

1 Seasonal predictions of agro-meteorological drought 2 indicators for the Limpopo basin

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4 **F. Wetterhall¹, H. C. Winsemius², E. Dutra¹, M. Werner^{2,3}, F. Pappenberger¹**

5 [1] European Centre for Medium Range Weather Forecasts, Reading, UK

6 [2] Deltares, PO Box 177, 2600MH, Delft, The Netherlands

7 [3] UNESCO-IHE, PO Box 3015, 2601DA, Delft, The Netherlands

8 Correspondence to: F. Wetterhall (Fredrik.wetterhall@ecmwf.int)

9

10 **Abstract**

11 The rainfall in Southern Africa has a large interannual variability, which can cause rain-fed
12 agriculture to fail. The staple crop maize is especially sensitive to dry spells during the early
13 growing season. An early prediction of the probability of dry spells and below normal
14 precipitation can potentially mitigate damages through water management. This paper
15 investigates how well ECMWF's seasonal forecasts predict dry spells over the Limpopo basin
16 during the rainy season December-February (DJF) with lead times from 0 to 4 months. The
17 seasonal forecasts were evaluated against ERA-Interim reanalysis data which in turn was
18 corrected with GPCP (EGPCP) to match monthly precipitation totals. The seasonal forecasts
19 were also bias-corrected with the EGPCP using quantile mapping as well as post-processed
20 using a precipitation threshold to define a dry day. The results indicate that the forecasts show
21 skill in predicting dry spells in comparison with a climatological ensemble based on previous
22 years. Quantile mapping in combination with a precipitation threshold improved the skill of the
23 forecast. The skill in prediction dry spells was largest over the most drought-sensitive region.
24 Seasonal forecasts have potential to be used in a probabilistic forecast system for drought-
25 sensitive crops, though these should be used with caution given the large uncertainties.

26

27 **1 Introduction**

28 Southern Africa is largely a semi-arid region, which experiences substantial inter- and intra-
29 annual rainfall variability of rainfall (Barron et al., 2003; Nyakudya and Stroosnijder, 2011).

1 Given the limited extent and scope for development of surface water irrigation, most countries
2 in southern Africa rely strongly on rain-fed agriculture. However, if the rainfall amount or
3 temporal distribution is inadequate, crops may fail thus compromising food security. While a
4 lower total amount of rainfall over the crop growing season will influence the crop yield, it is
5 often the poor temporal distribution of rainfall resulting in dry-spells and wet-spells that is the
6 cause for reduced crop yields (Rockstrom, 2000;Ingram et al., 2002;Ochola and Kerkides,
7 2003;Barron, 2004;Usman and Reason, 2004;Barron and Okwach, 2005).

8 A staple crop that is grown widely in southeast Africa is Maize, the yields of which is sensitive
9 to the occurrence of dry spells, depending on when these occur. Barron et al. (2003) discuss the
10 sensitivity of Maize to the occurrence of dry spells in different stages of the growing season,
11 being particularly high in the first 50 days after sowing, and again during the grain filling stage
12 (70-90 says after sowing). Additionally, the onset of the rains is important, with planting only
13 done after initial rains exceeding 30-40mm in eight consecutive days in areas studied in
14 Tanzania and Kenya (Barron et al., 2003) and 25mm in seven consecutive days or 40mm in 4
15 in Northern Zimbabwe (Nyakudya and Stroosnijder, 2011). Delays in planting due to late onset
16 of the rains may result in reduced yield, while planting following a “false” onset of the rain
17 season may lead to failure and the need for expensive replanting. Typical lengths of growing
18 season for Maize are 120-140 days.

19 Love et al. (2010) summarise a number of typical agro-meteorological indicators that are
20 important for water management and rain-fed agriculture. These hold important information
21 that can aid farmers to improve their agricultural production process, as well as help disaster
22 managers or food security agencies prepare better for food shortage. Examples include the
23 frequency of dry-spells of different length (Nyakudya and Stroosnijder, 2011), and the
24 probability of occurrence of dry and wet spells derived from the analysis of historical rainfall
25 through e.g. a Markov chain process (Barron et al., 2003). While these provide valuable
26 information to the understanding how sensitive (Maize) crops in a given area are to reduced
27 yield and even failure, such analysis is useful primarily in the process of the planning of crops
28 and developing of plans for mitigating the impact of dry spells (Kandji et al., 2006).

29

30 Reducing the impact of dry and wet spells on the yield may then be found through mitigation
31 measures. Rainfall water harvesting techniques such as improving the soil water retention
32 capacity may reduce vulnerability due to rainfall variability (Brown and Hansen, 2008), and

1 mitigation measures through supplementary irrigation, from for example on-farm ponds
2 (Barron and Okwach, 2005) may reduce the impact of dry spells.

3 Predictions of dry spells across the growing season and in particular during the periods most
4 sensitive to the impact of dry spells can help plan such mitigation measures, as well as help
5 optimise the use of a scarce commodity such as water stored in on-farm ponds. Additionally,
6 prediction of the onset of the rains can aid a more judicious planning of the sowing period. The
7 importance of predictability of such indicators, which are tailored to specific end-users such as
8 rain-fed agriculture is acknowledged by (Reason et al., 2005). They investigated the inter-
9 annual variability of dry spells within the rainy season, and anomalies in the onset of the rainy
10 season over the Limpopo basin and found significant relationship between these indicators and
11 El Nino 3.4 (for a definition see Trenberth, 1997). The study suggested that there may be
12 predictability of the rainfall characteristics at the seasonal scale within the Limpopo region.
13 Seasonal predictions can be used to inform decisions in planning cropping patterns, planting
14 period, and mitigation measures to reduce the impact of dry spells, or even predict crop yields,
15 such as the sugarcane yield forecasting system proposed by Bezuidenhout and Singels (2007).

16 Despite the chaotic nature of weather, the potential of seasonal prediction in particular in the
17 low-latitudes has been long recognised (Charney and Shukla, 1981; Slingo and Palmer, 2011).
18 Useful forecast lead times will also depend very much on the decision that is informed by the
19 prediction. Where decision on cropping patterns would benefit from seasonal forecasts covering
20 the full growing season (120-140 days), or in any case to the end of the grain filling period (90
21 days), other decisions such as the planning of the sowing period given the onset of the rains, or
22 applying supplemental irrigation may require forecasts with lead times of only up to some 20-
23 30 days.

24 Such information may be provided by seasonal (0-6 months) forecasting systems. Seasonal
25 forecasts differ from the short-range to medium-range weather forecasts as it does not provide
26 information on the weather on any specific day. Instead, seasonal forecasts provide information
27 on the development of the climate up to 6 months, or in some cases even 12 months ahead. The
28 skill of the seasonal forecasts depends on its ability to model processes at the scale of months,
29 for example the ENSO (El Nino Southern Oscillation). It has to be noted that seasonal forecast
30 systems have significantly more skill than deterministic forecasts (Molteni et al., 2011).

31 Reason et al. (2005) showed that the December-February (DJF) season is most important
32 because this includes the most crucial periods that influence maize yields, which is particularly

1 sensitive in this season. Moreover, the DJF season is most strongly impacted by ENSO
2 (Lindesay, 1988;Love et al., 2010), which also would result in the best predictability of the
3 rainy season anomalies (Reason et al., 2000;Landman et al., 2001). Winsemius et al. (2014)
4 assessed the ability of ECMWF's seasonal forecasts (SYS4) in predicting dry spells and heat
5 stress, indicators relevant to the farmer needs for the Limpopo basin. They found that heat stress
6 was well captured by the seasonal forecasting system, whereas the dry spells were less well
7 captured. A study by Mwangi et al. (2014) further tested the SYS4 for drought forecasting in
8 East Africa and could show skill in the precipitation forecast for the autumn rainy season
9 (September-November).

10 Forecast models suffer from biases - the climate of the model forecasts differs to a greater or
11 lesser extent from the observed climate. Precipitation is a non-linear and intermittent process,
12 and many atmospheric general circulation models (AGCMs) are not able to correctly resolve
13 these processes, for example the number of rainy days and heavy precipitation events, due to
14 constraints in resolution and how the processes are implemented in the model. With increasing
15 resolution and better descriptions of model physics the modelling of precipitation will improve
16 in future model versions (Haiden et al., 2014). Since variation in the predicted seasonal
17 distributions are often small, this bias needs to be taken into account, and must be estimated
18 from a previous set of model integrations (Molteni et al., 2011). The 30 years hindcasts of SYS4
19 provide a large set of forecasts that can be used to correct model biases, and to evaluate the skill
20 of the forecasting system. A common practice is to bias correct the monthly means of the model
21 climate with the verification dataset (e. g. Saha et al., 2006).

22 In this paper we evaluate the skill of the ECMWF seasonal probabilistic forecasting system
23 over the Limpopo basin in Southern Africa in predicting indicators relevant to making
24 decisions, within the rainy December-February (DJF) season. Instead of seasonal accumulated
25 anomalies, we tailor this investigation to end-user required information on rainfall
26 characteristics, being the length of dry spells and the amount of dry spells within the DJF
27 season. We test how post-processing of the forecast could increase skill depending on the use
28 of quantile mapping against observations and applying a precipitation threshold to define a dry
29 day. The hypothesis is that predictability will increase when a post-processing is applied. The
30 paper is organized as follows: material and methods are described in section 2, the main results
31 in section 3, a discussion in section 4 and main conclusions in section 5.

32

1 **2 Material and methods**

2 **2.1 Study basin**

3 The Limpopo basin (22-25°S, 27-32° E) land use is governed by croplands, in particular in the
4 downstream (i.e. eastern) part of the basin (Figure 1). Most of these croplands are rain-fed or
5 rely on the scarce and over-committed surface water resources (Love et al., 2010). The climate
6 is characterized by extremely variable rainfall, resulting in a mixture of very dry years and years
7 with floods. Rainfall concentrates in one rainy season, largely controlled by the Inter-Tropical
8 Convergence Zone which means that most of the rainfall is received in the months December,
9 January and February (DJF). The precipitation in Limpopo is very dependent on ENSO giving
10 warm and dry years during strong ENSO events (Ogallo, 1988). Its water resources are shared
11 by South Africa, Botswana, Zimbabwe and Mozambique. There are a number of important
12 water users in the basin, amongst which ecology and nature preservation (a large part of the
13 Kruger National Park is located inside the basin), municipalities, agriculture and livestock. The
14 agricultural users (except some large corporate sugar cane fields in the South African part of
15 the basin) are primarily smallholder farmers, without access to significant supplementary
16 irrigation.

17 The Limpopo basin suffers from drought every 7 to 11 years, but there are large differences in
18 vulnerability to droughts, as shown in the Drought Hazard Index (DHI) maps (Figure 2; Muñoz
19 Leira et al., 2003). The DHI maps show the probability of crop failure combined with the degree
20 of rainfall variability from year to year, and a low (high) DHI means a stable (sensitive)
21 environment. Four regions were identified according to their geographical location and drought
22 hazard: Region 1 and 3 with moderate to high; region 2 with high/very high; and region 4 with
23 low/moderate DHI respectively. The analysis in this study was made for the catchment as a
24 whole and for each region separately.

25 **2.2 Data description**

26 **2.2.1 Merged ERA-Interim and GPCP rainfall estimates**

27 ERA-Interim (hereafter ERAI) is the latest global atmospheric reanalysis produced by
28 ECMWF. ERAI covers the period from 1 January 1979 onwards, and continues to be extended
29 forward in near real-time (www.ecmwf.int; Dee et al., 2011). The ERAI configuration has a
30 spectral T255 horizontal resolution, which corresponds to approximately 79 km. ERAI suffers

1 from model biases, and to correct precipitation Balsamo et al. (2010) performed a scale-
2 selective rescaling, hereafter named EGPCP. The procedure corrected ERAI 3-hourly
3 precipitation at grid-point scale with multiplicative factors to match the total monthly
4 accumulation of the GPCP v2.1 product (Huffman et al., 2009). The advantage of this procedure
5 is that small scale features of ERAI can be preserved (e. g. orographic precipitation
6 enhancement) while the monthly totals are rescaled to match GPCP. Moreover, the rescaling
7 improves the root mean square error and spatial/temporal correlations by combining the
8 advantages of the observation-based GPCP product with those of the original high resolution
9 ERAI data. Szczypta et al. (2011) evaluated ERAI over France, based on the high resolution (8
10 km) SAFRAN atmospheric reanalysis (Vidal et al., 2010) and found that the EGPCP
11 precipitation performs better than the original ERAI product. Belo-Pereira et al. (2011)
12 compared the skill of ERAI and GPCC (similar to GPCP over land), among others, against a
13 high resolution observational based dataset of precipitation. They found that both ERAI and
14 GPCP provided a good estimate of drought conditions, the latter closer to the observations. The
15 merged EGPCP rainfall estimate is assumed to be the best estimation of ground-truth in this
16 study. All skill evaluations are therefore based on comparisons between seasonal forecast and
17 the EGPCP estimates.

18 2.2.2 ECMWF seasonal forecast system

19 The ECMWF seasonal forecasts system 4 (SYS4) consists of a global coupled ocean-
20 atmosphere general circulation model to calculate the evolution of the ocean and atmosphere
21 and an ocean analysis to estimate the initial state of the ocean (Molteni et al., 2011). The ocean
22 model used is NEMO (Nucleus for European Modelling of the Ocean; Madec, 2012) adopting
23 the ORCA1 grid, which has a horizontal resolution of approximately 1 degree, and 42 levels in
24 the vertical (<http://www.noc.soton.ac.uk/nemo/?page=configurations>, accessed 3 December
25 2014). The atmospheric component of SYS4 is the ECMWF integrated forecasts system (IFS)
26 with the same horizontal resolution is the same as ERAI but using 91 vertical levels instead of
27 the 60 used in ERAI. The forecasts consist of a 51 member ensemble, with initial date of the
28 1st of each month, and then run daily for 7 months. The 51 ensemble members are made up
29 with one control member initialised by ERA-Interim and 50 ensembles in which the initial
30 conditions (ocean and atmosphere) combined with stochastic schemes in the model physics of
31 the atmospheric model. The re-forecasts (also referred to as hindcasts) for SYS4 consist of
32 forecasts starting on the 1st of every month for the years 1981-2010 with an ensemble size of

1 15 members. The hindcasts can be used to calibrate the real-time forecasts in combination with
2 the observed weather and climate.

3 **2.3 Experimental setup**

4 **2.3.1 Quantile-based mapping**

5 In this study we evaluate dry spells based on daily precipitation, and a simple mean monthly
6 bias correction would not correct biases in the distribution of dry events. Therefore a quantile-
7 based mapping (QM; Panofsky and Brier, 1968) was applied on the forecast. QM adjusts the
8 forecasted precipitation to the observed precipitation (in our case EGCP) by matching the
9 cumulative density function (CDF) of daily precipitation for each grid cell individually. This
10 relatively simple approach has been successfully used in hydrological and climate impact
11 studies (e.g. Maurer and Hidalgo, 2008; Li et al., 2010; Jakob Themeßl et al., 2011; Wetterhall et
12 al., 2012) as well as medium-range (Voisin et al., 2010) and seasonal forecasts (Wood et al.,
13 2002). The precipitation of the seasonal forecast was rescaled using a multiplicative factor (or
14 transfer function) for each initial forecast date (calendar month), lead time and grid point. The
15 multiplicative factor is a discrete array which corrects the precipitation values for quantiles
16 ranging from 0 to 1 with a step of 0.02. QM was only done for rainy days since the number of
17 rainy days are very similar for the SYS4 and EGPCP, and therefore an adjustment of the number
18 of rainy days was not necessary. A schematic view of the corrections used in this paper is shown
19 in Figure 3.

20 To account for the uncertainty due to the small samples size, the CDFs of the hindcasts of SYS4
21 and EGPCP were evaluated empirically by sorting the daily precipitation and then
22 bootstrapping 50 distributions from a sample of 50% of the data, thereby creating 50 SYS4
23 CDFs and their respective multiplicative factors. The final correction used the average of the
24 50 bootstrapped multiplicative factors to adjust the distribution. To allow a smooth transition
25 in time of the multiplicative factor, and increase the sample size, the training dataset was
26 expanded using the two adjacent months for each lead time. For example, for the forecasts
27 starting in November with lead time 1 (valid in December), we selected all the November,
28 December and January months from EGPCP, and the SYS4 forecasts starting in November for
29 lead times 0,1 and 2 to evaluate the empirical CDFs (Figure 4). To make the comparison easier,
30 both the EGCP and SYS4 data was interpolated to a regular grid with spatial resolution 0.7

1 degrees in latitude and longitude, which is very close to the original resolution of the seasonal
2 forecasts.

3 2.3.2 Skill as function of dry/wet day threshold, bias correction, spatial scale 4 and lead time

5 The predictability of the occurrence of dry spells and dry spell length can be assumed to be
6 dependent on the following factors: (1) the lead time of the seasonal forecast, (2) the definition
7 of a dry day within the perspective of our meteorological forecast model, (3) the presence of
8 model bias (which in itself is also assumed to be a function of lead time) and (4) the spatial
9 averaging scale of prediction. ECMWF's seasonal forecasting system archives daily forecasts
10 with a lead time of 7 months, starting at the first day of each month. Subsequently 5 forecasts
11 overlap the rainy season (DJF) any given year, with lead times with respect to the onset of DJF
12 season ranging from 0 to 4 months. There is large evidence that seasonal forecast skill
13 deteriorates strongly with lead time (Molteni et al., 2011). Therefore, the forecast was evaluated
14 for each lead time.

15 In this study a "dry spell" was defined as a sequence of days (minimum 3 days) where rainfall
16 is below a certain threshold (see the red bars in Figure 5). The threshold accounts for a number
17 of factors, for example that part of a day's rainfall is intercepted by canopy, understory, litter
18 and by the very top few centimetres of soil; and therefore evaporates before infiltrating down
19 to the root zone (Savenije, 2004; de Groen and Savenije, 2006; Gerrits et al., 2007). This amount
20 can be significant and De Groen and Savenije (2006) suggest a value between 2 and 5 mm day⁻¹
21 for the Southern African region, depending on season and land cover characteristics. These
22 water balance components therefore do not take part in the biomass assimilation process and
23 from the point of view of a crop, a day is therefore wet as soon as rainfall exceeds a certain
24 threshold. To test the sensitivity of the choice of this threshold, a large range of thresholds were
25 considered, ranging from 1 to 15 mm day⁻¹. Note that from the point of view of crop growth,
26 15 mm day⁻¹ rainfall should not be considered to be a dry day anymore (de Groen and Savenije,
27 2006). The wide range is only used to demonstrate the predictability of the ECMWF
28 probabilistic seasonal forecasting system over a range of thresholds. For each forecast, the
29 frequency of dry spells, defined as the number of dry spells longer than 3 days, was computed
30 for each model grid cell. The same was done for the observational data EGPCP. This results in
31 pairs of "observed" and forecast values for the frequency of dry spells and the length of the
32 longest dry spell for each lead time and for each year of available data.

1 2.3.3 Computation of skill measures

2 Relative operating characteristic (ROC;) and continuous ranked probability scores (CRPS;
3 Matheson and Winkler, 1976) were used to estimate the performance of SYS4. The correlation
4 coefficient was calculated on the annual averages of the all ensemble members and all the land
5 grid points in the Limpopo basin compared with EGCP. The hits, misses and false alarms for
6 the contingency table were estimated by considering values above the 70th percentile of the
7 EGCP data as an event. The contingency table was calculated over all grid points and ensemble
8 members and then averaged into hit rates (HR) and false alarm rates (FAR).

9

$$10 \quad HR = \frac{Hits}{Hits+Misses} \quad (1)$$

11

$$12 \quad FAR = \frac{False\ alarms}{False\ alarms+Correct\ negatives} \quad (2)$$

13

14 Plotting the FAR against HR for various thresholds of detection, i e the probability of an event
15 generates the receiver operating characteristic (ROC) curve, from which the area under the ROC
16 curve (AUC) can be estimated. The AUC is a summary statistics of the performance of the
17 system and tests the system's ability to discriminate between positive and negative outcomes.
18 A value of AUC close to 1 denotes a perfect forecast, whereas values below 0.5 that the forecast
19 performs worse than a random forecast.

20 CRPS is a common tool to evaluate ensemble data and is defined as:

21

$$22 \quad CRPS = \frac{1}{N} \sum_{n=1}^N \int_{-\infty}^{\infty} [F(x) - H(x - x_0)]^2 dx \quad (3)$$

23 where N is the number of forecasts, $F(x)$ is the cumulative distribution function $F(x) = p(X \leq x)$
24 of the forecasted precipitation x , x_0 the observed precipitation, and $H(x - x_0)$ is the Heaviside
25 function, which has the value 0 when $x - x_0 < 0$ and 1 otherwise. In order to quantify the skill
26 of the probability score, the skill score is calculated as

27

1
$$SS_{CRPS} = 1 - \frac{CRPS_{FP}}{CRPS_{RP}} \quad (4)$$

2 where $CRPS_{FP}$ denotes the forecast score and $CRPS_{RP}$ is the score of a reference forecast of the
3 same predictand. $CRPS$ and SS_{CRPS} were calculated for each grip point and lead time
4 respectively. The benchmark forecast in this study was a climatological ensemble, which was
5 created by randomly selecting 15 time series (to match the seasonal forecast hindcast ensemble
6 size) from each starting month from the EGPCP historical database of the same length as the
7 seasonal forecast (7 months), excluding the actual year.

8

9 **3 Results**

10 The results from the hindcast period were evaluated in terms of the effect of the precipitation
11 threshold and bias correction. Furthermore, the predictability for the four identified regions was
12 evaluated individually.

13 **3.1 Effect of quantile mapping**

14 The forecast of frequencies and length of longest dry spell were both improved in absolute
15 terms, which is to be expected since the correction is towards observed values (Table 1). The
16 bias correction affected both hit rates and false alarms but in opposite directions depending on
17 target variable; hits and false alarms increased (decreased) for length of longest dry spell
18 (frequency of dry spells). The overall effect on AUC was however an improvement (or no
19 effect) after QM. The positive effect of the QM becomes more apparent with increasing
20 precipitation threshold (Figure 6). The boxplots indicate the inter-annual spread in the EGPCP
21 data, and this is substantially larger than for the forecast, which is also seen in Table 1. There is
22 a breakpoint around 5 mm where the forecast and the EGCP data show the best agreement, and
23 effect of the QM persist with increasing thresholds. Figure 6 shows the result for lead time 0,
24 but the results are similar for longer lead times (not shown).

25 **3.2 Skill as function of precipitation thresholds**

26 Using a non-zero threshold to define rainy and non-rainy days has a clear impact on the skill
27 (Figure 7). The effect of the thresholds is different for the raw and corrected forecast. Using a
28 threshold of precipitation of between 5 and 10 mm depending on lead time and target variable

1 was the optimum for the bias-corrected forecasts, whereas the optimum threshold for the raw
2 forecast was lower. However, a threshold of 10 mm is higher than the precipitation amounts
3 expected to be lost to transpiration from interception. To accommodate for the most optimum
4 threshold as well as using a physically sensible threshold, 5 mm was selected, which is in
5 agreement with previous studies (de Groen and Savenije, 2006).

6 **3.3 Spatial variations in skill**

7 The spatial pattern of skill for the raw forecast shows a pattern where the forecast performance
8 of the south-west part of the Limpopo catchment is comparatively worse than for the north-east
9 (Figure 8). The patterns are similar for both longest dry spell and the frequency of dry spells.
10 QM improved the forecast over all grid boxes and the increase is largest for the shorter lead
11 times (Figure 9). The highest skill both before and after the QM was seen for area 2, which is
12 also the most vulnerable to droughts. The forecasts for area 4, which also is the area least
13 sensitive to droughts, had the lowest skill. The correction improved the skill scores to levels
14 comparable to the other areas. The effect of QM is most evident for the shorter lead times, for
15 the longer lead times the signal is noisier.

16 **4 Discussion**

17 **4.1 Choices in the methodology**

18 It was found very useful to perform a proper bias correction of the forecasts before any attempt
19 was made to predict the occurrence and length of dry spells. This is demonstrated by comparing
20 bias-corrected skill with non-bias-corrected skill (Figures 5-8). The CRPSS was in many cases
21 negative prior to QM. The computation of dry spells and dry periods is strongly dependent on
22 the ability of the prediction system to distinguish a wet day from a dry day, and the seasonal
23 forecasts are typically impacted by significant bias during low intensity rainfall (“drizzle
24 effect”), which strongly impacts on the lower tail of the distribution of the forecasted daily
25 rainfall amount.

26 The effect of the variable threshold on the definition of dry spells creates fewer but longer dry
27 spells with increasing threshold. It targets the effective precipitation, which is the precipitation
28 that is available for plants. The application of a threshold precipitation at which a dry spell was
29 defined improved the results, especially after QM which would suggest that using both methods
30 in combination was necessary to optimise the performance of the forecast. Since the simple bias

1 correction only affected the amount of precipitation, the effect of the threshold is more visible
2 on the higher end of the distribution. The selection of the optimal threshold is in the end
3 dependent on the application, i. e. what is most important for the crop in terms of water need.
4 The results in this study shows that the bias correction has a positive effect on all thresholds in
5 the range from 1 to 15 mm. The threshold can also be applied prior to applying bias correction
6 (e. g. Wetterhall et al., 2012) but in cases where the energy balance is important, for example
7 in cases where agriculture production is to be modelled, a correction of the temperature
8 distribution would also be needed since the occurrence or non-occurrence of precipitation will
9 affect the modelled temperature. The advantage of the methodology used in this study is that
10 the spatial correlation between temperature and precipitation is better preserved.

11 The skill of the forecast varies generally significantly with location, and this is clear also from
12 our study. The highest skill for the raw forecast was found in the northern part of the catchment.
13 However, the potential predictive skill of SYS4 is more or less equal across the catchment, as
14 is demonstrated by the effect on the post-processing of the forecasts (Figure 8-Figure 9). The
15 potential skill is in this paper evaluated using GPCP v2.2 as the “ground truth”, however this
16 has its limitations as the quality of this global dataset is very varying. If the true skill of the
17 system is to be assessed, local station precipitation data would be necessary. However, as a
18 proof-of-concept the proposed methodology is promising.

19 **4.2 Potential value of the forecasting of rainfall characteristics**

20 Although seasonal forecasts deliver to some degree skilful information on dry spell occurrence
21 and dry spell lengths, it is not guaranteed that this information will improve the decision making
22 process for the end user. Translation from a forecast has value if the decisions that are taken
23 based on the forecast information indeed lead to an effective gain (whether this is monetary or
24 in some other way) with respect to the status *quo* (i.e. without a forecast being used for decision
25 making). This is only the case if the integral of costs (monetary or in any other meaningful
26 form) of additional actions being taken on the basis of the forecast information over time is
27 lower than the integral of loss, prevented by the alternate decision. This has been demonstrated
28 by Verkade and Werner (2011) for the case of flood forecasting and warning systems (Verkade
29 and Werner, 2011;Pappenberger et al., 2015). In most forecasting evaluations, the costs
30 associated with the decision and actions following this decision are neglected, meaning that if
31 the forecast has any skill compared to the climatology, the system always has value. However,
32 if such costs cannot be neglected, then the value of the forecast will decrease depending on the

1 rate of false alarms and misses. A false alarm will result in unnecessary costs, being made to
2 decide and act, while a hit will result in prevented losses, which obviously are higher than the
3 costs to act. In order to evaluate the value of this forecast, we would therefore require local
4 knowledge about how much the action, followed by a forecast warning (in the case of the
5 Limpopo, importing of food and fodder) would cost, and how much would be lost due to the
6 occurrence of (long) dry spells, if action would have been required, but is not taken. In the
7 Limpopo case, this could involve loss of harvest, loss of livestock, or even loss of lives if food
8 security is at stake.

9

10 **5 Conclusions**

11 This paper assesses the quality of using seasonal forecasts from ECMWF to predict the duration
12 of the longest dry spell as well as the frequency of dry spells during the growing season in the
13 Limpopo basin in southeast Africa. The paper further investigates post-processing techniques
14 of the forecasts by applying a QM of the forecasts and a precipitation threshold to define wet
15 and dry events. The threshold was applied to both the observed data and forecast to test the
16 sensitivity to user-defined needs. The results show that the forecasts are improved by using a
17 threshold to define events in combination with QM of the forecast. Post-processing increases
18 the potential predictability of the forecasts, but in order to assess the full added value of a
19 forecasting system it would need to be tested as a decision support tool by local stake holders.

20

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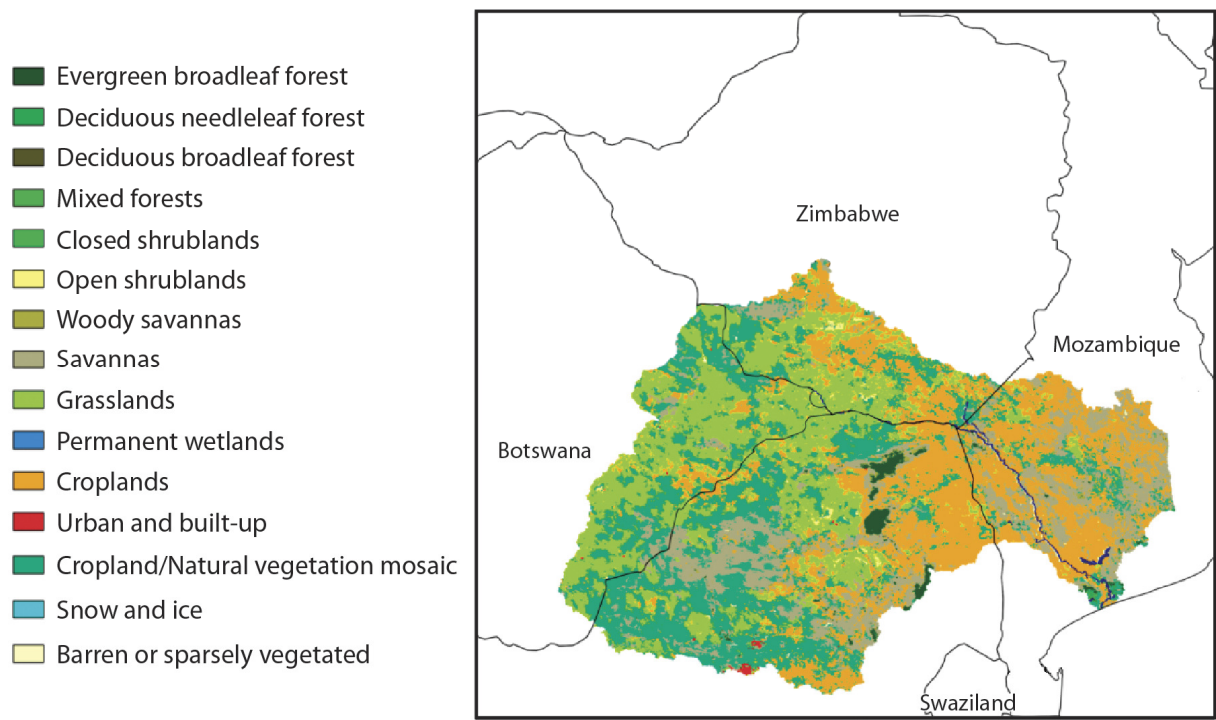
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1 Table 1. Length of dry spells and frequency of dry spells from the EGCP data (observed) and
 2 forecasted with raw forecast and bias corrected. The results are with a 5mm threshold applied
 3 and averaged over all points and years, and all ensemble members for the forecast. The standard
 4 deviation is shown in brackets.

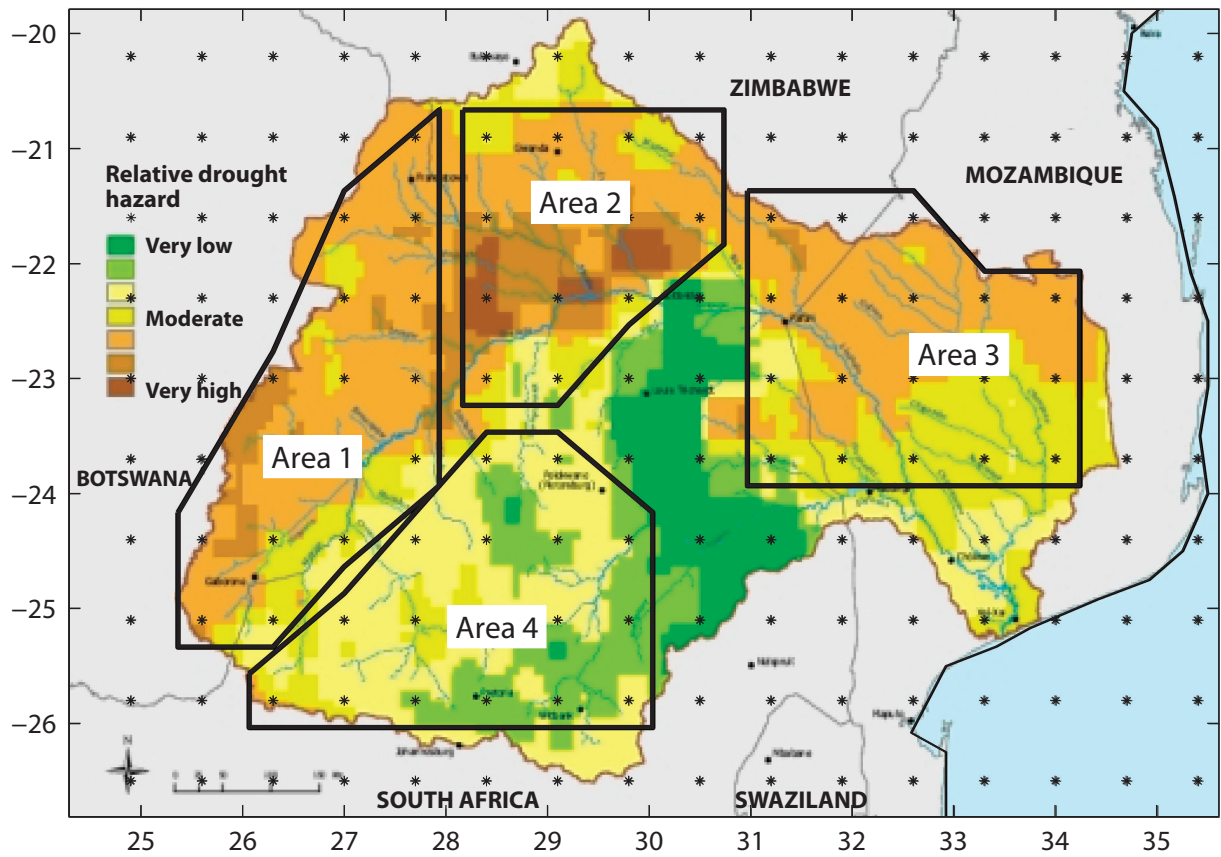
	Lead 1	Lead 2	Lead 3	Lead 4	Lead 5
Frequencies of dry spells					
Observed	8.7 (2.2)				
Raw forecast	9.0 (1.1)	9.2 (1.1)	9.4 (0.8)	9.5 (0.9)	9.6 (0.7)
Corrected forecast	8.5 (1.1)	8.7 (1.1)	8.8 (0.9)	8.8 (0.9)	8.7 (0.7)
AUC raw	0.67	0.63	0.56	0.58	0.58
AUC corrected	0.69	0.65	0.59	0.61	0.59
Length of longest dry spells					
Observed	23 (7.4)				
Raw forecast	23 (3.0)	22 (3.2)	21 (2.3)	21 (2.2)	20 (1.9)
Corrected forecast	24 (3.3)	23 (3.5)	23 (2.5)	23 (2.4)	23 (2.1)
AUC raw	0.54	0.55	0.51	0.52	0.55
AUC corrected	0.58	0.55	0.51	0.53	0.55

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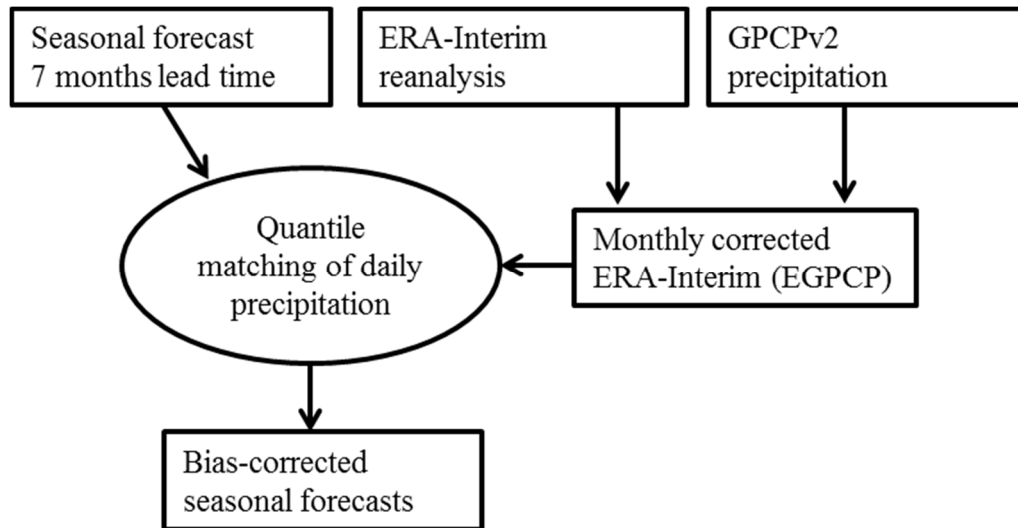
Figure 1. Land use in the Limpopo river basin (source: IGBP; Loveland et al., 2000). The orange coloured regions are classified as croplands, most of which are rain-fed.



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Figure 2. Areas of drought hazard for the limpopo basin. The 4 areas are characterized by their sensitivity to droughts, ranging from low/moderate to Very high/High. The underlying maps are from Muñoz Leira et al. (2003). The grid points denote the grid points of ECMWF seasonal forecasting system (SYS4) at T319 reduced gaussian grid..

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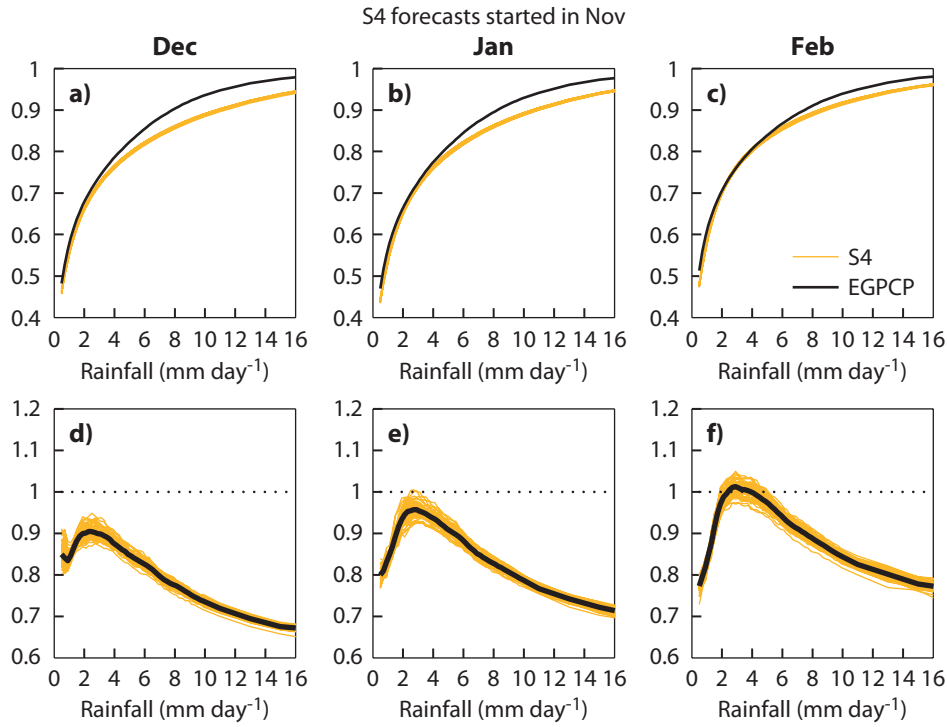
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Figure 3. Flowchart of the bias correction of the seasonal forecasts

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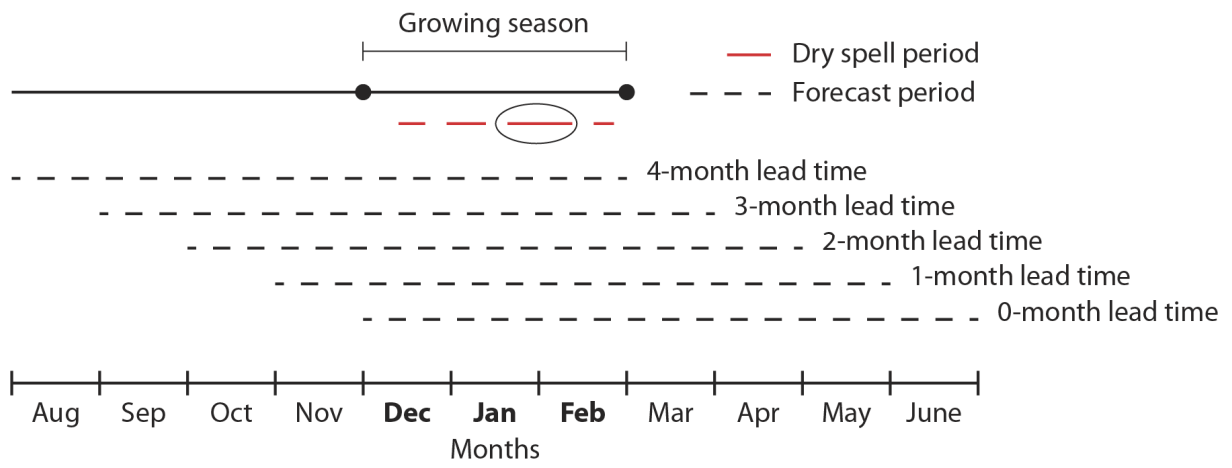


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3 Figure 4. Cumulative density function (CDF) (a-c) of daily precipitation from EGPCP (black)
4 and SYS4 forecasts started in November (gray lines from the bootstrapping sampling) valid for
5 December (a), January (b) and February (c). Quantile match coefficients applied to correct
6 SYS4 forecasts (black mean, gray bootstrapping range) started in November and valid in
7 December (d), January (e) and February (f). The represented CDFs and quantile match
8 coefficients were averaged over the region: [27E to 32E; -22N to -25N].

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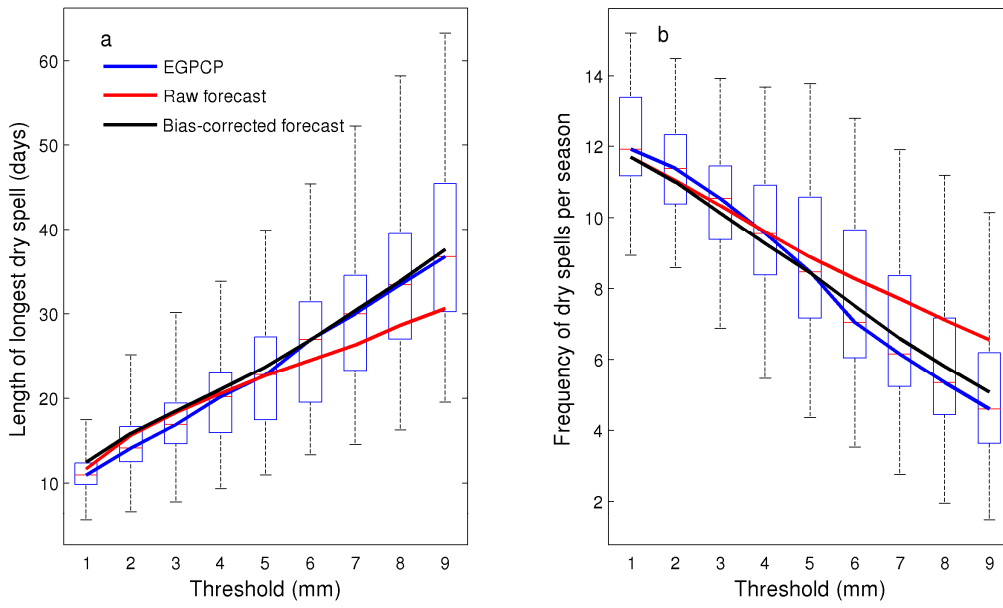
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2 Figure 5. The timing of the growing season and lead times of seasonal forecasts. Evaluation of
 3 dry spells is performed in the growing season (see red lines).

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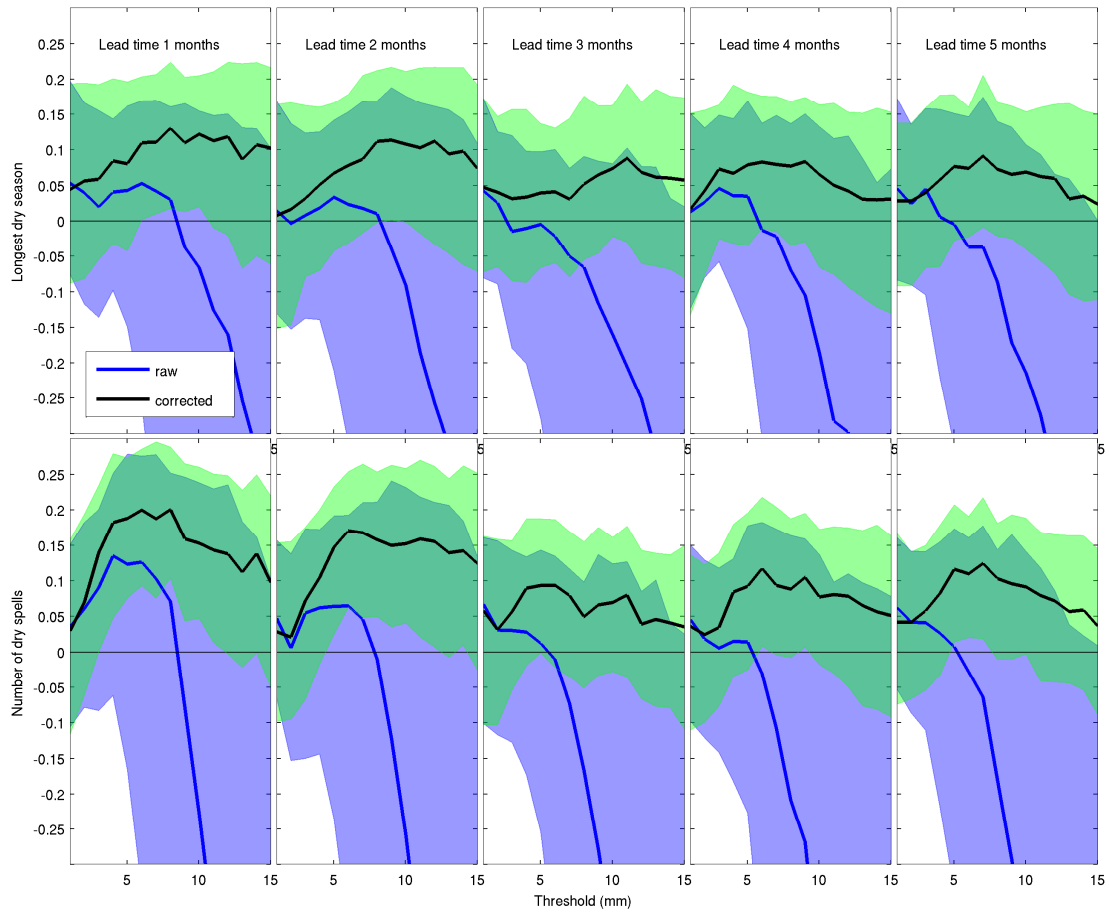
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4 Figure 6. Effect of the threshold on a) the length of dry spells and b) frequency of dry spells
5 for EGPCP, raw forecast and bias-corrected forecast for each season over the entire forecast
6 period for lead time 0. The edges of boxplot show the 25 and 75 quantiles, the whiskers
7 indicate the maximum and minimum values.



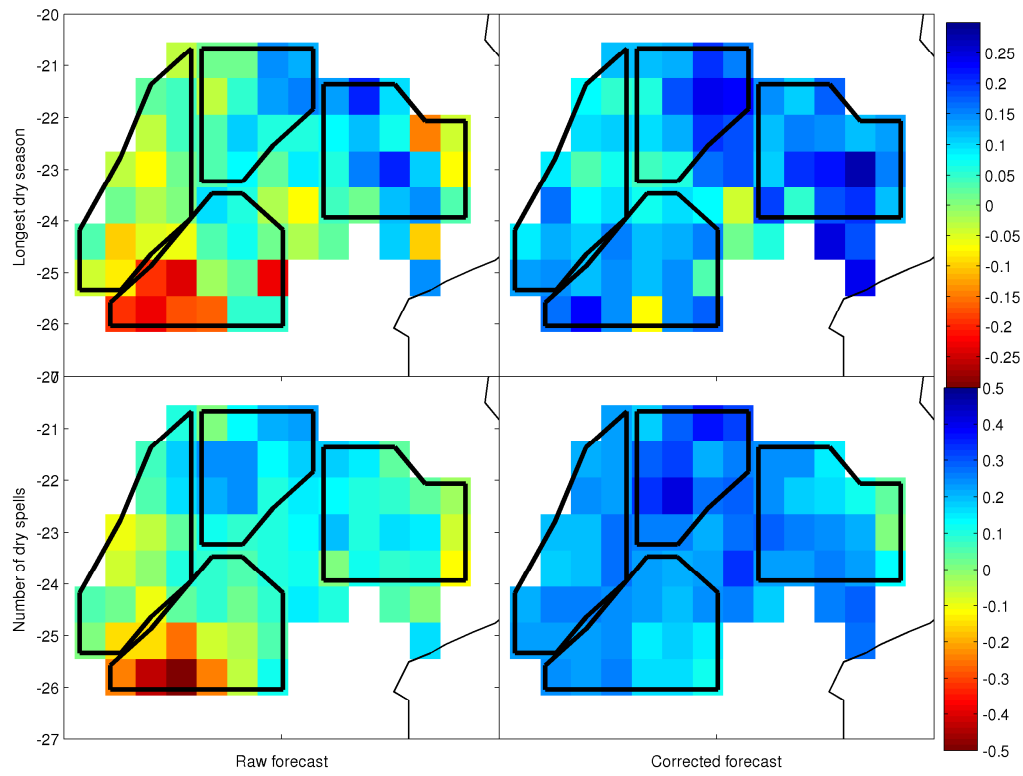
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2 Figure 7. CRPSS as a function of precipitation thresholds for different lead times over the
 3 Limpopo catchment. Top panel shows the results for the longest dry spell over the rainy season,
 4 and the bottom panel the frequency of dry spells over the rainy season. The blue line denotes
 5 the raw forecast, and the black line the bias-corrected. The blue (green) areas denote the 5 to
 6 95 spread of the raw (corrected) forecasts respectively.

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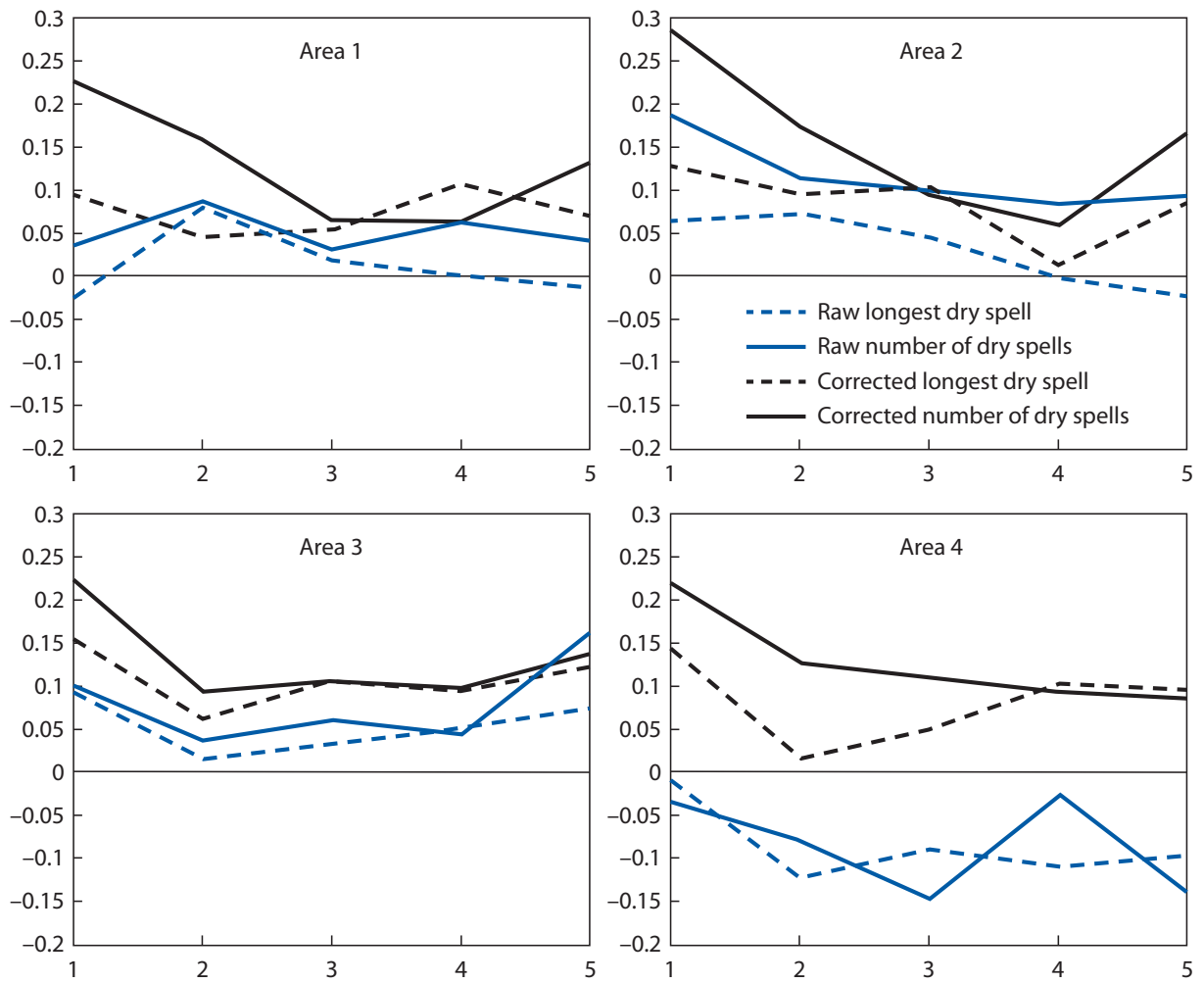
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Figure 8. CRPSS for the different areas over the Limpopo basin with a precipitation threshold of 5 mm and lead time of 1 month.



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3 Figure 9. CRPSS as a function of lead time for the four areas in the Limpopo basin

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