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**Coupled local facilitation and global hydrologic inhibition drive landscape geometry in a  
patterned peatland**

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38 **Abstract**

39 Self-organized landscape patterning can arise in response to multiple processes.  
40 Discriminating among alternative patterning mechanisms, particularly where experimental  
41 manipulations are untenable, requires process-based models. Previous modeling studies have  
42 attributed patterning in the Everglades (Florida, USA) to sediment redistribution and anisotropic  
43 soil hydraulic properties. In this work, we tested an alternate theory, the self-organizing canal  
44 (SOC) hypothesis, by developing a cellular automata model that simulates pattern evolution via  
45 local positive feedbacks (i.e., facilitation) coupled with a global negative feedback based on  
46 hydrology. The model is forced by global hydroperiod that drives stochastic transitions between  
47 two patch types: ridge (higher elevation) and slough (lower elevation). We evaluated model  
48 performance using multiple criteria based on six statistical and geostatistical properties observed  
49 in reference portions of the Everglades landscape: patch density, patch anisotropy,  
50 semivariogram ranges, power-law scaling of ridge areas, perimeter area fractal dimension, and  
51 characteristic pattern wavelength. Model results showed strong statistical agreement with  
52 reference landscapes, but only when anisotropically acting local facilitation was coupled with  
53 hydrologic global feedback, for which several plausible mechanisms exist. Critically, the model  
54 correctly generated fractal landscapes that had no characteristic pattern wavelength, supporting  
55 the invocation of global rather than scale-specific negative feedbacks.

56

57 **1. Introduction**

58           The structure and function of natural ecosystems are shaped by complex interactions  
59 between biotic and abiotic processes acting at different spatial scales. In resource-limited  
60 environments, these interactions can give rise to self-organized, patterned landscapes (Rietkerk  
61 and van de Koppel, 2008; Dyskin, 2007). Archetypal examples exist in arid and semi-arid  
62 ecosystems (Foti and Ramirez, 2013; Saco et al., 2007; Scanlon et al., 2007; Klausmeier, 1999;  
63 Mabbutt and Fanning, 1987) and peatlands (Prance and Schaller, 1982; Eppinga et al., 2009;  
64 Larsen and Harvey, 2011). These patterns range from regular mosaics with characteristic length  
65 scales to scale-free patterns exhibiting heavy tailed patch size distributions (von Hardenberg et  
66 al., 2010). While both types of patterns typically signify the resource limited nature of their  
67 respective environments, the primary biotic and/or abiotic processes that dictate the evolution of  
68 regular and scale-free landscapes are thought to be considerably different. Regardless of their  
69 driving mechanisms, patterned landscapes create ecological heterogeneity and thus help maintain  
70 biological diversity (Kolasa and Rollo, 1991) and productivity, and increase system resiliency  
71 (van de Koppel and Rietkerk, 2004). Given their reliance on a critical resource (e.g., water,  
72 nutrients or both), the presence of self-organized patterning also suggests that even subtle  
73 disturbances or environmental changes can lead to catastrophic shifts in ecosystem states (Kefi et  
74 al., 2007, 2011; Reitkerk et al., 2004). Therefore, understanding the mechanisms that govern the  
75 development and maintenance of landscape pattern is crucially important to conserving their  
76 ecological attributes, particularly as the exogenous drivers are disrupted by climate change and  
77 large-scale anthropogenic landscape modification.

78           The ridge-slough landscape in the Everglades (Florida, USA) is a patterned landscape in  
79 which two distinct vegetation communities, ridges and sloughs, comprise a self-organized

80 landscape mosaic (Fig. 1a). Ridge patches occupy higher soil elevations ( $\Delta z \sim 25$  cm in the best-  
81 conserved landscapes; Watts et al., 2010) and are dominated by the emergent sedge *Cladium*  
82 *jamaicense*, while sloughs contain a mix of submerged, floating leaved and emergent plants.  
83 One of the most striking features of the landscape is its clear anisotropic spatial structure, with  
84 ridge patches elongated in the direction of historic surface water flow (SCT, 2003; Larsen et al.,  
85 2007). Hydrologic modifications have led to the loss of this patterning over large areas of the  
86 historical ridge-slough landscape since the turn of the 20<sup>th</sup> century (Watts et al., 2010;  
87 Nungesser, 2011). Rapid conversion to randomly oriented, isotropic ridges, and even towards  
88 monotypic sawgrass or cattail (*Typha domingensis*) landscapes, is attributed mainly to  
89 compartmentalization and attendant hydrologic modification, along with agricultural nutrient  
90 enrichment (Wu et al., 2006; Light and Dineen, 1997; Gaiser et al., 2005).

91 Adverse ecological impacts associated with reduced slough extent and connectivity  
92 (SCT, 2003) have led to pattern maintenance and restoration being focal points for restoration  
93 planning and assessment. A prerequisite for successful ecological restoration efforts is a clear  
94 understanding of pattern genesis mechanisms and the time frame for that process to occur.  
95 Despite multiple plausible mechanisms, these feedbacks remain poorly understood (Cohen et al.,  
96 2011) principally because of difficulties associated with observation and experimental  
97 manipulation at large enough spatial and temporal scales, a constraint that focuses attention on  
98 models of pattern genesis and degradation.

99 Several hypotheses have been proposed to explain the ridge-slough patterning and have  
100 produced models that are capable of generating elongated patches. In all cases, pattern evolution  
101 in modeled landscapes responds to water flow (and flow direction) but it remains unclear which  
102 flow attributes and/or processes govern the process. Moreover, it has been shown elsewhere that

103 different combinations of processes may generate similar patterns (Eppinga et al., 2009), making  
104 inference of a single dominant mechanism challenging. Models of ridge-slough pattern genesis  
105 have invoked differential sediment transport (Larsen et al., 2011; Lago et al., 2010), nutrient  
106 redistribution (Ross et al., 2006), and biased subsurface flow induced by anisotropic hydraulic  
107 conductivity (Cheng et al., 2011) as driving mechanisms. Using a process based numerical  
108 model, Ross et al (2006) showed that differential evapotranspiration rates in higher elevation  
109 soils may lead to the concentration of dissolved nutrients (particularly phosphorus), suggesting  
110 that this nutrient redistribution, alone or in combination with sediment and nutrient transport,  
111 may generate the ridge-slough pattern in the Everglades. According to Larsen and Harvey (2010,  
112 2011) and Lago et al. (2010), ridge-slough like flow-parallel patterns develop as the  
113 heterogeneous flow regimes caused by vegetation resistance and local elevation differences  
114 recursively dictate differential peat accretion, sediment transport and erosion. Cheng et al (2011)  
115 incorporated anisotropic hydraulic conductivity in a scale-dependent feedback (advection-  
116 diffusion) model to demonstrate the evolution of flow-parallel, elongated vegetation bands.  
117 Crucially, however, all of these models generate pattern by coupling local positive feedbacks  
118 with a distal negative feedback at some intermediate distance imposed either explicitly (Cheng et  
119 al., 2011) or implicitly (e.g., erosion and sediment transport by flow, Larsen and Harvey, 2010,  
120 2011; Lago et al., 2010).

121 As we discuss below, although these models yield patches that are elongated in the  
122 direction of flow, the modeled landscapes lack several other critical pattern metrics unique to the  
123 conserved portion of the ridge-slough system. With the exception of Larsen and Harvey (2010,  
124 2011), all other models generate patterns that are strikingly regular and with a characteristic  
125 separation distance (e.g. Cheng et al., 2011), a property that appears to be absent in the

126 Everglades ridge-slough mosaic. Furthermore, our recent analyses (Casey et al., 2015) of the  
127 ridge-slough landscape have shown that the patches in this landscape are strongly scale-  
128 independent, suggesting that the negative feedback that stabilizes patch expansion in these  
129 landscapes is global, rather than a distal, scale-dependent mechanism.

130 An alternate explanation for ridge-slough patterning that includes a global negative  
131 feedback is the self-organizing canal (SOC) hypothesis (Cohen et al., 2011, Heffernan et al.,  
132 2013). In this conceptualization, spatially anisotropic patterning emerges from global constraints  
133 on patch (both ridges and sloughs) expansion created by feedbacks between landscape geometry,  
134 water flow, and hydroperiod, which controls peat accretion (and therefore affects landscape  
135 geometry). In short, the SOC hypothesis proposes that elongated patches develop as the  
136 landscape incrementally adjusts its spatial geometry (ridge density, size and shape) to optimize  
137 the discharge competence (i.e., ability to convey water, Heffernan et al., 2013; Kaplan et al.,  
138 2012; Cohen et al., 2011), and that this pattern may evolve without sediment or nutrient  
139 redistribution. Decrease in discharge competence primarily results from high ridge density but  
140 can be further intensified by reduced patch elongation (which lowers the probability of  
141 longitudinally connected sloughs). Both scenarios yield a global increase in hydroperiod for a  
142 given boundary flow (Kaplan et al., 2012). Increased hydroperiod, in turn, makes conditions  
143 more favorable for ridge-to-slough transitions, which decreases ridge density, lowering  
144 hydroperiod, and ultimately tuning landscape pattern to hydrology.

145 The core assumption in the SOC hypothesis is that patch elongation occurs because  
146 changes in patch density affect discharge competence anisotropically. Specifically, expansion of  
147 ridge patches parallel to flow has a neutral effect on discharge competence (and thus  
148 hydroperiod), while patch expansion orthogonal to flow has a strong negative effect on discharge

149 competence. Kaplan et al. (2012) demonstrated this feedback with a hydrodynamic model of  
150 surface water flow across randomly generated patterned landscapes of varying anisotropy,  
151 finding that hydroperiod decreased exponentially with increasing patch anisotropy. Using a  
152 patch-scale analytical model, Heffernan et al. (2013) demonstrated strong feedbacks between the  
153 soil elevation at any given location and an adjacent location perpendicular to flow, but no such  
154 feedback parallel to flow, lending support to the central mechanism of the SOC hypothesis.  
155 However, that analytical model was limited to two patches, where flow in one cell was directly  
156 controlled by flow in the only other cell. To further test the SOC hypothesis requires evaluating  
157 the potential for this mechanism to generate anisotropic patterning at the landscape scale.

158         In this study we implemented the local and global feedbacks described in the SOC  
159 hypothesis (Cohen et al., 2011; Heffernan et al., 2013) in a stochastic cellular automata  
160 framework to model temporal evolution of the ridge-slough pattern. Transition probabilities  
161 between ridge and slough states were driven by hydroperiod (a global negative feedback) and  
162 local facilitation. Both isotropic and anisotropic neighborhood kernels were implemented, and  
163 simulations were performed under different combinations of local facilitation strength and  
164 degree of anisotropy to investigate the role of each process in pattern development. Simulated  
165 ridge-slough landscapes were then compared to a suite of statistical and geostatistical  
166 characteristics that characterize the ridge-slough patterning observed in the best-conserved  
167 remnants of the Everglades.

## 168 **2. Methods**

### 169 **2.1. Hydrologic Model**

170         We first expanded the hydrodynamic modeling procedure outlined by Kaplan et al.  
171 (2012) to calculate hydroperiods for landscapes over a wide range of ridge-densities (%R) and

172 anisotropy values ( $e$ ). Steady-state flows were simulated for 960 synthetically derived ridge-  
173 slough landscapes with %R and  $e$  ranging from 10– 90% and 1.0 – 6.0 respectively using a  
174 spatially distributed numerical flow model (SWIFT2D) (Schaffranek, 2004). For each landscape,  
175 rating curves were created by applying a series of constant head boundary conditions (BCs) at  
176 the up- and downstream model domain boundaries, assuming uniform flow and no-flow BCs at  
177 the domain lateral boundaries. A 20-year (1992-2012) daily time-series of modeled flows (see  
178 Kaplan et al., 2012) was then used along with landscape-specific rating curves to calculate daily  
179 surface water elevations and resulting hydroperiod in each landscape. Finally, a polynomial  
180 function response-surface fitted to modeled data yielded a meta-model of hydroperiod (HP, the  
181 fraction of time a location is inundated) as a function of %R and  $e$  (Fig. 1b).

## 182 **2.2. Cellular Automata Model**

183 Our cellular automata (CA) model consists of two states: ridges and sloughs. While the  
184 natural system contains variations in these states (e.g., wet prairie communities that can persist in  
185 short hydroperiod sloughs; Zweig and Kitchens, 2008), they are likely transitional states between  
186 sloughs and ridges and were not included. Tree islands were likewise neglected in the CA model.  
187 While tree islands are critically important to the Everglades landscape, they represent only  
188 approximately 3% of the total landscape area, and their emergence and maintenance is thought to  
189 be controlled by different mechanisms than those explored here (Ross et al., 2006, Wetzel et al.,  
190 2009).

191 System states in the ridge-slough landscape are differentiated by two primary  
192 characteristics: vegetation and soil elevation (Watts et al., 2010). Our model assumes that when a  
193 cell transitions from one state to the other, vegetation and soil elevation attributes are updated  
194 immediately. The probabilities that govern transitions between states are dictated both by a



195 global feedback based on hydroperiod and a local facilitation effect of neighboring cells. A  
196 schematic of the model framework shows the recursive algorithm that generates landscape  
197 pattern (Fig. 2).

### 198 **2.2.1 Local Facilitation**

199 Local facilitation of patch expansion was modeled based on the similarity of neighboring  
200 cell states to the cell state under transition. That is, the probability of a cell changing state is  
201 locally controlled by the neighborhood of adjacent cells. Ecologically, these effects may arise  
202 due to plant propagation (vegetative and reproductive), changes in primary production at patch  
203 edges that change peat accretion rates, and potential abiotic factors, such as nutrient and  
204 sediment transport mediated by flow (Ross et al., 2006; Larsen et al., 2011). Local facilitation,  $\lambda$ ,  
205 ranges from 0 to 1, and decreases exponentially with distance ( $d$ ), such that:

$$206 \quad \lambda = \frac{\sum (\exp(-k_j d_j) x_j)}{\sum \exp(-k_j d_j)} \quad (1)$$

207 where  $j = 1, 2, \dots, n$  (number of neighbor cells);  $x$  is the state of each neighbor cell ( $x = 1$  if the  
208 same state as the center cell, 0 otherwise); and  $k$  is an exponential decay parameter. Large values  
209 of  $k$  in Eq. (1) indicate that the local facilitation effect decreases sharply within a short distance,  
210 indicating that the immediate neighbor cells contribute most of the local facilitation. Small  $k$   
211 values, in contrast, connote a larger area of effect with all neighbors contributing more uniformly  
212 to the local facilitation. A circular neighborhood was employed with the radius determined such  
213 that the cumulative distribution function of Eq. (1) for all surrounding neighbor cells within that  
214 radius exceeds 99% (e.g., Foti et al., 2012; Scanlon et al., 2007).

215 Note that Eq. (1) assumes an isotropic neighborhood effect, i.e., neighboring cells  
216 influence adjacent cells regardless of direction. Directional bias on local facilitation, which may

217 occur in response to the direction of flow, was also imposed by varying the local facilitation  
 218 decay rate,  $k$ , as a function of the angle between the center cell and its neighboring cells:

$$219 \quad k = \frac{(90 - \alpha_j)}{90} k_x + \frac{\alpha_j}{90} k_y \quad (2)$$

220 where  $\alpha_j$  is the absolute azimuth angle between the longitudinal direction and a line connecting  
 221 the cell and its neighbor (expressed as  $0 \leq \alpha \leq 90$  for each quadrant of the neighborhood kernel),  
 222  $k_x$  is the exponential decay parameter in the east-west (E-W) direction and  $k_y$  is the exponential  
 223 decay parameter in north-south (N-S) direction. In Eq. 2, the ratio  $k_x:k_y$  describes the directional  
 224 bias of the local facilitation effect.

### 225 **2.2.2 Transition Probabilities**

226 The HP meta-model is the hydrologic foundation of the CA model (Fig. 2), with HP  
 227 variation creating the global negative feedback that drives changes in ridge-slough configuration.  
 228 Landscape pattern conducive to efficient drainage (lower %R, higher  $e$ ) lowers hydroperiod,  
 229 increasing the probability of a slough pixel transitioning to ridge, while landscape patterns that  
 230 inhibit drainage (higher %R, lower  $e$ ) decrease that transition probability. Crucially, this  
 231 hydroperiod effect is manifest at the domain scale (i.e., globally). We assume landscape HP as  
 232 the global driver instead of a local parameter because of the extremely low relief (ca. 0.003%) of  
 233 the Everglades which creates highly uniform water levels over the entire wetland system.

234 Transition probabilities between ridge and slough were modeled as the linear  
 235 combination of local effects (i.e., by surrounding neighbors) and global effects (i.e., controlled  
 236 by landscape HP), expressed as:

$$237 \quad P_{R \rightarrow S} = 1 - \lambda_R + \frac{(HP - HP_t)}{HP_t} \quad (3)$$

$$238 \quad P_{S \rightarrow R} = 1 - \lambda_S + \frac{(HP_t - HP)}{HP_t} \quad (4)$$

239 where,  $\lambda_R$  and  $\lambda_S$  are the local facilitation for ridge and slough, respectively, and  $HP_t = 0.87$  is the  
240 target hydroperiod, based on the range of expected HP for well conserved landscapes in the  
241 Everglades (Givnish et al., 2008; McVoy et al., 2011; Cohen et al., 2011).

242 The transition probability formulations (eq. 3 and 4) are identical to those used by Foti et  
243 al. (2012), with one key difference: these authors imposed the global negative feedback  
244 mechanism by directly setting a target vegetation density, whereas here a target hydroperiod is  
245 implemented. This formulation is based on observations of the temporal dynamics of change in  
246 the ridge-slough landscape, which suggest that ridge density can change quickly towards a  
247 landscape that is dominated by either ridge or slough based on hydroperiod (Nungesser, 2011).  
248 Furthermore, this construction allows for variable ridge density driven by  $HP$ , which, in turn, is  
249 controlled by the density and anisotropy of patches (Kaplan et al., 2012). Setting a target HP  
250 therefore explicitly considers bidirectional interactions between hydrology and landscape  
251 geometry, allowing for future modeling based on perturbations to hydrological forcing.

### 252 **2.2.3 Model Domain and Parameterization**

253 Simulations begin with a randomly generated, 3.5 km x 3.5 km landscape composed of  
254 10m cells with low  $\%R$  (5 - 15%), following the suggestion that ridges formed out of a slough  
255 matrix (Bernhardt and Willard, 2009). At each time-step, transition probabilities for each cell are  
256 calculated based on equations 1 through 4. This probability matrix is used to determine  
257 transitions between ridge and slough cells, producing a new landscape in the following time step.  
258 Based on the new landscape configuration (i.e., different  $\%R$  and  $e$ ), a new HP is calculated (Fig.  
259 1), yielding a new global feedback function based on equations 3 and 4. Landscape configuration  
260 thus changes iteratively (Fig. 2), eventually reaching a dynamic equilibrium when  $\%R$ ,  $e$ , and  $HP$   
261 have stabilized.

262 The local feedback mechanism is dictated by the magnitudes of  $k_x$  and  $k_y$  as well as their  
263 ratio. Increasing magnitudes of  $k_x$  and  $k_y$  indicates greater “spatial immediacy” of neighbors  
264 (Scanlon et al., 2007) in the respective directions, while the ratio  $k_x:k_y$  describes the magnitude of  
265 anisotropy in local facilitation. We explored effects of magnitude and ratio of these two  
266 parameters by simulating landscapes using seven different values of  $k_y$  from 0.10 to 0.40  
267 (corresponding to a circular neighborhood with radius from ca. 20 – 70 m) and seven levels of  
268 anisotropy in local facilitation ( $k_x:k_y$  ratios from 1.0 to 4.0). With increasing  $k_x:k_y$  ratio, the shape  
269 of the local facilitation effect becomes more elliptical with elongation in the N-S direction as  
270 local feedback in the E-W decays sharply with increasing distance. Simulations were replicated a  
271 minimum of six times for each combination of  $k_y$  and  $k_x:k_y$  values to ensure that equilibrium  
272 landscape characteristics were controlled by model parameters rather than random initial  
273 conditions. We note from preliminary simulations, that for a given  $k_y$ , a maximum value of  $k_x:k_y$   
274 existed, beyond which any increase in  $k_x$  severely restricted patch expansion in the E-W  
275 direction. This resulted in extremely narrow ridges (1-2 cells wide) that drove  $e$  values towards  
276  $\infty$ , leading to model instability because of the feedback of  $e$  on hydroperiod. For example, for  $k_y$   
277 = 0.2, steady state could not be attained for  $k_x:k_y > 3.5$  while for  $k_y = 0.35$ , steady state could be  
278 achieved only for  $k_x:k_y \leq 2.0$ . Therefore, for each  $k_y$  magnitude the final simulations were  
279 performed only for the  $k_x:k_y$  ratios that consistently resulted in a stable equilibrium.

### 280 **2.3 Landscape Pattern Metrics**

281 Simulated ridge-slough landscapes from the CA model were compared, both qualitatively  
282 and quantitatively, to observed ridge and slough patterns in the best conserved portion of the  
283 Everglades (referred hereafter as “reference landscapes”) (McVoy et al., 2011; Watts et al.,  
284 2010). Thirteen reference landscapes from a study of Everglades landscapes by Nungesser

285 (2011) were augmented by 8 additional reference landscapes presented by Casey et al. (2015).  
286 All pattern metrics were based on analyses of rasters created with a 10m cell resolution from  
287 vector maps (Rutchev, 1995).

288 Comparisons between simulated and reference landscapes were based on seven statistical  
289 and geostatistical characteristics: overall  $%R$ ,  $e$ , correlation length scales (semivariograms)  
290 parallel and orthogonal to historical flow, distribution of patch sizes, perimeter area fractal  
291 dimension (PAFRAC), and landscape characteristic wavelength (periodicity).

292 Patch density was calculated as ridge area divided by total domain area. Patch anisotropy  
293 was estimated as the ratio of the major (parallel to flow) and minor (orthogonal to flow) ranges  
294 of indicator semivariograms (Deutsch and Journel, 1998); the correlation length scales inferred  
295 from these spatial ranges were also of interest to ensure that the model predicted realistic patch  
296 geometry. Distributions of patch sizes, which follow power-law scaling in the reference  
297 landscapes were evaluated for goodness of fit in comparison to the Pareto (power law)  
298 distribution using Monte-Carlo tests (Clauset et al., 2009). The fractal dimension (PAFRAC),  
299 which measures patch shape complexity, was calculated from the fitted slope between patch area  
300 and perimeter. Finally, patch periodicity was evaluated using radial spectrum (r-spectrum)  
301 analysis, which extracts the spectral components of the landscape pattern as a function of  
302 possible wavenumbers (i.e., spatial frequency divided by domain size). R-spectra, which are used  
303 to identify the characteristic wavelength and directional components of regular patterns, were  
304 obtained using two-dimensional Fourier transforms following methods outlined by Coueron and  
305 Lejeune (2001).

306 Our primary motivation in comparing model results to reference landscapes for these  
307 metrics is to elucidate the nature of local interactions required to create pattern that is statistically

308 consistent with the best-conserved portions of the extant Everglades (i.e., elongated, flow-  
309 parallel ridge patches). We therefore applied a multi-criteria objective function to quantify  
310 agreement between simulated and reference landscapes for the pattern metrics listed above.  
311 Simulated landscapes received a score of one for each of the seven metrics that fell within the  
312 range of values observed in reference landscapes, and the sum was used as an integrated measure  
313 of pattern agreement (IMPA; maximum IMPA = 7.0). A mean IMPA score was calculated for  
314 each parameter set (i.e., the average IMPA score of 6 simulation replications), allowing us to  
315 identify combinations of parameters that yielded patterning concordant with the reference  
316 landscapes. While the IMPA approach is useful for identifying model parameters that generate  
317 patterning consistent with the well-conserved ridge-slough mosaic, it is also important to note  
318 that some pattern metrics have been found to be ubiquitous across the present-day Everglades.  
319 For instance, power-law scaling and lack of a characteristic separation distance (aperiodicity) are  
320 observed in all parts of the ridge and slough system—regardless of their state of degradation  
321 (Casey et al., 2015). This suggests that a reliable ridge-slough landscape model should produce  
322 landscapes with these criteria for all possible parameter combinations (i.e., both isotropic and  
323 anisotropic model formulations), whether the simulated landscapes resemble the conserved or  
324 degraded ridge-slough mosaic.

### 325 **3. Results**

326 Hydrodynamic modeling of the discharge competence and landscape hydroperiod  
327 suggested that  $\%R$  exerts dominant control on the landscape hydroperiod, while anisotropy is of  
328 secondary importance. Consequently, the ridge-density of simulated ridge-slough landscapes  
329 showed greater sensitivity to changing hydroperiod regimes than the patch anisotropy. Patches in  
330 simulated landscapes with symmetric local facilitation ( $k_x = k_y$ ) were always isotropic ( $e \sim 1$ ; Fig.

331 3), with low ridge density (ca. 33%) compared to reference landscapes. Implementing directional  
332 bias ( $k_x:k_y > 1.0$ ) in local facilitation resulted in anisotropic landscapes with higher %R. However  
333 not all  $k_x:k_y$  configurations yielded patterning geostatistically similar to the reference landscapes.  
334 For example, for all landscapes where  $k_y = 0.1$  (effective neighborhood radius = ca. 70 m),  
335 regardless of the  $k_x:k_y$  ratio, patches were highly diffuse, extending across the entire domain, with  
336 spatial correlation lengths both parallel and orthogonal to flow that were much larger than in the  
337 reference landscapes (Fig. 3). As  $k_y$  increased, patches became more distinct and aggregated,  
338 with patch geometry that better resembled the reference landscapes both qualitatively and  
339 quantitatively.

340 Simulated landscapes for  $k_y = 0.2$  (effective neighborhood radius = ca. 40 m) and  $k_x:k_y$  of  
341 1.0, 2.0, 2.5, and 3.5 show a strong qualitative resemblance to an example reference landscape  
342 (Fig. 4a), particularly at higher values of  $k_x:k_y$  ( $\geq 2.5$ ). High values of  $k_x:k_y$  also resulted in  
343 reference landscape similarity for semivariogram ranges (Fig. 4b) and pattern anisotropy, with  $e$   
344 values within the range observed in reference landscapes (2.5- 5.0) (Nungesser, 2011; Kaplan et  
345 al., 2012).

346 The distribution of ridge areas in the simulated landscapes showed significant support for  
347 power law scaling following Clauset et al. (2009) (i.e., we cannot reject the hypothesis that the  
348 distribution differs significantly from power law at the 0.1 level). Power law scaling was  
349 consistent across model realizations, regardless of %R and  $e$  (i.e., for all parameter combinations,  
350 Fig. 5a), which agrees with the observed patch size distribution in both conserved and degraded  
351 ridge and slough landscapes (Casey et al., 2015). Moreover, the values of the fitted exponent ( $\beta$ )  
352 were strikingly similar between reference ( $\beta = 1.73 \pm 0.09$ ) and simulated landscapes ( $\beta$   
353  $= 1.73 \pm 0.011$ ), and this exponent did not vary significantly among landscapes simulated using

354 with different  $k_x:k_y$  ratios—a result also echoed by the similarity of fitted  $\beta$  values in conserved  
355 and degraded landscapes observed by Casey et al. (2015).

356 The perimeter-area scaling of patches showed that the modeled landscapes were highly  
357 fractal (Fig. 5b), as evidenced by the linear relationship between log (perimeter) and log (area)  
358 (slope  $> 0.5$ ; Foti et al, 2012). However, the scaling relationship for the reference landscapes  
359 deviate from the linear function indicating their a non-fractal nature Although a linear-scaling  
360 relationship seemed to hold acceptably within a certain patch-size range (Figure 5b), Casey et al  
361 (2015) found that the perimeter-area scaling in real ridge-slough landscapes was better explained  
362 by a quadratic function over the entire size range (i.e., without any cutoffs). This indicates that  
363 the larger patches in real landscapes are increasingly more complex as opposed to the simple  
364 power functions followed by the modeled landscapes that suggest an equal degree of shape  
365 complexity for all patch-sizes. Finally, similar to the r-spectra in reference landscapes, our  
366 simulated landscapes exhibit the clear absence of a characteristic wavelength (i.e., r-spectra  
367 maxima at non-zero distance) (Fig. 5c). This suggests that both the real and simulated landscapes  
368 are aperiodic (i.e., not regularly patterned) (Casey et al., 2015), a feature consistent with global  
369 rather than scale-dependent patch constraints.

370 Summarizing the statistical and geostatistical properties of simulated landscapes (Fig. 6,  
371 symbols), in comparison with values observed in reference landscapes (shaded region) illustrates  
372 the relatively narrow parameter space over which model outputs match the conserved (i.e.,  
373 elongated, N-S oriented) patterning. Both  $\%R$  and  $e$  increase nonlinearly with increasing  $k_y$  and  
374  $k_x:k_y$  (Fig. 6a-b), and the slope relating  $e$  and  $k_x:k_y$  is highest when  $k_y$  is large. The E-W  
375 semivariogram range (Fig. 6c) declines exponentially with  $k_x:k_y$  for all  $k_y$ , while the N-S range  
376 (Fig. 6d) remains relatively flat. In combination, our results suggest that only a subset of



377 simulated landscapes meet the multiple conditions observed in reference landscapes (i.e., have  
378 IMPA = 6), while power law scaling, patch complexity and aperiodic patterning were evident in  
379 all simulations, regardless of parameterization. Notably, when  $k_y$  is  $> 0.30$  (effective  
380 neighborhood radius  $\leq$  ca. 25m), most (88%) of the simulated landscapes aligned with reference  
381 landscapes (IMPA= 6.0), even when  $k_x:k_y$  is relatively small (i.e.,  $1.5 < k_x:k_y \leq 2.5$ ). In contrast,  
382 with lower  $k_y$ , (e.g.,  $k_y = 0.15$ , equivalent to a neighborhood radius of ca. 45m), only 24% of  
383 simulations met the multi-criteria objective, and required the highest value of  $k_x:k_y$  to do so.

#### 384 **4. Discussion**

##### 385 **4.1 Testing the Self-Organizing Canal Hypothesis**

386 A clear understanding of the processes underlying development of ecological patterns is  
387 integral to all ecosystem management and restoration. In the Everglades, venue for one of the  
388 largest and most ambitious ecosystem restoration efforts in history, the specific focus on  
389 landscape pattern as a restoration objective underscores the urgency of the process-pattern link.  
390 Identifying the suite of necessary and sufficient processes to create and maintain pattern will aid  
391 in prioritizing hydrologic restoration goals. Although multiple hypotheses exist for explaining  
392 the ridge-slough pattern, most of them attribute the development of these landscapes to one  
393 dominant process. The self-organizing-canal hypothesis (Cohen et al., 2011; Heffernan et al.,  
394 2013), on the other hand, ascribes pattern formation and maintenance to reciprocal feedbacks  
395 between landscape pattern and hydrology. Moreover, evidence of a strong feedback between  
396 pattern and hydroperiod (Kaplan et al., 2012) lends support for the SOC. Primacy of this  
397 mechanism vis-à-vis nutrient enrichment or sediment redistribution – and we note here that these  
398 mechanisms are not mutually exclusive – would imply markedly different water management

399 objectives, specifically emphasizing flow volume sufficient to ensure appropriate hydroperiod  
400 vs. water level management or creation of episodic high velocity

401         The dominant spatial feature in the ridge-slough landscape is patch orientation with flow.  
402 As a minimum criterion, models that fail to produce flow-oriented elongation are clearly  
403 insufficient explanations for pattern development. Our results suggest that the SOC mechanism  
404 can create patterning consistent with the best conserved ridge-slough landscape, but only when  
405 local facilitation is directionally biased in the direction of flow. This suggests the SOC alone is  
406 an insufficient mechanism. Previous work comparing static landscapes (Kaplan et al. 2012)  
407 showed that anisotropy exerted strong control on hydroperiod, but our model results suggest that  
408 in a dynamic landscape changes in patch density ( $\%R$ ) occur more quickly than changes in patch  
409 shape ( $e$ ). As such,  $\%R$  provides the dominant control on HP (Fig. 1b), while anisotropy plays a  
410 secondary role. When local feedbacks are isotropic, the global negative feedback of pattern on  
411 hydroperiod selects for landscapes with low  $\%R$  – at ca. 30%, far below the value in the best  
412 conserved pattern and cannot generate patch anisotropy.

413         Recently, Heffernan et al. (2013) used an analytical model to explore the SOC,  
414 demonstrating that ridge and slough elevation divergence occurs spontaneously at some  
415 discharge levels, and that the impact of a given cell on adjacent cells orthogonal to flow is far  
416 larger than parallel to flow. In short, pattern arises solely due to feedbacks between hydroperiod  
417 and discharge competence (i.e., capacity to convey water), which is controlled by the  
418 configuration orthogonal to flow. To reconcile these findings with our model results, we note  
419 that water flow in the Heffernan et al. (2013) model is limited to two flow-paths, where  
420 occlusion of flow in one cell (e.g., due to peat accretion there) must, of necessity, force water  
421 through the other. In contrast, our model comprises a relatively large domain with hundreds to

422 thousands of possible flow-paths, weakening the influence of flow occlusion in any given cell on  
423 global hydroperiod. As a result, the role of anisotropy on discharge competence is diminished,  
424 and ridge density impacts on hydroperiod dominate.

425 We also note that the HP in this study is estimated assuming steady state flow conditions  
426 (as calculated over the 20-year period of record in Kaplan et al., 2012) and does not represent the  
427 possible effects of temporal fluctuations in flow that occur in the Everglades ecosystem. In order  
428 to test whether a fluctuating hydrological regime would drive elongated ridge formation under  
429 isotropic local facilitation, a variable hydrology scenario was also implemented in the CA model  
430 based on reported variation in mean flow into Lake Okeechobee over a 65-70 year cycle (Enfield  
431 et al., 2001). However, the simulations driven by cyclically varying hydrology coupled with  
432 isotropic local facilitation did not drive ridge elongation in the resulting landscapes (i.e.,  $e = 1$ )  
433 and yielded low values ( $< 30\%$ ) of %R due to the recurring high HP events. These initial  
434 simulations suggested that variation in HP affected %R much more than  $e$ , and was not sufficient  
435 to drive anisotropic patch evolution.

#### 436 **4.2 Multi-Metric Model Performance**

437 As noted above, the model developed here does create compelling ridge-slough  
438 patterning given anisotropic local facilitation effects. The plausibility of multiple model  
439 mechanisms, including those presented here, for creating flow-oriented elongation suggests that  
440 additional landscape characteristics are necessary for evaluating model performance. The  
441 additional proposed pattern metrics (ridge density, anisotropy, autocorrelation range, patch size  
442 distribution, fractal dimension, and periodicity) provide a more nuanced and comprehensive  
443 basis on which to compare model outputs to real landscapes. This approach is similar to Larsen  
444 and Harvey (2010) wherein multi-metric comparisons between modeled and real landscapes

445 were made, but includes new potentially relevant pattern metrics. While it was beyond the scope  
446 of the current work to compare the multiple existing models of the ridge-slough landscape, we  
447 note that our model outputs agree reasonably well with observations in the best conserved ridge-  
448 slough landscapes for all of the proposed metrics.

449         Among the most important differences between our model and others for the ridge-slough  
450 pattern is invocation of an inhibitory feedback that operates globally rather than at a  
451 characteristic spatial scale. Constraints to patch expansion are induced at the entire domain scale,  
452 and not over local and/or intermediate scales, as is the case in Ross et al. (2006), Lago et al.  
453 (2010), Cheng et al. (2011) and Larsen and Harvey (2011). The principal reason for invoking a  
454 global rather than intermediate feedback is the inherent difference between focusing on  
455 hydroperiod/water depths, which are reasonably uniform over large areas, and flow velocity or  
456 solute redistribution, which are more spatially heterogeneous. Global feedbacks have been  
457 widely invoked to understand and simulate vegetation patterning (e.g., Scanlon et al., 2007; Foti  
458 et al., 2012), and induce three pattern features that merit particular attention: power-law scaling  
459 of patch areas, high fractal dimension (i.e., highly crenulated patch edges), and the absence of a  
460 characteristic pattern wavelength (which would be expected in regular patterning). Our  
461 simulations closely matched observed power-law scaling of patch areas (including the scaling  
462 parameter,  $\beta$ ) and, perhaps most importantly, the absence of a characteristic pattern wavelength  
463 implying no regular landscape periodicity. These landscape properties are exhibited in both well-  
464 conserved and degraded ridge-slough landscapes in the Everglades (Casey et al., 2015). That our  
465 model consistently reproduces them suggests that global inhibition is integral to ridge-slough  
466 pattern evolution. However, that it does so across all model runs, even those that clearly fail to

467 reproduce credible patterning, means that these metrics are necessary—but not sufficient—for  
468 discriminating the anisotropic pattern genesis processes.

469         Pattern geometry, including flow-oriented elongation that is the sentinel feature of this  
470 landscape, is strongly controlled by the local facilitation function in our model. The remaining  
471 three metrics ( $\%R$ ,  $e$ , and semivariogram ranges) were used to conclude that only a subset of  
472 parameterizations for inducing local feedbacks yielded landscapes with geostatistical properties  
473 in agreement with reference landscapes. For example,  $\%R$  and  $e$  of modeled landscapes fall well  
474 outside the reference values at low  $k_y$  or small  $k_x:k_y$  ratios (Fig. 6a, b). Likewise, modeled  
475 landscape semivariogram ranges tend to be well above what is observed in the real systems (Fig.  
476 6c, d) for these parameterizations. While the particular mechanisms that induce anisotropic  
477 facilitation are, as yet, unclear (see below), we can at least conclude that some parameterizations  
478 of local and global controls can satisfy all diagnostic metrics (Fig. 6e). The spatial range of the  
479 extant pattern is controlled in the model by  $k_x$  and  $k_y$ , which control the distance over which local  
480 facilitation acts in the E-W and N-S directions, respectively. While statistically compelling  
481 landscapes can be simulated using several parameter values, a synthesis of model performance  
482 (Fig. 6e) suggests all matching landscapes have  $k_y > 0.15$ , corresponding to a local-facilitation  
483 kernel that extends, at maximum, 40 m in the N-S direction; this is further constrained in the E-  
484 W direction due to anisotropic facilitation (i.e.,  $k_x > k_y$ ). Overall, of the 37 simulations, 22%  
485 comported with all 6 metrics observed in the conserved landscapes, all of which required  $k_x > k_y$   
486 and  $k_y > 0.15$ .

487         Power law scaling of patch sizes has been associated with vegetation self-organization in  
488 many landscapes (e.g., Scanlon et al., 2007; Kefi et al., 2009, 2011), but has only recently been  
489 evaluated for the Everglades (Foti et al. 2013) and specifically for ridge-slough patterned

490 landscape (Casey et al., 2015). Most studies of the ridge-slough landscape have emphasized the  
491 perceived regular nature of the pattern, including invocation of a pattern wavelength of ca. 150 m  
492 (*SCT*, 2001, *Larsen et al.*, 2007, Watts et al. 2010). Notably, power-law scaling of patch area is  
493 incompatible with regular patterning because the basis of such patterning is the presence of distal  
494 negative feedbacks that truncate patch expansion at some particular spatial range (van de Koppel  
495 and Crain, 2007). It is therefore critically important that our simulated landscapes, wherein  
496 inhibitory feedbacks are global and not scale-dependent, exhibit this power-law scaling behavior  
497 (Fig. 5a). It is also notable that the landscapes follow such scaling regardless of ridge density or  
498 local facilitation parameters, which is also observed in all reference landscapes with various  
499 patch densities (Casey et al., 2015). These results are consistent with the concept of robust  
500 criticality in ecological systems, where local spatial interactions lead to power-law clustering of  
501 patches well below the percolation threshold (Kefi et al., 2011; Vandermeer et al, 2008). While  
502 the generality of power law scaling in both simulated and real landscapes limits this metrics  
503 utility as a model diagnostic, it lends strong support for the primacy of scale-free processes  
504 underlying ridge-slough pattern formation.

505         Interestingly, patch complexity in the real ridge-slough landscapes revealed non-fractal  
506 nature (non-linear perimeter-area scaling, Casey et al., 2015). Since the simulated landscapes  
507 show a highly linear perimeter-area scaling and hence highly fractal patterns, this highlights one  
508 of the attributes of the ridge-slough landscapes that our model is not able to entirely reproduce.  
509 In contrast, Foti et al (2012) recently reported that sawgrass patches, the dominant vegetation of  
510 the ridge-slough landscape in the Everglades, were fractals. However, their landscapes were  
511 analyzed at significantly coarser scale (40m pixel) as opposed to 10m pixel in this study, which  
512 is likely to miss the finer scale crenulations in patch-edges that increase the complexity.

513           The ridge-slough landscape is often described as exhibiting a repeating geostatistical  
514 pattern with a wavelength of 50 - 400 m in the direction orthogonal to flow (Larsen & Harvey,  
515 2010; Lago et al., 2010; Cheng et al., 2010; Cohen et al., 2011). Spatial periodicity in patterned  
516 ecosystems has been attributed to the interplay between positive and negative feedbacks acting at  
517 different spatial scales. Short-range facilitation causes vegetation aggregation in dense clusters,  
518 but patch expansion is inhibited by some intermediate-range negative force acting at a specific  
519 distance. In this way, vegetation self-organizes into a periodic configuration (Rietkerk and van  
520 de Koppel, 2008; von Hardenberg et al., 2010). Accordingly, the models presented by Ross et al.  
521 (2006) and Cheng et al. (2010) generate highly uniform, elongated patches that possess a clear  
522 periodicity. Surprisingly, however, the observed ridge and slough landscape appears to lack  
523 periodic spatial structure (Fig. 5c; Casey et al., 2015) suggesting there is no characteristic  
524 wavelength to the landscape. Even more surprising is that this aperiodic behavior is retained  
525 across a wide gradient of hydrologic modification. It is therefore notable that the model  
526 presented here lacks periodic spatial structure. The lack of landscape periodicity argues strongly  
527 against invocation of intermediate-range negative feedbacks. The observed pattern is more  
528 consistent with a global negative feedback that inhibits patch expansion across the entire  
529 landscape.

530           We note that the spatial scale (i.e., spatial resolution and extent) can strongly influence  
531 various landscape pattern metrics (e.g., Wu et al., 2002; Levin 1992; Chou, 1991) we have used  
532 in this study. Geostatistical methods (e.g., semivariogram) are inherently affected by cell-size  
533 (Lausch et al., 2013; Atkinson and Tate, 2000, Atkinson, 1993) while cellular automata models  
534 are also influenced by cell- and neighborhood-size (Pan et al., 2010; Ménard and Marceau, 2005;  
535 Chen, 2003). Our modeling results and interpretations are based on 10m grid size. While the

536 minimum mapping unit (MMU) varies from 20-50 m (Nungesser, 2011; Rutchey et al., 1995),  
537 smaller features (< 10 m) are apparent in these mapping products. Setting raster and model  
538 resolution at 10 m captured the majority of perceivable features without requiring untenable  
539 computation times. The neighborhood-size in our model is controlled by local-facilitation  
540 parameters  $k_x$  and  $k_y$ , which highlights that different neighborhood sizes produce patterns with  
541 remarkably different spatial attributes and only a few parameter combinations can produce the  
542 patterns that are highly consistent with the reference ridge-slough landscape.

### 543 **4.3 Mechanisms of Anisotropy**

544 Mechanisms of local facilitation in patterned landscapes are generally attributable to  
545 more than one biotic/abiotic factor, which can be difficult to measure or determine at landscape  
546 scales (Cohen et al., 2011). Our model yields novel insights about the role of a generalized local  
547 facilitation process and its spatial extent in emergent ridge-slough patterns (e.g., local facilitation  
548 effects confined to 40 m parallel to flow and even less perpendicular to flow). However, while  
549 the model creates compelling pattern based on the combination of global inhibition and  
550 anisotropic local facilitation, the mechanisms that induce anisotropic facilitation remain unclear.  
551 Several potential mechanisms exist. Flow may enable directional seed dispersal (i.e.,  
552 hydrochory; Nilsson et al., 2010) particularly for sawgrass. Crucially, however, despite prolific  
553 seed production, most sawgrass reproduction is vegetative (Miao et al. 1998). Local anisotropic  
554 facilitation may also arise from sediment entrainment and deposition (Larsen et al. 2007), though  
555 we note that the invoked flow velocity effects to date have focused on inhibitory feedbacks (i.e.,  
556 constraints on patch expansion) not local facilitation effects. However, if deposition occurs  
557 preferentially downstream (e.g., at the tails of ridges) rather than at ridge edges, the cumulative  
558 effect would be anisotropic facilitation. Another mechanism posits lower phosphorus uptake



559 efficiency in ridges than in sloughs, leading to longer uptake lengths (sensu Newbold et al.  
560 1981), and thus further downstream transport of available P in ridges. While this mechanism  
561 remains untested, it comports with observations of substantial P enrichment in ridge soils  
562 compared with adjacent sloughs (Ross et al., 2006; Bruland et al., 2010; Cheng et al., 2010) and  
563 could yield a directional stimulatory effect on sawgrass primary production.

564 While determining the mechanism that controls local facilitation effects is clearly critical for  
565 successfully protecting and restoring landscape pattern, our work suggests that processes driving  
566 ridge-slough pattern development and maintenance may be represented by a generalized local  
567 facilitation function and a global inhibitory feedback, potentially signifying a unifying  
568 explanation of ridge-slough pattern development. The model results presented herein provide the  
569 first test of ridge-slough simulations against a suite of expanded landscape-scale statistical and  
570 geostatistical properties, several of which strongly support inference of a dominant role for  
571 global feedbacks between pattern and hydroperiod in structuring this sentinel landscape.

572

### 573 **Acknowledgements**

574 Support for this work was provided by the Army Corps of Engineers through the Monitoring and  
575 Assessment Plan (MAP) Restoration, Coordination, and Verification (RECOVER) program of  
576 the Comprehensive Everglades Restoration Plan. J.W.J. was supported by the Florida  
577 Agricultural Experiment Station.

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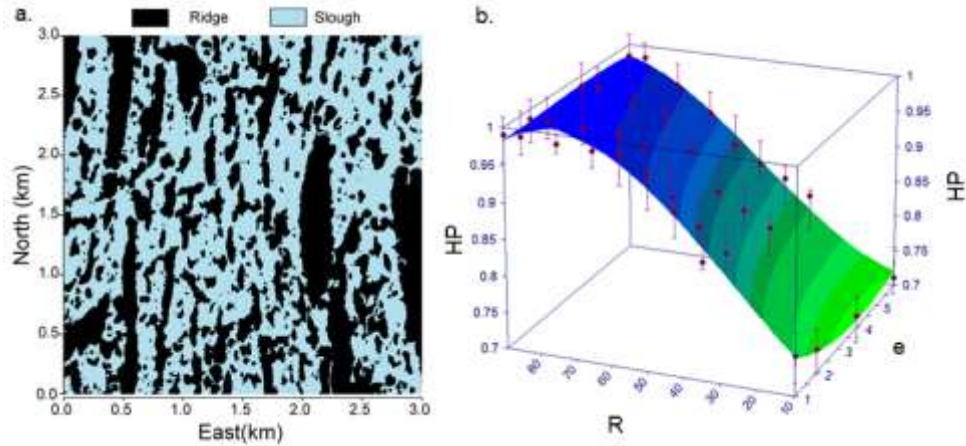
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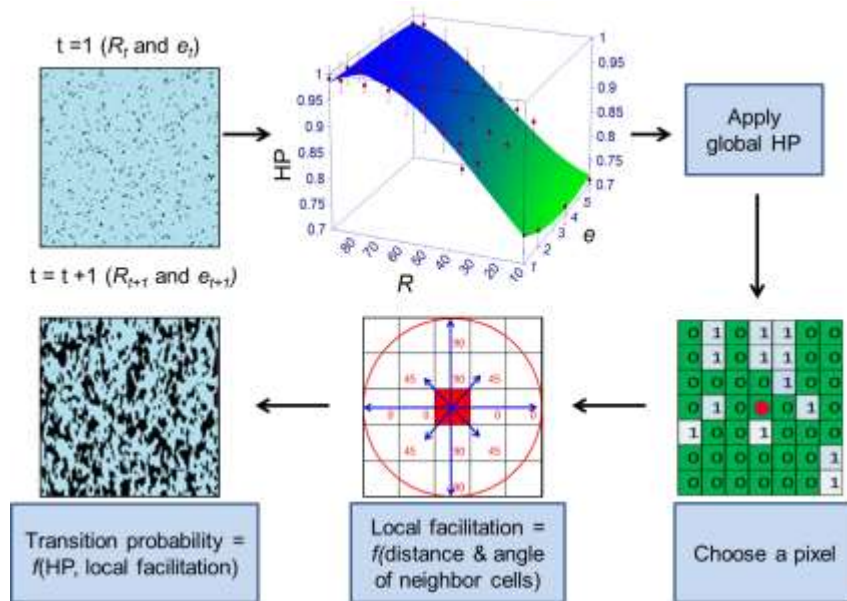
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698 Figure 1: (a) Example reference ridge (black) and slough (blue) landscape; (b) third-order  
 699 polynomial surface of hydroperiod (HP) vs. anisotropy ( $e$ ) and ridge patch density  
 700 ( $\%R$ ) ( $R^2 = 0.98$ ) based on the results of numerical simulation of surface water flow  
 701 using a hydrodynamic model (SWIFT2D; Schaffranek, 2004).

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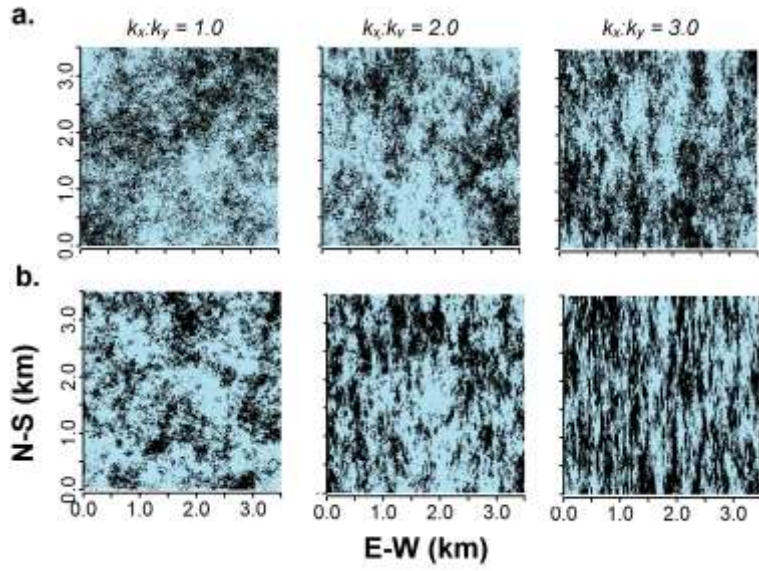


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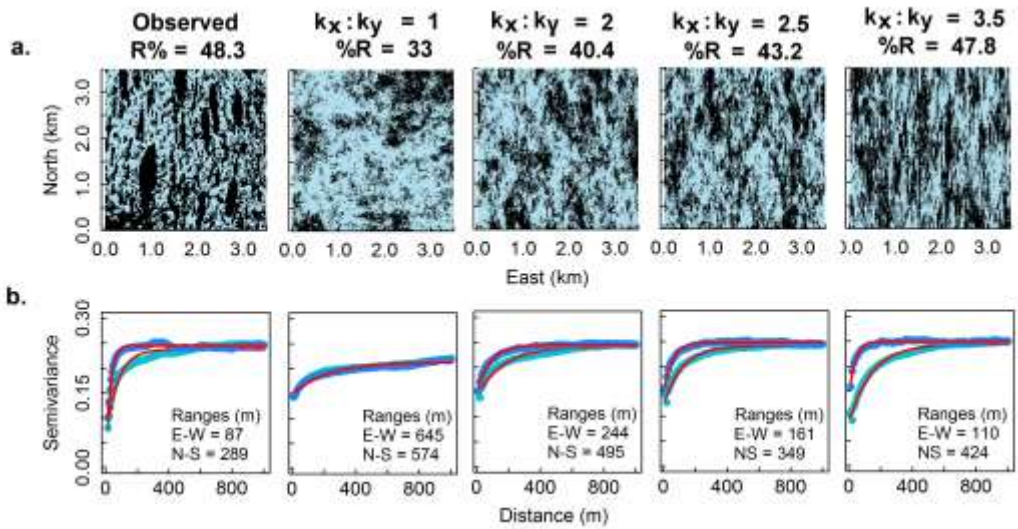
706 Figure 2: Schematic representation of steps in the cellular automata model of ridge and slough  
 707 pattern development. The upper central panel is a third-order polynomial surface of  
 708 hydroperiod (HP) vs. anisotropy ( $e$ ) and ridge patch density ( $\%R$ ) ( $R^2 = 0.98$ ) based on  
 709 numerical simulation of surface water flow using a hydrodynamic model (SWIFT2D;  
 710 Schaffranek, 2004).

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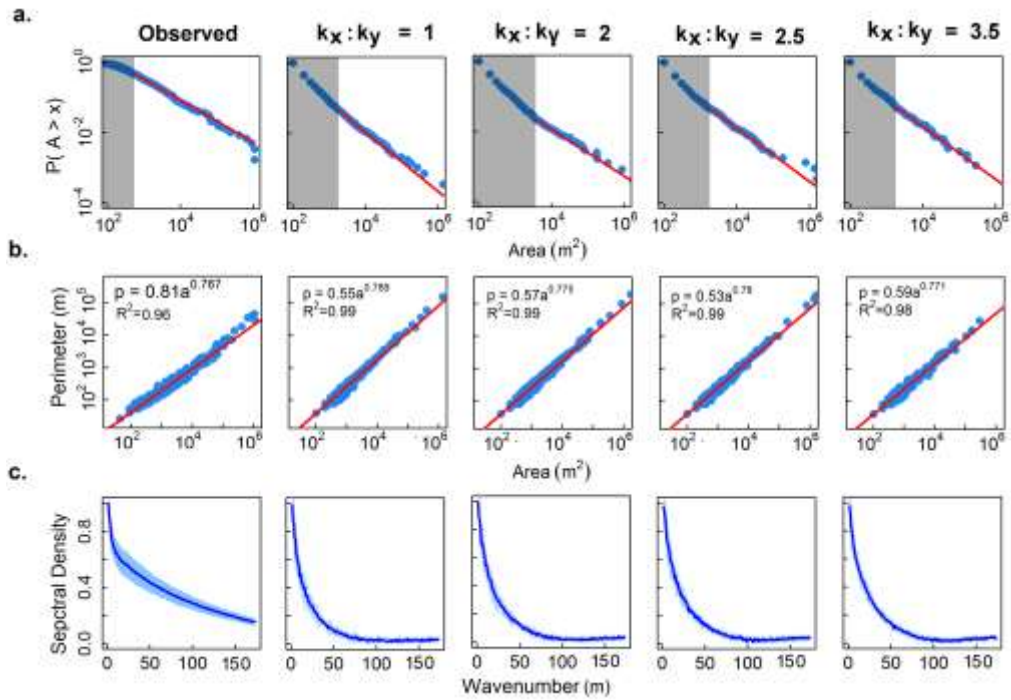
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 714 Figure 3: Simulated landscapes for various  $k_x:k_y$  ratios for (a)  $k_y = 0.1$  and (b)  $k_y = 0.20$ . Note the  
 715 increase in distinctiveness of ridge and slough patches with increasing magnitude of  
 716  $k_y$ .  
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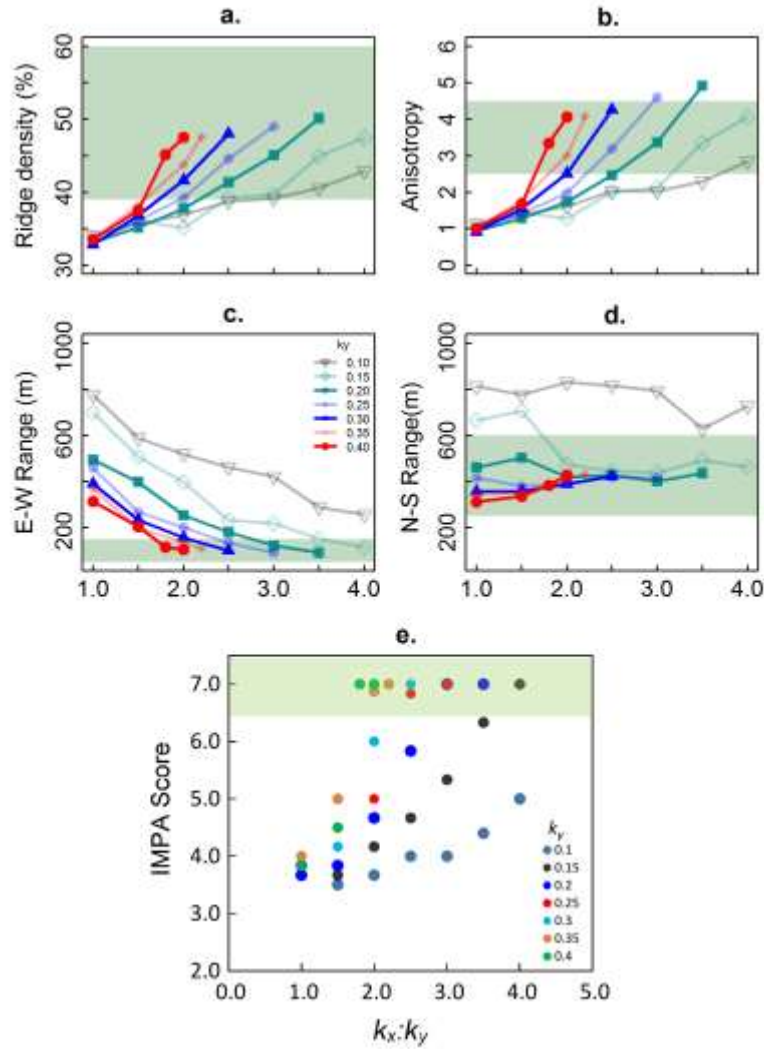


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 721 Figure 4: (a) Example reference and simulated landscapes (black = ridge; blue = slough) for  $k_y =$   
 722  $0.2$  and  $k_x:k_y = 1, 2, 2.5,$  and  $3.5,$  (b) indicator semivariograms (blue=East-West;  
 723 green=North-South; red=exponential model fit  
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Figure 5: (a) Patch size distributions (blue dots) and power law fits (red line) with cutoffs (gray shade); (b) perimeter-area relationships and (c) r-spectrum plots with 95% confidence intervals.



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734 Figure 6: Mean values of statistical and geostatistical metrics in simulated landscapes (symbols)

735 relative to the ranges observed in reference landscapes (shaded regions): (a) ridge

736 density (%R), (b) patch anisotropy ( $e$ ), (c) semivariogram ranges in the E-W direction

737 (perpendicular to flow), (d) semivariogram ranges in the N-S direction (parallel to

738 flow), and (e) average (IMPA) scores for all  $k_x:k_y$  combinations.