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Dear Handling Editor

Thank you for providing the opportunity to address the comments made by the two referees of the paper "An ice core derived 1013-year catchment scale annual rainfall reconstruction in subtropical eastern Australia" (Manuscript #: hess-2015-456).

Both referees suggest that the paper is well written though some additional discussion is required. The key comments and suggestions made by the referees are presented below along with our response and details indicating how we have revised the paper. A 'tracked changes' version of the manusript is also included.

Referee #1 (Anonymous)

1. "The authors need to address the lack of (statistical) evidence shown for the skill of the reconstruction."

AUTHOR RESPONSE:

We have added a new table (Table 2, reproduced below) to Section 5.2 which features Root Mean Square Error (RMSE) and Reduction in Error (RE) statistics for the rainfall reconstruction relative to rainfall recorded at gauge 61010 and the AWAP catchment average rainfall. We have also added the following text to Section 5.2:

"Table 2 presents the Root Mean Square Error (RMSE) and reduction in error (RE) between the rainfall reconstruction and 12 month average (October-September) rainfall recorded at gauge 61010 and the AWAP WR catchment average for the 1900-2010 period and IPO phases. An RE value greater than zero indicates that the reconstruction is skilful and has better predictive skill than climatology (Cook, 1992). While improved RMSE and RE statistics were recorded for the most recent IPO positive (1979-1997) phase relative to the first IPO positive and IPO negative phases, it is clear that the reconstruction has skill across the 1900-2010 instrumental period. For the full instrumental record, the reconstruction has a RMSE of around 25% of the annual instrumental rainfall with an RE value greater than zero."

Note that the existing Table 2 will become Table 3.

Table 2. Root Mean Square Error in mm/year (%) and Reduction in Error between the rainfall reconstruction and 12 month average (October-September) rainfall recorded at gauge 61010 and the AWAP Williams River catchment average for the 1900-2010 period and IPO phases.

Time Period	61010		AWAP catchment	t average
	RMSE mm (%)	RE	RMSE mm (%)	RE
Full record (1900-2010)	267 (25.1)	0.07	254 (23.1)	0.08
IPO positive (1924-1941, 1979-1997)	239 (22.5)	0.14	202 (18.4)	0.25
IPO positive (1924-1941)	254 (23.9)	0.10	187 (17.0)	0.08
IPO positive (1979-1997)	223 (21.0)	0.11	216 (19.6)	0.33
IPO negative (1947-1975)	254 (23.9)	0.10	306 (27.8)	0.02

2. The referee suggests that the "existence of multiple reconstructions lends itself to the development of interval estimates". In addition, "the existence of other proxies along with QLD/NSW coastal strip should be recognised".

AUTHOR RESPONSE:

We have added a significant discussion to Section 5.3 which compares our reconstruction to the following existing eastern Australian rainfall reconstruction (aridity reconstruction in the case of the Wombeyan record):

- 1685-1981 CE summer rainfall reconstruction for northeast Queensland based on coral luminescence (Lough, 2007; 2011).
- 1783-1988 CE multi-proxy based annual rainfall reconstruction for southeast Australia (Gergis et al., 2012).
- 1854-2000 CE rainfall reconstruction for Brisbane from Australian red cedar trees (Heinrich et al., 2009).
- 749 BCE-2001 CE aridity index developed from speleothem records from Wombeyan Caves (Ho et al., 2015a,b; McDonald, 2005; McDonald et al., 2009; McDonald et al., 2013)

The added text is seen in *italics* below:

"As mentioned previously, few rainfall proxy records exist in eastern Australia. Those that do tend to be outside of the eastern seaboard region in climate regimes that have significant differences, cover different time periods or are at varying (lower) resolutions, which limits the ability to compare them to the reconstruction provided here. However, broad commonalities can be discussed. Heinrich et al. (2009) developed a 154 year rainfall reconstruction for Brisbane, located near the northern boundary of the eastern seaboard, from red cedar treering analysis. Since the record commences in 1854, which is within the instrumental period for the Brisbane region (Heinrich et al., 2009), the utility of this record for comparison here is limited. Nevertheless, the authors found drier periods in the 1880s, 1900-1920, most of 1940s and 1990s and wetter periods in the 1860s, 1890s, 1930s and 1970s which fits with the results shown in Figure 5 if the reconstruction is compared with the instrumental mean as opposed to the full 1000-2012 mean. Although not a rainfall reconstruction, an aridity index of wet and dry periods is available based on speleothems from the Wombeyan Caves, located in the Sydney region (i.e. within the eastern seaboard) for the 749 BCE to 2001 CE period (McDonald, 2005; McDonald et al., 2009; McDonald et al., 2013). Dry epochs evident in the Wombeyan aridity index, for the period where it overlaps with the WR reconstruction, include late-1100s, around 1500, mid-1700s, and early-1900s which is consistent with the WR reconstruction illustrated in Figure 5. Similarly, the Wombeyan record indicates epochs that were "not dry" include the early-1400s, 1510-1600, early-1700s, and late-1800s, which is again consistent with the results presented in Figure 5 (McDonald, 2005; McDonald et al., 2009; McDonald et al., 2013; Ho et al., 2015a, b).

In addition, Gergis et al. (2012) produced a multi-proxy based annual rainfall reconstruction for a broad southeast portion of Australia for the 1783-1988 CE period, finding the 20th century to be drier during *their* ~200 year analysis period. Similarly, Figure 5 shows that the recent era (1900-present) is relatively dry in the post-1783 time period and also in the context of the last 1000 years, *though it is not unprecedented*. For the 1685-1981 CE period, Lough (2011) found drier and less variable summer rainfall in far northeast Queensland in the 1760-1850 period and a tendency for a wetter climate from the mid-1850s to 1900 from their coral based rainfall proxy. Likewise, the reconstruction shown here tends to indicate a drier period post-1700, switching to a wetter regime from the late-1700s to the beginning of the 20th century.

Ultimately we find good agreement with existing nearby rainfall reconstructions. This further validates the rainfall reconstruction presented here particularly in light of the previously

identified concerns with comparing it with other reconstructions in the eastern Australia region."

As we discuss in the paper, existing rainfall reconstructions in eastern Australia are either outside of the eastern seaboard, cover different time periods or are at varying resolutions to our reconstruction. Given this we do not believe it is appropriate to produce interval estimates using these reconstructions as suggested by the referee.

3. "The authors should also explain the regression technique used in more detail – e.g. tell the readers why this regression technique was used, its strengths and weaknesses."

AUTHOR RESPONSE:

We have added the following information regarding the Marquardt-Levenberg method to Section 4:

"This re-evaluation was via a damped least-squares regression between AWAP grid-cell data and the LDsss record using the Marquardt-Levenberg method, a method capable of multivariate and non-linear regression. Although it was only used for uni-variate linear regression here, the method was selected for compatibility with planned future work."

4. "The difference in the variability of the reconstruction vs the instrumental data requires a fuller discussion."

AUTHOR RESPONSE:

We have added text to Section 5.2 which further discusses the difference between the reconstruction and instrumental data. See text in *italics* below.

"A comparison between the AWAP catchment average and reconstructed WR catchment rainfall over the instrumental period (1900-2010) is presented in Figure 4. The instrumental mean and pattern of peaks and troughs in the recorded rainfall is well represented in the reconstruction but the range of variability is underestimated. *While the magnitude of the extremes is important, the key focus is that the reconstruction captures the duration and timing of wet and dry periods. The thinking behind this is that a short, but extreme (in terms of rainfall deficit) drought, for example, will have less severe implications on water security in a catchment than a drought of long duration with consistently below average (but not necessarily extremely below average) rainfall. Encouragingly, periods post-1900 that are known to be*

associated with droughts and flooding in the WR catchment are identified in the reconstruction e.g. the World War II drought in the late 1930s, the Millennium drought in the 1990s to 2000s, and the flood dominated 1950s and 1960s (e.g. Verdon-Kidd and Kiem, 2009; Gallant et al., 2012; Callaghan and Power, 2014).

The rainfall reconstruction captures around 10% of the rainfall variability in the WR catchment for the full 1900-2010 instrumental period (Table 1). In terms of IPO phases, it is clear that the reconstruction is in better agreement with the instrumental record for the most recent IPO positive phase (1979-1997) relative to the first IPO positive phase and the IPO negative phase. This is no surprise given the higher correlation between LD_{SSS} and Williams River rainfall in the recent IPO positive period i.e. LD_{SSS} variability captures around 40% of the Williams River rainfall variability (Table 1). Influences on the stationarity of the LD_{SSS}-WR rainfall relationship were discussed in Sect. 4."

In addition to the above points we have added new performance measures (Table 2 and associated discussion) outlined in our response to comment #1 above.

5. The referee requests more information about the non-stationarity in the relationship between LDsss and annual rainfall in the Williams River catchment. The referee suggests limiting the reconstruction to IPO positive phases only i.e. they ask: "Is the relationship in IPO positive phases 'stable enough' to provide a skilful reconstruction?" Also, specifically referring to Page 12495, line 1, the referee would like us to further explore the differences between the LDsss-Williams River rainfall relationship in both IPO negative and positive phases i.e. "How does the relationship differ in the IPO positive phase vs the IPO negative phases for eg?".

AUTHOR RESPONSE:

We have added the following text (in *italics*) to Section 4 which discusses the difficulties in characterising non-stationarity in the LD_{sss}-WR rainfall relationship.

"Indeed, a better understanding of the role of ECLs and also the relative influence of ENSO, IOD, SAM, STR and other large-scale processes on rainfall in the WR catchment (as is currently being investigated as part of the ESCCI project) will undoubtedly improve our understanding of the variability in the strength of the LD_{SSS}-WR rainfall teleconnection. It should also be noted that one of the key difficulties in understanding the non-stationarity in the climate of the Southern Hemisphere is the lack of quality atmospheric/oceanic data in the Southern Ocean in the pre-1979 satellite era, particularly in the Indian/West Pacific sector. It is likely that more high resolution ice core records from the Indian Ocean sector of East Antarctica will assist in filling this data gap (Vance et al., 2016). Underpinning the above issue is that variability in the Australian climate record can be up to centennial scale which cannot be resolved using relatively short instrumental datasets (Gallant et al., 2013). Ultimately, for the purposes of this initial reconstruction, we have assumed stationarity in the LD_{SSS}-Williams River rainfall relationship."

In regards to the IPO, in the paper we note that the strong relationship between LD_{SSS} and Williams River rainfall revealed in the most recent IPO positive phase (1979-1997) is not present in the first IPO positive phase (1924-1941). Therefore we do not believe the relationship in IPO positive is stable enough to base the reconstruction on these periods. We have few tools at our disposal to assess whether different IPO positive phases have slightly different spatial signatures in terms of SST and atmospheric circulation, or whether this is related to the lower quality data in the first IPO positive period (i.e. it is well known that sea surface temperature data decreases in quality as one goes back in time, discussed further below). Beyond noting this in the revised manuscript, this is beyond the scope of this work.

Also note that the statistics we have added (new Table 2, see response to comment #1) demonstrate that our reconstruction has useful skill across the full instrumental record (1900-2010) and provides some validation for our assumption of stationarity.

6. The referee would like more information about other drivers of annual rainfall variability in the Williams River catchment, in addition to East Coast Lows i.e. "What other factors might have an important influence?". Specifically, Page 12493, line 21 to Page 12494, line 12 – The referee would like more detail about other influences in addition to ECLs, plus more detail about the relative influence of ECLs in various months. Page 12496, line 20-25 – the referee again questions our reference to only ECLs as the sole cause for non-stationarity in the LD_{SSS}-Williams River rainfall relationship. Furthermore, the referee asks "What happens to the correlations between precipitation and LD_{SSS} if the very few values that cause the strong negative correlation in the 1950s are removed?"

AUTHOR RESPONSE:

The relative influence of East Coast Lows (ECLs) on monthly rainfall in the eastern seaboard is currently being researched as part of the eastern seaboard Climate Change Initiative (ESCCI, discussed in the paper), and co-author, A. S. Kiem is strongly involved with this project. To the best of our knowledge there are currently no published papers with this specific

information. That said, we neglected to include a paper by Pepler et al. (2014) that investigates the impact of ECLs on inter-annual rainfall variability across the eastern seaboard. Pepler et al. (2014) found that on average, ECLs contribute 23% of annual rainfall on the eastern seaboard. Based on interpretation of Figure 3 in Pepler et al. (2014) this ranges from 20-30% for the Williams River region and is predominantly in the warm season (November-April). Pepler et al. (2014) also note that ECLs have the strongest signature on the coastal fringe i.e. where the Williams River catchment is located. It is important to note however that Pepler et al. (2014) only assessed the 1970-2006 period, a predominantly IPO positive period. We believe this additional information further confirms the strong influence of ECLs on the Williams River catchment and therefore further validates our suggestion that ECLs play a major role in governing the strength of the relationship between LD_{SSS} and Williams River rainfall. As such we don't believe that modification of the data (i.e. through removal of values) is required to test this hypothesis.

Along with ECLs, there are local topographical and large scale influences (IPO, ENSO, STR, SAM and to a lesser extent the IOD) on the Williams River catchment. An understanding of the percent of annual rainfall in the Williams River catchment that these other processes provide is not clear. That is, future studies need to be undertaken to determine how much of the 70-80% of the catchment's annual rainfall variability that ECLs do not account for is driven by these other processes. An understanding of this will further aid in characterising non-stationarity in the LD_{SSS}-Williams River rainfall relationship.

We have added the text in *italics* below to Section 2 (where rainfall variability in the Williams River catchment is discussed):

"Rainfall variability in the WR catchment is *influenced by the Great Dividing Range to the west (Figure 1) which provides orographic enhancement and the Tasman Sea to the east which brings in moisture to the region (Pepler et al., 2014).* Synoptic scale influences known as East Coast Lows (ECLs), marine or continental low pressure systems which tend to develop in the Tasman Sea, are responsible for much of the extreme weather (e.g. heavy rainfall, high winds) recorded in eastern New South Wales (Speer et al., 2009;Pepler et al., 2014b;Ji et al., 2015;Browning and Goodwin, 2013;Kiem et al., 2015;Twomey and Kiem, 2015a, b). *Indeed, ECLs have been found the contribute to 20-30% of annual rainfall in the WR region (Pepler et al., 2014a).* In addition to these local influences several large-scale ocean-atmospheric processes influence rainfall in the WR catchment (e.g. Kiem and Franks, 2001, 2004;Risbey et al., 2009). The El Niño Southern Oscillation (ENSO) and IPO have been related to interannual

to multidecadal variability in both WR rainfall and runoff (Kiem and Franks, 2001, 2004). Drier (wetter) catchment conditions typically occur during El Niño (La Niña) events and the IPO modulates both the frequency and magnitude of ENSO impacts such that drought risk is increased during IPO positive phases and flood risk is increased during IPO negative phases (Kiem and Franks, 2001, 2004;Kiem and Verdon-Kidd, 2013;Kiem et al., 2003). *Indian Ocean SSTs are also known to influence eastern Australian rainfall during winter and spring (Verdon and Franks, 2005)*.

In addition, the Subtropical Ridge (STR) and Southern Annular Mode (SAM) impact rainfall variability in the eastern seaboard (e.g. Risbey et al., 2009; Ho et al., 2012; Whan et al., 2013). A positive SAM phase has been related to increased daily rainfall in summer and spring (Risbey et al., 2009;Hendon et al., 2007) while variability in the position of the STR is significantly correlated with rainfall in the eastern seaboard. That is, a shift south of the STR is associated with increased rainfall in the region (Timbal, 2010;Whan et al., 2013). Variability in the intensity of the STR is also related to rainfall variability in the eastern seaboard though to a lesser extent than variability in the STR position."

7. Page 12487, line 28 – The referee questions the accuracy of saying "no local" suggesting that "depending on your version of 'local' this isn't correct".

AUTHOR RESPONSE:

The sentence that referee 1 is referring to is: "The region has hydroclimate features that are distinct from the rest of Australia and no local, high resolution palaeoclimate proxies (Ho et al. 2014)." We have changed the sentence as follows: "*The region has hydroclimate features that are distinct from the rest of Australia and lacks high resolution paleoclimate proxies (Ho et al. 2014)*." Ho et al. (2014) indeed indicate that, to date, there is a lack of high resolution proxies in the eastern seaboard region. Also see our response to referee comment #2.

Page 12490, line 10 - The referee requires clarification i.e. "A dating accuracy of +/- 1 yr for the Law Dome core from 894 - 1807 and then accurate to the year beyond that. Later in paper the authors indicate that they are identifying individual years of dry/wet conditions – but prior to 1807 dating accuracy is +/- 1 year. Perhaps another short sentence can be added to clarify."

AUTHOR RESPONSE:

The referee is referring to the dry/wet time periods extracted from the reconstruction and presented in Table 3. We have added the following sentence to Section 5.3 (where Table 3 is initially referenced) which notes the ± 1 year LD_{SSS} dating accuracy issue:

"Note that the time periods identified in Table 3 should be considered in light of the ± 1 year dating uncertainty of the LD_{SSS} record discussed in Section 3.1."

8. Page 12492, line 9 – "A test for low frequency modulation could be done". The referee refers to Gershunov et al. (2001).

AUTHOR RESPONSE:

The Gershunov et al. (2001) paper (suggested by the referee) suggests using the bootstrap technique to determine if low frequency modulation of relationships between climate signals is significant. The Mudelsee (2003) method used to determine the confidence intervals for the correlations between Williams River rainfall and LD_{SSS} (presented in Table 1) uses bootstrapping techniques which automatically address issues of low frequency modulation. Hence we feel we have already addressed this issue.

9. Page 12493, line 6-20 – The referee has asked "What about the different seasonal window used?" compared with Vance et al. (2015).

AUTHOR RESPONSE:

In this section we have now added the following sentences for clarification:

"Vance et al. (2013) and Vance et al. (2015) used a calendar year as opposed to a more catchment specific analysis period used in this study. Another key difference is that the focus region here is further south, on the coast and under the orographic influence of the Great Dividing Range"

10. Table 2 – "Why are there longer duration events as the criterion becomes stricter?"

AUTHOR RESPONSE:

We assume the referee is asking why there are longer duration events as the standard deviation is increased. Based on equation 1 (reproduced below), the range increases as the standard deviation increases and therefore we are extracting longer duration events. For example, for a standard deviation of 0.1 (and annual reconstruction average of 1100 mm), the range is 1092.6-1107.4 mm. That is, a wet year will be defined as any year with annual rainfall greater than 1092.6 mm and a dry year as any year with annual rainfall less than 1107.4 mm. For a standard deviation of 0.3, the range is 1077.8-1122.2 mm (wet year > 1077.8 mm, dry year < 1122.2 mm) and hence longer duration events are picked up.

 $wet = years where rainfall > mean - x \times standard deviation$ (1)

dry = years where rainfall $< mean + x \times standard$ deviation

This methodology allows us to identify multiyear or multidecadal wet and dry epochs and avoids the situation where a generally consistent wet or dry period is broken by a single year that crosses the mean. The thinking behind this is that a year that is only 0.1 standard deviations above the annual average, for example, is not likely to provide enough rainfall to break a drought or fill reservoirs. The following text has been added to Section 5.4* (where Equation 1 is presented) to clarify our methodology.

"For example, for a standard deviation of 0.1 (and annual reconstruction average of 1100 mm), the range is 1092.6-1107.4 mm. That is, a wet year will be defined as any year with annual rainfall greater than 1092.6 mm and a dry year as any year with annual rainfall less than 1107.4 mm. Some years will be defined as both 'wet' and 'dry' but this methodology avoids a situation where a consistently wet or dry period is broken by a single year that is slightly below (or above) the mean."

*Note that Section 5.3 has now been split into two sections:

- 5.3 A millennial rainfall reconstruction for the WR catchment
- 5.4 Implications for water resources management
 - 11. Figure 6 and Figure 7 the referee believes that "the importance of this information has not been drawn out." They suggest that "we would expect different centuries to differ in terms of the numbers of wet/dry events, but are there large differences? What about the changes in duration of wet/dry events in different centuries? Some further analysis/discussion would be useful here."

AUTHOR RESPONSE: We have added the following text to the discussion on Figure 6 and Figure 7.

"Also of interest is that some centuries tend to have short dry periods compared with long dry periods and vice-versa (e.g. the 15th and 16th century (Figure 7e and Figure 7f) compared to the 12th and 13th century (Figure 7b and Figure 7c)). The same can be said for wet periods. The variation in the distribution of dry/wet period duration between centuries further suggests that water resources management and planning based on the statistics of 100 years of data (or less) is problematic."

12. Page 12497, line 14-15 – The referee requires clarification of the term "midrange". "Mid-range in the context of the values chosen, not in absolute terms".

AUTHOR RESPONSE: Yes, in this case the selected standard deviation of 0.3 is 'mid-range' in the context of the range of standard deviation thresholds (0.1 - 0.5) we assessed. We have added this clarification to the text.

13. Page 12497, line 16 – "Reference to table is confusing".

AUTHOR RESPONSE:

We agree and have added 'section' headings (A, B, C and D) to Table 2 (now Table 3, reproduced below). This will allow us to reference the table without confusion.

Mean (mm) used to determine wet/dry	SD (mm) used to determine wet/dry	x value used to determine wet/dry (Threshold = Mean ±x×SD)	Duration of longest DRY period (years)	DRY period	Duration of longest WET period (years)	WET period	
A		AWAP catchment av	erage rainfall ((1900–2010)			
1100.0 (1900–2010)	264.6 (1900–2010)	0 (Mean) 0.1 0.2 0.3 0.4 0.5	8 8 8 8 9	1935–1942 1935–1942 1935–1942 1935–1942 1935–1942 1935–1942 1979–1987	5 8 8 9 9	1927-1931 1925-1932 1925-1932 1925-1932 1948-1956 1924-1932, 1948-1956, 1971-1979	
В		Reconstructed	Rainfall (1900	-2010)			
1100.0 (1900–2010)	73.9 (1900–2010)	0 (Mean) 0.1 0.2 0.3 0.4 0.5	7 7 8 9 11	1936–1942 1936–1942 1935–1942 1935–1942 1973–1981 1973–1983	7 8 10 10 10 10	1907–1913 1907–1914 1905–1914 1905–1914 1905–1914 1905–1914 1905–1914	
С		Reconstructed	Rainfall (1000	-2012)			
1100.0 (1900–2010)	73.9 (1900–2010)	0 (Mean) 0.1 0.2 0.3 0.4 0.5	7 9 12 12 12 12	1936–1942 1215–1223 1215–1223 1193–1204 1193–1204 1193–1204, 1212–1223	16 26 27 39 39 39	1499–1605, 1834–1849 1831–1856 1830–1856 1830–1868 1830–1868 1830–1868	
D Reconstructed Rainfall (1000–2012)							
1126.1 (1000–2012)	83.0 (1000–2012)	0 (Mean) 0.1 0.2 0.3 0.4 0.5	12 12 12 17 17	1193–1204 1193–1204 1193–1204 1117–1133 1117–1133 1206–1223	16 16 16 16 26 27	1834–1849 1834–1849 1834–1849 1589–1605, 1834–1849 1831–1856 1830–1856	

14. "Rework the conclusions to highlight the most important findings once additional discussion/analysis of non-stationarity and presentation of some model statistics shown in earlier sections"

AUTHOR RESPONSE:

We have added further text to the conclusion which acknowledges:

- The skill in the reconstruction (based on the added skill measures in Table 2)
- The agreement between our reconstruction and existing eastern Australian based reconstructions
- Mid-latitude processes in general, not just ECLs
- The nonstationarity in the LD_{SSS}-Williams River rainfall relationship
- 15. Page 12498, line 5 the referee would like to change the sentence "Results suggest that" to "the most important features of the study are".

AUTHOR RESPONSE: We have changed "Results suggests that this is due to...." to "*This is likely due to...*"

16. Page 12498, line 24 – the referee would like the sentence "and anywhere else with similar teleconnections with East Antarctica" reworded because "as presently written, it seems to indicate all 'answers' to the climate of regions that have apparent teleconnections with the Antarctic will be explained by those teleconnections alone (and hence that LDSSS will be representative of climate in any of those locations)."

AUTHOR RESPONSE:

Original sentence: "Fig. 3 (and Fig. 4a in Vance et al. (2015)) suggest that the same is true for most of eastern Australia, and anywhere else with similar teleconnections with East Antarctica."

The figures referred to in the sentence are focused on Australia and we acknowledge that in the text it is not clear that we are specifically referring to regions in Australia. As such we have updated the sentence to read: "*Fig. 3 (and Fig. 4a in Vance et al. (2015)) suggest that the same is true for most of eastern Australia and indeed may also be the case for other regions in Australia that are identified as (or yet to be identified as) having similar teleconnections with East Antarctica e.g. southwest Western Australia (van Ommen and Morgan, 2010).*"

17. Figure 3 – the referee requests the marker to be modified as it is barely visible

AUTHOR RESPONSE: The marker colour and size has been changed.

18. Figure 4 – the referee requests a mean/median line to be added

AUTHOR RESPONSE: A line indicating the mean of the reconstruction will be added to Figure 4.

19. Figure 5 – the referee requests the IPO positive phases to be overlain on the figure AUTHOR RESPONSE:

In considering the referee's comments we realise that we have not made it clear that the relationship between Williams River rainfall, LD_{SSS} and IPO phase is not as strong as that

identified between the focus region of Vance et al. (2015) and LD_{SSS} and hence, reconstruction skill is not as dependent on IPO phase. This is likely due to the additional, differing climatic influences on this region i.e. ECLs, orographic effect from Great Dividing Range, coastal impacts, SAM, STR. Note that we intend on providing additional discussion about these influences (see response to comment #6 above). As such we do not think it is appropriate to add the IPO reconstruction to Figure 5 as for this region IPO phase is not necessarily indicative of reconstruction skill. Instead we have added the instrumental IPO record to Figure 3f to graphically illustrate that decadal variability in the Williams River rainfall-LD_{SSS} relationship is not always tied to IPO phase shifts. We believe this, in addition to added statistics in Table 2, will provide further clarification as to the role of IPO in governing the strength of the relationship between LD_{SSS} and Williams River rainfall. It is also important to note that the causes of decadal variability in the relationship between LD_{SSS} & Williams River rainfall is an area of active research for our group, and we recognise the importance of further investigation into the mechanistic factors determining the decadal variability in the rainfall signal at Law Dome.

Referee #2 (Associate Professor Patrick Moss)

1. The referee requests further discussion on additional factors that could be impacting the link between Law Dome and rainfall variability in the Williams River catchment i.e. "the orographic influence and coastal location of the William River catchment."

AUTHOR RESPONSE:

Please see response to referee 1, comment #6 above.

2. Page 12486, line 5: Kiem et al. (2003) needs to be added to the reference list.

AUTHOR RESPONSE: This reference has now been added to the reference list.

3. Page 12488, line 22: Delete 'Williams River' from the sentence.

AUTHOR RESPONSE: 'Williams River' has been removed from the sentence as requested.

4. Page 12489, line 14: Rewrite or delete to improve sentence structure.

AUTHOR RESPONSE: This sentence has been removed in line with added discussion of climate mechanisms impacting the ESB (see response to comment #1).

5. Page 12495, lines 15 to 16: Delete brackets.

AUTHOR RESPONSE: The brackets have been deleted as requested.

6. Page 12496, line 3: Should read 20th Century (rather than 20 Century).
 AUTHOR RESPONSE: This has been updated as requested.

An ice core derived 1013-year catchment scale annual rainfall reconstruction in subtropical eastern Australia

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Abstract

Paleoclimate research indicates that the Australian instrumental climate record (~100 years-in Australia) does not cover the full range of hydroclimatic variability that is possible. To better understand the implications of this for-on_catchment-scale water resources management, an 1013-year (1000-2012 CE) annual rainfall reconstruction wasis produced for the Williams River catchment in coastal eastern Australia. No high resolution palaeoclimate proxies are located in the region and so a teleconnection between summer sea salt deposition recorded in ice cores from East Antarctica and rainfall variability in eastern Australia was exploited to reconstruct the catchment-scale rainfall1013 years of rainfall (AD 1000-2012) record. The reconstruction shows that significantly longer and more frequent wet and dry periods were experienced in the preinstrumental compared to the instrumental period. This suggests that existing drought and flood risk assessments underestimate the true risks due to the reliance on data and statistics obtained from only the instrumental record. This raises questions about the robustness of existing water security and flood protection measures and has serious implications for water resources management, infrastructure design, and catchment planning. The method used in this proof of concept study is transferable and enables similar insights into the true risk of flood/drought to be gained for other paleoclimate proxy poor regions for which suitable remote teleconnected proxies existlocations that are teleconnected

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to East Antarctica. This will lead to improved understanding and ability to deal with the impacts of multidecadal to centennial hydroclimatic variability.

1 Introduction

Water and catchment management systems (e.g. drought and flood plansmitigation strategies) and water resources infrastructure have traditionally been designed based on the trends, patterns and statistics revealed in relatively short instrumental climate records (i.e. for Australia usually less than 100 years of data recorded post-1900) (Verdon-Kidd and Kiem, 2010; Ho et al., 2014; Cosgrove and Loucks, 2015; Razavi et al., 2015). This is a concern as <u>Australian</u> paleoclimate research suggests that instrumental climate records are not representative of the true range of hydroclimatic variability possible (Verdon-Kidd and Kiem, 2010; Gallant and Gergis, 2011; Kiem and Verdon-Kidd, 2011; Ho et al., 2014; Ho et al., 2015a, b; Razavi et al., 2015; Vance et al., 2015). For example, paleoclimate archives show evidence of droughts of longer duration than the three major droughts that have Θ -affected eastern Australia over the instrumental period – the Federation drought (~1895-1902), World War II drought (~1937-1945) and Millennium or "Big Dry" drought (~1997 to 2009) (Gergis et al., 2012; Vance et al., 2013; Allen et al., 2015; Vance et al., 2015).

Sources for paleoclimate proxy data include tree rings, coral skeletons, ice cores, speleothems (cave deposits), sediments<u>and-or</u> documentary evidence (Ho et al., 2014). Ideally, the climate proxy archives are located in the region of interest <u>but (e.g. Sheppard et al., 2004; Cullen and Grierson, 2009; Allen et al., 2015; Oster et al., 2015). In</u> areas where proxy records are sparse or of low resolution, remote proxies are a viable alternative (Ho et al., 2014). Remote proxies exploit circulation teleconnections that link one region to another and are calibrated over the instrumental period, to develop paleoclimate reconstructions (e.g. rainfall, streamflow) for the target region (e.g. Verdon and Franks, 2007; McGowan et al., 2009; van Ommen and Morgan, 2010; Vance et al., 2013; Vance et al., 2015). <u>When using remote proxiesIn this case</u>_the assumption is that large-scale climate processes driving climate variability at the location of the paleoclimate proxy also drive a high proportion of climate variability at the region of interest, assuming long term stationarity (Gallant and Gergis, 2011). For example, van Ommen and Morgan (2010) identified a relationship between precipitation (snowfall) recorded in ice cores from coastal Antarctica and rainfall in southwest Western Australia over the instrumental period, inferring rainfall variability in the region for the past 750 years. Similarly, -Lough

(2011) found significant correlations between coral luminescence intensity recorded in coral cores from the Great Barrier Reef and summer rainfall variability in northeast Queensland which enabled t. The multi-century coral record tocould then be used to reconstruct Queensland summer rainfall back to the 18th century.

Another option is to use the link between large-scale ocean-atmospheric climate processes and climate variability in the region of interest to develop a paleoclimate reconstruction based on a paleoclimate proxy of the climate process. For example, McGowan et al. (2009) reconstructed annual inflows in the Murray River back to 1474 CE from a reconstruction of the Pacific Decadal Oscillation (PDO) based on the previously identified relationship between the PDO and streamflow in southeast Australia used the previously identified relationship between sea surface temperature (SST) anomalies in the Pacific Ocean, in this case represented by the Pacific Decadal Oscillation (PDO), and streamflow in south-eastern Australia (e.g. Power et al., 1999a; Power et al., 1999b; Kiem et al., 2003; Kiem and Franks, 2004; Verdon et al., 2004) to produce a reconstruction of annual inflows in the Murray River back to AD 1474. A similar approach was also followed by Verdon and Franks (2006, 2007) and Henley et al. (2011).

Vance et al. (2015) demonstrated that during the IPO negative phase there is a predominantly zonal pressure pattern across the high- to mid-latitudes which switches to a more meridional pattern in IPO positive. Folland et al. (2002) also found that during the IPO positive phase, the

mean position of the South Pacific Convergence Zone (SPCZ) (usually bounded by Samoa and Fiji) is displaced northeast. This northeast displacement is associated with a more meridional circulation pattern and enhances the link between eastern Australia and mid- to high-latitude climate variability and hence explains the stronger relationship between sea salt recorded at Law Dome and rainfall in eastern Australia during the IPO positive phase. Based on their reconstruction of the IPO, Vance et al. (2015) could therefore identify periods in time (i.e. positive IPO phases) where they had greater confidence in the rainfall reconstruction. A key finding from Vance et al. (2015) was the identification of a century of IPO positive aridity (AD 1102-1212 CE), including evidence of a 39 year drought in southeast Queensland, which is well outside the bounds of instrumental drought duration. This illustrates the importance of investigating climate variability over millennial time-scales, particularly in the Southern Hemisphere where many paleoclimate records only span the last two hundred to five hundred years (Neukom and Gergis, 2012). Indeed, it is evident that: (a) instrumental data are not long enough to allow for meaningful planning for climate variability; (b) paleodata, particularly at the millennial time-scale, offers an important insight into the climate beyond the instrumental period; and (c) there is a need to incorporate insights from paleodata into water resources planning and management.

Further work is also required to assess the robustness of the relationship between climate variability in East Antarctica, large-scale climate processes and eastern Australia, a region with limited local paleoclimate proxy data (Vance et al., 2013; Ho et al., 2014). Practical usefulness of the insights provided by the paleoclimate reconstructions for water resources management at the catchment scale also requires investigation. Therefore, the links between the Law Dome sea salt record, eastern Australian rainfall and the IPO are further explored in this paper through the development of a millennial length, annual resolution, catchment-scale rainfall reconstruction for the Williams River (WR) catchment (Fig. 1). The WR catchment is located on the eastern seaboard of New South Wales, east of the Great Dividing Range (Fig. 1). The eastern seaboard contains about half of Australia's population, and a proportionate amount of economic infrastructure and activity. The region has hydroclimate features that are distinct from the rest of Australia (e.g. Verdon and Franks, 2005; Timbal, 2010) and lacksno local, high resolution paleoclimate proxies (Ho et al., 2014). This means there is significant vulnerability, uncertainty and knowledge gaps relating to flood and drought risk in eastern Australia. This recognition has recently motivated the development of the Eastern Seaboard Climate Change Initiative (ESCCI)₂. ESCCI is a government funded initiative to better understand the causes

and impacts of current and future climate related risk in eastern Australia (http://www.climatechange.environment.nsw.gov.au/About-climate-change-in-

<u>NSW/Evidence-of-climate-change/Eastern-seaboard-climate-change-initiative</u>). The WR catchment is of particular regional importance because it forms part of the conjunctive-use headworks scheme for potable water supply to ~600,000 people in Newcastle, the sixth largest residential region in Australia (Kiem and Franks, 2004; Mortazavi-Naeini et al., 2015).

In the following sections we present a description of the WR catchment location and relevant climate data, including a discussion of the link between Law Dome, East Antarctica and eastern Australia. We proceed with an investigation into the relationship between summer sea salts from Law Dome and rainfall in the WR catchment and follow with the development of a 1013_ year catchment-scale rainfall reconstruction for the WR-(based on the Law Dome sea salt record) and discussion of the insights and implications emerging from this rainfall reconstruction.

2 Rainfall variability in the Williams River catchment

For the calibration data in this study we used daily 5 x 5 km gridded rainfall data obtained from the Australian Water Availability Project (AWAP) (Jones et al., 2009) for the period 1900-2010. The AWAP grid<u>-cells</u> overlapping the WR catchment were extracted and used to calculate catchment average monthly rainfall totals for the WR catchment. Due to known biases and uncertainty associated with gridded climate data (e.g. Tozer et al., 2012), the AWAP-based information was ground-truthed with data from a high quality (Lavery et al., 1997) rainfall gauge (61010) located within the WR catchment. Figure 2 shows the mean and standard deviation of monthly rainfall recorded at the 61010 gauge and for the AWAP catchment average. The highest and most variable rainfall in the WR catchment is received from December to May (summer and autumn) (Figure 2) and the hydrological water year for the WR catchment is therefore defined as October to September in order to encompass this high rainfall period (pers. <u>c</u>Gomm., Brendan Berghout, Senior Water Resources Engineer, Hunter Water Commission).

Rainfall variability in the WR catchment is influenced by the Great Dividing Range to the west (Figure 1) which provides orographic enhancement and the Tasman Sea to the east which brings moisture to the region (Pepler et al., 2014). Sthe WR catchment is subject to synoptic scale influences known as East Coast Lows (ECLs), marine or continental low pressure systems

which tend to develop in the Tasman Sea, that-are responsible for much of the extreme weather (e.g. heavy rainfall, high winds) recorded in eastern New South Wales (Speer et al., 2009; Browning and Goodwin, 2013; Pepler et al., 2014b; Ji et al., 2015; Kiem et al., 2015; Twomey and Kiem, 2015b, a). Indeed, ECLs have been found to contribute 20-30% of annual rainfall in the WR region (Pepler et al., 2014a). In addition to these local influences associated with several large-scale ocean-atmospheric processes influence rainfall in the WR catchment (e.g. Kiem and Franks, 2001, 2004; Risbey et al., 2009). The El Niño Southern Oscillation (ENSO) and IPO have been related to interannual to multidecadal variability in both WR rainfall and runoff (Kiem and Franks, 2001, 2004). Drier (wetter) catchment conditions typically occur during El Niño (La Niña) events and the IPO modulates both the frequency and magnitude of ENSO impacts such that drought risk is increased during IPO positive phases and flood risk is increased during IPO negative phases (Kiem and Franks, 2001; Kiem et al., 2003; Kiem and Franks, 2004; Kiem and Verdon-Kidd, 2013). (Risbey et al., 2009) Indian Ocean SSTs are also known to influence eastern Australian rainfall during winter and spring (Verdon and Franks, 2005; Risbey et al., 2009).

(e.g. Verdon and Franks, 2005); Risbey et al. (2009); (Timbal, 2010; Pepler et al., 2014a)Climate mechanisms stemming from the Indian Ocean (Verdon and Franks, 2005; Gallant et al., 2012) In addition, the Subtropical Ridge (STR) and Southern Annular Mode (SAM) impact rainfall variability in the eastern seaboard (e.g. Risbey et al., 2009; Ho et al., 2012; Whan et al., 2013). A positive SAM phase has been related to increased daily rainfall in summer and spring (Hendon et al., 2007; Risbey et al., 2009) while variability in the position of the STR is significantly correlated with rainfall in the eastern seaboard. That is, a shift south of the STR is associated with increased rainfall in the region (Timbal, 2010; Whan et al., 2013). Variability in the intensity of the STR is also related to rainfall variability in the eastern seaboard though to a lesser extent than variability in the STR position (Timbal, 2010).

-and mid to high latitudes (e.g. blocking (Risbey et al., 2009), the Subtropical Ridge (e.g. Timbal and Drosdowsky, 2013; Whan et al., 2013) and the Southern Annular Mode (SAM) (e.g. Meneghini et al., 2007; Ho et al., 2012)), have also been found to be associated with hydroclimatic variability in the study region. In addition, the WR eatchment is subject to synoptic scale influences known as East Coast Lows (ECLs), marine or continental low pressure systems that are responsible for much of the extreme weather (e.g. heavy rainfall, high winds) recorded in eastern New South Wales (Speer et al., 2009; Browning and Goodwin, 2013; Pepler et al., 2014b; Ji et al., 2015; Kiem et al., 2015; Twomey and Kiem, 2015b, a).

3 The Law Dome-eastern Australia rainfall proxy

3.1 Law Dome ice core site details

Law Dome is a small, coastal icecap located in Wilkes Land, East Antarctica (Figure 1) and the site of the .- The primary ice core site, "Dome Summit South" (DSS) ice core which spans around is located at 66° 46' 11" S, 112° 48' 25" E, elevation 1,370 m, 4.7 km SSW of the summit (Morgan et al., 1997). The main DSS core was drilled in 1987-1993 and is 1370 m long spanning-90,000 plus years (Roberts et al., 2015). DSS has high annual snowfall of around 0.63 m (water equivalent) which .- This high snowfall allows for a monthly resolution record in the upper portion of the core the sampling of the seasonal variation in snow chemistry in the upper of the core and hence highly accurate dating (van Ommen and Morgan, 1997; Vance et al., 2013; Roberts et al., 2015). The ice core was dated by counting annual layers with known volcanic horizons used to establish dating accuracy (Plummer et al., 2012).

The Law Dome summer (December March) sea salt (LD_{SSS}) record was developed from a 2000 year volcanic dating study from the Law Dome ice core (Plummer et al., 2012). Plummer et al. (2012) used independent annual layer counting to date the record and known volcanic horizons to establish dating accuracy. As a result, the Law Dome record was dated with absolute accuracy from AD 1807-2009 CE and with ±1 year error from AD 894-1807 CE. The sea salt record used here was produced via-using trace ion chromatography from 2.5-5 cm sub-samples of the ice cores (Curran et al., 1998; Palmer et al., 2001). The Law Dome summer (December-March) sea salt (LD_{SSS}) was extracted from the full record and used by Vance et al. (2013) and Vance et al. (2015) as a rainfall proxy for eastern Australia. -Here we use a slightly extended the 1010 year-LD_{SSS} record of Vance et al. (2013) and Vance et al. (2015).

3.2 The link between sea salt deposition at Law Dome and large-scale oceanatmospheric processes

The climate signals recorded in the Law Dome ice core are driven by large-scale oceanatmospheric processes rather than local factors (Bromwich, 1988; Delmotte et al., 2000; Masson-Delmotte et al., 2003; Vance et al., 2013). The southern Indian Ocean is the main source of moisture delivered to Law Dome (Delmotte et al., 2000; Masson-Delmotte et al., 2003) and sea salt deposition is related to the mid-latitude westerly winds (associated with the SAM) in the Indian and Pacific sectors of the Southern Ocean (Goodwin et al., 2004; Vance et al., 2015). Seasonal to annual scale SST anomalies in the It is thought that the SST anomalies in the central western equatorial Pacific are known to associated with ENSO propagate to high southern latitudes via Rossby wave activity (Karoly, 1989; Mo and Higgins, 1998; Ding et al., 2012). The resulting circumpolar geopotential height and zonal wind anomalies influence the SAM (L'Heureux and Thompson, 2006), and ultimately deliver sea salt aerosols to coastal Antarctica (Vance et al., 2013). Indeed, Vance et al. (2013) found a significant correlation between ENSO-related SST variability in the central-western equatorial Pacific and LD_{SSS}, with low summer sea salt years associated with El Niño events over the period 1889-2009. Furthermore, spectral analysis of the 1010 year LD_{SSS} record found significant (p < 0.01) spectral features in the 2-7 year ENSO band. Similar to the LD_{SSS} rainfall proxy discussed previously, the LD_{SSS} ENSO proxy varies decadally, coherent with the IPO, with a stronger relationship during IPO positive phases (Vance et al., 2013; Vance et al., 2015).

It is thus clear that the ocean-atmospheric processes associated with sea salt deposition at Law Dome (e.g. IPO, ENSO, SAM and variability in <u>the</u> Indian Ocean-<u>SSTs</u>) are the same as those that also influence rainfall variability in the WR catchment (discussed in Sect. 2). We can therefore expect LD_{SSS} variability to explain some variability in the rainfall recorded in the WR catchment.

4 Investigating the relationship between LD_{SSS} and rainfall in the Williams River catchment

Vance et al. (2013) found a relationship between LD_{SSS} and the prior January-December rainfall west of the Great Dividing Range (see Figure 1). <u>TAs</u> the region of interest in this study is further south and east of the Great Dividing Range <u>so</u> we needed to re-evaluate if this temporal offset was appropriate. <u>This re-evaluation was via a damped least-squares regression</u> between AWAP grid-cell data and the LD_{SSS} record using the Marquardt-Levenberg method, a method capable of multi-variate and non-linear regression. Although it was only used for univariate linear regression here, the method was selected for compatibility with planned future work. FTo do this, for every AWAP grid-cell in New South Wales we performed linear least squares regression (using the Marquardt Levenberg method) between the LD_{SSS} record and 12 month averaged rainfall over a 24 month lead/lag window range centred about the summer sea salt period (December-March). The regression coefficients for each lead/lag were used to

generate an estimated <u>spatial</u> rainfall time-series-for each grid-cell. The Pearson correlation <u>coeffient</u> between the estimated rainfall and AWAP rainfall for each grid-cell was then assessed for each lead/lag. This process allowed us to determine the seasonal window for rainfall that optimised the WR rainfall-LD_{SSS} relationship in order to optimise the utility of the LD_{SSS} record.

From the lead/lag analysis_a October-September and November-October annual rainfall in the region encompassing the WR catchment was found to have the highest and most spatially coherent relationship with LD_{SSS}. We present the October-September rainfall/LD_{SSS} correlations (Figure 3) as this period also corresponds to the water year in the Newcastle region (discussed in Sect. 2) and hence all further analysis is based on the 12 month rainfall totals calculated from October-September.

Figure 3 shows <u>spatial maps of</u>-the magnitude of the correlations between October-September WR rainfall and LD_{SSS} for the 1900-2010 (i.e. October 1900-September 2010) period as well as subsets for the different IPO phases. For comparison Figure 3a-e are inset with maps for the January-December rainfall/LD_{SSS} correlations, the analysis period used in Vance et al. (2013) and Vance et al. (2015). Figure 3f indicates the 13 year moving window correlations between LD_{SSS} and October-September for rainfall recorded at gauge 61010 and the AWAP WR catchment average <u>in order</u> to identify low frequency variability associated with the IPO (Vance et al., 2015). The Pearson correlation <u>coefficients</u> with bootstrap confidence intervals (Mudelsee, 2003) between LD_{SSS} and October-September annual rainfall recorded at gauge 61010 and the AWAP WR catchment average for the full record and IPO phases are presented in Table 1. The significance of the relationships are confirmed using bootstrap confidence intervals based on the method of Mudelsee (2003).

The insets of Figure-s 3a-e reveal low correlations in the WR catchment region. The highest correlations occur in inland New South Wales and into southeast Queensland, the focus region of Vance et al. (2013) and Vance et al. (2015). However, when the correlation is aligned with the WR catchment water year (October-September) we see a shift in the region of significant correlation (Figure 3a) to coastal New South Wales and in particular, large parts of the eastern seaboard. Importantly, correlations significant at the 99% level are seen over the WR catchment region. Rainfall at gauge 61010 and AWAP catchment average show significant correlations with LD_{SSS} (Pearson correlation <u>coefficients</u> of 0.29 and 0.28 respectively) over the 1900-2010 period (Table 1).

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As expected, based on the results of Vance et al. (2013) and Vance et al. (2015) (discussed in Sect. 3), the strength of the correlation between October-September rainfall and LD_{SSS} varies decadally. Figure 3b_-and-c indicate that the relationship between the variables is stronger during the IPO positive phases relative to the negative phase. Figure 3d_<u>e</u> and the results in Table 1, however, suggest that although the relationship between October-September rainfall and LD_{SSS} is stronger in IPO positive phase, this increase in strength relative to IPO negative and the full record (1900-2010) is primarily due to the very high correlation in the second IPO positive phase (1979-1997). In fact, the correlation between rainfall recorded at gauge 61010 and LD_{SSS} during the IPO negative phase is greater than the correlation in the first IPO positive phase (Table 1). Figure 3f further highlights that an increase (decrease) in the strength of the LD_{SSS}-WR rainfall relationship is not always synchronous with IPO positive (negative) phases.

TOn the surface this result appears to be in contrast to Vance et al. (2013) and Vance et al. (2015) who found a clear link between IPO phase and LD_{SSS--}/January-December rainfall in southeast Queensland and northeast New South Wales (west of the Great Dividing Range). That is, the correlation between these variables was poornear zero during the whole-IPO negative phase, yet was significant for both HO-positive phases. Vance et al. (2013) and Vance et al. (2015) used calendar year rainfall as opposed to a more catchment specific analysis period used in this study. Another key difference is that the focus region here is further south, on the coast and under the orographic influence of the Great Dividing RangeA key difference between this study and Vance et al. (2013) and Vance et al. (2015) is that the focus region is further south, on the coast and under the orographic influence of the Great Dividing Range. Furthermore, aAlthough_ interdecadal and interannual tropical Pacific Ocean variability (e.g. ENSO and IPO) has been found to impact the whole of Australia at various times of the year (e.g. Power et al., 1999a; Risbey et al., 2009), the amount of rainfall variability explained by these processes reduces to the further south while the region of interest is located (Risbey et al., 2009) and climate mechanisms stemming from the mid- to high-latitudes (e.g. SAM and the STR, discussed in Sect. 2) (e.g. Meneghini et al., 2007; Kiem and Verdon-Kidd, 2009, 2010; Ho et al., 2012; Timbal and Drosdowsky, 2013; Whan et al., 2013)-increase their influence on rainfall variability (Risbey et al., 2009).

In addition, aAs mentioned_previously, around one quarter of annual rainfall received in the <u>WR catchment results from ECLs</u> (Pepler et al., 2014a)_<u>the eastern seaboard is also subject to</u> synoptic scale intense weather systems like ECLs. In 1950 and 1955 the Newcastle region experienced severe ECLs that resulted in heavy rainfall and severe floods_as a result of severe

ECLs (Callaghan and Helman, 2008; Callaghan and Power, 2014) and indeed eastern Australia in general was subject to an increase in intense storm activity between the 1940s and 1970s (Callaghan and Power, 2011; Browning and Goodwin, 2015). The relationship between LD_{SSS} and rainfall in the WR catchment could not be expected to hold during these short duration but intense local-scale weather events and remote proxy records in general are usually incapable of resolving events like these. As such, this period of elevated intense storm activity ECL activity identified between the 1940s and 1970s (e.g. Callaghan and Power, 2011; Browning and Goodwin, 2015) may largely explains the marked reduction (and change of sign) in the correlation between LD_{SSS} and rainfall in the WR catchment in the early 1950s (Figure 3f). ECL variability has been related to the IPO, with Speer (2008) finding that during the second IPO positive phase (i.e. 1979-1997) there was a decrease in ECLs relative to IPO negative. This would correspond to a reduction in ECL-related rainfall over New South Wales in the most recent IPO positive phase and is further evidence that these short duration, chaotic events ECLs affect the relationship between LD_{SSS} and rainfall in the WR catchment.

Indeed, a better understanding of the role of ECLs and also the relative influence of ENSO, IOD, SAM, STR and other large-scale processes on rainfall in the WR catchment (as is currently being investigated as part of the ESCCI project) will undoubtedly improve our understanding of the variability in the strength of the LD_{SSS}-WR rainfall teleconnection. It should also be noted that one of the key difficulties in understanding the non-stationarity in the climate of the Southern Hemisphere is the lack of quality atmospheric/oceanic data in the Southern Ocean in the pre-1979 satellite era, particularly in the Indian/West Pacific sector. It is likely that more high resolution ice core records from the Indian Ocean sector of East Antarctica will assist in filling this data gap (Vance et al., 2016). Underpinning the above issue is that variability in the Australian climate record can be up to centennial scale which cannot be resolved using relatively short instrumental datasets (Gallant et al., 2013). Ultimately, for the purposes of this initial reconstruction, we have assumed stationarity in the LD_{SSS}-Williams River rainfall relationship.

While a better understanding of the role of ECLs (and other synoptic scale weather processes) may improve our understanding of the variability in the strength of the LD_{SSS} and WR rainfall teleconnection (particularly in the IPO negative phases which appear to favour increased ECL activity and 'storminess' (Callaghan and Helman, 2008; Callaghan and Power, 2011; Kiem and Verdon-Kidd, 2013; Browning and Goodwin, 2015)), the relationship between LD_{SSS} and WR

rainfall is significant and hence LD_{SSS} variability can be used to provide insights into preinstrumental rainfall variability in the WR catchment (see Sect. 5).

5 Reconstructing rainfall in the Williams River catchment

5.1 Development of the Williams River rainfall reconstruction

The linear regression coefficients determined for the <u>full 1900-2010</u> instrumental calibration period (Sect. 4) were applied to the AD 1000-2012 CE LD_{SSS} data to produce 1013 years of rainfall data for each AWAP grid-cell in the WR catchment. This grid-cell data was then spatially averaged to produce a WR catchment average rainfall reconstruction time-series.

5.2 Comparing the catchment average rainfall reconstruction with instrumental (AWAP) data

A comparison between the AWAP catchment average and reconstructed WR catchment rainfall over the instrumental period (1900-2010) is presented in Figure 4. The instrumental mean and pattern of peaks and troughs in the recorded rainfall is well represented in the reconstruction but the range of variability is underestimated. While the magnitude of the extremes is important, the key focus is that the reconstruction captures the duration and timing of wet and dry periods. The thinking behind this is that a short, but extreme (in terms of rainfall deficit) drought, for example, will have less severe implications on water security in a catchment than a drought of long duration with consistently below average (but not necessarily extremely below average) rainfall. Encouragingly, periods post-1900 that are known to be associated with droughts and flooding in the WR catchment are identified in the reconstruction e.g. the World War II drought in the late 1930s, the Millennium drought in the 1990s to 2000s, and the flood dominated 1950s and 1960s (e.g. Verdon-Kidd and Kiem, 2009; Gallant et al., 2012; Callaghan and Power, 2014).

The rainfall reconstruction captures around 10% of the rainfall variability in the WR catchment (Table 1) for the full 1900-2010 instrumental period (Table 1). In terms of IPO phases, it is clear that the reconstruction is in better agreement with the instrumental record for the most recent IPO positive phase (1979-1997) relative to the first IPO positive phase and the IPO negative phase. This is no surprise given the higher correlation between LD_{SSS} and Williams River rainfall in the recent IPO positive period i.e. LD_{SSS} variability captures around 40% of

the Williams River rainfall variability (Table 1). Influences on the stationarity of the LD_{SSS}-WR rainfall relationship were discussed in Sect. 4. The rainfall reconstruction captures around 10% of the rainfall variability in the WR catchment (Table 1). Nonetheless, as discussed above, there are periods when a stronger relationship between LD_{SSS} and rainfall in the WR catchment exist. For example, during the second IPO positive phase (1979-1997) the rainfall reconstruction captures around 40% of the WR rainfall variability (Table 1). Where peaks and troughs do not match, it may be related to the occurrence of short duration intense weather events such as the ECLs in the 1950s mentioned previously.

Table 2 presents the Root Mean Square Error (RMSE) and reduction in error (RE) between the rainfall reconstruction and 12 month average (October-September) rainfall recorded at gauge 61010 and the AWAP WR catchment average for the 1900-2010 period and IPO phases. An RE value greater than zero indicates that the reconstruction is skilful and has better predictive skill than climatology (Cook, 1992). While improved RMSE and RE statistics were recorded for the most recent IPO positive (1979-1997) relative to the first IPO positive and IPO negative phases, it is clear that the reconstruction has skill across the 1900-2010 instrumental period. For the full instrumental record, the reconstruction has an RMSE of around 25% of the annual instrumental rainfall with an RE value greater than zero.

Ultimately, while no paleoclimate proxy will ever be perfect, Figure 3, Figure 4 and Table 1 show that the LD_{SSS}-based rainfall reconstruction provides a useful indication of rainfall in the WR catchment over the instrumental period and hence can be used to gain insights into preinstrumental rainfall variability in the WR region.

5.3 A millennial rainfall reconstruction for the WR catchment

Figure 5 presents the 1013_-year rainfall reconstruction produced for the WR catchment. Encouragingly, periods post 1900 that are known to be associated with droughts and flooding in the WR catchment are identified in the reconstruction (e.g. the World War II drought in the late 1930s, the Millennium drought in the 1990s to 2000s, and the flood dominated 1950s (e.g. Verdon-Kidd and Kiem, 2009; Gallant et al., 2012; Callaghan and Power, 2014).-From the 10 year smoothed record it is evident that there have been multi-year periods of either above or below average rainfall. A multi-century dry period is evident from around AD 1100-1250 CE while two similarly persistent wet periods are seen from around AD 1400-1600 CE and 1800-1900 CE. The early dry period overlaps with a sustained warm period generally referred to as Field Code Changed

the Medieval Warm Period (~AD 950-1250). Though there is little published evidence that this period was a feature of the Australasian climate (Reeves et al., 2013), it appears to be a feature of a recently published Southern Hemisphere reconstruction (Neukom et al., 2014).

As mentioned previously, few rainfall proxy records exist in eastern Australia. Those that do tend to be outside of the Eastern Seaboard region in climate regimes that have significant differences, cover different time periods or are at varying (lower) resolutions, which limits the ability to compare them to the reconstruction provided here. However, broad commonalities can be discussed. Heinrich et al. (2009) developed a 154 year rainfall reconstruction for Brisbane, located near the northern boundary of the eastern seaboard, from red cedar tree-ring analysis. Since the record commences in 1854, which is within the instrumental period for the Brisbane region (Heinrich et al., 2009), the utility of this record for comparison here is limited. Nevertheless, the authors found drier periods in the 1880s, 1900-1920, most of 1940s and 1990s and wetter periods in the 1860s, 1890s, 1930s and 1970s which fits with the results shown in Figure 5 if the reconstruction is compared with the instrumental mean as opposed to the full 1000-2012 mean. Although not a rainfall reconstruction, an aridity index of wet and dry periods is available based on speleothems from the Wombeyan Caves, located in the Sydney region (i.e. within the eastern seaboard) for the 749 BCE to 2001 CE period (McDonald, 2005; McDonald et al., 2009; McDonald et al., 2013). Dry epochs evident in the Wombeyan aridity index, for the period where it overlaps with the WR reconstruction, include late-1100s, around 1500, mid-1700s, and early-1900s which is consistent with the WR reconstruction illustrated in Figure 5. Similarly, the Wombeyan record indicates epochs that were "not dry" include the early-1400s, 1510-1600, early-1700s, and late-1800s, which is again consistent with the results presented in Figure 5 (McDonald, 2005; McDonald et al., 2009; McDonald et al., 2013; Ho et al., 2015a, b).

In addition, Gergis et al. (2012) produced a multi-proxy based annual rainfall reconstruction for a broad southeast portion of Australia for the 1783-1988 CE period, finding the 20th century to be drier during th<u>eir ~200 year analysis is time</u>-period. Similarly, Figure 5 shows that the recent era (1900-present) is relatively dry in the post-1783 time period and also in the context of the last 1000 years, though it is not unprecedented. Gergis et al. (2012)For the 1685-1981 CE period, Lough (2011) found drier and less variable summer rainfall in far northeast Queensland between 1760-1850 and a tendency for a wetter climate from the mid-1850s to 1900 from their coral based rainfall proxy. Likewise, the reconstruction shown here tends to

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indicate a drier period post-1700, switching to a wetter regime from the late-1700s to the beginning of the 20th century. Ho et al. (2015a, 2015b)

Ultimately we find good agreement with existing nearby rainfall reconstructions. This further validates the rainfall reconstruction presented here particularly in light of previously identified concerns with comparing it to other reconstructions in the eastern Australia region.

In the context of the last 1000 years, Figure 5 shows that the recent era (1900 present) is relatively dry and less variable. The 10 year moving average rarely exceeds the long term 1013 year average, even in the 1950-1970 period which was associated with multiple significant flood events across eastern Australia (Kiem et al., 2003; Kiem and Verdon-Kidd, 2013; Callaghan and Power, 2014). While not in the same region as the WR catchment, other nearby shorter proxy records also suggest that the 20 century has been relatively dry (Gallant and Gergis, 2011; Gergis et al., 2012)-

5.4 Implications for water resources management

While Figure 5 gives insights into periods of above and below average rainfall, of particular interest for hydrological studies and water resources management is not just whether a year or sequence of years is above or below the long term average but whether a multiyear or multidecadal epoch is generally wet or dry even though some years within that epoch may be slightly below or above the long term average. For example, a year that is only 0.1 standard deviations above the average probably-is unlikely towill not_provide enough rainfall to break a drought or fill reservoirs. To account for this we define 'wet' and 'dry' years as (Eq. 1):

 $wet = years where rainfall > mean - x \times standard deviation$ (1)

dry = years where $rainfall < mean + x \times standard$ deviation

For example, for a standard deviation of 0.1 (and annual reconstruction average of 1100 mm), the range is 1092.6-1107.4 mm. That is, a wet year will be defined as any year with annual rainfall greater than 1092.6 mm and a dry year as any year with annual rainfall less than 1107.4 mm. Some years will be defined as both 'wet' and 'dry' but this methodology avoids a situation where a consistently wet or dry period is broken by a single year that is slightly below (or above) the mean.

Table 2<u>Table 3</u> compares the persistence of the longest above and below average rainfall periods (x = 0 in Eq. 1), and 'wet/dry' periods (x = 0.1, 0.2, 0.3, 0.4, 0.5 in Eq. 1), in the AWAP catchment average rainfall and the reconstruction. Note that the time periods identified in Table

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Figure 6 shows the duration of above and below average rainfall periods during each century since AD-1000 CE (and also for the whole 1013 year reconstruction period). To easily visualise the results, Figure 6 combines all durations > 15 years (information on all durations is included Table S1 in the Supplementary Material). Figure 6 clearly shows that (a) some centuries are drier (more pink) than others (more blue) and (b) the most recent complete century (1900-1999), where the majority of our instrumental record comes from, is not representative of either the duration or frequency of periods of above <u>or below</u> average rainfall experienced pre-1900.

While the results in Figure 6 are important, of greater interest is the identification of the persistencet of wet or dry periods that were dry (or wet) overall even though some years within the otherwise dry (wet) regime were slightly wetter (drier) than average. Table 2 Table 3 shows that using the threshold approach outlined in Eq. 1 does not noticeably change the duration of the longest wet or dry periods in the instrumental period. However, when dry and wet epochs (relative to the 1100.0 mm instrumental mean (1100.0 mm) are defined and using a mid-range standard deviation threshold of (x = 0.3)) (which is mid-range in the context of the 0.1-0.5 range of standard deviation thresholds assessed) are extracted from the preinstrumental reconstruction (Table 2 Table 3, row 3 part C) the longest dry epochs persist for up to 12 years instead of a maximum of 8 years post-1900 while wet epochs have lasted almost five times as long (maximum of 39 years preinstrumental compared to a maximum of 8 years in the instrumental compared to a maximum of 8 years in the instrumental period). A ssimilar is result is observed seen if the long term (1000-2012)full reconstruction mean (1126.1 mm) is used to indicate wet or dry (Table 2 Table 3, row 3 part D), with both the dry and wet epochs persisting up to twice as long in the preinstrumental compared

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to as they have in the instrumental period. Figure 7 (and the associated Table S2 and Table S3 in the Supplementary Material) further illustrates this point (and the points made in relation to Figure 6) by clearly showing that the proportion, magnitude, frequency andor-duration of wet/dry epochs in the instrumental period (1900-1999) is not representative of either the overall situation throughout the last 1000 years or the situation in any century pre-1900. Also of interest is that some centuries tend to have short dry periods compared with long dry periods and vice-versa (e.g. the 15th and 16th century (Figure 7e and Figure 7f) compared to the 12th and 13th century (Figure 7b and Figure 7c)). The same can be said for wet periods. The variation in the distribution of dry/wet period duration between centuries further suggests that water resources management and planning based on the statistics of 100 years of data (or less) is problematic.

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6 Conclusions

This study produced a 1013-year rainfall reconstruction for the WR catchment, a location without any local paleoclimate proxies. The strength of the relationship between LD_{SSS} and annual WR rainfall was found to vary decadally but, unlike Vance et al. (2013) and Vance et al. (2015), was not always coherent with the IPO. <u>This is likelyResults suggest that this is</u> due to the different climate regime that the coastal WR catchment is subject to (e.g. likely more influence from mid-latitude processes) compared to the previous studies which were located further north and predominantly west of the Great Dividing Range. The WR catchment is <u>also</u> strongly influenced by local-scale coastal storms such as ECLs which may provide an<u>and this is the likely</u> explanation for the different relationship to the IPO, as well as the breakdown in the East Antarctic-WR teleconnection in periods associated with increased ECL activity (e.g. the 1950s).

Despite this the acknowledged nonstationarity in the relationship limitation (which is being addressed further investigated in ongoing research) the relationship between LD_{SSS} and rainfall in the WR catchment is significant over the full instrumental 1900-2010 calibration period and indeed the reconstruction shows skill across this period. The reconstruction was found to agree well with identified dry/wet periods in other rainfall reconstructions in the eastern Australia region providing further validation. Ultimately, tThe LD_{SSS}-based reconstruction clearly shows that the instrumental period (~1900-2010) is not representative of the proportion, magnitude, frequency or duration of wet/dry epochs in any century in the preinstrumental era. This is

consistent with recent independent studies focussed on Tasmania (Allen et al., 2015) and the Murray-Darling Basin (Ho et al., 2015a, b).

These findings provide compelling evidence to support the conclusion that existing hydroclimatic risk assessment and associated water resources management, infrastructure design, and catchment planning in the WR catchment is flawed given the reliance on drought and flood statistics derived from post-1900 information. Figure 3 (and Fig. 4a in Vance et al. (2015)) suggest that the same is true for most of eastern Australia, and indeed may also be the case for other regions in Australia that are identified as (or yet to be identified as) having anywhere else with similar teleconnections with East Antarctica e.g. southwest Western Australia (van Ommen and Morgan, 2010). Therefore, the robustness of existing flood and drought risk quantification and management in eastern Australia is questionable and, the insights from paleoclimate data need to be incorporated into catchment planning and management frameworks, especially given the multidecadal and centennial hydroclimatic variability demonstrated in this study.

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Table 1. Pearson correlation values between LD_{SSS} and 12 month average (October-September) rainfall recorded at gauge 61010 and the AWAP WR catchment average for the 1900-2010 period and IPO phases. Bootstrap 95% confidence intervals are also indicated (Mudelsee, 2003). Bold values are significant at 95%.

Time Period	61010	AWAP catchment average
Full record (1900-2010)	0.29 [0.12 - 0.45]	0.28 [0.10 - 0.44]
IPO positive (1924-1941, 1979-1997)	0.47 [0.23 – 0.66]	0.55 [0.31 – 0.73]
IPO positive (1924-1941)	0.33 [0.01 – 0.59]	0.34 [-0.11 – 0.68]
IPO positive (1979-1997)	0.59 [0.23 – 0.81]	0.67 [0.44 – 0.82]
IPO negative (1947-1975)	0.37 [0.13 – 0.57]	0.32 [0.06 – 0.54]

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fean (mm) used to determine wet/dry	Std. Dev. (mm) used to determine wet/dry	x value used to determine wet/dry (Threshold = Mean \pm x*Std. Dev.)	Duration of longest DRY period (years)	DRY period	Duration of longest WET period (years)	WET period
А		AWAP catchment	average rainfall	(1900-2010)		
1100.0 (1900-	264.6	0 (Mean)	8	1935-1942	5	1927-1931
2010)	(1900-2010)	0.1	8	1935-1942	8	1925-1932
	-	0.2	8	1935-1942	8	1925-1932
	_	0.3	8	1935-1942	8	1925-1932
	-	0.4	8	1935-1942	9	1948-1956
	-	0.5	9	1979-1987	9	1924-1932, 1948-1956, 1971-1979
В		Reconstructed	Rainfall (1900-	-2010)		
1100.0	73.9	0 (Mean)	7	1936-1942	7	1907-1913
(1900-2010)	(1900-2010)	0.1	7	1936-1942	8	1907-1914
	-	0.2	8	1935-1942	10	1905-1914
	_	0.3	8	1935-1942	10	1905-1914
	_	0.4	9	1973-1981	10	1905-1914
		0.5	11	1973-1983	10	1905-1914
С		Reconstructed	Rainfall (1000-	-2012)		
1100.0	73.9	0 (Mean)	7	1936-1942	16	1499-1605, 1834-1849
(1)00-2010)	(1900-2010)	0.1	9	1215-1223	26	1831-1856
	-	0.2	9	1215-1223	27	1830-1856
	_	0.3	12	1193-1204	39	1830-1868
	-	0.4	12	1193-1204	39	1830-1868
		0.5	12	1193-1204, 1212-1223	39	1830-1868
D		Reconstructed	Rainfall (1000-	<u>-2012)</u>		
1126.1	83.0	0 (Mean)	12	1193-1204	16	1834-1849
(1000-2012)	(1000-2012)	0.1	12	1193-1204	16	1834-1849
	-	0.2	12	1193-1204	16	1834-1849
	-	0.3	17	1117-1133	16	1589-1605, 1834-1849
	-	0.4	17	1117-1133	26	1831-1856
		0.5	18	1206-1223	27	1830-1856

Table 3. Duration of longest dry and wet periods for the AWAP and reconstructed rainfall.



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Figure 1. Location of Law Dome in relation to Australia with insets indicating the Great Dividing Range, WR catchment boundary and the location of 61010 high quality rainfall gauge, Newcastle and Sydney.



Figure 2. <u>Annual-C</u>elimatology of WR catchment rainfall. <u>Shown is the mean and standard</u> deviation of monthly rainfall recorded at the 61010 gauge and for the AWAP catchment average.



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Figure 3. Correlations between (a) 12 month average (October-September) AWAP rainfall and LD_{SSS} for the 1900-2010 period with inset showing correlations between annual AWAP rainfall calculated from January-December and LD_{SSS} for 1900-2010 period, (b) as in (a) but for the combined IPO positive phases (1924-1941, 1979-1997), (c) as in (a) but for the IPO negative phase (1947-1975), (d) as in (a) but for the first IPO positive (1924-1941) phase (e) as in (a) but for the second IPO positive (1979-1997) phase and (f) 13 year moving window correlations between 12 month average (October-September) rainfall recorded at gauge 61010 and the AWAP WR catchment average and LD_{SSS} with shading indicating IPO positive (yellow) and IPO negative (purple) phases (red line shown indicates 13 year smoothed instrumental IPO record). Note that for (a) – (e) the star represents the location of the WR catchment centroid, dashed pink line shows 95% significance level, bold pink line shows 99% significance level.



Figure 4. Reconstructed (<u>thick_black</u>)<u>, and</u> AWAP (grey) WR catchment average rainfall<u>and</u> <u>reconstruction/AWAP mean (thin black</u>). Shading indicates IPO positive (yellow) and IPO negative (purple) phases.



Figure 5. WR catchment rainfall reconstruction (grey line), 10 year Gaussian smooth (bold black line), mean of the rainfall reconstruction for 1000-2012 period (red line) and 1900-2010 period (green line).



Figure 6. Histograms of duration of above (blue) and below (pink) average rainfall periods in each century since \overrightarrow{ADCE} 1000. a-j are centennial subsets and k is the \overrightarrow{ADCE} 1000-2012 period (note different axis scaling). Above/below average are defined using x = 0 in Eq. 1 (as per Table 2).



Figure 7. Histograms of duration of WET (blue) and DRY (pink) average periods during each century since <u>ADCE</u> 1000. a-j are centennial subsets and k is the <u>ADCE</u> 1000-2012 period (note different axis scaling). WET/DRY are defined using x = 0.3 in Eq. 1 (as per Table 2).