

1 **The influence of methodological procedures on**  
2 **hydrological classification performance**

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15

16 **Abstract**

17 Hydrological classification has emerged as a suitable procedure to disentangle the inherent  
18 hydrological complexity of river networks. This practice has contributed to determine key  
19 biophysical relations in fluvial ecosystems and the effects of flow modification. Thus, a  
20 plethora of classification approaches, which agreed in general concepts and methods but  
21 differed largely in specific procedures, have emerged in the last decades. However, few  
22 studies have compared the implication of applying contrasting approaches and specifications  
23 over the same hydrological data. In this work, using cluster analysis and modelling  
24 approaches, we classify the entire river network covering the northern third of the Iberian  
25 Peninsula. Specifically, we developed classifications of increasing level of detail, ranging  
26 from 2 to 20-Class levels, either based on raw and normalized daily flow series and using two  
27 contrasting approaches to determine class membership: Classify-Then-Predict (ClasF) and  
28 Predict-Then-Classify (PredF). Classifications were compared in terms of their statistical  
29 strength, the hydrological interpretation, the ability to reduce the bias associated to  
30 underrepresented parts of the hydrological space and their spatial correspondence. The results  
31 highlighted that both the data processing and the classification strategy largely influenced the  
32 classification outcomes and properties, although differences among procedures were not  
33 always statistically significant. The normalization of flow data removed the influence of flow  
34 magnitude and generated more complex classifications in which a wider range of hydrologic  
35 characteristics were considered. The application of the PredF strategy produced, in most of  
36 the cases, classifications with higher discrimination ability and presented greater ability to  
37 deal with the presence of distinctive gauges in the data set than using the ClasF strategy.

38

## 39 **1 Introduction**

40 Understanding the natural variability of hydrology at the regional scale has become crucial for  
41 river ecology and management because of three main reasons: (i) it is a primary factor  
42 influencing river geomorphology (Peñas et al., 2012;Richter et al., 1998;Benda et al., 2004),  
43 water (Álvarez-Cabria et al., 2010;Chinnayakanahalli et al., 2011) and biological  
44 characteristics (Poff and Zimmerman, 2010), (ii) its variability reflects climate (Morán-Tejeda  
45 et al., 2011) and catchment attributes (second order driver; Monk et al., 2007) and (iii)  
46 freshwater resources are essential to maintain many human activities (Naiman and Dudgeon,  
47 2011).

48 Much progress has been made over the last 20 years in understanding hydrologic variability  
49 and how it promotes self sustaining ecosystems (Poff et al., 2006;Gurnell et al., 2000).  
50 However, the inherently complexity of flow regimes hinders both the quantification of direct  
51 responses of hydrology to catchment characteristics, and the identification of key hydrology  
52 and ecology relationships. The identification and characterization of relevant ecological  
53 aspects of the flow regime and the arrangement of similar rivers into a geographical context  
54 (Poff, 1996), through the definition of hydrological classifications, has emerged as a relevant  
55 procedure to structure analyses in hydroecological studies. Specifically, inductive  
56 hydrological classification approaches have been used to group river reaches into classes  
57 within similar attributes regarding the flow regime (Snelder et al., 2009) and ecological  
58 attributes (McManamay et al., 2012).

59 Many of the existing hydrological classifications following the inductive approach rely on the  
60 use of statistical procedures to minimize the redundancy of the hydrological information  
61 (Olden and Poff, 2003) and also, to reduce the intra-group and increase the inter-groups  
62 variability (Snelder and Booker, 2013). Nevertheless, many specific steps within the  
63 classification process may be influenced by a series of subjective decisions depending on the  
64 rationale, objectives and available data. For example, many hydrological classifications are  
65 based on normalized flow data (McManamay et al., 2012;Kennard et al., 2010;Reidy  
66 Liermann et al., 2012) while others used raw flow series (Zhang et al., 2012;Belmar et al.,  
67 2011;Alcázar and Palau, 2010). However, normalization can be viewed as a completely

68 subjective choice that depends on the purpose of the classification (Olden et al., 2012). If the  
69 range of flow magnitude varies largely within a region, classification based on the raw flow  
70 series would be subjected uniquely to this attribute. In contrast, other flow attributes that  
71 present a lower degree of variability and that are not affect by the normalization of the series,  
72 would be masked in classifications. The main reason for normalization is to remove the scale  
73 dependence of flow magnitude indices to promote the classification of rivers according to a  
74 larger set of hydrological attributes. Therefore, the larger the number of hydrological aspects  
75 taken into account in the classification the larger its potential uses. For example, the  
76 normalization of flow series allows segregating rivers attending the intra-annual variability of  
77 flows magnitude, i.e. the shape of the hydrographs. Undoubtedly the shape of the hydrograph  
78 influences river reach ecology (Bunn and Arthington, 2002;Richter et al., 1998) and are key  
79 elements for understanding the relationship between climatic and streamflow patterns  
80 (Gámiz-Fortis et al., 2011). Nonetheless the size of a river reach and the absolute magnitude  
81 of flows also play a key role in ecological processes (Bunn and Arthington, 2002;Vannote et  
82 al., 1980) and it is a critical element to manage water resources.

83 In addition, the scientific and management utility of hydrologic classifications relies on the  
84 capacity to extrapolate the class membership to ungauged sites, providing a map of natural  
85 flow regimes at the regional scale (Snelder et al., 2009;Reidy Liermann et al., 2012). The  
86 Classify-then-Predict (ClasF) strategy has been the most common approach to fulfil this  
87 objective (e.g. Kennard et al., 2010;Reidy Liermann et al., 2012). ClasF predicts class  
88 membership to ungauged sites based on environmental data (climate, topography, geology or  
89 land-use). However, this method might pose some flaws when predicting onto an entire  
90 region, especially if the distribution of gauges is biased, i.e. specific kinds of rivers are under  
91 or overrepresented (Snelder and Booker, 2013). If this is the case, the cluster step would fail  
92 in accounting for those hydrological features underrepresented in the data set. This is a critical  
93 issue since the low representation in the gauged network does not imply a low representation  
94 in the entire river network. The way in which these underrepresented data or distinctive  
95 gauges (i.e. those ones presenting a large hydrologic dissimilarity to the other ones present in  
96 the data set) are classified may lead to the loss of their “rare” hydrologic character when  
97 classes are predicted to the whole river network. Due to this reason, some researchers have

98 attempted other approaches such as the Predict-then-Classify (PredF) strategy (Ferrier and  
99 Guisan, 2006; Snelder and Booker, 2013). Using this approach, hydrological indices obtained  
100 from the flow series are predicted onto the entire river network based on climate and  
101 catchment characteristics. Then, classification of all river segments is performed as a final  
102 stage within the procedure.

103 The aim of this study was to investigate how the normalization of flow series data previous to  
104 the classification procedure and the use of ClasF and PredF influences (i) the classification  
105 performance, (ii) the hydrological interpretation of the classifications, (iii) their ability to  
106 reduce the bias associated to the underrepresented parts of the hydrological space and (iv) the  
107 degree of spatial correspondence between classifications. To achieve this aim we will develop  
108 hydrological classifications of natural conditions over an entire river network in the northern  
109 third of the Iberian Peninsula, covering catchments of contrasting climate and spatial  
110 configuration. We hypothesised that normalization of river flow data will tend to classify  
111 rivers according to their annual regime and not only to the size of the river and also increase  
112 the contribution of other hydrological variables not related to flow magnitude. In addition, we  
113 hypothesised that the application of the PredF classification procedure will reduce within  
114 class heterogeneity.

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116

## 117 2 Methods

### 118 2.1 Study Area

119 The study area comprises the northern third of the Iberian Peninsula (Fig. 1) covering a total  
120 area greater than 124000 km<sup>2</sup>. It represents heterogeneous environmental conditions and can  
121 be broadly segregated in three main zones. On one hand, the area draining into the Cantabric  
122 sea encompass several small basins with drainage areas ranging from 30 km<sup>2</sup> to 4907 km<sup>2</sup>  
123 covering a total area of 22000 km<sup>2</sup>. Rivers are confined by the Cantabrian Cordillera, which  
124 reaches up to 2600 m.a.s.l. and runs parallel to the coast. Thus, they are characterized by high  
125 slopes and short main stream lengths. This region has a humid oceanic temperate climate  
126 (Rivas-Martínez et al., 2004). Precipitation is abundant throughout the year with mean of  
127 1300 mm year<sup>-1</sup>, with maximum rainfalls in December (150 mm month<sup>-1</sup>) and minimum in  
128 July (50 mm month<sup>-1</sup>). However, the precipitation magnitude and distribution varies  
129 significantly according to local topography. Snow precipitation is frequent in winter above  
130 1000 m.a.s.l. More than 50% of the surface is occupied by deciduous forest, scrubs and  
131 grasslands, while 10% is occupied by agriculture. The population in this area amounts to  
132 almost 3500000 inhabitants with a population density of 175 hab km<sup>-2</sup> although it varies  
133 between regions. On the other hand, the Mediterranean area is mainly occupied by the Ebro  
134 basin along with a set of medium size basins in the eastern zone. The Ebro basin covers a total  
135 extension of 85530 km<sup>2</sup>. It is enclosed by the Cantabrian Mountains and the Pyrenees (3400  
136 m.a.s.l.) in the North, by the Catalan Coastal Chain (1712 m.a.s.l.) in the East and from the  
137 North-West to the South-East by the Iberian massif (2300 m.a.s.l.) which creates a dense  
138 river network in the catchment boundaries and an extended flat surface in the interior. The  
139 Ebro Basin receives both temperate and Mediterranean climate influences. The Pyrenean area  
140 (northwest) and the northern part of the Iberian massif present oceanic temperate climate that  
141 change gradually to a typical Mediterranean climate in the central Ebro depression. Annual  
142 precipitation is 656 mm, however it varies from 300 mm in the centre to the 1700 mm in the  
143 highest mountains (Bejarano et al., 2010) where snow is also common during the winter  
144 months. The precipitation regime in the Mediterranean region has its maxima in autumn and  
145 spring and minima in winter and summer. The temperature regime also oscillates through the

146 year with temperatures over 30 °C in summer and below 5 °C during winter. Population  
147 density is below 35 hab km<sup>-2</sup> which could be considered low, however more than 40% of the  
148 surface is occupied by agricultural land and, thus, the catchment is subjected to an intensive  
149 water resource control by more than 216 large dams and other water engineering systems. The  
150 eastern zone of the study area comprises several medium catchments ranging from 72 km<sup>2</sup> to  
151 5000 km<sup>2</sup>, occupying a total extension of 16500 km<sup>2</sup> that drain directly from the Pyrenees or  
152 the Catalan costal chain to the sea. This area is dominated by the Mediterranean oceanic  
153 climate in the coast and by a temperate climate in the mountains. Precipitation declines from  
154 an annual mean of 1200 mm year<sup>-1</sup> in the northern river heads to less than 500 mm year<sup>-1</sup> in  
155 the Southern catchments. Coniferous and broadleaf forest, scrubs and grasslands occupies  
156 more than 60% of the surface in the northern catchments which are progressively replaced by  
157 agriculture lands in the south. There are a total of 6600000 inhabitants in this area, mostly  
158 concentrated in the city of Barcelona and its metropolitan area. Therefore, most of the water  
159 resources are allocated to urban and industrial uses.

## 160 **2.2 Hydrologic Data**

161 The initial data set consisted in series of mean daily flow recorded at 428 gauging stations  
162 operated by different Spanish water agencies and regional governments. Only gauges  
163 unaffected by impoundments (defined as large engineering structures) or large upstream  
164 abstractions were selected for analyses. In addition, we selected those gauges with available  
165 data for the period 1976-2010 and analysed the quality of the series. First, an analysis of the  
166 flow series was carried out to eliminate those years without desirable data quality, which  
167 could be due to the presence of (i) periods of consecutive repeated values, (ii) non-natural  
168 extreme low flows for short time periods, (iii) periods of zero flow values in non- intermittent  
169 rivers, (iv) non-natural flow magnitude rises and falls or (v) large differences between two  
170 periods, probably due to changes of flow recorder method. Years with more than 30 days of  
171 missing data were removed from the analysis. In the last step, we discarded the gauges that  
172 accounted with less than 8 years. After applying these restrictions, 156 gauges were selected  
173 with an average length of 17 years of data (Table 1).

174 In this study we developed four sorts of classifications (Fig. 2). Two of them were obtained  
175 from normalized flow series and the other two from non-normalized (raw) series.  
176 Normalization is used to eliminate the influence of flow magnitude (Snelder et al., 2009).  
177 Flow series were normalized by dividing all daily flow values by the mean annual flow (Poff  
178 et al., 2006)

179 A set of 103 and 101 hydrologic indices, which represent a wide range of ecologically  
180 meaningful aspects of the flow regime (Olden and Poff, 2003), were calculated for the raw  
181 and normalized flow series, respectively (Appendix A). These indices characterize the central  
182 tendency and dispersion of: (i) magnitude of annual and monthly flows conditions, (ii)  
183 magnitude of severe high and low flow conditions, (iii) timing of flows, (iv) frequency and  
184 duration of high flow pulses and (v) rate of change of flow (Richter et al., 1996; Olden and  
185 Poff, 2003). It must be pointed out that among the indices representing flow magnitude,  $l1$   
186 and  $lcv$ , were excluded from the set of indices extracted from the normalized flow series.  
187 After dividing each daily flow data by the mean annual flow,  $l1$  became equal to 1 in all the  
188 gauges. In addition,  $lcv$  became equal to  $lca$  (as  $lcv = lca/l1$ ).

189 Given the strong correlation between several indices, the initial set of indices was reduced to a  
190 set of non-correlated synthetic indices using the procedure outlined in Olden and Poff (2003)  
191 and followed by many others (Chinnayakanahalli et al., 2011; Zhang et al., 2012; Belmar et al.,  
192 2011). According to Olden and Poff (2003), a principal components analysis (PCA) was used  
193 to determine the patterns of correlation between the hydrological indices. It allow identifying  
194 the subsets of synthetic indices, that describe the major sources of variation while minimize  
195 redundancy. The broken stick method (Jackson, 1993) was applied to obtain and define the  
196 optimal set of PCs to be retained. Each of the selected PC was used as a hydrologic synthetic  
197 index in subsequent analysis. Two PCAs were carried out independently, one for the  
198 hydrologic indices calculated from the raw flow series and another for the hydrologic indices  
199 calculated from the normalized flow series. Each PC was standardized before conducting  
200 further analysis to give them equal weights. Snelder and Booker (2013) demonstrated that this  
201 additional step increased classification performance.



## 202 **2.3 Environmental Data**

203 A Synthetic River Network (SRN) was delineated using a 25-m digital elevation model  
204 (DEM) using the NestStream software (Miller, 2003). The SRN comprises 667406 segments  
205 with lengths ranging from 16 to 800 m and was used as a spatial network to integrate the  
206 hydrological and environmental information.

207 Climate, topography, land cover and geology are hypothesised to be important discriminators  
208 of the hydrologic regime regardless of geographic location. Thus, environmental variables  
209 were used to explain the hydrological character of the recorded flow series and predict this  
210 character onto the whole river network. Predictor variables describing several environmental  
211 attributes including climate, topography, land cover and geology were extracted from existing  
212 databases provided by several national and regional organizations. The variables for each  
213 segment represented the mean value of the variables in the upstream catchment. An initial set  
214 of 25 environmental variables with potential influence on the hydrological regimes were  
215 selected. Pearson's correlation coefficient between each pair of variables was calculated and  
216 variables with correlation higher than 0.7 were discarded. A final set of 16 variables were  
217 selected (Table 2):

218 i) Climate (n=3): Precipitation, precipitation range and evapotranspiration were derived from  
219 monthly climate variables calculated in a 1 km x 1 km grid map. This map was obtained by  
220 means of an interpolation procedure based on data recorded in more than 5000 weather  
221 stations of the Spanish network. These data were originally developed to be implemented into  
222 the Integrated System for Rainfall-Runoff modelling (in Spanish SIMPA model) by the  
223 Centre for Hydrographic Studies (CEDEX, Ministry of Public works and Ministry of  
224 Agriculture and Environment, Spain).

225 ii) Topography (n=5): Catchment area, slope, elevation, confluence density and drainage  
226 density were derived from the 25 m DEM.

227 iii) Land cover (n=6): The percentage surface occupied by broadleaf forest, coniferous forest,  
228 pasture, agricultural land, denuded areas and urban areas was derived from the Soil  
229 Occupancy Information System (in Spanish SIOSE) developed by the National Geographic

230 Institute of the Spanish Government. SIOSE presents a scale of 1:25000 and integrates  
231 satellite and aerial images from several sources of information.

232 iv) Geology (n=2): The average rock hardness and the terrain permeability were derived from  
233 the lithostratigraphic and permeability map at scale 1:200,000 developed by the Spanish  
234 Geologic and Miner Institute of the Spanish Government. The base of the calculation of these  
235 variables was the percentage of area occupied by the original classes of rocks included in the  
236 data layer. These classes were then reclassified into broader ones and then, we assigned them  
237 a numerical value based on geological hardness and soil permeability (see Snelder et al, 2008  
238 for details).

## 239 **2.4 Classification procedures**

240 In this study, we derived classifications with increasing numbers of levels using the synthetic  
241 hydrologic indices extracted from the raw or the normalized flow series and using two  
242 contrasting strategies (sensu Snelder and Booker, 2013): (i) the classify-then-predict  
243 (rawClasF and norClasF) and the (ii) predict-then-classify (rawPredF and norPredF). The  
244 prefix raw and nor indicated whether classification was based on the hydrological indices  
245 extracted from the raw or normalized flow series respectively.

246 Given the high number of gauges removed due to the presence of impoundments or  
247 abstraction upstream, it is probable that selected gauges do not represent the whole spectrum  
248 of natural hydrologic conditions in the study area. In addition, the SRN developed for this  
249 study presented many rivers of first and second order which are underrepresented in the gauge  
250 data base. The prediction of the class membership (ClasF) or the hydrological synthetic  
251 indices (PredF) beyond the hydrological space represented in the selected gauges could lead  
252 to misleading results. Therefore, the prediction stage of the ClasF and PredF approaches was  
253 not based on the whole SRN (667406 segments) but in a reduced SRN. All the segments of  
254 the SRN that presented values of the predictor variables out of the range  
255 (maximum/minimum) defined by these predictors in the selected gauges were discarded. The  
256 reduced SRN kept 178297 segments.

#### 257 2.4.1 Classify-Then-Predict classification (ClasF)

258 Partitioning Around Medoids (PAM; Kauffman and Rousseeuw, 1990) algorithm based on  
259 the synthetic indices was used to cluster gauges (Fig. 2). This technique allowed to specify the  
260 number of clusters. We produced classifications with numbers of classes ranging from 2 to  
261 20. We then used Random Forest (RF; Breiman, 2001) to develop predictive models that  
262 relate class memberships and the environmental variables (Fig. 2). We fitted one specific RF  
263 for each classification level (2 to 20-Class level) and then, these models were used to establish  
264 the most probable class of each segments of the SRN for each classification, i.e. 19 sets of  
265 predictions.

#### 266 2.4.2 Predict-Then-Classify classification (PredF)

267 For the PredF strategy, empirical models were first fitted to each of the standardized synthetic  
268 indices as a function of environmental variables using RFs (Fig. 2). Then predictions of the  
269 synthetic indices are made for each segment of the SRN. Finally, classifications were  
270 produced by clustering all the modelled segments using the PAM algorithm varying again  
271 between 2 and 20-Class levels.

272 As stated before, ClasF and PredF strategies are based in the use of RF (Breiman, 2001). RF  
273 fits many classification and regression trees (CART; Breiman et al., 1984), each of them  
274 grown with a randomized subset of sites and predictor variables from the initial data. Each  
275 CART is then used to predict the sites initially excluded from the data set, named the out-of-  
276 bag (OOB) samples. These predictions are used to calculate the predictive accuracy of the  
277 model and the importance of each predictor variable (Snelder et al., 2011).

### 278 2.5 Comparison of classification performance

279 The performance of the classifications was measured using the classification strength (CS;  
280 Van Sickle, 1997) and ANOVA.

281 CS estimate the degree of dissimilarity between gauges explained by the classifications  
282 (Snelder and Booker, 2013). This analysis was performed on the hydrological indices with the  
283 highest loading on each of the retained PCs. Briefly, CS resulted from the difference between

284 the mean dissimilarity of the gauges in the same class ( $D_{\text{within}}$ ) and the mean dissimilarity of  
285 gauges in the other classes ( $D_{\text{between}}$ ). Higher values of CS indicated a greater uniformity  
286 within classes and greater differences between classes (Van Sickle, 1997). We calculated CS  
287 for each classification (rawClasF, rawPredF, norClasF and norPredF each with 2-20-Class  
288 levels). We applied the restriction that classes comprising a minimum of five gauges to reduce  
289 the influence in the analysis of classes represented by a very low number of gauges.

290 In addition, we performed an ANOVA on all the hydrological indices (103 and 101 for raw  
291 and normalized series, respectively) with the class membership as the explanatory variable.  
292 ANOVA allowed analysing the potential of classifications to discriminate each of the  
293 hydrological indices. The coefficient of determination ( $r^2$ ) was calculated for each level (2 to  
294 20-Class level) of the 4 classifications. The restriction of the five gauges per class was also  
295 applied.

296 Following the procedure outlined in Snelder and Booker (2013) and Snelder et al. (2012),  
297 both the CS and ANOVA analysis were performed on gauges not used in the fitted models by  
298 means of a five-fold cross validation procedure (Hastie et al., 2001). This allowed us focusing  
299 on the “predictive performance” of the classifications. Each cross validation procedure was  
300 repeated 5 times in order to “smooth out” the variability inherent to each subset. Therefore,  
301 results of 25 estimates of predictive CS and  $r^2$  statistics for each hierarchical level of  
302 classifications were obtained. Based on the “one standard error rule”, two classifications were  
303 assumed significantly different if standard errors of the statistics did not intersect.

## 304 **2.6 Hydrological interpretation of classifications**

305 We selected the five hydrological indices included in the initial set (103 and 101 indices for  
306 the raw and normalized series, respectively) with the highest values in each retained PCs to  
307 interpret the hydrological meaning of the new synthetic indices. The retained PCs accounted  
308 with the greatest part of the hydrological variability so, they are the major determinants of the  
309 classification patterns. In addition, we used the ANOVA results to interpret each classification  
310 by looking at the different coefficients of determination for specific indices. We assumed that  
311 the higher the coefficient of determination the higher the importance of that index to  
312 discriminate among classes.

## 313 **2.7 Analysis of distinctive gauges**

314 We also analysed how each classification strategy resolved the problem associated with the  
315 presence of distinctive gauges (DGs). DG can be defined as those that showed the most  
316 distinctive regimes (i.e. gauges presenting the largest hydrologic dissimilarity relative to the  
317 other ones present in the data set). The way the classification procedure deals with the DGs is  
318 very important. For instance, DGs can be grouped to other ones that are completely dissimilar  
319 or in very exclusive classes with lower dissimilarity between gauges but a very restricted  
320 number. In both cases, the hydrologic character represented by the DGs may be  
321 underrepresented when classes are predicted to the whole river network. We quantified how  
322 the different strategies deal with the presence of DGs in the data set.

323 Independent analyses were made for classifications based on raw and normalized flow series.  
324 Firstly, we calculated, based on the synthetic indices scores, the dissimilarity between each  
325 pair of gauges and then, the corresponding mean dissimilarity for each gauge. This value  
326 allowed selecting the gauges with the most distinctive hydrological regime, i.e. the DGs. We  
327 ordered the gauges from the most to the less dissimilar gauge and analysed how the  
328 dissimilarity values decayed. We selected 4 DGs for each type of series (raw or normalized),  
329 corresponding to the first important inflexion point in the decay trend of the dissimilarity. It is  
330 important to stress that dissimilarity values decreased from DG1 to DG4. Finally, we  
331 recorded the classes where the DGs belong after classifying the SRN.

332 For each DG two analyses were performed. Firstly, we calculated the distance between the  
333 DG and the medoid of the classes. This value was weighted by the mean distance between the  
334 medoid and all the other gauges belonging to the class. This distance indicated how much  
335 different is the DG relative to the other gauges included in the class. Secondly, we analysed  
336 the proportion of the classification domain assigned to the classes where the distinctive  
337 gauges were included. Low frequency of a class in the observed space (i.e. in the gauge  
338 network) does not implied low frequency in the SRN. Therefore, we expected higher  
339 frequencies of the class in the SRN than those observed in the gauge network. Low frequency  
340 of these classes indicated the inability of the procedure to predict properly the hydrological  
341 characteristics represented by the DGs.

342 **2.8 Correspondence between classifications**

343 The spatial agreement between each pair of classifications was evaluated by means of the  
344 Adjusted Rand Index (ARI; Hubert and Arabie, 1985). ARI analyses the relationship of each  
345 pair of gauges and how they differ between two cluster solutions. It ranges between 0  
346 (indicating that agreement between two clustering solutions is not better than chance) and 1  
347 (indicating perfect agreement). Given the large number of segments in the SRN, we randomly  
348 selected a subset of 1000 segments and computed ARI for all pairs of the four classifications.  
349 This process was repeated 10 times to avoid the effect of the variability in the selected data  
350 set.

351 Bespoke functions written in R were used to analyse flow series and calculate hydrological  
352 indices (Snelder and Booker 2013).

353

354

## 355 **3 Results**

### 356 **3.1 PCA and Predictive mapping**

357 The broken stick method selected the first five PCs of the PCA performed on the raw series.  
358 They explained 91% of the variance, accounting the PC1 alone for the 68 % (Table 3). The  
359 OOB misclassification rate of the RF models in the rawClassF ranged from 0.13 for the 2  
360 classes level to 0.77 for the 20-Classes level (Fig. 3). The most important predictor variables  
361 of the RF were catchment area, precipitation, agriculture, pasture and elevation. For the  
362 rawPredF classification, the mean OOB  $r^2$  for the RF models of the 5 synthetic indices was  
363 0.4 decreasing from 0.65 for PC1 to 0.18 for the PC5. Predictors varied according to the  
364 modelled PC, but most of them included topography (catchment area, slope), climate  
365 (precipitation) and land cover (agriculture, coniferous and broadleaf forest) variables.

366 Parallel, the first six PCs of the PCA performed on the normalized flow series were retained.  
367 They explained 83.3 % of the variance (Table 3). The OOB misclassification rate of the RF  
368 models in the norClasF strategy ranged from 0.22 to 0.66 (Fig. 3). The most important  
369 variables differed between classifications comprising different class levels but in general  
370 precipitation, elevation, gradient and broadleaf forest were present in most models. For the  
371 norPredF strategy the mean OOB  $r^2$  was 0.31 for the 6 PCs decreasing from 0.63 for PC2 to  
372 0.08 for the PC6. The most important variables were not consistent between RF models  
373 although precipitation, elevation, pasture and broadleaf forest were present in most of them.

### 374 **3.2 Comparison of classification performance**

375 CS statistics for the classifications based on the raw flow series (rawClasF and rawPredF)  
376 showed similar patterns. CS increased from 2 to 6-Class level and in general, the analysis did  
377 not reveal significant differences (i.e. overlapped among standard error bars) beyond the 6-  
378 Class level (Fig. 4A). RawPredF showed generally higher CS values than rawClasF, although  
379 differences were not always significant.

380 The discrimination power of classifications for each of hydrological indices (ANOVA) got  
381 higher with increasing number of classes (Fig. 5 and Supplementary material, Table S1).

382 However, in most cases there were not significant differences between classifications  
383 comprising a number of classes ranging from 6 to 20 classes. Moreover, rawPredF  
384 outperformed rawClasF, especially for those indices representing flow magnitude and  
385 duration (Fig. 5 and Supplementary material, Table S1).

386 NorPredF presented a progressive increment of CS from 2 to 10-Class level where it reached  
387 the maximum value, suffering then only slight variations (Fig. 4B). NorClasF presented a  
388 more unstable CS pattern than norPredF. Except for specific class levels (2 and 4-Class  
389 levels), norPredF reached higher CS than norClasF.

390 The discrimination ability of norClasF and norPredF on individual indices showed similar  
391 patterns to those found for classifications based on raw series. An increase in  $r^2$  with  
392 increasing number of classes and the presence of an inflexion located between 6 and 10-Class  
393 levels were observed (Fig. 6 and Supplementary material, Table S2). In addition, although  
394 norPredF performed better than norClasF, differences were not significant in several cases.

395 In general, the classifications based on the raw flow series (rawClasF and rawPredF) provided  
396 slightly higher CS (Fig. 4) and  $r^2$  values (Figs. 5 and 6) than those based on normalized series  
397 (norClasF and norPredF).

### 398 **3.3 Hydrological Interpretation of classifications**

399 According to the hydrological indices with the highest values on each axis in the PCA  
400 performed on the raw flow series, PC1 represented the magnitude of the mean annual and  
401 high flows, while PC2 represented the frequency of high flow events and the magnitude of  
402 low flows. PC3 was also related to the frequency of high flow events while PC4 and PC5  
403 represented the interannual variability of different hydrological characteristics (Table 3). The  
404 hydrological interpretation of the PCs became more difficult as explained variance decreased.  
405 In addition, ANOVA analysis revealed higher  $r^2$  values of indices related to flow magnitude  
406 and frequency than those representing other aspects of the flow regime (Fig. 5 and  
407 Supplementary material, Table S1).

408 The PCA performed on the normalized flow series showed that PC1 represented the  
409 variability of the annual mean flow and the magnitude and duration of extreme low flows and



410 PC2 represented the variability of the magnitude and duration of high flow events. PC3 to  
411 PC6 are mainly related with indices representing flow magnitude in different months. Thus,  
412 they represented the shape and variability of the hydrograph across the year. In regard to the  
413 ANOVA, the highest  $r^2$  values were obtained for the indices representing mean monthly  
414 flows. The maxima reached by the indices representing mean and duration of extreme flows  
415 was 0,3 (Fig. 6 and Supplementary material, Table S2). In addition, both norClasF and  
416 norPredF showed high discrimination ability on indices representing the frequency of high  
417 flow events, despite these indices not identified as important in any PCs.

### 418 **3.4 Analysis of distinctive gauges**

419 Three of the four DGs selected from the raw flow series were situated in the Ebro catchment  
420 and one in the Cantabric region. The distance between each distinctive gauge and its  
421 respective class medoid in the rawClasF classifications was lower than the distance in the  
422 rawPredF classification more than two thirds of the times. However, the relative differences  
423 were generally below 20 % (Table 4). In addition, for the rawClasF it was observed that the  
424 proportion of the classification domain assigned to the classes in which the distinctive gauges  
425 were included presented very low frequencies. This was especially visible beyond the 6-Class  
426 level where this proportion was below 1 % for the four distinctive gauges (Fig. 7A).  
427 Regarding the rawPredF the proportions of the classes containing the distinctive gauges were  
428 higher than those for the rawClasF (Fig. 7B).

429 The classifications based on the normalized flow series presented two distinctive gauges  
430 situated in the Ebro catchment and the other two in two Catalan catchments. NorPredF  
431 showed smaller distances between the distinctive gauges and their respective class medoids  
432 than norClasF 95 % of the times. In addition, more than half of the times differences were  
433 over 40 % (Table 4). The comparison of the frequency of the classes containing the distinctive  
434 gauges did not reveal important differences between norClasF and norPredF (Fig. 7C and  
435 7D).

436 **3.5 Correspondence between classifications**

437 The ARIs for each pair of classifications were in the range 0.12-0.4 for the 6-Class level and  
438 in the range 0.14-0.34 for the 11, 16-Class level and the mean of all classification levels  
439 (Table 5). The highest ARI was obtained between rawPredF and norPredF ( $\geq 0.4$ ). Contrary,  
440 rawClasF and norClasF showed the lowest correspondence ( $\leq 0.15$ ).

## 441 **4 Discussion**

442 As expected the different data specification and classification procedures analysed in this  
443 study exerted a significant influence in the classifications outcomes. The normalization of  
444 flow data generated hydrological classifications that were not completely subjected to the  
445 flow magnitude and the size of the river as if data were not normalised. Consequently,  
446 classifications based on normalized series were more difficult to interpret and predict. In  
447 addition, classifications based on PredF outperformed those obtained with ClasF and  
448 presented a greater ability than ClasF to deal with the underrepresented parts of the  
449 hydrological space.

### 450 **4.1 Comparison of classification performance**

451 Similar classification performance measured through CS and ANOVA was observed in  
452 relation to the results obtained by Snelder and Booker (2013) in New Zealand rivers. The  
453 specific classification characteristics depend upon the selected gauged network and the  
454 hydrological behaviour of the rivers in the target study zone. However, the similarity of the  
455 results with those obtained by Snelder and Booker (2013) highlights the possibility to discern  
456 more clearly the benefits and drawbacks of the different classification strategies and data  
457 specification.

458 Our analysis demonstrated that the PredF performed better than ClasF and significant  
459 differences in the ability to discriminate hydrological characters were found for several class  
460 levels. The higher performance of PredF classifications is supported by the conceptual basis  
461 of this approach and can be explained by two main reasons: the equalization of data and loss  
462 of information produced in ClasF strategy coupled with the effective data processing of the  
463 PredF strategy. Firstly, ClasF imposes sharp barriers to the observed hydrological space, i.e.  
464 the gauged network, and not over the whole hydrologic space of the fluvial network. The  
465 creation of classes produced a equalization of hydrologic data within classes. Given that the  
466 subsequent prediction step enforces congruence of all the river segments of the SRN with  
467 those previously created classes, the equalization of data could be linked to a loss of  
468 information when classes are predicted. Moreover, the real extent to which such discrete

469 groupings exist is uncertain (Kennard et al., 2010). In contrast, the aim of PredF is to account  
470 for the whole hydrological variability in the SRN before conducting the classification. This  
471 process generated a more complete distribution of the hydrologic variables which is in  
472 accordance with the actual hydrology of the SRN. This avoided the bias associated to gauge  
473 location. Moreover, PredF does not assume any interactions between the various dependent  
474 variables for each RF, which is true as the PCA created orthogonal and independent variables.  
475 In addition, it must be pointed out that although prediction of classes and synthetic indices is  
476 not entirely comparable, results indicated that similar prediction performance can be assumed  
477 for both strategies. Hence, the prediction of classes or synthetic indices is not a major  
478 determinant in the better results obtained by PredF.

479 In general, the specification of the initial hydrological data has also significant consequences  
480 in the classification performance. Classifications based on raw flow series had higher  
481 discrimination ability for individual indices than those based on normalized flow series (Figs.  
482 5 and 6). As discussed below, classifications based on raw series discriminated rivers based  
483 almost exclusively on flow magnitude, which greatly depends on river size. In contrast,  
484 classifications based on normalized flow series considered a greater range of hydrological  
485 aspects. Obviously, the variability of river size shows a clear pattern within river networks  
486 and thus, it is a straightforward approach to segregate river reaches. In contrast, the  
487 consideration of a higher spectrum of hydrologic aspects hampered the creation of so evident  
488 classes and thus classifications achieved lower discrimination ability.

## 489 **4.2 Hydrological interpretation of classifications**

490 To our knowledge this is the first study that has compared the consequences of classifying  
491 river networks attending to the initial data specification: the use of raw or normalized flow  
492 series. The PCA performed on the raw series showed that the first PC explained more than  
493 two thirds of the hydrological variability in the study region. This PC was mainly related to  
494 the magnitude of mean annual and high flows. Thereby, the magnitude of flow was the major  
495 determinant to segregate rivers, as expected. In addition, indices accounting with the  
496 frequency of high flow events were also represented in other PCs and therefore, this flow  
497 attribute also showed a relatively important contribution in the classifications (Table 6).

498 Moreover, the ANOVA analysis also showed that all the indices related to flow magnitude,  
499 even those not included as the most important ones in any PC presented important differences  
500 between classes. This is not surprising given the high correlation between all the flow  
501 magnitude indices. However, although these classifications segregated river reaches according  
502 to flow magnitude, they were unable to incorporate the severity of droughts, i.e. the  
503 magnitude that these episodes represent in relation to the mean flow condition. The pattern of  
504 droughts in the study area is an essential element that should be considered in the  
505 classifications given the Mediterranean character of the study zone. The fact that the high  
506 differences in flow magnitude between large and small rivers have accounted with the largest  
507 percentage of variability, have probably masked the effects of low flow attributes. Contrary to  
508 our results, Belmar et al (2011) and Chinnayakanahalli et al (2011) working in areas  
509 influenced by the Mediterranean climate found that several hydrologic characteristics related  
510 to drought were considered in the synthetic hydrologic indices, even if the series were not  
511 normalized by the mean annual flow. We expected that the characteristic intermittency of  
512 many Mediterranean streams had been represented in the synthetic indices. However, the lack  
513 of this attribute in our classifications may be attributed to the scarcity of gauges situated in  
514 intermittent streams.

515 On the other hand, the interpretation of the classifications based on normalized flow series  
516 differed completely to those derived from raw flow series (Table 6). The main differences can  
517 be summarized in two essential aspects. First, the proportion of variance explained by the  
518 different PCs was more evenly distributed in the normalized than in the raw flow series.  
519 Therefore these classifications were not uniquely subjected to just one hydrologic attribute.  
520 Second, it was observed that the indices with the highest loading in each PC and hence, their  
521 interpretation, varied considerably depending on the data processing and specification (Table  
522 6). Given the higher number of flow attributes influencing the classifications based on  
523 normalized flow series, their interpretation was more difficult than those based on raw flow  
524 series. In this regard, magnitude and duration of low flow conditions were represented in PC1.  
525 Hence, the Mediterranean character of the rivers was one of the main attributes for  
526 classification. In addition, PC3 to PC6 were related to the magnitude of flows in different  
527 months and periods through the year, therefore classification accounted with the shape of the

528 hydrograph as it has been observed in other works (Bejarano et al., 2010;Solans and Poff,  
529 2013;Snelder et al., 2009). Contrary to expected, other indices not related to flow magnitude,  
530 such as the frequency of high flow events were not included as important indices in any PC.  
531 Nonetheless, the ANOVA analysis showed the high ability of classifications based on  
532 normalized flow data to discriminate the indices representing frequency (Fig. 6). Therefore it  
533 was assured that such an important hydrological aspect played an important role to define the  
534 classification patterns. In addition, classifications and hydrologic attributes based on  
535 normalized flow series were also more difficult to predict. For instance, while flow magnitude  
536 indices depended almost uniquely in the catchment area and climate patterns, other  
537 hydrologic attributes such as duration or frequency of different flow events were related with  
538 other environmental variables that were more difficult to characterize.

539 The interpretation and meaning of classifications are essential to determine their further use.  
540 As stated before, classifications based on raw flow series segregated rivers according almost  
541 exclusively to flow magnitude. This provides an important loss of hydrologic information  
542 which limits its use to water resource and flooding management issues. However, results  
543 demonstrated that these classification did not take into account the drought patterns in the  
544 study area. Hence, even within the water resources field, these classification would not result  
545 effective in dealing with low flow issues, such as the environmental flows or reliability of  
546 water supply in drought situations. In contrast, segregating rivers according to a larger  
547 spectrum of hydrological attributes widens its potential applications. For instance, many other  
548 hydrological attributes different from magnitude may be potentially altered by human  
549 perturbations. Hence, following the principles established in the ELOHA framework (Poff et  
550 al. 2010), classifications based on normalized flow series may be more valuable in evaluating  
551 the hydrologic alteration caused by human perturbations or the response of freshwater  
552 ecosystems to these flow alteration.

553 Finally, it must be pointed out that any of the classifications, whether they were based on raw  
554 or normalized data, failed to represent some other important hydrologic aspects such as timing  
555 of extreme flow events and rate of change (Table 6). These attributes presented a modest  
556 spatial variability within the study area which ultimately resulted in a small contribution to the  
557 hydrologic classifications.

### 558 **4.3 Analysis of distinctive gauges**

559 The analyses demonstrated that the PredF approach presented greater capability than ClasF to  
560 deal with the underrepresented parts of the hydrological space in the data set. If data were not  
561 normalized, rawClasF approach generated classes that were comprised by the distinctive  
562 gauge plus a very limited number of gauges, in most of the cases less than four. In these  
563 cases, the distance between the DG and the medoid of the class was similar to the mean  
564 distance calculated for the other gauges included in the class. Therefore, it can be assumed  
565 that these classes were relatively homogeneous in regard to its hydrologic characteristics.  
566 However, when classes were predicted to the SRN, their frequencies were normally lower  
567 than 1 %. This means that the hydrological characteristics accounted in these classes were  
568 almost lost after the prediction step in of the rawClasF. Moreover, their frequencies were  
569 probably well below the actual frequencies of those river classes.

570 On the other hand, the normalization of the flow series smoothed the differences between  
571 gauges due to the reduction of the influence of low magnitude, which implied that DGs in the  
572 norClasF classifications were not isolated into such exclusive classes as those found in  
573 rawClasF. This greatly reduced the problem associated with the low frequency of these  
574 classes when they were predicted to the SRN. However, the distance between the DGs and the  
575 medoid was normally over two times the mean distance of the other gauges included in the  
576 class. This indicated that DGs were grouped to other gauges that are not hydrologically  
577 similar. Hence, it is assumed that the hydrologic characteristics accounted by the DG were not  
578 represented at all in any of the classes.

579 By contrast, when the PredF approach was applied, these rare hydrologic characteristics are  
580 predicted to a larger number of segments before classifying the SRN. Consequently, the  
581 proportion of segments accounting with these rare characteristics increased. In the subsequent  
582 step of classification, these segments accounting with the rare hydrological characteristics  
583 were grouped in specific classes and hence, the frequencies of these classes were more  
584 adjusted to the actual distribution of river types in the study area.

#### 585 **4.4 Correspondence between classifications**

586 The ARI analysis showed that the correspondence between rawClasF and rawPredF and  
587 between norClasF and norPredF presented a similar pattern. The ARI values in these two  
588 cases were around 0.2 which implies important differences in the spatial distribution of  
589 classes. This indicated that the strategy used to predict class membership to the SRN (ClasF  
590 vs. PredF) is a critical specification in the classification procedure. In contrast to the expected  
591 outcome, ARI analyses also showed that classifications obtained through the PredF approach,  
592 regardless of the initial data processing (i.e. rawPredF or norPredF), presented the highest  
593 spatial correspondence. This result highlights that the prediction of the hydrological  
594 characteristics to the SRN before classifying is probably generating classifications more  
595 adjusted to the actual spatial distribution of river types, even if classifications presented  
596 different interpretation.

#### 597 **5 Conclusion**

598 In conclusion, this study showed that the methodological specifications used throughout the  
599 classification process greatly influences classification outcomes and performance. Although  
600 the comparison between ClasF and PredF did not reveal significant differences for several  
601 classification levels, the classifications based on PredF produced, in general, higher  
602 classification performance, greater ability to deal with the presence of distinctive gauges in  
603 the data set and a spatial distribution of classes more adjusted to the actual river types. PredF  
604 produced classes that presented higher intra-class homogeneity and higher inter-class  
605 heterogeneity than ClasF. In general, the segregation of gauges before the prediction step in  
606 the ClasF produced a loss of information due to the presence of under and overrepresented  
607 hydrologic characteristics. In contrast, the prediction of the hydrologic characteristics  
608 previous to the classification step avoided these bias associated to gauge location. These  
609 features are very valuable when applying these classifications with different objectives. For  
610 instance, classifications developed through PredF represents the best strategy to further detect  
611 not only the hydrological alteration caused by human perturbations but the ecological impact  
612 associated to this alteration. Given all these strengths, we recommend the application of the  
613 PredF strategy to develop hydrological classifications at the regional scale. Finally, the  
614 specification of flow data influenced the interpretation of the hydrological classes. The



615 normalization of flow data removed the effect of flow magnitude and generated classifications  
616 in which a larger spectrum of hydrologic characteristics was considered. This widens the  
617 potential range of management and ecological applications of the classification as  
618 classifications would not be subjected to a unique hydrological attribute. In all the cases, the  
619 selection of the most suitable number of classes is difficult to be accomplished from  
620 completely objective criteria, as many times, classifications with different level of detail  
621 presented similar statistical performance.

622

623 **APPENDIX A: Hydrological indices used in the classification**

624 Table A1: Hydrological indices used in the classification. Overall mean and standard  
 625 deviation (referred in the manuscript by the prefix sd) of annual values for each index except  
 626 for I1, I2, Ica, Icv, Ikur, X5, X25, X75 and X95. I1 was not calculated for Normalized flow  
 627 series.

Group	Name	Description
<b>1) Magnitude of annual and monthly flows</b>	I1	Linear moment that represents the mean of the calculated flow duration curve
	I2	Linear moment that represents the variance of the calculated flow duration curve
	Ica	Linear moment that represents the skewness of the calculated flow duration curve
	Icv	Linear moment that represents the coefficient of variation of the calculated flow duration curve
	Ikur	Linear moment that represents the kurtosis of the calculated flow duration curve
	M1-M12	Mean monthly flow. Standard deviation for each index was calculated.
	MxM1-MxM12	Maximum monthly flow

MnM1- MnM12	Minimum monthly flow
<b>2) Magnitude and duration of annual extremes</b>	
1LF	Magnitude of minimum annual flow of 1 day duration. sd was also calculated
7LF	Magnitude of minimum annual flow of 7 day duration.
30LF	Magnitude of minimum annual flow of 30 day duration.
90LF	Magnitude of minimum annual flow of 90 day duration.
X75	Mean magnitude of flow exceeded 75% of the time
X95	Mean magnitude of flow exceeded 95% of the time
1HF	Magnitude of maxima annual flow of 1 day duration
7HF	Magnitude of maxima annual flow of 7 day duration
30HF	Magnitude of maxima annual flow of 30 day duration
90HF	Magnitude of maxima annual flow of 90 day duration
X25	Magnitude of the flows exceeded 25 %

		of the time. High flow pulses
	X5	Magnitude of the flows exceeded 5 % of the time.
	ZFD	Number of zero flow days
	BFI	Seven-day minimum flow divided by mean annual daily flows
<hr/>		
<b>3) Timing of extreme flow events</b>	JMin	Julian day of minimum flow
	JMax	Julian day of annual maximum flow
	Pred	Predictability (sensu Colwell, 1974)
<hr/>		
<b>4) Frequency and duration of high pulses</b>	FRE1	Number of high flow events per year using an upper threshold of 1 time median flow over all years
	FRE3	Number of high flow events per year using an upper threshold of 3 time median flow over all years
	FRE7	Number of high flow events per year using an upper threshold of 7 time median flow over all years
	nPHigh	Number of high pulses within each year
	dPHigh	Duration of high pulses within each
<hr/>		

year

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**4) Rate and  
frequency of  
flow changes**

Pos

Mean of all positive differences  
between days

nPos

Number of days with increasing flow

Neg

Mean of all negative differences  
between days

nNeg

Number of days with decreasing flow

Rev

Number of hydrologic reversals

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629

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756 Classification of flow regimes for environmental flow assessment in regulated rivers: The  
757 Huai River Basin, China, *River Res. Appl.*, 28, 989-1005, doi: 10.1002/rra.1483, 2012.

758 Table 1. Number of retained years for flow time-series used in the analysis.

N. of years	N. of gauges	Frequency	Freq. acum.
>19	52	33.3	33.3
19	3	1.9	35.3
18	7	4.5	39.7
17	6	3.8	43.6
16	16	10.3	53.8
15	7	4.5	58.3
14	8	5.1	63.5
13	8	5.1	68.6
12	11	7.1	75.6
11	9	5.8	81.4
10	9	5.8	87.2
9	9	5.8	92.9
8	11	7.1	100.0

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760

761 Table 2. Environmental variables used to predict classes or the synthetic hydrologic indices  
 762 onto the ungauged segments of the river network (TG: Topography; CL: Climatic LC: Land  
 763 Cover; GL: Geology)

Variable	Type	Units	Description	Source
Precipitation	CL	Mm	Annual catchment precipitation	SIMPA
Precipitation range	CL	mm	Range between maximum and minimum	SIMPA
Evapotranspiration	CL	Mm	Annual catchment evapotranspiration	SIMPA
Catchment area	TG	Km <sup>2</sup>	Total catchment area	DEM
Slope	TG	%	Average catchment gradient	DEM
Elevation	TG	m	Average catchment elevation	DEM
Confluence density	TG	-	Number of rivers confluences by	DEM
Drainage density	TG	-	Number of segments divided by the	DEM
Broadleaf forest	LC	%	Surface occupied by broadleaf forest	SIOSE
Coniferous forest	LC	%	Surface occupied by coniferous	SIOSE
Pasture	LC	%	Surface occupied by pasture	SIOSE
Agriculture	LC	%	Surface occupied by agricultural land	SIOSE
Denuded	LC	%	Surface occupied by denuded areas	SIOSE
Urban	LC	%	Surface occupied by urban areas	SIOSE
Permeability	GL	-	Terrain permeability	IGM
Hardness	GL	-	Rock hardness	IGM

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765 Table 3. The 5 hydrologic indices with the highest loadings in each PC and variation  
 766 explained by the retained PCs using the raw (above) and the normalized flow series (below).  
 767 A minus sign indicates negative relation with the PC.

Axe	Hydrologic variables with the highest values in the PCs	Variation Explained (%)
PC1	-I1, -X25, -90HF, -30HF, -M11	68
PC2	-FRE7, -FRE3, -lev, BFI, sdBFI	10.6
PC3	-FRE1, -nPH, -FRE3, dPH, sdZFD	5.9
PC4	sdnPos, sdnNeg, ikur, lca	3.6
PC5	-sdnPH, sdJMax, -sdRev, -sdFRE3, -sdJmin	3.5
PC1	-I2, X75, 90LF, 30LF, 7LF	38.6
PC2	sd30HF, sd7HF, sd3HF, sd90HF, sdM5	20.4
PC3	-M10, -sdM10, -MXM10, -FRE1, sdM9	11.6
PC4	ikur, X25, MnM9, MnM2, MnM11	7.1
PC5	-M1, M5, sdZFD, -sdM1, -MxM1,	6.1
PC6	SdM8, MXM8, sdnPH, -MxM11, -sdM11	4.5

768 Table 4. Euclidean distance between the distinctive gauges (DG) and the medoid of the  
769 classes in which they were included for the 4, 6, 8, 10, 12, 16 and 20-Class levels  
770 classification. Distances were weighted by the mean difference of all the gauges included in  
771 the same class as the DG. Empty cells indicated that the gauge is the unique gauge in the  
772 class. Bold letters indicate the procedure that showed the lowest distance.

Raw series								
	MG 1		MG 2		MG 3		MG 4	
	rawClasF	rawPredF	rawClasF	rawPredF	rawClasF	rawPredF	rawClasF	rawPredF
4	2.95	<b>2.92</b>	<b>2.52</b>	2.97	<b>1.30</b>	1.46	<b>1.46</b>	1.65
6	6.45	<b>4.05</b>	<b>2.15</b>	3.07	<b>1.40</b>	1.53	1.67	<b>1.60</b>
8		4.30	<b>2.06</b>	2.28	<b>1.20</b>	1.60	1.83	<b>1.45</b>
10		3.64	<b>2.06</b>	2.91	<b>1.20</b>	1.50	1.58	<b>1.51</b>
12			3.63	<b>3.51</b>	<b>1.35</b>	1.51	1.88	<b>1.70</b>
16		3.15		2.18	<b>1.05</b>	1.47	1.47	<b>1.71</b>
20		2.71		2.39	<b>1.05</b>	1.26	<b>1.26</b>	1.66
Normalized series								
	MG 1		MG 2		MG 3		MG 4	
	norClasF	norPredF	norClasF	norPredF	norClasF	norPredF	norClasF	norPredF
4	3.46	<b>1.67</b>	1.96	<b>1.55</b>	1.85	<b>1.60</b>	1.98	<b>1.69</b>
6	2.16	<b>1.42</b>	1.93	<b>1.50</b>	2.88	<b>1.72</b>	1.96	<b>1.34</b>
8	2.22	<b>1.69</b>	1.94	<b>1.30</b>	1.87	<b>1.40</b>	1.44	<b>1.39</b>
10	1.59	<b>1.71</b>	2.10	<b>1.44</b>	1.89	<b>1.46</b>	1.46	<b>1.25</b>
12	1.66	<b>1.32</b>	2.14	<b>1.33</b>	1.88	<b>1.73</b>	1.45	<b>1.19</b>
16		1.34	0.94	<b>0.75</b>	1.83	<b>1.22</b>	1.82	<b>1.20</b>
20		1.45	1	<b>1.50</b>	1.83	<b>0.92</b>	1.82	<b>0.91</b>

773 Table 5. Adjusted Rand Index (ARI) for the 6, 11 and 16-Class level and the mean of all class  
 774 levels classifications following the four approaches.

Level	Classification	Classification		
		rawClasF	rawPredF	norClasF
6	rawPredF	0.22		
	norClasF	0.12	0.16	
	norPredF	0.19	0.39	0.19
11	rawPredF	0.23		
	norClasF	0.14	0.23	
	norPredF	0.19	0.32	0.23
16	rawPredF	0.20		
	norClasF	0.17	0.17	
	norPredF	0.17	0.34	0.21
Mean of all levels	rawPredF	0.22		
	norClasF	0.16	0.18	
	norPredF	0.18	0.32	0.21

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777 Table 6. Relative representativeness of each flow regime aspect according to the data  
 778 processing previous to classification procedure. (-None; \*Limited; \*\* Moderate; \*\*\* High)

Flow Aspect		Raw	Normalized
Magnitude of annual flows	Mean	***	
	Variability	*	***
Magnitude of monthly flows (shape of the hydrograph)	Mean	-	***
	Variability	-	**
Magnitude and duration of low flows	Mean	-	***
	Variability	-	-
Magnitude and duration of high flows	Mean	***	-
	Variability	-	***
Timing of extreme flow events	Mean	-	-
	Variability	*	-
Frequency and duration of high pulses	Mean	**	**
	Variability	-	-
Rate and frequency of flow change	Mean	-	-
	Variability	*	-

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783 Figure caption

784 Fig. 1. Map of unregulated gauges (●; n=156) in the study area. Black lines divide the  
785 Cantabric, the Ebro and the Catalan catchments. (CS: Cantabric sea; MS: Mediterranean sea).

786 Fig. 2. Schematic diagram summarising the 4 classifications strategies.

787 Fig. 3. Out-of-Bag misclassification rate of the random forest models developed for the 2 to  
788 20-Class level classifications using ClasF strategy based on the synthetic indices derived from  
789 the raw (△; rawClasF) and the normalized flow series (◇: norClasF).

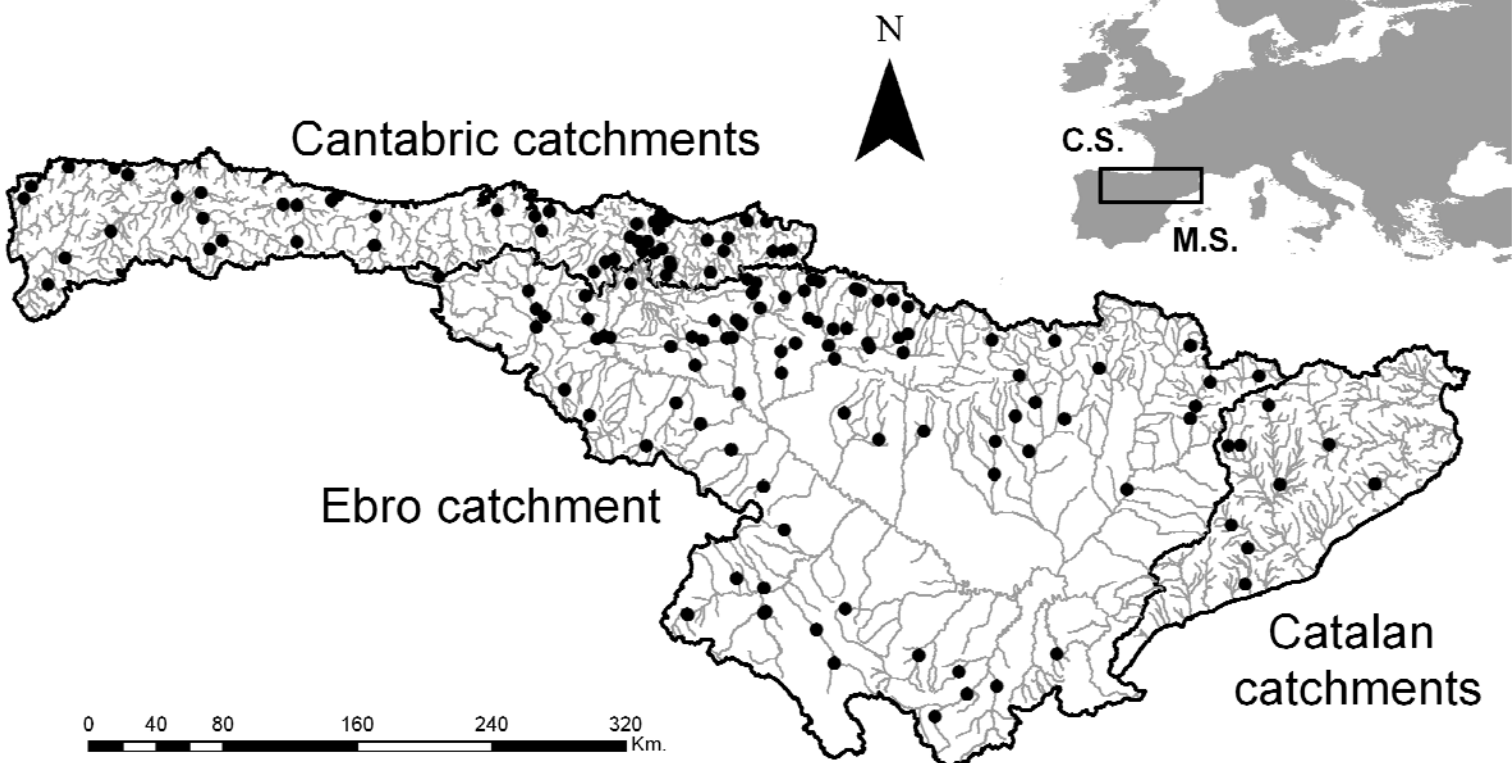
790 Fig. 4. Performance of the classifications based on the Classification Strength statistic A)  
791 classifications based on raw flow series (●: rawPredF; △: rawClasF); B) classifications  
792 based on normalized series (■: norPredF; ◇: norClasF).

793 Fig. 5. Performance of the classifications derived from the raw flow series based on ANOVA  
794 analysis on individual indices analysis (●: rawPredF; △: rawClasF). We selected one index  
795 representing each aspect of the natural flow regime to illustrate the results (the values  
796 obtained for the 103 indices are included in Supplementary material, Table S1).

797 Fig. 6. Performance of the classifications derived from the normalized flow series based on  
798 individual indices analysis(■ norPredF; ◇ norClasF). We selected one index representing  
799 each aspect of the natural flow regime to illustrate results (the values obtained for the 101  
800 indices are included in Supplementary material, Table S2).

801 Fig. 7. Frequency (%) of the segments of the classification domain assigned to the classes  
802 where the distinctive gauges were included. (A: rawClasF ;B: rawPredF; C: norClasF; D:  
803 norPredF).

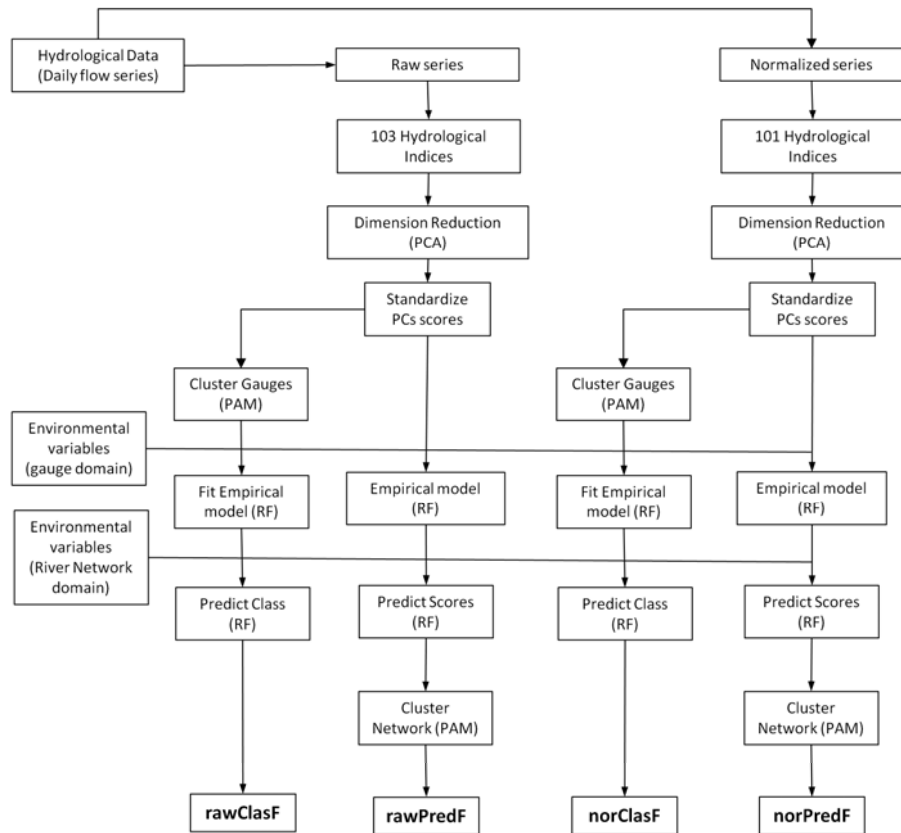
804 Figure 1



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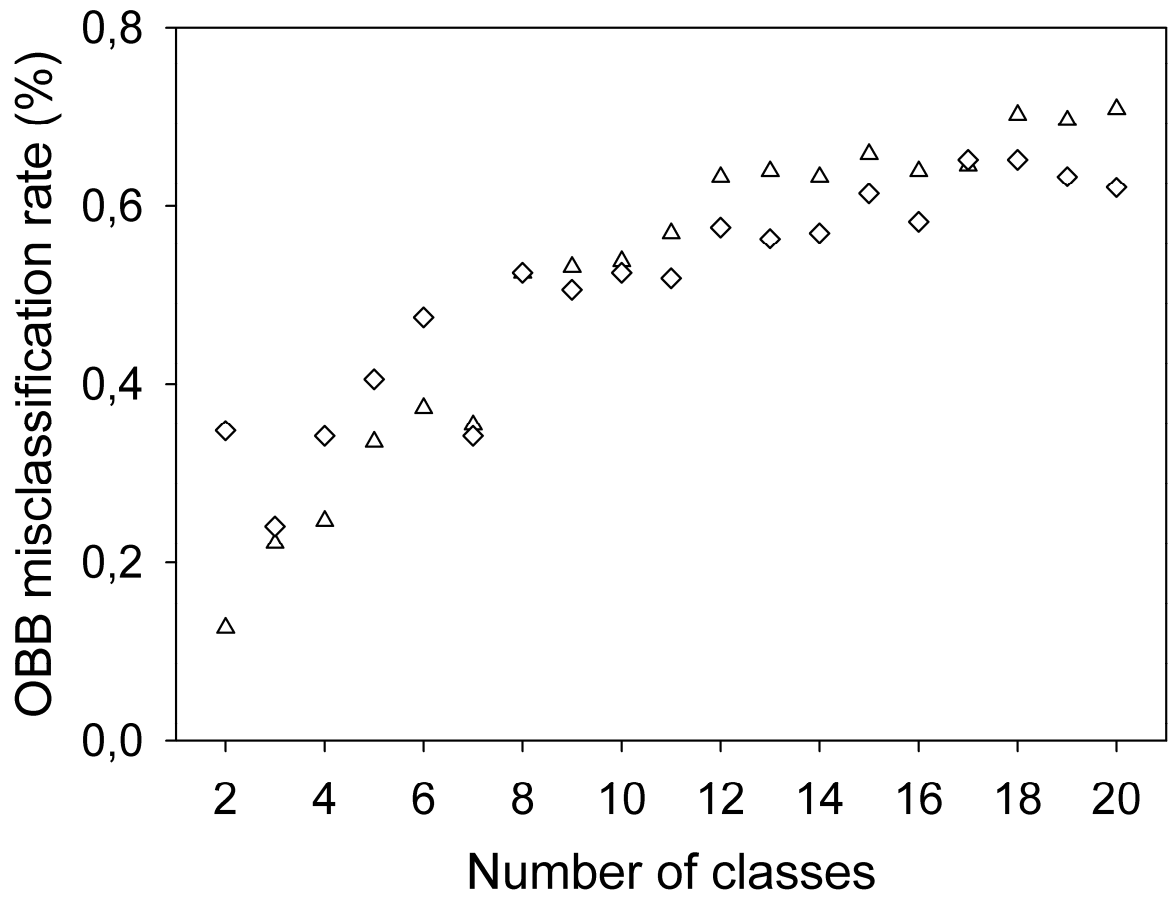
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807 Figure 2



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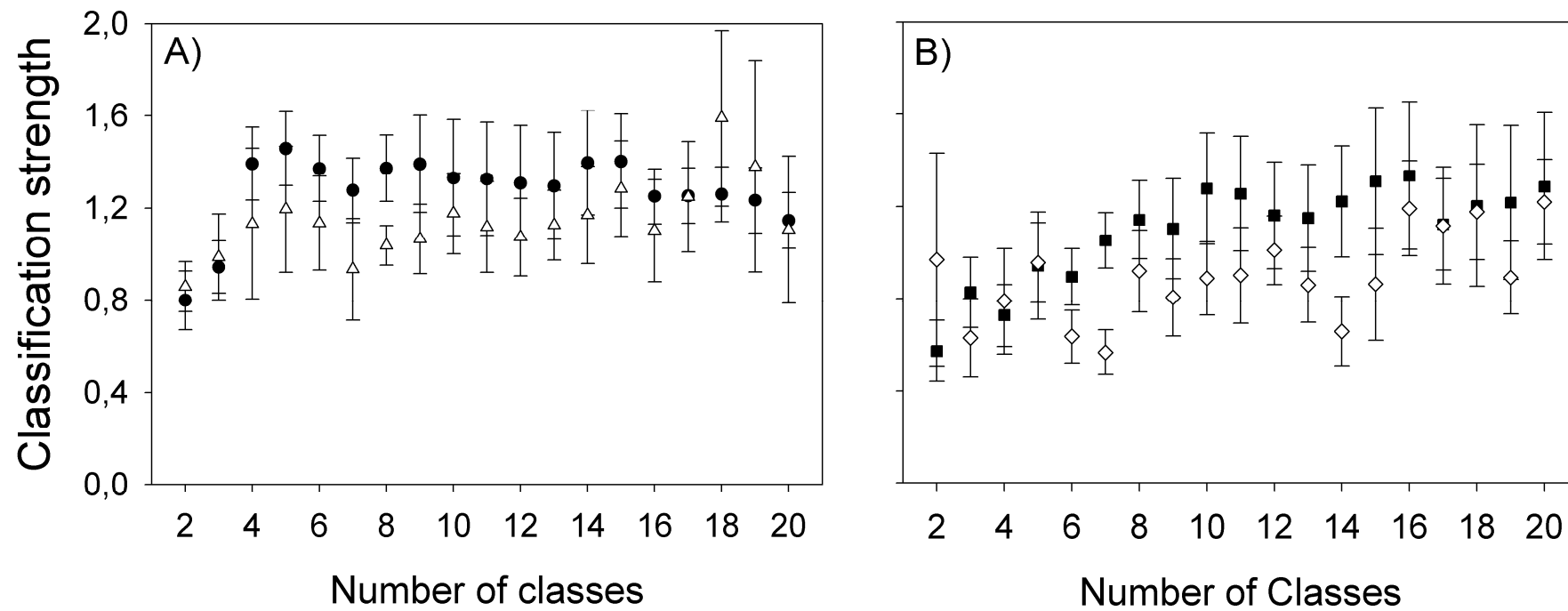
809 Figure 3



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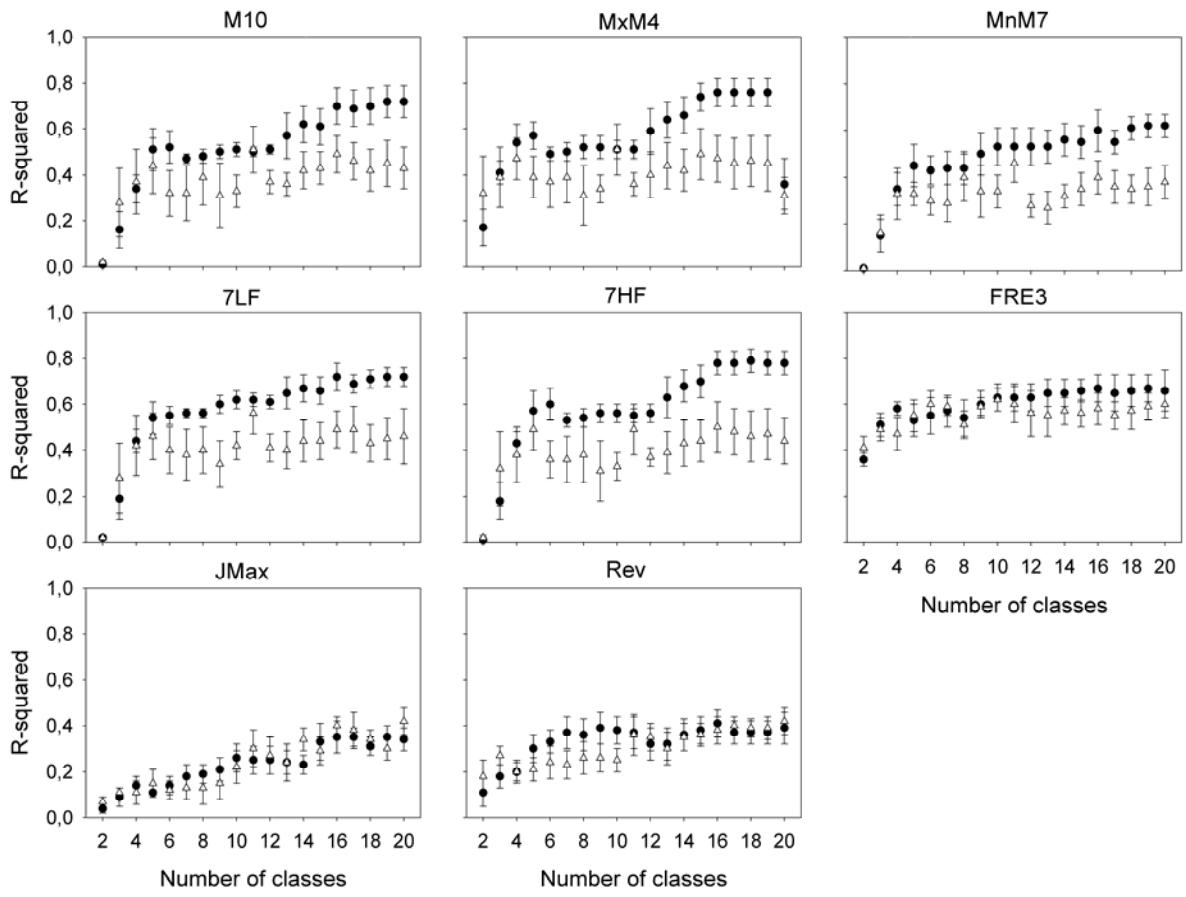
812 Figure 4



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815 Figure 5

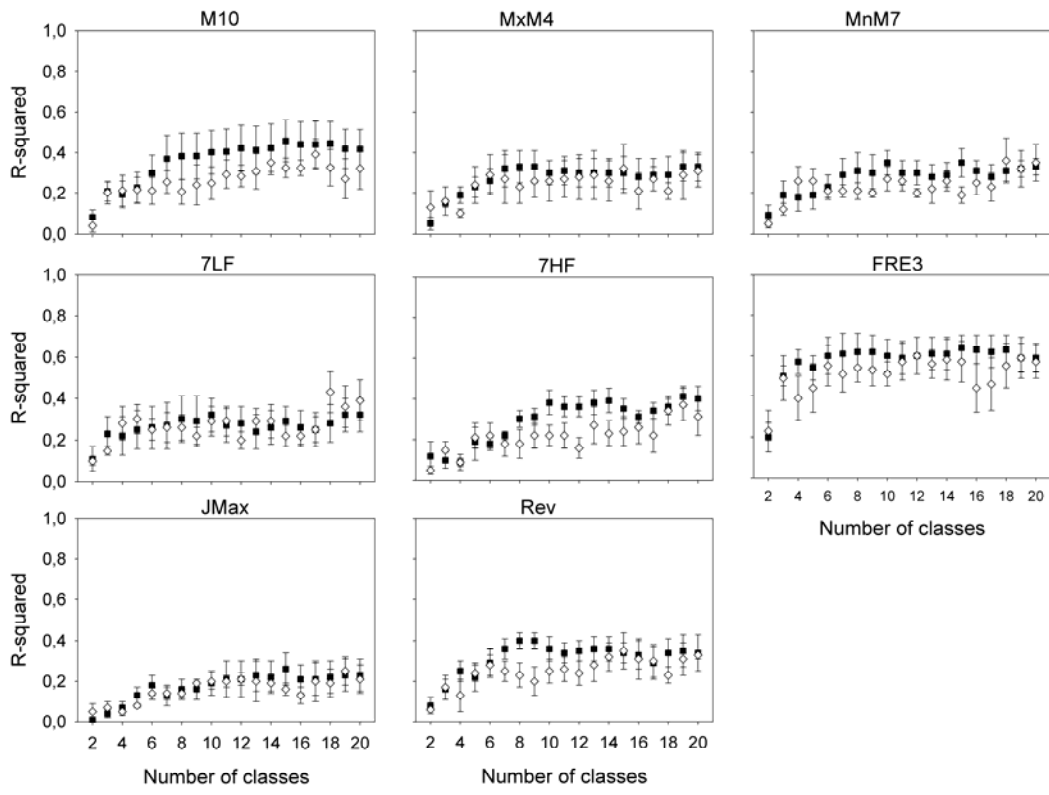


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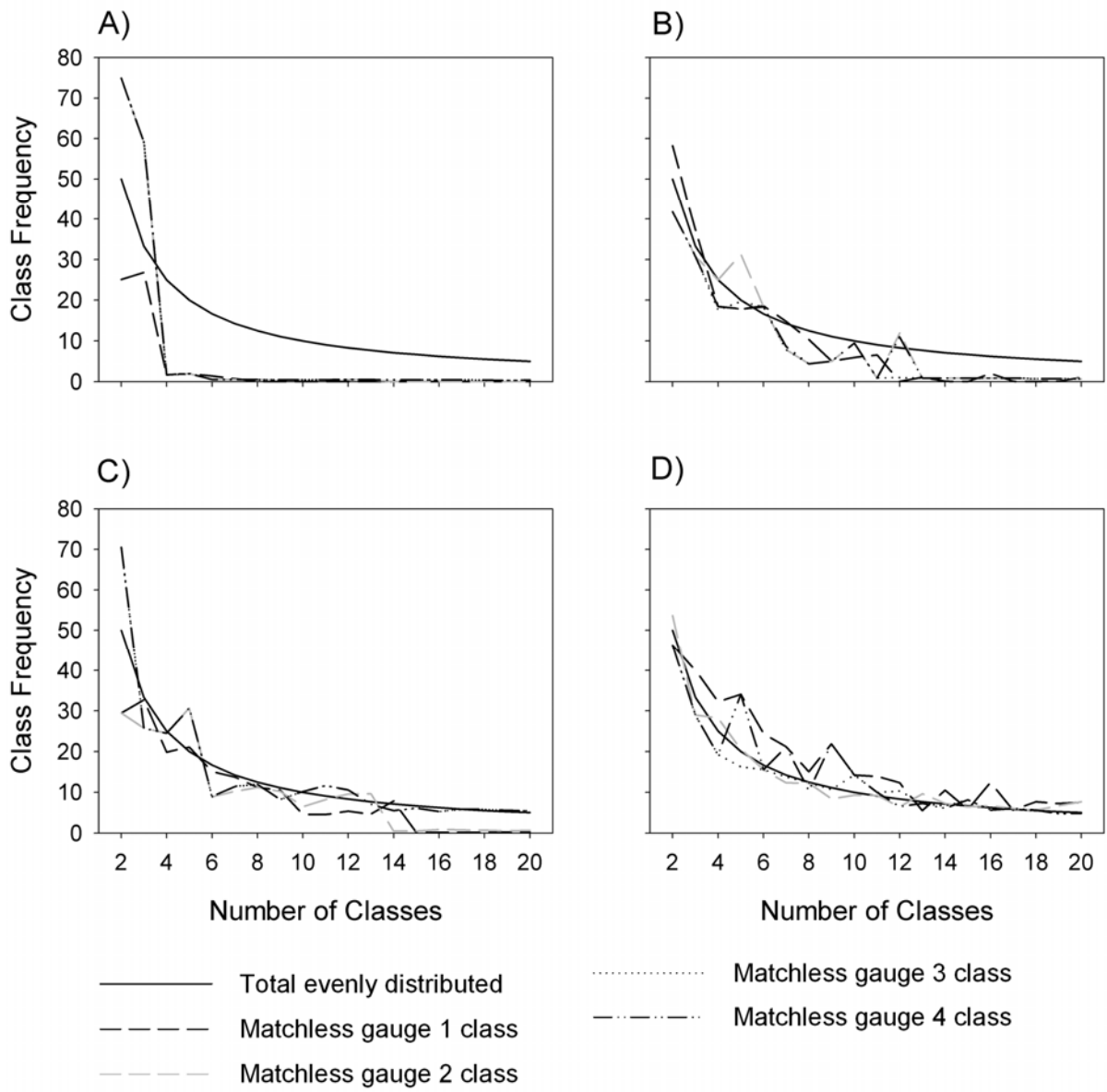
818 Figure 6

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