- 1 This document is structured as follows:
- Replies to the referee's comments. The referees' comments are shown in black and
   the replies in blue.
  - 2. **Marked-up manuscript version.** The revised manuscript has been attached and the modifications are highlighted in yellow.
- 6 3. The manuscript's modifications. This consists of a list containing the page, line
  7 number, and an explanation of the modification.
- 8

# 2 <u>1. Replies to the referee's comments</u>

3	
4	Dear referees,
5	We would like to thank you very much for taking the time to read the revised version of the
6	manuscript, as well as your proposals which have certainly improved it. Below, you will find
7	the answers to your comments.
8	Yours faithfully,
9	Anaïs Barella-Ortiz
10	
11	REPLY TO REFEREE 1
12	STRUCTURAL REVISION COMMENTS
13	
14	1. The authors use the EOF analyses often, but they do not explain what the actual
15	method is, what the P1 and P2 and EC coefficient are. For me, that made it extra hard
10	to work myself through the analyses of the results. I think the paper would be
17	including what the P1 P2 and EC stand for
19	The EOF analysis is a standard tool in climate research, which has been widely used
20	for the last 20 years. Therefore, we thought that no long explanations were needed. In
21	addition, the reference (Björnsson and Venegas, 1997), which provides a concise
22	explanation, is given in case the reader is interested in a further understanding of the
23	methodology.
24	Following your advice, we have extended the text about the EOF analysis in sub-
25	section 3.2.2 to include a brief explanation of how this method works. (Page 12, lines
26	26 to 27 and page 13, lines 1 to 9)
27	GENERAL / STRUCTURAL COMMENTS
28	1. It is 'southwest' and 'north' and 'southwestern', etc., not South-West or North. If the
29	area is really called 'the Southwest', then it is with a capital, but that does not apply
30	here.
31 22	The text has been modified following your advice.
32 32	2. Change normalise to normalize in all figure captions. The text has been modified following your advice in the captions of figures 5 (Dece
33 34	43 line 5) 8 (Page 46 line 5) and 9 (Page 47 line 3)
5	(1,1) = (1,1

1 2 3 4 5 6 7	3.	Some possible explanations were explored in a former paper, a lot in this paper, and some weren't and are recommended. You can understand, that for the reader, that could be a bit confusing. Please consider making a table with an overview of Possible explanations (column 1) and outcome (column 2) A new table (number 6) has been added in the manuscript. It includes the hypotheses tested and proposed to study, as well as the tests performed and their conclusions. (Page 28)
8		(rage 56)
9	DETA	AILED COMMENTS
10	1.	Page 1, Line 20: Replace 'latter' by ' the two models'.
11		Latter has been replaced by "two modelled sets". (Page 1, lines 20 and 21)
12	2.	Page 2, Line 1: In the conclusion you have a nice sentence about the importance of
13		this research for the scientific community. I think you should put that sentence in the
14		abstract as well.
15		The sentence "The analysis of spatial inconsistencies between modelled and measured
16		TBs is important, as these can affect the estimation of geophysical variables, TB
17		assimilation in operational models, as well as result in misleading validation studies"
18		has been included in the abstract. (Page 2, lines 3 to 6)
19	3.	Page 3, Line 27: 'Schlenz et al', not 'Schlenz el al'
20		The reference has been corrected. (Page 4, line 1)
21	4.	Page 4, Line 3: but also elsewhere in the paper. Please be consistent with past or
22		present tense. Have a think about what you would like to describe in past tense (e.g.
23		former research, and your method) and present tense (e.g. Data). And be consistent.
24		The text in the Introduction section referred to the state of the art is written in present.
25		(Page 3, lines 22 to 32, page 4, lines 1 to 24)
26	5.	Page 4, Line 21: Consider replacing by 'a methodology section follows'
27		"Next, a methodology section will follow" has been replaced by "A methodology
28		sections follows". (Page 4, lines 25 and 26)
29	6.	Page 4, Line 23: Consider replacing by 'Second, their difference'
30		"Secondly, their error" has been replaced by "Second, their difference". (Page 4, line
31		28)
32	7.	Page 4, Line 23: Consider replacing by 'Third' instead of 'Finally'.
33		"Finally" has been replaced by "Third". (Page 4, line 30)
34	8.	Page 5, Line 3: Consider removing 'As previously said'
35		"As previously said," has been removed. (Page 5, line 7)
36	9.	Page 5, Line $12 - 21$ . Because of the mixed past and present tense, it is not clear of
37		this was done in this research, or that the data has already been pre-processed like that
38		before doing this research.
39		The paragraph has been written in past tense. SMOS L1C data was pre-processed and
40		given to us by the SMOS Barcelona Expert Center. (Page 5, line 19 to 28)
41	10	. Page 5, Line 22-24: Consider moving above Line 12.
42		The text has been moved above line 19. (Page 5, lines 16 to 18)

1	11. Page 5, Line 27: Consider putting the URL in as a reference.
2	The URL has been replaced by the reference: de Rosnay et al. (2009). (Page 6, line 2)
3	12. Page 8. General comment: consider putting headings for ORCHIDEE and H-TESSEL
4	parts.
5	Headings for ORCHIDEE and H-TESSEL have been included in section 2.2.1. (Page
6	8 line 7 and page 9, line 9)
7	13. Page 10, Line 9. It is a bit confusing why you mention 'forecast parameters' here,
8	since you are not using forecasted data at all. Consider rephrasing this to avoid using
9	the word 'forecast'.
10	The text has been rewritten as " P generated by a reanalysis (like ERA-Interim
11	which is used here) is highly model dependent and it should be noted that models do
12	not represent accurately all the physical processes of the atmospheric water cycle."
13	(Page 10, line 18)
14	14. Page 11, Line 12: 'Common filters': are these also in Table 3? If not, what are the
15	common filters?
16	In the manuscript it is said that common filters were also applied to measured and
17	modelled TBs. These are listed in the third column of Table 3.
18	15. Page 11, Line 19. Explain why you already start filtering from 300 K, when RFI is
19	higher than 1000K.
20	Brightness temperatures' upper limit is set to 300 K to avoid RFI effects, which can
21	lead to overestimated TBs. Since SMOS is a synthetic aperture radiometer, RFIs could
22	affect different areas of a single snapshot creating artificial tails and ripples in the
23	image reconstruction. These can be higher than 1000 K in the central node, but also of
24	300K in the associated tails and ripples. To clarify this, the text in brackets has been
25	re-written as "can be higher than 1000 K". (Page 12, line 3)
26	16. Page 11, Line 23: It does not explain why the 24 pixels surrounding it were excluded.
27	24 SMOS pixels cover a large area. Maybe this also confuses me, since the cell/pixel
28	size of the SMOS or model data was not mentioned. Please mention those as well as
29	one in the Data section.
30	The pixels closest to those without soil moisture were excluded to avoid effects of
31	abrupt changes in land/sea/ice transitions and RFI sources. These abrupt changes in
32	brightness temperatures can produce rippling patterns (i.e. the so-called Gibbs-like
33	contamination) that propagate through the SMOS-reconstructed image (González-
34	Gambau et al., 2015).
35	The following phrase has been included in the text to clarify it "The surrounding 24
36	pixels were also excluded to avoid effects of abrupt changes in land/sea transitions".
37	(Page 12, lines 7 and 8)
38	17. Page 11, Line 27 and further. Consistence in past tense, please.
39	The paragraph has been written in past tense. (Page 12, lines 11 to 15)
40	18. Page 12, Line 10-21. I would add at least 10-20 more lines on the EOF method,
41	including P1 and P2, since it is such a vital part of the analysis.
42	This comment has already been discussed in the "STRUCTURAL REVISION
43	COMMENTS" section.

1	19. Page 14, Line 21. Consider replacing 'is reduced' to 'has decreased'.
2	"Is reduced" has been replaced by "has decreased". (Page 15, line 20)
3	20. Page 15, Line 7-9. Something is missing here, I think the structure of the sentence is
4	wrong.
5	"Therefore" has been replaced by "In other words". (Page 16, line 6)
6	21. Page 15, Line 27: 'as revealed'. Consider removing 'as'.
7	"As" has been removed. Page (16, line 26)
8	22. Page 15, Line 27: '(Fig 3 to 5)'. I only see this in Figure 5, not in 3 or 4. And for
9	Figure 5, this is for the whole IP.
10	The spatial patterns by themselves do not show whether the difference between
11	modelled and measured TBs is positive or negative, they represent a standing
12	oscillation. The Expansion Coefficients (ECs) provide this information: positive
13	values of ECs imply that there is no sign change in the patterns. Figures 3 and 4 show
14	a dominant structure in the southwestern part of the IP that is positive. Since the ECs
15	are positive during fall and winter, there is no sign change during these seasons over
16	this area. Therefore, modelled TBs are warmer than measured ones over southwestern
17	IP in fall and winter.
18	23. Page 15, Line 28: 'we looked at ECMWF mean first guess first departure'. Is this from
19	H-TESSEL, or ERA-Interim, or something else. If something else, this should also be
20	mentioned in the 'Data' section.
21	This diagnostic, performed by the ECMWF, is explained in the text: "This diagnostic
22	consists of the time averaged geographical mean of the difference between SMOS
23	measured TBs and modelled ones using the CMEM and H-TESSEL's surface state
24	variables". (Page 16, lines 28 to 30)
25	24. Page 16, Line 9: Consider replacing 'to sum up' with 'summarized'.
26	"To sum up" has been replaced by "To summarize". (Page 17, line 8)
27	25. Page 16, Line 15-23. Past and present tense mixed.
28	The text is written in present tense. (Page 17, lines 14 to 22)
29	26. Page 17, Line 27: What do the VC, SD and FW stand for in the underscore?
30	The VC, SD, and FW stand for "Vegetation Cover". "Soil discretization", and
31	"Fresnel Wigneron". These are explained in section 2.2. (Page 7, lines 14, 23, and 27)
32	27. Page 19, Line 14: 'In winter,'. Put a comma here.
33	A comma has been added. (Page 20, line 16)
34	28. Page 19, Line 24: Over the North-Western IP,'. Put a comma here. And correct
35	spelling of wind directions (see general comments above).
36	A comma has been added. The second comment has already been discussed in the
37	"GENERAL / STRUCTURAL COMMENTS" section. (Page 20, line 26)
38	29. Page 20, Line 3. 'On the other hand,'. Put a comma here.
39	A comma has been added. (Page 21, line 6)
40	30. Page 20 – 22: (same comment as above in general/structural). Some possible
41	explanations were explored in a former paper, a lot in this paper, and some weren't
42	and are recommended. You can understand, that for the reader, that could be a bit

1	confusing. Please consider making a table with an overview of Possible explanations
2	(column 1) and outcome (column 2).
3	This comment has already been discussed in the "GENERAL / STRUCTURAL
4	COMMENTS" section.
5	31. Page 24, Line 3: 'could also be thought of as a combination'?
6	We are not sure to have understood your comment. In our opinion, a non linear
7	combination of small P and LST errors could be a possible cause of the differences
8	between measured and modelled TBs' spatial structures.
9	32. Page 33: Table 3. What is the resolution over which the slope was calculated? I.e.,
10	Over what distance?
11	The resolution of the slope is that of ERA-Interim, which is approximately 80 km
12	(T255 spectral).
13	The phrase "The slope is at the model T225 spectral horizontal resolution (~80km)"
14	has been added in the legend of Table 3. (Page 35, line 2)
15	33. Page 40, Figure 5: Months are with a capital. Font size is quite small.
16	Months are shown with a capital. (Page 43)
17	34. Page 41, Figure 6: Is the color scale in K?
18	Yes, the color scale is in K. It was detailed in the caption. Now it is included in a
19	legend at the color scale. (Page 44)
20	35. Page 42, Figure 42. Consider putting in a legend at the color scale.
21	A legend has been added to the color scale. (Page 44)
22	36. Page 43, Figure 8: Months are with a capital. Font size is quite small.
23	Months are shown with a capital. (Page 46)
24	37. Page 44, Figure 9. Just put the division as a/b, not
25	The equation has been rewritten. (Page 47)
26	38. Page 45, Figure 10: Months are with a capital. Font size is quite small. Check spelling
27	of 'South-West' and 'North-West'
28	Months are shown with a capital and the spelling of the wind directions has been
29	modified. (Page 48)
30	
31	
32	

## 33 **REPLY TO REFEREE 2**

## 34 GENERAL COMMENTS

There are three tiers of datasets: TB\_Model, TB\_Satellite, E-OBS Precipitation & LandSAF LST. The authors try to quantify the error in driving force to explore the possible causes for the difference between measured and modelled TBs. They compared the E-OBS P with ERA- Interim P, and the LandSAF LST with model simulated LST (by ORCHIDEE, HTESSEL),
 using EOF.

According to their reasoning, it seems to me the authors regarded E-OBS P & LandSAF LST
as "ground truth".

5 This is not scientific sounding, as both E-OBS P and LandSAF LST are products, which also
6 needs quantification of bias/errors when compared with the real ground measurement.

The way the authors implement their studies are ignoring totally the potential errors in these
products, and regarded them as "ground truth". There is no any information on how such error
may propagate to their final intercomparison.

This study does not consider E-OBS' Precipitation and LandSAF's LST as "ground truth". In fact, at the spatial scale we work with, we do not consider that there is any valid ground truth, precisely because we are dealing with large surface scales and there are no instruments capable of observing at these scales. It would be different if our study was focused on a single point. Therefore, we have to rely on geophysical variables derived from radiation measurements of the Earth surface or extrapolated point scale measurements.

16 Both the E-OBS and LandSAF products are accepted by the community to be representative 17 of large spatial scales as they are either extrapolated of a dense and well maintained rain gage 18 network or are very closely linked to the long-wave emission of the surface. You are right 19 about the fact that they have errors and uncertainties. However, as they have been used by the 20 community for many years, error sources have been better identified and understood than 21 those from L-band brightness temperatures. That is the reason why we decided to use them as a reference and not ground truth. Nevertheless, we agree that this should be reflected in the 22 23 text and have added a paragraph explaining it (Pages 10, lines 29 and 30, and 11 lines 1 to 4).

When analyzing the Precipitation and LST EOF analyses, the patterns and their temporal
evolution are identified with error sources different from those that could explain TB errors.
These allowed us discarding them as the source of the dominant mode of the TB error:

- LST error: ORCHIDEE's behaves as expected from land-surface physics, with a
   maximum in summer (Page 18, lines 6 and 8). Since H-TESSEL's error differs from
   ORCHIDEE's, it is more possibly due to modelled LST than to errors in LandSAF's
   LST, because both errors were computed using LandSAF as the reference.
- E-OBS Precipitation error shows higher frequency than TBs' errors (Page 18, lines 4 and 5) due to the synoptic variability and contrary to TBs' errors which are shown to be dominated by the annual cycle.

1 This has also been clarified in the text (Page 18, lines 17 to 20).

## 2 DETAILED COMMENTS

- Page 24 line 20, I don't understand why the definition of "soil moisture" requires
   knowledge of soil hydraulic properties. Or you mean soil moisture dynamics? Please
   clarify.
- 6 We believe you refer to page 2, line 20.
- Hydraulic properties are needed to access the saturated and residual soil water content
  to be able to model soil moisture. However, these are also necessary for soil moisture
  dynamics, as you mention in your comment. The text has been re-written to clarify
  this point. (Page 2, lines 24 and 25)
- Page 25 line 19 "... and or ..." ----> "and/or" (has commented this one last time)
   We believe you refer to page 3, line 18.
- The objective of this text is to explain that in some cases validation studies are
  performed using either airborne or ground-observed data and in other cases both types
  of data are used.
- 3. Page 33 line 1-9 readers cannot perceive how the sampling strategy will affect the
  TB\_HT data. Please use figures to demonstrate what is the difference between
  TB\_HT\_6hr and TB\_HT\_3hr. Also make it explicitly clear on how it is done (e.g. by
  interpolation)?
- 20 We believe you refer to page 3, lines 1 to 9.
- In our opinion this figure is not necessary, because the sampling strategy was analyzed
  by performing it with TB<sub>OR</sub> too. Since the difference between 1-hour and 3-hour
  sampling was lower than 0.1%, it was considered to have a negligible effect on the TB
  data. This test is explained in the text (Page 11, lines 19 to 21).
- 4. Page 33 line 11-24 please use figures to show how many data points available over
  each pixel for your inter-comparison, after filtering? Better demonstrate it with
  different seasons.
- 28 We believe you refer to page 11, line 24.
- The manuscript already contains 10 figures. In our opinion, no more figures should beincluded in the interest of space.
- 5. Page 35 line 7-10 Please add a demonstration figure (e.g. zonal average or total
  average over the whole IP) to indicate the temporal correlation between precipitation
  and TBs, as well as LST vs. TBs.
- 34 We believe you refer to page 13, lines 7 to 10.
- In our opinion, the temporal correlation between P and TB, and LST and TB does not provide relevant information to identify the cause of the TB discrepancy. The reason being that the TB error has been shown to be driven by TB's annual cycle and the
- temporal correlation diagnostic between these variables will provide information
  related to their synoptic variability.
- 40
  6. Page 37 line 23, you need to present your hypothesis with details, before you can confirm it.
- 42 We believe you refer to page 15, line 23.

- 1 The hypothesis that the temporal correlation of TB is driven by its synoptic variability
- 2 is presented in sub-section 3.2.1 (Page 12, lines 16 to 21), when the spatio-temporal
- 3 correlation diagnostic is introduced.
- 4

## 1 <u>2. Marked-up manuscript version</u>

- 2 Comparison of measured brightness temperatures from
- **3** SMOS with modelled ones from ORCHIDEE and H-TESSEL
- 4 over the Iberian Peninsula
- 5

# 6 A. Barella-Ortiz<sup>1,5</sup>, J. Polcher<sup>1,5</sup>, P. de Rosnay<sup>2</sup>, M. Piles<sup>3</sup> and E. Gelati<sup>4,5</sup>

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- 15

## 16 Abstract

17 L-Band radiometry is considered to be one of the most suitable techniques to estimate Surface Soil Moisture (SSM) by means of remote sensing. Brightness temperatures are key in this 18 19 process, as they are the main input in the retrieval algorithm which yields SSM estimates. The 20 work exposed compares brightness temperatures measured by the SMOS mission to two 21 different sets of modelled ones, over the Iberian Peninsula from 2010 to 2012. The two 22 modelled sets were estimated using a radiative transfer model and state variables from two 23 land surface models: i) ORCHIDEE and ii) H-TESSEL. The radiative transfer model used is 24 the CMEM.

25 Measured and modelled brightness temperatures show a good agreement in their temporal 26 evolution, but their spatial structures are not consistent. An Empirical Orthogonal Function 27 analysis of the brightness temperature's error identifies a dominant structure over the 28 southwest of the Iberian Peninsula which evolves during the year and is maximum in fall and winter. Hypotheses concerning forcing induced biases and assumptions made in the radiative transfer model are analyzed to explain this inconsistency, but no candidate is found to be responsible for the weak spatial correlations at the moment. Further hypotheses are proposed and will be explored in a forthcoming paper. The analysis of spatial inconsistencies between modelled and measured TBs is important, as these can affect the estimation of geophysical variables, TB assimilation in operational models, as well as result in misleading validation studies.

#### 8 **1** Introduction

9 The United Nations (UN), the Food and Agriculture Organization (FAO), and the World 10 Health Organization (WHO), have reported that water resources are not being managed in an 11 optimum way at present. As a result, scarcity, hygiene and pollution issues related to 12 improper water policies are detected. In addition, the world's population is expected to grow 13 by 2 to 3 billion people over the next 40 years according to the UN's World Water 14 Development Report from 2012 (WWAP, 2012). This will lead to a significant increase in 15 freshwater demand which will likely be affected by the effect of a changing climate.

To achieve a better management of water resources, it is necessary to improve our 16 17 understanding of hydrological processes. In order to do this, the study of Soil Moisture (SM) 18 is essential. It is defined as the water content in the soil and has a key role on the soil-19 atmosphere interface. SM determines whether evaporation over land surfaces occurs at a 20 potential rate (controlled by atmospheric conditions) or if it is limited by the available 21 moisture (Milly, 1992). In addition, it influences several processes, like infiltration and 22 surface temperature, which have an important effect on plant growth and the general state of 23 the continental surfaces. However, SM is a complex variable to model as the interactions between soils and water are not simple to represent. Its definition requires knowledge of soil 24 hydraulic properties, which are not often available as direct measurements. These are used to 25 26 access the saturated and residual soil water content, as well as for SM dynamics. Pedo-27 transfer functions (Marthews et al., 2014), allow to estimate hydrodynamic characteristics of 28 the soil from available soil texture and structure information. However, the suitability of these 29 functions is under debate (Baroni et al., 2008), as their performance depends on several 30 factors like the climate, geology, and the measurement techniques used. Furthermore, 31 different hydrological schemes are found in Land Surface Models (LSM), leading to various 32 ways of understanding and formulating soil moisture.

1 Remotely sensed soil moisture products have brought about new ways to perform data 2 retrieval, adding new observations to data assimilation chains. The optimal combination of these products with modelled ones is expected to provide better estimates of the true soil 3 moisture state. Remote sensing allows to estimate SM by means of retrieval algorithms, like 4 5 inversion algorithms (Kerr et al., 2012) or neural networks (Kolassa et al., 2013). Their main 6 input depends on the type of sensor used. This is, backscattering for an active sensor and 7 Brightness Temperature (TB) for a passive sensor. TB corresponds to the radiance emitted by 8 the Earth at a given wavelength and is the magnitude measured by a radiometer. It is defined 9 as the physical temperature times the emissivity of the surface.

10 L-Band radiometry is one of the best methods to estimate soil moisture, due to the relation 11 between SM and the soil dielectric constant  $(\mathcal{E})$  in this wavelength. The latter differs 12 significantly between a dry soil and water (4 vs. 80, respectively) and this difference is key to 13 estimate the soil water content. It should be noted that the retrieved SM corresponds to the water contained in the first centimetres of the soil. The penetration depth in averaged 14 15 conditions is about 5 cm (Kerr et al., 2010). Therefore, we will refer to Surface Soil Moisture 16 (SSM) instead of soil moisture. Some studies, like Escorihuela et al. (2010) lower the 17 penetration depth to 1-2 cm.

In the last decade, three space missions have been launched with L-Band radiometers onboard: the Soil Moisture and Ocean Salinity (SMOS) mission (Kerr et al., 2010), the Aquarius/SAC-D mission (Le Vine et al., 2010), and the Soil Moisture Active and Passive (SMAP) mission (Entekhabi et al., 2010).

22 A large number of validation studies of remotely sensed SSM products have been carried out 23 (Albergel et al., 2011; Sánchez et al., 2012; Bircher et al., 2013). These studies are usually performed using airborne and or ground-observed data over a well equipped site. Other 24 studies, like the one described in González-Zamora et al. (2015), validate SMOS SSM 25 26 products using in situ soil moisture measurement networks, which allow to extend the study 27 period to annual and inter-annual scales. Several studies have been performed to validate 28 brightness temperatures too (Rüdiger et al., 2011; Montzka et al. 2013). In Bircher et al. 29 (2013) TBs are also validated with network and airborne data over a SMOS pixel in the 30 Skjern river Catchment (Denmark). LSMs coupled to Radiative Transfer Models (RTMs) can contribute to the analysis and validation of passive Microwave (MW) data. Models permit 31 32 extending the validation to a longer period of time and perform an extensive analysis of observed and retrieved data, as shown in Schlenz et al. (2012). In this study, they compare TBs and vegetation optical depth from SMOS with modelled ones obtained from a LSM coupled to a radiative transfer model, over a period of seven months in 2011 in the Vils test site (Germany). Comparing modelled with satellite-measured brightness temperatures can help to better understand inconsistencies between retrieved and modelled data. It provides information regarding the origin of their differences, and whether they are due to the retrieval algorithm or to issues related to the modelling process.

8 Polcher et al. (2015) present the first comparison of the spatial patterns of Level 2 (L2) SMOS 9 product corresponding to retrieved SSM, with SSM modelled by the ORganising Carbon and 10 Hydrology In Dynamic EcosystEms (ORCHIDEE) LSM (de Rosnay and Polcher, 1998; 11 Krinner et al., 2005) over the Iberian Peninsula (IP) from 2010 to 2012. They identify 12 inconsistencies between the spatial structures of retrieved and modelled SSM. The main 13 objective of the work presented herein is to extend the analysis of this inconsistency by 14 comparing brightness temperatures measured by SMOS (Level 1C, L1C, product) with 15 modelled ones obtained from the coupling of ORCHIDEE's state variables and a RTM. In addition, a second set of modelled TBs using state variables from the Hydrology - Tiled 16 17 ECMWF Scheme for Surface Exchanges over Land (H-TESSEL), is included in the comparison. The RTM used is the Community Microwave Emission Model (CMEM) [de 18 19 Rosnay et al., 2009], developed by the European Centre for Medium-Range Weather 20 Forecasts (ECMWF). The comparison is performed over the same period and region as the 21 study carried out by Polcher et al. (2015). The IP is an excellent test case for remote sensing of SSM, as its two characteristic climate regimes (oceanic and Mediterranean) result in a 22 23 strong contrast in soil water content. Furthermore, SSM is a critical variable regarding water 24 resources especially in the IP, which makes this study even more necessary.

The data from SMOS and the LSMs used in this paper will be presented in the next section. A methodology section follows describing the data filtering and sampling processes carried out, together with the analysis performed to compare TBs. Afterwards, results will be presented. First, modelled and measured TBs will be compared. Second, their difference will be characterised spatially and temporally and certain hypotheses to explain the differences found in the TB comparison will be analyzed. Third, we will study the amplitude of the annual cycle of the TB signals. The paper will end with discussion and conclusion sections.

#### 1 2 Data

#### 2 2.1 SMOS retrievals of TB

The SMOS mission is the second Earth Explorer Opportunity mission from the European Spatial Agency (ESA). The SMOS satellite was launched on November 2nd, 2009. One of its main objectives is to provide surface soil moisture over land with a target accuracy of 0.04 m<sup>3</sup>m<sup>-3</sup>.

7 **TBs** are the main input of SMOS's soil moisture retrieval algorithm. L-band brightness 8 temperatures are measured by the SMOS radiometer at different incidence angles (from 0 to 9 65°) and polarizations (H, V, HV). The retrieval algorithm also models TBs using the state-of-10 the-art L-band Microwave Emission of the Biosphere (L-MEB) forward model (Wigneron et 11 al., 2007) with some modifications. These brightness temperatures are then used to retrieve SSM using an inversion algorithm based on an iterative approach. Its objective is to minimize 12 the sum of the squared weighted differences between measured and modelled TBs for all 13 14 available incidence angles. Details about the retrieval algorithm are provided in Kerr et al. 15 (2012).

16 The L1C product containing horizontally and vertically polarized brightness temperatures,

17 was provided by the SMOS Barcelona Expert Center. From now on, this product will be

18 referred to as  $TB_{SM}$ .

19 The SMOS L1C v5.05 product over the 10W : 5W to 45N : 35N region was selected and 20 SMOS TBs at the antenna reference plane were derived: TBs were first screened out for Radio-Frequency Interferences (RFIs) (strong, point source and tails), and also for Sun (glint 21 22 area, aliases and tails), and Moon (aliases) contamination, using the corresponding flags. 23 Ionospheric effects (geometric and Faraday rotations) were later corrected to obtain TB at the 24 Top Of the Atmosphere (TOA). TB maps at a constant incidence angle of  $42.5\pm5^{\circ}$  were 25 obtained through chi squared linear fit of all values included in the interval 42.5±5°, which is 26 the methodology used to generate the SMOS L1C browse product (McMullan et al., 2008). 27 Finally, these maps were resampled from the Icosahedral Snyder Equal Area (ISEA) 4H9 grid 28 to a 0.25° regular latitude-longitude grid, to facilitate its manipulation.

#### 1 2.2 Modelled TB: CMEM

2 The Community Microwave Emission Modelling (CMEM) Platform (de Rosnay et al., 2009), 3 developed at ECMWF, is a forward operator for low frequency passive MW brightness 4 temperatures of the surface. Its physics is based on that of the L-MEB forward model and the 5 Land Surface Microwave Emission Model (LSMEM) [Drusch et al., 2001]. CMEM is 6 characterized by its modular structure, which allows the user to choose among different 7 physical configurations to compute TB's key parameters. Polarized brightness temperatures 8 provided at TOA result from the contribution of three dielectric layers: atmosphere, soil and 9 vegetation. Snow, also considered, is characterized as a single additional homogeneous layer.

10 The two sets of modelled TBs used in this study were estimated by means of the CMEM provided with state variables from i) ORCHIDEE, and ii) H-TESSEL simulations. From now 11 12 on we will refer to these sets as TB<sub>OR</sub> and TB<sub>HT</sub>, respectively. TB<sub>OR</sub> was computed 13 specifically for this study, while  $TB_{HT}$  was provided by the ECMWF to widen the comparison 14 between measured and modelled data. The CMEM configuration used to compute each set of 15 TB is listed in Table 1. The table is divided into three configuration categories: physical, 16 observing, and soil and atmospheric levels. Even though both sets have similar 17 configurations, there are some differences which are explained below.

18 First, the "Physical configuration" of  $TB_{OR}$  was selected to be as similar as possible to  $TB_{HT}$ . 19 However, they differ in the parameterization used to compute the smooth surface emissivity 20  $(\varepsilon_s)$ . For TB<sub>HT</sub> the reflectivity of the flat soil surface was computed following the Fresnel law (Ulaby et al., 1986), so it is expressed as a function of the soil dielectric constant and the 21 22 observation incidence angle. This formulation considers the emission at the soil interface. As 23 it is simple and affordable in computing time it is commonly used for microwave emission 24 modelling and soil moisture retrieval, as well as for operational applications (e.g. Wigneron et al., 2007, de Rosnay et al., 2009). It assumes an a priori soil moisture sampling depth, which 25 26 in this study corresponds to the first soil layer of the land surface model (7cm for H-27 TESSEL). For TB<sub>OR</sub>, the multilayered soil hydrology of ORCHIDEE allows to take into 28 account the soil moisture profile and the resulting volume scattering effects on the soil 29 emission. Therefore the reflectivity of the flat soil surface was computed using the 30 parameterization proposed by Wilheit (1978). The different parameterizations chosen to calculate  $\varepsilon_s$  lead to another variation between the CMEM configurations. If  $\varepsilon_s$  is computed 31 32 using Wilheit (1978), the soil temperature profile is used to compute the Effective

1 Temperature ( $T_{eff}$ ). On the contrary, if the Fresnel law is used, the user can choose among 2 different parameterizations to compute  $T_{eff}$ . For TB<sub>HT</sub>, Wigneron et al. (2001) was selected.

Second, the "Observing configuration" considers different incidence angles for each set. Although the available  $TB_{HT}$  were modelled considering an angle of 40°, 42.5° was used to model  $TB_{OR}$ , because measured TBs were provided at this angle.

6 Third, a different number of soil layers was defined for the "Soil and atmospheric level 7 configuration": 11 ( $TB_{OR}$ ) and 3 ( $TB_{HT}$ ). ORCHIDEE's soil discretization is finer. For 8 instance, its first layer's depth is of the order of millimetres, while H-TESSEL's is of 9 centimetres. In order to evaluate the role of these differences in the vertical discretization and 10 the LSMs, we performed a sensitivity analysis as detailed in the next paragraph.

In addition to the CMEM simulations performed to model  $TB_{OR}$  and  $TB_{HT}$  using the configurations indicated in Table 1, the following simulations were carried out to test if parameterization assumptions could affect the resulting TBs:

• Simulation 1:  $TB_{HT(VC)}$ , where the subscript "VC" stands for "Vegetation Cover".

15 Vegetation cover is a key input. Since this parameter is directly related to land-surface 16 emissivity, the effects of a different vegetation cover were tested on TB<sub>HT</sub>. For this 17 matter, a new set of TBs was modelled using H-TESSEL's state variables with the 18 same configuration as detailed in Table 1, except for the vegetation cover input, where 19 H-TESSEL's prescribed vegetation (Boussetta et al., 2013) was considered. One of the 20 differences between this input and the ECOCLIMAP database (used in the original 21 configuration), is that the former consists of 20 vegetation types, while the latter 22 considers 7.

• Simulation 2: TB<sub>OR(SD)</sub>, where the subscript "SD" stands for "Soil Discretization",

The impact of a coarser soil discretization on modelled TBs was tested by recomputing  $TB_{OR}$  using ORCHIDEE's state variables averaged to 3 soil layers: upper (9 cm), medium (66 cm), and lower (125 cm).

• Simulation 3: TB<sub>OR(FW)</sub>, where the subscript "FW" stands for "Fresnel Wigneron".

28 We tested the combined effect of using the Fresnel law to compute  $\varepsilon_s$ , rather than the 29 parameterization proposed by Wilheit (1978), and calculating  $T_{eff}$  using the methodology proposed by Wigneron (2001) instead of the soil temperature profile. For
 this, TBs were simulated using ORCHIDEE's state variables.

The input variables required by the CMEM to model TBs are summarized in Table 2. They
are classified into dynamic and constant fields and consist of meteorological data, vegetation
characteristics and soil conditions.

## 6 2.2.1 The ORCHIDEE and H-TESSEL Land Surface Models

## 7 ORCHIDEE

8 The ORCHIDEE LSM (de Rosnay and Polcher, 1998; Krinner et al., 2005) was developed by 9 the Institut Pierre – Simon Laplace (IPSL). It can be run coupled with the general circulation 10 model LMDZ, which was developed by the Laboratoire de Météorologie Dynamique (LMD), 11 or in stand-alone mode. Uncoupled simulations were carried out for this study.

The hydrological scheme used by ORCHIDEE approaches hydrology through the resolution of a diffusive equation with a multilayer scheme. For this, the Fokker-Planck equation is solved over a soil 2 m deep with an 11 layer discretization. The layers' depths are informed in Table 1. The lower boundary condition is free drainage, under the hypothesis that the water content gradient between the last modelled layer and the next one (not modelled) is zero. The upper boundary condition sets the bare soil evaporation as the maximum upward hydrological flux which is permitted by diffusion if it is lower than potential evaporation.

19 The multilayer scheme considers a sub-grid variability of soil moisture, which together with 20 the fine soil discretization improves the representation of infiltration processes. The soil infiltration follows the Green-Ampt equation (Green and Ampt, 1911) to represent the 21 22 evolution in time of the wetting front through the soil layers. It should be noted that partial re-23 infiltration occurs from surface runoff if the local slope of the grid-cell is ≤0.5% (D'Orgeval et al., 2008). Each grid box has a unique soil texture and structure (Post and Zobler, 2000), 24 25 but three different soil columns are considered, each one with its own soil moisture 26 discretization and root profile. These are classified as: bare soil, low and high vegetation 27 regrouping the 13 Plant Functional Types (PFT) defined in ORCHIDEE. These PFTs contribute to the soil layers of each grouping a root density to compute extraction and soil 28 29 moisture stress to the plants. The water balance is solved for each soil column resulting in three different soil moisture profiles in each grid box. 30

1 ORCHIDEE's soil temperature profile is calculated solving the heat diffusion equation. 2 Contrary to the hydrological scheme, it considers a 7 layer discretization, where the layers' thicknesses follow a geometric series of ratio 2, and a total soil depth of 5.5 m (Hourdin, 3 4 1992; Wang et al., 2016). For this study, the first 2 m of the temperature profile were 5 calculated following the same soil discretization as the one considered in the soil moisture 6 calculation. The energy balance takes into account the skin temperature as presented in Schulz 7 et al. (2001) to derive the Land Surface Temperature (LST). The soil and vegetation are 8 considered as a single medium assigned with a surface temperature (Santaren et al., 2007).

## 9 <mark>H-TESSEL</mark>

10 The H-TESSEL LSM (Balsamo et al., 2009), developed by the ECMWF, revises and 11 improves certain aspects regarding the soil hydrology of the TESSEL model. Its hydrology 12 scheme solves a diffusive equation over a multilayer scheme with a 4 layer discretization. Layer depths follow an approximate geometric relation (Table 1). In addition, the soil can be 13 14 covered by a single snow layer. H-TESSEL considers the same lower boundary condition as 15 ORCHIDEE. However, it differs in the upper one that accounts also for infiltration. It defines 16 a maximum infiltration rate given by the maximum downward diffusion from the saturated 17 surface. Once this rate is exceeded by the water flux at the surface, the excess of water is 18 derived to surface runoff.

19 The model considers six types of tiles over land: bare soil, low and high vegetation, water 20 intercepted by leaves, as well as shaded and exposed snow. Each one of these has its own 21 energy and water balance. However, only one soil moisture reservoir is considered. Recent 22 improvements have replaced a globally uniform soil type (loamy) by a spatially varying one 23 (coarse, medium, medium-fine, fine, very fine, organic). Surface runoff, based on variable 24 infiltration capacity, was also a recent improvement.

H-TESSEL's soil temperature profile is computed using the same soil discretization as the one defined in its hydrological scheme. The soil heat budget follows a Fourier diffusion law, which has been modified to consider also thermal effects caused by changes in the soil water phases (Holmes et al., 2012). To simulate the LST, a skin layer is defined representing i) the layer of vegetation, ii) the top layer of bare soil, or iii) the top layer of the snow pack. The surface energy balance equation is then linearised for each tile (Viterbo and Beljaars, 1995).

Both LSMs are forced with the ERA-Interim forcing (Dee et al. 2011), which is suitable for this study because it ranges from 1979 to 2012 and recent data were needed to perform the 1 comparison with SMOS's. We are aware that biases in this kind of forcings have an effect on 2 the LSMs simulations (Ngo-Duc et al., 2005). ORCHIDEE was configured to output hourly 3 TB values. However,  $TB_{HT}$  is only available at 6 hourly time steps (at 00, 06, 12, and 18 4 hours). Due to this difference, each set of modelled TBs was sampled in a different way to 5 approximate  $TB_{SM}$  measurement times. The sampling processes will be explained in Section 6 3.

The above paragraphs show that the hydrology, soil processes and land surface temperatures
are approached very differently by both models. Therefore, the impact of these differences
needs to be considered when comparing simulated TBs.

## 10 **2.3** Precipitation and Land Surface Temperature

One important common feature of the presented model simulations is the forcing data. Since biases in the imposed atmospheric conditions can affect modelled TBs, it was decided to validate two important variables for which independent observations exist. Focus was put on Precipitation (P) and the Land Surface Temperature (LST), as they are key variables for the water and radiative balances.

P is the main driver of SSM, and this directly drives the L-Band emissivity. According to Zollina et al. (2004), P generated by a reanalysis (like ERA-Interim which is used here) is highly model dependent and it should be noted that models do not represent accurately all the physical processes of the atmospheric water cycle. Therefore, the verification of this forcing variable of the LSMs with independent data is essential.

As for the radiative balance, the available energy at the surface is one of the major drivers of LST. We chose to verify this variable in this study for two reasons. First, it provides a good summary of the surface energy balance. Second, it is a key parameter in CMEM's estimation of TB. Therefore, its analysis will indicate whether the LSM thermodynamics shows biases with spatio-temporal characteristics similar to those from TBs.

- 26 The independent datasets used for validation are:
- P from the E-OBS dataset (Haylock et al., 2008),
- LST provided by the LandSAF product (http://landsaf.meteo.pt).
- 29 It should be noted that these products have errors which must be taken into account when
- 30 used. For example, E-OBS data can be over-smoothed depending on the station network

- density (Hofstra et al., 2009) and sensor noise, emissivity uncertainties, etc. are error sources
   which can propagate in the LandSAf LST algorithm (Freitas et el., 2007). However, these
   products are accepted by the community to be representative of large spatial scales and we
   have selected them as the reference to benchmark P and LST.
- 5

## 6 3 Methods

## 7 3.1 Data sampling and filtering processes

8 To compare modelled and measured brightness temperatures,  $TB_{OR}$  and  $TB_{HT}$  were sampled 9 with  $TB_{SM}$  and remapped to the nearest neighbour of the SMOS grid. This allows to keep the 10 spatial structures of the coarse model resolution. Next, the three TB signals were filtered to 11 exclude certain situations, such as frozen soils or RFIs, which are known to make SSM 12 estimates unreliable.

## 13 **3.1.1 Sampling**

14 The objective of sampling the data is to use only modelled TBs corresponding to available measured values. TB<sub>OR</sub> were sampled at an hourly scale. However, TB<sub>HT</sub> consists of 6 hourly 15 16 values, thus potentially resulting in a large number of neglected data because TB<sub>HT</sub> and SMOS time steps did not always correspond. Therefore, TB<sub>HT</sub> were sampled considering a 3 17 18 hour window around the observation in order to keep a larger number of modelled data for the 19 comparison. To test the impact of this approximation, we also applied it to the TB<sub>OR</sub> and compared it to the original hourly data. Differences between them were under 0.1% for the 20 21 diagnostics used here, and thus, it was considered to be negligible.

## 22 3.1.2 Filtering

Data was filtered to discard unreasonable TB values from the comparison study. Filtering rules were devised following the ECMWF criteria used to screen  $TB_{HT}$  (Table 3). Common filters were also applied to measured and modelled TBs.

The filters applied in  $TB_{HT}$  corresponding to the water content in snow cover (snow water equivalent) and the criterion on ERA-Interim's 2 m air temperature aim to discard frozen soils, which might affect the SM retrieval (Dente et al., 2012). The same result was achieved by filtering  $TB_{OR}$  with the 2 m temperature from the forcing (as in the previous case) as well

1 as with ORCHIDEE's average surface temperature. The first common criterion excludes TBs 2 higher than 300 K to avoid effects of RFIs, which can result in overestimated brightness temperatures (can be higher than 1000 K). The second common criterion aims at removing 3 points which might be influenced by coastal or topographic effects, as does H-TESSEL's 4 5 orography (slope) criterion too. The mask was built using the L2 SMOS product. Any pixel 6 with no surface soil moisture data retrieved was excluded from the comparison. The 7 surrounding 24 pixels were also excluded to avoid effects of abrupt changes in land/sea 8 transitions.

## 9 **3.2 Comparison analyses**

## 10 3.2.1 Spatio-temporal correlation

The first diagnostic performed to compare measured and modelled TBs consisted in temporal and spatial correlation analyses. Our aim was to study the similarity between the spatiotemporal patterns. We used the Pearson product-moment correlation coefficient. Only values statistically significant at the 95% level were considered. An averaging window of 5 days was applied for the spatial correlation analysis to ensure the highest coverage possible.

Even though the correlation coefficient is a widely used statistical tool, it may not be suitable when analysing certain fields. For instance, Polcher et al. (2015) show that temporal correlation measured between remotely sensed, in-situ, and modelled SSM, is mainly driven by the high frequency behaviour of SSM. Therefore, this diagnostic is not very sensitive to the slower variations of the field studied. Performing the correlation analyses allowed us to study if this conclusion also applies to TBs.

## 22 3.2.2 Empirical Orthogonal Function

The Empirical Orthogonal Function (EOF) analysis extracts the dominant spatial and temporal modes of variability of a field (F). It relates the spatial patterns of each variation mode with a time series and its explained variance.

- 26 To do so, the covariance matrix (R) of F is computed. Next, the eigenvalue problem is solved:
- $27 \quad \frac{RC}{RC} = C\Lambda \qquad (1)$

- 1 Where  $\Lambda$  is a diagonal matrix that contains R's eigenvalues ( $\Lambda$ i) and C is a matrix where its
- 2 column vectors (ci) are R's eigenvectors, which correspond to  $\lambda$ i.
- 3 Each eigenvalue corresponds to a variability mode and provides a measure of the total
- 4 variance in R explained by the mode. Therefore, the biggest eigenvalue will correspond to the
- 5 dominant variability mode. The eigenvector ci is the spatial pattern (Pi) of the mode of
- 6 variation i. The temporal evolution of a mode of variation is obtained by projecting the field F
- 7 on the corresponding spatial pattern:

 $8 \quad \overrightarrow{a_J} = F \overrightarrow{c_J} \qquad (2)$ 

9 We will refer to these temporal series as the Expansion Coefficients (ECs). Positive values of 10 ECs imply that there is no sign change in the spatial patterns. The EOF methodology is 11 detailed in Björnsson and Venegas, (1997).

We applied the EOF analysis to the error between measured and modelled TBs, to characterize it spatially and temporally. Identifying the main modes of variability of an error field allows proposing and testing hypotheses about its causes. We followed this approach to analyse the impact of forcing biases on modelled TBs. Other studies have also applied this methodology to error analysis. For example, Kanamitsu et al. (2010) analyze the impact of a regional model error on the inter-annual variability of a set of analysis fields.

18

#### 19 4 Results

The temporal evolution and spatial structures of measured and modelled TBs are analyzed in this section. This study follows the comparison between modelled and retrieved SSM (Polcher et al., 2015) and attempts to elucidate if the difference found can be attributed either to the retrieval algorithm, which converts TBs into estimated SSM, or its modelled counterpart.

#### **4.1 Comparison of modelled and measured TBs**

The mean temporal and spatial correlations between measured and modelled TBs, over the IP from 2010 to 2012, are shown in Table 4. Values from the SSM comparison performed by Polcher et al. (2015) are also included. The differences between spatial and temporal correlation are already apparent and warrant separate analyses as a first step.

### 1 4.1.1 Temporal correlation

2 Fig. 1 shows the temporal correlation between measured and modelled daily TBs for the 3 horizontal and vertical polarizations. Both polarizations show a good agreement between models and observations in their temporal evolution, with values above 0.7 over a large part 4 5 of the IP. This can be explained by the strong annual cycle imposed by the surface 6 temperature, but more important are the quick responses of temperature and emissivity to 7 precipitation events, which drive TB's fast variations and correspond to the synoptic 8 variability of the signal. The high correlations indicate that it is well captured by both models. 9 Most of the areas with lower correlations correspond to mountain ranges. Relief effects on MW radiometry over land (Mätzler and Standley, 2000) are a difficult remote sensing 10 11 problem and thus, discrepancies are expected. In fact, the lowest correlations (0.3 to 0.6) 12 appear over some areas of the Pyrenees. Other examples are the Iberian System and the Cantabrian Mountains, located over the northeastern and the northern regions of the 13 peninsula, respectively. 14

There are no large differences between the temporal correlation maps of  $TB_{OR}$  and  $TB_{HT}$  with TB<sub>SM</sub> (Fig. 1). Since the same forcing was used, the two LSMs share the same synoptic variability from the ERA-Interim reanalysis. However, Fig. 1 shows that the synoptic variability of H-TESSEL leads to slightly higher correlation values than ORCHIDEE's, especially over the northern part of the IP.

## 20 4.1.2 Spatial correlation

21 For clarity, the 5 daily spatial correlations are averaged per season and the distribution of 22 values obtained is represented in a boxplot form in Fig. 2. In general, the correlation is poor 23 throughout the year. Although maxima are around 0.6, the annual mean ranges between 0.2 24 and 0.3 (Table 4). This implies that the spatial structures from both modelled TBs are not 25 consistent with those observed by SMOS. We would like to point out the seasonality in the 26 correlation. The lowest correlations occur during winter, where even negative values are 27 obtained. These improve during spring and summer, and weaken again in fall. Moreover, winter and fall generally show larger ranges of variability and thus, a wider dispersion of the 28 data than spring and summer. Fig. 2 also shows that the vertical polarization has 29 30 systematically higher mean correlations than the horizontal one, except for the winter season.

Finally, there is no significant difference in the correlation of  $TB_{SM}$  with either modelled TB as has already been noted for the temporal correlation.

#### 3 4.2 Spatial and temporal characterization of the TB error

The spatio-temporal variability of the error between modelled and measured TBs is studied to better understand the poor consistency of their spatial structures. We want to analyse if this difference can be related to some physical process which might be incorrectly represented in both models. For this, an EOF analysis of the TB errors ( $TB_{OR} - TB_{SM}$  and  $TB_{HT} - TB_{SM}$ ) is carried out.

#### 9 4.2.1 TB error

#### 10 Spatial patterns

11 Fig. 3 shows the spatial patterns of the first two EOF variation modes correspondent to the TB error of ORCHIDEE ( $TB_{OR} - TB_{SM}$ ), for the horizontal and the vertical polarizations. The 12 13 variance explained by each mode is also provided as a percentage in brackets. The total 14 variance explained by the patterns of the first variation mode is above 30% in both polarizations: 36% (horizontal) and 31% (vertical). These two patterns show a similar 15 16 structure characterised by high values over the southwest and a smaller area further north of the IP, which weaken as they extend through the rest of the peninsula. This similarity is 17 confirmed by their high spatial correlation, which is 0.99 (Table 5). The second variation 18 19 mode exhibits a structure that is also maximum over the southwest of the IP in both 20 polarizations. However, the total variance explained has decreased to 6% and 7% (horizontal 21 and vertical polarization, respectively).

22 Fig. 4 is equivalent to Fig. 3 but presents the TB error of H-TESSEL ( $TB_{HT} - TB_{SM}$ ). The variance fractions explained by the first EOF mode are 30% and 18% for the horizontal and 23 24 vertical polarization, which are lower than those obtained for the TB error of ORCHIDEE. As 25 in Fig. 3, the first variation modes show similar spatial structures, which are highly spatially 26 correlated (0.86, Table 5). It is interesting to note that this structure coincides with the one 27 identified for the TB error of ORCHIDEE (Fig. 3 a and c). This is confirmed by the high correlation obtained between the patterns of the two errors: 0.92 and 0.73 for the horizontal 28 and vertical polarization, respectively (Table 5). The second variation mode of H-TESSEL's 29 TB error explains 8% (horizontal polarization) and 12% (vertical polarization). The horizontal 30

polarization pattern shows that the error is maximum over the southwestern region of the IP, while the vertical polarization pattern does not show a clear structure. Contrary to the first variation mode, patterns from the second one show larger differences with the patterns depicted by the TB error of ORCHIDEE.

#### 5 *Expansion coefficients*

Fig. 5 shows the ECs of the first EOF variation mode of both TB errors. In other words, the
projection of the error time series on the EOF pattern, summarizing how much the error field
varies according to the pattern.

9 The four series show a strong annual variation which peaks in fall. High values are also 10 observed in December 2012 and during the winter 2010 - 2011. It should be noted that the 11 behaviour of the ECs coincides with the marked seasonality shown in Fig. 2 and thus, 12 reinforces our observation that modelled TB patterns have their strongest disagreement with 13 SMOS measurements in fall and winter. The ECs of the second EOF variation mode of each 14 TB error have not been included in Fig. 5, because the spatial patterns of each error differ 15 between them. Nevertheless, it is important to note that they show variations at higher 16 frequency than those from the first mode.

17 Two conclusions can be drawn from these results:

First, the largest spatially coherent error identified in Fig. 3 and 4 (a and c) is dominated by the slow varying component of the TB signals, which is driven by the annual cycle. At first sight, this might seem to contradict the temporal correlation analysis (Fig. 1). However, it evidences that the slow (annual cycle) and fast (synoptic variability) components of TBs show different behaviours. In addition, it confirms our hypothesis that the temporal correlation of TB is driven by its synoptic variability, as demonstrated in the SSM comparison performed by Polcher et al. (2015).

Second, modelled TBs are warmer than measured ones over southwestern IP during fall and winter, revealed by the first EOF patterns and their oscillations (Fig. 3 to 5). To further analyze this result, we looked at ECMWF's mean first guess departure from the months of November 2010 to 2012. This diagnostic consists of the time averaged geographical mean of the difference between SMOS measured TBs and modelled ones using the CMEM and H-TESSEL's surface state variables (Fig. 6). For all three years we see a contrast between the error over the northwestern region of the IP (in an orange colour) and over the southwestern region and a smaller area further north (in a blue colour). According to this, measured TBs are warmer than modelled ones over the northwest of the IP during these three periods, while modelled TBs are warmer than SMOS's over the southwest of the IP. This is in good agreement with the behaviour described by the first EOF variation mode of both TB errors (Fig. 3 and 4, a and c). It should be noted that the mean first guess departure shows a global bias between the spatial patterns of measured and modelled TBs. However, only the IP is represented in this figure to show clearly the spatial structures.

8 To summarize, the EOF analyses of the two TB errors identified a common dominant 9 structure, which is maximum in the fall and winter seasons, over the southwest of the IP and a 10 smaller area further north. It represents between 18% and 36% of the error depending on the 11 modelled TB set considered and its polarization. Moreover, it corresponds well with the 12 ECMWF mean first guess departure for the 2010-2012 November months.

## 13 4.2.2 LST and Precipitation errors

Precipitation and LST data are used to explore possible causes for the difference between measured and modelled TBs. Errors are calculated with respect to independent datasets. The dominant error pattern of each variable is computed via EOF analysis and compared with the dominant pattern of the two TB errors. If similarities can be identified, then possible causal links between these variables and the TB error can be explored.

The precipitation error is calculated as the difference between the P provided by the ERA-Interim forcing and the E-OBS independent dataset. The LST errors are computed as the difference between modelled LST (from ORCHIDEE or H-TESSEL) and the EUMETSAT LandSAF product (http://landsaf.meteo.pt).

#### 23 Spatial patterns

The first EOF patterns of P and LST errors are represented in Fig. 7, together with their explained variance. The precipitation error is common to both models as it originates in the selected forcing. The dominant spatial structure of this error, which represents only 15% of the total variance, has its maximum in the southeast of the IP and is different from the one found for TB. The error patterns from LST differ remarkably between the two models and do not seem related to the TB error. On the one hand, a North-South gradient is observed in ORCHIDEE's LST error (Fig. 7 a), which is most likely explained by forcing induced biases due to available energy affecting the LSM simulation. On the other hand, H-TESSEL's LST
 error pattern (Fig. 7 c) shows a gradient from East to West.

### 3 Expansion coefficients

The ECs correspondent to each of these patterns are presented in Fig. 8. Those for the precipitation error show a higher frequency variation than those of the LST and TB errors. ORCHIDEE's LST error behaves as expected from land-surface physics, with a maximum in summer when the largest amount of energy is absorbed by the surface and thus, small errors in the energy balance translate into large temperature differences. This is not the case for H-TESSEL's LST error, whose ECs show higher frequency variation with maxima in the fall season and at the end of the winter in 2011 and 2012.

The dominant modes of variability of P and LST errors show different spatial and temporal characteristics than the TB error dominant pattern. Neither the spatial structures coincide, nor their temporal evolution over the 2010 to 2012 period. The TB errors show a strong annual variation which peaks in fall and winter. The ECs of ORCHIDEE's LST error show a maximum in summer, while those for H-TESSEL's LST and P errors are characterized by higher frequency variations.

The difference between the EOF analyses' results of P, LST, and TB errors suggest that their error sources differ. Therefore, even though the products taken as reference (E-OBS and LandSAF) are affected by errors, these do not seem to be responsible for the dominant mode of the TB discrepancy. The EOF analysis excludes the hypothesis that biases in precipitation driving the models or errors in their surface temperature are the direct cause of the inconsistency in TB's spatial structures. The strong similarity of the TB errors in two quite different LSMs further strengthens the rejection of this hypothesis.

## 4.2.3 Analysis of CMEM assumptions

The CMEM is another candidate to explain the TB error since it is also a common element from both sets of modelled TBs. In fact, modelled TBs have been shown to be more sensitive to the configuration of the microwave model than to the LSM used (de Rosnay et al., 2009).

As explained in section 2, we performed a sensitivity analysis to test if certain CMEM parameterizations could explain the differences between measured and modelled TBs. As a result, three new sets of modelled TBs were estimated:  $TB_{HT(VC)}$ ,  $TB_{OR(SD)}$ , and  $TB_{OR(FW)}$  to

- evaluate the role of vegetation, vertical discretization, and the emissivity parameterization
   respectively.
- In the first place,  $TB_{HT(VC)}$  shows similar mean spatial correlations with  $TB_{SM}$  as the ones for TB<sub>HT</sub> and TB<sub>SM</sub> (Table 4). In addition, an EOF analysis of the difference between this new estimate and observed TBs (figure not included) shows similar spatial patterns as the ones identified in Fig. 4 (a and c), as well as a good agreement between their ECs.

7 In the second place, no significant differences were observed between  $TB_{OR(SD)}$  and  $TB_{OR}$ 

8 when compared to  $TB_{SM}$ . For instance, mean spatial correlations computed using  $TB_{OR(SD)}$ 

- 9 and  $TB_{SM}$  are 0.22 and 0.33 for the horizontal and vertical polarization, which are similar to 10 the values obtained for  $TB_{OR}$  and  $TB_{SM}$  (Table 4).
- 11 In the third place, an EOF analysis of the TB error computed using the  $TB_{OR(FW)}$  and the  $TB_{SM}$

12 sets (figure not included), shows a similar dominant structure both in space and time to the

13 one observed in Fig. 3 (a and c). In addition, similar spatial correlations between  $TB_{OR(FW)}$ 

14 and the  $TB_{SM}$  to those from  $TB_{OR}$  and  $TB_{SM}$  are also found (Table 4).

As synthesized in Table 4, in the current state of CMEM the vegetation cover, the number of soil layers, and the  $\varepsilon_s$  and  $T_{eff}$  parameterizations can be discarded as the dominant factors responsible for the poor spatial correlation between modelled and SMOS TBs.

## 18 4.3 Annual cycle of TBs

The slow varying component of the TB signals is analyzed pixel by pixel, because it has been identified as the driver of the largest spatially coherent error structure between measured and modelled TBs (Fig. 5). For this matter, the mean annual cycle of each TB signal was computed for each pixel and then smoothed using a spline filter to remove sub-monthly fluctuations. The period of study is too short to ensure that a simple annual mean cycle filters out high frequency variations. In Fig. 9 the normalized amplitudes of the annual TB cycle are displayed.

The spatial structures shown in SMOS's maps (Fig. 9, c and f) exhibit strong resemblances to those observed in the first EOF patterns of the TB error (Fig. 3 and 4, a and c). However, this structure is not found in the maps corresponding to  $TB_{OR}$  and  $TB_{HT}$ , where there is less contrast in the spatial distribution of the relative amplitude of the annual cycle. This indicates that the LSMs combined with CMEM do not reproduce the annual cycle amplitude of TBs
 observed by SMOS.

To further analyse this result, two study areas are defined (Fig.10). The first one is over the southwestern IP (7.5W : 4W, 40N : 38N) and corresponds to part of the area where the largest differences in TB's normalized amplitudes are identified. The second one is the northwestern region (8.25W : 6W, 43N : 41.75N) of the IP and is chosen because it shows similar annual cycle amplitudes of TB in the two models and SMOS. In addition, the EOF analysis of the TB error showed opposite behaviours in these areas.

9 Fig. 10 shows the smoothed annual cycle of the horizontal and vertical polarizations of the TB 10 signals from both regions. The LST from the LandSAF product as well as those modelled by 11 ORCHIDEE and H-TESSEL are also displayed because of their direct relation to TBs. The 12 plots show that the TB's annual cycle behaviour differs between the two regions. Therefore, 13 the processes responsible for the TB error are probably different in each one of them.

14 The following results can be extracted from the plot corresponding to the southwestern area15 (Fig. 10 a):

16 In winter, the difference between models is small compared to their relative warm bias when 17 compared to SMOS. In summer, the agreement is relatively good with observations laying 18 within the spread of the models. This explains the result presented above, namely that the 19 amplitude of the simulated annual cycle is smaller than for the remotely sensed TB. 20 Examining the LST one can note that the biases are relatively small and ORCHIDEE 21 generally matches better the LandSAF product, but H-TESSEL shows a larger and more 22 correct amplitude of the annual cycle. This might explain why this model has the largest 23 amplitude of TB in both polarisations, indicating that a large fraction of the error on the 24 annual cycle of TB is caused by the emissivity simulated by CMEM given the surface states 25 of both LSMs.

Over the northwestern IP, SMOS observations are mostly within the uncertainty spanned by the two models. One notable exception is the summer period for the horizontal polarization where both models are cooler. Also in this region the amplitude of TB in both polarizations is larger in H-TESSEL than ORCHIDEE and closer to that measured by SMOS. Again, this can be related to LST. Although ORCHIDEE has smaller biases, the H-TESSEL amplitude of the annual cycle is larger and closer to the observed one.

1 The strong difference in behaviour between the two selected regions in winter explains the 2 resemblance of the dominant EOF mode in TB errors of both models with the regions of maximum amplitude of the annual cycle of observed TBs. For both regions, the LST biases of 3 the LSMs do not show a clear relation to the simulated TBs. H-TESSEL has the warmest 4 5 surface temperatures but the lowest TBs, indicating that its state variables produce a lower emissivity than ORCHIDEE when processed by CMEM. On the other hand, the differences in 6 7 annual amplitudes of LST could contribute to those seen for the TB. This is also supported by 8 the fact that the dominant variation modes of LST errors are not related to those of TBs. This 9 would indicate that the major contribution to the TB errors found for the models does not 10 originate in their forcing or their ability to simulate the land surface energy balance and 11 temperature, but rather in the way CMEM simulates L-band emissivity based on their 12 description of the surface state.

#### 13 **5 Discussion**

This work complements with an analysis of TBs the study by Polcher et al. (2015), which compared the SSM product of SMOS with ORCHIDEE's modelled SSM. Both studies present a spatio-temporal correlation analysis and obtain similar results: a good agreement in temporal evolutions and a large mismatch between the spatial structures of measured and modelled SSM and TB.

19 The temporal correlation between  $TB_{OR}$  and  $TB_{SM}$  is very similar to that between retrieved 20 (SMOS) and modelled (ORCHIDEE) SSM (Table 4). In addition, both variables show lower 21 correlations over mountain ranges. As noted for SSM, the temporal correlation is mainly 22 driven by its fast varying component and is not very sensitive to the annual cycle (Polcher et 23 al., 2015).

Spatial correlations are low for both variables, indicating an inconsistency between the spatial structures of measured and modelled data. Polcher et al. (2015) showed that the spatial correlation between retrieved and modelled SSM is worse for the SSM's slow varying component than for its fast varying component. This can be due to the fact that the largest spatially coherent error between measured and modelled TBs is dominated by their slow varying component, as shown in this paper.

The EOF analysis presented here identified a dominant structure over the southwestern IP using both sets of modelled TBs, which explains a large fraction of the TB error. This structure differs from the error characterization of the SSM comparison, which showed the largest discrepancies between modelled and retrieved SSM over the northwestern IP. In fact,
 only weak differences were found for SSM over the southwestern region (Polcher et al.,
 2015). These results indicate that the transfer functions used by SMOS to derive SSM from
 observed TBs or CMEM, which estimates TBs from modelled SSM (together with other state
 variables), play an important role and have to be better understood in order to explain the
 differences between the SMOS observations and the simulated surface states.

7 None of the hypotheses tested to identify a methodological weakness in the forcing of both 8 LSMs or the configuration of CMEM, which would explain this common error, was 9 conclusive. The differences in TB between the LSMs and SMOS are noteworthy and we 10 believe that understanding them should be a priority for the community to achieve a better 11 usage of these observations. As the LSMs used here are very different in their conception, it is 12 unlikely that they produce the same systematic SSM bias which would explain the large 13 discrepancy in the southwest of the IP during winter. On the other hand, processes which are 14 not represented with enough detail in both schemes could explain the error and need to be 15 analyzed as to their potential to explain the discrepancies.

16 • In the first place, it is interesting to study the Leaf Area Index (LAI), because it is 17 linked to the seasonal cycle of vegetation. It may, therefore, reveal some underestimated effects of vegetation dynamics on modelled TBs, which could be 18 19 related, to a certain extent, to the seasonality identified in the dominant structure of the TB error. In addition, the LAI is a key component in the CMEM parameterization of 20  $\tau_{veg}$ . However, the areas of the IP where the TB error is the largest are those of least 21 22 vegetation. Therefore, in our opinion, modelled LAI is not likely to be the main cause 23 of the differences in TB's spatial structures.

24 In second place, assumptions made in the modelling of rainfall interception may also ٠ explain some differences between modelled and measured TBs. In particular, those 25 shown in Fig. 10 (b) over the northwestern region of the IP. This region is 26 27 characterized by an oceanic climate and thus, wet winters and mild summers, with a high precipitation, and often rainfall occurring as drizzle. Contrary to the southern 28 region, there is more vegetation and thus, rainfall interception plays a key role over 29 30 this area and may be of interest to revise how this process is modelled. However, the 31 IP region with strong interception is not the one with the largest TB error. The error

over the southwestern region is larger than over the northwestern region, as shown by the EOF analysis.

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- In third place, the attenuation effect of litter on the soil and its interception of water
  could also explain differences obtained between modelled and measured TBs, since it
  is not taken into account by models, but is part of satellite observations. However, we
  believe that probably it would not cause an impact structured as the one observed over
  the southwestern area of the IP without affecting other regions. Indeed this process
  would be strongest in regions with dense vegetation.
- 9 • Finally, issues related to the fundamental simplification of subgrid processes in LSMs may also contribute to the inconsistency between the spatial structures of modelled 10 11 and measured TBs. For instance, LSMs do not represent small scale features as open 12 water in lakes and rivers, swamps, irrigated areas or other water ponded on the surface 13 and could contribute strongly to L-band emissivity of the surface. Assumptions made 14 by LSMs could neglect key issues from the small scale which could be carried over to the large scale of TBs. For the moment, we do not see why these simplifications of 15 16 LSMs would have the strongest impact in the southwest of the IP.

17 Instrumental issues from SMOS could also explain the differences in TB spatial structures, in 18 case these are not of climatological or geophysical nature. For example, one of the most 19 important causes of noise in SMOS surface soil moisture is Radio-Frequency Interferences 20 (RFIs). Daganzo-Eusebio et al. (2013) describe their effect on SMOS data. Some of them are 21 difficult to detect and thus, RFIs may not be properly filtered out. For instance, Dente et al. 22 (2012) identified an irregular angular pattern in the TBs affecting data from the L1C product 23 used to retrieve soil moisture. In their opinion, this was caused by weak RFIs which were not 24 correctly filtered. Another explanation could be antenna pattern errors, as SMOS TBs 25 seasonal and latitudinal drifts detailed in Oliva et al. (2013). However, RFIs are not likely to 26 be the main cause of the differences between measured and modelled TBs, because the main 27 spatial structure identified in both TB errors is found to be dominated by the brightness 28 temperature's annual cycle. This suggests that it contains a geophysical signal.

In our opinion, further analyses should be carried out regarding the CMEM assumptions concerning emissivity. According to Jones et al. (2004), the soil moisture and vegetation water content have a significant effect on the sensitivity of TB at the top of the atmosphere. However, they impact microwave emission in different ways. On the one hand, an increase in

soil moisture results in a higher soil dielectric constant ( $\mathbb{C}$ ) and thus, on lower emissivities. On 1 2 the other hand, an increase in the vegetation water content rises the scatter and the absorption, increasing the emission. The  $\varepsilon$  is key in the computation of emissivity, while the vegetation 3 4 optical depth ( $\tau_{veg}$ ) is closely related to the vegetation water content. Both variables are 5 modelled in CMEM and the same parameterization has been used to estimate the two sets of 6 modelled TBs: Wang and Schmugge (1980) for  $\varepsilon$  and Wigneron et al. (2007) for  $\tau_{veg}$ . 7 Furthermore, the same parameterization has been used to model the rough surface emissivity 8  $(\varepsilon_r)$  in both cases: Wigneron et al., 2001. Considering that similar spatial patterns were 9 obtained for the TB error using two different LSMs, focus should be put on the above 10 mentioned variables ( $\mathcal{E}$ ,  $\tau_{veg}$ , and  $\varepsilon_r$ ) in CMEM. We suggest to prioritize the analysis of the 11 relation between the vegetation water content and TB because of the role the vegetation 12 opacity model plays in CMEM's configuration, as shown in de Rosnay et al. (2009). In 13 addition, no significant differences were observed between modelled and retrieved SSM over 14 southwestern IP (Polcher et al. 2015), where the maximum TB error was identified. This 15 reassures our suggestion of prioritizing  $\tau_{veg}$  with respect to  $\varepsilon$ , since the latter is directly related to SSM. 16

17 The hypotheses analyzed to identify the cause of TB's error dominant mode, as well as those

18 proposed to study it, are listed in Table 6. The conclusion obtained for each analysis is also

19 included.

## 20 6 Conclusions

TBs of SMOS Level 1C product were compared to two sets of modelled TBs. The latter were obtained using simulated state variables (from the ORCHIDEE and H-TESSEL LSMs) and a radiative transfer model, CMEM. The study was carried out over the Iberian Peninsula (IP) for the period 2010 to 2012.

On the one hand, a temporal correlation analysis between measured and modelled data shows that there is a good agreement in their temporal evolution. However, this diagnostic is mainly driven by the TB's signal synoptic variability, as occurs with SSM (Polcher et al., 2015). On the other hand, a spatial correlation analysis detected a large mismatch between the TB spatial structures provided by models and observations.

An EOF analysis of the error between modelled and measured TBs suggests that the inconsistency is not limited to a particular LSM. It is dominated by the TB slow varying component, peaking in fall and winter. In addition, modelled TBs are larger than SMOS measurements during these seasons over the dominant error structure detected. This structure explains between 18% and 36% of the TB error variance, depending on the LSM and polarization. Therefore, there is a high percentage of the error (between 82% and 64%) that shows structures which have to be analyzed and explained. Since these are not present in both LSMs, they are of lower priority and have not been approached in this study.

Forcing induced biases are discarded as the main cause of the spatial inconsistency in TBs after computing the dominant error structures of precipitation and Land Surface Temperature (LST). Nevertheless, the degree of accuracy of the forcing cannot be fully established because of scale issues and the lack of sufficient independent measurements. The difference in TBs' spatial structures could also be thought of a combination of non linear relations between errors in precipitation and LST, but this is beyond the scope of this paper.

Assumptions made in certain CMEM parameterizations are also discarded as the main source of the spatial inconsistency between measured and modelled TBs: the vegetation cover input; the number of soil layers defined; and some parameterizations to compute the smooth surface emissivity (Fresnel law and Wilheit (1978)) and the effective temperature (Wigneron et al. (2001) and the temperature profile).

17 Previous studies found differences between the spatial structures of modelled and retrieved 18 SSM (Parrens et al., 2012; Polcher et al., 2015). This paper shows that these structures are not 19 consistent also when comparing modelled and observed TBs. In addition, this issue is 20 amplified for the TBs compared to SSM, because the latter are bounded by zero and 21 saturation. This could explain the generally better spatial correlation for SSM in winter, when it reaches saturation in large parts of the IP. Although this study is limited to the IP. 22 23 differences in spatial structures occur at a global scale. We would like to draw the reader's attention to the fact that TBs are not only the main input of SMOS soil moisture retrieval 24 25 algorithm, but that they are used to retrieve other variables, like vegetation optical depth or salinity. We believe that analysing the spatial inconsistencies between modelled and measured 26 27 TBs is important, as these can affect the estimation of geophysical variables, TB assimilation 28 in operational models, as well as result in misleading validation studies. Therefore, obtaining 29 the spatial contrast of measured TBs in models is a challenge which, in our opinion, deserves 30 a higher priority in the community.

## 31 Acknowledgements

- 1 The authors would like to thank Filipe Aires and Jean-Pierre Wigneron for their helpful
- 2 comments. This work contributes to the FP7 Earth2Observe project under grant agreement No
- 3 603608.
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	Configuration	Parameterization			
		ORCHIDEE	H-TESSEL		
Physical	Soil dielectric constant	Wang and Sch	nmugge (1980)		
configuration	Effective temperature	Soil temperature profile	Wigneron et al. (2001)		
	Smooth surface emissivity	Wilheit (1978)	Fresnel law		
	Rough surface emissivity	Wigneron	et al. (2001)		
	Vegetation optical depth	Wigneron	et al. (2007)		
	Atmospheric optical depth	Pellarin et	t al. (2003)		
	Temperature of vegetation	Surface soil	temperature		
	Vegetation cover input data	Ecoclimap			
Observing	Microwave frequency	1.4Ghz			
configuration	Incidence angle	42.5°	40°		
Soil and atmospheric level	Number of soil layers*	11	3		
configuration	(number of layers in the top 5 cm)	(5)	(1)		
*Layer depths of ORCHIDEE's hydrological scheme [cm]: 0.099, 0.391, 0.978, 2.151, 4.497,					
9.189, 18.570, 37.340, 74.880, 150, and 200					
*Layer depths of H-	-TESSEL's hydrological scheme [cm]:	7, 21, 72, and 189			

# 1 Table 1. CMEM configuration for the two sets of modelled TBs.

Vegetation       Constant fields       High and low vegetation         High and low vegetation       High and low vegetation         Water fraction       Water fraction         Dynamic fields       Low vegetation LAI         Meteorology       Dynamic fields         Soil moisture profile [m <sup>3</sup> /r         Soil temperature profile [         Soil temperature profile [	types fractions
Vegetation       Constant fields       High and low vegetation         High and low vegetation       Water fraction         Water fraction       Upnamic fields       Low vegetation LAI         Meteorology       Dynamic fields       Soil moisture profile [m <sup>3</sup> )         Soil temperature profile [       Soil temperature [K]	types fractions
High and low vegetation         Water fraction         Dynamic fields       Low vegetation LAI         Meteorology       Dynamic fields         Soil moisture profile [m <sup>3</sup> ]         Soil temperature profile [	fractions
Water fraction         Dynamic fields       Low vegetation LAI         Meteorology       Dynamic fields       Soil moisture profile [m <sup>3</sup> ]         Soil temperature profile [       Soil temperature [K]	
Dynamic fields     Low vegetation LAI       Meteorology     Dynamic fields     Soil moisture profile [m <sup>3</sup> ]       Soil temperature profile [     Soil temperature [K]	
Meteorology Dynamic fields Soil moisture profile [m <sup>3</sup> Soil temperature profile [	
Soil temperature profile [	m <sup>-3</sup> ]
Skin tomporatura [K]	[K]
Skill telliperature [K]	
Snow depth [m]	
Snow density [kgm <sup>-3</sup> ]	
2 m temperature [K]	

1 Table 2: Input variables for the CMEM to compute TBs at TOA.

1 Table 3: TB filtering criteria to keep data, applied to the TB signals.

# 2 \* The slope is at the model T225 spectral horizontal resolution (~80km).

-	TB <sub>OR</sub>	TB <sub>HT</sub>	All TB signals
-	ORCHIDEE's daily average surface temperature > 275 K	Snow water equivalent < 0.01 m	Daily TB < 300 K
-	ERA-Interim's daily average	ERA-Interim's daily average	Mask
	2 m air temperature > 273 K	2 m air temperature > 273.5K	(from SMOS's L2
		Orography (slope)* < 0.04	product)
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horizontal and vertical polarization of TBs over the Iberian Peninsula from 2010 to 2012.					
	Temp	ooral	Spat	tial	
	Horizontal	Vertical	Horizontal	Vertical	
TB <sub>OR</sub> vs. TB <sub>SM</sub>	0.75	0.76	0.20	0.30	
TB <sub>HT</sub> vs. TB <sub>SM</sub>	0.82	0.82	0.24	0.29	
TB <sub>HT(VC)</sub> vs. TB <sub>SM</sub>	-	-	0.17	0.36	
TB <sub>OR(SD)</sub> vs. TB <sub>SM</sub>	-	-	0.22	0.33	
TB <sub>OR(FW)</sub> vs. TB <sub>SM</sub>	-	-	0.20	0.30	
$SSM_{OR}$ vs. $SSM_{SM}$	0.8	0.81		0.28	

2 Table 4: Mean temporal and spatial correlations for SSM (Polcher et al., accepted) and the

Table 5: Spatial correlation for the first and second variation modes of the EOF analyses
performed for the difference between modelled and measured TBs. TBH and TBV correspond

	Mode 1	Mode 2
$TB_{OR} - TB_{SM}$ (TBH) vs. $TB_{OR} - TB_{SM}$ (TBV)	0.99	0.97
$TB_{HT} - TB_{SM}$ (TBH) vs. $TB_{HT} - TB_{SM}$ (TBV)	0.86	0.75
$TB_{OR} - TB_{SM}$ (TBH) vs. $TB_{HT} - TB_{SM}$ (TBH)	0.92	0.69
$TB_{OR} - TB_{SM}$ (TBV) vs. $TB_{HT} - TB_{SM}$ (TBV)	0.73	0.48

4 to the horizontal and vertical polarizations, respectively.

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- 1 Table 6: Possible explanations studied and proposed to analyze the dominant mode of the
- 2 error between measured and modelled TBs. The paper's section where these are analyzed has
- 3 been included.

# 4 \* EOF analysis $\rightarrow$ Incompatible spatio-temporal variability of errors.

Hypotheses		Outcome (test)	Section		
Biases in precipitation forcing			Discarded (EOF analysis*)	4.2.2	
			Discarded		
Errors in LST modelling			(EOF analysis* & annual cycle over southern and northern IP)	4.2.2 & 4.3	
	<b>T</b> 7 / / ·		Discarded		
	cover		(EOF analysis* & spatial correlation)		
	Soil		Discarded		
	discretization		(EOF analysis*)	4.2.3	
CMEM configuration	ε parametrization	Combined effect of the Fresnel law and Wigneron et al. (2001) to estimate $\varepsilon_s$ and $T_{eff}$ .	Discarded (EOF analysis* & spatial correlation)		
		E estimation	Proposed to study	-	
		$\tau_{veg}$ estimation	Proposed to study		
		$\varepsilon_r$ estimation	Proposed to study	_	
Modelled LAI			Discarded	_	
Rainfall interception			Discarded		
Attenuation effect of litter in measured TB			Discarded	5	
LSMs' subgrid processes simplifications			Discarded		
Instrumental issues (RFIs)			Discarded		





3 Figure 1: Temporal correlation between modelled and measured TBs from 2010 to 2012.

- 4 TBH and TBV correspond to the horizontal and vertical polarizations, respectively.



HTESSEL-SMOS (TBV)

Figure 2: Boxplot showing the annual cycle of the spatial correlation between modelled and
measured TBs, over the Iberian Peninsula from 2010 to 2012. TBH and TBV correspond to
the horizontal and vertical polarizations, respectively. Values have been grouped per seasons:
winter (DJF), spring (MAM), summer (JJA), and fall (SON).



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Figure 3: Spatial patterns associated with the first two EOF variation modes (P1 and P2) of
the difference between modelled TB (ORCHIDEE) and measured TB (SMOS). TBH and
TBV correspond to the horizontal and vertical polarizations, respectively. The percentage of
variance explained by each mode is included in brackets.

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Figure 4: Spatial patterns associated with the first two EOF variation modes (P1 and P2) of
the difference between modelled TB (H-TESSEL) and measured TB (SMOS). TBH and TBV
correspond to the horizontal and vertical polarizations, respectively. The percentage of
variance explained by each mode is included in brackets.

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Figure 5: Temporal evolution of the expansion coefficients correspondent to the first EOF
variation mode of the TB errors (ORCHIDEE versus SMOS and H-TESSEL versus SMOS)
over the Iberian Peninsula. Values have been normalized using the standardization method.
TBH and TBV correspond to the horizontal and vertical polarizations, respectively.

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Figure 6: ECMWF's mean first guess departure (observation-model) from the months of
November 2010 to 2012. TBH and TBV correspond to the horizontal and vertical
polarizations, respectively.





Figure 7: Spatial patterns from the first EOF variation mode of the LST and the precipitation
errors. The percentage of variance explained by each mode is included in brackets.



Figure 8: Temporal evolution of the expansion coefficients correspondent to the first EOF variation mode of the LST and the precipitation errors. As in Fig. 5, values have been normalized using the standardization method.



Figure 9: Normalized amplitude of the smoothed annual cycle of modelled and measured
TBs: *amplitude(TB)/TB*. TBH and TBV correspond to the horizontal and vertical
polarizations, respectively.



Figure 10: Smoothed annual cycle of  $TB_{SM}$ ,  $TB_{OR}$ , and  $TB_{HT}$ , as well as of the LST signals from ORCHIDEE, H-TESSEL, and LandSAF over a southwestern (a) and northwestern (b) region of the Iberian Peninsula, from 2010 to 2012. The TBH and TBV correspond to the horizontal and vertical polarizations, respectively. The regions' location is shown in figure c: southwest (red) and northwest (blue).

#### 1 <u>3. The manuscript's main modifications</u>

2 This section identifies the main modifications performed in the manuscript. These are3 identified by the page and line numbers of the version included in the previous section.

- 5 Page 10, lines 21 and 22: Modification correspondent to referee's 1 detailed comment
  6 number 1.
- 7 **Page 11, lines 4 to 7:** Modification correspondent to referee's 1 detailed comment number 2.
- 8 Page 11, lines 25 to 26: Modification correspondent to referee's 2 detailed comment number
  9 1.
- 10 **Page 13, line 1:** Modification correspondent to referee's 1 detailed comment number 3.
- 11 Page 12, lines 22 to 32 and page 13, lines 1 to 24: Modification correspondent to referee's 1
- 12 detailed comment number 4.
- Page 13, lines 25 and 26: Modification correspondent to referee's 1 detailed comment
  number 5.
- 15 Page 13, line 28: Modification correspondent to referee's 1 detailed comment number 6.
- 16 **Page 13, line 30:** Modification correspondent to referee's 1 detailed comment number 7.
- 17 **Page 14, line 7:** Modification correspondent to referee's 1 detailed comment number 8.
- Page 14, lines 19 to 28: Modification correspondent to referee's 1 detailed comment number
  9.
- Page 14, lines 16 to 18: Modification correspondent to referee's 1 detailed comment number
  10.
- 22 Page 15, line 1: Modification correspondent to referee's 1 detailed comment number 11.
- Page 17, line 7 and page 18, line 9: Modification correspondent to referee's 1 detailed
  comment number 12.
- 25 Page 19, line 18: Modification correspondent to referee's 1 detailed comment number 13.
- Page 19, lines 29 to 30 and page 20 lines 1 to 4: Modification correspondent to referee's 2
   general comments.

1 Page 21, line 3: Modification correspondent to referee's 1 detailed comment number 15.

2 Page 21, lines 6 to 8: Modification correspondent to referee's 1 detailed comment number 16.

3 Page 21, lines 11 to 15: Modification correspondent to referee's 1 detailed comment number
4 17.

5 Page 21, lines 26 to 27 and page 22, lines 1 to 9: Modification correspondent to referee's 1
6 structural revision comment.

7 Page 24, line 20: Modification correspondent to referee's 1 detailed comment number 19.

8 Page 25, line 6: Modification correspondent to referee's 1 detailed comment number 20.

9 Page 25, line 26: Modification correspondent to referee's 1 detailed comment number 21.

Page 25, line 31 and page 26, lines 1, 2, 3, 9, 10, and 26: Modification correspondent to referee's 1 general/structural comment number 1. The modification proposed in this comment

12 (replace "South-East" by "southeast, "North-West" by "northwest", etc) is carried out in other

13 pages. These pages are not listed here, but whenever this modification has been performed, it

14 has been highlighted in the manuscript's marked-up version.

15 **Page 26, line 8:** Modification correspondent to referee's 1 detailed comment number 24.

Page 26, lines 14 to 22: Modification correspondent to referee's 1 detailed comment number
25.

18 Page 27, lines 17 to 20: Modification correspondent to referee's 2 general comments.

19 Page 29, line 16: Modification correspondent to referee's 1 detailed comment number 27.

20 Page 29, line 26: Modification correspondent to referee's 1 detailed comment number 28.

21 Page 30, line 6: Modification correspondent to referee's 1 detailed comment number 29.

Page 33, lines 17 to 19 : Modification relative to referee's 1 general/structural comment
number 3.

Page 38, lines 1 to 3 and 13 to 15: Modification correspondent to referee's 2 general comments.

26 **Page 44, line 2:** Modification correspondent to referee's 1 detailed comment number 32.

27 **Page 47 :** Modification relative to referee's 1 general/structural comment number 3.

28 **Page 52:** Modification correspondent to referee's 1 detailed comment number 33.

- 1 **Page 53:** Modification correspondent to referee's 1 detailed comments number 34 and 35.
- 2 **Page 55:** Modification correspondent to referee's 1 detailed comment number 36.
- 3 **Page 56, line 4:** Modification correspondent to referee's 1 detailed comment number 37.
- 4 Page 52, line 5, page 55, line 5, and page 56, line 3: Modification correspondent to referee's
- 5 1 general/structural comment number 2.
- 6 Page 57, Figure 10 and lines 4 and 7: Modification correspondent to referee's 1 detailed
- 7 comment number 38.