

1 Characteristics and controls of variability in soil moisture and groundwater in a
2 headwater catchment

3

4 H. K. McMillan^{1*}, MS. Srinivasan¹

5 ¹ National Institute of Water and Atmospheric Research, PO Box 8602, Christchurch, New Zealand

6 * h.mcmillan@niwa.co.nz

7

8 For submission to *Hydrology and Earth System Sciences*, Revision 2

9 27th March 2015

1 **Abstract**

2

3 Hydrological processes, including runoff generation, depend on the distribution of water in a
4 catchment, which varies in space and time. This paper presents experimental results from a headwater
5 research catchment in New Zealand, where we made distributed measurements of streamflow, soil
6 moisture and groundwater levels, sampling across a range of aspects, hillslope positions, distances
7 from stream and depths. Our aim was to assess the controls, types and implications of spatial and
8 temporal variability in soil moisture and groundwater tables.

9 We found that temporal variability in soil moisture and water table is strongly controlled by the
10 seasonal cycle in potential evapotranspiration, for both the mean and extremes of their distributions.
11 Groundwater is a larger water storage component than soil moisture, and this general difference
12 increases even more with increasing catchment wetness. The spatial standard deviation of both soil
13 moisture and groundwater is larger in winter than in summer. It peaks during rainfall events due to
14 partial saturation of the catchment, and also rises in spring as different locations dry out at different
15 rates. The most important controls on spatial variability in storage are aspect and distance from the
16 stream. South-facing and near-stream locations have higher water tables and showed soil moisture
17 responses for more events. Typical hydrological models do not explicitly account for aspect, but our
18 results suggest that it is an important factor in hillslope runoff generation.

19 Co-measurement of soil moisture and water table level allowed us to identify relationships between
20 the two. Locations where water tables peaked closer to the surface had consistently wetter soils and
21 higher water tables. These wetter sites were the same across seasons. However, patterns of strong
22 soil moisture responses to summer storms did not correspond to the wetter sites.

23 Total catchment spatial variability is composed of multiple variability sources, and the dominant type
24 is sensitive to those stores that are close to a threshold such as field capacity or saturation. Therefore,
25 we classified spatial variability as '*summer mode*' or '*winter mode*'. In *summer mode*, variability is
26 controlled by shallow processes e.g. interaction of water with soils and vegetation. In *winter mode*,
27 variability is controlled by deeper processes e.g. groundwater movement and bypass flow. Double
28 streamflow peaks observed during some events show the direct impact of groundwater variability on
29 runoff generation. Our results suggest that emergent catchment behaviour depends on the
30 combination of these multiple, time varying components of storage variability.

31

32

1 1 Introduction

2 Hydrological processes, including runoff generation, depend on the distribution of water in a
3 catchment, in space and time. Understanding the distribution and its effects on hydrological processes
4 is a prerequisite for identifying hydrological principles (Troch et al., 2008) and building hydrological
5 models that produce "the right answers for the right reasons" (Kirchner, 2006). However, water stores
6 and fluxes are typically characterised by high complexity and variability at all scales (e.g. Grayson et
7 al., 2002; Zimmer et al., 2012). The high variability of soil- and groundwater has far reaching
8 implications for hydrological measurement, prediction and modelling. Most measurements of soil
9 moisture or groundwater are made at the point scale, and so high variability makes it difficult and
10 costly to estimate spatial average values. However, studies into controls on variability can give insights
11 into the best monitoring locations and strategies to estimate spatial averages (e.g. Teuling et al., 2006
12 for soil moisture), and may allow us to identify sites that are likely to mirror the mean wetness
13 conditions of the catchment (Grayson and Western, 1998).

14 Hydrological models simulate water fluxes integrated over some "model element" scale; so where
15 variability exists below that scale, model fluxes will differ from point-scale measurements (Blöschl and
16 Sivapalan, 1995; Western et al., 2002). This makes it difficult to compare model simulations against
17 measured data. The same scale sensitivity affects climate models, which use land surface water
18 content as a boundary condition (Seneviratne et al., 2010). In addition, the prevalence of high
19 nonlinearity and thresholds in hydrological responses means that simple averaging of water content
20 is not sufficient. For example, integrated drainage fluxes derived from soil moisture patterns with
21 realistic variability and spatial organisation exceed those estimated from uniform soil moisture fields
22 (Bronstert and Bardossy, 1999; Grayson and Bloschl, 2000). Model descriptions of relationships
23 between mean soil moisture and drainage must therefore be altered to take account of soil moisture
24 variability (e.g. Moore, 2007; Wood et al., 1992) and organisation (Lehmann et al., 2007), and may
25 need to change seasonally as soil moisture variability changes (McMillan, 2012). Similarly, averaging
26 of soil texture or water-holding properties should take spatial organisation into account. Threshold
27 relationships between water content and runoff generation, which have been widely observed at the
28 point scale, should be smoothed at the model element scale to reflect spatial variability (Kavetski et
29 al., 2006). The critical point here is that multiple sources and characteristics of variability may exist in
30 any catchment. To understand and model the emergent catchment-scale processes they create, we
31 must understand how the individual components of variability interact and change with time.

32 A well-established strategy to improve our understanding of hydrological variability and processes is
33 through the development of densely instrumented research catchments (Tetzlaff et al., 2008; Sidle,
34 2006; Warmerdam and Stricker, 2009). Such sites expose interrelations and patterns in hydrological
35 variables, and allow us to test hypotheses on catchment function. In recent years, improved sensor
36 and communication technologies have increased our ability to capture space and time variability in
37 hydrological processes, storage and fluxes (Soulsby et al., 2008). While acknowledging the importance
38 of breadth, as well as depth in hydrological analysis (Gupta et al., 2013), intensively-studied
39 catchments remain a critical part of hydrological research.

40 In New Zealand, experiments in research catchments have uncovered the importance of vertical flow
41 and the displacement mechanism for streamflow generation, using applied tracers (Woods et al.,
42 2001; Mahurangi catchment) and isotope measurements (McGlynn et al., 2002; Maimai catchment).
43 The subsequent incorporation of our revised process understanding into conceptual models of the

1 catchments has emphasised the need to measure variability and dynamic response in groundwater as
2 well as soil moisture (e.g. Graham and McDonnell, 2010; Fenicia et al., 2010). Groundwater dynamics
3 and subsurface flow pathways are a key control on runoff generation and flow dynamics in a variety
4 of different catchments (Onda et al., 2001; Soulsby et al., 2007), with strong evidence coming from
5 hydrochemical analysis of streamwater. The hydrology of the riparian zone may be particularly
6 sensitive to groundwater connections (Vidon and Hill, 2004). While previous NZ catchment studies
7 have measured groundwater response in a limited number of locations (Bidwell et al., 2008) or
8 without simultaneous surface water measurements (Gabrielli et al., 2012), a joint data set of spatio-
9 temporal surface and groundwater measurements did not previously exist in New Zealand.

10 The results presented in this paper, from a research catchment in the headwaters of Waipara
11 catchment, provide data to characterise and test hypotheses on variability and model representation
12 of integrated surface water-groundwater systems. Such models are in high demand for management
13 applications, as local governments must set allocation limits and manage supply under increasing
14 demands for water. Although surface water and ground water systems have, historically, often been
15 managed independently, there is now recognition that extractive use from either source impacts the
16 whole system (Lowry et al., 2003).

17 The aims of this paper are therefore to: (1) Present initial experimental data of surface and ground
18 water responses from a research catchment in the alpine foothills of New Zealand (2) Assess the types
19 of spatial and temporal variability in soil moisture and groundwater in this headwater catchment, the
20 factors that control the variability, and the implications for modelling.

21 **1.1 Soil moisture variability**

22 New Zealand has some well-known experimental catchments, which offer information into causes and
23 effects of hydrological variability, focused on the soil zone. In the Mahurangi catchment in Northland,
24 Wilson et al. (2004) compared the variability of gridded soil moisture measurements in time vs in
25 space. They found that temporal variability was approximately 5 times greater than spatial variability.
26 Temporal variability was highly predictable, and explained by seasonality; whereas spatial variability
27 was less easily predictable and only partly explained by terrain indices. In the same catchment, Wilson
28 et al. (2003) compared variability of soil moisture at 0-6 cm depth vs 30 cm depth, and found
29 differences in distribution and low correlations between the two depths. At Maimai catchment in
30 Westland, nested arrays of tensiometers were used to estimate variability in the depth to water table.
31 High variability was found within nests (plot scale) and between nests (hillslope scale) (McDonnell,
32 1990; Freer et al., 2004).

33 Some characteristics of the New Zealand climate and landscape may result in locally important
34 controls on variability. Aspect is important in New Zealand hill country, due to high radiation and
35 prevailing wind direction. Typically, Penman PET is 35-50% greater on Northern than Southern facing
36 slopes (Jackson, 1967; Bretherton et al., 2010), or more for sites exposed to the prevailing WNW wind
37 (Lambert and Roberts, 1976). At one site, these differences translated into mean soil moisture
38 differences of 10% (Bretherton et al., 2010). In a similar environment to the catchment described in
39 this paper (i.e. Eastern foothills of the Southern Alps, greywacke geology), aspect-induced
40 microclimate differences were found to promote physical and chemical soil differences, with stronger
41 leaching and weathering on south facing slopes (Eger and Hewitt, 2008).

1 Controls on soil moisture are varied and may affect soil moisture mean (in either space or time),
2 distribution (Teuling et al., 2005) and dynamics such as recession, stability or recharge rate (Kim et al.,
3 2007). Examples from previous (international) studies are given in Table 1. Controls can also interact,
4 such as soil type and topography (Crave and Gascuel-Odoux, 1997). Even though new technologies
5 are available to measure soil moisture and its variation at larger scales, including remote microwave
6 sensing (Njoku et al., 2002) and electrical resistivity tomography (Michot et al., 2003), there is still no
7 accurate way of predicting soil moisture patterns, with studies based on topography typically
8 predicting less than 50% of the spatial variation (see the review by Wilson et al., 2004).

9 High variability in soil moisture has many implications for hydrological process understanding and
10 modelling. There is a large body of work investigating causes of low vs high variability, without
11 attempting to predict exact spatial or temporal patterns, often using geostatistical methods to
12 quantify the magnitude and the scales of variation (e.g. Western et al., 1998; Brocca et al., 2007).
13 Causes of high variability have been found to be: dry conditions (Brocca et al., 2007), mid-wetness
14 conditions (Ryu and Famiglietti, 2005; Rosenbaum et al., 2012), wet or dry conditions conditional on
15 climate, soil and vegetation types (Teuling and Troch, 2005; Teuling et al., 2007), increasing scale
16 (Famiglietti et al., 2008; Entin et al., 2000), aspects of land use and topography (Qiu et al., 2001),
17 groundwater influence and contrasts between groundwater influenced/uninfluenced areas
18 (Rosenbaum et al., 2012).

19 1.2 Groundwater variability

20 Studies of variability in groundwater dynamics are less common, reflecting the greater difficulty and
21 expense in measuring groundwater levels, but a wide range of controls on groundwater levels have
22 been identified. Detty and McGuire (2010a) considered surface topography controls, by dividing the
23 landscape into landform units, e.g. footslopes, planar backslopes, or convex shoulders. They found
24 statistical differences in metrics of water table hydrograph shape between different landform units.
25 The water table response increased in duration and magnitude from shoulders to foot slopes, but was
26 most sustained on backslopes. The responses also differed between the growing and dormant
27 seasons. Anderson and Burt (1978) showed that topography can control matric potential and
28 downslope flow: at their field site, hillslope 'hollows' had specific discharge an order of magnitude
29 higher than hillslope spurs. Fujimoto et al. (2008) found that topography interacts with storm size to
30 control subsurface processes. For small storms, a concave hillslope stored more water than a planar
31 slope and produced less runoff; whereas for larger storms, transient groundwater in the concave slope
32 caused greater expansion of the saturated area than in the planar slope, and correspondingly greater
33 runoff. Bachmair et al. (2012) drilled 9 transects, each of 10 shallow wells (< 2 m deep) to study the
34 effect of land use and landscape position on variability in groundwater dynamics. They found that
35 patterns of groundwater response in winter reflected expansion of saturated areas at the base of the
36 hillslope, whereas in summer groundwater response was controlled by transient preferential flow
37 networks and was highly spatially variable. The wells with the strongest response also varied between
38 events. The relationship between topography and subsurface flow dynamics has been demonstrated
39 theoretically (Harman and Sivapalan, 2009), although bedrock topography may be more important
40 than surface topography (Freer et al., 2002; Graham et al., 2010; Tromp-van Meerveld and McDonnell,
41 2006b, a).

42 Other factors may also control the variability in groundwater responses, such as variability in recharge.
43 Gleeson et al. (2009) tracked snowmelt recharge to groundwater using 15 bedrock wells in a humid

1 Canadian catchment with flat topography. In addition to widespread slow recharge, they found fast,
2 localised recharge in areas with both thin soils and fractured bedrock. Riparian soils can form a fast
3 conduit to groundwater, where a higher fraction of gravel leads to hydraulic conductivities an order
4 of magnitude higher than the hillslope soils (Detty and McGuire, 2010b).

5 Characteristics of the groundwater aquifers are also important. Winter et al. (2008) and Tiedeman et
6 al. (1998) monitored 31 bedrock wells and found water table gradients caused by different geological
7 units within a catchment. Even in headwater catchments, variability in groundwater dynamics has
8 been found due to multiple underlying aquifers (Kosugi et al., 2011; Kosugi et al., 2008). In Plynlimon
9 catchment in Wales, Haria and Shand (2004) found that groundwater at 1.5 m, 10 m and 30 m depth
10 was not hydraulically connected, and was chemically stratified, with distinct pH, electrical conductivity
11 and redox characteristics. Different groundwater pathways to the stream could therefore be
12 identified, including discharge from fractured bedrock, and upwelling into the soil zone causing rapid
13 lateral flow.

14 **1.3 Soil moisture – groundwater interactions and variability**

15 The division between stored water that is considered soil moisture or groundwater is not well defined.
16 Soil moisture is typically measured as volumetric water content at a specific depth in the unsaturated
17 zone, although soil moisture sensors can be subsumed by groundwater. Here, we use groundwater
18 level synonymously with water table, referring to saturated subsurface layers, which may be above or
19 below any soil/bedrock interface. Piezometers or shallow wells to measure groundwater level can be
20 screened along their whole length (as in our study) or at specific depths if multiple perched or confined
21 layers are suspected. Where the geology includes fractured rock or buried lenses of gravels,
22 groundwater levels may be highly heterogeneous.

23 There are many processes by which soil moisture and groundwater interact. As soil water drains
24 downwards, layers of low hydraulic conductivity may create perched water tables. Such layers include
25 clay pans (Parlange et al., 1989), and the soil/bedrock interface (Tromp-van Meerveld and McDonnell,
26 2006a). Macropores provide a fast route for groundwater recharge (Beven and Germann, 2013). They
27 may allow water to bypass confining layers or to flow quickly along them (e.g. lateral preferential flow
28 along the bedrock interface found by Graham et al., 2010). If groundwater rises into upper soil layers,
29 large increases in soil matrix porosity or macropores may ‘cap’ water table levels, as additional water
30 is quickly transported to the stream (Haught and Meerveld, 2011). Lana-Renault et al. (2014) found in
31 a Mediterranean catchment that patterns of near-surface saturation and transient water tables were
32 affected not only by topography but also by soil properties and previous agricultural land use. The
33 riparian zone facilitates mixing between soil water and groundwater, and tracers, temperature,
34 electrical conductivity, flow gauging and head differences may all be used to quantify the interactions
35 (Unland et al., 2013). Using modelling and tracer data, Binley et al. (2013) found that in a 200 m river
36 reach the upper section was connected to regional groundwater, but lower section inflows were from
37 local lateral and down-river flow paths.

38 Interactions between soil moisture and groundwater provide possible explanations for relationships
39 between the two. Results from three Nordic catchments showed a consistent negative correlation
40 between soil moisture content and depth to water table, so that soil moisture distributions could be
41 described as a function of depth to water table (Beldring et al., 1999). Kaplan and Munoz-Carpena
42 (2011) studied soil moisture regimes in a coastal floodplain forest in Florida, and showed that

1 groundwater and standing surface water elevations were successful predictors of soil moisture using
2 dynamic factor analysis and regression models. Model-based studies demonstrate how capillary-rise
3 can lead to dependencies between groundwater level and soil moisture. Kim et al. (1999) used a
4 hillslope model to show how gravity-driven downhill groundwater flow creates downslope zones with
5 high water tables. In those areas, capillary rise keeps soil moisture content and evaporation rates high.
6 Similarly, the model developed by Chen and Hu (2004) showed that soil moisture in the upper 1 m of
7 soil was 21% higher when exchange between soil moisture and groundwater was included; they
8 inferred that groundwater variability may drive soil moisture variability.

9 **2 Study area**

10 The Langs Gully catchment is located in the South Island of New Zealand, in the headwaters of the
11 Waipara River that has its source in the foothills of the Southern Alps before emptying onto alluvial
12 plains (Figure 1). Langs Gully is typical of the Canterbury foothills landscape. This area is the source of
13 many rivers and aquifers that provide essential irrigation water for the drier and intensively farmed
14 plains; however the hydrology of the area is poorly understood.

15 The 0.7 km² catchment ranges from 500 - 750 m in elevation, and is drained by two tributaries. Annual
16 precipitation ranges from 500 to 1100 mm/yr, with a mean of 943 mm/yr. In winter the catchment
17 has relatively frequent frosts and occasional snow. The land cover is grazed pasture for sheep and beef
18 cattle farming, with a partial cover of sparse Matagouri (*Discaria toumatou*) shrub. The geology is
19 greywacke, a hard sandstone with poorly sorted angular grains set in a compact matrix. Soils are
20 shallow gravelly silt loams derived from the underlying greywacke, and were classified as midslope,
21 footslope or spur (Figure 2), based on expert knowledge and the S-MAP New Zealand soils map
22 (Lilburne et al., 2004), which uses soil survey data, and topography-based interpolation (Schmidt and
23 Hewitt, 2004). The mapping also provided estimates of fractions of stone, sand and clay for each soil
24 type. Fractions of stone and sand decreased from spurs to footslopes, while fractions of clay increased
25 (Table 2). Stone and sand fractions increase with depth for all soils (e.g. Footslope constituents shown
26 in Table 3). During installation of soil moisture sensors (Section 3.2), we found distinct gravel-rich
27 layers within the soil profile at 6 out of 16 locations.

28 **3 Materials and Methods**

29 The aim of our experimental design was to study the temporal and spatial variability in water storage
30 within the catchment. We installed sensors to measure rainfall, climate variables, streamflow, soil
31 moisture and depth of shallow groundwater. Our aim was to take measurements at locations
32 representing the variability of hydrological conditions within the catchment, and where possible to
33 co-locate sensors in order to understand relationships between different water stores. We selected
34 two hillslopes for detailed measurements of soil moisture and shallow groundwater, with different
35 aspects (North and South) (Figure 1).

36 To support the sensor data, we took aerial photos and used GPS mapping to create a digital elevation
37 model of the catchment (Figure 2). Aerial photos were only taken on the slope above the north-facing
38 sites; GPS point spacing was also closer in this area. A soils map was created using a combination of
39 nationally available data and a field survey (Figure 2).

1 **3.1 Climate and streamflow monitoring**

2 A compact weather station was located centrally within the catchment (Figure 1). It uses a Vaisala
3 WXT520 Weather Transmitter, which measures wind speed and direction, air temperature,
4 barometric pressure and relative humidity. A LICOR LI200 Pyranometer measures solar radiation.
5 Rainfall was measured using an OTA OSK15180T 0.2mm resolution tipping bucket gauge. All weather
6 measurements were at 5 minute intervals.

7 Streamflow was measured at three locations within the catchment (Figure 1), all at 5 minute intervals.
8 Only data from the downstream gauge, a v-notch weir, was used in this paper. Periodical manual
9 gaugings were used to confirm the theoretical weir flow rates.

10 **3.2 Soil moisture and shallow groundwater monitoring**

11 Soil moisture and water table level were monitored by 16 instrument stations. The stations are divided
12 into 2 groups; 10 on the north-facing slope, and 6 on the south-facing slope

13 Our typical measurement site included an Acclima TDT soil moisture sensor at 30 cm (base of the root
14 zone) and 60 cm, which were used with factory calibration as recommended by the manufacturer
15 (Acclima, 2014). The sites also included a well drilled to a fixed depth of 1.5 m (except where a high
16 fraction of stones prevented the full depth being reached) equipped with a Solinst Levelogger to
17 measure water level. The wells were sealed for the top 0.5 m to prevent ingress of surface water, with
18 open screening below this. On each hillslope, we centred the sites around a shallow gully surface
19 feature, with sites in the centre of the gully and on each bank. The sites were designed in two rows,
20 at 10 m and 20 m from the stream centreline (Figure 1). In this way, we aimed to sample across
21 multiple variables of aspect, slope position and distance from stream. All sensors recorded at 5 minute
22 intervals, which were typically aggregated to 15 minutes before further analysis.

23 **3.3 Telemetry**

24 Each station aggregates sensor data and discards unneeded data. Each group is associated with a
25 'master' station that polls the individual stations every 5 minutes for their sensor data. The master
26 station comprises a Unidata Satellite NRT datalogger and a proprietary short-haul radio interface. The
27 data received by the master station is stored temporarily in the logger until it can be relayed to a
28 central database via satellite. Data in the central database is available to end users via internet and e-
29 mail. To conserve power in the solar-recharged batteries, the sensors and radio system are only
30 powered up to respond to data requests.

31 **3.4 Study period**

32 The data used in this paper were collected between March 2012 and July 2013 (Figure 3). Climate and
33 flow data are available for 14 months prior to this date. The largest rainfall event in the study period
34 occurred in August 2012, which brought 80.6 mm of rainfall in 2 days, approximately a 1-in-2 year
35 rainfall event when compared against the 62-year daily rainfall record from Melrose station, 2.0 km
36 from the catchment. The 2012-13 summer was unusually dry in many parts of New Zealand; but at
37 Melrose the summer months December/January/February recorded a rainfall total of 196 mm, only
38 marginally below the long-term average of 210 mm.

1 Some data gaps occurred during the study period, with short outages due to sensor or battery failure.
2 A long outage occurred in the aftermath of the storm event in August 2012, which caused water
3 damage to the telemetry system on the North facing slope.

4 3.5 Calculation of descriptive statistics

5 To provide an overview of the soil moisture content and groundwater level for different time/space
6 locations, a selection of summary statistics were used. To summarise the distribution of data, we
7 calculated the median and 5th, 25th, 75th and 95th percentiles for each data series. This allowed us
8 to compare absolute soil water content and groundwater level between sites. However, we also
9 wanted to compare the extent to which each location is likely to contribute to runoff; especially as
10 runoff generation is typically conceptualised as a threshold process (Ali et al., 2013). We therefore
11 additionally used statistics that described the wet extremes of the data. For soil moisture, we
12 calculated the percentage of time that the soil was saturated, as this represents the condition where
13 the location would generate subsurface flow and, if saturation reached the soil surface, overland flow.
14 Soil saturation points were defined individually for each sensor, using the co-located groundwater well
15 record to determine times when the water table intersected the sensor, and taking the average soil
16 moisture reading at those times. These values were confirmed (and in two cases adjusted) based on
17 visual inspection of the soil moisture time series. For groundwater level, we calculated the percentage
18 of time that the water table level was above the 75th percentile. This quantifies locations where
19 groundwater is closer to the surface and would therefore have faster lateral velocity according to
20 typical findings that hydraulic conductivity decreases rapidly with depth (Beven and Kirkby, 1979).

21 To understand how total water storage in the catchment changes through the year, we estimated the
22 water stored in the soil moisture and groundwater components. For soil moisture, we divided the
23 catchment by soil type, according to the classification described in Section 2. For each type, we
24 estimated total soil depth as the deepest functional soil horizon described in the S-Map database
25 (Lilburne et al., 2004). The fraction soil moisture for soil from 0-45 cm depth was taken from the
26 30 cm sensor, and soil moisture for 45 cm depth to the base of the soil column was taken from the 60 cm
27 sensor. For each time step, we derived the total soil moisture volume as:

$$28 \text{ TotalSoilMoisture[m}^3\text{]} = \sum_{\text{SoilType}} \sum_{\text{Aspect}} [\text{Area[m}^2\text{]}. \text{SoilDepth[m]} \text{ FractionSoilMoisture}] \quad (Eq \ 1)$$

29 Dividing by total catchment area then gave average depth of soil water.

30 For groundwater, we do not know the total aquifer depth, and therefore use instead groundwater
31 depth above minimum recorded. For each time step, we derived the variable groundwater storage
32 above minimum as:

$$33 \text{ Total Groundwater [m}^3\text{]} = \sum_{\text{Aspect}} \left[\text{Area[m}^2\text{]}. \sum_{\text{Wells}} (\text{GW level[m]} - \text{Min. GW level[m]}) / \text{Number of wells} \right] \quad (Eq \ 2)$$

35 Dividing by total catchment area then gave average depth of groundwater above minimum.

36 We recognise that this calculation involves a significant and uncertain extrapolation from the 32 soil
37 moisture time series to the remainder of the 0.7 km² catchment. However, given that the sensor

1 locations were installed across aspect, distance from stream, and landscape position and depth, we
2 anticipate that the estimated storage dynamics are a reasonable guide to true behaviour. We also
3 note that in the riparian zone, some water will be double counted where the groundwater rises into
4 the soil column. However, given that this occurred only in near-stream locations, i.e. within 10 m of
5 the stream centreline, this volume would be negligible compared to the total catchment storage.

6 **3.6 Event Separation**

7 To compare rainfall and runoff depths for individual storm events, and to identify seasonal changes in
8 the rainfall-runoff relationship, the data were pre-processed to define storm and inter-storm periods,
9 based on the method of McMillan et al. (2014). The start of a storm was defined by a minimum rainfall
10 intensity: either 2 mm/hr or 10 mm/day was required. The end of the storm was defined when 12 hr
11 without rainfall occurred. Runoff for a maximum of 5 days after rainfall ended, or until a new storm
12 started, was deemed to be associated with the storm event. No baseflow separation was used.

13 **3.7 Wetting events**

14 To compare the frequency and strength of soil moisture responses to rainfall for different locations,
15 we used the concept of a 'wetting event'. A wetting event was defined as a period of rainfall during
16 which soil moisture rose by at least 3%. We calculated events on a per-site basis, and then averaged
17 across sites, either for North/South aspects or near-stream/far-stream sites. The average % soil
18 moisture rise was used as an indication of the strength of response.

19 **4 Results**

20 **4.1 Temporal controls on soil moisture and groundwater**

21 Both soil moisture and groundwater level show strong variations over event and seasonal timescales.
22 Figure 3 shows soil moisture, and depth to groundwater for the study period; for clarity we average
23 the 32 soil moisture sensors and 14 water level sensors by location (aspect, depth and distance from
24 stream).

25 In Figure 4, we show the summary measures for each season. The summary statistics show that both
26 the mean and extremes of catchment water storage vary seasonally. The yearly cycle of soil moisture
27 (Figure 3) shows an extended wet season from April/May to November, followed by a slow drying
28 until February when the catchment reaches its summer state. The return to wet conditions occurred
29 over a very short time period during a May storm event. Water table dynamics also display a yearly
30 cycle (Figure 4), although the range during any season is large compared to seasonal changes. As
31 shown in Figure 4A, soil moisture quantiles are typically lowest in summer, and water tables are lowest
32 in summer and autumn. The driest conditions in terms of extremes (Figure 4B) occurred in late
33 summer for both soil moisture and water table, and remain low into autumn particularly for the water
34 table, suggesting that the lowest potential for runoff generation occurs at that time. Note that the
35 autumn season values represent an average between the wetter conditions of the 2012 autumn and
36 the drier conditions of the 2013 autumn, for example mean autumn (March-May) soil moisture at 0-
37 30 cm for the upper rows of sensors was 17.9 % for 2012, 15.2 % for 2013.

38 Rainfall events are superimposed on the seasonal cycle. In winter, the large events cause saturation
39 at many of the soil moisture sensors, and water tables rise in many of the wells, including some in the

1 upper row where the water table was previously lower than the well. In early summer, rainfall can
2 return soil moisture and water tables to winter levels, but only briefly. In summer, the catchment
3 response to rainfall is highly subdued.

4 The strong seasonality of catchment conditions is due to seasonality in PET. Although rainfall depths
5 are similar throughout the year, in summer the combination of higher temperatures, high solar
6 radiation and frequent hot, strong winds from the north-west contributes to seasonal drying of the
7 catchment. The effects are illustrated by storm runoff depths in winter versus summer (Figure 5A). In
8 summer, even large rainfall events produced almost no streamflow response. To demonstrate the
9 effect of antecedent wetness on storm runoff depths, we plotted runoff depth against the sum of
10 antecedent soil moisture storage (ASM) and storm precipitation (Figure 5B), following Detty and
11 McGuire (2010b; their figure 4a). Antecedent soil moisture storage was taken as the Total Soil
12 Moisture value from Eq 1. The results show a threshold relationship between ASM + precipitation and
13 runoff depth, although it is not linear as was found by Detty and McGuire (2010b).

14 **4.2 Spatial controls on soil moisture and groundwater**

15 Figure 3 shows distinct differences between the water storage dynamics on North and South facing
16 slopes, and between the far-stream and near-stream rows of soil moisture sensors. The near-stream
17 sensors on the South facing slopes showed more frequent and pronounced wetting events, as defined
18 in Section 3.7 (Table 4). South facing slopes at 60 cm depth had 33% more wetting events that were
19 on average 22% larger than North facing slopes at 60 cm depth.

20 Spatial controls act differently on different water stores. These differences are illustrated in Figure 6,
21 using the same summary statistics as in the previous section, but grouping sites by aspect and distance
22 from stream. We did not include water table statistics for the far-stream rows as water tables only
23 rarely rose into the wells and therefore distribution estimates would not be accurate. Figure 6A shows
24 that when comparing North facing vs South facing slopes, soil water content at 30 cm has similar
25 distributions, but the underlying groundwater level is on average 20 cm closer to the ground surface
26 for the South facing slopes, and has a smaller range. Spatial controls also act differently on average vs
27 extreme conditions; e.g. average soil moisture on the South facing slope is similar at 30 cm and 60 cm
28 depths (Figure 6A), but the fraction of time that the soil was saturated is 11% at 60 cm against 0.5%
29 at 30 cm (Figure 6B). Note that the statistics describing the extremes of the data are highly variable
30 between locations (e.g. some locations are saturated much of the time; others almost never), however
31 we show averages by location to assist interpretation of the spatial control.

32 **4.3 Temporal changes in total water storage and variability**

33 To quantify the relative importance of different water storage components of the catchment, we
34 calculated the average depth of water stored as soil moisture and groundwater using the method
35 described in Section 3.5 (Figure 7A). The groundwater component dominates, with an average depth
36 of 0.27 m against 0.15 m for soil moisture. The difference may be further enhanced given that the part
37 of the soil moisture volume below wilting point is not likely to be mobilised. The difference is most
38 pronounced in the wettest conditions, with groundwater storage peaking at approximately four times
39 that of soil moisture. During the driest summer conditions, groundwater and soil moisture storage are
40 similar.

1 To visualise the changes in variability for each store over time, we plotted the time series of spatial
2 standard deviation in soil moisture and groundwater; separated by aspect and sensor depth (Figure
3 7B,C). All stores have the highest standard deviation in winter, and the lowest in summer, as the range
4 in values tends to be compressed as the catchment dries out. Previous studies have shown that the
5 relationship between soil moisture and soil moisture standard deviation varies by catchment (Section
6 1.1). Soil moisture at 60 cm maintains a high standard deviation even during summer, as both slopes
7 have one sensor that retains high soil moisture and therefore has a strong influence on the standard
8 deviation.

9 All of the soil moisture standard deviations rise sharply during rainfall events, especially in winter,
10 which is due to saturation of some sensors, while others remained unsaturated. Accordingly, soil
11 moisture at 30 cm on the North facing slope has smaller rises in the spatial standard deviation, as none
12 of those sensors showed saturation. Groundwater standard deviation has a different behaviour by
13 aspect: on the North facing slope, rainfall events cause the standard deviation to rise, on the South
14 facing slope, rainfall events cause the standard deviation to fall. This finding reflects that on the South
15 facing slope, all wells react to rainfall events, albeit at different rates, but on the North facing slope,
16 behaviour is more variable with one well often showing no response (i.e. water table lower than 1.5
17 m), and other wells split between a weak or strong response.

18 **4.4 Controls on variability**

19 As was apparent from the time series of streamflow, soil moisture and water table depth presented
20 in Section 3.1, there is significant spatial variability between different parts of the catchment as
21 represented by the range of sensor locations, but this variability is not constant. In this section, we
22 investigate the specific types of variability that occur, and seek to attribute them to different
23 catchment conditions.

24 We found that an overarching driver of variability is the wetness condition of the catchment. As shown
25 in Figure 5, there is a strong seasonal differentiation in runoff coefficients. This seasonal cycle
26 determines which of the catchment water stores are active, and where the greatest scope for
27 variability exists. To assist our description of the seasonal changes in variability, we selected one event
28 that illustrates each variability type. We selected the following events: dry-period: 17-27 March 2013,
29 15.9 mm rainfall; wet-period: 5-25 October 2012, 164.9 mm rainfall; winter wet-up: 15-30 April 2013,
30 80.0 mm rainfall; recession period: 7 September – 5 October 2012.

31 **4.4.1 Dry-period variability caused by partial catchment response**

32 During the driest conditions, some locations show a hydrological response - an increase in soil
33 moisture or water table rise - to a rainfall event, while the others show little or no reaction. The time
34 of onset of this type of variability varies with depth for the soil moisture probes, i.e. 60 cm probes stop
35 reacting earlier in the summer than 30 cm probes. The fact that shallow probes are more likely to react
36 during dry conditions suggests that the variability is caused by infiltration of precipitation that only
37 reaches a limited depth below the surface. An example is given in Figure 8A, which shows the response
38 of selected sensors to the March rainfall event. Figure 8B shows a spatial overview of all sensor
39 responses for the same event. For this event, 8 of the 30 cm soil moisture probes showed a strong
40 response, compared to 3 of the 60 cm soil moisture probes and 3 of the wells. There were two
41 locations where the 60 cm probes responded but the 30 cm probes did not. As water tables were
42 always below 60 cm, these cases suggest macropore flow that bypassed the upper sensor. Four out of

1 the 10 soil moisture probes at 30 cm on the North facing slope showed no response, compared to 1
2 out of 6 on the South facing slope. This difference may be due to drier antecedent conditions on the
3 North facing slope; North facing sensors had a mean soil moisture of 9.6% prior to the rainfall event,
4 compared to 11.4% for the South facing sensors. Soil texture differences related to aspect may also
5 play a role: South facing sensor locations were found to have higher clay content and higher stone
6 content than the North facing locations.

7 **4.4.2 Wet-period variability caused by partial saturation and groundwater response timing**
8 In winter, the catchment is typically in a continuously wet state, and all sensors respond to rainfall
9 events. Variability between sensors is introduced because some locations experience saturation
10 (either transiently or for prolonged periods), while others do not. Saturation is characterised by high
11 peaks or plateaux in the soil moisture signal. For both the North and South facing slopes, saturation
12 occurs earlier and more extensively for probes at 60 cm than at 30 cm, and is limited to the sites at 10
13 m from the stream, suggesting a rise in the catchment water table to these probes, rather than
14 transient or perched saturated layers in the soil column. Cross-checking against measured
15 groundwater levels also shows that the peaks in the water tables reach the soil moisture sensors
16 showing saturation, although they do not typically reach the land surface. Wells in the upper locations
17 may also react at this time. The rise of the near-stream water table into the soil is consistent with our
18 knowledge of the soil and bedrock structures, as there are no evident confining layers, rather an
19 increase in cobbles and rock fragments with depth.

20 Figure 9 gives an example of the response of soil moisture and groundwater level to a series of storm
21 events in October (3 distinct peaks over 15 days) occurring on the already-wet catchment. Saturation
22 only occurs in 30 cm or 60 cm probes when the lower probes also show saturation. 3 out of 4 locations
23 where saturation at the 60 cm probes occurred in this event were locations that showed a water table
24 response during the summer event previously described. All locations that had a water table response
25 in the summer event also had a water table response during this event. The consistency of locations
26 suggests that relative groundwater levels are maintained across seasons, with the same locations
27 always the most likely to display a groundwater response. These locations were not related to the
28 gully/ridge features in the catchment, in conflict with our prior hypothesis, but instead may indicate
29 preferential groundwater flow paths which channel water from the upper slopes. Such preferential
30 paths were previously reported at Maimai catchment where there is a clearly defined soil-bedrock
31 interface (Graham et al., 2010; Woods and Rowe, 1996); our results suggest a similar outcome in the
32 Langs Gully catchment despite the gradual transition from soil to broken bedrock. The cross-slope
33 gradients needed to generate the preferential paths could be caused by deeper bedrock structures,
34 or by local areas with high permeability such as the gravel-rich soil layers observed during installation
35 of the soil moisture sensors. At Maimai, Woods and Rowe (1996) suggested that preferential
36 flowpaths were caused by temporary hydraulic gradients in the soil, and variations in vertical drainage
37 due to patterns of soil moisture deficit.

38 Figure 9A (third panel) shows distinct differences in the timing of the groundwater response between
39 locations. In some locations, there is a fast groundwater peak followed by a fast decline. In other
40 locations, the groundwater rises more slowly, reaching a peak approximately 24 hours later than the
41 fast-response site, and is much slower to decline. The characterisation of each site as either a fast or
42 slow responder is consistent through the three consecutive events. During some storm events, these
43 two response types cause a double peak, or prolonged flat peak, in the storm hydrograph (lower

1 panel). The differing responses are mapped in Figure 9C. There is some spatial correlation with the
2 saturation response shown in Figure 9B, whereby locations with a flashy groundwater response
3 correspond to locations where saturation occurred at the 60 cm soil moisture sensor. Locations where
4 the water table was detected in the upper row of sensors were classified as slow groundwater
5 responses (i.e. a later and prolonged peak), but they peak slightly before the downslope slow-response
6 sites, which could indicate a delayed groundwater flow path from upslope.

7 Our results suggest that relative groundwater levels, and the classification of sites as fast or slow
8 groundwater responses, are consistent between events. Previous work reviewed in the introduction
9 (Section 1.3) showed that groundwater level can influence soil moisture distribution. We therefore
10 hypothesise that groundwater behaviour might help to define distinct spatial zones of the catchment.
11 To test this, we firstly classified sites by maximum groundwater level, separating sites where the water
12 table rose as high as the 30 cm soil moisture probe at any point during the study period ('Saturating
13 sites'), against those where it did not ('Non-Saturating sites'). We only used near-stream sites to
14 remove the influence of distance to stream. Secondly we classified sites by the rate of groundwater
15 response, as described in the previous paragraph. Other sites where only the peaks of groundwater
16 responses reached the shallow well were not included, as these sites could not be easily classified. We
17 calculated the distributions of the soil moisture and water table level for each classification (Figure
18 10). The results show that the Saturating vs. Non-Saturating classification clearly delineates two zones
19 with consistent differences in soil moisture content at 30 cm and 60 cm, and water table level. The
20 fast vs. slow groundwater response classification is much less distinct, with the two zones having
21 similar soil moisture distributions. The slow groundwater response zone has slightly deeper water
22 tables, although this is partly because it includes two far-stream sites.

23 4.4.3 Variability in seasonal dynamics: winter wet-up

24 The wetting up of the catchment at the start of winter is a major event (Figure 3). In 2013 this occurred
25 in late April, quickly transitioning the catchment from its dry summer state, to the wet state that it
26 maintained throughout the winter. The typical pattern for soil moisture is a sharp rise over less than
27 24 hours (e.g. Figure 11A, red lines), however some locations have a more gradual response (Figure
28 11A, blue lines). On the South facing slope, this sharp rise is reflected in a sharp water table rise in
29 some locations, and a more gradual rise in others. On the North facing slope, the water table rises only
30 gradually in all locations (Figure 11B,C). The two locations on the North facing slope with gradual soil
31 moisture response had a soil layer containing larger rocks (5-10 cm diameter) at 45-60 cm depth. This
32 feature may promote fast drainage and therefore slow the soil wetting process.

33 The winter wet-up is a critical event in terms of flow prediction, as was previously shown in Figure 5
34 which illustrates the stark differences in runoff coefficients in winter vs summer. However, the spatial
35 variation shown here in the rate and magnitude of the wet-up illustrates that it is a complex
36 phenomenon which occurs differently for hillslopes with a different aspect.

37 4.4.4 Variability in event dynamics: recession characteristics

38 During a dry period, soil moisture, water table and flows undergo a recession. It is common to collate
39 flow recessions, to specify a master recession shape which can then be used directly to calculate model
40 parameters related to baseflow generation. Recessions are typically expected to be a convex function
41 of time; initial drying occurs quickly from loosely-bound water, but drying slows as only more tightly-
42 bound water remains. In the Langs Gully catchment, we were surprised to find strong variations in

1 recession shapes. This is illustrated in Figure 12, which shows the recession shapes of soil moisture at
2 30 cm on the North facing slope after a September rainfall event, including both convex and concave
3 shapes. We found that at different times of the year, the same soil moisture sensor at the same soil
4 moisture content could display either convex or concave behaviour, suggesting that this finding is not
5 an artefact of the soil moisture sensor calibration or the particular soil tension characteristics. We also
6 found that the shape (i.e. convex or concave) of the corresponding 60 cm soil moisture response was
7 typically the same as the 30 cm sensor (not shown). It can also occur across the range of soil moisture
8 contents. Instead, the difference in recession shapes could be due to either transient downslope flow
9 towards the sensor, similar to the theoretical case described by Henderson and Wooding (1964), or
10 seasonally varying vegetation characteristics. For example, the unusual concave responses could be
11 due to plants exhausting near-surface soil water stores and therefore starting to extract water from
12 the slightly deeper location of the soil moisture sensor.

13 5 Summary and implications of variability

14 Our results have shown multiple modes of spatial and temporal variability in storage in the Langs Gully
15 catchment. Here we summarise the temporal variability in soil moisture and groundwater, followed
16 by spatial variability in soil moisture and groundwater. We then consider connections between them,
17 i.e. temporal changes in spatial variability. Lastly we consider implications of variability for catchment
18 runoff response and prediction.

19 **Temporal variability** is characterised by a strong seasonal cycle in catchment wetness; the mean and
20 extremes of the soil moisture and water table distributions are higher in winter than summer. The
21 cycle is driven by PET rather than rainfall depth, and causes significantly higher runoff coefficients in
22 winter. The seasonal cycle in soil moisture shows a long, high winter plateau; compared to water table
23 levels that respond mainly to individual events. The catchment wets up quickly in autumn, but takes
24 longer to dry out in spring, and spring rainfall can briefly return soil moisture and water table levels to
25 their winter state. The volume of stored water in the catchment also has a seasonal cycle, mostly due
26 to increased groundwater in winter, especially during the largest storms.

27 **Spatial variability** is controlled most strongly by aspect and distance from stream. South facing slopes
28 have similar mean soil moisture to North facing slopes, but more events lead to a soil moisture
29 response, and experience soil saturation more often. Water table levels are higher in South facing
30 slopes and more consistent between locations within the South-facing slope. Near stream locations
31 have higher soil moisture for both mean and extremes, and experience more wetting events. Near-
32 stream locations frequently record saturation in winter, whereas far-stream locations have water
33 tables below the soil moisture sensors and the 1.5 m wells for almost the whole study period. We
34 found a strong interaction between groundwater level and soil moisture distribution. Sites where
35 water tables peaked above the 30 cm sensor had a significantly higher soil moisture distribution
36 compared to sites where water table remained below 30 cm for the whole study period. The finding
37 that soil moisture distribution is dependent on water table depth agrees with measurements in Nordic
38 catchments by Beldring et al. (1999).

39 Our conclusion that aspect is an important control on soil moisture echoes the results of previous
40 studies in NZ hill country (e.g. Bretherton et al., 2010; Lambert and Roberts, 1976). The mechanisms
41 linking aspect with soil moisture are varied. For example, Lambert and Roberts (1976) found complex

1 interactions between air temperature, soil temperature and ET, driven by wind direction and aspect-
2 induced radiation differences. They note that the specific heat capacity of soil drops as it dries, leading
3 to a positive feedback cycle. In the Langs Gully catchment, the South facing slopes are also steeper
4 than the North facing slopes. This is not obviously due to geological bedding – the main trend of
5 syncline-anticline pairs in the wider Waipara catchment is Northwest-Southeast (transverse to
6 catchment slopes), and in the immediate area of Langs Gully, known dip directions are highly variable.
7 However, feedbacks are likely to exist between slope angle, vegetation (denser shrub cover on South-
8 facing slopes), soil depth (thinner on South-facing slopes) and downslope sediment transport. Shading
9 by denser vegetation and increased lateral flow are possible causes of the increased number of
10 wetting events on the South-facing slope. Typical hydrological models do not account for aspect, but
11 our results suggest that this is an important factor to consider in hillslope runoff generation.

12 **Temporal changes in spatial variability.** We suggest that spatial variability can be classified as being
13 in '*summer mode*' or '*winter mode*'. These modes are illustrated as a schematic diagram in Figure 13.
14 In *summer mode*, variability is controlled by shallow processes e.g. interaction of water with soils and
15 vegetation. Water does not typically penetrate to deeper soil moisture or groundwater. Summer
16 variability is therefore disconnected from the channel, and will not directly affect the flow response.
17 However, summer variability affects land surface processes such as evapotranspiration, and may have
18 a lagged effect on the autumn/winter wetting-up process. An example of the disconnect is that the 30
19 cm soil moisture sites that reacted most strongly to the selected summer rainfall event did not
20 correspond to the 'Saturating' sites identified in Section 4.4.2 as having consistently wetter soil
21 moisture and shallow water tables.

22 In *winter mode*, variability is controlled by deeper processes e.g. groundwater movement and bypass
23 flow. The change from shallow vertical flow in dry conditions to vertical bypass flow and lateral flows
24 from upslope in wet conditions is very similar to that found by Detty and McGuire (2010a). However,
25 the summer and winter modes in Langs Gully differ from those found by Bachmair et al. (2012). In
26 their catchment, intense summer storms onto dry soil caused preferential flow and fast, strong,
27 spatially variable water table responses throughout the hillslope. In contrast, their winter storms led
28 to slower water table responses that were strongest at near-stream locations.

29 In the shoulder seasons, there is a spatially variable shift between the summer and winter modes.
30 Sensors in near-stream locations, particularly those with responsive water tables, stay longer in winter
31 mode. As locations switch between summer and winter modes at varying rates, spatial variability is
32 increased. This effect is particularly evident on the North facing slope, where soil moisture standard
33 deviation at 30 and 60 cm has a sustained rise during the spring drying period. Rosenbaum et al. (2012)
34 similarly found that seasonal differences between groundwater-influenced and groundwater-distant
35 locations had a strong effect on soil moisture standard deviation. This effect provides one explanation
36 for why high spatial and temporal variability tend to co-occur, as has been found in previous work in
37 New Zealand (McMillan et al., 2014).

38 **Implications for prediction of runoff generation.** It is common for some parts of the Langs Gully
39 catchment to wet-up or become saturated, and hence potentially contribute to a runoff response,
40 while other parts of the catchment remain dry. Near-stream and South-facing locations have higher
41 water tables and experience more wetting events. We were able to classify the near-stream sensors

1 into 'Saturating zones' and 'Non-saturating zones'. The saturating zones had higher water table and
2 wetter soil moisture distributions. These zones remained distinct throughout the year.

3 The Saturating zones are likely to be dominant areas for runoff generation, as wetter soils facilitate
4 vertical drainage and high water tables increase lateral transmissivity. For example, Jencso et al. (2010)
5 found that connectivity between hillslopes and riparian zones led to fast turnover times of riparian
6 groundwater. However, the Saturating/Non-saturating zones did not correspond with the pattern of
7 sensors wetted by infiltration during a summer storm event. The different patterns imply that shallow
8 soil moisture storm responses may not provide a good guide to winter run-off generation pathways,
9 as also found by Tromp-van Meerveld and McDonnell (2005). Rainfall-runoff model structures that
10 delineate catchment landscape components according to dominant processes (e.g. Gharari et al.,
11 2011) may need to use different spatial disaggregations for shallow soil water and ground water.

12 Understanding catchment variability has further implications for predictions of catchment behaviour.
13 Variability controls which parts of the catchment are generating runoff and controlling water
14 partitioning: it therefore controls uncertainty in flow predictions, depending on our knowledge or lack
15 of knowledge about those water stores or fluxes. Similarly, variability controls how quickly water flows
16 through a catchment, as the different response modes direct water into flow paths with different
17 transit times (Heidbuechel et al., 2013). Variability also provides clues into unmeasured fluxes which
18 are important for catchment response; for example areas with more rapid water table movement
19 suggest locations of preferential flow paths, either vertical or horizontal. Signatures of the catchment
20 variability are seen in the flow response, such as a double or prolonged peak caused by slower
21 groundwater pathways (also found by Bachmair et al. (2012)), and seasonally variable changes in
22 contributions between different hillslopes. These features suggest that understanding catchment
23 scale variability in hydrological processes is essential for predicting the hydrograph.

24 6 Conclusion

25 We made distributed measurements of flow, soil moisture and depth to groundwater in a New
26 Zealand headwater catchment, to characterise controls on variability in hydrological responses to
27 rainfall events. The data showed that temporal variability in soil moisture was dominated by a strong
28 seasonal cycle in PET and resulting moisture content, with event dynamics superimposed. The volume
29 of stored water in the catchment had a corresponding seasonal cycle, mostly due to increased
30 groundwater in winter. Spatial variability is controlled most strongly by aspect and distance from
31 stream: South-facing and near-stream sites are typically wetter, and in particular have more and larger
32 wetting events. The relative wetness of different locations was stable: high water table locations were
33 consistent across seasons, and sites where water tables peaked above 30 cm depth had consistently
34 wetter soils. Temporal dynamics vary spatially, including timing of winter wet up (faster on South-
35 facing slopes), different rates of groundwater response (slow at far-stream sites) and different
36 recession shapes (no clear spatial pattern).

37 We examined soil moisture and groundwater responses to rainfall, for dry vs. wet antecedent
38 conditions, and found significant differences in the patterns of response. This led us to classify
39 catchment variability as being in '*summer mode*' or '*winter mode*'. In *summer mode*, variability is
40 controlled by shallow processes e.g. interaction between water and soils and vegetation, and sites
41 where soil moisture reacts strongly to a rainfall event may not correspond with the usual wetter

1 locations. In *winter mode*, variability is controlled by deeper processes e.g. groundwater movement
2 and bypass flow. In both cases, variability is strongest for stores where water content is typically close
3 to a threshold such as saturation. Because spatial variability changes with season, we suggest that
4 methods to predict emergent catchment behaviour arising from small-scale variability in storage may
5 also need to change with season.

6 7 Acknowledgements

7 The authors would like to thank the Editor Ilja van Meerveld and two anonymous referees for their
8 thorough and helpful reviews. We thank the NIWA Christchurch field and instrument system teams,
9 and land owners Dugald and Mandy Rutherford. This research was funded by NZ Ministry of Business,
10 Innovation and Employment, Contract C01X1006 'Waterscape'.

11 8 References

12 Acclima: SDI-12 Sensor Data Sheet. <http://acclima.com/wd/acclimadocs/agriculture/SDI-12%20TDT%20Sensor%20Data%20Sheet.pdf>, 2014.

13 Ali, G., Oswald, C. J., Spence, C., Cammeraat, E. L. H., McGuire, K. J., Meixner, T., and Reaney, S. M.:
14 Towards a unified threshold-based hydrological theory: necessary components and recurring
15 challenges, *Hydrological Processes*, 27, 313-318, 10.1002/hyp.9560, 2013.

16 Anderson, M. G., and Burt, T. P.: The role of topography in controlling throughflow generation, *Earth
17 surface processes*, 3, 331 – 344, 1978.

18 Bachmair, S., Weiler, M., and Troch, P. A.: Intercomparing hillslope hydrological dynamics: Spatio-
19 temporal variability and vegetation cover effects, *Water Resources Research*, 48,
20 10.1029/2011wr011196, 2012.

21 Beldring, S., Gottschalk, L., Seibert, J., and Tallaksen, L. M.: Distribution of soil moisture and
22 groundwater levels at patch and catchment scales, *Agricultural and Forest Meteorology*, 98-9, 305-
23 324, 10.1016/s0168-1923(99)00103-3, 1999.

24 Beven, K., and Kirkby, M. J.: A physically based variable contributing area model of basin hydrology,
25 *Hydrol. Sci. Bull.*, 24, 43-69, 1979.

26 Beven, K., and Germann, P.: Macropores and water flow in soils revisited, *Water Resources Research*,
27 49, 3071-3092, 10.1002/wrcr.20156, 2013.

28 Bidwell, V. J., Stenger, R., and Barkle, G. F.: Dynamic analysis of groundwater discharge and partial-
29 area contribution to Pukemanga Stream, New Zealand, *Hydrol. Earth Syst. Sci.*, 12, 975-987, 2008.

30 Binley, A., Ullah, S., Heathwaite, A. L., Heppell, C., Byrne, P., Lansdown, K., Trimmer, M., and Zhang,
31 H.: Revealing the spatial variability of water fluxes at the groundwater-surface water interface, *Water
32 Resources Research*, 49, 3978-3992, 10.1002/wrcr.20214, 2013.

33 Blöschl, G., and Sivapalan, M.: Scale Issues in Hydrological Modeling - A Review, *Hydrological
34 Processes*, 9, 251-290, 1995.

35 Bretherton, M. R., Scotter, D. R., Horne, D. J., and Hedley, M. J.: Towards an improved understanding
36 of the soil water balance of sloping land under pasture, *New Zealand Journal of Agricultural Research*,
37 53, 175-185, 2010.

38 Brocca, L., Morbidelli, R., Melone, F., and Moramarco, T.: Soil moisture spatial variability in
39 experimental areas of central Italy, *Journal of Hydrology*, 333, 356-373,
40 10.1016/j.jhydrol.2006.09.004, 2007.

1 Bronstert, A., and Bardossy, A.: The role of spatial variability of soil moisture for modelling surface
2 runoff generation at the small catchment scale, *Hydrol. Earth Syst. Sci.*, 3, 505-516, 1999.

3 Chen, X., and Hu, Q.: Groundwater influences on soil moisture and surface evaporation, *Journal of
4 Hydrology*, 297, 285-300, 10.1016/j.jhydrol.2004.04.019, 2004.

5 Crave, A., and Gascuel-Odoux, C.: The influence of topography on time and space distribution of soil
6 surface water content, *Hydrological Processes*, 11, 203-210, 1997.

7 Detty, J. M., and McGuire, K. J.: Topographic controls on shallow groundwater dynamics: implications
8 of hydrologic connectivity between hillslopes and riparian zones in a till mantled catchment,
9 *Hydrological Processes*, 24, 2222-2236, 10.1002/hyp.7656, 2010a.

10 Detty, J. M., and McGuire, K. J.: Threshold changes in storm runoff generation at a till-mantled
11 headwater catchment, *Water Resources Research*, 46, 10.1029/2009wr008102, 2010b.

12 Eger, A., and Hewitt, A.: Soils and their relationship to aspect and vegetation history in the eastern
13 Southern Alps, Canterbury High Country, South Island, New Zealand, *Catena*, 75, 297-307,
14 10.1016/j.catena.2008.07.008, 2008.

15 Entin, J. K., Robock, A., Vinnikov, K. Y., Hollinger, S. E., Liu, S. X., and Namkhai, A.: Temporal and spatial
16 scales of observed soil moisture variations in the extratropics, *Journal of Geophysical Research-
17 Atmospheres*, 105, 11865-11877, 10.1029/2000jd900051, 2000.

18 Famiglietti, J. S., Ryu, D., Berg, A. A., Rodell, M., and Jackson, T. J.: Field observations of soil moisture
19 variability across scales, *Water Resources Research*, 44, 10.1029/2006wr005804, 2008.

20 Fenicia, F., Wrede, S., Kavetski, D., Pfister, L., Hoffmann, L., Savenije, H. H. G., and McDonnell, J. J.:
21 Assessing the impact of mixing assumptions on the estimation of streamwater mean residence time,
22 *Hydrological Processes*, 24, 1730-1741, 2010.

23 Freer, J., McDonnell, J. J., Beven, K. J., Peters, N. E., Burns, D. A., Hooper, R. P., Aulenbach, B., and
24 Kendall, C.: The role of bedrock topography on subsurface storm flow, *Water Resources Research*, 38,
25 10.1029/2001wr000872, 2002.

26 Freer, J., McMillan, H., McDonnell, J. J., and Beven, K. J.: Constraining dynamic TOPMODEL responses
27 for imprecise water table information using fuzzy rule based performance measures, *Journal of
28 Hydrology*, 291, 254-277, 2004.

29 Fujimoto, M., Ohte, N., and Tani, M.: Effects of hillslope topography on hydrological responses in a
30 weathered granite mountain, Japan: comparison of the runoff response between the valley-head and
31 the side slope, *Hydrological Processes*, 22, 2581-2594, 10.1002/hyp.6857, 2008.

32 Gabrielli, C. P., McDonnell, J. J., and Jarvis, W. T.: The role of bedrock groundwater in rainfall-runoff
33 response at hillslope and catchment scales, *Journal of Hydrology*, 450, 117-133,
34 10.1016/j.jhydrol.2012.05.023, 2012.

35 Gharari, S., Hrachowitz, M., Fenicia, F., and Savenije, H. H. G.: Hydrological landscape classification:
36 investigating the performance of HAND based landscape classifications in a central European meso-
37 scale catchment, *Hydrol. Earth Syst. Sci.*, 15, 3275-3291, 10.5194/hess-15-3275-2011, 2011.

38 Gleeson, T., Novakowski, K., and Kyser, T. K.: Extremely rapid and localized recharge to a fractured
39 rock aquifer, *Journal of Hydrology*, 376, 496-509, 10.1016/j.jhydrol.2009.07.056, 2009.

40 Graham, C. B., and McDonnell, J. J.: Hillslope threshold response to rainfall: (2) Development and use
41 of a macroscale model, *Journal of Hydrology*, 393, 77-93, 10.1016/j.jhydrol.2010.03.008, 2010.

42 Graham, C. B., Woods, R. A., and McDonnell, J. J.: Hillslope threshold response to rainfall: (1) A field
43 based forensic approach, *Journal of Hydrology*, 393, 65-76, 10.1016/j.jhydrol.2009.12.015, 2010.

1 Grayson, R. B., and Western, A. W.: Towards areal estimation of soil water content from point
2 measurements: time and space stability of mean response, *Journal of Hydrology*, 207, 68-82,
3 10.1016/s0022-1694(98)00096-1, 1998.

4 Grayson, R. B., and Bloschl, G.: Spatial Processes, Organisation and Patterns, in: *Spatial Patterns in*
5 *Catchment Hydrology: Observations and Modelling.*, edited by: Grayson, R. B., and Bloschl, G.,
6 Cambridge University Press, Cambridge, 1-16, 2000.

7 Grayson, R. B., Bloschl, G., Western, A. W., and McMahon, T. A.: Advances in the use of observed
8 spatial patterns of catchment hydrological response, *Advances in Water Resources*, 25, 1313-1334,
9 10.1016/s0309-1708(02)00060-x, 2002.

10 Gupta, H. V., Perrin, C., Kumar, R., Blöschl, G., Clark, M., Montanari, A., and Andréassian, V.: Large-
11 sample hydrology: a need to balance depth with breadth, *Hydrol. Earth Syst. Sci. Discuss.*, 10, 9147-
12 9189, 2013.

13 Haria, A. H., and Shand, P.: Evidence for deep sub-surface flow routing in forested upland Wales:
14 implications for contaminant transport and stream flow generation, *Hydrol. Earth Syst. Sci.*, 8, 334-
15 344, 2004.

16 Harman, C., and Sivapalan, M.: A similarity framework to assess controls on shallow subsurface flow
17 dynamics in hillslopes, *Water Resources Research*, 45, 10.1029/2008wr007067, 2009.

18 Haught, D. R. W., and Meerveld, H. J.: Spatial variation in transient water table responses: differences
19 between an upper and lower hillslope zone, *Hydrological Processes*, 25, 3866-3877,
20 10.1002/hyp.8354, 2011.

21 Heidbuechel, I., Troch, P. A., and Lyon, S. W.: Separating physical and meteorological controls of
22 variable transit times in zero-order catchments, *Water Resources Research*, 49, 7644-7657,
23 10.1002/2012wr013149, 2013.

24 Henderson, F. M., and Wooding, R. A.: Overland flow and groundwater flow from a steady rainfall of
25 finite duration, *Journal of Geophysical Research*, 69, 1531-1540, 1964.

26 Jackson, R. J.: The effect of slope, aspect and albedo on potential evapotranspiration from hillslopes
27 and catchments, *Journal of Hydrology (NZ)*, 6, 60-69, 1967.

28 Jencso, K. G., McGlynn, B. L., Gooseff, M. N., Bencala, K. E., and Wondzell, S. M.: Hillslope hydrologic
29 connectivity controls riparian groundwater turnover: Implications of catchment structure for riparian
30 buffering and stream water sources, *Water Resources Research*, 46, 10.1029/2009wr008818, 2010.

31 Kaplan, D., and Munoz-Carpena, R.: Complementary effects of surface water and groundwater on soil
32 moisture dynamics in a degraded coastal floodplain forest, *Journal of Hydrology*, 398, 221-234,
33 10.1016/j.jhydrol.2010.12.019, 2011.

34 Kavetski, D., Kuczera, G., and Franks, S. W.: Calibration of conceptual hydrological models revisited: 1.
35 Overcoming numerical artefacts, *Journal of Hydrology*, 320, 173-186, 10.1016/j.jhydrol.2005.07.012,
36 2006.

37 Kim, C. P., Salvucci, G. D., and Entekhabi, D.: Groundwater-surface water interaction and the climatic
38 spatial patterns of hillslope hydrological response, *Hydrol. Earth Syst. Sci.*, 3, 375-384, 1999.

39 Kim, S., Lee, H., Woo, N. C., and Kim, J.: Soil moisture monitoring on a steep hillside, *Hydrological
40 Processes*, 21, 2910-2922, 10.1002/hyp.6508, 2007.

41 Kirchner, J. W.: Getting the right answers for the right reasons: Linking measurements, analyses, and
42 models to advance the science of hydrology, *Water Resources Research*, 42, W03s04,
43 10.1029/2005wr004362, 2006.

1 Kosugi, K. i., Katsura, S. y., Mizuyama, T., Okunaka, S., and Mizutani, T.: Anomalous behavior of soil
2 mantle groundwater demonstrates the major effects of bedrock groundwater on surface hydrological
3 processes, *Water Resources Research*, 44, 10.1029/2006wr005859, 2008.

4 Kosugi, K. i., Fujimoto, M., Katsura, S. y., Kato, H., Sando, Y., and Mizuyama, T.: Localized bedrock
5 aquifer distribution explains discharge from a headwater catchment, *Water Resources Research*, 47,
6 10.1029/2010wr009884, 2011.

7 Lambert, M. G., and Roberts, E.: Aspect differences in an unimproved hill country pasture. I. Climatic
8 differences., *New Zealand Journal of Agricultural Research*, 19, 459-467, 1976.

9 Lana-Renault, N., Regues, D., Serrano, P., and Latron, J.: Spatial and temporal variability of
10 groundwater dynamics in a sub-Mediterranean mountain catchment, *Hydrological Processes*, 28,
11 3288-3299, 10.1002/hyp.9892, 2014.

12 Lehmann, P., Hinz, C., McGrath, G., Tromp-van Meerveld, H. J., and McDonnell, J. J.: Rainfall threshold
13 for hillslope outflow: an emergent property of flow pathway connectivity, *Hydrol. Earth Syst. Sci.*, 11,
14 1047-1063, 2007.

15 Lilburne, L., Hewitt, A., Webb, T. H., and Carrick, S.: S-map: a new soil database for New Zealand. ,
16 Proceedings of SuperSoil 2004: 3rd Australian New Zealand Soils Conference, Sydney, Australia., 1-8,
17 2004.

18 Lowry, T. S., Bright, J. C., Close, M. E., Robb, C. A., White, P. A., and Cameron, S. G.: Management gaps
19 analysis: A case study of groundwater resource management in New Zealand, *International Journal of
20 Water Resources Development*, 19, 579-592, 10.1080/0790062032000161382, 2003.

21 McDonnell, J. J.: A rationale for old water discharge through macropores in a steep, humid catchment,
22 *Water Resources Research*, 26, 2821-2832, 1990.

23 McGlynn, B. L., McDonnel, J. J., and Brammer, D. D.: A review of the evolving perceptual model of
24 hillslope flowpaths at the Maimai catchments, New Zealand, *Journal of Hydrology*, 257, 1-26, 2002.

25 McMillan, H.: Effect of spatial variability and seasonality in soil moisture on drainage thresholds and
26 fluxes in a conceptual hydrological model, *Hydrological Processes*, 26, 2838-2844, 2012.

27 McMillan, H., Gueguen, M., Grimon, E., Woods, R., Clark, M. P., and Rupp, D. E.: Spatial variability of
28 hydrological processes and model structure diagnostics in a 50 km² catchment, *Hydrological Processes*, DOI: 10.1002/hyp.9988, 2014.

29 Michot, D., Benderitter, Y., Dorigny, A., Nicoullaud, B., King, D., and Tabbagh, A.: Spatial and temporal
30 monitoring of soil water content with an irrigated corn crop cover using surface electrical resistivity
31 tomography, *Water Resources Research*, 39, 10.1029/2002wr001581, 2003.

32 Moore, R. J.: The PDM rainfall-runoff model, *Hydrol. Earth Syst. Sci.*, 11, 483-499, 2007.

33 Njoku, E. G., Wilson, W. J., Yueh, S. H., Dinardo, S. J., Li, F. K., Jackson, T. J., Lakshmi, V., and Bolten, J.:
34 Observations of soil moisture using a passive and active low-frequency microwave airborne sensor
35 during SGP99, *IEEE Transactions on Geoscience and Remote Sensing*, 40, 2659-2673,
36 10.1109/tgrs.2002.807008, 2002.

37 Nyberg, L.: Spatial variability of soil water content in the covered catchment at Gardsjon, Sweden,
38 *Hydrological Processes*, 10, 89-103, 1996.

39 Onda, Y., Komatsu, Y., Tsujimura, M., and Fujihara, J.: The role of subsurface runoff through bedrock
40 on storm flow generation, *Hydrological Processes*, 15, 1693-1706, 10.1002/hyp.234, 2001.

41 Parlange, M.B., T.S. Steenhuis, D.J. Timlin, F. Stagnitti, and R.B. Bryant, Subsurface flow above a
42 fragipan horizon, *Soil Sci.*, 148(2), 77-86, 1989.

43 Penna, D., Borga, M., Norbiato, D., and Fontana, G. D.: Hillslope scale soil moisture variability in a steep
44 alpine terrain, *Journal of Hydrology*, 364, 311-327, 10.1016/j.jhydrol.2008.11.009, 2009.

1 Qiu, Y., Fu, B. J., Wang, J., and Chen, L. D.: Soil moisture variation in relation to topography and land
2 use in a hillslope catchment of the Loess Plateau, China, *Journal of Hydrology*, 240, 243-263,
3 10.1016/s0022-1694(00)00362-0, 2001.

4 Rosenbaum, U., Bogena, H. R., Herbst, M., Huisman, J. A., Peterson, T. J., Weuthen, A., Western, A.
5 W., and Vereecken, H.: Seasonal and event dynamics of spatial soil moisture patterns at the small
6 catchment scale, *Water Resources Research*, 48, 10.1029/2011wr011518, 2012.

7 Ryu, D., and Famiglietti, J. S.: Characterization of footprint-scale surface soil moisture variability using
8 Gaussian and beta distribution functions during the Southern Great Plains 1997 (SGP97) hydrology
9 experiment, *Water Resources Research*, 41, W12433, 10.1029/2004wr003835, 2005.

10 Schmidt, J., and Hewitt, A.: Fuzzy land element classification from DTMs based on geometry and
11 terrain position, *Geoderma*, 121, 243-256, 10.1016/j.geoderma.2003.10.008, 2004.

12 Seneviratne, S. I., Corti, T., Davin, E. L., Hirschi, M., Jaeger, E. B., Lehner, I., Orlowsky, B., and Teuling,
13 A. J.: Investigating soil moisture-climate interactions in a changing climate: A review, *Earth-Science
Reviews*, 99, 125-161, 10.1016/j.earscirev.2010.02.004, 2010.

15 Sidle, R. C.: Field observations and process understanding in hydrology: essential components in
16 scaling, *Hydrological Processes*, 20, 1439-1445, 10.1002/hyp.6191, 2006.

17 Soulsby, C., Tetzlaff, D., van den Bedem, N., Malcolm, I. A., Bacon, P. J., and Youngson, A. F.: Inferring
18 groundwater influences on surface water in montane catchments from hydrochemical surveys of
19 springs and streamwaters, *Journal of Hydrology*, 333, 199-213, 10.1016/j.jhydrol.2006.08.016, 2007.

20 Soulsby, C., Neal, C., Laudon, H., Burns, D. A., Merot, P., Bonell, M., Dunn, S. M., and Tetzlaff, D.:
21 Catchment data for process conceptualization: simply not enough?, *Hydrological Processes*, 22, 2057-
22 2061, 10.1002/hyp.7068, 2008.

23 Tetzlaff, D., McDonnell, J. J., Uhlenbrook, S., McGuire, K. J., Bogaart, P. W., Naef, F., Baird, A. J., Dunn,
24 S. M., and Soulsby, C.: Conceptualizing catchment processes: simply too complex?, *Hydrological
Processes*, 22, 1727-1730, 10.1002/hyp.7069, 2008.

26 Teuling, A. J., and Troch, P. A.: Improved understanding of soil moisture variability dynamics,
27 *Geophysical Research Letters*, 32, 10.1029/2004gl021935, 2005.

28 Teuling, A. J., Uijlenhoet, R., and Troch, P. A.: On bimodality in warm season soil moisture observations,
29 *Geophysical Research Letters*, 32, 10.1029/2005gl023223, 2005.

30 Teuling, A. J., Uijlenhoet, R., Hupet, F., van Loon, E. E., and Troch, P. A.: Estimating spatial mean root-
31 zone soil moisture from point-scale observations, *Hydrol. Earth Syst. Sci.*, 10, 755-767, 2006.

32 Teuling, A. J., Hupet, F., Uijlenhoet, R., and Troch, P. A.: Climate variability effects on spatial soil
33 moisture dynamics, *Geophysical Research Letters*, 34, 10.1029/2006gl029080, 2007.

34 Tiedeman, C. R., Goode, D. J., and Hsieh, P. A.: Characterizing a ground water basin in a new England
35 mountain and valley terrain, *Ground Water*, 36, 611-620, 10.1111/j.1745-6584.1998.tb02835.x, 1998.

36 Troch, P. A., Carrillo, G. A., Heidbuchel, I., Rajagopal, S., Switanek, M., Volkmann, T. H. M., and Yaeger,
37 M.: Dealing with catchment heterogeneity in watershed hydrology: A review of recent progress
38 towards new hydrological theory, *Geography Compass*, 3, 375-392, 2008.

39 Tromp-van Meerveld, H. J., and McDonnell, J. J.: Comment to "Spatial correlation of soil moisture in
40 small catchments and its relationship to dominant spatial hydrological processes, *Journal of Hydrology*
41 286 : 113-134", *Journal of Hydrology*, 303, 307-312, 10.1016/j.jhydrol.2004.09.002, 2005.

42 Tromp-van Meerveld, H. J., and McDonnell, J. J.: Threshold relations in subsurface stormflow: 2. The
43 fill and spill hypothesis, *Water Resources Research*, 42, 10.1029/2004wr003800, 2006a.

44 Tromp-van Meerveld, H. J., and McDonnell, J. J.: Threshold relations in subsurface stormflow: 1. A 147-
45 storm analysis of the Panola hillslope, *Water Resources Research*, 42, 10.1029/2004wr003778, 2006b.

1 Unland, N. P., Cartwright, I., Andersen, M. S., Rau, G. C., Reed, J., Gilfedder, B. S., Atkinson, A. P., and
2 Hofmann, H.: Investigating the spatio-temporal variability in groundwater and surface water
3 interactions: a multi-technique approach, *Hydrol. Earth Syst. Sci.*, 17, 3437-3453, 10.5194/hess-17-
4 3437-2013, 2013.

5 Vidon, P. G. F., and Hill, A. R.: Landscape controls on the hydrology of stream riparian zones, *Journal*
6 *of Hydrology*, 292, 210-228, 10.1016/j.jhydrol.2004.01.005, 2004.

7 Warmerdam, P., and Stricker, H.: Fundamental hydrological research results drawn from studies in
8 small catchments, in: *Status and Perspectives of Hydrology in Small Basins (Proceedings of the*
9 *Workshop held at Goslar-Hahnenklee, Germany, 30 March–2 April 2009)*, IAHS Publ., 47 – 53, 2009.

10 Western, A. W., Bloschl, G., and Grayson, R. B.: Geostatistical characterisation of soil moisture patterns
11 in the Tarrawarra a catchment, *Journal of Hydrology*, 205, 20-37, 10.1016/s0022-1694(97)00142-x,
12 1998.

13 Western, A. W., Grayson, R. B., and Bloschl, G.: Scaling of soil moisture: A hydrologic perspective,
14 *Annual Review of Earth and Planetary Sciences*, 30, 149-180, 2002.

15 Wilson, D. J., Western, A. W., Grayson, R. B., Berg, A. A., Lear, M. S., Rodell, M., Famiglietti, J. S., Woods,
16 R. A., and McMahon, T. A.: Spatial distribution of soil moisture over 6 and 30 cm depth, Mahurangi
17 river catchment, New Zealand, *Journal of Hydrology*, 276, 254-274, 10.1016/s0022-1694(03)00060-x,
18 2003.

19 Wilson, D. J., Western, A. W., and Grayson, R. B.: Identifying and quantifying sources of variability in
20 temporal and spatial soil moisture observations, *Water Resources Research*, 40,
21 10.1029/2003wr002306, 2004.

22 Winter, T. C., Buso, D. C., Shattuck, P. C., Harte, P. T., Vroblesky, D. A., and Goode, D. J.: The effect of
23 terrace geology on ground-water movement and on the interaction of ground water and surface water
24 on a mountainside near Mirror Lake, New Hampshire, USA, *Hydrological Processes*, 22, 21-32,
25 10.1002/hyp.6593, 2008.

26 Wood, E. F., Lettenmaier, D. P., and Zartarian, V. G.: A land-surface hydrology parameterization with
27 subgrid variability for general-circulation models, *Journal of Geophysical Research-Atmospheres*, 97,
28 2717-2728, 1992.

29 Woods, R., and Rowe, L.: The changing spatial variability of subsurface flow across a hillside, *Journal*
30 *of Hydrology (NZ)*, 35, 51-86, 1996.

31 Woods, R. A., Grayson, R. B., Western, A. W., Duncan, M. J., Wilson, D. J., Young, R. I., Ibbitt, R. P.,
32 Henderson, R. D., and McMahon, T. A.: Experimental Design and Initial Results from the Mahurangi
33 River Variability Experiment: MARVEX., in: *Observations and Modelling of Land Surface Hydrological*
34 *Processes*, edited by: Lakshmi, V., Albertson, J. D., and Schaake, J., *Water Resources Monographs*,
35 American Geophysical Union, Washington D.C., 201-213, 2001.

36 Zimmer, M. A., Bailey, S. W., McGuire, K. J., and Bullen, T. D.: Fine scale variations of surface water
37 chemistry in an ephemeral to perennial drainage network, *Hydrological Processes*, DOI:
38 10.1002/hyp.9449, 2012.

39

40

Reference	Control	Relationship
Brocca et al. (2007)	Upslope area	Positive spatial correlation between soil moisture and $\ln(\text{upslope area})$ at 14 sampling times.
Qiu et al. (2001)	Land use and topography descriptors including slope, aspect and elevation	Statistically significant spatial correlation between mean soil moisture and classifications of land use (higher soil moisture for crops than forest), aspect (higher soil moisture for North aspect) and slope position (higher soil moisture for downslope locations).
Kim et al. (2007)	Topographic position	Topographic zones (upper, buffer and flow path zones) defined by contributing area and distance to flow path. Qualitative differences in soil moisture dynamics found between zones.
Penna et al. (2009)	Slope, topographic index	At 5 sites and 3 depths, Pearson's correlation typically positive between soil moisture and topographic wetness index, always negative between soil moisture and slope.
Nyberg (1996)	Topographic index	Significant positive Spearman correlation between soil moisture and topographic wetness index.
Crave and Gascuel-Odoux (1997)	Height above the nearest drainage	Fitted negative exponential relationship between soil moisture and height above the nearest drainage.

1

2 Table 1: Examples of controls on soil moisture distribution found in international studies.

3

	Stones	Sand	Clay
Spurs	30-80%	10-50%	10-25%
Footslopes	5-20%	5-40%	20-35%

1

2 Table 2: Fractions of stones, sand, clay for typical spur and footslope soils at 0-30 cm depth. Sand and
3 clay values are excluding the coarse fraction.

4

	Stones	Sand	Clay
0 - 30 cm	5-20%	5-40%	20-35%
30 - 60 cm	35-80%	10-40%	20-35%

1

2 Table 3: Fractions of stones and sand for typical footslope soils at 0-30 cm and 30-60 cm depth.

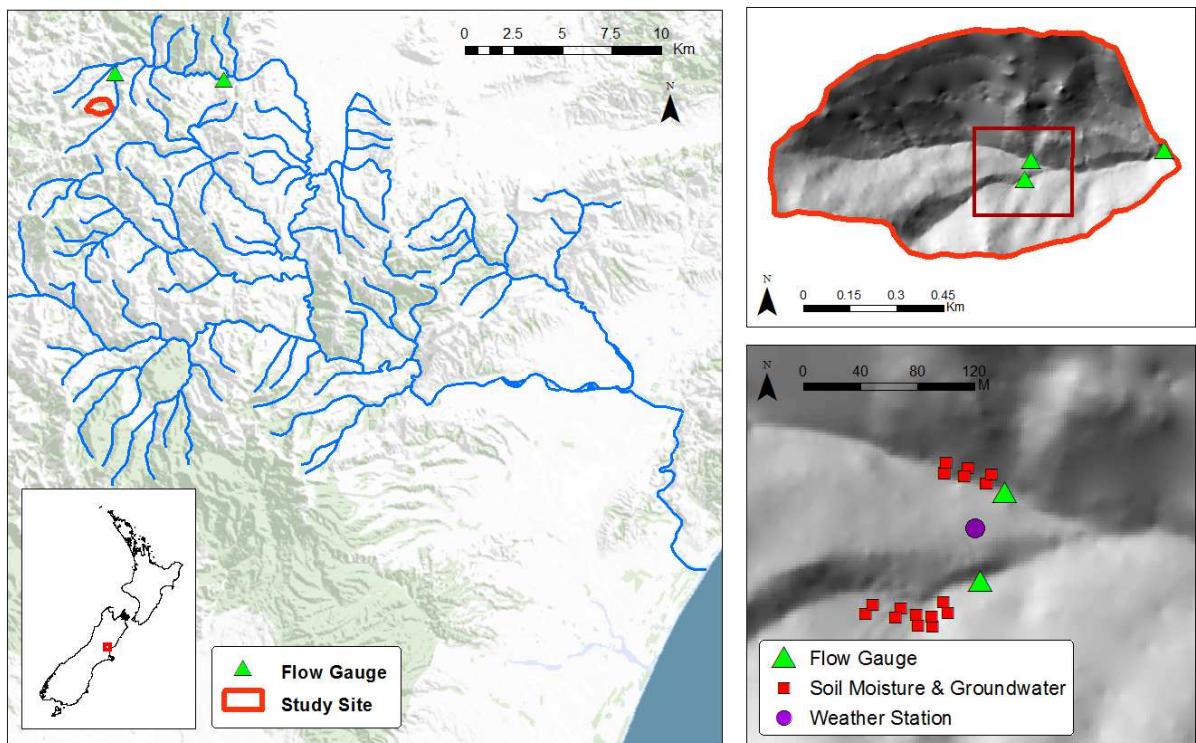
3

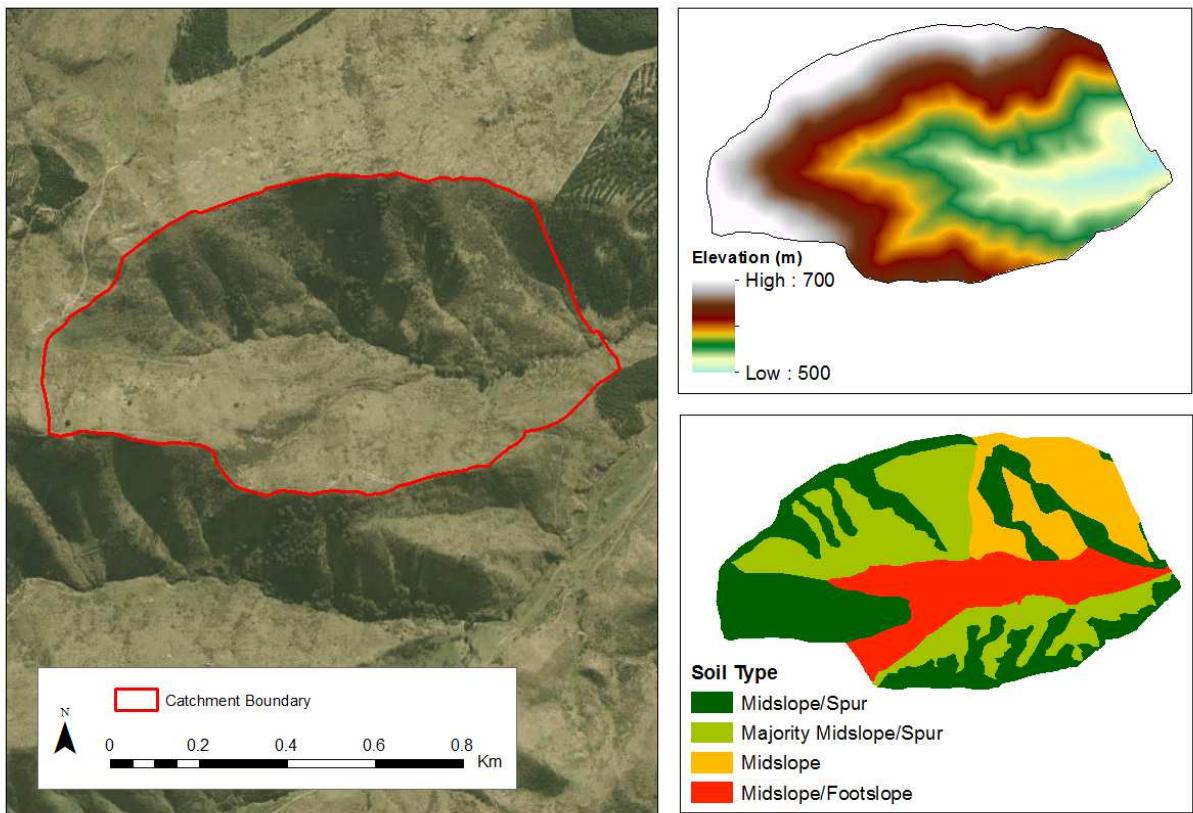
		Number of wetting events	Mean soil moisture increase in the 10 largest events
South facing	Near-stream	16	16%
	Far-stream	12	6%
North facing	Near-stream	12	12%
	Far-stream	9	6%

1

2 Table 4: Number and size of soil moisture wetting events by aspect and distance from stream, where
 3 a wetting event is defined as a period of rainfall during which soil moisture at that location rose by at
 4 least 3%.

5

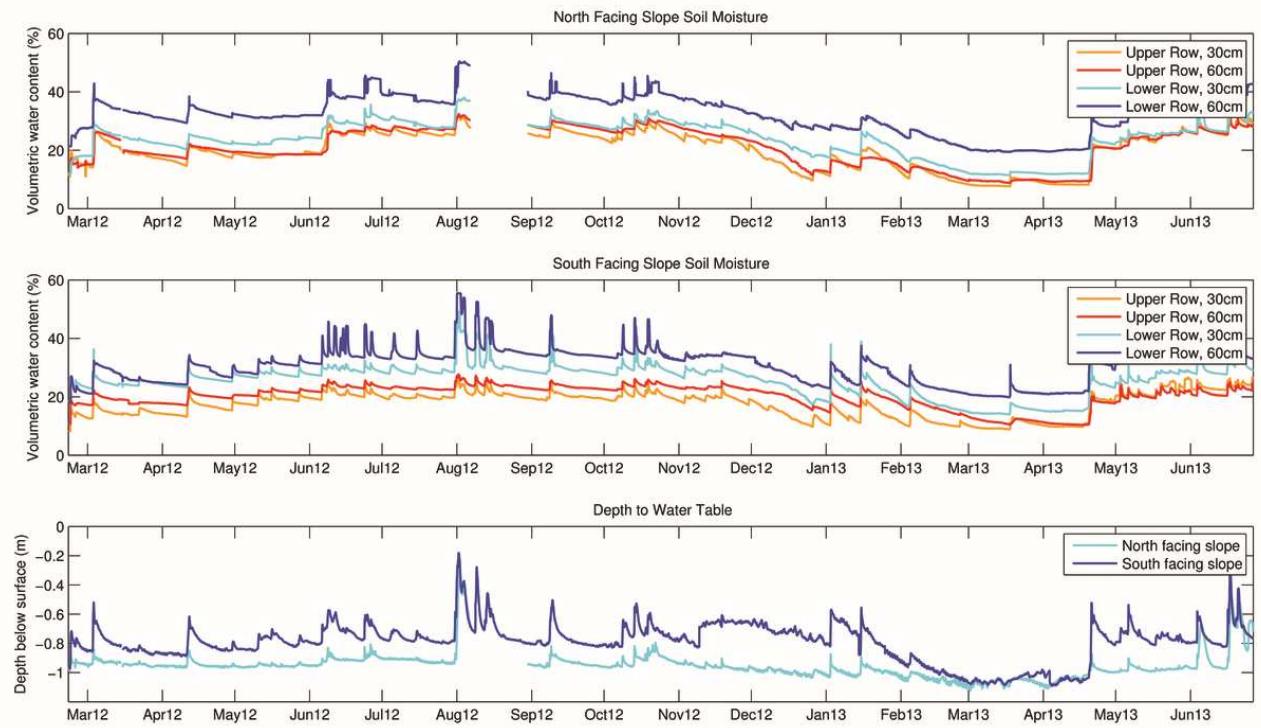




1

2 Figure 2: Catchment aerial photo, topography and soils

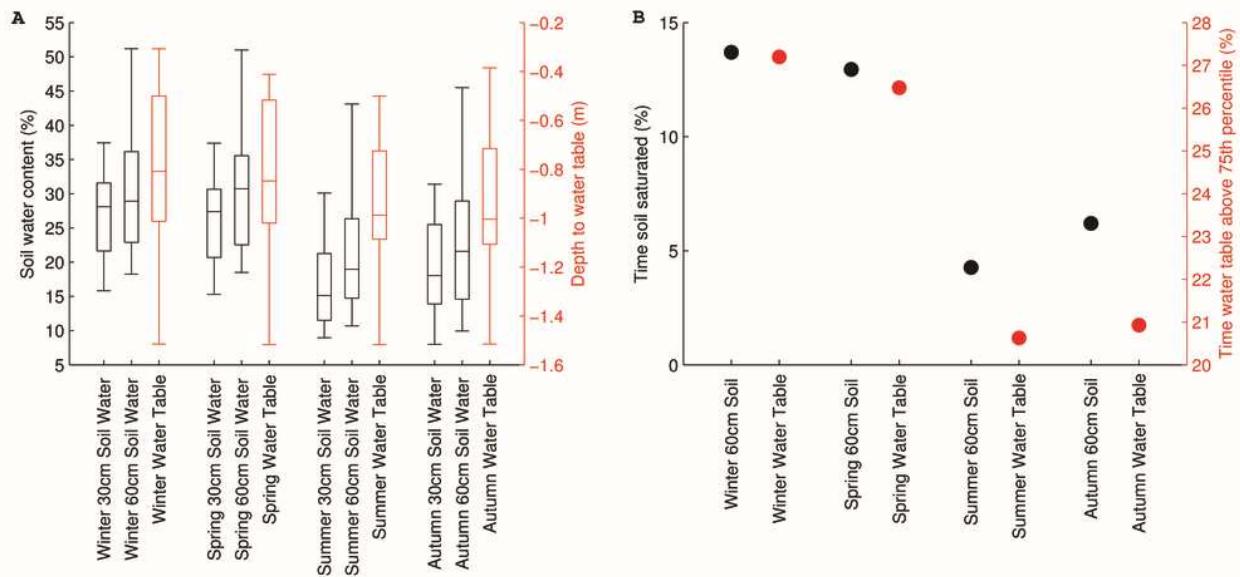
3



1

2 Figure 3: Time series of average soil moisture and groundwater level for the complete study period.

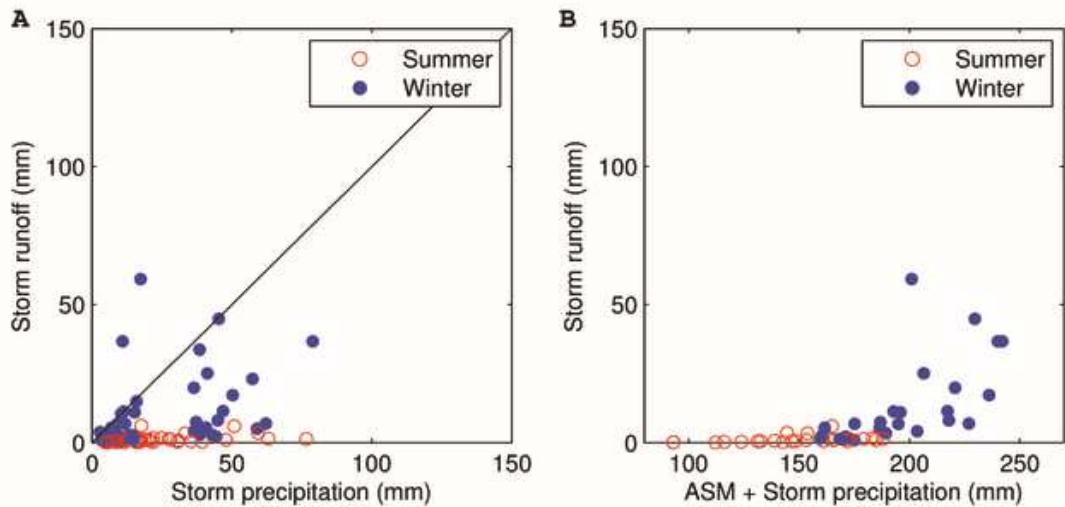
3



1

2 Figure 4: Summary statistics of soil moisture and depth to water table by season. (A) Distributions of
3 measured values. (B) Summary of wet extremes.

4

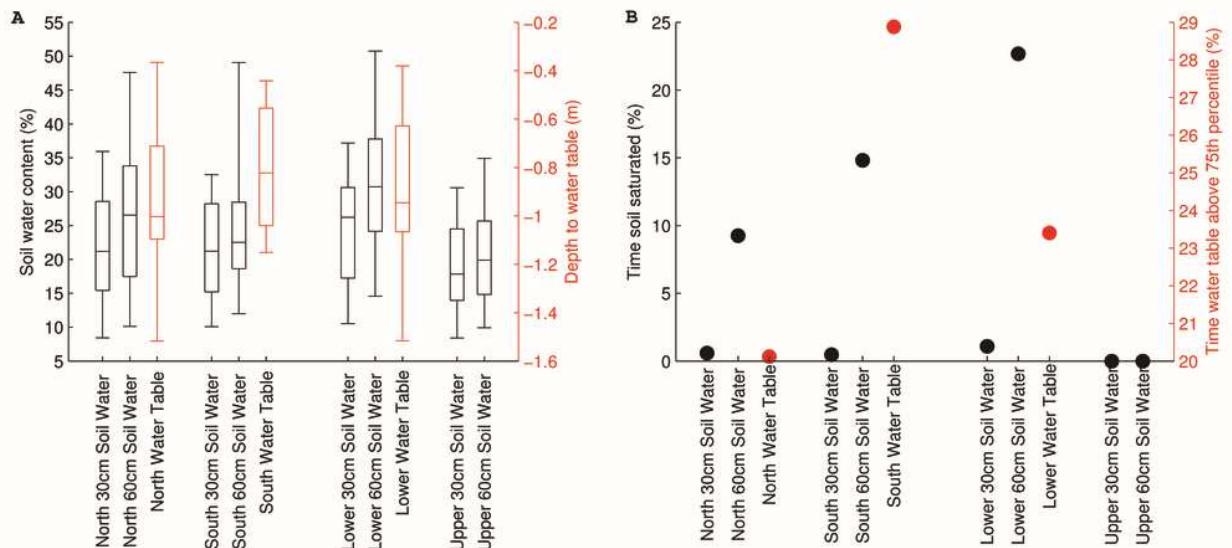


1

2 Figure 5: (A) Storm Runoff against Storm Precipitation, split by season. The definition of a storm event
 3 is described in Section 3.6. No baseflow separation was used, leading to 2 events where storm runoff
 4 includes a component of pre-storm water and exceeds storm precipitation. (B) Storm Runoff against
 5 the sum of Storm Precipitation and Antecedent Soil Moisture storage (ASM), split by season. ASM was
 6 taken as the Total Soil Moisture value from Eq 1.

7

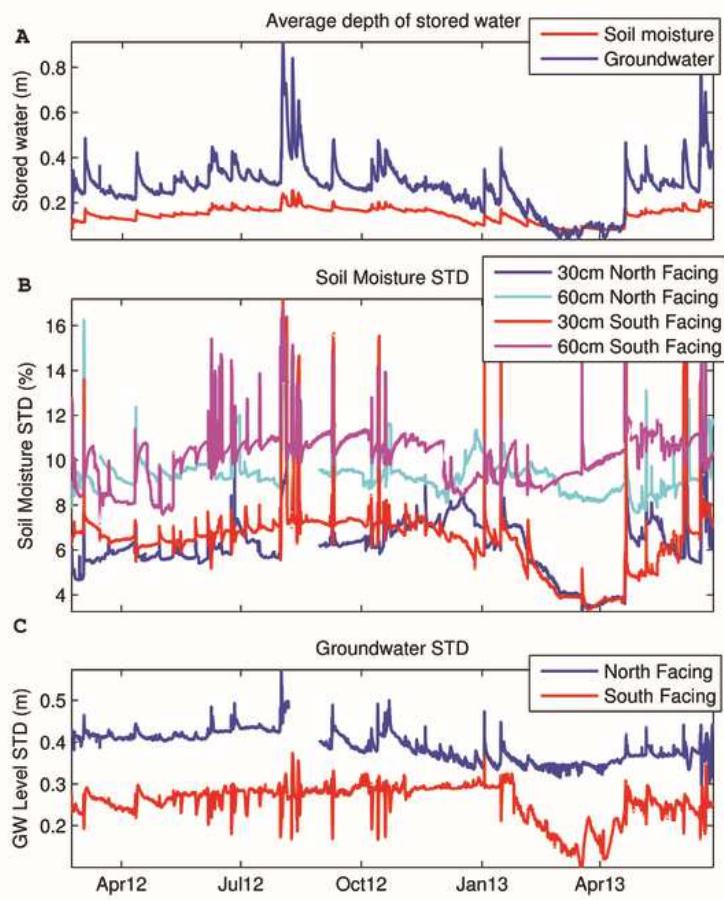
8



1

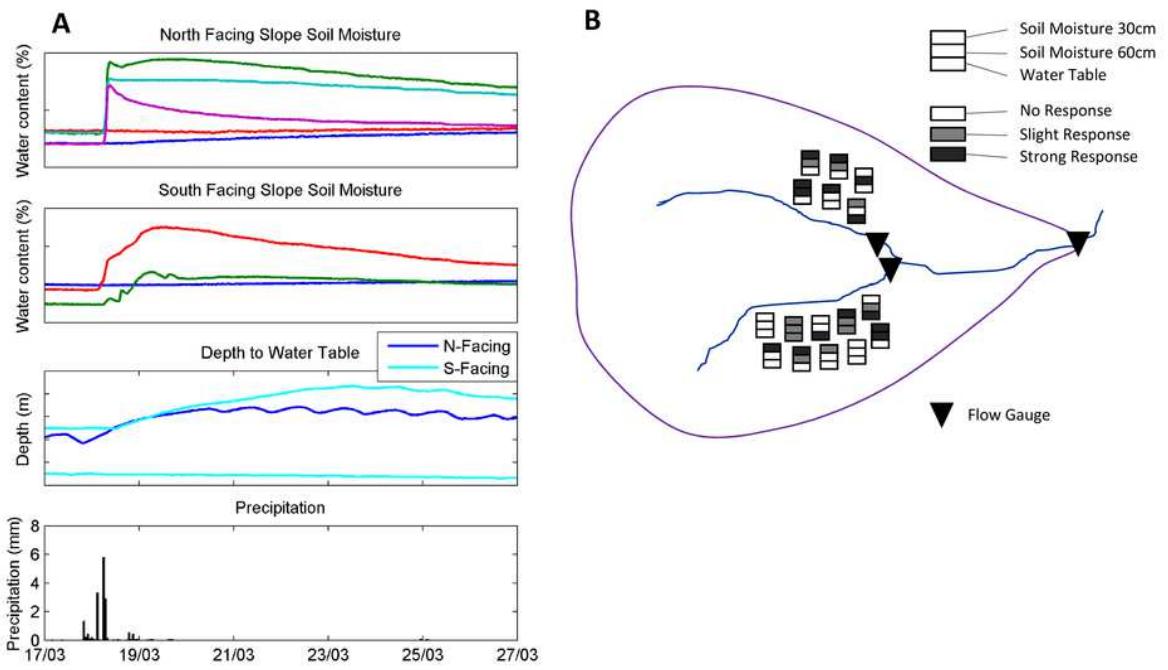
2 Figure 6: Summary statistics of soil moisture and depth to water table by location. (A) Distributions of
3 measured values. (B) Summary of wet extremes.

4



1
2 Figure 7: (A) Average depth of water stored in the catchment as soil moisture and groundwater (B)
3 Spatial standard deviation of soil moisture values, by aspect and depth (C) Spatial standard deviation
4 of groundwater levels, by aspect.

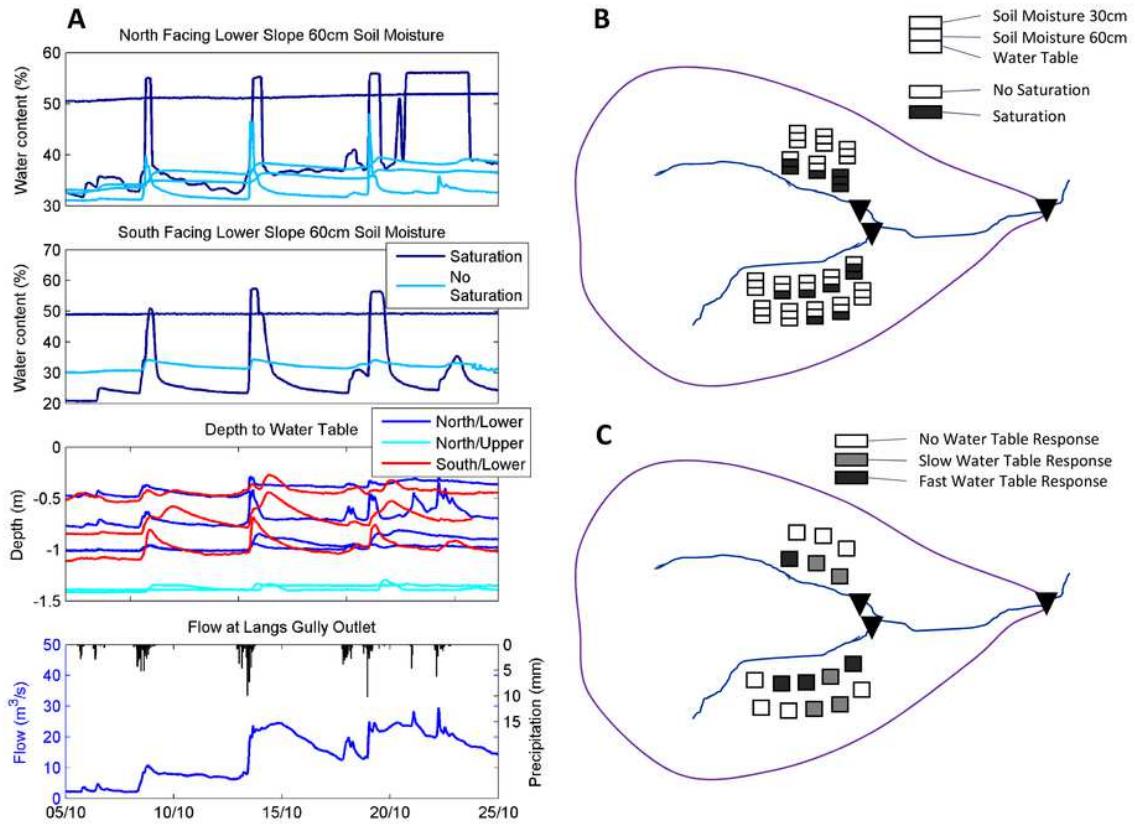
5



1

2 Figure 8: (A) Response of selected sensors to a March rainfall event. First and second panels: Soil
 3 moisture responses in North- and South-facing slopes respectively. Colours are used only for visual
 4 clarity. Third panel: Depth to water table. Fourth panel: Storm precipitation (B) Spatial overview of
 5 strength of soil moisture and water table sensor responses to the March rainfall event.

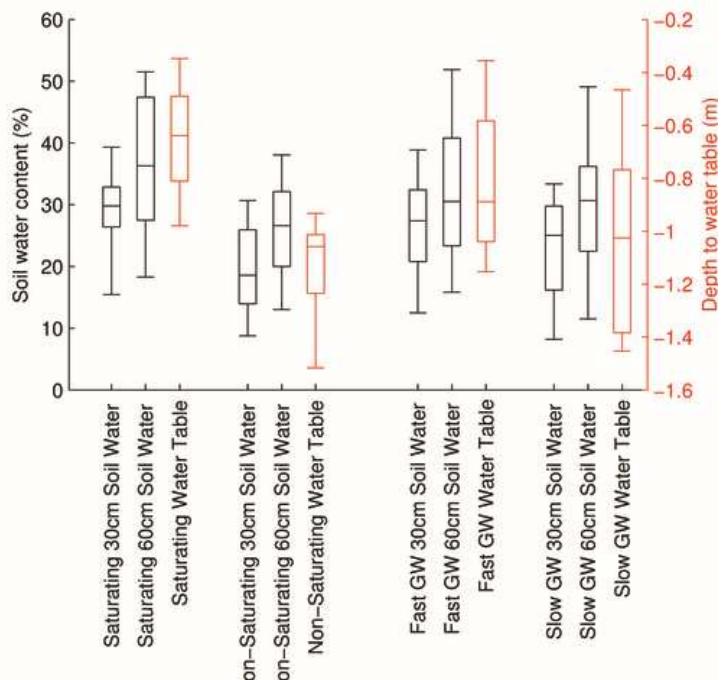
6



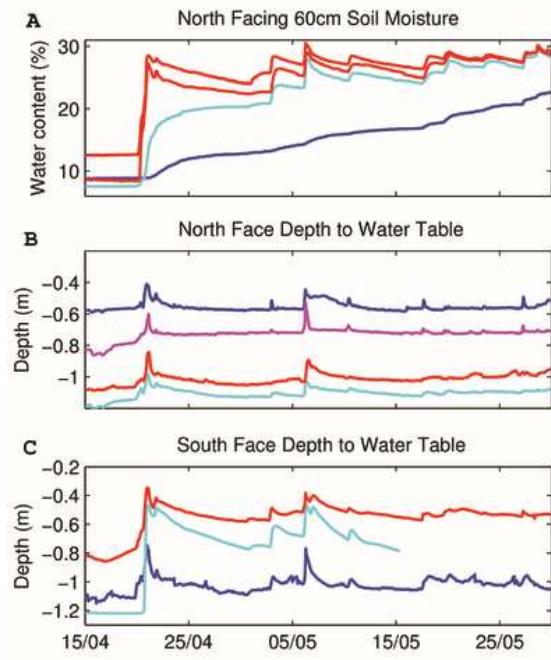
1

2 Figure 9: (A) Response of selected sensors to a winter rainfall event. First and second panels: Soil
 3 moisture responses in North- and South-facing slopes respectively. Dark lines show sensors where
 4 saturation occurred. Third panel: Depth to water table by well location. Fourth panel: Storm
 5 precipitation and flow measured at the catchment outlet. (B) Overview of saturation response to the
 6 winter rainfall event (C) Overview of rate of water table response to the winter rainfall event

7



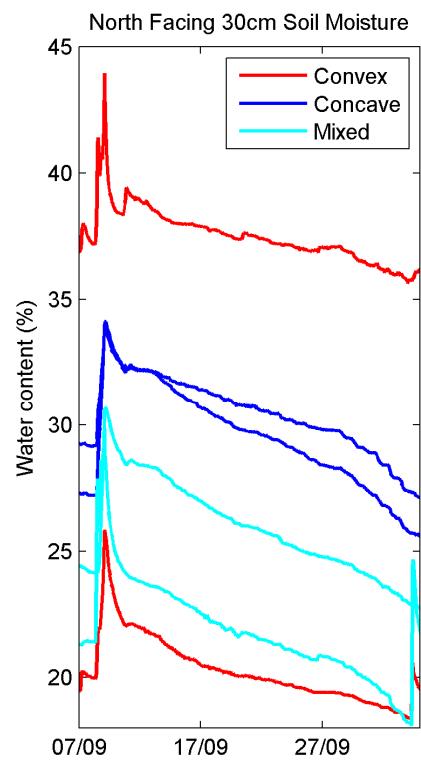
1
2 Figure 10: Distributions of soil moisture and depth to water table, classified as Saturating/Non-
3 saturating sites, and Fast/Slow groundwater response sites. Saturating sites were defined as those
4 where the water table rose as high as the 30 cm soil moisture probe at any point during the study
5 period. Fast/Slow sites were classified according to the rate of groundwater response as described in
6 Section 4.4.2 and Figure 9C.
7



1

2 Figure 11: Winter wet-up response of selected soil moisture and water table sensors. (A): Soil moisture
3 on the North-facing slope. Red lines show locations with a fast wet-up; Blue line show locations with
4 a gradual wet-up. (B)/(C): Depth to water table at North- and South-facing slopes. Colours are used
5 only for visual clarity.

6



1

2 Figure 12: Selected North-facing 30 cm soil moisture sensor responses during a recession, having
3 convex, concave or mixed response function shapes.

4

	Summer mode	Winter mode
Soil Moisture	Variability controlled by shallow processes e.g. water interaction with soils, vegetation	'Wet zone' sensors saturate as water table rises
Groundwater	Rainfall does not penetrate to groundwater	Variability controlled by deeper processes e.g. groundwater movement, bypass flow

1

2 Figure 13: Schematic diagram of the seasonal cycle of catchment variability between 'Summer mode'
3 and 'Winter mode'.

4

