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Mapping evapotranspiration with high resolution aircraft imagery over vineyards using one and two source modeling schemes

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Abstract

Thermal and multispectral remote sensing data from low-altitude aircraft can provide high spatial resolution necessary for sub-field (≤ 10 m) and plant canopy (≤ 1 m) scale evapotranspiration (ET) monitoring. In this study, high resolution aircraft sub-meter scale thermal infrared and multispectral shortwave data are used to map ET over vineyards in central California with the Two Source Energy Balance (TSEB) model and with a simple model called DATTUTDUT (Deriving Atmosphere Turbulent Transport Useful To Dummies Using Temperature) which uses contextual information within the image to scale between radiometric land surface temperature (T_R) values representing hydrologic limits of potential ET and a non-evaporative surface. Imagery from five days throughout the growing season is used for mapping ET at the sub-field scale. The performance of the two models is evaluated using tower-based energy flux measurements of sensible (H) and latent heat (LE) or ET. The comparison indicates that TSEB was able to derive reasonable ET estimates under varying conditions, likely due to the physically based treatment of the energy and the surface temperature partitioning between the soil/cover crop inter-row and vine canopy elements. On the other hand, DATTUTDUT performance was somewhat degraded presumably because the simple scaling scheme does not consider differences in the two sources (vine and inter-row) of heat and temperature contributions or the effect of surface roughness on the efficiency of heat exchange. Maps of the evaporative fraction ($EF = LE / (H + LE)$) from the two models had similar spatial patterns but different magnitudes in some areas within the fields on certain days. Large EF discrepancies between the models were found on two of the five days (DOY 162 and 219) when there were significant differences with the tower-based ET measurements, particularly using the DATTUTDUT model. These differences in EF between the models translate to significant variations in daily water use estimates for these two days for the vineyards. Model sensitivity analysis demonstrated the high degree of sensitivity of the TSEB model to the accuracy of the T_R data while the DATTUTDUT model was insensitive

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as is the case with contextual-based models. However, study domain and spatial resolution will significantly influence the ET estimation from the DATTUTDUT model. Future work is planned for developing a hybrid approach that leverages the strengths of both modeling schemes and is simple enough to be used operationally with high resolution imagery.

1 Introduction

As a key component of the land hydrological, energy and biogeochemical cycles, evapotranspiration (ET) provides important information about terrestrial water availability and consumption (Evelt et al., 2012). Detailed knowledge of spatial ET distributions (especially in near-real time) at field or finer scale is particularly useful in precision agricultural water management (Anderson et al., 2012a; Cammalleri et al., 2013; Sánchez et al., 2014). This is especially relevant as the need to increase food production for a growing human population is hindered by the reduced availability of freshwater in many water limited regions, which potentially will be exacerbated with a changing climate. Remote sensing techniques are considered to be one of the few reliable methods for mapping and monitoring ET at watershed and regional scales (Su, 2002; Kustas and Anderson, 2009) since they provide a means for detecting changes in vegetation and soil moisture conditions at field scale affecting ET over space and time.

Over the past several decades, numerous satellite products have been used in ET estimation or monitoring. Among them, medium to moderate spatial resolution (100–1000 m) satellite data, e.g., from Landsat and the MODerate resolution Imaging Spectrometer (MODIS), have been applied with models for ET mapping at field to watershed and regional scales with some success (Anderson et al., 2012b; Cammalleri et al., 2013). (In this paper we define satellite imagery with resolution on order of ~ 100 m as “medium resolution” and 1000 m as “moderate resolution” to distinguish from high resolution imagery with meter-scale spatial resolution.) However, as water

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treat a landscape pixel as a composite/lumped surface or explicitly partition energy fluxes and temperatures between soil and vegetation. These models are based on solving the surface energy balance and adopt radiometric surface temperature (T_R) as a key boundary condition (Kustas and Norman, 1996).

A commonly used method in one source models is the contextual scaling approach, which uses T_R and vegetation amount (the normalized difference vegetation index, NDVI, or fractional vegetation cover, f_c) as proxy indicators of ET (Bastiaanssen et al., 1998; Su, 2002; Allen et al., 2007; Carlson et al., 1994; Jiang and Islam, 1999). Accurate identification of extreme hydrologic limits, i.e., potential ET (cold/wet limit) and the largest water stress condition (hot/dry limit), is essential for proper scaling of the surface condition (e.g., the aerodynamic and air temperature difference, dT , and evaporative fraction, EF) of the other pixels between these extremes. Examples include the Surface Energy Balance Algorithm for Land (SEBAL) (Bastiaanssen et al., 1998), the Mapping Evapotranspiration with Internalized Calibration (METRIC) model (Allen et al., 2007), the triangle model (Carlson et al., 1994), and the satellite-based energy balance algorithm with Reference Dry and Wet limits (REDRAW) (Feng and Wang, 2013).

Zipper and Loheide II (2014) indicated that thermal-based ET models relying on extreme limits are not applicable at sub-field scale (~ 1 m resolutions) since in agricultural landscapes vegetation cover within a field is fairly homogeneous and ideal extreme limits may be difficult to identify, especially during mature crop periods when the canopy is nearly closed. They developed a mixed-input approach combining high resolution airborne and Landsat imagery with local meteorological forcing in a surface energy balance model they called High Resolution Mapping of EvapoTranspiration (HRMET). HRMET combines a two-source modeling approach for estimating available energy between the soil and vegetation elements but uses a single-source scheme for estimating the soil + canopy system H , with LE solved by residual.

On the other hand, the contextual scaling approach can greatly simplify model computations and input data requirements (Carlson, 2007), and can reduce ET retrieval

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especially in areas with bare soil or sparse vegetation. In general, these model inter-comparisons have mainly used medium resolution satellite imagery such as Landsat and Advanced Spaceborne Thermal Emission and Reflection radiometer (ASTER). French et al. (2015) conducted model comparison using both Landsat and aircraft data, and concluded that daily ET estimation were similar at high and medium spatial resolutions.

The purpose of this paper is to conduct an inter-comparison of TSEB with the very simple contextual-based DATTUTDUT model that can be easily applied operationally using high resolution thermal and multispectral shortwave imagery for sub-field scale ET estimation. The inter-comparison is conducted over two vineyard fields having significantly different biomass in central California. ET estimates from the TSEB and DATTUTDUT models are compared in detail within the contributing source-area of the flux tower in each field, and the spatial patterns of modeled ET are compared throughout the whole vineyard field. Additionally, a sensitivity analysis of key inputs for the two models is conducted providing insight into the potential for precision agricultural water resource management applications using such high resolution earth observations.

2 Model overview

2.1 TSEB model

The TSEB model, developed by Norman et al. (1995), partitions surface temperature and fluxes into soil and vegetation components. Detailed formulations used in TSEB can be found in Kustas and Norman (1999) and Li et al. (2005, 2008). In the TSEB model, the surface energy budgets are balanced for both the soil and canopy components of the scene:

$$R_n = R_{ns} + R_{nc} = H + LE + G \quad (1)$$

$$R_{ns} = H_s + LE_s + G \quad (2)$$

$$R_{nc} = H_c + LE_c \quad (3)$$

where R_n is net radiation ($W m^{-2}$), H is sensible heat flux ($W m^{-2}$), LE is latent heat flux ($W m^{-2}$), and G is soil heat flux ($W m^{-2}$). Subscripts s and c represent the soil and canopy flux components, respectively. T_R is partitioned into component soil, T_s , and canopy temperature, T_c , based on the fractional vegetation cover (f_c):

$$T_R \approx [f_c(\theta)T_c^4 + (1 - f_c(\theta))T_s^4]^{1/4} \quad (4)$$

where $f_c(\theta)$ is the vegetation cover fraction at the thermal sensor view angle θ . A clumping factor, Ω , is adopted in the $f_c(\theta)$ calculation to account for the row structure of vineyards (i.e., vine biomass concentrated along trellises) using a formulation from Campbell and Norman (1998):

$$f_c(\theta) = 1 - \exp \left[\frac{-0.5\Omega(\theta)LAI}{\cos(\theta)} \right] \quad (5)$$

where LAI is leaf area index, which is often estimated from NDVI using an empirical LAI ~ NDVI relation (Anderson et al., 2004). When calculating the flux component H , “series” and “parallel” schemes are adopted for the resistance network separately for unstable and stable conditions. Detailed formulations for the two schemes can be found in Norman et al. (1995) and Kustas and Norman (1999). LE_c is initially estimated using a Priestley–Taylor formulation:

$$LE_c = \alpha_{PT} f_G \frac{\Delta}{\Delta + \gamma} R_{nc} \quad (6)$$

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where α_{PT} is Priestley–Taylor parameter, which may vary within different vegetation and climate conditions (Norman et al., 1995; Kustas and Norman, 1999; Kustas and Anderson, 2009). In this paper, the initial value of α_{PT} is 1.26. f_G is the LAI fraction that is green with active transpiration. Δ is the slope of the saturation vapor pressure-temperature curve (PaK^{-1}) and γ is the psychrometric constant (PaK^{-1}). G is parameterized as a fraction of R_{ns} by:

$$G = cR_{ns} \quad (7)$$

where c is the empirical coefficient which tends to be constant during midmorning to midday period (Kustas and Anderson, 2009).

With the above model formulations, energy fluxes for both soil and canopy can be solved. Important model inputs for TSEB include T_R , fractional canopy cover condition (often related to NDVI), and a land use map providing canopy characteristics (mainly vegetation height and leaf width) obtained using remote sensing imagery. Ancillary meteorological data required in TSEB include air temperature, vapor pressure, atmospheric pressure, and wind speed.

2.2 DATTUTDUT model

The DATTUTDUT model is an energy balance model that estimates surface energy fluxes solely from radiometric surface temperature observations acquired over the area of interest. This model assumes that T_R is an important indicator for the surface status, and scales key parameters for flux estimation by T_R between the extremes of a cool/wet pixel with ET at the potential rate and hot/dry pixel where there is essentially no ET. Detailed model formulations are described in Timmermans et al. (2015). Similar to other energy balance models, R_n is estimated by computing the net shortwave radiation and the net longwave radiation:

$$R_n = (1 - \alpha)S_d + \varepsilon\varepsilon_a\sigma T_a^4 - \varepsilon\sigma T_R^4 \quad (8)$$

2.3 Daily flux calculation

A common approach used to extrapolate ET from instantaneous to daily time scale is to assume the ratio of instantaneous LE to some reference variable remains constant during the day, which is described as “self-preservation” by Brutsaert and Sugita (1992). The reference variables typically used include A (Anderson et al., 2012b), standardized reference ET (Allen et al., 2007), solar radiation (Zhang and Lemeur, 1995), top-of-atmosphere irradiance (Ryu et al., 2012). Cammalleri et al. (2014) compared the performances of the scale factors derived by these four reference variables in ET upscaling at 12 AmeriFlux towers, drawing a conclusion that solar radiation was the most robust reference variable for operational applications, particularly in areas where the modeled G component of A may have high uncertainties. However, the applicability of the various reference variables may differ within areas, since energy budget is significantly influenced by surface characteristics such as soil moisture, vegetation condition (Crago, 1996). In this study, EF (defined as the ratio of LE to A or $H + LE$) is assumed constant during the daytime period when solar radiation is larger than 0. The extrapolation to daytime ET using a constant EF is reasonable to apply during the main growing season period (Cammalleri et al., 2014).

The ratio of instantaneous to daytime A at the flux tower site is used to obtain daytime A for each pixel within the study area by assuming that the A ratio between pixel and flux tower is constant during the daytime. Therefore, daytime A for the pixel ($A_{p,d}$) can be derived from the pixel-based instantaneous A ($A_{p,i}$), and flux tower site values of instantaneous and daytime A ($A_{s,i}$ and $A_{s,d}$) using the following expression:

$$A_{p,d} = \frac{A_{p,i}}{A_{s,i}} A_{s,d} \quad (12)$$

Then daytime ET for each pixel ($ET_{p,d}$) can be calculated by tower observed daytime A and the EF retrieved by either TSEB or DATTUTDUT:

$$ET_{p,d} = A_{p,d} EF \quad (13)$$

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and daytime H is computed as the residual in the energy balance equation.

3 Data and site description

3.1 Study site

The model comparison was conducted over two vineyard sites located near Lodi in central California, using data collected as part of the Grape Remote sensing Atmospheric Profiling and Evapotranspiration eXperiment (GRAPEX) (Kustas et al., 2014). With a Mediterranean climate, this area has abundant sunshine and large day-and-night temperature differences, making it a primary wine grape producing area in California. This study focuses on two drip irrigated Pinot Noir vineyards trained on quadrilateral cordons with a 1.5 m space between vines and 3.3 m distance between rows. The north field (Site 1) has an area of about 35 ha with the flux tower located approximately half-way north–south along the eastern border of the field ($38^{\circ}17.3' N$, $121^{\circ}7.1' W$), while the south vineyard (Site 2) is smaller in size, at about 21 ha with the flux tower also approximately half-way north–south along the eastern border of the field ($38^{\circ}16.8' N$, $121^{\circ}7.1' W$) (see Fig. 1). The towers were deployed at these locations to maximize fetch for the predominant wind direction during the growing season, which is from the west. The vines in north field (7–8 years old) are more mature than those in south field (4–5 years old), resulting in a greater biomass/leaf area in the north field. Vine height is similar in both fields and reaches ~ 2.5 m in height. The vines typically leaf out in late March and grow through late August before the grapes are harvested in early September. When winter rains and soil moisture are adequate, a grass cover crop flourishes early in the growing season in the inter-row until becoming senescent starting in late May, which is typically the beginning of the dry season. During the growing season in 2013, the average air temperature was nearly $20^{\circ}C$ and the total precipitation was only about 15 mm.

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3.2 Micrometeorological data

Micrometeorological instruments for measuring the meteorological and flux data were installed both in north and south field flux tower sites in late March 2013. The meteorological data needed for running the TSEB model include air temperature, vapor pressure, atmospheric pressure, wind speed, and incoming solar radiation. These were all measured at approximately 5 m above local ground level (a.g.l.) and recorded as 15 min averages. The eddy covariance (EC) system comprised of a Campbell Scientific, Inc.¹ EC150 water vapor/carbon dioxide sensor and a CSAT3 three-dimensional sonic anemometer, both collecting data at 20 Hz producing 15 min averages. A Kipp and Zonen CNR1 four-component radiometer measured net radiation at 6 m a.g.l. Five soil heat flux plates (HFT-3, Radiation Energy Balance Systems, Bellevue, Washington) buried cross-row at a depth of 8 cm recorded soil heat flux. Each heat flux plate had two thermocouples buried at 2 and 6 cm depths and a Stevens Water Monitoring Systems HydraProbe soil moisture sensor buried at a depth of 5 cm used to estimate heat storage above each plate. Both meteorological and fluxes data were measured through the whole vine growing season (April–October) in 2013. During this period (including both daytime and nighttime observations), the slope between A and $H+LE$ is 0.83 for both two sites with coefficient of determination (R^2) on order of 0.97. This suggests an average energy balance closure of nearly 85%. In this study, the EC fluxes were closed using both the Residual (RE) and Bowen Ratio (BR) methods described in Twine et al. (2000) to ensure energy conservation.

¹The use of trade, firm, or corporation names in this article is for the information and convenience of the reader. Such use does not constitute an official endorsement or approval by the United States Department of Agriculture or the Agricultural Research Service of any product or service to the exclusion of others that may be suitable.

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3.3 Airborne campaigns

Three Intensive Observation Periods (IOPs) were conducted through the 2013 growing season as part of GRAPEX to capture different vine and inter-row cover crop phenological stages that may affect ET rates. During IOP1 (9–11 April 2013; Day of Year (DOY) 99–101) the vines were just starting to leaf out and the cover crop in the inter-row was green and flourishing. By the time of IOP2 (11–13 June, DOY 162–164), the vines were fully developed with immature green grapes, while the cover crop was senescent. Grapes were beginning to ripen and reach maturity while the vines were still green and growing during IOP3 (6–8 August, DOY 218–220).

Airborne campaigns were conducted on five days (DOY 100, 162, 163, 218 and 219) over the three IOPs. Multispectral and thermal cameras were onboard the Remote Sensing Services Laboratory, Utah State University airborne digital system acquiring images over the two vineyards. This system is installed in a single engine Cessna aircraft dedicated for research. The system consists of three ImperX Bobcat B8430 digital cameras with interference filters forming spectral bands in the green (0.545–0.555 μm), red (0.645–0.655 μm) and near infrared (NIR) (0.780–0.820 μm) wavelengths. The thermal infrared (TIR) images were acquired with a ThermaCAM SC640 by FLIR Systems Inc. in the 7.5–13 μm range. The aircraft-based TIR were provided in degrees Celsius and used in this analysis without performing atmospheric correction. Details of image acquisition and processing can be found in Neale et al. (2012). In Table 1, overpass time (UTC), multispectral and thermal pixel resolution, information and aircraft altitude are listed for the overpass dates. The high spatial resolution of the visible band (0.05 or 0.1 m, see Table 1) made it possible to distinguish vegetation pixels from non-vegetated pixels to some extent. However, with the coarser thermal pixel resolutions it was difficult to reliably distinguish pure vine canopy temperatures from background soil and/or inter-row cover crop temperatures (Fig. 1). Since the imagery for the different overpass dates have different spatial resolutions and the TSEB model resistance and radiation formulations for the turbulent

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DN ~ reflectance relation was derived. Visible band reflectance measurements were taken during the IOPs on DOY 162, 218 and 219 both above the vine row and over cover crop inter-row for both north and south fields. Estimated NIR, red and green band reflectance at aircraft pixel resolution are compared with reflectance measurements in Fig. 2. Using 54 data points, including the three bands for three days at both sites, estimated reflectance from aircraft data agreed well with observations having a bias (observed-model) of -1.1% and root mean square difference (RMSD) of 4.5% . This accuracy is comparable with that (a few percent) found by Smith and Milton (1999) and Berni et al. (2009a).

NDVI was assumed to be correlated with fractional vegetation cover and related to LAI (Carlson and Ripley, 1997). The MODIS Terra four-day composite LAI product (MCD15A3) was used to derive LAI maps at 30 m resolution using the regression tree approach introduced by Gao et al. (2012). NDVI maps were generated from NIR (Band 5) and red (Band 4) band of Landsat 8 data. This permitted the derivation of a LAI ~ NDVI relation at 30 m resolution which was used to create a LAI map at aircraft pixel resolution. An exponential equation was used to fit the LAI ~ NDVI relationship, which was able to accommodate the effect of NDVI saturation at high LAI values (Carlson and Ripley, 1997; Anderson et al., 2004). In Fig. 3, the LAI ~ NDVI equation is compared with ground-based LAI measurements using LiCor LAI-2000 on DOY 163 and DOY 218. The ground-based LAI measurements were derived from 5 transects running due west of the tower at 10–15 m intervals and across 4 rows from south to north. The average LAI from the transects represented a sampling area that was within 75 m due west of the flux tower sites. Four below vine canopy measurements were made and consisted of a LAI observation directly underneath vine plants along a row, and $1/4$, $1/2$ and $3/4$ distance from the vine row.

Values of f_c were derived by the aircraft-based visible bands taking advantage of the high spatial resolution (0.05–0.1 m, see Table 1 and Fig. 1) which allowed separation of the vine canopy from the inter-row area. Pixels were classified into vegetation and non-vegetation categories by ENVI image processing software (Exelis, Boulder, CO),

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agreed well with the aircraft thermal observations yielding a bias of 0.5°C and RMSD on the order of 2.5°C . This accuracy was comparable with similar types of comparisons reported by Li et al. (2005) and Kustas and Norman (1999, 2000) which had RMSD values ranging from $2.9\text{--}4.2^{\circ}\text{C}$ for T_s and $1.7\text{--}6.4^{\circ}\text{C}$ for T_c when comparing observed to TSEB-derived component temperatures.

To assess the utility of the TSEB and DATTUTDUT models in reproducing the observed fluxes from the tower observations in the north (Site 1) and south (Site 2) vineyards, instantaneous modeled fluxes are compared with measurements (adjusted for closure using the RE method) in Fig. 5. Table 2 lists the statistics of model performance compared with both original and adjusted measurements. Since the vines were at the very early growth stage during IOP1, and the inter-row cover crop was the main source of vegetation cover, the observed G on DOY 100 was significantly larger than other IOPs (Fig. 5).

Table 2 clearly shows that the RE closure adjustment method gives better consistency between observed and modeled H and LE for both TSEB and DATTUTDUT in this study. Instantaneous flux from TSEB (H and LE adjusted by RE method) agreed well with observation with RMSD ranging between 20 and 60 W m^{-2} , which is considered acceptable and similar to prior studies (e.g., Neale et al., 2012). DATTUTDUT gave estimated fluxes with relatively large errors particularly for R_n (RMSD = 66 W m^{-2}) and LE (RMSD = 105 W m^{-2}) for Site 1. The larger discrepancies in R_n from DATTUTDUT might be attributed to the simplifications in the net radiation computation (see Sect. 2.2). Errors in LE predominantly result from poor performance on DOY 162 and 219 (Fig. 5b and d), likely because the extreme pixels automatically selected on these two days failed to represent the driest/wettest conditions within the image (see discussion below).

Daytime integrated fluxes are compared with the tower measurements in Fig. 6 and Table 3. Available energy was slightly overestimated by the models for all the cases with biases between -0.5 and $-1.4\text{ MJ m}^{-2}\text{ d}^{-1}$. Again, the RE method yielded better agreement with the model estimates of H and LE on a daytime scale. The LE values

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In addition to the issues related to the selection of the T_R end-members, DATTUTDUT does not consider effects of aerodynamic resistance (surface roughness) on the heat exchange for a given surface–air temperature difference. A similar finding was reported by French et al. (2005), where they found bias for H from TSEB was typically within 35 W m^{-2} , while bias for H from SEBAL could reach up to 150 W m^{-2} . Nevertheless, the simpler DATTUTDUT modeling scheme is much easier to apply to an image without a priori knowledge or skill required. This is a significant benefit in operational, realtime applications. Moreover as shown by Timmermans et al. (2015), output of fluxes from DATTUTDUT often were in good agreement with flux tower measurements and resulting flux fields had patterns consistent with more physically-based models including TSEB and SEBAL.

4.2 Comparison of spatial patterns in modeled fluxes

Maps of instantaneous EF (assumed to be constant during the day) over the two vineyards are displayed in Fig. 8, along with frequency histograms of daytime ET from the TSEB and DATTUTDUT models expressed in mass units of mm d^{-1} . During IOP1 (DOY 100), the vines were leafing out in early growth stage and the cover crop in the inter-row was the main source of ET. However, the cover crop in the interrow for the north field was mowed shortly before this aircraft overpass, while the cover crop in the south field was unmowed, and was taller and more lush. As a result, EF and daytime ET distribution histograms showed bimodal shape on DOY 100. The histograms become more unimodal in later IOPs as the vine water use begins to dominate total ET.

While spatial patterns of EF from TSEB and DATTUTDUT were quite similar for all the five overpass dates, driven largely by patterns in T_R (see Fig. 7), the magnitudes in EF differ between the models, some days more significantly than others (Fig. 8a–e). Since the DATTUTDUT model always scales EF between 0 and 1, results from the DATTUTDUT model generally had a wider distribution in EF compared to TSEB. An example of a clear difference in the width of the EF distribution can be seen for DOY 162 in IOP 2 (Fig. 8i), while for daytime ET, differences in the distributions were

higher ET in this study area), ET estimation from high resolution T_R (Case D6 and D7) tended to be more bimodal than that from lower resolution T_R (Case D0 and D5) (see Fig. 9o).

These tests confirm that simple scaling schemes like DATTUTDUT benefit from insensitivity to biases in T_R , but are sensitive to pixel size and range of conditions present within the modeling domain. This is in contrast to results reported by French et al. (2015), where they concluded that no significant difference in daily ET estimation accuracy was observed running the METRIC model at high (aircraft-based) and medium (Landsat) pixel resolutions. Their study fixed extreme pixels using an objective criteria based on clustered means rather than single pixels, which may reduce the likelihood of an error in selecting an outlier as an extreme hot or cold pixel. Moreover they conducted the inter-comparison of model output at the two resolutions focused on field-averaged ET in comparison to water balance estimates; therefore, the effects on ET distributions or variability were not evaluated in detail. Lastly, the sources of the input data at the two spatial resolutions were provided by the different platforms – aircraft and Landsat; however, the effects of changing the pixel resolution of either the aircraft or satellite data were not evaluated. While more automated approaches are being developed for determining extreme T_R -values in applying contextual-based methods such as METRIC (Morton et al., 2013), the current study demonstrates that pixel resolution of T_R and sampling area will influence the selection of extreme limits in the approach used by DATTUTDUT, resulting in differences in spatial distribution/patterns in ET from DATTUTDUT within a given study area.

4.4 Water consumption analysis

Water consumption estimates at the field scale provide important information for water management decision making. In this section, estimates of field-scale daytime water consumption for the north and south fields were calculated by aggregating daytime ET totals for all pixels encompassed within each field and then converting to a volume (in

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liters) by the area of the corresponding field. When using the observed ET (from the flux towers), the field scale water consumption was computed by simply multiplying the tower measured daytime ET (forcing closure by residual) by the area (size) of the vineyard. The volume of water use for each field for the five overpass dates is illustrated in Fig. 10.

The discrepancies between field water consumption from TSEB and DATTUTDUT were relatively small (3–6%) on DOY 100, 163 and 218, since the instantaneous and daytime ET estimates from the two models were similar. However, the water use estimated from TSEB was 25 and 33% less than that computed by DATTUTDUT on DOY 162 and 219, respectively. Water consumption calculated by TSEB tended to agree with observed daytime ET estimated from the tower observations, but often had slightly lower ET estimates. This is consistent with the fact that, particularly for the north (Site 1) vineyard, the flux tower footprint generally came from the center area of the field with highest EF and ET (cf. Figs. 1, 8). On the other hand, DATTUTDUT tended to estimate higher field scale ET than TSEB and tower measurements, particularly on DOY 162 and 219. The overall higher estimated water use for IOP2 and IOP3 by DATTUTDUT is likely due to the simplified parameterization of heat exchange based solely on T_R and the pixel selection criteria for the hydrologic extremes as analyzed in Sects. 4.1 and 4.2.

Water use from TSEB was separated into soil/inter-row evaporation (E) and vine/vegetation transpiration (T) for each day by assuming the E/T ratio estimated at the aircraft overpass time was constant during the daytime period (see the red lines in Fig. 10). The variation of E between days was smaller than the variability in T , with standard deviations in E of 95 and 55 kL for the north and south fields, respectively, as compared 197 and 173 kL for T . On average over the 5 days, the E/ET ratios for site 1 and 2 were estimated by TSEB to be ~ 0.33 and 0.35 , respectively. Although observations of E/ET are not available to validate the TSEB estimates of partitioning, other studies in drip-irrigated vineyards report E/ET ratios of $\sim 0.3 \pm 0.12$ (Yunusa

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et al., 2004; Ferreira et al., 2012; Poblete-Echeverría et al., 2012; Kerridge et al., 2013), indicating TSEB estimates of E/ET partitioning are not unreasonable.

While some level of discrepancy is expected between modeled and measured vineyard water use due to model errors and measurement uncertainties, there are additional factors which may play a role when there appears to be a fairly large difference in water consumption estimated from the tower measurements vs. the models, particularly with the TSEB model which tends to have better agreement with the tower measurements. Specifically, on days like DOY 162 and 163 for the north field and DOY 100 for the south field where there are significant differences between tower observations and TSEB estimates, there are also large differences observed between the LAI within the tower source area and the field average. The lower (higher) LAI of the flux tower source area is associated with the lower (higher) daytime ET estimated from the flux tower observations vs. the spatially-distributed ET output from the TSEB model. The differences in LAI from the source area and field average are not large (see Table 5), but they do support the idea that a single measurement of water use within a vineyard is not always representative of the total vineyard water consumption. In a comparison of ET measurements acquired over irrigated cotton eddy covariance, water balance and lysimeters, Kustas et al. (2015) show how variability in LAI within the different source areas associated with each measurement device was correlated to discrepancies between the measured values ET. In the current study, if the ratio of the field vs. flux tower source area average LAI is used to adjust the water consumption estimates from the ET tower measurements for the two fields, in all cases except one (DOY 100 at Site 2) there is closer agreement with TSEB estimates (see Fig. 10). The continued discrepancy for DOY 100 Site 2 has more to do with the fact that the G values from the tower site were significantly higher than modeled (see Fig. 5) and are suspect since the ratio of G/R_n for much of the daytime period ranged from 0.3 to 0.45 which are values expected for bare soil (Santanello and Friedl, 2003). This resulted in the daytime available energy $R_n - G$ for the tower site to be ~ 0.7 of the value estimated

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by TSEB. Therefore, closure of the tower-based ET flux did not significantly boost the observed value for DOY 100.

With the ET distributions from the models illustrated in Fig. 11, one sees that often the tower measurements fall significantly away from the center/mean of the modeled ET distributions. This is a major advantage with remote sensing-based ET approaches using high pixel resolution data which can capture the actual variation in key surface conditions (vegetation cover, soil moisture) affecting ET. While in most cases the LAI adjustment to the ET tower measurements improved the agreement with model estimated field scale water consumption, the capability of the remote sensing-based surface energy balance models in mapping ET provides a unique tool for identifying areas in the field potentially under water stress conditions. This isn't practical using micrometeorological methods.

5 Discussion and conclusions

High resolution multispectral and thermal imagery obtained by aircraft mounted sensors were used to map evapotranspiration (ET) over two vineyards in central California using both the Two Source Energy Balance (TSEB) and single-source contextual-based DATTUTDUT (Deriving Atmosphere Turbulent Transport Useful To Dummies Using Temperature) model which scales evaporative fraction (EF) between 0 and 1 using only the radiometric surface temperature (T_R) extremes of cold/wet and hot/dry pixels in the remotely sensed scene. This study focused on five aircraft overpass dates (DOY 100, 162, 163, 218 and 219) over the vine growing season in 2013.

Component soil and canopy temperatures from TSEB agreed well with the airborne-based observations derived within the flux-tower source-area yielding a bias on the order of 0.5°C and a RMSD-value $\sim 2.5^\circ\text{C}$ for both soil/cover crop and vine canopy temperatures. Instantaneous and daytime integrated fluxes from the TSEB and DATTUTDUT models were validated with flux tower measurements. The TSEB model

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Table 1. Flight and pixel resolution information concerning the images obtained from the airborne campaigns.

IOP	Date (DOY)	Flight time (UTC)	Original spatial resolution (m)		Flight height (m)
			Multispectral	Thermal	
1	10 Apr (100)	18:29–18:43	0.09	0.7	430
2	11 Jun (162)	18:20–18:26	0.05	0.42	240
2	12 Jun (163)	21:11–21:16	0.05	0.38	240
3	6 Aug (218)	18:34–18:37	0.1	0.66	480
3	7 Aug (219)	18:46–18:49	0.1	0.65	480

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Table 2. Statistics describing comparisons between modeled fluxes from TSEB and DATTUTDUT at the overpass time and observations (original and with adjustments using the RE and BR methods for energy balance closure) (Wm^{-2}).

Site	Flux	Day No.	Mean Obs.	TSEB			DATTUTDUT		
				Bias	MAE	RMSD	Bias	MAE	RMSD
Site 1	R_n	5	593	0	26	33	-43	64	66
	G	5	85	5	28	33	-18	35	40
	H	5	195	13	37	42	48	53	68
	LE	5	268	-63	70	87	-117	117	150
	LE_{RE}	5	313	-18	32	37	-73	76	105
	H_{BR}	5	215	33	55	62	68	71	89
	LE_{BR}	5	293	-38	50	58	-92	94	125
Site 2	R_n	5	590	6	15	23	-19	26	27
	G	5	132	41	43	59	6	47	61
	H	4	195	-23	43	45	8	31	39
	LE	4	186	-90	90	102	-106	106	119
	LE_{RE}	4	253	-23	43	51	-38	55	63
	H_{BR}	4	231	13	33	48	44	59	68
	LE_{BR}	4	217	-59	61	77	-74	77	93

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Table 3. Statistics describing comparisons between modeled daytime fluxes from TSEB and DATTUTDUT model and observations (original and with adjustments using the RE and BR methods) ($\text{MJm}^{-2}\text{d}^{-1}$).

Site	Flux	Day No.	Mean Obs.	TSEB			DATTUTDUT		
				Bias	MAE	RMSD	Bias	MAE	RMSD
Site 1	$R_n - G(A)$	5	15.0	-0.5	0.7	0.9	-1.2	1.2	1.5
	H	5	4.4	-1.0	1.2	1.4	-0.1	1.0	1.2
	LE	5	8.5	-1.6	1.6	1.8	-3.2	3.2	3.6
	LE _{RE}	5	10.6	0.5	1.0	1.1	-1.1	1.4	1.9
	H_{BR}	5	9.9	4.4	4.4	5.1	5.4	5.4	6.1
	LE _{BR}	5	5.1	-4.9	4.9	5.4	-6.6	6.6	7.1
Site 2	$R_n - G(A)$	5	13.9	-1.4	1.5	1.9	-1.1	1.5	2.3
	H	4	5.2	-1.8	1.8	2.2	-0.8	1.1	1.3
	LE	4	6.2	-2.6	2.6	2.9	-3.1	3.1	3.5
	LE _{RE}	4	8.8	0.0	1.7	1.7	-0.5	1.7	1.8
	H_{BR}	4	7.6	0.6	1.9	1.9	1.6	1.6	1.8
	LE _{BR}	4	6.4	-2.4	3.0	3.4	-2.9	2.9	3.4

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Table 5. Average leaf area index (LAI) estimated for the flux tower source area/flux footprint vs. the whole field derived from the aircraft imagery (NDVI relationship with LAI). The LAI values in bold are associated with the days where differences in water consumption estimated by TSEB vs. using the tower measured ET are significant for Site 1 (North vineyard) and Site 2 (South vineyard).

Site	DOY	LAI	
		Source Area	Whole Field
1	100	1.3	1.3
	162	2.0	1.5
	163	1.8	1.5
	218	1.6	1.5
	219	1.7	1.5
2	100	1.7	1.9
	162	1.5	1.5
	163	1.5	1.5
	218	1.2	1.2
	219	1.3	1.2

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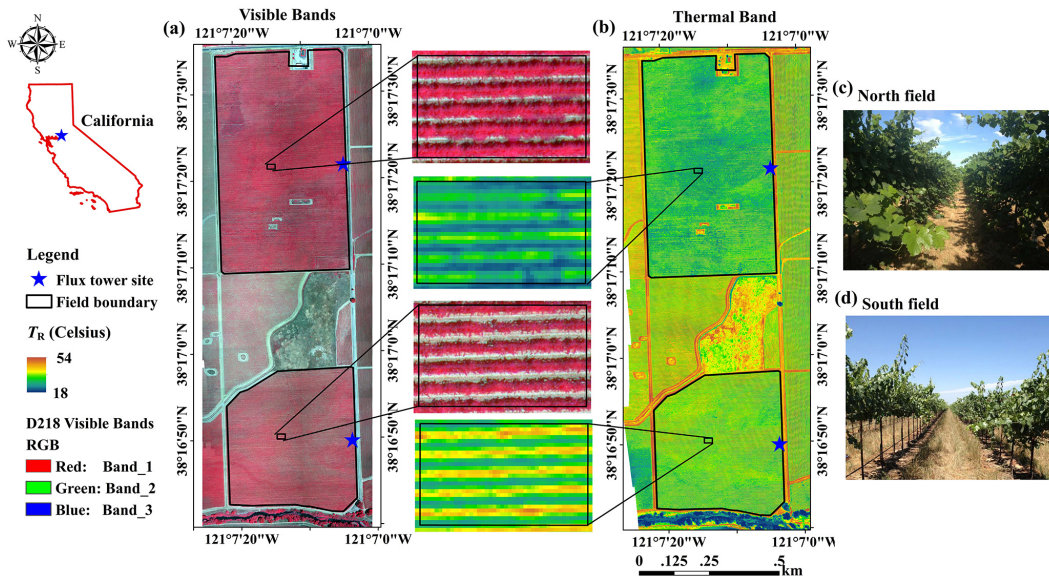


Figure 1. Location of study area overlaid on a false color composite of near-infrared (NIR), red, and green bands with 0.1 m spatial resolution **(a)** and thermal band with 0.66 m spatial resolution **(b)** obtained by aircraft on 6 August, DOY 218, 2013. In the visible band image **(a)**, red and gray colors denote the vine and bare soil/senescent cover crop in the inter-row, respectively, while in the thermal band image **(b)**, blue/green and yellow/red colors represent vine and bare soil/senescent cover crop in the inter-row, respectively. The black line denotes the boundary of north and south fields, and the blue stars are the locations of the flux tower sites. The two photos of the north and south fields **(c)** and **(d)** were taken on 11 June 2014 after vines had fully leafed out.

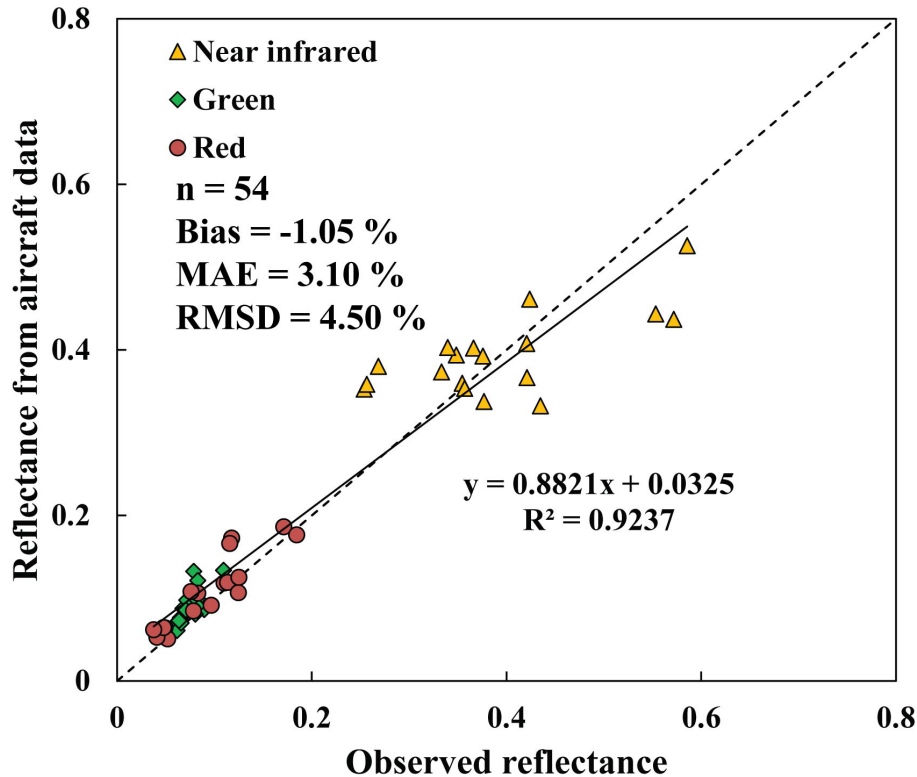


Figure 2. Comparison between observed (O) and modeled (M) visible band reflectance. The statistics (for the sample size $n = 54$) listed in the figure are the Bias ($\Sigma(O - M)/n$), mean absolute error ($MAE = \Sigma|O - M|/n$) and root mean square difference ($RMSD = [\Sigma(O - M)^2/n]^{1/2}$) where Σ represents summation.

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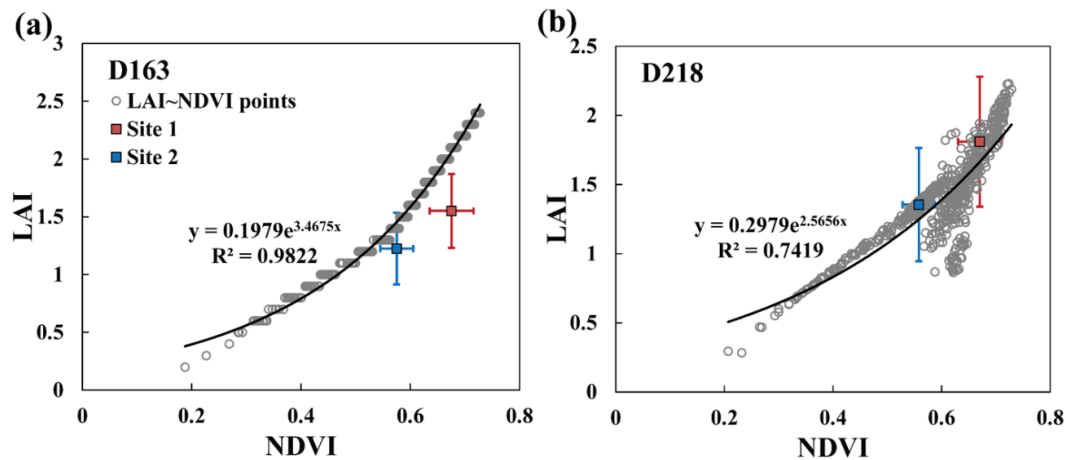


Figure 3. Validation of the LAI ~ NDVI relation using the ground-based LAI measurements on DOY 163 and 218.

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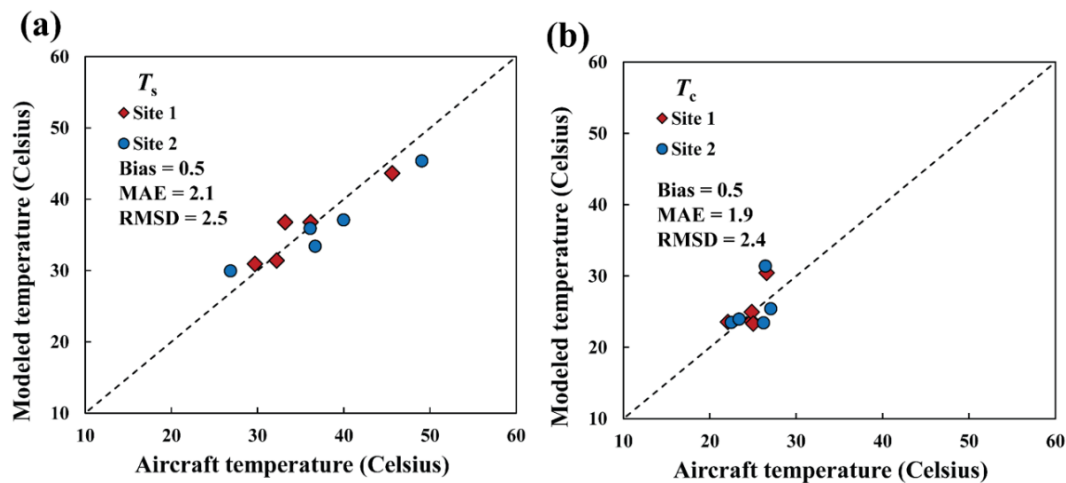


Figure 4. Comparison between modeled T_s and T_c from TSEB and values extracted from the aircraft imagery on the five acquisition days. All the statistics (Bias, MAE and RMSD) have units of °C.

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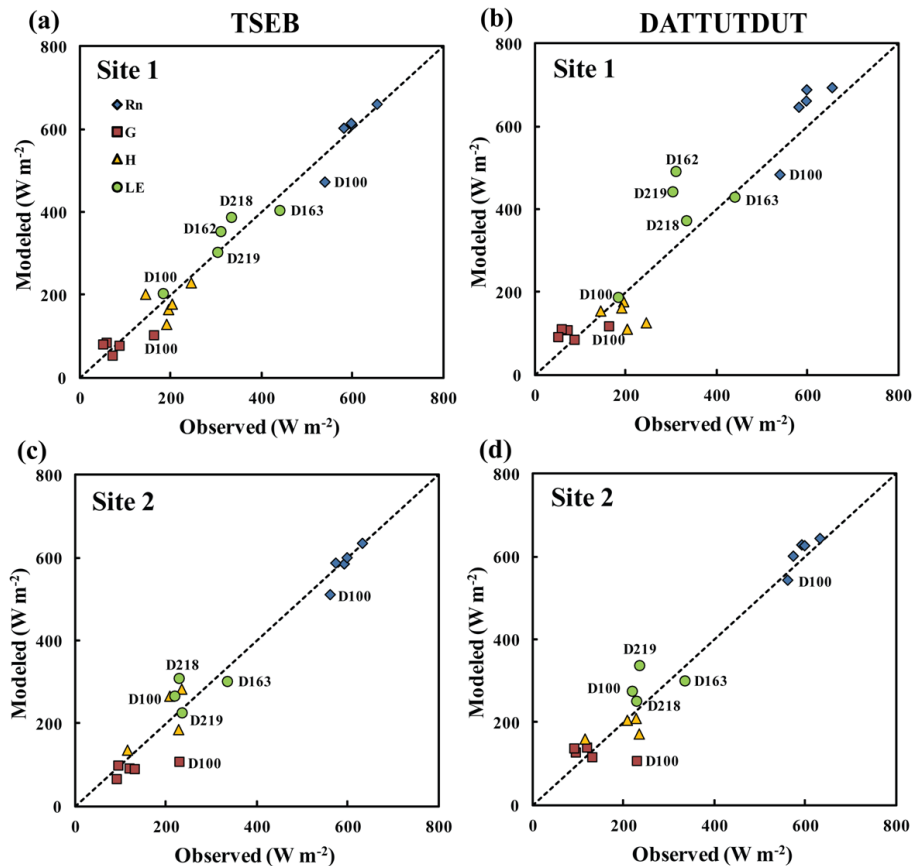
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Figure 5. Scatter plot of observed and modeled fluxes from TSEB and DATTUTDUT at the aircraft overpass time for the five days in 2013. The observed H and LE use the RE method for energy balance closure. Note for DOY 162, there were no flux data from Site 2 due to an EC sensor malfunction.

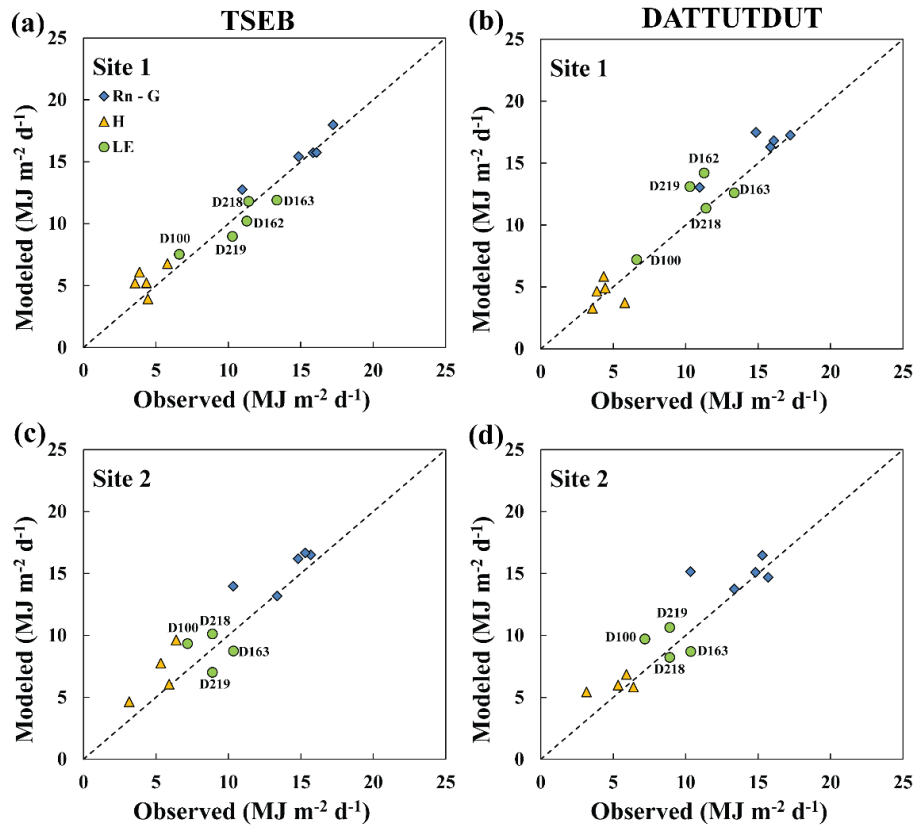


Figure 6. Scatter plot of observed and modeled daytime fluxes from TSEB and DATTUTDUT model at daytime timesteps for the five days in 2013. The observed energy components are adjusted for energy balance using the RE method.

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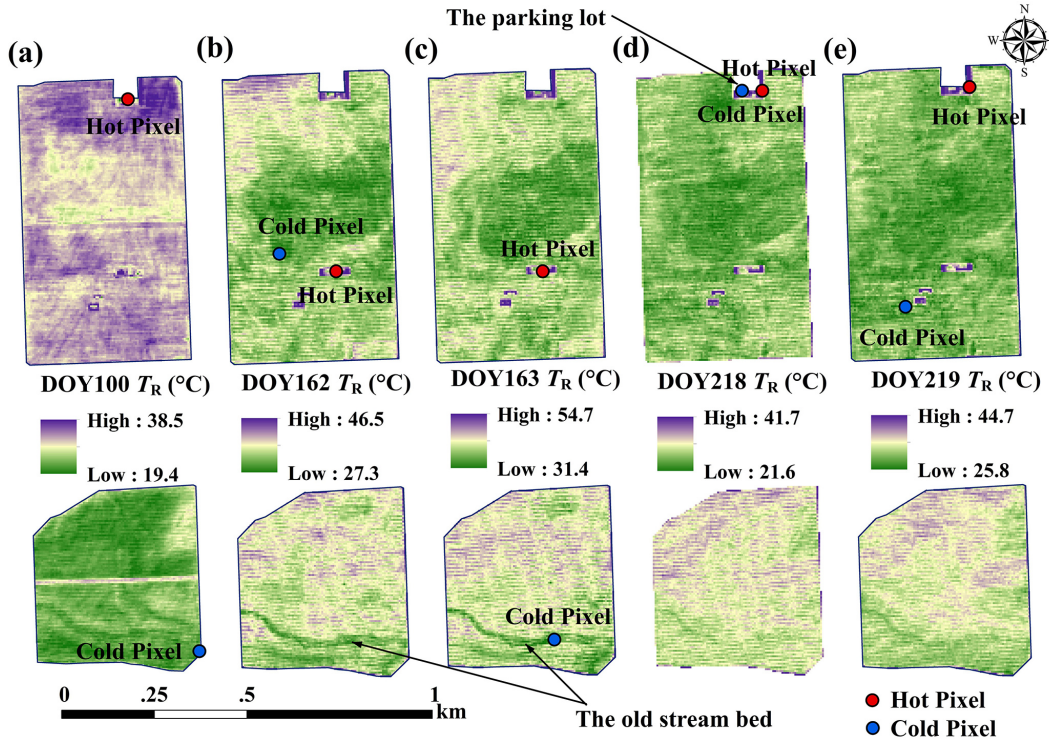


Figure 7. Locations of hot (red points) and cold (blue points) pixels selected from the T_R maps for DATTUTDUT model on the five days.

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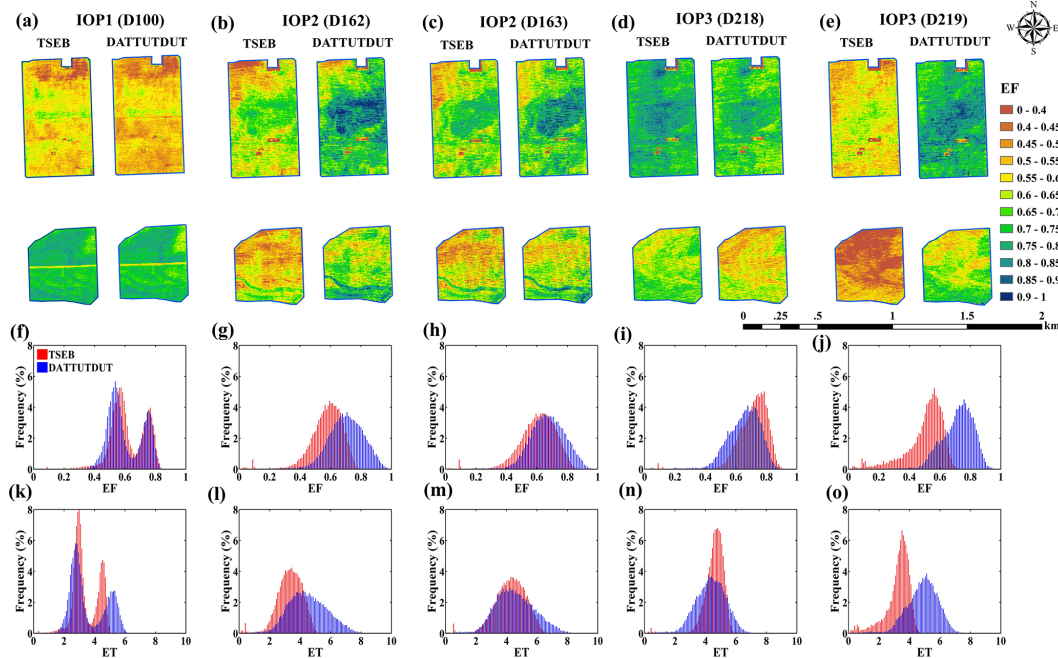


Figure 8. Comparison of TSEB and DATTUTDUT model output: spatial distribution of instantaneous EF (a to e), frequency histogram of instantaneous EF (f to j) and daytime ET (k to o).

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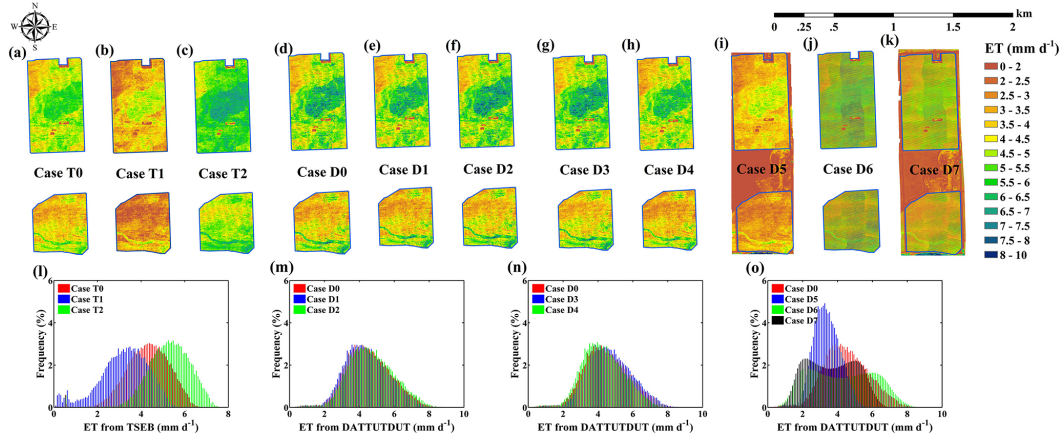


Figure 9. Comparison of the ET patterns and frequency distributions generated by TSEB and DATTUTDUT under the sensitivity tests described in Table 4.

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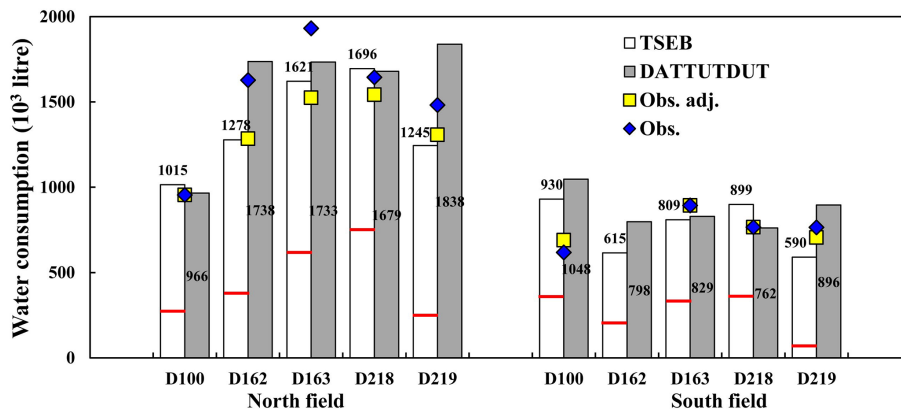


Figure 10. Water consumption calculated from estimates of ET computed by TSEB and DATTUTDUT models for the five aircraft overpass days (10^3 L). The numerical values above or in the columns denote the total water consumption from each field as estimated by the two models. For results from TSEB, the red lines separate the total water consumption into soil evaporation below the lines and vegetation transpiration above the lines. The blue diamonds denote the water consumption calculated using the EC tower-based daytime ET observed (Obs.) multiplied by the area of the north and south vineyards. The yellow squares are the water consumption values from ET Obs. adjusted (adj.) by multiplying ET Obs. by the ratio of the tower source area LAI and the whole field average LAI.

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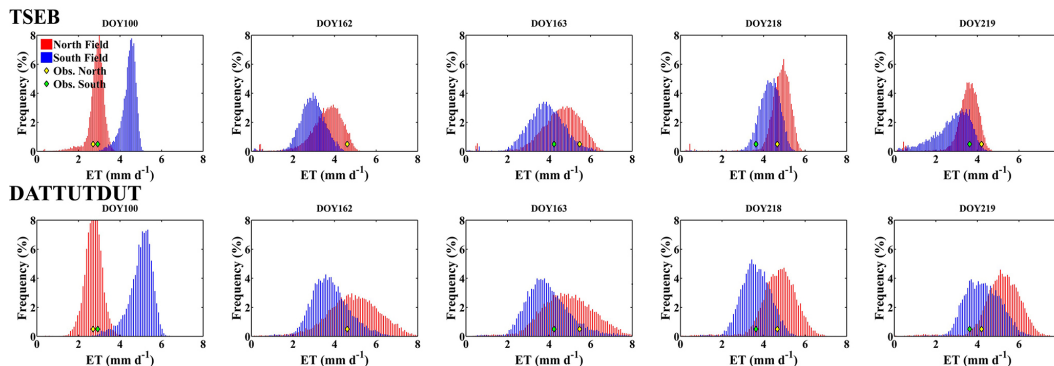


Figure 11. Histograms of output of spatially distributed daytime ET estimated from the TSEB and DATTUTDUT with the daytime ET values from the flux towers identified in the distributions by a yellow and green diamond for the north and south vineyards, respectively.

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