

1 **Estimation of temporal and spatial variations in**  
2 **groundwater recharge in unconfined sand aquifers using**  
3 **Scots pine inventories**

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26 **Abstract**

27 Climate change and land use are rapidly changing the amount and temporal distribution of  
28 recharge in northern aquifers. This paper presents a novel method for distributing Monte  
29 Carlo simulations of 1-D soil profile spatially to estimate transient recharge in an unconfined  
30 esker aquifer. The modeling approach uses data-based estimates for the most important  
31 parameters controlling the total amount (canopy cover) and timing (depth of the unsaturated  
32 zone) of groundwater recharge. Scots pine canopy was parameterized to leaf area index (LAI)  
33 using forestry inventory data. Uncertainty in the parameters controlling soil hydraulic  
34 properties and evapotranspiration was carried over from the Monte Carlo runs to the final  
35 recharge estimates. Different mechanisms for lake, soil, and snow evaporation and  
36 transpiration were used in the model set-up. Finally, the model output was validated with  
37 independent recharge estimates using the water table fluctuation method and baseflow  
38 estimation. The results indicated that LAI is important in controlling total recharge amount,  
39 and the modeling approach successfully reduced model uncertainty by allocating the LAI  
40 parameter spatially in the model. Soil evaporation compensated for transpiration for areas  
41 with low LAI values, which may be significant in optimal management of forestry and  
42 recharge. Different forest management scenarios tested with the model showed differences in  
43 annual recharge of up to 100 mm. The uncertainty in recharge estimates arising from the  
44 simulation parameters was lower than the interannual variation caused by climate conditions.  
45 It proved important to take unsaturated depth and vegetation cover into account when  
46 estimating spatially and temporally distributed recharge in sandy unconfined aquifers.

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## 55 1 Introduction

56 Eskers are permeable, unconfined sand and gravel aquifers (Banerjee, 1975). In addition to  
57 water supply, they support groundwater-dependent ecosystems and provide recreational  
58 services (Kløve et al., 2011). Esker hydrology is important as eskers and other glaciofluvial  
59 aquifer types cover large areas of the North and are among the dominant aquifer types in the  
60 boreal zone. Management of these complex aquifers has gained recent attention (Bolduc et al.,  
61 2005, Karjalainen et al., 2013, Koundouri et al., 2012, Kurki et al., 2013). The European  
62 Groundwater Directive requires such systems to be characterized in order to determine their  
63 quality status, so knowledge of how to estimate groundwater recharge is becoming  
64 increasingly important (EC, 2006). Esker aquifers are commonly covered with managed pine  
65 forests, where the forest canopy is likely to influence recharge amounts. The soil surface  
66 **profile** of eskers is complex and highly variable, consisting of kettle holes and sand dunes,  
67 resulting in variable depth of the unsaturated zone (Aartolahti, 1973), a factor which also  
68 needs to be accounted for in recharge estimation.

69 Computational methods to estimate groundwater recharge vary from simple water balance  
70 models, where water stores and fluxes are represented conceptually and related with  
71 adjustable parameters (Jyrkama et al., 2002), to physically-based models using the Richards  
72 equation (Assefa and Woodbury, 2013, Okkonen and Kløve, 2011) to solve water fluxes  
73 through unsaturated zone. Computational methods solving the Richards equation are often  
74 limited to small-scale areal simulations (Scanlon et al., 2002) and shallow unsaturated zones,  
75 and they commonly lack the soil freeze, thaw, and snow storage sub-routines relevant at  
76 higher northerly latitudes (Okkonen, 2011). However, computational approaches can be  
77 employed to produce the values on spatial and temporal variability in recharge often needed  
78 in groundwater modeling (Dripps and Bradbury, 2010). The methods developed so far  
79 commonly rely on a GIS platform for spatial representation and calculation approaches based  
80 on water balance to create the temporal dimension of recharge (Croteau et al., 2010, Dripps  
81 and Bradbury, 2007, Jyrkama et al., 2002, Sophocleous, 2000, Westenbroeck et al., 2010).  
82 Neglecting variations in depth of the unsaturated zone is common practice in many water  
83 balance models used in recharge estimations. However, the residence time in the unsaturated  
84 zone may play an important role, especially in the timing of recharge in deep unsaturated  
85 zones (Hunt et al., 2008), as acknowledged in recent work (Assefa and Woodbury, 2013,  
86 Jyrkama and Sykes, 2007, Scibek and Allen, 2006, Smerdon et al., 2008).

87 In numerical recharge models, actual evapotranspiration (ET) is a difficult variable to estimate  
88 accurately from climate, soil, and land use data. The vegetation is commonly parameterized  
89 from land use or land cover maps (Assefa and Woodbury, 2013, Jyrkama et al., 2002,  
90 Jyrkama and Sykes, 2007, Keese et al., 2005), where the vegetation characteristics and leaf  
91 area index (LAI) are estimated based solely on vegetation type. In addition to tree canopy  
92 transpiration, understorey evaporation can constitute a large proportion of total ET. **Soil**  
93 **evaporation from the forest floor** is generally reported to range from 3 to 40% of total ET  
94 (Kelliher et al., 1993), although values as high as 92% have been recorded (Kelliher et al.,  
95 1998). For conifer forest canopies, understorey evaporation can largely compensate for low  
96 transpiration in areas with lower LAI (Ohta et al., 2001, Vesala et al., 2005). Data on canopy-  
97 scale evaporation rates at latitudes above 60°N are rare (Kelliher et al., 1993). A few studies  
98 have estimated ET from pine tree stands at patch scale (Kelliher et al., 1998, Lindroth, 1985),  
99 but none has extended this analysis to spatially distributed groundwater recharge. Forest  
100 management practices have the potential to affect the transpiration characteristics of  
101 coniferous forests, which typically leads to increased groundwater recharge (Bent, 2001,  
102 Lagergren et al., 2008, Rothacher, 1970).

103 This study sought to expand the application of physically-based 1-D unsaturated water flow  
104 modeling to simulate spatial and temporal variations in groundwater recharge, while taking  
105 into account detailed information on vegetation (pine, lichen), unsaturated soil depth, cold  
106 climate, and simulation parameter uncertainty. CoupModel (Jansson and Karlberg, 2004) was  
107 used in simulations because of its ability to represent the full soil-plant-atmosphere continuum  
108 adequately and to include snow processes in the simulations (Okkonen and Kløve, 2011). The  
109 modeling set-up developed here uses spatially detailed information on tree canopy properties  
110 and concentrates on simulating different components of evapotranspiration. Furthermore, it  
111 considers the effect that forestry land use has on vegetation parameters and how this is  
112 reflected in groundwater recharge. The simulation approach takes into account the variability  
113 in the unsaturated depth throughout the model domain. Parameter uncertainty, often neglected  
114 in recharge simulations, is considered by using multiple random Monte Carlo simulation runs  
115 in the process of distributing the 1-D simulations spatially. **The overall aim of the study was**  
116 **to provide novel information on groundwater recharge rates and factors contributing to the**  
117 **amount, timing, and uncertainty of groundwater recharge in unconfined sandy eskers aquifers.**

## 118 2 Materials and Methods

### 119 2.1 Study site

120 Groundwater recharge was estimated for the case of the Rokua esker aquifer in northern  
121 Finland (Fig. 1). The climate at the Rokua aquifer is characterized by precipitation exceeding  
122 evaporation on an annual basis and statistics of the annual climate for the study period 1961 -  
123 2010 in terms of precipitation, air temperature and FAO reference evapotranspiration  
124 according to Allen et al. (1998) is presented in Table 1. Another important feature of the  
125 climate is annually recurring winter periods when most precipitation is accumulated as snow.  
126 Groundwater recharge was estimated for a model domain of 82.3 km<sup>2</sup>, 3.6 % of which is  
127 covered by lakes.

#### 128 2.1.1 Quantifying leaf area index from forestry inventories

129 Forestry inventory data from the Finnish Forest Administration (Metsähallitus, MH) and  
130 Finnish Forest Centre (Metsäkeskus, MK) were used to estimate LAI for the Rokua esker  
131 groundwater recharge area. The available data consisted of 2786 individual plots covering an  
132 area of 52.4 km<sup>2</sup> (62.4% of the model domain). The forestry inventories, performed mainly  
133 during 2000-2011, showed that Scots pine (*Pinus sylvestris*) is the dominant tree in the model  
134 area (94.2% of plots). The forest inventory data include a number of data attributes and the  
135 following data fields, included in both the MH and MK datasets, were used in the analysis:

- 136 - Plot area ( $p_A$ ); [ha]
- 137 - Main canopy type
- 138 - Average tree stand height ( $h$ ); [m]
- 139 - Average stand diameter at breast height ( $d_{bh}$ ); [cm]
- 140 - Number of stems ( $n_{stm}$ ); [ $1 \text{ ha}^{-1}$ ]
- 141 - Stand base area ( $b_A$ ); [ $\text{m}^2 \text{ ha}^{-1}$ ]
- 142 - Stand total volume ( $V$ ); [ $\text{m}^3$ ]

143 Inventory plots were excluded from the analysis if: (1) main canopy type was not pine forest,  
144 (2) data were missing for  $d_{bh}$  and  $h$  or  $n_{stm}$ , or (3) the MH and MK datasets overlapped, in  
145 which case MH was retained. However, several plots in the MH dataset were lacking  $n_{stm}$

146 data, which would have created a large gap in data coverage. Therefore the  $n_{stm}$  variable was  
147 estimated with a log-transformed regression equation using data on  $d_{bh}$ ,  $p_A$ , and  $V$  as  
148 independent variables. This regression equation was built from 280 plots ( $R^2 = 0.88$ ) and used  
149 to estimate  $n_{stm}$  for 288 plots. LAI was estimated as described by *Koivusalo et al.* (2008).  
150 Needle mass for an average tree in stand/plot was estimated from  $h$  and  $d_{bh}$  using empirical  
151 equations presented by Repola et al. (2007). LAI for a stand was calculated as:

$$152 \quad LAI = Nm_t * n_{stm} * S_{LA} \quad (1)$$

153 where  $Nm_t$  = needle mass per average tree in stand [kg],  $n_{stm}$  = number of stems per hectare  
154 [1/ha], and  $S_{LA}$  = specific leaf area =  $4.43 \text{ m}^2 \text{ kg}^{-1} = 4.43 * 10^{-4} \text{ ha kg}^{-1}$  (Xiao et al., 2006).

~~155 Detailed information on LAI was used to obtain an estimate of how different land use~~  
~~156 management options, already actively in operation in the area, could potentially affect~~  
157 ~~groundwater recharge.~~ Clear-cutting is an intensive land use form in which the entire tree  
158 stand is removed, and it is carried out in some parts of the study area. The first scenario  
159 simulated the impact of clear-cutting by not resorting to the estimated LAI pattern at the site  
160 (Fig. 2), but by using an LAI value of 0-0.2 ~~for the whole simulated area.~~ In the second  
161 scenario, which was the opposite of clear-cutting, the mature stand was assumed to have **high**  
162 **LAI values of 3.2-3.5** found at the study site and reported in the literature (Koivusalo et al.,  
163 2008, Rautiainen et al., 2012, Vincke and Thiry, 2008, Wang et al., 2004).

#### 164 2.1.2 ~~Determination of lichen water retention in soil evaporation~~

165 An organic lichen layer covers much of the sandy soil at the Rokua study site (Kumpula et al.,  
166 2000), so this lichen layer was included in **soil evaporation (SE) calculations**. Lichen  
167 vegetation has the potential to affect SE by influencing the evaporation resistance of soil and  
168 by intercepting rainfall before it enters the mineral soil surface (Kelliher et al., 1998).  
169 Although lichens do not transpire water, their structural properties allow water storage in the  
170 lichen matrix and capillary water uptake from the soil (Blum, 1973, Larson, 1979). The lichen  
171 layer also increases soil surface roughness and thereby retards surface runoff (Rodríguez-  
172 Caballero et al., 2012).

173 In this study, water interception storage by the lichen layer was estimated from lichen  
174 samples. In total, six samples (species *Cladonia stellaris* and *C. rangiferina*) were taken in  
175 May 2011 from two locations 500 m apart, close to borehole MEA506 (see Fig. 1). These

176 samples were collected by pressing plastic cylinders (diameter 10.6 cm) through the lichen  
177 layer and extracting intact cores, after which mineral soil was carefully removed from the  
178 base of the sample. Thus the final sample consisted of a lichen layer on top and a layer of  
179 organic litter and decomposed lichen at the bottom, and was sealed in a plastic bag for  
180 transportation. To obtain estimates of water retention capacity, the samples were first wetted  
181 until saturation with a sprinkler, left overnight at +4 °C to allow gravitational drainage and  
182 weighed to determine ‘field capacity’. The samples were then allowed to dry at room  
183 temperature and weighed daily until stable final weight (‘dry weight’) was reached. The water  
184 retention capacity ( $w_r$ ) of the sample was calculated as:

$$185 \quad w_r = \frac{m_{fc} - m_{dry}}{\rho_w} \cdot \frac{1}{\pi \cdot r^2} \quad (2)$$

186 where  $m_{fc}$  is the field capacity weight [M],  $m_{dry}$  is the final dry weight [M] at room  
187 temperature,  $\rho_w$  [M L<sup>-3</sup>] is the density of water, and  $r$  [L] is the radius of the sampling  
188 cylinder.

189 The mean water retention capacity of the lichen samples was found to be 9.85 mm (standard  
190 deviation (SD) 2.71 mm) and approximations for these values were used in model  
191 parameterization (Table 2). In the simulations, the lichen layer was represented as an organic  
192 soil layer with similar Brooks and Corey parameterization as for mineral soil. To  
193 acknowledge the lack of information about Brooks and Corey parameter estimates for lichen,  
194 the parameters were included in the Monte Carlo runs (see section 2.2) with ranges which in  
195 our opinion produced reasonable shape of the pressure-saturation curve allowing easy  
196 drainage of the lichen.

### 197 2.1.3 Geological data from soil samples

198 Particle size distribution was determined from 26 soil samples taken from five boreholes at  
199 various depths (Fig. 1). 14 of the samples were analyzed also for pressure saturation curves.  
200 Samples were characterized as fine or medium sand, while soil type in the other boreholes  
201 (Fig. 1) had previously been characterized as medium, fine or silty sand throughout the model  
202 domain by the Finnish Environmental Administration. Therefore the soil samples from the  
203 five boreholes were considered to be representative of the soil type in the area. Pressure  
204 saturation data from the samples was then used to define parameter ranges for the Brooks and  
205 Corey equation used in the simulations (Table 2). Furthermore, particle size distribution

206 values were employed to calculate the range of saturated vertical hydraulic conductivity for  
207 the samples, using empirical equations by Hazen, Kozeny-Carman, Breyer, Slitcher, and  
208 Terzaghi (Odong, 2007). The hydraulic conductivity for a given sample ranged approximately  
209 one order of magnitude between the equations. When using the five equations for the 26  
210 samples in total, the calculated values were within  $1.99 \cdot 10^{-5} - 1.47 \cdot 10^{-3} \text{ [m s}^{-1}\text{]}$  for all but  
211 one sample. The obtained range was considered to reasonably represent the hydraulic  
212 conductivity variability in the study area and simulations (Table 2).

213 Water table was monitored for model validation purposes (Fig. 1) using pressure-based  
214 dataloggers (Solinst Levellogger Gold). A measurement was made at one-hour intervals in five  
215 boreholes screened 1-2 m below the water table. The depth of the unsaturated zone at these  
216 boreholes varied from 1 to 15 m. The data were used to estimate groundwater recharge with  
217 the water table fluctuation method (see section 2.5).

#### 218 2.1.4 Climate data ~~to drive simulations~~

219 Driving climate data for the model were taken from Finnish Meteorological Institute  
220 databases for the modeling period 1 Jan 1961-31 Oct 2010. Daily mean temperature [ $^{\circ}\text{C}$ ] and  
221 sum of precipitation [mm] were recorded at Pelso climate station, 6 km south of the study  
222 area (Fig. 1). The most representative long-term global radiation data [ $\text{kJ m}^{-2} \text{ d}^{-1}$ ] for the area  
223 were available as interpolated values in a grid covering the whole of Finland. The  
224 interpolation data point was found to be at approximately the same location as borehole  
225 MEA2110 (Fig. 1). Long-term data on wind speed [ $\text{m s}^{-1}$ ] and relative humidity [%] were  
226 taken from Oulunsalo and Kajaani airports, located 60 and 40 km from the study site,  
227 respectively. The data from the airports were instantaneous observations at three-hour  
228 intervals, from which daily mean values were calculated. All the climate variables were  
229 recorded at reference height 2 m except for wind speed, which was measured at 10 m height.  
230 The wind speed data were therefore recalculated to correspond to 2 m measurement height  
231 according *Allen et al.* (1998) by multiplying daily average wind speed by 0.748. The  
232 suitability of long-term climate data for the study site conditions was verified with  
233 observations made at a climate station established at the study site in an overlapping time  
234 period (Dec 2009-Oct 2010) and the agreement between the measurements was found to be  
235 satisfactory.



236 Data on long-term lake surface water temperature were needed to calculate lake evaporation  
237 (see section 2.3.3), but were not available directly at the study site. However, surface water  
238 temperature was recorded at Lake Oulujärvi by the Finnish environmental administration  
239 (2013) 22 km from the study site in the direction of the Kajaani climate station (Fig. 1). The  
240 Oulujärvi water temperature was found to be closely correlated (linear correlation coefficient  
241 0.97) with daily lake water temperature recorded at Rokua during summer 2012. Daily lake  
242 surface temperature data for Lake Oulujärvi starting from 21 July 1970 were used in lake  
243 evaporation modeling. However, the data series had missing values for early spring and some  
244 gaps during five years in the observation period. These missing values were estimated with a  
245 sine function, corresponding to the average annual lake temperature cycle, and a daily time  
246 series was established for subsequent calculations.

~~247 It was essential to include snow accumulation in the simulations in order to represent the~~  
~~248 major spring recharge event of snowmelt. The snow accumulation routines in CoupModel~~  
249 ~~were used (Jansson and Karlberg, 2004) and~~ snowmelt was calculated with a degree-day  
250 approach **model**. Snow routines were calibrated separately using bi-weekly snow water  
251 equivalent (SWE) data from Vaala snowline measurements (Finnish environment  
252 administration, 2011) for the period 1960-2010 (Fig. 1). This separately calibrated snow  
253 model was used for all subsequent simulations.

## 254 **2.2 Modeling framework**

255 Recharge was estimated by simulating water flow through an unsaturated one-dimensional (1-  
256 D) soil column with the Richards equation using CoupModel (Jansson and Karlberg, 2004).  
257 To distribute the simulations spatially, the recharge area was subdivided into different  
258 recharge zones, similarly to e.g. Jyrkämä et al. (2002). **As each zone requires a unique**  
259 **simulation, the number of simulation setups rapidly increases, leading to high computational**  
260 **demand and/or laborious manual adjustment of model set-up. In the present study, this was**  
261 **avoided by simulating water flow in a single unsaturated 1-D soil column multiple times with**  
262 **different random parameterizations and distributing the results spatially to model zones.**  
263 **Spatial coupling was done with the ArcGIS software (ESRI, 2011).**

264 Zonation in the model was based on two variables: LAI and unsaturated zone depth (UZD).  
265 The calculation of spatially distributed values for LAI and UZD is presented in detail in  
266 sections 2.1.1 and 2.4. This produced a grid map with 20m x 20m cell size with a floating

267 point number assigned to each cell, resulting in a total of 205 708 cells for the model domain.  
268 The spatially distributed data were then divided into 15 classes for LAI and 30 classes for  
269 UZD (Figs. 2 and 5). The classes are primarily equal intervals, which was convenient in the  
270 subsequent data processing, but in addition the frequency distributions of LAI and UZD cell  
271 values were used to assign narrower classes for parameter ranges with many values (see  
272 histograms in Figs. 2 and 5). Class interval for LAI was 0.2 units up to a value of 2 (class 1:  
273 LAI = 0-0.2, etc.) and 0.3 to the maximum LAI value of 3.5. Class interval for UZD was 1 m  
274 to 10 m depth and 2 m to the final depth of 51 m. Finally, the classified LAI and UZD data  
275 were combined to a raster map with 20m x 20m cell size, producing 449 different zones with  
276 unique combinations of LAI and UZD values.

277 Simulations for the unsaturated 1-D soil profile were made for the period 1970-2010, and  
278 before each run 10 years of data (1960-1970) were used to spin up the model. The time  
279 variable boundary condition for water flow at the top of the column was defined by driving  
280 climate variables and affected by sub-routines accounting for snow processes. All water at the  
281 top of the domain was assumed to be subjected to infiltration. This model simplification well  
282 is justified by the permeable soil type with high infiltration capacity (as noted by Keese et al.,  
283 2005). Deep percolation ~~as gravitational drainage~~ was allowed from soil column base using  
284 the unit-gradient boundary condition (see e.g. Scanlon et al., 2002). The column was  
285 vertically discretized into 60 layers with increasing layer thickness deeper in the profile:  
286 Layer thickness was 0.1 m until 1.6 m (the first layer lichen), 0.2 m between 1.6 and 3 m, 0.5  
287 m between 3 and 10 m, 1 m between 10 and 17 m and 2 m from 17 m to the bottom of the  
288 profile (51 m).

289 The simulation was performed as 400 Monte Carlo runs to ensure enough model runs would  
290 be available for each LAI range. Model was ran each time with different parameter values as  
291 specified in Table 2. The parameters for which values were randomly varied were chosen  
292 beforehand by trial and error model runs exploring the sensitivity of parameters with respect  
293 to cumulative recharge or evapotranspiration. The parameter ranges were specified from field  
294 data when possible; otherwise we resorted to literature estimates or in some cases used  $\pm 50\%$   
295 of the CoupModel default providing a typical parameter for the used equation.

296 Variation in the LAI and UZD parameters were used to allocate the simulations spatially to  
297 the study site. To follow the example in Figure 3, a cell with a LAI value of 0.1 was assigned  
298 to cell class 1 along with all other cells in the LAI range 0-0.2. In addition to LAI, the model

299 was zoned according to unsaturated zone depth. For each model cell, a value for simulated  
 300 water flow was extracted from the midpoint of unsaturated soil class corresponding to the cell  
 301 in question. In the example in Figure 3, a cell with an UZD value of 5.2 m belongs to soil  
 302 class 6, representing unsaturated depth of 5-6 m. Water flow at 5.5 m depth represents the  
 303 groundwater recharge time series for the model cell in question. In this way, each of the 400  
 304 simulations of the unsaturated soil column provided a water flow time series for each UZD  
 305 class. When LAI class for the same example cell was considered, there were on average 27  
 306 simulation time series (number of total model runs [400] divided by number of LAI cell  
 307 classes [15]) available for the example cell with UZD 5.2 m and LAI 0.15.

308 After completing the CoupModel simulations for the unsaturated soil column, each unique  
 309 recharge zone (a combination of UZD and LAI class) had on average 27 recharge time series  
 310 produced by different random combinations of parameters (Table 2). To propagate the  
 311 variability in the 27 time series into the final areal recharge, a recharge value was randomly  
 312 selected for each time step and each recharge zone from the ensemble of 27 (on average) and  
 313 multiplied by the number of model cells belonging to the recharge zone in question (Eq. 3).  
 314 Because the recharge rates were in units of mm/day, the rate was converted to volumetric flux  
 315 [ $\text{m}^3 \text{d}^{-1}$ ] by multiplying it by the cell area ( $A_c$ ) with appropriate unit transformations. Finally,  
 316 the volumetric flow rate from all the unique recharge zones was summarized for a given time  
 317 step and the sum was converted from [ $\text{m}^3 \text{d}^{-1}$ ] to [ $\text{mm d}^{-1}$ ] by dividing by the surface area of  
 318 the total recharge area ( $A_{\text{tot}}$ ). This procedure was carried out for all time steps and then  
 319 repeated a number of times (here 150 times) to ensure that all of the simulated time series for  
 320 each recharge zone were represented in the random selection process.

$$321 \quad R_{i,j} = \frac{\sum_{l=1}^{449} n(l) * R_{s_{i,rand(1:k)}} * A_c}{A_{\text{tot}}} \quad (3)$$

322 where  $R_{i,j}$  is the final sample of areal recharge [ $\text{mm day}^{-1}$ ],  $i$  is the index for simulation time  
 323 step (= 1:14975),  $j$  is the index for sample for a given time step (1:150),  $l$  is the index for  
 324 unique recharge zone,  $n(l)$  is the number of cells in a given recharge zone,  $R_s$  is the recharge  
 325 sample [ $\text{mm/day}$ ] for a given recharge zone at time step  $l$ ,  $k$  is the number of time series for a  
 326 given recharge zone,  $A_c$  is the surface area of a model raster cell (=20 m \* 20 m = 400  $\text{m}^2$ ),  
 327 and  $A_{\text{tot}}$  is the surface area of the total recharge area.

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329 The resulting R matrix has 150 time series for areal recharge produced by simulations with  
330 different parameter realizations. The variability between the time series provides an indication  
331 of how much the simulated recharge varies due to different model parameter values. The  
332 method allows computationally efficient recharge simulations, because the different recharge  
333 zones do not all have to be simulated separately.

334 The method assumes that: (1) over the long-term, the water table remains at a constant level,  
335 i.e. the unsaturated depth for each model cells stays the same. Monitoring data from 11  
336 boreholes and seven lakes with more than 5 years of observation history shows level  
337 variability of 1 – 1.5 m, with depressions and recoveries of the water table. This variability is  
338 within the accuracy of water table estimation by interpolation, and therefore we find the  
339 assumption of long term equilibrium acceptable for the study site. (2) the capillary fringe in  
340 the sandy soil is thin enough not to affect the water flow before arriving at the ‘imaginary’  
341 water table at the center of each soil class. (3) only vertical flow takes place in the unsaturated  
342 soil matrix, a typical assumption in recharge estimation techniques (Dripps and Bradbury,  
343 2010, Jyrkama et al., 2002, Scanlon et al., 2002) (4) surface runoff is negligible primarily due  
344 to the permeable soil type, and also due to lichen cover inhibiting runoff. The maximum  
345 observed daily rainfall for the area has been 57.4 mm. Further assuming that rain for the day  
346 fell only during one hour, it would equal to  $1.59 \cdot 10^{-5} \text{ m s}^{-1}$  input rate of water, which is close  
347 to the lower range of saturated hydraulic conductivity at the study site ( $1.99 \cdot 10^{-5} \text{ m s}^{-1}$ ).  
348 Therefore rainstorms at the site very rarely exceed the theoretical infiltration capacity. As a  
349 field verification, surface runoff has not been observed during field visits and the area lacks  
350 intermittent or ephemeral stream networks. (5) uncertainties in the estimation of spatially  
351 distributed LAI and UZD values justify the use of approximations (i.e. water flow at the UZD  
352 class range midpoint and LAI value specified only as a range for each cell) in the cell  
353 classification phase.

354 The model set-up used fine temporal and spatial discretization with a daily time step and 20m  
355 x 20m cell size, respectively. The short time step was chosen to fully capture the main  
356 recharge input from snowmelt and to demonstrate its impact on recharge variability at  
357 different water table depths. The small model cell size was selected to ensure full exploitation  
358 of the forest inventory plots in LAI determination. Simulation times for the current set-up  
359 were approximately 10 hours for 400 simulations of the 50 m soil profile for the period 1961-  
360 2010, and 12 hours to redistribute the simulations to the 200 000 model cells for each time

361 step and create 150 realizations of recharge time series. Where the computational capacity or  
362 the length of the run times poses a problem, the modeling methodology allows different  
363 spatial and temporal dimensions, which would speed up the long simulation times.

364 The sensitivity of the parameters varied in the simulations was tested with Kendall correlation  
365 analysis, by testing the correlation between each model parameter and cumulative sums of  
366 different evapotranspiration components and soil infiltration for the 400 model runs.  
367 Individual simulation with unique parameter values did not produce a groundwater recharge  
368 value due to the assembling strategy for recharge; therefore the ET components and soil  
369 infiltration were selected as variables for comparison. In addition, correlations were examined  
370 as scatter plots to ensure that possible sensitivity not captured by the monotonic correlation  
371 coefficient was not overlooked.

## 372 **2.3 Estimation of Evapotranspiration**

373 Four different evaporation processes were considered in this study; soil, snow, ~~and~~ lake  
374 evaporation and transpiration (Fig. 4). In areas with unsaturated soil zones, the first three  
375 evaporation components were estimated, along with water flow simulations, using  
376 CoupModel. However, as 3.6 % of the surface area of the study site consists of lakes (Fig. 1),  
377 lake evaporation from free water surfaces was calculated independently from the CoupModel  
378 simulations. Kettle hole lakes in esker aquifers often lack surface water inlets and outlets and  
379 are therefore an integral part of the groundwater system (Ala-aho et al., 2013, Winter et al.,  
380 1998), so we considered these lakes as contributors to total groundwater recharge. In other  
381 words, rainfall per lake surface area is treated equally as addition to the aquifer water storage  
382 as groundwater recharge. As a difference, lake water table is subjected to evaporation unlike  
383 the groundwater table.

### 384 **2.3.1 Transpiration**

385 **Transpiration** from the Scots pine canopy ( $L_v E_{tp}$ ) was calculated using Penman-Monteith (P-  
386 M) combination Eq. (4):

$$387 \quad L_v E_{tp} = \frac{\Delta R_n + \rho_a \cdot c_p \frac{(\epsilon_s - \epsilon_a)}{r_a}}{\Delta + \gamma \left(1 + \frac{r_s}{r_a}\right)} \quad (4)$$

388 where  $R_n$  is net radiation,  $\rho_a$  is air density,  $c_p$  is the specific heat of air,  $e_s$  is the vapor pressure  
389 at saturation,  $e_a$  is the actual air vapor pressure,  $r_a$  is the aerodynamic resistance,  $\Delta$  is the slope  
390 of the saturated vapor pressure-temperature curve,  $\gamma$  is the psychrometer constant, and  $r_s$  is  
391 surface resistance.

392 The aerodynamic resistance ( $r_a$ ) for **transpiration** was calculated as:

$$393 \quad r_a = \frac{\ln\left(\frac{z_{ref}-d}{z_0}\right)}{k^2 \cdot u} \quad (5)$$

394 where  $z_{ref}$  is the reference height of the measurements,  $d$  is the displacement height,  $z_0$  is the  
395 roughness length,  $k$  is von Karman's constant, and  $u$  is wind speed.

396 Surface resistance ( $r_s$ ) was estimated with Eq. 6:

$$397 \quad r_s = \frac{1}{\max(LAI \cdot g_l; 0.001)} \quad (6)$$

398 where  $g_l$  is the leaf conductance given by the Lohammar equation (see e.g. Lindroth, 1985).

399 Whenever possible, all the parameters relating to the Penman-Monteith equation were  
400 estimated based on data, namely LAI of the canopy. Surface resistance and saturation vapor  
401 pressure difference are the main factors controlling conifer forest evapotranspiration, while  
402 the aerodynamic resistance is of less importance (Lindroth, 1985, Ohta et al., 2001). In the  
403 calculation of aerodynamic resistance with the P-M equation, roughness length is related to  
404 LAI and canopy height, according to *Shaw and Pereira* (1982). Other parameters governing  
405 the aerodynamic resistance, except for LAI, were treated as constant. The surface resistance  
406 of the pine canopy was estimated with the Lohammar equation (see e.g. Lindroth, 1985),  
407 accounting for effects of solar radiation and air moisture deficit in tree canopy gas exchange.  
408 Because LAI values have a strong influence in the surface resistance Lohammar equation, the  
409 other parameters governing the surface resistance were excluded from the Monte Carlo runs.  
410 Distribution of root biomass with respect to depth from the soil surface was presented with an  
411 exponential function, because most Scots pine roots are concentrated in the shallow soil zone.  
412 A root depth of 1 m was used for the entire canopy (Kalliokoski, 2011, Kelliher et al., 1998,  
413 Vincke and Thiry, 2008).

### 414 2.3.2 Soil evaporation with lichen cover

415 Soil evaporation was calculated using an empirical approach (Eq. 7) based on the P-M  
416 equation, as described in detail in *Jansson and Karlberg (2004)*. In this approach, soil  
417 evaporation ( $L_v E_{tp}$ ) is calculated for the snow-free fraction of the soil surface, and the snow  
418 evaporation is solved separately as a part of snow pack water balance:

$$419 \quad L_v E_{tp} = \frac{\Delta(R_n - q_h) + \rho_a \cdot c_p \frac{(e_s - e_a)}{r_{as}}}{\Delta + \gamma \left(1 + \frac{r_{ss}}{r_{as}}\right)} \quad (7)$$

420 where  $q_h$  is the soil surface heat flux,  $r_{as}$  is the aerodynamic resistance of soil, and  $r_{ss}$  is the  
421 surface resistance of soil.

422 The aerodynamic resistance of the soil ( $r_{as}$ ) is calculated as Eq (8):

$$423 \quad r_{as} = r_{alai} \cdot LAI + \frac{1}{k^2 \cdot u} \cdot \ln\left(\frac{z_{ref} - d}{z_{oM}}\right) \cdot \ln\left(\frac{z_{ref} - d}{z_{oH}}\right) \cdot f(R_{ib}) \quad (8)$$

424 where  $r_{alai}$  is an empirical parameter,  $z_{oM}$  and  $z_{oH}$  are surface roughness lengths for momentum  
425 and heat, respectively, and  $f(R_{ib})$  is a function governing the influence of atmospheric  
426 stability.

427 The surface resistance for soil ( $r_{ss}$ ) is given by:

$$428 \quad r_{ss} = \begin{cases} r_{\Psi} \cdot \log(\Psi_s - 1 - \delta_{surf}); & \Psi_s > 100 \\ r_{\Psi}(1 - \delta_{surf}); & \Psi_s \leq 100 \end{cases} \quad (9)$$

429 where  $r_{\Psi}$  is an empirical coefficient,  $\Psi_s$  is the water tension in the uppermost soil layer, and  
430  $\delta_{surf}$  is the mass balance at the soil surface (see *Jansson and Karlberg, 2004*).

431 In areas where the water table is close to the soil surface, the water table can provide an  
432 additional source of water for evapotranspiration (*Smerdon et al., 2008*). Simulations with a  
433 water table fixed at different depths in the soil profile would have been possible in the  
434 CoupModel setup. However, it would have doubled the amount of model runs for each  
435 considered water table depth and water table was not explicitly simulated for computational  
436 efficiency. Upward fluxes were not excluded from the recharge time series and negative  
437 fluxes were considered as “negative recharge” at any depth. Only the simplification is made

438 that water available for upward fluxes comes only from the soil moisture storage, not from the  
439 water table.

440 To take into account the decreased recharge for areas with near surface water tables, the  
441 recharge for cells with an unsaturated zone of <1 m was estimated with a water balance  
442 approach. We assumed that for areas with a shallow water table, soil water content was not a  
443 limiting factor for transpiration. Therefore an additional water source for transpiration was  
444 considered by making the transpiration rate equal to simulated potential transpiration (T)  
445 during times when the actual transpiration was simulated (T >0.05 mm). Increasing effect of  
446 the water table located at 1 m depth on soil evaporation was tested with simulations and found  
447 to be 5-10% higher with than without a water table. Therefore a 7% addition was made to the  
448 simulated actual soil evaporation for cells with a shallow water table. Daily recharge ( $R_{1m}$ ,  $L$   
449  $T^{-1}$ ) for cells with unsaturated depth below 1 m was estimated as:

$$450 \quad R_{1m} = I - T_{adj} - ES_{adj} \quad (10)$$

451 where I is infiltration water arriving to lake/soil surface, including both meltwater from the  
452 snowpack and precipitation [ $L T^{-1}$ ],  $T_{adj}$  [ $mm d^{-1}$ ] is adjusted transpiration, and  $ES_{adj}$  [ $mm d^{-1}$ ]  
453 is adjusted soil evaporation.

### 454 2.3.3 Lake evaporation

455 Lake cells were identified according from a base map and the daily lake recharge ( $R_{lake}$ , [ $L T^{-1}$ ]  
456 per unit area was then calculated with a water balance approach as:

$$457 \quad R_{lake} = I - E_{lake} \quad (11)$$

458 where  $E_{lake}$  [ $L T^{-1}$ ] is lake evaporation.

459 Lake evaporation ( $E_{lake}$ ) was estimated with the mass transfer approach (see e.g. Dingman,  
460 2008) according to Eq. (12).

$$461 \quad E_{lake} = K_E \cdot v_a \cdot (e_s - e_a) \quad (12)$$

462 where  $K_E$  is mass transfer coefficient [ $ML^{-1}T^{-2}$ ],  $v_a$  is wind speed [ $L T^{-1}$ ],  $e_s$  [ $ML^{-1}T^{-2}$ ] is  
463 saturated vapor pressure at lake water surface temperature, and  $e_a$  [ $ML^{-1}T^{-2}$ ] is air vapor  
464 pressure. The mass transfer coefficient ( $K_E$ ) represents the efficiency of vertical water  
465 transport from the evaporating surface and it can be treated as a function of lake size:



466  $K_E = 1.69 \times 10^{-5} \cdot A_L^{-0.05}$  (13)

467 where  $A_L$  is lake surface area [km<sup>2</sup>]

468 The groundwater recharge study area has lakes of variable size, from less than 1 ha to 25 ha  
469 (Fig. 1). Lake size variability was included in the total recharge calculation by randomly  
470 selecting a  $K_E$  value (from the range 1-25 ha) in Eq. (13) when calculating lake evaporation,  
471 and thereby groundwater recharge in model cells with lakes (see section 2.2). The mass  
472 transfer method was selected because of its simplicity, daily output resolution, low data  
473 requirement, and physically-based approach. However various calculation methods could  
474 easily be used in the modelling framework, depending on the data availability (see e.g.  
475 Rosenberry et al., 2007). If lake percentage in the area of interest is high, more sophisticated  
476 methods may be required to better represent the system. However, for the Rokua site the bias  
477 introduced by a simplistic approach was considered minor.

## 478 **2.4 Estimation of unsaturated layer depth**

479 The depth of the unsaturated layer at each model cell was estimated by subtracting  
480 interpolated water table level from digital elevation model (DEM) topography calculated  
481 based on LiDAR data (*National land survey of Finland*, 2012). **The water table elevation was**  
482 **estimated with the ordinary Kriging interpolation method from four types of observations:**  
483 **water table boreholes, stages of kettle hole lakes, elevation of wetlands located in landscape**  
484 **depressions, and land surface elevation at the model domain (Fig. 5).**

485 Water table borehole observations give the most accurate and reliable estimate of the water  
486 table position because they provide direct measurements on the water table. The water table  
487 elevation in a given piezometer was estimated here as the average value of the entire  
488 measurement history of each piezometer.

489 Kettle hole lakes in the area are imbedded in the aquifer and thus reflect the level of the  
490 regional water table (Ala-aho et al., 2013). The lake stage was extracted as the DEM elevation  
491 for a given lake, while for large lakes several interpolation points were scattered around the  
492 lake shore to better steer the interpolation locally.

493 Wetland elevation was used as a proxy for the water table elevation in locations where more  
494 certain observations (piezometers, lake levels) were lacking. If a wetland was present in the  
495 topographical depression, the water table was considered to lie at the depression bottom, in

496 order to sustain the conditions needed for wetland formation. Wetlands were detected from  
497 the base map and the value for water table proxy was assigned from the DEM.

498 Finally, the land surface elevation was considered to give a reasonable estimate of the water  
499 table position in the transition zone between recharge and discharge areas. The Rokua aquifer  
500 is phreatic in the recharge area and Rossi et al. (2012) demonstrated that the peatlands  
501 partially confine the aquifer and can create artesian conditions in the discharge area. Even  
502 though some local overestimation of the water table may have resulted from the  
503 approximation method at the transition zone, it was found to be important to have some points  
504 to guide the interpolation at the model domain boundary in order to acknowledge the  
505 characteristics of the sloping water table towards the discharge area. The proxy used for water  
506 table was extracted from the DEM to points approximately 250 m apart at the boundary of the  
507 model domain.

## 508 **2.5 Model validation**

509 Model performance was tested by comparing the simulated recharge values with two  
510 independent recharge estimates in local and regional scale; the water table fluctuation (WTF)  
511 method and base flow estimation, respectively. The WTF method is routinely used to estimate  
512 groundwater recharge because of its simplicity and ease of use, and assumes that any rise in  
513 water level in an unconfined aquifer is caused by recharge arriving at the water table. For a  
514 detailed description of the method and its limitations, see e.g. Healy and Cook (2002). The  
515 recharge amount ( $R$ ,  $L T^{-1}$ ) is calculated based on the water level prior to and after the  
516 recharge event and the specific yield of the soil:

$$517 \quad R = S_y \frac{\Delta h}{\Delta t} \quad (14)$$

518 where  $S_y$  is the specific yield,  $h$  is the water table height [L], and  $t$  is the time of water table  
519 rise [T].

520 The WTF method requires groundwater level data with adequate resolution for both time and  
521 water level, to identify periods of rising and falling water table. Such data, with hourly  
522 interval water level recordings were available for the study site from six water table wells  
523 with average unsaturated zone depths of 1.2, 1.6, 5.0, 8.0, 9.3, and 14.7 m (Fig. 1). Wells  
524 where the water table was  $<2$  m from the ground surface responded to major precipitation  
525 events. In the deeper wells, only the recharge from snowmelt was seen as water table rise.

526 Estimates of the soil specific yield are required for the calculations (Eq. 14), but no soil  
527 samples were available from the wells used in water table monitoring. Drilling records for  
528 these wells reported fine and medium sand, which was consistent with the particle size  
529 distribution for other wells in the area. Therefore an estimated value of 0.20-0.25 for the  
530 specific yield of all wells was used, according to typical values for fine and medium sand  
531 (Johnson, 1967).

532

533 The recharge estimated with the WTF method was compared with the simulated recharge  
534 during the recorded water level rise in the well. For each well, the cumulative ~~sum~~ of  
535 simulated water flow was extracted from soil profile depth corresponding to well water table  
536 depth. As an example, the simulated recharge in well ROK1 (unsaturated depth on average  
537 14.7 m) was extracted from soil class 12, corresponding to recharge for unsaturated thickness  
538 of 14-16 m. All 400 model runs were used, providing 400 estimates for recharge for each time  
539 period of recorded water level rise.

540 A regional estimate of groundwater recharge was estimated as baseflow of streams originating  
541 at the groundwater discharge area. Because the Rokua esker aquifer acts as a regional water  
542 divide, stream flow was monitored around the esker, in total of 18 locations (Fig. 1). The  
543 flows were measured total of 8 times between 6 July 2009 and 3 August 2010 (see Rossi et  
544 al., 2014). The lowest total outflow during 9-10 February 2010 was recorded after three  
545 months of snow cover period, when water contribution to streams from surface runoff was  
546 minimal. The minimum outflow was considered as baseflow from the aquifer reflecting long  
547 term groundwater recharge in the area. However, some groundwater discharges to larger  
548 regional lakes and rivers traveling underneath the measured small streams (Rossi et al., 2014),  
549 and thereby the baseflow to the small streams was expected to be lower than the total  
550 recharge.

551

552

## 553 3 Results

### 554 3.1 Model validation with the WTF and baseflow methods

555 The model showed reasonable performance and consistency against independent recharge  
556 estimates obtained with both WTF and baseflow methods (Fig. 6 and Table 3, respectively).  
557 The WTF method agreed well with the simulated values, with overlapping estimates between  
558 the methods for all but two boreholes. Also the median value of simulations was close to  
559 WTF method, with some bias to higher estimates from the simulations. The order of  
560 magnitude for regional estimate of recharge, stream baseflow, corresponded well to simulated  
561 recharge, level of match depending on the examined simulation period (Table 3). The  
562 measured baseflow was  $70\,500\text{ m}^3\text{ s}^{-1}$ , or  $312.7\text{ mm a}^{-1}$  when related to the recharge area.  
563 When comparing to the simulated long term average recharge and recharge for previous year,  
564 the measured baseflow was lower than the simulated recharge. Then again, when extracting  
565 the recharge data for the exact stream discharge measurements dates 9-10 February 2010,  
566 stream baseflow exceeded the simulated recharge.

567

### 568 3.2 Recharge and evapotranspiration time series

569 The dynamics of water flow time series responded to snowmelt and rain storm events rapidly  
570 at 1.5 m depth, but because of permeable sandy soils a clear signal of annual snowmelt was  
571 evident throughout the depth of the aquifer (Fig. 7). The data showed a delay in response to  
572 wet seasons when moving down in the soil profile, as expected. For example, snowmelt in the  
573 beginning of May 2008, gave the highest flow rate at 11 m in 19 May 2008, at 23 m in 29  
574 June 2008 and at 49 m in 5 April 2009. **Temporal variability is pronounced higher in the soil  
575 profile showing larger variability between maximum and minimum flow.**

576 Average land surface ET components remained relatively constant between years, but the  
577 simulated ET displayed a wide spread between simulations (Fig. 8). Estimated evaporation  
578 from the land surface (mean 237.6 mm) was somewhat lower than previous regional estimates  
579 of total ET (300 mm; (Mustonen, 1986)). Lake evaporation rates were generally higher than  
580 **evapotranspiration from the land surface due to the different method for estimating lake  
581 evaporation.** The variation in simulated lake evaporation was considerably lower than that in  
582 ET, as a different approach was used to account for uncertainty in the simulations.

583 Transpiration showed greater variation between simulations than soil evaporation and total  
584 land surface ET. On average, transpiration also comprised a slightly larger share of total  
585 evaporation than soil evaporation. Simulated snow evaporation was a small, yet not  
586 insignificant, component in the total ET from land surface.

587 When recharge simulation time series were summarized to annual values (1 Oct-30 Sept),  
588 recharge rates co-varied with annual infiltration (Fig. 9). Both annual recharge and infiltration  
589 displayed an increasing trend. The plot also showed the level of uncertainty in annual  
590 recharge values introduced by differences in **model parameterization** (see Table 2). The  
591 difference between minimum and maximum value for simulated annual recharge was on  
592 average 23.0 mm. Thus the maximum variability in recharge estimates was 6.3 % of mean  
593 annual recharge 362.8 mm.

594 Annual recharge was strongly correlated with annual sum of precipitation (linear correlation  
595 coefficient 0.89) as expected based on previous work in humid climate and sandy soils (Keese  
596 et al., 2005, Lemmelä, 1990). According to the simulations, the *effective rainfall*, i.e. the  
597 percentage of corrected rainfall resulting in groundwater recharge annually, was on average  
598 59.3%. This is in agreement with previous studies on unconfined esker aquifers at northerly  
599 latitudes, in which the proportion of annual precipitation percolating to recharge is reported to  
600 be 50-70% (71% by Zaitsoff (1984), 54% by Lemmelä and Tattari (1986) and 56% by  
601 Lemmelä (1990)). The percentage of effective rainfall varied considerably, ~~by almost 30 %-~~  
602 ~~units~~, between different hydrological years, from 44.8% in some years up to 73.1% in others.  
603 Tests on whether the interannual variation in effective rainfall percentage could be explained  
604 by sum of annual precipitation or maximum snow water equivalent showed no correlation  
605 between either of these variables and effective recharge coefficient for a given year.

### 606 **3.3 Spatial distribution of groundwater recharge**

607 The spatial distribution of groundwater recharge was mostly due to variations in LAI  
608 originating from forestry data, distance to water table, and distribution of lakes (Fig. 10).  
609 Higher evaporation rates from lakes led to lower recharge in lakes (see red spots in Fig. 10).  
610 Similarly, large LAI led to high ET and resulted in low recharge in plots with high LAI. Other  
611 areas of low recharge, although not as obvious at the larger spatial scales shown in Fig. 10,  
612 were cells with a shallow water table (section 2.3.2). The effect of high ET at locations with a  
613 shallow water table can best be seen in south-east parts of the aquifer.

### 614 **3.4 Influence of simulation parameters on groundwater recharge**

615 Kendall correlation analysis of simulation parameters and annual average model outputs  
616 identified LAI as the most important parameter controlling evapotranspiration and infiltration  
617 (Table 4). Parameters related to soil hydraulics and evaporation showed some sensitivity to  
618 simulation results, while the parameters for lichen vegetation were only slightly sensitive or  
619 insensitive to simulation output variables.

620 The LAI parameter governed the level of evaporation for different ET components (Fig. 11).  
621 Evaporation from soil (and snow) compensated for mean annual ET for LAI values up to  
622 around 1.0, after which total ET increased as a function of LAI.

623 The scenarios for low (0 ... 0.2) and high (3.2 ... 3.5) LAI would change the groundwater  
624 recharge rates compared to the current LAI distribution (Fig. 9). In the high LAI scenario the  
625 annual recharge was on average 101.7 mm lower than in the low LAI situation. These results  
626 suggest that management of the Scots pine canopy has a significant control on the total  
627 recharge rates in unconfined esker aquifers.

628

## 629 **4 Discussion**

630 The modeling approach developed here used forestry inventory data to simulate spatial and  
631 temporal variations in recharge. The Richards equation-based 1-D simulations were spatially  
632 distributed using Monte Carlo runs for an unsaturated soil column. Within the Monte Carlo  
633 process, residence time in the unsaturated zone was accounted for, while uncertainty in  
634 selected model parameters was propagated to the final recharge time series.

635 Model validation showed that the modeling approach could reasonably reproduce (1) the main  
636 groundwater recharge events when compared to the WTF method and (2) the regional level of  
637 recharge compared to stream baseflow. The WTF estimates for recharge agreed with the  
638 simulations, with a slight tendency for higher estimates by the simulations. The discrepancy  
639 can be due to different assumptions behind the methods and uncertainty in local  
640 parameterization; in the WTF method for the specific yield and for simulations mainly the  
641 hydraulic conductivity which dictates the timing of recharge. However, there were  
642 overlapping estimates for almost every recharge event which shows consistency between the  
643 methods. The stream baseflow was lower than the long term average recharge, which was  
644 expected because of the site hydrogeology. All of the outflow from the aquifer was likely not

645 captured by the baseflow measurements as some of the water discharges to larger streams and  
646 lakes outside of the stream catchments (Rossi et al., 2014). When simulated recharge was  
647 extracted specifically for the baseflow measurements dates, the lower values for simulated  
648 recharge were also anticipated. The recharge displayed strong seasonal variability (see Fig. 7),  
649 but the discharge to streams is in general more stable because of the stabilizing effect of the  
650 groundwater storage. In conclusion, the order of magnitudes in the regional baseflow estimate  
~~651 and the simulation results were consistent. Despite the very different assumptions on which~~  
~~652 the modelling and field based methods were based, all provided similar estimates for~~  
653 ~~groundwater recharge at the study site.~~

654 There were different water flow rates at different depths (Fig. 7), demonstrating the role of the  
655 unsaturated zone in recharge. The high fluctuation in water flow at 1.5 m revealed the  
656 recharge dynamics in aquifers with a shallow water table. Such aquifers would be highly  
657 sensitive to annual fluctuations in recharge and respond rapidly to dry periods. On the other  
658 hand, rainy years would most likely replenish the aquifer water stores very quickly. Deeper in  
659 the soil profile, the response to wet and dry seasons was more modest, but still exhibited a  
660 clear seasonal signal. The water flow appeared to have dry and wet cycles of 5-10 years.  
661 Considering this, aquifers with unsaturated zones measuring tens of meters are likely to  
662 respond only to wet and dry cycles in climate patterns, rather than the weather in individual  
663 years. The temporal availability of the groundwater resource is most likely different for  
664 aquifers with different unsaturated zone geometry, as suggested by e.g. Hunt et al. (2008) and  
665 Smerdon et al. (2008).

666 According to the simulations, the percentage of precipitation forming groundwater recharge  
667 varied considerably between years, as also reported in previous studies on transient recharge  
668 (Assefa and Woodbury, 2013, Dripps and Bradbury, 2010). Even though annual recharge was  
669 correlated with annual precipitation, and therefore years with high precipitation resulted in  
670 higher absolute recharge (Fig. 9), the percentage of effective rainfall did not increase as a  
671 function of annual sum of precipitation. This is somewhat surprising, because the rather  
672 constant evaporation potential between years (Fig. 8) and high soil hydraulic conductivity  
673 could be expected to result in a higher percentage of rainfall reaching the water table in rainy  
674 years. Some studies (Dripps and Bradbury, 2010, Okkonen and Kløve, 2010) have suggested  
675 that when the main annual water input arrives as snowmelt during the low evaporation season,  
676 it is likely to result in higher percentage recharge than in a year with little snow storage and

677 precipitation distributed evenly throughout summer and autumn, which may contribute to the  
678 variability in the recharge coefficient. However, when the maximum annual SWE value was  
679 used as a proxy for annual snowfall, there was no evidence of snow amount explaining the  
680 interannual variability in the recharge coefficient. Other factors contributing to recharge  
681 coefficient variability may be related to soil moisture conditions prior to snowfall, or the  
682 intensity of summer precipitation events (Smerdon et al., 2008, Stähli et al., 1999).  
683 Furthermore, the variability can to some extent be an effect of annual summation for the  
684 period 1 Oct-30 Sept, usually considered the hydrological year in the Nordic climate.  
685 Therefore the rainy autumn season is cut in ‘half’, and because recharge event comes with  
686 some delay from precipitation, the rainfall considered for a given year may not be reflected in  
687 the recharge for the year.

688 The above-mentioned reasons make the concept of effective rainfall, which is currently  
689 routinely used to estimate groundwater recharge for groundwater management in e.g. Finland  
690 (Britschgi et al., 2009), susceptible to over- or under-estimation of actual annual recharge.  
691 This applies especially for aquifers with a thick unsaturated zone, where rainy years produce  
692 higher average recharge with some delay and for a longer duration (see Fig. 7). Therefore, if  
693 allocated water abstraction permits e.g. 50% effective rainfall coefficient to be assumed for  
694 each year, it potentially allows overuse of the resource during dry seasons. While aquifer  
695 storage can buffer occasional over-extraction, the lowering of the water table may diminish  
696 groundwater discharge to surface water bodies, depending on the geometry of the aquifer  
697 (Zhou, 2009).

698 The method used here to estimate LAI from forestry inventories introduces a new approach  
699 for incorporating large spatial coverage of detailed conifer canopy data into groundwater  
700 recharge estimations. LAI values reported for conifer forests in Nordic conditions similar to  
701 the study site are in the range 1-3, depending on canopy density and other attributes  
702 (Koivusalo et al., 2008, Rautiainen et al., 2012, Vincke and Thiry, 2008, Wang et al., 2004).  
703 The LAI values obtained for the study site (mean 1.25) were at the lower end of this range.  
704 Furthermore, the data showed a bimodal distribution, with many model cells with low LAI (<  
705 0.4) lowering the mean LAI. The low LAI values were not considered to be an error in data or  
706 calculations, but were in fact expected because of active logging and clearcutting activities in  
707 the study area. Although the equations to estimate LAI are empirical in nature and based on  
708 simplified assumptions, the method can outline spatial differences in canopy structure.



709 However, the LAI estimation method could be further validated with field measurements or  
710 Lidar techniques (Chasmer et al., 2012, Riaño et al., 2004).

711 Plant cover, represented as LAI, proved to be the most important model parameter  
712 determining the total recharge amount. This has been reported in earlier studies estimating  
713 groundwater recharge (Dripps, 2012, Keese et al., 2005, Sophocleous, 2000), but here the  
714 vegetation was represented with more spatially detailed patterns and a field data-based  
715 approach for LAI. According to previous studies, average ET from boreal conifer forests is  
716 around 2 mm d<sup>-1</sup> during the growing season (Kelliher et al., 1998) , which is ~~in~~ similar to our  
717 average value of 1.6 mm d<sup>-1</sup> for the period 1 May-31 Oct. Some earlier studies have claimed  
718 that the influence of LAI on total ET rates from boreal conifer canopies is minor (Kelliher et  
719 al., 1993, Ohta et al., 2001, Vesala et al., 2005), but our simulation results indicate that higher  
720 LAI values lead to higher total ET values. While soil evaporation partly compensated for the  
721 lower transpiration with low LAI values, the total annual ET values progressively increased as  
722 a function of LAI (Fig. 11). Interestingly, the simulations suggested that ET remains constant  
723 in the LAI range 0-1, potentially due to the sparse canopy changing the aerodynamic  
724 resistance and partitioning of radiation limiting soil evaporation, while still not contributing  
725 much to transpiration in total ET. This suggests that the maximum groundwater recharge for  
726 boreal Scots pine remains rather constant up to a threshold LAI value of around 1. This  
727 knowledge can be used when co-managing forest and groundwater resources in order to  
728 optimize both.

729 The method allowed different land use scenarios in forestry management to be tested. The  
730 simulations showed that variable intensity of forestry, from low canopy coverage (LAI = 0-  
731 0.2) to dense coverage (LAI = 3.2-3.5) resulted in a difference of over 100 mm in annual  
732 recharge (Fig. 9). It can be argued that the scenarios are unrealistic, because high LAI values,  
733 covering the whole study site, may not be achieved even with a complete absence of forestry  
734 operations. Nevertheless, the result demonstrates a substantial impact of forestry operations  
735 on esker aquifer groundwater resources. Wider use of this method in Finland is practically  
736 possible, as active forestry operations in Finland have yielded an extensive database on  
737 canopy coverage, which could be used in groundwater management.

738 The lichen layer covering the soil surface was explicitly accounted for in the simulation set-  
739 up, which to our knowledge is a novel modification. *Kelliher et al.* (1998) concluded that  
740 precipitation intercepted by lichen was an important source of understorey evaporation,

741 especially directly after rain events. In addition, Bello and Arama (1989) reported that lichen  
742 could intercept light rain showers completely and that only intense rain events caused  
743 drainage from lichen canopy to mineral soil. While the lichen layer might have an increasing  
744 effect on soil evaporation through ‘interception storage’, Fitzjarrald and Moore (1992)  
745 suggest that a lichen cover may in fact have an insulating influence on heat and vapor  
746 exchange between soil and atmosphere, therefore impeding evaporation from the mineral soil.  
747 In the present study, the lichen layer appeared to have minor influence on total evaporation,  
748 soil evaporation and infiltration, as these variables showed some sensitivity to lichen Brooks  
749 and Corey parameters (Table 4). However, more intensive laboratory measurement of lichen  
750 water retention and conduction properties is required to clarify the role of lichen in soil  
751 evaporation, and thereby groundwater recharge.

752 Stochastic variation of selected model parameters illustrated the uncertainties relating to  
753 numerical recharge estimation using the Richards equation in one dimension. The capability  
754 and robustness of the Richards equation to reproduce soil water content and water fluxes have  
755 been demonstrated extensively in various studies (Assefa and Woodbury, 2013, Scanlon et al.,  
756 2002, Stähli et al., 1999, Wierenga et al., 1991). However, we considered that model  
757 calibration and validation with point observations of variables such as soil volumetric water  
758 content or soil temperature would not provide novel insights into water flow in unsaturated  
759 soils. Instead, we incorporated the parameter uncertainty ranges, usually used in model  
760 calibration, to the final recharge simulation output. An important outcome was that the  
761 uncertainty in the model output caused by different model parameterizations was small in  
762 comparison with the interannual variation in recharge. The error caused by uncertainty in the  
763 model assumptions or driving climate data was not addressed in this study. We presume that  
764 for the given case study, the uncertainty and suitability of the driving climate data would  
765 introduce more uncertainty into the model output than model parameterization.

766 ~~While it can be argued that all relevant parameters were not included and parameter ranges~~  
767 ~~could be more carefully determined,~~ the parameter set used was able to provide information  
768 on parameter sensitivity. LAI was the most important parameters controlling total ET, and  
769 thereby the amount of groundwater recharge (Table 4, Fig. 11). The LAI parameter was  
770 included in the equations controlling both transpiration and soil evaporation, and therefore the  
771 sensitivity of the parameter is not surprising. However, LAI is a measurable parameter in the  
772 otherwise semi-empirical equations used to simulate evaporation, and physically-based

773 parameters are preferable to empirical-fitting parameters in deterministic simulation  
774 approaches. Thus the ability of the approach to reduce a large part of model variability by  
775 allocating the LAI parameter spatially is a substantial advantage in reducing the model  
776 uncertainty.

777 The sensitivity analysis performed focused on total cumulative values of ~~flux variables~~ and  
778 did not address the temporal variations in the variables. Therefore the soil hydraulic  
779 parameters showed only minor sensitivity, perhaps misleadingly. Soil hydraulic parameters  
780 mainly influenced the **timing of recharge** through residence time in the soil, not so much the  
781 total amount. **It should be noted that vertical heterogeneity in the soil profile hydraulic**  
782 **parameters can reduce the total recharge rates (Keese et al., 2005). However, vertical**  
783 **heterogeneities were ignored in this study not only to simplify the model, but also because the**  
784 **drilling logs showed only little variation in the area.** Work of Wierenga et al. (1991) supports  
785 the simplification by showing that excluding moderate vertical heterogeneities does not  
786 significantly affect the performance of water flow simulations with the Richards equation.  
787 **Spatial differences in hydraulic parameters could be more accurately implemented in the**  
788 **modeling approach by creating a third zonation based on soil type, in addition to LAI and**  
789 **UZD. This would require the parameter ranges for hydraulic conductivity and Brooks and**  
790 **Corey parameters to be expanded to cover the properties of different soil types. Even then, the**  
791 **model is applicable only in situations where the soil type is permeable enough to allow rapid**  
792 **infiltration, so that surface runoff can be assumed to be of minor importance.**

793

## 794 **5 Conclusions**

795 A physically-based approach to simulate groundwater recharge for sandy unconfined aquifers  
796 in cold climates was developed. The method accounts for the influence of vegetation,  
797 unsaturated zone depth, presence of lakes, and uncertainty in simulation parameters in the  
798 recharge estimate. It is capable of producing spatially and temporally distributed groundwater  
799 recharge values with uncertainty margins, which are generally lacking in recharge estimates,  
800 despite understanding of uncertainty related to recharge estimates being potentially crucial for  
801 groundwater resource management. However, the parameter uncertainty defined for the study  
802 area was of minor significance compared with interannual variations in the recharge rates  
803 introduced by climate variations. The uncertainty caused by model parameterization was  
804 decreased by allocating the LAI parameter spatially in the model area.

805 The simulations showed that Scots pine canopy, parameterized as leaf area index (LAI), was  
806 important in controlling the total amount of groundwater recharge. Forestry inventory  
807 databases were used to estimate and spatially allocate the LAI and the results showed that  
808 such inventories could be better utilized in groundwater resource management. A sensitivity  
809 analysis on the parameters used showed that understorey evaporation could compensate for  
810 low LAI-related transpiration up to a LAI value of approximately 1, which may be important  
811 in finding the optimal level for forest management in groundwater resource areas. The  
812 concept of effective rainfall gave inconsistent estimates of recharge in annual timescales,  
813 showing the importance of using physically-based recharge estimation methods for  
814 sustainable groundwater recharge management.

815

### 816 **Author contribution**

817 P. Ala-aho and P.M Rossi collected and analyzed the field data. P.Ala-aho designed the  
818 simulation set-up, performed the simulations and interpreted the results. P.Ala-aho prepared  
819 the manuscript with contributions from all co-authors.

820

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830 available for purchase on request from the corresponding agency, other data can be provided  
831 by the corresponding author upon request.

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1041 **Tables**

1042 **Table 1.** Characteristics of the study site annual climate.

VARIABLE	MEAN	STD
Precipitation [mm]	591	91
Air Temperature [°C]	-0.7	1.1
Reference ET [mm]	426	26

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1044 **Table 2.** Randomly varied parameters, related equations and parameter ranges included in the  
 1045 model runs. For full description of parameters and equations, see Jansson and Karlberg  
 1046 (2004).

Parameter	Part of the model affected	Range	Units	Source
LAI (leaf area index)	Transpiration	0 ... 3.5	-	Data, see section 2.1.1
h (canopy height)	Transpiration	5 ... 15	m	Data
$r_{alai}$ (increase in aerodynamic resistance with LAI)	Soil evaporation	25 ... 75	-	±50% , estimate
$r_{\psi}$ (soil surface resistance control)	Soil evaporation	100...300	-	±50% approximately to cover the surface resistance reported 150-1000 (Kelliher et al., 1998)
$\lambda_L$ (pore size distribution index)	Soil evaporation, lichen	0.4 ... 1	-	Estimate, to cover an easily drainable range of pressure-saturation curves
$\Psi_L$ (air entry)	Soil evaporation, lichen	1.5 ... 20	-	Estimate, to cover a easily drainable range of pressure-saturation curves
$\theta_L$ (porosity)	Soil evaporation, lichen	7.5...12.5	%	Data, lichen mean water retention ±SD from samples

$k_{mat,L}$ (matrix saturated hydraulic conductivity)	Soil evaporation, lichen	$5 \cdot 10^4 \dots 5 \cdot 10^7$	$\text{mm d}^{-1}$	Estimate, high K values assumed
$t_{WD}$ (coefficient in the soil temperature response function)	Water uptake	10 ... 20	-	$\pm 50\%$ , estimate
$\Psi_c$ (critical pressure head for water uptake reduction)	Water uptake	200...600	-	$\pm 50\%$ , estimate
$k_{mat,S}$ (matrix saturated hydraulic conductivity)	Soil profile	$1.707 \cdot 10^3 \dots 127.2 \cdot 10^3$	$\text{mm d}^{-1}$	Data from soil sample particle size analysis
$k_{minuc}$ (minimum unsaturated hydraulic conductivity)	Soil profile	$1 \cdot 10^{-4} \dots 1 \cdot 10^{-1}$	$\text{mm d}^{-1}$	Estimate $k_{mat} * 1E-5$
$\lambda_s$ (pore size distribution index)	Soil profile	0.4 ... 1	-	Range to cover measured pressure-saturation curves
$\Psi_s$ (air entry)	Soil profile	20 ... 40	-	Range to cover measured pressure-saturation curves
$\theta_s$ (porosity)	Soil profile	0.25...0.36	%	Range from soil samples
$\theta_r$ (residual water content)	Soil profile	0.01...0.05	%	Range to cover measured pressure-saturation curves

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1050 **Table 3.** Stream baseflow estimates compared to simulated recharge outputs calculated for  
1051 different timeperiods

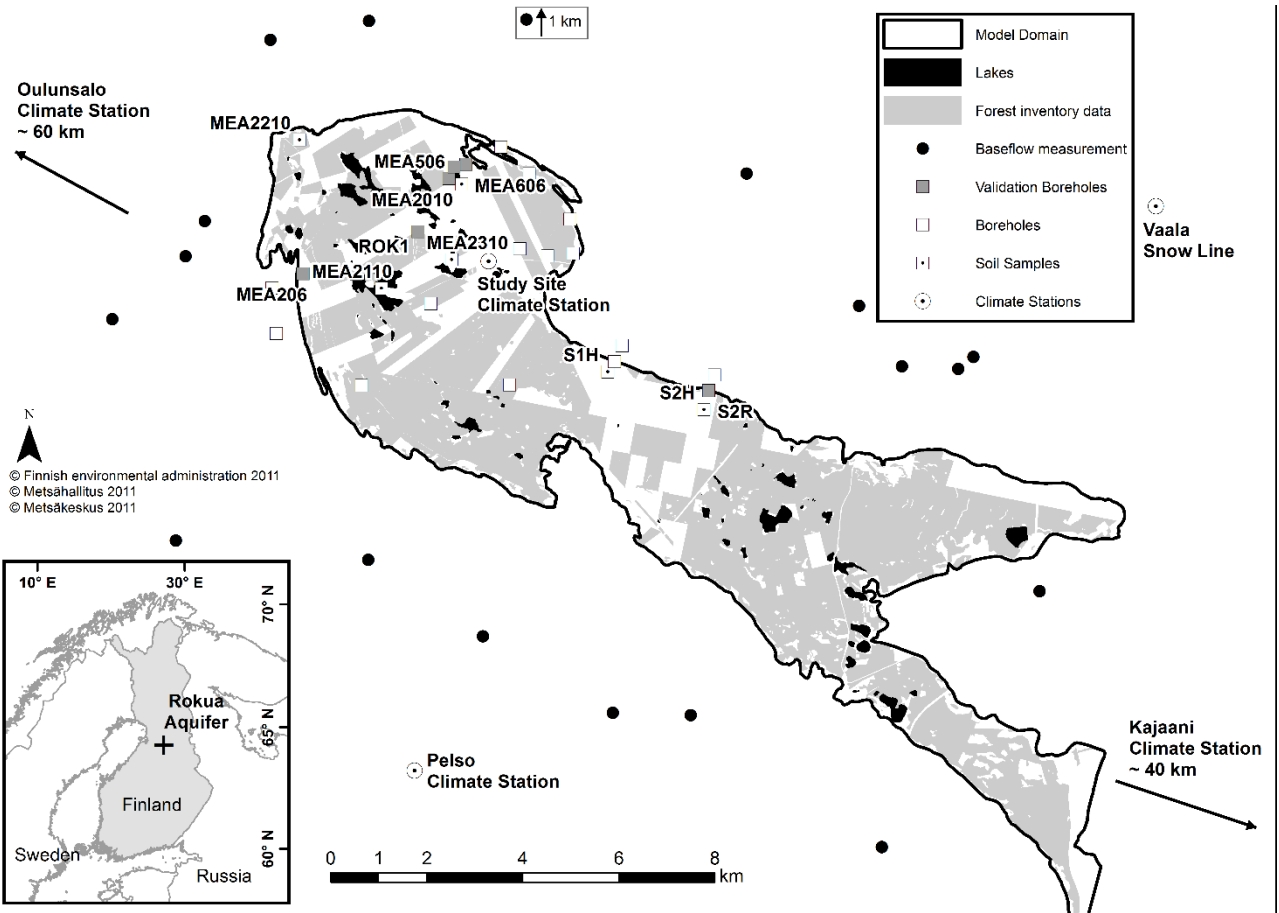
Baseflow for 9-10 February 2010 [ $\text{mm a}^{-1}$ ]	Long term average recharge [mm a <sup>-1</sup> ]	Recharge preceding 2009 [ $\text{mm a}^{-1}$ ]	Simulated recharge for 9-10 February 2010
312.7	362.8	421.8 (min)	110.0 (min)
		439.5 (max)	135.8 (max)

1052 **Table 4.** Kendall correlation coefficient for simulation parameters and average annual sum of  
 1053 simulation output variables. ET = evapotranspiration, E = evaporation, for other symbols see  
 1054 Table 2.

Parameter	Total ET	Transpiration	Soil E	Snow E	Infiltration
LAI	0.59*	0.84*	-0.73*	-0.37*	0.18*
h	0.59*	0.84*	-0.73*	-0.37*	0.18*
$r_{\Psi}$	-0.11*	-0.03	-0.03	-0.61*	0.58*
$\Gamma_{lai}$	-0.13*	-0.02	-0.11*	0.03	-0.05
$\lambda_L$	-0.09*	-0.01	-0.11*	0.01	-0.03
$\Psi_L$	0.01	-0.04	0.11*	-0.04	0.06
$\theta_L$	0.06	0.03	0.01	-0.00	0.09*
$k_{mat,L}$	-0.01	0.02	-0.04	-0.00	-0.00
$k_{mat,S}$	-0.10*	-0.04	-0.07*	0.02	0.01
$k_{minuc}$	-0.10*	-0.04	-0.07*	0.02	0.01
$t_{WD}$	-0.05	-0.02	-0.03	-0.05	0.03
$\Psi_c$	0.18*	0.12*	-0.02	-0.04	0.05
$\lambda_s$	0.13*	0.06	0.06	-0.00	-0.23*
$\Psi_s$	-0.11*	-0.05	-0.04	-0.05	0.04
$\theta_s$	0.02	-0.01	0.03	0.10*	-0.18*
$\theta_r$	0.07*	0.05	-0.01	0.01	0.16*

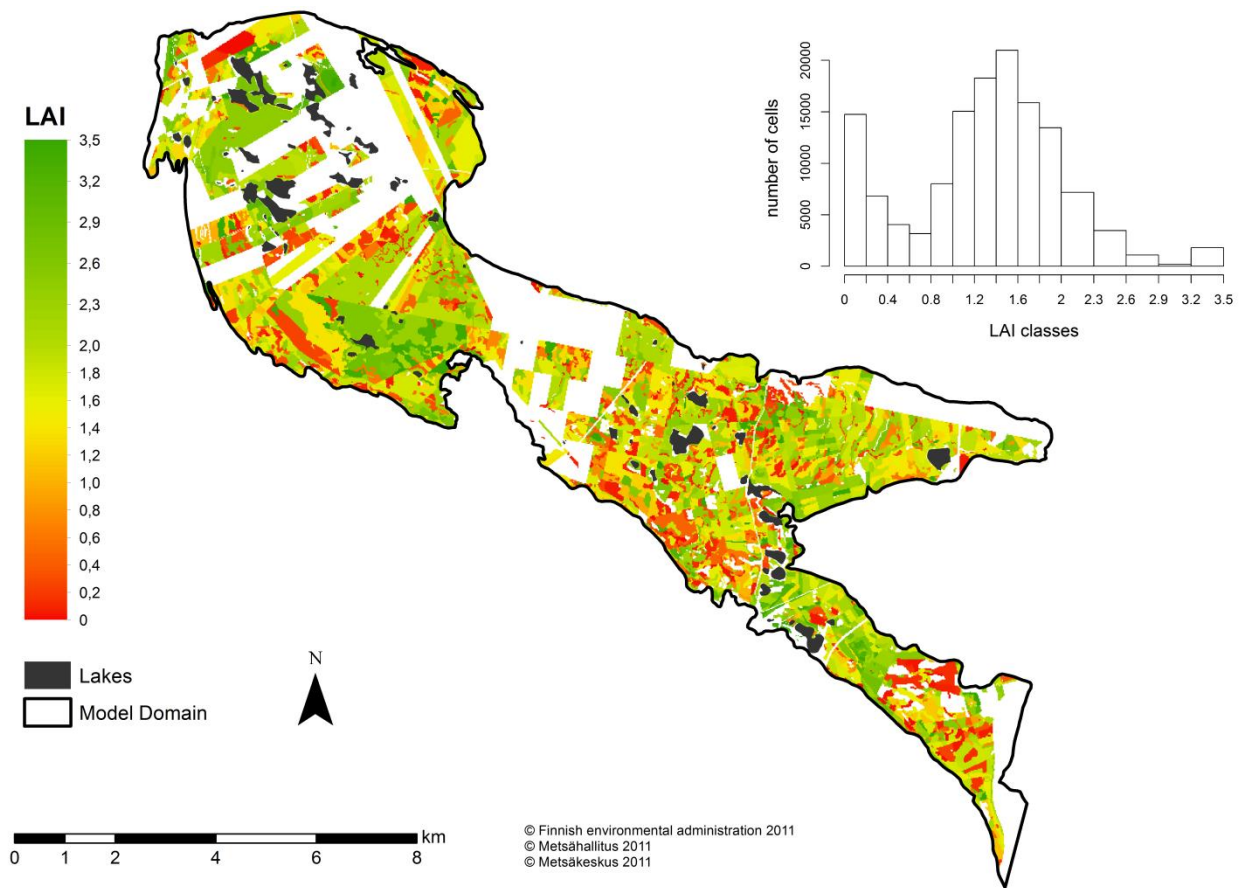
1055 \*Significant correlation,  $p < 0.05$

1056



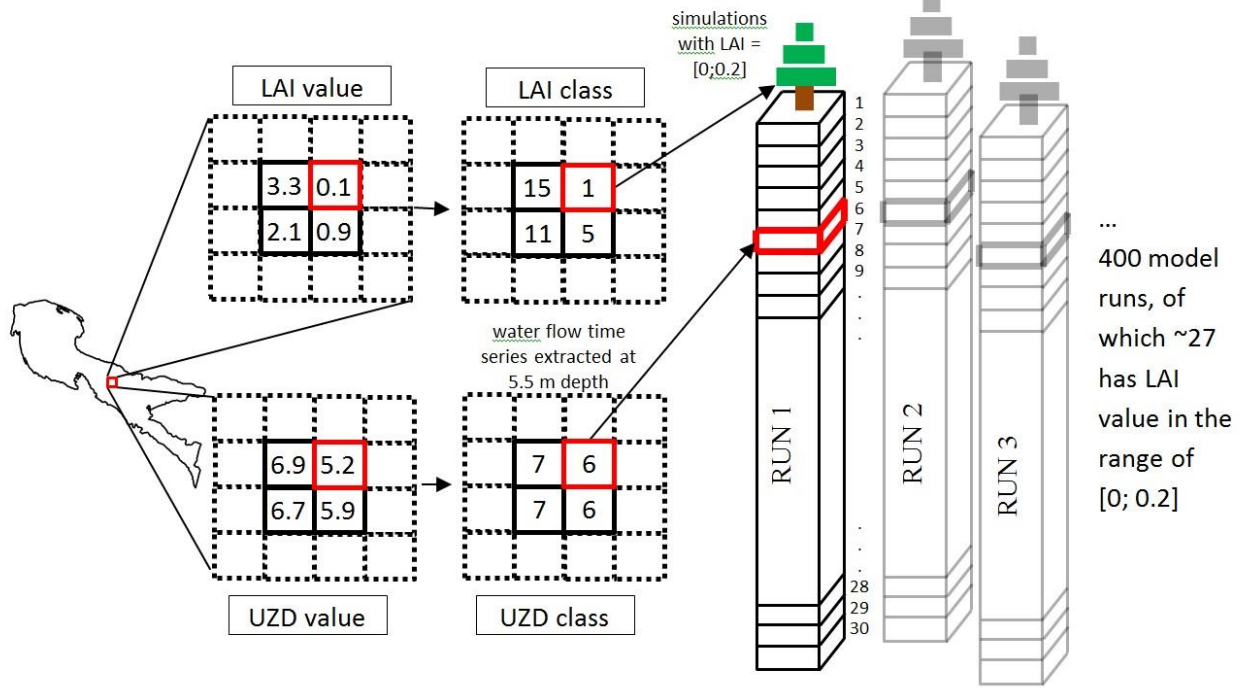
1058

1059 **Figure 1.** Recharge area of the Rokua esker aquifer. Boreholes in the area were used for  
 1060 model validation and soil type characterization. Baseflow was measured from streams  
 1061 originating outside the groundwater recharge area.



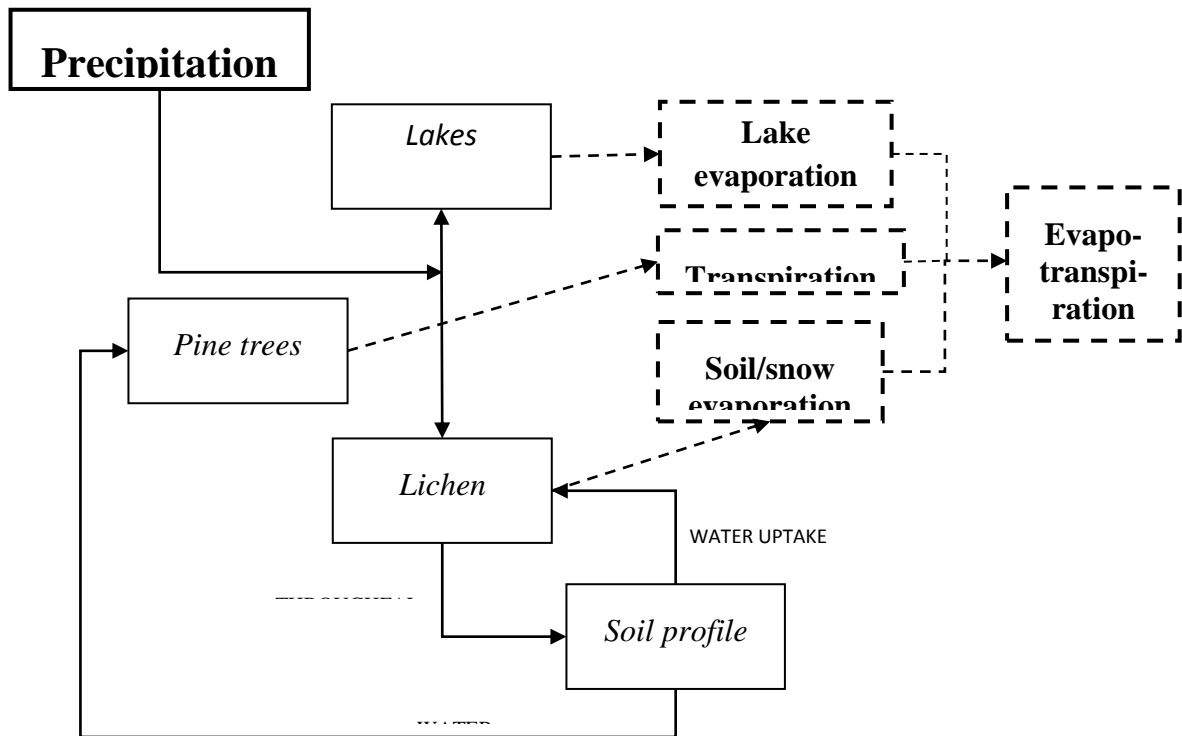
1062

1063 **Figure 2.** Spatial distribution of leaf area index (LAI) and a 20m x 20m cell-based histogram  
 1064 of LAI values. In areas where forestry inventory data were lacking, a weighted average value  
 1065 of 1.25 was used in simulations.



1066

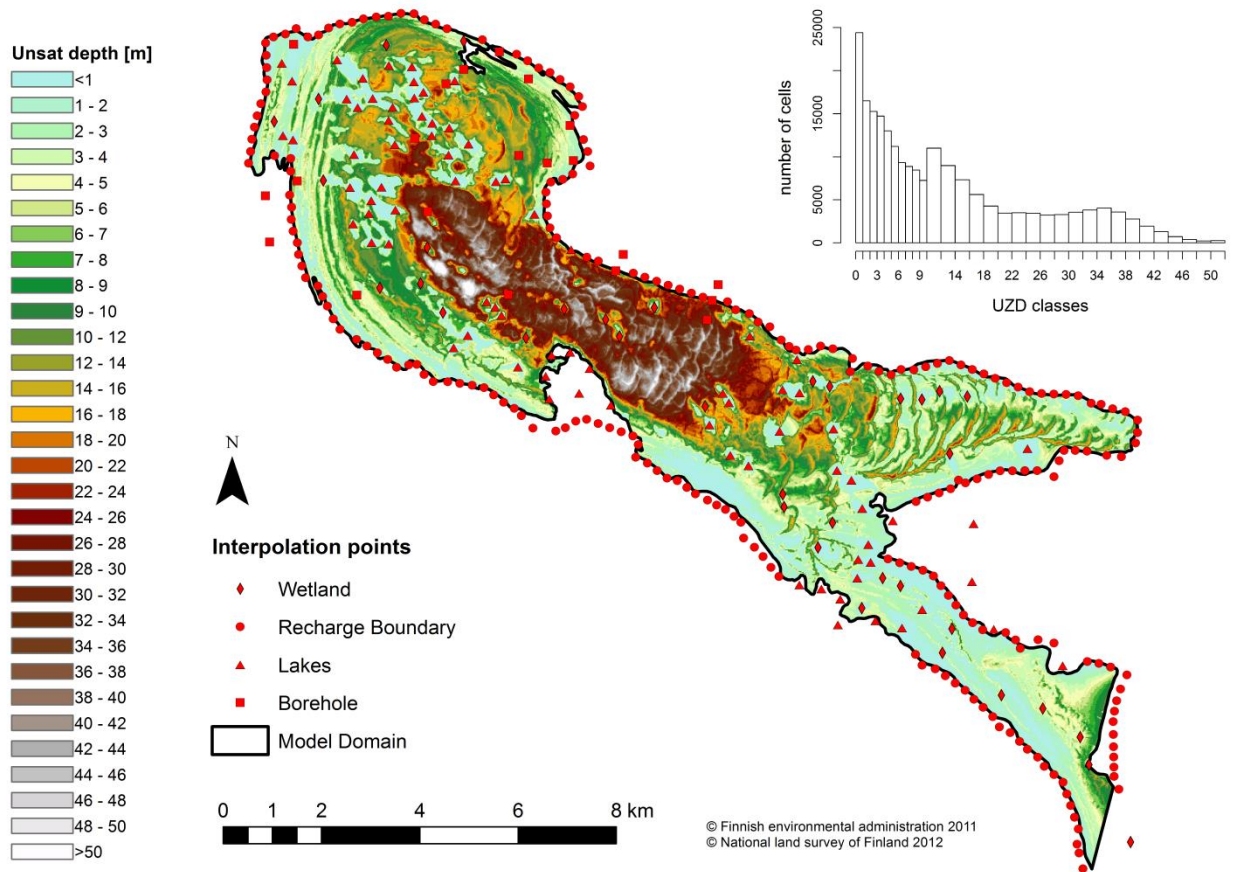
1067 **Figure 3.** Example of selection of water flow simulation data for a random cell in the model  
 1068 domain for which LAI = 0.1 and UZD = 5.2 m.



1069

1070 **Figure 4.** Flow chart of different evaporation processes considered in the study.

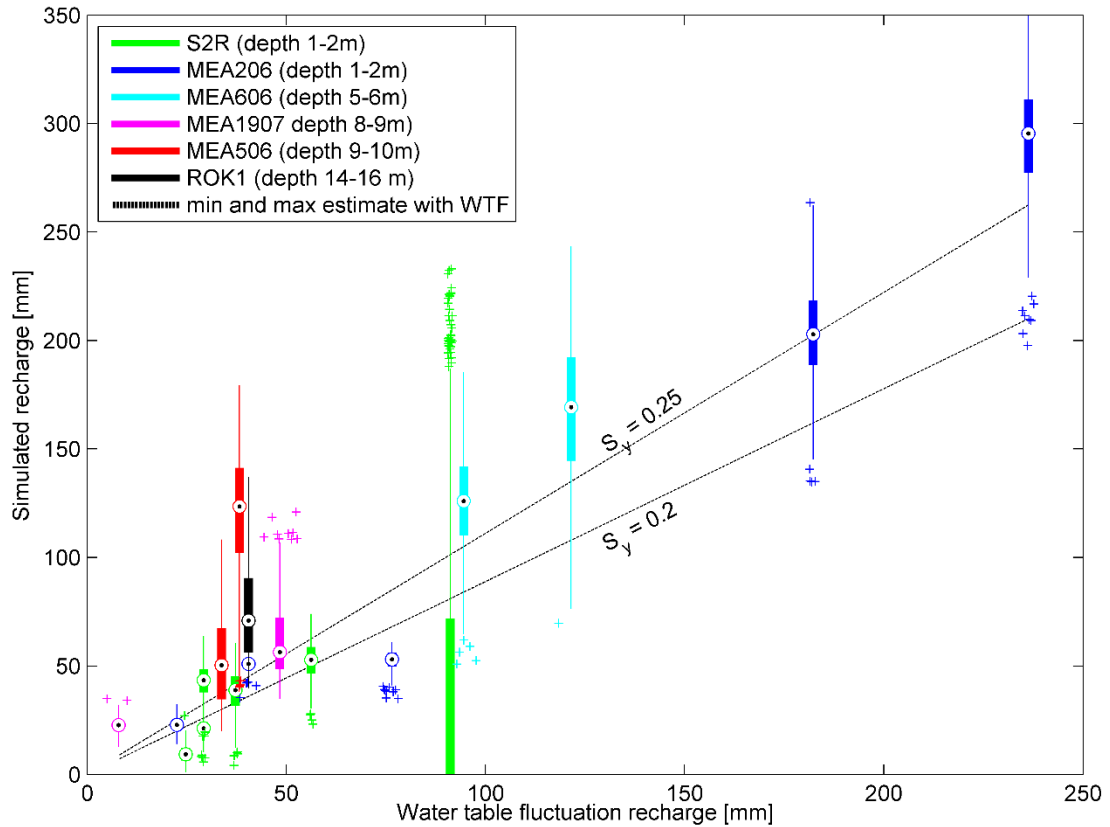




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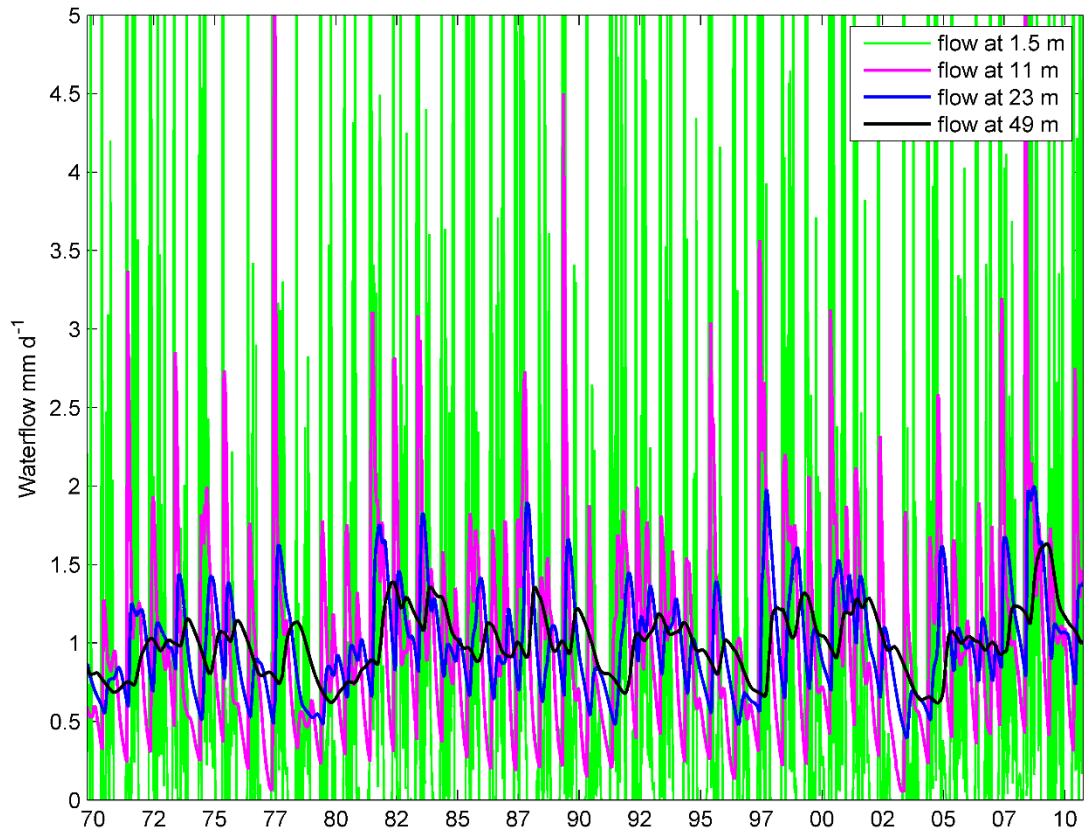
1072 **Figure 5.** Estimated depth of the unsaturated zone in the model area and interpolation points

1073 for estimation of water table elevation.



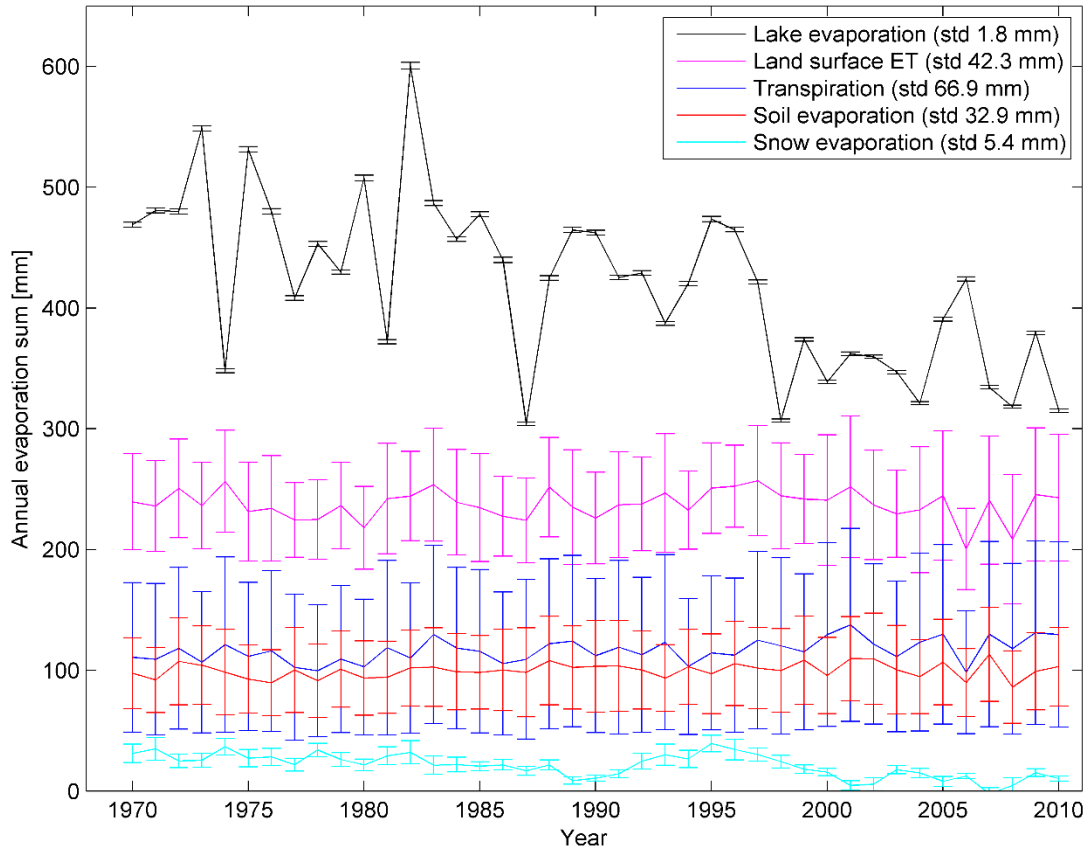
1074

1075 **Figure 6.** Assemblage of simulated recharge for individual recharge events, shown as  
 1076 boxplots where circles represent the median, bold lines 25-75<sup>th</sup> percentiles of the simulations,  
 1077 thin lines the remaining upper and lower 25<sup>th</sup> percentiles and crosses are outliers. The location  
 1078 of the boxplots on the x-axis is the WTF estimate for a given recharge event using a specific  
 1079 yield value of 0.225. The dashed lines indicate the uncertainty in the WTF estimates caused  
 1080 by the selection of specific yield. The two estimates would agree perfectly (given the  
 1081 uncertainty in  $S_y$ ) if all simulations shown as boxplots fell between the dashed lines.



1082

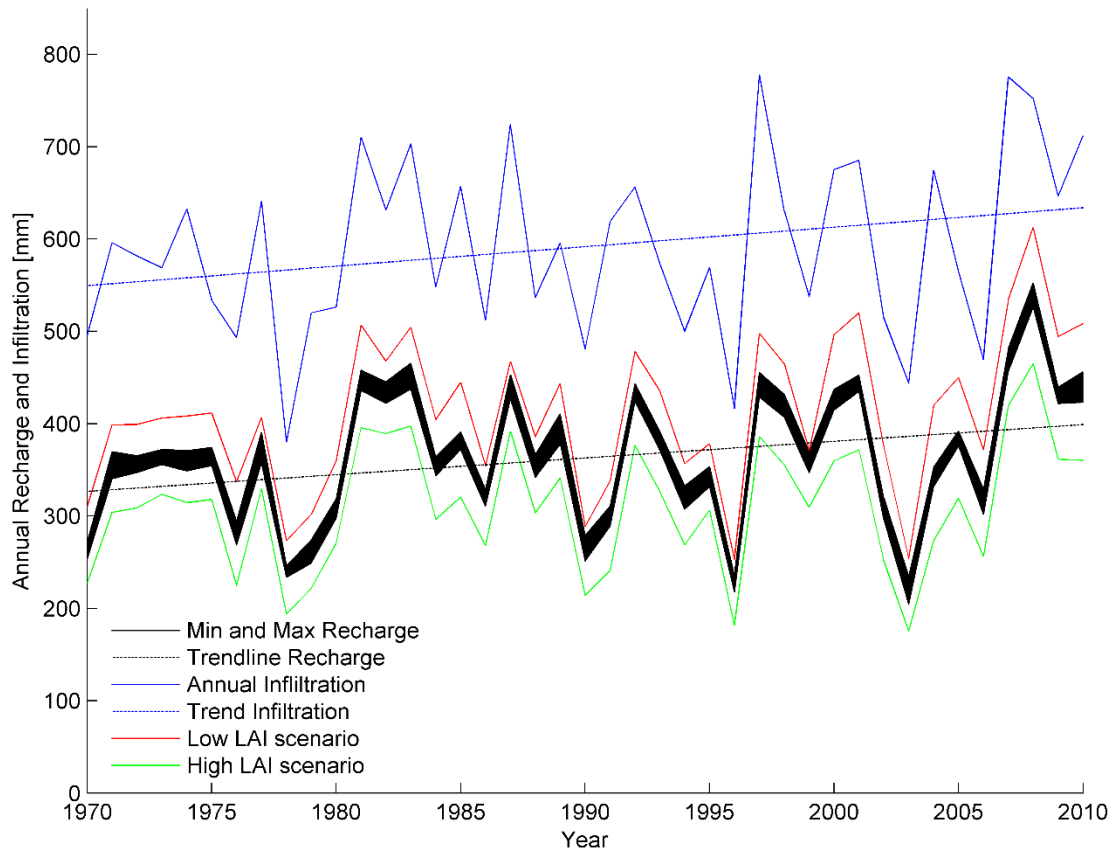
1083 **Figure 7.** Average water flow outputs at different soil profile depths with LAI range [1.2 ...  
 1084 1.4]. Y-axis is limited to 5 mm d<sup>-1</sup> to highlight the flow dynamics in the deeper layers, even  
 1085 though peak signal at 1.5 m reaches a value of several cm annually.



1086

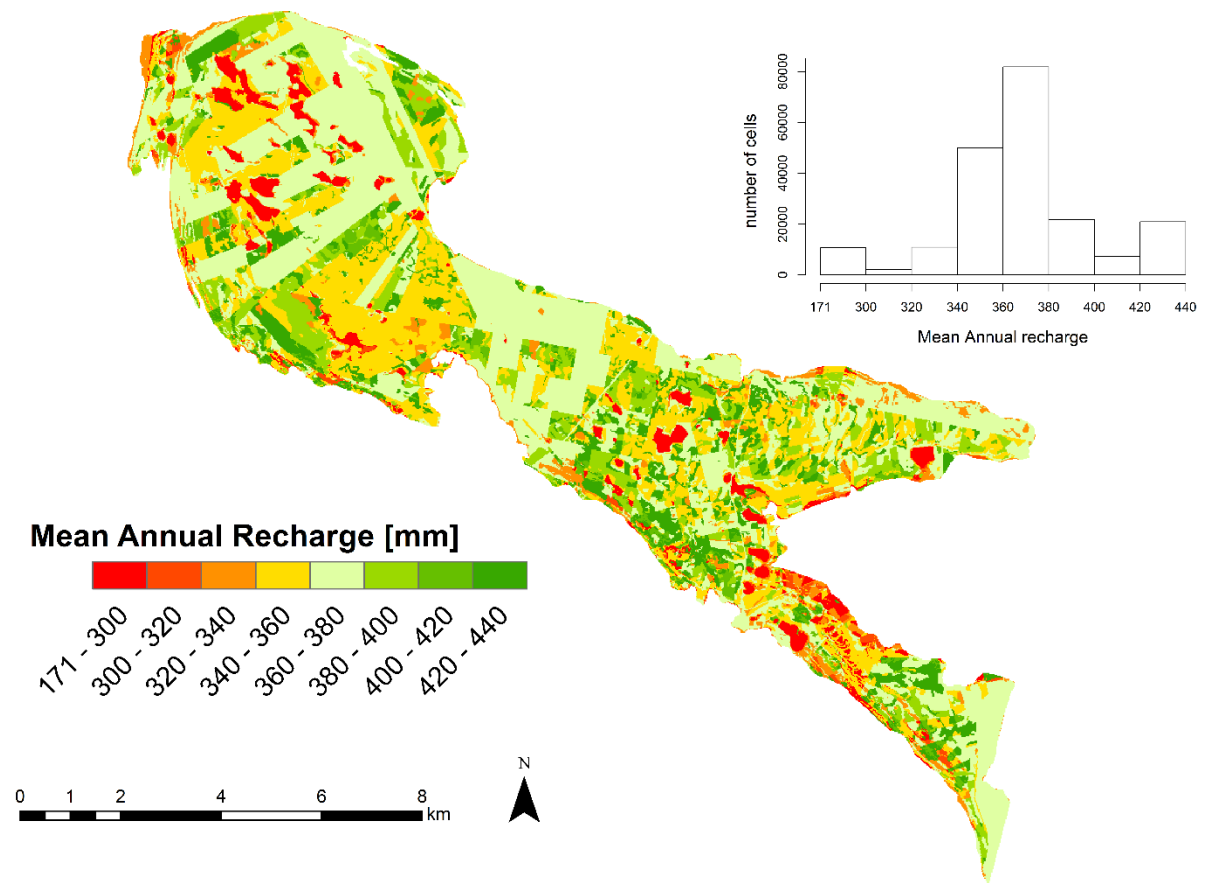
1087 **Figure 8.** Values of different evapotranspiration (ET) components (mean and standard  
 1088 deviation) simulated for the study period.

1089



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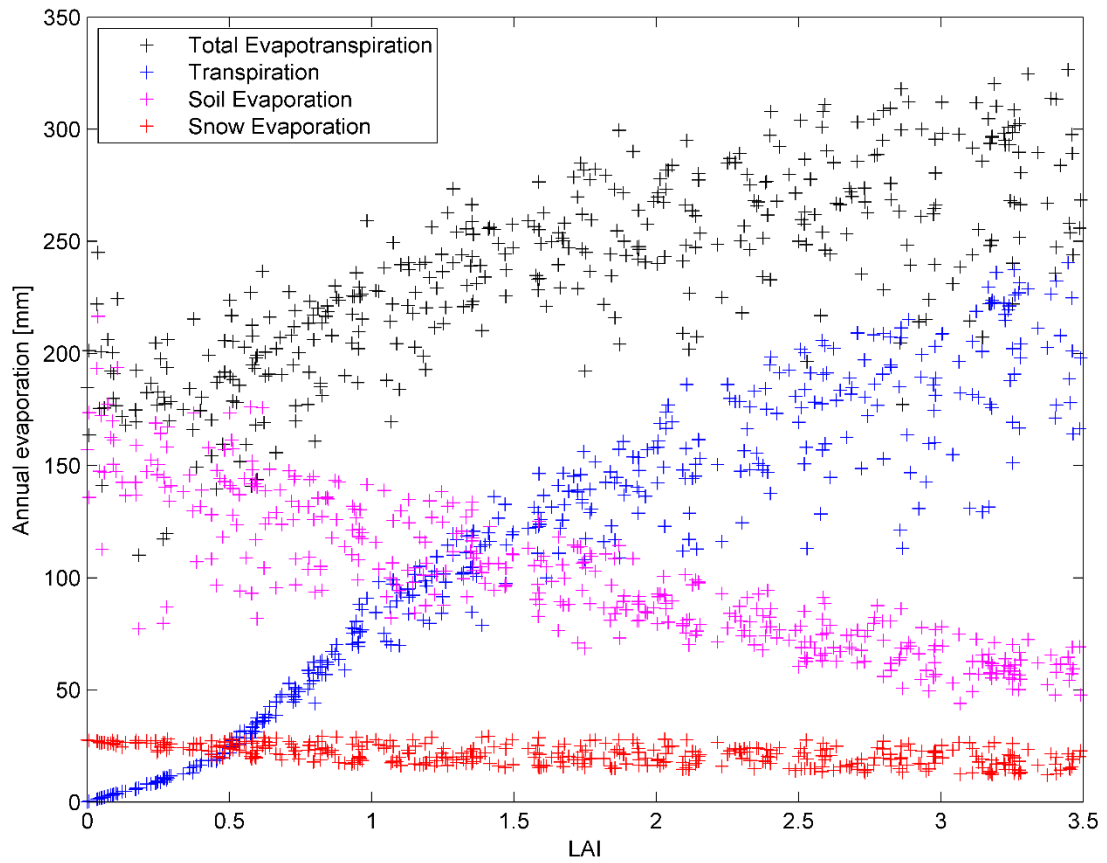
1091 **Figure 9.** Annual recharge time series from simulations where the black area covers the and  
 1092 minimum and maximum values for different recharge samples. The annual recharge pattern  
 1093 closely followed trends in infiltration. Effects of different land use management practices over  
 1094 time on annual recharge rates are shown as high and low leaf area index (LAI) scenarios.



1095

1096 **Figure 10.** Spatial distribution of mean annual recharge, which was influenced mainly by the  
 1097 Scots pine canopy (LAI), the presence of lakes and, to some extent, areas with a shallow  
 1098 water table.

1099



1100

1101 **Figure 11.** Example of scatter plots with the mean annual ET components are plotted as a  
 1102 function of the variable leaf area index (LAI), showing clear dependence of all ET  
 1103 components on LAI.