

# Prediction of biopore and matrix dominated flow from X-ray CT-derived macropore network characteristics

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## **Abstract**

Prediction and modeling of localized flow processes in macropores is of crucial importance for sustaining both soil and water quality. However, currently there are no reliable means to predict preferential flow due to its inherently large spatial variability. The aim of this study was to investigate the predictive performance of previously developed empirical models for both water and air flow and to explore the potential applicability of X-ray Computed Tomography (CT) derived macropore network characteristics. For this purpose, 65 cylindrical soil columns (6 cm diameter and 3.5 cm height) were extracted from the topsoil (5 cm to 8.5 cm depth) in a 15 m  $\times$  15 m grid from an agricultural field located in Silstrup, Denmark. All soil columns were scanned with an industrial X-Ray CT scanner (129  $\mu$ m resolution) and later employed for measurements of saturated permeability, air permeability at -30 cm and -100 cm matric potentials, and gas diffusivity at -30 cm and -100 cm matric potentials. Distribution maps for both permeabilities and gas diffusivities reflected no autocorrelation irrespective of the soil texture and organic matter contents. Existing empirical predictive models for permeabilities showed poor performance, as they were not able to realistically capture macropore flow. The tested empirical model for gas diffusivity predicted measurements at -100 cm matric potential reasonably well, but failed at -30 cm matric potential, particularly for soil columns with biopore-dominated flow. X-ray CT derived macroporosity matched the measured air-filled porosity at -30 cm matric potential well. Many of the CT derived macropore network characteristics were strongly interrelated. Most of the macropore network characteristics were also significantly correlated with saturated permeability, air permeability, and gas diffusivity. The predictive Ahuja et al. (1984) model for saturated permeability, air permeability, and gas diffusivity performed reasonably well when parameterized with novel, X-ray CT derived parameters such as effective percolating macroporosity for biopore-dominated flow and total macroporosity for matrix-dominated

flow. The obtained results further indicate that it is crucially important to discern between matrix-dominated and biopore-dominated flow for accurate prediction of macropore flow from macropore network characteristics.

## 1. Introduction

The importance of macropore flow for the partitioning of precipitation between runoff and infiltration, for plant water uptake and plant growth, for biogeochemical cycling rates, and for potential risks of ground water contamination is widely recognized (Iversen et al., 2011; de Jonge et al., 2004; Fox et al., 2004; Moustafa, 2000). Thus, over the last decade, major research efforts have been devoted to improve the understanding of macropore flow and associated governing parameters, and to develop predictive macropore flow models (Jarvis, 2007). Macropore flow and transport refers to the localized and commonly very rapid movement of water and solutes through the soil profile. Macropores resulting from biological activity (root channels, worm holes etc.), geological forces (subsurface erosion, shrinkage and swelling etc.), and agricultural management (e.g., plowing) serve as the main channels for this rapid and long-distance flow and transport of water, air, and contaminants. Macropore flow is largely determined by soil structure and is generally a dominating process in loamy and clayey soils (Jarvis et al., 2009) where large inter-aggregate pores and biopores often act as pathways for rapid flow and transport. The transition from matrix to macropore flow (equilibrium to non-equilibrium) depends on the pore size distribution and pore continuity, and the degree of soil saturation (Bouma, 1981). Macropore flow often occurs in pores with equivalent effective cylindrical diameters larger than 0.3 mm, which indicates that the matric potential needs to be close to zero and the water content close to saturation for these pores to be activated (Jarvis, 2007).

Soil and crop management practices strongly modify soil structure and thus the extent of macropore flow and transport. Wang et al. (2013) and Gonzalez-Sosa et al. (2010) studied the impact of land use on the hydraulic properties of the topsoil of the Loess Plateau of China and for a suburban catchment in France, respectively. Both studies have reported greater saturated hydraulic conductivities for forested land, intermediate for permanent

1 pasture, and lower for farmland soils. This is primarily due to the abundance of biota and less  
2 disturbance in forests and permanent pastures when compared to cultivated lands (Naveed et  
3 al., 2014a; Norgaard et al., 2013; Pérès et al., 2012). Application of animal manure and  
4 fertilizers can also influence macropore flow, first by altering soil structure and second by  
5 promoting the density of earthworms, particularly deep penetrating anecic worms (Naveed et  
6 al., 2014b). Climatic conditions (seasonal temperature and precipitation variations) might  
7 also affect soil structure and macropore flow through interactions with physical processes  
8 such as cyclic freezing/thawing and wetting/drying (Hu et al., 2012). Due to the complex  
9 interactions and the significant number of influencing factors, a large spatial variability of  
10 saturated hydraulic conductivity has been reported by several authors (Wang et al., 2013;  
11 Raczkowski et al., 2012; Iversen et al., 2011). Therefore, the predictive capabilities of  
12 empirical models/pedotransfer functions for saturated hydraulic conductivity are limited  
13 because they ignore the effects of key site factors and underestimate the significance of soil  
14 structure (Vereecken et al., 2010). Recently, pedotransfer functions for saturated hydraulic  
15 conductivity that account for soil structure have been developed, but they are rarely applied  
16 due to the complexity of input parameters and the still significant prediction inaccuracies  
17 (Jarvis et al., 2013; Iversen et al., 2011; Lilly et al., 2008).

18           Along with the prediction of macropore water flow (i.e. saturated hydraulic  
19 conductivity), prediction of macropore airflow (i.e. air permeability and diffusivity) is also of  
20 essence. Air permeability is a key parameter for the design of soil vapor extraction  
21 remediation processes. Air diffusivity is of importance because the availability of oxygen to  
22 plant roots via diffusion is a basic factor of soil productivity. Various empirical models have  
23 been proposed in the past for the prediction of air permeability (Deepagoda et al., 2011;  
24 Kawamoto et al., 2006) and air diffusivity (Deepagoda et al., 2011; Moldrup et al., 2000).

1 However, none of the above studies have evaluated their applicability after discerning  
2 between biopore- and matrix-dominated flow domains.

3         Recent developments in soil imaging techniques not only allow visual  
4 observations but also quantification of pore network complexity. Application of X-ray CT  
5 provides emerging alternative means for estimating subsurface macropore flow and transport  
6 (Wildenschild and Sheppard, 2013). Over the last decade, numerous studies about the  
7 characterization of macropore structure (i.e. macroporosity, macropore size distribution,  
8 volume, surface area, tortuosity, etc.) were conducted with X-Ray CT for different land use  
9 and management systems (Katuwal et al., 2015; Larsbo et al., 2014; Hu et al., 2014; Naveed  
10 et al., 2013; Vogel et al., 2010; Luo et al., 2010). However, to date there are only a very few  
11 published studies on quantitatively relating macropore network characteristics to the  
12 observations of macropore flow. Katuwal et al. (2015) found that CT derived macroporosity  
13 for the limiting section of the soil column was strongly correlated with air permeability and  
14 5% tracer arrival time. Larsbo et al. (2014) reported significant correlations between X-ray  
15 CT derived macropore network characteristics and flow and transport parameters. Paradelo et  
16 al. (2013) found that CT derived macroporosity was strongly correlated with saturated  
17 hydraulic conductivity, solute dispersivity, and contaminant breakthrough. Luo et al. (2010)  
18 reported that macroporosity, path number, hydraulic radius, and macropore angle were the  
19 most useful X-ray CT derived parameters for predicting macropore flow and transport under  
20 saturated conditions.

21         In this study we first evaluate the predictive performance of existing pedotransfer  
22 functions/models for saturated permeability, air permeability, and gas diffusivity. While it has  
23 been previously demonstrated that water flow in macropores cannot be accurately predicted  
24 with empirical models from basic soil properties (Vereecken and Javaux, 2009; Vereecken et  
25 al., 2010), there is only little published work related to gas diffusivity. Furthermore, existing

1 pedotransfer functions/empirical models do not discern between matrix- and biopore-  
2 dominated flow domains, which is of significance for accurate prediction of preferential flow  
3 as demonstrated in the results section. In the second part of this study we derive novel  
4 macropore network characteristics for saturated permeability, air permeability, and gas  
5 diffusivity from X-ray CT observations and demonstrate their utility for improving accuracy  
6 of gas and water flow predictions. The simplest form of the Kozeny-Carman equation  
7 proposed by Ahuja et al. (1984) is parameterized with novel CT derived parameters such as  
8 percolating macroporosity for biopore-dominated flow and total macroporosity for matrix-  
9 dominated flow and improvement of prediction accuracy is discussed.

## 2. Materials and Methods

### 2.1 Study site and soil sampling

The 1.69-hectars study site located in Silstrup in northwestern Denmark (56° 55' 56" N, 8°38'44" E) is composed of glacial till, a dominant geological formation covering about 43% of all farmland in Denmark (Geological Survey of Denmark and Greenland, 1999). The top meter of the soil is highly fractured and bioturbated, containing 100 biopores per m<sup>2</sup> to 1000 biopores per m<sup>2</sup>. The field has not been tilled for about 3 years prior to soil sampling. It has been plowed in December 2008 to 23-cm depth and harrowed twice to 5-cm depth in March 2009. Since then the soil was only disturbed when slurry was injected in 10-cm depth in April 2009 and in 5-cm depth in September 2009. A thorough overview of management practices at the study site between 2006 and 2010 is provided in Norgaard et al. (2013).

Sixty-five undisturbed cylindrical soil cores (6-cm internal diameter and 3.5-cm height) were extracted from the topsoil (5 cm to 8.5 cm depth) in the summer 2012. At the time of sampling the field was cultivated with red fescue (*Festuca rubra* L.). The soil columns were sampled on a 15 m x 15 m grid with additional 5 sampling locations between grid points (Figure 2). All soil columns were extracted by pushing a customized core sampler with aluminum sampling cylinders into the soil and removing the surrounding material step by step. Extracted soil columns were immediately covered with tight plastic lids, placed in plastic bags, and carefully transported to the laboratory to avoid smearing and compaction effects. The soil columns were stored in an environmentally controlled room at 2 °C until the start of measurements. In addition, bulk soil samples were collected from each point at the same soil depth for texture and organic carbon analysis.

### 2.2 X-ray Computed Tomography scanning and analysis



1 An industrial X-Ray CT scanner (X-Tek HMX225) at the Helmholtz Center for  
2 Environmental Research in Halle in Germany was used to scan the intact soil columns at a  
3 voltage of 180 kV and a current of 400  $\mu$ A. A copper filter was placed between the X-ray  
4 source and the soil columns to alleviate beam hardening. The shadow projections  
5 (radiographs) were reconstructed with a Feldkamp cone-beam algorithm (Feldkamp et al.,  
6 1984) to obtain 16-bit grayscale 3-D data comprised of (500 $\times$ 500 $\times$ 300) voxels at a resolution  
7 of 129  $\mu$ m (Fig. 1a). For subsequent analysis, the 3-D grayscale volumes were cropped to  
8 remove the container wall and disturbed regions on the top and bottom of the sample,  
9 numerically corrected for intensity differences caused by beam hardening and other scanning  
10 artifacts with a sequential algorithm developed by Iassonov and Tuller (2010), and a 3-D  
11 median filter (Jassogne et al., 2007) with a radius of 6 voxels was applied to the grayscale  
12 volumes to remove noise (Fig. 1b). Though, median filtering is computationally more  
13 demanding than conventional smoothing filters, it is less sensitive to outlier values and thus  
14 preserve edges. A locally adaptive Bayesian Markov random field (MRF) algorithm  
15 (Iassonov et al., 2009; Kulkarni et al., 2012) that was seeded with adaptive K-means  
16 clustering (Chen et al., 1998) was used to segment the intensity-corrected and filtered data to  
17 distinguish macropores from the soil matrix (Fig. 1c). The homogeneity parameter  $\beta$  in the  
18 MRF model was set to 2.0. For details of the applied MRF segmentation algorithm, see  
19 Kulkarni et al. (2012) and Tuller et al. (2013).

20           The segmented CT-data for each soil column were further analyzed to obtain  
21 macroporosity, percolating macroporosity, effective percolating macroporosity, macropore  
22 specific surface area, macropore hydraulic radius, macropore mean diameter, macropore  
23 fractal dimension, macropore global connectivity, and macropore local connectivity (see  
24 flowchart depicted in Fig. 1) with the Image-J software package (Rasband, 2011). Three-  
25 dimensional pore visualization was conducted with the Image-J plugin 3D viewer. Based on

3D visual observations, soil columns containing percolating biopores (round shaped either formed by roots or earthworms) were separated and labeled as biopore-dominated flow columns; the remaining were labeled as matrix-dominated flow columns (Fig. 1d). The number of pore voxels was determined from the segmented data, and macroporosity (MP) was then calculated as the ratio of the number of pore voxels to the number of total sample voxels (Fig. 1d). The percolating macroporosity (PMP) was calculated based on only the pores that were connected from core sample top to bottom by removing all isolated pores (Fig. 1e). All isolated pores were removed with the Image-J plugin “Find Connected Regions”. Effective percolating macroporosity (EPMP) was defined and calculated as the ratio of minimum cross-sectional area of percolating macropores (while moving voxel layer by voxel layer from the top to the bottom of the core) to the cross-sectional area of the soil column (Fig. 1f). Macropore specific surface (MPSSA) area was calculated as the ratio of surface area of macropores to the volume of soil column (Fig. 1g). It was calculated with the Image-J plugin “Analyze Particles”. Macropore hydraulic radius (MPHR) was defined as the ratio of macropore volume to the macropore surface area (Fig. 1h). It was also calculated with the Image-J plugin “Analyze Particles”. The macropore mean diameter (MPMD) was estimated with a local 3D thickness algorithm proposed by Dougherty and Kunzelmann (2007) and embedded in the Image-J plugin “Bone-J”. This algorithm defines the pore diameter as the diameter of the largest sphere that fits within the pore. The histogram of the thickness map was used for estimating macropore size distribution and macropore mean diameter (Fig. 1i). Macropore fractal dimension (MPFD) was calculated as a measure of the heterogeneity of the spatial distribution of macroporosity with the Image-J plugin “Bone-J” (Fig. 1j). Macropore global connectivity (MPGC) was defined and calculated as the ratio of percolating macroporosity to the total macroporosity of the soil column (Fig. 1k). The

macropore local connectivity (MPLC) was estimated with the Image J plugin “Bone-J” (Fig. 11)

### 2.3 Soil physical measurements

Soil texture was determined from disturbed soil samples using a combination of wet sieving and the hydrometer method, after passing the sample through a 2-mm sieve. Soil organic carbon was determined with a LECO carbon analyzer (St. Joseph, MI, USA) coupled with an infrared CO<sub>2</sub> detector. A multiplication factor of 1.72 was used to convert soil organic carbon to soil organic matter.

After X-ray CT scanning, air permeability and gas diffusivity at -30 cm and -100 cm matric potentials, and saturated hydraulic conductivity were measured on the same columns. The soil columns were placed in a sand box and saturated from the bottom with tap water. After saturation, suction was successively applied to establish matric potentials of -30 cm and -100 cm. Air permeability ( $k_a$ ) was then measured with the steady state method described in Iversen et al. (2001) both at -30 cm and -100 cm matric potentials. The pressure of 5 hPa was applied to assure laminar flow during the measurements. The  $k_a$  was calculated from Darcy's equation based on the pressure difference across the core:

$$Q = \frac{k_a \Delta p a_s}{\eta_a L_s} \quad (1)$$

where  $Q$  (L<sup>3</sup> T<sup>-1</sup>) is the volumetric flow rate,  $k_a$  (L<sup>2</sup>) is air permeability,  $\Delta p$  (M L<sup>-1</sup>T<sup>-2</sup>) is the pressure difference across the column,  $\eta$  (M L<sup>-1</sup> T<sup>-1</sup>) is dynamic viscosity of air,  $a_s$  (L<sup>2</sup>) is the cross-sectional area and  $L_s$  (L) is the length of the column. Gas diffusivities ( $D_p/D_0$ ) at -30 cm and -100 cm matric potentials were measured with the one-chamber method described in Schjønning et al. (2013).

After  $D_p/D_0$  measurements, the soil columns were resaturated, and the saturated hydraulic conductivity was measured with the constant head method (Klute and Dirksen,

1986). The laboratory measured saturated hydraulic conductivities were then converted to intrinsic permeabilities considering water at 20 °C:

$$k_{sat} = K_{sat} \frac{\eta_w}{\rho_w g} \quad (2)$$

where  $k_{sat}$  (L<sup>2</sup>) is saturated permeability,  $K_{sat}$  (L T<sup>-1</sup>) is saturated hydraulic conductivity,  $\eta_w$  (M L<sup>-1</sup> T<sup>-1</sup>) is dynamic viscosity of water,  $\rho_w$  (M L<sup>-3</sup>) is density of water and  $g$  (L T<sup>-2</sup>) is gravitational acceleration. Intrinsic permeability was used for better comparison with air permeability measurements. All measured flow parameters are provided in supplementary Table S1.

## 2.4 Modelling

Ahuja et al., (1984) developed a relationship (EPM, effective porosity model) between saturated hydraulic permeability ( $k_{sat}$ ) and effective porosity ( $\phi_e$ ) based on the generalized Kozeny-Carman equation:

$$k_{sat} \text{ or } D_P/D_0 = A\phi_e^B \quad (3)$$

where  $D_P/D_0$  is gas diffusivity, and  $A$  and  $B$  are empirical constants. Ahuja et al. (1984) defined  $\phi_e$  as the total porosity minus the soil volumetric water content at field capacity, assumed as the water content at a matric potential of -33 kPa. Rawls et al. (1998) reported that several researchers found the slope  $A$  to vary between 1.59 and 3.98 and the intercept to vary between 440 cm d<sup>-1</sup> and 34,000 cm d<sup>-1</sup>. We have modified equation (3) by using X-ray CT derived macroporosity (MP) as  $\phi_e$  for matrix-dominated flow, and X-ray CT derived effective percolating macroporosity (EPMP) as  $\phi_e$  for biopore-dominated flow.

## 2.5 Statistics

1 Data collected for soil textural properties and macropore flow parameters were first subjected  
2 to classical statistical analysis to obtain descriptive statistics, including minimum, maximum,  
3 mean, median, standard deviation, skewness, and coefficient of variation (CV). The degree of  
4 spatial variability of soil textural properties and macropore flow parameters was determined  
5 with ordinary kriging. The ArcMap 10.1 (Esri, Inc.) software was used to generate contour  
6 maps for each measured soil property. Spearman rank order correlation coefficients between  
7 macropore network characteristics and macropore flow parameters were calculated with the  
8 commercial SigmaPlot 11.0 software package. The correlations were considered significant if  
9  $p$  values were below 0.01. Selected correlations were also graphically displayed and analyzed  
10 with linear or power regressions. The form of regression relationship was chosen based on  
11 the achievable coefficients of determination ( $R^2$ ). The power function was preferred over  
12 simple linear regression if it resulted in larger  $R^2$ . The linear and power models were only  
13 fitted if they were significant at  $p < 0.01$ .

### 3. Results and Discussion

#### 3.1. Spatial variability of soil texture, organic matter, and macropore flow parameters

The soil of the study site was classified as sandy loam (USDA-NRCS Web Soil Survey, 2010) with clay contents ranging from 14 % to 19 %, and organic matter content varying from 2.9 % to 3.8 %. Descriptive statistics for all soil textural properties are depicted in Table 1. Clay and sand contents were positively skewed, whereas silt and organic matter contents were negatively skewed. All soil textural properties were slightly variable across the field with coefficients of variation (CV) below 10 %. It has been previously reported that the CV for soil textural properties generally depends on the extent of the study area. For example, Sharma et al. (2011) reported a CV for soil textural properties within the range of 20 % to 30 % for a 40 ha agricultural field in New Mexico, while Wang et al. (2013) reported a CV within the range of 19 % to 156 % across the Loess Plateau of China ( $620 \times 10^3 \text{ km}^2$ ). Krigged maps indicated that soils with high clay contents (Fig. 2a) were on the north side of the field, whereas soils with high organic matter contents occupied the south side (Fig. 2d). Thus, clay and organic matter gradients run in opposite directions at the study site. Soils with high silt contents (Fig. 2b) were on the western side of the field, whereas soils with high sand contents were on the eastern side (Fig. 2c).

Descriptive statistics for saturated permeability ( $k_{\text{sat}}$ ), air permeability ( $k_a$ ), and gas diffusivity ( $D_p/D_0$ ) at -30 cm and -100 cm matric potentials are provided in Table 1. Large positive skewness and quite different mean and median values were observed for all five macropore flow parameters. The  $k_{\text{sat}}$ ,  $k_a$ , and  $D_p/D_0$  at -30 cm and -100 cm matric potentials showed the largest variations across the study site with a CV ranging from 92 % to 218 % (up to 5 orders of magnitude). High CV values were observed due to the presence of biopores in some of the soil columns, while not in others (samples marked as *I*, *II*, *III*, and *IV* in Fig. 2 are shown in Fig. 3; out of the 4 marked samples *I* and *II* are matrix-flow dominated

and *III* and *IV* are biopore-flow dominated). Irrespective of the extent of the study area, large variations in  $k_{\text{sat}}$  were also reported in other studies (e.g., Wang et al., 2013; Sharma et al., 2011; and Iqbal et al., 2005). Krigged maps for  $k_{\text{sat}}$ ,  $k_a$ , and  $D_p/D_0$  (Figs. 2e-g) look quite similar with some areas randomly exhibiting a high level of macropore flow while matrix flow dominated in other regions irrespective of soil texture and organic matter content.

### 3.2. Predictive performance of empirical models

For many hydrological applications, saturated permeability ( $k_{\text{sat}}$ ) is estimated from more readily available proxy variables such as texture and bulk density. Various empirical models/pedotransfer functions (e.g. Iversen et al., 2011; Jarvis et al., 2009; Schaap et al., 2001; Wösten et al., 1999; Revil and Cathles, 1999) have been previously proposed for predicting saturated hydraulic conductivity. We have observed poor predictive performance of empirical  $k_{\text{sat}}$  models such as proposed by Revil and Cathles (1999) and Schaap et al. (2001) (Fig. 4) and for models proposed by Wösten et al. (1999), Vereecken et al. (1989), and Cosby et al. (1984) (not shown). While the measured saturated permeabilities spanned five orders of magnitude, model predictions were within a narrow range (Fig. 4). This reflected the presence of a wide range of macropores and biopores in the soil columns. The primary reason for the failure of the existing empirical models/pedotransfer functions is that they are based on soil texture and bulk density, and thus are not able to realistically capture macropore flow, particularly for highly structured and bioturbated soils. In general, empirical models over-predicted  $k_{\text{sat}}$  in case of matrix flow (empty symbols), while they under-predicted  $k_{\text{sat}}$  for soil columns with biopore flow (filled symbols). Because results were obtained for samples of limited size from the A-horizon, it should be noted that for larger scales the structural characteristics, especially that related to pore connectivity, might change and herewith also the flow parameters.

Over the last 2 decades, some efforts were also devoted to the development of empirical models for the prediction of air permeability ( $k_a$ ) (Moldrup et al., 1998; Kawamoto et al., 2006; Deepagoda et al., 2011). Here, we have tested the predictive performance of the recently developed density-corrected  $k_a$  model (Deepagoda et al., 2011) as shown in Figures 5a and 5b. The density-corrected  $k_a$  model performed reasonably well for soils with lower  $k_a$  values (some of the columns with matrix-dominated flow), but completely failed for soils with greater  $k_a$  values for example in the presence of continuous structural cracks or biopores. Starting with Buckingham (1904) a more rigorous effort has been made in the previous century to develop empirical models for the prediction of gas diffusivity (Deepagoda et al., 2011). The tested WLR-Marshall model (Moldrup et al., 2000) predicted gas diffusivity reasonably well for soil samples associated with matrix flow and underestimated gas diffusivity for soil samples with biopore flow at -30 cm matric potential (Fig. 5c). This reflects that preferential diffusive flow could occur at greater matric potentials close to saturation even though gas diffusivity is a concentration-driven gas transport parameter. However at -100 cm matric potential, the WLR-Marshall model (Moldrup et al., 2000) predicted gas diffusivity well for all soil samples irrespective of matrix or biopore flow (Fig. 5d).

### *3.3. Correlations between macropore flow parameters and macropore network characteristics*

The CT-derived macroporosity was plotted as a function of physically measured air-filled porosity at -30 cm matric potential (Fig. 6). The physically measured air-filled porosity at -30 cm matric potential agreed well with the X-ray CT analyzed macroporosity at 129- $\mu$ m resolution. At -30 cm matric potential, all pores of diameter larger than 100  $\mu$ m should have drained according to the Young Laplace capillary-rise equation. Referring to this, physically



measured air-filled porosity at -30 cm matric potential (pore diameter > 100  $\mu\text{m}$ ) should be greater than the X-ray CT derived macroporosity (resolution = 129  $\mu\text{m}$ ). However, this is only true when assuming a parallel bundle of capillary tubes, which is clearly not realistic for natural soils. Due to the ink-bottle effect a considerable volume of pores of diameter > 100  $\mu\text{m}$  are expected to be water filled after drainage at a water potential of -30 cm. Hence, no perfect match between the morphological pore size measured with CT and the hydraulic pore size estimated from the Young-Laplace equation can be expected (Vogel, 2000). Hence, the observed agreement between the two measures is absolutely reasonable and confirms the accuracy of the employed image segmentation method (Fig. 6). However, it must be noted that different image segmentation methods can result in quite different macroporosity values if the image quality is bad, i.e. there is a lot of noise and partial volume effect as shown in Naveed et al. (2014c).

Spearman rank order correlation analysis between macropore flow parameters and macropore network characteristics was carried out first for all soil columns (Fig. 7a), second for soil columns containing biopores(s) connected from top to bottom (Fig. 7b), and third for soil columns containing inter-aggregate macropores or disconnected biopores (Fig. 7c). Many of the CT-derived macropore network characteristics were strongly inter-related (Fig. 7). This is because large macroporosities were associated with larger macropore surface area and better connectivity of macropores. This is in agreement with other recent studies (e.g., Katuwal et al., 2015; and Larsbo et al., 2014). Macropore mean diameter and hydraulic radius were however poorly correlated with other macropore network characteristics because of inherently different measures of macropores. Significant spearman rank order correlations were also observed between macropore flow parameters and most of the CT-derived macropore network characteristics (Fig. 7). X-ray CT macroporosity was strongly correlated with macropore flow parameters for all three categories of soil samples (Figs. 7a, b, and c).

Very strong correlations were observed between effective percolating macroporosity (EPMP) and macropore flow parameters for the soil columns consisting of biopores(s) connected from top to bottom (Fig. 7b). Macropore hydraulic radius and macropore mean diameter were significantly correlated with macropore flow parameters for the soil columns associated with biopore-dominated flow (Fig. 7b), whereas poorly correlated in case of soil columns associated with matrix-dominated flow (Fig. 7c). Elliot et al. (2010) and Quinton et al. (2008) support this. Both macropore global and local connectivities were poorly correlated with macropore flow parameters for the soil columns associated with biopore-dominated flow (Fig. 7b), whereas significantly correlated for the soil columns associated with matrix-dominated flow (Fig. 7c). This makes sense as biopore flow is mainly controlled by the size of the largest biopore present in the soil columns, whereas matrix flow is mainly controlled by the pore size distribution and connectivity of pores.

Selected correlations were graphically displayed and analyzed with linear and power regressions (which best described the data) as shown in Figure 8. The saturated permeability ( $k_{\text{sat}}$ ) was plotted as a function of CT-derived macroporosity (8a). A two-branch data trend was observed at lower CT derived macroporosity, which merges into a single branch with the increase of macroporosity. The upper branch with greater permeabilities consists of soil columns with one or more biopores connected from top to bottom that mainly governs fluid flow (filled symbols). Samples *III* and *IV* marked in Figure 8a and shown in Figure 3 are members of this branch. The lower branch consists of soil samples in which fluid mainly flows through inter-aggregate and textural pores (unfilled symbols). Samples *I* and *II* marked in Figure 8a and shown in Figure 3 are members of this branch. Significant distinct power regressions were observed between  $k_{\text{sat}}$  and macroporosity for these two categories of the soil columns (Fig. 8a). This suggests that distinction between biopore-dominated flow and matrix-dominated flow should be carried out as a first step in studying the relationships

between macropore flow and CT-derived macroporosity. Both Paradelo et al. (2013) and Luo et al. (2010) found similar relationships between saturated hydraulic conductivity and CT derived macroporosity with  $R^2$  ranging from 0.50 to 0.60. A stronger power regression was observed,  $R^2$  increased from 0.43 to 0.76, when  $k_{\text{sat}}$  was plotted as a function of the effective percolating macroporosity for the soil columns associated with biopore-dominated flow (Fig. 8b, filled symbols), but this is not the case for the soil columns with matrix-dominated flow (Fig. 8b, empty symbols). Significant power regressions were observed between  $k_{\text{sat}}$  and macropore mean diameter (Fig. 8c). Weak, but significant power regression was observed between  $k_{\text{sat}}$  and macropore local connectivity for only those soil columns associated with matrix-dominated flow as shown in Figure 8d. No significant regression was observed between  $k_{\text{sat}}$  and macropore local connectivity for the soil samples associated with biopore-dominated flow (Fig. 8d, filled symbols). A potential explanation is that the Euler number that is the basis for macropore local connectivity calculations does not account for continuity of the pores from top to bottom.

Air permeability at -30 cm matric potential,  $k_a$  (-30), was plotted as a function of macroporosity as shown in Figure 8e. Significant distinct power regressions were observed for the two categories of soil columns i.e. biopore-dominated flow and matrix-dominated flow (Fig. 8e). Similarly to  $k_{\text{sat}}$ , power regression was significantly improved ( $R^2$  increased from 0.49 to 0.80) when  $k_a$  (-30) was plotted as a function of effective percolating macroporosity instead of total macroporosity for the soil columns associated with biopore-dominated flow (Fig. 8f, filled symbols). A significant power regression was observed between  $k_a$  (-30) and macropore mean diameter for the soil columns with biopore-dominated flow while no significant regression was observed between  $k_a$  (-30) and macropore mean diameter for the soil columns with matrix-dominated flow (Fig. 8g). Contrary to this, significant power regression was observed between  $k_a$  (-30) and macropore local connectivity

for soil columns associated with matrix-dominated flow while no significant regression was observed for soil samples associated with biopore-dominated flow (Fig. 8h). Similar power regressions were also observed for  $k_a$  (-100) as a function of macroporosity, effective percolating macroporosity, macropore mean diameter, and macropore local connectivity as shown in Figures 8i, 8j, 8k, and 8l, respectively.

Figures 8m and 8n showed significant power regressions when gas diffusivity at -30 cm matric potential,  $D_p/D_0$  (-30), was plotted against macroporosity and effective percolating macroporosity, respectively. Distinct significant power regressions observed for soil columns associated with biopore-dominated flow and matrix-dominated flow reflects that preferential diffusive flow occurred at -30 cm matric potential. However at -100 cm matric potential, a single regression significantly described both types of data associated with biopore flow and matrix flow as shown in Figures 8q and 8r. This reflects that no preferential diffusive flow occurred at and below -100 cm matric potentials. Both  $D_p/D_0$  (-30) and  $D_p/D_0$  (-100) showed insignificant regressions when plotted as a function of macropore mean diameter for both categories of soil samples (Figs. 8o and 8s). Significant power regressions were observed when  $D_p/D_0$  (-30) and  $D_p/D_0$  (-100) were plotted as a function of macropore local connectivity for both set of soil columns associated with matrix flow and biopore flow (Figs 8p and 8t). This is logical as  $D_p/D_0$  is a concentration-driven gas transport parameter and is mainly controlled by total air-filled pore space and its connectivity, and not by the pore size (Moldrup et al., 2000).

### 3.4. Modelling saturated permeability, air permeability and diffusivity

Saturated permeability, air permeability at -30 cm and -100 cm matric potentials, and gas diffusivity at -30 cm and -100 cm matric potentials were modelled using the simplified form of Kozeny-Carman equation presented by Ahuja et al. (1984). We have modified this

equation by providing novel input parameters. The effective porosity in the original model was replaced with the CT derived total macroporosity (MP) in case of matrix-dominated flow, and with the effective percolating macroporosity (EPMP) in case of biopore-dominated flow. The empirical fitting parameters (A and B) for saturated permeability, air permeability at -30 cm and -100 cm matric potentials, and gas diffusivity at -30 cm and -100 cm matric potentials are given in Table 3. The 1:1 plots between measured and predicted saturated permeability, air permeability, and gas diffusivity are shown in Figure 9. From figure 9 it is obvious that predictions with the simplified Kozeny-Carman equation with novel input data from X-ray CT analysis are very reasonable. However, the predictive capability of the proposed modelling framework requires further independent validation for different soil types to confirm the values/ranges for empirical constants A and B for saturated permeability, air permeability, and gas diffusivity.

Rapid development of advanced CT-image segmentation and analysis tools in conjunction with computational fluid dynamics provide promising future means to simulate the dynamics of flow and transport directly with CT derived macropore networks as boundaries. One method particularly suitable for simulating macropore flow and transport based on X-ray CT data is the lattice Boltzmann method (LBM). Most of the studies to date that applied the LBM for simulating flow and transport based on CT-data were for granular porous media (glass beads/sand) and fractured rocks, and not for natural field soil samples. Strong correlations between macropore flow parameters and X-ray CT derived macropore network characteristics suggest that lattice Boltzmann flow and transport simulations based on X-ray CT images could be a potential topic for future research and pave the way for the establishment of a digital soil physics laboratory.

#### 4. Conclusions and Perspective

1. Soil textural properties showed small spatial variability across the study site with a CV < 10%. Despite this, macropore flow parameters i.e. saturated permeability, air permeability, and gas diffusivity, showed large spatial variability across the field with a CV > 100%.
2. Predictive performance of existing empirical models/pedotransfer functions for saturated permeability and air permeability at -30 cm and -100 cm matric potentials was quite poor. For saturated permeability, existing empirical models over predicted in case of matrix-dominated flow and under predicted in case of biopore-dominated flow. For air permeabilities, empirical models predicted matrix-dominated flow reasonably, whereas under predictions were observed in cases of biopore-dominated flow. The tested empirical model for the prediction of gas diffusivity performed well at -100 cm matric potential, while it failed at -30 cm matric potential particularly for the soil columns that contained top-to-bottom connected biopores i.e. biopore dominated flow.
3. Significant Spearman's Rank correlations were observed between CT-derived macropore network characteristics and macropore flow parameters. These correlations were further improved by splitting soil columns into matrix-dominated flow columns and biopore-dominated flow columns. The predictive performance of Ahuja et al. (1984) model with novel input parameters, X-ray CT derived effective percolating macroporosity (EPMP) for biopore-dominated flow and total macroporosity (MP) for matrix-dominated flow, was very good. However, further studies for different soil types are needed to confirm the values/ranges of empirical constants A and B of Ahuja et al., (1984) model for robust predictions of saturated permeability, air permeability, and gas diffusivity.

## **Authors Contributions**

Muhammad Naveed, Per Moldrup, Lis Wollesen de Jonge, and Markus Tuller designed the study and wrote the manuscript. Marcel Schaap and Hans-Jörg Vogel assisted with X-ray CT scanning and analysis. Ramaparsad Kulkarni performed image segmentation. All authors contributed to the manuscript with comments and suggestions throughout the writing process.

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1 Table 1: Descriptive statistics for selected soil physical properties (n = 65)

Variable	Minimum	Maximum	Mean	Median	Standard deviation	Skewness	CV %
Clay (g 100g <sup>-1</sup> )	14.18	18.93	15.82	15.54	1.36	0.65	9
Silt (g 100g <sup>-1</sup> )	23.30	33.32	30.12	30.10	1.66	-1.21	6
Sand (g 100g <sup>-1</sup> )	44.89	59.00	50.71	50.72	2.14	0.32	4
Organic matter (g 100g <sup>-1</sup> )	2.90	3.75	3.35	3.38	0.20	-0.42	6
Saturated hydraulic. conductivity (cm hr <sup>-1</sup> )	0.02	418.2	40.15	1.38	89.48	2.84	218
Saturated permeability, $k_{sat}$ (μm <sup>2</sup> )	0.01	118.1	12.04	0.39	26.30	2.73	218
Air permeability at -30 cm, $k_a$ -30, (μm <sup>2</sup> )	0.03	109.19	10.87	3.21	22.33	3.03	205
Air permeability at -100 cm, $k_a$ -100, (μm <sup>2</sup> )	0.19	151.10	14.72	5.42	27.13	3.26	184
Gas diffusivity at -30 cm, $D_p/D_0$ -30	$1.0 \times 10^{-4}$	$1.8 \times 10^{-2}$	$2.6 \times 10^{-3}$	$1.7 \times 10^{-3}$	$3.0 \times 10^{-3}$	2.74	123
Gas diffusivity at -100 cm, $D_p/D_0$ -100	$4.0 \times 10^{-4}$	$2.5 \times 10^{-2}$	$5.2 \times 10^{-3}$	$4.0 \times 10^{-3}$	$5.0 \times 10^{-3}$	2.31	92

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1 Table 2: Partial sill, nugget, range, kriging interpolation model, and root mean square error  
2 (RMSE) for semivariograms for each interpolated map. All interpolations were carried out in  
3 ESRI ArcMap 10.1.

Variable	Partial Sill	Nugget	Range (m)	Model	RMSE
Clay (g 100g <sup>-1</sup> )	$3.1 \times 10^{-4}$	$3.6 \times 10^{-5}$	179	Gaussian	$7.0 \times 10^{-3}$
Silt (g 100g <sup>-1</sup> )	$1.6 \times 10^{-4}$	$2.2 \times 10^{-4}$	200	Gaussian	$1.5 \times 10^{-2}$
Sand (g 100g <sup>-1</sup> )	$2.9 \times 10^{-4}$	$1.8 \times 10^{-4}$	61	Spherical	$1.7 \times 10^{-2}$
Organic matter (g 100g <sup>-1</sup> )	$3.8 \times 10^{-6}$	$6.8 \times 10^{-7}$	89	Spherical	$1.2 \times 10^{-3}$
Saturated permeability, $k_{\text{sat}}$ (μm <sup>2</sup> )	214	538	24	Spherical	27.67
Air permeability at -30 cm, $k_a$ -30, (μm <sup>2</sup> )	80	459	24	Circular	23.45
Air permeability at -100 cm, $k_a$ -100, (μm <sup>2</sup> )	0	753	0	Spherical	27.54
Gas diffusivity at -30 cm, $D_p/D_0$ -30	$2.7 \times 10^{-6}$	$1.0 \times 10^{-5}$	24	Spherical	$3.5 \times 10^{-3}$
Gas diffusivity at -100 cm, $D_p/D_0$ -100	$6.3 \times 10^{-6}$	$2.1 \times 10^{-5}$	30	Circular	$5.3 \times 10^{-3}$

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Table 3: Fitted empirical constants for the Ahuja (1984) model with X-ray CT derived effective percolating macroporosity (EPMP) and total macroporosity (MP) as input parameters for biopore- and matrix-dominated flow, respectively.

Variable	A	B
Biopore-dominated flow		
Saturated permeability, $k_{\text{sat}}$ ( $\mu\text{m}^2$ )	5000	1.4
Air permeability at -30 cm, $k_a$ -30, ( $\mu\text{m}^2$ )	5000	1.5
Air permeability at -100 cm, $k_a$ -100, ( $\mu\text{m}^2$ )	5000	1.4
Gas diffusivity at -30 cm, $D_p/D_0$ -30	0.27	1.12
Gas diffusivity at -100 cm, $D_p/D_0$ -100	0.27	0.98
Matrix-dominated flow		
Saturated permeability, $k_{\text{sat}}$ ( $\mu\text{m}^2$ )	5000	3.6
Air permeability at -30 cm, $k_a$ -30, ( $\mu\text{m}^2$ )	5000	3.0
Air permeability at -100 cm, $k_a$ -100, ( $\mu\text{m}^2$ )	5000	2.7
Gas diffusivity at -30 cm, $D_p/D_0$ -30	0.27	1.90
Gas diffusivity at -100 cm, $D_p/D_0$ -100	0.27	1.55

## Figures Captions:

Figure 1: Flowchart illustrating all performed CT-data enhancement, segmentation, and analysis steps.

Figure 2: Contour maps depicting the spatial distribution of soil textural properties and macropore flow parameters, (a) clay ( $< 2 \mu\text{m}$ ), (b) silt ( $2 \mu\text{m} - 50 \mu\text{m}$ ), (c) sand ( $50 \mu\text{m} - 2000 \mu\text{m}$ ), (d) organic matter content, (e) saturated permeability ( $\mu\text{m}^2$ ), (f) air permeability ( $\mu\text{m}^2$ ) at  $-100 \text{ cm}$  matric potential, and (g) gas diffusivity at  $-100 \text{ cm}$  matric potential. Visualizations of samples marked as *I*, *II*, *III*, and *IV*, are depicted in Figure 3.

Figure 3: Three-dimensional visualizations of sample soil columns and associated measured macropore flow parameters ( $k_{\text{sat}}$  is saturated permeability, and  $k_a-100$  and  $D_p/D_0-100$  are air permeability and gas diffusivity at  $-100 \text{ cm}$  matric potentials, respectively).

Figure 4: Predictive performance of empirical models for saturated permeability ( $k_{\text{sat}}$ ); filled symbols represent samples with biopore-dominated flow and empty symbols represent samples with matrix-dominated flow; samples marked as *I*, *II*, *III*, and *IV* are depicted in Figure 3.

Figure 5: Predictive performance of empirical models for air permeability ( $k_a$ ) and gas diffusivity ( $D_p/D_0$ ) at  $-30 \text{ cm}$  and  $-100 \text{ cm}$  matric potentials. (a) Deepagoda et al. (2011), (b) Deepagoda et al., (2011), (c) WLR-Marshall model (Moldrup et al., 2000), and (d) WLR-Marshall model (Moldrup et al., 2000); filled symbols represent samples with biopore-dominated flow and empty symbols represent samples with matrix-dominated flow; samples marked as *I*, *II*, *III*, and *IV* are depicted in Figure 3.

Figure 6: CT-derived macroporosity plotted as a function of physically measured air-filled porosity at  $-30 \text{ cm}$  matric potential.

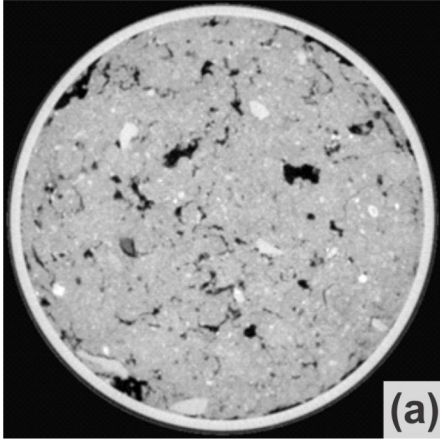
Figure 7: Spearman rank order correlation analysis for (a) all samples ( $N = 65$ ), (b) samples with biopore flow ( $N = 16$ ), and (c) samples with matrix flow ( $N = 49$ ); stars indicate

significant correlations at  $p$  value  $< 0.01$ ; where MP is macroporosity, PMP is percolating macroporosity, EPMP is effective percolating macroporosity, MPSSA is macropore specific surface area, MPHR is macropore hydraulic radius, MPMD is macropore mean diameter, MPFD is macropore fractal dimension, MPGC is macropore global connectivity, MPLC is macropore local connectivity,  $k_{sat}$  is saturated permeability,  $k_a-30$  is air permeability at -30 cm matric potential,  $k_a-100$  is air permeability at -100 cm matric potential,  $D_p/D_0-30$  is gas diffusivity at -30 cm matric potential, and  $D_p/D_0-100$  is gas diffusivity at -100 cm matric potential, strong correlation ( $r > 0.70$ ), moderate correlation ( $r = 0.5 - 0.7$ ), and weak correlation ( $r < 0.5$ ).

Figure 8: Saturated permeability ( $k_{sat}$ ), air permeability at -30 cm matric potential ( $k_a-30$ ), air permeability at -100 cm matric potential ( $k_a-100$ ), gas diffusivity at -30 cm matric potential ( $D_p/D_0-30$ ), and gas diffusivity at -100 cm matric potential ( $D_p/D_0-100$ ) were plotted as a function of selected CT-derived macropore network characteristics; filled symbols represent samples with biopore-dominated flow and empty symbols represent samples with matrix-dominated flow. Either linear or power regressions that best describe data (greater  $R^2$ ) were fitted if found significant at  $p < 0.01$ , two separate regressions were fitted for samples with biopore flow and matrix flow if they were significantly different.

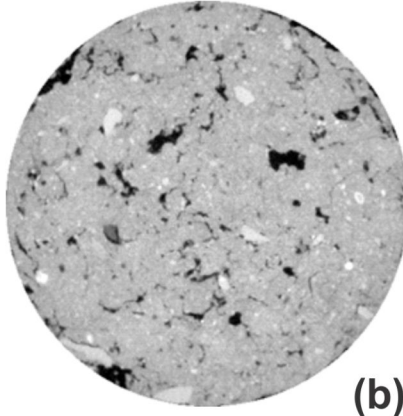
Figure 9: Predictive performance of Ahuja et al. (1984) model using novel input parameters, effective porosity in the original model was replaced with the CT derived total macroporosity (MP) in case of matrix-dominated flow, and with the effective percolating macroporosity (EPMP) in case of biopore-dominated flow, for (a) saturated permeability, (b) air permeability at -30 cm matric potential, (c) air permeability at -100 cm matric potential, (d) gas diffusivity at -30 cm matric potential, and (e) gas diffusivity at -100 cm matric potential.

Raw CT data



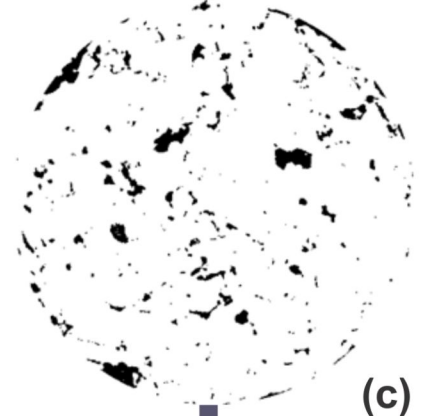
(a)

CT data after cropping, density correction, and application of 3-D median filter



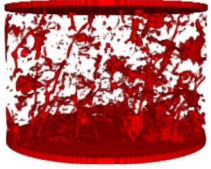
(b)

CT data after segmentation  
(Kulkarni et al, 2012)



(c)

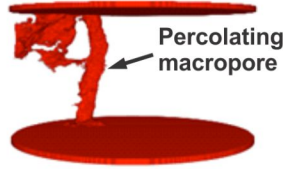
3-D visualization, discrimination between matrix- and biopore-dominated flow, calculation of macroporosity (MP)



$$MP = \frac{\# \text{ Macropore Voxels}}{\# \text{ Total Sample Voxels}}$$

(d)

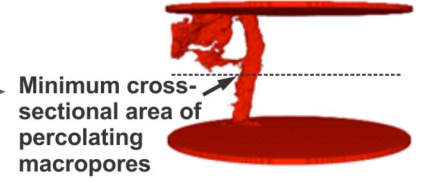
Calculation of percolating macroporosity (PMP)



$$PMP = \frac{\text{Volume Percolating MP}}{\text{Total Sample Volume}}$$

(e)

Calculation of effective percolating macroporosity (EPMP)



$$EPMP = \frac{\text{Min. Cross Section PMP}}{\text{Sample Cross Section}}$$

(f)

Calculation of macropore specific surface area (MPSSA)

$$MPSSA = \frac{\text{Surface Area MP}}{\text{Total Sample Volume}}$$

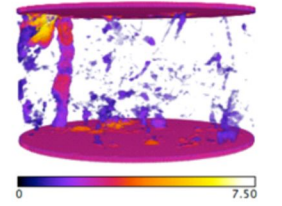
(g)

Calculation of macropore hydraulic radius (MPHR)

$$MPHR = \frac{\text{Macropore Volume}}{\text{Macropore Surface Area}}$$

(h)

Calculation of macropore mean diameter (MPMD)



(i)

Diameter where 50 vol% of the MP exhibit smaller diameters

Calculation of macropore fractal dimension (MPFD)

CT data is scanned with square windows of decreasing size. For each window size the # of windows containing macropore pixels is counted.

MPFD = slope linear regression line of  $\log(\text{window count})$  versus  $\log(\text{window size})$

(j)

Calculation of macropore global connectivity (MPGC)

$$MPGC = \frac{\text{Percolating Macroporosity}}{\text{Total Macroporosity}}$$

(k)

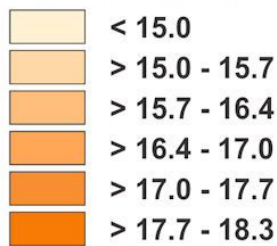
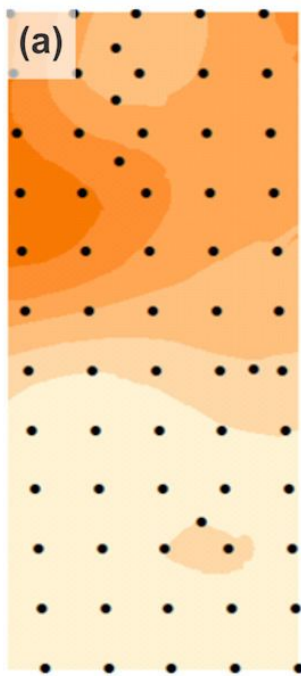
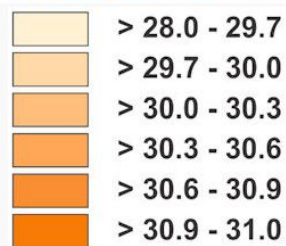
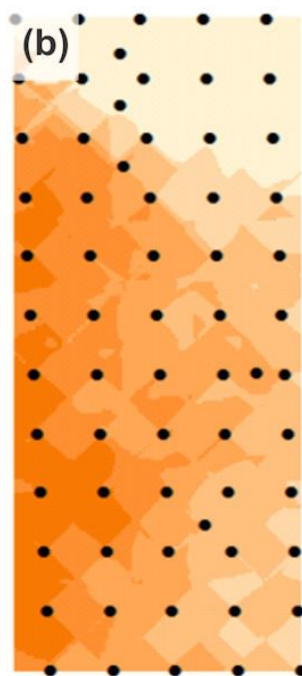
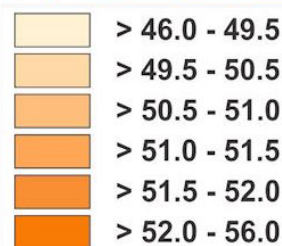
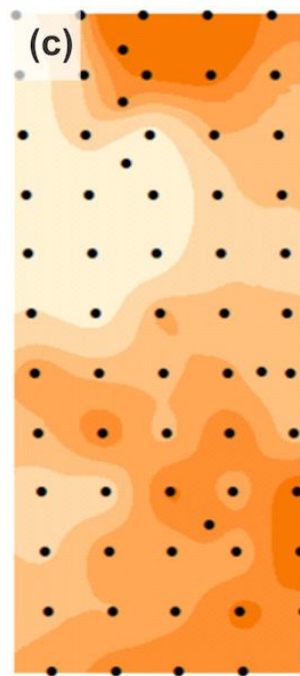
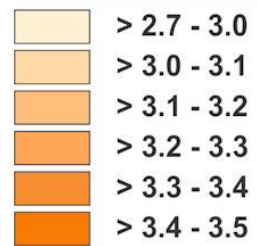
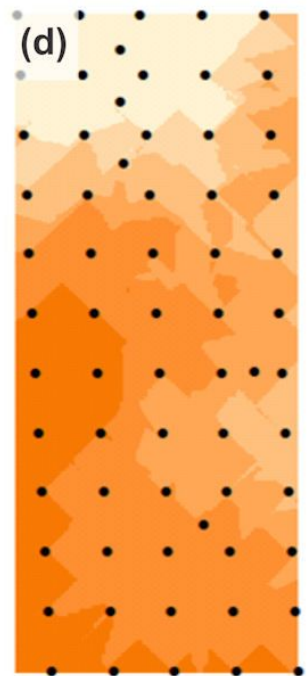
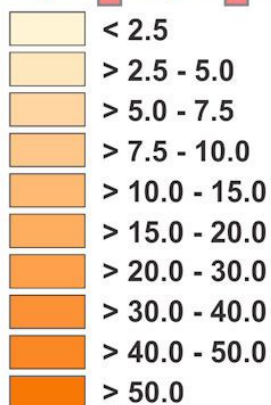
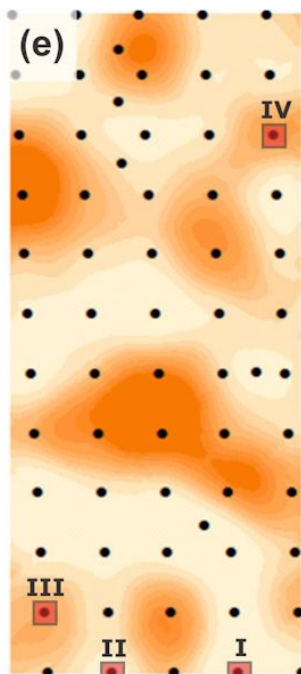
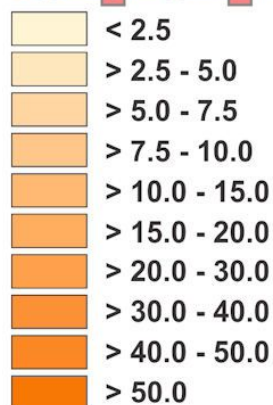
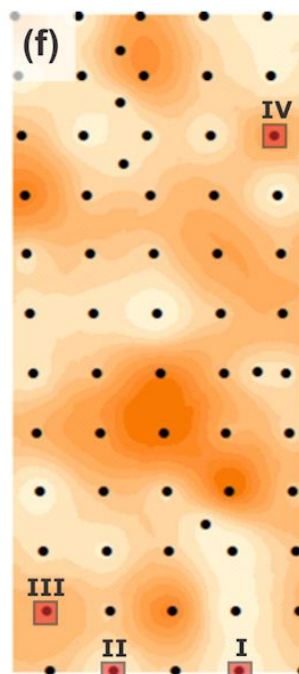
Calculation of macropore local connectivity (MPLC)

$$MPLC = \frac{\text{Euler No. of Largest MP Cluster}}{\text{Total Volume}}$$

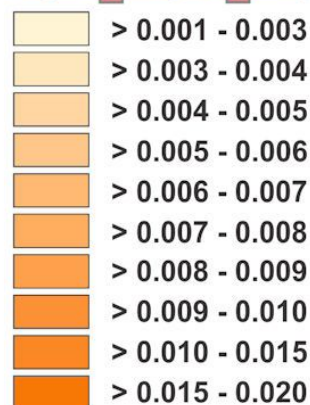
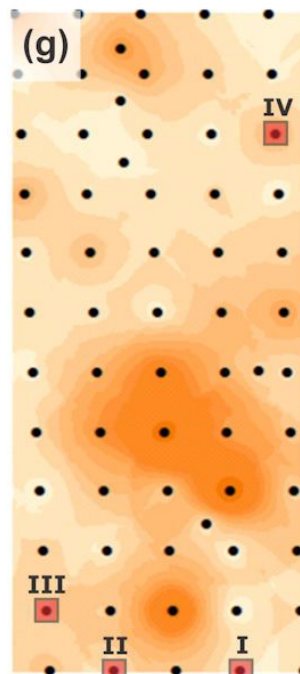
Euler No. =  $N - C + H$   
 $N$  ... Total No. of Disconnected MP Clusters  
 $C$  ... Total No. of Redundant Connections  
 $H$  ... Total No. of Cavities in the MP Clusters

(l)

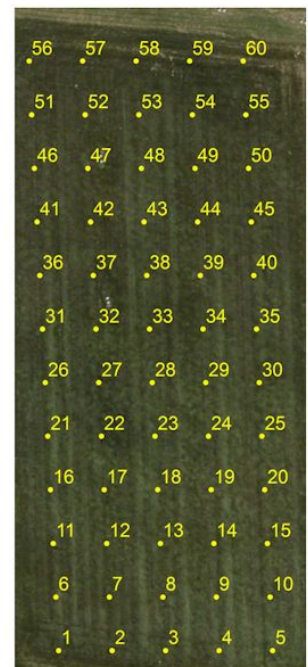


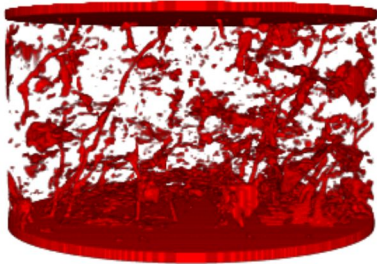
Clay (g 100g<sup>-1</sup>)Silt (g 100g<sup>-1</sup>)Sand (g 100g<sup>-1</sup>)OM (g 100g<sup>-1</sup>)Saturated Permeability (μm<sup>2</sup>)Air Permeability at -100 cm (μm<sup>2</sup>)

Gas Diffusivity at -100 cm



Field Site



**I**

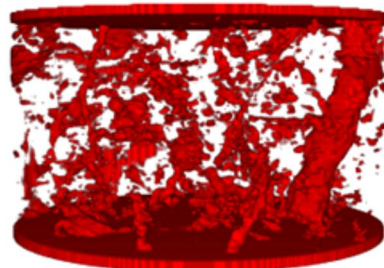
$$\begin{aligned} k_{\text{sat}} &= 0.004 \, \mu\text{m}^2 \\ k_{\text{a}-100} &= 0.49 \, \mu\text{m}^2 \\ D_{\text{p}}/D_{0-100} &= 0.0010 \end{aligned}$$

**II**

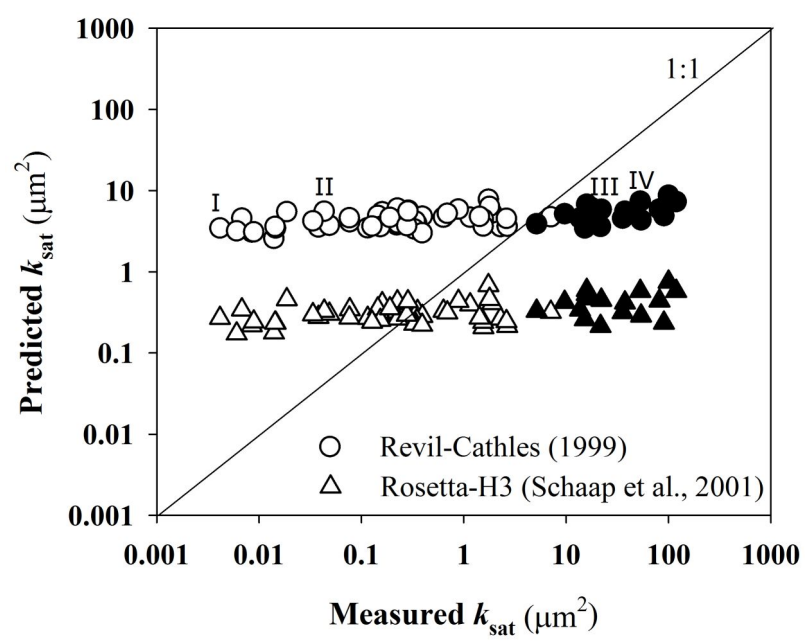
$$\begin{aligned} k_{\text{sat}} &= 0.04 \, \mu\text{m}^2 \\ k_{\text{a}-100} &= 0.67 \, \mu\text{m}^2 \\ D_{\text{p}}/D_{0-100} &= 0.0011 \end{aligned}$$

**III**

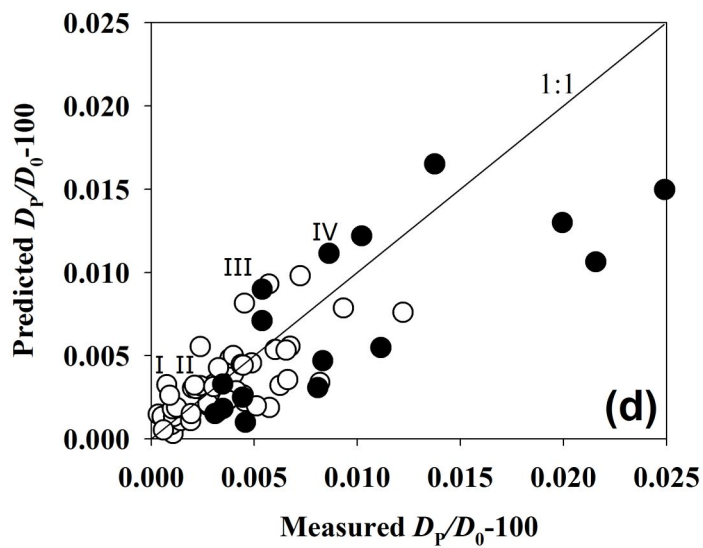
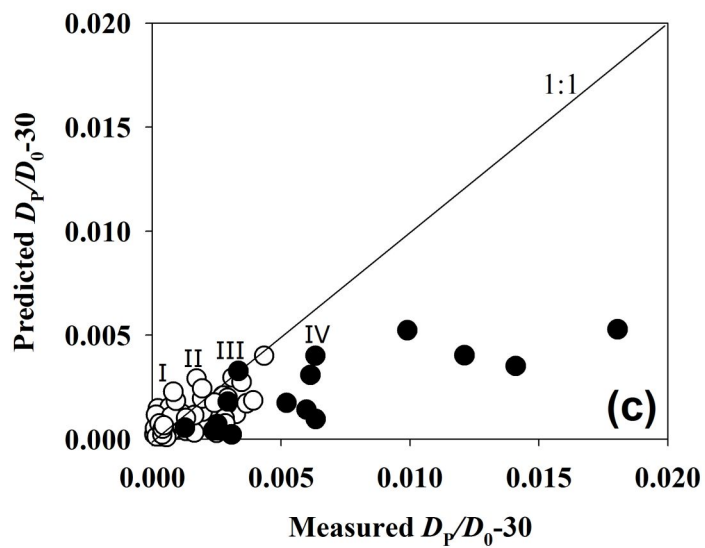
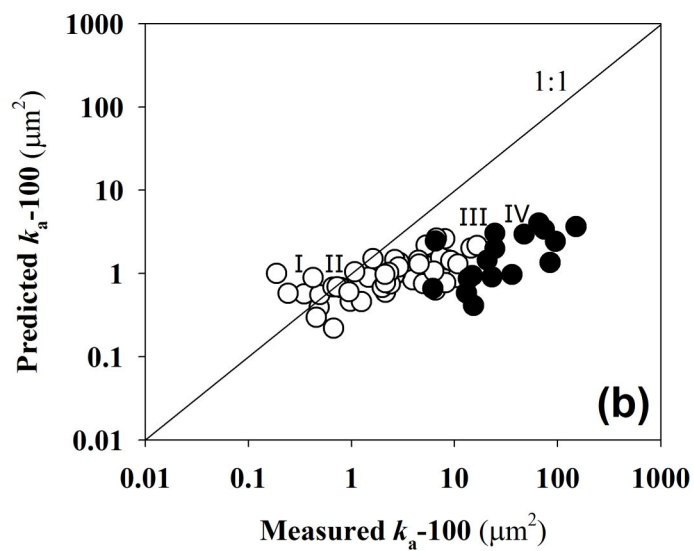
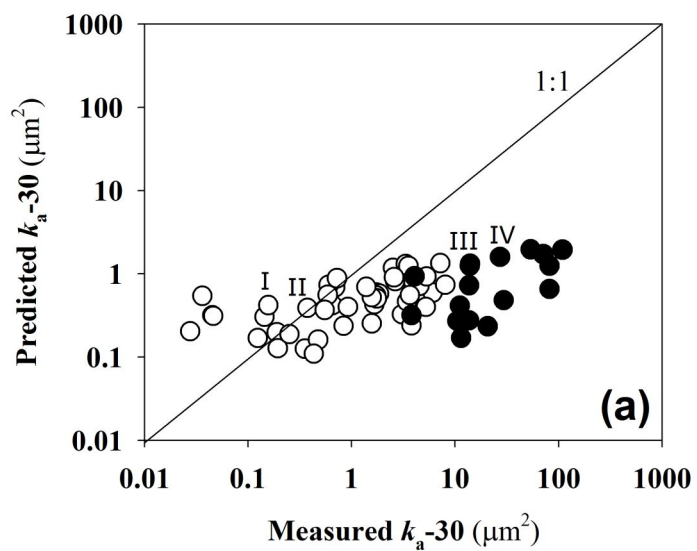
$$\begin{aligned} k_{\text{sat}} &= 13.68 \, \mu\text{m}^2 \\ k_{\text{a}-100} &= 15.27 \, \mu\text{m}^2 \\ D_{\text{p}}/D_{0-100} &= 0.0046 \end{aligned}$$

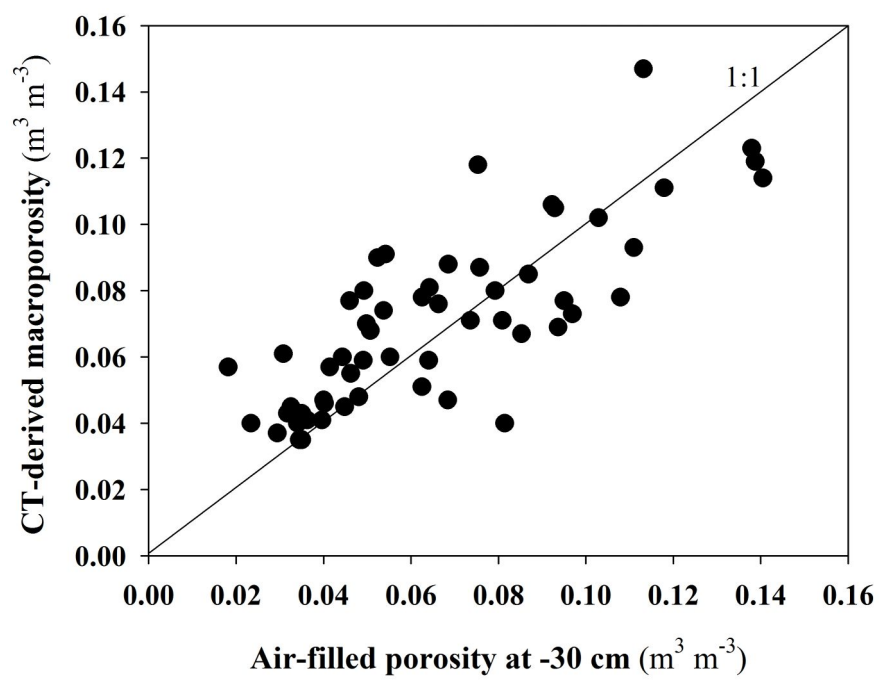
**IV**

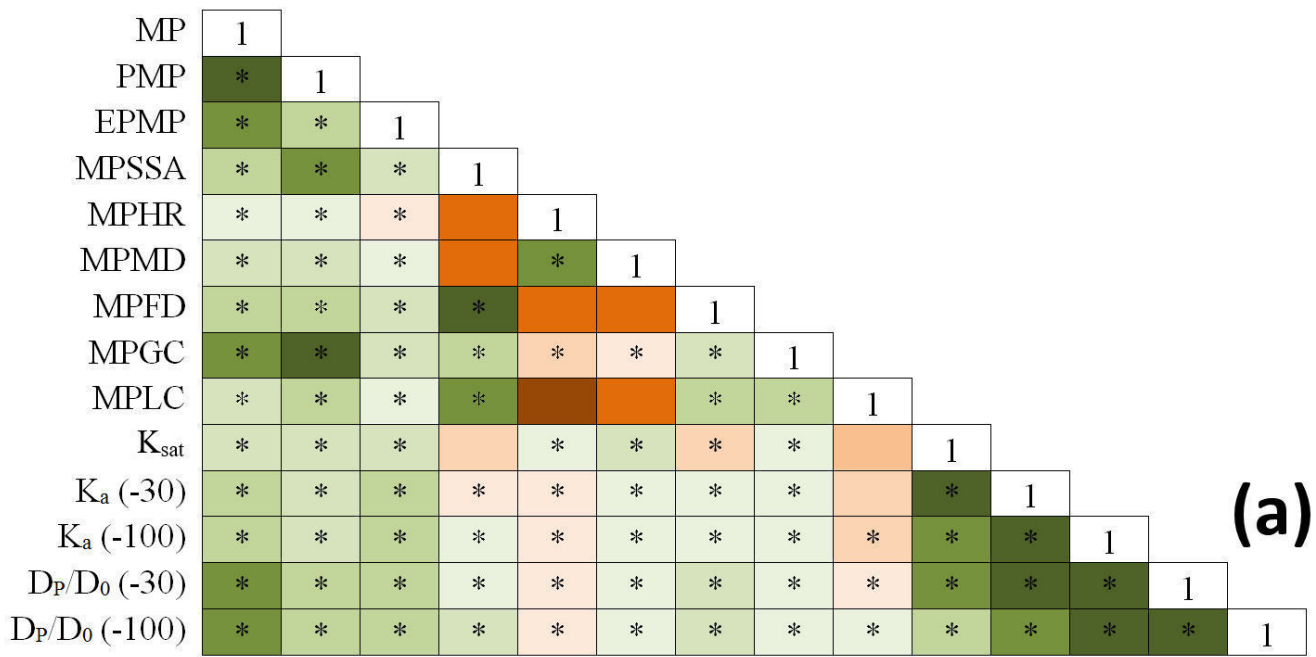
$$\begin{aligned} k_{\text{sat}} &= 54.11 \, \mu\text{m}^2 \\ k_{\text{a}-100} &= 36.07 \, \mu\text{m}^2 \\ D_{\text{p}}/D_{0-100} &= 0.0081 \end{aligned}$$



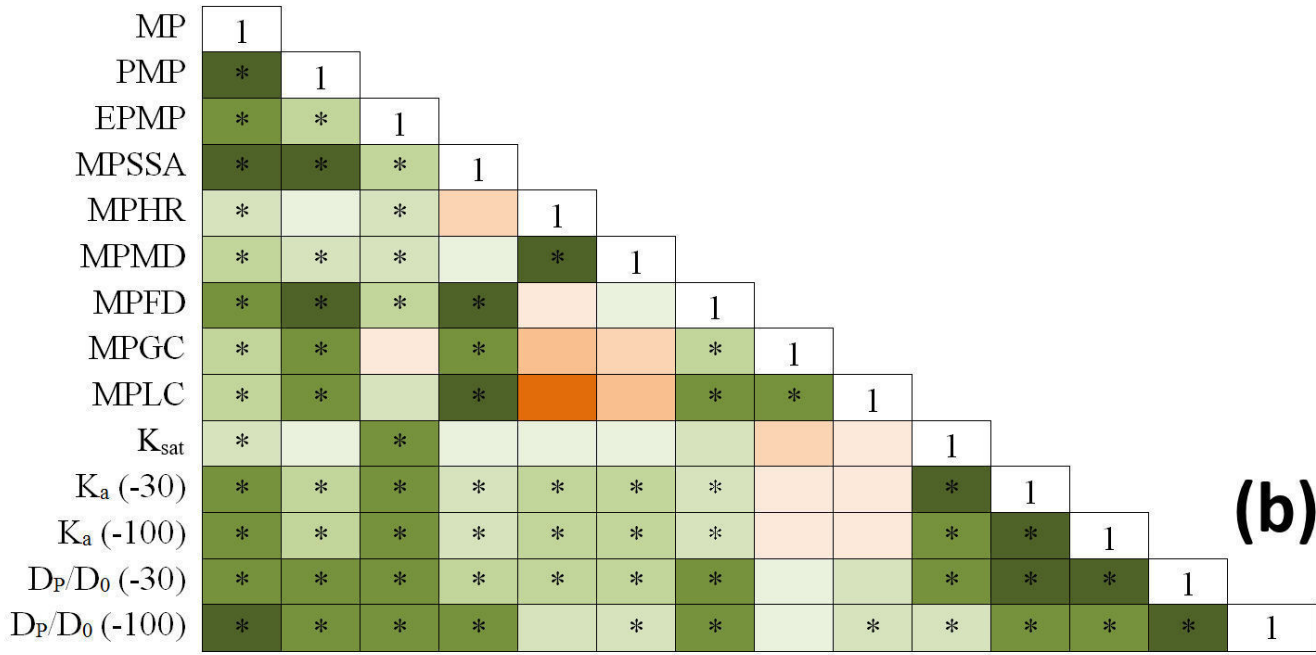




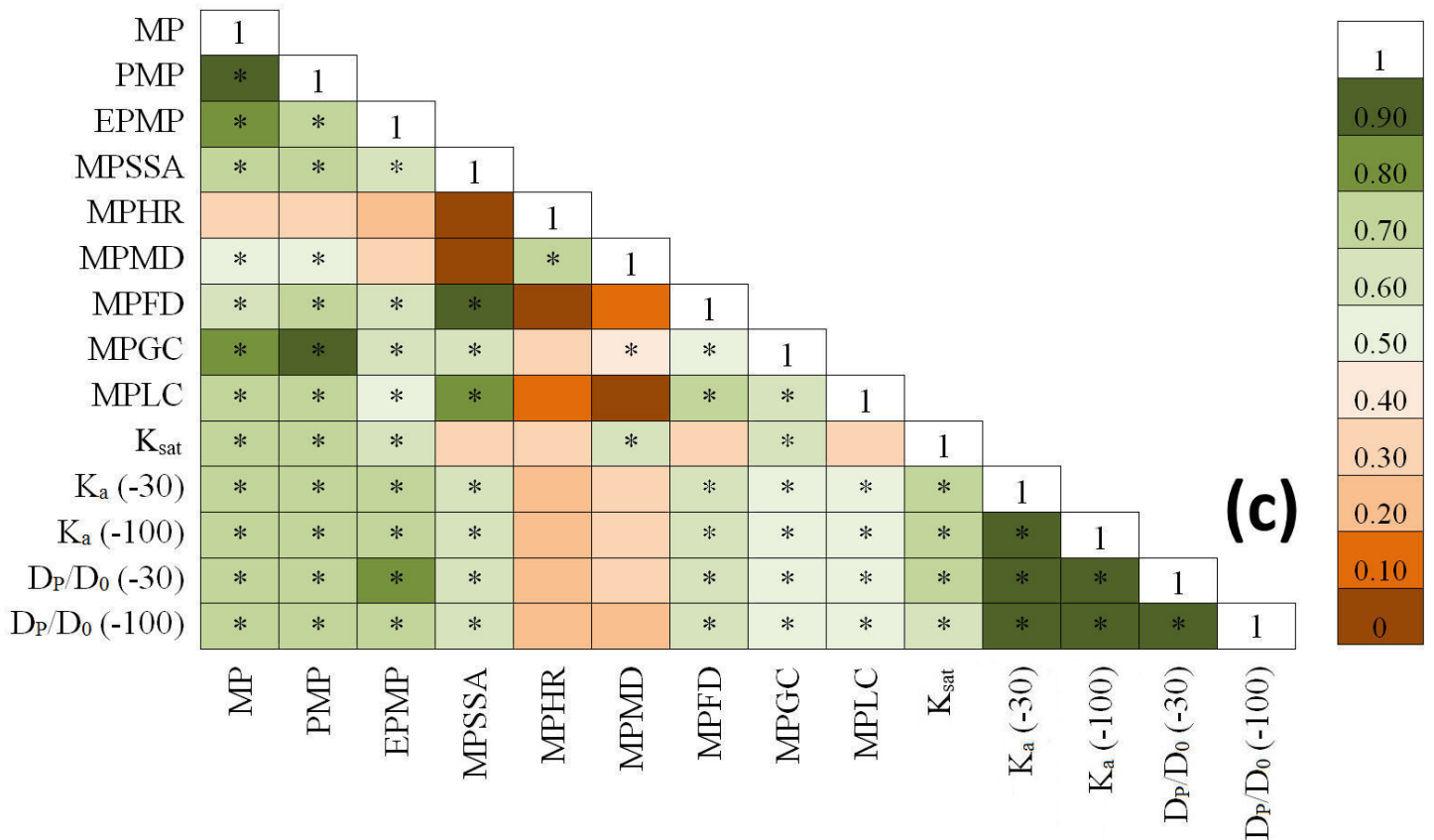




(a)



(b)



(c)

