Can assimilation of crowdsourced streamflow observations in hydrological modelling improve flood prediction?

3

M. Mazzoleni¹, M. Verlaan², L. Alfonso¹, M. Monego³, D. Norbiato³, M. Ferri³ and D. P. Solomatine^{1,4}

6 [1]{UNESCO-IHE Institute for Water Education, Delft, The Netherlands}

7 [2]{Deltares, Delft, The Netherlands}

8 [3]{Alto Adriatico Water Authority, Venice, Italy}

9 [4]{Delft University of Technology, Water Resources Section, Delft, The Netherlands}

10 Correspondence to: M. Mazzoleni (m.mazzoleni@unesco-ihe.org)

11

12 Abstract

13 Monitoring stations have been used for decades to properly measure hydrological variables and 14 better predict floods. To this end, methods to incorporate such observations into mathematical 15 water models have also being developed, including data assimilation. Besides, in recent years, 16 the continued technological improvement has stimulated the spread of low-cost sensors that 17 allow for employing crowdsourced and obtain observations of hydrological variables in a more 18 distributed way than the classic static physical sensors allow. However, such measurements 19 have the main disadvantage to have asynchronous arrival frequency and variable accuracy. For 20 this reason, this is one of the first studies that aims to demonstrate that crowdsourced streamflow 21 observations can improve flood prediction if integrated in hydrological models. Two different 22 types of hydrological models, applied to two case studies, are considered. Realistic (albeit 23 synthetic) streamflow observations are used to represent crowdsourced streamflow 24 observations in both case studies. Overall, assimilation of such observations within the hydrological model results in a significant improvement, up to 21% (flood event 1) and 67% 25 26 (flood event 2) of the Nash-Sutcliffe efficiency index, for different lead times. It is found that 27 the accuracy of the observations influences the model results more than the actual (irregular) 28 moments in which the streamflow observations are assimilated into the hydrological models. This study demonstrates how networks of low-cost sensors can complement traditional networks of physical sensors and improve the accuracy of flood forecasting.

31

32 **1** Introduction

Observations of hydrological variables measured by physical sensors have been increasingly 33 34 integrated into mathematical models by means of model updating methods. The use of these 35 techniques allows for the reduction of intrinsic model uncertainty and improves the flood 36 forecasting accuracy (Todini et al., 2005). The main idea behind model updating techniques is 37 to either update model input, states, parameters or outputs as new observations become 38 available (Refsgaard, 1997; WMO, 1992). Input update is the classical method used in 39 operational forecasting as uncertainties of the input data can be considered as the main source of uncertainty (Bergström, 1991; Canizares et al., 1998; Todini et al., 2005). Regarding the state 40 41 updating, Kalman filtering approaches such as Kalman filter (Kalman, 1960), extended Kalman 42 filter (Aubert et al., 2003; Kalman, 1960; Madsen and Cañizares, 1999; Verlaan, 1998) or 43 Ensemble Kalman filter (EnKF, Evensen, 2006) are ones of the most used when new 44 observations are available.

45 Due to the complex nature of the hydrological processes, spatially and temporally distributed measurements are needed in the model updating procedures to ensure a proper flood prediction 46 47 (Clark et al., 2008; Mazzoleni et al., 2015; Rakovec et al., 2012). However, traditional physical 48 sensors require proper maintenance and personnel which can be very expensive in case of a 49 vast network. For this reason, the technological improvement led to the spread of low-cost 50 sensors used to measure hydrological variables such as water level or precipitation in a distributed way. An example of such sensors, defined in the following as "social sensor", is a 51 52 smart-phone camera used to measure the water level at a staff gauge with an associate OR code 53 used to infer the spatial location of the measurement (see Figure 1). The main advance of using 54 these type of sensors is that they can be used not only by technicians but also by regular citizens, 55 and that due to their reduced cost a more spatially distributed coverage can be achieved. The 56 idea of designing such alternative networks of low-cost social sensors and using the obtained crowdsourced observations is the base of the EU-FP7 WeSenseIt project (2012-2016), which 57 also sponsors this research. Various other projects have also been initiated in order to assess the 58 59 usefulness of crowdsourced observations inferred by low-cost sensors owned by citizens. For instance, in the project CrowdHydrology (Lowry and Fienen, 2013), a method to monitor 60

61 stream stage at designated gauging staffs using crowd source-based text messages of water 62 levels is developed using untrained observers. Cifelli et al. (2005) described a community-based network of volunteers (CoCoRaHS), engaged in collecting precipitation measurements of rain, 63 hail and snow. An example of hydrological monitoring, established in 2009, of rainfall and 64 65 streamflow values within the Andean ecosystems of Piura, Peru, based on citizen observations is reported in Célleri et al. (2009). Degrossi et al. (2013) used a network of wireless sensors in 66 67 order to map the water level in two rivers passing by Sao Carlos, Brazil. Recently, the iSPUW Project is aims to integrate data from advanced weather radar systems, innovative wireless 68 69 sensors and crowdsourcing of data via mobile applications in order to better predict flood events 70 in the urban water systems of the Dallas-Fort Worth Metroplex (ISPUW, 2015; Seo et al., 71 2014). Other examples of crowdsourced the water-related information include the so-called 72 Crowdmap platform for collecting and communicating the information about the floods in 73 Australia in 2011 (ABC, 2011), and informing citizens about the proper time to drink water in 74 an intermittent water system (Alfonso, 2006; Au et al., 2000; Roy et al., 2012). A detailed and 75 interesting review of the examples of citizen science applications in hydrology and water 76 resources science is provided by Buytaert et al. (2014)

The traditional hydrological observations from physical sensors have a well-defined structure 77 in terms of frequency and accuracy. On the other hand, crowdsourced observations are provided 78 79 by citizens with varying experience of measuring environmental data and little connections 80 between each other, and the consequence is that the low correlation between the measurements 81 might be observed. So far, in operational hydrology practice, the added value of crowdsourced 82 data it is not integrated into the forecasting models but just used to compare the model results 83 with the observations in a post-event analysis. This can be related to the intrinsic variable 84 accuracy, due to the lack of confidence in the data quality from such heterogeneous sensors, 85 and the variable life-span of the crowdsourced observations.

Regarding data quality, Bordogna et al. (2014) and Tulloch and Szabo (2012) stated that quality control mechanisms should consider contextual conditions to deduce indicators about reliability (expertise level), credibility (volunteer group) and performance of volunteers such as accuracy, completeness and precision level. Bird et al. (2014) addressed the issue of data quality in conservation ecology by means of new statistical tools to assess random error and bias in such observations. Cortes et al. (2014) evaluated data quality by distinguishing the in-situ data collected between a volunteer and a technician and comparing the most frequent value reported

93 at a given location. They also gave some range of precision according to the rating scales. With 94 in-situ exercises, it might be possible to have an indication of the reliability of data collected (expertise level). However, this indication does not necessarily lead to a conclusion of high, 95 96 medium or low accuracy every time a streamflow observation of a contributor is received. In 97 addition, such approach is not enough at operational level to define accuracy in data quality. In 98 fact, every time a crowdsourced observation is received in real-time, the reliability and accuracy 99 of observations should be identified. To do so, one possible approach could be to filter out the 100 measurements following a geographic approach which defines semantic rules governing what 101 can occur at a given location (e.g. Vandecasteele and Devillers, 2013). Another approach could 102 be to compare measurements collected within a pre-defined time-window in order to calculate 103 the most frequent value, the mean and the standard deviation.

104 Regarding the variable life-span, crowdsourced observations can be defined as *asynchronous* 105 because do not have predefined rules about the arrival frequency (the observation might be sent 106 just once, occasionally or at irregular time steps which can be smaller than the model time step) 107 and accuracy. In a recent paper, Mazzoleni et al. (2015) presented results of the study of the effects of distributed synthetic streamflow observations having synchronous intermittent 108 109 temporal behaviour and variable accuracy in a semi-distributed hydrological model. It has been 110 shown that the integration of distributed uncertain intermittent observations with single 111 measurements coming from physical sensors would allow for the further improvements in 112 model accuracy. However, we have not considered the possibility that the asynchronous 113 observations might be coming at the moments not coordinated with the model time steps. A 114 possible solution to handle asynchronous observations in time with EnKF is to assimilate them 115 at the moments coinciding with the model time steps (Sakov et al., 2010). However, as these 116 authors mention, this approach requires the disruption of the ensemble integration, the ensemble 117 update and a restart, which may not feasible for large-scale forecasting applications. Continuous approaches, such as 3D-Var or 4D-Var methods, are usually implemented in oceanographic 118 119 modeling in order to integrate asynchronous observations at their corresponding arrival 120 moments (Derber and Rosati, 1989; Huang et al., 2002; Macpherson, 1991; Ragnoli et al., 121 2012). In fact, oceanographic observations are commonly collected at not pre-determined, or asynchronous, times. For this reason, in variational data assimilation, the past asynchronous 122 123 observations are simultaneously used to minimize the cost function that measures the weighted 124 difference between background states and observations over the time interval, and identify the 125 best estimate of the initial state condition (Drecourt, 2004; Ide et al., 1997; Li and Navon, 2001).

126 In addition to the 3D-Var and 4D-Var methods, Hunt et al. (2004) proposed a Four Dimensional 127 Ensemble Kalman Filter (4DEnKF) which adapts EnKF to handle observations that have occurred at non-assimilation times. In this method the linear combinations of the ensemble 128 129 trajectories are used to quantify how well a model state at the assimilation time fits the 130 observations at the appropriate time. Furthermore, in case of linear dynamics 4DEnKF is 131 equivalent to instantaneous assimilation of the measured data (Hunt et al., 2004). Similarly to 132 4DEnKF, Sakov et al. (2010) proposed the Asynchronous Ensemble Kalman Filter (AEnKF), 133 a modification of the EnKF, mainly equivalent to 4DEnKF, used to assimilate asynchronous 134 observations (Rakovec et al., 2015). Contrary to the EnKF, in the AEnKF current and past 135 observations are simultaneously assimilated at a single analysis step without the use of adjoint model. Yet another approach to assimilate asynchronous observations in models is the so-called 136 137 First-Guess at the Appropriate Time (FGAT) method. Like in 4D-Var, the FGAT compares the 138 observations with the model at the observation time. However, in FGAT the innovations are 139 assumed constant in time and remain the same within the assimilation window (Massart et al., 140 2010). Having reviewed all the described approaches, in this study we have decided to use a 141 straightforward and pragmatic method, due to the linearity of the hydrological models 142 implemented in this study, similar to the AEnKF to assimilate the asynchronous crowdsourced 143 observations.

144 The main objective of this novel study is to assess the potential use of crowdsourced 145 observations within hydrological modelling. In particular, the specific objectives of this study 146 are to a) assess the influence of different arrival frequency of the crowdsourced observations 147 and their related accuracy on the assimilation performances in case of a single social sensor; b) 148 to integrate the distributed low-cost social sensors with a single physical sensor to assess the improvement in the flood prediction performances in an early warning system. The 149 150 methodology is applied in the Brue (UK) and Bacchiglione (Italy) catchments, considering 151 lumped and semi-distributed hydrological models respectively. Due to the fact that streamflow 152 observations from social sensors are not available in the Brue catchment while in the 153 Bacchiglione catchment the sensors are being recently installed, the synthetic time series, 154 asynchronous in time and with random accuracy, that imitate the crowdsourced observations, 155 are generated and used.

The study is organized as follows. Firstly, the case studies and the datasets used are presented.Secondly, the hydrological models used are described. Then, the procedure used to integrate

the crowdsourced observations is reported. Finally, the results, discussion and conclusions are

- 159 presented.
- 160

161 2 Case studies and datasets

In this paper we choose two different case studies in order to validate the obtained results for areas having diverse topographical and hydrometeorological features and represented by two different hydrological models. The Brue catchment is considered because of the availability of precipitation and streamflow data, while the Bacchiglione river is one of the official case studies of the WeSenselt Project (Huwald et al., 2013), which is funding this research.

167 **2.1 Brue catchment**

The first case study is located in the Brue catchment (Figure 2), in Somerset, with a drainage 168 169 area of about 135 km² at the catchment outlet in Lovington. Using the SRTM DEM with the 170 90m resolution it is possible to derive the streamflow network and the consequent time of 171 concentration, by means of the Giandotti equations (Giandotti, 1933), which is about 10 hours. 172 The hourly precipitation (49 rainfall stations) and streamflow data used in this study are 173 supplied by the British Atmospheric Data Centre from the HYREX (Hydrological Radar 174 Experiment) project (Moore et al., 2000; Wood et al., 2000). The average precipitation value in 175 the catchment is estimated using the Ordinary Kriging (Matheron, 1963).

176 **2.2 Bacchiglione catchment**

177 The second case study is the upstream part of the Bacchiglione River basin, located in the North-East of Italy, and tributary of the River Brenta which flows into the Adriatic Sea at the 178 179 South of the Venetian Lagoon and at the North of the River Po delta. The study area has an 180 overall extent and river length of about 400 km2 and 50 km (Ferri et al., 2012). The main urban 181 area located in the downstream part of the study area is Vicenza. The analysed part of the 182 Bacchiglione River has four main tributaries. On the Western side the confluences with the 183 Bacchiglione are the Leogra, the Orolo and the Retrone River, whose junction is located in the 184 urban area itself. In Figure 2 the Retrone River it is not shown since it does not influence the 185 water level measured at the gauged station of Vicenza (Ponte degli Angeli in Figure 3). On the 186 Eastern side there is the Timonchio River (see Figure 3). The Alto Adriatico Water Authority 187 (AAWA) has implemented an Early Warning System to properly forecast the possible future 188 flood events. Recently, within the activities of the WeSenseIt Project (Huwald et al., 2013), , 189 one physical sensor and three staff gauges complemented by a QR code (social sensor, as 190 represented in Figure 1) were installed in the Bacchiglione River to measure the water level. In 191 particular, the physical sensor is located at the outlet of the Leogra catchment while the three 192 social sensors are located at the Timonchio, Leogra and Orolo catchments outlet respectively 193 (see Figure 3).

194 **2.3 Datasets**

In the Brue catchment two different flood events which occurred between 28/10/1994 to 16/11/1994 (flood event 1) and from 14/01/1995 to 04/02/1995 (flood event 2) are considered. The observed precipitation values are treated as the "perfect forecasts" and are fed into the hydrological model. The observed streamflow data for the considered flood event are available as well.

In case of Bacchiglione catchment, the flood event which occurred in May 2013 is considered; it had the high intensity and resulted in several traffic disruptions at various locations upstream Vicenza. For flood forecasting, AAWA uses the 3-day weather forecast as the input to the hydrological model. The observed values of streamflow and water level at Ponte degli Angeli are used to assess the performance of the hydrological model.

205

206 **3** Hydrological modelling

3.1 Brue catchment

208 A lumped conceptual hydrological model is implemented to estimate the flood hydrograph at 209 the outlet section of the Brue catchment. The choice of the model is based on previous studies 210 performed on the Brue catchment in case of assimilation of streamflow observations from dynamic sensors (Mazzoleni et al., 2015). Direct runoff is used as input in the conceptual model 211 212 and assessed by means of the Soil Conservation Service Curve Number (SCS-CN) method 213 (Mazzoleni et al., 2015). The average value of CN within the catchment is calibrated by 214 minimizing the difference between the simulated volume and observed quickflow, using the 215 method proposed by Eckhardt (2005), at the outlet section.

The main module of the hydrological model is based on the Kalinin-Milyukov-Nash (KMN),

217 Szilagyi and Szollosi-Nagy (2010), equation:

218
$$Q(t) = \frac{1}{k} \cdot \frac{1}{(n-1)!} \int_{t_0}^{t} \left(\frac{\tau}{k}\right)^{n-1} \cdot e^{-\tau/k} (\tau) \cdot I(t-\tau) \cdot d\tau$$
(1)

where *I* is the model forcing (in this case direct runoff), *n* (number of storage elements) and *k* (storage capacity) are the two parameters of the model and *Q* is the model output (streamflow). In this study, the parameter *k* is assumed as a linear function between the time of concentration, assessed using the Giandotti equation (Giandotti, 1933) and a coefficient c_k . Szilagyi and Szollosi-Nagy (2010) derived the discrete state-space system of Eq. (1) that is used in this study in order to apply the data assimilation (DA) approach (Mazzoleni et al., 2014, 2015).

The model calibration is performed maximizing the correlation between the simulated and observed value of discharge, at the outlet point of the Brue catchment, during the flood events occurred from the 23-10-1994 to 17-03-1995. The results of such calibration provided a value of the parameters n and c_k equal to 4 and 0.026 respectively.

229 **3.2 Bacchiglione catchment**

The hydrological and routing models used in this study are based on the early warning system implemented by the AAWA and described in Ferri et al. (2012). One the main goal of this study is also to test our methodology using synthetic observations to then apply it, in the framework of the WeSenseIt Project, on the existing early warning system implemented by AAWA on the Bacchiglione catchment.

235 In the schematization of the Bacchiglione catchment, the location of physical and social sensors 236 corresponds to the outlet section of three main sub- catchment s, Timonchio, Leogra and Orolo, 237 while the remaining sub-catchments are considered as inter-catchment. For both sub-238 catchments and inter-catchments, a conceptual hydrological model, described below, is used to 239 estimate the outflow hydrograph. The outflow hydrograph of the three main sub-catchments is 240 considered as upstream boundary conditions of a hydraulic model used to estimate water level 241 in the main river channel (see Figure 3), while the outflow from the inter-catchment is 242 considered as internal boundary condition to account for their corresponding drained area. In 243 the following, a brief description of the main components of the hydrological and routing 244 models is provided.

The input for the hydrological model consists of precipitation only. The hydrological response of the catchment is estimated using a hydrological model that considers the routines for runoff generation and a simple routing procedure. The processes related to runoff generation (surface, sub-surface and deep flow) are modelled mathematically by applying the water balance to a control volume representative of the active soil at the sub-catchment scale. The water content *Sw* in the soil is updated at each calculation step *dt* using the following balance equation:

251
$$Sw_{t+dt} = Sw_t + P_t - R_{sur_t} - R_{sub_t} - L_t - ET_t$$
 (2)

where *P* and *ET* are the components of precipitation and evapotranspiration, while R_{sur} , R_{sub} and *L* are the surface runoff, sub-surface runoff and deep percolation model states respectively (see Figure 3). The surface runoff is expressed by the equation based on specifying the critical threshold beyond which the mechanism of dunnian flow (saturation excess mechanism) prevails:

257
$$R_{sur,t} = \begin{cases} C \cdot \left(\frac{Sw_t}{Sw_{max}}\right) \cdot P_t \Rightarrow P(t) \le f = \frac{Sw_{max} \cdot \left(Sw_{max} - Sw_t\right)}{\left(Sw_{max} - C \cdot Sw_t\right)}, \\ P_t - \left(Sw_{max} - Sw_t\right) \Rightarrow P_t > f \end{cases}$$
(3)

where *C* is a coefficient of soil saturation obtained by calibration, and Sw_{max} is the content of water at saturation point which depends on the nature of the soil and on its use.

260 The sub-surface flow is considered proportional to the difference between the water content 261 Sw(t) at time *t* and that at soil capacity S_c :

262
$$R_{sub,t} = c \cdot (Sw_t - S_c). \tag{4}$$

while the estimated deep flow is evaluated according to the expression proposed by Laio et al.(2001):

265
$$L_{t} = \frac{K_{s}}{e^{\beta \left(1 - \frac{S_{c}}{Sw_{\max}}\right)} - 1} \cdot \left(e^{\beta \left(\frac{Sw_{t} - S_{c}}{Sw_{\max}}\right)} - 1\right).$$
(5)

where, K_s is the hydraulic conductivity of the soil in saturation conditions, β is a dimensionless exponent characteristic of the size and distribution of pores in the soil. The evaluation of the real evapotranspiration is performed assuming it as a function of the water content in the soil and potential evapotranspiration, calculated using the formulation of Hargreaves and Samani (1982). 271 Knowing the values of R_{sur} , R_{sub} and L, it is possible to model the surface Q_{sur} , sub-surface Q_{sub} 272 and deep flow Q_g routed contributes according to the conceptual framework of the linear 273 reservoir at the closing section of the single sub-catchment. In particular, in case of Q_{sur} the 274 value of the parameter k, which is a function of the residence time in the catchment slopes, is 275 estimated relating the slopes velocity of the surface runoff to the average slopes length L. 276 However, one of difficulties involved is the proper estimation of the surface velocity, which 277 should be calculated for each flood event (Rinaldo and Rodriguez-Iturbe, 1996). According to 278 Rodríguez-Iturbe et al. (1982), such velocity is a function of the effective rainfall intensity and 279 event duration. In this study, the estimate of the surface velocity is performed using the relation 280 between velocity and intensity of rainfall excess proposed in Kumar et al. (2002). In this way 281 it is possible to estimate the average time travel and the consequent parameter k. However, such 282 formulation is applied in a lumped way for a given sub-catchment. As reported in McDonnell 283 and Beven (2014) more reliable and distributed models should be used to reproduce the spatial 284 variability of the residence times within the catchment over the time. That is why, in the 285 advanced version of the model implemented by AAWA, in each sub-catchment the 286 runoff propagation is carried out according to the geomorphological theory of the hydrologic 287 response. In such model, the overall catchment travel time distributions is considered as nested 288 convolutions of statistically independent travel time distributions along sequentially connected, 289 and objectively identified, smaller sub-catchments. The parameter k assumes different values 290 for each time step as the rainfall changes. In fact, the variability of residence time is considered 291 according to Rodríguez-Iturbe et al. (1982) by assuming the surface velocity as a function of 292 the effective rainfall intensity (Kumar et al., 2002). Anyway, the correct estimation of the 293 residence time should be derived considering the latest findings reported in McDonnell and 294 Beven (2014). In case of Q_{sub} and Q_g the value of k is calibrated comparing the observed and 295 simulated discharge at Vicenza as previously described.

296 In the early warning system implemented by AAWA in the Bacchiglione catchment, the flood 297 propagation along the main river channel is represented one-dimensional hydrodynamic model, 298 MIKE 11 (DHI, 2005). This model solves the Saint Venant Equations in case of unsteady flow 299 based on an implicit finite difference scheme proposed by Abbott and Ionescu (1967). However, 300 in order to reduce the computational time required by the analysis performed in this study 301 MIKE11 is replaced by a hydrological routing Muskingum-Cunge model (see, e.g. Todini 302 2007), considering river cross-sections as rectangular for the estimation of hydraulic radios, 303 wave celerity and the other hydraulic variables.

Calibration of the hydrological and hydrodynamic model parameters is performed by AAWA, and described in Ferri et al. (2012), considering the time series of precipitation from 2000 to 2010 in order to minimize the root mean square error between observed and simulated values of water level at Ponte degli Angeli gauged station. In order to stay as close as possible to the early warning system implemented by AAWA, we used the same calibrated model parameters proposed by Ferri et al. (2012).

310 4 Data assimilation procedure

311 4.1 Kalman Filter

In Data Assimilation (DA) it is typically assumed that the dynamic system can be representedin the state-space as follows:

314
$$\mathbf{x}_{t} = M(\mathbf{x}_{t-1}, \boldsymbol{\vartheta}, \boldsymbol{I}_{t}) + w_{t} \qquad w_{t} \sim N(\mathbf{0}, \mathbf{S}_{t}).$$
(6)

315
$$\mathbf{z}_{t} = H(\mathbf{x}_{t}, \mathcal{G}) + v_{t} \quad v_{t} \sim N(0, R_{t}).$$
(7)

where, \mathbf{x}_t and \mathbf{x}_{t-1} are state vectors at time *t* and *t*-1, *M* is the model operator that propagates the states \mathbf{x} from its previous condition to the new one as a response to the inputs *I*_t, while *H* is the operator which maps the model states into output \mathbf{z}_t . The system and measurements errors w_t and v_t are assumed to be normally distributed with zero mean and covariance \mathbf{S} and *R*. In a hydrological modelling system, these states can represent the water stored in the soil (soil moisture, groundwater) or on the earth surface (snow pack). These states are one of the governing factors that determine the hydrograph response to the inputs into the catchment.

In case of the linear systems used in this study, the discrete state-space system of Eq. (1) can
be represented as follows (Szilagyi and Szollosi-Nagy, 2010):

325
$$\mathbf{x}_t = \mathbf{\Phi} \mathbf{x}_{t-1} + \mathbf{\Gamma} I_t + w_t \,. \tag{8}$$

$$326 Q_t = \mathbf{H}\mathbf{x}_t + v_t \,. (9)$$

where *t* is the time step, **x** is vector of the model states (stored water volume in m³), Φ is the state-transition matrix (function of the model parameters *n* and *k*), Γ is the input-transition matrix, **H** is the output matrix, and *I* and *Q* are the input (forcing) and model output (discharge in this case). For example, for *n*=3 the matrix **H** is expressed as $\mathbf{H} = \begin{bmatrix} 0 & 0 & k \end{bmatrix}$. Expressions for matrices Φ and Γ can be found in Szilagyi and Szollosi-Nagy (2010). For the Bacchiglione model, the preliminary sensitivity analysis on the model states (soil content *S* and the storage water x_{sur} , x_{sub} and x_L related to Q_{sur} , Q_{sub} and Q_g) is performed in order to decide on which of the states to update. The results of this analysis (shown in the next section) pointed out that the stored water volume x_{sur} (estimated using Eq. (8) with n=1, H=kand I_t replaced by R_{sur}) is the most sensitive state and for this reason we decided to update only this state.

The Kalman Filter (KF, Kalman, 1960) is a mathematical tool which allows estimating, in an efficient computational (recursive) way, the state of a process which is governed by a linear stochastic difference equation. KF is optimal under the assumption that the error in the process is Gaussian; in this case KF is derived by minimizing the variance of the system error (error in state) assuming that the model state estimate is unbiased. In an attempt to overcome these limitations, various variants of the Kalman filter, such as the extended Kalman filter (EKF), unscented Kalman filter and ensemble Kalman filter (EnKF) have been proposed.

Kalman filter procedure can be divided in two steps, namely forecast equations, (Eqs. (10) and
(11)), and update (or analysis) equations (Eqs. (12), (13) and (14)):

347
$$\mathbf{x}_{t}^{-} = \mathbf{\Phi} \mathbf{x}_{t-1}^{+} + \mathbf{\Gamma} \mathbf{I}_{t}.$$
 (10)

348
$$\mathbf{P}_{t}^{-} = \mathbf{\Phi} \mathbf{P}_{t-1}^{+} \mathbf{\Phi}^{T} + \mathbf{S}.$$
(11)

349
$$\mathbf{K}_{t} = \mathbf{P}_{t}^{-} \mathbf{H}^{T} \left(\mathbf{H} \mathbf{P}_{t}^{-} \mathbf{H}^{T} + R \right)^{-1}.$$
(12)

350
$$\mathbf{x}_{t}^{+} = \mathbf{x}_{t}^{-} + \mathbf{K}_{t} \left(Q_{t}^{o} - \mathbf{H} \mathbf{x}_{t}^{-} \right).$$
(13)

351
$$\mathbf{P}_{t}^{+} = (\mathbf{I} - \mathbf{K}_{t} \mathbf{H}) \mathbf{P}_{t}^{-}.$$
 (14)

where \mathbf{K}_t is the Kalman gain matrix, **P** is the error covariance matrix, Q^0 is the new observation 352 353 and \mathbf{M}_{O} is the model error matrix. The prior model states **x** at time *t* are updated, as the response 354 to the new available observations, using the analysis equations Eqs. (12) to (14). This allows for estimation of the updated states values (with superscript +) and then assessing the 355 356 background estimates (with superscript –) for the next time step using the time update equations Eqs. (10) and (11). The proper characterization of the model covariance matrix S is a 357 358 fundamental issue in Kalman filter. In this study, in order to evaluate the effect of assimilating 359 crowdsourced observations, the model is considered more accurate than the observations and, a covariance matrix \mathbf{S} with diagonal values of 10^2 is considered. 360

361 4.2 Assimilation of asynchronous streamflow observations with irregular 362 accuracy

In most of the hydrological applications of DA, observations from physical sensors are 363 364 integrated into water models at a regular, synchronous, time step. However, as showed in Figure 1, a social sensor can be used by different operators, having different accuracy, to measure 365 366 water level at a specific point. For this reason, social sensors provide crowdsourced 367 observations which are asynchronous in time and with a higher degree of uncertainty than the 368 one of observations from physical sensors. In particular, crowdsourced observations have three 369 main characteristics: a) irregular arrival frequency (asynchronicity); b) random accuracy; c) 370 random number of observations received by the static device within two model time steps.

371 As described in the Introduction, various methods have been proposed in order to include 372 asynchronous observations in models. Having reviewed them, in this study we are proposing a 373 somewhat simpler DA approach for integrating Crowdsourced Observations into hydrological 374 models (DACO). This method is based on the assumption that the change in the model states 375 and in the error covariance matrices within the two consecutive model time steps t_0 and t376 (observation window) is linear, while the inputs are assumed constant. All the data received 377 during the observation window are assimilated in order to update the model states and output 378 at time t. Therefore, assuming that one observation would be available at time t_0^* , the first step 379 of such a filter (A in Figure 4) is the definition of the model states and error covariance matrix 380 at t_0^* as:

381
$$\mathbf{x}_{t_0}^- = \mathbf{x}_{t_0}^+ + \left(\mathbf{x}_t^- - \mathbf{x}_{t_0}^+\right) \cdot \frac{t_0^* - t_0}{t - t_0}.$$
 (15)

382
$$\mathbf{P}_{t_0}^- = \mathbf{P}_{t_0}^+ + \left(\mathbf{P}_t^- - \mathbf{P}_{t_0}^+\right) \cdot \frac{t_0^* - t_0}{t - t_0}$$
(16)

The second step (B in Figure 4) is the estimation of the updated model states and error covariance matrix, as the response to the streamflow observation $Q_{t_0}^o$. The estimation of the posterior values of $\mathbf{x}_{t_0}^-$ and $\mathbf{P}_{t_0}^-$ is performed by Eqs. (13) and (14) respectively. The Kalman gain is estimated by Eq. (12), where the prior values of model states and error covariance matrix at t_0^* are used. Knowing the posterior value $\mathbf{x}_{t_0}^+$ and $\mathbf{P}_{t_0}^+$ it is possible to predict the value of states and covariance matrix at one model step ahead, t^* (C in Figure 4) using the model forecast equations Eqs. (10) and (11).

390 The last step (D in Figure 4) is the estimation of the interpolated value of **x** and **P** at time step 391 *t*. This is performed by means of a linear interpolation between the current values of **x** and **P** at 392 t_0^* and t^* :

393
$$\widetilde{\mathbf{x}}_{t}^{-} = \mathbf{x}_{t_{0}^{+}}^{-} + \left(\mathbf{x}_{t}^{-} - \mathbf{x}_{t_{0}^{+}}^{+}\right) \cdot \frac{t - t_{0}^{*}}{t^{*} - t_{0}^{*}}.$$
(17)

394
$$\widetilde{\mathbf{P}}_{t}^{-} = \mathbf{P}_{t_{0}}^{-} + \left(\mathbf{P}_{t}^{-} - \mathbf{P}_{t_{0}}^{+}\right) \cdot \frac{t - t_{0}^{*}}{t^{*} - t_{0}^{*}}.$$
(18)

395 The symbol \sim is added on the new matrices x and P in order to differentiate them from the 396 original forecasted values in t. Assuming that a new streamflow observation is available at an intermediate time t_1^* (between t_0^* and t), the procedure is repeated considering the values at t_0^* 397 398 and t for the linear interpolation. Then, in case when no more observations are available, the 399 updated value of $\tilde{\mathbf{x}}_{t}$ is used to predict the model states and output at t+1 (Eqs. (10) and (11)). 400 Finally, in order to account for the intermittent behaviour of such observations, the approach 401 proposed by Mazzoleni et al. (2015) is applied. In this method, the model states matrix \mathbf{x} is 402 updated and forecasted when observations are available, while without observations the model 403 is run using Eq. (10) and covariance matrix **P** propagated at the next time step using Eq. (11)

404 **4.3 Observation accuracy**

In this section, the uncertainty related to the streamflow crowdsourced observations is
characterised. The observational error is assumed to be the normally distributed noise with zero
mean and given standard deviation:

$$408 \qquad \qquad \sigma_t^{\mathcal{Q}} = \alpha_t \cdot Q_t^{true} \tag{19}$$

409 where the coefficient α is related to the degree of uncertainty of the measurement (Weerts and 410 El Serafy, 2006).

411 One of the main and obvious issues in citizen-based observations is to maintain the quality 412 control of the water observations (Cortes et al., 2014; Engel and Voshell, 2002). In Introduction 413 a number of methods to estimate (calibrate) the model of observational uncertainty have been 414 referred to. In this study coefficient α is assumed a random variable uniformly distributed between 0.1 and 0.3, so we leave more thorough investigation of uncertainty level of the crowdsourced data for future studies. Cortes et al. (2014) argue (and this is a reasonable suggestion) that the uncertainty of a measurement provided by a well-trained technician is smaller than the one coming from a normal citizen. For this reason we assumed that the maximum value of α is three times higher than the uncertainty coming from the physical sensors. The value of Q^{true} is the streamflow value measured at a asynchronous time step and it is described in the next section.

422

423 **5** Experimental setup

In this section, two sets of experiments are performed in order to test the proposed method and
assess the benefit to integrate crowdsourced observations, asynchronous in time and with
variable accuracy, in real-time flood forecasting.

In the first set of experiments, called "Experiments 1", assimilation of streamflow observations
at one social sensor location is carried out to understand the sensitivity of the employed
hydrological model (KMN) under various scenarios of such observations.

In the second set of experiments, called "Experiments 2", the distributed observations coming from social and physical sensors, at four locations within the Bacchiglione catchment, are considered, with the aim of assessing the improvement in the flood forecasting accuracy. The social sensors, showed in Figure 1 and Figure 3, were installed in the summer of 2014 within the framework of the WeSenseIt project.

435 5.1 Experiments 1: Assimilation of crowdsourced observations from one social 436 sensor

The focus of Experiments 1 is to study the performance of the hydrological model (KMN)
assimilating crowdsourced observations, having lower arrival frequencies than the model time
step and random accuracies, coming from a social sensor located in a specific point of the Brue
catchment.

441 Due to the fact that crowdsourced observations are not available in the case studies of Brue at 442 the moment of this study, realistic synthetic streamflow observations having different 443 characteristics are generated. For this reason, observed hourly streamflow observations at the 444 catchment outlet are interpolated to represent observations coming at arrival frequency higher than hourly. A similar approach, termed "observing system simulation experiment" (OSSE), is
commonly used in meteorology to estimate synthetic "true" states and measurements by
introducing random errors in the state and measurement equations (Arnold and Dey, 1986;
Errico et al., 2013; Errico and Privé, 2014). OSSEs have the advantage of making it possible to
directly compare estimates to "true" states and they are often used for validating DA algorithms.

To analyse all possible combinations of arrival frequency, number of observations within the observation window (1 hour) and accuracy, a set of scenarios are considered (Figure 5), changing from regular arrival frequency of observations with high accuracy (scenario 1) to random and chaotic asynchronous observations with variable accuracy (scenario 11). In each scenario a varying the number of observations from 1 to 100 is considered. It is worth noting that in case of one observation per hour and regular arrival time, scenario 1 corresponds to the case of physical sensors with an observation arrival frequency of one hour.

457 Scenario 2 corresponds to the case of observations having fixed accuracy (α equal to 0.1) and 458 irregular arrival moments, but in which at least one observation coincides with the model time 459 step. In particular, scenario 1 and 2 are exactly the same in case of one observation available 460 within the observation window since it is assumed that the arrival frequency of that observation 461 has to coincide with the model time step. On the other hand, the arrival frequency of the 462 observations in scenario 3 is assumed to be random and observations might not arrive at the 463 model time step.

464 Scenario 4 considers observations with regular frequency but random accuracy at different 465 moments within the observation window, whereas in scenario 5 observations have irregular 466 arrival frequency and random accuracy. In all the previous scenarios the arrival frequency, the 467 number and accuracy of the observations are assumed to be periodic, i.e. repeated between 468 consecutive observation windows along all the time series. However such periodic 469 repetitiveness might not occur in real-life, and for this reason, a non-periodic behaviour is 470 assumed in scenarios 6, 7, 8 and 9. The non-periodicity assumptions of the arrival frequency 471 and accuracy are the only factors that differentiate scenarios 6, 7, 8 and 9 from the scenarios 2, 472 3, 4, and 5 respectively. In addition, the non-periodicity of the number of observations within 473 the observation window is introduced in scenario 10.

474 Finally, in scenario 11 the observations, in addition to all the previous characteristics, might475 have an intermittent behaviour, i.e. not being available for one or more observation windows.

476 **5.2 Experiments 2: Spatially distributed physical and social sensors**

477 Synthetic hourly streamflow observations are calculated using measured precipitation recorded 478 during the May 2013 flood event (post-event simulation) as input in the hydrological model of 479 the Bacchiglione catchment. Interpolated streamflow observations having characteristics 480 reported in scenarios 10 and 11, in Experiments 1, are generated due to the unavailability of 481 crowdsourced observations at the moment of this study. In order to evaluate the model 482 performances, observed and simulated streamflows are compared, for different lead times.

483 Streamflow observations from physical sensors are assimilated in the hydrological model of 484 AMICO system at an hourly frequency, while crowdsourced observations from social sensors 485 are assimilated using the DACO method previously described. The updated hydrograph 486 estimated by the hydrological model is used as the input into Muskingum-Cunge model used 487 to propagate the flow downstream, to the gauged station at Ponte degli Angeli, Vicenza.

The main goal of Experiments 2 is to understand the contribution of distributed crowdsourced observations to the improvement of the flood prediction at a specific point of the catchment, in this case at Ponte degli Angeli. For this reason, five different experimental settings are introduced, and represented in Figure 6, corresponding to different types of employed sensors.

492 Firstly, only the observations coming from the physical sensor at the Leogra sub-catchment are 493 used to update the hydrological model of sub-catchment B (setting A). Secondly, in setting B, 494 the model improvement in case of assimilation of crowdsourced observations at the same 495 location of setting A is analysed. In setting C only the distributed crowdsourced observations 496 within the catchment are assimilated into the hydrological model. Then, setting D accounts for 497 the integration of crowdsourced and physical observations, contrary to the setting C where the 498 physical sensors is dropped in favour of the social sensor at Leogra. Finally, setting E considers 499 the complete integration between physical and social sensors in Leogra, Timonchio and Orolo 500 sub-catchments.

502 6 Results and discussions

5036.1Experiments1:Influenceofcrowdsourcedobservationsonflood504forecasting

505 The observed and simulated hydrographs at the outlet section of the Brue catchment with and 506 without the model update (considering hourly streamflow observations) are reported in Figure 507 7 for two different flood events. As expected, it can be seen that the updated model tends to 508 better represent the flood events than model without updating.

509 The results of scenario 1 for flood event 1, assimilating from 1 to 30 observations within the 510 observation window, are represented in Figure 8. As it can be seen, increasing the number of 511 observations within the observation window results in the improvement of the NSE for different 512 lead time values. However, such improvement becomes negligible for more than five 513 observations. This means that the additional observations do not add information useful for 514 improving the model performance. In both flood events we found similar trends in the 515 dependency of Nash index on the number of observations. However, it is not possible to define 516 a priori number of observations needed to improve model. In fact, after a threshold number of 517 observations (five for flood event 1 and fifteen for flood event 2), NSE asymptotically 518 approaches to a certain value meaning that no improvement is achieved with additional 519 observations. However, the only difference between the two flood events is that such 520 asymptotic NSE values are different because model performances can change according to the 521 considered flood events.

This asymptotic behaviour when extra information is added has also been observed using other
metrics by Krstanovic and Singh (1992), Ridolfi et al. (2014), Alfonso et al. (2013)), among
others.

525 The same type of analysis is performed with the scenarios 2 to 9 (Figure 9). The results obtained 526 in Figure 9 show that in case of irregular arrival frequency (scenarios 2 and 3) the NSE is higher 527 than in scenarios 4 and 5, where observations vary in accuracy. These results point out that the 528 model performance is more sensitive to the accuracy of the observations than to the moment in 529 time at which the streamflow observations become available. However, it can be observed from 530 scenarios 2 to 5 that the trend it is not as smooth as the one obtained with scenario 1. This can 531 be related to the fact that NSE may vary with varying arrival frequency and observations 532 accuracy. In fact, in scenario 1 the arrival frequency is set as regular for different model runs,

533 so the moments in which the observations became available is always be the same for any 534 model run. On the other hand, in the other scenarios, the irregular moment in which the 535 observation becomes available within the observation window is randomly selected and is 536 changing according to the different model runs. This means that for a given number of 537 observations (for example 5), the five observations arrive at different moments, for different model runs, and this results in five different values of NSE. A smooth trend is also obtained for 538 539 scenarios 6, 7, 8 and 9 but this is related to the periodic behaviour of the observations as 540 explained below.

541 In order to remove the random behaviour related to the irregular arrival frequency and 542 observation accuracy, different model runs (100 in this case) are carried out, assuming different 543 random values of arrival and accuracy (coefficient α) during each model run, for a given 544 number of observations and lead time. The NSE value is estimated for each model run, so 545 μ (NSE) and σ (NSE) represent the mean and standard deviation of the different values of NSE. 546 Overall, σ (NSE) tends to decrease for the high number of observations. Scenario 2 has the 547 lower standard deviation for low values of discharge observations due to the fact that the arrival 548 frequency has to coincide with the model time step and this tends to stabilize the NSE. In 549 addition, the irregular arrival frequency (scenarios 2 and 3) has a higher impact on the σ (NSE) 550 than on the mean NSE value μ (NSE). Besides, the variable observations accuracy (scenario 4) 551 influences more μ (NSE) than σ (NSE), as described before. The combined effects of irregular 552 frequency and uncertainty are reflected in scenario 5 which has the lower mean and higher 553 standard deviation of NSE if compared to the first four scenarios.

An interesting fact is that passing from periodic (Figure 10a and b) to non-periodic (Figure 10c and d) behaviour of the crowdsourced observations, the standard deviation is significantly reduced, while the mean remains the same. A non-periodic behaviour of the observations, common in real life, helps to reduce the fluctuation of the NSE generated by the random behaviour of streamflow observations. Table 1 shows the NSE values and model improvement obtained for the different experimental scenarios during flood event 1 and 2.

Finally, the results obtained for scenarios 10 and 11 are showed in Figure 11. The NSE values obtained for the flood event 1 are higher than the ones obtained for the flood event 2. The assimilation of irregular number of observations in scenario 10, in each observation window, seems to provide the same μ (NSE) than the ones obtained with scenario 9. One the main 564 outcome is that the intermittent nature of the observations (scenario 11) induces a drastic 565 reduction of the NSE and an increase in its noise in both considered flood events.

566 6.2 Experiments 2: Influence of distributed physical and social sensors

567 In order to find out what model states leads to a maximum increase of the model performance, 568 a preliminary sensitivity analysis is performed. The four model states, x_S , x_{sur} , x_{sub} and x_L , related to Sw, Q_{sur} , Q_{sub} and Q_g , are perturbed by $\pm 20\%$ around the true state value using the uniform 569 distribution, every time step from the initial time step up to the perturbation time (PT). No 570 571 correlation between time steps is considered. After PT, the model realizations are run without 572 perturbation in order to assess the perturbation effect on the system memory. No assimilation, 573 and consequent model update, is performed at this step. From the results reported in Figure 12, 574 it can be observed that the model state x_{sur} is the most sensitive states if compared to the other 575 ones. In addition, the perturbations of all the states seem to affect the model output even after 576 the PT (high system memory). For this reason, in this experiments, only the model state x_{sur} is 577 updated by means of the DACO method.

578 The physical and crowdsourced observations are assimilated in order to improve the poor flow 579 prediction in Vicenza due to the underestimation of the 3-days rainfall forecast used as input in 580 flood forecasting practice in this area. Scenarios 10 and 11, described in the previous sections, 581 are used to represent the irregular and random behaviour of the crowdsourced observations.

582 The results of this analysis are showed in Figure 13. Different model runs (100) are performed 583 for the Leogra sub-catchment to account for the effect induced by the random arrival frequency 584 and accuracy of the crowdsourced observations within the observation window as described 585 above. It can be seen that the assimilation of observations from the physical sensor provides a 586 better flood prediction at the Leogra catchment if compared to the assimilation of a small 587 number of crowdsourced observations. In particular, Figure 13a and Figure 13b show that the 588 same NSE values achieved with assimilation of physical observations (hourly frequency and 589 high accuracy) can be obtained by assimilating between 10 and 20 crowdsourced observations 590 per hour. However, the overall reduction of NSE in case of intermittent observations is such 591 that even with a high number of observations (even higher than 50 per hour) the NSE is always 592 lower than the one obtained assimilating physical observations for any lead time. Figure 13c 593 and Figure 13d show analogous results expressed in terms of different lead times.

Figure 14 and Figure 15 show the results obtained from the experiments settings represented in
Figure 6 in case of physical and crowdsourced observations. Also in this case, different
simulation runs (100) of random values of arrival frequency and uncertainty are performed.

597 One of the main outcomes of these analyses is that the replacement of a physical sensor for a 598 social sensor at only one location (settings B) does not improve the model performance in terms 599 of NSE for different lead time values. Distributed locations of social sensors (setting C) can 600 provide higher values of NSE than a single physical sensor, even for low number of 601 observations in both regular and intermittent crowdsourced observations. It is interesting to note 602 that integrating social and physical sensors (setting D) the NSE is higher than in case of setting 603 C for low number of observations. However, with higher number of observations, setting C is 604 the one providing the best model improvement for low lead time values. This can be due to the 605 fact that the physical sensor at Leogra provides constant improvement, for a given lead time, 606 while the social sensor tends to achieve better results with a higher number of observations. 607 This dominant effect of the social sensor, in case of high number of observations, tends to 608 increase for the higher lead times. The best model improvement is achieved in case of setting E, i.e. fully integrating physical sensor with distributed social sensors. In case of intermittent 609 610 observations (Figure 14d, e and f), it can be noticed that the setting D provides always higher 611 improvement than setting C. In case of high lead time value (12h) results of setting C tend to 612 be similar to the ones obtained with setting B. As in case of scenario 10, also in case of scenario 613 11 the best results are achieved in case of setting E.

614 Figure 15 shows the standard deviation of the NSE obtained for the different settings in case of 615 lead time 4h. In case of setting A σ (NSE) is equal to zero since observations are coming from 616 physical sensor at regular time steps. Higher σ (NSE) values are obtained in case of setting B, 617 while including different crowdsourced observations tend to decrease the value of σ (NSE). It 618 can be observed that $\sigma(NSE)$ decreases for high value of crowdsourced observations. As 619 expected, the lowest values of σ (NSE) are achieved including the physical sensor in the DA 620 procedure. Similar considerations can be drawn in case of scenario 11, where an higher and 621 more perturbed $\sigma(NSE)$ values are obtained.

623 7 Conclusions

624 This innovative study demonstrates that crowdsourced observations, asynchronous in time and 625 with variable accuracy, can improve flood prediction if integrated in hydrological models. Such 626 observations are assumed to be inferred using low-cost social sensors as, for example, staff 627 gauge connected to a QR code on which people can read the water level indication and send the 628 observations via a mobile phone application. This type of social sensor is tested within the 629 framework of the WeSenselt FP7 Project. Two different case studies, the Brue (UK) and 630 Bacchiglione (Italy) catchments, are considered, and the two types of hydrological models are 631 used. In the Experiments 1 (Brue catchment) the sensitivity of the model results to the different 632 frequencies and accuracies of the crowdsourced observations coming from a hypothetical social sensor at the catchment outlet is assessed. On the other hand, in the Experiments 2 633 634 (Bacchiglione catchment), the influence of the combined assimilation of crowdsourced 635 observations, coming from a distributed network of social sensors, and existing streamflow 636 observations from physical sensors, used in the Early Warning System implemented by 637 AAWA, is evaluated. Due to the fact that crowdsourced streamflow observations are not yet 638 available in both case studies, realistic synthetic observations with various characteristics of 639 arrival frequency and accuracy are introduced.

640 Overall, we demonstrated that the results we have obtained are very similar in terms of model641 behaviour assimilating asynchronous observations in both cases studies.

642 In Experiments 1 it is found that increasing the number of crowdsourced observations within the observation window increases the model performance even if these observations have 643 irregular arrival frequency and accuracy. Therefore, observations accuracy affects the average 644 645 value of NSE more than the moment in which these observations are assimilated. However, the 646 arrival frequency of the observations results in a significant noise in the NSE estimation. This 647 noise is reduced when the assimilated observations are considered having non-periodic 648 behaviour. In addition, the intermittent nature of the observations tends to drastically reduce the 649 NSE of the model for different values of lead times. In fact, if the intervals between the 650 observations are too large then the abundance of crowdsourced data at other times and places 651 is no longer able to compensate their intermittency.

652 Experiments 2 showed that, in the Bacchiglione catchment, the integration of observations from 653 social sensors and single physical sensor can improve the flood prediction even in case of a 654 small number of intermittent crowdsourced observations. In case of both physical and social sensors located at the same place the assimilation of crowdsourced observations give the same model improvement than the assimilation of physical observations only in case of high number and non-intermittent behaviour. In particular, the integration of existing physical sensors with a new network of social sensors can improve the model predictions, as shown in the Bacchiglione case study. We agree the cases and models are indeed different, but the presented study demonstrated that the results obtained are very similar in terms of model behaviour assimilating asynchronous observations.

662 In our study we have obtained interesting results, however, this work has still certain limitations. Firstly, the proposed method used to assimilate crowdsourced observations is 663 664 applied to the linear parts of hydrological models, so the proposed methodology has to be tested on models with explicit non-linearities. Secondly, additional analyses on different case studies 665 666 and the longer time series of flood events should be carried out in order to draw more general conclusions about assimilation of the crowdsourced observations and their value in different 667 668 types of catchments and model setups. Thirdly, while quite realistic synthetic streamflow 669 observations have been used in this study, the developed methodology was not tested on real-670 life data (observations coming from actual social sensors) so there is a need to check if the 671 drawn conclusions are still valid. Finally, advancing methods for a more accurate assessment 672 of the data quality and accuracy of streamflow observations coming from social sensors need 673 to be considered (e.g. developing a pre-filtering module aimed to select only observations 674 having good accuracy while discarding the one with low accuracy).

The future work will be aimed at addressing the limitations formulated above, which would hopefully allow for a better characterisation of the crowdsourced observations (citizens observatories) and making them a more reliable source of data for model-based forecasting.

678

679 Acknowledgements

This research was partly funded in the framework of the EC FP7 Project WeSenseIt: Citizen Observatory of Water, grant agreement No. 308429. Data used were supplied by the British Atmospheric Data Centre from the NERC Hydrological Radar Experiment Dataset http://www.badc.rl.ac.uk/data/hyrex/ and by the Alto Adriatico Water Authority (Italy). The Authors wish to thank the two reviewers the Editor for their insightful and useful comments.

686 **References**

Abbott, M. B. and Ionescu, F.: On The Numerical Computation Of Nearly Horizontal Flows, J.
Hydraul. Res., 5(2), 97–117, doi:10.1080/00221686709500195, 1967.

ABC: ABC's crowdsourced flood-mapping initiative, ABCs Crowdsourced Flood-Mapp.
Initiat. [online] Available from:
http://www.abc.net.au/technology/articles/2011/01/13/3112261.htm (Accessed 20 January
2016), 2011.

- Alberoni, P., Collier, C. and Khabiti, R.: ACTIF Best practice paper Understanding and reducing uncertainty in flood forecasting, Proceeding Act. Conf., (1), 1–43, 2005.
- Alfonso, L.: Use of hydroinformatics technologies for real time water quality management and
 operation of distribution networks. Case study of Villavicencio, Colombia, M.Sc. Thesis,
 UNESCO-IHE, Institute for Water Education, Delft, The Netherlands., 2006.
- Alfonso, L., He, L., Lobbrecht, A. and Price, R.: Information theory applied to evaluate the
 discharge monitoring network of the Magdalena River, J. Hydroinformatics, 15(1), 211,
 doi:10.2166/hydro.2012.066, 2013.
- Arnold, C. P. and Dey, C. H.: Observing-Systems Simulation Experiments: Past, Present, and
 Future, Bull. Am. Meteorol. Soc., 67(6), 687–695, doi:10.1175/15200477(1986)067<0687:OSSEPP>2.0.CO;2, 1986.
- Aubert, D., Loumagne, C. and Oudin, L.: Sequential assimilation of soil moisture and streamflow data in a conceptual rainfall–runoff model, J. Hydrol., 280(1–4), 145–161, doi:10.1016/S0022-1694(03)00229-4, 2003.
- Au, J., Bagchi, P., Chen, B., Martinez, R., Dudley, S. A. and Sorger, G. J.: Methodology for
 public monitoring of total coliforms, Escherichia coli and toxicity in waterways by Canadian
 high school students, J. Environ. Manage., 58(3), 213–230, doi:10.1006/jema.2000.0323, 2000.
- Bergström, S.: Principles and confidence in hydrological modelling, Hydrol. Res., 22(2), 123–
 136, 1991.
- Bird, T. J., Bates, A. E., Lefcheck, J. S., Hill, N. A., Thomson, R. J., Edgar, G. J., Stuart-Smith,
 R. D., Wotherspoon, S., Krkosek, M., Stuart-Smith, J. F., Pecl, G. T., Barrett, N. and Frusher,
 S.: Statistical solutions for error and bias in global citizen science datasets, Biol. Conserv., 173,
 144–154, doi:10.1016/j.biocon.2013.07.037, 2014.
- Bordogna, G., Carrara, P., Criscuolo, L., Pepe, M. and Rampini, A.: A linguistic decision
 making approach to assess the quality of volunteer geographic information for citizen science,
- 718 Inf. Sci., 258, 312–327, doi:10.1016/j.ins.2013.07.013, 2014.
- 719 Buytaert, W., Zulkafli, Z., Grainger, S., Acosta, L., Alemie, T. C., Bastiaensen, J., De BiÃ"vre,
- 720 B., Bhusal, J., Clark, J., Dewulf, A., Foggin, M., Hannah, D. M., Hergarten, C., Isaeva, A.,
- 721 Karpouzoglou, T., Pandeya, B., Paudel, D., Sharma, K., Steenhuis, T., Tilahun, S., Van Hecken,
- 722 G. and Zhumanova, M.: Citizen science in hydrology and water resources: opportunities for
- knowledge generation, ecosystem service management, and sustainable development, Front.
- 724 Earth Sci., 2(October), 1–21, doi:10.3389/feart.2014.00026, 2014.

- 725 Canizares, R., Heemink, A. W. and Vested, H. J.: Application of advanced data assimilation 726 methods for the initialisation of storm surge models, J. Hydraul. Res., 36(4), 655–674, 727 doi:10.1080/00221689809498614, 1998.
- 728 Célleri, R., Buytaert, W., De Bièvre, B., Tobón, C., Crespo, P., Molina, J. and Feyen, J.: 729 Understanding the hydrology of tropical Andean ecosystems through an Andean Network of 730 Basins, [online] Available from: http://dspace.ucuenca.edu.ec/handle/123456789/22089 731 (Accessed 19 February 2016), 2009.
- 732 Cifelli, R., Doesken, N., Kennedy, P., Carey, L. D., Rutledge, S. A., Gimmestad, C. and Depue, 733 T.: The Community Collaborative Rain, Hail, and Snow Network: Informal Education for 734 Scientists and Citizens, Bull. Am. Meteorol. Soc., 86(8), 1069–1077, 2005.
- 735 Clark, M. P., Rupp, D. E., Woods, R. A., Zheng, X., Ibbitt, R. P., Slater, A. G., Schmidt, J. and 736 Uddstrom, M. J.: Hydrological data assimilation with the ensemble Kalman filter: Use of 737 streamflow observations to update states in a distributed hydrological model, Adv. Water 738 Resour., 31(10), 1309–1324, doi:10.1016/j.advwatres.2008.06.005, 2008.
- 739 Cortes Arevalo, V. J., Charrière, M., Bossi, G., Frigerio, S., Schenato, L., Bogaard, T.,
- 740 Bianchizza, C., Pasuto, A. and Sterlacchini, S.: Evaluating data quality collected by volunteers
- 741 for first-level inspection of hydraulic structures in mountain catchments, Nat. Hazards Earth
- 742 Syst. Sci., 14(10), 2681–2698, doi:10.5194/nhess-14-2681-2014, 2014.
- 743 Degrossi, L. C., Do Amaral, G. G., da Vasconcelos, E. S. M., Albuquerque, J. P. and Ueyama,
- 744 J.: Using Wireless Sensor Networks in the Sensor Web for Flood Monitoring in Brazil, in
- 745 Proceedings of the 10th International ISCRAM Conference, Baden-Baden, Germany. [online] from:
- 746 Available
- 747 http://humanitariancomp.referata.com/wiki/Using_Wireless_Sensor_Networks_in_the_Sensor 748 _Web_for_Flood_Monitoring_in_Brazil (Accessed 10 February 2016), 2013.
- 749 Derber, J. and Rosati, A.: A Global Oceanic Data Assimilation System, J. Phys. Oceanogr., 750 19(9), 1333–1347, doi:10.1175/1520-0485(1989)019<1333:AGODAS>2.0.CO;2, 1989.
- 751 DHI: MIKE FLOOD User Manual, 2005.
- 752 Drecourt, J.-P.: Data assimilation in hydrological modelling, Environment & Resources DTU. 753 Technical University of Denmark., 2004.
- 754 Eckhardt, K.: How to construct recursive digital filters for baseflow separation, Hydrol. 755 Process., 19(2), 507–515, doi:10.1002/hyp.5675, 2005.
- 756 Engel, S. R. and Voshell Jr, J. R.: Volunteer biological monitoring: can it accurately assess the 757 ecological condition of streams?, Am. Entomol., 48(3), 164–177, 2002.
- 758 Errico, R. M. and Privé, N. C.: An estimate of some analysis-error statistics using the Global 759 Modeling and Assimilation Office observing-system simulation framework, Q. J. R. Meteorol. 760 Soc., 140(680), 1005–1012, doi:10.1002/qj.2180, 2014.
- 761 Errico, R. M., Yang, R., Privé, N. C., Tai, K.-S., Todling, R., Sienkiewicz, M. E. and Guo, J.: 762 Development and validation of observing-system simulation experiments at NASA's Global

- Modeling and Assimilation Office, Q. J. R. Meteorol. Soc., 139(674), 1162–1178,
 doi:10.1002/qj.2027, 2013.
- For Evensen, G.: Data Assimilation: The Ensemble Kalman Filter, 2nd ed. 2009 edition., Springer,
 Place of publication not identified., 2006.
- Ferri, M., Monego, M., Norbiato, D., Baruffi, F., Toffolon, C. and Casarin, R.: La piattaforma
 previsionale per i bacini idrografici del Nord Est Adriatico (I), in Proc.XXXIII Conference of
 Hydraulics and Hydraulic Engineering, p. 10, Brescia., 2012.
- Giandotti, M.: Previsione delle piene e delle magre dei corsi d'acqua, Servizio IdrograficoItaliano, Rome., 1933.
- Hargreaves, G.H. and Samani, Z.A.: Estimating potential evapotranspiration, J. Irrig. Drain.
 Div., 108(3), 225–230, 1982.
- Huang, B., Kinter, J. L. and Schopf, P. S.: Ocean data assimilation using intermittent analyses
 and continuous model error correction, Adv. Atmospheric Sci., 19(6), 965–992,
 doi:10.1007/s00376-002-0059-z, 2002.
- Hunt, B. R., Kalnay, E., Kostelich, E. J., Ott, E., Patil, D. J., Sauer, T., Szunyogh, I., Yorke, J.
 A. and Zimin, A. V.: Four-dimensional Ensemble Kalman Filtering, Tellus A, 56(4), 273 277,
 doi:10.1111/j.1600-0870.2004.00066.x, 2004.
- Huwald, H., Barrenetxea, G., de Jong, S., Ferri, M., Carvalho, R., Lanfranchi, V., McCarthy,
 S., Glorioso, G., Prior, S., Solà, E., Gil-Roldàn, E., Alfonso, L., Wehn de Montalvo, U.,
 Onencan, A., Solomatine, D. and Lobbrecht, A.: D1.11 Sensor technology requirement
 analysis, Confidential Deliverable, The WeSenseIt Project (FP7/2007-2013 grant agreement no
 308429)., 2013.
- Ide, K., Courtier, P., Ghil, M. and Lorenc, A. C.: Unifed notation for data assimilation:
 operational, sequential and variational, J. Meteorol. Soc. Jpn., 75(1B), 181–189, 1997.
- 787 ISPUW: iSPUW: Integrated Sensing and Prediction of Urban Water for Sustainable Cities,
 788 [online] Available from: http://ispuw.uta.edu/nsf/ (Accessed 19 February 2016), 2015.
- Kalman, R. E.: A new approach to linear filtering and prediction problems, J. Basic Eng., 82(1),
 35–45, doi:10.1115/1.3662552, 1960.
- Krstanovic, P. F. and Singh, V. P.: Evaluation of rainfall networks using entropy: II.
 Application, Water Resour. Manag., 6(4), 295–314, doi:10.1007/BF00872282, 1992.
- Kumar, R., Chatterjee, C., Lohani, A. K., Kumar, S. and Singh, R. D.: Sensitivity Analysis of
 the GIUH based Clark Model for a Catchment, Water Resour. Manag., 16(4), 263–278,
 doi:10.1023/A:1021920717410, 2002.
- Laio, F., Porporato, A., Ridolfi, L. and Rodriguez-Iturbe, I.: Plants in water-controlled
 ecosystems: active role in hydrologic processes and response to water stress: II. Probabilistic
 soil moisture dynamics, Adv. Water Resour., 24(7), 707–723, doi:10.1016/S03091708(01)00005-7, 2001.

- Li, Z. and Navon, I. M.: Optimality of variational data assimilation and its relationship with the Kalman filter and smoother, Q. J. R. Meteorol. Soc., 127(572), 661–683, doi:10.1002/qj.49712757220, 2001.
- Lowry, C. S. and Fienen, M. N.: CrowdHydrology: Crowdsourcing hydrologic data and engaging citizen scientists, GroundWater, 51(1), 151–156, doi:10.1111/j.1745-6584.2012.00956.x, 2013.
- Macpherson, B.: Dynamic initialization by repeated insertion of data, Q. J. R. Meteorol. Soc.,
 117(501), 965–991, doi:10.1002/qj.49711750105, 1991.
- Madsen, H. and Cañizares, R.: Comparison of extended and ensemble Kalman filters for data
 assimilation in coastal area modelling, Int. J. Numer. Methods Fluids, 31(6), 961–981,
 doi:10.1002/(SICI)1097-0363(19991130)31:6<961::AID-FLD907>3.0.CO;2-0, 1999.
- 811 Massart, S., Pajot, B., Piacentini, A. and Pannekoucke, O.: On the merits of using a 3D-FGAT
- assimilation scheme with an outer loop for atmospheric situations governed by transport, Mon.
 Weather Rev., 138(12), 4509–4522, 2010.
- 814 Matheron, G.: Principles of geostatistics, Econ. Geol., 58(8), 1246–1266, 1963.
- Mazzoleni, M., Alfonso, L. and Solomatine, D.: Effect Of Different Hydrological Model
 Structures On The Assimilation Of Distributed Uncertain Observations, Int. Conf.
 Hydroinformatics [online] Available from: http://academicworks.cuny.edu/cc_conf_hic/114,
 2014.
- Mazzoleni, M., Alfonso, L., Chacon-Hurtado, J. and Solomatine, D.: Assimilating uncertain,
 dynamic and intermittent streamflow observations in hydrological models, Adv. Water Resour.,
 83, 323–339, 2015.
- McDonnell, J. J. and Beven, K.: Debates—The future of hydrological sciences: A (common)
 path forward? A call to action aimed at understanding velocities, celerities and residence time
 distributions of the headwater hydrograph, Water Resour. Res., 50(6), 5342–5350,
 doi:10.1002/2013WR015141, 2014.
- Moore, R. J., Jones, D. A., Cox, D. R. and Isham, V. S.: Design of the HYREX raingauge network, Hydrol. Earth Syst. Sci., 4(4), 521–530, doi:10.5194/hess-4-521-2000, 2000.
- Ragnoli, E., Zhuk, S., Donncha, F. O., Suits, F. and Hartnett, M.: An optimal interpolation
 scheme for assimilation of HF radar current data into a numerical ocean model, in Oceans,
 2012, pp. 1–5., 2012.
- Rakovec, O., Weerts, A. H., Hazenberg, P., F. Torfs, P. J. J. and Uijlenhoet, R.: State updating
 of a distributed hydrological model with ensemble kalman Filtering: Effects of updating
 frequency and observation network density on forecast accuracy, Hydrol. Earth Syst. Sci.,
 16(9), 3435–3449, doi:10.5194/hess-16-3435-2012, 2012.
- Rakovec, O., Weerts, A. H., Sumihar, J. and Uijlenhoet, R.: Operational aspects of
 asynchronous filtering for flood forecasting, Hydrol. Earth Syst. Sci., 19(6), 2911–2924,
 doi:10.5194/hess-19-2911-2015, 2015.

- Refsgaard, J. C.: Validation and Intercomparison of Different Updating Procedures for RealTime Forecasting, Nord. Hydrol., 28(2), 65–84, doi:10.2166/nh.1997.005, 1997.
- Ridolfi, E., Alfonso, L., Baldassarre, G. D., Dottori, F., Russo, F. and Napolitano, F.: An entropy approach for the optimization of cross-section spacing for river modelling, Hydrol. Sci.
- 842 J., 59(1), 126–137, doi:10.1080/02626667.2013.822640, 2014.
- Rinaldo, A. and Rodriguez-Iturbe, I.: Geomorphological Theory of the Hydrological Response,
 Hydrol. Process., 10(6), 803–829, doi:10.1002/(SICI)1099-1085(199606)10:6<803::AID-
 HYP373>3.0.CO;2-N, 1996.
- Rodríguez-Iturbe, I., González-Sanabria, M. and Bras, R. L.: A geomorphoclimatic theory of
 the instantaneous unit hydrograph, Water Resour. Res., 18(4), 877–886,
 doi:10.1029/WR018i004p00877, 1982.
- 849 Roy, H. E., Pocock, M. J. O., Preston, C. D., Roy, D. B. and Savage, J.: Understanding Citizen
- Science and Environmental Monitoring, Final Report of UK Environmental ObservationFramework., 2012.
- Sakov, P., Evensen, G. and Bertino, L.: Asynchronous data assimilation with the EnKF, Tellus
 A, 62(1), 24–29, doi:10.1111/j.1600-0870.2009.00417.x, 2010.
- Seo, D. ., Kerke, B., Zink, M., Fang, N., Gao, J. and Yu, X.: iSPUW: A Vision for Integrated
 Sensing and Prediction of Urban Water for Sustainable Cities., 2014.
- Szilagyi, J. and Szollosi-Nagy, A.: Recursive Streamflow Forecasting: A State Space Approach
 CRC Press Book., 2010.
- Todini, E.: A mass conservative and water storage consistent variable parameter MuskingumCunge approach, Hydrol. Earth Syst. Sci., 11, 1645–1659, 2007.
- Tulloch, A. I. T. and Szabo, J. K.: A behavioural ecology approach to understand volunteer
 surveying for citizen science datasets, Emu, 112(4), 313, doi:10.1071/MU12009, 2012.
- Vandecasteele, A. and Devillers, R.: Improving volunteered geographic data quality using
 semantic similarity measurements, ISPRS-Int. Arch. Photogramm. Remote Sens. Spat. Inf. Sci.,
 1(1), 143–148, 2013.
- Verlaan, M.: Efficient Kalman Filtering Algorithms for Hydrodynamic Models, PhD Thesis,
 Delft University of Technology, The Netherlands., 1998.
- Weerts, A. H. and El Serafy, G. Y. H.: Particle filtering and ensemble Kalman filtering for state
 updating with hydrological conceptual rainfall-runoff models, Water Resour. Res., 42(9), 1–
 17, doi:10.1029/2005WR004093, 2006.
- WMO: Simulated real-time intercomparison of hydrological models, World MeteorologicalOrganization., 1992.
- Wood, S. J., Jones, D. A. and Moore, R. J.: Accuracy of rainfall measurement for scales of hydrological interest, Hydrol. Earth Syst. Sci. Discuss., 4(4), 531–543, 2000.

877 Tables

878

Table 1. NSE values in case of different experimental scenarios during flood event 1 and 2.

	Flood event 1			Flood event 2		
Scenario	1 obs	100 obs	Improvement	1 obs	100 obs	Improvement
1	0.775	0.896	0.135	0.537	0.879	0.390
2	0.775	0.895	0.134	0.537	0.876	0.388
3	0.760	0.895	0.151	0.501	0.875	0.428
4	0.699	0.888	0.212	0.318	0.856	0.629
5	0.692	0.885	0.218	0.304	0.850	0.642
6	0.775	0.895	0.134	0.537	0.877	0.388
7	0.758	0.895	0.153	0.486	0.876	0.445
8	0.708	0.888	0.203	0.338	0.857	0.605
9	0.696	0.885	0.214	0.283	0.852	0.667

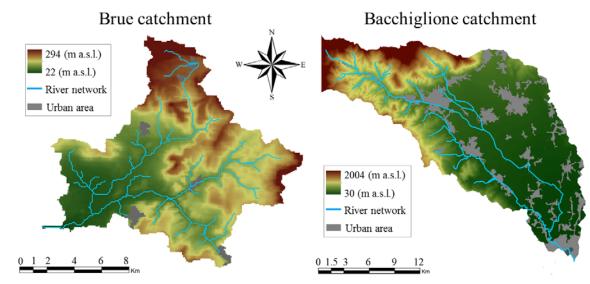
881 Figures

882

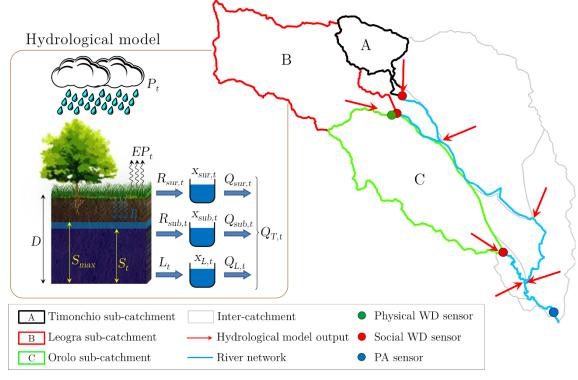


883

- Figure 1. Example of a low-cost social sensor, and crowdsourced observations, implemented in
- the Bacchiglione river, Italy, under the WeSenseIt project



888 Figure 2. The two case studies considered in this study

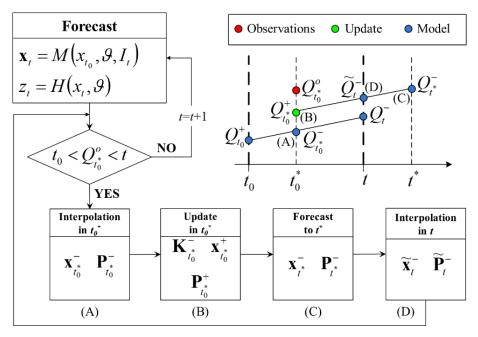


891 Figure 3. Structure of the early warning system AMICO and location of the physical, social

and Ponte degli Angeli (PA) sensors implemented in the Bacchiglione catchment by the Alto

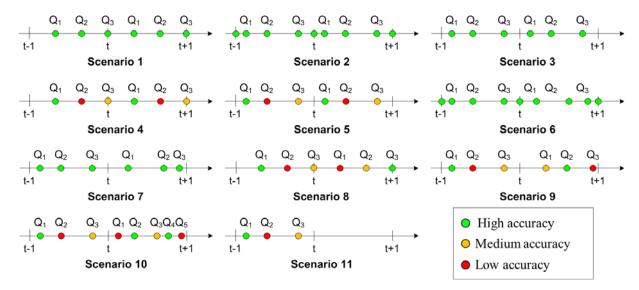
893 Adriatico Water Authority

894



896 Figure 4. Graphical representation of the DACO method proposed in this study to assimilate

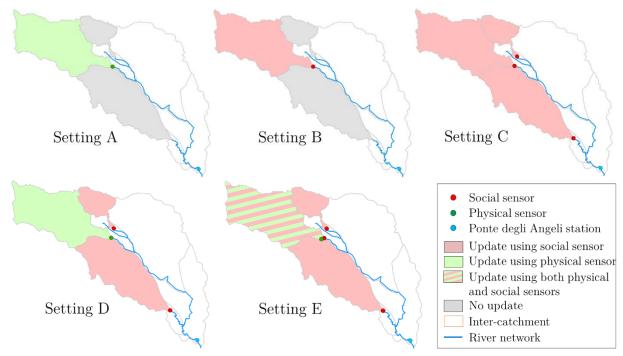
897 crowdsourced asynchronous observations



899

900 Figure 5. The experimental scenarios representing different configurations of arrival frequency,

901 number and accuracy of the streamflow observations



904 Figure 6. Different experimental settings implemented within the Bacchiglione catchment

905 during Experiments 2

906

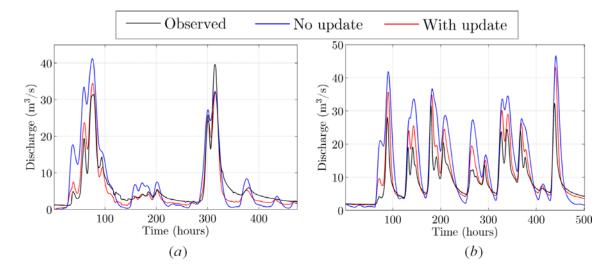
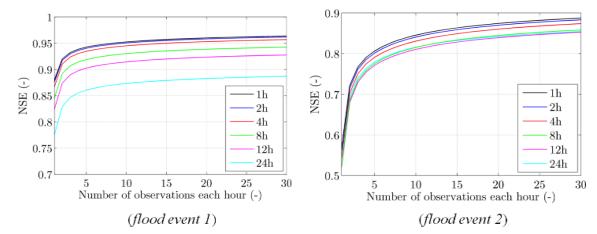


Figure 7. The observed and simulated hydrographs, with and without assimilation, for floodevent 1 (a) and 2 (b) in the Brue catchment



912 Figure 8. Model improvement in terms of NSE during flood event 1 and 2, in case of different

- 913 lead times, assimilating streamflow observations according to scenario 1

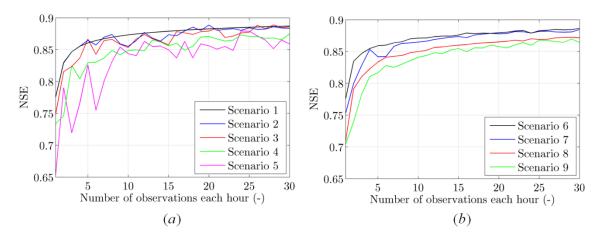
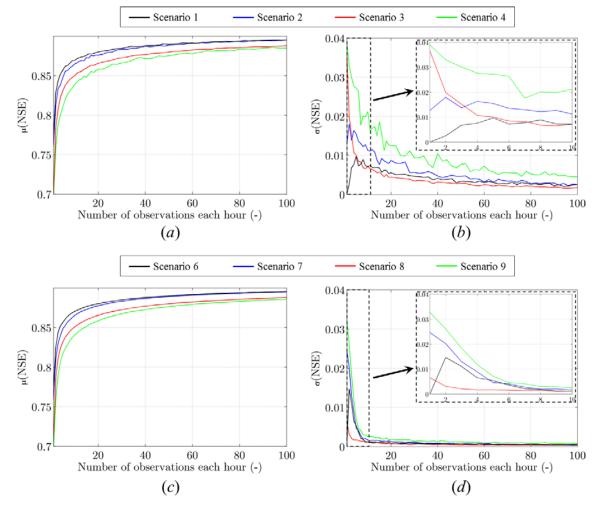


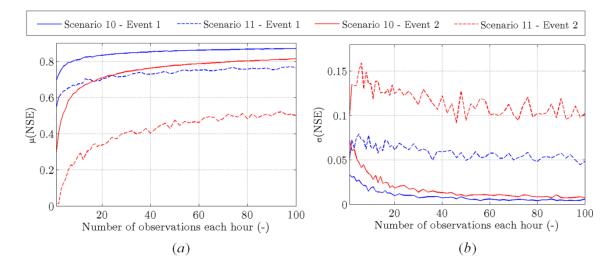
Figure 9. Model improvement during flood event 1 (lead time of 24h), assimilating diverse
values of streamflow observations according to the experimental scenarios from 1 to 9 with (a)

- 919 observations with periodic behaviour, (b) observations with non-periodic behaviour
- 920



922 Figure 10. Dependency of $\mu(NSE)$ and $\sigma(NSE)$ on the number of observations, for the scenarios

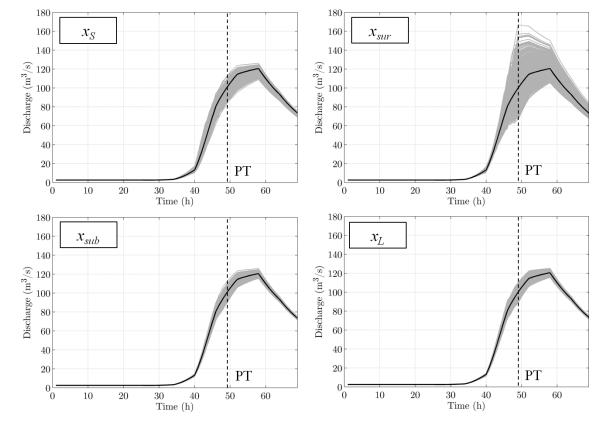
923 2, 3, 4, 5, 6, 7, 8 and 9 in case of flood event 1



926 Figure 11. Dependency of the μ (NSE) and σ (NSE) on the number of observations, for the

927 scenarios 10 and 11 in case of flood events 1 (a) and 2 (b)

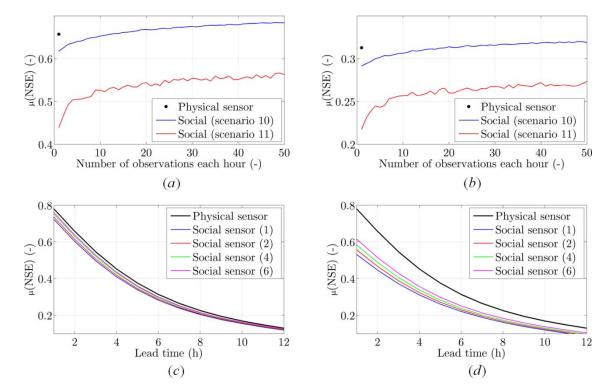
928



930 Figure 12. Effect of perturbing the model states on the model output, Bacchiglione case study.

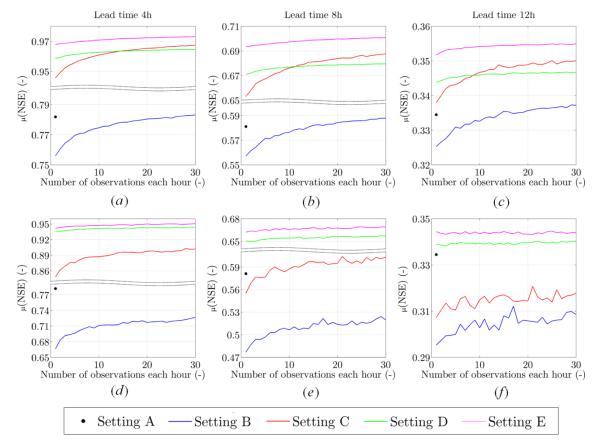
931 PT=Perturbation Time

932



933

Figure 13. Model performance expressed as μ (NSE) values – assimilating observations from physical and social sensors, continuous (a) or intermittent (b) in time, at Leogra gauged station having characteristic described in scenarios 10 (c) and 11 (d)



939 Figure 14. Model performance expressed as $\mu(NSE)$ – assimilating different number of 940 crowdsourced observations, for the three lead time values, having characteristic of scenario 10 941 (first row) and 11 (second row)

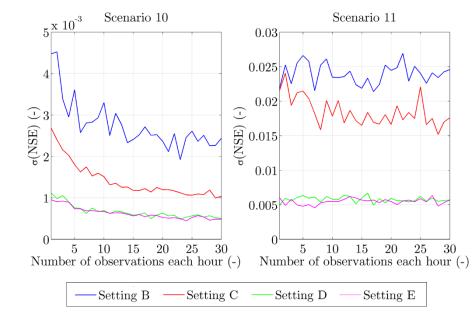


Figure 15. Variability of performance expressed as $\sigma(NSE)$ – assimilating crowdsourced observations within setting A, B, C and D, assuming the lead time of 4h, for scenarios 10 and