Regime shifts in Annual Maxima Rainfall across Australia– Implications for Intensity-Frequency-Duration (IFD) relationships

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Abstract

Rainfall Intensity-Frequency-Duration (IFD) relationships are commonly required for the design and planning of water supply and management systems around the world. Currently IFD information is based on the 'stationary climate assumption' - that weather at any point in time will vary randomly and that the underlying climate statistics (including both averages and extremes) will remain constant irrespective of the period of record. However, the validity of this assumption has been questioned over the last 15 years, particularly in Australia, following an improved understanding of the significant impact of climate variability and change occurring on interannual to multidecadal timescales. This paper provides evidence of regime shifts in annual maxima rainfall timeseries using 96 daily rainfall stations and 66 sub-daily rainfall stations across Australia. Further, the effect of these regime shifts on the resulting IFD estimates are explored for three long-term sub-daily rainfall records (Brisbane, Sydney and Melbourne) utilising insights into multidecadal climate variability. It is demonstrated that IFD relationships may under- or over-estimate the design rainfall depending on the length and time period spanned by the rainfall data used to develop the IFD information. It is recommended that regime shifts in annual maxima rainfall be explicitly considered and appropriately treated in the ongoing revisions of Engineers Australia's guide to estimating and utilising IFD information, 'Australian Rainfall and Runoff', and that clear guidance needs to be provided on how to deal with the issue of regime shifts in extreme events (irrespective of whether this is due to natural or anthropogenic climate change). The findings of our study also have important implications for other regions of the world that exhibit considerable hydroclimatic variability and where IFD information is based on relatively short data sets.

1. Introduction

Information on rainfall event intensity, frequency and duration (IFD, or IDF as it is known in some countries) plays a critical role in the design of dams, bridges, stormwater drainage systems and floodplain management. Dependent upon the application, information is required for event-durations ranging from hours to several days. The development of IFD relationships were first proposed by Bernard (1932) and since then different versions of this relationship have been developed and applied worldwide (e.g. Bara et al. 2009, Chen 1983, Hershfield 1961, IHP-VII 2008, Nhat et al. 2006, Raiford et al. 2007).

Historically, in Australia, IFD design rainfall curves were developed by the Australian Bureau of Meteorology (BoM) for durations ranging from 5 minutes to 72 hours and Average Return Intervals (ARI) of 1 year to 100 years (however, recently additional durations and ARIs have also been developed). Up until very recently IFD information available to (and used by) engineers and hydrologists were developed 25 years ago, as part of Engineers Australia publication Australian Rainfall and Runoff (AR&R) in 1987. New IFD information was released early in 2013 after a major revision of IFD information carried out by Engineers Australia. Importantly, the revised IFD information is based on a longer and more extensive rainfall data set (http://www.bom.gov.au/water/designRainfalls/ifd/). However, the BoM and Engineers Australia still recommend to use the AR&R 1987 information for existing flood studies and the probabilistic rational method and to conduct sensitivity testing with the revised 2013 AR&R parameters including the new IFD design rainfalls (http://www.bom.gov.au/water/designRainfalls/ifd/index.shtml).

At the time of writing, the revised IFD information does not take into account the impact of climate change on IFD estimates. This is part of ongoing research commissioned through Engineers Australia. It is also not yet clear how or if the role of natural climate variability is going to be considered. Of concern is the fact that currently, estimates of IFD are based on

69 the assumption that “climatic trend, if it exists in a region, has negligible effect on the design
70 intensities” (Pilgrim 1987). This is known as the ‘stationary climate assumption’ (i.e. the
71 statistical properties of the rainfall do not change over time) and implies that the chance of an
72 extreme event occurring is the same at any point in time (past or future). However, the
73 validity of this assumption has been questioned over the last 15 years following
74 demonstration of the significant impact of climate variability occurring on interannual to
75 multidecadal timescales in Australia. For example, research has shown that annual maximum
76 flood risk estimates in Australia vary depending on climate state (e.g. Ishak et al. 2013, Kiem
77 et al. 2003, Leonard et al. 2008). Importantly these studies demonstrate that founding flood
78 risk estimates on an unsuitable time period has the potential to significantly underestimate (or
79 overestimate) the true risks. This may apply to design rainfall also given that current IFD
80 estimates are based on varying lengths of data spanning different time periods (the latest IFD
81 estimates are based on all daily-read stations with 30 or more years of record and all
82 continuously-recording stations with more than 8 years of record).

83 Khaliq et al. (2006) explained that the traditional idea of probability of exceedance and return
84 period are no longer valid under non-stationarity. Recently, Jakob et al (2011a) found that
85 rainfall quantile estimates derived for Sydney Observatory Hill for the period 1976 to 2005
86 show significant decreases across durations from 6 minutes to 72 hours. Jakob et al (2011b)
87 subsequently extended the sub-daily rainfall data analysis to 31 sites located in southeast
88 Australia, assessing variations in frequency and magnitude of intense rainfall events across
89 durations from 6 minutes to 72 hours. This study identified two different trends in the data
90 sets, a decreasing trend in frequency of events at durations of 1-hour and longer for sites in
91 the north of the study region, while sites in the south cluster displayed an increase in
92 frequency of events, particularly for sub-hourly durations. Importantly Jakob (2011a, 2001b)
93 concluded that, for at least some regions of Australia, trends found in historical records has

94 the potential to significantly affect design rainfall estimates. Westra and Sisson (2011) also
95 investigated evidence of trends in extreme precipitation at sub-daily and daily timescales
96 (1965-2005) using a spatial extreme value model. They identified a statistically significant
97 increasing trend in precipitation extremes for the sub-daily data set, however at the daily
98 timescale no change in annual maximum rainfall could be detected with the exception of
99 southwest Western Australia (Westra and Sisson 2011). Further, Yilmaz and Perera (2014)
100 conducted change point analysis for extreme rainfall data for storm durations ranging from 6
101 minutes to 72 hours in Melbourne, and found evidence of regime shifts, concluding the year
102 1966 as a statistically significant change point. Yilmaz et al (2014) then investigated changes
103 in extreme rainfall through trend analysis, non-stationarity tests and non-stationary GPD
104 models (NSGPD) for Melbourne. They found statistically significant extreme rainfall trends
105 for storm durations of 30 minutes, 3 hours and 48 hours, however for above storm durations
106 there was no evidence of a regime shift (which they termed 'non-stationarity') according to
107 statistical non-stationarity tests and non-stationary GPD (Yilmaz et al (2014).

108 A limitation of the analysis presented by Westra and Sisson (2011) and Jakob et al (2011a,
109 2011b) is that they tested for linear trends in the rainfall data series based on the hypothesis
110 that extreme rainfall events would have either decreased, increased or exhibited no trend over
111 the time period being investigated. However these are not the only attributes of trend
112 detection, since annual rainfall maxima may also cycle through interannual to multidecadal
113 periods (note that Westra and Sisson (2011) also investigated possible links between extreme
114 rainfall and annual fluctuations in the El Niño/Southern Oscillation (ENSO)). Therefore,
115 depending on what time period the annual rainfall maxima data are derived from (in reference
116 to any long term cycles or epochs) the observed trends may be misleading or even not
117 apparent (leading to the misconception that regimes shifts are non-significant or not an
118 important consideration). Recently Yilmaz et al (2014) investigated the potential impact of

119 the Interdecadal Pacific Oscillation (IPO) on extreme rainfall and resulting IFD for a case
120 study in Melbourne. They concluded that, the IPO negative phase can be the driver of higher
121 rainfall intensities for long durations and high return periods. However, the trends in extreme
122 rainfall data and differences in rainfall intensities for short storm durations and return periods
123 could not be explained with the IPO influence. Given that Melbourne is located in south-east
124 Australia, where the influence of the IPO is temporally variable due to other climate drivers
125 operating (acting to enhance or suppress impacts, see Kiem and Verdon-Kidd 2010; 2009),
126 the research by Yilmaz et al (2014) provides promise for developing relationships between
127 extreme rainfall and the IPO for regions where the IPO may have a more consistent influence
128 (due to fewer competing climate modes), such as north-eastern Australia.
129 Therefore this paper aims to establish if there is evidence of regime shifts in the annual
130 maxima rainfall timeseries (1-hour to 7-days) across Australia by testing for shifts (regardless
131 of direction or timing) in the long term sub-daily and daily data. Further, the implications on
132 IFD estimation are explored, along with the potential influence of the IPO on extreme rainfall
133 and resulting IFD. Recommendations are then provided as to how these insights may be
134 incorporated in future revisions of AR&R.

135 **2. Data and methods**

136 2.1 Data

137 2.1.1 Rainfall data

138 Sub-daily and daily rainfall data for Australia were obtained from the BoM. Sub-daily data
139 records from continuously recording (i.e. pluviograph) rainfall stations in Australia tend to be
140 relatively short, hindering the ability to conduct trend and attribution studies. In this study
141 pluviograph rainfall stations were chosen with data spanning at least 40 years and at least
142 90% complete, resulting in 66 stations (see Figure 1a). In order to address the concerns raised

143 in the Introduction about short term data analysis (note that according to Raiford et al. (2007)
144 ARI should not be extrapolated from more than twice the record length), three long-term data
145 sets, highlighted in Figure 1a, were chosen for further analysis that contained data from at
146 least 1913 onwards (Brisbane Aero, Sydney (Observatory Hill) and Melbourne Regional
147 Office).

148 Daily rainfall stations with data spanning the period 1900 to 2009 were selected in order to
149 capture as much temporal variability as possible (see Figure 1b). These stations were filtered
150 according to the amount of data missing in order to identify the highest quality stations
151 recording rainfall during this period, resulting in 96 being considered suitable for further
152 analysis. Due to variability in the quality and quantity of rainfall data in each State of
153 Australia, the following selection criteria were applied:

154 • New South Wales, Queensland and Victoria - selected stations are at least 97%
155 complete;
156 • Tasmania- selected stations are at least 90% complete; and
157 • South Australia, Northern Territory and Western Australia - selected stations are at
158 least 85% complete.

159 *****Figure 1 about here****

160 2.1.2 Climate index data

161 The climate of Australia has experienced a number of regime shifts in climate during its
162 history, resulting in sustained periods of above average rainfall and storminess and
163 abnormally cool temperatures, followed by the reverse conditions (i.e. droughts and elevated
164 bushfire risk) (e.g. Erskine and Warner 1988, Franks and Kuczera 2002, Kiem et al. 2003,
165 Kiem and Franks 2004, Verdon et al. 2004). These shifts have tended to occur every 20 to 30

166 years and are associated with changes in the Interdecadal Pacific Oscillation (IPO, Power et
167 al. 1999). The IPO represents variable epochs of warming (i.e. positive phase) and cooling
168 (i.e. negative phase) in both hemispheres of the Pacific Ocean (Folland et al. 2002).
169 Importantly, the IPO has been shown to influence the magnitude and frequency of flood and
170 drought cycles across eastern Australia (Kiem et al. 2003, Kiem and Franks 2004). In New
171 Zealand, the IPO is also associated with similar shifts in flood frequency (McKerchar and
172 Henderson 2003). It has been noted that, following the abrupt shift in the IPO in the mid
173 1970s, the period, amplitude, spatial structure and temporal evolution of ENSO markedly
174 changed (Wang and An, 2001). Historically, during negative phases of the IPO there tends to
175 be more La Niña (wet) events and fewer El Niño (dry) events (Kiem et al. 2003, Verdon and
176 Franks 2006), resulting in an overall 'wet' epoch for eastern Australia and New Zealand .
177 While during the positive phase of the IPO there tends to be a higher frequency of El Niño
178 events and fewer La Niña events (Kiem et al. 2003, Verdon and Franks 2006), resulting in an
179 overall 'dry' epoch. In this study negative phases of the IPO were defined as 1913-1920 and
180 1945-1977, while positive phases included 1921-1944 and 1978 to 2010.

181 2.2 Statistical tests

182 A 20 year moving window was used to test for low frequency variability in the annual
183 maxima timeseries (1-hour, 1-day and 7-day). A Mann-Whitney U test was then used to
184 determine the statistical significance of possible regime shifts by testing if the first 10 years
185 of data was significantly different from the second 10 years, within the 20 year window (the
186 null-hypothesis in this case was that the data was independently distributed). If the difference
187 in medians was found to be statistically significant (i.e. p-value < 0.05) and there was a
188 change in sign of the median values (e.g. switch from negative to positive), a climate shift
189 was postulated to have occurred during the 10th year of the window. The Mann-Whitney U
190 test is a robust test that does not place implicit assumptions on the underlying distribution of

191 the data (i.e. it is a distribution free test), which is particularly appropriate here due to the
192 small number of years used in each window (Kundzewicz and Robson 2004). Note that a
193 number of different size windows were also tested, however this did not change the results or
194 conclusions.

195 A second test was also applied to identify step changes in the 1-day and 7-day annual maxima
196 time series known as the distribution free CUSUM with resampling (note that the test was not
197 applied to the shorter sub-daily data as longer data sets are recommended for this method).
198 CUSUM tests whether the means in two parts of a record are different (for an unknown time
199 of change). The second test was applied as it does not require the use of a moving window
200 (which is a limitation of the Mann-Whitney U test described above). However the CUSUM
201 test sequentially splits the timeseries into two portions (which are not necessarily equal),
202 which may be a problem if more than one cycle/shift is present in the timeseries.

203 The existence of serial correlation (or autocorrelation) in a time series will affect the
204 ability of tests (such as the Mann-Whitney U and CUSUM) to assess the site
205 significance of a trend (e.g. Yu et al. 2003, Serinaldi and Kilsby 2015b). The presence
206 of cross-correlation among sites in a network will also influence the ability of the test
207 to evaluate the field significance of trends over the network (e.g. Yu et al. 2003,
208 Douglas et al. 2000, Guerreiro et al. 2013). Therefore, prior to applying the change point
209 analysis as described above, the Durbin-Watson (DW) statistic was used to test for
210 autocorrelation in the annual maxima timeseries (Durbin and Watson (1950, 1951)). In this
211 case the null hypothesis is that the residuals from an ordinary least-squares regression are not
212 autocorrelated against the alternative that the residuals follow an AR1 process. All DW
213 statistic values were found to be greater than the 1.562 (the upper bound for 1% significance
214 and a sample size of ~100) providing no evidence to reject the null hypothesis. Therefore,

any regime shifts detected using the change point methods above are not likely to be artefacts resulting from hidden persistence.

The potential issue of cross- correlation was also investigated. It was found that less than 9% of all possible pairings of rainfall data sets display a significant (yet weak) correlation at the 5% level ($r > 0.2$, significance based on $n=100$). Only eight pairings (out of 4465) were correlated at 0.5 or higher. It was also found that stations located more than 500km apart were unlikely to be correlated and that the strength of the correlation reduced as distance increased between the pairs. This is not surprising given annual maximum rainfall events are due to synoptic scale processes. Therefore observations relating to spatial consistency of regime shifts are unlikely to be due to spatial correlation between sites.

2.3 IFD Calculation

The standard process for obtaining IFD information for a location is to refer to the six master charts of rainfall intensity for various durations and ARIs covering all of Australia in Volume 2 of AR&R 2001. Alternatively, IFD curves can be obtained for any location in Australia via the BoM website (both the AR&R 1987 and revised IFDs are available). This information is based on regionalised estimates of IFDs that are spatially and temporally consistent. However, this approach cannot be adopted when using the instrumental rainfall data required for the analysis presented in this study. As such, the IFD information generated for this project follows the methodology on which the IFDs were based for AR&R 1999 (note the1987 edition was republished in book form in 1999 with only the chapter on the estimation of extreme to large floods updated), which utilises point source data with no regionalisation. It should be noted that it is not the purpose of this paper to compare different methods of generating IFDs, rather, one method has been adopted in order to provide a comparative assessment of the impact of non stationarity on IFD estimation. The AR&R 1999 procedure

239 used to generate IFDs from raw rainfall data (i.e. point based estimates) is summarised as
240 follows:

241 • A log-Pearson III distribution was fitted to the annual maxima timeseries using the
242 method of moments (for annual maxima series of 30 minutes to 72 hours duration).
243 This is the standard distribution that has historically been adopted for generating IFDs
244 in Australia; however other distributions have recently been tested as part of the
245 revision of AR&R. To test if this distribution is suitable for the region being studied,
246 the goodness of fit for the log-Pearson III was tested using a Kolmogorov Smirnov
247 (KS) test. Here the null hypothesis is that the data fits the Log-Pearson lll distribution
248 (the alternate is that the data does not follow the Log Pearson III distribution). All p-
249 values were greater than 0.05 (average p-value was 0.75), for all series (30min to 72hr
250 durations at Brisbane, Sydney and Melbourne), therefore we accept the null
251 hypothesis at the 5% significance level.;
252 • The coefficient of skewness was determined for each duration (30 minutes to 72
253 hours);
254 • The coefficient of skewness was then used to obtain a frequency factor, K_Y, for use
255 with Log-Pearson III Distribution. K_Y was obtained from Table 2.2 (positive skew
256 coefficients) and Table 2.3 (negative skew coefficients) in AR&R 1999 Book 4;
257 • Rainfall intensities for a range of ARI were calculated using the following formula:

258 • $$\log RI_Y = M + K_Y S \qquad (1)$$

259 Where: RI_Y = rainfall intensity having an ARI of 1 in Y

260 M = mean of the logarithms of the annual maxima rainfalls

261 S= Standard deviation of the logarithms of the annual maxima rainfalls

262 K_Y = frequency factor for the required ARI of 1 in Y

- ARIs of 2 years to 10 years were adjusted to partial-duration series estimates. In AR&R 1999, the following correction factors were used (note: for ARI greater than 10 years, no corrected factor is required): 2 year ARI – 1.13, 5 year ARI - 1.04, 10 year ARI – 1.0.

It should be noted that this approach is likely to result in different estimates of IFDs than those obtained from the standard maps provided by AR&R 1999 or the revised IFD estimates released in 2013. Here we are using point based rainfall data, whereas AR&R 1999 have derived regionalised estimates based on multiple rainfall stations with varying lengths of data, varying resolution (daily and pluviograph) and varying quality of records. It is recognised that analysis of rainfall data from single stations is often unreliable, is not temporally or spatially consistent and should generally not be used for design purposes. However, the use of point based rainfall data satisfies the specific aims of this study (which is a comparative analysis) and is therefore considered appropriate.

3. Results

3.1 Test for regime shifts in the annual maxima rainfall timeseries

Significant step changes identified in the extreme rainfall timeseries are shown in Figure 2. Of the 66 sub-daily rainfall stations tested, 40 (61%) displayed at least one step change in the 1-hour annual maxima timeseries (Figure 2a), with some stations exhibiting multiple shifts. Of the 96 daily rainfall stations tested, 86 displayed at least one step change in the 1-day annual maxima timeseries (Figure 2b), while 92 exhibited at least one shift in the 7-day annual maxima timeseries (Figure 2c), and some stations exhibited multiple shifts. Figure 2 collectively shows that observed step changes (or regime shifts) in annual maxima rainfall are not confined to any one particular region of Australia, with most stations analysed exhibiting at least one statistically significant shift.

****Figure 2 about here****

As shown in Figure 3, the CUSUM test yielded fewer stations with statistically significant step change in the annual maxima timeseries (only 18 stations out of 96) and many of these were clustered along the coastal fringe of eastern Australia (note that, although the total number of stations displaying significant change points was the same for both the 1-day and 7-day annual maxima, in some cases the location of the stations differed between the two). However, as stated previously a limitation to this method is that only one significant change can be detected using the CUSUM test (given that the data is sequentially split into two portions during testing). This can be a problem if more than one step change or cycle in the data is present (see example timeseries in Figure 4). Therefore, while the number of stations displaying a step change is reduced using the alternative method, the results do in fact support the theory that regime shift(s) in the annual timeseries are present for some stations at different durations.

****Figure 3 about here****

****Figure 4 about here****

The temporal consistency of step changes in the annual maxima timeseries was further investigated (Figure 5a) and it was found that the timing of observed shifts were not necessarily consistent across Australia. However, for some regions (e.g. the east coast of Australia) periods such as the 1940s (Figure 5b) and to a lesser degree 1970's (Figure 5c) display a higher degree of spatial consistency.

****Figure 5 about here****

Instability and storminess can result during periods when a number of climate driving mechanisms interact (e.g. El Niño/Southern Oscillation, Indian Ocean Dipole and the

310 Southern Annular Mode) to influence the occurrence of regional weather systems such as east
311 coast lows and cut off lows (Pook et al. 2006, Verdon-Kidd and Kiem 2009). However, the
312 large-scale climate phenomena impact various regions of Australia at different times of the
313 year and to varying degrees, therefore it is not surprising that the timing of shifts in the
314 annual maxima timeseries varies spatially and temporally. This highlights the limitations of
315 trying to assess and attribute variability in annual maxima rainfall based on a single climate
316 driver (e.g. ENSO) or attempting to address the issue of climate trends for the whole of
317 Australia using one simple approach or model.

318 3.2 Effect of non-stationarity on IFD estimation

319 Section 3.1 provided evidence of non-stationarity in the annual maxima timeseries for a range
320 of durations. This non-stationarity may ultimately influence the IFD estimation depending on
321 the length of data, and the time period it comes from, and therefore the underlying climatic
322 state (or combination of states). Current IFD estimates for Australia (both the 1987 and 2013
323 versions) are based on data as short as 30 years for the daily-read stations and 8 years for the
324 sub-daily data. Therefore IFD estimates based on relatively short-term data sets may under-
325 or over-estimate rainfall intensities, depending on where the data series fits within the long
326 term context (i.e. before or after a shift in annual maxima).

327 For many east coast stations a shift in 1-day annual maxima (along with the 7-day) occurred
328 around the 1940s - 1950s and again in the 1970s. This timing also corresponds to well-known
329 periods of change in the IPO (see Section 2.1.2 for a description of the IPO and its
330 influences). Therefore, to further explore the issue of regime shifts, breakpoints in the IPO
331 were used to stratify the annual maxima rainfall timeseries into IPO positive and negative
332 epochs for the three long sub-daily data sets described in Section 2.1.1 (i.e. Brisbane, Sydney
333 and Melbourne, see Figure 1a for location). The reason for selection of these stations was

334 twofold. Firstly, for all three stations, a shift in the annual maxima timeseries (for 1-day and
335 7-day) was observed during the 1940s and again in the 1970s, and secondly the stations
336 contain long records of pluviograph data (the shortest being from 1913 onwards). Figure 6a
337 shows the modulating effect of the IPO on total annual rainfall for the three east coast
338 stations. Annual maxima at the three east coast stations during the two IPO epochs are also
339 shown in Figure 6 (b-d) for event durations of 30 minutes to 72 hours (durations that are
340 critical for flood design applications). A two-sample Kolmogorov-Smirnov (KS) test was
341 applied to determine if the observed differences between the IPO positive and negative
342 rainfall distributions are statistically significant. Here the null hypothesis is that the two
343 samples are drawn from the same distribution.

344 ****Figure 6 about here****

345 It is evident from Figure 6a that the effect of the IPO on annual rainfall totals (as measured by
346 the largest difference between the two rainfall distributions associated with each climate
347 phase and the results of the KS test) is greatest for Sydney. Although there does appear to be
348 some impact in Brisbane, the result was not statistically significant according to the KS test.
349 Melbourne does not appear to be greatly influenced by the IPO in terms of annual rainfall
350 variability. This is due to the fact that the southern regions of Australia are affected by other
351 climate modes than those arising from the Pacific (i.e. the Southern Annular Mode and the
352 Indian Ocean Dipole (e.g. Kiem and Verdon-Kidd 2010, Gallant et al, 2012)). Regions such
353 as Brisbane and Sydney tend to be dominated by Pacific Ocean influences (e.g. Verdon et al.
354 2004). Figure 6b shows annual maxima rainfall tends to be higher during IPO negative on
355 average for durations 6 hours and longer at Brisbane (though not statistically significant
356 according to the KS test), while Figure 6c confirms the same to be true for Sydney for
357 durations 2 hours and longer (statistically significant at 95%). However, for Sydney, the

358 outliers (represented by circles) tend to be larger during IPO positive, indicating that the less
359 frequent events might be more intense during this phase.

360 Irrespective of the fact that the annual rainfall totals for Melbourne do not show any
361 significant difference between the two phases of the IPO, there does appear to be a consistent
362 relationship between IPO and the sub-daily and daily statistics (Figure 6d), whereby the
363 median of the IPO positive distribution is higher across all durations, however IPO negative
364 is associated with less frequent but more extreme events (although results were not
365 statistically significant based on the KS test). For events 24 hours and longer, the IPO
366 negative distribution also shows a much higher degree of variability than smaller durations,
367 with the 'box and whiskers' extending beyond the IPO positive counterpart for these longer
368 durations. This suggests that while IPO might not be as dominant in southeastern Australia as
369 it is further to the north it still has some influence that needs to be better understood.

370 Based on the analysis presented in Figure 6 and the results of the KS test, the Sydney record
371 was chosen to further investigate the effects of regime shifts on IFD estimation. IFD
372 information was generated for the Sydney record using rainfall data from the two IPO phases
373 and the methodology outlined in Section 2.1 for durations 6 minutes through to 72 hours and
374 ARI 2 years to 200 years. In order to test the robustness of the point estimates of rainfall
375 return levels and estimate the uncertainty in their calculation, a simple bootstrap procedure
376 was carried out. Firstly the IPO positive and IPO negative rainfall timeseries were resampled
377 with replacement to obtain two new B-samples. Then for each B-sample the log-Pearson III
378 distribution was fitted and the rainfall intensities calculated for the various return intervals.
379 The difference between the rainfall intensities (of the two B-samples) was then calculated.
380 This procedure was repeated 100 times to build the empirical distribution of the differences
381 (which represents the effects of sampling and parameter estimation uncertainties under the
382 hypothesis of the existence of two different regimes).

383 Figure 7 shows the difference in rainfall intensity between IPO positive and IPO negative
384 estimates, along with the 95% confidence intervals (CIs) derived using the procedure above.

385 ****Figure 7 about here****

386 Figure 7 demonstrates clear differences in the resulting rainfall intensities for Sydney
387 estimated for each duration and ARI using the two regimes (i.e. rainfall data from either IPO
388 negative or IPO positive). The difference in rainfall intensity estimated is as great as 65% in
389 some cases. In all cases, the magnitude of the difference in rainfall intensity estimated using
390 the different data regimes is greater for less frequent events (e.g. 50-year, 100-year, 200-year
391 ARIs), highlighting that uncertainty is greatest with less frequent events. The rainfall
392 intensity is greater in IPO positive for the very short duration events (6 minutes) at all return
393 intervals and for 30min duration events for return intervals of 10 years or more. Similarly, for
394 the 24 and 72 hour duration events rainfall intensity in the positive IPO phase is higher for
395 return intervals of 5 years or more. For 2 hour and 6 hour events, the negative phase results in
396 higher intensity events for more frequent return levels (20 years or less) but lower intensities
397 for less frequent events (50 years or more).

398 **4. Discussion and conclusions**

399 An analysis of regime shifts in the annual maxima timeseries (1-hour, 1-day and 7-day) has
400 been carried out using a set of high quality rainfall stations across Australia. It was found that
401 the annual maxima timeseries does indeed exhibit statistically significant step changes/shifts
402 for the majority of stations for various durations. Further it was demonstrated using three
403 long term sub-daily rainfall stations along the east coast that this impacts upon the resulting
404 IFD estimation. The potential for Pacific Influences (i.e. the IPO) to influence the resulting
405 IFD estimation was explored in order to demonstrate this issue. The authors acknowledge that
406 the IPO is unlikely to be the only driver of variability in the annual maxima timeseries across

407 Australia, and it is recommended that future research should aim to identify other potential
408 drivers of this variability.

409 These findings highlight the fact that in some instances the IFD estimates currently being
410 used are likely to be either under- or over-estimated at any one time depending on the length
411 of data, and climatic state, from which they were derived. This is a particular concern given
412 that current regionalised IFD information is based on data of varying length (as short as 8
413 year in the case of sub-daily data) spanning different time periods. An over estimation of
414 rainfall intensity for a given duration could impact on construction costs, while the risks of
415 underestimating rainfall intensities could result in failure of design criteria. That is, the risk is
416 dependent on the application and length of time over which the risk is assessed.

417 Further revisions of AR&R are currently underway to include an assessment of the potential
418 impacts of climate change on IFD estimates. However, there are many uncertainties
419 associated with climate change projections, particularly when extracting information on
420 timescales shorter than a season and particularly for hydrological extremes (e.g. Blöschl and
421 Montanari 2010, Kiem and Verdon-Kidd 2011, Koutsoyiannis et al. 2008, 2009, Montanari et
422 al. 2010, Randall et al. 2007, Stainforth et al. 2007, Stephens et al. 2012, Verdon-Kidd and
423 Kiem 2010). Therefore, assessing future changes in extreme events that occur over short
424 durations (e.g. minutes to days) is inherently difficult. Furthermore, climate projections are
425 presented in terms of a percent change from a particular baseline. However, the baseline is
426 often inconsistent and ill-defined leading to very different estimates of risk depending on the
427 time over which the baseline is calculated (as has been demonstrated in this paper).
428 Importantly, for regions where large-scale climate drivers operate on a multi-year to multi-
429 decadal timescales and are known to influence extreme rainfall events, we can use this
430 information to determine if the climate statistics on which the IFD are based are likely to be
431 biased or missing crucial information.

It is recommended that regime shifts in annual maxima rainfall be considered and appropriately treated in any further updates of AR&R. One way to do this may be to only utilise data sets of similar length ensuring that they span a sufficient number of years in order to capture data from epochs of both high or low annual maxima (to remove bias towards one climatic phase or another). However, it is acknowledged that this would potentially result in discarding a large amount of data. alternatively, a separate set of IFDs could be developed for use in high risk modelling for engineers who need to account for the 'worst case' (in a similar manner to climate change allowances). This second set of IFD could be developed based on the periods of elevated annual maxima alone (for those stations with clearly defined epochs of annual maxima) such that if we were to enter such an epoch, designs based on these estimates would be robust for the duration of such a period. Salas and Obeysekera (2014) provide similar recommendations to deal with changing exceedence probabilities over time. This would have to be assessed and calculated on a region by region basis given that Australia is a country associated with high spatial and temporal rainfall variability caused by numerous large-scale climate drivers and regional weather phenomena. Finally, any revised estimates of annual maxima should be compared in terms of uncertainty bounds (e.g. following Koutsoyiannis (2006)). Uncertainty analysis, which takes into account both the data availability and variability within the observation period would provide relevant information to practitioners about the reliability of IFD estimates.

This study has highlighted the existence of regime shifts in annual maxima rainfall data in Australia. The driving mechanisms of these regime shifts are likely to vary from location to location and decade to decade. However, these shifts are typical of many natural phenomena and can be described by processes characterized by long range dependence (or regime-switching processes) and captured by hidden Markov models (or similar), resulting in a mixture of distributions that alternate stochastically according to the transition probability

457 from one regime to the next (e.g. Serinaldi and Kilsby, 2015a). While the strategy of defining
458 IFDs for two (or more) different regimes (e.g Serinaldi and Kilsby (2015a)) currently only
459 partially solves the problem, as we often do not know the beginning or the end of a specific
460 regime (be it rainfall or climate driver), recent work has focused on optimizing designs and
461 planning strategies based on the range of what is plausible rather than a reliance on knowing
462 the current and future climate state (e.g. Mortazavi-Naeini et al., 2015). At the same time,
463 work is also underway on seamless prediction at a range of timescales and if/when this
464 eventuates the results discussed here become even more important/useful. Nevertheless, the
465 immediate usefulness of the insights presented here occurs when first establishing the IFD, as
466 an approach similar to that employed here can be used to determine if the underlying data are
467 biased to a mostly wet or mostly dry regime (or a mix of both) which then provides an
468 indication as to whether the IFD is likely to be an over- or underestimate of the true risk.
469 Importantly, this issue needs to be considered and accounted for when attempting to estimate
470 IFD design rainfalls and prior to quantifying how those IFD estimates might change in both
471 the near and long-term future.

472 While the analysis presented here has been conducted using rainfall data from Australia
473 alone, the recommendations provided are likely to be applicable for other regions of the
474 world where IFD information is based on short term records and particularly for locations
475 with a highly variable climate.

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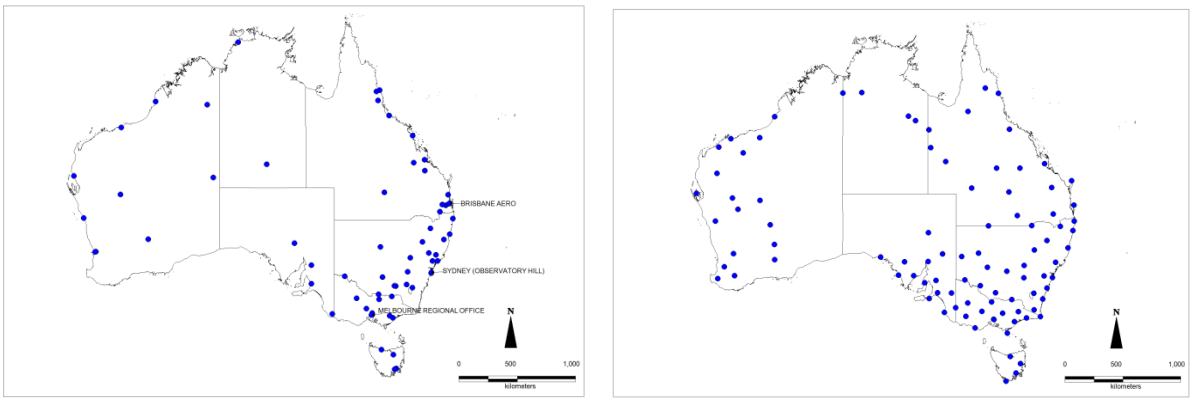
615

616 FIGURES

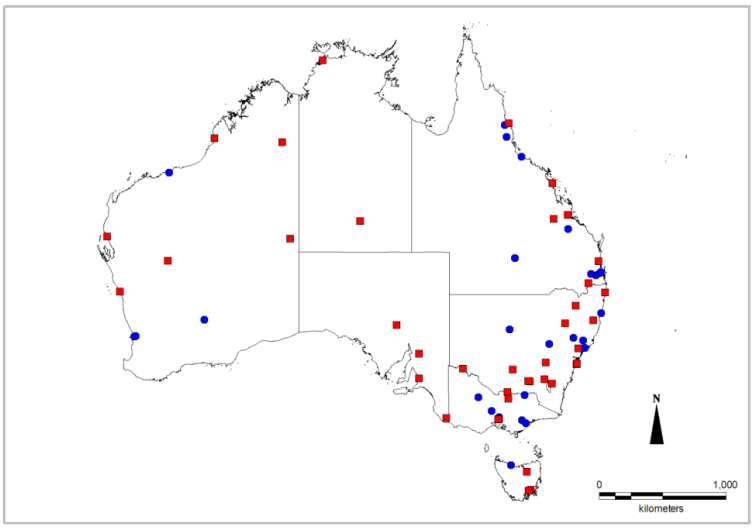
617



a) b)

618 Figure 1 a) Reference stations for sub-daily stations, b) Reference stations for daily rainfall.

619 Note the three long term sub-daily stations used in the IFD analysis are also labelled.



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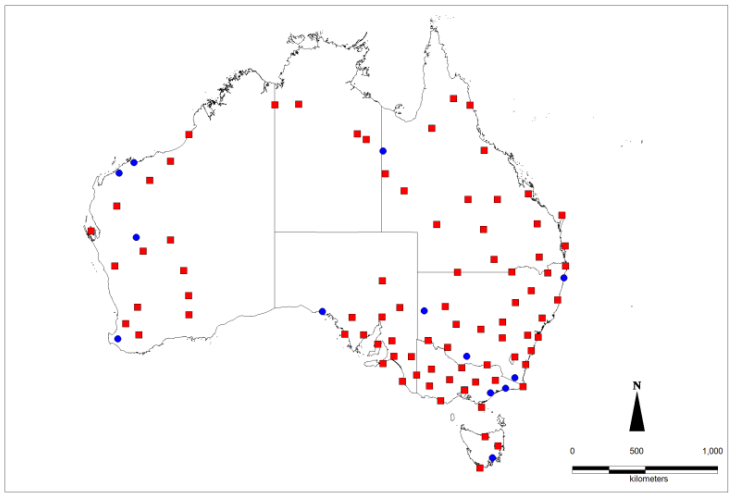

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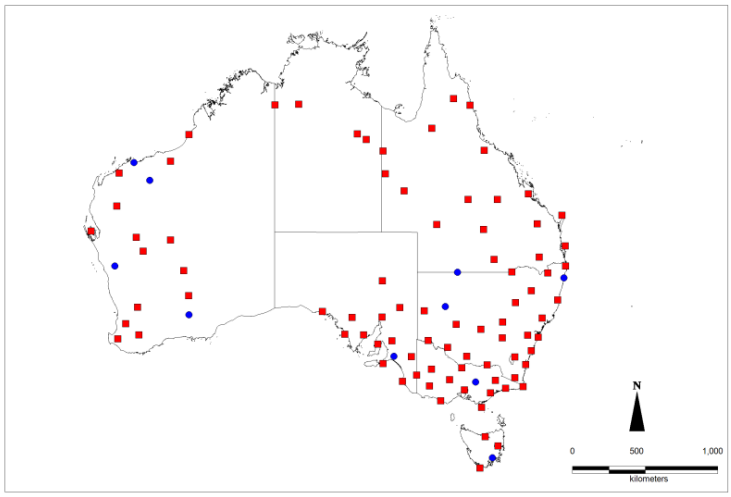
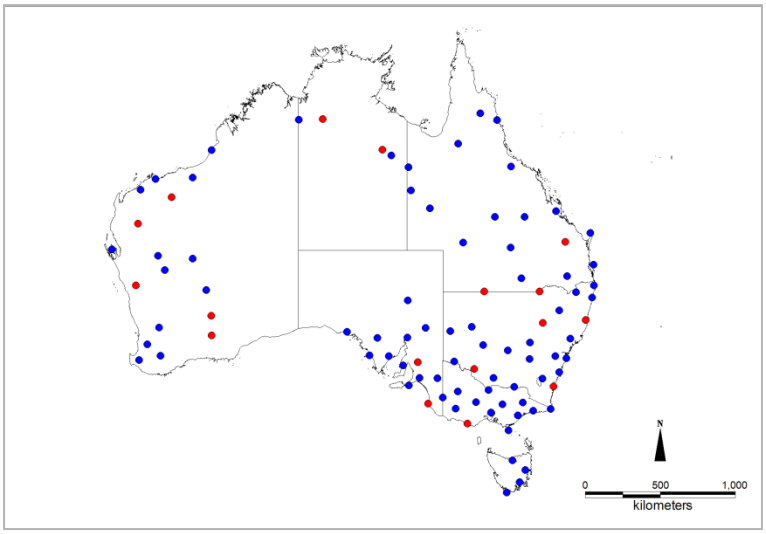


c)

620 Figure 2 Stations (in red) with at least one statistically significant step change in the a) 1-

621 hour, b) 1-day, c) 7-day annual maximum rainfall (using the Mann-Whitney U test)



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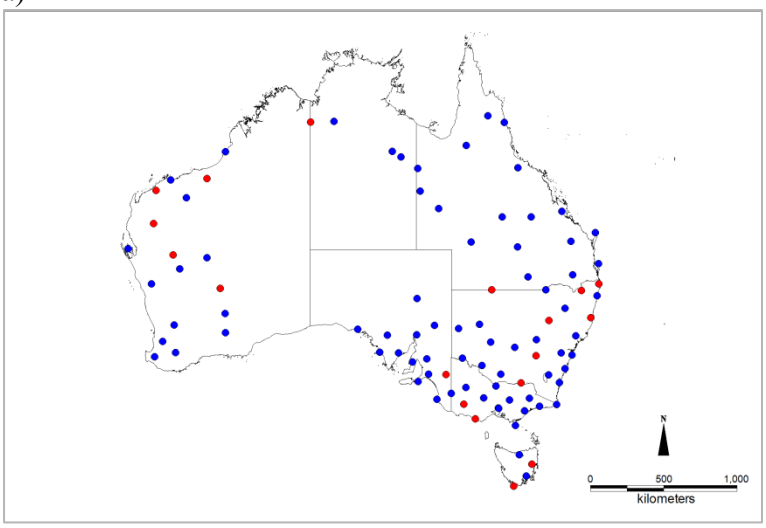
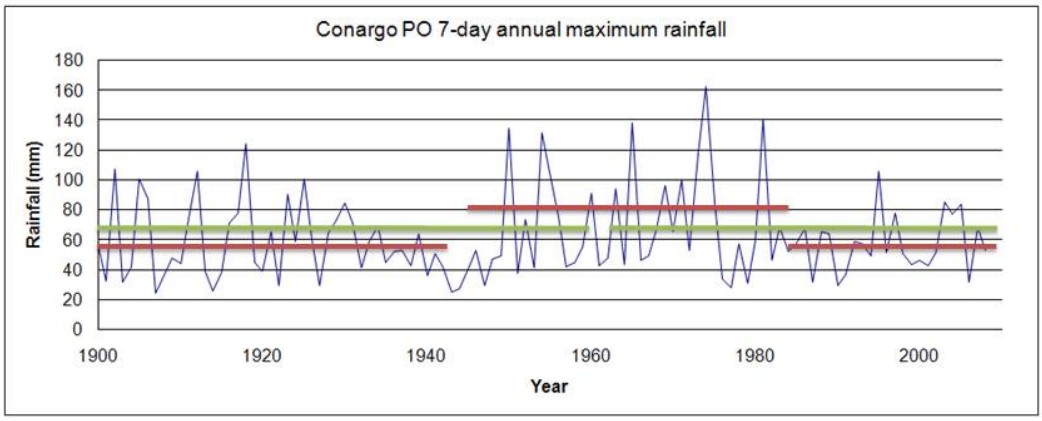


b)

622 Figure 3 Stations (in red) with at least one statistically significant step change in a) the 1-day

623 and b) 7-day annual maximum rainfall (using the CUSUM test with resampling)

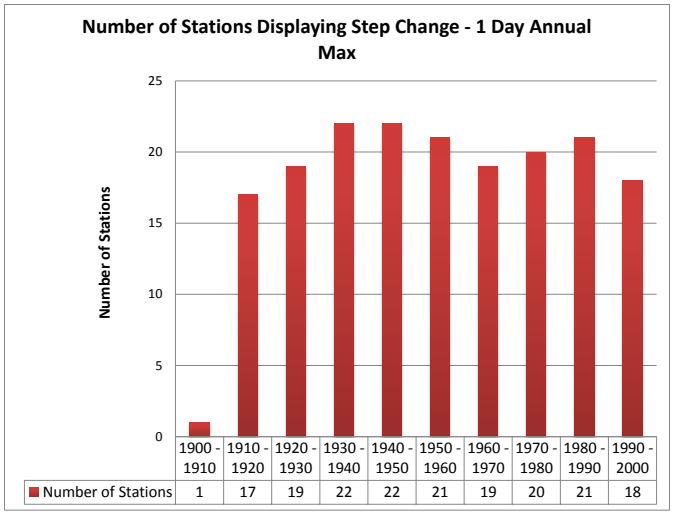
624



625

626 Figure 4 Example of inadequate identification of non-stationarity using CUSUM test (red line
627 highlights three distinct epochs of high/low rainfall, while green line demonstrates effect of
628 splitting the data into two sections for CUSUM test)

629



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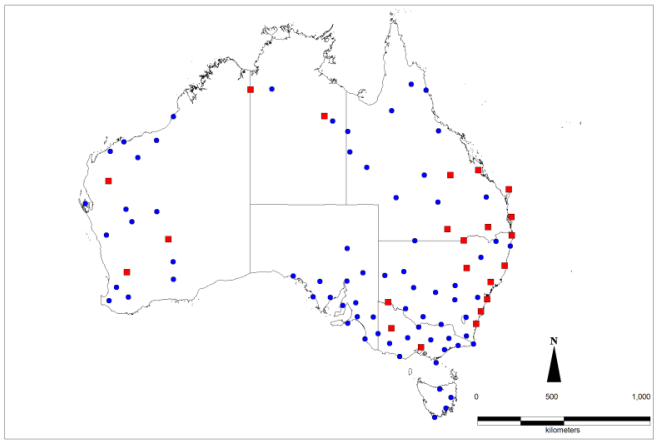

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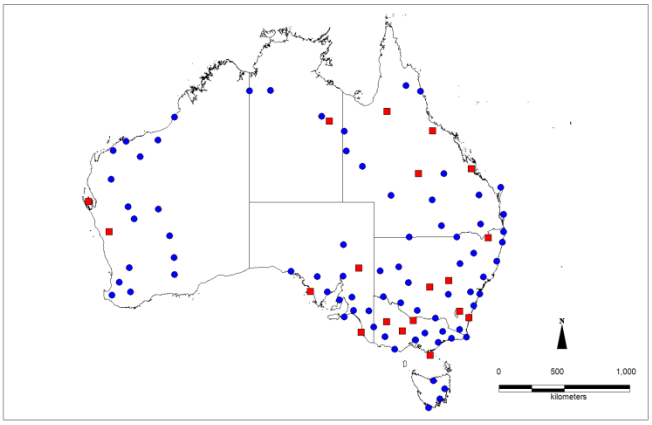
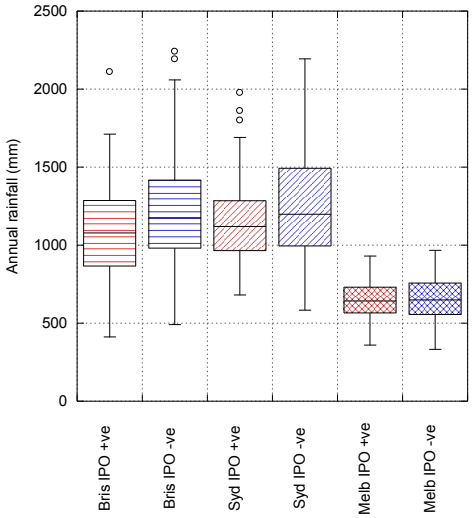


c)

630 Figure 5 a) number of stations each decade displaying evidence of a step change in 1-day
631 annual max, b) Stations (in red) with at least one statistically significant step change in the 1-
632 day annual max during 1940-1950 (using the Mann-Whitney U test), c) Stations (in red) with
633 at least one statistically significant step change in the 1-day annual max during 1970-1980
634 (using the Mann-Whitney U test)



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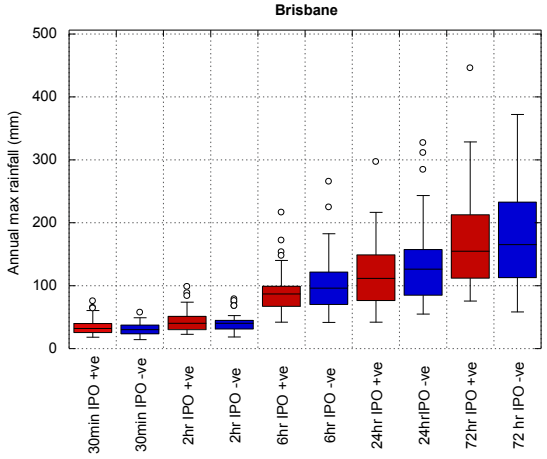

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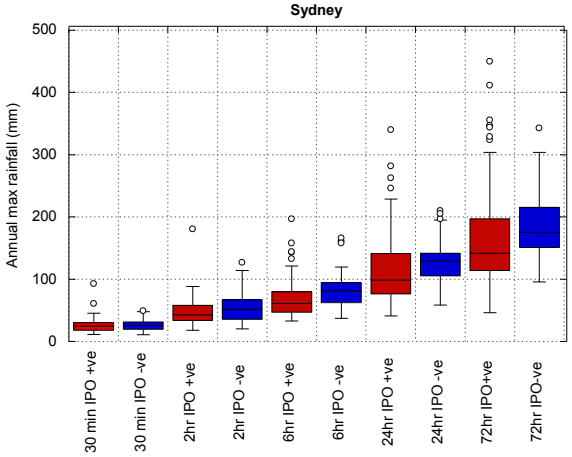
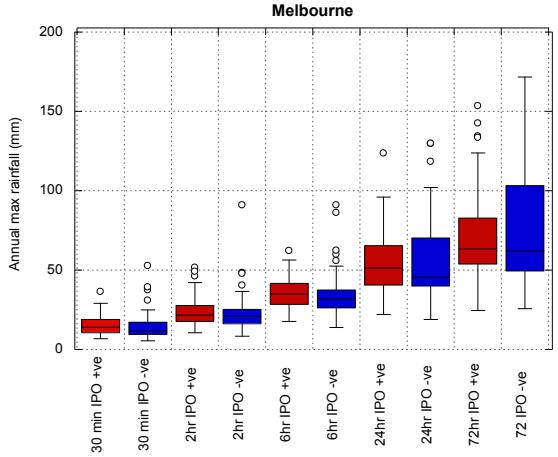


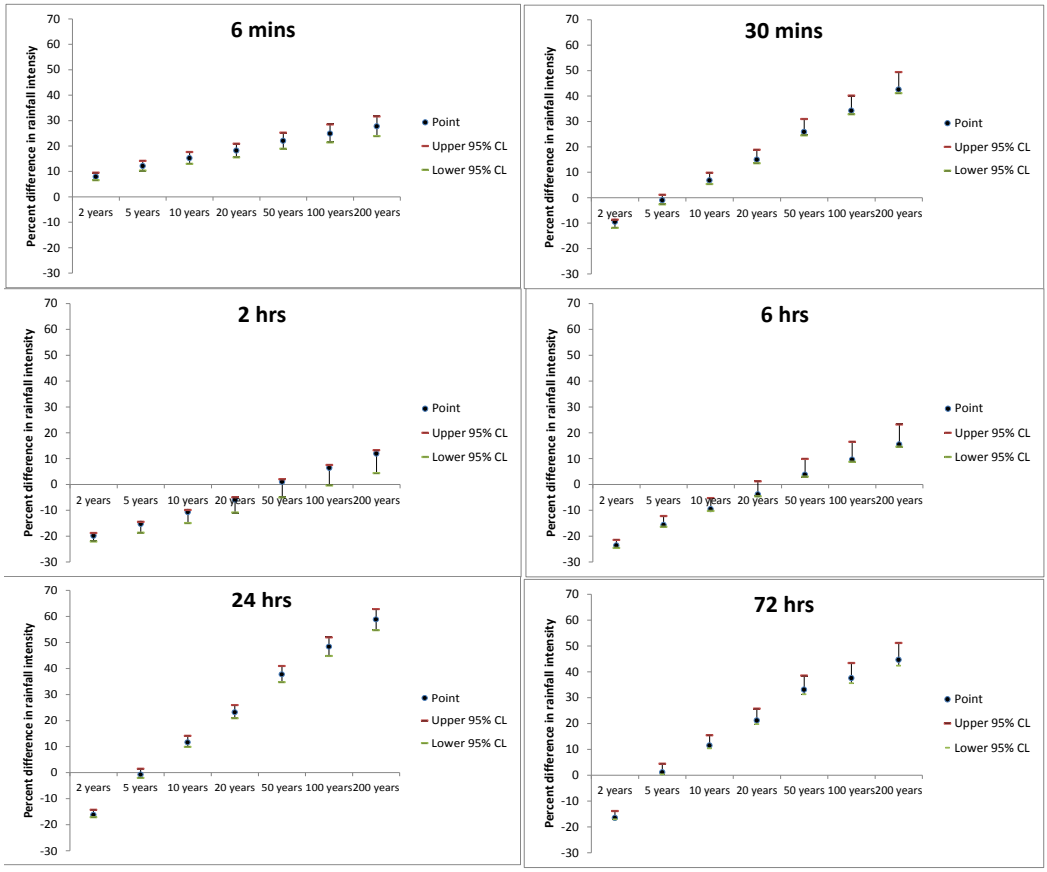
c)



d)

635 Figure 6 Relationship between IPO and a) total annual rainfall, and annual maximum rainfall
636 at various durations for b) Brisbane, c) Sydney and d) Melbourne.

637



638

639 Figure 7 Difference in rainfall intensity for each duration and ARI. Positive (negative) values
640 represent an increase (decrease) in rainfall intensity during IPO positive compared to IPO
641 negative