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Evaporation in a Mediterranean environment by energy budget and Penman methods, Lake Baratz, Sardinia, Italy

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Abstract

In Mediterranean environments, evaporation is a key component of lake water budgets. This applies to Lake Baratz in Sardinia, Italy, a closed lake that almost dried up in 2008 after a succession of years with low seasonal rainfall. We used the energy budget method and Penman's equation to estimate evaporation over Lake Baratz. We measured, using a raft station, water temperature at the surface and at 1, 2, 4, 6, and 8 m depth, as well as air temperature, relative humidity, wind speed, and net radiation over a period of three years. We also compared Penman's equation and the energy budget method in two other climatic zones using published data. Our results indicate that mean yearly evaporation over Lake Baratz was 950 mm. On an annual scale evap-

- oration estimated by Penman was 18 % higher than by the energy budget method, with monthly difference ranging between -38 and +60 %. Solar radiation and heat storage were found to be the most important energy fluxes to and from the lake and had the greatest effect on evaporation rates for the energy budget method. The bias between
- the two methods has a seasonal cycle due to the storage and release of energy from the lake. Energy advected to and from the lake by precipitation, surface water, and ground water had minor effect on evaporation rates. Lake Baratz, like other lakes in a Mediterranean environment, is particularly sensitive to the summer hot and dry climate. In contrast, we found that rates of evaporation estimated from Penman and the
- 20 energy budget methods over tropical African lakes were nearly constant over the entire year and the difference between the two methods smaller. Difference between the two methods for north American lakes is also smaller probably owing to the ice-cover season and to lower radiation and lower temperatures during summer.

1 Introduction

Lakes in semi-arid regions like around the Mediterranean Sea are extremely sensitive to changes in climate and land use. In the summer, low precipitations, high tempera-



tures and insolation contribute to high rates of evaporation. Recent increases in drought frequency (Hoerling et al., 2012), often exacerbated by excessive water extraction either directly from lakes or from aquifers beneath, have caused lake levels in the region to decrease significantly (Myronidis et al., 2012; Gianniou and Antonopoulos, 2007). This has tremendous negative impacts on water quality and eutrophication problems

- (Sechi and Luglié, 1996; Gianniou and Antonopoulos, 2007), local and regional ecosystem, and the economy (O'Reilly et al., 2003; Gianniou and Antonopoulos, 2007; Pham et al., 2008).
- The water level in a lake reflects the balance between water inputs (precipitation,
 runoff, groundwater) and water losses (evaporation, outlet streams, groundwater) making it a sensitive indicator of climate change (Adrian et al., 2009; Williamson et al., 2009). Lake Baratz in Sardinia, Italy (Fig. 1), suffered significant changes in its water level over the last 10 years and almost dried up in 2008 because of a succession of years with low seasonal rainfall (Fig. 2). This decrease in precipitation together with
 water losses by evaporation and infiltration were not balanced by other water influx. Historically, precipitation in the region is highly variable with dry years alternating with wet ones as, for example, during the 1970–2010 period. The effects of the dry period
- were exacerbated by land use changes in the catchment over the last 50 years (Niedda et al., 2014). After nearly drying up in 2008 (lake depth < 3 m, Fig. 2), the water level
- increased over 7 m between 2008 and 2011 due to rainfall 30% in excess of the annual average (590 mm a⁻¹ based on the period 1970 to 2010). Although droughts and climate change are not the only causes of the low water level, this extremely low water level observed in 2008 is rare with a recurrence time estimated at 100 years (Niedda et al., 2014). In this context, estimating evaporation can help to understand drought phenomena and the effects of climate and land-use changes on the lake water balance.

One of the most accurate method for estimating long-term lake evaporation is the energy budget method expressed as the Bowen Ratio Energy Budget (BREB) (Harbeck et al., 1958; Lenters et al., 2005; Rosenberry et al., 2007). The application of this



method requires measurements of the heat storage of the lake through the collection of the water temperature at different depths. Obtaining lake temperature and meteorological variables directly at the lake surface using a raft station is not common because of its high cost. Studies on lake evaporation that estimate heat storage from measurements made from raft stations are few but are available from Williams Lake, Minnesota

(Sturrock et al., 1992), Lake Serra Azul, Brasil (dos Reis and Dias, 1998), Mirror Lake, New Hampshire (Winter et al., 2003), Lake Valkea-Kotinen, Finland (Nordbo et al., 2011), Nasser Lake, Egypt (Elsawwaf et al., 2010), Lake Lucerne, Florida (Lee et al., 1991), Wetland P1 in the Cottonwood Lake area, North Dakota (Parkhurst et al., 1998), and Lake Taihu, China (Wang et al., 2014).

A simple method for estimating evaporation is the Penman equation. It combines the heat and mass transfer balance equations for a wet surface and requires meteorological parameters relatively simple to obtain such as net radiation, air temperature, humidity, and wind speed. There are some limitations to the Penman's equation because it does not take into consideration the heat storage and the dynamics linked to the warming and cooling of the water mass. Although the accuracy achieved by Penman's equation and other related methods (e.g., Priestley–Taylor equation, Priestley and Taylor, 1972) is often sufficient to estimate water balance of artificial or natural

basins, the application of the energy budget is essential for a correct calibration of these models that use limited meteorological observations (Rosenberry et al., 2007).

Despite many energy budget studies, comparisons between BREB and Penman methods are few (e.g., Winter et al., 1995; Yin and Nicholson, 1998; Vallet-Coulomb et al., 2001; Shanahan et al., 2007; Rosenberry et al., 2007). In these papers, the two methods yield a difference ranging between 5 and 20%. Studies are concentrated in

tropical Africa, and in north-central and north-eastern America. These studies have never been compared in terms of their climatic zones. A humid continental climate (e.g., Robertson and Barry, 1985; Sturrock et al., 1992; Winter et al., 2003), as found in north America is characterized by short, cool summers and long, cold winters, while a tropical climate is characterized by almost constant temperature and summer mon-



soon. In contrast, in a Mediterranean semi-arid climate, rainfall occurs outside the hot season when most of evaporation takes place. Also, total precipitation is significantly less and there is no snow melt. In the present study, components of the energy budget are measured using a raft station to estimate the long-term seasonal evaporation of
 a Mediterranean lake. The objectives are to: (i) assess the amount of evaporation from Lake Baratz. (ii) compare BBEB and Penman's formulas to evaluate such evaporation

Lake Baratz, (ii) compare BREB and Penman's formulas to evaluate such evaporation losses at seasonal time scales, (iii) compare the evaporation estimates by Penman and BREB with evaporation from other climatic zones.

2 Materials and methods

10 2.1 Study site

Lake Baratz is a closed lake located 1 km from the Mediterranean Sea in the northwestern part of the island of Sardinia, Italy (40°40′52.15″ *N* 8°13′32.89″ *E*) (Fig. 1). Today, the lake is about 27 ma.s.l., its surface area is 0.45 × 10⁶ m² and its volume about 2 × 10⁶ m³. Mean depth is 4 m and maximum depth is 9 m. The lake waters are ¹⁵ eutrophic and brackish because of ionic contents due to evaporitic formations and elevated amounts of nitrogen and phosphates from pastures, crops, and other human activities. As a results, the density of phytoplankton such as *Chlamidomonas*, *Prymnesium*, *Anabaena*, *Microcystis*, and *Aphanizomenon* is high (Sechi and Luglié, 1996).

The catchment drainage area is about 12 km². Only one significant creek with a trib-

- utary flows into the lake. The maximum catchment elevation is 410 m a.s.l., with mean elevation 122 m and mean slope 12.4 %. The climate is Mediterranean, semi-arid, with seasonal high water deficit during summers and cold-wet winters separated by two seasons of intermediate character. Mean annual temperature and relative humidity are 15.8 °C and 78.7 %, respectively, and minimum and maximum mean daily temperatures
- ²⁵ are 3 and 29 °C, respectively. Average annual precipitation is 590 mm, with about 76 % of this concentrated between November and March (see Fig. 2). There is no precipita-



tion in summer. Autumn precipitation causes no stream discharge into the lake owing to dry conditions. Water inflow starts usually in December and ends in May. During the dry season the lake water balance is governed by open water evaporation and the lake level decreases by about 0.8 to 1 m. Average annual lake evaporation is approxi-

- ⁵ mately 1000 mm, with about 72 % of this concentrated between April and September. The bottom of the lake sits on Permian sandstone and all of the southern part of the lake basin is considered impermeable although the study of Niedda and Pirastru (2012) shows that the lake bed has groundwater exchange of the same order of magnitude as evaporation but precise estimates remain difficult. Lake Baratz is a rarity as it is the
- only natural lake in Sardinia. It was declared a Site of Community Importance (SCI) as defined by the European Commission Habitats Directive (92/43/EEC), a site which contributes significantly to the maintenance of biological diversity. Moreover, the catchment has been an experimental site for the study of hydrological balance at catchment scale in a Mediterranean environment (Niedda et al., 2014).

15 2.1.1 Field data collection

Air temperature, relative humidity, wind velocity, and net radiation were measured from both a land and a raft station 2 meters above the land or water surface. The land station, located about 2 km northeast of the lake, has been collecting data since April 2008, while the raft station, anchored in the middle of the lake, has been collecting since April 2011. Atmospheric pressure and rainfall were measured from the land station

- ²⁰ April 2011. Atmospheric pressure and rainfall were measured from the land station only. The raft station was equipped with a Campbell Scientific anemometer (model 05103, accuracy $\pm 0.3 \,\mathrm{m\,s^{-1}}$), a Campbell Scientific temperature and relative humidity probe (CS215, accuracy $\pm 0.4 \,\mathrm{K}$ and $\pm 2\%$ over 10–90% relative humidity at 25°C), and a Campbell Scientific NR-Lite net radiometer (spectral range 0.2 to 100 µm).
- Lake water temperature was measured with either a Schlumberger's Mini-Diver DI501 or a Mini-Diver DI502 (accuracy ± 0.2 K, pressure accuracy 0.25%) anchored below the raft and probing the lake at 5 depths at 1, 2, 4, 6, and 8 m below the lake surface. In the first year (July 2011 to August 2012), temperature profiles were manually



collected during site surveys every 2 to 5 weeks at six locations on the lake surface. In the second and third years (August 2012 to July 2014) data were collected automatically and recorded continuously every hour from only one raft station at the center of the lake. This decision was supported by the data of the first year of survey that showed

⁵ negligible difference in water temperature between different survey points (Crow and Hottman, 1973). Lake surface temperature was measured using an additional thermistor. Bottom temperature and lake level change were recorded by a temperature and a pressure transducer, respectively, positioned 2 m above the lake bed.

Water discharge and water temperature of the creek were measured by a current ¹⁰ meter and a thermistor, respectively, installed on the stable bed of a trapezoidal concrete channel upstream of the tributary. A triangular weir was installed immediately downstream from the current meter to measure low flows, which the current meter does not do well.

- Prior to February 2014 climatic data were logged every 5 min with hourly averages computed and stored. Thereafter, data were sampled every 10 min and averages recorded every hour. Logging was done by a Campbell Scientific CR1000 powered by a photovoltaic panel. On 24 September 2013 the raft sank and was only reassembled the next spring on 25 April 2014. The diver measuring the bottom temperature and the lake level kept working during that time. Surface temperature was measured again
- starting on 4 March 2014. When the raft was not in operation, missing meteorological data were filled with data from the land station using linearly regression (smallest values of the correlation coefficients are 0.72 for wind and 0.82 for relative humidity; for temperature, maximum temperature, net radiation, the correlation coefficients are higher than 0.93). Also the lake temperature at all depth was assumed to equal the later the state of the correlation coefficients.
- ²⁵ bottom temperature based on temperature records from prior years (see Sect. 3.3).



2.2 The Bowen Ratio Energy Budget method (BREB)

The energy balance for a water body neglecting heat exchanges from groundwater is (e.g., Brutsaert, 1982)

 $R_{\rm net} + A_{\rm net} - E_{\rm B} - H = \Delta S$,

⁵ where R_{net} is the net radiative flux density, A_{net} the net heat advected into the lake expressed as a specific flux, E_{B} the latent heat flux, H the sensible heat flux, and ΔS the change of energy storage per unit area due to temperature and volumetric changes over a time period Δt . All energy fluxes in Eq. (1) are in units of W m⁻². The net heat advected into the lake is

¹⁰
$$A_{\text{net}} = A_{\text{i}} + A_{\text{p}} - A_{\text{e}} = \rho_{\text{w}} c_{\text{w}} \left(F_{\text{i}} T_{\text{i}} + F_{\text{p}} T_{\text{p}}\right) - \frac{c_{\text{w}} E_{\text{B}} T_{\text{s}}}{\lambda},$$
 (2)

where A_i is heat advected into the lake by stream inflow, A_p by precipitation, and A_e is heat loss due to water evaporation, ρ_w is the density of water (1000 kgm⁻³), c_w is the specific heat of water (4186 J kg⁻¹ K⁻¹), F_i and F_p are the influxes of water from the stream and precipitation, T_i and T_p are the stream and precipitation temperature, T_s is the lake water surface temperature. The latent heat of vaporization of water, λ , is calculated as a function of the surface temperature of water as (Shuttleworth, 1993)

 $\lambda = 10^{6} (2.501 - 0.002361 T_{\rm s}) \quad (\rm J\,kg^{-1}). \tag{3}$

To estimate stream discharge into the lake we use the finite difference distributed model of coupled subsurface-channel flow developed for Lake Baratz catchment of Niedda and Pirastru (2012). This calibrated model uses the measured stream discharge of the creek to estimate discharge into the lake for the whole basin.

The heat storage term, ΔS , at time *t* is estimated as

$$\Delta S(t) = \frac{\rho_{\rm w} c_{\rm w}}{A(t) \Delta t} \sum_{i=1}^{n_i} V_i \Delta T_i$$

15

20



(1)

(4)

where A(t) is the lake surface area, n_i is the number of layers (five) where temperature is measured, $\Delta T_i = T_i(t) - T_i(t - \Delta t)$ is the temperature difference of layer *i* over the time step Δt , $V_i = V(z_i) - V(z_i - h)$ is the volume of layer *i*, z_i is the height from the bottom of layer *i*, *h* is the layer thickness, and V(.) is the lake volume averaged over the time step.

Variations of lake level are included in the calculation. Lake volume *V* and lake surface area *A* are estimated from polynomials as a function of water height (measured from bottom) whose coefficients have been obtained using the 1 m resolution bathymetric map of 1995 (Fig. 1b). Values of coefficients are optimized by limiting the depth to 12 m for the volume and by considering only lake level in the range of 8 to 12 m (measured from bottom) to estimate the surface area. These polynomials are

$$V(z) = 23.311z^5 - 850.67z^4 + 8389.9z^3 - 2223.1z^2 + 3635.8z,$$
(5)

and

$$A(z) = 6.306z^{6} - 305.94z^{5} + 5926.8z^{4} - 57052z^{3} + 269589z^{2} - 444278z,$$

where *z* is measured from the deepest point in the lake.

In Eqs. (1) and (2), all terms except $E_{\rm B}$, H, and $A_{\rm e}$ can be obtained directly from measurements. The sum of the known terms ($R_{\rm net} + \Delta S$) is the available energy. Writing the sensible heat as a function of the latent heat using the Bowen ratio (Bowen , 1926) ($\beta = H/E$) in Eq. (1), combining Eq. (1) with Eq. (2) and solving for $E_{\rm B}$, we obtain (Anderson, 1958; Webb, 1964; Lenters et al., 2005; Rosenberry et al., 2007)

$$E_{\rm B} = \frac{\overline{R}_{\rm net} - \overline{\Delta S} + \rho_{\rm w} c_{\rm w} \left(\overline{F}_{\rm i} \overline{T}_{\rm j} + \overline{F}_{\rm p} \overline{T}_{\rm p}\right)}{1 + \overline{\beta} + c_{\rm w} \overline{T}_{\rm s} \overline{\lambda}^{-1}}.$$
(7)

where $E_{\rm B}$ is the monthly mean and terms with overbars are monthly means of daily values (themselves averages computed from hourly data). Following the work of dos Reis



(6)

and Dias (1998) and Lenters et al. (2005), we compute the Bowen ratio using the formula (Webb , 1964)

$$\overline{\beta} = \overline{\gamma} \ \frac{\overline{U} \ (T_{\rm s} - T_{\rm a})}{\overline{U} \ (e_{\rm s} - \ e_{\rm a})},$$

where T_a is the air temperature in °C, e_a is the vapor pressure of air 2 m above the lake in Pa, e_s is the vapor pressure of saturated air at the water surface temperature in Pa, U is the wind speed 2 m above the lake in m s⁻¹, and

$$\overline{\gamma} = \frac{\overline{\rho}_{a} c_{a}}{0.622\overline{\lambda}},$$

where c_a is the specific heat of air (assumed constant at 1011 J kg⁻¹ K⁻¹), and p_a is the atmospheric pressure in Pa. The saturated vapor pressure at the water surface (e_s) and the vapor pressure in the atmosphere (e_a) are calculated using Buck's equations (Buck, 1981)

$$e_{\rm s} = 100 \left(1.0007 + 3.46 \times 10^{-6} \ p_{\rm a} \right) \ 6.1121 \ e^{\frac{17.502 \ T_{\rm s}}{240.97 + T_{\rm s}}},$$

and

10

$$e_{a} = \frac{RH}{100} e_{s},$$

¹⁵ where RH is the relative humidity.



(8)

(9)

(10)

(11)

2.3 The Penman equation

The Penman equation is used to estimate monthly evaporation from an open water body by the sum of a radiative and an aerodynamic term (Shuttleworth, 1993),

$$E_{\rm P} = \frac{\Delta \ \overline{R}_{\rm net} + 6.43\gamma \left(1 + 0.536 \ \overline{U}\right) \ \overline{\rm VPD}}{\left(\Delta + \overline{\gamma}\right)},\tag{12}$$

⁵ where VPD is the vapor pressure deficit at air temperature 2 m above the surface, Δ is the slope of the vapor pressure temperature curve (kPa °C⁻¹), and γ is the psychometric constant (kPa °C⁻¹).

2.4 Lake water balance

A simplified water balance for the lake can be expressed as

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$$\Delta V = P - E + Q_{\rm s} - Q_{\rm g},$$

where ΔV is the change in lake volume over the period Δt , *P* is precipitation over the lake surface, *E* is evaporation, Q_s is surface runoff water inflow, and Q_g is the net water inflow from groundwater exchanges estimated from deep percolation beneath the lake as a function of the lake area. The Rainfall–runoff model (Q_s) has been re-calibrated on the basis of the six years of observations (Niedda et al., 2014).

15 **O**

3 Results and discussion

3.1 Meteorological conditions

Figure 3 shows mean daily (and 14 day moving average) net radiation, lake surface and air temperatures, difference between saturated water vapor and water vapor in the



(13)

atmosphere, vapor pressure deficit (VPD), wind speed, the product of wind speed with the difference between lake and air temperature, and the product of wind speed with the difference between saturated water pressure and water vapor pressure in air.

Net radiation, R_{net} , shows strong seasonality, with a minimum daily value of -58 Wm^{-2} in November, a maximum of 228.5 Wm⁻² in June, and an annual mean of 88.8 Wm⁻². Daily variations of net radiation are high during spring due to shifting weather patterns and cloud cover. Variations are significantly smaller in winter and also in summer when meteorological conditions are more stable. Lake temperature also shows strong seasonality with an annual mean of 19.2 °C, a minimum of 7.7 °C in

- ¹⁰ February, and a maximum 29.7 °C in July. Similarly, air temperature ranges from 2.6 °C in February to 29.4 °C in September with an annual mean of 16.6 °C. Both minima and maxima in lake and air temperature are shifted forward about one month from the net radiation minimum and maximum. Following patterns of lake and air temperature, $(e_s e_a)$ and VPD minima and maxima are also shifted forward about a month from the
- ¹⁵ net radiation. Annual average wind speed is $2.3 \,\mathrm{m \, s^{-1}}$ with large variations and peaks (maximum of 10.4 m s⁻¹ on 6 January 2012) in winter and late fall.

3.2 Heat budget and evaporation

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The monthly means for terms of the heat budget (net radiation, evaporation, sensible heat, heat storage, and Bowen ratio, see Eqs. 7 and 8) are given in Table 1 for all 37 months of the survey period (July 2011 to July 2014).

Monthly evaporation, $E_{\rm B}$, shows strong seasonality ranging from 16.90 Wm⁻² in winter to 143.40 Wm⁻² in summer (almost a factor of 9 difference) with a mean of 76.20 Wm⁻² similar to other measurements in the Mediterranean region (e.g., Bouin et al., 2012). Seasonal trends of net radiation $\overline{R}_{\rm net}$ follow the same seasonal patterns as that of evaporation. $\overline{R}_{\rm net}$ ranges from 1.33 to 184.88 Wm⁻² with a mean of 90.16 Wm⁻² (see also Fig. 3a). In contrast to evaporation which dominates the heat budget, monthly sensible heat, \overline{H} , is smaller, ranging from -11.49 Wm⁻² in winter to



 33.26 Wm^{-2} in early summer. Negative values of H in January and also in February 2014 are due to negative values of the Bowen ratio $\overline{\beta}$ linked to periods when air temperature exceeded lake surface temperature (see Fig. 3b). Monthly values of β vary between -0.34 in February 2014 to 0.40 in February 2012 with a mean of 0.16. The mean is similar to other water bodies in Mediterranean environment (e.g., 5 Gallego-Elvira et al., 2010; Bouin et al., 2012), lower than lakes located in middle to high-latitudes (e.g., Lenters et al., 2005) but higher than subtropical lakes (e.g., Wang et al., 2014). Except for the strongly negative values observed in January (and sometimes in February), $\overline{\beta}$ still shows significant variability (0.05 to 0.4). Heat storage ($\overline{\Delta S}$) is positive (heat sink) usually from February to July or August when net radiation exceeds evaporation. The lake then acts as a heat source in the fall and early winter. A large negative value of $\overline{\Delta S} = -70.98 \,\mathrm{W \,m^{-2}}$ was observed in November 2013 after an unusually warm month of October followed by a rapid cooling of the atmosphere in

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- November due to dry windy conditions caused by the Mistral (see Fig. 3b, d). Figure 4 shows annual monthly means of the different terms in the heat budget 15 equation. Data allowed a precise evaluation of the different advection terms in the heat budget equation (Fig. 4a). Net groundwater heat flux (A_{α}) was not included in our energy budget in Eq. (2) but an estimate using the lake water balance (see Sect. 3.3) indicates an average value of 0.7 Wm⁻², a negligibly small component of advection. Net advected heat is dominated by advected evaporation (\overline{A}_{e}) in summer and fall and by 20 water input ($\overline{A}_{h} = \overline{A}_{i} + \overline{A}_{p}$, runoff plus precipitation) in winter. Maximum net advected flux in August is -0.24 mm d⁻¹, a negligible value in the total heat budget. Heat advected by surface runoff (\overline{A}_{s}) , a term that enters the equation for evaporation (Eq. 5), is small
- in comparison to other terms as found in numerous other lake energy budget studies (e.g., Krabbenhoft et al., 1990; Sturrock et al., 1992; Winter et al., 2003; Lenters et al., 25 2005).

In early spring (February-March), net radiation increases more rapidly than evaporation (Fig. 4b): most of radiation is used to warm up the lake (increase in heat storage,



 $\overline{\Delta S}$); the remaining goes into evaporation. Then, from March, evaporation increases rapidly and reaches a maximum of 140 Wm^{-2} in July. In the spring through summer, about 20 Wm^{-2} is lost by sensible heat with a peak in May of 30.4 Wm^{-2} when air and lake temperature difference is maximum (see Fig. 3b). Heat transfer between the air and the lake is small until March. The increase in sensible heat over the spring is faster than the decrease in fall. During spring and summer, most of the radiation is used for evaporation, with only smaller amounts for warming the lake and the air. Net cooling starts when net radiation becomes less than evaporation in September and energy for evaporation now comes from the release of heat storage. Heat storage ($\overline{\Delta S}$) is positive (net warming) from March to June and negative from September to the February.

3.3 Seasonal cycles

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The heat storage in the lake is an important component of the energy balance and shows strong seasonality. Heat storage is driven by net radiation but controlled by sensible heat exchange between lake water and air and thus by lake water dynamics and mixing. Water movement is driven by variations in the density of water (convection). The warmest, less-dense layers remain at the top while cooler water sinks. Figure 5 shows the evolution of lake temperature with depth for all months of the survey period. At this latitude warming starts in February or early March while cooling begins in August or September. During warming, the temperature of the surface layers rises

- rapidly. In summer, the thermocline is well defined at about 4–6 m above the lake bottom. By September, the lake is isothermal and cooling takes place through a uniform decrease in temperature at all depth over the fall. Mixing of lake waters occurs during cold spells associated with stormy and windy conditions in the fall. Note that the September 2013 through April 2014 data shown in Fig. 6 assumes that all layers are at
- the same temperature given by the bottom thermistor (the only temperature measurement working during that time). This assumption is based on the 2011 and 2012 record were temperatures at each depth indicated isothermal conditions. In November 2013,



the temperature in the lake decreased by a record 11 °C after cold windy Mistral conditions followed a warm month of October. It is this rapid decrease in temperature that caused an exceptionally high loss in heat storage (see previous section).

Figure 6 shows correlations between various terms of the heat budget equation. ⁵ Evaporation is strongly influenced by net radiation ($r^2 = 0.83$, Fig. 6a) but a clear seasonal pattern emerges resulting in a counterclockwise hysteresis loop. Evaporation in the spring is lower by about 40 W m⁻² than in the fall for the same net monthly radiation.

Evaporation is highest in August while net radiation reaches its maximum in July. Evaporation is lowest in February when net radiation is smallest. The hysteresis in evaporation is likely due to the dependence of evaporation on the seasonal variations of $U(e_s - e_a)$ (Fig. 6b, $r^2 = 0.78$) which is considerably higher in the fall than in the spring (see also Fig. 3c) and also to a lesser extent to the variations of $U(T_s - T_z)$ (Fig. 6c, $r^2 = 0.6$; see also Fig. 3b).

Sensible heat also shows a seasonal correlation with net radiation ($r^2 = 0.72$, Fig. 6d) ¹⁵ but the correlation is more complex and linked to difference in air and lake temperature (Fig. 6f, $r^2 = 0.86$) more so than to the latent heat flux (Fig. 6e, $r^2 = 0.55$). The hysteresis loop of sensible heat vs. radiation, shaped like an incline number eight, has a steep ascending branch in the spring when the temperature difference between the air and the lake is highest. In the fall, when the lake and air temperatures are not as different,

- sensible heat decreases more slowly as net radiation decreases rapidly. This seasonal difference, due to the temperature difference between the air and the lake, originates from the lake water dynamics: while spring temperatures increase non-uniformly across the lake depth to form a well-defined thermocline at about 4–6 m above the lake bottom, the decrease in lake temperature is uniform in the fall due to sinking of surface waters.
- ²⁵ and overturning by wind (see Fig. 5). Thus, lake water dynamics and lake temperature cycle have a significant effect on global heat fluxes.

Although sensible heat is well correlated to the temperature difference between lake and air (Fig. 6f), it shows no correlation with heat storage ($r^2 = 0.05$, Fig. 6h). Figure 6h,



however, clearly illustrates the large seasonal difference in sensible heat at equivalent values of heat storage.

Another hysteresis loop is also evident in the relation between evaporation and heat storage (Fig. 6g). Maximum positive values of heat storage in the spring (20 W m⁻²)
⁵ are associated with rising evaporation as net radiation increases (see Fig. 6a). As net radiation decreases in the fall, heat storage decreases rapidly and becomes negative (-20 W m⁻²) starting in September. Heat loss through the fall continues as evaporation further decreases to the lowest values in the winter time. Note that evaporation decreases more rapidly in the fall than it increases in the spring (see Fig. 3a). Then, in winter (January and February) when radiation again increases rapidly but evaporation only slightly, heat storage becomes positive, closing the loop. The difference in heat storage between spring and fall is significant, of the order of 60 W m⁻². Heat storage is

an important component of the overall heat budget that cannot be neglected.

At a monthly scale, evaporation and sensible heat are relatively well correlated (r^2 =

15 0.66, Fig. 6i). The slope of the linear fit yields a monthly Bowen ratio of 0.33.

3.4 Comparison between BREB and Penman equation

Figure 7 shows monthly mean evaporation estimated using Penman's equation with the values of the radiative and aerodynamic terms (see Eq. 12). Like with calculations using BREB, radiation dominates evaporation with a maximum value in June of 125.5 Wm^{-2} .

- The aerodynamic term has low variability over the year with an average of 28 W m⁻². Figure 8a and b show the monthly mean differences between BREB and Penman's values. The monthly mean and standard deviation of BREB are 2.59 and 1.47 mm d⁻¹, respectively. They are 3.06 and 1.83 mm d⁻¹ respectively, for Penman. At an annual scale BREB is 15 % less than Penman (or Penman is 18 % higher than BREB). The difference between Penman and BREB displays seasonal variability ranging from a max-
- imum of 1.27 mm d⁻¹ in the June to a minimum of 0.52 mm d⁻¹ in November. The highest percentage difference values between Penman and BREB are in November (Penman is 38% lower than BREB) and in March (Penman is 60% higher than BREB). The



highest percentage difference values between BREB and Penman are in March (BREB is 38% lower than Penman) and in November (BREB is 48% higher than Penman).

Table 1, which gives both evaporation values for BREB and Penman's can help explain the seasonality difference between the two calculations. In spring, part of the incoming energy as solar radiation is absorbed by water as heat storage and a smaller fraction of radiation is used for evaporation. Because Penman's method does not take into account heat storage, it overestimates evaporation. For example, in March 2013 (Table 1), about 69 W m⁻² comes as net radiation of which about 34 W m⁻² is absorbed by water (heat storage) and about 36 W m⁻² (52 % of net radiation) as evaporation (BREB). In Penman formula, all 64 W m⁻² is used for evaporation.

In winter, the difference between BREB and Penman is lower and can become negative (Fig. 8) because the process described above is reversed: the heat stored in the lake serves as a heat source and provides additional energy for evaporation. For example, in November 2013, net radiation is only 13 Wm^{-2} . Evaporation is 70 Wm^{-2} , about the same value of energy lost by lake, while sensible heat is 16 Wm^{-2} (loss). Pen-

the same value of energy lost by lake, while sensible heat is 16 Wm⁻² (loss). Penman's method grossly underestimates evaporation (13 Wm⁻²). This result underlines the effect and importance of seasonality and heat storage in lakes in a Mediterranean climate.

When comparing BREB and Penman's methods, it is clear that the differences originate from neglecting heat storage (ΔS) in Penman's method (Eq. 12). The greater this term is, the greater the difference between the two methods. Since its value varies according to seasons, so does the difference between BREB and Penman. Thus, the seasonal difference between BREB and Penman could serve as an indicator of the importance of heat storage in different types of lakes under different climatic conditions (see Sect. 3.6).

3.5 Lake water balance

Water follows two main pathways. Water input, runoff, and precipitation are concentrated during a short cool wet season from about November to March. Water losses,



mainly by evaporation, occur throughout the year but are highest in summer. The annual volumetric lake water balance is shown in Table 3 as an update to the table presented in Niedda and Pirastru (2012). In Table 3, all terms in Eq. (13) are estimated from measurements and hence ΔV is estimated directly (measured with a piezometer)

- ⁵ and also indirectly (from the sum of the terms on the right-hand side of Eq. 13). The residual is the difference between the two estimates of ΔV . We estimate the ground-water term Q_g in Eq. (13) to be 1.5 mm d^{-1} based on the work of Niedda et al. (2014). Evaporation is calculated using the Penman equation for the first three years and the energy budget method thereafter (Niedda and Pirastru, 2012; Niedda et al., 2014).
- ¹⁰ For the first three years, from September 2008 to September 2011, calculations use climatic data from the land station corrected for the difference between the land and raft station using a linear regression between data obtained from the period July 2011 to July 2014. Evaporation values are also corrected using a linear regression between Penman values and a simplified energy budget. $E_{\rm B} = R_{\rm net} - H + \Delta S$ where *H* is calculated as in Hortmann (1004) (as Nindda et al. 2014, for details). The (linear) relation
- ¹⁵ lated as in Hartmann (1994) (see Niedda et al., 2014, for details). The (linear) relation between Penman and simplified energy budget has been calibrated on the period from July 2011 to July 2014. Then, for the water balance of the period from September 2011 to September 2014, evaporation is calculated using the simplified energy budget.

The differences in evaporated volume at an annual scale between the simplified energy budget method and BREB is of the order of about $33 \times 10^3 \text{ m}^3$; the simplified method overestimates evaporation by about 0.2 mm d^{-1} . These values depend on the lake surface considered and seasonality (heat advection).

In Table 3, all terms in the lake water balance are of the same order of magnitude. In the first three years surface runoff is high because the rainfall–runoff model of Niedda

and Pirastru (2012) overestimates water inflow into the lake for high values of runoff. This leads to a very large residual for the hydrological year 2009–2010. Rainfall and evaporation are not linked because they occur over different seasons. Also because soil moisture controls discharge, rainfall and discharge correlation depends on temporal distribution of rainfall. Finally, the volumetric water balance depends on the lake surface



area and the polynomial function needs to be carefully evaluated. The water balance in 2008 is calculated with an extremely low lake level (the lake was only 3 m deep) due to enduring dry conditions and thus with a smaller area that influences groundwater exchanges and evaporation.

5 3.6 Comparison of evaporation by BREB and Penman for different climatic zones

We compare six lakes (including Lake Baratz) in three different climatic zones for evaporation. For three African lakes (Ziway, Victoria, Bosumtwi), the study areas are classified as tropical savanna (Aw, wet and dry climate, Köppen-Geiger climate classification, Peel et al., 2007), since they are characterized by monthly mean temperatures that are over 18°C and a pronounced dry season in winter. The wet season is controlled by moist, warm equatorial air masses at the time of high sun elevation angle (summer monsoon season). The dry season is controlled by the continental tropical air masses at the time of lower sun elevation angle. Two north American lakes (Mirror and Williams lakes) are classified as humid continental (*Dfb*, warm summer subtype) 15 characterized by an average temperature above 10°C with warmest months below 22°C and a coldest month average below 0°C. Precipitations occur year round. Finally, the Mediterranean climate (Lake Baratz) is classified as dry-summer subtropical (Csa), with an average temperature above 22°C in the warmest months with hot and dry summers and with precipitation concentrated in the autumn and the spring. 20

Table 3 summarizes characteristics of these six lakes and gives the maximum monthly mean ratio of evaporation estimated by Penman's equation over that estimated by BREB. Details of how evaporation was estimated for the five lakes is given in the appendix. Except for Lake Baratz, this ratio is slightly above one and Penman's method

²⁵ compares well with BREB (ratio equal or less than 1.25). For Lake Baratz, however, Penman's estimate is 1.60 times BREB and standard deviation is one order of magnitude higher than at other lakes. This difference motivates us to compare seasonal evaporation trends for different climatic zones.



Figure 9 shows monthly mean evaporation for the six lakes. Lakes in different climatic zones have distinct responses. The comparison with similar studies in other lakes allow to analyze and explain why ΔS is seasonally variable and very high in the case of Lake Baratz (small Mediterranean lake), lower in the case of the North American (small) lakes, and even smaller and rather constant throughout the year in the case of the tropical African (large) lakes (Table 3).

For the north American and Mediterranean climates, the shapes of the evaporation curves are similar, with highs in the summer and lows in winter, but the range of evaporation is twice larger in the Mediterranean climate. In tropical Africa, lake evaporation is high year long and the shape of the evaporation curve shows an inverted trend due

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to the monsoon season. Climate is not the only factor influencing the value of the ratio of Penman to BREB

evaporation (Table 3). Lake dimensions (area, depth, volume) also play a role (Gorham and Boyce, 1989). Gorham (1964), analyzing 71 lakes in a temperate climate, suggested that any shape effect is manifested chiefly in smaller lakes because the effects

gested that any shape effect is manifested chiefly in smaller lakes because the effects of varying area and depth are more pronounced. Moreover, layer mixing can influence the rate of heat storage significantly and consequently the rate of energy exchanges (Lewis, 1983).

In terms of water circulation both Lake Williams (LaBaugh et al., 1981) and Mirror Lake (Winter and Likens, 2009) are classified as dimictic, i.e., water mixing occurs twice a year and annual energy exchanges are limited in winter due to ice cover. Lake Baratz shows a monomictic behavior, meaning that it never freezes, it is thermally stratified throughout much of the year (Fig. 5) and then mixes thoroughly each winter from top to bottom. Lake Bosumtwi is the only lake where BREB is higher than Penman with an

²⁵ almost constant ratio from March to December. Our hypothesis for Lake Bosumtwi is that the larger BREB value is a consequence of its small ratio of surface area to water depth, meromictic behavior (Shanahan et al., 2007), which implies a stable layering (absence of mixing), and a release of energy during the monsoon season. Further-



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more, for this lake, BREB is calculated using the simplified energy budget which we found can overestimate evaporation (see Sect. 3.5).

Figure 10 shows the cumulative error of monthly evaporation difference between BREB and Penman (decreasing trend means Penman estimation is higher than BREB).

⁵ For two lakes (Williams and Victoria), the cumulative error is essentially a straight line indicating that the monthly evaporation difference is nearly constant and thus Penman's estimate of monthly evaporation can be corrected using a simple coefficient valid yearround.

In contrast, the seasonal difference between BREB and Penman at Lake Baratz or ¹⁰ Mirror lake is clearly visible in the change of slope at the end of summer. In these cases, correction to Penman's formula is more difficult. For Williams lake in north continental America, the effort to calculate evaporation rate using BREB does not seem justified given the small bias of Penman's method (Winter et al., 1995; Rosenberry et al., 2007). For cold places or where seasonal and interannual changes in temperature are small ¹⁵ as in African lakes, changes in the energy stored in the lake can be neglected.

Finally, just a consideration about the survey data. The variables required to use Penman formula are net radiation, water surface and air temperatures, wind speed, and relative humidity. The change in heat storage in the water body is the main cost of data acquisition and it is needed to apply BREB. In our case, our fully equipped raft station (including temperature profile) was less than 6000 EUR in 2011.

4 Conclusions

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We estimate evaporation for Lake Baratz, a Mediterranean lake in Sardinia, Italy, using the energy budget method (BREB) and Penman's method relying on new measurements from a raft station over a period of three years. Evaporation occurs year round with a maximum in July, a minimum in December, and an annual mean of 950 mm d⁻¹. Yearly evaporation estimated using Penman's method was 15% higher than with the energy budget method. At seasonal scales, Penman and the energy budget methods



had cyclic differences ranging from 1.27 mm d^{-1} in June to 0.52 mm d^{-1} in November. The most important factors controlling evaporation were net radiation and heat storage. In particular the heat stored in the lake from early February and released in early fall biased upward evaporation estimates using Penman's equation. Convective heat transfer

- and water mixing affect the energy budget method making this method particularly sensitive to rapid decreases of heat storage during early fall. The net heat advection shows a marked seasonality but its effect is negligible for practical application (< 1 Wm^{-2} on average). Our results indicate that assessing the heat storage term in small water bodies in Mediterranean climate is very important. This term plays a fundamental role
- in controlling the rate of evaporation and cannot be neglected. A cyclic calibration of 10 Penman should be done to reduce errors for practical and scientific applications. We also compared evaporation rates from our Mediterranean site with two other different hydro-climatic zones: continental north America and tropical Africa. In tropical Africa, BREB and Penman evaporation ratios for the three lakes are nearly constant despite
- large differences in lake area and lake depth. This is likely due to the lack of change 15 of heat storage. In north American lakes the mean value of the difference is low probably because heat storage and evaporation are limited by the short open-water season. Our results highlight that basins in Mediterranean environments are particularly sensitive to climate variations due to the hot dry summer season, year-round open water
- conditions, and limited rainfalls.

Appendix A: Calculation of BREB for other lakes

To estimate monthly mean evaporation for other lakes (Fig. 9) we made use of published data in the form of tables and graphs.

For Lake Bosumtwi, data have been graphically estimated from Fig. 7 of Shanahan et al. (2007). The data from lake Ziway were taken form Table 2 of Vallet-Coulomb 25 et al. (2001). The data of lake Victoria were obtained from Table 6 of Yin and Nicholson (1998). The data of BREB evaporation from June to October for Mirror lake were



obtained from Table 3 of Winter et al. (2003) while the values for May to November have been graphically estimated from Fig. 2 of Rosenberry et al. (2007). Penman values for this lake were estimated from their Fig. 3c. The data of BREB evaporation from Williams lake were taken from Table 2 of Winter et al. (1995) while the Penman values
 were graphically estimated from Fig. 2a of the same paper.

Estimations of BREB often relie on few measurements and several assumptions. A common parameter neglected in tropical climate studies is the change in energy stored into the lake (Yin and Nicholson, 1998; Vallet-Coulomb et al., 2001; Shanahan et al., 2007) because of its assumed small seasonal and inter annual variation.

- Also, water surface, air temperature, and water pressure gradient are estimates, and a constant Bowen ratio is used (Yin and Nicholson, 1998; Vallet-Coulomb et al., 2001). Net radiation is sometimes also estimated (Yin and Nicholson, 1998; Shanahan et al., 2007; Vallet-Coulomb et al., 2001). The scarcity of measured data in African lakes is known despite long-term monitoring studies (Table 4). On the contrary, studies in north America (Winter et al., 1995, 2003; Rosenberry et al., 2007) measured all parame-
- ters using a raft station. This is considered the best way to collect data for evaporation estimates (Lenters et al., 2005).

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instrumentations.

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Table 1. Monthly evaporation for BREB and Penman with other terms of the heat budget and Bowen ratio. Units are $W m^{-2}$.

	Month	BREB	Penman	R _{net}	ΔS	Н	β
1	Jul 2011	143.40	151.53	156.10	-21.40	28.83	0.20
2	Aug 2011	132.00	163.28	168.08	12.04	18.00	0.14
3	Sep 2011	112.60	118.41	113.38	-19.99	16.60	0.15
4	Oct 2011	62.30	65.77	52.65	-23.29	11.92	0.19
5	Nov 2011	31.70	25.67	6.66	-27.68	6.13	0.19
6	Dec 2011	25.40	37.11	1.33	-21.29	2.61	0.10
7	Jan 2012	44.10	28.92	10.97	-23.07	-8.47	-0.19
8	Feb 2012	16.90	31.25	27.44	8.21	6.77	0.40
9	Mar 2012	53.10	80.66	93.61	28.97	12.27	0.23
10	Apr 2012	65.70	94.82	109.36	24.02	19.02	0.29
11	May 2012	102.50	131.16	160.42	26.61	30.48	0.30
12	Jun 2012	128.20	171.57	184.88	27.82	23.08	0.18
13	Jul 2012	131.30	165.71	172.09	10.00	24.48	0.19
14	Aug 2012	129.60	164.15	158.28	4.68	17.60	0.14
15	Sep 2012	100.30	101.96	98.66	-25.46	20.29	0.20
16	Oct 2012	75.30	63.55	52.43	-43.89	20.10	0.27
17	Nov 2012	34.00	34.89	17.96	-21.02	5.78	0.17
18	Dec 2012	27.40	34.32	7.01	-20.33	0.20	0.01
19	Jan 2013	22.80	32.08	14.33	-4.77	-0.29	-0.01
20	Feb 2013	31.90	39.68	28.03	-6.05	8.58	0.27
21	Mar 2013	36.70	63.80	68.78	34.22	5.93	0.16
22	Apr 2013	65.90	92.46	110.51	28.77	19.92	0.30
23	May 2013	102.20	112.19	144.30	8.23	33.26	0.33
24	Jun 2013	113.30	144.86	178.25	32.21	28.50	0.25
25	Jul 2013	126.90	163.39	182.51	28.30	21.21	0.17
26	Aug 2013	130.40	137.64	143.71	-13.45	20.53	0.16
27	Sep 2013	103.70	98.10	100.44	-25.51	18.73	0.18
28	Oct 2013	54.80	60.24	56.53	-5.11	5.78	0.11
29	Nov 2013	70.30	32.22	13.51	-70.98	16.01	0.23
30	Dec 2013	24.70	22.51	13.48	-3.27	0.05	0.00
31	Jan 2014	23.80	23.09	10.69	-2.86	-6.72	-0.28
32	Feb 2014	34.20	31.94	24.77	7.59	-11.49	-0.34
33	Mar 2014	38.00	60.91	61.84	18.71	6.83	0.18
34	Apr 2014	69.30	92.66	114.13	24.21	19.49	0.28
35	May 2014	88.40	114.93	145.55	27.57	27.20	0.31
36	Jun 2014	125.70	161.12	178.24	22.31	25.17	0.20
37	Jul 2014	140.30	155.52	155.03	-16.82	25.55	0.18



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Table 2. Annual components of the lake water balance for the five hydrological years. *P* is precipitation, *E* is evaporation by BREB, Q_s is surface runoff (inflow), Q_g is net groundwater flow, ΔV is the volume of the lake during the hydrological year, Δh is the measured lake water level. Residuals represent the errors on closing the water balance.

Hydrological	Δ <i>h</i>	<i>P</i>	<i>E</i>	<i>Q</i> s	Q _g	Δ <i>V</i>	Residual
year	(m)	(10 ⁶ m ³)					
2008–2009	2.75	0.165	0.289	0.971	0.144	0.610	0.094
2009–2010	1.70	0.240	0.387	0.250	0.195	0.584	-0.675
2010–2011	2.65	0.328	0.503	1.595	0.235	1.092	0.093
2011–2012	-0.60	0.264	0.471	0.361	0.243	-0.267	0.179
2012–2013	0.21	0.329	0.465	0.494	0.239	0.091	-0.029
2013–2014	-0.49	0.270	0.454	0.213	0.237	-0.213	-0.006
Sum	6.22	1.596	2.569	3.884	1.293	1.897	-0.344
Mean	1.04	0.266	0.428	0.647	0.215	0.316	-0.057
SD	1.53	0.061	0.078	0.539	0.039	0.535	0.312



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Table 3. Comparison of BREB and Penman's method for six lakes in three different climatic zones. Climate type is based on Köpper classification. The ratio E_P/E_B and the SD are calculated using the average monthly evaporation (see appendix for details).

Lake	Latitude	Climate	Surface area km ²	Max depth m	mean ($E_{\rm P}/E_{\rm B}$) ratio	max ($E_{\rm P}/E_{\rm B}$) ratio	Standard deviation	Number of years
Mirror	43° 37′ N	Humid continental	0.15	11	1.14	1.25	0.09	6 ^a
Williams	45° 48′ N	Humid continental	0.36	10	0.99	1.04	0.04	5 ^a
Bosumtwi	06° 30'N	Tropical wet and dry	52.00	80	0.97	1.06	0.05	55 ^b
Ziway	07° 58′N	Tropical wet and dry	440.00	9	1.05	1.14	0.05	26 ^b
Victoria	01° 00′ S	Tropical wet and dry	68 800.00	92	1.13	1.19	0.04	22 ^b
Baratz	40° 40' N	Mediterranean	0.45	10	1.19	1.60	0.25	3

^a Calculated for the ice free season. May and October not available for all years.

^b Limited climatic data available, e. g., air temperature, relative humidity, and wind speed.



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(a)

(b)

N

400 m

Lake Baratz

<u>100 k</u>m



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Figure 2. Time series of daily precipitation, lake depth (measured at center of lake), and modeled stream discharge into the lake (Niedda and Pirastru, 2012) from April 2008 to April 2014.



Figure 3. Time series of 14 day moving average (dark-color lines) and mean daily (light-color lines) of (a) net radiation, R_{net} , (b) lake surface (T_s , red) and air (T_a , black) temperatures, (c) difference between saturated water vapor and water vapor in the atmosphere ($e_s - e_a$, red) and vapor pressure deficit ($e_a - RH e_a$, black), (d) wind speed U, (e) the product of wind speed with the difference between lake and air temperature $U(T_s - T_a)$, and (f) the product of wind speed with the difference between saturated water vapor and air vapor pressure $U(e_s - e_a)$.











Figure 5. Lake temperature profiles. Black circles are daily average temperatures. Red circles represent the temperature profile on the first of the month.





Figure 6. Correlations between various components of the heat budget equation. Dots represent monthly data over the 3 year survey period. Dot color indicates the season (with red in summer and blue in winter). When seasonal cycles are apparent, line segments link successive data points.





Figure 7. Monthly mean evaporation, E_P , with radiative and aerodynamic terms for the Penman equation. Meaning of boxes, line segments, and notches are defined in the caption of Fig. 4.







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Figure 9. Monthly mean evaporation estimated using BREB and Penman's equation for 6 lakes in different climatic zones.





Figure 10. Annual cumulative bias on evaporation estimate (BREB minus Penman) for the 6 lakes.

