- 1 We thank the Reviewer for the comments and suggestions to improve our paper. We feel the changes and
- 2 additions we have made have improved the paper and provide a much better manuscript for the HESS
- 3 audience. We have addressed each comment individually, below:

#### 4 ANONYMOUS REFEREE #2

# 5 RECEIVED AND PUBLISHED: 4 AUGUST 2014

- 6 The paper addresses the important topic of providing urban land models with accurate inputs related
- 7 to the surface properties. The authors derive a set of properties from satellite data (most notably leaf
- 8 area index, green vegetation fraction, and impervious surface area) and provide them as inputs to the
- 9 offline NOAH-UCM urban model to compare the resulting outputs to those obtained with the default
- 10 tabulated model input. They proceed to perform a sensitivity analysis of the model and then to assess
- 11 the influence of the new parameters on the model's ability to match observations.
- 12 The paper is in general interesting and well written but some major revisions are needed.

#### 13 MAJOR COMMENTS:

- The authors write in the conclusions: "Nevertheless, the model still underestimates remotely sensed
- 15 LST values, over highly developed areas. We speculate that the underestimation of LST values,
- 16 particularly over high intensity residential and industrial/ commercial areas, is due to structural
- 17 parameterization in the UCM and cannot be immediately solved with available parameter choices." In
- 18 other parts they attribute this to a phase-lag in the discretization of the UCM. While this phase lag
- might play a role, an inconsistency that was recently pointed out in NOAH-UCM is that over urban
- 20 terrain the model in fact computes the surface temperature of a homogeneous grass field that
- 21 exchanges the same sensible heat flux with the atmosphere as the urban mix in the pixel. This is not
- 22 the true urban temperature one (or satellites) would sense. WRF-UCM computes the fluxes from each
- 23 subfacet (urban grass, roofs, urban canyons) separately and correctly but then uses the thermal
- roughness length of grass to infer a surface temperature. It is possible to compute a physically
- 25 relevant surface temperature from WRF-UCM from the outputs it provides. I strongly encourage the
- 26 authors to check the following reference for the details and potentially compute the surface
- 27 temperature as done in that reference: Li, D., & Bou-Zeid, E. (2014). Quality and sensitivity of high-
- 28 resolution numerical simulation of urban heat islands. Environmental Research Letters, 9(5), 055001.
- 29 doi:10.1088/1748-9326/9/5/055001.
- 30 We thank the reviewer for this helpful comment. We re-ran the simulations, adopting the revised
- 31 calculation of LST proposed by Li and Bou-Zeid [2014]. Using the new approach, the LST values over
- 32 highly developed surfaces are significantly increased. This solves the LST underestimation problem in
- 33 these areas (see revised Figs. 5 and 6). To implement the revised LST calculation, the following changes
- were made to the manuscript:
- 35 The following section is **ADDED** to the manuscript:
- 36 "4.3. Improving the UCM-simulated LST

37 The calculation of the impervious surface temperature in the UCM version used in this study has been

38 shown to be inaccurate [Li and Bou-Zeid, 2014]. This is due to the fact that the turbulent transfer

coefficient  $(C_h)$  for the whole pixel is calculated using only momentum and thermal roughness lengths of

40 vegetated portion, ignoring the developed surface impact on C<sub>h</sub>. Li and Bou-Zeid [2014] showed that this

inconsistency could result in large biases in simulated LST values. In the current study, an alternative LST

42 calculation, proposed by Li and Bou-Zeid [2014], is used as follows. First, a revised surface temperature of

the impervious part of the pixel ( $T_s$ ) is calculated based on canyon temperature ( $T_c$ ) and roof surface

44 temperature (T<sub>r</sub>):

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$$T_s = f_r \times T_r + (1 - f_r) \times T_c$$
 Eq. (12)

46 where  $f_r$  is the roof fraction of the impervious surface. Note that the  $T_c$  calculated by the UCM is an

47 equivalent aerodynamic surface temperature aggregated for canyon surfaces, including walls and roads.

48 Next, the LST for the whole grid cell is computed as a weighted average based on the Ts and surface

49 temperature of pervious part  $(T_1)$ :

50 
$$LST = f_{urb} \times T_s + (1 - f_{urb}) \times T_1$$
 Eq. (13)

where  $f_{urb}$  is the urban fraction of the pixel."

52 The following is **REMOVED** from the manuscript (abstract):

53 "However, the model still underestimates remotely sensed LST values over highly developed areas. We

54 hypothesize that the LST underestimation is due to structural formulation in the UCM and cannot be

55 immediately solved with available parameter choices."

The followings are **REMOVED** from the manuscript (section 7.2):

"Further analysis (not shown here) indicates that underestimation of LST values is due to a fundamental

58 problem in the UCM and cannot be immediately solved with available parameter choices. This problem is

59 discussed in a related study investigating different schemes for LST and conductive heat fluxes in the

60 UCM [Wang et al. 2011b]. Their study shows that the current UCM formulation results in a phase lag and

cold biases in simulated surface temperature when compared to observations. The discussed cold biased

62 could potentially be resolved utilizing a spatially-analytical scheme introduced by Wang et al. [2011b]."

"Regardless of the parameterization processes, cold biases are persistent in all simulations, particularly

over high intensity residential and industrial/commercial pixels (Fig. 6). As explained above, this

underestimation of LST values is consistent with the literature and is reported to be due to a fundamental

66 problem in the UCM which produces a phase lag and cold biases in simulated LST [Wang et al., 2011b]."

The following is **REMOVED** from the manuscript (section 8):

88 "Nevertheless, the model still underestimates remotely sensed LST values, over highly developed areas.

We speculate that the underestimation of LST values, particularly over high intensity residential and

industrial/commercial areas, is due to structural parameterization in the UCM and cannot be immediately solved with available parameter choices."

# The following figure is **REMOVED** from the manuscript:

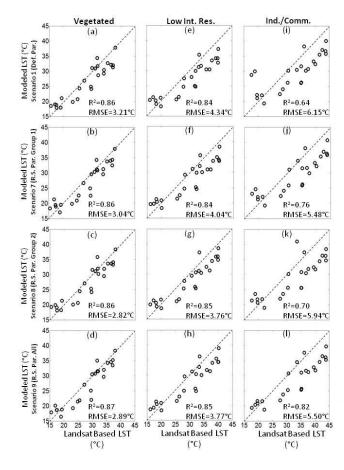


Figure 5. Scatter plots of observed (Landsat-based) versus simulated LSTs averaged over different land cover types using different urban surface parameterizations, including scenarios 1 (first row), 7 (second row), 8 (third row), and 9 (forth row) in Table 1.

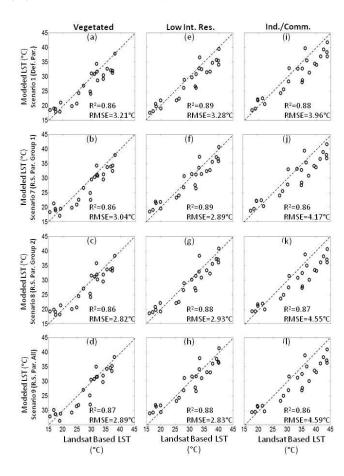


Figure 5. Scatter plots of observed (Landsat-based) versus simulated LSTs averaged over different land cover types using different urban surface parameterizations, including scenarios 1 (first row), 7 (second row), 8 (third row), and 9 (forth row) in Table 1.

# The following figure is **REMOVED** from the manuscript:

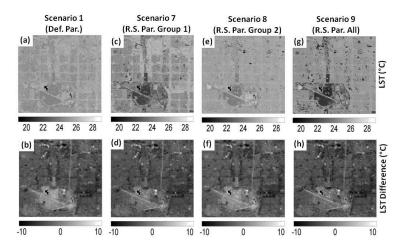


Figure 6. Noah/UCM simulated LST maps using different urban surface parameterizations: scenarios 1, 7, 8, and 9 from Table 1 (top row) as well as differences between simulated and observed land surface temperature at 1100 LST on 14 April 2011 (bottom row).

# The following figure is **ADDED** to the manuscript:

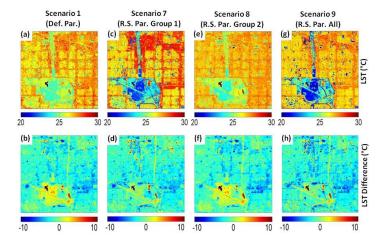


Figure 6. Noah/UCM simulated LST maps using different urban surface parameterizations: scenarios 1, 7, 8, and 9 from Table 1 (top row) as well as differences between simulated and observed land surface temperature at 1100 LST on 14 April 2011 (bottom row).

101	References:
102 103	Li, D., E. Bou-Zeid, 2014: Quality and Sensitivity of High-Resolution Numerical Simulation of Urban Heat Islands. Environmental Research Letters, 9, 055001 doi:10.1088/1748-9326/9/5/055001
104 105 106 107 108 109 110	When computing albedo or emissivity from satellite data, I presume the result is some sort of an effective/average albedo or emissivity over the whole pixel. But for urban grid cells or pixels in NOAH-UCM, these parameters are imposed separately for the vegetated fraction of the cell, the roof, the walls, and the impervious ground surfaces (almost like a tiling or MOSAIC approach). It is unclear how the authors imposed these values in NOAH-UCM. Did they impose the same values for all facets? Did they use these only for the vegetated fraction (which would be problematic), etc. The authors should clarify what parameters in NOAH-UCM they override over urban pixels.
111 112	In the current study the remotely sensed albedo and emissivity value for each pixel are assigned to both pervious and impervious surfaces for that pixel.
113 114	We agree with the reviewer's comment and <b>added</b> the following to the section 5.1 to clarify the approach taken to implement the remotely sensed parameters:
115 116	"However, remotely sensed albedo and emissivity values over each pixel are assigned to both pervious and impervious surfaces for that pixel."
117 118 119 120	The figures are extremely difficult to read. The different line types are very similar and I don't know why the authors do not use different colors since color figures are free in HESS anyway. If it is to allow B/W printing (which I think they should not worry about that much) then they should try to make the line types easier to distinguish.
121 122	We agree with the reviewer's comment. Most of the figures are reproduced in color in the revised submission.
123 124	Page 7477, lines 16-17. Are these values from Stenberg et al. (2004) derived for urban areas in that study? If not, do the authors expect different results over urban terrain?
125 126 127	No, the LAI values in the current study are independent from the study done in Stenberg et al. (2004). In the current study, LAI values are retrieved based on the LAI-RSR correlations utilizing table-based LAI estimates in pure pixels and remote sensing based RSR maps.
128 129 130	Yes, we believe the LAI patterns calculated over Los Angeles metropolitan area illustrate a unique behavior particularly at temporal scale. The LAI values do not show a strong seasonal variation, as it is common in other areas.
131 132 133	NOAH-UCM to the best of my knowledge requires atmospheric fields at some elevation above the buildings. On page 7481 the authors describe driving it with measurements at 2m. Is that accurate? Can it be run with inputs at that height or did they have to extrapolate to some higher elevation?

134 135 136 137	We thank the reviewer for this comment. We used the measurements at 2m to force the Noah-UCM to avoid additional uncertainties involved in converting 2m observations to top of the roof values. We expect the resulting error to be minimal as the average building height is close to 2m for our study domain which does not include the downtown LA area with tall buildings.
138 139 140	Page 7482, lines 5-8 are unclear. An equation might help. Are they referring to the point they describe before about increasing these values since the remote sensing data presumes they are spread over the whole pixel?
141 142	We agree with the reviewer's comment and <b>added</b> the following the manuscript to clarify the mentioned approach:
143 144 145 146	"It should be noted that the GVF and LAI measurements over mixed pixels (vegetated urban areas) are scaled up by multiplying the remotely sensed values by 1/(1-urban fraction) since in the Noah-UCM modeling framework these parameters characterize only the pervious portion (1 - urban fraction) of each pixel."
147 148 149 150	Have the authors tried to look at the impact of shorter time steps since most tests of NOAH-UCM are in online mode where the time steps are much shorter? I think a test showing insensitivity to the time step would be useful since 1 hour is not much shorter than other significant times scales related to the surface such as the conductive time scale in the surface.
151	We thank the reviewer for this comment.
152 153 154 155 156	No, we have not conducted simulations with shorter time steps for the current study. However, the authors have studied the insensitivity of Noah-UCM to the time scales shorter than one hour on separate projects but in the same area. The time step of 1 hr was chosen based on the temporal scale of observations used to force the model (1 hr), the degree to which we needed this temporal resolution to capture the diurnal variability in our domain, and the authors' prior experience in land surface modeling.
157 158 159	Page 7488: I am a bit surprised that "The changes in absolute surface albedos do not affect simulated latent heat fluxes (Fig. 3I)." Any explanation for that? The albedo alters available energy and should influence both H and LE.
160 161 162 163 164 165	We agree with the reviewer's comment about the impact of albedo changes on simulated turbulent fluxes. The lack of albedo induced changes on simulated latent heat flux may be due to the fact that the changes in the albedo values, after using remote sensing data, are minimal (see Fig. 3: note that the color bar scale is relatively small, ranging from 0 to 0.4) except for isolated buildings with bright roofs which are located in the industrial/commercial pixels with negligible pervious fraction and latent heat flux.
166	To address this comment we <b>added</b> the following to the manuscript (section 6.2):
167 168	"The sensible heat flux differences are only significant over industrial/commercial pixels which include buildings with bright roofs (up to $\sim$ 300 W m $^{-2}$ ; Fig. 3k). The changes in absolute surface albedos do not

170	with negligible pervious surfaces and simulated latent heat flux (Fig. 3I)."	
171	MINOR COMMENTS	
172	Page 7472 line 12: replace "Tahah" by "Taha".	
173	We appreciate the reviewer's comment. The change is made.	
174	Page 7473 line 3: replace "later" by "layer".	
175	We appreciate the reviewer's comment. The change is made.	
176	Page 7482, lines 8: replace "sending" by "sensing".	
177	We appreciate the reviewer's comment. The change is made.	
178	Page 7491, lines 21: replace "result" by ", resulting".	
179	We appreciate the reviewer's comment. The change is made.	
180 181 182	Results in figure 4: are these averaged over all individual CIMIS stations and corresponding pixels? Or did they use flux maps interpolated from CIMIS and then compare to the whole model domain? The averaging in the figure is unclear.	
183 184	We created the interpolated maps of ET using inverse-distance weighting ( $2^{nd}$ power) approach and then compared averaged values over fully vegetated pixel.	
185 186	To address the reviewer's comment the following (underlined) is <b>added</b> to the manuscript (section 5.2.: Model Evaluation Approach):	
187 188 189 190	"This coefficient and ET <sub>0</sub> estimations from ten CIMIS stations within close proximity of the study domain (Fig. 1a) are utilized to compute the urban landscape ET. <u>Inverse-distance weighting (2<sup>nd</sup> power) is employed to create spatial gridded ET maps over fully vegetated pixels in the study area</u> which is then used in validation processes of the Noah-UCM."	
191	The following (underlined) is <b>added</b> to the manuscript (section 7.1):	
192 193 194 195 196	"The temporal variations of ET, simulated by the Noah-UCM model <u>and averaged</u> over fully vegetated pixels, are evaluated against CIMIS-based ET measurements, spanning 2010 and 2011 (Fig. 4). <u>The presented observations are averages over fully vegetated pixels in the study domain, calculated using ET maps based on ET<sub>0</sub> measurements from ten CIMIS stations, landscape coefficients, and inverse-distance weighting (2nd power) (see section 5.2)."</u>	
197	The following (underlined) is <b>added</b> to the Fig. 4 caption:	
198 199	"Figure 4. Noah/UCM simulated cumulative monthly ET, <u>averaged</u> over fully vegetated pixels using different urban surface parameterizations"	

affect simulated latent heat fluxes as these reflective roofs are located in industrial/commercial areas

200	Caption of figure 2: mention that fully vegetated corresponds to row 2, etc.	
201	We appreciate the reviewer's comment. The change is made.	
202	The symbols in figure 7 are not defined anywhere.	
203 204	We agree with the reviewer's comment. The following is <b>added</b> to the Figure 7 caption to address this issue:	
205 206 207	"Energy budget terms include: shortwave radiation (SW), longwave radiation (LW), and sensible (SH), latent (LH), and ground (GH) heat fluxes. Water budget terms include: precipitation (PPT), irrigation water (IRR), evapotranspiration (ET), surface runoff (SFC R.O.), and sub-surface runoff (S.SFC R.O.)."	
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225	High Resolution Land Surface Modeling Utilizing Remote Sensing Parameters and the Noah-	
226	UCM: A Case Study in the Los Angeles Basin	
227		
228	P. Vahmani <sup>1</sup> and T.S. Hogue <sup>2,1</sup>	
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230	<sup>2</sup> Colorado School of Mines, Golden, CO	
231		
232	<u>Re-submission</u> to HESS	Deleted: Submitted
233	<u>October</u> 2014	Deleted: April
234		
235 236 237 238 239 240 241	Corresponding Author: Terri S. Hogue Civil and Environmental Engineering Colorado School of Mines 1500 Illinois Street Golden, CO 80401 thogue@mines.edu	

303-384-2588

#### ABSTRACT

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In the current work we investigate the utility of remote sensing based surface parameters in the Noah-UCM (urban canopy model) over a highly developed urban area. Landsat and fused Landsat-MODIS data are utilized to generate high resolution (30 m) monthly spatial maps of green vegetation fraction (GVF), impervious surface area (ISA), albedo, leaf area index (LAI), and emissivity in the Los Angeles metropolitan area. The gridded remotely sensed parameter datasets are directly substituted for the land-use/lookup-table-based values in the Noah-UCM modeling framework. Model performance in reproducing ET (evapotranspiration) and LST (land surface temperature) fields is evaluated utilizing Landsat-based LST and ET estimates from CIMIS (California Irrigation Management Information System) stations as well as in-situ measurements. Our assessment shows that the large deviations between the spatial distributions and seasonal fluctuations of the default and measured parameter sets lead to significant errors in the model predictions of monthly ET fields (RMSE= 22.06 mm/month). Results indicate that implemented satellite derived parameter maps, particularly GVF, enhance the Noah-UCM capability to reproduce observed ET patterns over vegetated areas in the urban domains (RMSE= 11.77 mm/month). GVF plays the most significant role in reproducing the observed ET fields, likely due to the interaction with other parameters in the model. Our analysis also shows that remotely sensed GVF and ISA improve the model capability to predict the LST differences between fully vegetated pixels and highly developed areas.

Key words: Noah LSM, UCM, remote sensing, urban hydrology, evapotranspiration, Los Angeles

**Deleted:** However, the model still underestimates remotely sensed LST values over highly developed areas. We hypothesize that the LST underestimation is due to structural formulation in the UCM and cannot be immediately solved with available parameter choices.

### 1. Introduction

Urbanization introduces significant changes to land surface characteristics that ultimately perturb land-atmosphere fluxes of sensible heat, latent heat, and momentum which, in turn, alter atmospheric properties as well as local weather and climate [Landsberg, 1981; Kalnay and Cai, 2003; Miao et al., 2009; Ridder et al., 2012]. Urban surfaces are covered with variety of materials with distinct thermal, radiative, and moisture properties influencing surface energy and water budgets [Arnfield, 2003]. Moreover, contrasting aerodynamic properties of buildings significantly change surface roughness [Cotton & Pielke, 1995]. The effects associated with modified urban landscapes extend to air quality [Taha et al., 1997], local temperatures [Bornstein, 1987; Van Wevenberg et al., 2008], local and regional atmospheric circulation [Pielke et al., 2002; Marshall et al., 2004; Niyogi et al., 2006], and regional precipitation patterns [Changnon and Huff, 1986; Changnon, 1992; Lowry, 1998].

Mesoscale meteorological models have been increasingly applied over urban areas to examine the urban-atmosphere exchange of heat, moisture, momentum or pollutants. Recently updated parameterization in the community Weather Research and Forecasting (WRF) model includes coupling between the Noah LSM (Land Surface Model) and a single layer urban canopy model (UCM) [Kusaka et al. 2001; Kusaka and Kimura, 2004] which has substantially advanced the understanding and modeling of the mesoscale impact of cities. The coupled WRF-Noah-UCM has been applied to major metropolitan regions around the world (e.g. Houston, Beijing, Guangzhou/Hong Kong, , Salt Lake City, and Athens) to better understand the contribution of urbanization to changes in urban heat island, surface ozone, horizontal convective rolls, boundary layer structure, contaminant transport and dispersion, and heat wave events [Chen et al., 2004; Jiang et al., 2008; Miao and Chen, 2008; Miao et al., 2009; Wang et al., 2009; Tewari et al., 2010; Wei-guang et al., 2011; Giannaros et al., 2013]. A common concern with the use of these complex mesoscale models, however, is the high level of uncertainty in the specification of surface cover and geometric parameters [Loridan et al., 2010; Chen et al., 2011]. Although realistic

representation of surface properties is critical for accurate simulation of the physical processes occurring in urban regions, the majority of previous modeling studies rely on traditional land-use data and lookup tables to define surface parameters.

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Remote sensed observations provide important spatial information on urban-induced physical modifications to the Earth's surface [Jin and Shepherd, 2005]. Airborne LIDAR (Light Detection and Ranging) systems and photogrammetric techniques have been utilized to produce morphological parameters over urban areas [Burian et al., 2004, 2006, 2007; Taha, 2008; Ching et al., 2009]. Burian et al. [2004] used airborne LIDAR data, at 1 m resolution, to generate datasets of 20 urban canopy parameters (e.g., building height, height-to-width ratio, and roughness length) for an air quality modeling study over Houston, Texas. Taha [2008] introduced an alternative and low-cost approach for generating urban canopy parameters input for the uMM5 over Sacramento region, California. The study relied on commercially available Google Earth PRO imagery to generate urban geometry parameters (e.g., pavement land-cover fraction, roof cover fraction, and mean building height). Using LIDAR-based three-dimensional data sets of buildings and vegetation, Ching et al. [2009] presented a high-resolution database of the geometry, density, material, and roughness properties of the morphological features for applications in WRF and other models over Houston, Texas. While promising, the availability of such datasets is currently limited to a few geographical locations and the reproduction of such datasets is extremely challenging due to high collection costs and data management difficulties associated with the extremely large size of LIDAR datasets [Burian et al., 2006; Ching et al., 2009].

Observations from satellites, on the other hand, have been utilized in model validation processes over urban areas [Miao et al., 2009; Giannaros et al, 2013]. In addition to in situ observations, Giannaros et al. [2013] included MODIS (Moderate Resolution Imaging Spectroradiometer) based Land Surface Temperature (LST) products in their modeling study of the urban heat island (UHI) over Athens, Greece. Similarly, Miao et al. [2009] utilized 1-km-resolution MODIS data to verify the WRF-Noah-UCM

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urban land use maps in atmospheric models with new remote sensing products [Cheng and Byun, 2008; Cheng et al., 2013]. Focusing on boundary Javer mixing conditions and local wind patterns in the Houston Ship channel, Cheng and Byun [2008] reported that the Noah LSM and planetary boundary layer (PBL) scheme performances in the MM5 were improved when land-use type distributions were correctly represented in the model using high resolution Landsat-based land use data. Cheng et al. [2013] compared WRF simulations in the Taiwan area using U.S. Geological Survey (USGS), MODIS, and SPOT (Système Pour l'Observation de la Terre) based land use data. Using the new high resolution land use types obtained from SPOT satellite imagery, the WRF predictions of daytime temperatures and onshore sea breezes had the best agreement with observed data. Furthermore, more accurate surface wind speeds were simulated when MODIS and SPOT data replaced conventional USGS land use maps in the WRF runs due to the more realistic representation of roughness length in the remotely sensed databases. Although these and other previous studies [e.g., Jin and Shepherd, 2005] have recognized the usefulness of satellite imagery (e.g., NASA's Terra, Aqua, and Landsat data) in specifying surface physical characteristics in urban environments, very few have directly incorporated high resolution gridded

simulated LST distribution in Beijing. Other studies have employed satellite data to replace outdated

In the current work we investigate the utility of remote sensing based surface parameters in the Noah-UCM modeling framework over a highly developed urban area. Among parameters that can be related to a measurable physical quantity, we evaluate those routinely and freely obtained from satellite-based platforms. The derived parameter sets are implemented in the Noah-UCM with a focus on simulated surface energy and water cycles that are essential feedback to the widely used WRF model. Landsat and fused Landsat-MODIS data are utilized to generate high resolution (30 m) monthly spatial maps of green vegetation fraction (GVF), impervious surface area (ISA), albedo, leaf area index

satellite-based parameters (e.g., impervious surface area, albedo, and emissivity) into parameter

estimation within land surface/atmospheric modeling systems.

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(LAI), and emissivity in the Los Angeles metropolitan area. The temporal and spatial distributions of newly assigned parameters are compared with those based on the model lookup tables. Next, gridded remotely sensed parameter datasets are directly incorporated into the Noah-UCM modeling framework replacing the land-use/lookup-table-based values. The sensitivity of the simulated energy and water fluxes to the newly developed spatial metrics of parameters is presented. The model's performance in reproducing evapotranspiration (ET) and LST fields is evaluated utilizing Landsat-based land surface temperature and ET estimates from CIMIS (California Irrigation Management Information System) stations as well as in-situ measurements. Finally, the influence of each parameter set on the urban energy and water budgets is investigated.

#### 2. Study Area

The study domain is a 49 km² highly developed neighborhood in the City of Los Angeles (Fig. 1). Los Angeles is the second most populous city in the United States with a population of 3.8 million [U.S. Census, 2011], covering an area of 1,215 km² in Southern California. The City has a Mediterranean climate and receives 381 mm of annual precipitation, mostly over the winter months [NOAA-CSC, 2003; SCDWR, 2009]. Due to the semi-arid nature of the region, the City's water supply is heavily dependent on imported water (52% from the Colorado River and 36% from the Los Angeles Aqueduct) [LADWP, 2010]. Regional water demands and the extensive dependence on external sources make accurate spatial representation of the metropolitan area in regional land surface/atmospheric models imperative for predicting current and future water budgets. The study domain includes commercial/industrial as well as low and high intensity residential land cover types and a large park with both irrigated and non-irrigated landscapes (Fig. 1b and 1c).

#### 3. Remotely Sensed Parameters

Remote sensing data are retrieved from Landsat ETM+ images with a nominal pixel resolution of 30 m in the short wave bands and 60 m in the thermal band. The level 1Gt ETM+ imagery from USGS EROS, spanning years 2010-2011, are calibrated and atmospherically corrected through the Landsat Ecosystem Disturbance Adaptive Processing System (LEDAPS). Study domain data are not affected by the failure of the Landsat-7 ETM+ Scan Line Corrector in 2003 (SLC-off). Employing a knowledge-based approach, similar to the one introduced by Song and Civco [2002], several binary masks are applied to the images to detect contaminated areas (cloud and shadow). Images with cloud and/or shadow are distinguished and omitted in the following parameter retrievals. A total of 24 pure images, acquired over two years, are utilized in the parameter estimation processes.

In addition to Landsat observations, MODIS products from Terra and Aqua satellite platforms are also utilized. The MODIS MCD43A BRDF (Bidirectional Reflectance Distribution Function) products, concurrent with pure Landsat images, are collected for use in the parameter calculations. The 500-m BRDF products are generated by the MODIS Adaptive Processing System (MODAPS) at the Goddard Space Flight Center (GSFC), using a kernel-driven linear model, and distributed through the Land Processes DAAC (Distributed Active Archive Center) [Justice et al., 2002; Schaaf et al., 2002; Shuai et al., 2008]. The described Landsat and MODIS-based data are used to produce a group of six remotely sensed derivatives:

• Green Vegetation Fraction (GVF): GVF spatial maps are derived according to Gutman and Ignatov [1998] utilizing NDVI (Normalized Difference Vegetation Index) measurements. First, atmospheric corrected reflectance values from the red (ρ<sub>ΕΤΜ3</sub>) and near-infrared (ρ<sub>ΕΤΜ4</sub>) bands of Landsat ETM+ are used to derive NDVI maps for each date of imagery based on Eq. 1. Next, assuming the vegetated part of a pixel is covered by dense vegetations (i.e., it has a high LAI), GVF is calculated using Eq. 2.

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$$NDVI = \frac{\rho_{ETM4} - \rho_{ETM3}}{\rho_{ETM4} + \rho_{ETM3}}$$
 Eq. (1)

$$GVF = \frac{NDVI - NDVI_o}{NDVI_{\infty} - NDVI_o}$$
 Eq. (2)

Where NDVI₀ and NDVI∞ are constant values computed using signals from bare soil and densely vegetated pixels in the study domain, respectively.

• Impervious Surface Area (ISA): ISA is shown to be inversely proportional to vegetation fraction where non-vegetated pervious surfaces are rare [Bauer et al., 2007]. Since the majority of pervious surfaces in the studied domain are vegetated and heavily irrigated throughout the year, ISA is assumed to be the complement of the vegetation fraction:

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$$ISA = (1 - GVF_{max}).100$$
 Eq. (3)

Where GVF<sub>max</sub> is the maximum GVF detected over the two year study period. The produced ISA map shows high accuracy (>95%) when compared to a previously developed high resolution land cover map, based on QuickBird remote sensing data, aerial photographs, and geographic information systems over the city of Los Angeles [McPherson et al., 2008]. We speculate that one cause contributing to the high accuracy of this assumption is that ISA overestimation, induced by non-vegetated pervious surfaces, is offset by tree canopies that cover areas larger than underlying pervious surfaces.

Albedo: Employing a recent methodology by Shuai et al. [2011], 30 m land surface albedo maps
is generated utilizing Landsat surface reflectance and anisotropy information from concurrent 500 m
MODIS BRDF products. Landsat data are reprojected from UTM to MODIS sinusoidal projection and
aggregated from 30 m to 500 m. Using USGS-based land cover types, the percentage of each land cover
class within each MODIS pixel is computed, then relatively pure pixels (>85% purity) are selected for
each class. MCD43A2 quality assessment product is used to choose highest quality MODIS MCD43A1

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BRDF parameters for the pure pixels. The concurrent parameters are used to calculate nadir reflectance, white sky albedo, and black sky albedo under the solar geometry at Landsat overpass time and MODIS scale. Next, the spectral albedo-to-nadir reflectance ratios, for white sky and black sky albedos, are calculated over the pure pixels. The resultant ratios, specific to each land cover class, are applied to Landsat surface reflectance to generate the spectral white sky and black sky albedos for each Landsat pixel. A further narrowband-to-broadband conversion based on extensive radiative transfer simulations by Liang [2000] is applied to generate the broadband albedos at shortwave regime. Finally, albedo (blue sky) is modeled as an interpolation between the black sky ( $\alpha_{bs}$ ) and white sky ( $\alpha_{ws}$ ) albedos as a function of the fraction of diffuse skylight ( $S(\theta, \tau(\lambda))$ ) which is estimated by the 6S (Second Simulation of the Satellite Signal in the Solar Spectrum) codebase (Eq. 4) [Schaaf et al., 2002].

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$$\propto (\theta, \lambda) = \{1 - S(\theta, \tau(\lambda))\} \alpha_{bs}(\theta, \lambda) + S(\theta, \tau(\lambda)) \alpha_{ws}(\theta, \lambda)$$
 Eq. (4

where  $\tau$ ,  $\theta$ , and  $\lambda$  are optical depth, solar zenith, and wavelength, respectively.

• Leaf Area Index (LAI): Stenberg et al. [2004] showed that a reduced simple ratio (RSR) explains 63%-75% of the variations in LAI and that maps of projected LAI, based on RSR, have good agreement with observations. In the current study, LAI values are retrieved based on the LAI-RSR correlations which are specified utilizing table-based LAI estimates in pure (fully vegetated) pixels and remotely sensed RSR maps. The atmospheric corrected reflectance values of Landsat ETM spectral channels red ( $\rho_{\text{ETM3}}$ ), near infrared ( $\rho_{\text{ETM4}}$ ), and mid infrared ( $\rho_{\text{ETM5}}$ ), implemented in the following equation (Eq. 5), define RSR:

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$$RSR = \frac{\rho_{ETM4}}{\rho_{ETM3}} \cdot \frac{\rho_{s_{max}} - \rho_{ETM5}}{\rho_{s_{max}} + \rho_{s_{min}}}$$
 Eq. (5)

where ρ<sub>ETMSmin</sub> and ρ<sub>ETMSmax</sub> are the smallest and largest mid infrared reflectance detected in the Landsat
 ETM images over the study domain, excluding open water pixels.

• Emissivity: Among various methods developed to define land surface emissivity, the NDVI

Thresholds Method (NDVI<sup>THM</sup>) has been widely applied to urban areas [Stathopoulou and Cartalis, 2007;

Stathopoulou et al., 2007; Tan and Li, 2013]. NDVI<sup>THM</sup> is superior to other methods since the

consideration of the internal reflections (cavity effects), caused by heterogeneous surfaces minimizes

the overall error in this approach [Sobrino et al., 2001]. This methodology, originally introduced by

Sobrino and Raissouni [2000] and modified later by Stathopoulou et al. [2007] for urban areas, is

selected for land surface emissivity estimation in the current work. Using the Landsat-based NDVI

thresholds, the study area is divided into four classes: (1) fully vegetated (NDVI>0.5), (2) built-up areas

with sparse vegetation (NDVI≤0.2), (3) mixture of man-made material and vegetation (NDVI>0.2 and

≤0.5), and (4) water bodies (NDVI<0). Mean emissivity values of 0.980, 0.920, and 0.995 are then used

for fully vegetated, built-up and water pixels [Similar to Tan and Li, 2013]. Emissivity values (ε) for mixed

pixels (class 3) are estimated using the following equations [for details see Stathopoulou et al., 2007]:

448 
$$\varepsilon = 0.017 P_V + 0.963 \qquad \qquad \text{Eq. (6)}$$

449 
$$P_V = \frac{(NDVI - 0.2)^2}{(0.5 - 0.2)^2}$$
 Eq. (7)

• Land Surface Temperature (LST): The emissivity corrected land surface temperature (LST) is calculated as follows [Artis & Carnahan, 1982]:

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$$LST = \frac{BT}{\left\{1 + \left[\frac{\lambda BT}{\rho} \ln \varepsilon\right]\right\}}$$
 Eq. (8)

where BT is Landsat at sensor brightness temperature (K);  $\lambda$  and  $\epsilon$  are the wavelength of emitted radiance (11.5  $\mu$ m) and surface emissivity;  $\rho = hc/\sigma$  (1.438  $\times$  10<sup>-2</sup>m K);  $\sigma$ , h, and c are Boltzmann constant, Planck's constant, and the velocity of light, respectively.

## 4. Numerical Modeling System

## 4.1. Noah LSM-UCM Model

Land surface processes are parameterized using the offline Noah LSM [Chen and Dudhia, 2001] coupled with the single layer UCM [Kusaka et al. 2001; Kusaka and Kimura, 2004]. The Noah LSM is based on a diurnally dependant Penman potential evaporation approach, a multi-layer soil parameterization, a canopy resistance model, surface hydrology, and frozen ground physics [Chen et al., 1996, 1997; Chen and Dudhia, 2001; Ek et al., 2003]. The UCM parameterization includes urban building geometry, shadowing from buildings, reflections and trapping of radiation in a street canyon, and an exponential wind profile. The Noah LSM provides surface sensible and latent heat fluxes and surface skin temperature for vegetated areas (e.g., parks and trees) and the UCM calculates the fluxes for impervious surfaces. The outputs from the Noah LSM and UCM are coupled through the urban surface fractions.

## 4.2. Irrigation Module

Irrigation is accounted for, in the Noah-UCM modeling framework, by incorporating an urban irrigation module developed in our previous work [Vahmani and Hogue, 2013; 2014]. The developed irrigation scheme mimics the effects of urban irrigation by increasing soil moisture content in vegetated portion of grid pixels at a selected interval. Added anthropogenic soil moisture contribution is a function of the soil moisture deficit, which is the difference between irrigated soil moisture content and actual soil moisture content in the top soil layer. The irrigation module calculates irrigated soil moisture content (SMC<sub>IRR</sub>; m<sup>3</sup> m<sup>-3</sup>), soil moisture deficit (DEF; m<sup>3</sup> m<sup>-3</sup>), and irrigation water (IRR; kg m<sup>-2</sup> s<sup>-1</sup>) as:

$$SMC_{IRR} = \alpha. SMC_{max}$$
 Eq. (9)

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$$DEF = \max\{[SMC_{IRR} - SMC_1], 0\}$$
 Eq. (10)

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$$IRR = \frac{\rho_w}{\Delta t} DEF. D_1$$
 Eq. (11)

where saturation soil moisture content (SMC<sub>max</sub>;  $m^3$   $m^{-3}$ ) and irrigation demand factor ( $\alpha$ ; unit less) define irrigated soil moisture content (Eq. 9);  $D_1$  is top soil layer thickness (10 cm);  $\rho_w$  (kg  $m^{-3}$ ) and  $\Delta t$  stand for water density and Noah-UCM time step (3600 s), respectively. The parameter  $\alpha$ , ranging from zero to one, regulates the amount of irrigation water added to the soil each time the scheme increases the soil moisture, simulating an irrigation event. Similar to previous studies [Hanasaki et al. 2008a, 2008b; Pokhrel et al. 2012] an irrigation demand factor of 0.75 is utilized in the current work. The irrigation interval is set to three times per week according to the water restrictions implemented by Los Angeles Department of Water and Power (LADWP) in 2010 (LADWP, personal communication, 2013).

488 4.3. Improving the UCM-simulated LST

 The calculation of the impervious surface temperature in the UCM version used in this study has been shown to be inaccurate [Li and Bou-Zeid, 2014]. This is due to the fact that the turbulent transfer coefficient (Ch) for the whole pixel is calculated using only momentum and thermal roughness lengths of vegetated portion, ignoring the developed surface impact on Ch. Li and Bou-Zeid [2014] showed that this inconsistency could result in large biases in simulated LST values. In the current study, an alternative LST calculation, proposed by Li and Bou-Zeid [2014], is used as follows. First, a revised surface temperature of the impervious part of the pixel (Ts) is calculated based on canyon temperature (Tc) and roof surface temperature (Tr):

$$T_s = f_r \times T_r + (1 - f_r) \times T_c$$
 Eq. (12)

where  $f_r$  is the roof fraction of the impervious surface. Note that the  $T_c$  calculated by the UCM is an equivalent aerodynamic surface temperature aggregated for canyon surfaces, including walls and roads. Next, the LST for the whole grid cell is computed as a weighted average based on the  $T_s$  and surface temperature of pervious part  $(T_1)$ :

$$LST = f_{urb} \times T_s + (1 - f_{urb}) \times T_1$$
 Eq. (13)

where f<sub>urb</sub> is the urban fraction of the pixel.

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#### 4.4. Land Cover Data and Forcing Fields

The Noah-UCM modeling system requires static data to describe physical characteristics of the surface, including soil type, slope type, vegetation type, and urban type. A combination of the Soil Data Mart [http://soildatamart.nrcs.usda.gov] and the Los Angeles Department of Public Works (LADPW) databases are used to gather soil classification information. Land use and land cover are parameterized using the 30 m NOAA C-CAP-2006 land cover data which is transformed to urban and vegetation type spatial maps over the study domain. High, medium, and low intensity developed land cover types, recognized by NOAA, are converted to UCM Industrial/Commercial, high and low intensity residential types, respectively. The developed open space along with natural land types are categorized as one of the 27 Noah LSM vegetation classes.

The offline Noah LSM-UCM is forced utilizing hourly ground-based observations from CIMIS and National Climatic Data Center (NCDC) stations for the period from 1 January 2010 to 31 December 2011. There are ten CIMIS and eight NCDC stations within close proximity of the study domain (Figure 1a). The NCDC stations, which use Automated Surface Observing Systems (ASOS), are located at smaller local airports (6 stations), one major airport (Los Angeles International Airport), and a university campus (University of Southern California; USC) within the Los Angeles metropolitan area. Reporting the meteorological conditions, the NCDC stations are used for wind speed, air temperature, relative humidity, air pressure, and incoming long wave radiation. All NCDC data are gathered at a standard reference height of 2m. The regional CIMIS stations are utilized for solar radiation (using LI200S pyranometer) and tipping bucket rain gauges in 18 stations (NCDC and CIMIS) are included in collection of precipitation data. Inverse-distance weighting (2nd power) is employed to create the spatial gridded forcing fields. Linear interpolation and data from the nearest gage are utilized to replace missing data.

5. Numerical Experiments and Evaluation Methods

#### 5.1. Remote Sensing Based Parameterization

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To investigate the sensitivity of the Noah-UCM model to integration of the developed remotely sensed parameters, nine simulation scenarios are designed (Table 1). A control experiment (Scenario 1) is conducted in which all default parameters are utilized in the Noah-UCM. Scenarios 2 to 6 explicitly assess each individual parameter effects on urban energy and water budgets using the newly incorporated remote sensing parameters. Scenario 7 analyzes the effects of employing both remotely sensed GVF and ISA while Scenario 8 assesses simultaneous integration of albedo, LAI, and emissivity. We are interested in the comparison of Scenarios 7 and 8 as the Noah-UCM parameterizations use GVF and ISA to select albedo, LAI, emissivity, and roughness length values from the predefined ranges in the parameter tables. It is worth mentioning that GVF alters the roughness length values over pervious or natural areas. However, roughness length and building height over the impervious surfaces are kept at the default values listed by Chen et al. [2011]. Scenarios 7 and 8 help quantify the contribution of each parameter group to the model's ability to reproduce the observed surface states and fluxes. Finally, the last experiment (Scenario 9) implements all five remotely sensed parameter sets in the simulations. It should be noted that the GVF and LAI measurements over mixed pixels (vegetated urban areas) are scaled up by multiplying the remotely sensed values by 1/(1-urban fraction) since in the Noah-UCM modeling framework these parameters characterize only the pervious portion (1 - urban fraction) of each pixel. However, remotely sensed albedo and emissivity values over each pixel are assigned to both pervious and impervious surfaces for that pixel. Other than the implemented remote sensing based parameters, the rest of the model parameters are kept at default values. All experiments incorporate the irrigation module and irrigation rates are kept constant in all scenarios. All scenarios are run at 30 m spatial and 1 hour temporal resolutions, spanning 2010 and 2011, with the first three months used as model initialization.

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## 5.2. Model Evaluation Approach

In order to evaluate the performance of the Noah-UCM modeling framework, simulated LSTs are compared with concurrent Landsat observations and simulated latent heat flux time series are assessed against CIMIS-based ET observations. The CIMIS network was established in 1982 by the CDWR (California Department of Water Resources) and the University of California at Davis in order to provide real-time weather conditions and irrigation water need estimates for California's agricultural community. The automated CIMIS stations measure hourly surface solar radiation, temperature, humidity, wind, precipitation, soil temperature, and surface pressure [http://www.cimis.water.ca.gov]. Employing observed meteorological fields over a well-watered soil, the reference ET (ET<sub>0</sub>) is calculated for each site. Utilizing a methodology introduced by CDWR [2000], actual urban landscape ET is estimated using ET<sub>0</sub> and a landscape coefficient, which is a function of species, density, and microclimate factors. Based on the authors' knowledge in the study landscape as well as a report by CDWR [2000], we assume "Moderate" (trees and shrubs) and "High" (turf grass) water needs. Following the CDWR [2002] instructions on irrigation zones with mixed water need categories (i.e., low, moderate, and high), a value from high category is selected (average species factor=0.80). Assuming the "average" category for vegetation density, a density factor of 1 is used. Furthermore, a "high" category of microclimate condition is used (microclimate factor=1.25) for the current highly developed study domain. This factor is utilized to take into account the contribution of the developed surfaces to the water loss from vegetated areas, through anthropogenic heating, reflected light, and high temperatures of surrounding heat-absorbing surfaces (e.g., paving and buildings). Using these factors, a landscape coefficient of 1 (landscape coefficient = species factor × density factor × microclimate factor) is prescribed. This coefficient and ET<sub>0</sub> estimations from ten CIMIS stations within close proximity of the study domain (Fig. 1a) are utilized to compute the urban landscape ET. Inverse-distance weighting (2nd power) is employed to create spatial gridded ET maps over fully vegetated pixels in the study area which is then used in validation processes of the Noah-UCM. ET output of the model is also evaluated against

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recent ET measurements in the greater Los Angeles area [Moering, 2011]. Moering [2011] employed a previously developed chamber approach to measure instantaneous ET in an irrigated and a non-irrigated park in the Los Angeles metropolitan area during WY (Water Year) 2011 (WY is defined as Oct. 1st of the previous year to Sep. 30th of the designated year). They reported an annual ET of about 1224 mm over the observed irrigated park, which is located within our study domain.

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#### 6. Sensitivity Study of Surface Parameters

## 6.1. Temporal Evaluation

The monthly time series of the default Noah-UCM and remote sensing based GVF, ISA, albedo, and LAI are compared and modeled cumulative monthly sensible and latent heat fluxes, using default and newly estimated parameters, are presented over fully vegetated, low intensity residential, and industrial/commercial areas (Fig. 2). Fluxes from high intensity residential areas are not presented as they behave similarly to those from the industrial/commercial areas. Except for the summer months, GVF values are significantly increased throughout the year when remote sensing products are utilized (Fig. 2a). Moreover, the default seasonal variations of GVF values, assumed over all the land cover types, are not detected in Landsat imagery (Fig. 2a). The reason for this is the significant and year round irrigation in the Los Angeles area, which is not accounted for in the default parameter tables. This is confirmed by previous studies [Johnson and Belitz, 2012] that reported urban vegetation supported by water delivery, in contrast to common seasonal behavior of greening in the winter/spring and browning in the summer, maintains constant greenness which is reflected in NDVI and GVF estimates. GVF plays a dominant role in the Noah-UCM simulations as it defines the vegetated fraction of the natural areas, and specifies albedo, LAI, emissivity, and roughness length values from the predefined ranges in the model lookup tables. Furthermore, GVF partitions the total ET between soil direct and canopy ET. The simulated latent heat flux is considerably decreased (up to 139 MJ m<sup>-2</sup> per month) in the summer time

and increased over the remaining months, when remotely sensed GVF is incorporated in the fully vegetated areas (Fig. 2b). Since any increase of latent heat flux that does not alter the radiative balance leads to a reduction in sensible flux, the newly developed GVF values, in turn, cause enhancements (up to 103 MJ m<sup>-2</sup> per month) in the simulated summer sensible heat fluxes and a reduction in the sensible heat fluxes during the remaining months (Fig. 2b). Latent and sensible heat fluxes from the low intensity residential pixels show similar but less significant changes (up to 66.1 and 31.0 MJ m<sup>-2</sup> per month, respectively), when the new parameter sets are implemented. Adding remotely sensed GVF causes insignificant changes in the industrial/commercial area fluxes due to the small percentage of vegetated land cover in such areas (Fig. 2d).

There are also large deviations between the look-up-table-based ISAs and the remotely sensed values. Averaged ISA is decreased (10%) over industrial and commercial pixels and increased (49%) over low intensity residential areas, when remote sensing products are utilized in the parameter estimation process (Fig. 2.e). These changes in the impervious surface area, or urban fraction values, have significant effects on monthly latent and sensible heat fluxes over the developed pixels (Fig. 2g and 2h), due to the critical role of urban fraction in partitioning of the energy fluxes. Over the low intensity residential areas, higher ISA values minimize the effects of urban vegetation which leads to latent heat fluxes decreases (up to 62.6 MJ m<sup>-2</sup> per month) and sensible heat fluxes increases (up to 52.4 MJ m<sup>-2</sup> per month), throughout the year, when remotely sensed data replace default urban fractions (Fig. 2g). These changes are reversed and less significant over the industrial and commercial pixels (maximum latent and sensible heat flux changes of 30.0 and 26.5 MJ m<sup>-2</sup> per month, respectively; Fig. 2h). ISA has no influence on the fluxes from fully vegetated pixels which do not include impervious areas (Fig. 2.f).

Considerable changes in the monthly albedo averages are detected when incorporating remote sensing data in the parameterization process (Fig. 2i). Using fused Landsat and MODIS products, a reduction of averaged albedo values is observed over the fully vegetated and residential areas (up to

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48% and 39%, respectively; Fig. 2i). Moreover, the default seasonal variations are hardly noticeable in the remote sensing based albedo values, which is due to the consistent greenness in the study area from irrigation throughout the year. On the other hand, considerable albedo increases (up to 39%) are detectable over the industrial/commercial pixels (Fig. 2i), which are caused by bright and highly reflective materials seen mainly over the rooftops of industrial/commercial buildings. Albedo affects the radiative energy budget and consequently available energy for the turbulent fluxes. In the current study, decreased albedo values over the fully vegetated and low intensity residential areas result in reduced loss of solar and long wave radiation respectively and, in turn, increases the sensible heat flux (up to 33.8 and 21.5 MJ m<sup>-2</sup> per month; Fig. 2j and 2k). Albedo induced sensible heat deceases over industrial/commercial pixels are also noticeable (up to 33.9 MJ m<sup>-2</sup> per month; Fig. 2I). Distinct seasonal fluctuations of LAI are observed in the remotely sensed data and the default parameter tables (Fig. 2m). This reflects the fact that landscape plantings are quite different from agricultural crops due to their being composed of collections of vegetation species and affected by complex irrigation patterns which are not taken into account in the vegetation parameter tables in the Noah LSM [CDWR, 2000; Vahmani and Hogue, 2013; 2014]. Over the heavily vegetated pixels, the default pattern is reversed in the measured parameter sets with less seasonal variations and peaks in the winter time, due to the fact that most of the precipitation occurs in the winter months, over the current study domain (Fig. 2m). The industrial and commercial pixels illustrate higher LAI values in the remotely sensed parameter maps, year round, when compared to the default values (Fig. 2m). LAI is a critical parameter in the Noah LSM, which is involved in the parameterization of the canopy resistance, controlling canopy ET rates. In the presented results (Figs. 2n and 2o), LAI induced changes in the simulated turbulent fluxes are more apparent in the summer months and over fully vegetated and residential pixels, where sensible heat flux is significantly increased (up to 57.2 and 86.5 MJ m<sup>-2</sup> per month, respectively) and latent heat flux is significantly decreased (up to 65.5 and 97.9 MJ m<sup>-2</sup> per month, respectively). This is

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due to the considerable deceases in the LAI values in summer time which lead to elevations of the canopy resistance and therefore reductions of the transpiration from the vegetation, causing decreases in latent heat fluxes. This in turn partitions the net radiation more into sensible heat fluxes. LAI does not affect fluxes from industrial/commercial pixels with small pervious fractions (Fig. 2p). It is worth mentioning that changes in the turbulent fluxes time series, in particular the latent heat flux decreases in the summer months induced by implementation of satellite-based LAI, are to some extent captured in the simulations with the remote sensing based GVF (compare Fig. 2b with 2n and 2c with 2o). This reflects our previous point that GVF controls assigned LAI values to vegetated pixels in the Noah LSM and that realistic presentation of GVF in the modeling framework can enhance LAI inputs in the model when LAI measurements are not available.

Remotely sensed emissivity maps are also utilized to replace the default values in the Noah-UCM simulations, which results in changes in the emissivity values (up to 5.1%). However, the new surface parameterization leads to insignificant changes in turbulent fluxes (results now shown). The largest emissivity induced alterations in sensible heat fluxes are seen over industrial and commercial pixels (up to 31.2 MJ m<sup>-2</sup> per month). Latent heat fluxes are changed, the most significantly, over fully vegetated areas (up to 2.56 MJ m<sup>-2</sup> per month).

# 6.2. Spatial Evaluation

The spatial distributions of newly assigned GVF, ISA, albedo, and LAI are next compared with those based on the Noah-UCM lookup tables. Different urban surface parameterizations, along with their impacts on the simulated maps of turbulent sensible and latent heat fluxes, are presented (Fig. 3; Valid at 1100 LST on 14 April 2011). As expected, during the spring period (April), GVF values are significantly higher when remote sensing products are utilized, due to the irrigation effects which are ignored in the default parameters (Fig. 3a and 3b). Over fully vegetated and low intensity residential pixels, where a significant portion of the energy goes into evaporation and transpiration, latent heat flux increases

(about 300 and 230 W m<sup>-2</sup>, respectively) and sensible heat fluxes decreases (about 160 and 120 W m<sup>-2</sup>, respectively) are found (Fig. 3c and 3d) when utilizing the remote sensing GVF.

The spatial distributions of ISA, or urban fraction, between the remote sensing and default values show similar patterns (Fig. 3e and 3f). However, industrial/commercial and high intensity residential areas are assigned noticeably higher urban fraction values in the remote sensing based maps (compare Fig. 3e and 3f) which leads to lower latent heat fluxes (bias of up to about 130 W m<sup>-2</sup>) and higher sensible (bias of up to about 100 W m<sup>-2</sup>) in these pixels (Fig. 3g and 3h).

The Noah-UCM parameters, based on look-up tables, underestimate surface albedo values over highly urbanized pixels, when compared with remote sensing data (Fig. 3i and 3j). In particular, the industrial/commercial buildings with highly reflective rooftops are completely ignored. Over the highly vegetated areas, however, albedo values are slightly overestimated in look-up tables. Altering the energy budget, the newly developed albedo datasets lead to lower Noah-UCM-simulated sensible heat fluxes over intensely developed pixels. (Fig. 3k). The sensible heat flux differences are only significant over industrial/commercial pixels which include buildings with bright roofs (up to ~300 W m<sup>-2</sup>). The changes in absolute surface albedos do not affect simulated latent heat fluxes as these reflective roofs are located in industrial/commercial areas with negligible pervious surfaces and simulated latent heat flux (Fig. 3l).

The remote sensing data detect higher LAI values over all pixel types, particularly over fully vegetated areas where new LAI values are significantly higher (Fig. 3m and 3n). By influencing the canopy resistance, these changes redefine the spatial distribution of turbulent fluxes (Fig. 3o and 3p). Over the densely vegetated areas, increases in latent heat flux (up to 50 W m<sup>-2</sup>) and decreases in sensible heat flux (up to 35 W m<sup>-2</sup>) are found (Fig. 3o and 3p). It is noteworthy that, as illustrated before (Fig. 3n and 3o), the most significant influences of LAI alterations are detected in the summer months.

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Thus, it is not surprising that the turbulent fluxes do not show significant sensitivity to the LAI changes in April.

Remotely sensed emissivity maps, implemented in the Noah-UCM simulations, show minimal effect on the output turbulent fluxes maps (results not shown). Our results (Fig. 2 and 3) agree with previous sensitivity studies performed with the Noah-UCM which indicated high sensitivity of the model to GVF, ISA, albedo, and LAI, and less model sensitivity to emissivity [Loridan et al., 2010; Wang et al., 2011]. Loridan et al. [2010] highlighted the critical role of ISA and LAI in the simulations of latent heat flux and albedo role in the sensible heat flux simulations. Investigating the peaks of diurnal turbulent fluxes, Wang et al. [2011] reported that latent heat flux is the most sensitive to the GVF. They also found that emissivity has minimal effects on the model outputs.

## 7. Evaluation of Noah-UCM Performance

After initial sensitivity tests, the model performance in reproducing ET and LST fields is evaluated using remotely sensed (independent from derived parameters) and in situ measurements. The comparisons of observed and simulated ET and LST, using different urban surface parameterizations (scenarios 1, 7, 8, and 9 in Table 1), are presented in figures 4, 5, and 6.

### 7.1. ET Simulations

The temporal variations of ET, simulated by the Noah-UCM model <u>and averaged</u> over fully vegetated pixels, are evaluated against CIMIS-based ET measurements, spanning 2010 and 2011 (Fig. <u>4</u>). The presented observations are averages over fully vegetated pixels in the study domain, calculated using ET maps based on ET<sub>0</sub> measurements from ten CIMIS stations, landscape coefficients, and inverse-distance weighting (2<sup>nd</sup> power) (see section 5.2). The model reproduces similar ET behaviors when the default parameters and the second group of remotely sensed parameters (albedo, LAI, and Emissivity) are implemented (Fig. 4a and 4c). The ET differences between observations and the default simulation are

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minimal in the winter and fall months, due to the limited energy available for ET in those months. Over the warmer months, the observed and modeled ETs show distinct behaviors. CIMIS stations report two peaks, one in the spring and one in the summer time. Simulated ETs, however, illustrate one peak in the July. The Noah-UCM, using these parameterizations, underestimates ET rates for the most of winter and spring months and overestimates them in the summer time (Fig. 4a and 4c). Including remotely sensed albedo, LAI, and emissivity does not change the general seasonal pattern deviations of ET (Fig. 4a and 4c), but it reduces the biases considerably (with R²=0.83 and RMSE=14.32 mm/month). We note that model improvement is mostly associated with inclusion of remotely-sensed LAI maps in the model since albedo and emissivity have minimal influence on latent heat fluxes from heavily vegetated pixels (see Fig. 2j).

The new GVF and ISA values alter ET seasonal fluctuations significantly in scenario 7 (Fig. 4b). In agreement with CIMIS observations, the model with inclusion of remotely sensed parameters results in significantly higher ET values in the warming months (Feb.-May) and lower ETs in the summer time. Noting that ISA has minimal effects over the fully vegetated pixels, one explanation for this pattern is that higher green vegetation fraction detected by Landsat in late winter and early spring, increases transpiration rates as soon as the required energy is available and lower measured GVFs in the summer time suppresses the transpiration rates, resulting in the lower ET values. These changes enhance the model performance significantly (with R<sup>2</sup>=0.92 and RMSE= 11.77 mm/month).

Including all the measured parameter sets (Fig. 4d), reduces the behavioral disagreements between observed and modeled monthly ET (R<sup>2</sup>=0.86). Large biases over the summer months are also reduced. However, ET values are overestimated over the rest of the year (RMSE=17.49 mm/month). Although each newly developed parameter group enhances the model performance in predicting ET, the advantages are countered when all of the parameters are implemented in the model. This is possibly due to the complex interactions between the parameters (e.g. GVF and LAI) in the model structure.

A notable pattern detected by CIMIS data is the drop in ET values over the month of June. The sudden decrease in ET corresponds to the June Gloom weather pattern in southern California, when onshore flows result in persistent overcast skies with cool temperatures, as well as fog and drizzle in late spring and early summer [NWS, 2011]. The June Gloom effects are captured in scenarios 7 and 9 (Fig. 4b and 4d) and not seen in scenarios 1 and 8 (Fig. 4a and 4c). Since ISA has minimal influence on ET from the fully vegetated pixels and the second group fails to simulate June Gloom influence, the improvements in scenarios 7 and 9, in capturing this phenomenon, are associated with a more accurate representation of GVF.

#### 7.2. LST Simulations

In order to further evaluate model performance and examine the impacts of different remote sensing based parameter sets, Landsat-based LST measurements are utilized (Fig. 5 and 6). Statistics (R² and RMSE) are also included to quantify the model performance using different urban surface parameterizations (Fig. 5). The observed LSTs, over fully vegetated pixels, are estimated with fair accuracy by the default model (R²=0.86 and RMSE=3.21 °C; Fig 5a). The model performance has almost the same level of accuracy over low intensity residential areas and is slightly worse (<1°C) over industrial/commercial pixels (Fig. 5e). Using remote sensing data over fully vegetated and low intensity residential pixels weakly improves the biases (with <1°C improvement; Fig. 5b-d and 5f-h). Over industrial/commercial areas, a systematic underestimation of the observed LST is identified (RMSE=3.96-4.59°C; Fig. 5i-l) which seems to be persistent after using different remotely sensed parameter sets. We speculate that this underestimation of LST over highly developed areas is due to lack of representation of anthropogenic heating in the current study.

A comparison of LST at 1100 LST on 14 April 2011 with four simulation cases is also presented

(Fig. 6). Alterations due to use of remote sensing products are more noticeable in this spatial

examination of the results. Using all the default parameters (scenario 1), observed LST is overestimated

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**Deleted:** groups (scenarios 7 and 8) significantly improve the correlations between the observed and simulated LSTs (RMSE of 0.76 and 0.70, respectively; Fig. 5j and 5k). When all the parameters are used (scenario 9), the RMSE is enhanced to 0.82. However, the cold biases are persistent in all simulations, more significantly over

**Deleted:** surfaces (Fig. 5e-I).

**Deleted:** Further analysis (not shown here) indicates that underestimation of LST values is due to a fundamental problem in the UCM and cannot be immediately solved with available parameter choices. This problem is discussed in a related study investigating different schemes for LST and conductive heat fluxes in the UCM [Wang et al. 2011b]. Their study shows that the current UCM formulation results in a phase lag and cold biases in simulated surface temperature when compared to observations. The discussed cold biased could potentially be resolved utilizing a spatially-analytical scheme introduced by Wang et al. [2011b].¶

over the heavily vegetated areas and underestimated over highly developed pixels (Fig. 6a and 6b). Remotely sensed GVF and ISA (in scenario 7) significantly decrease LSTs over fully vegetated and low intensity residential pixels and increase temperatures over industrial and commercial areas resulting in a better match with the observed LST map. The decreased simulated surface temperatures over heavily vegetated areas is due to higher GVF and consequently higher ET rates, which in turn lead to lower sensible heat flux and LSTs (see Fig. 3b). The increased LSTs over highly developed areas is likely due to lower GVF and higher ISA values detected in Landsat imagery, compared with the default values, which partition net radiation more into sensible heat flux (see Fig. 3b and 3f). The noticed changes in LST maps, using remotely senses albedo, LAI, and emissivity (scenario 8), are small (compare Fig. 6a and 6e). Although simulated LSTs over fully vegetated areas are decreased, the observed temperatures are still overestimated (Fig. 6f). The LST decreases in scenario 8 may be explained by evaporative cooling effect of the higher LAI values over heavily vegetated areas (see Fig. 3n). Similar to scenario 7, considerable GVF induced LST reductions, over fully vegetated areas, improve the observed LST estimations in scenario 9 (Fig. 6h). Our assessment indicates that implemented satellite derived parameter maps, particularly GVF and ISA used in scenarios 7 and 9, enhance the Noah-UCM capability to reproduce the LST differences between fully vegetated pixels and highly developed areas (simulated LST differences of 3.07, 6.78, 3.48, and 7.30 °C for scenarios 1, 7, 8, and 9 vs. observed LST difference of 11.25 °C).

### 7.3. Energy and Water Budget Evaluation

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Differences in the simulated energy and water budgets, with different surface parameterizations (scenarios 1, 7, 8, and 9 in the Table 1) are summarized for WY 2011 (Fig. 7). The emissivity induced changes to the energy and water budgets are insignificant and not included. The illustrated radiative and turbulent heat fluxes show that, unlike the longwave radiative fluxes, the simulated available solar radiations are altered considerably using different urban parameter sets (up to %6), particularly over fully vegetated (Fig. 7a) and industrial/commercial pixels (Fig. 7c). These changes are induced by new

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**Deleted:** However the model still underestimates the observed LSTs over the industrial and commercial pixels (Fig. 6b and 6e).

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**Deleted:** Nevertheless, the model still underestimates remotely sensed LST values, by about 9.91 °C for scenario 9, over the highly developed areas.

**Deleted:** Regardless of the parameterization processes, cold biases are persistent in all simulations, particularly over high intensity residential and industrial/commercial pixels (Fig. 6). As explained above, this underestimation of LST values is consistent with the literature and is reported to be due to a fundamental problem in the UCM which produces a phase lag and cold biases in simulated LST [Wang et al., 2011b]. ¶

surface albedo values utilized in scenarios 8 and 9. It is also observed that most of the incoming radiative energy is dissipated through latent heat fluxes, over heavily vegetated pixels (Fig. 7a and 7b), and sensible heat fluxes over industrial/commercial areas (Fig. 7c). These turbulent fluxes are also altered when different surface parameterizations are incorporated. Implementing all the remotely sensed parameters (scenario 9), the annual latent heat flux is increased (12%) over fully vegetated pixels (Fig. 7a), and the annual sensible heat flux is decreased (32%) over industrial/commercial pixels (Fig. 7c). Ground heat fluxes, however, are insignificant and unchanged.

Water budget terms also show variable behavior using different parameter sets over different land cover types (Fig. 7 d-f). Annual irrigation amounts exceed received precipitations over the pixels with significant vegetation fractions (Fig. 7d and 7e). This pattern is not rare in semi-arid regions [CDWR 1975, Mini et al., 2014]. In these areas, most of incoming water is lost through ET (Fig. 7d and 7e). Areas with high coverage of impervious surfaces, however, dissipate most of the incoming moisture through surface runoff (Fig. 7f). The alterations in the annual ET rates are, for the most part, due to the changes in the GVF parameterizations (scenarios 7 and 9; Fig. 7d-f). Sub-surface runoff annual rates, on the other hand, are altered using new ISA values (scenarios 7 and 9; Fig. 7e and 7f). Changes in the annual ET values are as large as 145, 156, and 79.4 mm over fully vegetated, low intensity residential and industrial/commercial pixels, respectively (Fig. 7d-f).

To further verify the capability of Noah-UCM to reproduce observed ET quantities, additional evaluation of the model is conducted utilizing ground-based chamber ET measurements in the greater Los Angeles area [Moering, 2011]. Instantaneous ET measurements, over an irrigated park in the study domain during WY 2011, are converted to daily and then annual ET estimates (1224 mm) and compared with the simulated ET values over the parks (Fig. 7d). As expected, the observed ET is best reproduced by scenario 7 (Bias of 1.47 mm) due to more accurate representation of GVF in the model. Scenarios 1 (with the default parameters) and 8 underestimate, with biases of 58.65 and 65.32 mm, respectively.

Scenario 9, with all the remotely sensed parameters, overestimates the measured ET (with bias of 86.24 mm). These shortcomings are likely due to: (1) a lack of accurate representation of GVF in the default parameter sets, used in scenarios 1 and 8, (2) the uncertainties associated with the estimated LAI values utilized in scenarios 8 and 9, and (3) complex interactions between GVF and LAI noted in scenario 9. The presented analysis of energy balance (Fig. 7) suggests that GVF, albedo and LAI play an important role in regulating simulated radiative energy budget and turbulent fluxes, mainly by affecting the available net radiation and transpiration quantities. GVF, ISA, and LAI also alter the study area transpiration and ET values, as well as surface runoff rates.

#### 8. Conclusions

In the current work we investigate the utility of a select set of remote sensing based surface parameters in the Noah-UCM modeling framework over a highly developed urban area. It was found that remote sensing data show significantly different magnitudes and seasonal patterns of GVF when compared with the default values. The reason for this mismatch is the significant and year round irrigation in the Los Angeles area which is not accounted for in the default parameter tables. Irrigated landscapes maintain constant greenness rather than a seasonal behavior of greening in the winter/spring and browning in the summer. The noticed differences between the monthly LAI values from default tables and remotely sensed data are also due to complex irrigation patterns. Another factor that contributes to this mismatch is the fact that landscape plantings are quite different from agricultural crops due to their being composed of collections of vegetation species which is not taken into account in the vegetation parameter tables in the Noah LSM [CDWR, 2000; Vahmani and Hogue, 2013; 2014]. There are also considerable deviations between the look-up-table-based ISA, albedo and emissivity maps and the remotely sensed values. The results of our analysis agree with previous studies which show high sensitivity of the Noah-UCM to GVF, ISA, albedo, and LAI, and minimal model sensitivity to emissivity

[Loridan et al., 2010; Wang et al., 2011]. Our results show that GVF, ISA and LAI are critical in the simulations of latent and sensible heat flux, and that albedo plays a key role in the sensible heat flux simulations.

Our assessment of the Noah-UCM ET estimation shows that using the default parameters leads to significant errors in the model predictions of monthly ET fields (RMSE= 22.06 mm/month) over the study domain in Los Angeles. Results show that accurate representation of GVF is critical to reproduce observed ET patterns over vegetated areas in the urban domains. LAI also plays an important role in ET simulations. However, simulations incorporating the remotely sensed GVF values outperform (RMSE= 11.77 mm/month) simulations with the new LAI estimates (RMSE=14.32 mm/month). This could be due to several reasons. First, there are uncertainties associated with the remote sensing based LAI retrieval, including non-linearity of LAI-vegetation index (RSR) relationships [Latifi and Galos, 2010], which do not apply to NDVI-based GVF. Second, more accurate representation of GVF values in the Noah-UCM not only improves the assigned LAI values to the vegetated pixels in the model but also enhances other parameters inputs as well (i.e. albedo, emissivity, and roughness length). Further analysis of the model performance indicates that implemented satellite derived parameter maps, particularly GVF and ISA, enhance the Noah-UCM capability to reproduce the LST differences between fully vegetated pixels and highly developed areas (simulated LST differences of 3.07 and 6.78 °C for scenarios with default and remotely sensed GVF and ISA vs. observed LST difference of 11.25 °C).

Our analysis of energy balance suggests that GVF, albedo and LAI play an important role in regulating simulated radiative energy budget and turbulent fluxes, mainly by affecting the available net radiation and ET quantities. With regard to urban water balance, GVF, ISA, and LAI play a key role in surface hydrologic fluxes, including ET and surface runoff. When compared with in-situ observations, Noah-UCM shows the capacity to reproduce ET fields with relatively high accuracy (Bias of 1.47 mm) when GVF maps are updated using remote sensing data.

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**Deleted:** Nevertheless, the model still underestimates remotely sensed LST values, over highly developed areas. We speculate that the underestimation of LST values, particularly over high intensity residential and industrial/commercial areas, is due to structural parameterization in the UCM and cannot be immediately solved with available parameter choices.

In summary, the current study highlights the significant deviations between the spatial distributions and seasonal fluctuations of the default and remotely sensed parameter sets in the Noah-UCM. We illustrate that replacing default parameters with the measured values reduces significant biases in model predictions of the surface fluxes within irrigated urban areas. This ultimately has key implications in feedback processes to the atmosphere when the Noah-UCM is coupled with the widely used WRF model, which has been increasingly applied over urban areas to examine the exchange of heat, moisture, momentum or pollutants. Semi-arid urban cities, in particular, are receiving much attention in the literature, given their accelerated growth and increasing dependence on external water sources. More accurate representation of both water and energy fluxes in commonly used modeling frameworks is critical for regional resource management as well as predictions of urban processes under future climate conditions. Although this study focuses on the widely used single layer UCM, we speculate that implementation of the more accurate remote sensing based parameters (particularly, GVF and ISA) may also enhance performance of the Noah-BEP [Martilli et al., 2002], which is currently the most sophisticated urban scheme in WRF. In this multi-layer UCM a similar approach to the single layer UCM is used based on an urban fraction (or ISA) parameter that couples the Noah outputs over pervious portion of pixels and UCM outputs over developed surfaces.

## Acknowledgements

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Funding for this research was supported by an NSF Hydrologic Sciences Program CAREER Grant (#EAR0846662), a 2012 NASA Earth and Space Science Fellowship (#NNX12AN63H), and an NSF Water Sustainability and Climate (WSC) grant (#EAR12040235).

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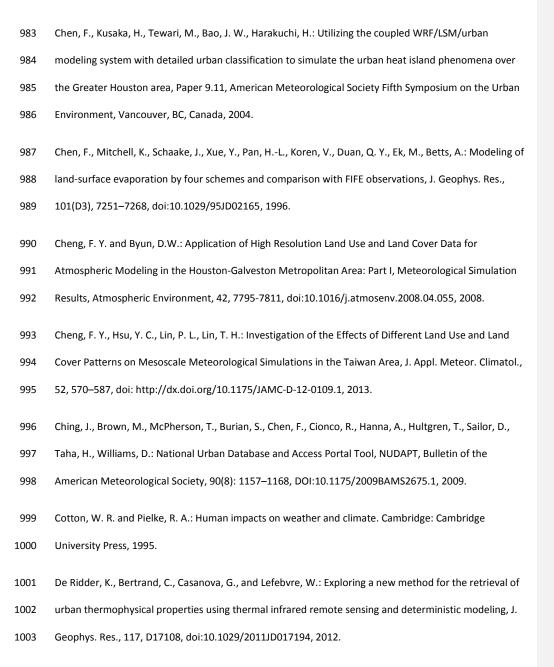
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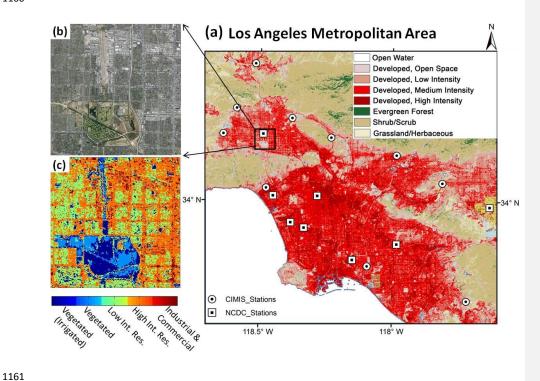


Figure 1. (a) NOAA C-CAP Land cover map of the Los Angeles metropolitan area including study domain, 10 CIMIS stations (white circles), and 8 NCDC stations (white squares), (b) Google image of the study domain, and (c) The Noah/UCM urban land cover classification of the study domain.

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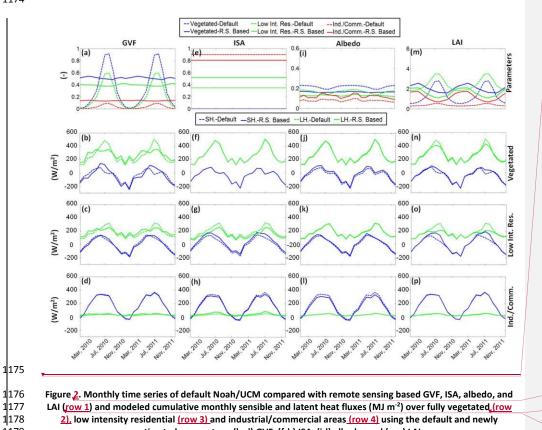
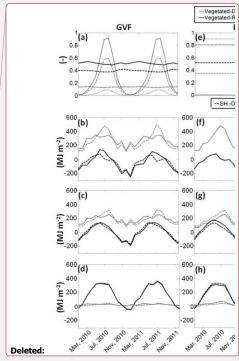


Figure 2. Monthly time series of default Noah/UCM compared with remote sensing based GVF, ISA, albedo, and LAI (row 1) and modeled cumulative monthly sensible and latent heat fluxes (MJ m<sup>-2</sup>) over fully vegetated\_(row 2), low intensity residential (row 3) and industrial/commercial areas (row 4) using the default and newly estimated parameters: (b-d) GVF, (f-h) ISA, (j-l) albedo, and (n-p) LAI.





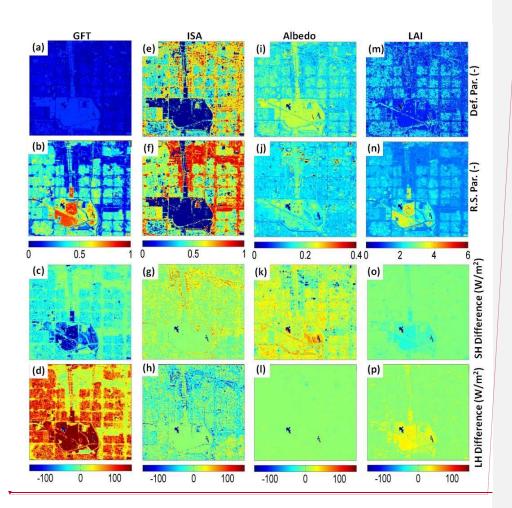
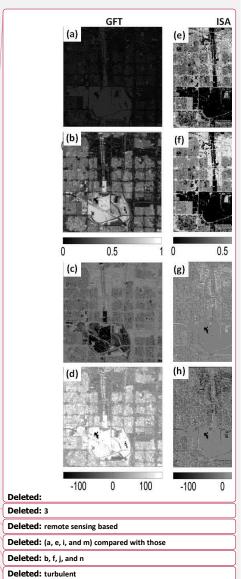


Figure 3. Spatial distributions of GVF, ISA, albedo, and LAI based on Noah/UCM lookup tables (row 1) compared with remotely sensed values (row 2) and simulated difference maps of sensible (row 3) and latent (row 4) heat fluxes using default and remotely sensed urban surface parameters: (c and d) GVF, (g and h) ISA, (k and I) albedo, and (o and p) LAI. Valid at 1100 LST on 14 April 2011.



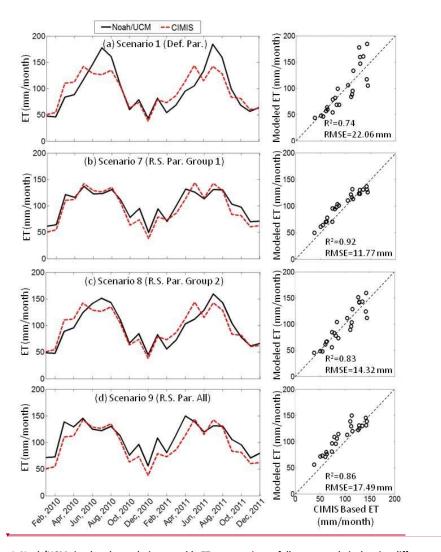
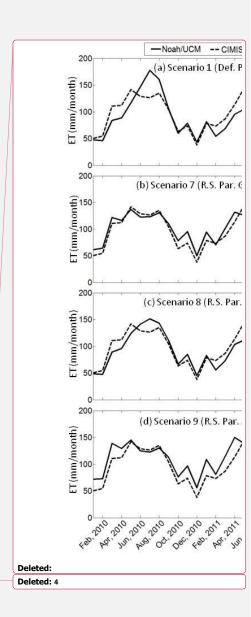


Figure 4. Noah/UCM simulated cumulative monthly ET, averaged over fully vegetated pixels using different urban surface parameterizations: scenarios (a) 1, (b) 7, (c) 8, and (d) 9 in the table 1 and their comparisons with CIMIS-based ET measurements spanning 2010 and 2011. Scatter plots of these comparisons are also included (right).



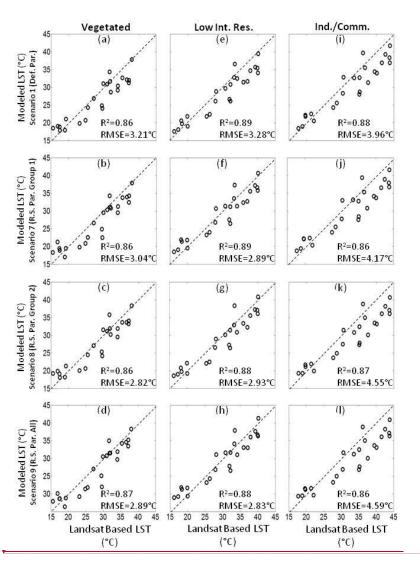
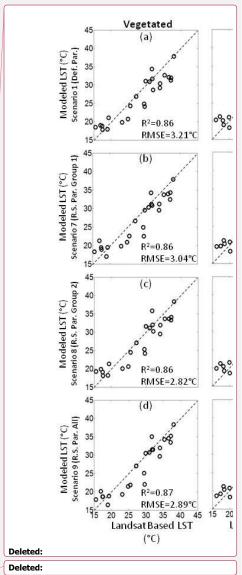


Figure 5, Scatter plots of observed (Landsat-based) versus simulated LSTs averaged over different land cover types using different urban surface parameterizations, including scenarios 1 (first row), 7 (second row), 8 (third row), and 9 (forth row) in Table 1.



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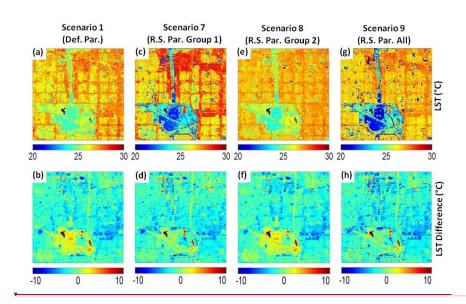
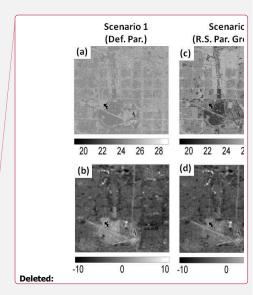


Figure 6, Noah/UCM simulated LST maps using different urban surface parameterizations: scenarios 1, 7, 8, and 9 from Table 1 (top row) as well as differences between simulated and observed land surface temperature at 1100 LST on 14 April 2011 (bottom row).



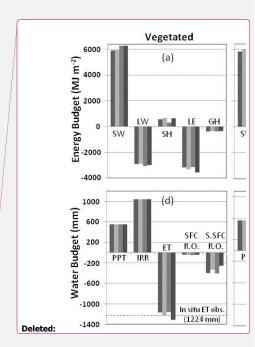
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Figure 7. Differences in simulated energy (top) and water (bottom) budgets for WY 2011, using different urban surface parameterization and averaged over different land cover types. Energy budget terms include: shortwave radiation (SW), longwave radiation (LW), and sensible (SH), latent (LH), and ground (GH) heat fluxes. Water budget terms include: precipitation (PPT), irrigation water (IRR), evapotranspiration (ET), surface runoff (SFC R.O.), and sub-surface runoff (S.SFC R.O.).



	GVF	ISA	Albedo	LAI	Emissivity
Scenario 1 (Def. Par.)	-	-	-	-	-
Scenario 2 (R.S. GVF)	Х	-	-	-	-
Scenario 3 (R.S. ISA)	-	Х	-	-	-
Scenario 4 (R.S. Albedo)	-	-	х	-	-
Scenario 5 (R.S. LAI)	-	-	-	Х	-
Scenario 6 (R.S. Emissivity)	-	-	-	-	Х
Scenario 7 (R.S. Par. Group 1)	Х	х	-	-	-
Scenario 8 (R.S. Par. Group 2)	-	-	х	Х	Х
Scenario 9 (R.S. Par. All)	Х	Х	Х	Х	Х

Formatted Table