

# The KULTURisk Regional Risk Assessment methodology for water-related natural hazards -Part 2: Application to the Zurich case study

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## Abstract

The main objective of the paper is the application of the KULTURisk Regional Risk Assessment (KR-RRA) methodology, presented in the companion paper (Part 1, Ronco et al., 2014), to the Sihl river valley, in Switzerland. Flood related risks have been assessed for different receptors lying on the Sihl river valley including the city of Zurich, which represents a typical case of river flooding in urban area, by means of a tuning process of the methodology to the site-specific context and features. Risk maps have been developed under a 300 years return period scenario for six identified relevant targets, exposed to flood risk in the Sihl valley, namely: people, economic activities (including buildings, infrastructure and agriculture), natural and semi-natural systems and cultural heritage. Finally, the total risk index map has been produced to visualize the spatial pattern of flood risk within the area of study and, therefore, to identify and rank areas and hotspots at risk by means of multi criteria decision analysis (MCDA) tools. Through of a tailored participative approach, the total risk maps supplement the consideration of technical experts with the (essential) point of view of the relevant stakeholders for the appraisal of the specific scores and weights related to the receptor-relative risks. The total risk maps obtained for the Sihl river case study are associated with the lower classes of risk. In general, higher relative

1 risks are concentrated in the deeply urbanized area within and around the Zurich city centre and  
2 areas that rely just behind to the river course. Here, forecasted injuries and potential fatalities are  
3 mainly due to high population density and high presence of vulnerable people; inundated  
4 buildings are mainly classified as continuous and discontinuous urban fabric; flooded roads,  
5 pathways and railways, the majority of them referring to the Zurich main train station  
6 (Hauptbahnhof), are at high risk of inundation, causing huge indirect damages. Moreover, the  
7 analysis of flood risk to agriculture, natural and semi-natural systems and cultural heritage have  
8 pointed out that these receptors could be relatively less impacted by the selected flood scenario  
9 mainly because their scattered presence. Finally, the application of the KR-RRA methodology to  
10 the Sihl river case study, as well as to several other sites across Europe (not presented here), has  
11 demonstrated its flexibility and possible adaptation to different geographical and socio-economic  
12 contexts, depending on data availability and peculiarities of the sites, as well as for other  
13 (hazard) scenarios.

14

## 15 **1. Introduction**

16 Nowadays, one of the major environmental issues which is asserting more and more at global  
17 scale is the increasing threat related to natural disasters. Among the variety of such disasters,  
18 flooding has significant impacts on human activities as it can threaten people's lives, property,  
19 assets, services as well as the environment. Assets at risk can include housing, transport and  
20 public service infrastructure, as well as commercial, industrial and agricultural enterprises. The  
21 health, social, economic and environmental impacts of flooding can be dramatic and have a wide  
22 community impact (Mazzorana et al., 2012). In this sense, the so called not-sustainable  
23 development can exacerbate the problems of flooding by accelerating and increasing surface  
24 water run-off, altering watercourses and removing floodplain storage (OPW, 2009). In the  
25 meantime, frequency and magnitude of floods events are currently being intensified by changes  
26 in temperature, precipitation, glaciers and snow cover, triggered by climate change dynamics.  
27 Projected changes in precipitation regimes will also contribute to altering the intensity and  
28 frequency of rain-fed floods and possibly also of flash floods (IPCC, 2012). In Europe, floods  
29 account for the biggest share of damage inflicted by natural disasters, both on economic terms  
30 and life threat (see: Statistics about natural disasters losses and frequency in Europe for the  
31 period 1980-2008. Source: EM-DAT, 2009.).

32 Particularly, in Switzerland severe flood events have occurred in many catchments in the last  
33 decade: frequent floods alternated with quieter periods have occurred during the last 150 years  
34 (Bründl et al., 2009). In northern Switzerland, indeed, numerous floods were recorded between  
35 1874 and 1881 and from 1968 onwards, while few floods have occurred in-between. Since

1 around 1900, three massive flood events in northern Switzerland have occurred (1999, 2005 and  
2 2007, Schmocker-Fackel and Naef, 2010). Recent researches conducted by Hilker et al. (2009)  
3 and Badoux et al. (2014) has estimated an approximate 8 billion Euros of total monetary loss due  
4 to floods, debris flows, landslides and rockfall, where 56% of this damage caused by six single  
5 flood events from 1978 to 2005, and (up to) 37% due to sediment transport.

6 On these basis, the pro-active and effective engagement of scientists, stakeholders, policy and  
7 decision makers towards the challenging objective of reducing and possibly mitigating the  
8 impact of floods, is dramatically needed. In fact, only over the last few years the science of these  
9 events, their impacts, and options for dealing with them has become robust enough to support  
10 and develop comprehensive and mature assessment strategies (IPCC, 2012). Several  
11 methodologies to assess the risk posed by water-related natural hazards have been proposed  
12 within the scientific community, but very few of them can be adopted to fully implement the last  
13 European Flood Directive (FD). Through a tailored Regional Risk Assessment (RRA) approach,  
14 the recently phased out FP7-KULTURisk Project (Knowledge-based approach to develop a  
15 cULTUre of Risk prevention-KR), developed a state-of-the-art risk assessment methodology to  
16 assess the risk posed by a variety of water-related hazards. The KR-RRA methodology has been  
17 widely presented by Ronco et al. (2014) in the companion paper, part 1. The Regional Risk  
18 Assessment approach, in general, is aimed at providing a quantitative and systematic way to  
19 estimate and compare the impacts of environmental problems that affect large geographic areas  
20 (Hunsaker et al., 1990). By means of different, more or less sophisticated algorithms, the main  
21 objectives of Regional Scale Assessment are the evaluation of broader scale problems, their  
22 contribution and influence on local scale problems as well as the cumulative effects of local scale  
23 issues on regional endpoints in order to prioritize the risks present in the region of interest in  
24 order to prioritise and evaluate intervention and mitigation measures. Accordingly, RRA  
25 becomes important when policymakers are called to face problems caused by a multiplicity of  
26 sources of hazards, widely spread over a large area, which impact a multiplicity of endpoint of  
27 regional interest . The proposed KR-RRA methodology follows the theoretical approach  
28 proposed by Landis and Weigers (1997) and used in a wide range of cases (Pasini et al., 2012,  
29 Torresan et al., 2012), that suggested the following implementation steps: i) identification of the  
30 different sources, habitats and impacts; ii) ranking the (relative) importance of the different  
31 components of the risk assessment; iii) spatial visualisation of the different components of the  
32 risk assessment; iv) relative risk estimation.

33 Finally, through the integration of the three pillars of risk concept defined by UNISDR (2005)  
34 and IPCC (2012) as hazard, exposure, and vulnerability, the proposed KR-RRA methodology  
35 represents a benchmark for the implementation of the Floods Directive at the European level.

1 This innovative, effective and integrated approach has been used for assessing the risk of flood  
2 posed by the Sihl river and tributaries to the city of Zurich and surrounding, by considering  
3 different flood impacts on multiple receptors (i.e. people, economic activities, natural and semi-  
4 natural systems, cultural heritage) at the meso-scale level.

## 6 **2. The Sihl river valley**

7 The Sihl is a 68 km long alpine river located in the foothills of the Alps of Switzerland. The  
8 sources of the river (total basin coverage: 336 km<sup>2</sup>) are located at Drusberg in the Canton of  
9 Schwyz (SZ) in the central part of Switzerland. Downstream it flows through the artificial Sihl  
10 lake regulated by a concrete dam (upstream basin: 156 km<sup>2</sup>) entering the Canton of Zurich (ZH)  
11 through the Sihl valley and flowing parallel with Zurich lake, separated by a chain of hills.  
12 Finally, the Sihl river joins the Limmat river at Platzspitz in the Zurich city centre (downstream  
13 basin: 180 km<sup>2</sup>). As many of the alpine rivers, the Sihl preserves most of its natural  
14 morphological pattern of a meandering river and it is not navigable.

15 The Sihl river valley is extensively wooded and, in particular, the forest lying on the hills is  
16 classified as coniferous and mixed forest. Since the year 2000, the Sihl forest has been declared  
17 as (protected) Natural Reserve and several areas along the river have become attractive for  
18 recreation purposes as well as important ecological habitats. The river valley is also cultivated as  
19 arable land and with pastures. The upstream part of the Sihl consist of several small torrential  
20 rivers, able to mobilize high quantities of bedload (Rickenmann et al., 2012) and drift-wood  
21 (Turowski et al., 2013). While bedload just causes the typical brown color of the water of the  
22 Sihl (Fig.2) that join the clear waters of the Limmat, drift wood represent a serious treat along  
23 the whole channel of the Sihl, since it can cause obstruction of the river section below bridges  
24 and, most important, below Zürich central station.

25 As far as the administrative characterization is concerned, the Sihl river valley includes parts of  
26 the districts of Einsiedeln (SZ) (upper Sihl valley), Horgen (ZH) and Zurich (lower Sihl valley).  
27 The studied area (77.97 km<sup>2</sup>) covers only the lower part of the valley and in particular the city of  
28 Zurich with its 21 districts (Albisrieden, Alt-Wiedikon, Altstetten, City, Enge, Escher Wyss,  
29 Friesenberg, Gewerbeschule, Hard, Hochschule, Höngg, Langstrasse, Leimbach, Lindenhof,  
30 Oberstrass, Rathaus, Sihlfeld, Unterstrass, Werd, Wipkingen, Wollishofen) and 5 municipalities  
31 (Adliswil, Kilchberg, Langnau am Albis, Rüschlikon and Thalwil) (see Fig.1).

32  
33 *Figure 1*

1 The area of reference is densely populated, in particular on its lower part close to the city of  
2 Zurich, which is located north and north-west of Zurich lake. According to CORINE Land Cover  
3 (CLC) classification (EEA, 2007), the residential area covers 41.28 km<sup>2</sup> (more than half of the  
4 case study area) and the total population is 289'029 (Statistical Office of Canton of Zurich,  
5 2011), while 20.19 km<sup>2</sup> are covered by forest and just 7.67 km<sup>2</sup> are devoted to agriculture.  
6 Several cultural heritage hotspots are present in the valley and especially in Zurich city centre,  
7 among the others are the Swiss National Museum, the Kaspar Escher House, the Fraumünster  
8 and the Church of Bühl.

9

### 10 **3. Hydrological pattern and regime**

11 The Sihl river basin is located in the middle of Swiss Alps and it is particularly prone to flash  
12 floods: during wintertime snow accumulates in the headwaters and snow melt governs runoff  
13 generation in late spring and early summer. Flash-floods as triggered by intense thunderstorms  
14 might be responsible for high damages in the upstream areas (e.g. the region of Einsiedeln), but  
15 rarely lead to critical peak-runoff in the downstream part of the river. Critical runoff for the  
16 environs of Zürich are triggered by long-lasting rainfall events that lead to the overflow of the  
17 Sihl lake (Scherrer et al., 2013) This process is generally slow but severe floods can occur  
18 whenever the rate of water input exceeds the ability of the soil to absorb it or when the amount of  
19 water exceeds natural storage capacities in soil, rivers, lakes and reservoirs. In fact, Sihl river  
20 represents the largest flood threat for the city of Zurich, Switzerland's most populated  
21 city(Addor et al., 2011): just before joining the Limmat river, the Sihl flows beneath the main  
22 railway station of Zurich (Zürich Hauptbahnhof HB) located in the city centre, as showed in  
23 Fig.2.

24

25 *Figure 2*

26

27 Pro Sihltal (2008) reported the most important floods that have occurred in the Sihl river valley  
28 during the last three centuries. In 1910, in particular, a massive event flooded Zurich main train  
29 station with more than 40 cm of water, some railway tracks were badly damaged and the service  
30 was interrupted, Leimbach and Adliswil districts were under 1 m of water and some buildings of  
31 the Swiss National Museum at Platzplitz were completely flooded. In 1937, the artificial  
32 multipurpose Sihl lake was realized for both hydropower and as retention (over-flow) basin to  
33 reduce the frequency of flooding downstream (Schwanbeck et al., 2010). Until 1999 no more  
34 floods have been registered in the area but in 2005 and in 2007 severe inundations have  
35 demonstrated that the buffer capacity of Sihl lake retention basin is not enough to mitigate the

1 impacts of extreme flood events during heavy rainfalls seasons. In fact, even if the discharge of  
2 the Sihl is relatively modest and most of the waters from the catchment area upstream of the dam  
3 are usually diverged into the lake of Zurich, in case of heavy precipitations dam overflow might  
4 occur and, according to the dam emergency regulations procedures, discharges as high as 470  
5 m<sup>3</sup>/s can be released into the Sihl river, with dramatic consequences downstream (Addor, 2009).  
6 The extreme rainfall of August 2005, extensively described in Bezzola and Hegg, 2007; Jaun et  
7 al., 2008, triggered a (preliminary) flood risk assessment for the entire catchment (Schwanbeck  
8 et al., 2007) and, finally, the planning of few immediate, intermediate and long-term prevention  
9 measures. However, out of the planned ones, only the early warning system (EWS) model to  
10 forecast extreme events and mitigate their impact has been implemented so far, while  
11 intermediate and long-term prevention measures are still under analysis and discussion by the  
12 different stakeholders and institutions/authorities of the area. The complexity of hydrological  
13 pattern of the Sihl river valley and the need for a planned strategy of prevention measures  
14 severely asks for a broader integrated approach in order to assess the risk of flood to multiple  
15 receptors and a suite of effective tools to identify and prioritize areas and targets at risk to finally  
16 evaluate the benefits of different prevention scenarios.

17

#### 18 **4. Dataset characterization and processing**

19 The dataset required for the application of the KR-RRA methodology includes: i)  
20 characterization of the intensity and the frequency of the flood event, to be framed into specific  
21 hazard scenario (e.g. hazard metrics such as flow velocity, water depth, flood extension, return  
22 period); ii) spatial pattern and distribution of the investigated receptors (e.g. people, economic  
23 activities, natural and semi-natural systems, cultural heritage) in order to perform the exposure  
24 assessment; iii) relevant indicators (e.g. percentage of disable people, slope, soil type etc.) to  
25 perform the vulnerability assessment, that is the degree to which the different receptors could be  
26 affected by the (flood) hazard. The dataset has been mainly provided by the GIS Centre of  
27 Canton of Zurich, the Swiss Federal Office of Topography, the Statistical Office of Canton of  
28 Zurich, the Swiss Federal Statistical Office (BFS, Bundesamt für Statistik) and the Swiss Federal  
29 Office for Agriculture (FOAG), (Bundesamt für Landwirtschaft, BLW) in raster, vector graphic  
30 or numerical format, as specified in Table 1.

31 For the risk assessment to agriculture and natural & semi-natural systems, the CLC dataset  
32 (EEA, 2007, with spatial resolution of 1:100'000) has been used to spatially characterize the  
33 targets at the regional level; while for buildings, infrastructures and cultural heritage, data with a  
34 finer resolution (spatial resolution of 1:5'000) has been used. Finally, to characterize the receptor  
35 people, the residential census data provided has been used to compute the number of people

1 within residential cells of 25 m<sup>2</sup>. The work load (in terms of man/days) required to process the  
2 dataset and produce the maps related to the four assessment steps is also presented in Table 1.

3 *Table 1*

#### 5 **4.1 Hazard data processing**

6 As explained by Ronco et al. (2014) in Part I, the hazard assessment is aimed at identifying the  
7 relevant physical metrics (water depth, velocity and flood extension) obtained from  
8 hydrodynamics models for the different scenarios to be investigated (baseline or alternative). The  
9 methodology makes different use of the various hazard metrics depending on the analysed  
10 receptors in order to assess the relative risk, as depicted in Table 2.

11  
12 *Table 2*

13  
14 Water depths and velocities are normally computed (and mapped) by commercial, more or less  
15 sophisticated, hydraulics models. Moreover, the debris factor, that ranges between 0 and 1  
16 respectively low and high probability that debris would lead to a significant hazard, can be easily  
17 assigned according to different ranges of water depth and velocity, as per Table 3.

18  
19 *Table 3*

20  
21 However, while existing flood hazard maps can be easily used to estimate flood depth, they do  
22 provide information on flow velocity very rarely (DEFRA, 2006). This is the case of the Sihl  
23 river valley, where patterns of velocities were not available. In fact, only patterns of water depths  
24 and intensities (namely: the combination between water depths and velocities) classified in range  
25 of values (classes) have been provided by the local authorities, without any explicit specification  
26 about the particular (hydraulic) models that have been used (see Table 4 and 5).

27  
28 *Table 4*

29  
30 *Table 5*

31  
32 The pattern of water velocity has been calculated as follow. Based on a precautionary principle  
33 (highest values of depth  $d$  and velocity  $v$  are associated to the highest level of hazard) the highest  
34 values for depth  $d$  and  $v \cdot d$  product have been selected for each class (e.g:  $d = 0,5$  m for the class  
35 2 of Table 4, and  $v \cdot d = 0,5$  m<sup>2</sup>/s for class 1 of Table 5). Moreover, due to the specific range of

1 values referred to the case study, classes 2 and 3 of intensity have been merged as well as classes  
2 6 and 7 of depth. Now, provided that the  $v \cdot d$  product and  $d$  are known as single values, and not  
3 as a range of values as it was before, it was easy to derive the pattern of velocities (see Table 6).

4  
5 *Table 6*

## 6 7 **5. Baseline and alternative hazard scenarios**

8 The KULTURisk methodological framework requires the preliminary setting and analysis of  
9 different flood scenarios (baseline and alternative) where structural and/or non-structural  
10 solutions to mitigate, and possibly reduce, the risk are planned.

11 As request by the European Flood Directive (2007/60/EC), the baseline scenarios should be  
12 based on deterministic flood hazard maps, where flood-prone areas are classified according to  
13 different classes of frequency of the event (high, medium and low). In particular, the probability  
14 depends on the concept of return period of the hazardous event and the classification is based on  
15 the following thresholds:

- 16 • Frequent event  $TR < 30$  years – High probability of floods
- 17 • Average event  $30 \text{ years} < TR < 100$  years – Medium probability of floods
- 18 • Rare event  $100 \text{ years} < TR < 300$  years – Low probability of floods.

19 Spatially distributed flood hazard maps are normally used by property owners, local authorities  
20 and land planners to characterize the hazard in the area, prepare for floods and properly manage  
21 the events (EEA, 2009). As far as the Zurich case study is concerned, the available flood hazard  
22 maps referring to three classes of hazards (30, 100 and 300 years of return period) have been  
23 provided by the GIS Centre of Canton of Zurich. The low probability – high intensity 300 years  
24 return period scenario has been considered the most relevant for the purpose of this study (see  
25 Fig.3) since the other two scenarios (30 and 100 years) only marginally affect the typical prone  
26 area of the Sihl valley and, in particular, do not affect the main railway station of Zurich that,  
27 according to local stakeholders, experts and forensic analysis of past flood events, has been  
28 considered the hottest spot of analysis. Moreover, relative risk maps for these (marginal) hazard  
29 scenarios have not been presented as not relevant to the overall objective of the study: to test the  
30 degree of applicability of an innovative methodological approach in an (emblematic) case study,  
31 and not to assess the complete suite of risk patterns according to the different (and not bounded)  
32 plausible scenarios that could characterize the hazard for that particular case study, in order to  
33 support that (case-specific) decision making process. Finally, by assessing the most catastrophic



1 configuration, the selected (baseline) scenario gives the opportunity to plan the mitigation,  
2 adaptive, response and preparedness actions in a (very) precautionary framework.

### 3 4 *Figure 3*

5  
6 In 2008 an Early Warning System (EWS IFKIS Hydro Sihl) has been installed along the Sihl  
7 river valley (Romang et al., 2011; Bruen et al. 2010). The EWS IFKIS is a hydro-meteorological  
8 ensemble prediction system based on atmospheric forecasts provided by the (deterministic)  
9 model COSMO-7 and the (probabilistic) model COSMO-LEPS. It propagates the atmospheric  
10 uncertainty by ingesting atmospheric ensembles from COSMO-LEPS, leading a probability of  
11 errors . Coupled with a flood retention system by extending the reservoir buffering capacity of  
12 the Sihl lake, the EWS contributes to a consistent reduction of flood risk magnitude of the Sihl  
13 river. However, in this study the baseline scenario considers the situation before the  
14 establishment of this mitigation measure since the reduction of the flood risk for the EWS cannot  
15 be assessed to a reliable degree because data referring to larger flood events are not (yet)  
16 available (Addor et al., 2011).

17 The Canton of Zurich is currently discussing further prevention measures such as bypass tunnel  
18 (close to Langnau am Albis, Fig. 1) diverging flood peaks along the Sihl valley into the Lake of  
19 Zürich, or a larger pipe between the Sihl lake and the lake of Zürich to both allow for increased  
20 hydropower production and accelerated drawdown of the lake to increase the buffering capacity  
21 of the Sihl lake during critical flood events. Furthermore a reservoir for drift wood is thought of  
22 to be realized in Langnau am Albis(Fig. 1). In case of being established, these prevention  
23 measures could reduce the flood risk of the Sihl to a lower level but details on the expected  
24 impact under different prevention measures have not yet been estimated. Due to this, alternative  
25 scenarios have not been considered in this study.

## 26 27 **6. Results of the KR-RRA application to the selected receptors**

28 The KR-RRA methodology presented in the companion paper (Part 1) has been applied to the  
29 Zurich case study by considering the whole suite of receptors at risk, namely: people; economic  
30 activities, including buildings, infrastructure and agriculture; natural and semi-natural systems  
31 and cultural heritage. Through the sub-sequential implementation of the hazard, exposure,  
32 susceptibility and risk assessments, GIS based maps and related statistics of total and receptor-  
33 related risks have been produced and presented below.

### 34 35 **6.1 Risk to People**

### 6.1.1 Assessment

According to the KR-RRA procedure (see the Part 1, Eq.(1)) and following the (hazard) data processing presented above, the hazard scores for Sihl river case study have been calculated and reported in Table 7. The hazard scores range from 0.9 to 6, where increasing values mean an increasing hazard for people.

#### *Table 7*

As far as the exposure assessment is concerned, the total population living in residential areas is of 289'029. The largest district is Altstetten (7.48 km<sup>2</sup> with 30'148 habitants), while Sihlfeld and Gewerbeschule are the most densely populated ones (11'759 habitants/km<sup>2</sup> and 13,163 habitants/km<sup>2</sup> respectively). Most of the upper part of the Sihl Valley has a lower density, with a range between 826 and 5'981 habitants/km<sup>2</sup>. Moreover, the Statistical Office of Canton of Zurich has provided demographic data of people to characterize the susceptibility factors (people aged more than 75 and residents with disabilities). The same value (5%) has been considered for each district, assuming that for each municipalities the number of disable people is equally distributed. Therefore, differences among the SF score actually depend only on the percentage of elderly residents. and ranges from 7.6% to 32.3%. Finally, the presence of people within each district has been used to estimate the number of people within cells of 25 m resolution in the residential areas. The normalization phase has been performed according the KR-RRA procedure, so the number of injured/killed people has been divided by the number of people relative to the district with highest population.

### 6.1.2 Results

People related risk maps (Figs.4 and 5, Tables 8 and 9) provide the number of injuries ( $R_1$ ) and fatalities ( $R_2$ ) spatially distributed along the Sihl river valley. As for the other receptors, the classification is obtained through the equal-interval methods. The forecasted number of total injuries is estimated in 1000, while the number of total (potential) fatalities is estimated in 29. Among the affected areas, Albisrieden and Altstetten districts, that are densely populated with medium scores for susceptibility, are subject of higher values of casualties with 223 and 155 injuries and 5 fatalities each, respectively. It should be underlined that these two districts are normally flooded by the Limmat river, a tributary of the Sihl. Considering only the Sihl prone-area, the districts that suffer from the higher numbers of casualties are Adliswill, Alt-Wiedikon, Langstrasse and Sihlfeld with a range of injuries between 55 to 96 and 2 to 3 fatalities. The percentage of injured people considering the total population of the study area is 0.35% and the

1 percentage of dead people is 0.01%. These rates suggest that risk to people is generally low,  
2 despite not negligible, if we consider the high density of population that actually rely on the  
3 residential area.

4 As already mentioned, in fact, the KR-RRA methodology considers people living in residential  
5 areas only, and does not include people eventually present in other zones, such as commercial,  
6 industrial and agricultural areas. Moreover, the methodology doesn't discriminate between  
7 day/night times. During the daytime, in fact, people are usually located in their working places  
8 and/or in restaurants, bars, shopping centres, facilities (as the main station of Zurich) and along  
9 the streets. Therefore the methodology is somehow underestimating the number of injuries and  
10 fatalities in these areas while overestimating injuries and fatalities in the residential areas.  
11 Finally, it is worth to notice that the RRA methodology only partially consider people's coping  
12 and adaptive capacity since these aspects are fully enclosed in the social-economic clusters  
13 (SERRA) of the (complete) KR methodology (see Giupponi et al., 2014).

14

15 *Figure 4*

16

17 *Table 8*

18

19 *Figure 5*

20

21 *Table 9*

22

## 23 **6.2 Risk to Economic activities: Buildings**

### 24 **6.2.1 Assessment**

25 Floods have a potential massive impact on buildings infrastructures (e.g. partial or total damage  
26 to the structures, damage to the indoor goods), particularly in densely populated area as it is for  
27 most of the Sihl river valley. The analysis makes considerable use of the Building footprint GIS  
28 shapefile (GIS Centre of Canton of Zurich TLM3D Building footprint) for the spatial  
29 localization of the buildings at risk (total number of buildings: 19'430; total surface covered by  
30 buildings: 10.67 km<sup>2</sup>). Moreover, coupling these data with the hazard maps, it is possible to  
31 discriminate flooded building belonging to different uses (i.e. residential, commercial and  
32 industrial areas). Table 10 shows the statistics related to the presence and coverage of buildings  
33 which can potentially be flooded, according to the different CLC classes.

34

35 *Table 10*

1  
2 As already reported by the companion paper (Part 1), the vulnerability assessment assumes that,  
3 at the meso-scale level, the buildings are characterized by the same structure. Therefore, the  
4 susceptibility of buildings is assumed as a constant value. Finally, the risk assessment to  
5 buildings estimates the number, surface and percentage of flooded buildings referring to different  
6 uses; the related normalization phase for buildings has been developed by considering  
7 normalized scores where values from 0 (no risk) to 1 (maximum risk) are assigned according to  
8 the different classes of risk.

9

## 10 **6.2.2 Results**

11 The GIS-based risk map (Fig.6) points out the spatial distribution of the risk to building across  
12 the studied area. Being the intensity of considered scenario lower than the fixed threshold, all the  
13 buildings affected by the flood event would be only inundated and would not suffer from  
14 dramatic structural damages. Despite this, the flooding can still have dramatic consequences on  
15 the infrastructure because many assets of primary importance, such as electricity and water  
16 services, heating, are normally located at the lower ground level. The total number of buildings  
17 at risk is 3,267 and the related surface area at risk is 2.2 km<sup>2</sup>. The percentage of flooded  
18 buildings is around 17% while the percentage of flooded areas is almost 20% over the total  
19 surface actually covered by buildings.

20 As already mentioned, the studied area is mostly classified as residential one and almost 95% of  
21 flooded buildings belong to class 1.1.1 and 1.1.2 of CLC (Continuous and discontinuous urban  
22 fabric) while just less than 6% of inundated buildings belong to classes 1.2.1, 1.2.2, 1.4.1 and  
23 1.4.2 (Industrial or commercial units, Road and rail networks and associated land, Green urban  
24 areas and Sport leisure facilities). In particular, only 17 items are classified as infrastructure  
25 related to the supply of services (road, rail networks and associated land class) so the risk for this  
26 category is very relevant (most of them are linked to the strategic transportation network of the  
27 main railway station of Zurich city, Zurich Hauptbahnhof). Box A of Fig. 6 focuses on the  
28 districts with higher number of inundated buildings around the Zurich city centre. Several small  
29 residential areas would be flooded also in the southern part of the city, namely Leimbach,  
30 Adliswil, Thalwil and Langnau am Albis. Table 12 presents the relevant data for the analysed  
31 receptor, considering the different use of buildings.

32

33 *Figure 6*

34

35 *Table 11*

1

2 *Table 12*

3

## 4 **6.3 Risk to Economic activities: Infrastructure**

### 5 **6.3.1 Assessment**

6 The strategic network of infrastructures have been identified using the Roads  
7 and Railways shapefiles, provided by the GIS Centre of Canton of Zurich. The information  
8 includes the characterization of roads, pathways and railway lines within the study area. Zurich  
9 main train station represents an important and strategic hub for the Cantonal railway network  
10 system as well as for the Swiss and European railways network systems: more than 1900 trains  
11 daily pass-by the Hauptbahnhof main train station. In fact, urban commuter rail networks are  
12 focused on the country's major cities: Zurich, Geneva, Basel, Bern, Lausanne and Neuchatel.  
13 Strategic highways and roads also run in and out Zurich city.

14 The flood hazard assessment to infrastructures considers the flood extension as relevant flood  
15 metric; no other flood metrics (e.g. flow velocity) have been considered because the analysis is  
16 not oriented to the evaluation of direct structural damages for infrastructure, but rather to the  
17 characterization of the loss of service. The exposure assessment step focuses on the spatial  
18 localization and distribution of the roads, railways and pathways. All these objects could be  
19 geometrically characterized by their linear extension (length) and by their extension (area). In  
20 particular, pathway routes have been considered relevant since many of them are normally used  
21 by pedestrian to connect rural area to the city centre, running along the flood prone area of the  
22 Sihl river.

23

### 24 **6.3.2 Results**

25 The infrastructures-related risk map (Fig. 7) identifies the assets potentially affected by a flood  
26 event of 300 years return period. The total extent of road, railways and pathways at risk is around  
27 209 km out of 1,540 km of infrastructure that currently rely on the study area (less than 14% of  
28 items are at risk). In particular, around 54 km refers to railways network and 155 km to roads  
29 and pathways.

30 As far as the spatial distribution of the (relative) risk is concerned, the Langstrasse and  
31 Albisrieden districts are the most affected by the flood event, belonging to the very high class  
32 and high class of risk. The extent of inundated infrastructure has been computed in 32 km and 26  
33 km, respectively. Moreover, the roads/railway network of Escher Wyss, Unterstrass, Hard and  
34 Rathaus districts do not experience any loss of services do to flood.

1 The infrastructure receptor is very relevant for the city of Zurich if we consider that the Sihl river  
2 flows underneath the main station and many railways lines are located just beside of the river  
3 course. For example, the Sihltal road (Sihltalstrasse) that runs along the Sihl river for around 16  
4 km connecting the city of Zurich with the southern area of the Sihl river valley where the  
5 Sihlwald (Sihl forest natural area) ends reaching the Sihlbrugg small village. Again, within the  
6 district of Langnau am Albis (with almost 17 km of flooded items) the railway lines could be  
7 completely flooded, as well as most of the ones belonging to the main station of Zurich city in  
8 Langstrasse district. Moreover, several pathways along the Sihl river could be affected. Of  
9 course, the flooding of pathways is less relevant than the one of highway and railways, especially  
10 by considering the economic impact. Therefore it is particularly important to discriminate and to  
11 rank the different level of service that the different categories of infrastructure could provide.

12 Considering the pattern of the urban mobility within the studied area, the following items could  
13 be considered the most critical hotspots points:

- 14 - Part of Zurich main train station Hauptbahnhof (HB), see Fig.8.
- 15 - Zurich City centre area with its pedestrian and urban road in Langstrasse and City  
16 districts including Bahnhofbrücke and Walchebrücke (two bridges next to Zurich main  
17 train station), see Fig.8
- 18 - Pathways at Platzspitz green area, see Fig.8.
- 19 - Railway lines at Langnau-Gattikon train station in Langnau am Albis district
- 20 - Sihltalstrasse in some spots where the roads runs next to the Sihl river, in particular in  
21 Adliswil, Leimbach and Langnau am Albis districts.

22

23 *Figure 7*

24

25 *Table 13*

26

27 *Figure 8*

28

## 29 **6.4 Risk to Economic activities: Agriculture**

### 30 **6.4.1 Assessment**

31 The flood hazard assessment step requires the identification of water depth and flow velocity as  
32 relevant flood metrics, while the exposure assessment to agriculture allows to identify the  
33 agricultural typologies present in the Sihl river valley according to the different classes of the  
34 CLC dataset (class 2.1.1 as Non irrigated arable land and class 2.3.1 as Pastures). The total area

1 devoted to agriculture is 7.67 km<sup>2</sup>, most of it classified as arable land. Since none of the  
2 agricultural typologies mentioned in the companion paper (Part 1) are actually present in the Sihl  
3 valley (namely: vegetables, vineyards, fruit trees and olive groves), it has been assumed that  
4 arable lands and pastures should be classified as vegetables, with similar thresholds.

5 As a sake of simplification and according to the overall scope of the analysis, namely a risk  
6 assessment at regional scale, it has been assumed that the agricultural typologies in the Sihl river  
7 valley have similar growing pattern (low growing plants) and, therefore, the same susceptibility  
8 score. According to Torresan et al. (2012) and to the technical evaluation of the authors, the two  
9 CLC classes of agricultural typologies have been considered similar to the class of poor  
10 vegetation and meadow (more susceptible to flood) with a score equal to 1.

## 11

### 12 **6.4.2 Results**

13 The agriculture related risk map (Fig.9) has been elaborated according to the procedure and  
14 features of analysis introduced above. It is worth to notice that despite the pattern of flow  
15 velocity is above the minimum threshold of 0.25 m/s, the risk for the agricultural cluster is very  
16 limited: the flooded agricultural area only amounts to 0.59 km<sup>2</sup> (around 8% of the total  
17 agricultural area). Out of this, 0.53 km<sup>2</sup> belongs to the non-irrigated arable land class (class  
18 2.1.1) and 0.07 km<sup>2</sup> to the pastures class (class 2.3.1) (Table 15). The districts more stricken are  
19 Albisrieden and Leimbach.

20 The total surface at risk is probably underestimated because the exposure classification have  
21 been performed according to the CLC resolution that could have missed out some small  
22 agricultural areas that might be important for cash crop cultivation.

23 However, the area of the Sihl river valley is mainly devoted to residential and commercial  
24 purposes, therefore the agriculture can be considered less important than other receptors such as  
25 people, buildings and infrastructure.

26  
27 *Figure9*

28  
29 *Table 14*

30  
31 *Table 15*

## 32

### 33 **6.5 Risk to Natural and Semi-Natural Systems**

#### 34 **6.5.1 Assessment**

35 Flood extension has been used to characterize the hazard for the natural and semi-natural  
36 systems. As for the other receptors, the CLC classification dataset has been used to identify and

1 characterize the natural and semi-natural systems exposed to the risk of flood along the Sihl river  
2 valley, that account for more than 20 km<sup>2</sup>. The valley is characterized by two different kind of  
3 forest systems: coniferous forest (0.21 km<sup>2</sup>, CLC class 3.1.2) which covers the area only for very  
4 small part, and mixed forest (19.98 km<sup>2</sup>, CLC class 3.1.3) which occupied most of the natural  
5 environment in the case study area. The intrinsic characteristics of the territory, namely the  
6 (susceptibility) factors that influence the degree of impact of the flood to the receptor, have been  
7 assessed according to the scores suggested by the companion paper (Part 1) contribution.

## 9 **6.5.2 Results**

10 The natural and semi-natural systems related risk map (Fig. 10) allows to identify the area  
11 potentially affected by loss of ecosystem service caused by a 300 years return period flood event.  
12 As a result, only a limited portion of forest is at risk of inundation (0.29 km<sup>2</sup>, 1.4 % of total  
13 forest areas) and two classes of risk have been identified: a very small part (625 m<sup>2</sup>) belongs to  
14 the high class of risk while the rest (around 289,000 m<sup>2</sup>) belongs to the very high class of risk.

15 Even if the inundated land belongs mostly to the very high class of risk, due to the different  
16 susceptibility factors and in particular to the impermeable ground characteristics of the area and  
17 degrees of slope, the risk related to this receptor can be considered as not relevant. In fact, forests  
18 are generally stable and resilient ecosystems, while growing along rivers they are very well  
19 adapted to occasional and seasonal flooding. In addition, in the Sihl valley most of the forests are  
20 located along the hilly part of the area and this reduces their susceptibility.

21 In this sense, the ecological, recreational and economic functionalities of the Sihl valley forest  
22 ecosystem is not compromised by a flood event of such magnitude.

23  
24 *Figure 10*

## 26 **6.6 Risk to Cultural Heritage**

### 27 **6.6.1 Assessment**

28 The hazard assessment step consists in the spatial characterization (extent) of the flooded area.  
29 Moreover, the exposure assessment requires the localization of the cultural heritage assets in the  
30 case study area. In Sihl river valley, 416 cultural assets are present, mainly classified as ancient  
31 buildings. They include different confessional buildings such as Fraumuster, Grossmunster and  
32 the Synagogue in Zurich city centre, the Swiss National Museum, the central library of Zurich,  
33 the Rathaus (the municipal building), the Opernhaus, several ancient residential buildings and  
34 villas in the centre as well as along the Zurich lake etc.



## 6.6.2 Results

The cultural heritage related risk map is shown in Fig. 11: it identifies the number of cultural assets which are supposed to be flooded in the framework of the investigated scenario. As a result, 40 items could be inundated, corresponding to the 9.13% of the total number within the area (416 items). These assets belongs to different cultural protection level (regional and cantonal). As already reported, the Swiss national museum is at risk of inundation while the districts belonging to higher class of risk (number of inundated objects between 10 and 15) are Langstrasse (close to city centre of Zurich city) and Langnau am Albis (along the lower Sihl valley).

*Figure 11*

## 7. Total Risk Index

### 7.1 Weighing process

The total risk index is calculated by aggregating different receptor-related risks by means of MCDA methods that allow identifying and ranking areas and hotspots at risk, within the studied area. Prior to this, a normalization process for each of the analysed receptor is performed to rescale the receptor-related risk scores into a numerical scale between 0 and 1 and, therefore, to allow comparison among (relative) risks expressed by different unit of measurement (Zabeo et al., 2011). Within this study, for people, infrastructure and cultural heritage the normalisation has been implemented at CLC polygon size level. For buildings, agriculture and natural and semi-natural systems the normalization has been performed according to the relative tables and scores, as follow: flooded buildings: 0.2, destroyed agricultural: 1; natural and semi-natural systems: 1 for the very high class of risk and 0.8 for the high class of risk. Normalised risks has been assigned to raster cells of 25 m resolution that allow a better and more detailed visualization of the spatial variability of the total risk.

The proposed MCDA method of aggregation is the weighted average which considers overlapping receptors' risk to be linearly additive. The ranking process is supposed to give numerical priority to those events whose damaging consequences are considered as burdensome. In this sense, weighting is a typical political decision making process and the involvement of relevant stakeholders and experts is seen as a fundamental prerequisite for its effectiveness (Yosie and Herbst, 1998). In order to lower the level of arbitrariness derived from expert based weight selection (Santoro et.al., 2013), , the weighting process has been implemented during a roundtable-meeting organized with several local experts involved in the project. They were

1 aware of some preliminary results and this could have influenced their opinion during the  
2 weights assignment. The assigned weights are as per the Table 16.

3  
4 *Table 16*

5  
6 The lowest weights have been assigned to relatively less important receptors: natural and semi-  
7 natural systems have scored 0 (zero) because, as stated above, they are considered as stable and  
8 very resilient ecosystems without consistent impact from flood events. A weight of 0.1 has been  
9 assigned to cultural heritage because these assets have been already considered in the buildings  
10 analysis, and therefore just an additional, cultural, value has been added to the particular building  
11 under protection. A weight of 0.2 has been assigned to agriculture because this sector is not  
12 considered to be relevant for the socio-economic context of the valley: the flooded agricultural  
13 areas are not of particular quality and do not have any valuable cash crops relying on it.

14 The people receptor has scored 0.4, less than the one assigned to buildings and to infrastructure,  
15 and this choice has raised a not-bounded discussion. The main argument that has been used to  
16 support this assignment is the fact that the selected baseline scenario does not consider the role  
17 played by the EWS in mitigating the (flood) impact to the population living in the studied area.  
18 Moreover, it has been argued that the methodology only focuses on the citizens actually living in  
19 the residential area, and do to consider the number of people normally present, for example, at  
20 the main station or at the main shopping area, which exceeds by far the number of actual  
21 residents in that district, particularly during the day time and the weekend evenings. In this  
22 sense, they argued that the methodology overestimated the risk to people in residential area and,  
23 in the meantime, underestimated the risk to others area, therefore there should be a kind of  
24 “compensation” in the computation of the total risk index. Higher weights have been assigned to  
25 buildings (0.6) and infrastructures (0.8) which have been considered the most relevant receptors  
26 for the socio-economic context of the Zurich city. Considering the specific characteristics of the  
27 study area, damages related to flooded infrastructures and buildings result also in very high  
28 (indirect) costs for the loss of services they provide. In particular, the inundation of the Zurich  
29 main train station entails wide loss of services since it represents a very important and nodal  
30 location both for public transport connections for the whole Canton and for commercial reasons  
31 (a big shopping centre area is located in and around the train station, frequented by a lot of  
32 residents and tourists).

33  
34  
35 **7.2 Results and discussion**

1 The total risk map shows the spatial pattern of flood risk within the analysed area within the Sihl  
2 river valley (Fig.12). The total surface at risk is 7.98 km<sup>2</sup> and the total risk index ranges between  
3 0 and 0.24, that represents the lower class of risk considering the classification scores presented  
4 in Part 1. In order to better visualize the relative distribution of risk belonging to these classes,  
5 the green to red colour classification, normally tuned within the 0-1 range, has been re-tuned  
6 according to the calculated range. The map specifically identifies the hotspots and the areas at  
7 risk along the Sihl river valley. Langstrasse district and part of the city of Zurich present the  
8 relative highest values of risk; areas within the districts of Werd, Sihlfeld, Alt-Wiedikon and  
9 Friesenberg that rely next to the Sihl river course also present relative higher risk levels. Areas  
10 within Albisrieden district are characterized by relative high risk as well. Results are very much  
11 plausible because they demonstrate that the overall risk for the study area, considering the  
12 receptor of importance, is higher in area close to the main station of Zurich, where lot of  
13 infrastructures and railway lines and buildings would be possibly flooded, and on the left side  
14 area of the Sihl river before it join the Limmat river, notably at risk.

15 The total risk index represents a useful indicator which allows the ranking of “area more at risk”  
16 than others, but it is, of course, highly dependent from receptor related risk analysis and  
17 weighting process.

18 In fact, the choice of a weight for risk of people to be lower than the one for infrastructure might  
19 seem inappropriate, as already stated in Sect. 7.1., but within the expert judgement their own  
20 experience during recent events plays a major role. This is what makes KR-RRA approach  
21 appealing and valuable. When putting on the table different factors it was agreed that  
22 infrastructure might be a major source of risk than human life. Background information from  
23 several stakeholders and local experts triggered this choice, starting from forensic analysis of  
24 past events. In fact, since the 1970’s Switzerland experienced several major floods that exceeded  
25 a return period of 300 years (e.g. Rössler et al., 2014), but despite this, only 3 fatalities per year  
26 can be attributed to water related disasters (floods, landslides and debris flows) (Hilker et al.,  
27 2009). Moreover, Swiss legislation allows having closed settlements only in areas where the  
28 buildings are protected by additional measures against floods with return period between 100 and  
29 300 years. This is not the case for infrastructure and according to the latest estimation (pre- KR-  
30 RRA) a damage of Zürich main station may trigger damages of over 4 billion Euros. The local  
31 authorities are aware of this and are improving their flood management system with additional  
32 structural and non-structural measures. It is an advantage of this novel approach to allow  
33 stakeholders and experts to come up with a site-specific configuration of weights and thereby  
34 improving the adaptation to the local situation. For instance, local authorities reported that after  
35 using some standard risk assessment procedure (pre- KR-RRA) a map was created where the risk

1 "hot-spot" was a tennis resort in the north-western part of the city of Zürich. After including  
2 expert knowledge and adapting the weighting accordingly, the areas around central station  
3 prompted to be the one with highest risk.

4 Moreover, it is worth to notice that the final risk index aggregates scores coming from multiple  
5 heterogeneous parameters. The final decision-making process should therefore consider not only  
6 the final values of the index, but also the factors that contributed in determining that value (i.e.  
7 susceptibility indicators, hazard metrics). A correct interpretation of these factors is particularly  
8 relevant for the analysis of the potential prevention measures that could be suitable for reducing  
9 the risk for current hot spot areas (Torresan et al., 2012).

10 It is important to underline that the application of the KULTURisk methodology at the meso-  
11 scale provides a screening analysis that allows the assessment and prioritization of targets and  
12 areas at risks in the considered region. However, a more detailed analysis (at the micro-scale)  
13 could be required in the areas considered at risk or where more specific information are  
14 available.

15  
16 *Figure 12*

17  
18 *Table 17*

## 19 20 **8. Conclusions**

21 The study addressed the application of a state-of-the-art Regional Risk Assessment (RRA)  
22 methodology for flood risk assessment to a very site-specific case, namely the Sihl river valley  
23 around the city of Zurich, in Switzerland. The complete KR-RRA methodology, developed  
24 within the KULTURisk-FP7 (KR) Project for flood risks and introduced in the companion paper  
25 Part 1, followed four subsequent levels of analysis, namely the hazards, exposure, vulnerability  
26 and risk assessments. In particular, the paper described the tuning process as well as the  
27 implementation procedure that has been applied in order to assess the risk of flood for the river  
28 valley represented by a 300 years return period hazard scenario, the be considered the most  
29 cautelative one. Relative risk maps (GIS based) and related statistics, specifically referring to the  
30 impact of flood hazard to selected receptors, have been developed. By means of MCDA, with a  
31 tailored participative approach of relevant local experts that suggested the weights to be applied  
32 for each receptor, the total risk maps have been produced allowing the identification of hot spots  
33 and area at risk as well as the spatial characterization of the risk pattern. The total risk maps  
34 obtained for the Sihl river case study are associated with the lower classes of risk, while the

1 relative risk is higher in Zurich city centre districts, in the urban area around the city centre and  
2 areas that rely just behind to the Sihl river course.

3 Together with the presented one, the KR-RRA methodology has been successfully applied to a  
4 wide range of cases studies across Europe (not presented in this work) which have contributed in  
5 demonstrating its flexibility and possible adaptation to different geographical and socio-  
6 economic contexts, depending on data availability and peculiarities of the site, as well as for  
7 other hazard scenarios (i.e. other relevant return period scenarios). In this sense, the methodology  
8 can be easily up-scaled in order to evaluate river flood impacts at a broader region/national/sub  
9 national scale (i.e. national level including more than one river basin) or can be detailed on a  
10 smaller area by focusing on impacts on a very local scale by using more detailed datasets for the  
11 characterization of exposure and vulnerability (i.e. finer Digital Elevation Model, finer data  
12 about land cover).

13 The receptor-related risk maps, as main outputs of the KR-RRA methodology, have proven to be  
14 a very useful (and relatively easy) tool for the risk evaluation in the studied area as well as for  
15 the support of the decision making process for appropriate risk management practices (when  
16 based on prevention, protection and preparedness concepts). Despite being arguable for the  
17 methodology that has been followed for the assignation of weights, the involvement of relevant  
18 local experts improved the consistency and relevance of the application exercise. Finally, the  
19 paper demonstrated the relevance of the KR-RRA methodology, which has proven to be a  
20 comprehensive and integrated risk assessment tool able to coordinate information coming from  
21 deterministic as well as probabilistic flood forecasting and to integrate the multi-faceted  
22 physical/environmental aspects of exposure and vulnerability, in order to evaluate flood risks for  
23 different elements at risk, as required by the European Floods Directive.

24

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1 Table 1. Summary of the dataset used for the application of the KULTURisk RRA methodology within the Sihl river valley.

Step of assessment	People	Buildings	Infrastructures	Agriculture	Natural and Semi-Natural Systems	Cultural Heritage	
Hazard	Dataset	- Flood hazard map (*, 2013, 1: 5000) for water depth, velocity, flood coverage - Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100000) for debris factor					
	Work load [man/days]	3	1	1	1	1	0,5
Exposure	Dataset	- People in residential areas (**, 2011) - Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100'000)	- Building footprint map (* TLM3D, 2013, 1: 5000) - Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100'000)	- Roads (Strasse_CH_line) and Railways (Eisenbahn_CH_line) maps (* TLM3D, 2012, 1: 5000) - Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100'000)	- Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100000)	- Switzerland CORINE Land Cover map (***, GIS WSL, 2006, 1: 100000)	- Protected objects of historical interest map (Denkmalschutz objekte, *, 2013, 1: 5000)
	Work load [man/days]	3	2	2	2	2	1
Vulnerability	Dataset	- Percentage of disable in Zurich city and data census (*****,	-	-	- Digital map of soil coverage of Switzerland (****, 2012, 1: 200'000)	- 25 m DEM (***, GIS WSL, 1994) - Digital map of soil coverage of Switzerland	-

		2010)			(****, 2012, 1: 200'000)		
	Work load 1 [man/days]	-	-	1	2	-	
Risk	Work load [man/days]	0,5	0,5	0,5	0,5	0,5	

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- 2
- 3 \*GIS Centre of Canton of Zurich
- 4 \*\*Statistical Office of Canton of Zurich
- 5 \*\*\*Swiss Federal Office of Topography
- 6 \*\*\*\*Swiss Federal Office for Agriculture
- 7 \*\*\*\*\*Swiss Federal Statistical Office
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1 Table 2. Flood metrics selected to assess hazard for different receptors.

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HAZARD ASSEMENT	Selected flood metric	Receptor
Flood hazard	Water depth (m)	People, Buildings
	Flow velocity (m/s )	People, Buildings, Agriculture
	Flood extension (Km <sup>2</sup> )	Infrastructures, Natural and Semi-Natural Systems, Cultural Heritage
	Debris Factor	People

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1 Table 3. Guidance for the definition of debris factor (DF) for different pattern of water depths  
2 and velocities in urban areas (DEFRA, 2006).

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Flood depth (d)	Debris factor (DF) for urban areas
$d \leq 0.25$ m	0
$0.25 \text{ m} < d < 0.75$ m	1
$d \geq 0.75$ or $v > 2$ m/s	1

1 Table 4. Classification of water depths as provided by the GIS Centre of Canton of Zurich  
2 through flood hazard maps

Depth Classes	[m]
1	< 0.25
2	0.25 – 0.50
3	0.50 – 0.75
4	0.75 – 1.00
5	1.00 – 1.50
6	1.50 – 2.00
7	>2.00

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1 Table 5. Classification of intensity parameter (function of water depth – d, and velocity - v) as  
 2 provided by the GIS Centre of Canton of Zurich through flood hazard maps  
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Intensity Classes	Description	Condition
1	Low	$d < 0.5 \text{ m}$ or $v \cdot d < 0.5 \text{ m}^2/\text{s}$
2	Medium	$0.5 < d < 2.0 \text{ m}$ or $0.5 \text{ m}^2/\text{s} < v \cdot d < 2.0 \text{ m}^2/\text{s}$
3	High	$d > 2 \text{ m}$ or $v \cdot d > 2 \text{ m}^2/\text{s}$

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1 Table 6. Computation of (single) values of velocity (v) from available data (water depths –d,  
 2 debris factor – DF, and intensity - I).

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Depth classes	Depth of reference (d) [m]	DF	Velocity $v = I / d$	
			Intensity class 1 ( $d \cdot v = 0.5$ ) [m/s]	Intensity classes 2 and 3 ( $d \cdot v = 2$ ) [m/s]
1	0.25	0	<b>2.00</b>	<b>8.00</b>
2	0.5	1	<b>1.00</b>	<b>4.00</b>
3	0.75	1	<b>0.67</b>	<b>2.67</b>
4	1	1	<b>0.50</b>	<b>2.00</b>
5	1.5	1	<b>0.33</b>	<b>1.33</b>
6 and 7	2	1	<b>0.25</b>	<b>1.00</b>

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1 Table 7. Hazard scores to people computed from available data (water depths –d, velocity – v,  
 2 debris factor – DF, and intensity - I).

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Depth classes	Depth of reference (d) [m]	DF	<b>H<sub>people</sub> = d · v + d · 1.5 + DF</b>	
			Intensity (I) class 1 (d · v = 0.5)	Intensity (I) classes 2 and 3 (d · v = 2)
1	0.25	0	<b>0.875</b>	<b>2.375</b>
2	0.5	1	<b>2.25</b>	<b>3.75</b>
3	0.75	1	<b>2.625</b>	<b>4.125</b>
4	1	1	<b>3</b>	<b>4.5</b>
5	1.5	1	<b>3.75</b>	<b>5.25</b>
6 and 7	2	1	<b>4.5</b>	<b>6</b>

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1 Table 8. Relative risk classes and range of values for injured people.

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Risk Classes (R1)	Number of injuries
Very low	1 - 50
Low	50 - 100
Medium	100 - 150
High	150- 200
Very high	>200

1 Table 9. Relative risk classes and range of values for fatalities.

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Risk Classes (R2)	Number of fatalities
Very low	1
Low	2
Medium	3
High	4
Very high	>5

1 Table 10. Statistics about the buildings coverage along the Sihl river valley.

Buildings: CLC class	Total [#]	%	coverage [Km <sup>2</sup> ]	% of coverage
111-112: Continuous urban fabric - Discontinuous urban fabric	18,255	94.0	8.9	83.4
121: Industrial or commercial units	780	4.0	1.4	12.9
122: Road and rail networks and associated land	100	0.5	0.3	3.1
141-142: Green urban areas - Sport leisure facilities	295	1.5	0.1	0.6
<b>Total</b>	<b>19,430</b>	<b>100.0</b>	<b>10.7</b>	<b>100.0</b>

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1 Table 11. Relative risk classes and range of values for buildings.

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<b>Risk Classes (R3)</b>	<b>Description</b>	<b># of inundated buildings</b>
Not at risk	Not inundated	16,163
Low	Inundation	3267
Medium	Partial damage	0
High	Total destruction	0

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1 Table 12. Statistics related to the Risk for buildings for different CLC classes.

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Risk for buildings (CLC classes)	Flooded [#]	Flooded [%]	Flooded area [km <sup>2</sup> ]	Flooded area [%]
1.1.1-1.1.2: Continuous urban fabric - Discontinuous urban fabric	3,075	94.1	1.8	83.4
1.2.1: Industrial or commercial units	154	4.7	0.3	12.4
1.2.2: Road and rail networks and associated land	17	0.5	0.1	4.1
1.4.1-1.4.2: Green urban areas - Sport leisure facilities	21	0.6	0.004	0.2
Total	3,267	100.0	2.2	100.0

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1 Table 13. Relative risk classes and range of values for infrastructures.

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Risk Classes (R4)	Length of infrastructures at risk within each district [km]
Very low	0.01 - 7
Low	7 - 14
Medium	14 - 21
High	21 - 28
Very high	28 - 32

1 Table 14. Relative risk classes and range of values for agriculture.

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Risk Classes (R5)	Description	Agricultural areas [km <sup>2</sup> ]
Not at risk	Not inundated	7.08
Low	Inundated	0
High	Destructed	0.59

1 Table 15. Statistics related to the Risk for agriculture for different CLC classes.

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Agricultural typology ( <i>CLC classes</i> )	Description	Total Area [km <sup>2</sup> ]	Flooded agricultural area [km <sup>2</sup> ]
CLC class 2.1.1	Non-irrigated arable land	7.35	0.53
CLC class 2.3.1	Pastures	0.31	0.07
Total		7.67	0.59

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Table 16. Weight assigned to different receptors by local relevant experts.

Receptor	Weights
Infrastructures	0.8
Buildings	0.6
People	0.4
Agriculture	0.2
Cultural Heritage	0.1
Natural and semi-natural systems	0

1 Table 17. Total risk index classification and range of values.

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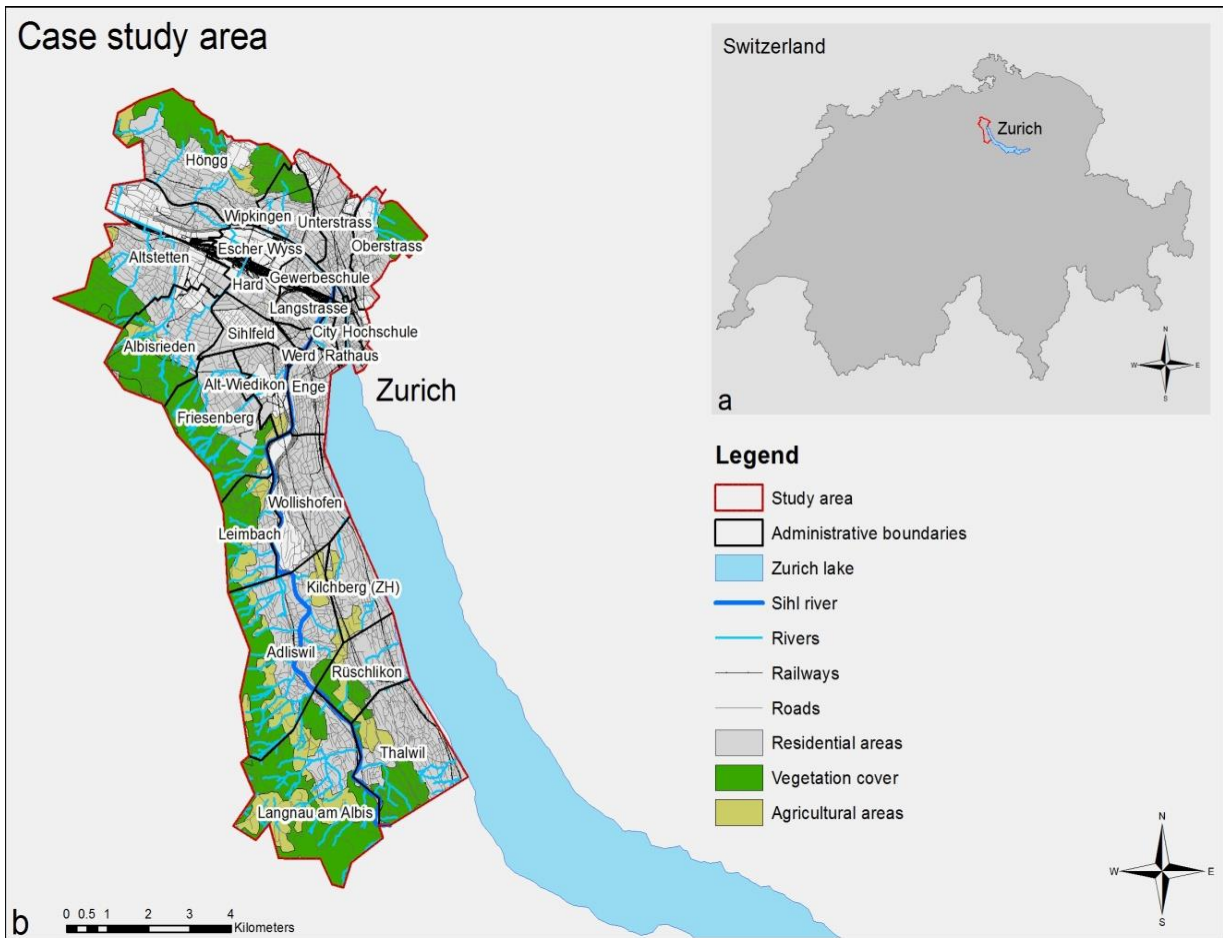
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<b>Total Risk Classes</b>	<b>Score</b>
Very low	0– 0.048
Low	0.048 – 0.96
Medium	0.096 – 0.14
High	0.14 – 0.19
Very high	0.19 – 0.24

1 Figure 1. The case study area: a) its location in Switzerland and b) its main characteristics.

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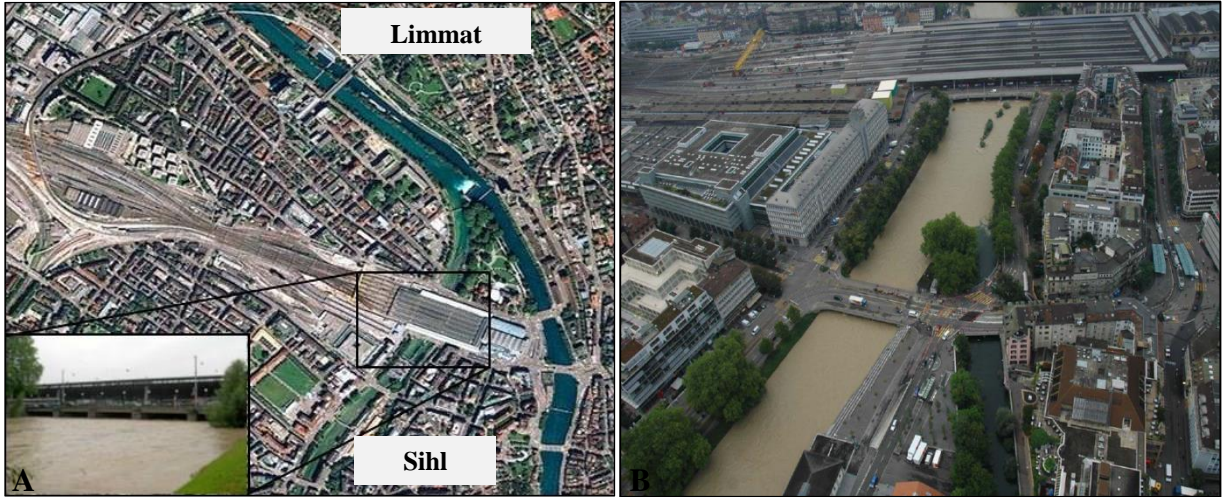
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1 Figure 2. Sihl river flowing beneath Zurich main train station before it joins the Limmat river:  
2 (A) image adapted from Google map; the box at the bottom shows the critical Sihl river section  
3 in August 2005 during a flood even, source: A. Senn (WSL).  
4 (B) Sihl river flowing underneath Zurich main train station in August 2005 (discharge: 280  
5 m<sup>3</sup>/s), source: Office of Waste, Water, Energy and Air, Zürich (M. Oplatka).

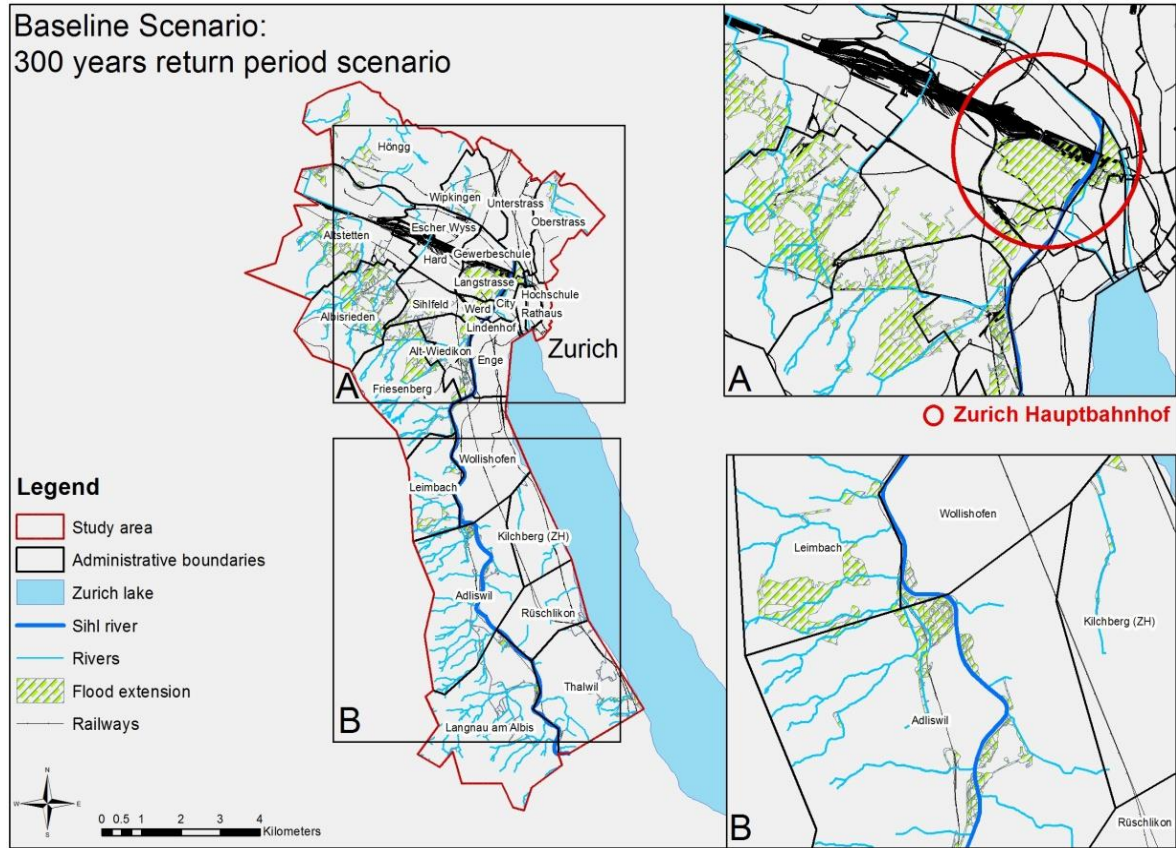
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1 Figure 3. Baseline scenario for Sihl case study related to a flood event of 300 years return period,  
2 in box A the zoom on the Zurich main station, in box B the zoom on the upstream river valley  
3 area.

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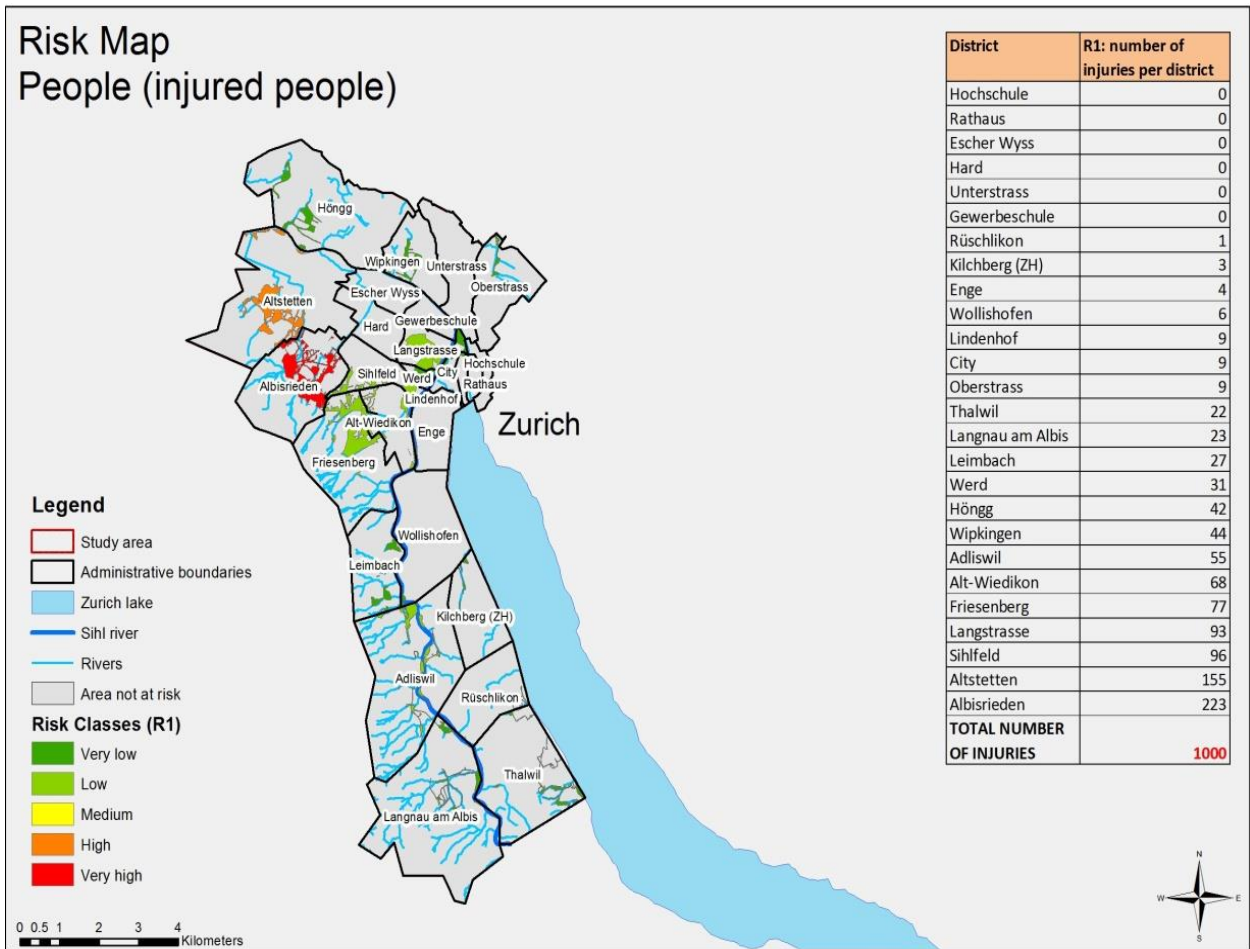


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1 Figure 4. Relative risk map for injured people with statistics at District level.

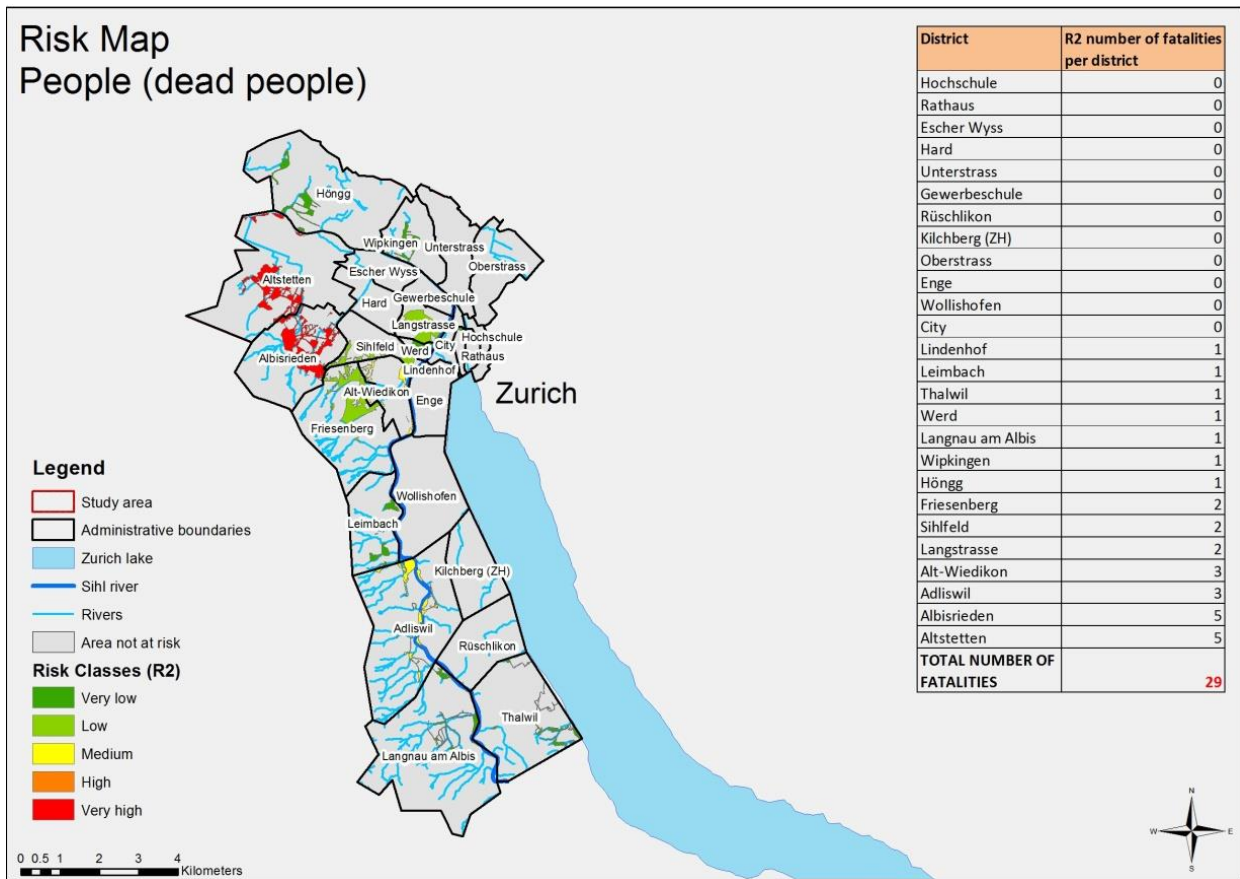
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1 Figure 5. Relative risk map for fatalities with statistics at District level.

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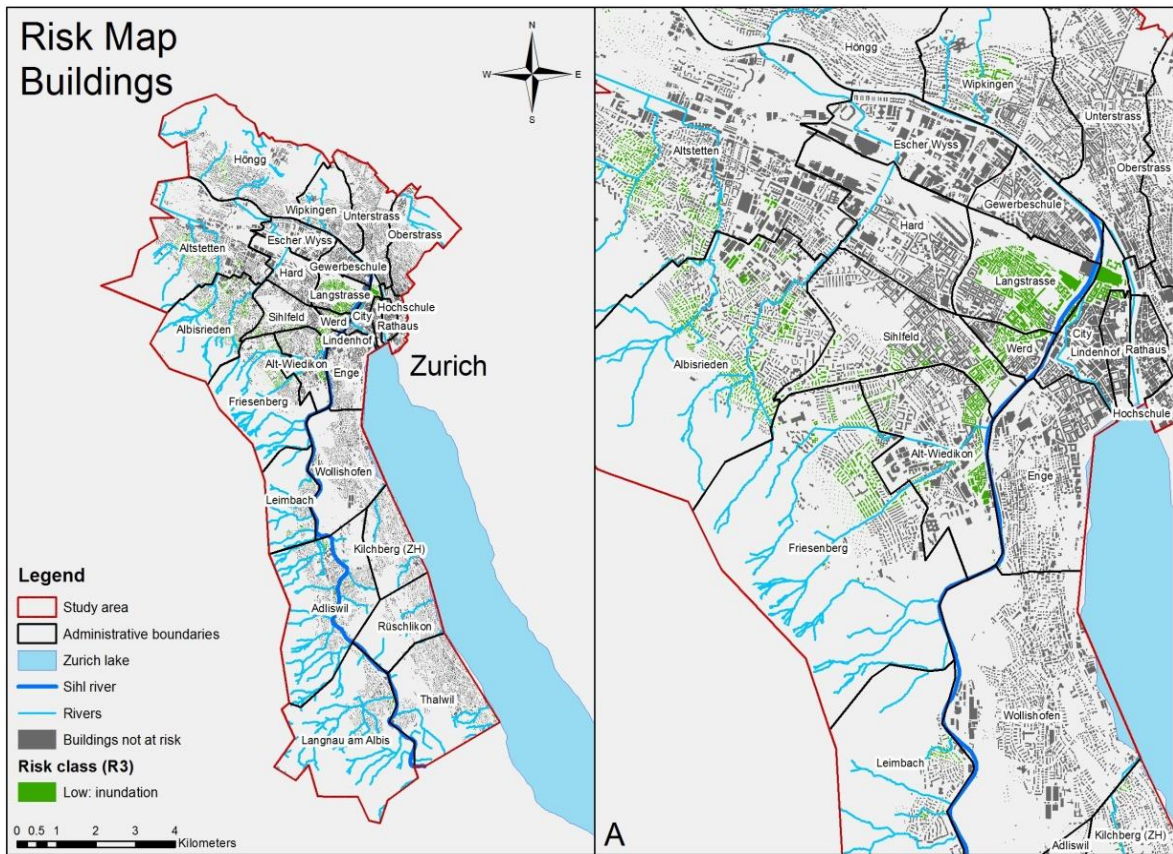
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1 Figure 6. Relative risk map of buildings (left) and a zoom on the city centre (right).

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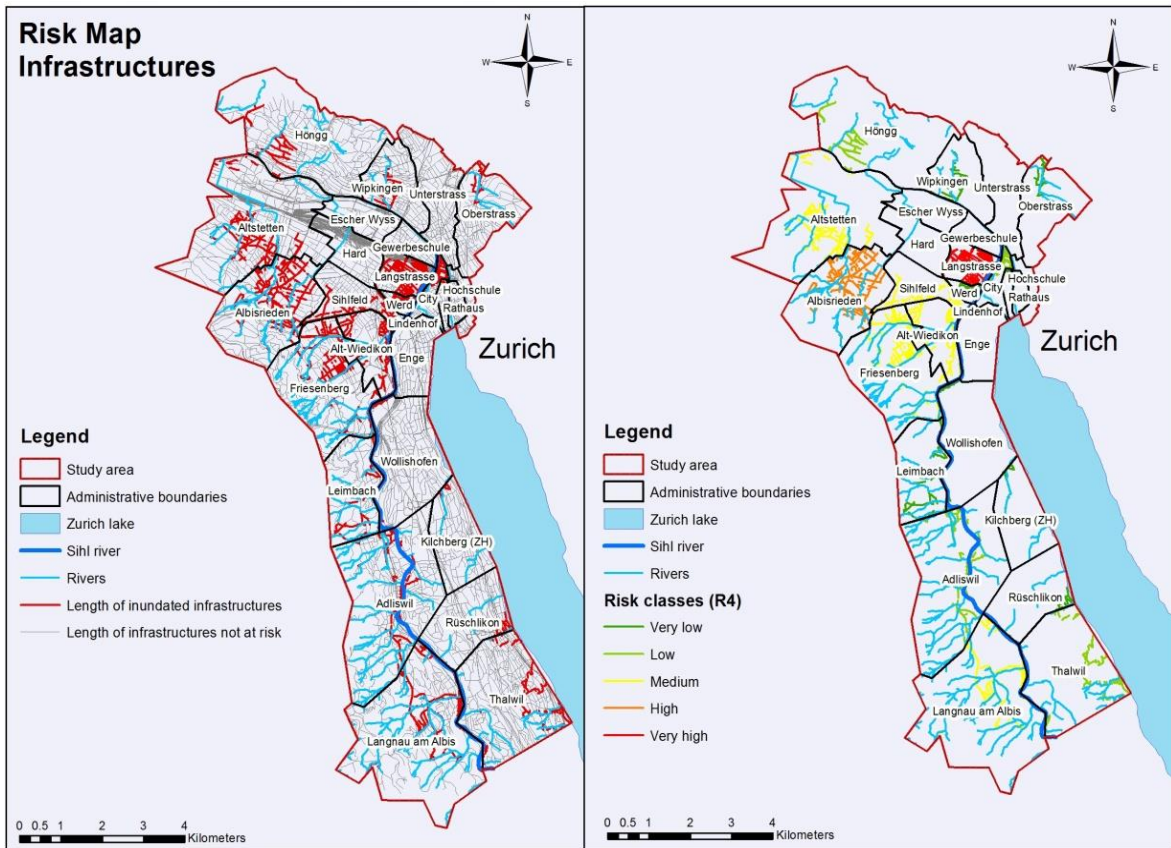
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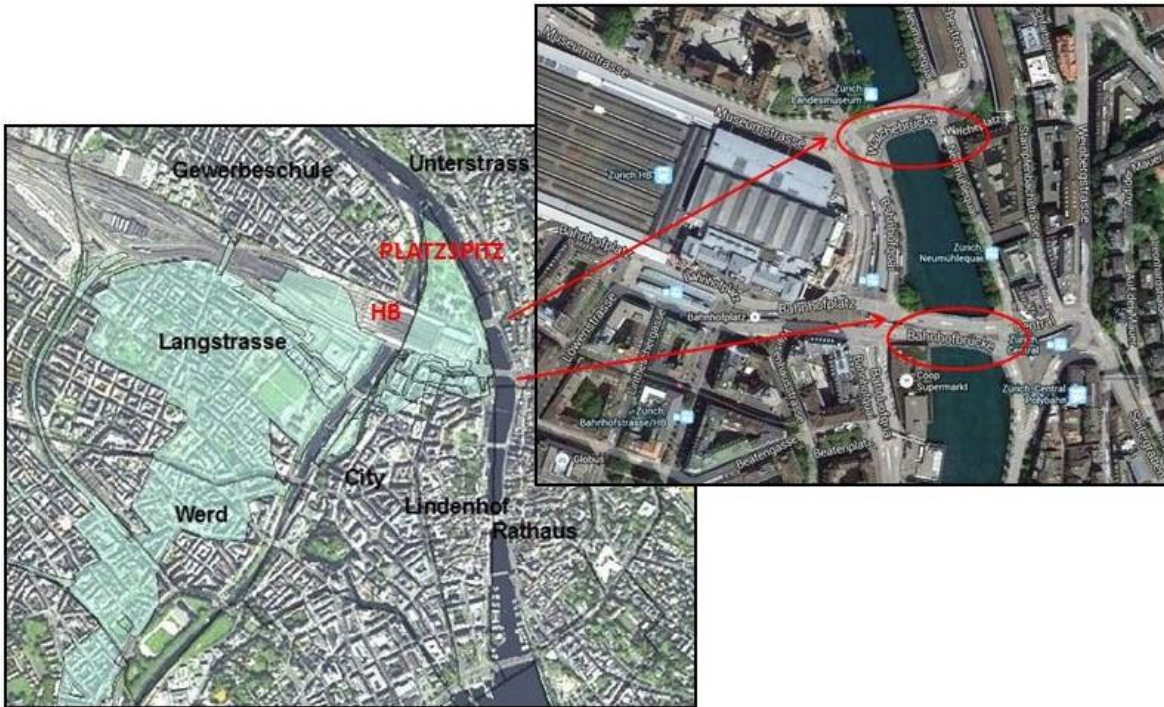
1 Figure 7. Exposure (left) and relative risk (right) map for infrastructures (roads, railways,  
2 pathways).

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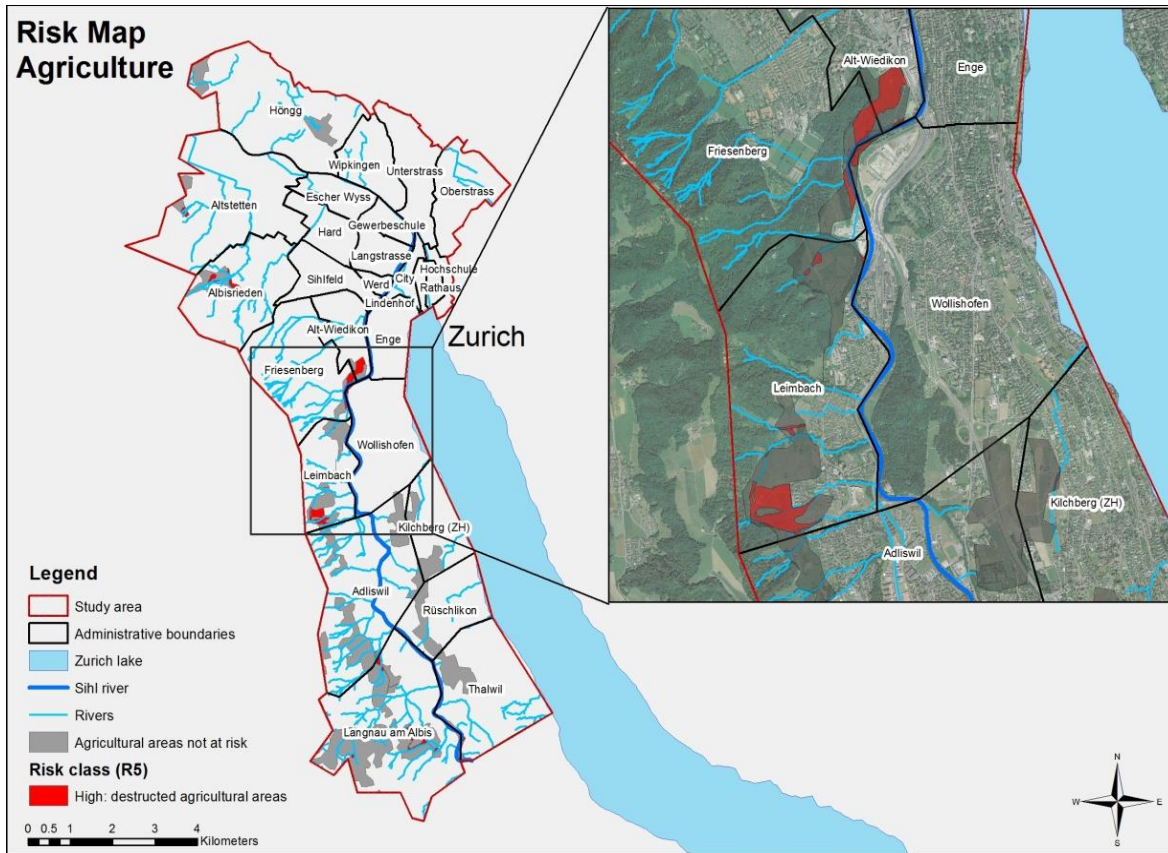
1 Figure 8. Some relevant infrastructures (hotspots) at risk (Langstrasse and City areas with their  
2 roads, Zurich main train station Hauptbahnhof (HB), Platzspitz, Bahnhofbrücke and  
3 Walchebrücke bridges). Source: Google maps modified.



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1 Figure 9. Relative risk map for agriculture showing flooded and destroyed agricultural areas. A  
 2 zoom on the most affected area is reported.

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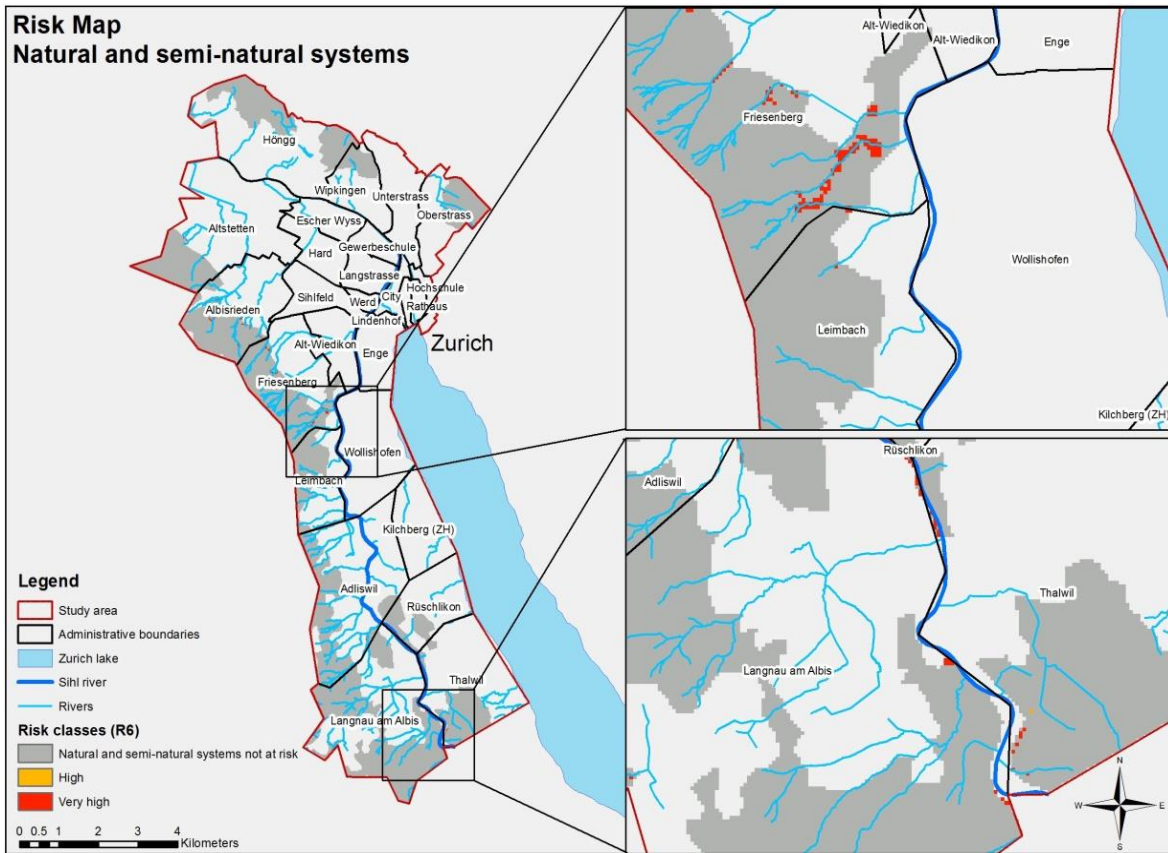
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1 Figure 10. Relative risk map for natural and semi-natural systems (left) with two zooms showing  
2 the most affected area (right).

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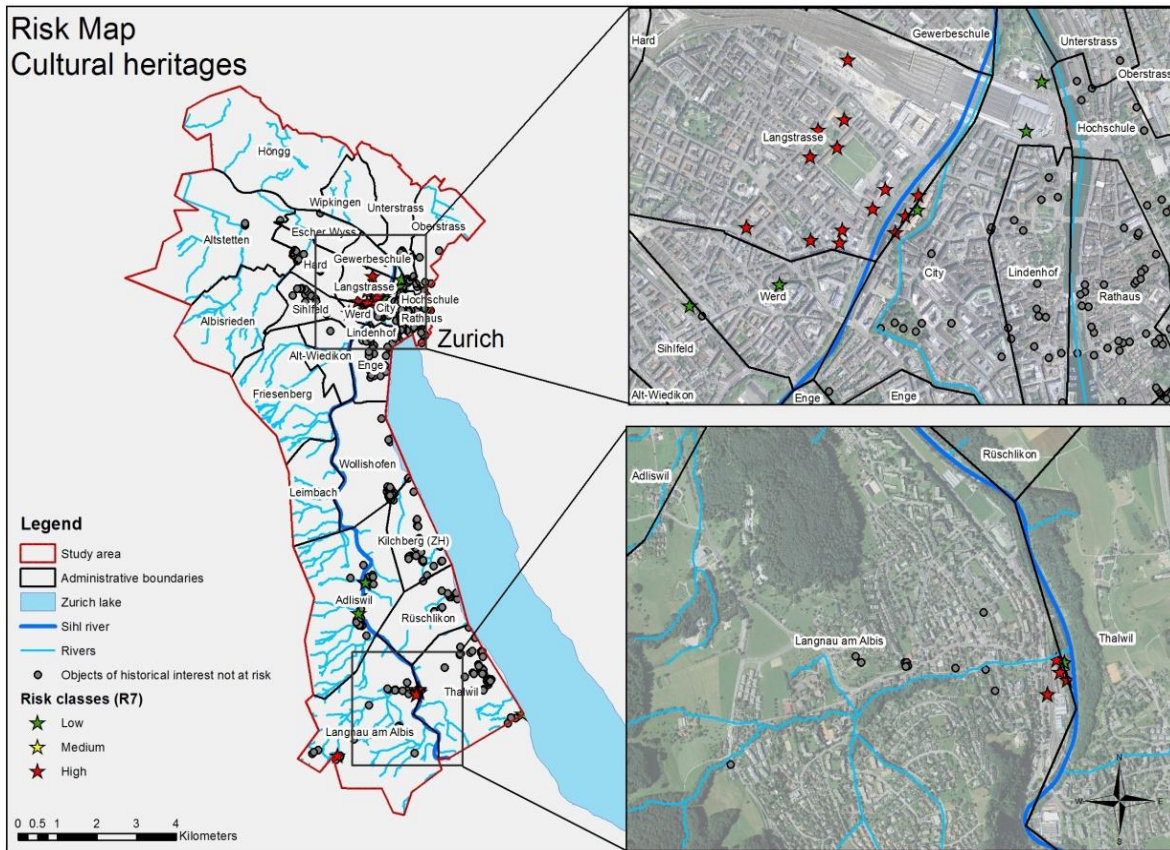


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1 Figure 11. Relative risk map for cultural heritage (left) with two zooms (right).

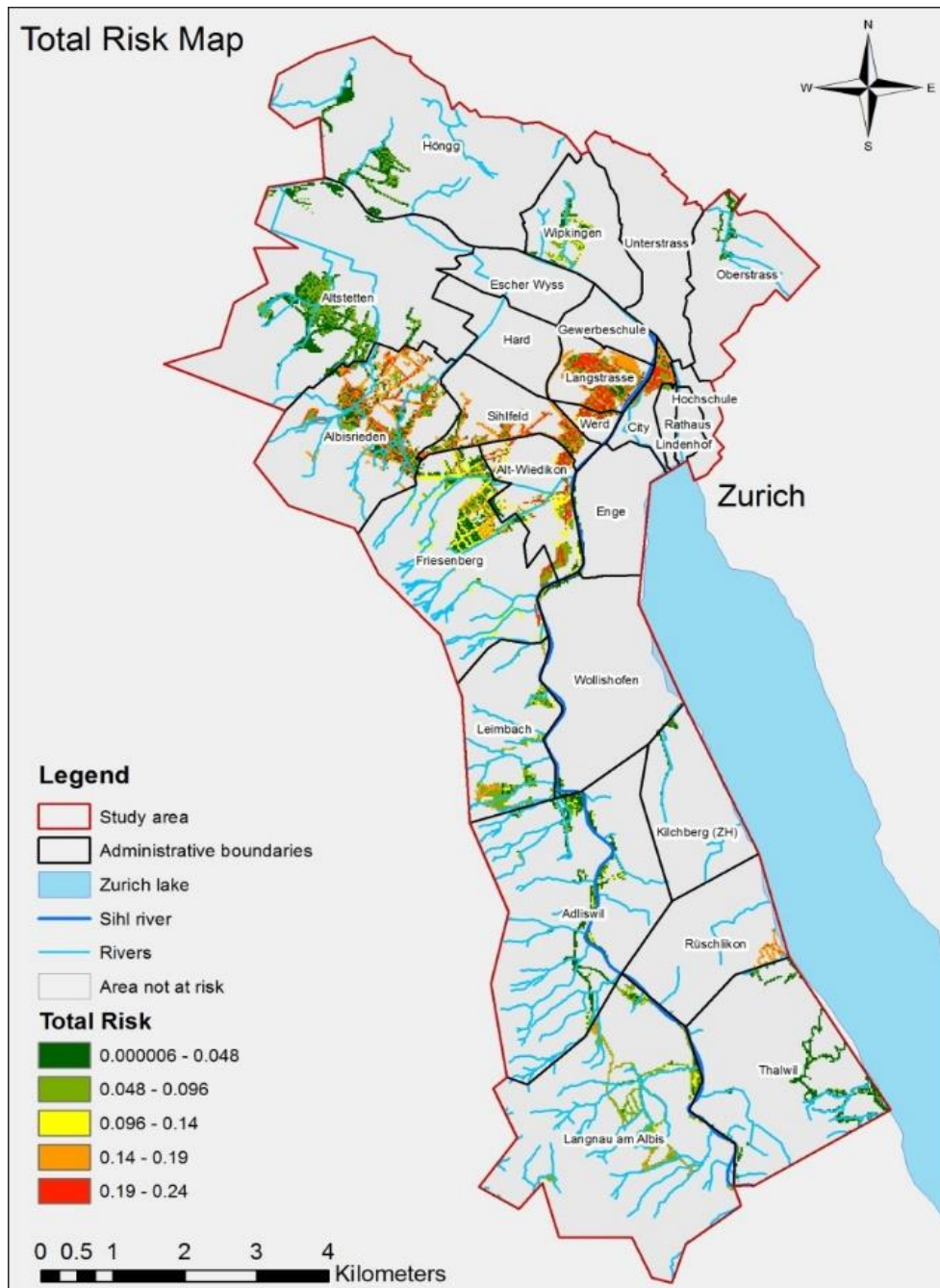
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1 Figure 12. Total risk map for the Sihl river valley considering the 300 years return period  
 2 scenario.  
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