The authors would like to thank the reviewer#1 for his valuable comments.

We address the comments in the same order as the reviewer.

- 1) Thank you for pointing out this issue. In the revised version of the manuscript we expanded the state-of-the art section and added references concerning applications of UAVs in different contexts.
- 2) We deliberately did not include too many detailed information on operational UAV data and further specifications, because we rather want to focus on the use of UAV 'products' for urban drainage applications. However, we include details on image processing in the revised version of the manuscript. Details regarding the flight platform are available in the Supplementary Material.
- 3) We agree that the description of the urban drainage model in Chapter 2 should be improved. A more precise and explicit terminology is used in the revised version of the manuscript. This should resolve the potential confusion regarding surface runoff (hydrological) and channel flow (hydraulic) model. Regarding the recommendation to straightly use and discuss 2D model applications (two-dimensional dynamic overland flood model coupled with a onedimensional sewer network model) we would re-comment as follows:

1. We decided to use and discuss just a 1D sewer model (EPA SWMM) since it is - to date - the state-of-the-art approach for sewer network modelling, plus SWMM is one of the most established tools used. We do not want to retract to this, but due to the fact that a large community in practice is dealing with particular this application, we thought it would be most valuable to discuss the use of UAV data for a widely used state-of-the-art 1-D model application.

2. Including the 2D modelling approaches would impose several other, partly relevant, issues apart from land cover data (input data accuracy, i.e. spatial resolution of DSM, discussion on preferential flow pathways depending on the overland flow model used). It would extend the scope of the paper significantly and, most likely shift the focus of the discussion. However, we do acknowledge that opening the discussion regarding 2D modelling approaches, becoming more and more popular, would clearly make sense. Hence, in the revised version we extend the already included section in the discussion chapter (line 498-505) to address the issue in more detail.

4) Fig. 2: we enhanced the quality of this figure.

Fig. 3: Thank you for pointing out this issue. We decided to remove this figure in order to keep the final manuscript clearer. Fig. 4: similarly to Fig. 2, we enhanced the quality of this figure. The purpose of this figure was to give an overview of the catchment in relation to the topographic situation; moreover, the goal was to show the reader how relatively small area of the catchment was used to train the classifier. Therefore, we believe that the scale-bar is not necessary and will blur the image; Fig. 5 and 6: The purpose of this figure was (similarly to Fig. 3) to show the results of the classification in regard to different classification settings (datasets, classification methods, number of target classes). We deliberately showed a building and its surroundings so that the reader can see how does our method cope with all kinds of objects on the image (building, trees, grass, roads). We think that the scale-bar is not necessary and will blur the figure. In addition to this, we believe that the colors used in this figure are well contrastive; Fig. 7 and 8: we added the units in captions of the figures. We believe that the colors used in these figures are well contrastive.

The authors would like to thank the reviewer#2 for his valuable comments.

We address the comments in the same order as the reviewer.

- 1) Indeed, the different impervious maps we use as input into the urban drainage model result in negligible variations in the hydraulic output variables. We explain the small model output deviations by spatial aggregation and the applied auto-calibration. 1. We do see only small differences in the impervious maps we extract from the different [data sources x classification routines]. This is shown in Fig. 6a, illustrating the distribution of imperviousness among sub-catchments with very similar median and interguartile values. These (already) small differences propagate through the UD model but produce even smaller deviations which are compensated through calibration and the degree of spatial aggregation -> cf. Fig. 6b,c, whereas it is not differentiated whether compensation is based on auto-calibration or the degree of spatial aggregation. 2. We agree that the issue of spatial aggregation is interesting and should be more than just verbally discussed. Originally, results from test simulations with a model that contains only 30 (instead of 307) sub-catchments have been carried out, but had not been included (sensitivity analysis). These results reveal that even less deviations regarding overland runoff and in-sewer flow occur. Addressing the comment of the reviewer#2 we originally included these results in the paper. But then we took them out since we wanted to reduce the variety of issues discussed in the paper. Thank you for making us thinking about it again!
- 2) The exploratory analysis is criticized i) regarding its information it contributes to the problem under discussion and ii) regarding its methodological design. We certainly agree that one could argue about the statistical significance of the results (Table 5,6 in the Appendix). But therefore we placed them less prominently in the Appendix and clearly state the high p-values (cf. line 409). On the other hand we still believe the exploratory part of the analysis, e.g. the variability shown in the box plots in Fig. 6 is expressive enough to show relation between the similarity of combinations of data sources and processing methods regarding surface characteristics and resulting drainage model outputs. The regression analysis on the other hand leaves indeed room for speculations, particularly due to the little statistical significance. In the revised version of the manuscript we changed the paragraph "Regression Analysis" in Section 3.2 by underlining the limited significance of the results. We shortened the paragraph to address the reduced relevance. We still would like to keep the results of the regression analysis to show that we made the effort to investigate potential correlations. Finally a clear comment to carefully interpret these results is given.
- 3) Thank you for this valuable comment. To our knowledge, no studies on application of UAVs in urban drainage existed at the time we prepared the manuscript. In the revised version of the manuscript we expand the state-of-the art section and add references concerning applications of UAVs in different contexts.
- 4) We deliberately did not include too many detailed information, because we did not want to shift the focus of the paper more on the UAVs. Technical specifications of the flight platform are available in the Supplementary Material. We include details on image processing in the revised version of the manuscript.
- 5) Concerning the features used, please refer to the line 13-15 of "Boosting" paragraph of 2.3.1 subsection. In the cited paper (Tokarczyk et al., 2015) all the details regarding applied features can be found. We did not include detailed information (and refer readers to the above mentioned paper), because we did not want to shift the focus of the paper away from the hydrological aspects.
- 6) Thank you for pointing this out. We include a discussion concerning the costs of the approach in the reviewed version of our manuscript.
- 7) Thank you for this valuable comment. In the revised version of the manuscript we cut back redundant text, removed figures (Fig. 3), streamlined the manuscript to address key research

questions (e.g. beginning of section 2.2.2 and 2.2.3). We also reduced the links to the supplementary material

Page 1205. "high-quality" referred to UAV imagery in title and rest of the manuscript. I suggest changing to "high-resolution", more appropriate in this context. You did not demonstrate that UAV imagery is a higher quality product.

Thank you for your suggestion. We believe we **do** in fact demonstrate that UAV imagery is of a highquality (but not high**ER**)

Page 1206 Abstract: I believe should be written in a more concise way, especially in relations to the first 13 lines. Too many information are reported that are not really relevant here.

In the revised version of the manuscript we rewrote the abstract.

Line 10 "detailed image data is unavailable", not truth. Thanks to repeated and global VHR satellite acquisitions any part of the globe is finely mapped.

Thank you for pointing out this issue. However we believe not all areas of the world are covered with VHR data (for example the areas with a constant cloud-cover). Still, we addressed your comment by re-phrasing this into "detailed image data is often unavailable".

Line 16. Please add classification methods.

Thank you for this comment. We believe that this is not relevant at this point of text. Description of used classification methods is included in section 2.2.1, and the discussion on the state-of-the-art methods is included in the Supplementary Material.

Line 21. Take out swisstopo, not relevant here.

We believe it is necessary to leave it, for it is a first introduction of the abbreviation of Swiss National Mapping Agency.

Line 21. Change "correctness" to "overall accuracy" and report values.

Thank you for pointing out this issue. We changed it.

Page 1207 Line 7-9. You did not verify this in your work. Please, take it out.

Thank you for this comment. In the revised version of the manuscript we discuss it.

Line 12. This is even more relevant because flood risk is dramatically increasing in many parts of the globe due to the combined effects of socio-economic developments and population growth in floodplains, and increases in hydrological extremes induced by climate change. I suggest to include the following references: Hirabayashi, Y., Mahendran, R., Koirala, S., Konoshima, L., Yamazaki, D., Watanabe, S., Kim, H. and Kanae, S. (2013). Global flood risk under climate change. Nature Clim. Change, 3,816-821. Hall, J., Arheimer, B., Borga, M. et al. (2014). Understanding flood regime changes in Europe: a state-of-the-art assessment, Hydrol. Earth Syst. Sci., 18, 2735–2772. Rojas, R., Feyen, L. and Watkiss, P. (2013). Climate change and river floods in the European Union: Socio-economic consequences and the costs and benefits of adaptation. Global Environ. Change, 23, 1737-1751. Line 19. I suggest to include the following reference: Arrighi et al. (2013). Urban micro-scale flood risk estimation with parsimonious hydraulic modelling and census data. NHESS.

Thank you for this valuable comment. We added the mentioned references to the manuscript.

Page 1208 Lines 7-8. Do not refer to manual techniques, they are well established mode modern techniques in research. Lines 14-17. References to coarse sensors are not appropriate here, I would focus more on works related to VHR data.

Thank you for this valuable comment. We changed it.

Line 21. I suggest to include the following references related to multi-sensor approaches: Forzieri et al., ISPRS Journal of Photogrammetry and Remote Sensing 74 (2012) 175–184; Forzieri et al., Computers Geosciences 49 (2012) 72–80. Line 21. Given the central role you are giving to the classification method proposed in your work you, should also include in the state of the art appropriate references on the algorithms used for classification of surface imperviousness, with special focus on contextual techniques (Moser et al. Proceedings of the IEEE 2013, 101 (3), 6304904, pp. 631-651).

Thank you for this valuable comment. We added the mentioned references to the manuscript.

Page 1209 Line 11. You should better describe the potential criticalities due to the finer spatial resolution (e.g., shadow effects)

Thank you for pointing out this issue. However, we think that shadow effects are not caused by finer spatial resolution. In our view, by discussing it, we might go into unnecessary details.

Page 1210 Lines 2-3. Not relevant information Line 8. Do not refer to figure here, not necessary.

Thank you for pointing out this issue. In the revised version of the manuscript we changed both.

Lines 9-15. Please, take it out this paragraph. This is material for conclusions.

Thank you for this comment. In the revised version of the manuscript we left out this paragraph.

Page 1211 Line 10. Change "with an" to "by".

Thank you for pointing this out. We changed it.

Page 1212 Line 3. Images.

Thank you for pointing this out. We changed it.

Line 24. Not relevant information.

Thank you for this valuable comment. However, we believe that time needed for training a classifier is an important issue, thus decided to keep it in the revised version of the manuscript.

Page 1213. Lines 1-11. This paragraph needs to be better explained. Line 4. Dense image matching? Please clarify. Line 9. "DTM provided by the swisstopo", then the same provided by swissALTI3D. Line 16. "readings started ... today" not relevant. Line 18. Quality checks, too vague. Please, clarify.

Thank you for pointing out this issue. In the revised version of the manuscript we rewrite the paragraph on height models. However, we believe that by explaining the dense matching technique we would go too much into photogrammetric details and move away from the main scope of the paper. On the other hand, we have provided the reader with references to the software used in image processing, where details on dense image matching method can be found.

Page 1214 Line 8. Compartments, change to computing modules. Please 26. Please take out the term "standard", it is only one of the available tools.

Thank you for this comment. In the revised version of the manuscript we made the changes.

Page 1215 There are too many details in Section 2.3. Please synthesize. Line 10. "defacto a standard" change to a largely used. Line 18. Consider also that this decaying behavior, known occurs when the number of classifier parameters (which generally increases, often super-linearly, with the number of features) becomes so large that the fixed training set is insufficient to accurately estimate all parameters. Landgrebe, D., 2003. Signal Theory Methods in Multispectral Remote Sensing. John Wiley and Sons, Hoboken, New Jersey, USA. Line 19. Spectrally consistent? Please clarify.

Thank you for pointing out this important issue. However, we do believe that in order to properly explain the reason behind using novel classification methods, we do have to include the paragraph on ML method, which we tried to keep as concise (and understandable) as possible. We deliberately did not explain the "curse of dimensionality" more in detail to keep the text as concise as possible.

In the revised version of the manuscript we explain what "spectrally consistent" means.

Page 1216. Line 19, "in our view..." this very subjective. Please corroborate properly your methodological choices. Usually testing set are selected randomly over the area to avoid subjectivity (Lillesand, Kieferm Chipman Remote sensing and image interpretation, Wiley; Richards and Jia, Remote sensing digital image analysis, Springer).

Thank you for pointing out this issue. A state-of-the-art remote sensing accuracy assessment of classification results is done point-wise indeed (random selection of test points); however our approach (which is similar to those used in semantic labelling problems of computer vision) reports the classification accuracy for all the pixels in the area, which is more reliable/accurate than assessing it on a small subset. It is more time-consuming though, but for the purpose of this study we wanted to achieve as reliable accuracy assessment as possible.

Page 1221. I suggest to merge Results and Discussion Sections, now your messages are too fragmented. Line 22. Pre-processing ans post processing, please specify to what you are referring.

Thank you for pointing out this issue. In section 3 "Results" we present the quantitative/qualitative results of our study and in section 4 "Discussion" we discuss the results presented previously. We believe that in order to keep the manuscript clear and concise we should keep this division as it is. We did not include details on image pre- and post-processing because we did not to include too many details, which would move away from the main scope of the paper. Page 1222 Line 1. Not feasible. Why? Clarify in the text.

Thank you for this valuable comment. We did not include details on image pre- and post-processing because we did not to include too many details, which would move away from the main scope of the paper.

Page 1228 Section 4.2.3. This is not material of your work, but mainly speculation. You could synthesize this in one sentence only.

Thank you for this comment. Indeed, the first paragraph of 4.2.3 may be, strictly seen, a little speculative since we do not quantitatively provide evidence that high-resolution images provide the basis for improved pollution load estimations. However, we think that condensing this paragraph to one single sentence would downgrade the relevance of point too much. We believe we can justify the relevance of this issue based on the findings from our study.

We do **not** claim that our own work gives 'full-evidence-answers', but we have very reasonable grounds to assume that future (we start the paragraph with 'In future investigations') studies as suggested will further confirm the benefits of using UAV images in UD modelling. We do believe – backed-up by our findings in this study – that this type of individual high-resolution imagery will actually contribute a lot to pollution based urban drainage modelling studies in particular. Our results clearly show that different land covers can be identified more precisely (see Fig. 4 vs. 5 - rooftops can be better differentiated from roads) which ultimately means that also surface-specific pollution loads could be estimated more reliable. We do not show a pollution load model here since this i) would further extend the scope and ii) shift the focus away from the original intention of the paper. From our point of view, it is obvious that high-resolution imagery combined with a detailed classification method leads to a more precise quantification of land-use (and specific pollution loads, respectively), even though we do not show the detailed end-to-end comparison here.

Still, we see your point. We therefore rephrased the text in Section 4.2.3 and condensed the entire paragraph as outlined below, hoping to address your point.

The effect on surface runoff and pipe hydraulics using spatially aggregating models (two land-use classes) may not be as immense. However, in future investigations, models that allow differentiating between three or more land-use classes should be further investigated. This may be particularly relevant for pollutant load modelling, for which detail, accuracy and actuality of land-use characteristics are highly influential. Relevance of input data accuracy may even further increase due to the fact that obtaining adequate pollution load reference data is considered to be very difficult (cf. Dotto et al., 2014).

Also, other urban drainage tasks would greatly benefit from detailed land-use maps, e.g. precise and justified stormwater fees due to exactly delineated types of impervious areas (cf. Fig. 4,5). An improved feature (gully pots, sewer inlets, curbstone structures) identification is expected to further provide valuable input data for network generation approaches (e.g. as outlined in Blumensaat et al., 2012) and the coupled 2-D surface runoff/1-D pipe flow model applications. For this, the RQE method seems to be most promising, although for the runoff analysis, a simpler method still seems to produce robust results.

Blumensaat, F., Wolfram, M., and Krebs, P. (2012). "Sewer model development under minimum data requirements." Environmental Earth Sciences, 65(5), 1427-1437. DOI: 10.1007/s12665-011-1146-1

Page 1231. Many references are from conferences and grey literature not very relevant. I suggest to find more robust references.

Thank you for pointing this out. We took this comment into consideration while preparing the revised version of the manuscript by adding more robust references.

Figures 5 and 6. Please, add legend the figures will be more self-explicative.

Thank you for pointing this out. We believe that adding the legend would unnecessarily blur the image.

Figure 10. Please, add goodness of fit values in the panels.

We added the correlation coefficients in each chart.

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High-quality observation of surface imperviousness for urban runoff modelling using UAV imagery

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Abstract. Modelling rainfall-runoff in urban areas is increasingly applied to support flood risk assessment particularly against the background of a changing climate and an increasing urbanization. These models typically rely on high-quality data for rainfall and surface characteristics of the catchment area.

- 5 While recent research in urban drainage has been focusing on providing spatially detailed rainfall data, the technological advances in remote sensing that ease the acquisition of detailed land-use information are less prominently discussed within the community. The relevance of such methods increase as in many parts of the globe, accurate land-use information is generally lacking, because detailed image data is often unavailable. Modern unmanned <u>air aerial</u> vehicles (UAVs) allow ac-
- 10 quiring high-resolution images on a local level at comparably lower cost, performing on-demand repetitive measurements, and obtaining a degree of detail tailored for the purpose of the study.

In this study, we investigate for the first time the possibility to derive high-resolution imperviousness maps for urban areas from UAV imagery and to use this information as input for urban drainage models. To do so, an automatic processing pipeline with a modern classification method is tested and

- 15 applied proposed and evaluated in a state-of-the-art urban drainage modelling exercise. In a real-life case study in the area of (Lucerne, Switzerland,) we compare imperviousness maps generated from a using a fixed-wing consumer micro-UAV and standard large-format aerial images acquired by the Swiss national mapping agency (*swisstopo*). After assessing their correctnessoverall accuracy, we perform an end-to-end comparison, in which they are used as an input for an urban drainage
- 20 model. Then, we evaluate the influence which different image data sources and their processing methods have on hydrological and hydraulic model performance. We analyze the surface runoff of

the 307 individual sub-catchments subcatchments regarding relevant attributes, such as peak runoff and volume. Finally, we evaluate the model's channel flow prediction performance through a cross-comparison with reference flow measured at the catchment outlet.

- 25 We show that imperviousness maps generated using UAV imagery from UAV images processed with modern classification methods achieve accuracy comparable with an accuracy comparable to standard, off-the-shelf aerial imagery. In the examined case study, we find that the different imperviousness maps only have a limited influence on modelled predicted surface runoff and pipe flows. We , when traditional workflows are used. We expect that they have a substantial influence, when more
- 30 detailed modelling approaches are employed to characterize land-use and to predict surface runoff. We conclude that UAV imagery represents a valuable alternative data source for urban drainage model applications due to the possibility to flexibly acquire up-to-date aerial images at a superior quality quality compared with off-the-shelf image products, and a competitive price - Our analyses furthermore suggest that spatially more detailed at the same time. We believe that in the future, urban
- 35 drainage models can even better representing a higher degree of spatial detail will fully benefit from the full detail strengths of UAV imagery.

1 Introduction

In the last century we have witnessed a massive increased migration of people from rural areas to the cities. Today, a majority of the human population live in the cities and this number is estimated to

- 40 grow constantly, and reach a level of 60% (UN, 2013). The process of a rapid urbanization called on developing an infrastructure cabable capable to cope with a constantly increasing number of its users. Accordingly, ensuring water supply for the people is important, but due to the increased hydrological extremes induced by climate change (Hirabayashi et al., 2013; Hall et al., 2014; Rojas et al., 2013), being able to safely direct stormwater away from populated areas, in order to avoid flooding, is
- 45 also a a not least challenging task. It requires predicting the hydraulic behaviour behavior of the given drainage infrastructure using reliable hydrological models (Arrighi et al., 2013). Those models, apart from detailed rainfall information, call for surface characteristics such as imperviousness. Impervious surfaces reduce the infiltration of water into the soil. They can be directly related to a

level of urbanization (Stankowski, 1972), because in urban environments, impervious surfaces dom-

50 inate (*e.g.* rooftops or roads). Monitoring of imperviousness level is substantial for it <u>as it</u> directly impacts many environmental processes. An increasing percentage of impervious surfaces increases surface runoff volume and peak discharge, and decreases soil moisture compensation and ground-water recharge. Moreover, increased peak runoff volumes together with an inefficient drainage network can not only cause urban floods, but also lead to <u>extensive erosion events and increase an</u>

55 increased hydraulic stress and increasing the risk of loading waterbeds with sediments, and its associated constituents constituents (*e.g.* phosphorus, nutrientsand pesticides). Growing level of surface imperviousness has a negative impact on water quality, because the pollutants will be more easily washed out to the nearby waterbodies, nutrients, contaminants and micro-pollutants).

- Many different methods have been developed and applied to map impervious areas. Amongst these
 are manual methods, which for example use existing built-up zone plans, or manually process remote sensing images (Krejci et al., 1994). An important step towards automatization of these processes automation of the processes applied to map impervious areas was made as a consequence of remote sensing sensors and classification techniques development (for a detailed review of remote sensing methods used to map imperviousness, please refer to supplementary material the Supplement). In
- 65 general, most of the studies on extraction of impervious surfaces from remote sensing data focused on satellite images. Examples include low-resolution sensors, such as MODIS (Boegh et al., 2009), AVHRR (Carlson and Arthur, 2000) or DMSP-OLS (Lu et al., 2008); medium-resolution, such as Landsat 5 TM (Parece and Campbell, 2013) and Landsat 7 ETM+ (Van de Voorde et al., 2009); or high-resolution: SPOT (Li et al., 2011) and ASTER (Weng et al., 2009). During the last decade, a
- 70 rapid improvement of imaging sensors gave the end-user an access to very high spatial resolution (VHR) imagery¹. Satellite sensors like Ikonos (Chormanski et al., 2008) and QuickBird (Zhou and Wang, 2008) or VHR aerial images (Fankhauser, 1999; Nielsen et al., 2011) were quickly adopted for impervious surfaces mapping. -Some studies suggest using highly accurate methods to quantify landscape changes (land-use and land-cover) using multi-sensor approaches (Forzieri et al., 2012b, a).
- 75 In the context of urban hydrology Ravagnani et al. (2009) attempted to use impervious surfaces extracted from VHR satellite and aerial imagery as an input to urban drainage model, but they did not analyze pipe flow predictions, focusing only on surface runoff component. However, modern urban drainage modelling methods call for up-to-date and detailed input data, which could also be acquired in an efficient way. Even though VHR satellite images able to acquire fine-grained image
- 80 information (WorldView-3 satellite can achieve up to 0.31m GSD in panchromatic channel) and have short revisit periods, are still expensive and vulnerable to cloud cover. VHR aerial imagery on the other hand, although being able to acquire very detailed imagery, is usually being updated at most once a year, but usually every third year (swisstopo, 2010). Recently, imaging platforms based on UAVs became very popular, finding their application in the fields of photogrammetry, arche-
- 85 ology or agriculture (Sauerbier and Eisenbeiß, 2010; Eisenbeiß, 2009; Zhang and Kovacs, 2012). In More recently, Leitão et al. (2015) investigated the quality of digital elevation models (DEMs) generated using UAV imagery from urban drainage modellieng applications. In the study the authors show that the quality of UAV DEMs is comparable to that of conventional, off-the-shelf height datasets. However, to our best knowledge no studies exist, that used UAV-based imagery to extract
- 90 imperviousness information, and to use it in the field of urban drainage modelling. In comparison to a standard, off-the-shelf satellite or aerial remote sensing imagery, UAVs demonstrate greater flexibility and are more efficient in terms of money and time. Yet, the classification of UAV VHR

¹We refer to a VHR image when sensor's ground sampling distance (GSD) is lower than 1m

imagery, particularly in urban areas, is challenging, because in this level of detail, many small objects appear, and fine-grained texture details of larger objects emerge. Thus, describing an object

- class using only single raw pixel values is insufficient. Accurate classification needs additional 95 image features, which would characterize the contextual information by describing object's local neighbourhood. Here, in order to properly exploit high level of detail of UAV imagery, we propose to use a randomized quasi-exhaustive (RQE) feature bank (Tokarczyk et al., 2015), which consists of a multitude of multiscale textural features describing both, spectral and height information. To
- sidestep manual selection of features from this exhaustive feature set, we use a boosting classifier to 100 only choose the optimal features during training².-

In this study, we investigated the feasibility of using neighborhood. The value of such approach in classification of surface imperviousness has already been acknowledged (Moser et al., 2013). However, what is highly relevant, but currently unclear, is how to best exploit the rich information,

105 i.e. the unprecedented level of detail and flexibility to acquire problem-specific images. And, whether it is feasible to use imagery acquired with UAVs for urban drainage modelling. Specifically, we present three main key aspects:

- 1. we evaluate whether such low-cost monitoring data of land-use data based on UAV imagery can be used to assess the performance of urban drainage systems,
- 2. we suggest using a boosting classifier in conjunction with propose a unique workflow based on 110 a randomized quasi-exhaustive (RQE) feature bank and a boosting classifier². The RQE feature bank , to properly exploit high level of detail of UAV imagery, and consists of a multitude of multi-scale textural features describing both, spectral and height information (Tokarczyk et al., 2015). The boosting classifier lends itself to the task to only choose the optimal features during 115

training (for details see below), and

3. we perform end-to-end comparison of land-use against high-quality sewer pipe flow data. Although important to correctly interpret the results, this is not routinely done in remote sensing literature.

We The key idea of our study was not to solely base the assessment of the usefulness of UAV images for urban drainage applications on the performance of the classifiers. Thus, we demonstrate 120 the usefulness of our approach on by means of a case study from in a small urban area in Lucerne, Switzerland .- First in two steps (see also Figure 1): first, we compare the UAV data with standard airborne imagery using a maximum likelihood (ML) classifier and the RQE method on both image sources (1). Second, we use a hydrodynamic model to show the consequences of different land-use information on urban drainage performance indicators (see Figure 1)., here surface runoff (2) and 125

in-sewer pipe flow (3).

²Boosting classifier used with conjunction with ROE features will be referred to as "ROE method" in this paper ²Boosting classifier used with conjunction with RQE features will be referred to as "RQE method" in this paper

In general, our results are promising because we are able to classify land use using UAV imagery as accurately as from standard aerial images. We find that the different imperviousness maps only have a limited influence on surface runoff and pipe flows. Interestingly, this indicates that lumped models might become a bottleneck in detailed rainfall-runoff studies. In our view, a major advantage of UAVs in practical applications is the possibility to flexibly acquire up-to-date and detailed aerial images at a good quality and a competetive price, at least for small areas.-



Figure 1. Overall analysis approach (⊖-%imp: model parameter "degree of imperviousness"; ML: Maximum Likelihood; RQE: boosting with randomized quasi-exhaustive feature bank).

The remainder of the paper is structured as follows: first we present general approach and the case study catchment with related material, such as the hydrodynamic rainfall-runoff model, rainfall and runoff observations, and remote sensing data. Then we describe the applied methods, land-use classification, surface runoff and in-sewer flow modelling, as well as the suggested performance criteria. Finally we present results and discuss the potential and limitations of using UAV images in urban hydrology.

2 Materials and methods

140 **2.1 Overview**

130

The key idea of our study was to not to solely base the assessment of the usefulness of UAV images for urban drainage applications on the performance of the classifiers. In addition, we explore their usefulness also in relation to predicting surface runoff and pipe flows, which are the ultimately decisive processes for the urban drainage analysis (see Figure 1). In a case study in the area of

145 Luzern, Switzerland we evaluated the two remote sensing datasets to show following: assess the efficiency of a recent high-performance classification method (RQE) and compare it to a standard classifier (ML) commonly used for perviousness mapping applied to images acquired with an UAV in relation to standard off-the-shelf aerial images (1), and perform an end-to-end comparison, in

which the maps from different data sources processed through different classification methods were

150 used as input for a hydraulic sewer model predicting surface runoff (2) and in-sewer channel flow (3), whereas the latter is compared to a measured reference.

Overall analysis approach (⊖-imp: model paramater "degree of imperviousness"; ML: Maximum Likelihood; RQE: boosting with randomized quasi-exhaustive feature bank).

2.1 Case study and datasets

155 2.1.1 Case study

160

For our case study we used a residential area, called Wartegg catchment, in the city of Lucerne, Switzerland (see Figure 2). The catchment covers about 77 *ha* and is home for 6900 residents. It is typical for many suburban areas in Switzerland: high- to moderate-density population, scattered single- to two-story housing embedded in a hilly landscape, including the typical public infrastructure, such as shopping <u>centres centers</u> and sports grounds.

Storm- and wastewater is drained by separate and combined sewers (see Figure 2) with a total length of 11.2 km. An overflow structure connected to a small storage basin is installed to avoid hydraulic overload in case of heavy rainfall. Excess combined sewage is directly discharged to the lake, the carry-on flow travels by gravity to the wastewater treatment works. Three small creeks, to

some extent culverted, cross the catchment and are **partly** interlinked with the storm water network.



Figure 2. Case study catchment area situated in Lucerne.

2.1.2 Remote sensing datasets

Image data

In this study we used two image (see Figure ??) and two height datasets. The first image data was acquired by *swisstopo*³ in June 2013. It is a part of an aerial orthophoto mosaic (RGB channels)

- 170 with a GSD of 0.0625 m, and consists of images acquired during leaves-on conditions. Although this dataset was acuired acquired on-demand (standard *swisstopo* orthophotos have a GSD of 0.25 m), images acquired by *swisstopo* are publically publicly available, and this data source is, to our best knowledge, the standard for hydrological applications in Switzerland. Because *swisstopo* offers off-the-shelf image products, which are already orthorectified and georeferenced, one can avoid
- 175 costly and time consuming pre-processing of raw image data. On the other hand, image acquisitions are made at most once a year, usually every third year, and try to alternate between leaves-on and leaves-off periods (swisstopo, 2010). Thus, it might happen that one is not able to obtain up-to-date results.

The second dataset was acquired with a Canon IXUS 127 HS digital consumer camera with 16 180 Mpix sensor, mounted on a fixed-wing micro-UAV platform (see supplementary material Sensefly eBee, see Sect. A2 in the Supplement for details). The flight was performed during leaves-off conditions in March 2014. The custom processing software, which is shipped together with the UAV (*cf*. http://www.senseFly.com, based on the Pix4D technology, *cf*. http://pix4d.com/products/) was used to process the images. It is designed for use by non-experts and is highly automated, user interaction

- 185 is limited to selecting input images, entering flight parameters (camera details and GPS/INS data) and measuring ground control points (GCPs). Orthophotos (RGB channels) generated from the acquired images have a GSD of 0.10 m. The In the case of a small catchment, as in our study, a main advantage of UAVs, when compared to manned aircraft with large-format mapping cameras, lies in their flexibility, in terms of place and time of deployment, and in their low costfor small areas.
- 190 Conducting a standard photogrammetric flight campaign typically requires days of preparation and is more dependent on to weather conditions. Note though, micro-UAVs are at present not suitable for large-area mapping, because of their low speed and limited battery capacity.

Prior to the classification, both datasets were downsampled to a GSD of 0.25 m in order to make the evaluation comparable to standard *swisstopo* imagery available on the market. Furthermore, this step reduces the time needed for training the classifier.

Height model

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In this study we used two different height models. Classification of: (*i*) a DTM provided by swisstopo (swisstopo, 2014) was used to classify the swisstopo dataset was performed using the swissALTI3D product (swisstopo, 2014),

200 whereas for UAV imagery we used a height modelextracted using dense image matching. The swissALTI3D product is a digital terrain model (DTM) and it and to derive the catchment slope

³In this paper "ortho" and "orthophoto" terms will be used interchangeably with *swisstopo* imagery.

for the urban drainage model. This model features a grid size of 2 m. For impervious surfaces elassification the model has been, for the land-use classification it was upsampled to the resolution of corresponding image dataset. The second model is a normalized digital surface model

205 (nDSM), and was generated ; (ii) a nDSM⁴, created by subtracting a digital surface model (DSM) extracted from UAV images, and DSM extracted using dense image matching from a DTM provided by the swisstopo. For urban drainage modelling we used the swisstopo height model, because of its empirically proven quality (swisstopo, 2014) swisstopo, was used to classify the UAV dataset. Image datasets. swisstopo (left) and UAV (right).

210 2.1.3 Rainfall

Precipitation data was collected from a meteo-meteorological station located 2 km away from the Wartegg catchment area, operated by the Swiss Meteorological Intsitute Institute (MeteoSwiss). Recordings were taken in a 10 min interval using a tipping bucket rain gauge with a precision of 0.1 mm-readings started at 1981 and last until today. Hourly precipitation was checked following the

215 quality assurance criteria of MeteoSwiss. Additional quality checks were carried out to ensure that the 10 min data are reliable. Spatial rainfall variability was not considered in the study due to the short distance between the <u>meteo meteorological</u> station and the study area.

2.1.4 Sewer flow reference data

Two flow data sets datasets were obtained from in-sewer flow monitoring located at the outlet of the subcatchment (see Figure 2). Over a period of four months (17 July 2014 to 18 November 2014) the sewer flow was in-sewer flow was continuously monitored with two different sensors, (*i*) Sigma 950 (HACH-LANGEan acoustic Doppler flow sensor (Sigma submerged AV sensor, HACH) – 1 minute monitoring frequency and (*ii*) FLO-DAR (a digital Doppler radar velocity sensor, along with ultrasonic level-sensing (FLO-DAR, Marsh Mc Birney) - 15 minute monitoring frequency, to provide

225 redundant high quality measurements flow rate information. Correlation analysis between the two reference signals show a high agreement and confirm the high solid quality of data.

2.1.5 Urban drainage model

Urban drainage models are tools to simulate surface runoff and sewer pipe flow. They can be used to analyze the hydraulic behaviour of the urban drainage systemurban drainage systems, and to support

230 the analysis of flood risk and pollution of receiving water bodies. In generalrisk analysis of urban flooding and receiving water pollution. Typically, these models include two main compartments: the hydrological model and the hydraulic computing modules: the surface runoff (hydrological)

⁴A digital terrain model (DTM) represents the bare ground surface; a digital surface model (DSM) represents the surface visible from the top, including buildings, trees etc; the normalized digital surface model (nDSM) is obtained by subtracting the DTM from the DSM and shows the relative height of non-ground objects over the ground.

and the in-sewer flow (hydraulic) model. The hydrological model calculates the initial precipitation losses, and resultant estimates the time and space distribution of the direct runoff . The output

235 under consideration of initial precipitation losses (evaporation, wetting losses) and soil infiltration for pervious areas. The resulting runoff is then used as input for the hydraulic model to simulate surface and sewer networkflows the pipe flow in the sewer network.

Like hydraulic models, hydrological models implemented In the present study we use the freely available Stormwater Management Model released and constantly developed by the U.S. Environmental

- 240 Protection Agency (SWMM, Release 5.1.006; (US-EPA, 2010)). SWMM is a widely used and well-accepted state-of-the-art 1-D dynamic rainfall-runoff model. We deliberately chose SWMM despite its limitations (lumped surface runoff model concept) as it represents a widely used state-of-the-art application in urban drainage modellingsoftware are based on, and we wanted to keep the modelling use case as simple as possible.
- 245 The description of the surface runoff is based on the MANNING approach, a simplifying, conceptual formulations formulation of transport phenomena that occur in the catchment . Generally, these models assume assuming that the surface runoff starts after the rainfall volume has exceeded a representative value of the initial losses in the catchment. Rainfall losses are adjusted throughout the rainfall event according to the changes occurring in the infiltration process (pervious part of
- 250 <u>catchment surface</u>) which is a function of the soil water saturation level. Surface runoff ends when the rainfall is smaller than the verified rainfall losses. Impervious surfaces are those where no infiltration occurs; the <u>catchment catchment's</u> imperviousness degree and the <u>catchment imperviousness</u> its spatial distribution are then expected to have a great impact on surface runoff and urban drainage system modelling results.
- 255 To describe the hydraulic behaviour of the Wartegg catchment area during dry weather and storm events we developed a hydrodynamic sewer model implemented on the EPA SWMM modelling platform (US-EPA, 2010). The modelling platform SWMM is chosen as represents a standard, well-established and freely available urban drainage model. The surface runoff is described by a conceptual approach; pipe flow through the conveyance system is described with the Saint Venant
- 260 approachFlow routing through a system of sewer pipes, storage basins and regulating devices is accomplished by solving the Saint Venant flow equations, whereas here we applied a type of diffusive wave approximation which neglects inertial terms from the momentum equation when flow becomes supercritical.

2.2 Methodology

265 2.2.1 Classification

Generally, supervised classification consists of three main steps: (i) extraction of the features from raw input image, (ii) training the classifier using a small, manually annotated training set (not nec-

essarily from the same image), and *(iii)* classification of all pixels in the area of interest, using the classifier trained in the previous step. In the following we describe two different types of supervised classifiers: *(i)* Gaussian maximum likelihood, and *(ii)* boosting.

Maximum likelihood

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The Maximum Likelihood maximum likelihood (ML) classifier , which is *de-facto* a standard is a popular classification method in the field of urban hydrology, It is a simple generative model

- 275 which assumes that the image features within each target class follow a Gaussian normal distribution. Under this assumption, each of the target classes can be described by its mean vector and covariance matrix. Given this information one can directly compute the statistical probability of particular pixel belonging to one of the target classes. An important <u>A serious</u> limitation of ML is that it is not well suited for high-dimensional data; typically . Due to the "curse of
- 280 dimensionality" (Hughes, 1968) its performance degrades typically beyond a dozen or so feature dimensionsdue to the "eurse of dimensionality" (Hughes, 1968). For a medium. For imagery with a medium spatial resolution imagery, where objects are generally spectrally consistents, it might be enough to construct image features consisting only of single raw pixel values. However, the variability of the pixel values within an object class grows with the spatial
- 285 resolution of the image(. For example when roof consists of many pixels and substructures become visible) such as planted areas or roof gardens become visible. Therefore one should no longer rely on single pixel values, but has to consider contextual information and, for example, construct features that exploit neighborhood the neighborhood of a pixel (*e.g.* textural features). Such features expand the dimensionality of data, making generative classifiers inefficient.
- 290 Here we classified two image datasets using a maximum likelihood classifier implemented in ArcGIS software (ESRI, 2013). As often done in conjunction with the ML method, we use only the spectral intensities at the pixel itself single raw pixel values as features.

Boosting

- As an alternative to ML we chose propose a multiclass extension (Benbouzid et al., 2012) of adaptive boosting (AdaBoost, Freund and Schapire (1995)). Unlike ML, boosting methods (and related discriminative classifiers) are better suited for very high-dimensional feature spaces, as they do not attempt to model the input data distribution. Boosting greedily learns an additive combination of many simple classifiers (in our case shallow decision trees). A useful property of the method is that
- 300 it performs explicit feature selection as part of the classifier training. Thanks to this, we sidestep manual feature selection. Moreover, at test time only the selected features need to be computed, which significantly reduces the computational effort. Here, we classified the images using randomized quasi-exhaustive (RQE) feature bank (Tokarczyk et al., 2015), which are able to capture multi-

⁵Meaning that they consist of pixels of similar values

scale texture properties in a pixel's neighbourhoodneighborhood.

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Performance assessment of classification

To assess the performance of the two classifiers used in this study, we have manually labeled a subset (5 ha) of each of image datasets (see Figure 3). Hence, we were able to report the classification accuracy for all pixels in an extended area, which in our view is a lot more reliable than sparse,

310 point-wise ground truthaccuracy assessment. We selected either three (rooftops/streets/vegetation) or two (impervious/pervious) target classes, where in the two-categories case, "impervious" class is an aggregation of "rooftops" and "streets" classes. For the subsequent hydrological analysis, only the two-class maps were used.

Both classifiers were trained using randomly selected subsets of pixels (1%, 2% or 5%, which

- 315 correspond roughly to 7000, 14000 and 36000 pixels). Thereby we can evaluate how the size of the training data has an influence on the overall classification accuracy. If satisfactory results can be obtained, then a lower number of training samples is preferable, since it reduces the training time and saves annotation effort. Similarly Similarly to experiments carried out in Tokarczyk et al. (2015), we trained the boosting classifier using decision trees with eight leaf nodes, and set the number of
- 320 boosting rounds to 500. As performance metric for the classification we used the overall accuracy (OA), *i.e.* the fraction of correctly classified pixels.



Figure 3. Wartegg area containing 307 subcatchments (red polygons including blue polygons) overlayed on a topographic map. The performance of classifiers was assessed on a subset depicted in blue.

2.2.2 Assessing the importance of input data for on surface runoff

To assess the importance influence of input data and the processing method accuracy on the surface runoff, we predicted the surface runoff for a medium-size rain event rain event of moderate intensity

- 325 (total volume of 29.7 mm; peak rainfall intensity of 2.9 Ls^{-1}). Then, we analysed the runoff of the 307 individual catchments regarding relevant attributes, such as peak runoff and volume subcatchments regarding the following attributes: (i) peak flow (Q_{peak}) and (ii) Volume of the peak (V_{peak}). As it is very challenging to directly observe measure surface runoff that can be compared to the with model predictions, we first performed an exploratory analysis of the major influence factors. Second, we
- 330 investigated interactions between the data source and processing method by means of a regression analysis (see supplementary material Sect. A3 in the Supplement for details).

Prediction of surface runoff To predict surface runoff, we selected a rain event lasting from 10 August 2014 at 22:00 to August 2014 at 03:00. This was a moderate event with a total volume of

- 335 29.7 mm and a peak rainfall intensity of 2.9 Ls^{-1} . Compared to other events registered for this area, it was an average event, thus we believe that general rainfall-runoff characteristics remain the same. We characterized the hydrographs of all 307 sub-catchments with the following attributes: *(i)* peak flow (Q_{peak}) and(*ii*) Volume of the peak (V_{peak}). Performance assessment Exploratory data analysis of surface and surface runoff characteristics
- 340 To summarize the important characteristics of the surface runoff, we visualized important aspects using boxplots and scatterplots (see Figure 6). Main research questions were:
 - Which differences in imperviousness (*delta_{Imp}* \(\Delta_{Imp}\)) result for each <u>catchmentsubcatchment</u>:
 (*i*) for the two data sources and (*ii*) for the two classification methods?
 - Does the the image source have a substantial influence on the predictions of surface runoff
- 345 <u>from a subcatchment</u>? How does this depend on the processing method?

Regression analysis of surface runoff characteristics

To answer the second question, we constructed four regression models with indicator variables (Montgomery et al., 2012). This makes it possible to consider the individual effects of the data and the processing method. In addition, a model with an interaction term, unlike an additive model, could

add a further adjustment for the "interaction" between the data source and the classification method. Specifically, we would like to explore whether the relationship between the image source and the imperviousness in the subcatchments, and their surface runoff characteristics is different for each classifier. The model for a dependent variable y is:

$$y_i = \beta_0 + \beta_1 I_i^{Data} + \beta_2 I_i^{Method} + \beta_3 I_i^{Data*Method} + \epsilon_i \tag{1}$$

355 where y_i is the *i*-th observation of the dependent variable, I_i^{Data} an indicator variable which is 1 if y_i was computed from UAV images (UAV) and 0 from orthophotos, I_i^{Method} is an indicator vari-

able which is 1 if y_i was computed with the RQE method and 0 for the ML classifier (ML). $\beta_0...\beta_3$ are the parameters to be estimated and ϵ_i is a random error term. If ϵ_i is normally distributed and independentand independently distributed, *i.e.*, $\epsilon_i \sim N(0, \sigma^2)$, this model is equivalent to a classical least square regression or to a three-way analysis of variance model with treatment contrasts (Mont-

gomery and Runger, 2007).

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The imperviousness is bounded between 0 and 1, whereas a linear model could easily predict values beyond this range, which is not admissible. To have a more plausible model, we therefore used a logit-transformation on the imperviousness (% imp):

$$365 \quad z = 2 * arctanh(2 * Imp - 1)$$
⁽²⁾

In addition, we analyze the results of this regression analysis on a qualitative basis only. With more correct and more complex models, which better represent the underlying process that generated the data, p-values (see Tables 5, 6 and 7 in supplementary material) would be tend to be larger. Here, however, we are not really-interested in the magnitude or statistical significance of the individual effect, but we just would like to see whether they are very different or not.

2.2.3 Prediction of pipe flows

To assess the model's capability to predict the resulting in-sewer flow(decisive for planning and design of urban drainage infrastructure), we compared the modelling result, we predicted stormwater flows at the catchment outlet for 36 independent rain events of different intensity and duration (see

- 375 below) and compared them with flow data measured at the catchment outlet measurements (see Section 3.3). To do so, we evaluated the model performance regarding the In particular, we compared measured and predicted volume of the total runoff and the flow dynamics, particularly regarding the prediction of the as well as peak flows. Main driving questions for the analysis were:
 - How do differences in imperviousness affect pipe flow predictions?
- 380 To what extend extent may differences regarding input data(imperviousness), i.e. degree of imperviousness of subcatchment areas, be compensated by the model calibration procedure?

Model calibration

To adress address the latter question, we compared the results of the different model implementations prior and after calibration. For the calibration/validation procedure we split the reference data set dataset in a calibration (July to September 2014) and a validation period (September to November 2014). In total, for both periods, 36 independent rain events of different intensity and duration were observed, which we consider sufficient to cover the inherent variability of rain events.

To analyse the effect of different input data and how this would be addressed by model calibration, we applied a genetically adaptive multi-objective calibration algorithm (AMALGAM, Vrugt and Robinson (2007)) to adjust the <u>four implementations, in which the calibration parameters of the</u>

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four implementations. The model input (two image data sources \times two different classifiers) is used to derive the "%*imp*"-parameter. In the optimization, four different calibration parameters were adjusted to match three objective functions:(*i*) the Simulation Bias (SB) and Nash-Sutcliffe-Efficiency (NSE, Nash and Sutcliffe (1970)), (*ii*) the total flow balance, and (*iii*) the deviation regarding the

- 395 <u>peak flow peak flow deviation</u> all with respect to the flow at the catchment outlet. The input parameter imperviousness "%*imp*" is <u>derived from orthophotos and</u> not subject to calibration. The calibration parameters are:
 - catchment width [m],
 - HORTON maximum infiltration rate $[mm \ d^{-1}]$,
- 400 Decay constant for the HORTON curve $[d^{-1}]$, and
 - Size of a virtual subcatchment [*ha*], mimicking groundwater infiltration into the sewer pipe network.

Peformance Performance assessment: flow balance and flow dynamics

In a first step, we evaluated the match between modelled hydrographs and reference flow data using the *Simulation Bias* and the Nash-Suteliffe-Efficiency (NSE)SB and NSE. Both goodness-of-fit measures are well established in urban hydrology to cover deviations regarding the flow balance (bias) and flow dynamics (NSE). The Simulation Bias *B* is defined as follows:

$$B = \left(\overline{E} - \overline{M}\right)^2 \tag{3}$$

whereas \overline{M} is the mean of measured (observed) values and \overline{E} is the mean of estimated (simulated) 410 values. The bias ranges from $-\infty$ until $+\infty$ with an optimum at 0. The Nash-Sutcliffe-Efficiency NSE is defined as:

$$NSE = 1 - \frac{\sum_{i=1}^{N} |M_i - E_i|^2}{\sum_{i=1}^{N} |M_i - \overline{M}|^2}$$
(4)

whereas M_i is the measured (observed) and E_i is the simulated value at the time *i*, \overline{M} is the mean of measured (observed) values, *E* is the mean of estimated (simulated) values, and *N* the number of

415 paired data. NSE reaches 0 when the square of the differences between measured and estimated values is as large as the variability in the measured data. In case of negative NSE values the measured mean is a better predictor than the model.

To cover one of the key figures , relevant for engineering urban drainage systems, we included an event-specific evaluation of peak flows in a second evaluation step. To this endwe extracted peaks

420 , we extracted peak flows from observed and modelled hydrographs using a an event filter that identifies independent rainfall-runoff events with an, at least, preceding dry weather period at least 6 hourspreceeding dry weather period.

3 Results

3.1 Classification

Table 1 presents per-pixel overall classification accuracy achieved using (i) two different datasets, 425 (ii) two classification methods, and (iii) either two or three target classes. Figure 4 and 5 present visual classification results for a subset of each of the two datasets, together with a respective ground truth. We did not perform any pre- or post-processing of the data. Image pre-processing adds no information and typically does not help, except for physically meaningful reflectance calibration, which in our setting, was not feasible. Post-processing of the imperviousness map might improve

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e	1	0	-	
overall accuracy but carries the dange	r of introduci	ng unwa	nted hisses	
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		UAV			Orthophoto)
Class. method / % of train data	1%	2%	5%	1%	2%	5%
Three classes						
ML	78.9	72.8	78.4	84.2	84.4	80.8
RQE	93.7	94.3	95.2	95.6	95.8	96.3
Two classes						
ML	87.7	81.6	84.3	90.9	90.8	88.4
RQE	95.5	95.6	96.2	96.6	97.0	97.4

Table 1. RQE vs. ML method: Overall classification accuracies (in %). Boosting with RQE features after 500 iterations. Maximum likelihood classifier was trained with features consisting of single raw pixel intensities (all spectral channels).

Original Image		Ground truth	ML	RQE
	Two classes			
	Three classes			

Figure 4. Cutouts of the swisstopo image: original image, manually labeled ground truth, and classification results using ML and RQE (two and three classes). In a case of two classes impervious surfaces are black and pervious are green. In a case of three classes rooftops are black, streets/sidewalks are grey and vegetation is green.

Original Image		Ground truth	ML	RQE
	Two classes			
	Three classes			

Figure 5. Cutouts of the UAV image: original image, manually labeled ground truth, and classification results using ML and RQE (two and three classes). In a case of two classes impervious surfaces are black and pervious are green. In a case of three classes rooftops are black, streets/sidewalks are grey and vegetation is green.

3.2 Prediction of surface runoff

Exploratory analysis

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We used boxplots and scatterplots to investigate the effect for the four combinations of of combining
two data sources and two processing methods on (i) the imperviousness and the surface runoff characteristics, (ii) peak flows, and (iii) runoff volumes (see Figure 6).

- Imperviousness (Imp): The boxplot shows that the overall distributions of imperviousness for 307 subcatchments do not differ much across the different image sources and classification methods. In general, the UAV images seem to produce slightly lower values of imperviousness than the orthophoto, although this effect might also be dominated by the set of UAV image which was processed by the ML classifier. Regarding the classification methods, the boosting classification method seems to deliver delivers slightly larger imperviousness values for both data sources than the ML method.
- *Peak runoff (Peak)*: Similar Like as for the imperviousness, the different image sources lead to very similar peak runoff values. In general, boosting seems to lead to leads to slightly higher peak flows, which also have a slightly larger variance and slightly higher extreme values for a couple of eatchmentssubcatchments. Regarding the suitability of UAV images in rainfall-runoff modelling, there are no relevant differences between the image sources.
- *Runoff volumes (Volume)*: The exploratory analysis effectively suggest the same patterns for the runoff volume as for the peak flows: boosting probably leads to larger runoff volumes and the resulting variability of the rainfall runoff from the 307 subcatchments is slightly larger than for the ML classifier. Also, the UAV data seem to be associated with smaller runoff volumes.

This is consistent, as they also seemed to be related to a lower this relates to the lower degree of imperviousness in the subcatchments.

- 455 In general, the relative differences between the different alternatives are very small, with average values of a few percent (see Figure 6). For the imperviousness, there are only a few eatchments subcatchments which show rather large differences. These are even less relevant for the peak runoff and runoff volumes.
- Furthermore, the scatterplots of the different explanatory and dependent variables also suggest that
 there is not a substantial difference between the image sources or classification approaches for the modelled surface runoff in the different subcatchments (see Figure 10 in supplementary material). For the boosting classifier, we observe a weak positive correlation with the degree of imperviousness (see Figure 11 in supplementary material), which means that catchments which are rather impervious (or pervious) based on the ML classifier tend to be even more impervious (or pervious) for the
- 465 boosting classifier. However, this is difficult to identify by means of visual analysis and is better explored by an analysis of variance or regression analysis.



Figure 6. Boxplots of the imperviousness and surface runoff characteristics (Imp [-], Peak [Ls^{-1}], Volume [m^3]) for the 307 subcatchments for the four combinations of data sources and processing methods. Black= Ortho, Red= UAV, Green= ML, Blue = RQE.

Regression analysis

The results from the regression analysis are mainly the maximum likelihood estimates of the model parameters and an indicator of their importance (see Tables 5 and 6 in supplementary material).

For the *imperviousness*, as expected neither the image source nor the classifier are is strongly correlated. The negative sign of the estimated slope parameter for the image source ($\beta_1 = -0.16$) suggests that UAV images generally go together with a lower imperviousness. In addition, the influence of the image source seems to be larger than that of the classification method ($\beta_2 = 0.003$), although

475 the large p-values for all parameters suggest that it is not very likely that the observed values of imperviousness were to have occurred under the given statistical model. Therefore, there is virtually no evidence that there are interactions between the image source and the classifiers.

For the *peak runoff*, neither the image source nor the classifier are strongly correlated. The negative sign of the estimated slope parameter for the image source ($\beta_1 = -0.6$) suggest suggests that UAV

- 480 images generally correlate with a lower imperviousness smaller peaks. Here, the influence of the image source seems to be euqally equally important as the classification method ($\beta_2 = -0.6$), just with a different sign. Nevertheless the high p-values for all parameters again suggest that it is not very likely that the observed values of imperviousness were to have occurred under the given statistical model. Also, the interaction between the image sources and classifiers is not important.
- For the runoff volume, the UAV data generally seem to be correlated with slightly lower runoff volumes ($\beta_1 = -302$), whereas the RQE method shows a positive correlation ($\beta_2 = 298$), again, Again, neither the two effects nor their interaction seem to be important.

In summary, the analysis suggests that the resulting surface runoff is not different surface runoffs predicted with SWMM are similar for the different datasources or classification data sources or

490 classifiers. In addition, neither the imperviousness nor peaks nor volumes of the runoff are influenced by interactions between the image sources and the classification methods. As the data source and classifier alone do not represent the data generating process, the underlying statistical assumptions are not met and the numerical results should not be over-interpreted.

The high p-values for all parameters suggest that it is not very likely that the observed peak runoff 495 values were to have occurred under the given statistical model.

3.3 Prediction of in-sewer flow

The evaluation regarding sewer pipe flow is split into two parts: (1) model performance of uncalibrated implementations, and (2) calibrated implementations compared to reference flow datadata, *i.e.* flow measured at the outlet of the catchment.

500 (1) Focusing on the results prior to calibration, it becomes obvious clear that uncalibrated models, among each other, differ particularly regarding the peak flow performance (see boxplot in Figure 7). This clearly corresponds to the findings of the surface runoff analysis (see Section 3.2) in which, for

instance the implementation "UAV ML" with the lowest mean degree of imperviousness produces the lowest runoff peaks. The comparison with reference data through hydrological goodness-of-

505 fit measures (see Table 2) underlines the moderate performance regarding flow dynamics (NSE), whereas already good agreement is achieved for the total flow balance (bias). The slightly improved performance of the implementation of which the imperviousness is derived from UAV data classified with the ML method (UAV ML) is assumed to occur probably occurs by chance.

Model implementation	Model performance: Bias Prior to calibration SB [-] / NSE	Model performance: Bias After calibration SB [-] / NSE [-]
	[-] (prior calibration)	(after complex
		auto-calibration)
Ortho ML	2.0/0.54	3.16E-5 / 0.72
Ortho RQE	2.0/0.52	0.007 / 0.71
UAV ML	0.3 / 0.62	0.1 / 0.75
UAV RQE	2.0/0.53	1.38 / 0.73

Table 2. Goodness-of-fit measures prior and after calibration (both quantified for the validation period).

- (2) Results from calibrated models (see Figure 8 and Table 2, right) show that conducting a detailed calibration, as expected, leads to an improved model performance (NSE increase, bias reduction) and interestingly compensates the imperviousness mapping deviations smooths out the land-use differences among the four implementations. This equalization becomes evident through a visual assessment of simulated hydrographs (see is visible in Figure 8), where the hydrographs are practically the same. Even though the results from the UAV ML implementation after calibration
- 515 still shows slightly different results (see Figure 8, right), a peak flow analysis comparing the absolute maxima of in-sewer flow the differences in peak flow for the 13 most intense rain events leads to very similar scatter patterns when cross-comparing the peak flow performance with reference data are very similar (see Figure 9).

However, when analyzing the variation of final calibration parameter sets Interestingly, the very

- 520 <u>similar performance is achieved with very different parameter estimates</u> (see Figure 15 in supplementary material), it becomes clear that the best fit for each of the four model implementations is achieved by a significantly different parameter set. Particularly the parameter "width", "maximum infiltration rate" and "Decay K" (influencing the peak flow) vary significantly within the a priori defined parameter ranges. Ultimately, results substantially. Results show that the calibrated runoff
- 525 model should be fairly robust against variations of the perviousness map, since these can be compensated by changing other, more uncertain parameters, *e.g.* by different parameter defining the infiltration into pervious surfaces.



Figure 7. Evaluation of peak flows $[\underline{Ls}^{-1}]$ for the 13 most intense rain events (prior calibration).



Figure 8. Observed reference and simulations (prior calibration) for the full validation period September to November 2014 (left) and a selected event on 11 October 2014 (right).



Figure 9. Evaluation of the peak flows for the 13 most intense rain events in the validation period (after calibration).

4 Discussion

4.1 Classification

- 530 The choice of the classifier has a substantial impact on the overall classification accuracy. While boosting achieves accuracies between 93.7% and 96.2% for the UAV dataset and 95.6% to 97.4% for the *swisstopo* dataset, maximum likelihood yields results which are up to 20% worse. Further, it can be seen that the number of target classes strongly influences the results of the ML method. Classification with three target classes is up to 9% less accurate than with two. Moreover, the amount of
- 535 data used to train the ML classifier gives <u>unconclusive inconclusive</u> results. By increasing the number of training samples, overall accuracy should increase. However, in our case the training appears to be unstable, and the expected increase only materializes in a single case (see Table 1, orthophoto dataset, three classes). A possible explanation is that the class distribution is not unimodal, and thus not appropriately captured by the Gaussian model.
- 540 In contrast to the ML method, the boosting classifier behaves in a stable manner. Differences in overall accuracy do not exceed 2.5% per dataset. The changes in boosting performance with varying amounts of training data are negligible: 1% (7000 pixels) already yield satisfactory results, *i.e.* the effort for annotation as well as the training time remains low. The efficiency and robustness of boosting used together with features appropriate for VHR aerial imagery, makes this approach a good
- 545 choice for the task. Also overall classification accuracy achieved using a boosting classifier together

with UAV-based imagery shows that in terms of classification accuracy of impervious surfaces, this new imaging platform gives comparable results to the off-the-shelf aerial image products.

Moreover, our experiments show that at the level of <u>surface</u> runoff prediction, the differences between different imaging platforms and between different processing methods are small. Even though

- 550 the classification accuracy between data sets datasets and methods differs up to 20%, their influence on surface runoff characteristics lies within only few percent on average. We believe that one of the possible reasons is the spatial size of our subcatchments. Each of them consists hundreds of image pixels, but the amount of impervious surfaces per subcatchment used in the hydrological model, is a hydrological model disregards the spatial information and only uses aggregated values.
- 555 i.e. the sum of all impervious pixels belonging to this catchment. Thus, even if 20of pixels were classified incorretly, it might happen that it does not change the amount of impervious surfaces within a subcatchment. one subcatchment. A further observation is that the differences in classification accuracy are much larger for the three-class case. This is in line with conventional machine learning wisdom ("only predict what you need to know"), however we have not yet constructed an
- 560 end-to-end study with the three-class result as <u>an input</u>.

4.2 Prediction of surface runoff

Exploratory analysis of surface runoff

While there are substantial differences when the images are compared pixel-by-pixel (see Figure 4 and 5), these are largely lost for the predicted surface runoff. In our view, this is again explained

- 565 by the SWMM surface runoff model. It is a lumped model, which aggregates the pixels and thus is smoothing out the differences, already on this tiny small scale. This tendency will be even more pronounced for a higher degree of spatial aggregation, *e.g.* when modelling larger urban areas, where the subcatchments equipped with flow measurements will also be larger. Future experiments that investigate the continuous downscaling spatial downsampling of images may reveal when differences
- 570 fully disappear.

Model structure as a bottleneck?

Obvious differences in the input data may be assimilated smoothed out due to the simplified, conceptual representation of the surface runoff in SWMM. In case a We do expect different results for

- 575 more detailed representation of land-use, e.g. with a separate "roof" land-use or modern pixel-based modelling approach approaches for surface runoffis used, results might be different. In . In the future, this might be even more important considering the increasing popularity of coupled 2D-overland/1Dchannel flow models including more detailed overland-flow modelling using raster/pixel-based approaches (*cf.* Austin et al. (2014) Leandro et al. (2009)). Traditional models are not ready yet to
- 580 fully process as currently used in day-to-day engineering practice will probably never be able to fully make use the amount of detail (pixel basis) provided by such aerial images.

High-resolution images provide added value in urban drainage

In future investigations, the aspect of differentating between three or more land-use classes should be investigated. The effect on surface runoff and pipe hydraulics using the current lumped models spatially aggregating models (two land-use classes) may not be as immense. However, for the assessment of pollutant loads, which is usually strongly dependent of in future investigations, models that allow differentiating between three or more land-use characteristics, the accurate and up to date monitoring classes should be further investigated. This may be particularly relevant for pollutant

- 590 load modelling, for which detail, accuracy and actuality of land-use , feature recognition is more important. Relevance increases even more against the background the difficulty to obtain adequate reference data for pollution load modelling. It is generally harder to calibrate such models characteristics are highly influential. Relevance of input data accuracy may even further increase due to the fact that obtaining adequate pollution load reference data is considered to be very difficult (*cf*. Dotto et al.
- 595 (2014))implying the risk of making predictions without calibration.

Also, other urban drainage tasks would greatly benefit from detailed land use maps, land-use maps, e.g. precise and justified stormwater fees due to exactly delineated roofs/types of impervious areas (see *cf.* Figure 4 and 5). An improved feature (gully pots, sewer inlets, curbstone structures) identification would is expected to further provide valuable input data for network generation ap-

600 proaches (e.g. as outlined in Blumensaat et al. (2012)) and the coupled 2D-2-D surface runoff/1D 1-D pipe flow model applications. For this, the RQE method seems to be most promising, although for the runoff analysis, a simpler method still seems to produce robust results.

4.3 Pipe flow predictions

The results from the model calibration show that input data deviations are nearly fully compensated by the calibration procedure, involving an adaption of four different calibration parameter sets. The analysis of the final calibration parameter values however reveals that the best fit for each of the implementations is achieved by differing parameter sets (see Figure 15 in supplementary material). On the one hand side, this may indicate that, even though the full range of *a priori* defined parameter ranges is used during the auto-calibration procedure, for each implementation a different (local)

- 610 optimum in the Pareto front is identified. On the other hand, it may underline that the given model structure is flexible enough to address different model inputs through different parameter settings. Here, it becomes clear that the compensation is achieved by adjusting parameters in a way that involves the risk that some parameters loose its physically based origin and turn into "conceptual handles". The discussion on this particular question is certainly interesting and would need further
- 615 analyses, but it cannot be accomplished in this paper contribution as it would blur the main focus of the paper.

5 Conclusions

In this study we investigated the possibility to automatically generate high-resolution imperviousness maps for urban areas from imagery acquired with UAVs, and for the first time assessed the potential

- 620 of UAVs for high-resolution hydrological applications compared with a standard large-format aerial orthophotos. We proposed an automatic processing pipeline with modern classification methods to extract accurate imperviousness maps from high resolution aerial images, and presented an end-toend comparison, in which the maps obtained from different sources and processed with different classification methods were used as input for urban drainage models.
- The first part of our analysis indicates that using a boosting classifier in conjunction with RQE features we were able to classify UAV imagery with an accuracy comparable to standard aerial orthophotos. The proposed classification method yields more stable results, when compared with those produced using the maximum likelihood method. This improvement is even more apparent when classifying three instead of two classes of land-use.
- 630 In the second part of our analysis we have demonstrated how model input data variations propagate in the course of the urban drainage modelling exercise, and how this is reflected in the surface runoff and sewer flow predictions. Results from uncalibrated model implementations actually show deviations in the predictions, which can be explained by input data variations. But still predictions are inaccurate. <u>Conversely</u> after calibration the performance analysis shows that the cali-
- 635 bration process attenuates variations in the input data, suggesting that model predictions are insensitive to these variations. However, the analysis of the resulting model parameter settings also reveals that apparent robustness is achieved by tweaking the parameter in a way which involves the risk of leaving valid parameter ranges.

Because model development and calibration in everyday practice is often based on less accurate 640 information than used in our case study, it is important to underline reliable input data to reduce overall uncertainty in model predictions.

We note that the conclusions of the study are limited regarding (i) the small size of the case study catchment, (ii) the degree of detail in which the catchment has been described (more detail may show a more pronounced input error propagation, a more lumped description may absorb input

- 645 deviations from the start), and (*iii*) the type of hydrological modelling concept used. Therefore we suggest conducting further research to evaluate the impact of the spatial scale, *i.e.* the degree of spatial aggregation linked to the hydrological model approach (ensemble modelling). In the case study presented here we chose a traditional and widely used urban drainage model (EPA SWMM) to deliberately demonstrate the effect of new image sources and processing methods for standard
- 650 engineering practice.

We furthermore <u>Still</u>, we suggest using imperviousness maps consisting of three land-use classes as more differentiated input for a more detailed hydrological model, *i.e.* a pollution load model, which makes a better use of urban land-use differentiation. Because the proposed boosting classifier showed the largest accuracy gain for a three-class case, we strongly believe that introducing this additional information might more clearly show more clearly shows the potential of UAV datasets and advanced classification methods for more accurate urban drainage and pollution load modelling.

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Appendix A: Supplementary Material

A1 Remote sensing methods to extract the imperviousness maps

A considerable amount of remote sensing research has been devoted to the problem of mapping impervious surfaces. Here, we review some of the previous studies and evaluate them in respect of the datasets and classification methods. Furthermore, we focus on the studies which use the classified land-use to predict urban rainfall runoff.

Whereas few studies have used low-resolution (GSD > 100m) satellite sensors, such as MODIS (Lu et al., 2008; Boegh et al., 2009), AVHRR (Carlson and Arthur, 2000) and DMSP-OLS (Elvidge et al.,

- 2007; Lu et al., 2008), the large part of the research in this area focused on medium and high spatial resolution satellite data. Because of its exceptional temporal resolution, Landsat is still the most popular satellite platform. A large number of authors used Landsat 5 TM (Civco et al., 2002; Carlson, 2004; Bauer et al., 2008; Yuan and Bauer, 2006; Li et al., 2011; Parece and Campbell, 2013; Dougherty et al., 2004) and Landsat 7 ETM+ data (Civco et al., 2002; Yang et al., 2003; Wu and Parece and
- Murray, 2003; Lu and Weng, 2006; Lee and Lathrop, 2006; Powell et al., 2007; Chormanski et al., 2008; Chabaeva et al., 2009; Van de Voorde et al., 2009) for analysing impervious surface cover. Other examples of using images acquired by high resolution platforms include SPOT (Yang et al., 2009; Li et al., 2011; Tan et al., 2009) and ASTER (Weng and Hu, 2008; Hu and Weng, 2009; Weng et al., 2009).
- However, recent developments of remote sensing imaging sensors and platforms gave access to VHR imagery. Examples of VHR satellite sensors application to impervious surfaces mapping include Ikonos (Cablk and Minor, 2003; Lu and Weng, 2009; Mohapatra et al., 2008; Chormanski et al., 2008; Van de Voorde et al., 2009; Mathieu et al., 2007), and QuickBird (Lu et al., 2008; Yuan and Bauer, 2006; Zhou and Wang, 2008). Except of satellite imagery, aerial images are also an im-
- 840 portant source of information. Many studies used aerial orthophotos only as a reference check to satellite imagery (Yang et al., 2003; DeBusk et al., 2010; Parece and Campbell, 2013). However few attempts to automatically map imperviousness using such data were made (Nielsen et al., 2011; Dougherty et al., 2004; Hodgson et al., 2003; Zhou and Wang, 2008; Fankhauser, 1999; Lee and Heaney, 2003).
- One possible way to extract imperviousness from images is to interpret them manually. Even though this is the most reliable method, and has been used in few studies (*e.g.* Lee and Heaney (2003)), it is very costly in terms of time and money. Therefore it is common to automate the process by using image classification. Maybe the simplest method is to assume that only vegetation is pervious and rely on the normalized differential vegetation index (NDVI) (Nielsen et al., 2011;
- 850 Carlson and Arthur, 2000). Many of the studies use more advanced classification methods, such as object based image analysis (OBIA) (Zhou and Wang, 2008; Hodgson et al., 2003; Nielsen et al., 2011; Mathieu et al., 2007). Other examples include maximum likelihood classifier (Fankhauser,

1999; Hodgson et al., 2003), spectral mixture analysis (SMA) (Small, 2003; Van de Voorde et al., 2009; Weng et al., 2009), artificial neural networks (ANN) (Chormanski et al., 2008; Van de Vo-

- 855 orde et al., 2009; Lee and Lathrop, 2006), classification and regression trees (CART) (Yang et al., 2003; Li et al., 2011; Dougherty et al., 2004) and rule-based classifiers (Hodgson et al., 2003). Some of the mentioned methods also use the perviousness maps for urban drainage modelling like we do (Nielsen et al., 2011; Melesse and Wang, 2008; Chormanski et al., 2008; Dougherty et al., 2004; Lee and Heaney, 2003; Fankhauser, 1999). However, to our best knowledge no studies exist, that
- 860 used UAV-based imagery to extract imperviousness information, and to use it in the field of urban drainage modelling.

A2 UAV platform

The UAV platform used in this study is an autonomous fixed-wing drone produced by senseFly SA (*cf.* http://www.senseFly.com). Table 3 includes detailed information about the platform.

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Weight (incl. camera)	ca. 0.69 kg
Wingspan	96 cm
Material	EPP foam, carbon structure and composite parts
Propulsion	Electric pusher propeller, 160 W brushless DC motor
Battery	11.1 V, 2150 mAh
Camera (supplied)	16 MP IXUS/ELPH
Cameras (oprional)	S110 RGB, thermoMAP
Max. flight time	50 min
Nominal speed	40-90 km/h
Wind resistance	Up to 45 km/h (12 m/s)
Radio link range	Up to 3 km
Max. coverage (single flight)	Up to 12 km ²
Cost	ca. 20'000 CHF (Drone + Software)

Table 3. Specifications of the UAV used in the study (source: http://www.senseFly.com)

The imaging unit mounted on a UAV was a customized version of Canon IXUS 127 HS compact camera. Table 4 includes its specifications.

A3 Exploratory data analysis of the importance of image source and processing method for the surface runoff

A3.1 Exploratory analysis

Camera effective pixels	ca. 16.1 million
Lens' focal length	4.3 - 21.5 mm (35 mm equivalent: 24 - 120 mm)
Interfaces	Hi-speed USB, HDMI Output, Analog audio
	output, Analog video output (NTSC/PAL)
Dimensions	$93.2 \times 57.0 \times 20.0 \text{ mm}$
Weight	ca. 135 g (incl. battery and memory card)

Table 4. Specifications of the Canon IXUS 127 HS Camera

Please refer to Figures 10 to ??.

Zoom-in of the relation for peak flows with other explanatory variables (all normalized to mean=0, sd=1). Black = Ortho fotos, Red= UAV images

875 Zoom-in of the relation for runoff volumes with other explanatory variables (all normalized to mean=0, sd=1). Black = Ortho fotos, Red= UAV images

Zoom-in of the relation for time to peak flows with other explanatory variables (all normalized to mean=0, sd=1). Black = Ortho fotos, Red= UAV images

Zoom-in of the relation for peak flows with other explanatory variables (all normalized to mean=0, sd=1). Green= ML, Blue = RQE

Zoom-in of the relation for peak flows with other explanatory variables (all normalized to mean=0, sd=1). Green= ML, Blue = RQE

Zoom-in of the relation for peak flows with other explanatory variables (all normalized to mean=0, sd=1). Green= ML, Blue = RQE

885 Boxplots of the imperviousness and surface runoff charactersistics for the 307 subcatchments for the different data sources

Boxplots of the relative differences of imperviousness and surface runoff charactersistics for the 307 subcatchments for the four combinations of data sources and processing methods. Black= Ortho, Red= Uav, Green= ML, Blue = RQE

890 Absolute differences in imperviousness for the difference combinations of image sources and processing methods in relation to the catement charactersistics *(i)* imperviousness (column 5), *(ii)* area (column 6), and *(iii)* slope (column 7). Differences in imperviousness are computed relative to the basis scenario "Orthophotos processed with a ML classifier"

A3.1 Regression

895 Imperviousness

880

Please refer to Table 5 and Figure 12.

Here we try to answer a following question: Which has the greater influence/is stronger correlate

with a change in imperviousness and surface runoff characteristics, the image source or the process-900 ing method?

Model and results

Here we present logit-transformation of imperviousness. This was done to constrain the model output to the range between 0 and 1 and not to improve the statistical assumptions regarding the errors

905 of the data generating process.

Description/Interpretation

UAV images seem to be negatively correlated with the imperviousness. The effect is not really strong. Regarding the methods, there seems to be no influence, because the estimated linear relation is prac-

910 tically negligible. In addition, there is no evidence for interactions between the image source and the processing method.

Peak runoff

915 Model and results

Please refer to Table 6 and Figure 13

Description/Interpretation

UAVdata generally seem to produce slightly smaller peaks, whereas the RQE method is positively 920 correlated to peak hight. However both effects are not significant by any means. There are no interactions of these two. Statistical assumptions are not fulfilled.

Runoff volume

925

Model and results Please refer to Table 7 and Figure 14

Description/Interpretation

930 UAV data generally seem to produce slightly runoff volumes, whereas the RQE method is positively correlated to runoff volume. However both effects are not significant by any means. There are no interactions of these two. Statistical assumptions are not fulfilled.

935 Time to peak

Analysis was not performed, because exploratory analysis suggest that the differences between the different image sources are negligibly small.

940

A4 Pipe flow predictions

Please refer to Figure 15

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Figure 10. <u>Scatter plot Scatterplot</u> of surface runoff <u>characteristics characteristics</u> for the 307 individual subcatchments of the Wartegg SWMM model. Black = <u>Ortho fotosOrthophotos</u>, Red= UAV images. A_eff: effective area, Imp: imperviousness



Figure 11. <u>Scatter plot Scatterplot</u> of surface runoff <u>characteristics characteristics</u> for the 307 individual subcatchments of the Wartegg SWMM model. Green= ML, Blue = RQE. A_eff: effective area, Imp: imperviousness

Table 5. Summary results of the regression analysis. The negative sign of the estimated slope parameter suggests that the UAV images generally go together with a lower imperviousness. In addition, the influence of the image source seems to be larger than that of the classification method, although the high p-values for all parameters suggest that it is not very likely that the observed values of imperviousness were to have occurred under the given statistical model.

	Dependent variable:	
	Volume	
DataUAV	-301.699	
	(331.033)	
MethodRQE	298.671	
	(331.033)	
DataUAV:MethodRQE	199.362	
	(468.151)	
Constant	3,893.406***	
	(234.075)	
Observations	1,228	
\mathbb{R}^2	0.003	
Adjusted R ²	0.001	
Residual Std. Error	4,101.333 (df = 1224)	
F Statistic	1.274 (df = 3; 1224)	
Note:	*p<0.1; **p<0.05; ***p<0.0	



Figure 12. Diagnostic plots of the regression analysis. It is obvious that the statistical assumptions are not fulfilled very well and that the observe imperviousness is not well explained.

Table 6. Summary results of the regression analysis for peak runoff. The negative sign of the estimated slope parameter suggests that the UAV images generally go together with a lower stormwater peak flow. Here, the influence of the image source seems to be in the same order of magnitude than that of the classification method, although the former is negatively correlated and the latter has a positive correlation with peak runoff. Again, the high p-values for all parameters suggest that it is not very likely that the observed peak runoff values were to have occurred under the given statistical model.

	Dependent variable:
	Peak
DataUAV	-0.065
	(0.067)
MethodRQE	0.068
	(0.067)
DataUAV:MethodRQE	0.038
	(0.094)
Constant	0.826***
	(0.047)
Observations	1,228
\mathbb{R}^2	0.004
Adjusted R ²	0.001
Residual Std. Error	0.827 (df = 1224)
F Statistic	1.507 (df = 3; 1224)
Note:	*p<0.1; **p<0.05; ***p<



Figure 13. Diagnostic plots of the regression analysis. It is obvious that the statistical assumptions are not fulfilled very well and that the observe imperviousness is not well explained.

	Dependent variable:
	Volume
DataUAV	-301.699
	(331.033)
MethodRQE	298.671
	(331.033)
DataUAV:MethodRQE	199.362
	(468.151)
Constant	3,893.406***
	(234.075)
Observations	1,228
\mathbb{R}^2	0.003
Adjusted R ²	0.001
Residual Std. Error	4,101.333 (df = 1224)
F Statistic	1.274 (df = 3; 1224)
Note:	*p<0.1; **p<0.05; ***p<

 Table 7. Summary results of the regression analysis for runoff volume.



Figure 14. Diagnostic plots of the regression analysis. It is obvious that the statistical assumptions are not fulfilled very well and that the observe imperviousness is not well explained.



Figure 15. Distribution of calibration parameter (Decay K: infiltration decay rate after HORTON; MaxRate: maximum infiltration rate after HORTON; width: conceptual parameter describing the width of a <u>sub-catchment</u>; Add.area: conceptual parameter describing event-based sewer infiltration) values identified during the auto-calibration process. Grey rhombs represent the optimum parameter set identified for each population; the red rhomb represents the final parameter set.