

Abstract

Climate change poses critical threats to water related safety and sustainability in the Mekong River basin. Hydrological impact signals derived from CMIP3 climate change scenarios, however, are highly uncertain and largely ignore hydrological extremes. This paper provides one of the first hydrological impact assessments using the most recent CMIP5 climate change scenarios. Furthermore, we model and analyse changes in river flow regimes and hydrological extremes (i.e. high flow and low flow conditions). Similar to earlier CMIP3-based assessments, the hydrological cycle also intensifies in the CMIP5 climate change scenarios. The scenarios ensemble mean shows increases in both seasonal and annual river discharges (annual change between +5 and +16%, depending on location). Despite the overall increasing trend, the individual scenarios show differences in the magnitude of discharge changes and, to a lesser extent, contrasting directional changes. We further found that extremely high flow events increase in both magnitude and frequency. Extremely low flows, on the other hand, are projected to occur less often under climate change. Higher low flows can help reducing dry season water shortage and controlling salinization in the downstream Mekong Delta. However, higher and more frequent peak discharges will exacerbate flood risk in the basin. The implications of climate change induced hydrological changes are critical and thus require special attention in climate change adaptation and disaster-risk reduction.

1 Introduction

The Mekong River basin is one of the most important transboundary rivers in Southeast Asia. Starting from the Tibetan Plateau, the 4800 km long river flows crosses six different countries, namely China, Myanmar, Laos PDR, Thailand, Cambodia and finally the Vietnamese Mekong River delta before draining into the South China Sea. The economies and societies along the Mekong are strongly linked to its abundant water resources (MRC, 2010). The most important river dependent economic sectors include

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dominant tropical monsoon climate (Adamson et al., 2009; Renaud et al., 2012). Elevation in the basin ranges between above 5000 m in the Tibetan Plateau to only a few meters above sea level in the downstream river delta.

The Mekong's hydrological regime is largely driven by monsoonal activities, most importantly the South-West Monsoon and to a lesser extent the North-East Monsoon (Costa-Cabral et al., 2007; MRC, 2009; Delgado et al., 2012). The South-East Monsoon is dominant from May to September, whereas the North-East Monsoon is active from November to February. These monsoonal activities characterize the basin's hydrology into two hydrological seasons with distinctive flow characteristics. A substantially larger proportion of the annual flow is generated during the wet seasons (June–November). Depending on location, the wet season flow accounts for between 75 and 85% of the total annual flow (calculated from MRC, 2005). Seasonal variation in river flow, especially the flood pulse occurring in the downstream deltas (i.e. the Tonle Sap Lake in Cambodia and the Mekong delta in Vietnam), supports a highly productive aquatic ecosystem and one of the world's major rice production area (Junk et al., 2006; Eastham et al., 2008; Hapuarachchi et al., 2008).

Hydrological extremes, including both high and low flows, increase safety risks and undermine economic productivity in the basin, especially in the low-lying river delta (MRC, 2005; Lamberts and Koponen, 2008). Extreme floods caused by intensive and wide-spread precipitation events result in vast inundation and thereby damaging crops, infrastructure and, in very extreme cases (e.g. flood events in 2000 and 2011), disrupting the whole downstream delta's functioning. The catastrophic flood in 2000 with an estimated total economic loss of over 200 million US Dollars (Cosslett and Cosslett, 2014) illustrates the possible severe flood damage in this area. Extreme low flows also affect agriculture production, which largely depends on surface water irrigation in many parts of the basin. Lack of inflow from upstream during the dry season also exacerbates the risk of salt water intrusion, affecting the downstream delta's ecosystems, domestic water supply and agricultural production (Smajgl et al., 2015).

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2.2 Hydrological model

VMod (Lauri et al., 2006) is a distributed hydrological model using a square grid representation of river basins. This grid uses multiple raster layers containing data for flow direction, river network, soil and land use. The simulation process starts with interpolating climate input for each grid cell from climate input data. VMod requires minimally four daily climate forcing variables (i.e. maximum, minimum and average air temperatures, and precipitation). Climate forcing data is calculated for each grid cell using an inverse distance weighted interpolation. Potential evapotranspiration (PET) is calculated using the Hargreaves–Samani method (Hargreaves and Samani, 1982), where PET is calculated using daily maximum, minimum temperatures, latitude and calendar day of the year. The soil is simulated as two distinctive layers and after soil surface processes, runoff water is routed from cell to cell and finally into the river network. A detailed description of the VMod model's algorithms and equations is available in the model's manual (Lauri et al., 2006).

In this study, we used the modelling setup for the Mekong River basin from Lauri et al. (2012). This Mekong modelling setup was prepared from several soil, land use and elevation datasets, allowing for daily hydrological simulation at 5 km × 5 km spatial resolution. Soil data was prepared from the FAO soil map of the world (FAO, 2003). Soil data were prepared by first reclassifying the original data into eight classes and then aggregated to a 5 km × 5 km grid. Similarly, land use data was prepared by reclassifying the original Global Land Cover 2000 data (GLC2000, 2003) into nine classes and then aggregated to the model's grid. The flow direction data was prepared from the SRTM90 m elevations (Jarvis et al., 2008). The elevation data along the main river's branches was adjusted to force these branches into the proper flow direction. More detailed information on the model setup and its parameterization for the Mekong basin is available in Lauri et al. (2012).

We calibrated and validated the hydrological model against observed daily river discharges at seven gauging stations: Chiang Saen, Vientiane, Nakhon Phanom, Mukda-

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2.3 Climate data

We prepared climate data for the historic period (1971–2000) and the future period (2036–2065) using various datasets. The required four climate variables were prepared as input data to VMod model. Historic temperature data was prepared from the WATCH Forcing Data (Weedon et al., 2011), which is a global historic climate dataset for the 1958–2001 period produced from the 40 year ECMWF Re-Analysis (Uppala et al., 2005) and bias-corrected using the CRU-TS2.1 observed data (Mitchell and Jones, 2005). This dataset is widely used in various global and regional studies (e.g. van Vliet et al., 2013; Krysanova et al., 2014; Leng et al., 2015; Veldkamp et al., 2015). Precipitation data was extracted from the APHRODITE dataset (Yatagai et al., 2012), which is an observation-based precipitation dataset, developed from a high-density network of rain gages over Asia. This dataset has been evaluated as one of the best gridded precipitation datasets for hydrological modelling purpose in the Mekong basin (Lauri et al., 2014).

Climate change scenarios were prepared from the most recent CMIP5 climate projection. Since the regional climate model data of the Coordinated Regional Climate Downscaling Experiment – CORDEX (Giorgi and Gutowski, 2015) so far only covers one GCM for the Mekong region, we decided to use GCM projections as basis for this climate impact assessment. We therefore downscaled the GCM projections ourselves. Given the relatively large number of GCMs under CMIP5, we first did a model selection by reviewing literature on GCM performance. We selected those GCMs that better reproduce historic tropical temperature and precipitation conditions. For historic temperature simulations, Huang et al. (2014) assessed the CMIP5 models efficiency for the Mekong basin and suggested BCC-CSM1-1, CSIRO-MK3-6-0, HadGEM2-ES and MIROC-ESM-CHEM as the better-performing models. Shabehuh et al. (2015) evaluated the GCM's performance in simulating seasonal precipitation focusing on monsoonal activities for three major river basins in South and Southeast Asia, including the Mekong. They concluded that the MPI models, MIROC5 and CSIRO-Mk3-6-0,

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CCSM4, CESM1-CAM5, GFDL-ESM2G, IPSL-CMA-MR, MIROC-ESM and MIROC-ESM-CHEM perform better than other GCMs in the assessment. Furthermore, we also consulted Sillmann's et al. (2013) model evaluation to represent climate extremes. They indicated that ACCESS-1.0, CCSM4, MPI models and HadGEM2-ES are amongst the better performing models. Based on these GCM evaluations, we selected five GCMs for this study (Table 1). For each GCM, we extracted climate data for two different RCPs, namely RCP4.5 and RCP8.5. The RCP4.5 is a medium to low scenario assuming a stabilization of radiative forcing to 4.5 W m^{-2} by 2100 (Thomson et al., 2011). The RCP8.5 is a high climate change scenario assuming a rising radiative forcing leading to 8.5 W m^{-2} by 2100 (Riahi et al., 2011). By selecting a mid-range and a high-end scenario, we expect to capture a reasonable range in climatic and hydrological projections for the Mekong basin. We did not consider RCP2.6, which is the only scenario complying the internationally agreed 2°C warming projection, since we do not think that this is a realistic target, given the current pace of greenhouse gases emissions.

Since the GCMs' spatial resolution is generally too coarse for a basin-scale study, we re-gridded the climate data to a $0.5^\circ \times 0.5^\circ$ grid using bilinear interpolation. Subsequently, the data is subjected to a statistical bias-correction, using the method developed by Piani et al. (2010) to correct biases in the GCM simulations. This bias-correction is done by developing transfer functions, which match the GCM historic (1959–2000) data's monthly statistics to an independent, observed climatology. We used the WATCH Forcing Data and APHRODITE as independent datasets. The developed transfer functions were then applied on the future climate data to correct the biases in the GCM's future climate projection. Detailed information on the bias-correction method is available in Piani et al. (2010).

2.4 Analysing hydrological changes

We employed several techniques to analyse different aspects of hydrological changes. First, annual and monthly discharges' statistics were calculated to understand changes in the river's flow regime. Second, we calculated the Q5 and Q95 to analyse changes

The reductions range from -1% (at Chiang Saen, scenario CSIRO-RCP4.5) to -7% (at Stung Treng and Kratie, scenario HadGEM-RCP8.5). While the ensemble means under the two RCPs are very similar, the RCP8.5 exhibits a larger range in projected discharge changes (Table 3). This larger range is associated to more differentiated precipitation changes under individual GCMs in the RCP8.5 compared to those in the RCP4.5 (see Fig. 4).

Figure 5 shows changes in monthly river discharges under climate change. Overall, the scenario ensembles show higher monthly river flow at all considered stations, except for a slight reduction in June. Absolute discharge increases are more substantial in the wet season compared to those in the dry season. In terms of timing, the RCP4.5 shows largest increases in November, while the RCP8.5 shows largest increase in August. Although absolute increases are more substantial during the wet season months, relative increases are higher during the dry season. For instance, discharge in April could increase up to $+40\%$ ($+360\text{ m}^3\text{ s}^{-1}$) at Vientiane and $+25\%$ ($+480\text{ m}^3\text{ s}^{-1}$) at Kratie. Despite the overall increasing trends, discharge in June is projected to reduce slightly at all three stations, ranging between $-810\text{ m}^3\text{ s}^{-1}$ (-8%) at Kratie, followed by $-530\text{ m}^3\text{ s}^{-1}$ (-8%) at Mukdahan and $-210\text{ m}^3\text{ s}^{-1}$ (-5%) at Vientiane. On the seasonal timescale, discharges increase at all stations during both the wet and dry seasons.

Cross-GCMs comparisons show that monthly discharge changes during the wet season are more variable compared to the dry season. Figure 5 clearly shows that the ensemble's projection ranges become markedly larger in the wet season, implying higher uncertainty in the hydrological change signal. For example, projected river discharge in August at Mukdahan ranges between $15\,400\text{ m}^3\text{ s}^{-1}$ (scenario HadGEM-RCP8.5) and $22\,300\text{ m}^3\text{ s}^{-1}$ (scenario MPI-RCP8.5). This is a spread of $6\,900\text{ m}^3\text{ s}^{-1}$, equivalent to 36% of the average discharge in August. Moreover, the individual GCMs also show contrasting directional discharge changes in the wet season months. The CSIRO and HadGEM models project reductions in discharge during June–October, whereas the other models project discharge increases during the same period. These contrasting

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directional changes mainly result from the disagreement among GCMs on the future precipitation regime in the Mekong basin. This disagreement highlights one of the key uncertainties in projecting future climatic change and subsequently hydrological responses in the Mekong basin, as also noted by Kingston et al. (2011).

3.4 Changes in hydrological extremes

This section subsequently presents changes in Q5 (high flow), Q95 (low flow) and hydrological extremes. Relative changes in high flows (Q5) and low flows (Q95) at Vientiane, Mukdahan and Kratie are shown in Fig. 6. Overall, high flows are projected to increase at all considered stations. The scenario ensemble means show increases in Q5 of +8, +5 and +6 % at Vientiane, Mukdahan and Kratie, respectively. However, high flows also slightly reduce in two scenarios. In particular, the CSIRO-RCP8.5 projects high flow reduction at Vientiane (-6 %) and Mukdahan (-3 %). Similarly, the HadGEM-RCP8.5 also suggests reductions of -1, -2 and -4 % of high flows at Vientiane, Mukdahan and Kratie, respectively. Low flows are projected to increase under all considered scenarios, implying more water availability during the dry season. On average, Q95 increases most substantially at Vientiane (+41 %), followed by Mukdahan (+30 %) and Kratie (+20 %).

The non-exceedance curves of yearly peak discharges (Fig. 7) show substantial increases in extremely high flow at all considered stations. The baseline's non-exceedance curves are always lower than those from the GCM ensemble means, implying increases in both the magnitudes and frequencies of annual peak flows. At Vientiane, for instance, the maximum river discharge occurring once every ten years is projected to increase from 23 800 to 27 900 $\text{m}^3 \text{s}^{-1}$ (RCP4.5) and 28 500 $\text{m}^3 \text{s}^{-1}$ (RCP8.5). Similarly, yearly peak discharges at Kratie increases from 61 700 to 65 000 $\text{m}^3 \text{s}^{-1}$ (RCP4.5) and 66 900 $\text{m}^3 \text{s}^{-1}$ (RCP8.5).

Lastly, both magnitude and frequency of extremely low flows are projected to reduce due to more water availability during the dry season. Higher dry season discharge results in reductions in the total discharge deficits, defined as the total deficit under

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a threshold (Q75 value under climate change). The non-exceedance curves in Fig. 8 shows that these deficits reduce substantially at all three representative stations. Discharge deficits are lowest at Vientiane, ranging between $68\,000\text{ m}^3\text{ s}^{-1}$ (2 year return period) and $100\,000\text{ m}^3\text{ s}^{-1}$ (20 year return period) under the baseline condition. These deficits are projected to reduce by almost 50 %, to $30\,000$ and $58\,000\text{ m}^3\text{ s}^{-1}$ under the RCP8.5 scenario. Similarly, discharge deficits also reduce substantially at Mukdahan and Kratie. Figure 8 also shows that future discharge deficits are relatively similar between the RCP4.5 and the RCP8.5.

4 Discussion

We have presented climatic and hydrological changes in the Mekong River basin based on a relatively large ensemble of CMIP5 GCMs and climate change scenarios. Motivated by improvements in CMIP5 GCMs technicalities and performance, we further analysed changes in extreme hydrological conditions under climate change. As such, our results provide important updates and new insights to the current knowledge base about hydrological response to climate change. Additionally, the results also reveal important implications for water resources management and climate change adaptation.

Our results further confirm and solidify the Mekong's hydrological intensification in response to climate change (Sect. 3.3). In general, our derived hydrological impact signals from the CMIP5 climate change scenarios are in line with findings from most previous CMIP3-based studies addressing impacts on mean discharge changes. This study projects an increase of +5 % in average annual river discharge at Kratie, compared to +10, +4 and +3 % by Hoanh et al. (2010), Västilä et al. (2010) and Lauri et al. (2012), respectively. Similar to these studies, our results also show increasing monthly and seasonal river discharges. Despite the differences in GCMs choices, climate experiment generations (i.e. CMIP5 vs. CMIP3) and downscaling approaches, the increasing trend in annual and seasonal river flow is robust across different studies. Therefore, certain confidence can be placed on the general direction of the Mekong's hydrologi-

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cal change under climate change. Although the increasing signals derived from such scenarios ensemble are robust across studies, many studies also report discharge reductions under a few individual climate change scenarios. Also in this study, annual discharge at Kratie reduces in two scenarios (i.e. the CSIRO-RCP8.5 and HadGEM-RCP8.5). This highlights the relevance of using multiple GCMs and greenhouse gases concentration scenarios rather than relying on individual GCMs or scenarios. Such an ensemble approach allows to establish plausible ranges of future hydrological changes, given inherent differences in impact signals from individual climate experiments.

Our scenario ensembles project increases in both high flows and low flows conditions under climate change. These increases have important implications for water management in the river basin. The analyses for high flows consistently show that the Q5 and yearly peak river discharges will increase at all representative stations. Higher peak discharges occurring at higher frequencies will increase the flood risks across the basin. This can potentially have negative impacts for safety and economic development and indicates the need to take appropriate adaptation measures. The increase in discharge during the wet season will pose threats to safety of hydropower dams along the river (Cao et al., 2011; Pittock and Hartmann, 2011). The notion is particularly relevant for the Mekong, where dams are often built in cascades and such cascade dam failure could potentially cause tremendous damage for downstream areas (Cao et al., 2011). Increased wet season river discharge will also increase the flood risks in the low-lying river delta in Cambodia and Vietnam. More inflow from upstream in combination with sea level rise will further exacerbate floods and thereby causing damage for people and economic development in this most flood-prone area of the Mekong basin. On the other hand, increased water availability during the dry season suggested by the Q95 and discharge deficit analyses can have positive implications. The projected higher river discharge during the dry season months could help to mitigate water shortage in the basin. Additionally, higher dry season flow will also contribute to control salt water intrusion in the Vietnamese Mekong delta, where fresh water flow from upstream is currently used to control the salt gradient in rivers and canals in the coastal area.

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We acknowledge several limitations and potential sources of error in this research. First, combining two historic climate datasets (i.e. the WATCH and the APHRODITE) may introduce errors due to inconsistencies. However, our datasets selection is motivated by careful consideration of data quality and availability. Although APHRODITE provides high quality precipitation data (Vu et al., 2012; Lauri et al., 2014), this dataset lacks temperature data needed for the hydrological model. We therefore supplement temperature data from the commonly used WATCH Forcing Data. Furthermore, the calibration and validation results show that our hydrological simulation based on the combined climate forcing data is able to realistically reproduce historic river discharge. Combinations of temperature and precipitation datasets were also shown by Lauri et al. (2014) to yield sufficient accuracy in hydrological modelling in the Mekong basin. Second, this paper only uses one bias-correction method (i.e. Piani et al., 2010) for climate data preparation. This could affect the derived hydrological impact signal (Hagemann et al., 2011) but is unlikely to change the main signal of hydrological change. Additionally, our primary interest is to understand how the Mekong's hydrology will change under climate change. Therefore, including other bias-correction methods is out of this paper's scope. Third, due to limited data availability, we could not include climate change projections from regional climate models (e.g. CORDEX) in our study. Such inclusion of highly-resolved climate projection could be a very useful addition, not only to this study, but also to the current knowledge base about the Mekong's hydrology under climate change. The scope of this study is to understand how climate change will affect Mekong's hydrology including extremes. Hydrological changes in this river basin, however, are simultaneously driven by multiple factors including irrigated land expansion, urbanization and population growth, hydropower dams and inter-basin water transfer. For example, several studies including Lauri et al. (2012), Piman et al. (2013) and MRC (2011a) have shown that irrigation expansion, hydropower dam construction and water transfer projects can largely alter flow regime. Such anthropogenic factors under the climate change context should be subjected to future studies

climate change. These increases imply important consequences for risk management, especially in checking and maintaining safety of water infrastructures, and in controlling flood risk in the downstream river delta.

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Table 1. Selected CMIP5 GCMs for climatic and hydrological change assessment.

GCM name	Acronyms	Institution	Resolution (lon × lat)
ACCESS1-0	ACCESS	CSIRO-BOM – Commonwealth Scientific and Industrial Research Organisation, Australia and Bureau of Meteorology, Australia	1.875° × 1.25°
CCSM4	CCSM	NCAR – National Center for Atmospheric Research	1.25° × 0.94°
CSIRO-Mk3.6.0	CSIRO	CSIRO-QCCCE – Commonwealth Scientific and Industrial Research Organisation in collaboration with the Queensland Climate Change Centre of Excellence	1.875° × 1.875°
HadGEM2-ES	HadGEM	MOHC – Met Office Hadley Centre and Instituto Nacional de Pesquisas Espaciais	1.875° × 1.24°
MPI-ESM-LR	MPI	MPI-M Max Planck Institute for Meteorology	1.875° × 1.875°

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Table 2. Model performance indices calculated from daily time series for calibration (C) and validation (V) periods. See station locations in Fig. 1.

Stations	NSE		Relative total bias		Q5 high flow relative bias		Q95 low flow relative bias	
	C	V	C	V	C	V	C	V
Chiang Saen	0.90	0.90	0.90	0.88	0.93	0.91	0.64	0.62
Vientiane	0.92	0.88	1.08	1.10	1.12	1.14	0.85	0.81
Nakhon Phanom	0.96	0.96	1.03	1.03	1	0.85	0.92	0.72
Mukdahan	0.96	0.95	0.98	1	0.96	0.89	0.81	0.7
Pakse	0.94	0.94	0.94	0.91	0.88	0.88	0.89	0.82
StungTreng	0.94	0.97	0.93	0.89	0.86	0.84	1.09	0.86
Kratie	0.95	0.93	1.00	0.90	0.91	0.85	1.01	0.83

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Table 3. Relative changes in annual river discharges at the Mekong’s mainstream stations for 2036–2065 relative to 1971–2000. Lowest and highest changes are presented with the corresponding climate change scenarios.

Station	Ensemble mean (%)	RCP 4.5	Ensemble mean (%)	RCP 8.5
		Range (%)		Range (%)
Chiang Saen	+14	+4 – +29 CSIRO – ACCESS	+15	–1 – +33 CSIRO – ACCESS
Vientiane	+9	+1 – +17 CSIRO – ACCESS	+9	–1 – +20 CSIRO – ACCESS
Nakhon Phanom	+7	–1 – +12 CSIRO – ACCESS	+6	–2 – +13 CSIRO – ACCESS
Mukdahan	+6	–1 – +11 CSIRO – ACCESS	+5	–4 – +13 HadGEM – ACCESS
Pakse	+6	+2 – +10 CCSM – ACCESS	+5	–6 – +13 HadGEM – MPI
Stung Treng	+5	+3 – +8 CCSM – ACCESS	+5	–7 – +10 HadGEM – ACCESS
Kratie	+5	+3 – +8 CCSM – ACCESS	+5	–7 – +11 HadGEM – MPI

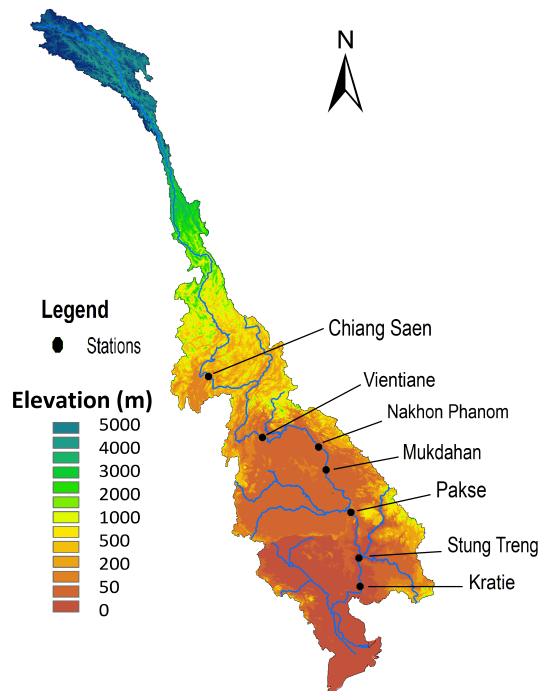


Figure 1. The Mekong River basin's elevation map and locations of mainstream gauging stations.

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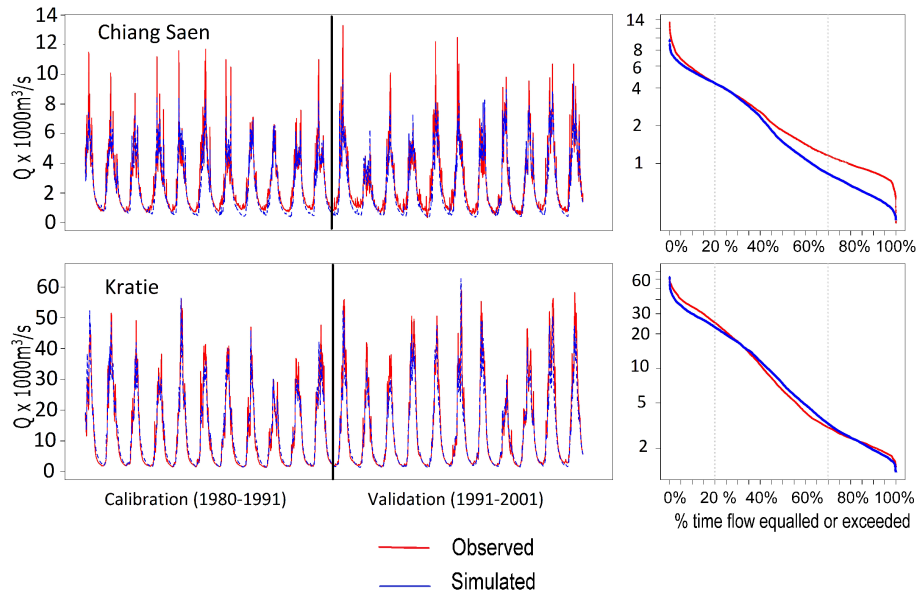


Figure 2. Daily discharge plots (left) and flow duration curves (right) during calibration and validation at Chiang Saen (upper plots) and Kratie (lower plots). See station locations in Fig. 1.

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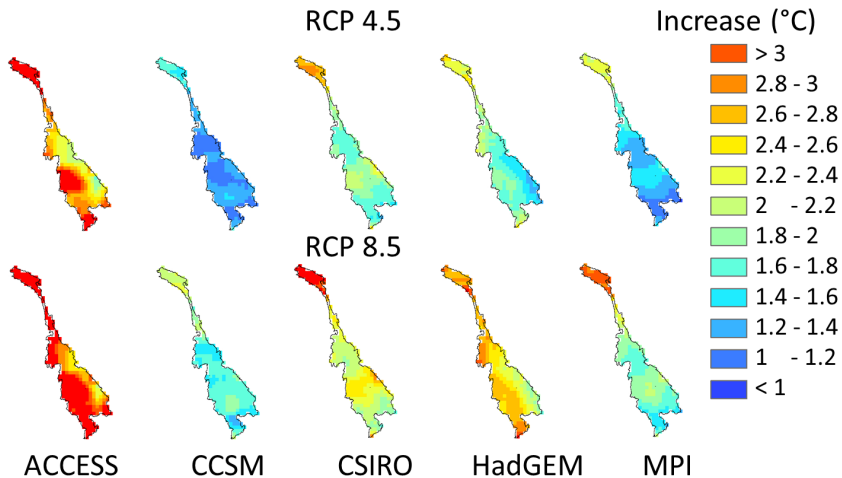


Figure 3. Projected change in daily mean temperature ($^{\circ}\text{C}$) under future climate (2036–2065) compared to baseline situation (1971–2000).

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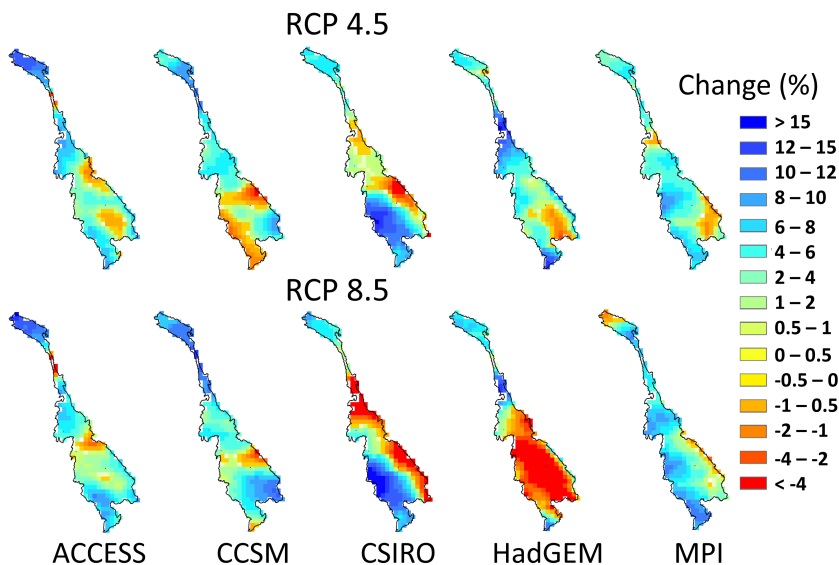


Figure 4. Projected change in total annual precipitation (%) under future climate (2036–2065) compared to the baseline climate (1971–2000).

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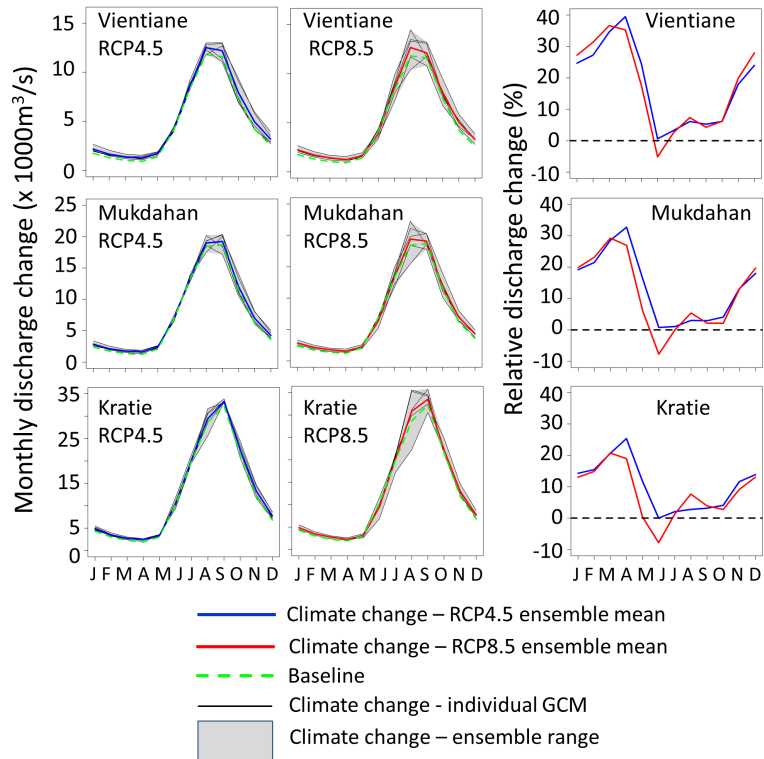


Figure 5. Projected monthly river discharge (left and middle panels) and relative changes (right panel) under climate change for 2036–2065 relative to 1971–2000.

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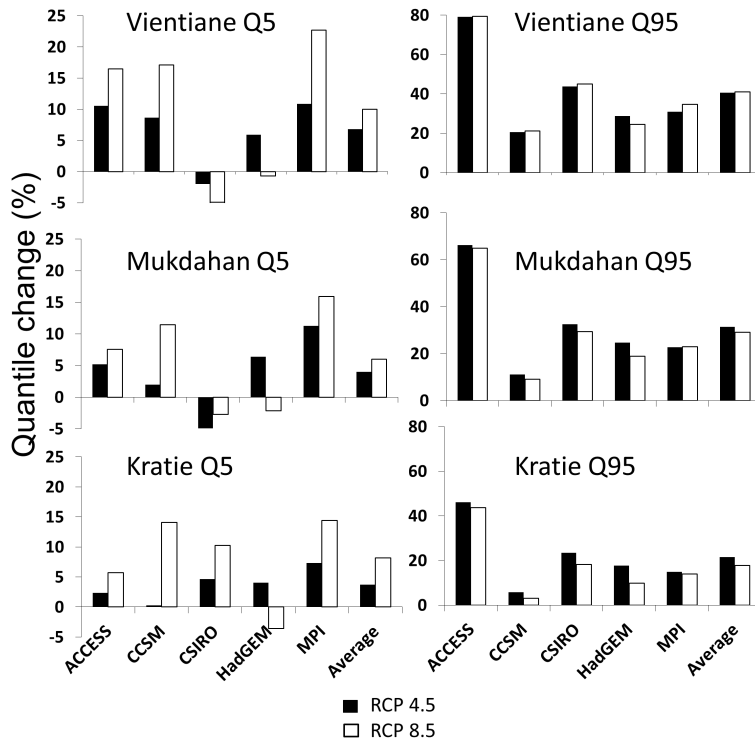


Figure 6. Projected changes in Q5 (high flow) and Q95 (low flow) under climate change for 2036–2065 relative to 1971–2000.

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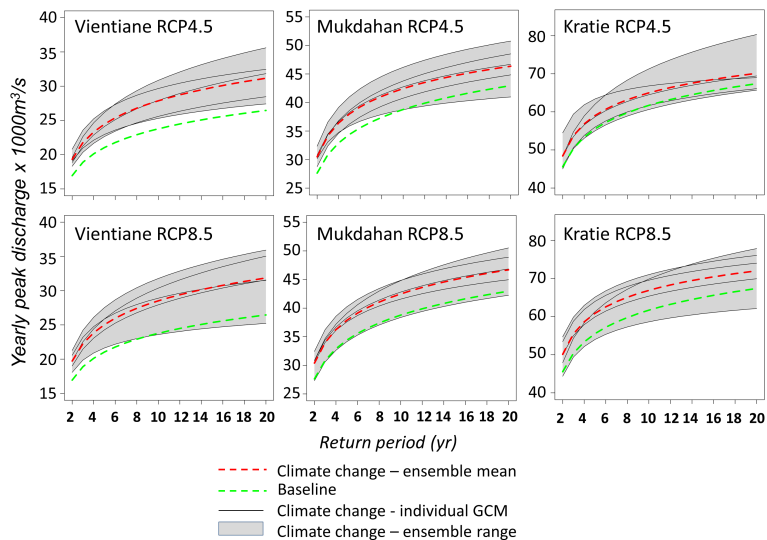


Figure 7. Non-exceedance curves of yearly peak discharges under baseline (1971–2000) and future climate (2036–2065).

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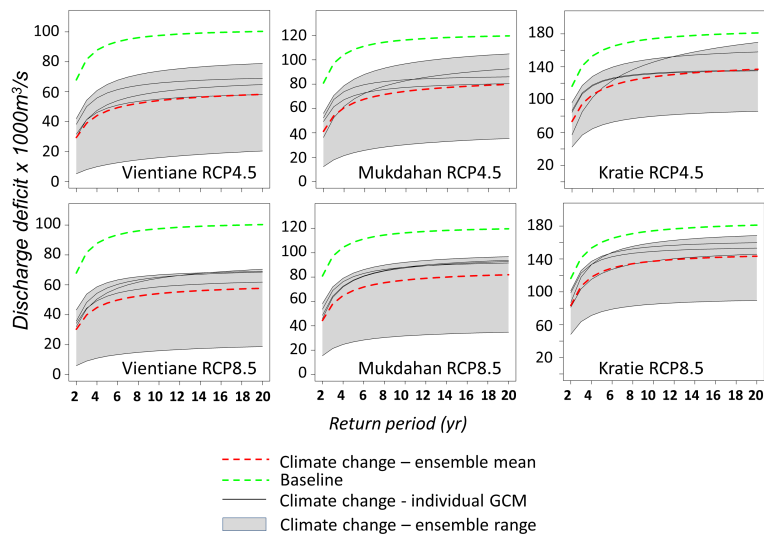


Figure 8. Non-exceedance curves of yearly maximum cumulative discharge deficits (i.e. total deficit below the Q75 threshold) under baseline and future climate.

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