1	Prediction of biopore and matrix dominated flow from X-ray CT-derived
2	macropore network characteristics
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1 Abstract

2 Prediction and modeling of localized flow processes in macropores is of crucial importance for 3 sustaining both soil and water quality. However, currently there are no reliable means to predict 4 preferential flow due to its inherently large spatial variability. The aim of this study was to investigate the predictive performance of previously developed empirical models for both 5 6 water and air flow and to explore the potential applicability of X-ray Computed Tomography 7 (CT) derived macropore network characteristics. For this purpose, 65 cylindrical soil columns 8 (6 cm diameter and 3.5 cm height) were extracted from the topsoil (5 cm to 8.5 cm depth) in a 9 $15 \text{ m} \times 15 \text{ m}$ grid from an agricultural field located in Silstrup, Denmark. All soil columns were 10 scanned with an industrial X-Ray CT scanner (129 µm resolution) and later employed for 11 measurement of saturated hydraulic conductivity, air permeability at -30 cm and -100 cm 12 matric potential, and gas diffusivity at -30 cm and -100 cm matric potential. Distribution maps 13 for saturated hydraulic conductivity, air permeability and gas diffusivity reflected no 14 autocorrelation irrespective of soil texture and organic matter content. Existing empirical 15 predictive models for saturated hydraulic conductivity and air permeability showed poor 16 performance, as they were not able to realistically capture macropore flow. The tested empirical 17 model for gas diffusivity predicted measurements at -100 cm matric potential reasonably well, 18 but failed at -30 cm matric potential, particularly for soil columns with biopore-dominated 19 flow. X-ray CT derived macroporosity matched the measured air-filled porosity at -30 cm 20 matric potential well. Many of the CT derived macropore network characteristics were strongly 21 interrelated. Most of the macropore network characteristics were also significantly correlated 22 with saturated hydraulic conductivity, air permeability, and gas diffusivity. The predictive 23 Ahuja et al. (1984) model for saturated hydraulic conductivity, air permeability, and gas 24 diffusivity performed reasonably well when parameterized with novel, X-ray CT derived parameters such as effective percolating macroporosity for biopore-dominated flow and total 25

1 macroporosity for matrix-dominated flow. The obtained results further indicate that it is 2 crucially important to discern between matrix-dominated and biopore-dominated flow for 3 accurate prediction of macropore flow from macropore network characteristics.

4 **1. Introduction**

5 The importance of macropore flow for the partitioning of precipitation between runoff and 6 infiltration, for plant water uptake and plant growth, for biogeochemical cycling rates, and for potential risks of ground water contamination is widely recognized (Iversen et al., 2011; de 7 8 Jonge et al., 2004; Fox et al., 2004; Moustafa, 2000). Thus, over the last decade, major research 9 efforts have been devoted to improve the understanding of macropore flow and associated 10 governing parameters, and to develop predictive macropore flow models (Jarvis, 2007). 11 Macropore flow and transport refers to the localized and commonly very rapid movement of 12 water and solutes through the soil profile. Macropores resulting from biological activity (root 13 channels, worm holes etc.), geological forces (subsurface erosion, shrinkage and swelling etc.), 14 and agricultural management (e.g., plowing) serve as the main channels for this rapid and long-15 distance flow and transport of water, air, and contaminants. Macropore flow is largely 16 determined by soil structure and is generally a dominating process in loamy and clayey soils 17 (Jarvis et al., 2009) where large inter-aggregate pores and biopores often act as pathways for 18 rapid flow and transport. The transition from matrix to macropore flow (equilibrium to non-19 equilibrium) depends on the pore size distribution and pore continuity, and the degree of soil 20 saturation (Bouma, 1981). Macropore flow often occurs in pores with equivalent effective 21 cylindrical diameters larger than 0.3 mm, which indicates that the matric potential needs to be 22 close to zero and the water content close to saturation for these pores to be activated (Jarvis, 23 2007).

24 Soil and crop management practices strongly modify soil structure and thus the 25 extent of macropore flow and transport. *Wang et al.* (2013) and *Gonzalez-Sosa et al.* (2010)

1 studied the impact of land use on the hydraulic properties of the topsoil of the Loess Plateau of 2 China and for a suburban catchment in France, respectively. Both studies have reported greater 3 saturated hydraulic conductivities for forested land, intermediate for permanent pasture, and 4 lower for farmland soils. This is primarily due to the abundance of biota and less disturbance 5 in forests and permanent pastures when compared to cultivated lands (Naveed et al., 2014a; 6 Norgaard et al., 2013; Pérèsa et al., 2012). Application of animal manure and fertilizers can 7 also influence macropore flow, first by altering soil structure and second by promoting the 8 density of earthworms, particularly deep penetrating anecic worms (Naveed et al., 2014b). 9 Climatic conditions (seasonal temperature and precipitation variations) might also affect soil 10 structure and macropore flow through interactions with physical processes such as cyclic 11 freezing/thawing and wetting/drying (Hu et al., 2012). Due to the complex interactions and the 12 significant number of influencing factors, a large spatial variability of saturated hydraulic 13 conductivity has been reported by several authors (Wang et al., 2013; Raczkowski et al., 2012; 14 Iversen et al., 2011). Therefore, the predictive capabilities of empirical models/pedotransfer 15 functions for saturated hydraulic conductivity are limited because they ignore the effects of key 16 site factors and underestimate the significance of soil structure (Vereecken et al., 2010). 17 Recently, pedotransfer functions for saturated hydraulic conductivity that account for soil 18 structure have been developed, but they are rarely applied due to the complexity of input 19 parameters and the still significant prediction inaccuracies (Jarvis et al., 2013; Iversen et al., 20 2011; Lilly et al., 2008).

Along with the prediction of macropore water flow (i.e. saturated hydraulic conductivity), prediction of macropore airflow (i.e. air permeability and diffusivity) is also of essence. Air permeability is a key parameter for the design of soil vapor extraction remediation methods. Air diffusivity is of importance because the availability of oxygen to plant roots via diffusion is a basic factor for plant productivity. Various empirical models have been proposed

in the past for the prediction of air permeability (*Chamindu Deepagoda et al., 2011; Kawamoto et al.*, 2006) and air diffusivity (*Chamindu Deepagoda et al.*, 2011; *Moldrup et al.*, 2000).
 However, none of the above studies have evaluated their applicability after discerning between
 biopore- and matrix-dominated flow domains.

5 Recent developments in soil imaging techniques not only allow visual observations 6 but also quantification of pore network complexity. Application of X-ray CT provides emerging alternative means for estimating subsurface macropore flow and transport 7 8 (Wildenschild and Sheppard, 2013). Over the last decade, numerous studies about the 9 characterization of macropore structure (i.e. macroporosity, macropore size distribution, 10 volume, surface area, tortuosity, etc.) were conducted with X-Ray CT for different land use 11 and management systems (Katuwal et al., 2015; Larsbo et al., 2014; Hu et al., 2014; Naveed 12 et al., 2013; Vogel et al., 2010; Luo et al., 2010). However, to date there are only a very few 13 published studies on quantitatively relating macropore network characteristics to the 14 observations of macropore flow. Katuwal et al. (2015) found that CT derived macroporosity 15 for the limiting section of a soil column was strongly correlated with air permeability and 5% 16 tracer arrival time. Larsbo et al. (2014) reported significant correlations between X-ray CT 17 derived macropore network characteristics and flow and transport parameters. Paradelo et al. 18 (2013) found that CT derived macroporosity was strongly correlated with saturated hydraulic 19 conductivity, solute dispersivity, and contaminant breakthrough. Luo et al. (2010) reported that 20 macroporosity, path number, hydraulic radius, and macropore angle were the most useful X-ray 21 CT derived parameters for predicting macropore flow and transport under saturated conditions. 22 In this study we first evaluate the predictive performance of existing pedotransfer

functions/models for saturated hydraulic conductivity, air permeability, and gas diffusivity.
While it has been previously demonstrated that water flow in macropores cannot be accurately
predicted with empirical models from basic soil properties (*Weynants et al.*, 2009; *Vereecken*

1 et al., 2010), there is only little published work related to gas diffusivity. Furthermore, existing 2 pedotransfer functions/empirical models do not discern between matrix- and biopore-3 dominated flow domains, which is of significance for understanding and accurate prediction of 4 preferential flow as demonstrated in the results section. In the second part of this study we 5 derive novel macropore network characteristics from X-ray CT observations for the prediction 6 of saturated hydraulic conductivity, air permeability, and gas diffusivity, which demonstrated 7 their utility for improving accuracy of gas and water flow predictions. The simplest form of the 8 Kozeny-Carman equation proposed by Ahuja et al. (1984) is parameterized with novel CT 9 derived parameters such as percolating macroporosity for biopore-dominated flow and total 10 macroporosity for matrix-dominated flow, and improvement of prediction accuracy is 11 discussed.

12 2. Materials and Methods

13 2.1 Study site and soil sampling

14 The 1.69-hectare study site located in Silstrup in northwestern Denmark (56° 55' 56" N, 15 8°38'44" E) is composed of glacial till, a dominant geological formation covering about 43% 16 of all farmland in Denmark (Geological Survey of Denmark and Greenland, 1999). The top 17 meter of the soil is highly fractured and bioturbated, containing 100 to 1000 biopores per m². 18 The field has not been tilled for about 3 years prior to soil sampling. It has been plowed in 19 December 2008 to 23-cm depth and harrowed twice to 5-cm depth in March 2009. Since then 20 the soil was only disturbed when slurry was injected in 10-cm depth in April 2009 and in 5-cm 21 depth in September 2009. A thorough overview of management practices at the study site 22 between 2006 and 2010 is provided in Norgaard et al. (2013).

Sixty-five undisturbed cylindrical soil cores (6-cm inner diameter and 3.5-cm height) were extracted from the topsoil (5 cm to 8.5 cm depth) in summer 2012. At the time of sampling the field was cultivated with red fescue (*Festuca rubra* L.). The soil columns were 1 sampled on a 15 m x 15 m grid with additional 5 sampling locations between grid points (Figure 2 2). All soil columns were extracted by pushing a customized core sampler with aluminum 3 sampling cylinders into the soil and removing the surrounding material step by step. Extracted 4 soil columns were immediately covered with tight plastic lids, placed in plastic bags, and 5 carefully transported to the laboratory to avoid smearing and compaction effects. The soil 6 columns were stored in an environmentally controlled room at 2 °C until the start of the 7 measurements. In addition, bulk soil samples were collected from each point at the same soil 8 depth for texture and organic carbon analysis.

9 2.2 X-ray Computed Tomography scanning and analysis

10 An industrial X-Ray CT scanner (X-Tek HMX225) at the Helmholtz Center for Environmental 11 Research in Halle in Germany was used to scan the intact soil columns at a voltage of 180 kV 12 and a current of 400 µA. A copper filter was placed between the X-ray source and the soil columns to alleviate beam hardening. The shadow projections (radiographs) were 13 14 reconstructed with a Feldkamp cone-beam algorithm (Feldkamp et al., 1984) to obtain 16-bit 15 grayscale 3-D data comprised of $(500 \times 500 \times 300)$ voxels at a resolution of 129 µm (Fig. 1a). 16 For subsequent analysis, the 3-D grayscale volumes were cropped to remove the container wall 17 and disturbed regions on the top and bottom of the sample, numerically corrected for intensity 18 differences caused by beam hardening and other scanning artifacts with a sequential algorithm 19 developed by Iassonov and Tuller (2010), and a 3-D median filter (Jassogne et al., 2007) with 20 a radius of 6 voxels was applied to the grayscale volumes to remove noise (Fig. 1b). Though, 21 median filtering is computationally more demanding than conventional smoothing filters, it is 22 less sensitive to outlier values and thus preserve edges. A locally adaptive Bayesian Markov 23 random field (MRF) algorithm (Iassonov et al., 2009; Kulkarni et al., 2012) that was seeded with adaptive K-means clustering (Chen et al., 1998) was used to segment the intensity-24 corrected and filtered data to distinguish macropores from the soil matrix (Fig. 1c). The 25

homogeneity parameter β in the MRF model was set to 2. For details of the applied MRF
 segmentation algorithm, see *Kulkarni et al.* (2012) and *Tuller et al.* (2013).

3 The segmented CT-data for each soil column were further analyzed with the 4 Image-J software package (Rasband, 2011) to obtain macroporosity, percolating 5 macroporosity, effective percolating macroporosity, macropore specific surface area, 6 macropore hydraulic radius, macropore mean diameter, macropore fractal dimension, 7 macropore global connectivity, and macropore local connectivity (see flowchart depicted in 8 Fig. 1). Three-dimensional pore visualization was conducted with the Image-J plugin 3D 9 viewer. Based on 3D visual observations, soil columns containing percolating biopores (round 10 shaped either formed by roots or earthworms) were separated and labeled as biopore-dominated 11 flow columns; the remaining were labeled as matrix-dominated flow columns (Fig. 1d). The 12 number of pore voxels was determined from the segmented data, and macroporosity (MP) was 13 then calculated as the ratio of the number of pore voxels to the number of total sample voxels 14 (Fig. 1d). The percolating macroporosity (PMP) was calculated based on only the pores that 15 were connected from sample top to bottom by removing all isolated pores (Fig. 1e). All isolated 16 pores were removed with the Image-J plugin "Find Connected Regions". Effective percolating 17 macroporosity (EPMP) was defined and calculated as the ratio of minimum cross-sectional 18 area of percolating macropores (while moving voxel layer by voxel layer from the top to the 19 bottom of the core) and the cross-sectional area of the soil column (Fig. 1f). Macropore specific 20 surface (MPSSA) area was calculated as the ratio of surface area of macropores and the volume 21 of the soil column (Fig. 1g). This was accomplished with the Image-J plugin "Analyze 22 Particles". Macropore hydraulic radius (MPHR) was defined as the ratio of macropore volume 23 and macropore surface area (Fig. 1h) applying the Image-J plugin "Analyze Particles". The 24 macropore mean diameter (MPMD) was estimated with a local 3D thickness algorithm 25 proposed by Dougherty and Kunzelmann (2007) and embedded in the Image-J plugin "Bone-

1 J". This algorithm defines the pore diameter as the diameter of the largest sphere that fits within 2 the pore. The histogram of the thickness map was used for estimating macropore size 3 distribution and macropore mean diameter (Fig. 1i). Macropore fractal dimension (MPFD) was 4 calculated as a measure of the heterogeneity of the spatial distribution of macroporosity with 5 the Image-J plugin "Bone-J" (Fig. 1j). Macropore global connectivity (MPGC) was defined 6 and calculated as the ratio of percolating macroporosity to the total macroporosity of the soil column (Fig. 1k). The macropore local connectivity (MPLC) was estimated with the Image J 7 8 plugin "Bone-J" (Fig. 11). MPLC equals 1 if all pores are connected in one percolating cluster 9 and 0 if porosity is fragmented into many clusters of similar size. X-Ray CT derived pore 10 network characteristics for all scanned and analyzed core samples are provided in 11 supplementary Table S1.

12

Insert Figure 1

13 2.3 Soil physical measurements

Soil texture was determined from disturbed soil samples using a combination of wet sieving and the hydrometer method, after passing the sample through a 2-mm sieve. Soil organic carbon was determined with a LECO carbon analyzer (St. Joseph, MI, USA) coupled with an infrared CO_2 detector. A multiplication factor of 1.72 was used to convert soil organic carbon to soil organic matter. The sand, silt, clay and organic matter contents for the 65 investigated samples are listed in supplementary Table S2.

After X-ray CT scanning, air permeability and gas diffusivity at -30 cm and -100 cm matric potentials, and saturated hydraulic conductivity (K_{sat}) were measured on the same columns. The soil columns were placed in a sand box and saturated from the bottom with tap water. After saturation, tension was successively applied to establish matric potentials of -30 cm and -100 cm, respectively. Air permeability (K_a) was then measured with the steady state method described in *Iversen et al.* (2001) both at -30 cm and -100 cm matric potentials. A
pressure of 5 hPa was applied to assure laminar flow during the measurements. The K_a was
calculated based on the Darcy equation considering the pressure difference across the soil
cores:

$$Q = \frac{K_a \Delta p a_s}{\eta_a L_s} \tag{1}$$

6 where Q ($L^3 T^{-1}$) is the volumetric flow rate, $K_a (L^2)$ is air permeability, $\Delta p (M L^{-1}T^{-2})$ is the 7 pressure difference across the column, $\eta (M L^{-1} T^{-1})$ is dynamic viscosity of air, $a_s (L^2)$ is the 8 cross-sectional area and $L_s (L)$ is the length of the column. Gas diffusivities (D_P/D_0) at -30 cm 9 and -100 cm matric potentials were measured with the one-chamber method developed by 10 *Schjønning et al.* (2013).

After D_P/D₀ measurements, the soil columns were resaturated, and the saturated
hydraulic conductivity (K_{sat}) was measured with the constant head method (*Klute and Dirksen*,
13 1986). All measured flow parameters are provided in supplementary Table S3.

14

Ahuja et al. (1984) developed a relationship (EPM, effective porosity model) between saturated
hydraulic conductivity (K_{sat}) and effective porosity (\$\opluse\$e\$) based on the generalized KozenyCarman equation:

19
$$K_{sat} \text{ or } K_a \text{ or } {}^{D_P}\!/_{D_0} = A \varphi_e^B$$
 (3)

where K_{sat} is saturated hydraulic conductivity, K_a is air permeability, D_P/D_0 is gas diffusivity, and A and B are empirical constants. *Ahuja et al.* (1984) defined ϕ_e as the total porosity minus the soil volumetric water content at field capacity assumed at a matric potential of -33 kPa. Based on a simple calculation applying the capillary rise equation, this means that ϕ_e is the porosity contributed by pores larger than about 9 µm in diameter. We first parameterized the 1 original Ahuja et al. (1984) model with ϕ_e equivalent to the air-filled porosity at -30 kPa. Then, 2 X-ray CT derived macroporosity (MP) was used for ϕ_e for matrix-dominated flow, and X-ray CT derived effective percolating macroporosity (EPMP) was applied for ϕ_e for biopore-3 dominated flow. Note that because of the 129 µm resolution of the CT scans, the CT derived 4 5 parameters MP and EPMP represent significantly larger pores than originally suggested in 6 Ahuja et al. (1984). This seems quite reasonable and interesting to test as macropore flow often occurs in pores with equivalent effective cylindrical diameters larger than 300 µm (Jarvis, 7 8 2007). Rawls et al. (1998) reported that several researchers found the slope A to vary between 1.59 and 3.98 and the intercept to vary between 440 cm d^{-1} and 34,000 cm d^{-1} . 9

10 2.5 Statistics

11 Data collected for soil textural properties and macropore flow parameters were first subjected 12 to classical statistical analysis to obtain descriptive statistics, including minimum, maximum, 13 mean, median, standard deviation, skewness, and coefficient of variation (CV). The degree of 14 spatial variability of soil textural properties and macropore flow parameters was determined 15 with ordinary kriging. The ArcMap 10.1 software (Esri Inc., Redlands, CA, USA) was used to 16 generate contour maps for each measured soil property. Spearman rank order correlation 17 coefficients between macropore network characteristics and macropore flow parameters were calculated with the commercial SigmaPlot 11.0 software package (Systat Software, Inc., San 18 19 Jose, CA, USA). Selected correlations were also graphically displayed and analyzed with 20 linear, power, or exponential regression models. While the applicability of linear models was 21 evaluated, power or exponential models yielded significantly better results in most cases. The models were only fitted if they were significant at p < 0.01. 22

23 **3.** Results and Discussion

24 3.1. Spatial variability of soil texture, organic matter, and macropore flow parameters

1 The soil of the study site was classified as sandy loam (USDA-NRCS Web Soil Survey, 2010) 2 with clay contents ranging from 14 % to 19 %, and organic matter contents varying from 2.9 % 3 to 3.8 %. Descriptive statistics for all soil textural properties are depicted in Table 1. Clay and 4 sand contents were positively skewed, whereas silt and organic matter contents were negatively 5 skewed. All soil textural properties were slightly variable across the field with coefficients of 6 variation (CV) below 10 %. It has been previously reported that the CV for soil textural properties generally depends on the extent of the study area. For example, *Sharma et al.* (2011) 7 8 reported a CV for soil textural properties within the range of 20 % to 30 % for a 40 ha 9 agricultural field in New Mexico, while Wang et al. (2013) reported a CV within the range of 10 19 % to 156 % across the Loess Plateau of China (620×10^3 km²). Kriged maps indicated that 11 soils with high clay contents (Fig. 2a) were on the north side of the field, whereas soils with 12 high organic matter contents occupied the south side (Fig. 2d). Thus, clay and organic matter 13 gradients run in opposite directions at the study site. Soils with high silt contents (Fig. 2b) were 14 on the western part of the field, whereas soils with high sand contents were on the eastern part 15 (Fig. 2c). Relevant information about the semivariograms for each interpolated map is provided 16 in Table 2.

17

Insert Figure 2

18 Descriptive statistics for saturated hydraulic conductivity (K_{sat}), air permeability 19 (K_a), and gas diffusivity (D_P/D_0) at -30 cm and -100 cm matric potentials are provided in Table 20 1. Large positive skewness and quite different mean and median values were observed for all 21 five macropore flow parameters. The K_{sat} , K_a , and D_P/D_0 at -30 cm and -100 cm matric 22 potentials showed the largest variations across the study site with a CV ranging from 92 % to 23 218 %. High CV values were observed due to the presence of biopores in some of the soil 24 columns, while not in others. Renderings of the samples marked as I, II, III, and IV in Fig. 2 25 are depicted in Fig. 3. Samples I and II are matrix-flow dominated and samples III and IV are biopore-flow dominated. Irrespective of the extent of the study area, large variations in K_{sat}
were also reported in other studies (e.g., *Wang et al.*, 2013; *Sharma et al.*, 2011; and *Iqbal et al.*, 2005). Kriged maps for K_{sat}, K_a, and D_P/D₀ (Figs. 2e-g) look quite similar with some areas
randomly exhibiting a high level of macropore flow while matrix flow dominated in other
regions irrespective of soil texture and organic matter content.

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Insert Figure 3

Insert Table 1

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Insert Table 2

9 3.2. Predictive performance of empirical models

10 For many hydrological applications, saturated hydraulic conductivity (K_{sat}) is estimated from 11 more readily available proxy variables such as texture and bulk density. Various empirical 12 models/pedotransfer functions (e.g. Iversen et al., 2011; Jarvis et al., 2009; Schaap et al., 2001; 13 Wösten et al., 1999; Revil and Cathles, 1999) have been previously proposed for predicting 14 saturated hydraulic conductivity. We have observed poor predictive performance of empirical 15 K_{sat} models such as proposed by *Revil and Cathles* (1999) and *Schaap et al.* (2001) (Fig. 4) 16 and for models proposed by Wösten et al. (1999), Vereecken et al. (1989), and Cosby et al. 17 (1984) (not shown). While the measured saturated hydraulic conductivities span over five 18 orders of magnitude due to the presence of a wide range of macro- and biopores in the core 19 samples, model predictions yielded a very narrow K_{sat} range (Fig. 4). The primary reason for 20 the failure of existing empirical models/pedotransfer functions is that they only consider soil 21 texture and bulk density, and thus are not able to realistically capture macropore flow, 22 particularly for highly structured and bioturbated soils. In general, empirical models over-23 predicted K_{sat} in case of matrix flow (empty symbols), while they under-predicted K_{sat} for soil 24 columns with biopore flow (filled symbols). Because results were obtained for samples of limited size from the A-horizon, it should be noted that for larger scales the structural
 characteristics and associated flow parameters, especially the parameters related to pore
 connectivity, might change.

4

Insert Figure 4

5 Over the last two decades, efforts have also been devoted to the development of 6 empirical models for the prediction of air permeability (K_a) (Moldrup et al., 1998; Kawamoto 7 et al., 2006; Chamindu Deepagoda et al., 2011). We have tested the predictive performance of 8 the recently developed density-corrected K_a model (Chamindu Deepagoda et al., 2011) as 9 shown in Figures 5a and 5b. The density-corrected K_a model performed reasonably well for 10 soils with low K_a values (some of the columns with matrix-dominated flow), but completely 11 failed for soils with greater K_a values, especially in the presence of continuous structural cracks 12 or biopores. Starting with Buckingham (1904) a more rigorous effort has been made in the 13 previous century to develop empirical models for the prediction of gas diffusivity (Chamindu 14 Deepagoda et al., 2011). The tested WLR-Marshall model (Moldrup et al., 2000) predicted gas 15 diffusivity reasonably well for soil samples associated with matrix flow and underestimated 16 gas diffusivity for soil samples with biopore flow at -30 cm matric potential (Fig. 5c). This 17 indicates that preferential diffusive flow occurs at greater matric potentials close to saturation even though gas diffusivity is a concentration-driven gas transport parameter. However, at -18 19 100 cm matric potential, the WLR-Marshall model (Moldrup et al., 2000) predicted gas 20 diffusivity well for all soil samples irrespective of matrix or biopore flow (Fig. 5d).

21

Insert Figure 5

22

3.3. Correlations between macropore flow parameters and macropore network characteristics

3 The CT-derived macroporosity and the physically measured air-filled porosity at -30 cm matric 4 potential are in good agreement as shown in Fig. 6. At -30 cm matric potential, all pores with 5 diameters larger than 100 µm should have drained according to the capillary-rise equation. This 6 indicates that the physically measured air-filled porosity at -30 cm matric potential (pore 7 diameter > 100 μ m) should be greater than the X-ray CT derived macroporosity (resolution = 8 129 µm). However, this is only true when assuming a parallel bundle of capillary tubes, which 9 is not a realistic assumption for natural soils. Due to the ink-bottle effect a considerable volume 10 of pores with diameters > 100 μ m are expected to be water filled after drainage at a matric 11 potential of -30 cm. Hence, no perfect match between the CT-measured morphological pore 12 size and the hydraulic pore size estimated with the capillary-rise equation should be expected 13 (Vogel, 2000). The observed agreement between the two measures is reasonable and confirms 14 the applicability of the applied image segmentation method (Fig. 6). However, it must be noted 15 that different image segmentation methods can result in quite different macroporosity values if 16 the CT image quality is bad, i.e. there is a lot of noise and partial volume effect as shown in 17 Naveed (2014).

18

Insert Figure 6

Spearman rank order correlation analysis for macropore flow parameters and macropore network characteristics was performed for all soil columns (Fig. 7a), for soil columns with biopore(s) connected from the top to the bottom (Fig. 7b), and for soil columns with inter-aggregate macropores or disconnected biopores (Fig. 7c). Many of the CT-derived macropore network characteristics were strongly correlated (Fig. 7). This is because large macroporosity is associated with large macropore surface area and better connectivity of macropores. This is in agreement with other recent studies (e.g., *Katuwal et al.*, 2015 and

1 Larsbo et al., 2014). The macropore mean diameter and hydraulic radius were however poorly 2 correlated with other macropore network characteristics. Significant spearman rank order 3 correlations were also observed between macropore flow parameters and most of the CT-4 derived macropore network characteristics (Fig. 7). X-ray CT derived macroporosity was 5 strongly correlated with macropore flow parameters for all three categories of soil samples 6 (Figs. 7a, 7b, and 7c). Very strong correlations were observed between effective percolating macroporosity (EPMP) and macropore flow parameters for the soil columns with biopores 7 8 connected from the top to the bottom (Fig. 7b). Macropore hydraulic radius and macropore 9 mean diameter were significantly correlated with macropore flow parameters for the soil 10 columns associated with biopore-dominated flow (Fig. 7b), whereas poorly correlated for soil 11 columns associated with matrix-dominated flow (Fig. 7c). These findings are in agreement with 12 Elliot et al. (2010) and Quinton et al. (2008). Both macropore global and local connectivity 13 were poorly correlated with macropore flow parameters for the soil columns associated with 14 biopore-dominated flow (Fig. 7b), whereas significantly correlated for the soil columns 15 associated with matrix-dominated flow (Fig. 7c). This makes sense as biopore flow is mainly 16 governed by the largest biopore present in the soil column, whereas matrix flow is mainly 17 controlled by the pore size distribution and connectivity of pores.

18

Insert Figure 7

19 Selected correlations were graphically displayed and analyzed with linear, power, and 20 exponential regression models. The later were superior to linear models in most cases as shown 21 in Figure 8. The saturated hydraulic conductivity (K_{sat}) was plotted as a function of CT-derived 22 macroporosity (8a). Two distinct branches were observed for lower macroporosity values, 23 which approach towards a single branch with increasing CT derived macroporosity. The upper 24 branch with greater conductivities comprises core samples with one or more biopores

1 connected from top to bottom that mainly govern fluid flow (filled symbols). Samples III and 2 *IV* marked in Figure 8a and shown in Figure 3 are members of this branch. The lower branch 3 consists of core samples with fluid mainly flowing through inter-aggregate and textural pores 4 (empty symbols). Samples I and II marked in Figure 8a and shown in Figure 3 are members of 5 this branch. Distinct significant power regressions were observed between K_{sat} and 6 macroporosity for these two categories of the soil columns (Fig. 8a). This suggests that biopore-7 dominated and matrix-dominated flow columns should be discerned as an initial step prior to 8 studying the relationships between macropore flow and CT-derived macroporosity. Both 9 Paradelo et al. (2013) and Luo et al. (2010) found similar relationships between saturated hydraulic conductivity and CT derived macroporosity with R^2 ranging from 0.50 to 0.60. A 10 11 stronger power regression was observed when K_{sat} was plotted as a function of the effective percolating macroporosity (\mathbb{R}^2 increased from 0.43 to 0.76), for the soil columns associated 12 13 with biopore-dominated flow (Fig. 8b, filled symbols), but this is not the case for the soil 14 columns with matrix-dominated flow (Fig. 8b, empty symbols). Significant power regressions 15 were observed between K_{sat} and macropore mean diameter (Fig. 8c). Weak, but significant 16 power regression was observed between K_{sat} and macropore local connectivity for only those 17 soil columns associated with matrix-dominated flow as shown in Figure 8d. No significant 18 regression was observed between K_{sat} and macropore local connectivity for the soil samples 19 associated with biopore-dominated flow (Fig. 8d, filled symbols). A potential explanation for 20 this observation is that the Euler number that is the basis for macropore local connectivity 21 calculations does not account for continuity of the pores from top to bottom.

Air permeability at -30 cm matric potential, K_a (-30), was plotted as a function of macroporosity as shown in Figure 8e. Distinct significant power regressions were observed for the two categories of soil columns, i.e. columns with biopore-dominated flow and with matrixdominated flow (Fig. 8e). Similar to K_{sat} , the power regression was significantly improved (R^2

1 increased from 0.49 to 0.80) when K_a (-30) was plotted as a function of effective percolating 2 macroporosity instead of total macroporosity for the soil columns associated with biopore-3 dominated flow (Fig. 8f, filled symbols). A significant power regression was observed between 4 $K_a(-30)$ and macropore mean diameter for the soil columns with biopore-dominated flow while 5 no significant regression was observed between K_a(-30) and macropore mean diameter for the 6 soil columns with matrix-dominated flow (Fig. 8g). In contrary, significant power regressions 7 were observed between K_a(-30) and macropore local connectivity for soil columns associated 8 with matrix-dominated flow while no significant regression was observed for soil samples 9 associated with biopore-dominated flow (Fig. 8h). Similar power regressions were also 10 observed for K_a (-100) as a function of macroporosity, effective percolating macroporosity, 11 macropore mean diameter, and macropore local connectivity as shown in Figures 8i, 8j, 8k, 12 and 81, respectively.

13 Figures 8m and 8n showed significant power regressions when gas diffusivity at -30 cm 14 matric potential, D_P/D_0 (-30), was plotted against macroporosity and effective percolating 15 macroporosity, respectively. Distinct significant power regressions observed for soil columns 16 associated with biopore-dominated flow and matrix-dominated flow reflect that preferential 17 diffusive flow occurred at -30 cm matric potential. However, at -100 cm matric potential, a 18 single regression significantly described both types of data associated with biopore flow and 19 matrix flow as shown in Figures 8q and 8r. This indicates that no preferential diffusive flow 20 occurred at and below -100 cm matric potentials. Both D_P/D_0 (-30) and D_P/D_0 (-100) showed 21 insignificant regressions when plotted as a function of macropore mean diameter for both 22 categories of soil samples (Figs. 80 and 8s). Significant power regressions were observed when 23 D_P/D_0 (-30) and D_P/D_0 (-100) were plotted as a function of macropore local connectivity for 24 both sets of soil columns associated with matrix flow and biopore flow (Figs 8p and 8t). This

1	is expected as D_P/D_0 is a concentration-driven gas transport parameter mainly controlled by
2	total air-filled pore space and its connectivity, and not by the pore size (Moldrup et al., 2000).
3	Insert Figure 8
4	3.4. Modeling saturated hydraulic conductivity, air permeability and diffusivity
5	Saturated hydraulic conductivity, air permeability at -30 cm and -100 cm matric potentials, and
6	gas diffusivity at -30 cm and -100 cm matric potentials were modelled with the simplified form
7	of the Kozeny-Carman equation presented in Ahuja et al. (1984). First, we have tested the
8	predictive performance of the original Ahuja et al. (1984) model with air-filled porosity at -30
9	kPa as the effective porosity (Fig. 9, red empty symbols). Then, we have modified the original
10	equation with novel CT derived input parameters. The effective porosity in the original model
11	was replaced with the CT derived total macroporosity (MP) in case of matrix-dominated flow
12	(Fig. 9, black empty symbols), and with the effective percolating macroporosity (EPMP) in
13	case of biopore-dominated flow (Fig. 9, black filled symbols). The empirical fitting parameters
14	(A and B) for saturated hydraulic conductivity, air permeability at -30 cm and -100 cm matric
15	potentials, and gas diffusivity at -30 cm and -100 cm matric potentials are provided in Table 3.
16	The 1:1 plots of measured and predicted saturated hydraulic conductivity, air permeability, and
17	gas diffusivity are shown in Figure 9. From Figure 9 it is obvious that predictions with the
18	Ahuja et al. (1984) model with novel input data from X-ray CT analysis are very reasonable
19	and yielded better results than the conventionally parameterized Ahuja et al. (1984) model.
20	This indicates that X-ray CT derived macropore characteristics (MP and EPMP) at 129-µm
21	resolution are quite useful for predicting macropore flow. However, discerning between
22	biopore- and matrix-dominated flows are prerequisite. The predictive capability of the
23	proposed modelling framework requires further independent validation for different soil types

1	to	confirm	the	values/ranges	for	empirical	constants	Α	and	В	for	saturated	hydraulic
2	con	nductivity	y, air	permeability, a	and g	gas diffusiv	ity.						
3						Insert 1	Figure 9						
4						Insert	Table 3						
5	4.	Conc	lusio	ns and Perspe	ctive	9							

While soil textural properties exhibited small spatial variability across the study site with a
 CV < 10%, the macropore flow parameters saturated hydraulic conductivity, air
 permeability, and gas diffusivity, showed large spatial variability across the field with a
 CV > 100%.

10 2. Predictive performance of existing empirical models/pedotransfer functions for saturated 11 hydraulic conductivity and air permeability at -30 cm and -100 cm matric potentials was 12 unsatisfactory. For saturated hydraulic conductivity, existing empirical models over 13 predicted for cases with matrix-dominated flow and under predicted for cases with bioporedominated flow. With regard to air permeability, empirical models predicted matrix-14 15 dominated flow reasonably well, whereas significant under predictions were observed for 16 cases with biopore-dominated flow. The tested empirical model for the prediction of gas 17 diffusivity performed well at -100 cm matric potential, while it failed at -30 cm matric 18 potential, particularly for the soil columns that contained biopores that were connected from 19 the sample top to the sample bottom (i.e. biopore flow dominated samples).

Significant Spearman's Rank correlations were observed between CT-derived macropore
 network characteristics and macropore flow parameters. These correlations were further
 improved when the soil columns were separated into matrix-dominated and biopore dominated flow columns. The predictive performance of the *Ahuja et al.* (1984) model with
 novel input parameters, namely X-ray CT derived effective percolating macroporosity

(EPMP) for biopore-dominated flow and total macroporosity (MP) for matrix-dominated
 flow, was significantly improved. However, further studies for different soil textures are
 required to confirm the values/ranges of the empirical *Ahuja et al.* (1984) A and B model
 parameters for accurate predictions of saturated hydraulic conductivity, air permeability,
 and gas diffusivity.

The rapid development of advanced CT-image segmentation and analysis tools in conjunction with computational fluid dynamics provide promising future means to simulate the dynamics of flow and transport directly with CT derived macropore networks as boundaries. One method particularly suitable for simulating macropore flow and transport based on X-ray CT data is the lattice Boltzmann method (LBM). Most of the studies to date that applied the LBM for simulating flow and transport based on CT-data were for granular porous media (glass beads/sand) and fractured rocks, and not for natural field soil samples. The strong correlations between macropore flow parameters and X-ray CT derived macropore network characteristics observed in this study suggest that lattice Boltzmann flow and transport simulations based on X-ray CT images is a promising avenue for future research.

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1 Authors Contributions

Muhammad Naveed, Per Moldrup, Lis Wollesen de Jonge, and Markus Tuller designed the study and wrote the manuscript. Marcel Schaap and Hans-Jörg Vogel assisted with X-ray CT scanning and analysis. Ramaparsad Kulkarni performed image segmentation. All authors contributed to the manuscript with comments and suggestions throughout the writing process.

6

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Variable	Minimum	Maximum	Mean	Median	Standard deviation	Skewness	CV %
Clay (g 100g ⁻¹)	14.18	18.93	15.82	15.54	1.36	0.65	9
Silt (g 100g-1)	23.30	33.32	30.12	30.10	1.66	-1.21	6
Sand (g 100g ⁻¹)	44.89	59.00	50.71	50.72	2.14	0.32	4
Organic matter (g 100g ⁻¹)	2.90	3.75	3.35	3.38	0.20	-0.42	6
Saturated hydraulic conductivity (cm hr ⁻¹)	0.02	418.2	40.15	1.38	89.48	2.84	218
Air permeability at -30 cm, K_a -30, (μm^2)	0.03	109.19	10.87	3.21	22.33	3.03	205
Air permeability at -100 cm, K_a -100, (μm^2)	0.19	151.10	14.72	5.42	27.13	3.26	184
Gas diffusivity at -30 cm, D_P/D_0 -30	1.0×10^{-4}	1.8×10^{-2}	2.6×10^{-3}	1.7×10^{-3}	3.0×10^{-3}	2.74	123
Gas diffusivity at -100 cm, D_P/D_0 -100	4.0×10^{-4}	2.5×10^{-2}	5.2×10^{-3}	4.0×10^{-3}	5.0×10^{-3}	2.31	92
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Table 1: Descriptive statistics for selected soil physical properties (no. of samples = 65)

Table 2: Partial sill, nugget, range, kriging interpolation model, and root mean square error
 (RMSE) for semivariograms for each interpolated map. All interpolations were
 performed with ESRI ArcMap 10.1.

Variable	Partial Sill	Nugget	Range (m)	Model	RMSE
Clay (g 100g-1)	3.1×10^{-4}	$3.6 imes 10^{-5}$	179	Gaussian	$7.0 imes 10^{-3}$
Silt (g 100g ⁻¹)	$1.6 imes 10^{-4}$	$2.2 imes 10^{-4}$	200	Gaussian	$1.5 imes 10^{-2}$
Sand (g 100g ⁻¹)	$2.9 imes 10^{-4}$	$1.8 imes 10^{-4}$	61	Spherical	$1.7 imes 10^{-2}$
Organic matter (g 100g ⁻¹)	$3.8 imes 10^{-6}$	$6.8 imes 10^{-7}$	89	Spherical	1.2×10^{-3}
Saturated hydraulic conductivity, K_{sat} (cm hr ⁻¹)	6080	3827	24	Spherical	97.23
Air permeability at -30 cm, K_a -30, (μm^2)	80	459	24	Circular	23.45
Air permeability at -100 cm, K_a -100, (μm^2)	0	753	0	Spherical	27.54
Gas diffusivity at -30 cm, D_P/D_0 -30	$2.7 imes 10^{-6}$	$1.0 imes 10^{-5}$	24	Spherical	3.5×10^{-3}
Gas diffusivity at -100 cm, D _P /D ₀ -100	$6.3 imes 10^{-6}$	$2.1 imes 10^{-5}$	30	Circular	5.3×10^{-3}
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Table 3: Empirical constants for the Ahuja (1984) model with air-filled porosity at -30 kPa,

X-ray CT derived effective percolating macroporosity (EPMP), and total

macroporosity (MP) as effective porosity ϕ_e , respectively.

Variable	А	В
ϕ_e = air-filled porosity at -30kPa in the Ah	uja (1984) mo	del
Saturated hydraulic conductivity, K _{sat} (cm hr ⁻¹)	5000	3.2
Air permeability at -30 cm, K_a -30, (μm^2)	5000	3.4
Air permeability at -100 cm, K_a -100, (μm^2)	5000	3.2
Gas diffusivity at -30 cm, D_P/D_0 -30	0.27	2.3
Gas diffusivity at -100 cm, D_P/D_0 -100	0.27	2.0
$ \phi_e = effective \ percolating \ macroporosity \ (EPMP) \ i \\ for \ biopore-dominated \ flow$		984) model
Saturated hydraulic conductivity, K _{sat} (cm hr ⁻¹)	5000	1.15
Air permeability at -30 cm, K_a -30, (μm^2)	5000	1.5
Air permeability at -100 cm, K_a -100, (μm^2)	5000	1.4
Gas diffusivity at -30 cm, D _P /D ₀ -30	0.27	1.12
Gas diffusivity at -100 cm, D_P/D_0 -100	0.27	0.98
ϕ_e = total macroporosity (MP) in the Ahu for matrix-dominated flow		el
Saturated hydraulic conductivity, K _{sat} (cm hr ⁻¹)	5000	3.2
Air permeability at -30 cm, K_a -30, (μm^2)	5000	3.0
Air permeability at -100 cm, K_a -100, (μm^2)	5000	2.7
Gas diffusivity at -30 cm, D _P /D ₀ -30	0.27	1.90
Ous diffusivity at 50 cm, DP/D0 50		

1 Figures Captions:

- 2 Figure 1: Flowchart illustrating all performed CT-data enhancement, segmentation, and
 3 analysis steps.
- **4 Figure 2:** Contour maps depicting the spatial distribution of soil textural properties and 5 macropore flow parameters; (a) clay (< 2 μ m), (b) silt (2 μ m -50 μ m), (c) sand 6 (50 μ m -2000 μ m), (d) organic matter content, (e) saturated hydraulic 7 conductivity (cm hr⁻¹), (f) air permeability (μ m²) at -100 cm matric potential, and 8 (g) gas diffusivity at -100 cm matric potential. Visualizations of samples marked 9 as *I*, *II*, *III*, and *IV*, are depicted in Figure 3.
- 10Figure 3:Three-dimensional visualizations of sample soil columns and associated11measured macropore flow parameters. K_{sat} is saturated hydraulic conductivity,12and K_a -100 and D_P/D_0 -100 are air permeability and gas diffusivity at -100 cm13matric potential, respectively.
- Figure 4: Predictive performance of empirical saturated hydraulic conductivity (K_{sat})
 models. Filled symbols represent samples with biopore-dominated flow and
 empty symbols represent samples with matrix-dominated flow. Visualizations of
 samples marked as *I*, *II*, *III*, and *IV*, are depicted in Figure 3.
- Figure 5: Predictive performance of empirical models for air permeability (K_a) and gas diffusivity (D_P/D₀) at -30 cm and -100 cm matric potentials. (a, b) Chamindu Deepagoda et al. (2011) model; (c, d) WLR-Marshall model (Moldrup et al., 2000). Filled symbols represent samples with biopore-dominated flow and empty symbols represent samples with matrix-dominated flow. Visualizations of samples marked as *I*, *II*, *III*, and *IV*, are depicted in Figure 3.
 - 33

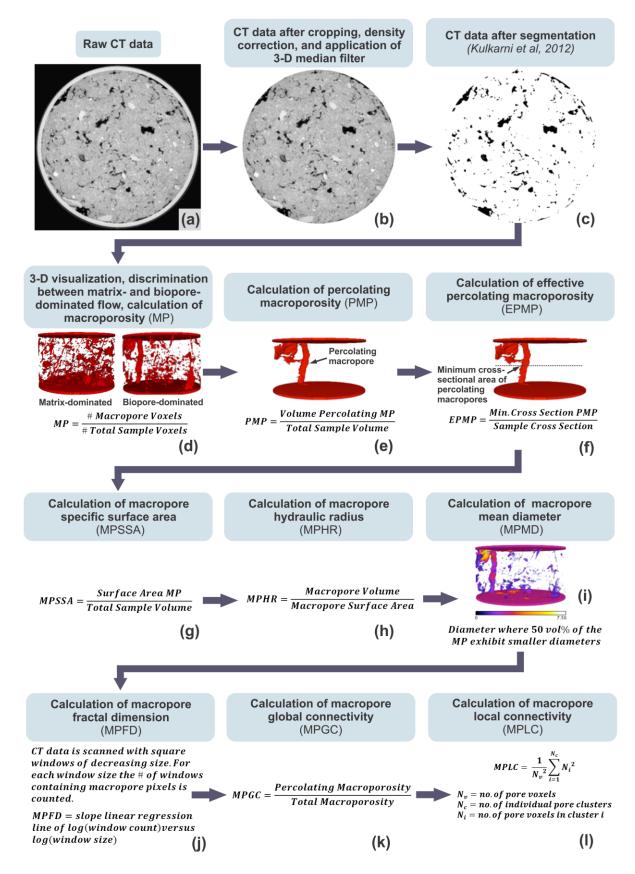
Figure 6: CT-derived macroporosity plotted as a function of physically measured air-filled
 porosity at -30 cm matric potential.

3 Figure 7: Spearman rank order correlation analysis for (a) all samples (n = 65), (b) samples 4 with biopore flow (n = 16), and (c) samples with matrix flow (n = 49); stars 5 indicate significant correlations at p value < 0.01. MP is macroporosity, PMP is 6 percolating macroporosity, EPMP is effective percolating macroporosity, 7 MPSSA is macropore specific surface area, MPHR is macropore hydraulic radius, 8 MPMD is macropore mean diameter, MPFD is macropore fractal dimension, 9 MPGC is macropore global connectivity, MPLC is macropore local connectivity, K_{sat} is saturated hydraulic conductivity (cm hr⁻¹), K_a -30 is air permeability (μ m²) 10 at -30 cm matric potential, K_a -100 is air permeability (μm^2) at -100 cm matric 11 12 potential, D_P/D_0 -30 is gas diffusivity at -30 cm matric potential, and D_P/D_0 -100 is gas diffusivity at -100 cm matric potential. Strong correlation (r > 0.70), moderate 13 correlation (r = 0.5 - 0.7), and weak correlation (r < 0.5). 14

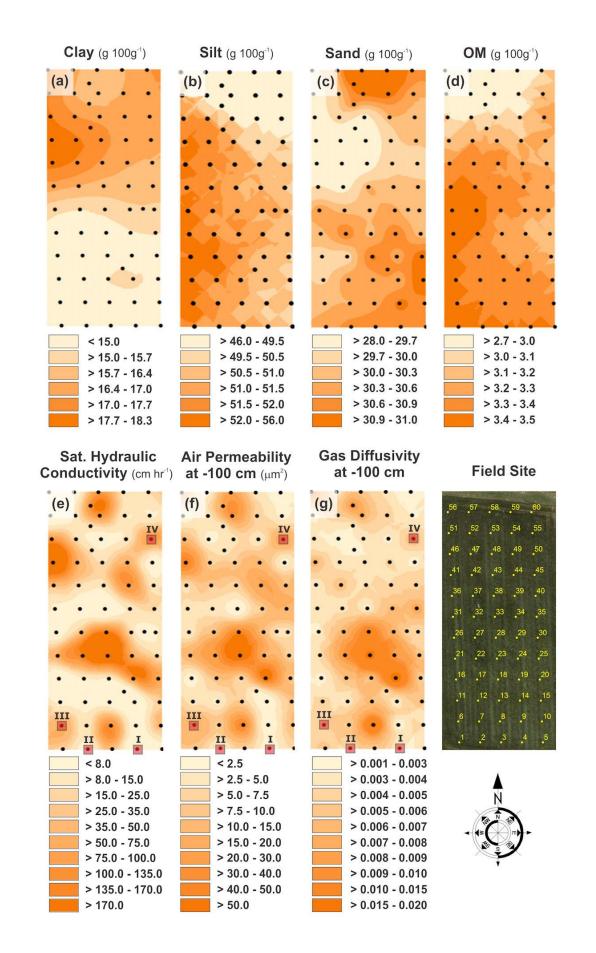
15 Figure 8: Saturated hydraulic conductivity (K_{sat}), air permeability at -30 cm matric potential 16 (Ka-30), air permeability at -100 cm matric potential (Ka-100), gas diffusivity at -17 30 cm matric potential (D_P/D_0 -30), and gas diffusivity at -100 cm matric potential (D_P/D_0-100) were plotted as a function of selected CT-derived macropore network 18 19 characteristics; filled symbols represent samples with biopore-dominated flow 20 and empty symbols represent samples with matrix-dominated flow. Fitting linear 21 regression models has been attempted; a power model was always superior where 22 a significant correlation was present. Two separate regressions were fitted for samples with biopore flow and matrix flow if they were significantly different. 23 24 Plots g, k, l, and p only show one curve because the other was not significant,

while plots q, r, and t have only one model because the two models did not differ significantly from each other.

- Figure 9: Predictive performance of the Ahuja et al. (1984) model parameterized with airfilled porosity at -30 kPa (red empty symbols), X-ray CT derived effective
 percolating macroporosity (EPMP) (black filled symbols), and total
 macroporosity (MP) (black empty symbols), respectively. Predicted (a) saturated
 hydraulic conductivity, (b) air permeability at -30 cm matric potential, (c) air
 permeability at -100 cm matric potential, (d) gas diffusivity at -30 cm matric
 potential, and (e) gas diffusivity at -100 cm matric potential.



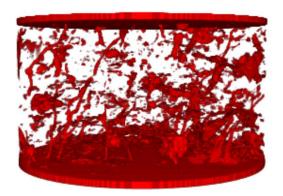
2 Figure 1



2 Figure 2

Ι

II



 $K_{sat} = 0.014 \text{ cm } \text{hr}^{-1}$ $K_a - 100 = 0.49 \text{ } \mu \text{m}^2$ $D_P / D_0 - 100 = 0.0010$



$$\begin{split} K_{sat} &= 0.14 \text{ cm hr}^{-1} \\ K_a - 100 &= 0.67 \ \mu\text{m}^2 \\ D_P / D_0 - 100 &= 0.0011 \end{split}$$

III

IV



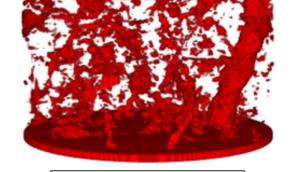
 $K_{sat} = 48.13 \text{ cm hr}^{-1}$ $K_{a}\text{-}100 = 15.27 \text{ }\mu\text{m}^{2}$ $D_{P}\text{/}D_{0}\text{-}100 = 0.0046$

1

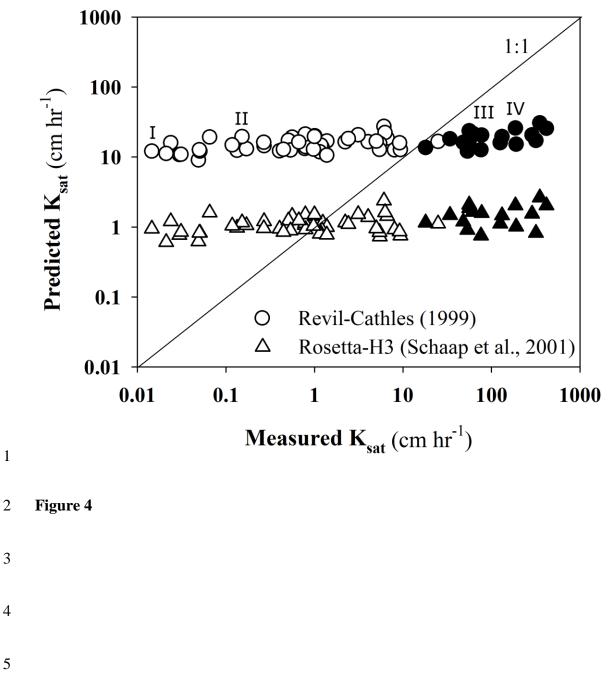
- 2 Figure 3
- 3

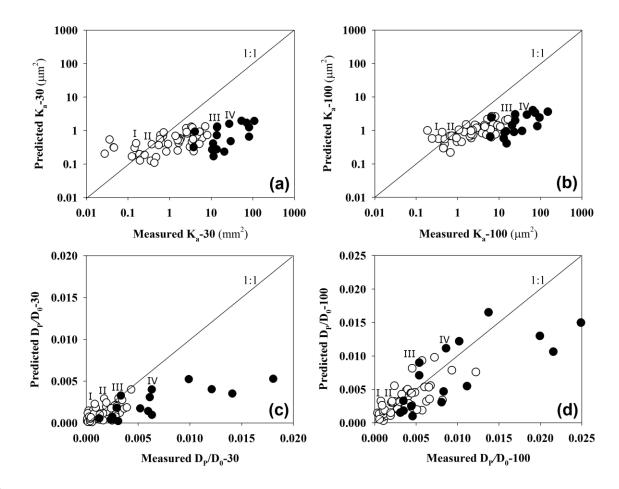
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- 5



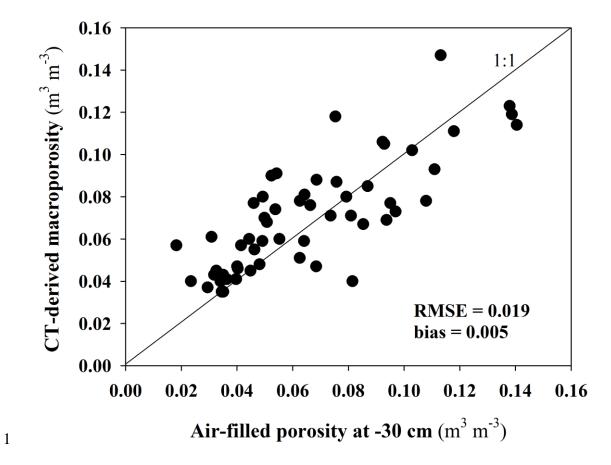
 $K_{sat} = 190.37 \text{ cm } \text{hr}^{-1}$ $K_{a}\text{-}100 = 36.07 \text{ } \mu\text{m}^{2}$ $D_{P}\text{/}D_{0}\text{-}100 = 0.0081$







2 Figure 5







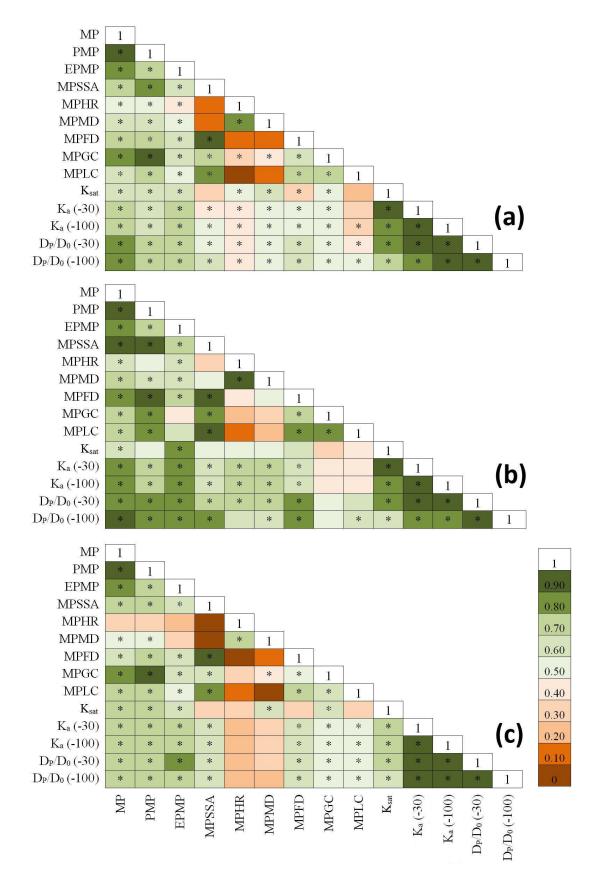
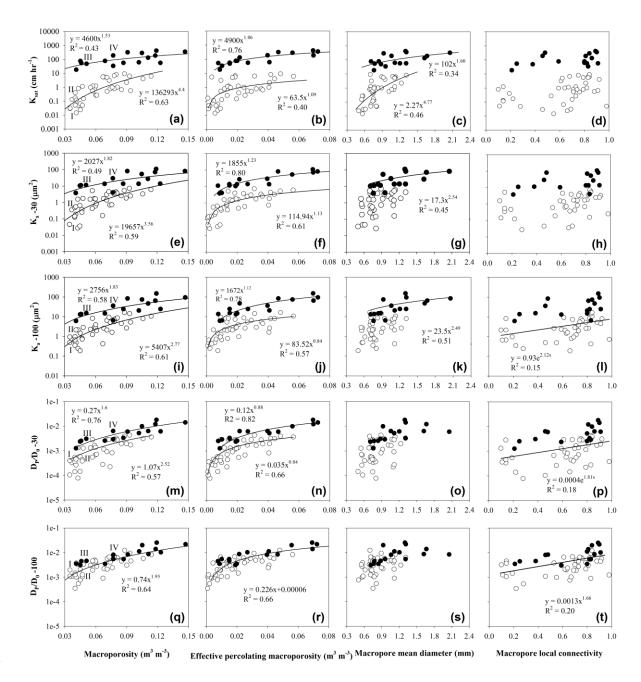


Figure 7



2 Figure 8

