# 1 The KULTURisk Regional Risk Assessment methodology for

# 2 water-related natural hazards - Part 2: Application to the

# 3 Zurich case study

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## Abstract

- 19 The aim of the paper is the application of the KULTURisk Regional Risk Assessment (KR-
- 20 RRA) methodology, presented in the companion paper (Part 1, Ronco et al., 2014), to the Sihl
- 21 river basin, in northern Switzerland. Flood related risks have been assessed for different
- 22 receptors lying on the Sihl river valley including the city of Zurich, which represents a typical
- case of river flooding in urban area, by means of a tuning process of the methodology to the site-
- specific context and features. Risk maps and statistics have been developed under a 300 years
- 25 return period scenario for six relevant targets exposed to flood risk, namely: people, economic
- 26 activities (including buildings, infrastructure and agriculture), natural and semi-natural systems
- and cultural heritage. Finally, the total risk index map has been produced to visualize the spatial
- 28 pattern of flood risk within the target area and, therefore, to identify and rank areas and hotspots
- 29 at risk by means of multi criteria decision analysis (MCDA) tools. Through a tailored
- 30 participative approach, risk maps supplement the consideration of technical experts with the
- 31 (essential) point of view of the relevant stakeholders for the appraisal of the specific scores
- weighting for the different receptor-relative risks. The total risk maps obtained for the Sihl river
- 33 case study are associated with the lower classes of risk. In general, higher (relative) risk scores

are spatially concentrated in the deeply urbanized area within and around the Zurich city centre and areas that rely just behind to the river course. Here, forecasted injuries and potential fatalities are mainly due to high population density and presence of vulnerable people; inundated buildings are mainly classified as continuous and discontinuous urban fabric; flooded roads, pathways and railways, most of them referring to the Zurich main train station (Hauptbahnhof) are at high risk of inundation, causing severe indirect damages. Moreover, the risk pattern for agriculture, natural and semi-natural systems and cultural heritage is relatively less relevant mainly because the scattered presence of these assets. Finally, the application of the KR-RRA methodology to the Sihl river case study, as well as to several other sites across Europe (not presented here), has demonstrated its flexibility and possible adaptation to different geographical and socio-economic contexts, depending on data availability and peculiarities of the sites, as well as for other (hazard) scenarios.

## 1. Introduction

Nowadays, one of the major environmental issues asserting more and more at global scale is the increasing threat related to natural disasters. Among the variety of disasters, flooding has significant impacts on human activities as it can threaten people's lives, property, assets, services as well as the environment. Assets at risk can include housing, transport and public service infrastructures, as well as commercial, industrial and agricultural enterprises. The health, social, economic and environmental impacts of flooding can be dramatic with severe impact on local communities (Mazzorana et al., 2012). In this sense, the so-called not-sustainable development can exacerbate the consequences of flooding by accelerating and increasing surface water runoff, altering watercourses and removing floodplain storage (OPW, 2009). In the meantime, frequency and magnitude of flood events are currently being intensified by changes in temperature, precipitation, glaciers and snow cover, triggered by climate change dynamics. Projected changes in precipitation regimes will also contribute to altering the intensity and frequency of rain-fed floods and possibly also of flash floods (IPCC, 2012). In Europe, floods account for the biggest share of damage inflicted by natural disasters, both on economic terms and life threat (see: Statistics about natural disasters losses and frequency in Europe for the period 1980-2008. Source: EM-DAT, 2009.). Particularly, in Switzerland severe floods have occurred in many catchments in the last decade: frequent events alternated with quieter periods have occurred during the last 150 years (Bründl et al., 2009). In northern Switzerland, indeed, numerous floods were recorded between 1874 and 1881 and from 1968 onwards, while few floods have occurred in-between. Since the beginning of last century, three massive flood events in northern Switzerland have occurred (1999, 2005

and 2007, Schmocker-Fackel and Naef, 2010). Recent researches conducted by Hilker et al. 1 2 (2009) and Badoux et al. (2014) has estimated an approximate 8 billion Euros of total monetary loss due to floods, debris flows, landslides and rockfalls, where 56% of this damage caused by 3 4 six single flood events from 1978 to 2005, and (up to) 37% due to sediment transport. 5 On these basis, the pro-active and effective engagement of scientists, stakeholders, policy and decision makers towards the challenging objective of reducing and possibly mitigating the 6 7 impact of floods, is dramatically needed. In fact, only over the last few years the science of these 8 events, their impacts, and options for adaptation has become robust enough to support and develop comprehensive and mature assessment strategies (IPCC, 2012). Several methodologies 9 10 to assess the risk posed by water-related natural hazards have been proposed within the scientific 11 community, but very few of them can be adopted to fully implement the last European Flood 12 Directive (FD). Through a tailored Regional Risk Assessment (RRA) approach, the recently 13 phased out FP7-KULTURisk Project (Knowledge-based approach to develop a cULTUre of Risk 14 prevention-KR), developed a state-of-the-art risk methodology to assess the risk posed by a 15 variety of water-related hazards. The KR-RRA methodology has been widely presented by Ronco et al. (2014) in the companion paper, Part 1. The Regional Risk Assessment approach is 16 17 generally aimed at providing a quantitative and systematic way to estimate and compare the 18 impacts of environmental problems that affect large geographic areas (Hunsaker et al., 1990). By 19 means of different, more or less sophisticated algorithms, these tools target broader scale 20 (environmental) criticalities, their contribution and influence at local scale as well as the 21 cumulative effects of local scale issues on regional endpoints to support the development of 22 knowledge-based mitigation measures. Accordingly, RRA becomes important when 23 policymakers are called to face problems caused by a multiplicity of sources of hazards, widely 24 spread over a large area, which impact a multiplicity of endpoint of regional interest. The 25 proposed KR-RRA methodology follows the theoretical approach proposed by Landis and 26 Weigers (1997) and it has been used in a wide range of cases (Pasini et al., 2012, Torresan et al., 27 2012), through the implementation of the following actions: i) identification of the different 28 sources, habitats and impacts; ii) ranking the (relative) importance of the different components of 29 the risk assessment; iii) spatial visualisation of the different components of the risk assessment; 30 iv) relative risk estimation. 31 Finally, through the integration of the three pillars of risk concept defined by UNISDR (2005) 32

Finally, through the integration of the three pillars of risk concept defined by UNISDR (2005) and IPCC (2012) such as hazard, exposure, and vulnerability, the proposed KR-RRA methodology represents a benchmark for the implementation of the Floods Directive at the European level. This innovative, effective and integrated approach has been used for assessing the risk of flood posed by the Sihl river to the city of Zurich and surrounding, by considering different flood impacts on multiple receptors (i.e. people, economic activities, natural and seminatural systems, cultural heritage) at the meso-scale level.

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# 2. The Sihl river valley

- 5 The Sihl is a 68 km long alpine river located on the foothills of the Swiss Alps. The river sources
- 6 (basin coverage: 336 km<sup>2</sup>) are located at Drusberg in the Canton of Schwyz (SZ), in Central
- 7 Switzerland. Downstream it flows through the artificial Sihl lake regulated by a concrete dam
- 8 (upstream basin: 156 km<sup>2</sup>) entering the Canton of Zurich (ZH) through the Sihl valley and
- 9 flowing parallel with the Zurich lake, separated by a sequence of hills. Finally, the Sihl joins the
- 10 Limmat river at Platzspitz in Zurich city centre (downstream basin: 180 km²). As many alpine
- 11 rivers, the Sihl preserves most of its natural morphological pattern of a meandering river and it is
- 12 not navigable.
- 13 The Sihl river valley is extensively wooded and, in particular, the forest on the higher valley is
- 14 classified as coniferous and mixed forest. Since the year 2000, the Sihl forest has been declared
- as (protected) Natural Reserve and several areas along the river have become attractive for
- 16 recreation purposes as well as important ecological habitats. The river valley is also cultivated as
- arable land and with pastures. The upstream part of the river consists of several small torrential
- rivers, characterized by intense transport of sediments (Rickenmann et al., 2012) and drift-wood
- 19 (Turowski et al., 2013). The intense transport of sediment is particularly evident when the brown
- 20 color waters of the Sihl (Fig.2) join the clear waters of the Limmat river, while drift wood
- 21 accumulation under major bridges and, most important, below Zürich central station represents a
- serious treat along the whole river channel.
- As far as the administrative characterization is concerned, the Sihl river valley includes parts of
- 24 the districts of Einsiedeln (SZ) (upper Sihl valley), Horgen (ZH) and Zurich (lower Sihl valley).
- 25 The studied area (77.97 km<sup>2</sup>) covers only the lower part of the valley and in particular the city of
- 26 Zurich with its 21 districts (Albisrieden, Alt-Wiedikon, Altstetten, City, Enge, Escher Wyss,
- 27 Friesenberg, Gewerbeschule, Hard, Hochschule, Höngg, Langstrasse, Leimbach, Lindenhof,
- Oberstrass, Rathaus, Sihlfeld, Unterstrass, Werd, Wipkingen, Wollishofen) and 5 municipalities
- 29 (Adliswil, Kilchberg, Langnau am Albis, Rüschlikon and Thalwil) (see Fig.1).

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31 Figure 1

- 33 The area of study is densely populated, in particular on its lower part close to the city of Zurich,
- 34 which is located north and north-west of the homonymous lake. According to CORINE Land
- 35 Cover (CLC) classification (EEA, 2007), the residential area covers 41.28 km<sup>2</sup> (more than half of

- the case study area) and the total population is 289'029 (Statistical Office of Canton of Zurich,
- 2 2011), while 20.19 km<sup>2</sup> are covered by forest and just 7.67 km<sup>2</sup> are devoted to agriculture.
- 3 Several cultural heritage hotspots are present in the valley and especially in Zurich city centre,
- 4 among the others: the Swiss National Museum, the Kaspar Escher House, the Fraumünster and
- 5 the Church of Bühl.

# 3. Hydrological pattern and regime

The Sihl river basin is particularly prone to flash floods: during wintertime snow accumulates in the headwaters and snow melt governs runoff generation in late spring and early summer. Flash-floods triggered by intense thunderstorms might be responsible for high damages in the upstream areas (e.g. the region of Einsiedeln), but rarely lead to critical peak-runoff in the downstream part of the basin. Critical runoff for the environs of Zürich are normally caused by long-lasting rainfall events that lead to the overspill of the Sihl lake (Scherrer et al., 2013) This process is generally slow but severe floods can occur whenever the rate of water input exceeds the ability of the soil to absorb it or when the amount of water exceeds the natural storage capacities of soil, rivers, lakes and reservoirs. In fact, Sihl river represents the largest flood threat for the city of Zurich, Switzerland's most populated city (Addor et al., 2011): just before joining the Limmat river, the Sihl flows beneath the main railway station of Zurich (Zürich Hauptbahnhof HB) located in the city centre, as showed in Fig.2.

Figure 2

Pro Sihltal (2008) reported the most important floods that have occurred along the Sihl river valley during the last three centuries. In 1910, in particular, a massive event flooded Zurich main station with more than 40 cm of water, some railway tracks were badly damaged and the service was interrupted; Leimbach and Adliswil districts were under 1 m of water and some buildings of the Swiss National Museum at Platzplitz were completely flooded. In 1937, the artificial multipurpose Sihl lake was realized for both hydropower and as retention basin to reduce the frequency of downstream flooding (Schwanbeck et al., 2010). Up to 1999, no more floods have been registered in the area but in 2005 and in 2007 severe inundations have demonstrated that the buffer capacity of Sihl lake as retention basin is not enough to mitigate the impact of extreme flood events during heavy rainfalls seasons. In fact, even if the discharge of the Sihl is relatively modest and most of the waters from the upstream catchment are usually diverged into the lake of Zurich, in case of heavy precipitations dam overflow might occur and, according to the

1 emergency regulations procedures, discharges as high as 470 m³/s can be released into the Sihl

2 river, with dramatic consequences downstream (Addor, 2009).

3 The extreme rainfall of August 2005, extensively described in Bezzola and Hegg, in 2007 and by

4 Jaun et al., in 2008, triggered a (preliminary) flood risk assessment for the entire catchment

5 (Schwanbeck et al., 2007) and, finally, the planning of few immediate, intermediate and long-

term prevention measures. However, out of the planned ones, only the Early Warning System

(EWS) to forecast extreme events and mitigate their impact has been implemented so far, while

intermediate and long-term prevention measures, such as the bypass tunnel, are still under

9 analysis and discussion by the different stakeholders and local institutions/authorities (Zappa et

al., 2015). The complexity of hydrological pattern of the Sihl river valley and the need for a

planned strategy of prevention measures severely asks for a broader integrated approach in order

to assess the risk of flood to multiple receptors and a suite of effective tools to identify and

prioritize areas and targets at risk to finally evaluate the benefits of different prevention scenarios

(e.g. Buchecker et al. 2013).

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# 4. Dataset characterization and processing

The dataset required for the application of the KR-RRA methodology includes: i) characterization of the intensity and the frequency of the flood events, in the framework of a specific hazard scenario (e.g. hazard metrics such as flow velocity, water depth, flood extension, return period); ii) spatial pattern of the considered receptors (e.g. people, economic activities, natural and semi-natural systems, cultural heritage) in order to perform the exposure assessment; iii) relevant indicators (e.g. percentage of disable people, slope, soil type etc.) to characterize the degree to which the different receptors could be affected by the (flood) hazard, that is the vulnerability assessment. The dataset has been mainly provided by the GIS Centre of Canton of Zurich, the Swiss Federal Office of Topography, the Statistical Office of Canton of Zurich, the Swiss Federal Statistical Office (BFS, Bundesamt für Statistik) and the Swiss Federal Office for Agriculture (FOAG), (Bundesamt für Landwirtschaft, BLW) in raster, vector graphic or

28 numerical format, as specified in Table 1.

29 For the risk assessment to agriculture and natural & semi-natural systems, the CLC dataset

(EEA, 2007, with spatial resolution of 1:100'000) has been used to characterize the targets at the

31 spatial level at regional scale; for buildings, infrastructures and cultural heritage, data with a

32 finer resolution (spatial resolution of 1:5'000) has been used. Finally, to characterize the receptor

people, the residential census data provided has been used to compute the number of residents

within cells of 25 m<sup>2</sup>. The work load (in terms of man/days) required to process the dataset and

produce the maps related to the four assessment steps is also presented in Table 1.

1 Table1 2 3 4.1 Hazard data processing As explained by Ronco et al. (2014) in Part 1, the hazard assessment is aimed at identifying the 4 5 relevant physical metrics (water depth, velocity and flood extension) obtained from hydrodynamics models for the different scenarios to be investigated (baseline or alternative). The 6 7 methodology makes different use of the various hazard metrics depending on the analysed 8 receptors in order to assess the relative risk, as depicted in Table 2. 9 10 Table 2 11 12 Water depths and velocities are normally computed (and mapped) by commercial, more or less 13 sophisticated, hydraulics models. Moreover, the debris factor, that ranges between 0 and 1 to 14 account, respectively, the low and high probability that debris would lead to a significant hazard, 15 can be easily assigned according to different ranges of water depth and velocity, as per Table 3. 16 17 Table 3 18 19 However, while existing flood hazard maps can be easily used to estimate flood depths, they do 20 provide information on flow velocity very rarely (DEFRA, 2006). This is the case of the Sihl 21 river valley, where patterns of velocities were not available. Here, only data about water depths 22 and intensities (namely: the combination between water depths and velocities) classified in range 23 of values (classes), have been provided by local authorities, without any explicit specification 24 regarding the particular (hydraulic) models that have been used (see Table 4 and 5). 25 26 Table 4 27 28 Table 5 29 30 The pattern of water velocities has been calculated as follow. Based on a precautionary principle 31 (highest depth d and velocity v are associated with highest hazard level), the highest values for 32 depth d and  $v \cdot d$  product have been selected for each class (e.g. d = 0.5 m for the class 2 of Table

4, and  $v \cdot d = 0.5 \text{ m}^2/\text{s}$  for class 1 of Table 5). Moreover, due to the specific range of values

refereed to the case study, classes 2 and 3 of intensity and classes 6 and 7 of depth have been

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- 1 merged. Now, provided that the  $v \cdot d$  product and d are known as single values, and not as a
- 2 range of values as it was before, it was easy to derive the pattern of velocities (see Table 6).

Table 6

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## 5. Baseline and alternative hazard scenarios

- 7 The KULTURisk methodological framework requires the preliminary setting and analysis of
- 8 different flood scenarios (baseline and alternative) where structural and/or non-structural
- 9 solutions to mitigate, and possibly reduce, the risk are planned.
- 10 As request by the European Flood Directive (2007/60/EC), the baseline scenarios should be
- based on deterministic flood hazard maps, where flood-prone areas are classified according to
- 12 different classes of frequency of the event (high, medium and low), based on the concept of
- 13 return period of the hazardous event, as follow:
  - Frequent event TR < 30 years High probability of floods
  - Average event 30 years < TR < 100 years Medium probability of floods
- Rare event 100 years < TR < 300 years Low probability of floods.
  - Spatially distributed flood hazard maps are normally used by property owners, local authorities and land planners to characterize the hazard over (more or less) large area, to prepare for floods and to properly manage the events (EEA, 2009). As far as the Zurich case study is concerned, the available flood hazard maps referring to three classes of hazards (30, 100 and 300 years of return period) have been provided by the GIS Centre of Canton of Zurich. The low probability – high intensity 300 years return period scenario has been considered the most relevant for the purpose of this study (see Fig.3) since the other two scenarios (30 and 100 years) only marginally affect the typical prone area of the Sihl valley and, in particular, do not affect the main railway station of Zurich. In fact, according to local stakeholders, experts and forensic analysis of past flood events, this asset has been considered the hottest spot of analysis. Moreover, relative risk maps for the first two (marginal) hazard scenarios have not been presented as not relevant to the overall objective of the study, that is to test the degree of applicability of an innovative methodological approach in an (emblematic) case study, in order to support that (case-specific) decision making process, and not to assess the complete suite of risk patterns according to the different (and not bounded) plausible scenarios that could characterize the hazard for that particular case study. Finally, by assessing the most catastrophic configuration, the selected (baseline) scenario gives the opportunity to plan the mitigation, adaptive, response and preparedness actions in a (very) precautionary framework.

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In 2008, an Early Warning System (EWS IFKIS Hydro Sihl) has been installed along the Sihl river valley (Romang et al., 2011; Bruen et al. 2010). The EWS IFKIS is a hydro-meteorological ensemble prediction system based on atmospheric forecasts provided by the (deterministic) model COSMO-7 and the (probabilistic) model COSMO-LEPS. . Coupled with the increase of the flood retention system to be obtained by extending the reservoir buffering capacity of the Sihl lake, the EWS contributes to a consistent reduction of flood risk magnitude along the Sihl river valley. However, in this study the baseline scenario considers the situation before the establishment of this mitigation measure since the reduction of the flood risk for the EWS cannot be assessed to a reliable degree because data referring to larger flood events are not (yet) available (Addor et al., 2011). The Canton of Zurich is currently discussing further prevention measures such as the bypass tunnel (close to Langnau am Albis, Fig. 1) diverging flood peaks along the Sihl valley into the Lake of Zürich, or the larger connection between the Sihl lake and the lake of Zürich to both allow for increased hydropower production and accelerated drawdown of the lake to increase the buffering capacity of the Sihl lake during critical flood events. Furthermore, a reservoir for drift wood is thought of to be realized in Langnau am Albis (Fig. 1). In case of being established, these prevention measures could significantly reduce the flood risk of the Sihl to a lower level but details on the expected impact under different prevention measures are still under analysis and discussion (Zappa et al., 2015). Due to this, alternative scenarios have not been considered in this study.

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# 6. Results of the KR-RRA application to the selected receptors

The KR-RRA methodology presented in the companion paper (Part 1) has been applied to the Zurich case study by considering the whole suite of receptors at risk, namely: people; economic activities, including buildings, infrastructure and agriculture; natural and semi-natural systems and cultural heritage. Through the sub-sequential implementation of the hazard, exposure, susceptibility and risk assessments, GIS based maps and related statistics of total and receptor-related risks have been produced and are presented in this Section.

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## 6.1 Risk to People

#### 6.1.1 Assessment

- 1 According to the KR-RRA procedure (see Part 1, Eq.(1)) and following the data processing
- 2 presented above, the hazard scores for Sihl river case study have been calculated and reported in
- 3 Table 7. Scores range from 0.9 to 6, where increasing values mean an increasing hazard for
- 4 people.

Table7

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- 8 As far as the exposure assessment is concerned, the total population living in residential areas is
- 9 of 289'029. The largest district is Altstetten (7.48 km<sup>2</sup> with 30'148 habitants), while Sihlfeld and
- 10 Gewerbeschule are the most densely populated ones (11'759 habitants/km² and 13,163
- habitants/km<sup>2</sup> respectively). Most of the upper part of the Sihl valley has a lower density.
- between 826 and 5'981 habitants/km<sup>2</sup>. These data have been spread over 25m resolution cells for
- 13 residential area of each district. Moreover, based on the demographic data provided by the
- 14 Statistical Office of Canton of Zurich, the characterization of susceptibility patterns has been
- performed. In particular, it has been assumed that the rate of people with disabilities (5% over
- the total population) is spatially homogenous, while the differences among the SF score actually
- depend only on the percentage of elderly residents that ranges from 7.6% to 32.3%. The
- 18 normalization phase has been performed according the KR-RRA procedure, so the number of
- injured/killed people has been divided by the number of people relative to the district with
- 20 highest population.

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## 6.1.2 Results

- People related risk maps (Figs.4 and 5, Tables 8 and 9) provide the number of injuries (R<sub>1</sub>) and
- 24 fatalities (R<sub>2</sub>) spatially distributed along the Sihl river valley. As for the other receptors, the
- 25 classification is obtained through equal-interval methods. The forecasted number of total injuries
- is estimated in 1000, while the number of total (potential) fatalities is estimated in 29. Among
- 27 the affected areas, Albisrieden and Altstetten districts, that are densely populated with medium
- scores for susceptibility, are expected to suffer from several casualties with 223 and 155 injuries
- and 5 fatalities each, respectively. It is worth to notice that these two districts are normally
- 30 flooded by the Limmat river, a tributary of the Sihl. Considering the Sihl prone-area only, the
- 31 districts that suffer from the higher numbers of casualties are Adliswill, Alt-Wiedikon,
- 32 Langstrasse and Sihlfeld with a range of injuries between 55 to 96 and 2 to 3 for fatalities. The
- 33 percentage of injured people is 0.35% over the total population of the study area and the
- percentage of killed is 0.01%. These rates suggest that risk to people is generally low, despite not
- negligible, if we consider the high density of population that actually rely on the residential area.

- 1 As already mentioned, the KR-RRA methodology considers people living in residential areas
- 2 only, and does not include people eventually present in other zones, such as commercial,
- 3 industrial and agricultural areas. Moreover, the methodology doesn't discriminate between
- 4 day/night times. During the daytime, in fact, people are usually located in their working places
- 5 and/or in restaurants, bars, shopping centres, facilities (as the main station of Zurich) and along
- 6 the streets. Therefore the methodology is somehow underestimating the number of injuries and
- 7 fatalities in these areas while overestimating injuries and fatalities in the residential areas.
- 8 Finally, it is worth to notice that the RRA methodology only partially consider people's coping
- 9 (and adaptive) capacities since these aspects are fully enclosed in the social-economic clusters
- 10 (SERRA) of the (complete) KR methodology (see Giupponi et al., 2014).

12 Figure 4

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14 *Table 8* 

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16 Figure 5

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# 6.2 Risk to Economic activities: Buildings

## 6.2.1 Assessment

- 22 Floods have a potential massive impact on buildings infrastructures (e.g. partial or total damage
- 23 to the structures, damage to the indoor goods), particularly in densely populated area as it is for
- 24 most of the Sihl river valley. The analysis makes considerable use of the Building footprint GIS
- 25 shapefile (GIS Centre of Canton of Zurich TLM3D Building footprint) for the spatial
- localization of the buildings at risk (total number of buildings: 19'430; total surface covered by
- buildings: 10.67 km<sup>2</sup>). Moreover, coupling these data with the hazard maps, it is possible to
- 28 discriminate flooded building belonging to different uses (i.e. residential, commercial and
- 29 industrial areas). Table 10 shows the statistics related to the presence and coverage of buildings
- which can potentially be flooded, according to the different CLC classes.

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Table 10

- 34 As already reported by the companion paper (Part 1), the vulnerability assessment assumes that,
- 35 at the meso-scale level, the buildings are characterized by the same structure and, therefore, by

1 the same susceptibility score. Finally, the risk assessment to buildings estimates the number,

2 surface and percentage of flooded buildings referring to different uses; the related normalization

3 phase for buildings has been developed by considering normalized scores where values from 0

4 (no risk) to 1 (maximum risk) are assigned according to the different classes of risk.

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## 6.2.2 Results

7 The GIS-based risk map (Fig.6) points out the spatial distribution of the risk to buildings across

8 the studied area. Being the intensity of considered scenario (that is the product between water

depths and velocities) lower than the fixed threshold, all the affected buildings would be only

inundated and would not suffer from dramatic structural damages. Despite this, the flooding can

still have dramatic consequences on infrastructures because many assets of primary importance,

such as electricity and water services, heating, are normally located at the lower ground level.

13 The total number of buildings at risk is 3,267 and the related surface area at risk is 2.2 km<sup>2</sup>. The

percentage of flooded buildings is around 17% while the percentage of flooded areas is almost

15 20% over the total surface actually covered by buildings.

16 As already mentioned, the studied area is mostly classified as residential one and almost 95% of

17 flooded buildings belong to class 1.1.1 and 1.1.2 of CLC (Continuous and discontinuous urban

fabric) while just less than 6% of inundated buildings belong to classes 1.2.1, 1.2.2, 1.4.1 and

1.4.2 (Industrial or commercial units, Road and rail networks and associated land, Green urban

areas and Sport leisure facilities). In particular, only 17 items are classified as infrastructure

related to the supply of services (road, rail networks and associated land class) so the risk for this

category is very relevant (most of them are linked to the strategic transportation network of the

main railway station of Zurich city). Box A of Fig. 6 focuses on the districts with higher number

of inundated buildings around the Zurich city centre. Several small residential areas would be

flooded also in the southern part of the city, namely Leimbach, Adliswil, Thalwil and Langnau

am Albis. Table 12 presents the relevant data for the analysed receptor, considering the different

use of buildings.

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29 Figure 6

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31 *Table 11* 

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33 *Table 12* 

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## 6.3 Risk to Economic activities: Infrastructures

#### 6.3.1 Assessment

- 2 The strategic network of infrastructures have been identified using the Roads and Railways shapefiles, provided by the GIS Centre of Canton of Zurich. The information includes the 3 4 characterization of roads, pathways and railway lines within the study area. Zurich main station 5 represents an important and strategic hub for the Cantonal railway network system as well as for the Swiss and European railways network systems: more than 1900 trains daily pass-by the 6 7 Hauptbahnhof station. In fact, urban commuter rail networks are focused on the country's major 8 cities: Zurich, Geneva, Basel, Bern, Lausanne and Neuchatel. Strategic highways and roads also 9 run in and out Zurich city.
  - The flood hazard assessment to infrastructures considers the flood extension as relevant flood metric; no other flood metrics (e.g. flow velocity) have been considered because the analysis is not oriented to the evaluation of direct structural damages for infrastructure, but rather to the characterization of the loss of service. The exposure assessment focuses on the spatial localization and distribution of the roads, railways and pathways. All these objects could be geometrically characterized by their linear extension (length) and by their coverage (area). In particular, pathway routes have been considered relevant since many of them are normally used by pedestrian to connect rural area to the city centre, running along the flood prone area of the Sihl river.

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## 6.3.2 Results

- 21 The infrastructures related risk map (Fig. 7) identifies the assets potentially affected by a flood
- event of 300 years return period. The total extent of road, railways and pathways at risk is around
- 23 209 km out of 1,540 km that currently rely on the study area (less than 14% of items are at risk).
- 24 In particular, around 54 km refers to railways network and 155 km to roads and pathways.
- 25 As far as the spatial distribution of the (relative) risk is concerned, the Langstrasse and
- Albisrieden districts are the most affected by the flood event, belonging to the very high class
- 27 and high class of risk. The extent of inundated infrastructure for these districts has been
- 28 computed in 32 km and 26 km, respectively. Moreover, the roads/railway network of Escher
- 29 Wyss, Unterstrass, Hard and Rathaus districts do not experience any loss of services due to
- 30 floods.
- 31 The infrastructure receptor is very relevant for the city of Zurich if one considers that the Sihl
- 32 river flows underneath the main station and many railways lines are located just beside of the
- 33 river course. For example, the Sihltal road (Sihltalstrasse) that runs along the Sihl river for
- around 16 km connecting the city of Zurich with the southern area of the Sihl river valley where
- 35 the Sihlwald (Sihl forest natural area) ends reaching the Sihlbrugg village. Again, within the

- district of Langnau am Albis (with almost 17 km of flooded items) the railway lines could be
- 2 completely flooded, as well as most of the ones belonging to the main station of Zurich city in
- 3 Langstrasse district. Moreover, several pathways along the Sihl river could be affected. Of
- 4 course, the flooding of pathways is less relevant than the one of highways and railways,
- 5 especially by considering the related economic impact. Therefore, it is particularly important to
- 6 discriminate and to rank the different level of service that the different categories of
- 7 infrastructure could provide.
- 8 Considering the pattern of the urban mobility within the studied area, the following items could
- 9 be considered the most critical hotspots:
- Part of Zurich main train station Hauptbahnhof (HB).
- 11 Zurich City centre area with its pedestrian and urban road in Langstrasse and City
- districts including Bahnhofbrücke and Walchebrücke (two bridges next to Zurich main
- train station)..
- Pathways at Platzspitz green area.
- Railway lines at Langnau-Gattikon train station in Langnau am Albis district.
- Sihltalstrasse in some spots where the roads runs next to the Sihl river, in particular in
- 17 Adliswil, Leimbach and Langnau am Albis districts.

19 Figure 7

20

21 *Table 13* 

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23 Figure 8

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## 6.4 Risk to Economic activities: Agriculture

# 6.4.1 Assessment

- 27 The flood hazard assessment works on the patterns of water depths and flow velocities, while the
- 28 exposure assessment allows to identify the agricultural typologies present in the Sihl river valley
- according to the different classes of the CLC dataset (class 2.1.1 as Non irrigated arable land and
- class 2.3.1 as Pastures). The total area devoted to agriculture is 7.67 km<sup>2</sup>, most of it classified as
- arable land. Since none of the agricultural typologies mentioned in the companion paper (Part 1)
- 32 are actually present in the Sihl valley (namely: vegetables, vineyards, fruit trees and olive
- groves), it has been assumed that arable lands and pastures should be classified as vegetables,
- with similar thresholds.

- 1 As a sake of simplification and according to the overall scope of the analysis, it has been
- 2 assumed that the agricultural crops in the Sihl river valley have similar growing pattern (low
- 3 growing plants) and, therefore, the same susceptibility score. According to Torresan et al. (2012)
- 4 and to the technical evaluation of the authors, the two CLC classes of agricultural typologies
- 5 have been considered similar to the class of poor vegetation and meadow (more susceptible to
- 6 flood) with a score equal to 1.

## 6.4.2 Results

- 9 The agriculture related risk map (Fig.9) has been elaborated according to the procedure
- 10 introduced above. It is worth to notice that despite the pattern of flow velocity is above the
- minimum threshold of 0.25 m/s over the entire area, the risk for the agricultural cluster is very
- 12 limited: the flooded agricultural area only amounts to 0.59 km<sup>2</sup> (around 8% of the total
- agricultural area). Out of this, 0.53 km<sup>2</sup> belongs to the non-irrigated arable land class (class
- 14 2.1.1) and 0.07 km<sup>2</sup> to the pastures class (class 2.3.1) (Table 15).
- 15 The total surface at risk is probably underestimated because the exposure classification,
- performed according to the CLC resolution, could have missed out some small agricultural areas
- that might be important for cash crop cultivation.
- 18 However, the area of the Sihl river valley is mainly devoted to residential and commercial
- 19 purposes, therefore the agriculture can be considered less important is compared to the others
- such as people, buildings and infrastructures.

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- 22 Figure 9
- 2324
- 26 *Table 15*

Table 14

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## 6.5 Risk to Natural and Semi-Natural Systems

# 6.5.1 Assessment

- 30 Flood coverage has been used to characterize the hazard affecting the natural and semi-natural
- 31 systems. As for the other receptors, the CLC classification dataset has been used to identify and
- 32 characterize the natural and semi-natural systems exposed to the risk of flood along the Sihl river
- valley, that account for more than 20 km<sup>2</sup>. The valley is characterized by two different kind of
- forest systems: coniferous forest (0.21 km<sup>2</sup>, CLC class 3.1.2) which covers the area only for very
- small part, and mixed forest (19.98 km<sup>2</sup>, CLC class 3.1.3) which occupied most of the natural
- 36 environment in the case study area. The intrinsic characteristics of the territory, namely the

1 (susceptibility) factors that influence the degree of impact of the flood to the receptor have been 2 assessed according to the scores suggested by the companion paper (Part 1).

3

## 6.5.2 Results

- 5 The natural and semi-natural systems related risk map (Fig. 10) allows to identify the area
- 6 potentially affected by loss of ecosystem service caused by a 300 years return period flood event.
- As a result, only a limited portion of forest is at risk of inundation (0.29 km<sup>2</sup>, 1.4 % of total
- 8 forest areas) and two classes of risk have been identified: a very small part (625 m<sup>2</sup>) belongs to
- 9 the high class of risk while the rest (around 289,000 m<sup>2</sup>) are characterized by the very high class
- 10 of risk.
- Even if the inundated lands belong mostly to the very high class of risk, due to the different
- susceptibility factors and in particular to the impermeable ground characteristics of the area and
- to the (mild) slopes, the risk related to this receptor can be considered as not relevant. In fact,
- 14 forests are generally stable and resilient ecosystems very well adapted to occasional and seasonal
- 15 flooding because growing along floodplains. In addition, along the Sihl valley most of the forests
- are located on the hilly part of the area and this reduces their (physical) susceptibility.
- 17 In this sense, the ecological, recreational and economic functionalities of the Sihl valley forest
- ecosystem is not compromised by flood events of such magnitude.

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Figure 10

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# 6.6 Risk to Cultural Heritage

# 6.6.1 Assessment

- 24 The hazard assessment step consists in the characterization of the area covered by flooding
- 25 waters. Moreover, the exposure assessment requires the spatial localization of the cultural
- heritage assets. In Sihl river valley, 416 cultural assets are present, mainly classified as ancient
- buildings. They include different confessional buildings such as the Fraumuster, Grossmunster
- and the main Synagogue, the Swiss National Museum, the central library of Zurich, the Rathaus
- 29 (the municipal building), the Opernhaus, several ancient residential buildings and villas in the
- 30 city centre as well as along the Zurich lake etc.

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#### 6.6.2 Results

- 33 The cultural heritage related risk map is shown in Fig. 11: it identifies the number of cultural
- 34 assets which are supposed to be flooded in the framework of the investigated scenario. As a
- result, 40 items (out of 416) are expected to be inundated, corresponding to the 9.13% of the

1 total. These assets belongs to different cultural protection level (regional and cantonal). As

2 already reported, the Swiss National Museum is at risk while the districts belonging to higher

3 class are Langstrasse (close to city centre of Zurich city) and Langnau am Albis (along the lower

4 Sihl valley) with 10 to 15 items affected.

Figure 11

# 7. Total Risk Index

# 7.1 Weighing process

The total risk index has been calculated aggregating different receptor-related risks by means of MCDA methods. Prior to this, a normalization process has been performed for each of the analysed receptor in order to rescale the receptor-related risk scores into a numerical scale between 0 and 1 and, therefore, to allow comparison among (relative) risks expressed by different unit of measurement (Zabeo et al., 2011). Within this study, the normalisation has been implemented at CLC polygon size level for people, infrastructures and cultural heritage. For buildings, agriculture and natural and semi-natural systems, this procedure has been performed according to the relative tables and scores, as follow: flooded buildings: 0.2, destroyed crops: 1; natural and semi-natural systems: 1 for the very high class of risk and 0.8 for the high class of risk. Normalised risks has been assigned to raster cells of 25 m resolution that allow a better and more detailed visualization of the spatial variability of the total risk.

The proposed MCDA method of aggregation is the weighted average which considers

The proposed MCDA method of aggregation is the weighted average which considers overlapping receptors' risk to be linearly additive. The ranking process is supposed to give numerical priority to those events whose damaging consequences are considered as burdensome. In this sense, weighting is a typical political decision making process and the involvement of relevant stakeholders and experts is seen as a fundamental prerequisite for its effectiveness (Yosie and Herbst, 1998). In order to lower the level of arbitrariness derived from expert based weight selection (Santoro et.al., 2013), the weighting process has been implemented during a roundtable-meeting organized with several local experts involved in the project. They were aware of some preliminary results and this could have influenced their opinion during the weights assignation. The assigned weights have been reported in Table 16.

Table16

The lowest weights have been assigned to relatively less important receptors. Natural and seminatural systems have scored 0 (zero) because, as stated above, they are considered as stable and

very resilient ecosystems without consistent impact from flood events. A weight of 0.1 has been 1 2 assigned to cultural heritage because these assets have been already considered in the buildings analysis, and therefore just an additional, cultural, value has been added to the particular building 3 under protection. A weight of 0.2 has been assigned to agriculture because this sector is 4 5 considered not relevant for the socio-economic context of the valley, in fact the flooded agricultural areas do not have any valuable cash crops relying on it. 6 7 The people receptor has scored 0.4, less than the one assigned to buildings and to infrastructures, 8 and this choice has raised a not-bounded discussion. The main argument that has been used to 9 support this assignation is the fact that the selected baseline scenario does not consider the role 10 played by the EWS in mitigating the (floods) impact to the population living in the studied area. 11 Moreover, it has been argued that the methodology only focuses on the citizens actually living in 12 the residential area, and do to consider the number of people normally present, for example, at 13 the main station and at the main shopping area, which exceeds by far the number of actual 14 residents in that districts, particularly during the day time and the weekend evenings. In this 15 sense, they argued that the methodology overestimated the risk to people in residential area and, 16 in the meantime, underestimated the risk to others area. Therefore, a kind of "compensation" in 17 the computation of the total risk index should be considered. Higher weights have been assigned 18 to buildings (0.6) and infrastructures (0.8) which have been considered the most relevant 19 receptors for the socio-economic context of the Zurich city, sinc damages related to flooded 20 infrastructures and buildings result also in very high (indirect) costs for the loss of services they 21 provide. In particular, the inundation of the Zurich main train station entails a wide loss of 22 services since it represents a very important strategic hub both for public transport connections 23 for the whole Canton and for commercial reasons (a big shopping centre area is located in and 24 around the train station, frequented by a lot of residents and tourists).

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## 7.2 Results and discussion

The total risk map shows the spatial pattern of flood risk and specifically identifies the hotspots and the areas at risk along the Sihl river valley (Fig.12). The total surface at risk is 7.98 km<sup>2</sup> and the total risk index ranges between 0 and 0.24, that represents the lower class of risk considering the classification scores presented in Part 1. In order to better visualize the relative distribution of risk belonging to these classes, the green to red colour classification, normally tuned within the 0-1 range, has been re-tuned according to the calculated range. Langstrasse district and part of the city of Zurich present the relative highest values of risk; areas within the districts of Werd, Sihlfeld, Alt-Wiedikon and Friesenberg that rely next to the Sihl river course also present

- 1 relative higher risk levels. Areas within Albisrieden district are characterized by relative high
- 2 risk as well. Results are very much plausible because they demonstrate that the overall risk is
- 3 higher close to the main station of Zurich, where lot of infrastructures, railways and buildings
- 4 would be possibly flooded, as well as on the left side area of the Sihl river before the confluence
- 5 with the Limmat river, notably at risk.
- 6 The total risk index represents a useful indicator which allows the ranking of "area more at risk"
- 7 than others, but it is, of course, highly dependent from receptor related risk analysis and
- 8 weighting process.
- 9 When putting on the table different factors it was agreed that infrastructure might be a major
- source of risk than human life. In fact, this choice might seem inappropriate, as already stated in
- 11 Sect. 7.1., but within the expert judgement their own experience as well as their perception of
- 12 risk during recent events plays a major role. This is what makes KR-RRA approach appealing
- and valuable. Background information from several stakeholders and local experts triggered this
- 14 choice, starting from forensic analysis (and personal experience) of past events. In fact, since the
- 15 1970's Switzerland experienced several major floods that exceeded a return period of 300 years
- 16 (e.g. Rössler et al., 2014), but despite this, only 3 fatalities per year can be attributed to water
- 17 related disasters (floods, landslides and debris flows) (Hilker et al., 2009). Moreover, Swiss
- 18 legislation allows having closed settlements only in areas where the buildings are protected by
- 19 additional measures against floods with return period between 100 and 300 years. This is not the
- 20 case for infrastructure and according to the latest estimation (pre- KR-RRA) a damage of Zürich
- 21 main station may trigger damages of over 4 billion Euros. The local authorities are aware of this
- and are improving their flood management system with additional structural and non-structural
- 23 measures. The assessment of public perception of these possible future measures has been
- presented in Buchecker et al. (2013). Thus, a basis for extending the KR-RRA methodology to
- 25 future flood management in the target area is available, in particular as far as the roles and
- responsibilities of local communities (including decision and policy makers) in coping with risk
- is concerned. In fact, it is an advantage of this novel approach to allow stakeholders and experts
- 28 to come up with a site-specific suite of weights and thereby improving the adaptation to the local
- situation. For instance, local authorities reported that after using some standard risk assessment
- procedure (pre- KR-RRA) a map was created where the most valuable "hot-spot" was a tennis
- 31 resort in the north-western part of the city of Zürich. After including expert knowledge and
- 32 adapting the weighting accordingly, the areas around central station prompted to be the one with
- 33 highest risk.
- 34 Moreover, it is worth to notice that the final risk index aggregates scores coming from multiple
- 35 heterogeneous parameters. The final decision-making process should therefore consider not only

- the final values of the index, but also the factors that contributed in determining that value (i.e.
- 2 susceptibility indicators, hazard metrics). A correct interpretation of these factors is particularly
- 3 relevant for the analysis of the potential prevention measures that could be suitable for reducing
- 4 the risk for current hot spot areas (Torresan et al., 2012).
- 5 It is important to underline that the application of the KULTURisk methodology at the meso-
- 6 scale provides a screening analysis that allows the assessment and prioritization of targets and
- 7 areas at risks in the considered region. However, a more detailed analysis (at the micro-scale)
- 8 could be required in the areas considered at risk or where more specific information are
- 9 available.

11 Figure 12

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13 *Table 17* 

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#### 8. Conclusions

The paper deals with the application of a state-of-the-art Regional Risk Assessment (RRA) methodology for flood risk assessment to a very site-specific case, namely the Sihl river valley around the city of Zurich, in northern Switzerland. The complete KR-RRA methodology, developed within the KULTURisk-FP7 (KR) Project and introduced in the companion paper Part 1, followed four subsequent levels of analysis, namely the hazard, exposure, vulnerability and risk assessments. In particular, the paper describes the tuning process as well as the implementation procedure that has been applied in order to assess the risk of flood represented by a 300 years return period hazard scenario, the be considered the most precautionary one. Relative risk maps (GIS based) and related statistics, specifically referring to the impact of flood hazard to selected receptors, have been developed. By means of MCDA and through a tailored participative approach of relevant local experts during the weighting process, the total risk maps have been produced allowing the identification of hot spots and area at risk as well as the spatial characterization of the risk pattern. The total risk maps obtained for the Sihl river case study are associated with the lower classes of risk, while the relative risk is higher in Zurich city centre districts, in the urban area around the city centre and areas that rely just behind to the Sihl river course. Together with the Zurich one, the KR-RRA methodology has been successfully applied to a wide

- 1 peculiarities of the sites, . In this sense, the methodology can be easily up-scaled in order to
- 2 evaluate river flood impacts at broader region/national/sub national scales (i.e. national level
- 3 including more than one river basin) or can be detailed on smaller area for impacts at very local
- 4 scale by using more detailed datasets for the characterization of exposure and vulnerability (i.e.
- 5 finer Digital Elevation Model, finer data about land cover).
- 6 The receptor-related risk maps, as main outputs of the KR-RRA methodology, have proven to be
- a very useful (and relatively easy) tools for the evaluation of risk in the studied area in order to
- 8 support the development of knowledge-based decision making processes and appropriate (risk)
- 9 management practices (when based on prevention, protection and preparedness concepts).
- 10 Despite being arguable for the methodology that has been followed for the assignation of
- weights, the involvement of relevant local experts have improved the consistency and relevance
- of the application exercise. Finally, the paper demonstrates the relevance of the KR-RRA
- methodology, as comprehensive and integrated assessment tool able to coordinate information
- 14 coming from deterministic as well as probabilistic flood forecasting and to integrate the multi-
- 15 faceted physical/environmental aspects of exposure and vulnerability, in order to evaluate flood
- risks for different elements, as required by the European Floods Directive.

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Table 1. Dataset used for the application of the KULTURisk RRA methodology within the Sihl river valley.

Step of asses	ssment	People	Buildings	Infrastructures	Agriculture	Natural and Semi-Natural Systems	Cultural Heritage
Hazard	Dataset  Work load [man/days]	· · · · · · · · · · · · · · · · · · ·		or water depth, veloo (***, GIS WSL, 2006, 1			0,5
Exposure	Dataset	- People in residential areas (**, 2011) - Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100'000)	- Building footprint map (* TLM3D, 2013, 1: 5000) - Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100'000)	- Roads (Strasse_CH_lin e) and Railways (Eisenbahn_CH_ line) maps (* TLM3D, 2012, 1: 5000) - Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100'000)	- Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100000)	- Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100000)	- Protected objects of historical interest map (Denkmalschutz objekte, *, 2013, 1: 5000)
	Work load [man/days]	3	2	2	2	2	1
Vulnerabilit y	Dataset	- Percentage of disable in Zurich city and data	-	-	- Digital map of soil coverage of Switzerland	<ul><li>25 m DEM (***, GIS WSL, 1994)</li><li>Digital map of</li></ul>	-

	census (*****, 2010)			(****, 2012, 1: 200'000)	soil covera Switzerlar (****, 203 200'000)	nd		
	Work load 1 [man/days]	1	-	-	1	2	-	
Risk	Work load (	).5	0,5	0,5	0,5	0,5	0,5	
	[man/days]	-,-	-,-	-,0	-,-	-,-	-,5	
1								
2								

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6

8 9 \*GIS Centre of Canton of Zurich

\*\*Statistical Office of Canton of Zurich

\*\*\*Swiss Federal Office of Topography

\*\*\*\*\*Swiss Federal Statistical Office

\*\*\*\*Swiss Federal Office for Agriculture

HAZARD ASSEMENT	Selected flood metric	Receptor
	Water depth (m)	People, Buildings
	Flow velocity (m/s)	People, Buildings, Agriculture
Flood hazard	Flood extension (Km <sup>2</sup> )	Infrastructures, Natural and Semi-Natural Systems, Cultural Heritage
	Debris Factor	People

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Flood depth (d)	Debris factor (DF) for urban areas
d ≤ 0.25 m	0
0.25  m < d < 0.75  m	1
$d \ge 0.75$ or $v > 2$ m/s	1

# 1 Table 4. Classification of water depths from flood hazard maps provided by the GIS Centre of

# 2 Canton of Zurich

Depth Classes	[m]
1	< 0.25
2	0.25 - 0.50
3	0.50 - 0.75
4	0.75 - 1.00
5	1.00 - 1.50
6	1.50 - 2.00
7	>2.00

Table 5. Classification of intensity parameter (function of water depth -d, and velocity -v) from flood hazard maps provided by the GIS Centre of Canton of Zurich

Intensity Classes	Description	Condition
1	Low	d < 0.5  m or v · d < 0.5 m <sup>2</sup> /s
2	Medium	$0.5 < d < 2.0 \text{ m or} \\ 0.5 \text{ m}^2/\text{s} < v \cdot d < 2.0 \text{ m}^2/\text{s}$
3	High	d > 2 m  or $v \cdot d > 2 m^2/s$

Table 6. Computation of (single) values of velocity (v) from available data (water depths –d, debris factor – DF, and intensity - I).

			Velo	city v = I / d
Depth classes	Depth of reference (d) [m]	DF	Intensity class 1 $(d \cdot v = 0.5)$ $[m/s]$	Intensity classes 2 and 3 $(d \cdot v = 2)$ $[m/s]$
1	0.25	0	2.00	8.00
2	0.5	1	1.00	4.00
3	0.75	1	0.67	2.67
4	1	1	0.50	2.00
5	1.5	1	0.33	1.33
6 and 7	2	1	0.25	1.00

Table 7. Hazard scores to people computed from available data (water depths -d, velocity -v, debris factor -DF, and intensity -I).

			$\mathbf{H}_{\mathrm{people}} = \mathbf{d}$	$\mathbf{v} + \mathbf{d} \cdot 1.5 + \mathbf{DF}$
Depth classes	Depth of reference (d) [m]	DF	Intensity (I) class 1 $(d \cdot v = 0.5)$	Intensity (I) classes 2 and 3 $(d \cdot v = 2)$
1	0.25	0	0.875	2.375
2	0.5	1	2.25	3.75
3	0.75	1	2.625	4.125
4	1	1	3	4.5
5	1.5	1	3.75	5.25
6 and 7	2	1	4.5	6

Risk Classes (R1)	Number of injuries
Very low	1 - 50
Low	50 - 100
Medium	100 - 150
High	150- 200
Very high	>200

Table 9. Relative risk classes and range of values for fatalities.

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Risk Classes (R2)	Number of fatalities
Very low	1
Low	2
Medium	3
High	4
Very high	>5

# Table 10. Statistics about the buildings coverage along the Sihl river valley.

Buildings: CLC class	Total [#]	%	coverage [Km²]	% of coverage
111-112: Continuous urban fabric - Discontinuous urban fabric	18,255	94.0	8.9	83.4
121: Industrial or commercial units	780	4.0	1.4	12.9
122: Road and rail networks and associated land	100	0.5	0.3	3.1
141-142: Green urban areas - Sport leisure facilities	295	1.5	0.1	0.6
Total	19,430	100.0	10.7	100.0

1 Table 11. Relative risk classes and range of values for buildings.

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Risk Classes (R3)	Description	# of inundated buildings
Not at risk	Not inundated	16,163
Low	Inundation	3267
Medium	Partial damage	0
High	Total destruction	0

# 1 Table 12. Statistics related to the Risk for buildings for different CLC classes.

Risk for buildings (CLC classes)	Flooded [#]	Flooded [%]	Flooded area [km <sup>2</sup> ]	Flooded area [%]
1.1.1-1.1.2: Continuous urban fabric - Discontinuous urban fabric	3,075	94.1	1.8	83.4
1.2.1: Industrial or commercial units	154	4.7	0.3	12.4
1.2.2: Road and rail networks and associated land	17	0.5	0.1	4.1
1.4.1-1.4.2: Green urban areas - Sport leisure facilities	21	0.6	0.004	0.2
Total	3,267	100.0	2.2	100.0

Table 13. Relative risk classes and range of values for infrastructures.

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Risk Classes (R4)	Length of infrastructures at risk within each district [km]
Very low	0.01 - 7
Low	7 - 14
Medium	14 - 21
High	21 - 28
Very high	28 - 32

Table 14. Relative risk classes and range of values for agriculture.

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Risk Classes (R5)	Description	Agricultural areas [km²]
Not at risk	Not inundated	7.08
Low	Inundated	0
High	Destructed	0.59

# 1 Table 15. Statistics related to the Risk for agriculture for different CLC classes.

Agricultural typology (CLC classes)	Description	Total Area [km <sup>2</sup> ]	Flooded agricultural area [km²]
CLC class 2.1.1	Non-irrigated arable land	7.35	0.53
CLC class 2.3.1	Pastures	0.31	0.07
Total		7.67	0.59

Table 16. Weight assigned to different receptors by local experts and relevant stakeholders

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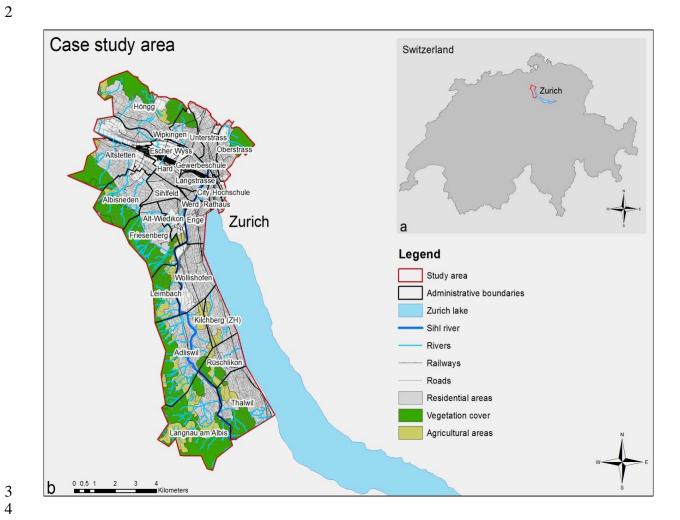
5	Receptor	Weights
6	Infrastructures	0.8
7	Buildings	0.6
8	People	0.4
9	Agriculture	0.2
10 11	Cultural Heritage	0.1
12	Natural and semi-natural systems	0
13		<u> </u>

Table 17. Total risk index classification and range of values.

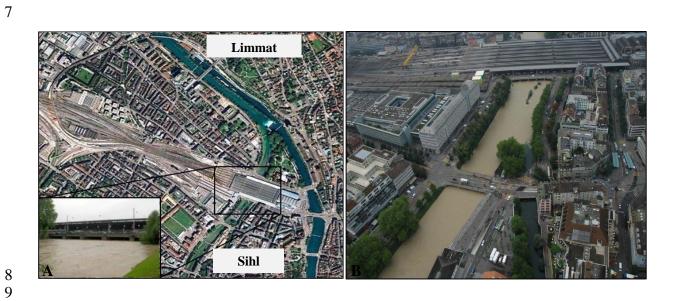
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<b>Total Risk Classes</b>	Score
Very low	0-0.048
Low	0.048 - 0.96
Medium	0.096 - 0.14
High	0.14 - 0.19
Very high	0.19 - 0.24

### Figure 1. The case study area: a) its location in Switzerland and b) its main characteristics.



- 1 Figure 2. Sihl river flowing beneath Zurich main train station before the confluence with Limmat
- 2 river:
- 3 (A) from Google Map; in the box the situation in August 2005 during a flood event, source: A.
- 4 Senn (WSL).
- 5 (B) Sihl river flowing underneath Zurich main train station in August 2005 (discharge: 280
- 6 m<sup>3</sup>/s), source: Office of Waste, Water, Energy and Air, Zürich (M. Oplatka).



- Figure 3. Baseline scenario for Sihl case study related to a 300 years return period flood
- 2 scenario, in box A the Zurich main station, in box B the upstream river valley area.

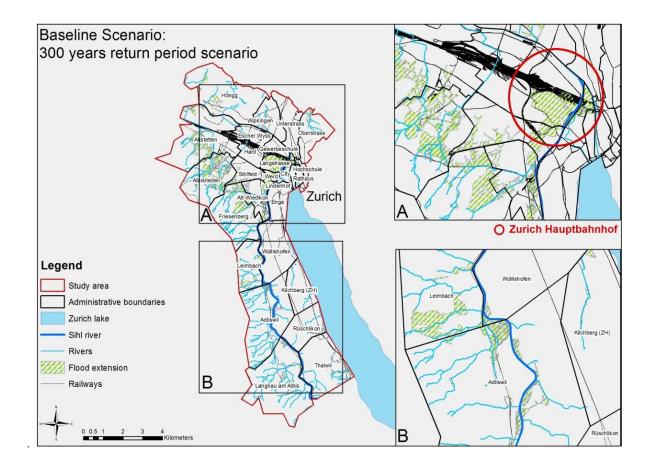
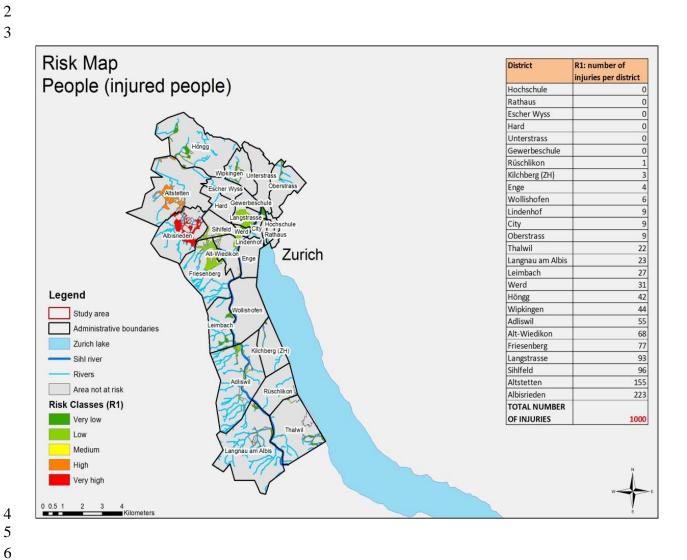
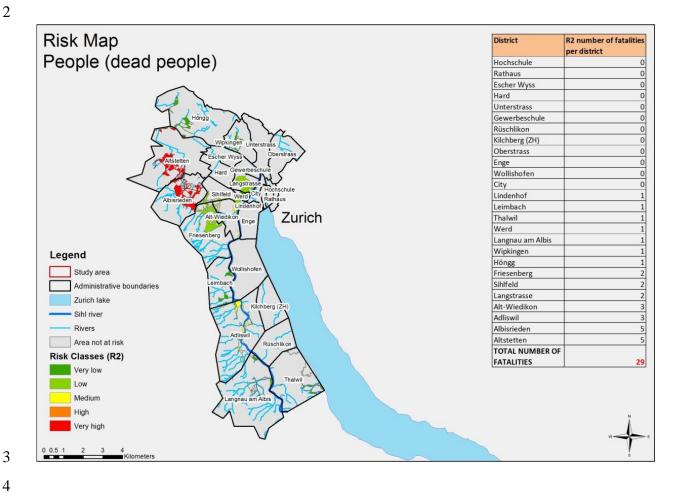


Figure 4. Relative risk map for injured people with statistics at District level.



#### Figure 5. Relative risk map for fatalities with statistics at District level.



## Figure 6. Relative risk map of buildings (left) and the city centre (right).

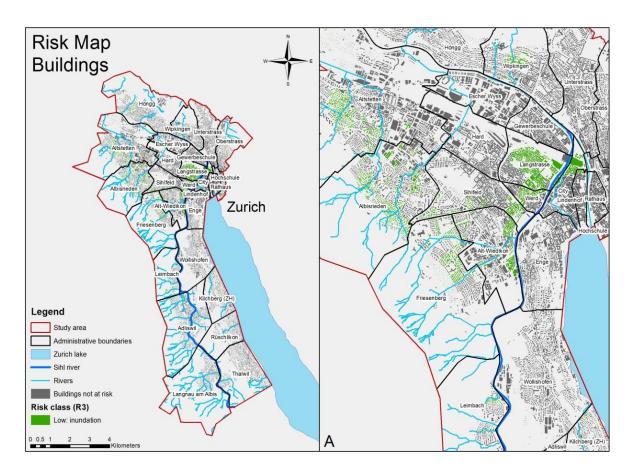
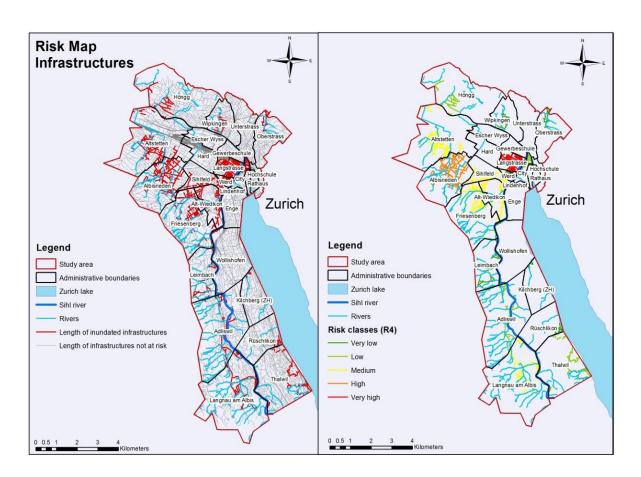
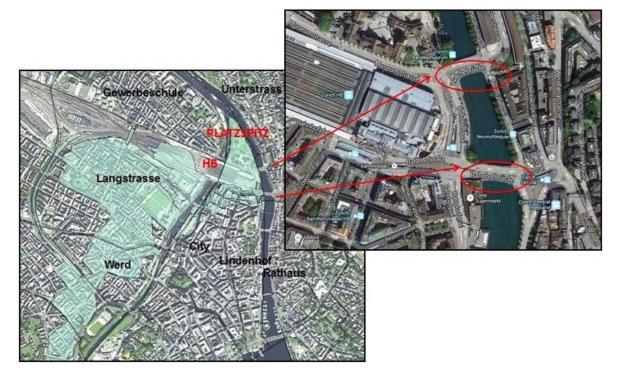


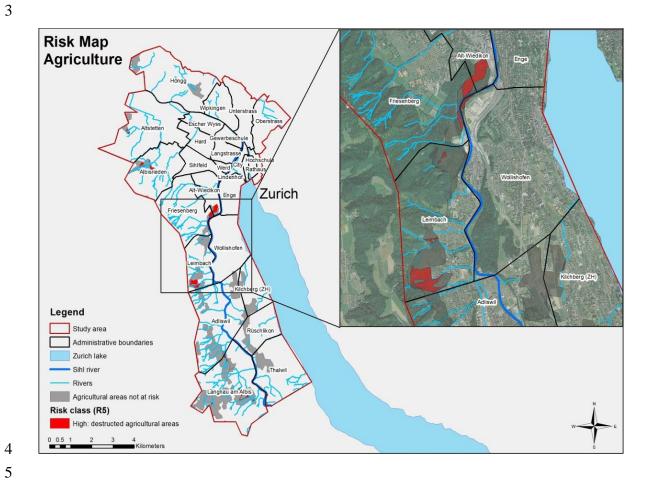
Figure 7. Exposure (left) and relative risk (right) map for infrastructures (roads, railways, pathways).



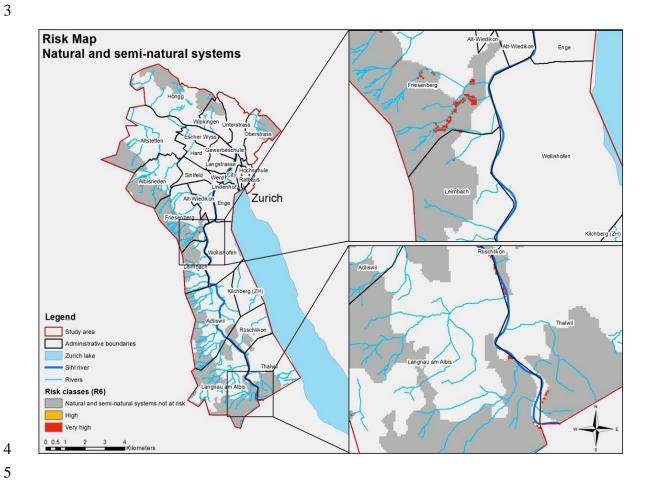
- Figure 8. Relevant infrastructures (hotspots) at risk (Langstrasse and City areas with their roads,
- 2 Zurich main train station Hauptbahnhof (HB), Platzspitz, Bahnhofbrücke and Walchebrücke
- 3 bridges). Source: Google Maps modified.



- Figure 9. Relative risk map for agriculture showing flooded and destructed agricultural areas,
- with a box on the most affected area.



- 1 Figure 10. Relative risk map for natural and semi-natural systems (left) with two boxes showing
- details on the most affected area (right).



## 1 Figure 11. Relative risk map for cultural heritage (left) with two particulars (right).

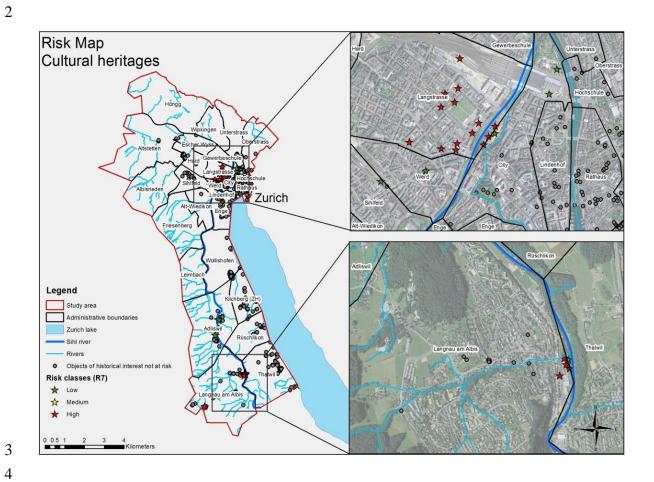


Figure 12. Total risk map for the Sihl river valley considering the 300 years return period scenario.

