by Bringi et al. (2003) is applied in the present study. 243 It is necessary to categorize different rainfall systems because their microphysical 244 245 characteristics show great variation depending on the type of rainfall, as well as the type of rainfall event; e.g., Typhoon, Changma, heavy rainfall and seasonally discrete rainfall. To 246 investigate the temporal variation in DSDs, we analyzed daily and seasonal DSDs. Likewise, 247 to investigate diurnal variability in DSD, DT and NT data were considered by using the sunrise 248 and sunset time in Busan (provided by the Korea Astronomy and Space Science Institute 249 [KASI]). In the middle latitudes, and including Busan, the timings of sunrise and sunset vary 250 due to solar culminating height. The earliest and latest sunrise (sunset) time of the entire period 251

is 0509 KST (1712 KST) and 0733 KST (1942 KST), respectively. DT (NT) is defined as the
period from the latest sunrise (sunset) time to the earliest sunset (sunrise) time for the unity of
classification of each time group (Table 2).

255 To analyze the predominant characteristics of DSDs for Typhoon rainfall, nine Typhoon events

were selected from throughout the entire study period which is summarized in Table 2.

This study utilizes KMA rainfall warning regulations to identify heavy rainfall events. The KMA issues a warning if the accumulated rain amount is expected to be >70 mm within a 6hour period, or >110 mm within a 12-hour period. Rainfall events classified as Changma and Typhoon were not included in the classification 'heavy rainfall'.

Changma is the localized rainfall system or rainy season that is usually present over the Korean Peninsula between mid-June and mid-July which is similar to the Meiyu (China) or Baiu (Japan). The selected dates and periods of each rainfall category are summarized in Table 2.

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#### 265 **3. Results**

266 **3.1. DSD and Radar Parameters** 

Figure 4 shows the Probability Density Function (PDF) and Cumulative Distribution Function (CDF) of DSDs and radar parameters with respect to the entire, stratiform and convective rainfall. The PDFs of DSD and radar parameters were calculated using the nonparameterization kernel estimation to identify the dominant distribution of each parameter recorded in Busan. Non-parameterization kernel estimation was also used to identify continuous distributions of DSDs. The PDF of stratiform rainfall is more similar to that of the dataset for the entire analysis period due to the dominant contribution of stratiform rainfall 274 (about 62.93%) to the overall rainfall than that of convective rainfall. However, the PDF for convective rainfall is significantly different from that of the entire analysis period, and as the 275 convective rainfall contributes only 6.11% of the overall rainfall (Table 3). When  $\mu < 0$  the 276 distribution of  $\mu$  for convective rain has more value of PDF than that for stratiform rain (Fig. 277 4a). Alternatively, the frequency of  $\mu$  for stratiform rainfall is higher than that of convective 278 rainfall when  $0 < \mu < 5$ . The value of  $\mu$  for convective rainfall is higher than that for 279 stratiform rainfall because the break-up mechanism would be increase the number 280 concentration of small raindrops. The number concentrations of mid-size raindrops increased 281 282 due to the decrease in the number concentration of relatively large raindrops (Hu and Srivastava, 283 1995; Sauvageot and Lacaux, 1995). However, we observed a higher frequency of convective rainfall than stratiform rainfall in the negative  $\mu$  range. 284

The PDF of  $D_m$  displays peak around 1.2 and 1.4 mm for stratiform rainfall and the entire 285 286 rainfall dataset, respectively. We note that a gentle peak exists around 0.7 mm for both 287 stratiform and convective rainfall datasets (Fig. 4b). These features are similar to the distribution of  $D_m$  observed in a high-latitude region at Järvenpää, Finland (Fig. 4 of Leinonen 288 et al., 2012). For  $D_m$  values > 1.7 mm, the PDF for convective rainfall is higher than 289 290 stratiform rainfall. Accordingly, the value of DSD for stratiform rainfall is higher than that of convective rainfall when  $D_m < 1.7$  mm. Generally, stratiform rainfall that develops by the 291 cold rain process displays weaker upward winds and less efficient break-up of raindrops. 292 293 Therefore, in the same rainfall rate, stratiform rainfall tends to produce larger raindrops than convective rainfall that develops by the warm rain process. However, the average  $D_m$  values 294 295 for convective and stratiform rain for the entire period are approximately 1.45 and 1.7 mm, respectively. In short,  $D_m$  is proportional to R regardless of rainfall type. This finding is consistent with the results of Atlas et al. (1999) who found that the  $D_m$  of convective rainfall is larger than that of stratiform rainfall on Kapingamarangi Island, Micronesia.

The PDF of  $\log_{10}(N_w)$  for the entire rainfall dataset was evenly distributed between 1.5 and 5.5, with a peak at  $N_w = 3.3$  (Fig. 4c). The PDF of  $\log_{10}(N_w)$  for stratiform rainfall is rarely > 5.5, while for convective rainfall it is higher at > 5.5 than that of stratiform. There is a similar frequency in the stratiform and convective rainfall at 4.4.

The PDF distributions for  $log_{10}(R)$  and  $log_{10}(LWC)$  are similar each other (Fig. 4d and e). 303 304 It is inferred that the similar results come from the using of alike moment of DSD as 3.67 and 3 for R and LWC, respectively. The PDF of  $log_{10}(R)$  for the entire rainfall dataset ranged 305 306 between -0.5 and 2. A peak exists at 0.3 and the PDF rapidly decreases from the peak value as R increases. The PDF for stratiform rainfall has a higher frequency than that of the entire 307 308 rainfall when  $-0.3 < \log_{10}(R) < 0.7$ , while the PDF for convective rainfall is denser between 309 0.4 and 2. Furthermore, the frequency of the PDF for convective rainfall was higher than that of stratiform rainfall in case of  $\log_{10}(R) > 0.65$  and the peak value shown as 0.9. 310

The PDF and CDF for Z,  $Z_{dr}$ ,  $K_{dp}$  and  $A_h$  are shown in Fig. 4f-i. The PDF of Z for 311 stratiform rainfall (Fig. 4f) is widely distributed between 10 and 50 dBZ with the peak at 312 approximately 27 dBZ. Conversely, for convective rainfall, the value of PDF lie between 27 313 and 55 dBZ and the peak frequency value at approximately 41 dBZ. The frequency value of 314 reflectivity is higher for convective rainfall than for stratiform rainfall in the range of  $\sim >35$ 315 dBZ. Furthermore, the shape of the PDF for convective rainfall is similar to that reported for 316 Darwin, Australia (Steiner et al., 1995); however, for stratiform rainfall there are significant 317 318 differences between Busan and Darwin in terms of the shape of the frequency distribution. The PDF of  $Z_{dr}$  for the entire rainfall primarily exists between 0 and 2.5 dB, and the peaks are at 0.3 and 1.8 dB (Fig. 4g). The distribution of  $Z_{dr}$  for convective and stratiform rainfall is concentrated between 0.6 and 1.6 dB, and between 0.3 and 2 dB, respectively. The frequency of  $Z_{dr}$  for convective (stratiform) rainfall exists in ranges higher (lower) than stratiform (convective) at 0.9 dB.

The dominant distribution of  $K_{dp}$  for the entire dataset and for stratiform rainfall lies between 0 and 0.14 deg km<sup>-1</sup>, with a peak value of 0.03 deg km<sup>-1</sup> and 0.08 deg km<sup>-1</sup>. However, for convective rainfall the PDF of  $K_{dp}$  is evenly exist between 0.01 and 0.15 deg km<sup>-1</sup>. Furthermore, when  $K_{dp} > 0.056$  deg km<sup>-1</sup>, the frequency of the PDF for convective rainfall is higher than that of stratiform rainfall (Fig. 4h).

The PDF of  $A_h$  is similar to that of  $K_{dp}$  and is exist between 0 and 0.01 dB km<sup>-1</sup>. For the case of the entire rainfall dataset and for stratiform rainfall, the PDF of  $A_h$  is concentrated between 0 and 2.0 × 10<sup>-3</sup> dB km<sup>-1</sup> and that of convective rainfall is strongly concentrated between 1.0 × 10<sup>-3</sup> and 8.0 × 10<sup>-3</sup> dB km<sup>-1</sup> (Fig. 4i). Unlike the PDF of  $A_h$  for convective rainfall, the PDF for stratiform rainfall shows a strong peak at about 7.0 × 10<sup>-4</sup> dB km<sup>-1</sup>.

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#### 336 **3.2. Climatological Characteristics of DSD in Busan**

The climatological characteristics of DSDs for 10 rainfall categories are analyzed in this study. Sample size and ratio rainfall for each category are summarized in Table 3. Figure 5a illustrates the distribution of all 1-min stratiform rainfall data, and Fig. 5b shows scatter plots of averaged  $D_m$  and  $log_{10}(N_w)$  for all 10 rainfall categories for stratiform rainfall data. Figure 5a displays a remarkable clear boundary in the bottom sector and shows that most of the data lie below the

342 reference line used by Bringi et al. (2003) to classify convective and stratiform rainfall. The average value of  $D_m$  and  $log_{10}(N_w)$  for all rainfall categories, except for heavy rainfall, 343 exist between 1.4 mm and 1.6 mm and between 3.15 and 3.5, respectively (Fig. 5b). These 344 values are relatively small compared with the reference line presented by Bringi et al. (2003). 345 The distribution of 1-min convective rainfall data is displayed in Fig. 6a and the distribution of 346 average values of  $D_m$  and  $N_w$  for the 10 rainfall categories in the case of convective rainfall 347 348 in Fig. 6b. The blue and red plus symbols represent maritime and continental rainfall, 349 respectively, as defined by Bringi et al. (2003). The scatter plot of 1-min convective rainfall data shows more in the continental cluster than the maritime cluster; however, the average 350 values for the 10 rainfall categories are all located around the maritime cluster, except for the 351 Typhoon category. By considering the entire average values including Typhoon event (Fig. 6b), 352 we can induce the simple linear equation using  $D_m$  and  $log_{10}(N_w)$  as follows: 353

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355 
$$\log_{10}(N_w) = -1.8D_m + 6.9.$$
 (16)

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Even the coefficients in Eq. 16 might be changed slightly with the Typhoon values, this result does not represent in  $D_m < 1.2 \text{ mm}$  and  $D_m > 1.9 \text{ mm}$ . The  $D_m$  (N<sub>w</sub>) value for the Typhoon category was considerably smaller (larger) than that of the other categories as well as that of stratiform type of Typhoon. This result does not agree with that reported by Chang et al. (2009), who noted that the  $D_m$  of convective rainfall Typhoon showed a large value compared with that associated with stratiform rainfall.

#### 364 **3.3 Diurnal Variation in Raindrop Size Distributions**

#### 365 **3.3.1. Diurnal Variations in DSDs**

Figure 7a shows a histogram of normalized frequency of 16 wind directions recorded by the 366 AWS, which is the same instrument as that used to collect the data shown in Fig. 3. To establish 367 the existence of a land and sea wind, the difference in wind direction frequencies between DT 368 and NT were analyzed. Figure 7b shows the difference between DT and NT, difference 369 frequency means normalized frequency of wind direction for DT subtract to that of NT for each 370 371 direction, in terms of the normalized frequency of 16 wind directions. In other word, positive (negative) values indicate that the frequency of wind is more often observed during DT (NT). 372 Also, land (sea) wind defined in present study from 225° (45°) to 45° (225°) according to the 373 374 geographical condition in Busan. The predominant frequency of wind direction in the DT (NT), between  $205^{\circ}$  (22.5°) and 22.5° (205.5°), is higher than that in the NT (DT) (Fig. 7b). The 375 376 observation site where the POSS was installed at western side from the closest coast line, distance is about 611 m, suggesting that the effect of the land and sea wind would have been 377 recorded.To understand the effects of the land and sea wind on DSD characteristics, we 378 379 analyzed the PDF and 2-hour averaged DSD parameters for DT and NT. Figure 8 illustrates the distributions of  $\mu$ ,  $D_m$ ,  $\log_{10}(N_w)$ ,  $\log_{10}(LWC)$ ,  $\log_{10}(R)$ , and Z. There were large 380 variations of  $\mu$  with time. The  $\mu$  values varied from 2.41 to 3.17 and the minimum and 381 maximum µ values occurred at 08:00 KST and 12:00 KST, respectively (Fig. 8a). A D<sub>m</sub> 382 larger than 1.3 mm dominated from 00:00 KST to 12:00 KST, before decreasing remarkably 383 between 12:00 and 14:00 KST. The minimum and maximum D<sub>m</sub> appeared at 14:00 KST and 384 08:00 KST, respectively (Fig. 8b). 385

 $N_w$  distribution showed inversely to  $D_m$ ; however, no inverse relationship was identified between  $D_m$  and  $N_w$  in case of the time series (Fig. 8c). The maximum and minimum values of  $N_w$  were found at 06:00 KST and 22:00 KST.

Variability through time was similar for R, LWC, and Z<sub>h</sub> as D<sub>m</sub>. There was an increasing 389 trend from 00:00 KST to 08:00 KST followed by a remarkably decreasing trend from 08:00 390 KST to 14:00 KST (Figs 8d, 11e and 11f). Note that the time of the sharp decline for R 391 between 12:00 KST and 14:00 KST is simultaneous with a D<sub>m</sub> decrease. Larger (smaller) 392 393 drops would contribute to higher R in the morning (afternoon). These variations considerably matched with the diurnal sea wind time series (Fig. 8g). Sea wind is the sum value of 394 normalized wind frequency between 45° and 225°. From 02:00 (14:00) KST to 12:00 (20:00) 395 KST shows smaller (larger) value of sea wind frequency which is opposite to the relatively 396 larger (smaller) parts of each parameter  $(D_m, R, LWC \text{ and } Z_h)$ . 397

The PDF distribution of  $\mu$  between -2 and 0 is more concentrated for NT than for DT. Furthermore, when  $\mu > 0$ , DT and NT frequency distributions are similar (Fig. 9a). A larger N(D) of small or large raindrops would be expected in NT than in DT.

The distribution of DT  $D_m < 0.7 \ mm$  is wider than that of the NT. However, between 0.7 and 1.5 mm the frequency for NT is higher than that for DT, whereas the distribution in the range  $D_m > 1.5 \ mm$  is similar for both DT and NT (Fig. 9b). We note that the smaller peak of  $D_m$  around 0.6 mm for the entire rainfall dataset (Fig. 4b) was observed only in DT.

405 The distribution of  $log_{10}(N_w)$  for DT has higher value of PDF at larger  $log_{10}(N_w)$  than that 406 of NT at  $log_{10}(N_w) > 4$  (Fig. 9c).

407 Bringi et al. (2003) noted that the maritime climatology displayed larger  $N_w$  and smaller  $D_m$ 408 values than the continental climatology, based on observed DSDs in the low and middle latitude. Also, Göke et al. (2007) emphasized that rainfall type can be defined by the origin location and
movement direction. In accordance with these previous results, we consider NT rainfall in the
Busan region to be more likely caused by a continental convective system.

In the present study, the shape of the PDF of LWC and *R* for DT and NT are similar which is the same reason with the results of Fig. 4e-f. LWC and *R* distributions during the DT (NT) are higher (lower) than in the NT (DT) when  $log_{10}(LWC)$  and  $log_{10}(R)$  are larger (smaller) than -1.2 and 0, respectively (Fig. 9d and e). Z has similar pattern with *LWC* and *R* during the DT (NT) was higher (lower) than in the NT (DT) in the range below (above) about 27 *dBZ* (Fig. 9f).

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#### 419 **3.3.2. Diurnal Variations of DSDs with respect to Season**

Busan experiences distinct atmospheric conditions that are caused by the different frequencies and magnitudes of land and sea winds in response to variable sunrise and sunset times. To identify seasonal variations of DSDs with respect to the effect of the land and sea wind, we analyzed the DT and NT PDF of  $D_m$  and  $N_w$  in the Summer and Winter. The start and end times of DT (NT) were sorted using the latest sunrise (sunset) and the earliest sunset (sunrise) time for each season (Table 4) which is same method that of entire period classification.

Figure 10a shows a histogram of wind directions in Summer (light grey) and Winter (dark grey).

427 The frequencies of Summer and Winter wind directions are similar to each other. However, in

428 Fig. 10b, the DT and NT distributions of Winter wind direction display opposing frequencies.

429 Note that Winter season shows remarkable frequency of land (sea) wind between  $0^{\circ}$  (157.5°)

430 and 45° (202.5°) at DT (NT) compared with results of those for Summer season. The

431 accumulated value of normalized wind frequencies at the sea and land wind show different

432 feature between Summer and Winter season (Table 5).

To identify the variability of DSDs caused by the land and sea wind in Summer and Winter, a 433 2-hour interval time series of  $D_m$ ,  $N_w$  and R was analyzed. In the Summer, the time series 434 of  $D_m$  displays considerably large values between 00:00 KST and 12:00 KST, compared with 435 the period between 14:00 KST and 22:00 KST (Fig. 11a). The mean value of  $D_m$  decreases 436 dramatically between 12:00 KST and 14:00 KST.  $log_{10}(N_w)$  has a negative relationship with 437  $D_m$  (Fig. 11b). However, the inverse relation between  $log_{10}(N_w)$  and  $D_m$  is not remarkable. 438  $log_{10}(R)$  tends to increase gradually from 00:00 KST to 08:00 KST and decrease from 08:00 439 KST to 14:00 KST, which is similar to the pattern that of entire period (Fig. 11c). Kozu et al. 440 (2006) analyzed the diurnal variation in R at Gadanki (South India), Singapore, and 441 Kototabang (West Sumatra) during the Summer monsoon season. All regions displayed 442 maximum R at approximately 16:00 LST, except for Gadanki. Also, Qian (2008), who analyzed 443 444 the diurnal variability of wind direction and R on Java Island during the Summer season using 30 years (from 1971 to 2000) of NCEP/NCAR reanalyzed data. They found that a land wind 445 occurred from 01:00 LST to 10:00 LST and a sea wind from 13:00 LST to 22:00 LST (Fig. 7 446 of Qian (2008)). Normalized wind frequency for each direction is similar pattern to the results 447 of Qian (2008) but pattern of R is different with that of Kozu et al. (2006). The diurnal variation 448 of rain rate in the present study from 02:00 (12:00) KST to 10:00 (20:00) KST shows relatively 449 smaller (larger) frequencies of sea wind. It is different pattern with the result of Kozu et al. 450 (2006). However, these patterns matched with the time series of  $D_m$  and  $log_{10}(N_w)$ . Larger 451 frequency of sea wind direction shows counter-proportional (proportional) relationship to the 452 smaller (larger) frequency of  $D_m$  ( $log_{10}(N_w)$ ). 453

454 Variability of  $D_m$  time series for Winter is the inverse of the Summer time series (Fig. 11a).

The mean value of  $D_m$  steadily increases from 00:00 KST to 16:00 KST and then decreases from 16:00 KST to 22:00 KST. The Winter  $log_{10}(N_w)$  time series displays a clear inverse pattern compared with the  $D_m$  variation with time and increases from 1600 KST to 0400 KST and then steadily decreases from 04:00 KST to 16:00 KST (Fig. 11b). The peak of  $log_{10}(N_w)$ occurs at 04:00 KST. However, the time series of  $log_{10}(R)$  for Winter season shows similar pattern with that of Summer unlike to another parameters (Fig. 11c). Based on the diurnal variation of R, the variations of D<sub>m</sub> and N<sub>w</sub> would be independent to R.

462 Alike to the  $D_m$  and  $log_{10}(N_w)$ , normalized wind frequency of wind direction for Winter 463 season shows inverse relationship to that of Summer season (Fig. 11d). The value of frequency 464 generally decreases (increases) from 04:00 (14:00) KST to 14:00 (04:00) KST. Also, it shows 465 symmetry pattern with that of Summer season.

The PDF distribution of Summer  $D_m$  displays a relatively large DT frequency compared with NT when  $D_m < 1.65 \ mm$ , except for the range between 0.6 and 0.9 mm. However, in the range of  $D_m > 1.65 \ mm$ , the NT PDF displays a larger frequency (Fig. 12a). The PDF of  $log_{10}(N_w)$  for DT (NT) has a larger frequency than the NT (DT) when  $log_{10}(N_w) > (<) 3.3$ but smaller frequency when  $log_{10}(N_w) < (>) 3.3$  (Fig. 12c).

The DT and NT PDFs of  $D_m$  and  $log_{10}(N_w)$  during Winter display an inverse distribution to that of Summer. For the PDF of  $D_m$ , there is a considerable frequency for NT (DT) when  $D_m < (>)$  1.6 mm (Fig. 12b). The PDF of  $log_{10}(N_w)$  of Summer season for NT (DT) is larger than that of the DT when  $log_{10}(N_w) < (>)$  3.5 (Fig. 12d). In the PDF analysis, relatively large (small)  $D_m$  and small (large)  $log_{10}(N_w)$  are displayed during the NT (DT) when a land wind (sea wind) occurs.



continental and maritime-like precipitation using D<sub>m</sub> and N<sub>w</sub>. As the previous study result, we 478 analyzed the PDF of DSDs for Summer and Winter with respect to convective rainfall type. 479 These feature would be shown more clearly in convective type. The convective rainfall type of 480 PDFs of DT and NT for Summer show similar shape of distribution to that of all rainfall type 481 482 (Fig. 3a). For the PDF of  $D_m$ , there is a more frequency for DT (NT) than NT (DT) when  $D_m$ < (>) 2.0 mm except for between 0.7 mm and 1.2 mm (Fig. 13a). The PDF of convective 483 rainfall type's  $log_{10}(N_w)$  for DT (NT) has a larger frequency than the NT (DT) when 484  $log_{10}(N_w) > (<)$  3.4 except for between 4.3 and 5.5 (Fig. 12c). PDF distributions for Winter 485 486 season show more clear pattern compared with those of the entire rainfall type. The value of PDF for  $D_m$  in DT (NT) have considerably larger than NT (DT) when  $D_m > (<) 1.9$  mm, 487 especially between 2.15 mm and 2.3 mm (Fig. 13b). Also, those for  $log_{10}(N_w)$  in DT (NT) 488 show dramatic values when  $\log_{10}(N_w) < (>)$  3.6. Furthermore, PDF values significantly 489 concentrated on between  $3 < \log_{10}(N_w) < 3.2$  (Fig. 13d). In short, considering the DSD 490 parameters with wind directions, the maritime (continental)-like precipitation would depend 491 on the sea (land) wind. 492

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## 494 **4. Summary and Conclusion**

Climatological characteristics of DSDs in Busan were analyzed using the DSD data observed by POSS over a four-year period from 24 February 2001 to 24 December 2004. Observed DSDs were filtered to remove errors by performing several quality control measures, and an AWS rain gauge installed nearby was used to verify the rainfall amount recorded by the POSS. We analyzed DSD characteristics of convective and stratiform rainfall types, as defined by Bringi et al. (2003). The rainfall dataset was thus divided into stratiform and convective rainfall and their contributions to the total rainfall were 62.93% and 6.11%, respectively. Also, to find the
climatological characteristics of DSD for rainfall case, the entire rainfall data was classified as
10 rainfall categories including the entire period case.

According to the study by Bringi et al. (2003), the rainfall in Busan shows maritime 504 505 climatological DSD characteristics. The mean values of D<sub>m</sub> and N<sub>w</sub> for stratiform rainfall are relatively small compared with the average line of stratiform rainfall produced by Bringi et al. 506 (2003), except for heavy rainfall events. In case of convective type, mean values of  $D_m$  and  $N_w$ 507 are converged around the maritime cluster, except for the Typhoon category. The convective 508 rainfall associated with a Typhoon has considerably smaller D<sub>m</sub> and larger N<sub>w</sub> values 509 510 compared with the other rainfall categories. This is likely caused by increased raindrop breakup mechanism as a result of strong wind effects. Furthermore, the distributions of mean D<sub>m</sub> 511 and N<sub>w</sub> values for all rainfall categories associated with convective rainfall displays a linear 512 relationship including the Typhoon category. 513

514 The analysis of diurnal variation in DSD yielded the following results: first, the frequency of 515  $\mu$  is higher at NT than during the DT in the negative value. The PDF of R is higher at NT than during the DT when  $log_{10}(R) > 0.6$ . The value of PDF for  $D_m$  during DT is larger than NT 516 517 smaller than 0.65 mm. For N<sub>w</sub>, which tends to be inversely related to D<sub>m</sub>, its frequency is higher at NT than DT when  $log_{10}(N_w) > 3.8$ . This feature is matched with the time series of normalized 518 frequency of sea wind which shows inverse relationship to  $D_m$ . Smaller  $D_m$  corresponds to 519 520 the larger sea wind frequency. In short, maritime (continental) –like precipitation are observed in the DT (NT) more often than in the NT (DT) according to the features of wind. The above-521 mentioned DSD characteristics are likely due to the land and sea wind caused by differences 522 in specific heat between the land and ocean. These features are also apparent in the seasonal 523 diurnal distribution. The PDF of DT and NT for convective rainfall type during the Summer is 524

525	similar to the PDF of the entire period; however, those of the Winter displays the significant
526	inverse distribution compared to the Summer because of obvious seasonal differences in wind
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### 544 Author contributions

545 Cheol-Hwan You designed the study. Sung-Ho Suh modified the original study theme and 546 performed the study. Cheol-Hwan You and Sung-Ho Suh <u>performed research</u>, obtained the 547 results and prepared the manuscript along with contributions from all of the co-authors. Dong-548 In Lee examined the results and checked the manuscript.

549

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## 659 Tables

# **Tables 1.** Specification of POSS disdrometer.

	Specifications	Detail
_	Manufacturer	ANDREW CANADA INC
	Module	PROCESSOR
	Model number	POSS-F01
	Nominal power	100 mW
	Bandwidth	Single frequency
	Emission	43 mW
	Pointing direction	$20^{\circ}$ (to the vertical side)
	Antenna	Rectangular pyramidal horns
	Range of sample area	< 2 m
	Wavelength	10.525 GHz $\pm$ 15 GHz
	Physical dimension	277×200×200 cm <sup>3</sup>
	Net weights	Approximately 110 kg
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Rainfall Category		Р	eriod	
81	2001	2002	2003	2004
Typhoon	-	5-6 July, 31 August	29 May, 19 June, 7 August, 11-12 September	20 June, 19 August, 6 September
Changma	18-19 June, 23-26 June, 29-30 June, 1 July, 5-6 July, 11-14 July	23-25 June, 30 June, 1-2 July	12-14 June, 23 June, 27 June, 30 June, 1 July, 3-15 July	11-14 July
Heavy rainfall	15 Aj	oril 2002, 20:13 KST	to 16 April 2002, 06:2	9 KST
	Spring	Summer	Autumn	Winter
Seasonal	March to May	June to August	September to November	December to February
Diumal	DT (l	KST)	NT (	KST)
Diurnai	07:33-	17:12	19:42	-05:09

# **Table 2.** Designated date with respect to the source of rainfall.

Rainfall Category	Total precipitation	Stratiform precipitation (%)	Convective precipitation (%)
Typhoon	5095	3118 (61.19)	652 (12.79)
Changma	18526	11099 (59.91)	1611 (8.69)
Heavy rainfall	359	153 (42.61)	150 (41.78)
Spring	30703	20370 (66.34)	1478 (4.81)
Summer	37187	22566 (60.68)	3409 (9.16)
Autumn	19809	12033 (60.74)	850 (4.29)
Winter	11689	7582 (64.86)	339 (2.90)
Daytime	41328	26373 (63.81)	2539 (6.14)
Nighttime	37455	23063 (84.00)	2242 (5.89)
Entire	99388	62551 (62.93)	6076 (6.11)

**Table 3.** Rainfall rate for each rainfall category and the number of sample size for 1-min data.

Rainfall Category	Period	Beginning time (KST)	Finishing time (KST)
Summor	DT	05:33	19:27
Summer	NT	19:42	05:09
Wintow	DT	07:33	17:12
winter	NT	18:19	06:54

**Table 4.** DT and NT (KST) in Summer and Winter season.

Season Summer			Wi	nter
Туре	Sea wind	Land wind	Sea wind	Land win
Frequency	0.4139	0.5861	0.3137	0.6863
Differenc	e of the normalized	wind direction frequen	cy between DT and	NT (DT-NT)
Season	Sum	ımer	Wi	nter
Туре	Sea wind	Land wind	Sea wind	Land win
Frequency	0.0731	-0.0731	-0.0697	0.0697

# **Table 5.** Sum of the normalized wind direction frequencies between Summer and Winter.

# 716 Figures



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- **Figure 1.**
- 720 Photograph of the POSS instrument used in this research.



# **Figure 2.**

Locations of the POSS and the AWS rain gauge installed in Busan, Korea.









PDF and CDF for (a)  $\mu$ , (b)  $D_m$ , (c)  $\log_{10}(N_w)$ , (d)  $\log_{10}(R)$ , (e)  $\log_{10}(LWC)$ , (f) Z, (g) Z<sub>dr</sub>, (h) K<sub>dp</sub>, and (i) A<sub>h</sub> for the entire rainfall dataset (solid black line), stratiform rainfall (solid green line), and convective rainfall (solid blue line). The solid red line represents the CDF for entire rainfall dataset. The solid vertical line represents the mean value of each type.





#### 750 Figure 5.

(a) Scatter plot of 1-min  $D_m$  and  $N_w$  for the 10 rainfall categories with respect to stratiform rainfall data. The broken grey line represents the average line as defined by Bringi et al. (2003). (b) Scatter plot of mean  $D_m$  and  $log_{10}(N_w)$  values of the 10 rainfall categories with respect to stratiform rainfall and these mean values for each rainfall type are shown as circle symbols.

755 The vertical line represents  $\pm 1\sigma$  of  $log_{10}(N_w)$  for each category.





## **Figure 6.**

(a) As in Figure 5(a), but for convective rainfall. The blue and red plus symbols represent
maritime and continental rainfall, respectively, as defined by Bringi et al. (2003). (b) As in
Figure 5(b), but for convective rainfall. The broken red line represents the mathematical
expression described in Eq. (16).







(a) Histogram of normalized frequency of 16 wind directions for the entire study period. (b)

767 Difference values of wind direction frequencies between DT and NT (DT - NT).





## 774 **Figure 8.**

Two hour interval time series of (a)  $\mu$ , (b)  $D_m$ , (c)  $\log_{10}(N_w)$ , (d)  $\log_{10}(R)$ , (e) log<sub>10</sub>(LWC), (f)  $Z_h$  and (g) normalized frequency of wind direction for sea wind (45° to 225°) with quartiles for the total period. Solid lines are quartiles for each time.

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PDF and CDF curves for (a)  $\mu$ , (b)  $D_m$ , (c)  $\log_{10}(N_w)$ , (d)  $\log_{10}(R)$ , (e)  $\log_{10}(LWC)$ , and (f) Z for DT and NT according to the entire period. The solid red and blue lines represent the PDF for DT and NT, respectively. The broken light red and blue lines represent the CDF for DT and NT, respectively.

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**Figure 10.** 

Histogram of normalized frequency for 16 wind directions in (a) the entire period and (b)
differences of normalized frequency with respect to wind directions between DT and NT (DT
- NT) for Summer (light grey) and Winter (dark grey) season.





## 801 **Figure 11.**

Two hour interval time series and quartiles of (a)  $D_m$ , (b)  $log_{10}(N_w)$  (c)  $log_{10}(R)$  and (d) normalized frequency of wind direction for sea wind (45° to 225°) for the Summer (red) and Winter (blue) season. Solid (broken) lines are quartiles of Summer (Winter) for each time, respectively.

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## 811 Figure 12.

PDF and CDF of (a)  $D_m$  ((b)  $D_m$ ) and (c)  $N_w$  ((d)  $N_w$ ) for the entire rainfall type in the Summer (Winter) season. Red and blue solid lines represent the PDF of DT and NT, respectively. The light red and blue broken lines represent the CDF for each season

