1	Climatological Characteristics of Raindrop Size
2	Distributions in Busan, Korea
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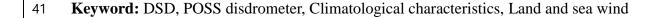
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20 Abstract

21 Raindrop size distribution (DSD) characteristics within the complex area of Busan, Korea 22 (35.12°N, 129.10°E) were studied using a Precipitation Occurrence Sensor System (POSS) 23 disdrometer over a four-year period from February 24th 2001 to December 24th 2004. Also, to find the dominant characteristics of polarized radar parameters which is differential radar 24 reflectivity (Zdr), specific differential phase (Kdp) and specific attenuation (Ah), T-matrix 25 26 scattering simulation was applied in present study. To analyze the climatological DSD characteristics in more detail, the entire period of recorded rainfall was divided into 10 27 28 categories not only cover different temporal and spatial scales, but different rainfall types. 29 When only convective rainfall was considered, mean value of mass weighted mean diameter (D_m) and normalized number concentration (N_w) values for all these categories converged 30 around a maritime cluster, except for rainfall associated with Typhoons. The convective rainfall 31 of a Typhoon showed much smaller D_m and larger N_w compared with the other rainfall 32 categories. 33

In terms of diurnal DSD variability, we observe maritime (continental) precipitation during the daytime (DT) (nighttime, NT), which likely results from sea (land) wind identified through wind direction analysis. These features also appeared in the seasonal diurnal distribution. The DT and NT Probability Density Function (PDF) during the Summer was similar to the PDF of the entire study period. However, the DT and NT PDF during the Winter season displayed an inverse distribution due to seasonal differences in wind direction.



42 **1. Introduction**

43 Drop Size Distribution (DSD) is controlled by the microphysical processes of rainfall and therefore plays an important role in the development of quantitative rainfall estimation 44 algorithms based on forward scattering simulations of radar measurements (Seliga and Bringi, 45 1976). DSD data accurately reflect local rainfall characteristics within an observation area (You 46 et al., 2014). Many DSD models have been developed to characterize spatial-temporal 47 differences in DSDs under various atmospheric conditions (Ulbrich, 1983). Marshall and 48 49 Palmer (1948) developed an exponential DSD model using DSD data collected by a filter paper technique (N(D) = $8 \times 10^3 \exp(-410 R^{-0.21} D)$ in m⁻³mm⁻¹, D in mm and R in mm h⁻¹). 50 51 In subsequent studies, a lognormal distribution was assumed to overcome the problem of exponential DSD mismatching with real data (Mueller, 1966; Levin, 1971; Markowitz, 1976; 52 Feingold and Levin, 1986). 53

To further investigate natural DSD variations, Ulbrich (1983) developed a gamma DSD that permitted changing the dimension of the intercept parameter (N_0 in m⁻³ mm^{-1-µ}) with ($N(D) = N_0 D^{\mu} exp(-\Lambda D)$). In addition, to enable the quantitative analysis of different rainfall events, the development of a normalized gamma DSD model that accounted for the independent distribution of DSD from the disdrometer channel interval enabled a better representation of the actual DSD (Willis, 1984; Dou et al., 1999; Testud et al., 2001).

DSDs depend on the rainfall type, geographical and atmospheric conditions, and observation time, and are closely linked to microphysical characteristics that control rainfall development mechanisms. In the case of stratiform rainfall, raindrops grow by accretion because of the relatively long residence time in weak updrafts, in which almost all water droplets are changed to ice particles. With time, the ice particles grow sufficiently and fall to the ground. The

65 raindrop size of stratiform rainfall observed at the ground level is larger than that of convective rainfall for a same rainfall rate due to the resistance of the ice particles to break-up mechanisms. 66 In contrast to stratiform rainfall, in convective rainfall raindrops grow by the collision-67 coalescence mechanism associated with relatively strong vertical wind speeds and short 68 residence time in the cloud. Fully-grown raindrops of maritime precipitation are smaller in 69 70 diameter than those in stratiform rainfall due to the break-up mechanism in case of same rainfall rate (Mapes and Houze Jr, 1993; Tokay and Short, 1996). Convective rainfall can be classified 71 72 into two types based on the origin and direction of movement. Rainfall systems occurring over ocean and land are referred to as maritime and continental rainfall, respectively (Göke et al., 73 2007). Continental rainfall is related to a cold-rain mechanism whereby raindrops grow in the 74 75 form of ice particles. In contrast, maritime rainfall is related to a warm-rain mechanism whereby raindrops grow by the collision-coalescence mechanism. Therefore, the mass-76 weighted drop diameter (D_m) of continental rainfall observed on the ground is larger than that 77 of maritime rainfall, and a smaller normalized intercept parameter (Nw) is observed in 78 continental rainfall (Bringi et al., 2003). 79

Specific heat is a major climatological feature that creates differences between DSDs in 80 maritime and continental regions. These two regions have different thermal capacities and thus 81 82 display different temperature variations with time. The surface temperature of the ocean changes slowly because of the water's high thermal capacity, while the continental regions, 83 which have comparatively lower thermal capacity, display greater diurnal variability. Sea winds 84 85 generally is occurred in from afternoon to early evening when the temperature gradient between the sea and land becomes negative, which is the opposite of the gradient in the daytime (DT). 86 In coastal regions, the land and sea wind effect causes a pronounced difference between the DT 87 88 and nighttime (NT) DSD characteristics. Also, when mountains are located near the coast, the difference is intensified by mountain and valley winds (Qian, 2008).

In the present study, we analyzed a four-year dataset spanning from 2001 to 2004, collected 90 91 from Busan, Korea (35.12°N, 129.10°E) using a Precipitation Occurrence Sensor System (POSS) disdrometer, to investigate the characteristics of DSDs in Busan, Korea which consist 92 complex mid-latitude region comprising both land and ocean. To quantify the effect of land 93 94 and sea wind on these characteristics, we also analyzed diurnal variations in DSDs. The remainder of the manuscript is organized as follows. In Section 2 we review the normalized 95 96 gamma model and explain the DSD quality control method and the classification of rainfall. In Section 3 we report the results of DSD analysis with respect to stratiform/convective and 97 continental/maritime rainfall, and discuss diurnal variations. Finally, a summary of the results 98 99 and the main conclusions are presented in Section 4.

100

101 **2. Data and Methods**

102 2.1. Normalized Gamma DSD

The DSD is defined by $N(D) = N_0 \exp(-\Lambda D) (m^{-3}mm^{-1})$ and the one of the methods to 103 reflect the microphysical characteristics of rainfall using the number concentration of rainfall 104 105 drops. Also, DSD is able to calculate the many kind of parameters which show the dominant feature of raindrops. Normalization is used to define the DSD and to solve the non-106 independence of each DSD parameter (Willis, 1984; Dou et al., 1999; Testud et al., 2001). 107 Furthermore, a normalized gamma DSD enables the comparison of quantitative estimations for 108 cases of rainfall events that have different time scales and rain rates. Here, we use the DSD 109 110 model designed by Testud et al. (2001):

112
$$N(D) = N_w f(\mu) (\frac{D}{D_m})^{\mu} \exp\left[-(4+\mu) \frac{D}{D_m}\right].$$
 (1)

where D is volume equivalent spherical raindrop size (mm), and f(μ) is defined using the
DSD model shape parameter (μ) and gamma function (Γ) as follows:

117
$$f(\mu) = \frac{6}{4^4} \frac{(\mu+4)^{4+\mu}}{\Gamma(4+\mu)}$$
 (2)

119 From the value of N(D), the median volume diameter (D_0 in mm) can be obtained as follows:

121
$$\int_0^{D_0} D^3 N(D) dD = \frac{1}{2} \int_0^{D_{\text{max}}} D^3 N(D) dD.$$
 (3)

123 Mass-weighted mean diameter (D_m in mm) is calculated as the ratio of the fourth to the third 124 moment of the DSD:

126
$$D_{\rm m} = \frac{\int_0^{D_{\rm max}} D^4 N(D) dD}{\int_0^{D_{\rm max}} D^3 N(D) dD}.$$
 (4)

128 The normalized intercept parameter (N_w in m⁻³mm⁻¹) is calculated as follows:

130
$$N_{w} = \frac{4^{4}}{\pi \rho_{w}} \left(\frac{LWC}{D_{m}^{4}} \right).$$
(5)

132 The shape of the DSD is calculated as the ratio of D_m to the standard deviation (SD) of D_m 133 (σ_m in mm) (Ulbrich and Atlas, 1998; Bringi et al., 2003; Leinonen et al., 2012):

135
$$\sigma_{\rm m} = \left[\frac{\int_0^{\rm Dmax} D^3 (D - D_{\rm m})^2 N(D) dD}{\int_0^{\rm Dmax} D^3 N(D) dD}\right]^{\frac{1}{2}}.$$
 (6)

137 In addition,
$$\sigma_m/D_m$$
 is related to μ as follows:

139
$$\frac{\sigma_{\rm m}}{D_{\rm m}} = \frac{1}{(4+\mu)^{1/2}}.$$
 (7)

141 Liquid water content (LWC in g m^{-3}) can be defined from the estimated DSD:

143
$$LWC = \frac{\pi}{6} \rho_w \int_0^{D_{max}} D^3 N(D) dD.$$
 (8)

145 where ρ_w is water density (g m⁻³) and it assumed as 1 g m⁻³ for a liquid water. Similarly, 146 rainfall rate (R in mm h⁻¹) can be defined as follows:

147

148
$$R = \frac{3.6}{10^3} \frac{\pi}{6} \int_0^{D_{max}} v(D) D^3 N(D) dD.$$
(9)

149

where the value of factor 3.6×10^3 is the unit conversion which converts the mass flux unis (mg m⁻² s⁻¹) to the common unit (mm h⁻¹) for the convenience. v(D) (m s⁻¹) is the fall velocity for each raindrop size. The relationship between v(D) and equivalent spherical raindrop size (D in mm) is given by Atlas et al. (1973) who developed an empirical formula based on the data reported by Gunn and Kinzer (1949):

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156
$$v(D) = 9.65 - 10.3 \exp[-0.6D].$$
 (10)

157

158 2.2 Quality Control of POSS Data

POSS was used to measure the number of raindrops within the diameter range of 0.34-5.34 mm, using bistatic, continuous wave X-band Doppler radar (10.525 GHz) across 34 channels (Fig. 1; Sheppard and Joe, 2008). Using estimated DSD, Doppler power density spectrum is generated as follows;

163

165
$$S(f) = \int_{D_{min}}^{D_{max}} N(D_m) V(D_m, \rho, h, w) \overline{S}(f, D_m, \rho, h, w) dD_m.$$
 (11)

166

Where S(f) means Doppler spectrum power density, $V(D_m, \rho, h, w)\overline{S}(f, D_m, \rho, h, w)$ means 167 weighting function of S(f), \overline{S} is the mean of S(f), ρ is density of precipitation distribution, h 168 is the shape of precipitation distribution, $w(m s^{-1})$ is wind speed and V(x) is sample 169 volume. A Doppler power density spectrum has a resolution of 16Hz and terminal velocity (v_t) 170 has a resolution of 0.24 m s⁻¹. Transmitter and receiver skewed about 20° toward each other, 171 and cross point of signal is located over 34 cm from transmitter-receiver. Transmitter-receiver 172 toward upper side detects N(D) of raindrops in observation volume (V(D)) (Sheppard, 1990). 173 174 Also, Sheppard (1990) and Sheppard and Joe (1994) noted some shortcomings as the overestimation of small drops at horizontal wind larger than 6 m s⁻¹. However, in present 175 176 study, the quality control of POSS for wind effect does not consider because of beyond the research. Detailed specifications and measurement range and raindrop size for each observation 177 channel of the POSS disdrometer are summarized in Table 1. 178

A POSS disdrometer was installed in Busan, Korea (35.12°N, 129.10°E), along with other 179 atmospheric instruments, the locations of which are shown in Fig. 2. Estimating raindrop size 180 181 correctly is challenging and care should be taken to ensure reliable data are collected. We performed the following quality controls to optimize the accuracy of the disdrometer estimates. 182 i) Non-liquid type event data (e.g., snow, hail etc.) detected by POSS were excluded, to focus 183 184 only on liquid state rainfall. ii) Non-atmospheric data were removed from the analysis if the number of DSD spectra was smaller than five consecutive channels, or the position of DSD 185 spectra only detected in smaller (larger) than the 5th (10th) channel for each 1-min channel data. 186

187 iii) Only data recorded in more than 10 complete channels were considered. iv) To compensate for the reduced capability to detect raindrops smaller than 1 mm when $R > 200 \text{ mm h}^{-1}$ (as 188 recorded by the disdrometer), data collected when $R > 200 \text{ mm h}^{-1}$ were not included in the 189 analyses. v) To eliminate wind and acoustic noise, data collected when $R < 0.1 \text{ mm h}^{-1}$ were 190 removed (Tokay and Short, 1996). vi) The value of D_0 which is calculated by Eq. (3) tends to 191 192 be overestimated when $D_0 < 0.5$ mm (Leinonen et al., 2012). Because the correlation coefficient between D_0 and D_m was 0.985 for the whole study period, we considered that 193 194 D_m could be used for the analysis instead of D_0 .

After performing all quality control procedures, 99,388 spectra were left from an original total of 166,682 for 1-min temporal resolution. Accumulated rainfall amount from POSS during the entire period was 4261.49 mm. To verify the reliability of the POSS data, they were compared with data collected by a 0.5 mm tipping bucket rain gaugeat an automatic weather system (AWS) located ~368 m from the POSS (Fig. 3).

200

201 2.3 Radar Parameters

To derive the rainfall relations, polarized parameters were computed by a T-matrix scattering simulation (Waterman, 1971; Zhang et al., 2001). First, the radar reflectivity factor (z, mm^6m^{-3}) and non-polarized radar reflectivity (Z, dBZ) were computed using the DSD data collected by POSS, as follows:

207
$$z = \int_0^{D_{\text{max}}} D^6 N(D) dD.$$
 (12)

209
$$Z = 10\log_{10}(z).$$
 (13)

211	Axis ratios of raindrops differ with atmospheric conditions and rainfall type. To derive the drop
212	shape relation from the drop diameter, we applied the results of numerical simulations and wind
213	tunnel tests employing a forth-polynomial equation, as in many previous studies (Beard and
214	Chuang, 1987; Pruppacher and Beard, 1970; Andsager et al., 1999; Brandes et al., 2002). The
215	drop-shape relation used in the present study is a combination of those from Andsager et al.
216	(1999) and Beard and Chuang (1987) for three raindrop size ranges (Bringi et al., 2003).
217	The raindrop axis ratio relation of Andsager et al. (1999) is applied in the range of $1 <$
218	D (mm) < 4, as follows:
219	
220	$r = 1.0048 + 0.0057D - 2.628D^2 + 3.682D^3 - 1.677D^4. $ (14)
221	
222	The drop-shape relation of Beard and Chuang (1987) is applied in the range of $D < 1 \text{ mm}$
223	and $D > 4$ mm, as follows:
224	
225	$r = 1.012 + 0.01445D - 0.01028D^2. $ (15)
226	
227	We assumed SD and the mean canting angle of raindrops as 7° and 0° , respectively. The

refractive indices of liquid water at 20 °C were used (Ray, 1972). Also, the condition of frequency for electromagnetic wave of radar is 2.85 GHz (S-band). We calculated dual polarized radar parameters based on these conditions. The parameters of differential reflectivity, Z_{dr} (dB), specific differential phase, K_{dp} (deg km⁻¹), and attenuation, A_h (dB km⁻¹), using DSD data were calculated and analyzed.

233

234 2.4. Classification of Rainfall Types and Rainfall Events

235 Rainfall systems can be classified as stratiform or convective in nature, via analysis of the following microphysical characteristics: i) DSD, using relationships between N_0 and R ($N_0 =$ 236 $4 \times 10^9 R^{4.3}$ in m⁻³mm⁻¹(Tokay and Short, 1996; Testud et al., 2001); ii) radar reflectivity, 237 where, according to Gamache and Houze (1982), a rainfall system that displays radar 238 reflectivity larger than 38 dBZ is considered to be convective; and iii) rainfall rate, where 239 average 5-min $R > 0.5 \text{ mm h}^{-1}$ is considered convective rainfall (Johnson and Hamilton, 240 1988). Alternatively, rainfall that has 1-min R > 5 (0.5) mm h⁻¹ and a SD of R > (<) 1.5 241 $mm h^{-1}$ is considered as convective (stratiform) type (Bringi et al., 2003). The rainfall 242 classification method proposed by Bringi et al. (2003) is applied in the present study. 243

It is necessary to categorize different rainfall systems because their microphysical characteristics show great variation depending on the type of rainfall, as well as the type of rainfall event; e.g., Typhoon, Chanma, heavy rainfall and seasonally discrete rainfall. To investigate the temporal variation in DSDs, we analyzed daily and seasonal DSDs. Likewise, to investigate diurnal variability in DSD, DT and NT data were considered by using the sunrise and sunset time in Busan (provided by the Korea Astronomy and Space Science Institute [KASI]). In the middle latitudes, and including Busan, the timings of sunrise and sunset vary due to solar culminating height. The earliest and latest sunrise (sunset) time of the entire period
is 0509 KST (1712 KST) and 0733 KST (1942 KST), respectively. DT (NT) is defined as the
period from the latest sunrise (sunset) time to the earliest sunset (sunrise) time for the unity of

classification of each time group (Table 2).

To analyze the predominant characteristics of DSDs for Typhoon rainfall, nine Typhoon events were selected from throughout the entire study period which is summarized in Table 2.

This study utilizes the Korea Meteorological Administration (KMA) rainfall warning regulations to identify heavy rainfall events. The KMA issues a warning if the accumulated rain amount is expected to be >70 mm within a 6-hour period, or >110 mm within a 12-hour period. Rainfall events classified as Chanma and Typhoon were not included in the classification 'heavy rainfall'.

Chanma is the localized rainfall system or rainy season that is usually present over the Korean Peninsula between mid-June and mid-July which is similar to the Meiyu (China) or Baiu (Japan). The selected dates and periods of each rainfall category are summarized in Table 2.

265

266 **3. Results**

267 **3.1. DSD and Radar Parameters**

Figure 4 shows the Probability Density Function (PDF) and Cumulative Distribution Function (CDF) of DSDs and radar parameters with respect to stratiform and convective rainfall. The PDFs of DSD and radar parameters were calculated using the non-parameterization kernel estimation to identify the dominant distribution of each parameter recorded in Busan. Nonparameterization kernel estimation was also used to identify continuous distributions of DSDs. The PDF of stratiform rainfall is more similar to that of the dataset for the entire analysis period 274 due to the dominant contribution of stratiform rainfall (about 62.93%) to the overall rainfall than that of convective rainfall. However, the PDF for convective rainfall is significantly 275 different from that of the entire analysis period, and as the convective rainfall contributes only 276 6.11% of the overall rainfall (Table 3). When $\mu < 0$ the distribution of μ for convective rain 277 278 has more value of PDF than that for stratiform rain (Fig. 4a). Alternatively, the frequency of μ for stratiform rainfall is higher than that of convective rainfall when $0 < \mu > 5$. The value of 279 μ for convective rainfall is higher than that for stratiform rainfall because the break-up 280 mechanism would be increase the number concentration of small raindrops. The number 281 282 concentrations of mid-size raindrops increased due to the decrease in the number concentration 283 of relatively large raindrops (Hu and Srivastava, 1995; Sauvageot and Lacaux, 1995). However, we observed a higher frequency of convective rainfall than stratiform rainfall in the negative 284 µ range. 285

286 The PDF of D_m displays peak around 1.2 and 1.4 mm for stratiform rainfall and the entire 287 rainfall dataset, respectively. We note that a gentle peak exists around 0.7 mm for both 288 stratiform and convective rainfall datasets (Fig. 4b). These features are similar to the distribution of D_m observed in a high-latitude region at Järvenpää, Finland (Fig. 4 of Leinonen 289 et al., 2012). For D_m values > 1.7 mm, the PDF for convective rainfall is higher than 290 stratiform rainfall. Accordingly, the value of DSD for stratiform rainfall is higher than that of 291 convective rainfall when $D_m < 1.7\,$ mm. Generally, stratiform rainfall that develops by the 292 293 cold rain process displays weaker upward winds and less efficient break-up of raindrops. Therefore, in the same rainfall rate, stratiform rainfall tends to produce larger raindrops than 294 convective rainfall that develops by the warm rain process. However, the average D_m values 295

for convective and stratiform rain for the entire period are approximately 1.45 and 1.7 mm, respectively. In short, D_m is proportional to R regardless of rainfall type. This finding is consistent with the results of Atlas et al. (1999) who found that the D_m of convective rainfall is larger than that of stratiform rainfall on Kapingamarangi Island, Micronesia.

The PDF of $\log_{10}(N_w)$ for the entire rainfall dataset was evenly distributed between 1.5 and 5.5, with a peak at $N_w = 3.3$ (Fig. 4c). The PDF of $\log_{10}(N_w)$ for stratiform rainfall is rarely > 5.5, while for convective rainfall it is higher at > 5.5 than that of stratiform. There is a similar frequency in the stratiform and convective rainfall at 4.4

The PDF distributions for $\log_{10}(R)$ and $\log_{10}(LWC)$ are similar each other (Fig. 4d and e). 304 305 It is infer that the similar results come from the using of alike moment of DSD as 3.67 and 3 306 for R and LWC, respectively. The PDF of $\log_{10}(R)$ for the entire rainfall dataset ranged between -0.5 and 2. A peak exists at 0.3 and the PDF rapidly decreases from the peak value as 307 308 R increases. The PDF for stratiform rainfall has a higher frequency than that of the entire rainfall when $-0.3 < \log_{10}(R) < 0.7$, while the PDF for convective rainfall is denser between 309 310 0.4 and 2. Furthermore, the frequency of the PDF for convective rainfall was higher than that of stratiform rainfall in case of $\log_{10}(R) > 0.65$ and the peak value shown was 0.9. 311

The PDF and CDF for non-polarized reflectivity (Z), differential reflectivity (Z_{dr}), specific differential phase (K_{dp}), and specific attenuation of horizontal reflectivity (A_h) are shown in Fig. 4f-i. The PDF of Z_h for stratiform rainfall (Fig. 4f) is widely distribute between 10 and 50 dBZ with the peak at approximately 27 dBZ. Conversely, for convective rainfall, the value of PDF lie between 27 and 55 dBZ and the peak frequency value at approximately 41 dBZ. The frequency value of reflectivity is higher for convective rainfall than for stratiform rainfall in the range of ~ >35 dBZ. Furthermore, the shape of the PDF for convective rainfall is similar to that reported for Darwin, Australia (Steiner et al., 1995); however, for stratiform rainfall there are significant differences between Busan and Darwin in terms of the shape of the frequency distribution. The PDF of Z_{dr} for the entire rainfall primarily exists between 0 and 2.5 dB, and the peaks are at 0.3 and 1.8 dB (Fig. 4g). The distribution of Z_{dr} for convective and stratiform rainfall is concentrated between 0.6 and 1.6 dB, and between 0.3 and 2 dB, respectively. The frequency of Z_{dr} for convective (stratiform) rainfall exists in ranges higher (lower) than stratiform (convective) at 0.9 dB.

The dominant distribution of K_{dp} for the entire dataset and for stratiform rainfall lies between 0 and 0.14 deg km⁻¹, with a peak value of 0.03 deg km⁻¹ and 0.08 deg km⁻¹. However, for convective rainfall the PDF of K_{dp} is evenly exist between 0.01 and 0.15 deg km⁻¹. Furthermore, when $K_{dp} > 0.056$ deg km⁻¹, the frequency of the PDF for convective rainfall is higher than that of stratiform rainfall (Fig. 4h).

The PDF of A_h is similar to that of K_{dp} and is exist between 0 and 0.01 dB km⁻¹. For the case of the entire rainfall dataset and for stratiform rainfall, the PDF of A_h is concentrated between 0 and 2.0 × 10⁻³ dB km⁻¹ and that of convective rainfall is strongly concentrated between 1.0 × 10⁻³ and 8.0 × 10⁻³ dB km⁻¹ (Fig. 4i). Unlike the PDF of A_h for convective rainfall, the PDF for stratiform rainfall shows a strong peak at about 7.0 × 10⁻⁴ dB km⁻¹.

337

338 **3.2. Climatological Characteristics of DSD in Busan**

The climatological characteristics of DSDs for 10 rainfall categories are analyzed in this study. Sample size and ratio rainfall for each category are summarized in Table 3. Figure 5a illustrates the distribution of all 1-min stratiform rainfall data, and Fig. 5b shows scatter plots of averaged

 D_m and $log_{10}(N_w)$ for all 10 rainfall categories for stratiform rainfall data. Figure 5a displays 342 a remarkable clear boundary in the bottom sector and shows that most of the data lie below the 343 reference line used by Bringi et al. (2003) to classify convective and stratiform rainfall. The 344 average value of D_m and $log_{10}(N_w)$ for all rainfall categories, except for heavy rainfall, 345 exist between 1.4 and 1.6 mm and between 3.15 to 3.5, respectively (Fig. 5b). These values 346 are relatively small compared with the reference line presented by Bringi et al. (2003). However, 347 the average line of $\log_{10}(N_w)$ for each rainfall type extends beyond the reference line when 348 D_m is greater than 1.7 mm (figure not shown), which is similar to the results from Järvenpää, 349 350 Finland (Fig.14 of Leinonen et al., 2012).

The distribution of 1-min convective rainfall data is displayed in Fig. 6a and the distribution of 351 average values of D_m and N_w for the 10 rainfall categories in the case of convective rainfall 352 353 in Fig. 6b. The blue and red plus symbols represent maritime and continental rainfall, respectively, as defined by Bringi et al. (2003). The scatter plot of 1-min convective rainfall 354 355 data shows more in the continental cluster than the maritime cluster; however, the average values for the 10 rainfall categories are all located around the maritime cluster, except for the 356 Typhoon category. By considering the entire average values including Typhoon event (Fig. 6b), 357 we can induce the simple linear equation using D_m and $log_{10}(N_w)$ as follows: 358

359

$$\log_{10}(N_w) = -1.8D_m + 6.9.$$
(16)

361

362 The D_m (N_w) value for the Typhoon category was considerably smaller (larger) than that of 363 the other categories as well as that of stratiform type of Typhoon. This result does not agree with that reported by Chang et al. (2009), who noted that the D_m of convective rainfall Typhoon showed a large value compared with that associated with stratiform rainfall.

366

367 3.3 Diurnal Variation in Raindrop Size Distributions

368 **3.3.1. Diurnal Variations in DSDs**

Figure 7a shows a histogram of normalized frequency of 16 wind directions recorded by the 369 AWS, which is the same instrument as that used to collect the data shown in Fig. 3. To establish 370 the existence of a land and sea wind, the difference in wind direction frequencies between DT 371 372 and NT were analyzed. Figure 7b shows the difference between DT and NT, difference frequency means normalized frequency of wind direction for DT subtract to that of NT for each 373 direction, in terms of the normalized frequency of 16 wind directions. In other word, positive 374 375 (negative) values indicate that the frequency of wind is higher in the DT (NT). Also, land (sea) wind defined in present study from 225° (45°) to 45° (225°) according to the geographical 376 377 condition in Busan. The predominant frequency of wind direction in the DT (NT), between 378 205° (22.5°) and 22.5° (205.5°), is higher than that in the NT (DT) (Fig. 7b). The observation 379 site where the POSS was installed at western side from the closest coast line, distance is about 380 611 m, suggesting that the effect of the land and sea wind would have been recorded.

To understand the effects of the land and sea wind on DSD characteristics, we analyzed the PDF and 2-hour averaged DSD parameters for DT and NT. Figure 8 illustrates the distributions of μ , D_m , $log_{10}(N_w)$, $log_{10}(LWC)$, $log_{10}(R)$, and Z. There were large variations of μ with time. The μ values varied from 2.41 to 3.17 and the minimum and maximum μ values occurred at 0800 KST and 1200 KST, respectively (Fig. 8a). A D_m larger than 1.3 mm dominated from 0000 KST to 1200 KST, before decreasing remarkably between 1200 and 1400
KST. The minimum and maximum D_m appeared at 1400 KST and 0800 KST, respectively
(Fig. 8b).

 N_w generally varies inversely to D_m ; however, no inverse relationship was identified between D_m and N_w in case of the time series (Fig. 8c). The maximum and minimum values of N_w were found at 0600 KST and 2200 KST.

Variability through time was similar for R, LWC, and Z_h as D_m. There was an increasing 392 trend from 0000 KST to 0800 KST followed by a remarkably decreasing trend from 0800 KST 393 to 1400 KST (Figs 8d, 11e and 11f). Note that the time of the sharp decline for R between 394 1200 KST and 1400 KST is simultaneous with a D_m decrease. Larger (smaller) drops would 395 contribute to higher R in the morning (afternoon). These variations considerably matched 396 with the diurnal sea wind time series (Fig. 8g). Sea wind is the sum value of normalized wind 397 frequency between 45° and 225°. From 0200 (1400) KST to 1200 (2000) KST shows smaller 398 399 (larger) value of sea wind frequency which is opposite to the relatively larger (smaller) parts of each parameter $(D_m, R, LWC \text{ and } Z_h)$. 400

401 The PDF distribution of μ between -2 and 0 is more concentrated for NT than for DT. 402 Furthermore, when $\mu > 0$, DT and NT frequency distributions are similar (Fig. 9a). A larger 403 N(D) of small or large raindrops would be expected in NT than in DT.

The distribution of DT $D_m < 0.7 \ mm$ is wider than that of the NT. However, between 0.7 and 1.5 mm the frequency for NT is higher than that for DT, whereas the distribution in the range > 1.5 mm is similar for both DT and NT (Fig. 9b). We note that the smaller peak of D_m around 0.6 mm for the entire rainfall dataset (Fig. 4b) was observed only in DT.

408 The distribution of $log_{10}(N_w)$ for DT has higher value of PDF at larger $log_{10}(N_w)$ than that

409 of NT at $log_{10}(N_w) > 4$ (Fig. 9c).

Bringi et al. (2003) noted that the maritime climatology displayed larger N_w and smaller D_m values than the continental climatology, based on observed DSDs in the low and middle latitude. Also, Göke et al. (2007) emphasized that rainfall type can be defined by the origin location and movement direction. In accordance with these previous results, we consider NT rainfall in the Busan region to be more likely caused by a continental convective system.

In the present study, the shape of the PDF of LWC and *R* for DT and NT are similar which is the same reason with the results of Fig. 4e-f. LWC and *R* distributions during the DT (NT) are higher (lower) than in the NT (DT) when $log_{10}(LWC)$) and $log_{10}(R)$ are larger (smaller) than -1.2 and 0, respectively (Fig. 9d and e). The Z has similar pattern with *LWC* and *R* during the DT (NT) was higher (lower) than in the NT (DT) in the range below (above) about 27 *dBZ* (Fig. 9f).

421

422 **3.3.2. Diurnal Variations of DSDs with respect to Season**

Busan experiences distinct atmospheric conditions that are caused by the different frequencies and magnitudes of land and sea winds in response to variable sunrise and sunset times. To identify seasonal variations of DSDs with respect to the effect of the land and sea wind, we analyzed the DT and NT PDF of D_m and N_w in the Summer and Winter. The start and end times of DT (NT) were sorted using the latest sunrise (sunset) and the earliest sunset (sunrise) time for each season (Table 4) which is same method that of entire period classification.

429 Figure 10a shows a histogram of wind directions in Summer (light grey) and Winter (dark grey).

430 The frequencies of Summer and Winter wind directions are similar to each other. However, in

431 Fig. 10b, the DT and NT distributions of Winter wind direction display opposing frequencies.

Note that Winter season shows remarkable frequency of land (sea) wind between 0° (157.5°) and 45° (202.5°) at DT (NT) compared with results of those for Summer season. The accumulated value of normalized wind frequencies at the sea and land wind show different feature between Summer and Winter season (Table 5).

436 To identify the variability of DSDs caused by the land and sea wind in Summer and Winter, a 2-hour interval time series of D_m , N_w and R was analyzed. In the Summer, the time series 437 of D_m displays considerably large values between 0000 KST and 1200 KST, compared with 438 the period between 1400 KST and 2200 KST (Fig. 11a). The mean value of D_m decreases 439 dramatically between 1200 KST and 1400 KST. $log_{10}(N_w)$ generally has a negative 440 relationship with D_m (Fig. 11b). However, the inverse relation between $log_{10}(N_w)$ and D_m 441 is not remarkable. The $log_{10}(R)$ tends to increase gradually from 0000 KST to 0800 KST and 442 decrease from 0800 KST to 1400 KST, which is similar to the pattern that of entire period (Fig. 443 11c). Kozu et al. (2006) analyzed the diurnal variation in R at Gadanki (South India), 444 Singapore, and Kototabang (West Sumatra) during the Summer monsoon season. All regions 445 displayed maximum R at approximately 1600 LST, except for Gadanki. Also, Qian (2008), 446 447 who analyzed the diurnal variability of wind direction and R on Java Island during the Summer season using 30 years (from 1971 to 2000) of NCEP/NCAR reanalyzed data. They 448 found that a land wind occurred from 0100 LST to 1000 LST and a sea wind from 1300 LST 449 to 2200 LST (Fig. 7 of Qian (2008)). Normalized wind frequency for each direction is similar 450 451 pattern to the results of Qian (2008) but pattern of R is different with that of Kozu et al. (2006). 452 From 0200 (1200) KST to 1000 (2000) KST shows relatively smaller (larger) frequencies of sea wind. It is different pattern with R. However, these patterns matched with the time series 453 of D_m and $log_{10}(N_w)$. Larger frequency of sea wind direction shows counter-proportional 454

455 (proportional) relationship to the smaller (larger) frequency of D_m ($log_{10}(N_w)$).

Variability of the D_m time series for Winter is the inverse of the Summer time series (Fig. 11a). 456 The mean value of D_m steadily increases from 0000 KST to 1600 KST and then decreases 457 from 1600 KST to 2200 KST. The Winter $log_{10}(N_w)$ time series displays a clear inverse 458 pattern compared with the D_m variation with time and increases from 1600 KST to 0400 KST 459 and then steadily decreases from 0400 KST to 1600 KST (Fig. 11b). The peak of $log_{10}(N_w)$ 460 occurs at 0400 KST. However, the time series of $log_{10}(R)$ for Winter season shows similar 461 462 pattern with that of Summer unlike to another parameters (Fig. 11c). Based on the diurnal variation of R, the variations of D_m and N_w would be independent to R. 463

Alike to the D_m and $log_{10}(N_w)$, normalized wind frequency of wind direction for Winter season shows inverse relationship to that of Summer season (Fig. 11d). The value of frequency generally decreases (increases) from 0400 (1400) KST to 1400 (0400) KST. Also, it shows symmetry pattern with that of Summer season.

The PDF distribution of Summer D_m displays a relatively large DT frequency compared with NT when $D_m < 1.65 \ mm$, except for the range between 0.6 and 0.9 mm. However, in the range of $D_m > 1.65 \ mm$, the NT PDF displays a larger frequency (Fig. 12a). The PDF of $log_{10}(N_w)$ for DT (NT) has a larger frequency than the NT (DT) when $log_{10}(N_w) > (<) 3.3$ but smaller frequency when $log_{10}(N_w) < (>) 3.3$ (Fig. 12c).

The DT and NT PDFs of D_m and $log_{10}(N_w)$ during Winter display an inverse distribution to that of Summer. For the PDF of D_m , there is a considerable frequency for NT (DT) when $D_m < (>)$ 1.6 mm (Fig. 12b). The PDF of $log_{10}(N_w)$ of Summer season for NT (DT) is larger than that of the DT when $log_{10}(N_w) < (>)$ 3.5 (Fig. 12d). In the PDF analysis, relatively large (small) D_m and small (large) $log_{10}(N_w)$ are displayed during the NT (DT) when a land 478 wind (sea wind) occurs.

Bringi et al. (2003) referred that the convective rainfall type is able to classify as the continental 479 and maritime-like precipitation using D_m and N_w . As the previous study result, we analyzed 480 the PDF of DSDs for Summer and Winter with respect to convective rainfall type. These feature 481 482 would be shown more clearly in convective type. The convective rainfall type of PDFs of DT 483 and NT for Summer show similar shape of distribution to that of all rainfall type (Fig. 3a). For the PDF of D_m , there is a more frequency for DT (NT) than NT (DT) when D_m < (>) 2.0 484 mm except for between 0.7 mm and 1.2 mm (Fig. 13a). The PDF of convective rainfall 485 type's $log_{10}(N_w)$ for DT (NT) has a larger frequency than the NT (DT) when $log_{10}(N_w) >$ 486 (<) 3.4 except for between 4.3 and 5.5 (Fig. 12c). PDF distributions for Winter season show 487 more clear pattern compared with those of the entire rainfall type. The value of PDF for D_m 488 in DT (NT) have considerably larger than NT (DT) when $D_m > (<)$ 1.9 mm, especially 489 between 2.15 mm and 2.3 mm (Fig. 13b). Also, those for log₁₀(N_w) in DT (NT) show 490 dramatic values when $\log_{10}(N_w) < (>)$ 3.6. Furthermore, PDF values significantly 491 concentrated on between $3 < \log_{10}(N_w) < 3.2$ (Fig. 13d). In short, considering the DSD 492 parameters with wind directions, the maritime (continental)-like precipitation would depend 493 on the sea (land) wind. 494

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496 4. Summary and Conclusion

Climatological characteristics of DSDs in Busan were analyzed using the DSD data observed
by POSS over a four-year period from February 24th 2001 to December 24th 2004. Observed
DSDs were filtered to remove error by performing several quality control measures, and an
AWS rain gauge installed nearby was used to verify the rainfall amount recorded by the POSS.

We analyzed DSD characteristics of convective and stratiform rainfall types, as defined by Bringi et al. (2003). The rainfall dataset was thus divided into stratiform and convective rainfall and their contributions to the total rainfall were 62.93% and 6.11%, respectively. Also, to find the climatological characteristics of DSD for rainfall case, the entire rainfall data was classified as 10 rainfall categories including the entire period case.

According to the study by Bringi et al. (2003), the rainfall in Busan shows maritime 506 climatological DSD characteristics. The mean values of D_m and N_w for stratiform rainfall 507 are relatively small compared with the average line of stratiform rainfall produced by Bringi et 508 al. (2003), except for heavy rainfall events and those for convective type converged around the 509 510 maritime cluster, except for the Typhoon category. The convective rainfall associated with a Typhoon has considerably smaller D_m and larger N_w values compared with the other rainfall 511 categories. This is likely caused by increased raindrop break-up as a result of strong wind 512 effects. Furthermore, the distributions of mean D_m and N_w values for all rainfall categories 513 514 associated with convective rainfall display a linear relationship including the Typhoon category. 515 The analysis of diurnal variation in DSD yielded the following results: first, in the negative 516 range of μ , the frequency of μ is higher at NT than during the DT. The PDF of R is higher at NT than during the DT when $\log_{10}(R) > 0.6$. A gentle peak of D_m was identified during the 517 DT at approximately 0.6 mm. Additionally, the frequency of D_m is higher at NT than during 518 the DT when $D_m > 0.7$ mm. For N_w, which tends to be inversely related to D_m , its 519 frequency is higher at NT than during the DT when $\log_{10}(N_w) > 4$. At NT, D_m is higher and 520 R, μ , and N_w values are lower compared with the DT. This feature is matched with the time 521 522 series of normalized frequency of sea wind which shows inverse relationship to D_m. Smaller D_m is correspond to the larger sea wind frequency. In short, maritime (continental) –like 523

524	precipitation are observed in the DT (NT) more often than in the NT (DT), based on the results
525	of Bringi et al. (2003) and wind direction. The above-mentioned DSD characteristics are likely
526	due to the land and sea wind caused by differences in specific heat between the land and ocean.
527	These features are also apparent in the seasonal diurnal distribution. The PDF of DT and NT
528	for convective rainfall type during the Summer is similar to the PDF of the entire period;
529	however, those of the Winter displays the significant inverse distribution compared to Summer
530	because of obvious seasonal differences in wind direction.
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545	Cheol-Hwan You designed the study. Sung-Ho Suh modified the original study theme and
546	performed the study. Cheol-Hwan You and Sung-Ho Suh performed research, obtained the
547	results and prepared the manuscript along with contributions from all of the co-authors. Dong-
548	In Lee examined the results and checked the manuscript.
549	
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Author contributions

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Tables 1. Specification of POSS disdrometer.

	Specifications	Detail
	Manufacturer	ANDREW CANADA INC
	Module	PROCESSOR
	Model number	POSS-F01
	Nominal power	100 mW
	Bandwidth	Single frequency
	Emission	43 mW
	Pointing direction	20 ° (to the vertical side)
	Antenna	Rectangular pyramidal horns
	Range of sample area	< 2 m
	Wavelength	10.525 GHz ± 15 GHz
	Physical dimension	277×200×200 cm ³
	Net weights	Approximately 110 kg
679 680 681 682		
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684 685		
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	Rainfall Category		Per		
		2001	2002	2003	2004
	Typhoon	-	7.5-7.6, 8.31	5.29, 6.19, 8.7, 9.11-12	6.20, 8.19, 9.6
	Chanma	6.18-6.19, 6.23-6.26, 6.29-6.30, 7.1, 7.5-7.6 7.11-7.14	6.23-6.25, 6.30, 7.1-7.2	6.12-6.14, 6.23, 6.27 6.30, 7.1, 7.3- 7.15	7.11-7.13, 7.14
	Heavy rainfall	02.04.15. 20:13 to 02.04.16 06:29			
	C l	Spring	Summer	Autumn	Winter
	Seasonal	Mar. to May	Jun. to Aug.	Sep. to Nov.	Dec. to Feb.
	Diurnal	DT (KST)		NT (KST)	
	Diurnai	0733 - 1712		1942 -	0509
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Table 2. Designated date with respect to the source of rainfall.

Rainfall Category	Total precipitation	Stratiform precipitation (%)	Convective precipitation (%)
Typhoon	5095	3118 (61.19)	652 (12.79)
Changma	18526	11099 (59.91)	1611 (8.69)
Heavy rainfall	359	153 (42.61)	150 (41.78)
Spring	30703	20370 (66.34)	1478 (4.81)
Summer	37187	22566 (60.68)	3409 (9.16)
Autumn	19809	12033 (60.74)	850 (4.29)
Winter	11689	7582 (64.86)	339 (2.90)
Daytime	41328	26373 (63.81)	2539 (6.14)
Nighttime	37455	23063 (84.00)	2242 (5.89)
Entire	99388	62551 (62.93)	6076 (6.11)

Table 3. Rainfall rate for each rainfall category and the number of sample size for 1-min data.

Category Period (KST) (KST) Summer DT 0533 1927 NT 1942 0509 DT 0733 1712	
NT 1942 0509	
DT 0733 1712	
Winter	
NT 1819 0654	
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Table 4. DT and NT (KST) in Summer and Winter season.

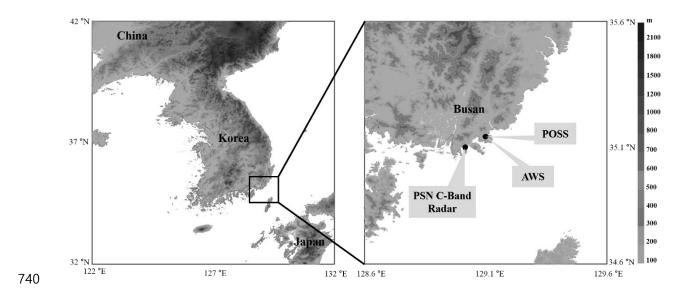
Sum of the normalized wind direction frequencies						
Season	Sum	Summer		Winter		
Туре	Sea wind	Land wind	Sea wind	Land wind		
Frequency	0.4139	0.5861	0.3137	0.6863		
Differenc	Difference of the normalized wind direction frequency between DT and NT (DT-NT)					
Season	Sum	nmer	Wi	nter		
Туре	Sea wind	Land wind	Sea wind	Land wind		
Frequency	0.0731	-0.0731	-0.0697	0.0697		

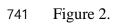
Table 5. Sum of the normalized wind direction frequencies between Summer and Winter.

732 Figures

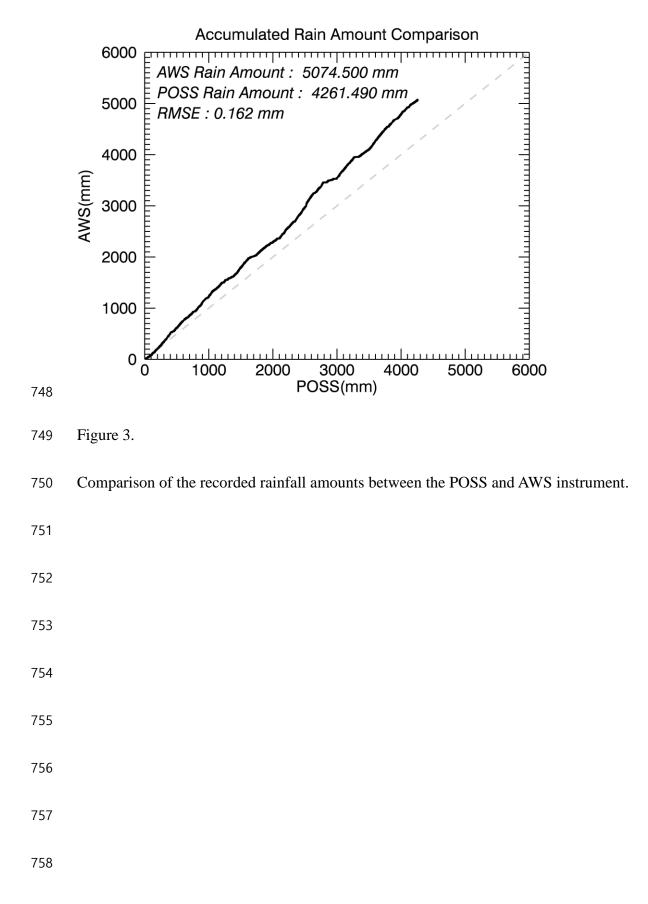


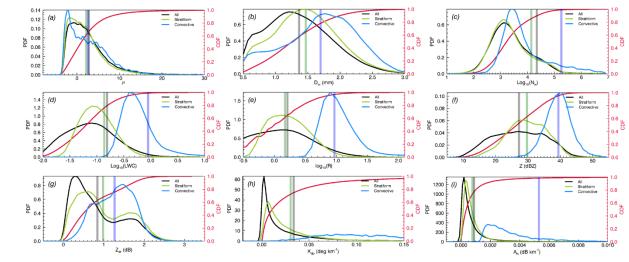
- Figure 1.
- 736 Photograph of the POSS instrument used in this research.



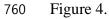


742 Locations of the POSS and the AWS rain gauge installed in Busan, Korea.

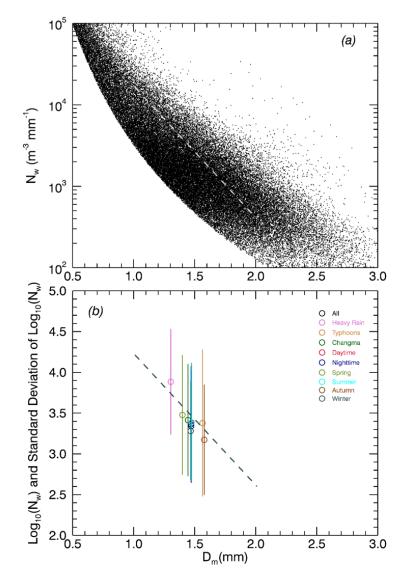








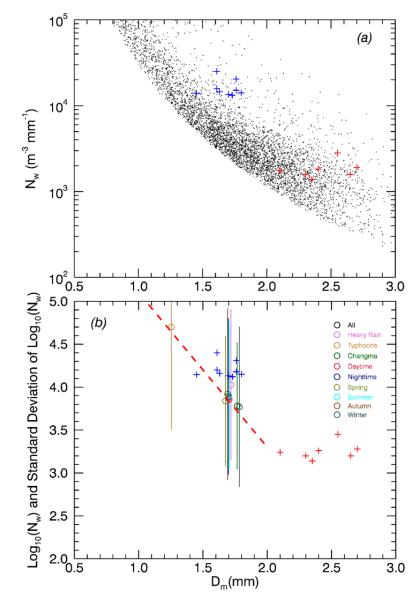
PDF and CDF curves for (a) μ , (b) D_m , (c) $\log_{10}(N_w)$, (d) $\log_{10}(R)$, (e) $\log_{10}(LWC)$, (f) Z, (g) Z_{dr} , (h) K_{dp} , and (i) A_h for the entire rainfall dataset (solid black line), stratiform rainfall (solid green line), and convective rainfall (solid blue line. The solid red line represents the CDF for entire rainfall dataset. The solid vertical line represents the mean value of each type.



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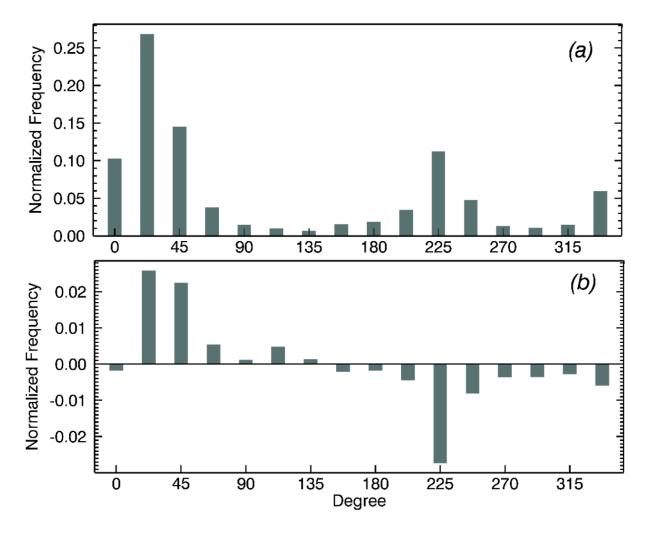


(a) Scatter plot of 1-min D_m and N_w for the 10 rainfall categories with respect to stratiform rainfall data. The broken grey line represents the average line as defined by Bringi et al. (2003). (b) Scatter plot of mean D_m and $\log_{10}(N_w)$ values of the 10 rainfall categories with respect to stratiform rainfall. The vertical line represents $\pm 1\sigma$ of $\log_{10}(N_w)$ for each category.

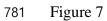


(a) As in Figure 5(a), but for convective rainfall. The blue and red plus symbols represent
maritime and continental rainfall, respectively, as defined by Bringi et al. (2003). (b) As in
Figure 5(b), but for convective rainfall. The broken red line represents the mathematical
expression described in Eq. (16).

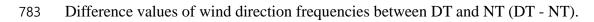
⁷⁷³ Figure 6.

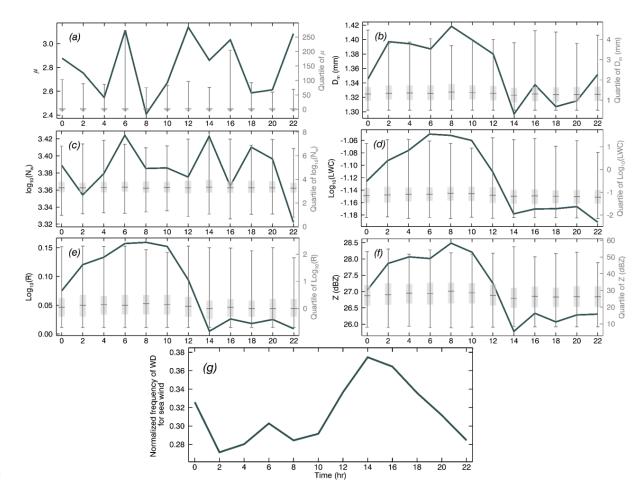






(a) Histogram of normalized frequency of 16 wind directions for the entire study period. (b)

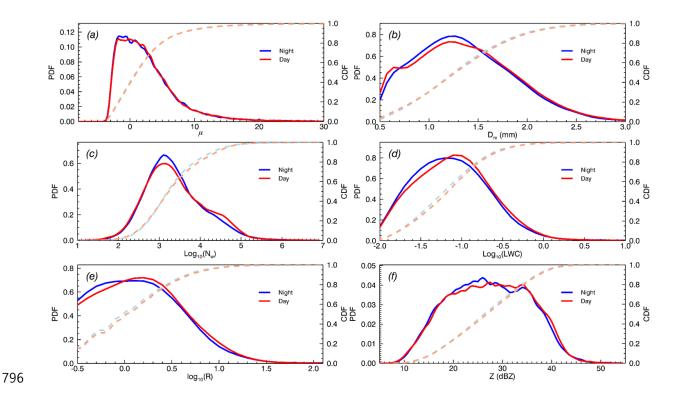






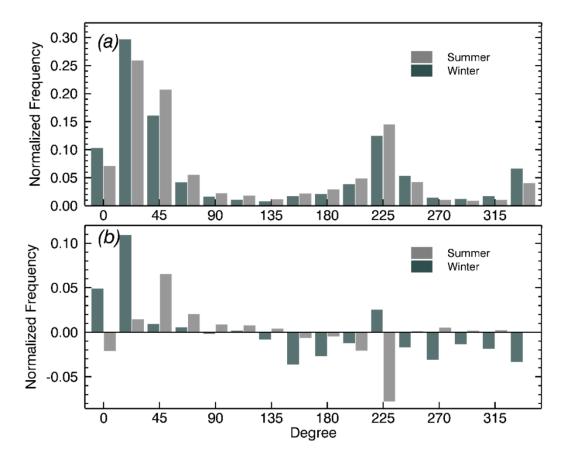


Two hour interval time series of (a) μ , (b) D_m , (c) $\log_{10}(N_w)$, (d) $\log_{10}(R)$, (e) log₁₀(LWC), (f) Z_h and (g) normalized frequency of wind direction for sea wind (45° to 225°) with quartiles for the total period. Solid lines are quartiles for each time.



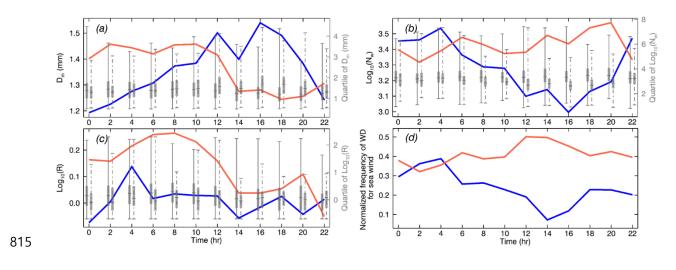


PDF and CDF curves for (a) μ , (b) D_m , (c) $\log_{10}(N_w)$, (d) $\log_{10}(R)$, (e) $\log_{10}(LWC)$, and (f) Z for DT and NT. The solid red and blue lines represent the PDF for DT and NT, respectively. The broken light red and blue lines represent the CDF for DT and NT, respectively.



806 Figure 10.

Histogram of normalized frequency for 16 wind directions in (a) the entire period and (b) difference of normalized frequency of wind direction between DT and NT (DT - NT) for Summer (light grey) and Winter (dark grey) season.



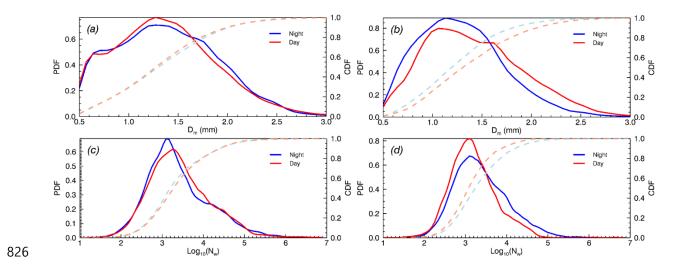




818 Two hour interval time series and quartiles of (a) D_m , (b) $log_{10}(N_w)$ (c) $log_{10}(R)$ and (d) 819 normalized frequency of wind direction for sea wind (45° to 225°) for the Summer (red) and 820 Winter (blue) season. Solid (broken) lines are quartiles of Summer (Winter) for each time, 821 respectively.

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827 Figure 12.

PDF and CDF of (a) D_m ((b) D_m) and (c) N_w ((d) N_w) in the Summer (Winter) season. Red and blue solid lines represent the PDF of DT and NT, respectively. The light red and blue broken line represent the CDF for each season

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