

**Reply to comments from L. Holko**

**General comments**

The manuscript presents a method of estimating spatially variable degree day factors (DDFs) based on snow-covered area given by MODIS, ground based measured and interpolated snow depth, precipitation and air temperature data. Although the method is inevitably connected with uncertainties, the idea is worth to be published. The approach is described clearly enough to be used by other scientists. DDFs estimated by the method are used in a hydrological model. Detailed description and discussion of the results obtained by modeling based on two different ways of DDFs estimation is presented. The discussion is sometimes too detailed to my taste. However, some readers may find it useful, therefore I do not propose any changes regarding this. The results do not prove significant improvement when using the spatially distributed DDFs obtained by the proposed method. Despite that I believe that hydrological modeling at certain scales should be better based on DDFs obtained by the proposed method than only calibrating the DDF as one of model parameters. The reason is that under favorable conditions, the spatially distributed DDFs obtained by the proposed method may be closer to the reality, i.e. to water volumes released from snow during snowmelt. They are physically better justified compared to DDFs obtained just as calibrated model parameters. Under “certain scales” mentioned above I mean catchments that are large enough considering the MODIS resolution and small enough to make the interpolation of other input data reasonable.

*Reply: Thank you very much for your careful review and detailed comments. The modeling improvement when using the spatially distributed DDFs obtained by the proposed method should indeed be different for different modeling scales. The modeling scale, i.e. size of fundamental computational unit (sub-catchment in this study), can have a significant influence on the simulation, considering the spatial resolution of MODIS data and the spatial density of gauge stations for precipitation and temperature. Adopting different sub-catchment sizes in the model could be a potential way to analyze the scale effect on the simulation, which can be an issue for further study. We have added this discussion in the revised manuscript.*

*We have taken your following comments into account, and revised the manuscript accordingly. Detailed replies to your comments are as follows.*

**Specific comments: I have the following comments which address rather modeling and other issues than the method of distributed DDFs estimation itself:**

1. Section 2.3. and elsewhere – I propose to avoid using the term “validation of estimated DDFs”. The word “validation” is confusing. Because the true DDFs values are not known, they can not be validated. Comparison of runoff and snow pattern simulations with DDFs obtained by two different ways is not validation of the DDFs. In other words, similar values of simulated runoff and snow patterns do not guarantee that DDFs, i.e. volumes of water released per degree-day are the same as the ones observed in the nature. Fig. 9 presents a nice example that runoff simulation may be acceptable even if the snow-covered area during the snowmelt (which depends also on spatial differences in melting, i.e. the DDFs) is different from the reality.

*Reply: The concept of “validation of estimated DDFs” has been removed and replaced with the concept of “evaluation of estimated DDFs”.*

2. Use of precipitation and air temperature data from the whole Austria to interpolate values for a relatively small basin in its southern/south-western part is in my opinion not needed. Data from smaller territory around the studied catchment would presumably provide better description of local climatic conditions in further studies.

*Reply: Yes, the precipitation and air temperature data were interpolated by the external drift kriging method, which takes into account the local relationship between variables and altitude. The local radius was set to the distance found in geostatistical analysis and it is typically between 50 and 80 kilometers. We thus believe that such an approach can represent the local basin characteristics and allows estimating model inputs for each of 95 sub-catchments in an objective way.*

3. I recommend using “baseflow” instead of “groundwater baseflow”. Although no unique definition of baseflow is accepted in hydrology (many different definitions exist), baseflow generally characterizes sustained streamflow during dry periods. Expression “groundwater baseflow” is confusing, because it might imply that groundwater flow is known (which is rarely the case) and that only part of that groundwater flow is defined as groundwater baseflow.

*Reply: Revised according to the suggestion.*

4. Stepwise calibration might be an alternative calibration approach that some readers may find interesting. However, a more detailed inspection of Figs. 5 and 6 shows that the hydrological model quite often does not simulate the streamflow at the beginning of the snowmelt season very well (2001, 2004, 2006, 2008, 2009, 2010). The model needs some time to simulate increased streamflow or an event. It is not an uncommon behavior, but further development of the model may consider this issue.

72 *Reply: We acknowledge that the simulation of the streamflow events at the beginning of the*  
73 *spring season is not always very good. Reasons for this behavior include underestimated soil*  
74 *storage and underestimated snowmelt water at the beginning of the year. In further studies,*  
75 *the exact reason for the low performance of these early events should be diagnosed, and the*  
76 *model should be improved.*

**Reply to comments from G. Thirel**

**General comments**

**The authors present a smart method for deducing degree-day factors from in situ snow depth and satellite snow cover area data. This topic is relevant for HESS: hydrological model parameters, and as a consequence snow melt/accumulation model parameters, are always difficult to estimate, especially when data are scarce.**

**The paper is very well written, both regarding the English and the scientific aspects, apart from minor flaws. Methods are well presented and are adapted to the study, and conclusions are well supported by the results.**

*Reply: Thank you very much for your positive comments.*

**I however have a major methodological concern. The authors do perform a split-sample test to the results of the hydrological model, which allows identifying the transferability in time of the model parameters and an independent evaluation. Unfortunately, this test is not performed for the DDFs estimation from MODIS. It should in my opinion be done. Snow conditions are evolving from a year to another, which has an impact on the DDFs values. It is difficult to assume the reason why this test has not been performed: maybe the authors judged that the 10 snow data availability is not enough for such a test (but apparently it was enough for splitting the discharge data). However, I would appreciate that the authors present the results of the transferability in time of the DDFs estimated values as a preliminary step of the presented results.**

*Reply: Thanks for the suggestions. In the original manuscript, we have already divided the whole study period into two sub-periods (i.e., 2001 to 2005 and 2006 to 2010) for the testing and validating of the estimated DDFs (please find the sentence as “Both the estimations of snow density and DDFs are carried out in a calculation period, 2001–2005.” on line 11-12, page 9 in the original manuscript). We estimated the value of DDFs in the calibration period (2001 to 2005) and validated the DDFs set in the validation period (2006 to 2010). To evaluate the transferability in time of the estimated DDFs, we have re-estimated the value of DDFs in the validation period (2006 to 2010) in the revised manuscript. Correspondingly, we have added a section of the comparison between the two estimated DDFs sets in the revised manuscript as follows:*

**4.2 Transferability in time of the estimated DDFs**

*The data set used in this study has been divided into two sub-periods: calibration period*

from 1 January 2001 to 31 December 2005 and validation period from 1 January 2006 to 31 December 2010. The average annual precipitation is 1126 mm in the calibration period, and 1238 mm in the validation period. The mean daily temperature is 2.28 °C in the calibration period, and 2.59 °C in the validation period. Mean daily snow coverage from MODIS is approximately 10% in the calibration period, and about 12% in the validation period. Although the difference of the climate and snow cover conditions in the two periods is small, it can still play a role in the snowmelt processes. Therefore, we re-estimated the value of snow density and  $DDF_s$  using the climate data and MODIS snow data in the validation period and compared the new estimated  $DDF_s$  set with that estimated using data in the calibration period in Fig. I. The comparison shows that the two estimated sets of  $DDF_s$  and snow density (SD) are slight different due to the different climate and snow cover conditions in the two sub-periods. However, the correlation coefficients between the two estimated  $DDF_s$  sets and that between the two SD sets are both high, i.e. 0.802 for the  $DDF_s$  and 0.720 for the SD (see Fig. I), which indicates that both the two estimated  $DDF_s$  sets and two SD sets are consistent in the two periods. There is no significant systematic bias for the estimated  $DDF_s$  and SD. This suggests the transferability in time of the estimated  $DDF_s$  in the whole study period. To further test its transferability in time, we applied  $DDF_s$  values estimated in one period for the simulation of basin discharge and snow cover in the other period. For example, we used the  $DDF_s$  set estimated by snow data in the calibration period (2001 to 2005) for the model simulation in the validation period (2006 to 2010). The simulations shown in Table 1, Fig. 7b, Fig. 9b, Fig.10b and Fig.12 for the validation period (2006 to 2010) indicate that the estimated  $DDF_s$  are transferrable in time with good accuracy.

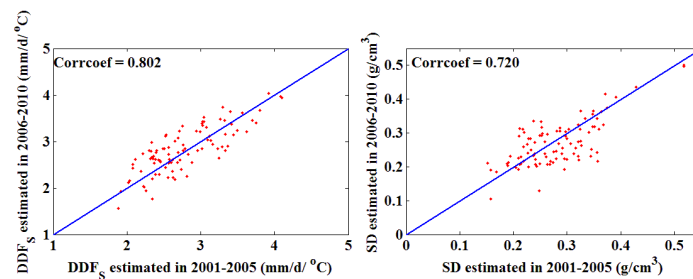


Figure I. Comparison of the estimated degree-day factor for snowmelt ( $DDF_s$ ) and snow density (SD) in two sub-periods. “Corrcoef” is the value of correlation coefficient between two estimated sets.

**Minor comments:**

- 1. “degree-day” is sometimes written “degree day” in the manuscript. Please make a choice. The same thing is for “ground-based”. I prefer using the hyphens.**

*Reply: Done according to the suggestion.*

- 2. In the abstract the study area /basins should be briefly introduced.**

*Reply: We have added a brief introduction of the study area in the abstract in the revised manuscript, i.e.,*

*“This method is applied to the Lienz catchment in East Tyrol, Austria, which covers an area of 1198 km<sup>2</sup>. Its elevations range from 670 m a.s.l. to 3775 m a.s.l.. Approximate 70% of the basin is covered by snow in the early spring season.”*

- 3. p. 3, l. 11-12: is “degree-day temperature” the correct name here? I would say it is a difference in temperature.**

*Reply: We have corrected the “degree-day temperature” as “difference between daily temperature and the threshold value”.*

- 4. p. 4, l. 12: “point-measured” is more correct**

*Reply: Corrected.*

- 5. p. 4, l. 11-14: please rewrite this sentence to make clearer that the first cited study allowed the second one to do theirs. The used “and” does not reflect this dependence. The expression “the ratio of :: and ::” is present in several places. It is better to use “the ratio of :: to ::” or “the ratio between :: and ::”.**

*Reply: We have corrected the sentences in the revised manuscript as “Bormann et al. (2013, 2014) coupled the method developed by Sturm et al.(2010) to estimate snow density as the ratio between point measured SWE and snow depth data with the empirical relationship between DDF<sub>s</sub> and snow density of Rango and Martinec(1995) to estimate daily variable DDF<sub>s</sub>” on page 4, and some other presentation of “the ratio of ::and ::” in the manuscript have been revised as “the ratio between :: and ::”.*

- 6. p. 7, l. 9-12: I think that the ratio defined here is incorrect. The dimension of this ratio is equal to the inverse of the dimension of the degree-day factor.**

*Reply: We have corrected these sentences as “Snow density is estimated from the days with snow accumulation as the ratio between measured precipitation and changes in snow volume. The degree-day factor is estimated from the days with ablation as the ratio between measured changes in snow water equivalent and the difference between daily temperature and the threshold value.”*

171 **7. p. 7, l. 14: please remove the second occurrence of the word “model”.**

172 *Reply: We have removed this word, thanks.*

173 **8. Section 2.1: since this section is a methodological one, there is no need to specify**  
174 **that the SCA data come from MODIS, and that the snow depth data are**  
175 **interpolated from pixel values. Knowing that spatially-distributed SCA and snow**  
176 **depths are used is enough here, the origin of data will be described later in the**  
177 **paper, in section 3.**

178 *Reply: We have removed the related sentence in Section 2.1 and further introduced the data*  
179 *source in Section 3.*

180 **9. p. 9, l. 25-26: how are rainfall and snowfall distributed for this window? Is it a**  
181 **linear interpolation? Please specify.**

182 *Reply: Rainfall and snowfall in this temperature window were simply estimated as half of the*  
183 *total precipitation. We have added this sentence in Section 2.2.*

184 **10. p. 10: What is “I”? The day index? Please specify.**

185 *Reply: Yes, “I” is the day index, we have specified it in the revised manuscript.*

186 **11. p. 10, l. 17: “: : the number of sub-catchments that ARE covered: : :”.**

187 *Reply: We have corrected the sentence as “n is the number of sub-catchments that are*  
188 *covered with glacier”.*

189 **12. Equations 6 to 10 should be inserted in section 2.2 instead of 2.3.**

190 *Reply: We have modified it in the revised manuscript.*

191 **13. Section 3.2: please specify the version of the MODIS data as well as its origin. Does**  
192 **it come from the NSIDC? If yes, please respect the articles you have to make**  
193 **reference to. Please also add the time extent of availability of MODIS data.**

194 *Reply: The MODIS snow cover data used in this study is the daily product, i.e. MOD10A1*  
195 *and MYD10A1 (V005), (Hall et al., 2006a,b). It has been downloaded from the website of the*  
196 *National Snow and Ice Data Center (NSIDC, www.nsidc.org). The used data set consists of*  
197 *daily snow cover maps from 1 January 2001 to 31 December 2010. In response to this*  
198 *comment we have specified the version of MODIS dataset and added the following*  
199 *references:*

200 *Hall, D. K., V. V. Salomonson, and G. A. Riggs. 2006a. MODIS/Terra Snow Cover Daily L3*  
201 *Global 500m Grid. Version 5. Boulder, Colorado USA: National Snow and Ice Data*  
202 *Center.*

203 *Hall, D. K., V. V. Salomonson, and G. A. Riggs. 2006b. MODIS/Aqua Snow Cover Daily L3*  
204 *Global 500m Grid. Version 5. Boulder, Colorado USA: National Snow and Ice Data*  
205 *Center.*

206 **14. Section 3.3: a description of the differences of climate and snow conditions between**  
207 **the two periods could help to better understand later in the paper the results over**

these two periods.

*Reply: We have added a new Section in the revised manuscript (Sect. 4.2, see the second reply in this document) in which we added a description of the climate and snow conditions in the two periods: “The data set used in this study has been divided into two sub-periods: 1 January 2001 to 31 December 2005 and 1 January 2006 to 31 December 2010. The average annual precipitation is 1126 mm in the first period, and 1238 mm in the second period. The mean daily temperature is 2.28 °C in the first period, and 2.59 °C mm in the second period. Mean daily snow coverage from MODIS is approximately 10% in the first period, and about 12% in the second period”.*

**15. p.12, l. 5: we don’t know at this point on which period the DDFs values have been estimated. Please specify. As I said earlier, the article would benefit from testing and validating the method over two sub-periods.**

*Reply: In the original manuscript, we estimated the value of DDF<sub>s</sub> in the calibration period (2001 to 2005) and validated the DDF<sub>s</sub> set in the validation period (2006 to 2010). Please find the sentence as “Both the estimations of snow density and DDFs are carried out for the period 2001–2005.” in line 11-12, page 9 in the original manuscript. In response to this comment, we have re-estimated the value of DDFs in the validation period (2006 to 2010) in the revised manuscript. Comparison between the values of the two estimated DDF<sub>s</sub> sets shown in Fig. 4 (see the second reply) demonstrates the transferability in time of the estimated DDF<sub>s</sub>. For the simulation of discharge and snow cover in the validation period (2006 to 2010), we used the DDF<sub>s</sub> estimated by snow data in the calibration period (2001 to 2005), not the corresponding DDF<sub>s</sub> set estimated by snow data in 2006 to 2010. This is to further test the transferability in time of the estimated DDF<sub>s</sub>. The sound simulation for the validation period by the DDF<sub>s</sub> values estimated in the calibration period points to the reliability of the estimated DDF<sub>s</sub> values.*

**16. Section 4.2: I am quite surprised about the better results on the validation period than on the calibration period that we often observe in the results. Please comment.**

*Reply: The sound results in both the validation period and calibration period suggest that the calibrated parameters are reasonable. The better results in validation period than those in the calibration period may be attributed to the uncertainty in the calibrated parameter values. Given the slightly different climate conditions in the two periods, the calibrated parameter set may produce better results in the validation period, but this could be random. To evaluate the performance of the calibration process is not the core of this paper, but can be an issue of further studies.*

**17. p. 17, l. 11: why did you use RMSE here, instead of the other metrics (NSE: : :) used for evaluating discharges earlier?**



244 *Reply: The RMSE is a linear function of NSE. The metric used in Fig.8 is to evaluate the*  
245 *simulation of the snowmelt partition by using different DDFs choices. We did not focus on the*  
246 *accuracy of each simulation but on the relative performance through inter-comparison. To*  
247 *the authors' understanding, no matter which metrics are used here, the inter-comparison*  
248 *results should be similar.*

249 **18. Figures 10 and 11: on these figures, SWE from the two modelling choices and SCA**  
250 **from MODIS are presented. However, p. 19, l. 17-19, the authors say:**  
251 **“Correspondingly, the simulated snow covered areas using calibrated DDFs are**  
252 **higher than those observed from MODIS (see Figs. 10 and 11 on 10 June 2003 and**  
253 **27 May 2008)”. I don't know what allows the authors to state that. On these figures,**  
254 **different things are presented and cannot directly be compared. There is no**  
255 **simulated snow covered areas. I assume that the authors speak about the green and**  
256 **purple surfaces to differentiate covered and non-covered areas. I am a bit skeptical**  
257 **about this choice since a SWE of 18 mm was defined earlier. I would urge the**  
258 **authors to be cautious in this sentence and the end of this paragraph with what they**  
259 **say, and maybe also to modify the figures following my comments.**

260 *Reply: We have replaced the concept of “snow cover areas” in this discussion with the*  
261 *concept of “sub-catchments are covered with snow”. The sub-catchments are covered with*  
262 *snow refers to purple surfaces in Figs. 11 and 12. The threshold value of snow water*  
263 *equivalent (SWE) as 18 mm is just used in Figs.9 and 10, but is not used in Figs 11 and 12.*  
264 *The intensity of the purple color in Figs 11 and 12 depends on the value of snow cover area*  
265 *(SCA) from MODIS or simulated SWE values. The green surface in these two Figures refers*  
266 *to areas where SCA value from MODIS or the simulated SWE value is zero, i.e. non-snow*  
267 *covered areas. We have used “sub-catchments are covered with snow” instead of “snow*  
268 *cover areas” to present the purple surface in Figs 11 and 12 in the revised manuscript.*  
269 *Thanks.*

270 **19. p. 20, l. 26 to p. 21, l. 2: please pay attention to the fact that on snowmelt driven**  
271 **basins (or any basin with high discharge seasonality) high NSE values are easier to**  
272 **be reached.**

273 *Reply: We have pointed this out in the revised manuscript: “Considering that high NSE*  
274 *values are relatively easier to be reached in snowmelt affected basins, the performance of the*  
275 *stepwise calibration method should be evaluated in further studies. The core of this paper is*  
276 *on evaluating the performance of the estimated DDFs in hydrological modeling, so we used a*  
277 *stepwise calibration method to identify the DDFs in the model separately, reducing its*  
278 *interdependence with other model parameters of the traditional calibration method”.*

279 **20. Globally the figures are good, but I am afraid that some of them would not appear**

280 clearly in the final version of the paper. The legend fonts are too small for Figure 2.  
281 Figures 4 to 7 are difficult to read, please try to find a way to ease the distinction  
282 between the different curves.  
283 *Reply: We have improved these Figures in the revised manuscript. Thanks.*

**List of relevant changes.**

Dear Editor,

This is a revised version of the hessd-11-8697-2014 paper. In making the new version of the paper, we have carefully addressed all the comments and suggestions provided by two Referees (i.e. L. Holko and G. Thirel). In response to the major concern on the transferability in time of the estimated degree-day factor for snowmelt (DDFs) by G. Thirel, we have added a new section, i.e. Section 4.2 in this revised manuscript, in which we have re-estimated the value of degree-day factor in the validation period (year 2006 to 2010) and compared it with the value estimated in the calibration period (year 2001 to 2005). The comparison indicated that the two estimated sets of DDFs are consistent in the two sub-periods. There is no significant systematic bias for the estimated DDFs. We have also tested the estimated DDFs value by applying the DDFs value estimated in one sub-period for the simulation of basin discharge and snow cover in the other sub-period. For example, we used the DDFs set estimated by snow data in the calibration period for the model simulation in the validation period, which have already been done in the original manuscript. In response to the minor comments by the two Referees, we have also corrected some words or concepts in this new manuscript. In particular:

- 1) We have added a brief introduction of the study area in the abstract section.
- 2) The writing of some words have been corrected to the hyphens style, such as correcting “degree day” to “degree-day”, “ground based” to “ground-based” and “point measured” to “point-measured”.
- 3) We have corrected the “degree-day temperature” as “difference between daily temperature and the melt threshold value” in response to the comments by G. Thirel.
- 4) The expression “the ratio of : : : and : : :” is corrected to the form as “the ratio between : : : and : : :”.

- 5) We have added a Figure, i.e. Figure 4 “Comparison of the estimated degree-day factor for snowmelt (DDFs) and snow density (SD) in two sub-periods” in this manuscript.
- 6) We have replaced the concept of “snow cover areas” in the last paragraph in Section 4.4 with the concept of “sub-catchments are covered with snow” according to the comment by G. Thirel. We used the sub-catchments are covered with snow to present the purple surfaces in Figures. 11 and 12.
- 7) We have improved some Figures to be clearer, as pointed out by G. Thirel.
- 8) The concept of “validation of estimated DDFs” has been replaced with the concept of “evaluation of estimated DDFs” in response to the comments by L. Holko.
- 9) We have added some discussions about the influence of the modeling scale, i.e. size of fundamental computational unit (sub-catchment in this study) on the simulation in Section 5.

Thank you very much for your attention and consideration. The revised new manuscript is presented as follows.

Sincerely yours,

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**Estimating degree-day factors from MODIS for snowmelt  
runoff modeling**

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Manuscript submitted to *Hydrology and Earth System Sciences*

2014.10.28

## Abstract

Degree-day factors are widely used to estimate snowmelt runoff in operational hydrological models. Usually, they are calibrated on observed runoff, and sometimes on satellite snow cover data. In this paper, we propose a new method for estimating the snowmelt degree-day factor (DDF<sub>s</sub>) directly from MODIS snow covered area (SCA) and ground-based snow depth data without calibration. Subcatchment snow volume is estimated by combining SCA and snow depths. Snow density is estimated as the ratio between observed precipitation and changes in the snow volume for days with snow accumulation. Finally, DDF<sub>s</sub> values are estimated as the ratio between changes in the snow water equivalent and difference between the daily temperature and the melt threshold value for days with snow melt. We compare simulations of basin runoff and snow cover patterns using spatially variable DDF<sub>s</sub> estimated from snow data with those using spatially uniform DDF<sub>s</sub> calibrated on runoff. The runoff performances using estimated DDF<sub>s</sub> are slightly improved, and the simulated snow cover patterns are significantly more plausible. The new method may help reduce some of the runoff model parameter uncertainty by reducing the total number of calibration parameters. This method is applied to the Lienz catchment in East Tyrol, Austria, which covers an area of 1198 km<sup>2</sup>. Approximate 70% of the basin is covered by snow in the early spring season.

## 1 Introduction

Mountain watersheds serve as important water sources by providing fresh water for downstream human activities (Viviroli *et al.*, 2003; Langston *et al.*, 2011). As a result of snow and glacier melt, the magnitude and timing of runoff from these watersheds tend to be very sensitive to changes in the climate (Immerzeel *et al.*, 2009; Jeelani *et al.*, 2012). Changes of melt runoff may even affect the sustainable development of downstream cities in the long run (Verbunt *et al.*, 2003; Zhang *et al.*, 2012). Modeling snow and glacier melt runoff processes is therefore quite important for local water supply, hydropower management and flood forecasting (Klok *et al.*, 2001). However, melt runoff modeling in such regions faces two challenges: scarcity of meteorological data and uncertainty in parameter calibration due to limited understanding of the complex hydrological processes.

Melt runoff models generally fall into two categories: energy balance models, and temperature-index models (Rango and Martinec, 1979; Howard, 1996; Kane *et al.*, 1997; Singh *et al.*, 2000; Fierz *et al.*, 2003). Temperature-index models operating on a basin wide scale are much more popular for operational purposes due to the following four reasons (Hock, 2003): (1) wide availability of air temperature data, (2) relatively easy interpolation and forecasting possibilities of air temperature, (3) generally good model performance and (4) computational simplicity. The temperature index model is based on an assumed relationship between ablation and air temperature and calculates the daily snowmelt depth,  $M$  (mm/d), by multiplying the difference between daily temperature and the melt threshold value,  $T - T_o$  ( $^{\circ}\text{C}/\text{d}$ ), with the degree-day factor of snow,  $\text{DDF}_s$  (mm/d/ $^{\circ}\text{C}$ ) (Howard, 1996).  $T_o$  is a threshold temperature for snowmelt. The temperature index model implies a consistent contribution of each of the heat balance components (including radiation, sensible heat, latent heat and ground heat fluxes). Any changes in climate conditions and the underlying basin characteristics will affect the relative contributions of the heat balance components and cause variations of the  $\text{DDF}_s$  (Lang and Braun, 1990; Ohmura, 2001). The study of Kuusisto (1980) in Finland found  $\text{DDF}_s$  to increase sharply in early April, approximately doubling during this month due to increasing solar radiation. Singh and Kumar (1996) and Singh *et al.* (2000) demonstrated a seasonal decrease of  $\text{DDF}_s$  with increasing albedo due to seasonal changes of

land surface characteristics. Spatial variations of basin topography, such as elevation, terrain slope, aspect and terrain shading change the spatial energy conditions for snowmelt and lead to significant variations of DDF<sub>s</sub> (Marsh *et al.*, 2012; Bormann *et al.*, 2014). Generally, regions with a large contribution of sensible heat flux to the heat balance tend to have low degree-day factors (Hock, 2003). DDF<sub>s</sub> are expected to increase with increasing elevation and increasing snow density (Li and Williams, 2008). Forest regions often have lower values of DDF<sub>s</sub> than open regions (Rango and Martinec, 1995). The identification of DDF<sub>s</sub> has been an important yet complex issue for the application of the temperature-index model for snowmelt runoff modeling.

Quite a few studies estimated the degree-day factor from observed snow water equivalent (SWE) data. Martinec (1960) measured SWE with radioactive cobalt and computed the DDF<sub>s</sub> as the ratio between SWE and difference between daily temperature and the melt threshold value. Rango and Martinec (1979, 1995) obtained degree-day factors from empirical regressions with snow density. Kane *et al.* (1997) estimated degree-day factors by calibration against point-measured SWE in a 2.2 km<sup>2</sup> catchment. Daly *et al.* (2000) merged interpolated point-measured SWE with snow covered area derived from satellite data to obtain spatial snow water equivalent and estimated spatially distributed DDF<sub>s</sub> by calibration to spatial snow water equivalent. Bormann *et al.* (2013, 2014) coupled the method developed by Sturm *et al.* (2010) to estimate snow density as the ratio between point-measured SWE and snow depth data with the empirical relationship between DDF<sub>s</sub> and snow density of Rango and Martinec (1995) to estimate daily variable DDF<sub>s</sub>. In these methods, detailed observations of snow water equivalent in the basin are needed. However, observations of snow water equivalent are only representative of a small subset of the spatial domain, and observations tend to be scarce at high elevations (Hamlet *et al.*, 2005).

Another method of estimating the DDF<sub>s</sub> is treating it as a hydrologic model parameter and calibrating it on observed hydrological data. Most commonly, runoff is used for calibrating DDF<sub>s</sub> (Hinzman and Kane, 1991; Klok *et al.*, 2001; Luo *et al.*, 2013). The drawback is that catchment runoff is not usually a good indicator of the spatial snow cover distribution (Blöschl *et al.*, 1991a,b; Bach *et al.*, 2003; Liu *et al.*, 2012 etc.). Advances in remotely sensing techniques help provide more practical information for the calibration of



DDF<sub>s</sub>. There have been numerous comparisons between satellite snow cover products (e.g. Hall *et al.*, 2000, 2002; Maurer *et al.*, 2003; Lee *et al.*, 2005; Hall and Riggs, 2007). In particular, MODIS snow covered area (SCA) products have been demonstrated to be of good quality and have been widely used in alpine hydrological modeling (Klein and Barnett, 2003; Dery *et al.*, 2005; Andreadis and Lettenmaier, 2006; Wang *et al.*, 2008; Georgievsky, 2009). Subsequently, a number of studies tested the potential of MODIS snow cover data for calibrating and validating snowmelt models (e.g. Dery *et al.* (2005), Tekeli *et al.* (2005), Udnaes *et al.* (2007), Parajka and Blöschl (2008a)). A review is provided by Parajka and Blöschl (2012). The authors generally found that including snow cover data in the model calibration improved the snow simulations. Most of these studies calibrated the DDF<sub>s</sub> on combined objective functions involving observed runoff and snow cover data. This makes it hard to obtain spatially variable DDF<sub>s</sub> because of the limited availability of spatially distributed runoff data. It is also important to note that the calibration of DDF<sub>s</sub> can be significantly affected by other model parameters due to the interdependency of the parameters and the nature of objective functions that reflect the joint effects of all the model parameters in a holistic way. The optimization procedures may there induce significant uncertainties in the parameter estimates (Kirchner, 2006), if insufficient attention is paid to the physical catchment characteristics (including elevation, vegetation coverage, and snow density etc.) affecting the value of DDF<sub>s</sub> (Bormann *et al.*, 2014).

In mountain watersheds, distributed hydrologic models are more widely applied than lumped models due to the large spatial variability. Degree-day factors estimated from point measurements or spatially uniform values from calibration are not likely representative for the entire catchment. An increasing need for spatially distributed estimation of DDF<sub>s</sub> has been identified (Hock, 1999; Nester *et al.*, 2011). However, only few studies have attempted to develop temperature-index methods in a distributed manner (Cazorzi and DallaFontana, 1996; Williams and Tarboton, 1999; Daly *et al.*, 2000 etc.). Most of them computed the DDF<sub>s</sub> as a function of a radiation index, snow albedo, rainfall rate, elevation, snow density or wind speed, which are heavily affected by topography, thus addressing the spatial variability of snowmelt in mountain terrain (Dunn and Colohan, 1999; Hock, 2003). However, due to the complex interactions between atmospheric and surface characteristics affecting the

degree-day factor, the relationship between  $DDF_s$  and these characteristics is still not very well understood.

The objective of this study is to propose a new method for estimating spatial patterns of  $DDF_s$  from MODIS data in mountain catchments. In comparison to traditional methods, the  $DDF_s$  is not calibrated to observed runoff and snow water equivalent data, but directly estimated from MODIS snow covered area and snow depth data alone. Snow depths can be more widely measured in the field than snow water equivalent. For example, Environment Canada gauges snow depth at 1556 sites, but snow water equivalent only at 27 sites. Similarly, the U.S. Weather Service and the Swiss Service measure many more depths than water equivalents (Johnson and Schaefer, 2002; Zhou *et al.*, 2005; Sturm *et al.*, 2010). The new proposed method differs from existing estimation methods of  $DDF_s$  in a number of ways: First, snow water equivalent is estimated from MODIS snow cover, snow depths and precipitation data, so there is no need for snow water equivalent measurements which are difficult to obtain in most mountain watersheds. Second,  $DDF_s$  is estimated on a subcatchment scale rather than on a point scale as in most traditional estimation methods. Third, the study extends the idea of partitioning hydrological time series to explore hidden hydrological information of He *et al.* (2014) to the case of snow data. The methodology is tested in a mountain basin in Austria.

The remainder of this paper is organized in the following way: Section 2 details the estimation method of spatial snow density and the snowmelt degree-day factor, as well as the stepwise calibration method for the model parameters. Section 3 contains a description of the geographic and hydrological characteristics of the study basin, including the main data sources and data preprocessing. Section 4 presents the main simulation results and comparisons between the hydrologic model performance using  $DDF_s$  estimated from snow data and  $DDF_s$  calibrated on runoff. Finally, section 5 provides a summary of the study, and discusses possible sources of uncertainty in the results and further applications of the new estimation methods of degree-day factors.

## **2 Methodology**

The main idea of estimating the degree-day factor is as follows. The volume of snow for each subcatchment and each day is estimated using MODIS SCA data and ground-based snow

depth time series. The snow volume time series are partitioned in time into three groups, based on the daily air temperatures: days with snow accumulation (when temperatures are below a threshold), days with ablation (when temperatures are above a different threshold) and days where both processes occur (when temperatures are between the thresholds). Snow density is estimated from the days with snow accumulation as the ratio between measured precipitation and changes in snow volume. The degree-day factor is estimated from the days with ablation as the ratio between measured changes in snow water equivalent (product of snow volume and density) and the difference between daily temperature and the threshold value.

For comparison, DDF<sub>s</sub> is calibrated on runoff using a semi-distributed hydrological model--THREW which has been applied in several studies (Tian *et al.*, 2006,2008,2012; Mou *et al.*, 2008; Li *et al.*, 2012). The calibration follows the stepwise procedure developed by He *et al.* (2014) but was slightly modified because of the local characteristic of the study basin (see Section 2.2). The study basin is divided into 95 subcatchments for the simulations.

The estimated degree-day factors are tested by simulations of basin runoff and snow cover patterns. The study period for which the analyses are performed is ten years, 2001-2010. 2001 to 2005 is the calibration period and 2006 to 2010 is the validation period.

## 2.1 Estimation of degree-day factor from snow data

The observed snow data used to estimate the degree-day factor, DDF<sub>s</sub>, are snow covered area (SCA) products and ground-based snow depths. Firstly, we obtain the volume per area of snow in each subcatchment and for each day by  $V_s = \text{SCA} \cdot D_s$ , where  $D_s$  is the average snow depth. Since the average snow depths tend to overestimate the snow covered area, therefore the multiplication with SCA is needed to compensate for the biases. In a next step, the change of snow water equivalent (SWE) between two days,  $\frac{dSWE}{dt} = \rho_s \cdot \frac{dV_s}{dt}$ , is attributed to three snow processes according to Eq. (1a-c).

$$\rho_s \cdot \frac{dV_s}{dt} = \begin{cases} P, & \text{for } T < T_S & \text{Accumulation} & (1a) \\ P_s - M, & \text{for } T_S \leq T \leq T_R & \text{Combination} & (1b) \\ -DDF \cdot (T - T_m), & \text{for } T > T_R & \text{Ablation} & (1c) \end{cases}$$

where,  $\rho_s$  is the snow density,  $P$  is daily precipitation,  $P_s$  is daily snowfall,  $M$  is daily snowmelt depth,  $T_S$  is the temperature threshold below which all precipitation is in the form of

snowfall,  $T_R$  is the temperature threshold above which all precipitation is liquid, and  $T_m$  is the temperature threshold controlling the occurrence of melt.  $T_m$  usually falls between  $T_S$  and  $T_R$ . Rainfall and snowfall in the temperature window between  $T_S$  and  $T_R$  are simply estimated as half of the total precipitation. The value of the three temperature thresholds are set as  $T_m = T_S = 0.0^\circ\text{C}$  and  $T_R = 2.5^\circ\text{C}$  in this study following Parajka *et al.* (2007). The  $V_s$  time series are partitioned into three segments, i.e. accumulative segment, a combination segment and an ablative segment according to Eq. 1a-c.

The snow density ( $\rho_s$ ) is calculated from the days with accumulation based on the observed  $V_s$  and  $P$  according to Eq. 1a. As the snow cover volume can still change after snowfall events due to gravity and condensation, snowfall events that produce a stable snow cover volume are selected for the estimation of snow density. Therefore, snowfall events in the accumulative segment that ended by at least three no-snowfall days, and where the relative difference of the  $V_s$  value between the last three no-snowfall days is lower than 10%, are selected for the calculation of snow density. In these events, the cumulative snowfall ( $\Delta P_s$ ) is the sum of the daily precipitation values, and the change of snow cover volume ( $\Delta V_s^*$ ) is the difference of the  $V_s$  values between the last no-snowfall day and the first snowfall day. Snow density in each event is obtained as  $\rho_s = \Delta P_s / \Delta V_s^*$ . This calculation is carried out for each subcatchment. A representative value of the density for each subcatchment is estimated as the average of all event values, neglecting any changes of density during snow melt. While this is a simplification, it should be noted that the melt period is often interrupted by accumulation events, thus the differences between accumulation and ablation densities are not considered to be very large.

The snowmelt degree-day factor  $\text{DDF}_s$  is calculated from days with ablation based on changes in the snow water equivalent and air temperatures according to Eq. 1c. The change of snow water equivalent between days is calculated as  $\Delta V_s \cdot \rho_s$ , where the density  $\rho_s$  estimated above is used. The degree-day temperature is calculated as the difference between the daily temperature ( $T$ ) and the threshold value ( $T_m$ ). Daily  $\text{DDF}_s$  value are then estimated as  $\text{DDF}_s = \frac{dV_s}{dt} \cdot \frac{\rho_s}{T - T_m}$ . Again, a representative value of the degree-day factor for each subcatchment is estimated as the average of all event values. Both the estimations of snow

density and  $DDF_s$  are carried out in the two sub-periods (2001-2005 and 2006 to 2010) separately.

## **2.2 Calibration of degree-day factor on runoff by a hydrologic model**

The runoff generation processes simulated by the THREW model includes subsurface baseflow, rainfall runoff, snowmelt and glacier melt. Rainfall runoff is simulated by a Xin'anjiang module, which adopts a water storage capacity curve to describe the non-uniform distribution of water storage capacity in a subcatchment (Zhao, 1992). The storage capacity curve is determined by two parameters (spatial averaged storage capacity WM and shape coefficient B). Rainfall runoff is generated on areas where the storage capacity is reached. The remainder of the rainfall infiltrates into the soil and becomes an additional contribution to subsurface baseflow which is calculated by two outflow coefficients (KKA and KKD). Snow and glacier melt are simulated by a degree-day model with different degree-day factors ( $DDF_s$  and  $DDF_G$ , respectively). Precipitation in the snow covered areas is divided into rainfall and snowfall according to two threshold temperature values ( $0^{\circ}\text{C}$  and  $2.5^{\circ}\text{C}$  are adopted in this study). Between the two thresholds, mixed snow and rain is assumed to occur. Snow water equivalent in each subcatchment is updated daily with snowfall and snowmelt, while the glacier area is assumed to be stable during the study period. The model parameters are grouped according to the runoff generation mechanisms, i.e., a subsurface baseflow group (KKA and KKD), a snowmelt group ( $DDF_s$ ), a glacier melt group ( $DDF_G$ ) and a group where rainfall directly becomes runoff (WM and B) (see He *et al.* (2014)). Each parameter group is calibrated separately in a stepwise way by manual calibration. The stepwise calibration is similar to that proposed by He *et al.* (2014). In a first step, the hydrograph is partitioned according to three indices,  $S_i$ ,  $G_i$ ,  $D_i$ , which are defined as 0 or 1 (Eq. (2)-(4)) according to the water source for runoff generation on each day (subsurface baseflow, snowmelt, glacier melt and rainfall). Next, each parameter group is related to an individual hydrograph partition and calibrated on the corresponding partition separately.

$$S_i = \begin{cases} 1, & \text{if } \max_{j=1 \rightarrow 95} (T_j) \geq T_m \\ 0, & \text{otherwise} \end{cases} \quad \text{Snowmelt} \quad (2)$$

$$G_i = \begin{cases} 1, & \text{if } \max_{j=1 \rightarrow n} (T'_j) \geq T_m \\ 0, & \text{otherwise} \end{cases} \quad \text{Glacier melt} \quad (3)$$

$$D_i = \begin{cases} 1, & \text{if } \max_{j=1 \rightarrow 95} (T_j) \geq T_s \wedge \sum_{j=1 \rightarrow 95} P_j \geq 0 \\ 0, & \text{otherwise} \end{cases} \quad \text{Rainfall runoff} \quad (4)$$

where,  $i$  is the day index,  $S_i$ ,  $G_i$  and  $D_i$  are the indices indicating the occurrence of snowmelt, glacier melt and rainfall runoff, respectively. Values equal to 1 indicate that snowmelt, glacier melt and rainfall runoff, respectively, can be a water source for runoff generation on that day. Values equal to 0 indicate that this is not the case.  $T_j$  is the daily temperature in the subcatchment  $j$ ,  $T'_j$  is the daily temperature in the glacier covered part of subcatchment  $j$ ,  $n$  is the number of subcatchment that are covered with glacier, and  $P_j$  is the daily precipitation in subcatchment  $j$ . Based on the daily values of the three indices, the daily hydrograph is segmented into four partitions in Eq. (5):

$$Q = \begin{cases} Q_{SB}, & \text{for } S_i + G_i + D_i = 0 \\ Q_{SB} + Q_{SM}, & \text{for } S_i - G_i - D_i = 1 \\ Q_{SB} + Q_{SM} + Q_{GM}, & \text{for } G_i - D_i = 1 \\ Q_{SB} + Q_{SM} + Q_{GM} + Q_R, & \text{for } D_i = 1 \end{cases} \quad (5)$$

where,  $Q_{SB}$  stands for the subsurface baseflow. It dominates the basin hydrograph when both melt water and rainfall runoff do not occur ( $S_i + G_i + D_i = 0$ ).  $Q_{SM}$  represents snowmelt,  $Q_{GM}$  represents glacier melt water and  $Q_R$  represents the direct rainfall runoff. The partition is based on the assumption that the convergence time of drainage in the basin is no longer than one day.

The parameter groups are calibrated on different partitions in a stepwise way: The parameter group controlling subsurface baseflow is first calibrated on the  $Q_{SB}$  partition. Then, the degree-day factors for snowmelt and glacier melt are calibrated on the  $Q_{SB} + Q_{SM}$  and  $Q_{SB} + Q_{SM} + Q_{GM}$  partitions separately. Parameters for rainfall runoff are calibrated on the  $Q_{SB} + Q_{SM} + Q_{GM} + Q_R$  partition in a last step. We use  $\log RMSE$  as the goodness of fit measure for the calibration of subsurface baseflow and  $RMSE$  for the calibration of degree-day factors and rainfall runoff parameters. Finally, we combine the simulations of each partition to obtain

the entire daily simulation of basin discharge and evaluate it using  $NSE$ ,  $\log NSE$ ,  $VE$  and a combined performance measure  $ME$  (Eq. (6)-(9)).

$$NSE = 1 - \frac{\sum_{i=1}^n (Q_{obs}(i) - Q_{sim}(i))^2}{\sum_{i=1}^n (Q_{obs}(i) - \bar{Q}_{obs})^2} \quad (6)$$

$$\log NSE = 1 - \frac{\sum_{i=1}^n (\log Q_{obs}(i) - \log Q_{sim}(i))^2}{\sum_{i=1}^n (\log Q_{obs}(i) - \log \bar{Q}_{obs})^2} \quad (7)$$

$$VE = 1 - \frac{\sum_{i=1}^n |Q_{obs}(i) - Q_{sim}(i)|}{\sum_{i=1}^n Q_{obs}(i)} \quad (8)$$

$$ME = NSE + \log NSE + VE \quad (9)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (Q_{obs}(i) - Q_{sim}(i))^2} \quad (10)$$

## 2.3 Evaluation of estimated DDFs from snow data

The estimated values of DDFs are evaluated in the study period by applying their value in the THREW hydrological model and comparing the new simulations of runoff and snow cover patterns with those obtained by DDFs calibrated on runoff. The evaluation is carried out in three basins with different catchment area, elevation and glacier melt contributions to the total runoff. The  $ME$  values of daily discharge simulation and  $RMSE$  values of the simulation of the snowmelt dominated hydrograph partition ( $Q_{SB} + Q_{SM}$ ) in the three basins are used to evaluate the performance of the runoff simulation. The fit between simulated and observed SCA series and spatial snow cover patterns by MODIS is used to assess the simulations of snow cover.

## 3 Data

### 3.1 Study area

The methodology is evaluated in the Lienz catchment which is located in East Tyrol, Austria, and covers an area of 1198 km<sup>2</sup>. Its elevations range from 670 m a.s.l. to 3775 m a.s.l., and approximately 7% of the region is covered by glacier (Fig. 1). Its annual mean temperature is approximately 1.7 °C, and annual mean precipitation is about 1164 mm. Snowmelt water is an important water source for local runoff generation, especially in the spring season when approximately 70% of the basin is covered by snow (Blöschl *et al.*, 1990).

The topographic feature of the basin is depicted by a 25 m resolution Digital Elevation Model which is used to divide the study basins into subcatchment units. The three basins (Lienz, Waier and Innergschloess, see Fig. 1) in the study area are further divided into 95 subcatchments, 29 subcatchments and 9 subcatchments respectively for the hydrological modeling. The runoff concentration time can be considered as approximately one day in this catchment (Blöschl *et al.*, 1990).

### 3.2 Snow data

The MODIS snow covered area (SCA) data used in this study is the daily product, i.e. MOD10A1 and MYD10A1 (V005) (Hall *et al.*, 2006 a, b). It has been downloaded from the website of the National Snow and Ice Data Center (NSIDC, [www.nsidc.org](http://www.nsidc.org)). The used data set has a spatial resolution of 500 m and consists of daily snow cover maps from 1 January 2001 to 31 December 2010. The original Terra and Aqua products were merged in space and time to reduce cloud coverage by Parajka and Blöschl (2008b). Only the MODIS SCA data for those days when the cloud coverage of the basin was less than 50% after the merging procedure are used. To obtain a continuous time series of SCA, we implemented a linear interpolation between two valid SCA values.

Snow depth data observed at 1091 stations in Austria (7 stations in the study area) are spatially interpolated by external drift kriging based on elevation. The resulting data product has a spatial resolution of 1 km. Snow depth in each subcatchment is the average value of all the  $1 \times 1$  km pixels inside.

### 3.3 Hydrologic model inputs

The daily precipitation data are spatially interpolated by external drift kriging from 1091 stations in Austria (7 stations in the study area). The temperature data are interpolated by the least-squares trend prediction method from 221 stations in Austria (6 stations in the study area). Both methods using elevation as an auxiliary variable (see Parajka *et al.* (2005)). Daily streamflow data from three hydrological stations are used, Lienz, Waier and Innergschloess, which drain areas of 1198 km<sup>2</sup>, 285 km<sup>2</sup> and 39 km<sup>2</sup> respectively (see Fig. 1). The datasets used in this study consist of two sub-periods, the first is a calibration period from January 1, 2001 to December 31, 2005 and the second is a validation period from January 1, 2006 to December 31, 2010.



## 4 Results

### 4.1 Snow density and DDF<sub>s</sub>

Based on Eq. (1a) and (1c), we obtained the snow densities and snowmelt degree-day factors (DDF<sub>s</sub>) for each subcatchment in the Lienz basin. For example, Figs 2 and 3 show the spatial distribution of the snow density and DDF<sub>s</sub> estimated in the calibration period. Figure 2 indicates that subcatchments in upstream have higher snow density and DDF<sub>s</sub> values than that in downstream. Figure 3 represents the relationships between snow density and elevation, and DDF<sub>s</sub> and elevation. Leaf area index (LAI) data from MODIS land cover products are used to describe the vegetation coverage in each subcatchment in Fig. 3. Each dot stands for a subcatchment, and its size reflects the annual mean LAI over the study period of the corresponding subcatchment. The estimated values of snow density range from approximately 0.1 to 0.6 g/cm<sup>3</sup> with a mean value of 0.3 g/cm<sup>3</sup>. The estimated values of DDF<sub>s</sub> range from about 1.6 to 4.5 mm/d/°C with an average of 2.7 mm/d/°C. DDF<sub>s</sub> values in the medium sized Waier basin mainly fall into a range of 2.0-3.0 mm/d/°C, while in the smallest basin, the Innergschloess, they fall into a range of 2.0-4.0 mm/d/°C (see Fig. 2). Generally, both the snow density and DDF<sub>s</sub> values increase with increasing elevation (see Fig. 3), as would be expected. The value of snow density can be affected by the duration of the snow cover. In high elevation subcatchments, temperatures tend to be lower which leads to more snowfall and more opportunity for compaction and settling which, in turn, tends to result in higher snow densities (Rango and Martinec, 1995). The spatial pattern of DDF<sub>s</sub> can be attributed to the interaction of climate and basin topography as well as vegetation: At higher elevations, soils tend to be thin and air temperatures tend to be low, which are unfavorable conditions for the growth of vegetation. Therefore, the share of latent heat of transpiration in the energy balance is lower. Lower temperatures at higher elevation also reduce the share of sensible heat (Musselman *et al.*, 2012). Coupling with a stronger solar radiation due to lower cloudiness, stronger snowmelt is produced at higher elevations relative to the difference between daily temperature ( $T$ ) and the threshold value ( $T_m$ ). Higher elevations are also associated with steep terrain which reinforces the melt rate by increasing the solar incident angle on the south facing slopes (Blöschl *et al.*, 1991a,b; Blöschl and Kirnbauer, 1992). At lower elevations, climate conditions are favorable for the growth of vegetation, which

produce a higher share of latent heat by transpiration and restrain the snowmelt. On the other hand, higher vegetation canopies may contribute to higher soil water contents which may increase the albedo of the land surface and may reduce the energy available for snowmelt (Kuusisto, 1980). The moist soil can also enhance the temperature gradient and create sharp gradients in sensible heat fluxes (Entekhabi *et al.*, 1996) and allow fast redistribution of soil moisture at small scales (Western *et al.*, 1998). Changes of the heat conditions in the near surface atmosphere in turn may change the soil moisture state and may promote vegetation growth. The spatial variability of snow density and DDF<sub>s</sub> is likely the combined result of a number of factors, including slope aspect, wind speed and shading, in addition to elevation and vegetation.

#### **4.2 Transferability in time of the estimated DDF<sub>s</sub>**

The data set used in this study has been divided into two sub-periods: calibration period from 1 January 2001 to 31 December 2005 and validation period from 1 January 2006 to 31 December 2010. The average annual precipitation is 1126 mm in the calibration period, and 1238 mm in the validation period. The mean daily temperature is 2.28°C in the calibration period, and 2.59°C in the validation period. Mean daily snow coverage from MODIS is approximately 10% in the calibration period, and about 12% in the validation period. Although the difference of the climate and snow cover conditions in the two periods is small, it can still play a role in the snowmelt processes. Therefore, we re-estimated the value of snow density and DDF<sub>s</sub> using the climate data and MODIS snow data in the validation period and compared the new estimated DDF<sub>s</sub> set with that estimated using data in the calibration period in Fig. 4. The comparison shows that the two estimated sets of DDF<sub>s</sub> and snow density (SD) are slight different due to the different climate and snow cover conditions in the two sub-periods. However, the correlation coefficients between the two estimated DDF<sub>s</sub> sets and that between the two SD sets are both high, i.e. 0.802 for the DDF<sub>s</sub> and 0.720 for the SD (see Fig. 4), which indicates that both the two estimated DDF<sub>s</sub> sets and two SD sets are consistent in the two sub-periods. There is no significant systematic bias for the estimated DDF<sub>s</sub> and SD. This suggests the transferability in time of the estimated DDF<sub>s</sub> in the whole study period. To further test its transferability in time, we applied DDF<sub>s</sub> values estimated in one period for the simulation of basin discharge and snow cover in the other period. For example, in the

following Section 4.4, we used the  $DDF_s$  set estimated by snow data in the calibration period (2001 to 2005) for the model simulation in the validation period (2006 to 2010).

### 4.3 Stepwise calibration

Model parameters in the three basins are calibrated on the corresponding hydrograph partitions separately (see He *et al.* (2014)). After the calibration, we combined the simulations of the four partitions and obtained the entire simulation of daily discharge. As an example, the simulation in each step in the largest basin, the Lienz basin, is shown in Fig. 5, using the calibrated degree-day factors for snowmelt and glacier melt as  $2.6\text{mm/d/}^\circ\text{C}$  and  $3.5\text{mm/d/}^\circ\text{C}$  respectively, as shown in Table 1. The  $\log RMSE$  and  $RMSE$  values in Fig. 5 suggest that the simulations of each hydrograph partition are very reasonable. The calibrated parameter set was also tested for the validation period (2006-2010), as shown in Fig. 6. Again, the performance is very reasonable as indicated by  $NSE$  and  $\log NSE$ . For example, in the Lienz basin  $NSE$  values are 0.817 and 0.833 in the calibration and validation periods, respectively, indicating the suitability of the calibrated parameter set. The simulation performances for the two sub-basins (Waier and Innergschloess) are also shown in Table 1.

The calibrated  $DDF_s$  and  $DDF_G$  are slight different in the three basins.  $DDF_s$  ranges from  $1.0$  to  $2.6\text{mm/d/}^\circ\text{C}$ , and  $DDF_G$  ranges from  $3.5$  to  $6.0\text{mm/d/}^\circ\text{C}$ . The calibrated  $DDF_s$  in the Lienz and Waier basins are similar to those estimated from MODIS and snow depth data in Sect. 4.1, while the calibrated value,  $1.0\text{mm/d/}^\circ\text{C}$ , in the Innergschloess basin is clearly different from the estimated values that range from  $2.0$  to  $4.0\text{mm/d/}^\circ\text{C}$ . Given the role of radiation in this high elevation basin, the value of  $1.0\text{mm/d/}^\circ\text{C}$  seems far too low, and the snow data based estimate is much more reasonable.

The runoff simulations in the medium basin (Waier) are the best with an  $NSE$  value of 0.832 in the calibration period and 0.863 in the validation period. Runoff simulations in the smallest basin (Innergschloess) exhibit a slightly lower performance with an  $NSE$  value of 0.726 in the validation period. This may be partly due to the remarkably low value of the calibrated  $DDF_s$ , i.e.  $1.0\text{mm/d/}^\circ\text{C}$ . The calibration of  $DDF_s$  relies heavily on the observed hydrographs, which may introduce uncertainties in the  $DDF_s$  estimates in some cases.

### 4.4 Evaluation of estimated $DDF_s$

To evaluate the estimated  $DDF_s$ , we replaced the calibrated  $DDF_s$  in the model with the

ones estimated from snow data, and reran the hydrological simulation. The other model parameters remained the same as those calibrated in Sect. 4.3. The new simulation results in the three basins are summarized in Table 1. The simulations using the spatially variable  $DDF_s$  estimated from snow data tend to perform better than those using the calibrated, spatially uniform  $DDF_s$ . In the Lienz and Waier basins, the new simulations are similar to those shown in Sect. 4.3, as demonstrated by the  $ME$  values in Table 1. For example, Fig. 7 presents the new simulation for the Lienz basin with an  $NSE$  value of 0.810 in the calibration period and 0.826 in the validation period. Both are very similar to the  $NSE$  values shown in Fig. 6. The mean value of the estimated  $DDF_s$  in these two basins are  $2.7\text{mm/d/}^\circ\text{C}$  and  $2.6\text{mm/d/}^\circ\text{C}$  respectively, both are similar to the calibrated value of  $2.6\text{mm/d/}^\circ\text{C}$ . It is worth noting that the new simulation in the smallest Innergischloess basin is significantly better, especially in the validation period, considering the  $ME$  values in Table 1. The mean value of the estimated  $DDF_s$  in this basin is  $3.2\text{mm/d/}^\circ\text{C}$  which is clearly different from the calibrated value. This suggests that the calibrated  $DDF_s$  value of  $1.0\text{mm/d/}^\circ\text{C}$  in this small, high elevation basin may not be accurate.

As the  $DDF_s$  value has the most sensitive effect on the snowmelt dominated hydrograph partition ( $Q_{SB}+Q_{SM}$ ), we focus on the simulation of this partition by the two  $DDF_s$  sets in Fig. 8. The simulation performance is evaluated using  $RMSE$ . The first two rows in Fig. 8 show the simulations using calibrated (Fig. 8a-c) and estimated (Fig. 8d-f)  $DDF_s$  in the calibration period, and the last two rows present the simulations in the validation period (Fig. 8g-i is for  $DDF_s$  calibrated on runoff and Fig. 8j-l is for  $DDF_s$  estimated from snow data). The differences of the  $RMSE$  values obtained by the two  $DDF_s$  sets in the Lienz basin (first column) range from 0.132 to 0.347  $\text{m}^3\text{s}$ . Considering the relatively higher levels of the discharge, the two simulations can still be regarded as very close. As to the Waier basin (second column), the  $RMSE$  value obtained by the estimated  $DDF_s$  in the calibration period is slightly higher (0.04  $\text{m}^3\text{s}$  higher) but much lower (0.263  $\text{m}^3\text{s}$  lower) in the validation period. In Innergischloess basin (third column), the  $RMSE$  values in the calibration period are as close as a slight difference of 0.016  $\text{m}^3\text{s}$ , while in the validation period the  $RMSE$  value obtained by the estimated  $DDF_s$  is 0.118  $\text{m}^3\text{s}$  lower than that obtained by the calibrated  $DDF_s$ . Comparisons of the simulations of the  $Q_{SB}+Q_{SM}$  hydrograph partition show a similar

performance in the calibration period but a better performance of estimated  $DDF_s$  in the validation period. Overall, the comparisons for the three basins shown in Table 1 and Fig. 8 suggest that the  $DDF_s$  values estimated from snow data by the new method tend to produce a somewhat better runoff simulation performance.

We also assess the suitability of the estimated  $DDF_s$  values by examining the snow cover simulations in the study basins. The match between simulated snow cover and observed snow cover from MODIS is illustrated in Fig. 9 to Fig.12. The THREW model simulates snow water equivalent (SWE) in each subcatchment. To obtain the snow covered area (SCA) in the basin, we define a threshold value for the simulated SWE ( $SWE_T$ ), above which the sub unit of the basin (i.e. subcatchment) is considered to be fully covered by snow, and below it the subcatchment is considered snow free. Subsequently, we obtain the simulated time series of SCA of the study basin. For example, Fig. 9 shows the comparison of simulated SCA using  $DDF_s$  calibrated on runoff and  $DDF_s$  estimated from snow data, and the observed SCA from MODIS in both calibration and validation periods in the Lienz basin. Fig. 10 shows a similar figure for Innergschloess. The black dots in Figs. 9 and 10 are the MODIS observed SCA values on days when the observed cloud coverage in the basin was lower than 20%. The similarity of the simulated SCA and observed SCA (just for the days when MODIS was available) is evaluated using  $RMSE$ , where  $RMSE_c$  relates to the simulations using calibrated  $DDF_s$  and  $RMSE_e$  relates to the simulations using estimated  $DDF_s$ . We determine the  $SWE_T$  threshold by optimizing the  $RMSE_c$  values in the calibration period in the Lienz basin which resulted in a value of 18 mm. Parajka and Blöschl (2008a) give details on how the threshold can be chosen.

Generally, the simulated snow covered areas by the two  $DDF_s$  sets are similar and both are close to those observed by MODIS in the Lienz basin. The similarity can be attributed to the similar value of estimated and calibrated  $DDF_s$  in this basin. It is interesting that the simulation of SCA by estimated  $DDF_s$  (green lines) still has a higher performance as indicated by the lower  $RMSE_e$  values in both calibration and validation periods. As to the simulation in Innergschloess shown in Fig. 10, the simulated SCA using estimated  $DDF_s$  (green lines) matches the MODIS observed SCA significantly better than that simulated by calibrated  $DDF_s$  (red lines) in both calibration and validation periods. The  $RMSE_e$  values are

approximately 0.07 lower than the *RMSEc* values (Fig. 10). This result suggests that the DDF<sub>s</sub> values estimated from snow data in this basin represent the snowmelt pattern better than the value calibrated on runoff.

Several days with available MODIS data (black dots in Fig. 9) were selected to analyze the snow patterns in Figs. 11-12. The selected days include April 29<sup>th</sup>, May 7<sup>th</sup> and June 10<sup>th</sup> in 2003, and April 27<sup>th</sup>, May 7<sup>th</sup> and May 27<sup>th</sup> in 2008. The snow patterns are expressed as the spatial distribution of simulated SWE using calibrated DDF<sub>s</sub> and estimated DDF<sub>s</sub>, and the spatial distribution of SCA observed by MODIS. Figs. 11 and 12 show the results for the calibration period and validation period, respectively. Sub-catchments are covered with snow refers to purple surfaces in Figs. 11 and 12. The intensity of the purple color increases with the increasing of the value of snow coverage (SCA) from MODIS or simulated SWE. The green surface in the two figures refers to areas where SCA value from MODIS or the simulated SWE value is zero, i.e. non-snow covered areas. Generally, a higher simulated SWE value corresponds to a higher MODIS SCA value in that subcatchment. All the three snow patterns show a clear snow ablation process from late April to late May. In April, most of the basin area is covered by snow, and the snow water equivalent can be as high as 600-700mm, while snow cover almost disappears in late May 2003. May is a snowmelt flood month which is also indicated in Fig. 6 by the abrupt increase of discharge in this month. However, there are some differences between the three snow patterns. In the upstream subcatchments the simulated snow water equivalent using calibrated DDF<sub>s</sub> is higher than that using estimated DDF<sub>s</sub>. Correspondingly, the simulated sub-catchments are covered with snow using calibrated DDF<sub>s</sub> are more than those observed from MODIS (see Figs. 11 and 12 on June 10<sup>th</sup>, 2003 and May 27<sup>th</sup>, 2008). In the downstream subcatchments, simulated snow covered sub-catchments by the two DDF<sub>s</sub> sets are both less than the observed ones (see Figs. 11 and 12 on April 29<sup>th</sup>, 2003 and May 7<sup>th</sup>, 2008). Overall, the similarity between the spatial distribution of snow covered sub-catchments simulated using estimated DDF<sub>s</sub> and the spatial distribution observed by MODIS is higher than that simulated using calibrated DDF<sub>s</sub>, which can be seen for May 7<sup>th</sup>, June 10<sup>th</sup> in 2003, and April 27<sup>th</sup> and May 27<sup>th</sup> in 2008. MODIS data were one of the inputs to estimating DDF<sub>s</sub>, so this result shows the consistency and usefulness of the estimates.

## 5 Discussion and conclusions

This study proposes a method for estimating snowmelt degree-day factor (DDF<sub>s</sub>) based on MODIS snow cover data and snow depth data. DDF<sub>s</sub> is estimated in each subcatchment of the study basin separately. The spatial distribution of DDF<sub>s</sub> shows a strong correlation with elevation. Subcatchments with high elevations are associated with higher DDF<sub>s</sub> values, which can be partly attributed to the interactions of climate conditions, topography and vegetation. The comparisons between simulations using DDF<sub>s</sub> estimated from snow data and DDF<sub>s</sub> calibrated on runoff in terms of discharge and snow cover patterns show that the estimated DDF<sub>s</sub> are indeed more plausible than the calibrated DDF<sub>s</sub>. The better performance can be attributed to two advantages of the estimation method: First, using spatially variable snow cover data from MODIS and snow depth data, it is possible to estimate DDF<sub>s</sub> in a spatially distributed fashion, while the calibrated DDF<sub>s</sub> are lumped values and therefore spatially uniform. Second, the values of DDF<sub>s</sub> are estimated directly from observed snow cover data, accounting for snow density, without involving runoff processes. The direct estimation should have a stronger physical basis than the calibration in which the value of DDF<sub>s</sub> is influenced by a number of hydrological processes and the interactions of hydrological model parameters (Merz *et al.*, 2011). However, the modeling improvement when using the spatially distributed DDF<sub>s</sub> should indeed be different for different modeling scales. The modeling scale, i.e. size of fundamental computational unit (sub-catchment in this study), can have a significant influence on the simulation, considering the spatial resolution of MODIS data and the spatial density of gauge stations for precipitation and temperature. Adopting different sub-catchment sizes in the model could be a potential way to analyze the scale effect on the simulation, which can be an issue for further study.

The estimated values of snow density and DDF<sub>s</sub> are fully consistent with those estimated by Kuusisto (1980), Rango and Martinec (1995), Parajka *et al.* (2005) and Sturm *et al.* (2010). The values of snow density estimated in Sturm *et al.* (2010) in Canada and the United States fell into a range of 0.19 to 0.51 g/cm<sup>3</sup>, and the DDF<sub>s</sub> of snowmelt estimated in Parajka *et al.* (2005) in Austria ranged from approximately 0.5 to 5.0 mm/d/°C. The simulations of snow cover patterns show an obvious snow ablation process from late April to late May in the study basin, which was also indicated by Blöschl *et al.* (1990). The performance of the runoff

simulations in this study is also very reasonable ( $NSE$  almost always  $>0.8$ ). For example, the runoff simulations of Parajka *et al.* (2007) in 320 catchments in Austria based on automatic calibration gave  $NSE$  mean values of about 0.75 in calibration period and 0.70 in validation period. Considering that high  $NSE$  values are relatively easier to be reached in snowmelt affected basins, the performance of the stepwise calibration method should be evaluated in further studies. It is believed that the actual model performance is similar to that of automatic methods, yet the parameter estimates may be more plausible as different parameter groups are estimated separately, which reduces the problem of parameter interdependence in the calibration process.

It should be noted that the estimated values of snow density and  $DDF_s$  are associated with a number of uncertainty sources: the temperature threshold values that determine the occurrence of snowmelt ( $T_m$ ) and the transition between liquid and solid precipitation (i.e.  $T_s$  and  $T_R$ ) and also the spatial interpolation method of the snow depth data. Usually, the value of  $T_m$  falls in between the values of  $T_s$  and  $T_R$  in mountain basins. As long as the temperature is higher than  $T_R$ , the change of snow water equivalent (SWE) can be attributed to snowmelt alone. When the temperature is lower than  $T_s$ , basin snow water equivalent will be affected by snowfall alone. The proposed estimation method can be used in mountain basins with variable values of  $T_m$ ,  $T_s$  and  $T_R$  in different basins. Reliable snow depth data are important for estimating snow density and  $DDF_s$  well. To obtain the spatial distribution of snow depth, measured data in 7 stations in the study area were interpolated here. The interpolation method can play a significant role. Importantly, in this paper we made the assumption that snow density during days of accumulation is similar to the density during days of ablation. This is an assumption that needs further analysis on the basis of detailed snow data. Also the analysis of the sensitivity of the results to other uncertainty sources could be the topic of future work.



876 **Acknowledgements**

877 This study was supported by the National Science Foundation of China (NSFC 51190092,  
878 U1202232, 51222901) and the foundation of the State Key Laboratory of Hydrosience and  
879 Engineering of Tsinghua University (2014-KY-01). We would like to thank the International  
880 Communication Fellowship of Tsinghua University for financial support. We also thank  
881 Thomas Nester and Jürgen Komma for their helpful suggestions on the hydrological modeling  
882 in Austria, and Magdalena Rogger for providing the hydrogeology data in the study area.

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Table 1. Performance of discharge simulations in three basins. DDF<sub>S</sub> is the snowmelt degree-day factor and DDF<sub>G</sub> is the glacier melt degree-day factor. *ME* is the sum of *NSE*, *logNSE* and *VE*. The value of DDF<sub>S</sub> estimated from snow data is expressed as the spatial mean value +/- the mean difference of the highest and the lowest value (in space) from the mean value. DDF<sub>S</sub> values estimated by the proposed method are shown in bold.

		Lienz		Waier		Innergshloess	
		Calibration	Validation	Calibration	Validation	Calibration	Validation
		Period	Period	Period	Period	Period	Period
DDF <sub>S</sub> calibrated on runoff	DDF <sub>S</sub> (mm/d/°C)	2.6	2.6	2.6	2.6	1.0	1.0
	DDF <sub>G</sub> (mm/d/°C)	3.5	3.5	4.2	4.2	6.0	6.0
	<i>NSE</i>	0.817	0.833	0.832	0.863	0.804	0.726
	<i>logNSE</i>	0.851	0.873	0.849	0.871	0.825	0.871
	<i>VE</i>	0.762	0.758	0.739	0.770	0.654	0.585
	<i>ME</i>	2.430	2.464	2.420	2.504	2.283	2.182
DDF <sub>S</sub> estimated from snow data	DDF <sub>S</sub> (mm/d/°C)	<b>2.7 +/-1.1</b>	<b>2.7 +/-1.1</b>	<b>2.6 +/-0.9</b>	<b>2.6 +/-0.9</b>	<b>3.2 +/-0.3</b>	<b>3.2 +/-0.3</b>
	DDF <sub>G</sub> (mm/d/°C)	3.5	3.5	4.2	4.2	6.0	6.0
	<i>NSE</i>	0.810	0.826	0.835	0.845	0.801	0.768
	<i>logNSE</i>	0.845	0.867	0.845	0.869	0.826	0.885
	<i>VE</i>	0.751	0.746	0.740	0.760	0.648	0.628
	<i>ME</i>	2.406	2.439	2.420	2.474	2.275	2.281

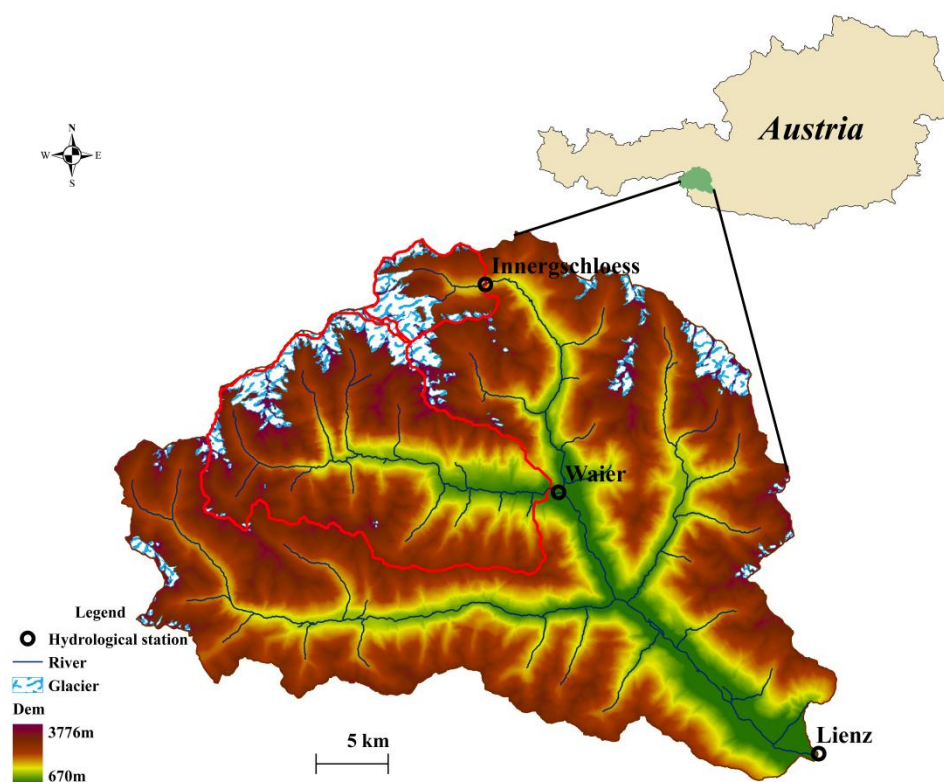


Figure 1. Location of the study area in Austria. Three catchments are analyzed, Lienz, Waier and Innergöschloess, with areas of 1190 km<sup>2</sup>, 285 km<sup>2</sup> and 39 km<sup>2</sup>, respectively. The glacier coverage in the three basins is approximately 7%, 13% and 29%.

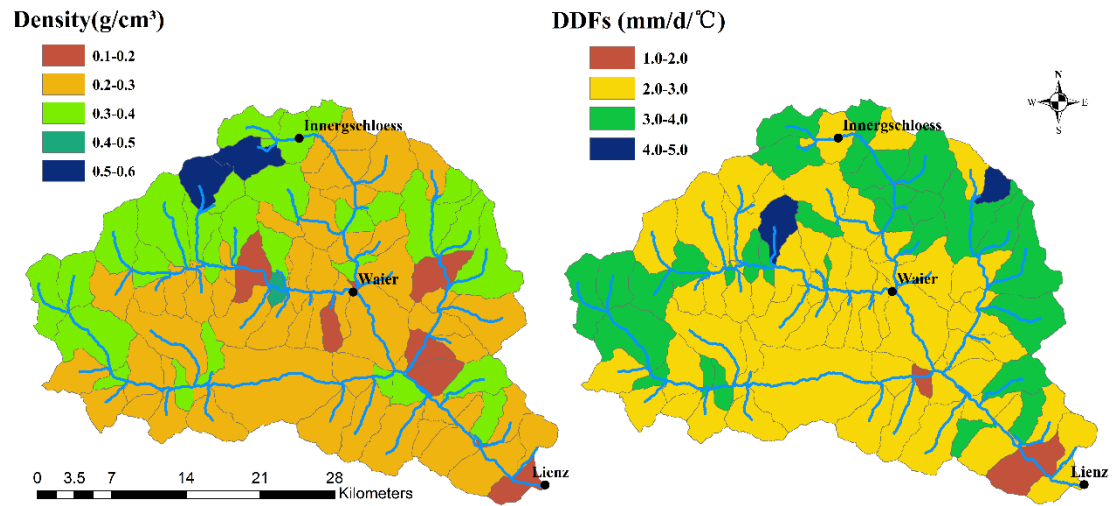


Figure 2. Spatial distribution of the snow density and the snowmelt degree-day factor (DDFs) estimated by the proposed method in the Lienz basin. Black dots indicate the stream gauges.

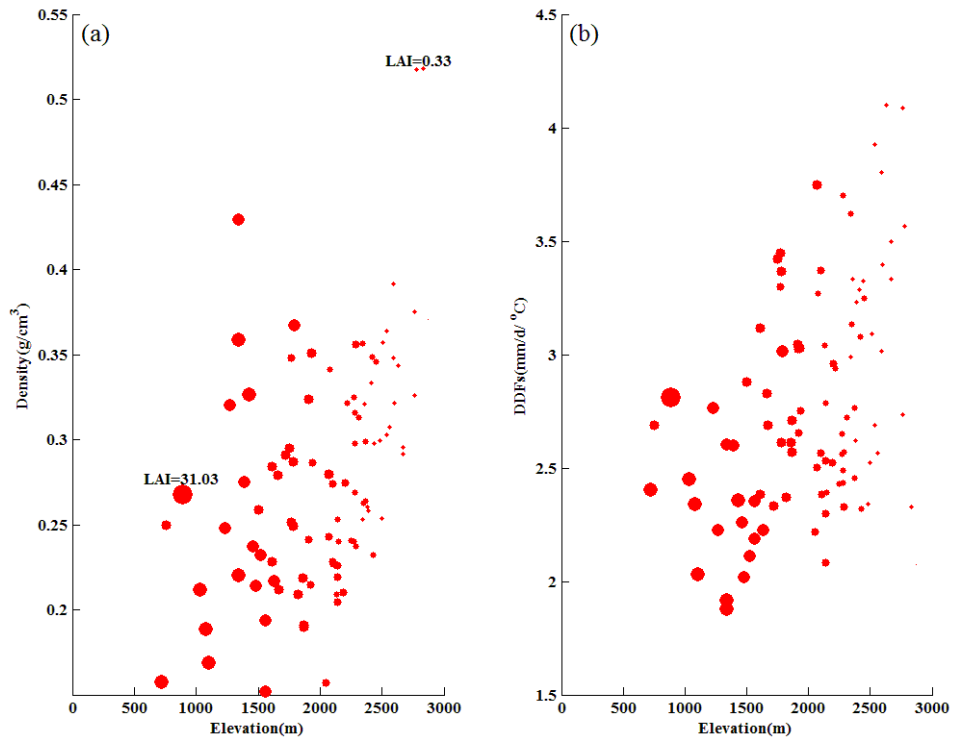


Figure 3. Snow density and snowmelt degree-day factor (DDFs) estimated by the proposed method plotted against elevation in the Lienz basin. Each dot represents a sub-catchment in the basin. The size of dots increases with increasing of mean leaf area index (LAI) over the study period (2001-2010) which is derived from MODIS. LAI values in the basin range between 0.33 and 31.03.

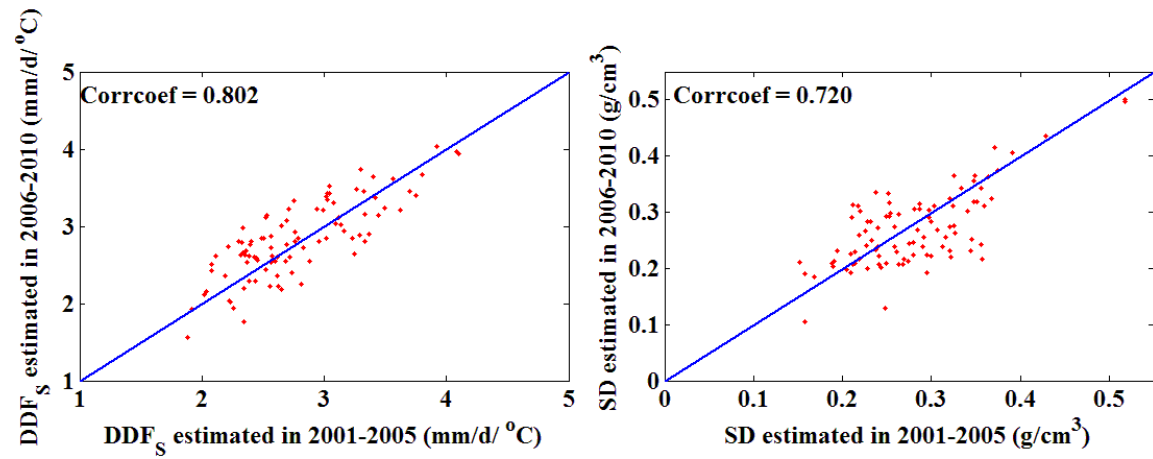


Figure 4. Comparison of the estimated degree-day factor for snowmelt ( $DDF_S$ ) and snow density ( $SD$ ) in two sub-periods. “Corrcoef” is the value of correlation coefficient between two estimated sets.

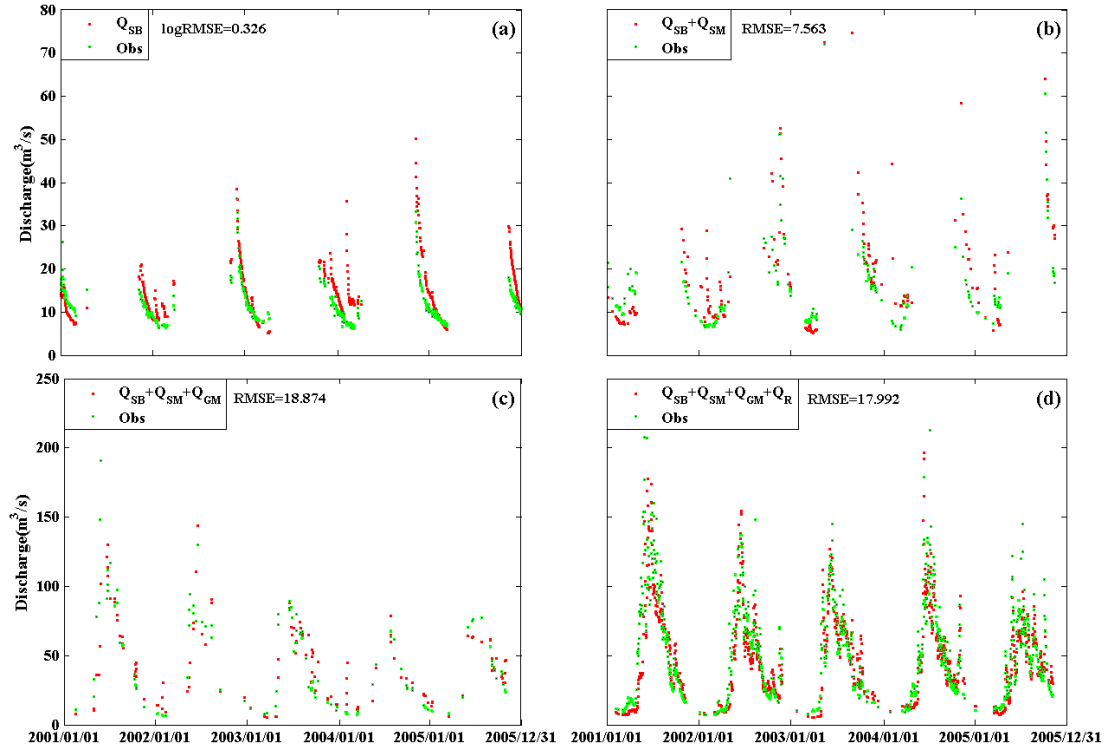


Figure 5. Stepwise calibration results for the Lienz basin in the calibration period. (a) is the first calibration step in which the parameters controlling groundwater baseflow are calibrated, (b) to (d) are the subsequent three steps of calibrating melt factors and rainfall runoff parameters.  $Q_{SB}$ ,  $Q_{SM}$ ,  $Q_{GM}$  and  $Q_R$  are the simulated discharges that are generated by baseflow, snowmelt, glacier melt and rainfall, respectively.

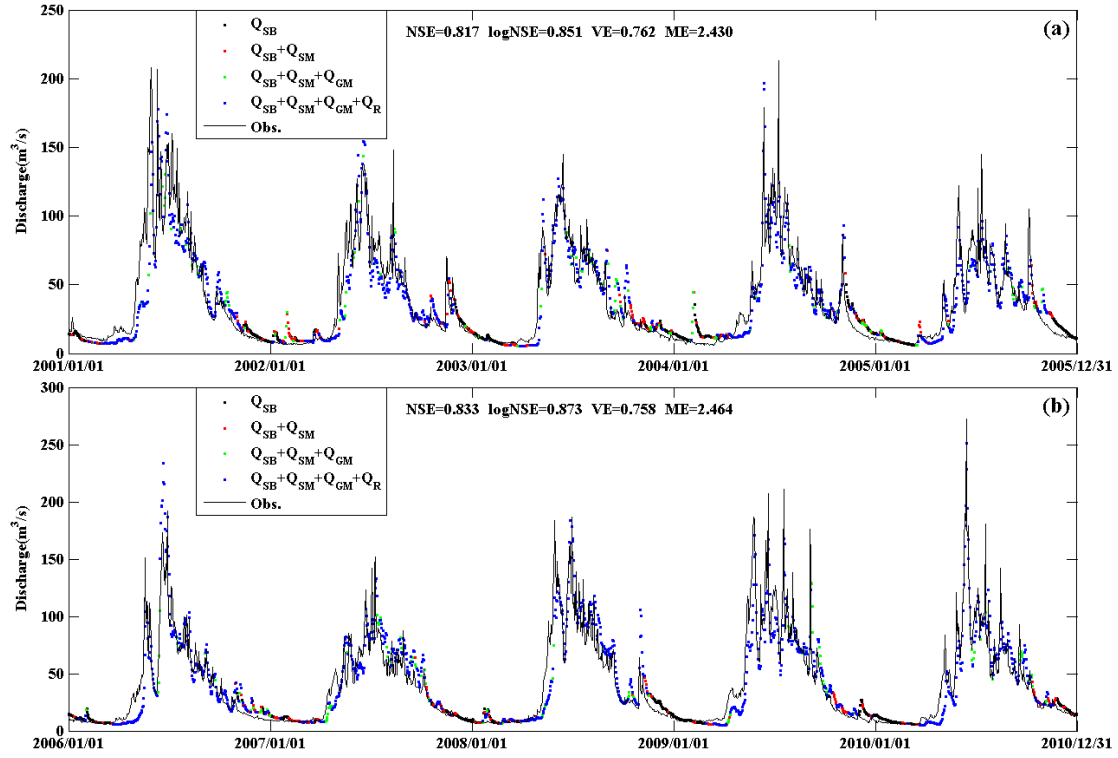


Figure 6. Simulation of daily discharge in the Lienz basin using the snowmelt degree-day factor calibrated on runoff. (a) is for the calibration period and (b) is for the validation period. The entire daily simulated discharge hydrograph has been combined from the simulations of different runoff segments.

$Q_{SB}$  stands for the simulated runoff generated by groundwater baseflow,  $Q_{SM}$  and  $Q_{GM}$  indicate simulated runoff generated by snow and glacier melt, and  $Q_R$  is the simulated runoff generated by rainfall directly. Performance measures of the simulations are shown at the top of each panel.

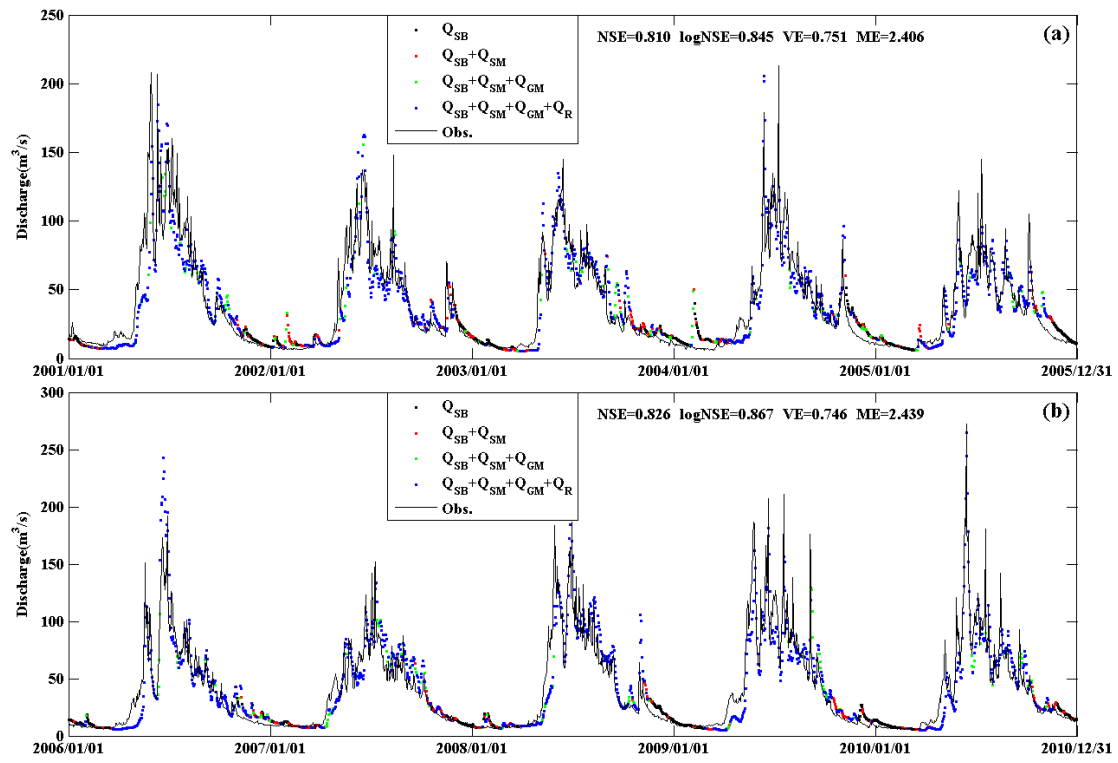


Figure 7. Same as Fig. 5 but using snowmelt degree-day factors estimated from snow data.



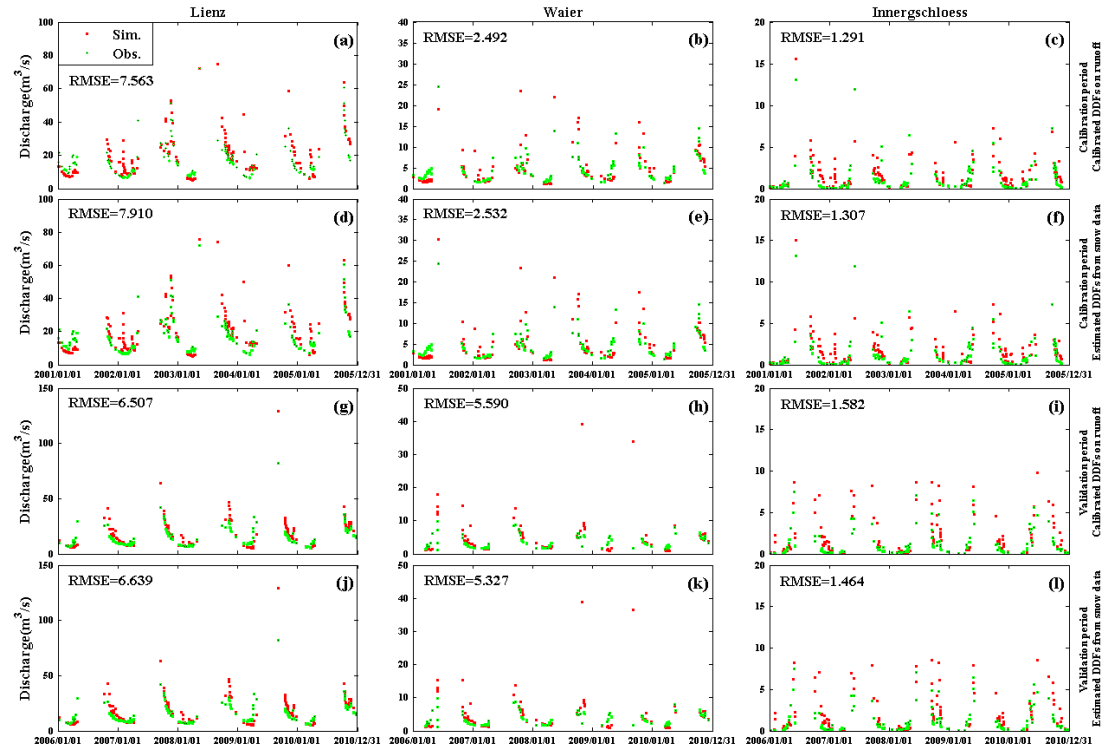


Figure 8. Simulations of discharge segments generated by groundwater baseflow ( $Q_{SB}$ ) and snowmelt ( $Q_{SM}$ ) in the three basins. (a)-(c) are simulations for the calibration period using DDFs calibrated on runoff, (d)-(f) are simulation for the calibration period using DDFs estimated from snow data, (g)-(i) are simulations for the validation period using DDFs calibrated on runoff, (j)-(l) are simulations for the validation period using DDFs estimated from snow data. The discharge simulations are evaluated using the  $RMSE$  (m³/s).

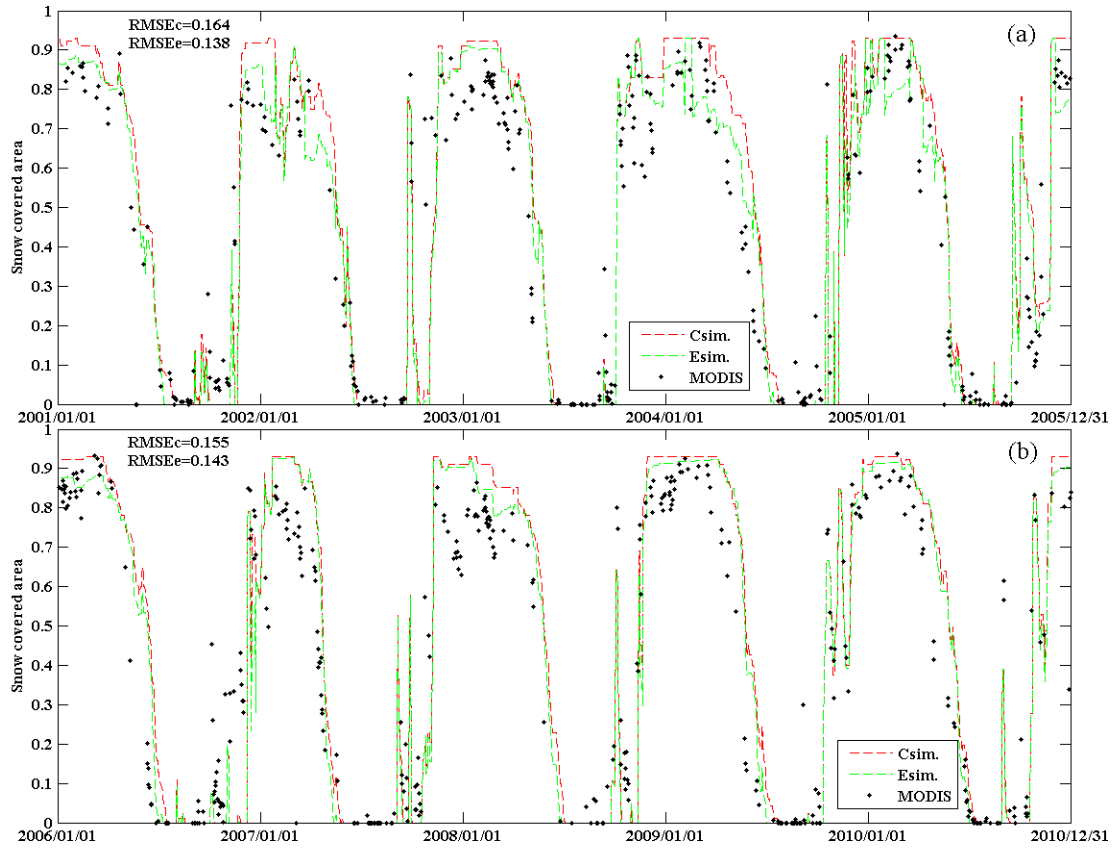


Figure 9. Simulations of the snow covered area (SCA) time series for the Lienz basin (1190 km<sup>2</sup>). Red lines (Csim.) represent the SCA simulation using the snowmelt degree-day factor (DDF<sub>s</sub>) calibrated on runoff; green lines (Esim.) represent the SCA simulation using snowmelt degree-day factors estimated from snow data. Black dots are the MODIS observed SCA values. (a) is for the calibration period and (b) is for the validation period. The simulations are evaluated by  $RMSE_c$  for the calibrated DDF<sub>s</sub> and  $RMSE_e$  for the estimated DDF<sub>s</sub>.

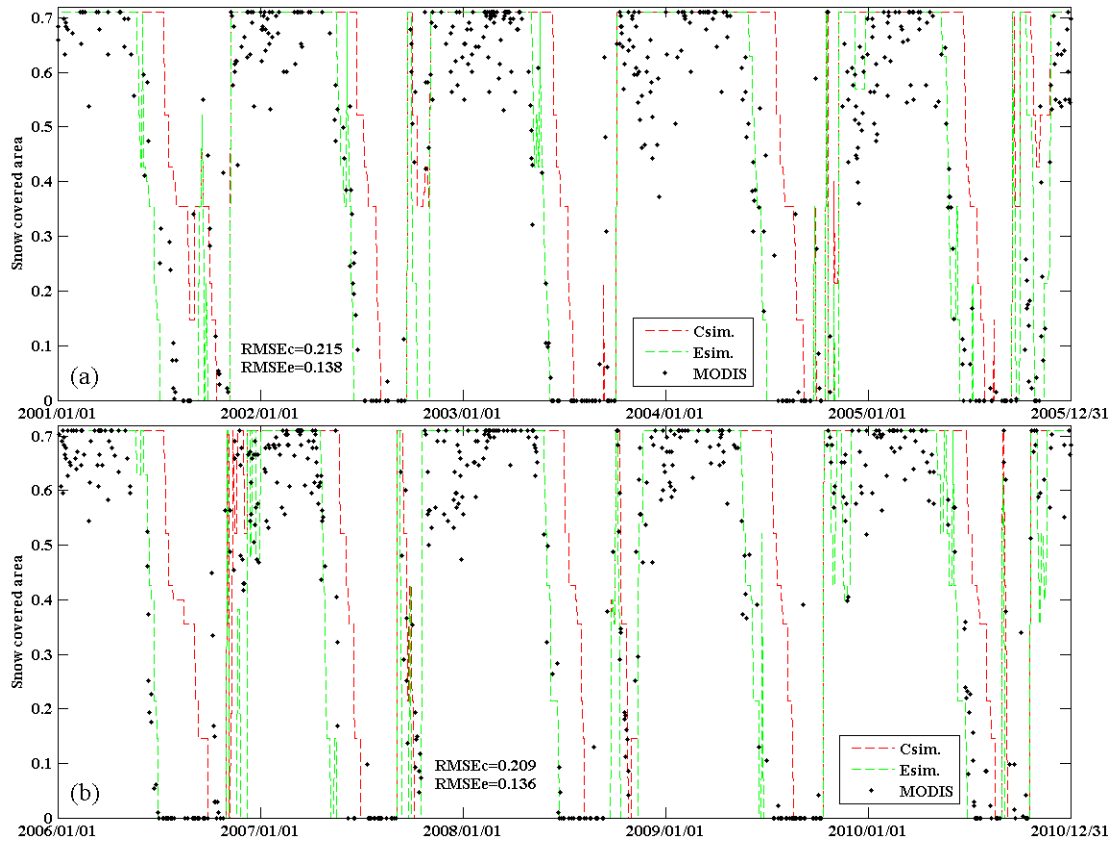


Figure 10. Same as Fig. 8 but for the Innergschloess basin (39 km²).

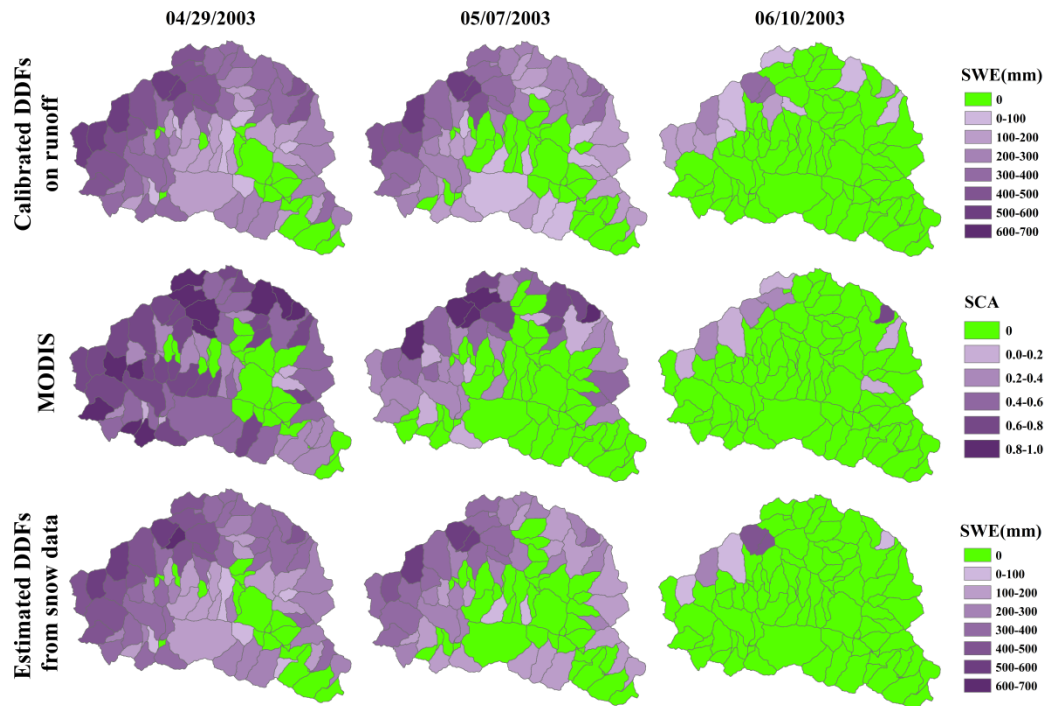


Figure 11. Simulations of snow patterns on three days within the calibration period (April 29<sup>th</sup>, May 7<sup>th</sup> and June 10<sup>th</sup>, 2003). The top row shows simulated snow water equivalent (SWE) using DDF<sub>s</sub> calibrated on runoff, the middle row shows snow covered area (SCA) observed by MODIS, and the bottom row shows simulated snow water equivalent using DDF<sub>s</sub> estimated from snow data.

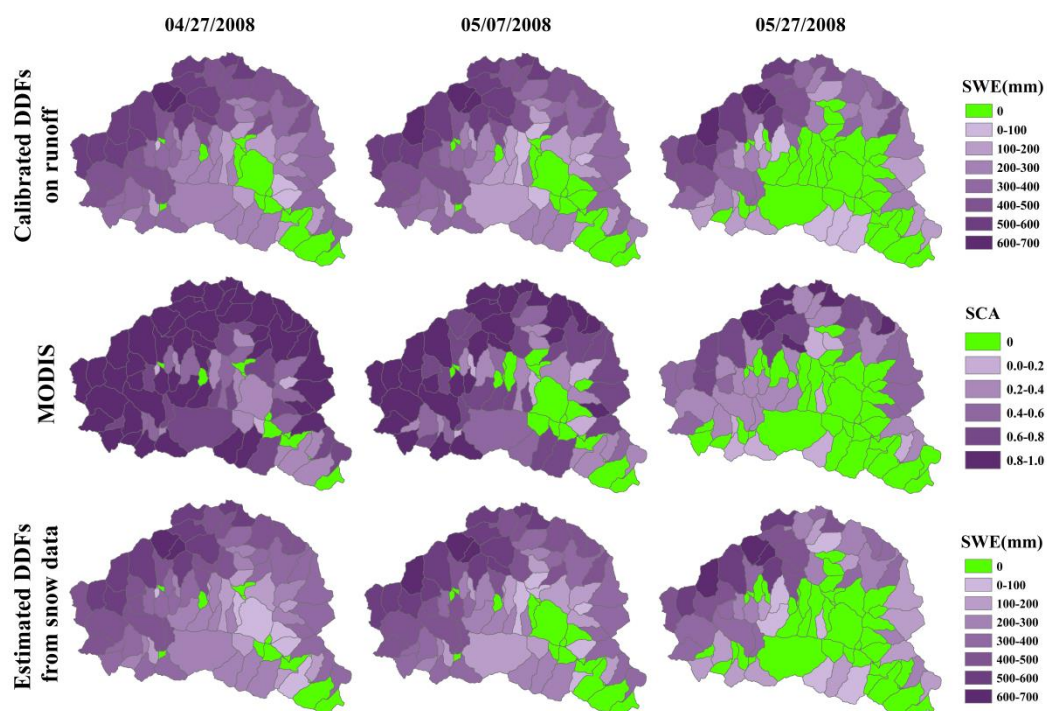


Figure 12. Same as Fig. 10 but for three days within the validation period (April 27<sup>th</sup>, May 7<sup>th</sup> and May 27<sup>th</sup>, 2008).