

# 1 What made the June 2013 flood in Germany an exceptional 2 event? A hydro-meteorological evaluation

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## 13 **Abstract**

14 The summer flood 2013 sets a new record for large-scale floods in Germany for at least the  
15 last sixty years. In this paper we analyze the key hydro-meteorological factors using extreme  
16 value statistics as well as aggregated severity indices. For the long-term classification of the  
17 recent flood we draw comparisons to a set of past large-scale flood events in Germany,  
18 notably the high impact summer floods from August 2002 and July 1954. Our analysis shows  
19 that the combination of extreme initial wetness at the national scale - caused by a pronounced  
20 precipitation anomaly in the month of May 2013 - and strong, but not extraordinary event  
21 precipitation were the key drivers for this exceptional flood event. This provides additional  
22 insights into the importance of catchment wetness for high return period floods on a large-  
23 scale. The data base compiled and the methodological developments provide a consistent  
24 framework for the rapid evaluation of future floods.

## 25 **1 Introduction**

26 In June 2013, wide parts of Central Europe were hit by large-scale flooding. Particularly  
27 southern and eastern Germany were affected, but also other countries such as Austria,  
28 Switzerland, the Czech Republic, Poland, Hungary, Slovakia, Croatia and Serbia. Almost all

1 main river systems in Germany showed high water levels: the Elbe between Coswig and  
2 Lenzen, the Saale downstream of Halle, and the Danube at Passau experienced new record  
3 water levels. Severe flooding occurred especially along the Danube and Elbe Rivers, as well  
4 as along the Elbe tributaries Mulde and Saale. In the Weser and Rhine catchments exceptional  
5 flood magnitudes were, however, observed only locally in some smaller tributaries. The area  
6 affected most in the Rhine catchment was the Neckar with its tributaries Eyach and Starzel. In  
7 the Weser catchment the Werra sub-catchment was affected most, in particular the discharges  
8 in the Hasel and Schmalkalde tributaries were on an exceptional flood level (BfG, 2013). As a  
9 consequence of major dike breaches at the Danube in Fischerdorf near Deggendorf, at the  
10 confluence of the Saale and Elbe Rivers at Rosenburg, and at the Elbe near Fischbeck, large  
11 areas were inundated with strong impacts on society in terms of direct damage and  
12 interruption of transportation systems (see Fig. A1 in the Appendix for geographic locations).

13 Estimates on overall losses caused by the flooding in Central Europe are in the range of  
14 11.4 b € (Munich Re, 2013) to 13.5 b € (Swiss Re, 2013), whereof 10 b € occurred in  
15 Germany alone. Official estimates of economic loss for Germany amount to 6.6 b €  
16 (Deutscher Bundestag, 2013) with additional 2 b € of insured losses (GDV, 2013). These  
17 numbers are about 60% of the total loss of 14.1 b € (normalized to 2013 values) in Germany  
18 caused by the extreme summer flood in August 2002 (Kron, 2004; Thielen et al. 2005) which  
19 remains the most expensive natural hazard experienced in Germany so far.

20 The June 2013 flood was an extreme event with regard to magnitude and spatial extent as well  
21 as its impact on society and economy (Blöschl et al., 2013; Merz et al. 2014). The Forensic  
22 Disaster Analysis (FDA) Task Force of the Center for Disaster Management and Risk  
23 Reduction Technology (CEDIM) closely monitored the evolution of the flood in June 2013  
24 including the impacts on people, transportation and economy in near real time. In this way  
25 CEDIM made science-based facts available for the identification of major event drivers and  
26 for disaster mitigation. The first phase of this activity was done by compiling scattered  
27 information available from diverse sources including in-situ sensors and remote sensing data,  
28 the internet, media and social sensors as well as by applying CEDIM's own rapid assessment  
29 tools. Two reports were issued: the first report focused on the meteorological and  
30 hydrological conditions including comparisons to major floods from the past (CEDIM,  
31 2013a), while the second one focused on impact and management issues (CEDIM, 2013b).

1 The subsequent phase of this FDA activity focused on the research question: What made the  
2 flood in June 2013 an exceptional event from a hydro-meteorological point of view? This  
3 question is analyzed in this paper. We expect this analysis to improve the understanding of  
4 key drivers of large-scale floods and thus contribute to the derivation of well-founded and  
5 plausible extreme scenarios.

6 In this context, the statement of BfG (2013) and (Blöschl et al., 2013) that high initial soil  
7 moisture played an important role for the generation of this extreme flood are an interesting  
8 starting point. Klemes (1993) reasoned that high hydrological extremes are more due to  
9 unusual combinations of different hydro-meteorological factors than to unusual magnitudes of  
10 the factors themselves. On the one hand, catchment wetness state is an important factor for  
11 the generation of floods (Merz and Blöschl, 2003). As such it is a useful indicator in flood  
12 early warning schemes (e. g. Van Steenbergen and Willems, 2013; Alfieri et al. 2014; Reager  
13 et al. 2014) and is also incorporated in procedures for extreme flood estimation (e. g. Paquet  
14 et al. 2013). On the other hand the contribution of catchment wetness to extreme floods has  
15 been shown to be of decreasing importance with increasing return periods of rainfall  
16 (e. g. Ettrick et al. 1987; Merz and Plate, 1997). However, the interaction of various hydro-  
17 meteorological factors, primarily rainfall and soil moisture, has been studied mainly for small  
18 scale catchments (e. g. Troch et al. 1994; Perry and Niemann, 2007). Only few studies  
19 examined the interplay of various hydro-meteorological factors for large-scale scale floods.  
20 One example is the work of Nied et al. (2013) who investigated the role of antecedent soil  
21 moisture for floods in the Elbe catchment (ca. 150,000 km<sup>2</sup>) and emphasized the increased  
22 occurrence probability of large-scale floods related to large-scale high soil moisture.

23 In this study, we examine key meteorological and hydrological characteristics of the June  
24 2013 flood and compare them to two other large-scale high impact events, the August 2002  
25 and July 1954 floods in Germany. The factors considered are antecedent and event  
26 precipitation, initial streamflow conditions in the river network and flood peak discharges. We  
27 evaluate these factors in a long-term context in terms of recurrence intervals using extreme  
28 value statistics based on a 50 years reference period. For this period the set of large-scale  
29 floods in Germany identified by Uhlemann et al. (2010) are updated and now comprises 74  
30 flood events. Hence, the analysis is deliberately limited to the national borders of Germany in  
31 order to be able to compare the 2013 flood with the event set of Uhlemann et al. (2010). For a  
32 coherent comparison of the events we use available long-term datasets of precipitation and

1 discharge observations. Besides the statistical analysis we derive different indices to rank the  
2 spatial extent and magnitude of the hydro-meteorological factors.

3 The spatial extent and hydrological severity of large-scale floods in Germany has been  
4 analyzed by Uhlemann et al. (2010) in terms of flood peak discharges using a specifically  
5 developed flood severity index. In our study we enhance this framework to include antecedent  
6 and event precipitation as well as initial streamflow as additional hydro-meteorological  
7 factors. We introduce severity indices for these factors to evaluate their relative importance  
8 among the event set. Precipitation and flood peak discharges are key figures which are  
9 commonly used to characterize cause and effect of floods. The antecedent precipitation index  
10 is a well-established parameter to approximate catchment wetness (Teng et al. 1993; Ahmed,  
11 1995). Even though there are reasonable objections against API as it disregards soil and land  
12 use characteristics which influence soil hydrological processes, it provides sufficient  
13 information to compare the potential wetness between different large-scale floods. Initial  
14 streamflow is usually not considered in hydrological analyses of flood events but is a very  
15 relevant factor for dynamic flood routing processes (Chow, 1959) as it controls the load of a  
16 river section. The inclusion of this factor within a statistical analysis of large-scale flood  
17 events is, to the knowledge of the authors, done for the first time.

18 The paper is organized as follows. Section 2 describes the data and methods used to conduct  
19 the hydro-meteorological analysis of the June 2013 flood and the set of large-scale flood  
20 events. Section 3 describes the meteorological situation associated with the flood in June 2013  
21 and presents the results from the analysis of antecedent and event precipitation, initial river  
22 flow conditions and flood peak discharges. Detailed comparisons with the extreme summer  
23 floods of August 2002 and July 1954 are drawn. This section concludes with a sensitivity  
24 analysis of the procedure. In Section 4 we discuss the key findings and provide  
25 recommendations for future work. A map of geographical locations mentioned in the article  
26 can be found in the appendix as well as some additional information regarding sensitivities.

27

## 1    **2    Data and Methods**

### 2    **2.1    Data**

#### 3    2.1.1    Data base of large-scale floods

4    For the analysis of the meteorological and hydrological conditions prior to and during large-  
5    scale flood events in Germany and their relation to the climatological context, a consistent  
6    data base of precipitation and discharge data was compiled. For this, we considered a set of  
7    large-scale floods which had been first determined in a consistent way by Uhlemann et al.  
8    (2010) for the period from 1952 to 2002. In this study, we used an updated event set from  
9    1960 to 2009. These flood events are identified from daily mean discharge records at 162  
10    gauges in Germany by screening these time series for the occurrence of peak discharges  
11    above a 10-year flood and significant flood peaks at other gauges within a defined time  
12    window that accounts for the time shift between hydraulically coherent peak flows.  
13    According to Uhlemann et al. (2010), large-scale floods are characterized by a spatial extent  
14    of mean annual flooding which affects at least 10% of the river network considered in  
15    Germany. Applying this criterion, 74 large-scale floods are identified in the reference period  
16    1960-2009. For each flood we derive consistent samples for hydro-meteorological factors  
17    including antecedent and event precipitation, initial streamflow conditions and peak  
18    discharges. A compilation of hydro-meteorological factors and related data sources, their  
19    spatial and temporal resolution, and the methods applied is presented in Table 1.

#### 20    2.1.2    Meteorological data sets

21    For the triggering of large-scale floods the amount and spatial variability of precipitation are  
22    more important than the small-scale temporal variability. For this reason, we used 24-hour  
23    precipitation sums of REGNIE (regionalized precipitation totals) both for the reference period  
24    1960-2009 and for the single events 2013 (April-June) and 1954 (June-July). The data set,  
25    compiled and provided by the German Weather Service (Deutscher Wetterdienst, DWD), is  
26    interpolated from climatological stations to an equidistant grid of 1 x 1 km<sup>2</sup>. The interpolation  
27    routine considers several geographical factors such as altitude, exposition, or slope by  
28    distinguishing between background monthly climatological fields and daily anomalies (see  
29    Rauthe et al. (2013) for further details). In cases of convective or orographic precipitation,  
30    where a very high density of stations is required, it can be expected that REGNIE

1 underestimates the actual spatial variability of precipitation. However, since large-scale flood  
2 events are mainly driven by advective precipitation, this effect is of minor importance in the  
3 present study. Additionally, weather charts and sounding data are used to describe the  
4 characteristics of the atmosphere on the days with maximum rainfall.

### 5 2.1.3 Hydrological data sets

6 We use time series of daily mean discharges from 162 gauging stations operated by the water  
7 and shipment administration (WSV), the German Federal Institute of Hydrology (BfG) or by  
8 hydrometric services of the federal states. The same selection of gauges has been used by  
9 Uhlemann et al. (2010) to compile the set of large-scale flood events in Germany. These  
10 gauges provide continuous records since 1952 and have a drainage area larger than 500 km<sup>2</sup>.  
11 Basin areas vary from 521 km<sup>2</sup> to 159,300 km<sup>2</sup> with a median of 3,650 km<sup>2</sup> including a high  
12 percentage of nested catchments. For the flood in June 2013 raw data of daily mean  
13 discharges were available for 121 gauges mainly covering the central, southern and eastern  
14 parts of Germany which have been affected most by flooding.

15 Based on the procedure proposed by Uhlemann et al. (2010), the point observations of  
16 discharge peaks at the 162 gauges are regionalized to represent the flood situation in a  
17 particular river stretch and its associated catchment area. The regionalization scheme uses the  
18 location of the gauges and the hierarchical Strahler order (Strahler, 1957) which accounts for  
19 the branching complexity of the river network. A gauge is assumed as representative for an  
20 upstream river reach until the next gauge and/or the Strahler order of the river stretch  
21 decreases by two orders. In downstream direction, a gauge is representative until the Strahler  
22 order of the river changes by one order or a confluence enters the river which has the same  
23 Strahler order or one order smaller. The total length of the river network considered amounts  
24 to 13,400 km.

## 25 **2.2 Methods**

26 For the statistical analysis of the hydro-meteorological factors and their consistent comparison  
27 within the set of large-scale flood events, a clear event definition including its onset and  
28 duration is required. The start of an event determines the point in time for which we evaluate  
29 the different hydro-meteorological factors instantaneously (e. g. initial streamflow) forward  
30 (event precipitation, peak discharges) and backward in time (antecedent precipitation). Due to  
31 temporal dynamics of the precipitation fields across Germany, flood triggering precipitation

1 affects different catchment areas at different days. Therefore, we do not consider a fixed event  
2 start date for the whole of Germany, but one that may vary in space and time, that is, from one  
3 grid point to another or from one sub-catchment to another, respectively.

#### 4 2.2.1 Definition of event start dates

5 We considered two different definitions of the event start date. The first one is related to the  
6 onset of the large-scale floods compiled in the event set by Uhlemann et al. (2010). It  
7 considers the flood response in the spatial series of mean daily discharges recorded at 162  
8 gauges in Germany taking significant hydraulically coherent peak flows into account. The  
9 second is based on the maximum precipitation that triggers the floods. For this we quantify  
10 the highest 3-day precipitation totals (R3d) at each REGNIE grid point within a centered 21-  
11 day time window that spans from 10 days ahead to 10 days after the event start of a large-  
12 scale flood. The duration of the chosen time window considers the time lag which links flood  
13 triggering precipitation with discharge response (e. g. (Duckstein et al. 1993) and the travel  
14 times of flood waves along the river-course (e. g. Uhlemann et al. 2010). Considering the R3d  
15 totals excludes local scale convective precipitation, which is relevant for local or flash floods  
16 but not for large-scale floods (Merz and Blöschl, 2003).

#### 17 2.2.2 Event precipitation

18 The first day of the R3d period defines the meteorological event start for a given grid point.  
19 Depending on the space-time characteristics of the precipitation fields, these days will be  
20 more or less correlated for adjoined grid points. We have performed this analysis for  
21 maximum precipitation totals of 3 to 7 days duration and found that this variation does not  
22 imply considerable changes in the meteorological event start date. As shown in Fig. A2 in the  
23 Appendix the spatial pattern of the 7 day totals do not differ largely from the R3d patterns for  
24 the flood events investigated. Therefore we use R3d as a reasonable figure for the  
25 meteorological start date of event precipitation.

26 For the statistical evaluation of event precipitation, annual maximum 3-day precipitation  
27 totals are determined for the reference period from 1960 to 2009 and for the two events of  
28 1954 and 2013. Using extreme value statistics return periods are determined for the event-  
29 triggering R3d totals independently for each grid point.

### 1 2.2.3 Antecedent precipitation

2 The meteorological event starts (first day of maximum R3d) are used to calculate antecedent  
3 precipitation backward in time. We use the antecedent precipitation index (API) according to  
4 Köhler and Linsley, (1951) as a proxy for the wetness conditions in a catchment in the period  
5 before the event precipitation. The relation between surface soil-moisture content and  
6 different versions of the API was shown, for instance by Blanchard et al. (1981) or Teng et al.  
7 (1993). We quantify API over a 30-day period prior to the meteorological event start dates at  
8 each grid point for each event of the large-scale flood set. API is given by the sum of daily  
9 precipitation weighted with respect to the time span (here:  $m = 30$  days) of rainfall occurrence  
10 before the reference day:

$$11 \text{ API}(x, y) = \sum_{i=1}^{30} k^i R_i(x, y) (m - i), \quad (1)$$

12 where  $R_i(x, y)$  is the 24-hr total at a specific grid point  $(x, y)$  and  $i$  represents the day prior to  
13 the 3-day maximum, which ensures that event precipitation and antecedent precipitation are  
14 clearly separated. Usually a value between 0.8 and 0.98 is used for the depletion constant  $k$   
15 (Viessman and Lewis, 2002). The potentiation of  $k$  with the number of days  $i$  assigns  
16 continuously decreasing weights to rainfall that occurred earlier. This relation approximates  
17 the decrease of soil moisture due to evapotranspiration and percolation to deeper soil layers.  
18 In our study we selected a mean value of  $k = 0.9$ . For the statistical analysis of API and thus  
19 the calculation of return periods we use partial series which are derived using the  
20 meteorological event start dates identified for the 74 large-scale flood events in the period  
21 1960-2009.

### 22 2.2.4 Precipitation and wetness indices

23 To further evaluate the importance of the hydro-meteorological factors R3d and API and to  
24 rank their spatial extent and magnitude for the floods in June 2013, August 2002 and July  
25 1954 among the set of large-scale floods we introduce precipitation and wetness severity  
26 indices as aggregated measures:

$$27 S_X^k = \frac{1}{\Gamma} \sum_{i,j} \left\{ \frac{X_{i,j}^k}{X_{i,j}^{5\text{-yr RP}}} \right\} | X_{i,j}^k \geq X_{i,j}^{5\text{-yr RP}}, \quad (2)$$

28 where  $X$  is either R3d or API and 5-yr RP denotes the values for a 5-year return period. In this  
29 formulation, values of R3d and API, respectively, are considered at REGNIE grid points  $i, j$   
30 that exceed the 5-year return values. For each event  $k$  the sum of the ratios of R3d and API to



1 the 5-years return period are normalized with the mean area size  $\Gamma$  represented by the total  
2 number of REGNIE grid points in Germany.

### 3 2.2.5 Initial hydraulic load

4 To transfer the meteorological event start dates, possibly varying from grid cell to grid cell, to  
5 the discharge time series given at gauge locations, we need to spatially integrate and hence to  
6 average the event start dates for individual grid points within hydrological sub-basins. We use  
7 the sub-catchments of the 162 river gauges as spatial units. The resulting ‘areal mean’ dates  
8 per sub-catchment are used as the event start date for the hydrological analyses.

9 The streamflow situation at the beginning of the flood event provides information on the  
10 initial hydraulic load of the river cross section. An already increased discharge level may  
11 considerably strain the discharge capacity of a river section, and thus the superposition of the  
12 subsequent flood wave may increase the load on flood protection schemes and may aggravate  
13 inundations. For the statistical analysis of the initial streamflow conditions, we normalize the  
14 discharge values by calculating the ratio of the daily mean discharge on the event start date  
15 ( $Q_i$ ) and the mean annual flood (MHQ:= mean of annual maximum discharges) for each of  
16 the  $n = 162$  gauges. For each gauge a partial series is created by evaluating the ratio of  $Q_i$  and  
17 MHQ for the areal mean event start dates in the corresponding sub-catchment which are  
18 derived using the meteorological event start dates identified for the 74 large-scale flood  
19 events in the period 1960-2009.

20 Further, we introduce an initial load severity index representing the spatially weighted sum of  
21 the initial hydraulic load level in the river network for each event  $k$ :

$$22 \quad S_{Q_i}^k = \sum_n \left\{ \lambda_n \times \left( \frac{Q_i}{\text{MHQ}} \right)_n \right\} \mid \left( \frac{Q_i}{\text{MHQ}} \right)_n \geq \left( \frac{Q_i}{\text{MHQ}} \right)_n^{5\text{-yr } RP}, \quad (3)$$

23 where 5-yr RP denotes the flow ratio with a 5-year return period and the weights  $\lambda_n$   
24 correspond to the ratio of the river stretch length ( $l_n$ ) associated with a certain gauge and the  
25 total length of the river network:  $\lambda_n = \frac{l_n}{\sum_n l_n}$ .

### 26 2.2.6 Peak discharge

27 Peak discharge ( $Q_p$ ) is a key figure to characterize the magnitude of a flood at a specific  
28 location.  $Q_p$  is the integrated outcome of hydrological and hydraulic processes upstream of  
29 that location and provides important information for numerous water resources management

1 issues in particular flood estimation and flood design. For the statistical evaluation of the  
 2 observed flood peaks at each of the 162 gauges we use the annual maximum series (AMS) of  
 3 daily mean discharges. We evaluate the spatial flood extent and magnitude using an  
 4 aggregated measure of event severity. For this purpose we calculate the length of the river  
 5 network  $L$  for which during event  $k$  the peak discharge  $Q_p$  exceeds the 5-year return period:

$$6 \quad L^k = \sum_n \{ \lambda_n \times 100 \} | Q_{p_n}^k \geq Q_{p_n}^{5\text{-yr RP}}, \quad (4)$$

7 where 5-yr RP denotes the discharge with a 5-year return period and the weights  $\lambda_n$  are  
 8 defined as explained above. The flood severity index represents a weighted sum of peak  
 9 discharges  $Q_p$  normalized by a 5-year flood using  $\lambda_n$  as weights:

$$10 \quad S_{Q_p}^k = \sum_n \left\{ \lambda_n \times \frac{Q_{p_n}^k}{Q_{p_n}^{5\text{-yr RP}}} \right\} | Q_{p_n}^k \geq Q_{p_n}^{5\text{-yr RP}}. \quad (5)$$

### 11 2.2.7 Extreme value statistics

12 To calculate exceedance probabilities and return periods ( $T_n$ ) for the various hydro-  
 13 meteorological factors, i.e. R3d, API,  $Q_i$ /MHQ and  $Q_p$ , observed for the June 2013, August  
 14 2002 and July 1954 floods, we applied the classical generalized extreme value distribution  
 15 (Embrechts et al. 1997). Most appropriate and widely used in the case of precipitation is the  
 16 Fisher-Tippett type I extreme value distribution, also known as Gumbel distribution, with a  
 17 cumulative distribution function (CDF) of

$$18 \quad F(x) = \exp \left[ -\exp \left( -\frac{x-\beta}{\alpha} \right) \right], \quad (6)$$

19 where  $\alpha$  is the scale parameter affecting the extension in x-direction and  $\beta$  is the mode that  
 20 determines the location of the maximum. This distribution is also suitable to the  $Q_i$ /MHQ  
 21 samples. For the statistical analysis of  $Q_p$  we fit a generalized extreme value distribution to  
 22 the AMS of daily mean discharges. The CDF of the generalized extreme value distribution  
 23 has a function of

$$24 \quad F(x) = \exp \left\{ - \left[ 1 + \frac{\gamma(x-\zeta)}{\delta} \right]^{-1/\gamma} \right\} \quad (7)$$

25 where  $\delta$  is the scale parameter affecting the extension in x-direction,  $\zeta$  is a location parameter  
 26 and  $\gamma$  is a shape parameter.

## 1 **3 Results**

### 2 **3.1 Meteorological conditions**

3 Large-scale Central European floods are mainly caused by the interaction of upper-level  
4 pressure systems, associated surface lows and the continuous advection of moist and warm air  
5 over long distances. In 2013, the second half of the month of May was exceptionally wet  
6 across most of Central Europe due to the unusual persistence of an extended upper air low  
7 pressure system (trough; Fig. 1, left) that triggered several surface lows. The persistence of  
8 the quasi-stationary trough is reflected by a strong negative geopotential anomaly compared  
9 to the long-term mean (1979-1995) over France, Switzerland and north-western Italy (Fig. 1,  
10 right). This trough was flanked by two upper air high pressure systems over north-eastern  
11 Europe and the North Atlantic Ocean, which caused a blocking situation. Therefore, Atlantic  
12 air masses from the west were prevented from entering Central Europe. On the other side,  
13 warm and humid air masses were repeatedly advected from south-eastern Europe northwards  
14 and eventually curved into Germany and Austria.

15 The intense and widespread rain that finally triggered the 2013 flood occurred end of May/  
16 beginning of June. Responsible for the heavy rainfall was a cut-off low that moved slowly  
17 with its center from France (29 May) over northern Italy (30 May; Fig. 2a) to Eastern Europe  
18 (1 June; Fig. 2b). In the latter region, three consecutive surface lows were triggered by short-  
19 wave troughs that travelled around the cut-off low (CEDIM, 2013a). On the north-eastern  
20 flank of the upper low and near the secondary surface lows, warm and moist air masses were  
21 advected into Central Europe. (Grams et al. 2014) identified evapotranspiration from  
22 continental landmasses of central and Eastern Europe as main moisture source. Due to the  
23 significant horizontal pressure gradient in the lower troposphere that prevailed from end of  
24 May to the first days of June, there was a constant and strong northerly flow of moist and  
25 warm air which caused substantial rain enhancement on the northern side of the west-to-east  
26 oriented mountain ranges, e. g. the Alps, Ore Mountains, and Swabian Jura.

27 In summary, the combination of large-scale lifting at the downstream side of the troughs,  
28 orographically-induced lifting over the mountains, and embedded convection in the mainly  
29 stratiform clouds due to unstable air masses resulted in prolonged and widespread heavy  
30 rainfall.

31

## 1 **3.2 Precipitation**

2 Highest precipitation totals within the 30-day period prior to the flood event start dates can be  
3 observed between three and four days ahead of the flood event start date (indicated by the  
4 zero in Fig. 3), as shown by the time series of cumulated areal precipitation averaged over the  
5 upper Elbe (Fig. 3a) and Danube (Fig. 3b) catchments. Note that these characteristics are  
6 almost the same for the other two floods considered, 2002 and 1954, respectively. Especially  
7 for the Elbe catchment in May 2013, rain totals were high up to 17 days prior the event start,  
8 and higher compared to the other events (if the large totals 28 days ahead of the 2002 flooding  
9 are neglected). For the whole month of May 2013, the precipitation averaged over Germany  
10 was 178% of the long-term average for the period 1881-2012 (DWD, 2013). To better explain  
11 differences and similarities of the three flood events considered, we analyzed both maximum  
12 3-day precipitation totals (R3d) as event precipitation and precipitation in the month before  
13 the flooding in terms of API. In both cases, the quantities are calculated independently at each  
14 grid point of the REGNIE gridded precipitation data (see Sect. 2.2).

### 15 3.2.1 Event precipitation

16 Maximum 3-day totals (R3d) in 2013 show high values in excess of 60 mm over southern and  
17 eastern Germany (Fig. 4, left). The highest rain maximum with  $R3d = 346$  mm was observed  
18 at the DWD weather station of Aschau-Stein (31 May to 3 June 2013, 6 UTC), which is  
19 situated in the Bavarian Alps at an elevation of 680 m asl. This station also recorded the  
20 maximum 24-hr rain sum of 170.5 mm on 1 June 2013 (from 1 June 6 UTC until  
21 2 June 2013 6 UTC). On that day, peak rainfall was recorded at many other stations in the  
22 federal states of Bavaria, Saxony, and Baden-Württemberg. Overall, the R3d maxima were  
23 registered almost homogeneously between 30 May and 1 June 2013 (Julian day 152, Fig. 5  
24 left). At the upper reaches of Danube and Elbe (German part) the maxima occurred one day  
25 later. Over the very eastern parts, especially near Dresden and Passau, the temporal difference  
26 was even two days. This consecutive shift of the main precipitation fields in west-to-east  
27 direction, i.e. following the flow direction of the Danube, caused an additional amplification  
28 of the high-water peaks.

29 Even if the flood-related rainfall in 2013 was mainly driven by meso-scale processes such as  
30 uplift related to the troughs and advection of moist air masses, the R3d map suggests that  
31 additional orographically-induced lifting over the mountains increased the rain totals  
32 substantially. Highest rain sums occurred along the crests of the Ore Mountains (near

1 Dresden), the Black Forest and Swabian Jura (west and east of Stuttgart, respectively), the  
2 Alpine Foothills (south of Munich) and the Bavarian Alps. Overall, the rain enhancement  
3 over the low-mountain ranges estimated from the ratio between areal rainfall over the  
4 mountains and adjacent low-lands was between 200 and 310%. This substantial local-scale  
5 increase in precipitation can be plausibly explained by the characteristics of the air mass on  
6 the large-scale. First of all, the lifting condensation level (LCL), which represents the level of  
7 the cloud base in case of synoptic-scale or orographic lifting, was very low on the first three  
8 days of June as observed at the sounding stations at Munich, Stuttgart, Meiningen, and  
9 Kümmersbruck. The pressure levels were only around 920 hPa, i.e. near the surface (e. g. at  
10 Kümmersbruck the LCL was on average 924.7 hPa / 765 m asl). A low LCL ensures that a  
11 large amount of atmospheric moisture, which decreases almost exponentially with elevation,  
12 basically can be converted into rain. Furthermore, precipitable water (pw) as the vertical  
13 integral of the specific water vapor content was large with values of up to 26 mm. The  
14 sounding at Stuttgart, for example, measured a pw value of 25.9 mm (1 June 2013, 12 UTC),  
15 which is even above the 90% percentile ( $pw_{90} = 23.7$  mm) obtained from all heavy  
16 precipitation events between 1971 and 2000 at the same station according to the study of  
17 (Kunz, 2011). Together with high horizontal wind speeds between 20 and 75 km h<sup>-1</sup> (850 hPa;  
18 around 1.5 m asl) this led to a substantial increase of the incoming water vapor flux (Fwv).  
19 This quantity can be considered as an upper limit of the conversion of moisture into  
20 precipitation (Smith and Barstad, 2004; Kunz, 2011) Thus, the high Fwv values observed  
21 during the first days of June 2013 plausibly explain the substantial orographic rainfall  
22 enhancement over the mountains.

23 To relate the June 2013 precipitation event to the climatological context, we quantify  
24 statistical return periods based on REGNIE data for the period from 1960 to 2009. In Fig. 6  
25 (left), the return periods are displayed only in the range between 5 and 200 years. The  
26 estimated values of the return periods have been truncated to 200 years as statistical  
27 uncertainty substantially increases for larger return periods due to the short observation period  
28 of 50 years. Over the south-western parts of the Ore Mountains, the Swabian Jura and the  
29 very southern border of Bavaria, the return periods are in the range between 5 and 20 years.  
30 Only a limited number of grid points show peak values in excess of 100 or even 200 years, for  
31 example the aforementioned station of Aschau-Stein. Thus, one can conclude that the rainfall  
32 was unusually but not extraordinarily high, and hence cannot fully explain the dimension of  
33 the 2013 flood.

1 The most important rainfall characteristics that were decisive for the 2013 flood can be  
2 summarized as: (i) high - but not extraordinary - 3-day totals over parts of the Danube and  
3 Elbe catchments; (ii) substantial rainfall increase over the mountains that was decisive for the  
4 onset of the flooding; and (iii) areal precipitation occurring almost simultaneously with a  
5 slight temporal shift of two days between the western and eastern parts of Germany.

6 These meteorological conditions differ largely from those prevailing during the floods in 2002  
7 and 1954. Areal 3-day rain totals averaged over the upper Elbe catchment (Germany only,  
8 upstream of the confluence of Elbe and Saale) were 49.3 mm compared to 75.9 mm in 2002  
9 and 68.8 mm in 1954. Over the upper Danube catchment (Germany only), the mean areal rain  
10 was 75.7 mm compared to 62.5 and 111.2 mm in 2002 and 1954, respectively.

11 The most striking feature in 2002 was the extreme precipitation over the Ore Mountains  
12 reaching values of 312 mm in the 24 hours before 13 August 2002, 06 UTC, at the station of  
13 Zinnwald-Georgenfeld (Ulbrich et al. 2003). The R3d totals (Fig. 4, middle) show a larger  
14 area at the eastern parts of the Ore Mountains with values in excess of 300 mm. However,  
15 additional high rain totals were only observed at the southern border of Bavaria as well as  
16 over the Swabian Jura. This distribution is mainly caused by northerly flow in conjunction  
17 with a so-called Vb weather situation (Ulbrich et al. 2003). Comparable to the 2013 event,  
18 flood triggering precipitation occurred with a shift of 2 days between the southern and eastern  
19 parts of Germany that correspond to the Danube and Elbe catchments, respectively (Fig. 5,  
20 middle). Note that the regions with larger temporal differences in the occurrence of R3d  
21 maxima are not associated with high amounts of precipitation (see Fig. 4). Application of  
22 extreme value statistics to R3d totals yields return periods of more than 200 years for the  
23 maxima. Return periods around 100 years are estimated for the lowlands north of the Ore  
24 Mountains (Fig. 6, middle). Precipitation in that region also contributed to the large increase  
25 in runoff of the Elbe.

26 In 1954, most parts of Bavaria experienced 3-day accumulated rainfalls in excess of 150 mm  
27 (Fig. 4, right). This was even the case for the lowlands in the north of Bavaria. Near the Alps  
28 as well as over the western parts of the Ore mountains, R3d reached values of 300 mm or  
29 even more. These extreme totals recorded within a time shift of only one day (Fig. 5, right)  
30 correspond to statistical return periods of more than 200 years covering more than half of  
31 Bavaria (Fig. 6, right). Thus, considering only the observed precipitation directly prior to the

1 onset of the flooding, 1954 was certainly the most extreme event that occurred within the last  
2 60 years.

3 The same conclusions can be drawn when considering 7-day instead of 3-day maxima (see  
4 Fig. A2 in the Appendix). Of course, the rain totals increase for the longer accumulation  
5 period, for example over the Ore Mountains in 2013 or in Bavaria for 2002. The estimated  
6 return periods, especially in the Elbe and Danube catchments, are less affected by these  
7 changes – with the exception of an area in the North of Munich, where return periods in  
8 excess of 100 years can be identified for June 2013. Note that the high return periods for 7-  
9 day precipitation totals in June 2013 which are visible in North-West Bavaria are related to  
10 the Rhine catchment (see Fig. A1).

### 11 **3.3 Initial catchment state**

#### 12 3.3.1 Antecedent precipitation

13 In the next step, we assess initial catchment wetness by means of the antecedent precipitation  
14 index (API). This proxy is based on the starting date of R3d (day of the year shown in Fig. 5  
15 minus 3 days) and computed independently at each grid point of REGNIE. API reached high  
16 values between 100 mm and in excess of 150 mm over large parts of Germany, especially –  
17 and most importantly – over the catchments of Elbe and Danube (Fig. 7, left). At a large  
18 number of grid points, especially in the upper Elbe catchment, the return periods are between  
19 100 and 200 years, at some points even in excess of the latter (Fig. 8, left). Note that the  
20 maximum that occurred between Hannover and Magdeburg was related to considerable  
21 flooding at the Aller, Oker and Leine Rivers in the Weser catchment for which no discharge  
22 data were available. The high rain totals in the month of May, especially those at the end of  
23 May (recall the increasing weighting of rain totals in API with decreasing temporal distance  
24 to R3d), resulted in very wet catchments and filling of storage capacities and thus very  
25 favorable conditions for high runoff coefficients.

26 Regarding the initial moisture conditions, it is found that API was significantly lower prior to  
27 the floods in 1954 and 2002, respectively (Fig. 7). In both cases, high values of API up to 150  
28 mm can be observed only over parts of the Bavarian Alps related to orographic precipitation  
29 induced by northerly flow directions. Whereas in 2013 the maxima of API correspond well  
30 with those of R3d, this is not the case for the two other events. Especially over the Ore  
31 Mountains and north of it, where highest rainfall was observed, API was below 50 mm in

1 both cases, yielding return periods below 20 years at most of the grid points (Fig. 8). The  
2 same applies to the API in the Danube catchment in 1954. Both in 2002 and 1954 high API  
3 values indicate that the initial wetness was comparatively high, but in general not in those  
4 regions where the event precipitation was highest (compare Fig. 4 and Fig. 7). Apart from  
5 areal precipitation as described above, this is the major difference to the 2013 event.

### 6 3.3.2 Initial hydraulic load

7 As a consequence of the large amounts of rainfall accumulated during the month of May,  
8 reflected by the extended areas of high API, also the initial hydraulic load in the river network  
9 was already clearly increased at the beginning of the event precipitation in 2013. In general,  
10 the pattern of increased initial hydraulic load in the rivers shown in Fig. 9 (left) resembles the  
11 spatial distribution of high API values (Fig. 7, left). This mostly applies to the central and  
12 south-eastern parts of Germany. Most prominent in this regard were the Saale River and its  
13 tributaries Wipper and Bode in the western part of the Elbe catchment with an initial flow  
14 ratio above 0.8 of MHQ. The Rhine, upper Main, Danube, with tributaries Naab and Isar and  
15 the Werra River were also affected. Note that for many gauges in the Weser and lower Rhine  
16 catchments no discharge data have been available for the June 2013 flood (see Fig. A1 in the  
17 Appendix for geographic locations).

18 In comparison, for the August 2002 and July 1954 floods the initial hydraulic load of the river  
19 network was clearly lower with few exceptions (Fig. 9). In August 2002, basically the Danube  
20 and its tributaries Inn, Isar, Lech and Regen showed a noticeable increase of initial river  
21 discharge (ca. 0.5 of MHQ). These catchments showed also high API values. Similarly, at the  
22 beginning of the July 1954 flood increased river discharges of about 0.4 to 0.8 of MHQ for  
23 the Danube and its southern tributaries are visible. Also the middle and upper parts of the  
24 Rhine show increased initial hydraulic loads in this range. The lower coincidence of regions  
25 of increased initial hydraulic load with regions of increased API for the July 1954 flood  
26 (compare Fig. 7 and Fig. 9) suggests that the increased initial hydraulic load particularly along  
27 the Rhine was induced by different mechanisms than high amounts of antecedent  
28 precipitation, presumably due to snow-melt in the alpine headwaters of the Rhine.

29 From the statistical extreme value analysis applied to the  $Q_i$ /MHQ samples at each gauge we  
30 obtain an estimate for the return period of the specific initial river flow situation for the June  
31 2013, August 2002 and July 1954 floods. The results presented in Fig. 10 show that for the  
32 June 2013 flood the initial flow ratios observed in central Germany, in particular at the upper



1 Main (Rhine catchment), Werra (Weser catchment), Wipper, Saale, Weiße Elster, Mulde  
2 (Elbe catchment) and Naab and Vils (Danube catchment) exhibit return periods in the range  
3 of 10 to 50 years, in some river stretches even above 100 years. For the events in August 2002  
4 and July 1954 comparable extremes are only observed for few river stretches in the Danube  
5 catchment including the Regen, upper Isar, Ilz, Inn and Salzach Rivers in 2002 and the upper  
6 Iller, Lech and Isar Rivers in 1954.

7 The initial hydraulic load of the river network (13,400 km) was clearly increased in June 2013  
8 given the comparison to other large-scale flood events from the last 50 years. Hence, the  
9 aggravating effect of increased initial hydraulic load was stronger in June 2013 than in August  
10 2002 and July 1954. However, extraordinarily high initial flow ratios occurred only in some  
11 river stretches, namely the Saale River and its tributaries.

### 12 **3.4 Peak flood discharges**

13 In June 2013, 45% of the total river network considered in Germany showed peak discharges  
14 above a 5-year flood. As can be seen in Fig. 11 (left), all major catchments showed flooding,  
15 namely the Weser, Rhine, Elbe and Danube catchments. Particularly the Elbe and Danube  
16 Rivers and many of their tributaries were affected by extraordinarily high flood levels. In the  
17 Elbe catchment, flood peak discharges exceeded a return period of 100 years along the whole  
18 Elbe stretch between Dresden and Wittenberge, the Mulde, and the tributaries of the Saale  
19 River, Weiße Elster and Ilm. In the Danube catchment, the section of the Danube downstream  
20 of Regensburg as well as the Inn and Salzach Rivers experienced peak discharges with return  
21 periods above 100 years. In addition, the Isar, Naab and Iller Rivers showed flood peaks  
22 above 50-year return periods. Further, in the Rhine catchment, the Neckar and parts of the  
23 Main as well as the Werra River in the Weser catchment experienced peak discharges above  
24 the 50-year return period. New record water levels were registered at the Elbe between  
25 Coswig and Lenzen (along a total length of 250 km), at the Saale downstream of Halle, and at  
26 the Danube in Passau. Severe flooding occurred especially along the Danube and Elbe Rivers,  
27 as well as along the Elbe tributaries Mulde and Saale, in most cases as a consequence of dike  
28 breaches. It is remarkable that large parts of catchments affected by flooding did not receive  
29 exceptional amounts of rain (see Fig. 4). In particular, this applies to the upstream parts of the  
30 Saale, Werra and Main catchments. However, these regions show high amounts of antecedent  
31 precipitation and substantial initial hydraulic load.

1 The August 2002 and July 1954 floods show peak discharges in the order of 100 year return  
2 periods at the Elbe between Dresden and Wittenberg, in parts of the Mulde, Regen and  
3 Mindel and of 50 years at the Freiberger and Zwickauer Mulde and the Elbe downstream of  
4 Wittenberg to Wittenberge, (see Fig 11, middle and right panels). In July 1954 return periods  
5 of 100 years occurred at the Weiße Elster and Mulde in the Elbe catchment and the Isar, Rott  
6 and Inn in the Danube catchment. Flood peaks with a return period of 50 years were observed  
7 at the Danube downstream Regensburg, the Naab, Inn and Salzach as well as the upper Isar  
8 Rivers. However, as can be seen in Fig. 11 (middle and right), the river stretches with high  
9 magnitude flood peaks are clearly less extended in August 2002 and July 1954: the index L  
10 describing the spatial flood extent amounts to 19% in August 2002, 27% in July 1954 and  
11 45% in June 2013 (see Fig. A1 in the Appendix for geographic locations).

12 The major differences of the flood in June 2013 in comparison to August 2002 and July 1954  
13 are that the Elbe, the Mulde and the Saale Rivers were affected simultaneously by  
14 extraordinary flooding which by superposition of flood waves resulted in unprecedented flood  
15 levels particularly in the middle part of the Elbe. Further, nearly all tributaries of the Danube  
16 showed flood responses and jointly contributed to the record flood along the Danube  
17 downstream of Regensburg. Also the Rhine and Weser catchments were considerably affected  
18 even though the magnitude of the peak discharges was not as extreme as in the Elbe and  
19 Danube catchments.

### 20 **3.5 Index based classification**

21 We evaluate the importance of the individual hydro-meteorological factors within the  
22 different flood events using the severity indices introduced in section 2.3. The precipitation-,  
23 wetness-, initial hydraulic load- and flood severity indices enable us to compare the 74 past  
24 large-scale flood events with regard to the spatial extent and magnitude of each hydro-  
25 meteorological factor. This allows for the identification of singularities in terms of extreme  
26 situations associated with individual events. The index values for the June 2013, August 2002  
27 and July 1954 events are listed in Table 2.

28 Among these events, the June 2013 flood is characterized by the highest wetness, initial  
29 hydraulic load and flood severity indices which are more than twice the values of the August  
30 2002 flood and with regard to wetness more than five times the value of the July 1954 flood.  
31 In contrast, the precipitation index of July 1954 exceeds the value of June 2013 by a factor of

1 three and is nearly twice as high as for the August 2002 event. These proportions emphasize  
2 the prominent role of extreme antecedent precipitation and increased initial hydraulic load in  
3 the river network as key factors for the formation of the extreme flood in June 2013.

4 Fig. 12 shows a scatterplot of the precipitation and wetness indices of the 74 past large-scale  
5 floods in Germany. The June 2013 flood is the most extreme in terms of the wetness index,  
6 whereas the July 1954 flood is by far the most extreme in terms of the precipitation index. To  
7 explore the relationship between precipitation and wetness indices as flood drivers and the  
8 flood severity index as dependent variable, we apply a locally-weighted scatter plot smooth  
9 (LOWESS) model (Cleveland, 1979). For this locally weighted linear least-squares  
10 regression, the tri-cube weight function and a span of 50% are used. The span specifies the  
11 percentage of data points that are considered for estimating the response value at a certain  
12 location. The performance of the LOWESS model to explain the variation of flood severity is  
13 expressed in terms of Root Mean Square Error (RMSE) which can be interpreted as the  
14 standard deviation of unexplained variance.

15 The inclined orientation of the response surface indicates that both precipitation and wetness  
16 are equally relevant factors to explain resulting flood severity. According to this model, flood  
17 severity index values above around 0.5 (normalised values) increase approximately  
18 proportionate with precipitation and wetness severity. However, both the concave shape of the  
19 response surface, visible for precipitation and wetness index values below 0.5 (normalized  
20 values), and the moderate performance of the LOWESS model to explain variability of flood  
21 severity (RMSE = 13.2) suggest that additional factors and characteristics influence this  
22 relationship. The spatial variability and the corresponding degree of areal overlaps of the  
23 factors as well as other hydrological processes, for instance snow melt or seasonal variations  
24 in base flow, play a role in this regard.

### 25 **3.6 Sensitivity Analysis**

26 To check the robustness of our evaluation of the flood in June 2013, it is important to revisit  
27 the specifications of parameters of the methodology. Besides, depending on the focus of the  
28 analysis the use of different return periods as reference levels for the assessment of severity  
29 may be of interest. We examine the implication of varying duration of event precipitation and  
30 antecedent precipitation index period as well as different values for the depletion constant for  
31 the calculation of API, as well as different return periods as reference levels for the

1 calculation of severity indices following a one-at-time sensitivity analysis design (Saltelli et  
2 al. 2000). The scenarios examined are listed in Table 3. To assess the implications of these  
3 variations on the evaluation of the flood events, we are interested in the changes in the  
4 ranking of the flood events with regard to different severity indices. For this purpose, we  
5 compare the reference set-up which has been used to introduce the methodology to the  
6 outcomes from the different variations in terms of Spearman's rank correlation coefficient ( $\rho$ ).  
7 Lower rank correlations mean larger differences in the outcomes and indicate a larger  
8 sensitivity to these variations.

9 The implications of these variations are moderate. The correlation coefficients between the  
10 reference scenario and these variations are above 0.83 (see Fig. 13). The most sensitive  
11 variations are related to changing the return periods used as reference level for the calculation  
12 of flood severity and wetness severity indices to 25 years (S25a and W25a). This is followed  
13 by duration of event precipitation (R7d) and increasing the depletion constant, i.e. the weight  
14 of earlier precipitation within the calculation of API (API k0.98). We track the implications  
15 on the outcomes of the LOWESS model for these variations (see Fig. 12 bottom left and right  
16 panels for R7d and API k0.98). Further, we examine the changes in LOWESS model outcome  
17 for the variation of return periods used as reference level for the calculation of severity  
18 indices, i.e. 10-years and 25-years. Increasing the return period used as reference level for the  
19 calculation of severity indices, implies a reduced range of precipitation or discharge  
20 observations, and hence, an increased focus on local extremes. For the 25-years level this  
21 leads to a pronounced clustering of precipitation and wetness index values below 5 (not  
22 shown). Exceptions are the floods in July 1954, August 2002 and June 2013. Using a 10-years  
23 return period as reference level the scattering of data points is also low resulting in a less  
24 well-defined model for precipitation indices below 0.3 (normalized values) and wetness  
25 indices below 0.2 (normalized values, Fig. 12 top right panel). The interpolated surface  
26 indicates a stronger inclination towards the wetness index which suggests that flood severity  
27 increases disproportionately with catchment wetness. Varying the duration of event  
28 precipitation to seven days (R7d) shifts the attention to events which are more related to west  
29 cyclonic circulation patterns, and thus is rather associated with winter floods (Beurton and  
30 Thielen, 2009) but also with the autumn flood in October 1998 (Uhlemann et al. 2014).  
31 Accordingly, the October 1998 flood yields the highest precipitation severity index in Fig. 12  
32 (bottom left panel). The increase of the depletion coefficient  $k$  within API corresponds to an  
33 almost equally weighting of the precipitation over the antecedent precipitation period. As a

1 result three floods achieve higher wetness indices than the flood in June 2013 even though the  
2 flood severity of these events is clearly lower. According to the resulting LOWESS model  
3 interpolation (see Fig. 12 bottom left panel) the importance of catchment wetness for flood  
4 severity is reduced.

5 Overall, across the variation scenarios examined the relationship between precipitation and  
6 wetness indices as flood drivers and the flood severity index as dependent variable is largely  
7 comparable. The floods of July 1954, August 2002 and June 2013 remain among the most  
8 severe events and mainly determine the shape of the LOWESS model response surface in the  
9 region of high severity indices. Hence, the main finding of the index-based classification  
10 which points out that both precipitation and wetness are equally relevant factors to explain  
11 flood severity remains valid.

## 12 **4 Conclusions**

13 This study provides new insights into the characteristics of hydro-meteorological factors that  
14 caused the flood in June 2013 and presents a statistical evaluation of the associated return  
15 periods. The data-based approach further comprises aggregated index values which consider  
16 both the spatial extent and magnitudes of the different hydro-meteorological factors and  
17 allows for the comparison to past and future large-scale flood events. The results of this  
18 analysis proved robust against variations in parameters within the calculation procedure. The  
19 large-scale flood data base and the methodological framework developed enable the rapid  
20 assessment of future floods based on precipitation and discharge observations.

21 The results illustrate that the sequence of prevalent circulation patterns in May 2013 put an  
22 important boundary condition for the extraordinary precipitation anomaly observed. For this  
23 flood, diverse hydro-meteorological factors showed exceptional characteristics. First, the  
24 development of event precipitation and in particular the substantial orographic rainfall  
25 enhancement was driven by a very low lifting condensation level in combination with high  
26 amounts of precipitable water in the atmosphere. This was continuously sustained by the  
27 strong influx of high water vapor resulting from a strong and persistent flow of air from the  
28 north to north-east. Second, during the weeks before the onset of the flood, enormous  
29 amounts of antecedent precipitation occurred over large parts of Germany. As the areas of  
30 high antecedent and event precipitation were amply overlapping, the wet initial conditions  
31 strongly intensified the runoff response to event precipitation. Hence, particularly the large  
32 areal superposition and interplay of event precipitation and wet initial catchment conditions

1 turns out as key drivers for the exceptional hydrological severity of the flood in June 2013. In  
2 the Saale catchment the increased initial hydraulic load in the river network has been an  
3 additional aggravating factor. In the Danube, the movement of the event precipitation field  
4 from west to east, i.e. following the streamflow direction, amplified the superposition of the  
5 flood waves from the tributaries. Third, the spatial extent of high magnitude flood peaks  
6 marks a new record for large-scale floods in Germany for at least the last sixty years and set  
7 new record water levels along extensive river sections in Germany.

8 In comparison, the flood in August 2002 was triggered in Germany by extremely intense  
9 precipitation which was relatively localized in the Ore Mountains. Initial wetness showed  
10 considerably high values in some parts of Germany but these areas did not coincide largely  
11 with event precipitation. The flooding in July 1954 was for the main part caused by  
12 exceptional amounts of event precipitation affecting large parts of Bavaria. In comparison to  
13 August 2002 and June 2013, initial wetness was a less important factor in Germany.  
14 However, at the Northern ridge of the Alps initial wetness contributed to flood generation in  
15 the Salzach and Inn Rivers (Blöschl et al., 2013).

16 Our results show that the influence of catchment wetness is a considerable factor for high-  
17 return period, large-scale floods in Germany. In this regard we support the hypothesis that  
18 hydrological extremes are rather a consequence of unusual combinations of different hydro-  
19 meteorological factors than of unusual magnitudes of the factors themselves as stated by  
20 (Klemes, 1993). Using the knowledge gained about the characteristics, the range of  
21 magnitudes and interactions of the various hydro-meteorological factors associated with  
22 large-scale floods from the past 60 years, we can advance the derivation of plausible extreme  
23 scenarios. In this regard, the data base compiled for large-scale floods in Germany may be  
24 analysed concerning the possibilities of coinciding extremes of individual hydro-  
25 meteorological factors as for instance the combination of initial wetness observed in June  
26 2013 and event precipitation as in July 1954. Of course, the development of such scenarios  
27 requires an in-depth analysis of synoptic meteorological situations and the corresponding  
28 transition of related weather conditions. The hydrological evaluation of such extreme  
29 scenarios could provide new insights for large-scale flood hazard assessment, planning  
30 scenarios for national disaster response, spatial risk as well as cumulated flood losses. These  
31 insights may find further use in advanced approaches for flood frequency analysis and design  
32 flood estimation (e. g. Merz and Blöschl, 2008; Paquet et al. 2013).

1

## 2 **Appendix**

3 Figure A1: Outline map of referred geographic locations

4 Figure A2: 7 day maximum precipitation and return periods for June 2013, August 2002 and  
5 July 1954

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23

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1 **Table 1: Data sources, resolution and analysis methods for hydro-meteorological**  
 2 **parameters.**

Hydro-meteorological factors		Data source	Spatial resolution	Temporal resolution	Analysis/ classification	
Precipitation		REGNIE DWD <sup>1)</sup>	1 km <sup>2</sup>	Daily	Maximum 3-day totals R3d. extreme value statistics based on annual series	
				Event-based	Precipitation index for all large-scale floods	
Initial catchment state	Antecedent precipitation index API	REGNIE DWD <sup>1)</sup>	1 km <sup>2</sup>	Daily	API quantification 30 days ahead of R3d; extreme value statistics based on partial series conditional on past flood events	
				Event-based	Wetness index for all past flood events	
		Ratio of initial river flow to mean annual flood	Discharge gauges BfG <sup>2)/WSV<sup>3)</sup> and hydrometric services of federal states</sup>	Point information; 162 gauges and related sub-basins	Daily mean	Extreme value statistics based on partial series conditional on past flood events
				Event-based	Initial hydraulic load index for all past flood events	
Peak flood discharge		Discharge gauges BfG <sup>2)/WSV<sup>3)</sup> and hydrometric services of federal states</sup>	Point information; 162 gauges and related sub-basins	Daily mean	Extreme value statistics based on annual maximum series	
				Event-based	Flood severity index for all past flood events	

<sup>1)</sup>German Weather Service, <sup>2)</sup>German Federal Institute of Hydrology,

<sup>3)</sup>Water and Shipment Administration

1 Table 2: Severity indices for June 2013, August 2002 and July 1954 floods.

Index	June 2013	August 2002	July 1954
Precipitation index ( $S_{R3d}$ )	16.9	30.1	55.2
Wetness index ( $S_{API}$ )	114.1	47.3	21.1
Initial hydraulic load index ( $S_{Qi}$ )	12.7	6.0	6.1
Flood severity index ( $S_{Qp}$ )	74.6	35.4	49.8

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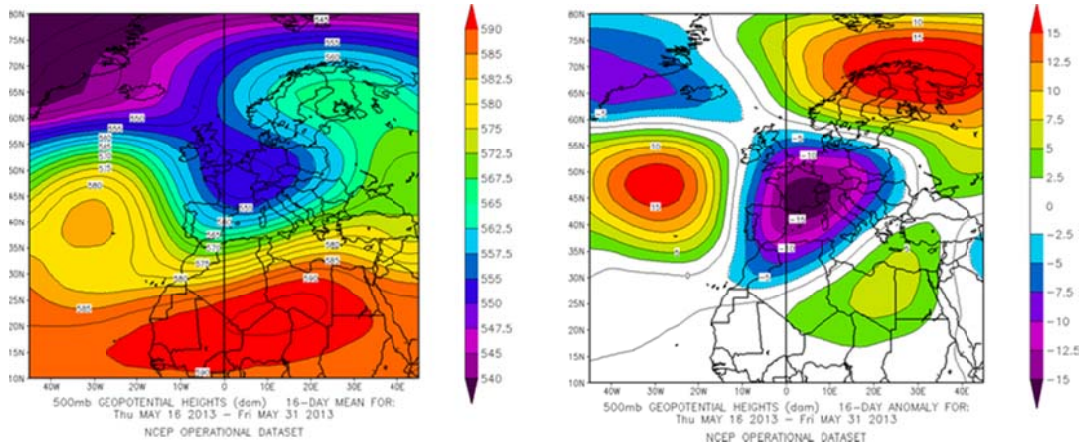
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1 Table 3: Variation scenarios examined within sensitivity analysis

Scenario	Code	Reference	Variation
Duration event precipitation	R7d	3 days	7 days
Duration antecedent precipitation	API15	30 days	15 days
Depletion constant API	API k0.8	k = 0.9	k = 0.8
	API k0.98	k = 0.9	k = 0.98
Return period reference level flood severity	S10a	5 years	10 years
	S25a	5 years	25 years
Return period reference level precipitation severity	P10a	5 years	10 years
	P25a	5 years	25 years
Return period reference level wetness severity	W10a	5 years	10 years
	W25a	5 years	25 years
Return period reference level initial hydraulic load severity	I10a	5 years	10 years
	I25a	5 years	25 years

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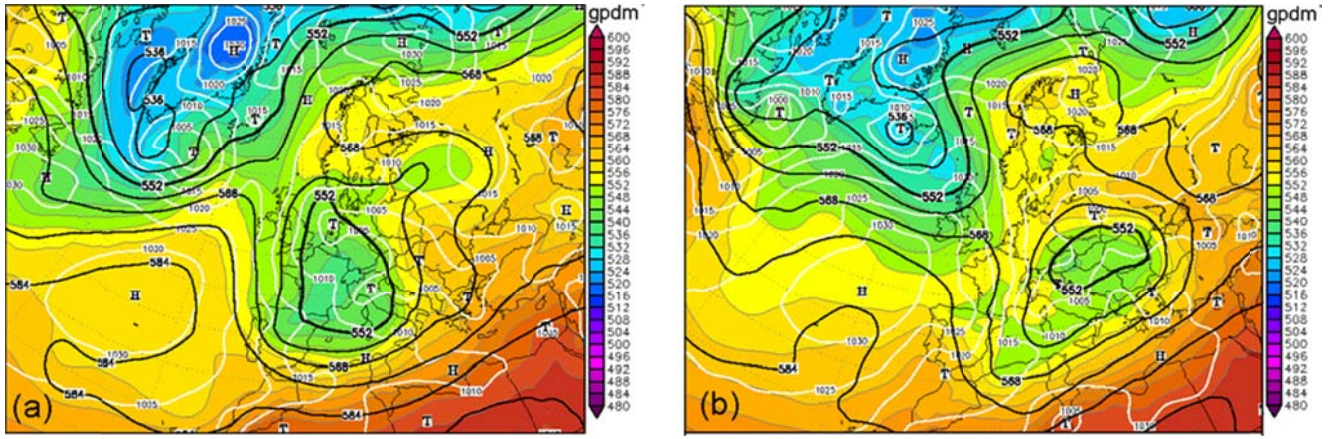
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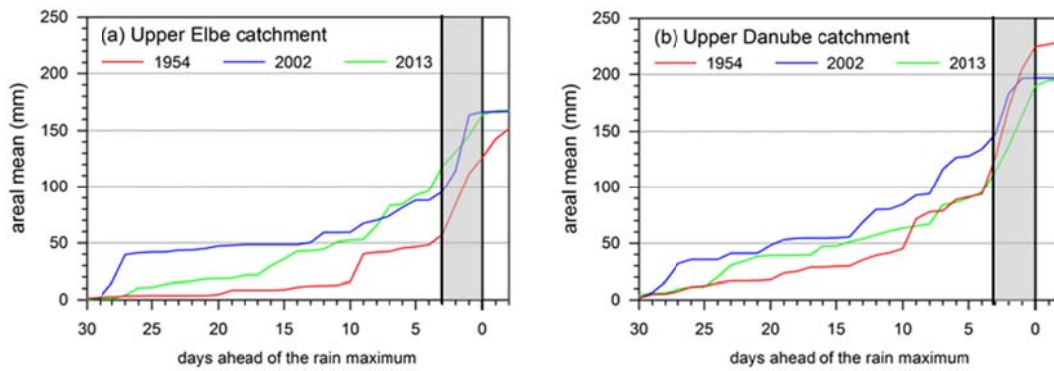
Figure 1: 500 hPa geopotential height, 16-day mean for 16 – 31 May 2013 (left) and anomaly in respect to the climatology based on 1979-1995 (right). Credit: Data/image provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA, from their Web site at <http://www.esrl.noaa.gov/psd/> (accessed 4 April 2014)





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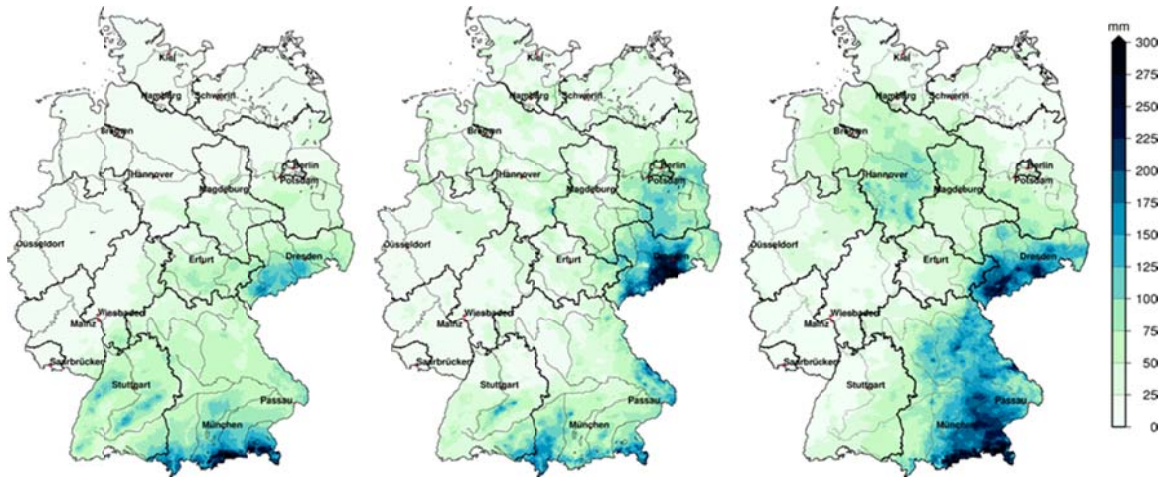
Figure 2: Weather charts for 30 May (a) and 01 June 2013(b) 00 UTC with analysis of 500 hPa geopotential height (black lines), surface pressure (white lines) and 1000/500 hPa relative topography (colors) from the Global Forecast System (GFS). Image credit: wetter3.de (Accessed 7 May 2014).



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2 Figure 3: Time series of cumulated areal mean precipitation for the upper Elbe catchment in  
 3 Germany up to the inflow of the Saale River (area: 63,171 km<sup>2</sup>; a) and for the upper Danube  
 4 catchment in Germany (area: 51,379 km<sup>2</sup>; b). The x-axis marks the days prior to the 3-day  
 5 maximum precipitation totals. Event precipitation is highlighted in grey.

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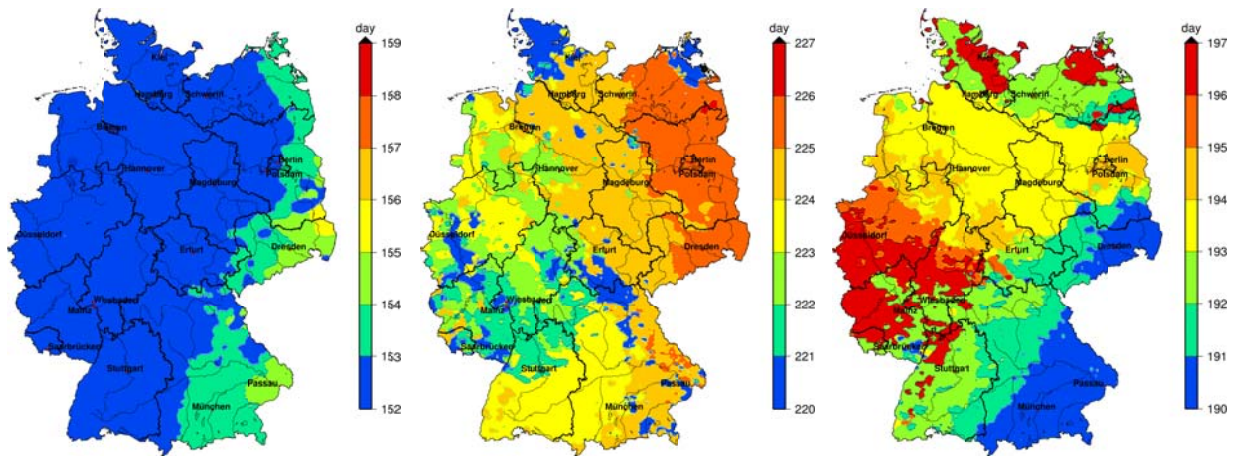


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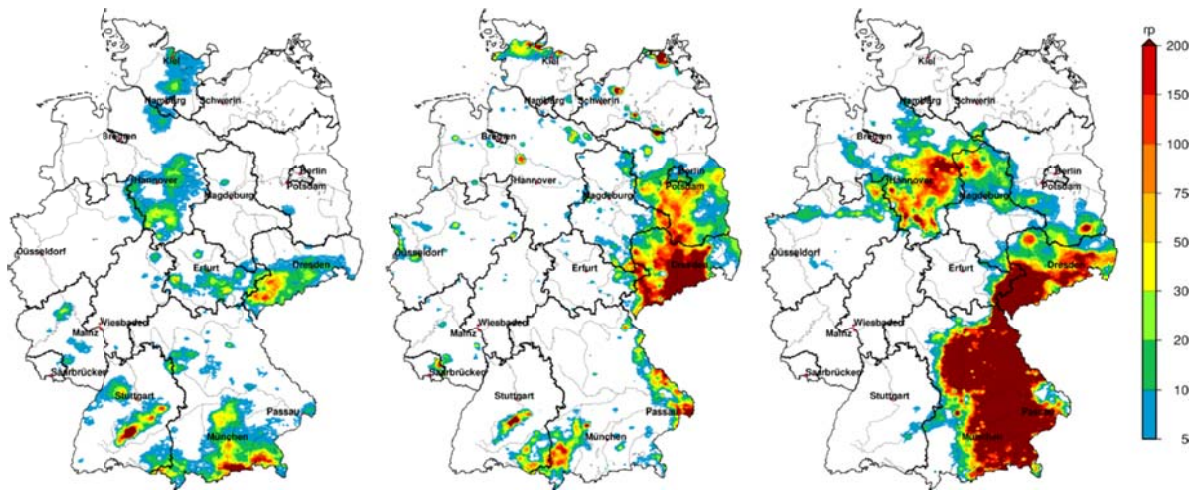
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3 Figure 4: The 3-day maximum precipitation according to REGNIE data sets for June 2013  
 4 (left), August 2002 (middle) and July 1954 (right).

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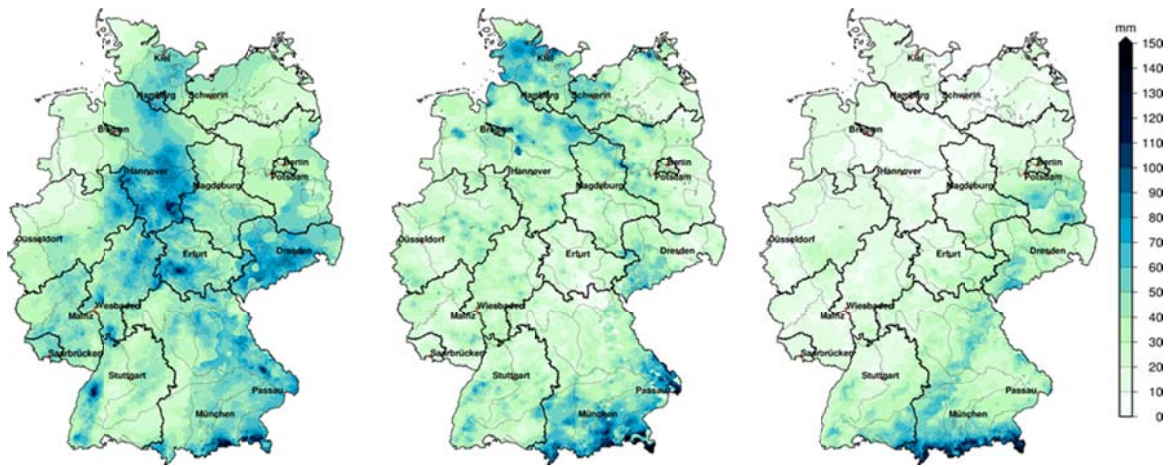
1 Figure 5: Day of the year at each REGNIE grid point where the event related maximum R3d  
 2 total according to Figure 4 occurred (end of the 3-day total) for June 2013 (left), August 2002  
 3 (middle), and July 1954 (right). The day 152 corresponds to 1 June, 220 to 8 August, and 190  
 4 to 9 July. The indicated days refer to the end of R3d.  
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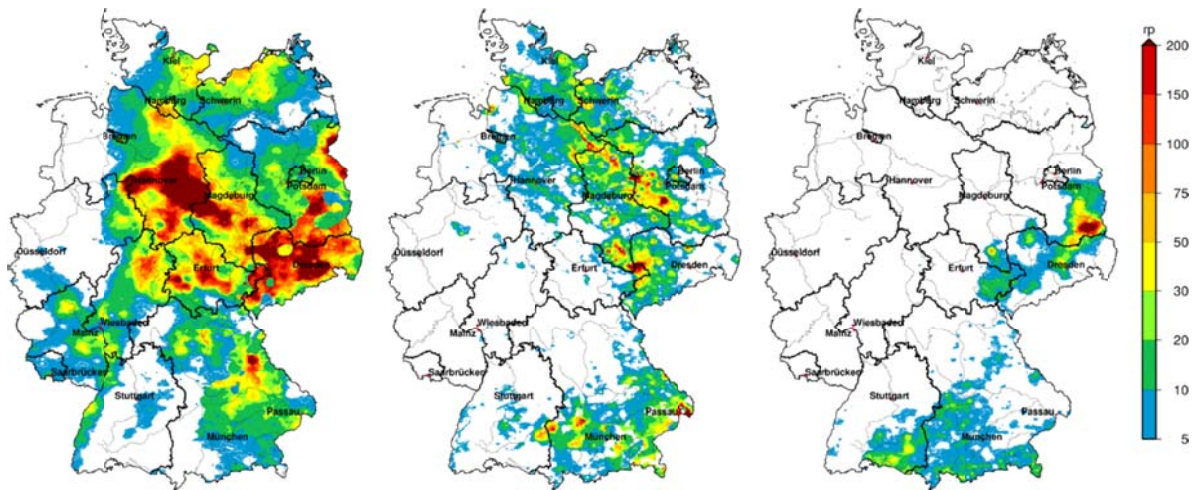
2 Figure 6: Return periods of 3-day maximum precipitation for each REGNIE grid point  
 3 derived from data of the period from 1960 to 2009 for the corresponding rain totals displayed  
 4 in Figure 4: June 2013 (left), August 2002 (middle), and July 1954 (right).

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Figure 7: Antecedent Precipitation Index API over 30 days for the floods in June 2013 (left), August 2002 (middle), and July 1954 (right). See text for further details.

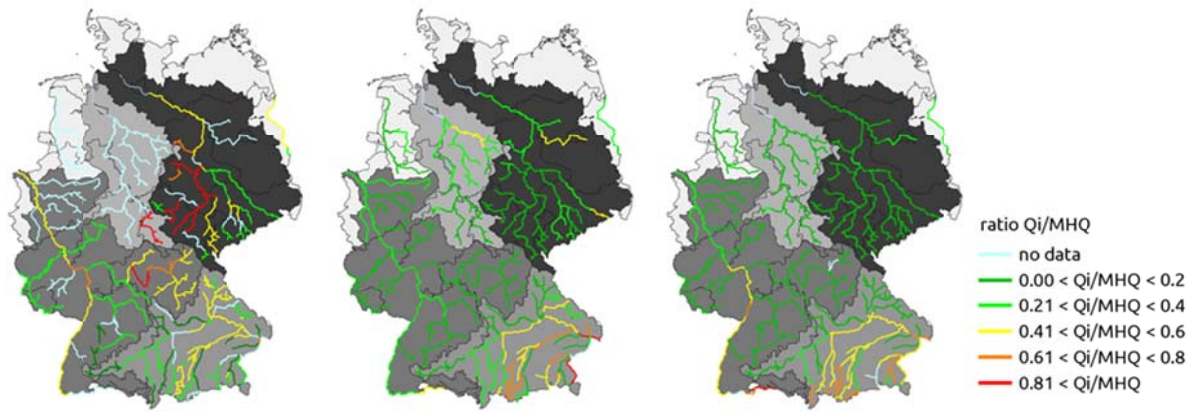


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3 Figure 8: Return periods of the API displayed in Figure 7 derived from 30-day API of large-  
 4 scale floods in the period from 1960 to 2009: June 2013 (left), August 2002 (middle), and  
 5 July 1954 (right).

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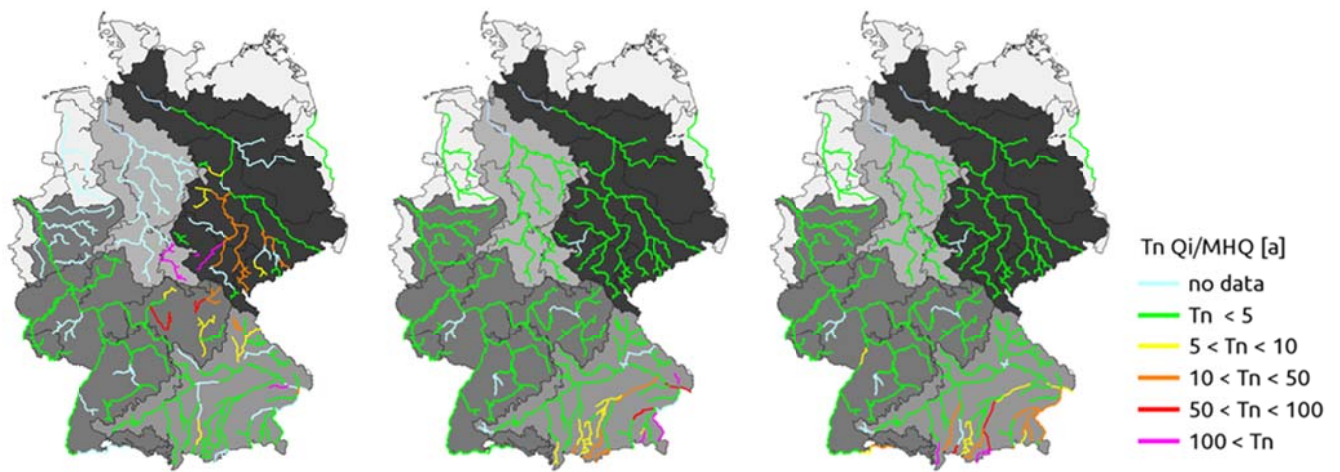
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3 Figure 9: Initial flow ratio at meteorological event start  $Q_i$  normalized for MHQ (calculated  
 4 from AMS 1950-2009) for June 2013 (left), August 2002 (middle), and July 1954 (right).

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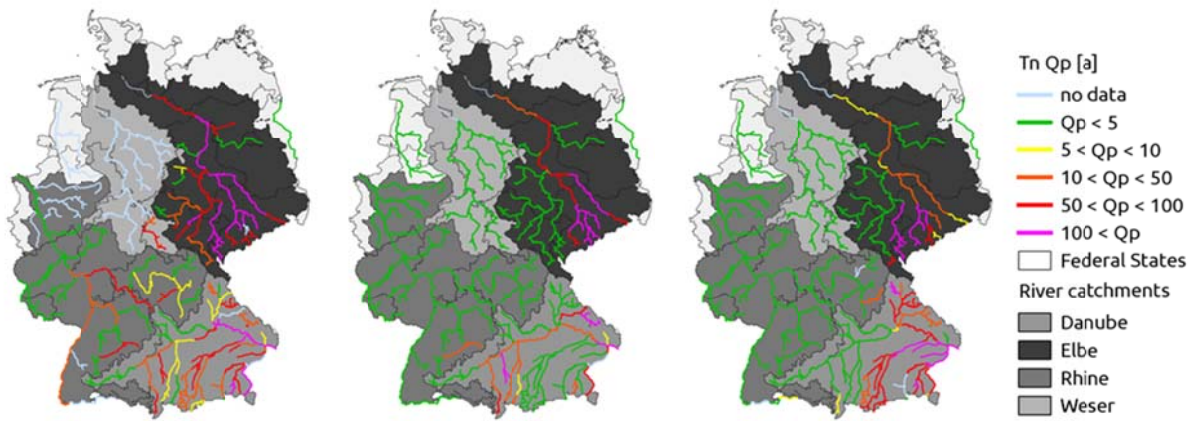




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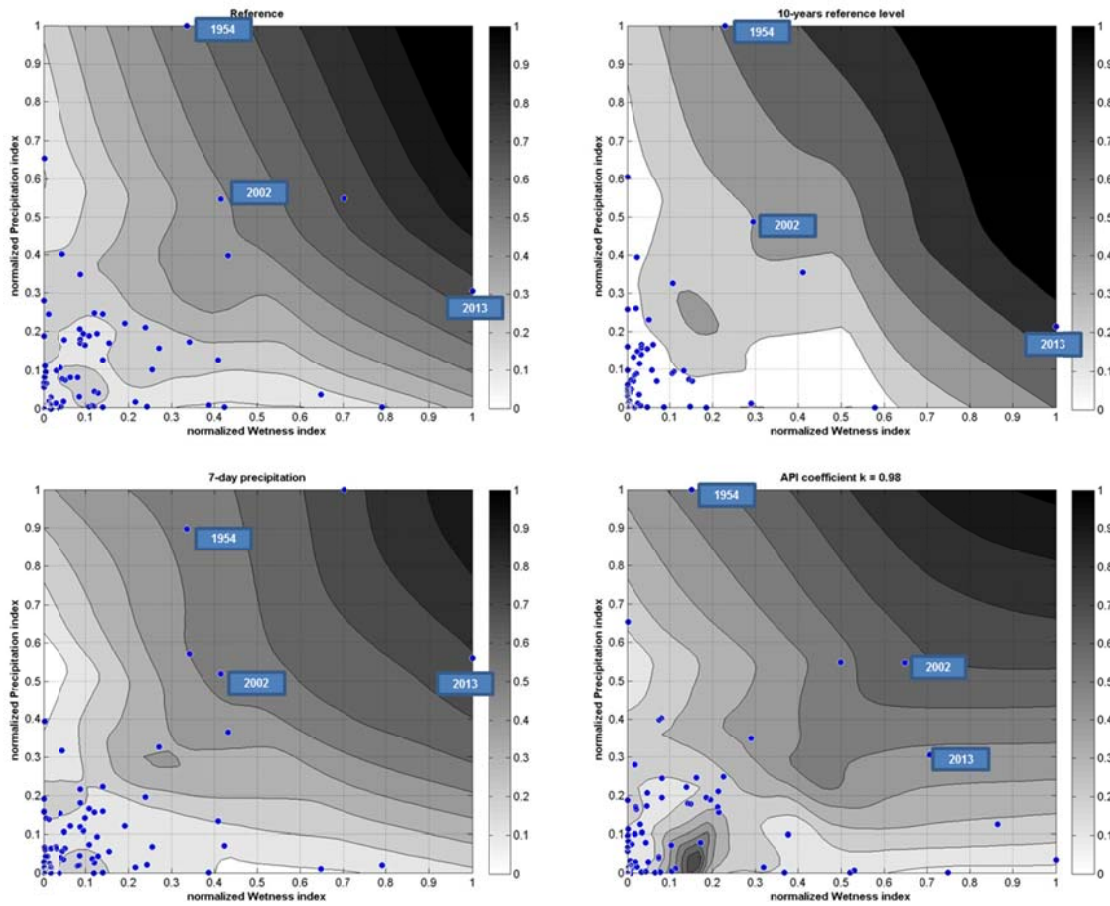
2 Figure 10: Return periods of initial flow ratio at meteorological event start ( $Q_i$  normalized for  
 3 MHQ) derived from  $Q_i/MHQ$  ratios of large-scale floods in the period from 1969 to 2009:  
 4 June 2013 (left), August 2002 (middle), and July 1954 (right)

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2 Figure 11: Regionalized return periods ( $T_n$ ) of flood peak discharges for June 2013 (left),  
 3 August 2002 (middle), and July 1954 (right). Gauge data were made available by the Water  
 4 and Shipping Management of the Fed. Rep. (WSV) prepared by the Federal Institute for  
 5 Hydrology (BfG) and environmental state offices of the federal states.

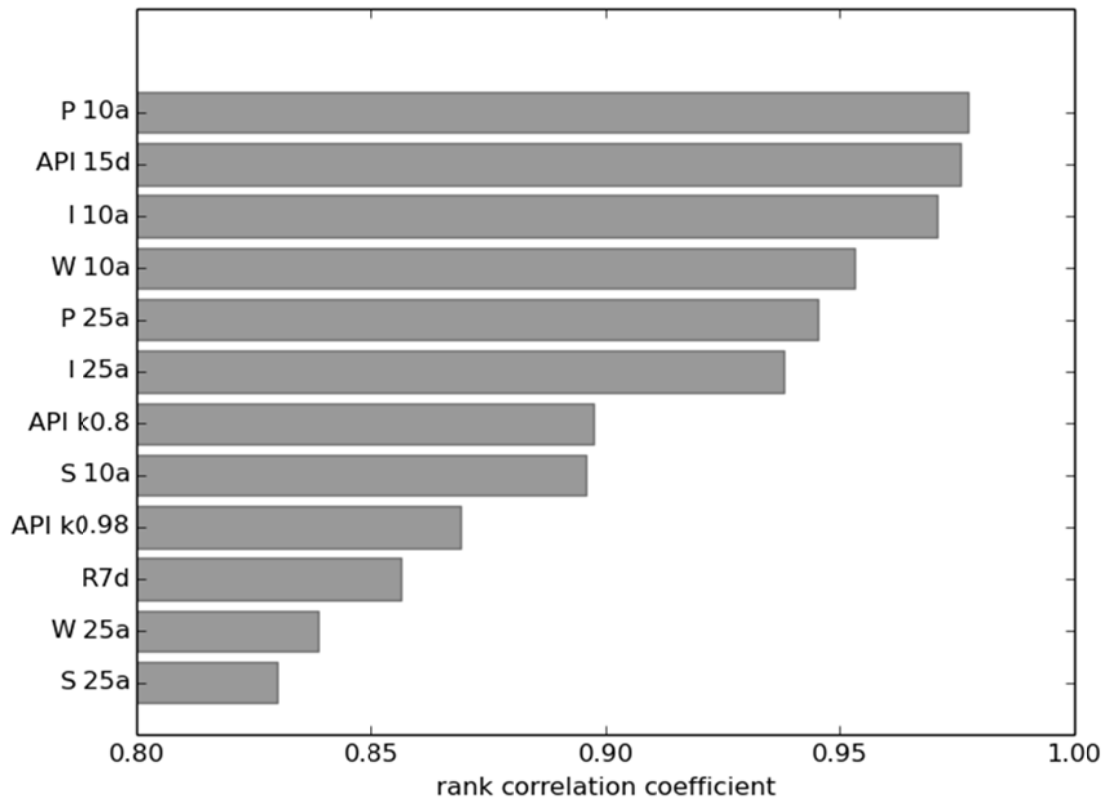


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2 Figure 12: Locally-weighted scatter plot smooth (LOWESS) for the relationship between  
 3 precipitation and wetness indices as predictors for the flood severity index (grey color code)  
 4 of past large scale flood events in Germany. Top left: Reference (5-years return period as  
 5 reference level for severity indices, R3d, API 30 days,  $k = 0.9$ ), top right: 10-years return  
 6 period as reference level for severity indices, bottom left: R7d, bottom right: API  $k=0.98$ . Note  
 7 that all severity indices have been normalized to the respective maximum values and that the  
 8 upper right corners do not contain observed data.

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4 Figure. 13: Spearman's rank correlation coefficients between the reference scenario and the  
5 variations examined within the sensitivity analysis; for the scenario definition see Table 3.

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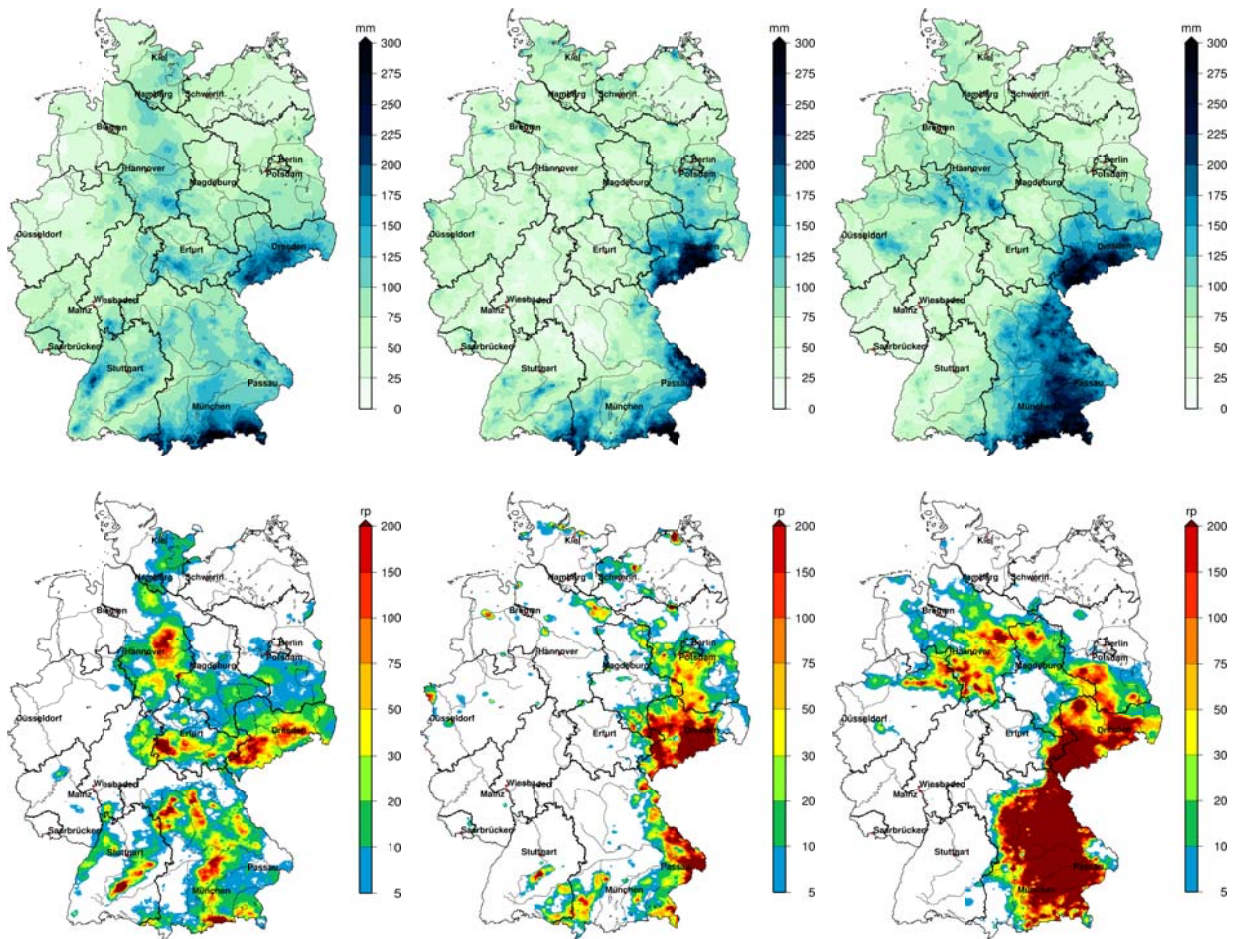
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3 Figure A1: Outline map of referred geographic locations.

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 2 Figure A2: Same as Figures 4 and 6, but for 7-day maximum precipitation (top line: 7-day  
 3 maximum precipitation; bottom line: Return periods June 2013, left; August 2002, middle;  
 4 July 1954, right).