

1 **Author comment to Editor**

2 Manuscript no. hess-2014-268, "Estimation of temporal and spatial variations in groundwater
3 recharge in unconfined sand aquifers using Scots pine inventories" by Ala-aho, P. et al.

4

5 Dear Editor Dr. Stumpp,

6 Thank you for addressing the reviewer comments and providing your own valuable comments
7 and suggestions to improve the manuscript. All the comments provided are considered and
8 addressed and in our opinion organization and focus of the manuscript was greatly improved.
9 Modifications in the manuscript can be examined from

- 10 1) point-by-point response of editor and reviewer comments (line numbers indicate lines
11 in the FINAL manuscript without annotations) and
12 2) the "track changes" version of the manuscript which includes all the changes done, with
13 comments upon whose request the change is made.

14 Both of the above are included in this file.

15

16 Sincerely,

17 Pertti Ala-aho

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31 **Detailed response to Editor comments:**

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33 Two reviewers thoroughly evaluated your manuscript. Main points were raised that concern:

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35 **(i) the length of the manuscript,**

36 *Length of the manuscript has been reduced significantly, main text (from intro to conclusions) has been*
37 *reduced from ~9800 to ~8200 words. This has been done by removing two figures and related*
38 *discussion, moving equation for ET processes to appendix and improving the focus of the paper by*
39 *rearranging the text to avoid repetition.*

40

41 **(ii) consideration of water table in calculations,**

42 *Influence of water table on ET and thereby groundwater recharge for areas with unsaturated thickness*
43 *< 1m included with a conceptual approach and explained (L395-410). Exclusion of water table for*
44 *areas with unsaturated zone thickness > 1m is better justified in the discussion (L619-628).*

45

46 **(iii) terminology about soil or about evaporation, transpiration and**
47 **evapotranspiration, and**

48 *Different evaporation processes are explicitly defined in the text (L367-370) and in caption of Fig. 5.*
49 *Use of terminology is made coherent throughout the manuscript.*

50

51 **(iv) missing information about vertical depth profiles.**

52 *Vertical discretization of simulation profile is better described (L281-285). Depth of vertical profiles in*
53 *the simulation domain correspond to the estimated unsaturated zone thickness (UZD) in Fig. 4.*

54

55 You answered in detailed to the reviewers' comments. Generally, I agree to all answers and
56 additionally have some important points I want to emphasize:

57 **1) I agree to one of the reviewers that the specific objectives should be given in more detail.**

58 *Objectives are more concisely presented the introduction last paragraph (L102-108)*

59

60 **2) Give ranges of lichen layers that were used for the calculations.**

61 *Information for lichen parametrisation is given (L186-191) and limitations discussed (L586-596)*

62

63 **3) Don't forget the comments about the Figures that were not answered in the pdf-file.**

64 *Comments are responded to in the annotated PDF file*

65

66 **4) I agree that some of the basic equations in the M&M section can be given in an appendix**
67 **as Supplement Information.**

68 *Equations for evapotranspiration processes are given as Annex 1*

69

70 **5) More details about the vertical profiles are required: It is mentioned in the text that the**
71 **unsaturated zone varies between 1 and 15m. Is it really all soil? I reckon you only have**
72 **mineralized soil horizons in the upper meter(s) followed by sediments or (weathered)**

73 **rocks/geological material. Are these deeper parts of the unsaturated zone porous**
74 **sediments? If fractured, the model is not suitable actually. Besides improving chapter**
75 **2.1.3, please also change its header as indicated by one of the reviewers.**

76 *The conceptual geological model is better explained by adding a cross-section (Fig. 2) and explained*
77 *in writing (L112-119). Use of the term 'soil' is kept, but explicitly defined (L113).*

78

79 **Author comment to Reviewer #1**

80 **The paper seems excessively long. I recommend reducing the text, such as in the**
81 **Discussion section.**

82 We appreciate this comment to improve the readability of the paper and will shorten the manuscript in following
83 ways:

- 84 - Results of the water flow at different depths (Fig. 7) and related discussion will be removed from the
85 manuscript. We reconsidered that this result is not essential for the paper and can be removed in order
86 improve the focus of the paper.
- 87 - The comparison of measured stream baseflow to different simulated recharge will be simplified (Table
88 3).
- 89 - Materials and methods will be shortened by removing example of the spatial distribution of model
90 results (page 12 lines 11-23) and not explaining the technicalities (page 14, 20-26).
- 91 - In materials and methods section, equations for different evaporation components could be presented as
92 additional material / annex if this in line with the journal formatting.
- 93 - some sections of the discussion will be removed (e.g. page 28 lines 2-6 and lines 10-16) or reorganized.

94 *After revision:*

- 95 - *The manuscript was shortened with the above mentioned ways*
- 96 - *Some additional parts were eliminated (e.g. Fig. 3 in the old manuscript and related*
97 *discussion in the text).*
- 98 - *Manuscript was also re-arranged to improve readability and avoid repetition.*
- 99 - *All changes and eliminations are presented in the annotated version of the manuscript.*

100

101 **Throughout the paper, please change the word “depth” to “thickness” in reference to**
102 **the thickness of the unsaturated zone. The unsaturated zone is the region between**
103 **land surface and the water table and thus is not a “depth”.**

104

105 This is a good specification, and will be addressed throughout the manuscript

106 *After revision:*

- 107 - *done*

108

109 **Page 18: Not simulating the water table “for computational efficiency” is not a valid**
110 **justification in my opinion. I recommend that the water table be included in the model**
111 **to accurately simulate hydrologic processes such as ET.**

112

113 An important comment to ensure models ability to produce realistic ET rates. Presence of water table is
114 acknowledged in the simulations indirectly for cells where the interpolated water table is less than one meter from
115 the ground surface. This is done with the water balance approach described in the paper (page 18). When the

116 simulations were performed with water table fixed at 1m, the annual average ET rates were 5,4%, 2,3 % and 6,5
117 % higher for LAI values of 0.5, 1.5 and 3 than without the water table, respectively. For deeper water table
118 configuration (2m) the increase in ET was trivial for LAI values of 0.5 and 1.5, and 3,5 % higher for LAI values
119 of 3. We assume that for deeper water table configuration the water table influence on ET would be insignificant.

120 Therefore we assume that neglecting the water table influence below depth of 1m can produce
121 minor overestimation in areas where the water table is in the region on 1-2 m from ground surface with high LAI
122 values. However we argue that in aquifer scale the impacts will be minimal, because 8% of model surface is within
123 this groundwater table configuration (Fig. 2), and model cells with high LAI are not very common (Fig. 2). This
124 justification will be more clearly incorporated in the manuscript and the text on page 18 better organized to convey
125 the point.

126 *After revision:*

- 127 - *approach to include water table for areas with unsaturated thickness < 1m is explained (L395-410)*
- 128 - *exclusion of water table when unsaturated zone thickness > 1m is justified in the discussion (L619-628)*

129

130 **Page 20, last paragraph: I don't agree that the land surface is a reasonable representation**
131 **of the water table "in the transition zone between recharge and discharge areas".**

132 **Please modify accordingly.**

133 This concept of groundwater table being close to land surface in the recharge-discharge area transition
134 zone is obtained from the work of Rossi (2014). This is better explained with a cross-section which will
135 replace Fig. 3. The cross-section shows the water table sloping towards the discharge zone which
136 demonstrates the assumption of GW-table near ground surface. We assume similar water table
137 configuration around the aquifer.

138 *After revision:*

- 139 - *A cross-section added (Fig. 2) which demonstrated the assumption of water table near the*
140 *ground surface in the transition zone between recharge and discharge areas*
- 141 - *The issue is also explicitly explained in the text (L231-240)*

142

143 **Page 23: In comparing the model recharge estimates to that from the baseflow method**
144 **I recommend that the authors acknowledge that streamflow estimates are (at best)**
145 **accurate to within 5% based on USGS data. Modify the text accordingly in relation to**
146 **this qualifier.**

147 A valid notification, and the uncertainty related to baseflow determination will be included in the revised
148 manuscript.

149 *After revision:*

- 150 - *uncertainty in baseflow is acknowledged and a reference added (L480-482)*

151

152 References:

153 Rossi, P.M.: Integrated management of groundwater and dependent ecosystems in a Finnish
154 esker. PhD thesis, University of Oulu, Finland. Available: [http://jultika.oulu.fi/Record/isbn978-](http://jultika.oulu.fi/Record/isbn978-952-62-0478-9)
155 [952-62-0478-9](http://jultika.oulu.fi/Record/isbn978-952-62-0478-9). 2014.

156

157 **Detailed response to reviewer #2 comments:**

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159 **Moreover, I agree with Reviewer1 that the paper should be reduced in the text (the M&M**
160 **section is rather long)**

161

162 We appreciate this comment to improve the readability of the paper and will shorten the manuscript in following
163 ways:

- 164 - Results of the water flow at different depths (Fig. 7) and related discussion will be removed from the
165 manuscript. We reconsidered that this result is not essential for the paper and can be removed in order
166 improve the focus of the paper.
- 167 - The comparison of measured stream baseflow to different simulated recharge will be simplified (Table
168 3).
- 169 - Materials and methods will be shortened by removing example of the spatial distribution of model
170 results (page 12 lines 11-23) and not explaining the technicalities (page 14, 20-26).
- 171 - In materials and methods section, equations for different evaporation components could be presented as
172 additional material / annex if this in line with the journal formatting.
- 173 - some sections of the discussion will be removed (e.g. page 28 lines 2-6 and lines 10-16) or reorganized.

174 *After revision:*

- 175 - *The manuscript was shortened with the above mentioned ways*
- 176 - *Some additional parts were eliminated (e.g. Fig. 3 in the old manuscript and related*
177 *discussion in the text).*
- 178 - *Manuscript was also re-arranged to improve readability and avoid repetition.*
- 179 - *All changes and eliminations are presented in the annotated version of the manuscript.*

180

181 **There is a confusion about the use of the terms evaporation, transpiration, and**
182 **evapotranspiration. In my opinion evaporation is the process when water leave in gaseous**
183 **form the bare soil. No plant or crop should be involved in this process. Transpiration is,**
184 **obviously, the same type of process involving only crop/plant system. The process from**
185 **the understorey depend if the soil is bare or covered (partially) by vegetation. If the latter**
186 **applies, it is an evapotranspiration. If everywhere under the forest there are lichens, we**
187 **can assume this floor as an evaporating surface, assuming no transpiration from the**
188 **lichens. This is not a semantic question, because through the paper (i.e. in the M&M and**
189 **Results section) it is not clear at which process the Authors refer.**

190 We appreciate this comment to clarify the different evaporation conceptualizations. The different
191 evaporation and naming conventions are presented in Fig. 4. Their definition will be elaborated in the
192 figure caption.

193 *After revision:*

- 194 - *different evaporation processes are explicitly defined in the text (L367-370) and in caption of*
195 *Fig. 5. The use of different terms is made coherent throughout the manuscript.*

196

197 **2. The soils. This is a problem of the manuscript. It seems to me that the Authors mix**
198 **soil with the rock/geological material underlying the soil. The Authors tend to call "soil"**
199 **all the material between surface and groundwater. This isn't correct.**

200 The mineral geological material in manuscript is referred to as "soil" throughout the manuscript, which
201 will be explicitly defined in the manuscript to avoid confusion. This naming convention is typically
202 found in the literature. Lichen constituted and organic layer on top of the mineral soil, which is treated
203 as an organic soil type, with specified Brooks and Corey parameter ranges.

204 *After revision*

205 - *the use of the word 'soil' for the geological unconsolidated sediment is maintained for*
206 *simplicity, but use of the term is better defined (L113-114)*

207

208 **Moreover, just at the end of the discussion they speak about homogeneity of the simulation**
209 **domain. They do not support this statement with any analysis/observation. So, I was not**
210 **able to understand the reasons and evidence of homogeneity of the simulation domain.**
211 **They should better clarify this.**

212 Homogeneity is assumed only in the vertical direction in the soil column for a given model run (page
213 31, line 21) justification of the assumption and the justification of the assumption is presented (page 31
214 lines 22-25). Spatially distributed heterogeneity in the model domain is introduced by hydraulic
215 parameters (Section 2.1.3, table 2) varied in the Monte Carlo process.

216 *After revision:*

217 - *assumption of homogeneity in the vertical direction is stated (L296-297) and validity of the*
218 *assumption is discussed (L609-618)*

219

220 **And what about the lichens? Till which depth they occur?**

221 Height of the lichen layer based on the samples will be added to section 2.1.2 The height is however
222 mentioned when the model discretization is provided, (page 12 line 1)

223 *After revision:*

224 - *Vertical discretization of simulation profile better described (L281-285), and the height of*
225 *lichen layer stated (L282)*

226

227 **Summarizing, the Authors should review the simulation domain, reporting a scheme of it**
228 **or at least they should clearly report in the text or in a table the different depths of the**
229 **simulation domain.**

230 This will be improved by replacing Fig. 3 with a more informative cross-section describing the model
231 domain. This will aid the understanding of the model domain, as already explained in section 2.2 and
232 Fig. 1. Different thicknesses of the unsaturated zone are given in detail in Fig. 5.

233 *After revision:*

234 - *Description of the simulation domain is improved by adding a cross-section (Fig. 2) and*
235 *providing more information about the study site geological conceptual model (L112-119)*

236 - *Vertical discretization of simulation profile better described (L281-285)*

237

238

239 **Estimation of temporal and spatial variations in**
240 **groundwater recharge in unconfined sand aquifers using**
241 **Scots pine inventories**

242

243 **P. Ala-aho¹, P.M. Rossi¹ and B. Kløve¹**

244

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246 University of Oulu, P.O. Box 4300, 90014 University of Oulu, Finland

247 Correspondence to: P. Ala-aho (perti.ala-aho@oulu.fi)

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264 **Abstract**

265 Climate change and land use are rapidly changing the amount and temporal distribution of
266 recharge in northern aquifers. This paper presents a novel method for distributing Monte Carlo
267 simulations of 1-D soil profile spatially to estimate transient recharge in an unconfined esker
268 aquifer. The modeling approach uses data-based estimates for the most important parameters
269 controlling the total amount (canopy cover) and timing (~~depth-thickness~~ of the unsaturated
270 zone) of groundwater recharge. Scots pine canopy was parameterized to leaf area index (LAI)
271 using forestry inventory data. Uncertainty in the parameters controlling soil hydraulic
272 properties and evapotranspiration was carried over from the Monte Carlo runs to the final
273 recharge estimates. Different mechanisms for lake, soil, and snow evaporation and transpiration
274 were used in the model set-up. Finally, the model output was validated with independent
275 recharge estimates using the water table fluctuation method and baseflow estimation. The
276 results indicated that LAI is important in controlling total recharge amount, ~~and the modeling~~
277 ~~approach successfully reduced model uncertainty by allocating the LAI parameter spatially in~~
278 ~~the model.~~ Soil evaporation compensated for transpiration for areas with low LAI values, which
279 may be significant in optimal management of forestry and recharge. Different forest
280 management scenarios tested with the model showed differences in annual recharge of up to
281 100 mm. The uncertainty in recharge estimates arising from the simulation parameters was
282 lower than the interannual variation caused by climate conditions. It proved important to take
283 unsaturated ~~depth-thickness~~ and vegetation cover into account when estimating spatially and
284 temporally distributed recharge in sandy unconfined aquifers.

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Commented [PA1]: Rev#1 com, depth changed to thickness throughout the manuscript, when referring to the unsaturated zone thickness

Commented [PA2]: removed to improve the focus

294 1 Introduction

295 Eskers are permeable, unconfined sand and gravel aquifers (Banerjee, 1975). In addition to
296 water supply, they support groundwater-dependent ecosystems and provide recreational
297 services (Kløve et al., 2011). Esker hydrology is important as eskers and other glaciofluvial
298 aquifer types cover large areas of the North and are among the dominant aquifer types in the
299 boreal zone. Management of these complex aquifers has gained recent attention (Bolduc et al.,
300 2005, Karjalainen et al., 2013, Koundouri et al., 2012, Kurki et al., 2013). The European
301 Groundwater Directive requires such systems to be characterized in order to determine their
302 quality status, so knowledge of how to estimate groundwater recharge in esker aquifers is
303 becoming increasingly important (EC, 2006). Esker aquifers are commonly covered with
304 managed pine forests, where the forest canopy is likely to influence recharge amounts. The soil
305 surface profile of eskers is complex and highly variable, consisting of kettle holes and sand
306 dunes, resulting in variable depth-thickness of the unsaturated zone (Aartolahti, 1973), a factor
307 which also needs to be accounted for in recharge estimation.

308 Computational methods to estimate groundwater recharge vary from simple water balance
309 models, where water stores and fluxes are represented conceptually and related with adjustable
310 parameters (Jyrkama et al., 2002), to physically-based models using the Richards equation
311 (Assefa and Woodbury, 2013, Okkonen and Kløve, 2011) to solve water fluxes through
312 unsaturated zone. Computational methods solving the Richards equation are often limited to
313 small-scale areal simulations (Scanlon et al., 2002a) and shallow unsaturated zones, and they
314 commonly lack the soil freeze, thaw, and snow storage sub-routines relevant at higher northerly
315 latitudes (Okkonen, 2011). However, computational approaches can be employed to produce
316 the values on spatial and temporal variability in recharge often needed in groundwater modeling
317 (Dripps and Bradbury, 2010). The methods ~~developed so far~~ commonly rely on a GIS platform
318 for spatial representation and calculation approaches based on water balance to create the
319 temporal dimension of recharge (Croteau et al., 2010, Dripps and Bradbury, 2007, Jyrkama et
320 al., 2002, Sophocleous, 2000, Westenbroeck et al., 2010). Neglecting variations in ~~depth~~
321 thickness of the unsaturated zone is common practice in many water balance models used in
322 recharge estimations. However, the residence time in the unsaturated zone may play an
323 important role, especially in the timing of recharge in deep unsaturated zones (Hunt et al.,
324 2008), as acknowledged in recent work (Assefa and Woodbury, 2013, Jyrkama and Sykes,
325 2007, Scibek and Allen, 2006, Smerdon et al., 2008).

326 In numerical recharge models, actual evapotranspiration (ET) is a difficult variable to estimate
327 accurately from climate, soil, and land use data. The vegetation is commonly parameterized
328 from land use or land cover maps (Assefa and Woodbury, 2013, Jyrkama et al., 2002, Jyrkama
329 and Sykes, 2007, Keese et al., 2005), where the vegetation characteristics and leaf area index
330 (LAI) are estimated based solely on vegetation type. In addition to tree canopy transpiration,
331 ~~understorey soil~~ evaporation, i.e. evaporation from the pores of soil matrix, can constitute a
332 large proportion of total ET. Soil evaporation from the forest floor is generally reported to range
333 from 3 to 40% of total ET (Kelliher et al., 1993), although values as high as 92% have been
334 recorded (Kelliher et al., 1998). For conifer forest canopies, ~~understorey soil~~ evaporation can
335 largely compensate for low transpiration in areas with lower LAI (Ohta et al., 2001, Vesala et
336 al., 2005). Data on canopy-scale evaporation rates at latitudes above 60°N are rare (Kelliher et
337 al., 1993). A few studies have estimated ET from pine tree stands at patch scale (Kelliher et al.,
338 1998, Lindroth, 1985), but none has extended this analysis to spatially distributed groundwater
339 recharge. Forest management practices have the potential to affect the transpiration
340 characteristics of coniferous forests, which typically leads to increased groundwater recharge
341 (Bent, 2001, Lagergren et al., 2008, Rothacher, 1970).

Commented [PA3]: Rev#2 general comment; soil evaporation defined here, and explicit definitions used in this study follow in section 2.2.2

342 ~~The overall aim of the study was to provide novel information on groundwater recharge rates~~
343 ~~and factors contributing to the amount, timing, and uncertainty of groundwater recharge in~~
344 ~~unconfined sandy eskers aquifers. This study sought to~~ Study expands the application of
345 physically-based 1-D unsaturated water flow modeling ~~to simulate spatial and temporal~~
346 ~~variations infor~~ groundwater recharge, while taking into account detailed information on
347 vegetation (pine, lichen), unsaturated ~~soil depth~~ layer thickness, cold climate, and simulation
348 parameter uncertainty. ~~CoupModel (Jansson and Karlberg, 2004) was used in simulations~~
349 ~~because of its ability to represent the full soil plant atmosphere continuum adequately and to~~
350 ~~include snow processes in the simulations (Okkonen and Klave, 2011). The modeling set up~~
351 ~~developed here uses spatially detailed information on tree canopy properties and concentrates~~
352 ~~on simulating different components of evapotranspiration.~~ Furthermore, ~~it this study~~ considers
353 the effect that forestry land use has on vegetation parameters and how this is reflected in
354 groundwater recharge. ~~The simulation approach takes into account the variability in the~~
355 ~~unsaturated depth throughout the model domain. Parameter uncertainty, often neglected in~~
356 ~~recharge simulations, is considered by using multiple random Monte Carlo simulation runs in~~
357 ~~the process of distributing the 1-D simulations spatially.~~

Commented [PA4]: Rev#2com; overall aim moved up front.

Commented [PA5]: moved to section 2.2

Commented [PA6]: EdCom and Rev#2com; this chapter is reduces to better bring out the aims of the study

358 ~~The overall aim of the study was to provide novel information on groundwater recharge rates~~
359 ~~and factors contributing to the amount, timing, and uncertainty of groundwater recharge in~~
360 ~~unconfined sandy esker aquifers.~~

361 2 Materials and Methods

362 2.1 Study site

363 Groundwater recharge was estimated for the case of the Rokua esker aquifer in northern
364 Finland (Fig. 1). Rokua is an unconfined aquifer consisting of unconsolidated sandy sediments
365 (from here on referred to as soil) underlain by crystalline bedrock (Fig. 2). Aquifer was formed
366 during previous deglaciation when rivers under the melting ice sheet deposited sandy sediments
367 in the river bed (Aartolahti 1973). The Rokua esker has a rolling surface topography in the
368 aquifer recharge area rising about 60 m above the flat peatland areas surrounding the esker. In
369 the groundwater discharge areas, the aquifer is locally confined by peat soil with low hydraulic
370 conductivity (Rossi et al. 2012).

371 -The climate at the Rokua aquifer is characterized by precipitation exceeding
372 evapotranspiration on an annual basis and statistics of the annual climate for the study period
373 1961 - 2010 in terms of precipitation, air temperature and FAO reference evapotranspiration
374 according to Allen et al. (1998) is presented in Table 1. Another important feature of the climate
375 is annually recurring winter periods when most precipitation is accumulated as snow.

376 ~~Groundwater recharge was estimated for a model domain of 82.3 km², 3.6 % of which is~~
377 ~~covered by lakes.~~

378 2.1.1 Quantifying Leaf area index from forestry inventories

379 Forestry inventory data from the Finnish Forest Administration (Metsähallitus, MH) and
380 Finnish Forest Centre (Metsäkeskus, MK) were used to estimate LAI for the Rokua esker
381 groundwater recharge area. The available data consisted of 2786 individual plots covering an
382 area of 52.4 km² (62.4% of the model domain). The forestry inventories, performed mainly
383 during 2000-2011, showed that Scots pine (*Pinus sylvestris*) is the dominant tree in the model
384 area (94.2% of plots). The forest inventory data include a number of data attributes and the
385 following data fields, included in both the MH and MK datasets, were used in the analysis:

- 386 - Plot area (p_A); [ha]
- 387 - Main canopy type

Formatted: Normal

Commented [PA7]: Ed Com, Rev#2 com; specified that the concept of soil is used to refer to the unconsolidated sandy sediments.

Commented [PA8]: Rev#2 spec com

Commented [PA9]: Moved to improved focus

Commented [PA10]: Rev#2 spec com

- 388 - Average tree stand height (h); [m]
- 389 - Average stand diameter at breast height (d_{bh}); [cm]
- 390 - Number of stems (n_{stm}); [1 ha^{-1}]
- 391 - Stand base area (b_A); [$\text{m}^2 \text{ ha}^{-1}$]
- 392 - Stand total volume (V); [m^3]

393 Inventory plots were excluded from the analysis if: (1) main canopy type was not pine forest,
 394 (2) data were missing for d_{bh} and h or n_{stm} , or (3) the MH and MK datasets overlapped, in which
 395 case MH was retained. However, several plots in the MH dataset were lacking n_{stm} data, which
 396 would have created a large gap in data coverage. Therefore the n_{stm} variable was estimated with
 397 a log-transformed regression equation using data on d_{bh} , p_A , and V as independent variables.
 398 This regression equation was built from 280 plots ($R^2 = 0.88$) and used to estimate n_{stm} for 288
 399 plots. LAI was estimated as described by Koivusalo et al. (2008). Needle mass for an average
 400 tree in stand/plot was estimated from h and d_{bh} using empirical equations presented by Repola
 401 et al. (2007). LAI for a stand was calculated as:

$$402 \quad LAI = N_t * n_{stm} * S_{LA} \quad (1)$$

403 where N_t = needle mass per average tree in stand [kg], n_{stm} = number of stems per hectare
 404 [1 ha^{-1}], and S_{LA} = specific leaf area = $4.43 \text{ m}^2 \text{ kg}^{-1} = 4.43 * 10^{-4} \text{ ha kg}^{-1}$ (Xiao et al., 2006).

405 Detailed information on LAI was used to obtain an estimate of how different land-use-forest
 406 management options, already actively in operation in the area, could potentially affect
 407 groundwater recharge. Three scenarios were simulated testing the potential impact of forestry
 408 operations on groundwater recharge:

- 409 1) The first “baseline” scenario simulated the current situation by using LAI pattern at
 410 the site (Fig. 3) estimated with Eq. (1). ~~Clear-cutting is an intensive land use form in~~
 411 ~~which the entire tree stand is removed, and it is carried out in some parts of the study~~
 412 ~~area~~
- 413 2) The ~~first~~second scenario simulated the impact of intensive forestry operations as clear-
 414 cutting of the tree stand, by not resorting to the estimated LAI pattern at the site (Fig.
 415 2), but ~~Clear-cutting is an intensive land use form where almost the entire tree stand is~~

416 ~~removed, and it is carried out in some parts of the study area. by using an~~ Low LAI
417 values of 0-0.2 for the whole study site ~~for the whole simulated area~~ were used in
418 ~~simulating this scenario.~~

419 4) ~~The third scenario, which was the opposite of clear-cutting simulated the~~
420 ~~impact of no forestry operations, i.e. absence of forestry cuttings. the~~ The hypothetical
421 mature stand covering the study site was assumed to have high LAI values of 3.2-3.5
422 found at the study site and reported in the literature (Koivusalo et al., 2008, Rautiainen
423 et al., 2012, Vincke and Thiry, 2008, Wang et al., 2004).

Commented [PA11]: Rev#2 spec com; paragraph reformulated to better explain the different scenarios

424 2.1.2 ~~Determination of~~ Lichen water retention in soil evaporation

Commented [PA12]: rev#2 spec com

425 An organic lichen layer covers much of the sandy soil at the Rokua study site (Kumpula et al.,
426 2000), so this lichen layer was ~~included-introduced~~ in soil evaporation (SE) calculations. ~~Lichen~~
427 ~~vegetation has the potential to affect SE by influencing the evaporation resistance of soil and~~
428 ~~by intercepting rainfall before it enters the mineral soil surface (Kellihel et al., 1998).~~ Although
429 lichens do not transpire water, their structural properties allow water storage in the lichen matrix
430 and capillary water uptake from the soil (Blum, 1973, Larson, 1979). ~~The lichen layer also~~
431 ~~increases soil surface roughness and thereby retards surface runoff (Rodríguez-Caballero et al.,~~
432 ~~2012).~~

Commented [PA13]: included in the discussion

433 In this study, water interception storage by the lichen layer was estimated from lichen samples.
434 In total, six samples (species *Cladonia stellaris* and *C. rangiferina*) were taken in May 2011
435 from two locations 500 m apart, close to borehole MEA506 (see Fig. 1). These samples were
436 collected by pressing plastic cylinders (diameter 10.6 cm) through the lichen layer and
437 extracting intact cores, after which mineral soil was carefully removed from the base of the
438 sample. Thus the final sample consisted of a lichen layer on top and a layer of organic litter and
439 decomposed lichen at the bottom, and was sealed in a plastic bag for transportation. To obtain
440 estimates of water retention capacity, the samples were first wetted until saturation with a
441 sprinkler, left overnight at +4 °C to allow gravitational drainage and weighed to determine 'field
442 capacity'. The samples were then allowed to dry at room temperature and weighed daily until
443 stable final weight ('dry weight') was reached. The water retention capacity (w_r) of the sample
444 was calculated as:

445
$$w_r = \frac{m_{fc} - m_{dry}}{\rho_w} \cdot \frac{1}{\pi \cdot r^2} \quad (2)$$

446 where m_{fc} is the field capacity weight [M], m_{dry} is the final dry weight [M] at room temperature,
 447 ρ_w [M L⁻³] is the density of water, and r [L] is the radius of the sampling cylinder.

448 The mean water retention capacity of the lichen samples was found to be 9.85 mm (standard
 449 deviation (SD) 2.71 mm) and approximations for these values were used in model
 450 parameterization (Table 2). ~~In the simulations, the lichen layer was represented as an organic~~
 451 ~~soil layer with similar Brooks and Corey parameterization as for mineral soil.~~ To acknowledge
 452 the lack of information about ~~Brooks and Corey (B&C)~~ parameter estimates for lichen, the
 453 parameters were included in the simulations Monte Carlo runs (see section 2.2.1) with ranges
 454 which in our opinion produced reasonable shape of the pressure-saturation curve allowing easy
 455 drainage of the lichen.

456 2.1.3 ~~Geological data from soil samples~~ **Soil hydraulic properties**

457 ~~Particle size distribution~~ **Soil texture was determined by sieving (ISO 3310-1 standard sieve, US**
 458 **sieve numbers 5, 10, 18, 35, 60, 120, and 230)** ~~from~~ 26 soil samples taken from five boreholes
 459 at various depths (Fig. 1). 14 of the samples were analyzed also for pressure saturation curves.
 460 Samples were characterized as fine or medium sand, while soil ~~type-texture~~ in the other
 461 boreholes (Fig. 1) had previously been characterized as medium, fine or silty sand throughout
 462 the model domain by the Finnish Environmental Administration ~~as expert in-situ analysis~~
 463 ~~during borehole drilling~~. Therefore the soil samples from the five boreholes were considered to
 464 be representative of the soil type in the area. Pressure saturation data from the samples was then
 465 used to define parameter ranges for the Brooks and Corey equation used in the simulations
 466 (Table 2). Furthermore, ~~particle size distribution~~ **texture** values were employed to calculate the
 467 range of saturated vertical hydraulic conductivity for the samples, using empirical equations by
 468 Hazen, Kozeny-Carman, Breyer, Slitcher, and Terzaghi (Odong, 2007). The hydraulic
 469 conductivity for a given sample ranged approximately one order of magnitude between the
 470 equations. When using the five equations for the 26 samples in total, the calculated values were
 471 within $1.99 \cdot 10^{-5} - 1.47 \cdot 10^{-3}$ [m s⁻¹] for all but one sample. The obtained range was considered
 472 to reasonably represent the hydraulic conductivity variability in the study area and simulations
 473 ~~(Table 2).~~

474 ~~Water table was monitored for model validation purposes (Fig. 1) using pressure based~~
 475 ~~dataloggers (Solinst Levelogger Gold). A measurement was made at one hour intervals in five~~

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Commented [PA15]: Rev#2 spec com

Commented [PA16]: Rev#2 spec com

boreholes screened 1–2 m below the water table. The depth of the unsaturated zone at these boreholes varied from 1 to 15 m. The data were used to estimate groundwater recharge with the water table fluctuation method (see section 2.5).

Commented [PA17]: Rev#2 spec com; moved to validation and modified

2.1.4 Estimation of unsaturated layer depth zone thickness

The thickness of the unsaturated layer at each model cell was estimated by subtracting interpolated water table level from digital elevation model (DEM) topography calculated based on LiDAR data (National Land Survey of Finland, 2012). The water table elevation was estimated with the ordinary Kriging interpolation method from four types of observations (Fig. 4): water table boreholes (n = 19), stages of kettle hole lakes (n = 82), elevation of wetlands located in landscape depressions (n = 36), and land surface elevation at the model domain boundary (n = 229) (Fig. 5).

Commented [PA18]: Rev#2 spec com; number of interpolation points added

Water table borehole observations give the most accurate and reliable estimate of the water table position because they provide direct measurements on the water table. The water table elevation in a given piezometer was estimated here as the average value of the entire measurement history of each piezometer.

Kettle hole lakes in the area are imbedded in the aquifer and thus reflect the level of the regional water table (Ala-aho et al., 2013). The lake stage was extracted as the DEM elevation for a given lake, while for large lakes several interpolation points were scattered around the lake shore to better steer the interpolation locally.

Wetland elevation was used as a proxy for the water table elevation in locations where more certain observations (piezometers, lake levels) were lacking. If a wetland was present in the topographical depression, the water table was considered to lie at the depression bottom, in order to sustain the conditions needed for wetland formation. Wetlands were detected from the base map and the value for water table proxy was assigned from the DEM.

Finally, the land surface elevation was considered to give a reasonable estimate of the water table position in the transition zone between aquifer recharge area (model domain) and groundwater discharge areas covered by peatlands (Fig. 2). The Rokua aquifer is phreatic in the recharge area and Rossi et al. (2012) demonstrated that the peatlands partially confine the aquifer and can create artesian conditions in the discharge area. Even though some local overestimation of the water table may have resulted from the approximation method at the transition zone, it was found to be important to have some points to guide the interpolation at

507 the model domain boundary in order to acknowledge the characteristics of the sloping water
508 table towards the discharge area (Fig. 2). The proxy used for water table was extracted from the
509 DEM to points approximately 250 m apart at the boundary of the model domain.

Commented [PA19]: Rev#1 com, assumption of water table near the transition demonstrated in a cross-section

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511 2.1.42.1.5 Climate data to drive simulations

Commented [PA21]: Rev#2 spec com

512 Driving climate data for the model were taken from Finnish Meteorological Institute databases
513 for the modeling period 1 Jan 1961-31 Oct 2010. Daily mean temperature [°C] and sum of
514 precipitation [mm] were recorded at Pelso climate station, 6 km south of the study area (Fig.
515 1). The most representative long-term global radiation data [$\text{kJ m}^{-2} \text{d}^{-1}$] for the area were
516 available as interpolated values in a 10 x 10 km grid covering the whole of Finland. The
517 interpolation data point was found to be at approximately the same location as borehole
518 MEA2110 (Fig. 1). Long-term data on wind speed [m s^{-1}] and relative humidity [%] were taken
519 from Oulunsalo and Kajaani airports, located 60 and 40 km from the study site, respectively.
520 The data from the airports were instantaneous observations at three-hour intervals, from which
521 daily mean values were calculated. All the climate variables were recorded at reference height
522 2 m except for wind speed, which was measured at 10 m height. The wind speed data were
523 therefore recalculated to correspond to 2 m measurement height according Allen et al. (1998)
524 by multiplying daily average wind speed by 0.748. The suitability of long-term climate data for
525 the study site conditions was verified with observations made at a climate station established at
526 the study site in an overlapping time period (Dec 2009-Oct 2010) and the agreement between
527 the measurements was found to be satisfactory.

Commented [PA22]: Rev#2 spec com

528 Data on long-term lake surface water temperature were needed to calculate lake evaporation
529 (see section 2.3.2.3), but were not available directly at the study site. However, surface water
530 temperature was recorded at Lake Oulujärvi by the Finnish environmental administration
531 (2013) 22 km from the study site in the direction of the Kajaani climate station (Fig. 1). The
532 Oulujärvi water temperature was found to be closely correlated (linear correlation coefficient
533 0.97) with daily lake water temperature recorded at Rokua during summer 2012. Daily lake
534 surface temperature data for Lake Oulujärvi starting from 21 July 1970 were used in lake
535 evaporation modeling. However, the data series had missing values for early spring and some
536 gaps during five years in the observation period. These missing values were estimated with a

537 sine function, corresponding to the average annual lake temperature cycle, and a daily time
538 series was established for subsequent calculations.

539 ~~It was essential to include snow accumulation in the simulations in order to represent the major~~
540 ~~spring recharge event of snowmelt. The snow accumulation routines in CoupModel were used~~
541 ~~(Jansson and Karlberg, 2004) and snowmelt was calculated with a degree-day approach model~~
542 ~~in Jansson and Karlberg (2004).~~ Snow routines were calibrated separately using bi-weekly snow
543 water equivalent (SWE) data from Vaala snowline measurements (Finnish environment
544 administration, 2011) for the period 1960-2010 (Fig. 1). This separately calibrated snow model
545 was used for all subsequent simulations.

Commented [PA23]: Rev#2 spec com

546

547 **2.2 Recharge Modeling framework**

548

549 2.2.02.2.1 Water flow simulation in 1-D unsaturated soil profile ~~Method to~~ 550 ~~distribute 1-D simulations spatially~~

551 ~~Recharge was estimated by simulating w~~ Water flow through an unsaturated one-dimensional
552 (1-D) ~~sandy soil column profile (Fig. 2) with~~ was estimated with the Richards equation using
553 CoupModel (Jansson and Karlberg, 2004). ~~CoupModel (Jansson and Karlberg, 2004) was used~~
554 ~~in simulations~~ selected as the simulation code because of its ability to represent the full soil-
555 ~~plant-atmosphere continuum adequately and to include snow processes in the simulations~~
556 ~~(Okkonen and Kløve, 2011).~~ The simulated soil profile was vertically discretized into 61 layers
557 ~~with increasing layer thickness deeper in the profile. Layer thickness was 0.1 m for the first 16~~
558 ~~layers (until 1.6 m), where the topmost 0.1 m was represented as a lichen layer. Layer thickness~~
559 ~~was progressively increased by defining 0.2 m thickness for the next 7 layers (between 1.6 and~~
560 ~~3 m), 0.5 m for the next 14 layers (between 3 and 10 m), 1 m for the next 7 layers (between 10~~
561 ~~and 17 m) and 2 m for last 17 layers ranging from 17 m to the bottom of the profile (51 m).~~

Commented [PA24]: Moved here from Introduction to improve the introduction focus

562 ~~The time variable boundary condition for water flow at the top of the column was defined by~~
563 ~~driving climate variables and affected by sub-routines accounting for snow processes with daily~~
564 ~~time step. The short time step was chosen to fully capture the main recharge input from~~
565 ~~snowmelt. All water at the top of the domain was assumed to be subjected to infiltration. Deep~~
566 ~~percolation as gravitational drainage was allowed from soil column base using the unit-gradient~~

Commented [PA25]: Ed com, Rev#2 com; description of model discretization is improved and better organized

567 boundary condition (see e.g. Scanlon et al., 2002b). Simulations for the unsaturated 1-D soil
568 profile were made for the period 1970-2010, and before each run 10 years of data (1960-1970)
569 were used to spin up the model.

570 The simulation of the 1-D soil profile was performed 400 times as Monte Carlo runs to facilitate
571 the propagation of model parameter uncertainty in the final model output. Model was ran each
572 time with different parameter values as specified in Table 2. For each individual simulation
573 homogeneity in the vertical direction in terms of soil hydraulic properties was assumed. The
574 parameters for which values were randomly varied were chosen beforehand by trial and error
575 model runs exploring the sensitivity of parameters with respect to cumulative recharge or
576 evapotranspiration. The parameter ranges were specified from field data when possible;
577 otherwise we resorted to literature estimates or in some cases used $\pm 50\%$ of the CoupModel
578 default providing a typical parameter for the used equation.

579 The sensitivity of the parameters varied in the simulations was tested with Kendall correlation
580 analysis, by testing the correlation between each model parameter and cumulative sums of
581 different evapotranspiration components and soil infiltration for the 400 model runs. Individual
582 simulation with unique parameter values did not produce a groundwater recharge value due to
583 the assembling strategy for recharge; therefore the ET components and soil infiltration were
584 selected as variables for comparison. In addition, correlations were examined as scatter plots to
585 ensure that possible sensitivity not captured by the monotonic correlation coefficient was not
586 overlooked.

Commented [PA26]: Rev#2 spec com; assumption of vertical heterogeneity clearly stated

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588 2.2.2 Method to distribute 1-D simulations spatially

589 Groundwater recharge was estimated for a model domain of 82.3 km²

590 (Fig. 1). -To distribute the simulations in 1-D soil column spatially, the recharge areasimulation
591 domain was subdivided into different recharge zones, similarly to e.g. Jyrkämä et al. (2002).
592 As each zone requires a unique simulation, the number of simulation setups rapidly increases,
593 leading to high computational demand and/or laborious manual adjustment of model set-up. In
594 the present study, this was avoided by simulating water flow in a single unsaturated 1-D soil
595 column multiple times with different random parameterizations and distributing the results
596 spatially to model zones. Spatial coupling was done with the ArcGIS software (ESRI, 2011).

597 Zonation in the model was based on two variables: LAI and unsaturated zone ~~depth-thickness~~
598 (~~UZD-UZT~~). The calculation of spatially distributed values for LAI and ~~UZD-UZT~~ is presented
599 in detail in sections 2.1.1 and 2.1.4. ~~This produced~~Both variables were presented as a grid maps
600 with 20m x 20m cell size with a floating point number assigned to each cell, resulting in a total
601 of 205 708 cells for the model domain. The small model cell size was selected to ensure full
602 exploitation of the forest inventory plots in LAI determination. The spatially distributed data
603 were then divided into 15 classes for LAI and 30 classes for ~~UZD-UZT~~(Figs. 2 and 5). The
604 classes are primarily equal intervals, which was convenient in the subsequent data processing,
605 but in addition the frequency distributions of LAI and ~~UZD-UZT~~ cell values were used to assign
606 narrower classes for parameter ranges with many values (see histograms in Figs. 23 and 54).
607 Class interval for LAI was 0.2 units up to a value of 2 (class 1: LAI = 0-0.2, etc.) and 0.3 to the
608 maximum LAI value of 3.5. Class interval for ~~UZD-UZT~~ was 1 m to 10 m depth and 2 m to the
609 final depth of 51 m. Finally, the classified LAI and ~~UZD-UZT~~ data were combined to a raster
610 map with 20m x 20m cell size, producing 449 different zones with unique combinations of LAI
611 and ~~UZD-UZT~~ values. Spatial coupling was done with the ArcGIS software (ESRI, 2011).

612 ~~Simulations for the unsaturated 1-D soil profile were made for the period 1970-2010, and before~~
613 ~~each run 10 years of data (1960-1970) were used to spin up the model. The time variable~~
614 ~~boundary condition for water flow at the top of the column was defined by driving climate~~
615 ~~variables and affected by sub-routines accounting for snow processes. All water at the top of~~
616 ~~the domain was assumed to be subjected to infiltration. This model simplification well is~~
617 ~~justified by the permeable soil type with high infiltration capacity (as noted by Keese et al.,~~
618 ~~2005). Deep percolation as gravitational drainage was allowed from soil column base using the~~
619 ~~unit gradient boundary condition (see e.g. Scanlon et al., 2002b). The column was vertically~~
620 ~~discretized into 60 layers with increasing layer thickness deeper in the profile: Layer thickness~~
621 ~~was 0.1 m until 1.6 m (the first layer lichen), 0.2 m between 1.6 and 3 m, 0.5 m between 3 and~~
622 ~~10 m, 1 m between 10 and 17 m and 2 m from 17 m to the bottom of the profile (51 m).~~

623 ~~The simulation was performed as 400 Monte Carlo runs to ensure enough model runs would be~~
624 ~~available for each LAI range. Model was ran each time with different parameter values as~~
625 ~~specified in Table 2. The parameters for which values were randomly varied were chosen~~
626 ~~beforehand by trial and error model runs exploring the sensitivity of parameters with respect to~~
627 ~~cumulative recharge or evapotranspiration. The parameter ranges were specified from field data~~

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628 when possible; otherwise we resorted to literature estimates or in some cases used $\pm 50\%$ of the
629 CoupModel default providing a typical parameter for the used equation.

630 Variation in the LAI and UZD parameters were used to allocate the simulations spatially to the
631 study site. To follow the example in Figure 3, a cell with a LAI value of 0.1 was assigned to
632 cell class 1 along with all other cells in the LAI range 0-0.2. In addition to LAI, the model was
633 zoned according to unsaturated zone depth. For each model cell, a value for simulated water
634 flow was extracted from the midpoint of unsaturated soil class corresponding to the cell in
635 question. In the example in Figure 3, a cell with an UZD value of 5.2 m belongs to soil class 6,
636 representing unsaturated depth of 5-6 m. Water flow at 5.5 m depth represents the groundwater
637 recharge time series for the model cell in question. In this way, each of the 400 simulations of
638 the unsaturated soil column provided a water flow time series for each UZD class. When LAI
639 class for the same example cell was considered, there were on average 27 simulation time series
640 (number of total model runs [400] divided by number of LAI cell classes [15]) available for the
641 example cell with UZD 5.2 m and LAI 0.15.

642 After completing the CoupModel simulations for the unsaturated soil column, variation in the
643 LAI and UZD parameters were used to allocate the 1-D soil profile simulations spatially to the
644 study site. LAI class in model cell specified a subset of the 400 1-D simulations that were
645 applicable for a given cell. UZT class for each cell (Fig. 2) specified the depth in the simulated
646 51 m soil profile where the water flux output was extracted. Using this approach each unique
647 recharge zone (a combination of UZD-UZT and LAI class) had on average 27 recharge water
648 flow time series (number of total model runs [400] divided by number of LAI cell classes [15])
649 produced by different random combinations of parameters (Table 2). Equation (3) was used
650 to propagate the variability in the 27 time series into the final areal recharge, a recharge value
651 was randomly selected for each time step and each recharge zone from the ensemble of 27 (on
652 average) and multiplied by the number of model cells belonging to the recharge zone in question
653 (Eq. 3). Because the recharge rates were in units of mm/day, the rate was converted to
654 volumetric flux [$\text{m}^3 \cdot \text{d}^{-1}$] by multiplying it by the cell area (A_c) with appropriate unit
655 transformations. Finally, the volumetric flow rate from all the unique recharge zones was
656 summarized for a given time step and the sum was converted from [$\text{m}^3 \cdot \text{d}^{-1}$] to [$\text{mm} \cdot \text{d}^{-1}$] by
657 dividing by the surface area of the total recharge area (A_{tot}). This procedure was carried out for
658 all time steps and then repeated a number of times (here 150 times) to ensure that all of the
659 simulated time series for each recharge zone were represented in the random selection process.

Commented [PA29]: example with the related figure is removed to shorten the manuscript as requested by reviewers and editor

Commented [PA30]: Written explanation of Eq. 3 removed to shorten the methods section

$$R_{i,j} = \frac{\sum_{l=1}^{449} n(l) * R_{s_i,rand(1:k)} * A_c}{A_{tot}} \quad (3)$$

660 where $R_{i,j}$ is the final sample of areal recharge [mm day^{-1}], i is the index for simulation time
 661 step (= 1:14975), j is the index for sample for a given time step (1:150), l is the index for unique
 662 recharge zone, $n(l)$ is the number of cells in a given recharge zone, R_s is the recharge sample
 663 [mm/day] for a given recharge zone at time step I , k is the number of time series for a given
 664 recharge zone, A_c is the surface area of a model raster cell ($=20 \text{ m} * 20 \text{ m} = 400 \text{ m}^2$), and A_{tot}
 665 is the surface area of the total recharge area.
 666

667
 668 The resulting R matrix has 150 time series for areal recharge produced by simulations with
 669 different parameter realizations. The variability between the time series provides an indication
 670 of how much the simulated recharge varies due to different model parameter values. The
 671 method allows computationally efficient recharge simulations, because the different recharge
 672 zones do not all have to be simulated separately.

673
 674 The ~~method-simulation approach~~ assumes that: (1) over the long-term, the water table remains
 675 at a constant level, i.e. the unsaturated ~~depth-thickness~~ for each model cells stays the same.
 676 Monitoring data from 11 boreholes and seven lakes with more than 5 years of observation
 677 history shows level variability of 1 – 1.5 m, with depressions and recoveries of the water table.
 678 This variability is within the accuracy of water table estimation by interpolation, ~~and therefore~~
 679 ~~we find the assumption of long term equilibrium acceptable for the study site.~~ (2) ~~t~~the capillary
 680 fringe in the sandy soil is thin enough not to affect the water flow before arriving at the
 681 ‘imaginary’ water table at the center of each soil class. (3) ~~o~~nly vertical flow takes place in the
 682 unsaturated soil matrix, a typical assumption in recharge estimation techniques (Dripps and
 683 Bradbury, 2010, Jyrkama et al., 2002, Scanlon et al., 2002a)(~~Jyrkama et al., 2002; Scanlon et~~
 684 ~~al., 2002a; Dripps and Bradbury, 2010~~)(Dripps and Bradbury, 2010, Jyrkama et al., 2002,
 685 Scanlon et al., 2002), (4) surface runoff is negligible primarily due to the permeable soil type
 686 (as noted by Keese et al., 2005)(~~Keese et al., 2005~~), and also due to lichen cover inhibiting
 687 runoff by increasing surface roughness (Rodríguez-Caballero et al., 2012). The maximum
 688 observed daily rainfall for the area has been 57.4 mm. Further assuming that rain for the day
 689 fell only during one hour, it would equal to $1.59 * 10^{-5} \text{ m s}^{-1}$ input rate of water, which is close
 690 to the lower range of saturated hydraulic conductivity at the study site ($1.99 * 10^{-5} \text{ m s}^{-1}$).

691 Therefore rainstorms at the site very rarely exceed the theoretical infiltration capacity. As a
692 field verification, surface runoff has not been observed during field visits and the area lacks
693 intermittent or ephemeral stream networks. ~~The lichen layer also increases soil surface~~
694 ~~roughness and thereby retards surface runoff (Rodríguez Caballero et al., 2012). (5)~~
695 ~~uncertainties in the estimation of spatially distributed LAI and UZD values justify the use of~~
696 ~~approximations (i.e. water flow at the UZD class range midpoint and LAI value specified only~~
697 ~~as a range for each cell) in the cell classification phase.~~

Commented [PA31]: removed, as not a major assumption

698 ~~The model set up used fine temporal and spatial discretization with a daily time step and 20m~~
699 ~~x 20m cell size, respectively. The short time step was chosen to fully capture the main recharge~~
700 ~~input from snowmelt and to demonstrate its impact on recharge variability at different water~~
701 ~~table depths. The small model cell size was selected to ensure full exploitation of the forest~~
702 ~~inventory plots in LAI determination. Simulation times for the current set up were~~
703 ~~approximately 10 hours for 400 simulations of the 50 m soil profile for the period 1961–2010,~~
704 ~~and 12 hours to redistribute the simulations to the 200 000 model cells for each time step and~~
705 ~~create 150 realizations of recharge time series. Where the computational capacity or the length~~
706 ~~of the run times poses a problem, the modeling methodology allows different spatial and~~
707 ~~temporal dimensions, which would speed up the long simulation times.~~

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708 ~~The sensitivity of the parameters varied in the simulations was tested with Kendall correlation~~
709 ~~analysis, by testing the correlation between each model parameter and cumulative sums of~~
710 ~~different evapotranspiration components and soil infiltration for the 400 model runs. Individual~~
711 ~~simulation with unique parameter values did not produce a groundwater recharge value due to~~
712 ~~the assembling strategy for recharge; therefore the ET components and soil infiltration were~~
713 ~~selected as variables for comparison. In addition, correlations were examined as scatter plots to~~
714 ~~ensure that possible sensitivity not captured by the monotonic correlation coefficient was not~~
715 ~~overlooked.~~

716 **2.3— Estimation of Evapotranspiration**

717 **2.2.3 Estimation of evapotranspiration**

718 Four different evaporation processes were considered in this study (Fig. 5); soil evaporation
719 (evaporation from the topmost soil layer, i.e. the lichen matrix), snow evaporation (evaporation
720 from snow surface), transpiration (evaporation through the vascular system of tree canopy) and
721 lake evaporation (evaporation from free water surface) and transpiration (Fig. 4). In areas with

Commented [PA33]: Ed com; equations presented in an appendix to reduce manuscript length

Commented [PA34]: Rev#2 general com; different conceptualization of ET explicitly defined

722 unsaturated soil zones, the first three ~~evaporation~~ components were estimated, along with water
 723 flow simulations, using CoupModel. However, as 3.6 % (2.9 km²) of the surface area of the
 724 study site consists of lakes (Fig. 1), lake evaporation from free water surfaces was calculated
 725 independently from the CoupModel simulations. ~~Kettle hole lakes in esker aquifers often lack~~
 726 ~~surface water inlets and outlets and are therefore an integral part of the groundwater system~~
 727 ~~(Ala-aho et al., 2013, Winter et al., 1998)(Winter et al., 1998; Ala-aho et al., 2013)(Ala-aho et~~
 728 ~~al., 2013, Winter et al., 1998)~~, so we considered these lakes as contributors to total groundwater
 729 recharge. In other words, rainfall per lake surface area is treated equally as addition to the
 730 aquifer water storage as groundwater recharge. As a difference, lake water table is subjected to
 731 evaporation unlike the groundwater table.

732 2.3.1 Transpiration

733 Transpiration from the Scots pine canopy ($L_v E_{tp}$) was calculated using Penman-Monteith (P-
 734 M) combination Eq. (4) (Appendix 1, Eq. 1):

$$735 L_v E_{tp} = \frac{\Delta R_n + \rho_a c_p \frac{(e_s - e_a)}{r_{ae}}}{\Delta + \gamma \left(1 + \frac{r_s}{r_{ae}}\right)} \quad (4)$$

736 where R_n is net radiation, ρ_a is air density, c_p is the specific heat of air, e_s is the vapor pressure
 737 at saturation, e_a is the actual air vapor pressure, r_a is the aerodynamic resistance, Δ is the slope
 738 of the saturated vapor pressure temperature curve, γ is the psychrometer constant, and r_s is
 739 surface resistance.

740 The aerodynamic resistance (r_a) for transpiration was calculated as:

$$741 r_{ae} = \frac{\ln\left(\frac{z_{ref} - d}{z_0}\right)}{k z_{ref}^{-0.14} u} \quad (5)$$

742 where z_{ref} is the reference height of the measurements, d is the displacement height, z_0 is the
 743 roughness length, k is von Karman's constant, and u is wind speed.

744 Surface resistance (r_s) was estimated with Eq. 6:

$$745 r_s = \frac{1}{\max(LAI \cdot g_l; 0.001)} \quad (6)$$

746 where g_l is the leaf conductance given by the Lohammar equation (see e.g. Lindroth, 1985).

747 Whenever possible, all the parameters relating to the Penman-Monteith equation were
 748 estimated based on data, namely LAI of the canopy. Surface resistance and saturation vapor

749 pressure difference are the main factors controlling conifer forest evapotranspiration, while the
 750 aerodynamic resistance is of less importance (Lindroth, 1985, Ohta et al., 2001). In the
 751 calculation of aerodynamic resistance with the P-M equation, roughness length is related to LAI
 752 and canopy height, according to Shaw and Pereira (1982). Other parameters governing the
 753 aerodynamic resistance, except for LAI, were treated as constant. The surface resistance of the
 754 pine canopy was estimated with the Lohammar equation (see e.g. Lindroth, 1985), accounting
 755 for effects of solar radiation and air moisture deficit in tree canopy gas exchange. Because LAI
 756 values have a strong influence in the surface resistance Lohammar equation, the other
 757 parameters governing the surface resistance were excluded from the Monte Carlo runs.
 758 Distribution of root biomass with respect to depth from the soil surface was presented with an
 759 exponential function, because most Scots pine roots are concentrated in the shallow soil zone.
 760 A typical root depth value of 1 m was used for the entire canopy (Kalliokoski, 2011, Kelliher
 761 et al., 1998, Vincke and Thiry, 2008).

762 2.3.2 Soil evaporation with lichen cover

763 Soil and snow evaporation ~~was were~~ calculated using an empirical approach (Appendix 1, Eq.
 764 74) based on the P-M equation, as described in detail in Jansson and Karlberg (2004). ~~In this~~
 765 ~~approach,~~ Soil evaporation ($L_{so}E_{tp}$) is calculated for the snow-free fraction of the soil surface,
 766 and the snow evaporation is solved separately as a part of snow pack water balance:

$$767 L_{so}E_{tp} = \frac{\Delta(R_{net} - q_h) + \rho_a c_p \frac{(e_s - e_a)}{r_{as}}}{\Delta + \gamma \left(1 + \frac{r_{ss}}{r_{as}}\right)} \quad (7)$$

768 where q_h is the soil surface heat flux, r_{as} is the aerodynamic resistance of soil, and r_{ss} is the
 769 surface resistance of soil.

770 The aerodynamic resistance of the soil (r_{as}) is calculated as Eq (8):

$$771 r_{as} = r_{alat} \cdot LAI + \frac{1}{kz_{0M}} \cdot \ln\left(\frac{z_{ref} - d}{z_{0M}}\right) \cdot \ln\left(\frac{z_{ref} - d}{z_{0H}}\right) \cdot f(R_{ib}) \quad (8)$$

772 where r_{alat} is an empirical parameter, z_{0M} and z_{0H} are surface roughness lengths for momentum
 773 and heat, respectively, and $f(R_{ib})$ is a function governing the influence of atmospheric stability.

774 The surface resistance for soil (r_{ss}) is given by:

$$775 r_{ss} = \frac{r_{\Psi} \cdot \log(\Psi_s - 1 - \delta_{surf}); \Psi_s \geq 100}{r_{\Psi} (1 - \delta_{surf}); \Psi_s \leq 100} \quad (9)$$

776 where r_p is an empirical coefficient, Ψ_s is the water tension in the uppermost soil layer, and δ_{surf}
777 is the mass balance at the soil surface (see Jansson and Karlberg, 2004).

778 In areas where the water table is close to the soil-ground surface, the water table can provide an
779 additional source of water for evapotranspiration (Smerdon et al., 2008). To take into account
780 the decreased recharge for areas with near surface water tables, the recharge for cells with an
781 unsaturated zone of <1 m (8.3% of the study site, 6.8 km²) was estimated with a water balance
782 approach. We assumed that for areas with a shallow water table, soil water content was not a
783 limiting factor for transpiration. Therefore an additional water source for transpiration was
784 considered by making the transpiration rate equal to simulated potential transpiration (T) during
785 times when the actual transpiration was simulated (T > 0.05 mm). Increasing effect of the water
786 table located at 1 m depth on soil evaporation was tested with simulations and found to be 5-
787 10% higher with than without a water table. Therefore a 7% addition was made to the simulated
788 actual soil evaporation for cells with a shallow water table. Daily recharge (R_{1m} , L T⁻¹) for cells
789 with unsaturated depth thickness below 1 m was estimated as:

$$790 R_{1m} = I - T_{adj} - ES_{adj} \quad (104)$$

791 where I is infiltration water arriving to lake/soil surface, including both meltwater from the
792 snowpack and precipitation [L T⁻¹], T_{adj} [mm d⁻¹] is adjusted transpiration, and ES_{adj} [mm d⁻¹]
793 is adjusted soil evaporation.

794
795 ~~Simulations with a water table fixed at different depths in the soil profile would have been~~
796 ~~possible in the CoupModel setup. However, it would have doubled the amount of model runs~~
797 ~~for each considered water table depth and water table was not explicitly simulated for~~
798 ~~computational efficiency. Upward fluxes were not excluded from the recharge time series and~~
799 ~~negative fluxes were considered as “negative recharge” at any depth. Only the simplification is~~
800 ~~made that water available for upward fluxes comes only from the soil moisture storage, not~~
801 ~~from the water table.~~

802 ~~To take into account the decreased recharge for areas with near surface water tables, the~~
803 ~~recharge for cells with an unsaturated zone of <1 m was estimated with a water balance~~
804 ~~approach. We assumed that for areas with a shallow water table, soil water content was not a~~
805 ~~limiting factor for transpiration. Therefore an additional water source for transpiration was~~
806 ~~considered by making the transpiration rate equal to simulated potential transpiration (T) during~~

Commented [PA35]: Rev#2 spec com; details of the areal extent added

Commented [PA36]: Rev#1 com; moved to discussion and assumption better discussed

807 ~~times when the actual transpiration was simulated ($T > 0.05$ mm). Increasing effect of the water~~
 808 ~~table located at 1 m depth on soil evaporation was tested with simulations and found to be 5-~~
 809 ~~10% higher with than without a water table. Therefore a 7% addition was made to the simulated~~
 810 ~~actual soil evaporation for cells with a shallow water table. Daily recharge (R_{1m} , $L T^{-1}$) for cells~~
 811 ~~with unsaturated depth below 1 m was estimated as:~~

$$812 R_{1m} = I - T_{adj} - ES_{adj} \quad (10)$$

813 ~~where I is infiltration water arriving to lake/soil surface, including both meltwater from the~~
 814 ~~snowpack and precipitation [$L T^{-1}$], T_{adj} [$mm d^{-1}$] is adjusted transpiration, and ES_{adj} [$mm d^{-1}$]~~
 815 ~~is adjusted soil evaporation.~~

816 Kettle hole lakes in esker aquifers often lack surface water inlets and outlets and are therefore
 817 an integral part of the groundwater system (Ala-aho et al., 2013, Winter et al., 1998), so we
 818 considered these lakes as contributors to total groundwater recharge. In other words, rainfall
 819 per lake surface area is treated equally as addition to the aquifer water storage as groundwater
 820 recharge. As a difference, lake water table is subjected to evaporation unlike the groundwater
 821 table. Lake evaporation

822 Lake cells were identified according from a base map and the daily lake recharge (R_{lake} , [$L T^{-1}$]
 823) per unit area was then calculated with a water balance approach as:

$$824 R_{lake} = I - E_{lake} \quad (11)$$

825 where E_{lake} [$L T^{-1}$] is lake evaporation.

826 Lake evaporation (E_{lake}) was estimated with the mass transfer approach (see e.g. Dingman,
 827 2008) according to Eq. (7+2) in Appendix 1.

$$828 E_{lake} = K_E \cdot v_a \cdot (e_s - e_a) \quad (12)$$

829 where K_E is mass transfer coefficient [$ML^{-1}T^{-2}$], v_a is wind speed [$L T^{-1}$], e_s [$ML^{-1}T^{-2}$] is
 830 saturated vapor pressure at lake water surface temperature, and e_a [$ML^{-1}T^{-2}$] is air vapor
 831 pressure. The mass transfer coefficient (K_E) represents the efficiency of vertical water transport
 832 from the evaporating surface and it can be treated as a function of lake size:

$$833 K_E = 1.69 \times 10^{-5} \cdot A_L^{-0.05} \quad (13)$$

834 where A_L is lake surface area [km^2]

835 ~~The groundwater recharge study area has lakes of variable size, from less than 1 ha to 25 ha~~
836 ~~(Fig. 1). Lake size variability was included in the total recharge calculation by randomly~~
837 ~~selecting a K_E value (from the range 1–25 ha) in Eq. (13) when calculating lake evaporation,~~
838 ~~and thereby groundwater recharge in model cells with lakes (see section 2.2).~~ The mass transfer
839 method was selected because of its simplicity, daily output resolution, low data requirement,
840 and physically-based approach. However various calculation methods could easily be used in
841 the modelling framework, depending on the data availability (see e.g. Rosenberry et al., 2007).
842 If lake percentage in the area of interest is high, more sophisticated methods may be required
843 to better represent the system. ~~However, f~~For the Rokua site the bias introduced by a simplistic
844 approach was considered minor.

845 **2.4— Estimation of unsaturated layer depth**

846 ~~The depth of the unsaturated layer at each model cell was estimated by subtracting interpolated~~
847 ~~water table level from digital elevation model (DEM) topography calculated based on LiDAR~~
848 ~~data (National Land Survey of Finland, 2012). The water table elevation was estimated with~~
849 ~~the ordinary Kriging interpolation method from four types of observations: water table~~
850 ~~boreholes, stages of kettle hole lakes, elevation of wetlands located in landscape depressions,~~
851 ~~and land surface elevation at the model domain (Fig. 5).~~

852 ~~Water table borehole observations give the most accurate and reliable estimate of the water~~
853 ~~table position because they provide direct measurements on the water table. The water table~~
854 ~~elevation in a given piezometer was estimated here as the average value of the entire~~
855 ~~measurement history of each piezometer.~~

856 ~~Kettle hole lakes in the area are imbedded in the aquifer and thus reflect the level of the regional~~
857 ~~water table (Ala-aho et al., 2013). The lake stage was extracted as the DEM elevation for a~~
858 ~~given lake, while for large lakes several interpolation points were scattered around the lake~~
859 ~~shore to better steer the interpolation locally.~~

860 ~~Wetland elevation was used as a proxy for the water table elevation in locations where more~~
861 ~~certain observations (piezometers, lake levels) were lacking. If a wetland was present in the~~
862 ~~topographical depression, the water table was considered to lie at the depression bottom, in~~
863 ~~order to sustain the conditions needed for wetland formation. Wetlands were detected from the~~
864 ~~base map and the value for water table proxy was assigned from the DEM.~~

865 Finally, the land surface elevation was considered to give a reasonable estimate of the water
866 table position in the transition zone between recharge and discharge areas. The Rokua aquifer
867 is phreatic in the recharge area and Rossi et al. (2012) demonstrated that the peatlands partially
868 confine the aquifer and can create artesian conditions in the discharge area. Even though some
869 local overestimation of the water table may have resulted from the approximation method at
870 the transition zone, it was found to be important to have some points to guide the interpolation
871 at the model domain boundary in order to acknowledge the characteristics of the sloping water
872 table towards the discharge area. The proxy used for water table was extracted from the DEM
873 to points approximately 250 m apart at the boundary of the model domain.

874 **2.52.3 Model validation**

875 Model performance was tested by comparing the simulated recharge values with two
876 independent recharge estimates in local and regional scale; the water table fluctuation (WTF)
877 method and base flow estimation, respectively. The WTF method is routinely used to estimate
878 groundwater recharge because of its simplicity and ease of use. It assumes that any rise in water
879 level in an unconfined aquifer is caused by recharge arriving at the water table. For a detailed
880 description of the method and its limitations, see e.g. Healy and Cook (2002). The recharge
881 amount (R , $L T^{-1}$) is calculated based on the water level prior to and after the recharge event
882 and the specific yield of the soil:

$$883 R = S_y \frac{\Delta h}{\Delta t} \quad (145)$$

884 where S_y is the specific yield, h is the water table height [L], and t is the time of water table rise
885 [T].

886 The WTF method requires groundwater level data with adequate resolution for both time and
887 water level, to identify periods of rising and falling water table. ~~Water table was monitored for
888 model validation purposes (Fig. 1) using pressure-based dataloggers (Solinst Levellogger Gold).
889 A measurement was made at one hour intervals in five boreholes screened 1.2 m below the
890 water table. The depth of the unsaturated zone at these boreholes varied from 1 to 15 m. The
891 data were used to estimate groundwater recharge with the water table fluctuation method (see
892 section 2.5). Such data, with hourly interval water level recordings were available for the
893 study site with hourly interval, from six water table wells with average unsaturated zone depths
894 thicknesses of 1.2, 1.6, 5.0, 8.0, 9.3, and 14.7 m (Fig. 1). Wells where the water table was <2
895 m from the ground surface responded to major precipitation events. In the deeper wells, only~~

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896 the recharge from snowmelt was seen as water table rise. Estimates of the soil specific yield are
897 required for the calculations (Eq. 145), but no soil samples were available from the wells used
898 in water table monitoring. Drilling records for these wells reported fine and medium sand,
899 which was consistent with the particle size distribution for other wells in the area. Therefore an
900 estimated value of 0.20-0.25 for the specific yield of all wells was used, according to typical
901 values for fine and medium sand (Johnson, 1967).

902
903
904 The recharge estimated with the WTF method was compared with the simulated recharge
905 during the recorded water level rise in the well. For each well, the cumulative sum of simulated
906 water flow was extracted from soil profile depth corresponding to well water table depth. As
907 an example, the simulated recharge in well ROK1 (unsaturated ~~depth-thickness~~ on average 14.7
908 m) was extracted from soil class 12, corresponding to recharge for unsaturated thickness of 14-
909 16 m. All 400 model runs were used, providing 400 estimates for recharge for each time period
910 of recorded water level rise.

911 A regional estimate of groundwater recharge was estimated as baseflow of streams originating
912 at the groundwater discharge area. Because the Rokua esker aquifer acts as a regional water
913 divide, stream flow was monitored around the esker, in total of 18 locations (Fig. 1). The flows
914 were measured total of 8 times between 6 July 2009 and 3 August 2010 (see Rossi et al., 2014).
915 The lowest total outflow during 9-10 February 2010 was recorded after three months of snow
916 cover period, when water contribution to streams from surface runoff was minimal. The
917 minimum outflow was considered as baseflow from the aquifer reflecting long term
918 groundwater recharge in the area.

919 ~~However, some groundwater discharges to larger regional lakes and rivers traveling underneath~~
920 ~~the measured small streams (Rossi et al., 2014), and thereby the baseflow to the small streams~~
921 ~~was expected to be lower than the total recharge.~~

Commented [PA38]: removed to avoid contradiction, and explained in the discussion

924 **3 Results**

925 **3.1 Model validation with the WTF and baseflow methods**

926 Model validation showed that the modeling approach could reasonably reproduce (1) the main
927 groundwater recharge events when compared to the WTF method (Fig. 6) and (2) the regional
928 level of recharge compared to stream baseflow. The model showed reasonable performance and
929 consistency against independent recharge estimates obtained with both WTF (Fig. 6) and
930 baseflow methods (Fig. 6 and Table 3, respectively). The WTF method agreed well with the
931 simulated values, with overlapping estimates between the methods for all but two
932 boreholes recharge events. Also the median value of simulations was close to WTF method,
933 with some bias to higher estimates from the simulations. The discrepancy can be due to very
934 different assumptions behind the methods and uncertainty in local parameterization: in the WTF
935 method for the specific yield (S_y) and for simulations mainly the hydraulic conductivity which
936 dictates the simulated timing of recharge. Uncertainty in the S_y estimate is acknowledged by
937 showing S_y a range rather than a single value (Fig. 6), but still S_y is not truly known for the
938 location of observation boreholes. Simplifying assumptions and subjective interpretation of
939 both timing and height of water table rise create additional inaccuracies in the WTF estimate.

940 Independent The order of magnitude for regional estimate of recharge, stream baseflow,
941 corresponded well to simulated recharge, level of match depending on the examined simulation
942 period (Table 3). The measured baseflow was $70\ 500\ \text{m}^3\ \text{s}^{-1}$, or $312.7\ \text{mm}\ \text{a}^{-1}$ when related to
943 the recharge area. The order of magnitude agreed with long term simulated average of
944 simulations, $362.8\ \text{mm}\ \text{a}^{-1}$. Typical error in individual stream flow measurements is within 3-
945 6 % of the measured value (Sauer and Meyer, 1992)(XXX), which brings minor uncertainty in
946 the baseflow value. When comparing to the simulated long term average recharge and recharge
947 for previous year, the measured baseflow was lower than the simulated recharge. Then again,
948 when extracting the recharge data for the exact stream discharge measurements dates 9-10
949 February 2010, stream baseflow exceeded the simulated recharge. Model validation showed
950 that the modeling approach could reasonably reproduce (1) the main groundwater recharge
951 events when compared to the WTF method and (2) the regional level of recharge compared to
952 stream baseflow. The WTF estimates for recharge agreed with the simulations, with a slight
953 tendency for higher estimates by the simulations. The discrepancy can be due to different
954 assumptions behind the methods and uncertainty in local parameterization: in the WTF method
955 for the specific yield and for simulations mainly the hydraulic conductivity which dictates the

Commented [PA39]: section modified and some parts of the discussion are moved here to avoid repetition

Commented [PA40]: rev#2 specific comment; uncertainty in the WTF method highlighted

Commented [PA41]: Rev#com; uncertainty in baseflow mentioned

956 timing of recharge. However, there were overlapping estimates for almost every recharge event
957 which shows consistency between the methods. The smaller value for stream baseflow was
958 lower than the compared to simulated long term average recharge, which was expected because
959 of the site can be explained with conceptual understanding of site hydrogeology (Ala-aho et al.,
960 2015, Ala-aho et al., 2013, Rossi et al., 2012, Rossi et al., 2014)(Ala-aho et al., 2013, Rossi et
961 al., 2012, Rossi et al., 2014). Part of the recharged groundwater does not discharge to the small
962 streams whose baseflow was measured, but flows underneath the stream catchments and seeps
963 out to regional surface bodies (Lake Oulujärvi and River Oulujoki) further away from the
964 recharge area (Fig. 23). Fully integrated surface-subsurface hydrological modeling study of the
965 same site presented in Ala-aho et al. (2015) simulated an outflow of 79 mm a⁻¹ to regional
966 surface water bodies. Simulation results presented in Ala-aho et al. All of the outflow from the
967 aquifer was likely not captured by the baseflow measurements as some of the water discharges
968 to larger streams and lakes outside of the stream catchments (Rossi et al., 2014). When
969 simulated recharge was extracted specifically for the baseflow measurements dates, the lower
970 values for simulated recharge were also anticipated. The recharge displayed strong seasonal
971 variability (see Fig. 7), but the discharge to streams is in general more stable because of the
972 stabilizing effect of the groundwater storage. In conclusion, the order of magnitudes in the
973 regional baseflow estimate and the simulation results were consistent. Despite the very different
974 assumptions on which the modelling and field based methods were based, all provided similar
975 estimates for groundwater recharge at the study site.

3.2

3.3 Temporal variations in groundwater recharge

3.2 Recharge and evapotr

3.4 anspiration time series

980 The dynamics of water flow time series responded to snowmelt and rain storm events rapidly
981 at 1.5 m depth, but because of permeable sandy soils a clear signal of annual snowmelt was
982 evident throughout the depth of the aquifer (Fig. 7). The data showed a delay in response to wet
983 seasons when moving down in the soil profile, as expected. For example, snowmelt in the
984 beginning of May 2008, gave the highest flow rate at 11 m in 19 May 2008, at 23 m in 29 June

Commented [PA42]: Rev#2 specific com; references added and the conceptual model supported with a reference to fig. 3.

985 2008 and at 49 m in 5 April 2009. Temporal variability is pronounced higher in the soil profile
986 showing larger variability between maximum and minimum flow.

Commented [PA43]: rev#1 com#1, ed com; removed (with related fig. 7) to improve focus and reduce length reduced

987 Average land surface ET components remained relatively constant between years, but the
988 simulated ET displayed a wide spread between simulations (Fig. 8). Estimated annual
989 evaporation evapotranspiration from the land surface (mean 237.6 mm) was somewhat lower
990 than previous regional estimates of total ET (300 mm; (Mustonen, 1986)). Lake evaporation
991 rates were generally higher than evapotranspiration from the land surface (420.0 mm), due to
992 the different method for estimating lake evaporation. The variation in simulated lake
993 evaporation was considerably lower than that in ET, as a different approach was used to account
994 for uncertainty in the simulations. Transpiration showed greater variation between simulations
995 than soil evaporation and total land surface ET. On average, transpiration also comprised a
996 slightly larger share of total evaporation than soil evaporation. Simulated snow evaporation was
997 a small, yet not insignificant, component in the total ET from land surface.

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998 When recharge simulation time series were summarized to annual values (1 Oct-30 Sept),
999 recharge rates co-varied with annual infiltration with linear correlation coefficient of 0.89
1000 (Fig. 97), as expected based on previous work in humid climate and sandy soils (Keese et al.,
1001 2005, Lemmelä, 1990). Both annual recharge and infiltration displayed an increasing trend. The
1002 plot also showed the level of uncertainty in annual recharge values introduced by differences
1003 in model parameterization (see Table 2 black area). The difference between minimum and
1004 maximum value for simulated annual recharge was on average 23.0 mm. Thus the maximum
1005 variability in recharge estimates was 6.3 % of mean annual recharge 362.8 mm.

Commented [PA45]: chapter moved downwards to emphasize the central results

1006
1007 Annual recharge was strongly correlated with annual sum of precipitation (linear correlation
1008 coefficient 0.89) as expected based on previous work in humid climate and sandy soils (Keese
1009 et al., 2005, Lemmelä, 1990). According to the simulations, the effective rainfall, i.e. the
1010 percentage of corrected rainfall resulting in groundwater recharge annually, was on average
1011 59.3%. This is in agreement with previous studies on unconfined esker aquifers at northerly
1012 latitudes, in which the proportion of annual precipitation percolating to recharge is reported to
1013 be 50-70% (71% by Zaitsoff (1984), 54% by Lemmelä and Tattari (1986) and 56% by Lemmelä
1014 (1990)). The percentage of effective rainfall varied considerably, by almost 30 %-units,
1015 between different hydrological years, from 44.8% in some years up to 73.1% in others.

Commented [PA46]: rev#2 spec com; specified to avoid confusion

1016 ~~Tests on whether the interannual variation in effective rainfall percentage~~
1017 ~~could be explained by sum of annual precipitation or maximum snow water~~
1018 ~~equivalent showed no correlation between either of these variables and~~
1019 ~~effective recharge coefficient for a given year.~~

Commented [PA47]: addressed in the discussion

1020 **3.3 Influence of LAI on spatial variation of groundwater recharge**

1021 The spatial distribution of groundwater recharge was mostly due to variations in LAI
1022 originating from forestry data, but also influenced by distance to water table, and distribution
1023 of lakes (Fig. 408). Higher evaporation rates from lakes led to lower recharge in lakes (see red
1024 spots in Fig. 840). Similarly, large high LAI led to high ET and resulted in low recharge in plots
1025 with high LAI. Other areas of low recharge, although not as obvious at the larger spatial scales
1026 shown in Fig. 408, were cells with a shallow water table (section 2.32.2). The effect of high ET
1027 at locations with a shallow water table can best be seen in south-east parts of the aquifer.

1028 Kendall correlation analysis of simulation parameters and annual average model outputs
1029 identified LAI as the most important parameter controlling evapotranspiration and infiltration
1030 (Table 34). Parameters related to soil hydraulics and evaporation showed some sensitivity to
1031 simulation results, while the parameters for lichen vegetation were only slightly sensitive or
1032 insensitive to simulation output variables. The LAI parameter governed the level of evaporation
1033 for different ET components (Fig. 449). Evaporation from soil (and snow) compensated for
1034 mean annual ET for LAI values up to around 1.0, after which total ET increased as a function
1035 of LAI.

1036 The scenarios for low (0 ... 0.2) and high (3.2 ... 3.5) LAI changed the groundwater recharge
1037 rates compared to the current LAI distribution (in Fig. 97). In the high LAI scenario the annual
1038 recharge was on average 101.7 mm lower than in the low LAI scenario. These results suggest
1039 that management of the Scots pine canopy has a significant control on the total recharge rates
1040 in unconfined esker aquifers.

1041
1042 Average land surface ET components remained relatively constant between years, but the
1043 simulated ET displayed a wide spread between simulations (Fig. 810). Estimated annual
1044 evapotranspiration (mean 237.6 mm) was somewhat lower than previous regional estimates of
1045 total ET (300 mm; (Mustonen, 1986)). Lake evaporation rates were generally higher than
1046 evapotranspiration from the land surface (420.0 mm). The variation in simulated lake

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1047 evaporation was considerably lower than that in ET, as a different approach was used to account
1048 for uncertainty in the simulations. Transpiration showed greater variation between simulations
1049 than soil evaporation and total land surface ET. On average, transpiration also comprised a
1050 slightly larger share of total evaporation than soil evaporation. Simulated snow evaporation was
1051 a small, yet not insignificant, component in the total ET from land surface.

1055 **3.5— Spatial distribution of groundwater recharge**

1056 ~~The spatial distribution of groundwater recharge was mostly due to variations in LAI~~
1057 ~~originating from forestry data, distance to water table, and distribution of lakes (Fig. 10). Higher~~
1058 ~~evaporation rates from lakes led to lower recharge in lakes (see red spots in Fig. 10). Similarly,~~
1059 ~~large LAI led to high ET and resulted in low recharge in plots with high LAI. Other areas of~~
1060 ~~low recharge, although not as obvious at the larger spatial scales shown in Fig. 10, were cells~~
1061 ~~with a shallow water table (section 2.3.2). The effect of high ET at locations with a shallow~~
1062 ~~water table can best be seen in south-east parts of the aquifer. The scenarios for low (0 ... 0.2)~~
1063 ~~and high (3.2 ... 3.5) LAI would change the groundwater recharge rates compared to the current~~
1064 ~~LAI distribution (Fig. 9). In the high LAI scenario the annual recharge was on average 101.7~~
1065 ~~mm lower than in the low LAI situation. These results suggest that management of the Scots~~
1066 ~~pine canopy has a significant control on the total recharge rates in unconfined esker aquifers.~~

1068 **3.6— Influence of simulation parameters on groundwater recharge**

1069 ~~Kendall correlation analysis of simulation parameters and annual average model outputs~~
1070 ~~identified LAI as the most important parameter controlling evapotranspiration and infiltration~~
1071 ~~(Table 4). Parameters related to soil hydraulics and evaporation showed some sensitivity to~~
1072 ~~simulation results, while the parameters for lichen vegetation were only slightly sensitive or~~
1073 ~~insensitive to simulation output variables.~~

1074 ~~The LAI parameter governed the level of evaporation for different ET components (Fig. 11).~~
1075 ~~Evaporation from soil (and snow) compensated for mean annual ET for LAI values up to around~~
1076 ~~1.0, after which total ET increased as a function of LAI.~~

1077 ~~The scenarios for low (0 ... 0.2) and high (3.2 ... 3.5) LAI would change the groundwater~~
1078 ~~recharge rates compared to the current LAI distribution (Fig. 9). In the high LAI scenario the~~
1079 ~~annual recharge was on average 101.7 mm lower than in the low LAI situation. These results~~
1080 ~~suggest that management of the Scots pine canopy has a significant control on the total recharge~~
1081 ~~rates in unconfined coter aquifers.~~

1082

1083 4 Discussion

1084 ~~The modeling approach developed here used forestry inventory data to simulate spatial and~~
1085 ~~temporal variations in recharge. The Richards equation based 1-D simulations were spatially~~
1086 ~~distributed using Monte Carlo runs for an unsaturated soil column. Within the Monte Carlo~~
1087 ~~process, residence time in the unsaturated zone was accounted for, while uncertainty in selected~~
1088 ~~model parameters was propagated to the final recharge time series. The method used here to~~
1089 ~~estimate LAI from forestry inventories introduces a new approach for incorporating large~~
1090 ~~spatial coverage of detailed conifer canopy data into groundwater recharge estimations. LAI~~
1091 ~~values reported for conifer forests in Nordic conditions similar to the study site are in the range~~
1092 ~~1-3, depending on canopy density and other attributes (Koivusalo et al., 2008, Rautiainen et al.,~~
1093 ~~2012, Vincke and Thiry, 2008, Wang et al., 2004). The LAI values obtained for the study site~~
1094 ~~(mean 1.25) were at the lower end of this range. Furthermore, the data showed a bimodal~~
1095 ~~distribution, with many model cells with low LAI (< 0.4) lowering the mean LAI. The low LAI~~
1096 ~~values were expected because of active logging and clearcutting activities in the study area.~~
1097 ~~Although the equations to estimate LAI are empirical in nature and based on simplified~~
1098 ~~assumptions, the method can outline spatial differences in canopy structure. Wider use of this~~
1099 ~~method in Finland is practically possible, as active forestry operations in Finland have yielded~~
1100 ~~an extensive database on canopy coverage, which could be used in groundwater management.~~
1101 ~~However, the LAI estimation method could be further validated with field measurements or~~
1102 ~~Lidar techniques (Chasmer et al., 2012, Riaño et al., 2004).~~

1103 ~~Plant cover, represented as LAI, proved to be the most important model parameter important~~
1104 ~~parameter controlling total ET, and thereby the amount of groundwater recharge (Table 43, Fig.~~
1105 ~~944). The LAI parameter was included in the equations controlling both transpiration and soil~~

Commented [PA49]: re3moved to avoid repetition and reduce length

Commented [PA50]: discussion on LAI is moved up front to improve the focus of the paper

1106 evaporation, and therefore the sensitivity of the parameter is not surprising. While soil
1107 evaporation partly compensated for the lower transpiration with low LAI values, the total
1108 annual ET values progressively increased as a function of LAI (Fig. 449). Interestingly, the
1109 simulations suggested that ET remains constant at constant level in the LAI range 0-1,
1110 potentially due to the sparse canopy changing the aerodynamic resistance and partitioning of
1111 radiation limiting soil evaporation, while still not contributing much to transpiration in total ET.
1112 This implies that the maximum groundwater recharge for boreal Scots pine remains rather
1113 constant up to a threshold LAI value of around 1. This knowledge can be used when co-
1114 managing forest and groundwater resources in order to optimize both.

1115 -Importance of LAI has been reported in earlier studies estimating groundwater recharge
1116 (Dripps, 2012, Keese et al., 2005, Sophocleous, 2000), but here the vegetation was represented
1117 with more spatially detailed patterns and a field data-based approach for LAI. According to
1118 previous studies, average ET from boreal conifer forests is around 2 mm d⁻¹ during the growing
1119 season (Kelliher et al., 1998), which is similar to our average value of 1.6 mm d⁻¹ for the period
1120 1 May-31 Oct. Some earlier studies have claimed that the influence of LAI on total ET rates
1121 from boreal conifer canopies is minor (Kelliher et al., 1993, Ohta et al., 2001, Vesala et al.,
1122 2005), but our simulation results indicate that higher LAI values lead to higher total ET values.
1123 The simulations showed that variable intensity of forestry, from low canopy coverage (LAI =
1124 0-0.2) to dense coverage (LAI = 3.2-3.5) resulted in a difference of over 100 mm in annual
1125 recharge (Fig. 79). It can be argued that the scenarios are unrealistic, because high LAI values,
1126 covering the whole study site, may not be achieved even with a complete absence of forestry
1127 operations. Nevertheless, the result demonstrates a substantial impact of forestry operations on
1128 esker aquifer groundwater resources.

1129 Model validation showed that the modeling approach could reasonably reproduce (1) the main
1130 groundwater recharge events when compared to the WTF method and (2) the regional level of
1131 recharge compared to stream baseflow. The WTF estimates for recharge agreed with the
1132 simulations, with a slight tendency for higher estimates by the simulations. The discrepancy
1133 can be due to different assumptions behind the methods and uncertainty in local
1134 parameterization; in the WTF method for the specific yield and for simulations mainly the
1135 hydraulic conductivity which dictates the timing of recharge. However, there were overlapping
1136 estimates for almost every recharge event which shows consistency between the methods. The
1137 stream baseflow was lower than the long term average recharge, which was expected because

1138 of the site hydrogeology. All of the outflow from the aquifer was likely not captured by the
1139 baseflow measurements as some of the water discharges to larger streams and lakes outside of
1140 the stream catchments (Rossi et al., 2014). When simulated recharge was extracted specifically
1141 for the baseflow measurements dates, the lower values for simulated recharge were also
1142 anticipated. The recharge displayed strong seasonal variability (see Fig. 7), but the discharge to
1143 streams is in general more stable because of the stabilizing effect of the groundwater storage.
1144 In conclusion, the order of magnitudes in the regional baseflow estimate and the simulation
1145 results were consistent. Despite the very different assumptions on which the modelling and field
1146 based methods were based, all provided similar estimates for groundwater recharge at the study
1147 site.

1148 There were different water flow rates at different depths (Fig. 7), demonstrating the role of the
1149 unsaturated zone in recharge. The high fluctuation in water flow at 1.5 m revealed the recharge
1150 dynamics in aquifers with a shallow water table. Such aquifers would be highly sensitive to
1151 annual fluctuations in recharge and respond rapidly to dry periods. On the other hand, rainy
1152 years would most likely replenish the aquifer water stores very quickly. Deeper in the soil
1153 profile, the response to wet and dry seasons was more modest, but still exhibited a clear seasonal
1154 signal. The water flow appeared to have dry and wet cycles of 5–10 years. Considering this,
1155 aquifers with unsaturated zones measuring tens of meters are likely to respond only to wet and
1156 dry cycles in climate patterns, rather than the weather in individual years. The temporal
1157 availability of the groundwater resource is most likely different for aquifers with different
1158 unsaturated zone geometry, as suggested by e.g. Hunt et al. (2008) and Smerdon et al. (2008).

1159 According to the simulations, the percentage of precipitation forming groundwater recharge
1160 varied considerably between years, as also reported in previous studies on transient recharge
1161 (Assefa and Woodbury, 2013, Dripps and Bradbury, 2010). Even though annual recharge was
1162 correlated with annual precipitation, and therefore years with high precipitation resulted in
1163 higher absolute recharge (Fig. 9), the percentage of effective rainfall did not increase as a
1164 function of annual sum of precipitation. This is somewhat surprising, because the rather
1165 constant evaporation potential between years (Fig. 8) and high soil hydraulic conductivity could
1166 be expected to result in a higher percentage of rainfall reaching the water table in rainy years.
1167 Some studies (Dripps and Bradbury, 2010, Okkonen and Kløve, 2010) have suggested that
1168 when the main annual water input arrives as snowmelt during the low evaporation season, it is
1169 likely to result in higher percentage recharge than in a year with little snow storage and

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1170 precipitation distributed evenly throughout summer and autumn, which may contribute to the
1171 variability in the recharge coefficient. However, when the maximum annual SWE value was
1172 used as a proxy for annual snowfall, there was no evidence of snow amount explaining the
1173 interannual variability in the recharge coefficient. Other factors contributing to recharge
1174 coefficient variability may be related to soil moisture conditions prior to snowfall, or the
1175 intensity of summer precipitation events (Smerdon et al., 2008, Stähli et al., 1999)(Stähli et al.,
1176 1999; Smerdon et al., 2008)(Smerdon et al., 2008, Stähli et al., 1999). Furthermore, the
1177 variability can to some extent be an effect of annual summation for the period 1 Oct–30 Sept,
1178 usually considered the hydrological year in the Nordic climate. Therefore the rainy autumn
1179 season is cut in ‘half’, and because recharge event comes with some delay from precipitation,
1180 the rainfall considered for a given year may not be reflected in the recharge for the year.

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1181 The above mentioned reasons make the concept of effective rainfall, which is currently
1182 routinely used to estimate groundwater recharge for groundwater management in e.g. Finland
1183 (Britschgi et al., 2009), susceptible to over- or under estimation of actual annual recharge. This
1184 applies especially for aquifers with a thick unsaturated zone, where rainy years produce higher
1185 average recharge with some delay and for a longer duration (see Fig. 7). Therefore, if allocated
1186 water abstraction permits e.g. 50% effective rainfall coefficient to be assumed for each year, it
1187 potentially allows overuse of the resource during dry seasons. While aquifer storage can buffer
1188 occasional over-extraction, the lowering of the water table may diminish groundwater discharge
1189 to surface water bodies, depending on the geometry of the aquifer (Zhou, 2009).

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1190 The method used here to estimate LAI from forestry inventories introduces a new approach for
1191 incorporating large spatial coverage of detailed conifer canopy data into groundwater recharge
1192 estimations. LAI values reported for conifer forests in Nordic conditions similar to the study
1193 site are in the range 1–3, depending on canopy density and other attributes (Koivusalo et al.,
1194 2008, Rautiainen et al., 2012, Vineke and Thiry, 2008, Wang et al., 2004)(Wang et al., 2004;
1195 Koivusalo et al., 2008; Vineke and Thiry, 2008; Rautiainen et al., 2012)(Koivusalo et al., 2008,
1196 Rautiainen et al., 2012, Vineke and Thiry, 2008, Wang et al., 2004). The LAI values obtained
1197 for the study site (mean 1.25) were at the lower end of this range. Furthermore, the data showed
1198 a bimodal distribution, with many model cells with low LAI (< 0.4) lowering the mean LAI.
1199 The low LAI values were not considered to be an error in data or calculations, but were in fact
1200 expected because of active logging and clearcutting activities in the study area. Although the
1201 equations to estimate LAI are empirical in nature and based on simplified assumptions, the

1202 ~~method can outline spatial differences in canopy structure. However, the LAI estimation~~
1203 ~~method could be further validated with field measurements or Lidar techniques (Chasmer et al.,~~
1204 ~~2012, Riaño et al., 2004)(Riaño et al., 2004; Chasmer et al., 2012)(Chasmer et al., 2012, Riaño~~
1205 ~~et al., 2004).~~

1206 ~~Plant cover, represented as LAI, proved to be the most important model parameter determining~~
1207 ~~the total recharge amount. This has been reported in earlier studies estimating groundwater~~
1208 ~~recharge (Dripps, 2012, Keese et al., 2005, Sophocleous, 2000)(Sophocleous, 2000; Keese et~~
1209 ~~al., 2005; Dripps, 2012)(Dripps, 2012, Keese et al., 2005, Sophocleous, 2000), but here the~~
1210 ~~vegetation was represented with more spatially detailed patterns and a field data based approach~~
1211 ~~for LAI. According to previous studies, average ET from boreal conifer forests is around 2 mm~~
1212 ~~d⁻¹ during the growing season (Kelliher et al., 1998), which is similar to our average value of~~
1213 ~~1.6 mm d⁻¹ for the period 1 May 31 Oct. Some earlier studies have claimed that the influence~~
1214 ~~of LAI on total ET rates from boreal conifer canopies is minor (Kelliher et al., 1993, Ohta et~~
1215 ~~al., 2001, Vesala et al., 2005)(Kelliher et al., 1993; Ohta et al., 2001; Vesala et al.,~~
1216 ~~2005)(Kelliher et al., 1993, Ohta et al., 2001, Vesala et al., 2005), but our simulation results~~
1217 ~~indicate that higher LAI values lead to higher total ET values. While soil evaporation partly~~
1218 ~~compensated for the lower transpiration with low LAI values, the total annual ET values~~
1219 ~~progressively increased as a function of LAI (Fig. 11). Interestingly, the simulations suggested~~
1220 ~~that ET remains constant at constant level in the LAI range 0-1, potentially due to the sparse~~
1221 ~~canopy changing the aerodynamic resistance and partitioning of radiation limiting soil~~
1222 ~~evaporation, while still not contributing much to transpiration in total ET. This suggests that~~
1223 ~~the maximum groundwater recharge for boreal Scots pine remains rather constant up to a~~
1224 ~~threshold LAI value of around 1. This knowledge can be used when co-managing forest and~~
1225 ~~groundwater resources in order to optimize both.~~

1226 ~~The method allowed different land use scenarios in forestry management to be tested. The~~
1227 ~~simulations showed that variable intensity of forestry, from low canopy coverage (LAI=0-0.2)~~
1228 ~~to dense coverage (LAI=3.2-3.5) resulted in a difference of over 100 mm in annual recharge~~
1229 ~~(Fig. 9). It can be argued that the scenarios are unrealistic, because high LAI values, covering~~
1230 ~~the whole study site, may not be achieved even with a complete absence of forestry operations.~~
1231 ~~Nevertheless, the result demonstrates a substantial impact of forestry operations on esker~~
1232 ~~aquifer groundwater resources. Wider use of this method in Finland is practically possible, as~~

1233 ~~active forestry operations in Finland have yielded an extensive database on canopy coverage,~~
1234 ~~which could be used in groundwater management.~~

1235 The lichen layer covering the soil surface was explicitly accounted for in the simulation set-up,
1236 which to our knowledge is a novel modification. Kelliher et al. (1998) concluded that
1237 precipitation intercepted by lichen was an important source of understorey evaporation,
1238 especially directly after rain events. In addition, Bello and Arama (1989) reported that lichen
1239 could intercept light rain showers completely and that only intense rain events caused drainage
1240 from lichen canopy to mineral soil. While the lichen layer might have an increasing effect on
1241 soil evaporation through ‘interception storage’, Fitzjarrald and Moore (1992) suggest that a
1242 lichen cover may in fact have an insulating influence on heat and vapor exchange between soil
1243 and atmosphere, therefore impeding evaporation from the mineral soil. In the present study, the
1244 lichen layer appeared to have minor influence on total evaporation, soil evaporation and
1245 infiltration, as these variables showed only little sensitivity to lichen ~~Brooks and Corey~~B&C
1246 parameters (Table 43). However, the approach to represent lichen with B&C model needs to
1247 better examined, as water retention capacity of lichen layer was treated equal to porosity, which
1248 is not strictly coherent with the Brooks and Corey (B&C) model. Nevertheless, the used
1249 approach successfully produced an additional interception storage of water in the correct range
1250 (generally 3-7 mm depending on random parameterization, data not shown).
1251 ~~However, Therefore~~ The performed ~~more intensive~~ laboratory measurement of lichen water
1252 retention and should be supplemented with detailed analysis of lichen pressure-saturation
1253 conduction properties is required ~~curve and hydraulic conductivity~~ to clarify the role of lichen
1254 in soil evaporation, and thereby groundwater recharge.

1255 Stochastic variation of selected model parameters illustrated the uncertainties relating to
1256 numerical recharge estimation using the Richards equation in one dimension. The capability
1257 and robustness of the Richards equation to reproduce soil water content and water fluxes have
1258 been demonstrated extensively in various studies (Assefa and Woodbury, 2013, Scanlon et al.,
1259 2002b, Stähli et al., 1999, Wierenga et al., 1991). ~~However~~ ~~Therefore~~, we considered that model
1260 calibration and validation with point observations of variables such as soil volumetric water
1261 content or soil temperature would not provide novel insights into water flow in unsaturated
1262 soils. Instead, we incorporated the parameter uncertainty ranges, usually used in model
1263 calibration, to the final recharge simulation output. An important outcome was that the
1264 uncertainty in the model output caused by different model parameterizations was small in

Commented [PA55]: Rev#2 spec com, violation of B&C model assumptions in lichen layer is discussed and further work in this area called for.

1265 comparison with the interannual variation in recharge. The error caused by uncertainty in the
1266 model assumptions or driving climate data was not addressed in this study. ~~We presume that
1267 for the given case study, the uncertainty and suitability of the driving climate data would
1268 introduce more uncertainty into the model output than model parameterization.~~

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1269 ~~While it can be argued that all relevant parameters were not included and parameter ranges
1270 could be more carefully determined, the parameter set used was able to provide information
1271 on parameter sensitivity. LAI was the most important parameter controlling total ET, and
1272 thereby the amount of groundwater recharge (Table 4, Fig. 11). The LAI parameter was
1273 included in the equations controlling both transpiration and soil evaporation, and therefore the
1274 sensitivity of the parameter is not surprising. However, LAI is a measurable parameter in the
1275 otherwise semi-empirical equations used to simulate evaporation, and physically based
1276 parameters are preferable to empirical fitting parameters in deterministic simulation
1277 approaches. Thus the ability of the approach to reduce a large part of model variability by
1278 allocating the LAI parameter spatially is a substantial advantage in reducing the model
1279 uncertainty.~~

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1280 The sensitivity analysis focused on total cumulative values of ~~flux variables~~ fluxes and did not
1281 address the temporal variations in the variables. ~~Therefore the soil hydraulic parameters showed
1282 only minor sensitivity, perhaps misleadingly.~~ Soil hydraulic parameters mainly influenced the
1283 timing of recharge through residence time in the soil, not so much the total amount. ~~Therefore
1284 the soil hydraulic parameters showed only minor sensitivity, perhaps misleadingly.~~ It should be
1285 noted that vertical heterogeneity in the soil profile hydraulic parameters can reduce the total
1286 recharge rates (Keese et al., 2005). However, vertical heterogeneities were ignored in this study
1287 not only to simplify the model, but also because the drilling logs showed only little variation in
1288 the area. Work of Wierenga et al. (1991) supports the simplification by showing that excluding
1289 moderate vertical heterogeneities does not significantly affect the performance of water flow
1290 simulations with the Richards equation. ~~Spatial differences in hydraulic parameters could be
1291 more accurately implemented in the modeling approach by creating a third zonation based on
1292 soil type, in addition to LAI and UZD. This would require the parameter ranges for hydraulic
1293 conductivity and Brooks and Corey parameters to be expanded to cover the properties of
1294 different soil types. Even then, the model is applicable only in situations where the soil type is
1295 permeable enough to allow rapid infiltration, so that surface runoff can be assumed to be of
1296 minor importance.~~

Commented [PA58]: Rev#2 spec com; assumption of vertical homogeneity justified

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1297 Simulations acknowledged shallow water table contribution to evapotranspiration in an
1298 indirect, conceptual approach. Including a water table fixed at different depths in the soil profile
1299 would have been possible in the CoupModel setup. Influence of water table fixed at 2 m depth
1300 was tested and found to increase ET 3.5% for LAI values of 3, but for LAI values of 0.5 and
1301 1.5 the increase in ET was only trivial. We expect only minor increase in ET with deeper water
1302 table configuration (with the given soil type), and therefore argue that excluding water table
1303 results in only minimal overestimation of total recharge at the study site. It should be noted that
1304 upward water fluxes were not excluded from the water flow time series and negative fluxes
1305 were considered as “negative recharge” at any depth. The simplification is made that water
1306 available for upward fluxes comes only from the soil moisture storage, not from the water table.

1307 According to the simulations, the percentage of precipitation forming groundwater recharge
1308 varied considerably between years, as also reported in previous studies on transient recharge
1309 (Assefa and Woodbury, 2013, Dripps and Bradbury, 2010). Even though annual recharge was
1310 correlated with annual precipitation, and therefore years with high precipitation resulted in
1311 higher absolute recharge (Fig. 97), the percentage of effective rainfall did not increase as a
1312 function of annual sum of precipitation. This is somewhat surprising, because the rather
1313 constant evaporation potential between years (Fig. 810) and high soil hydraulic conductivity
1314 could be expected to result in a higher percentage of rainfall reaching the water table in rainy
1315 years. Some studies (Dripps and Bradbury, 2010, Okkonen and Kløve, 2010) have suggested
1316 that when the main annual water input arrives as snowmelt during the low evaporation season,
1317 it is likely to result in higher percentage recharge than in a year with little snow storage and
1318 precipitation distributed evenly throughout summer and autumn, which may contribute to the
1319 variability in the recharge effective rainfall coefficient. However, when the maximum annual
1320 SWE value was used as a proxy for annual snowfall snow storage, there was no evidence of
1321 snow amount explaining the interannual variability in the recharge coefficient. Other factors
1322 contributing to recharge coefficient variability may be related to soil moisture conditions prior
1323 to snowfall, or the intensity of summer precipitation events (Smerdon et al., 2008, Stähli et al.,
1324 1999).

1325 The above-mentioned reasons make the concept of effective rainfall, which is currently
1326 routinely used to estimate groundwater recharge for groundwater management in e.g. Finland
1327 (Britschgi et al., 2009), susceptible to over- or under-estimation of actual annual recharge. This
1328 applies especially for aquifers with a thick unsaturated zone, where rainy years produce higher

Commented [PA60]: Rev#1com; justification for excluding water table from the simulations

1329 ~~average recharge with some delay and for a longer duration (Zhou, 2009) (see Fig. 7). While~~
1330 ~~aquifer storage can buffer occasional over-extraction, the lowering of the water table may~~
1331 ~~diminish groundwater discharge to surface water bodies, depending on the geometry of the~~
1332 ~~aquifer (Zhou, 2009).~~

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1334 5 Conclusions

1335 A physically-based approach to simulate groundwater recharge for sandy unconfined aquifers
1336 in cold climates was developed. The method accounts for the influence of vegetation,
1337 unsaturated zone ~~depth~~thickness, presence of lakes, and uncertainty in simulation parameters
1338 in the recharge estimate. It is capable of producing spatially and temporally distributed
1339 groundwater recharge values with uncertainty margins, which are generally lacking in recharge
1340 estimates, despite understanding of uncertainty related to recharge estimates being potentially
1341 crucial for groundwater resource management. However, the parameter uncertainty defined for
1342 the study area was of minor significance compared with interannual variations in the recharge
1343 rates introduced by climate variations. ~~The uncertainty caused by model parameterization was~~
1344 ~~decreased by allocating the LAI parameter spatially in the model area.~~

1345 The simulations showed that Scots pine canopy, parameterized as leaf area index (LAI), was
1346 important in controlling the total amount of groundwater recharge. Forestry inventory databases
1347 were used to estimate and spatially allocate the LAI and the results showed that such inventories
1348 could be better utilized in groundwater resource management. ~~Forest cuttings were~~
1349 ~~demonstrated to increase groundwater recharge significantly.~~ A sensitivity analysis on the
1350 parameters used showed that ~~understorey soil~~ evaporation could compensate for low LAI-
1351 related transpiration up to a LAI value of approximately 1, which may be important in finding
1352 the optimal level for forest management in groundwater resource areas. The concept of
1353 effective rainfall gave inconsistent estimates of recharge in annual timescales, showing the
1354 importance of using physically-based recharge estimation methods for sustainable groundwater
1355 recharge management.

1358 Author contribution

1359 P. Ala-aho and P.M Rossi collected and analyzed the field data. P. Ala-aho designed the
1360 simulation set-up, performed the simulations and interpreted the results. P. Ala-aho prepared
1361 the manuscript with contributions from all co-authors.

1362

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1371 the research in the paper, data from above mentioned agencies can be made available for
1372 purchase on request from the corresponding agency, other data can be provided by the
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1375 comments that improved the manuscript.

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1600 **Tables**1601 **Table 1.** Characteristics of the study site annual climate.

VARIABLE	MEAN	STD
Precipitation [mm]	591	91
Air Temperature [°C]	-0.7	1.1
Reference ET [mm]	426	26

1602

1603 **Table 2.** Randomly varied parameters, related equations and parameter ranges included in the
 1604 model runs. For full description of parameters and equations, see Jansson and Karlberg (2004).

Parameter	Part of the model affected	Range	Units	Source
LAI (leaf area index)	Transpiration	0 ... 3.5	-	Data, see section 2.1.1
h (canopy height)	Transpiration	5 ... 15	m	Data
r_{lai} (increase in aerodynamic resistance with LAI)	Soil evaporation	25 ... 75	-	$\pm 50\%$, estimate
r_{Ψ} (soil surface resistance control)	Soil evaporation	100...300	-	$\pm 50\%$ approximately to cover the surface resistance reported 150-1000 (Kelliher et al., 1998)
λ_L (pore size distribution index)	Soil evaporation, lichen	0.4 ... 1	-	Estimate, to cover an easily drainable range of pressure-saturation curves
Ψ_L (air entry)	Soil evaporation, lichen	1.5 ... 20	-	Estimate, to cover a easily drainable range of pressure-saturation curves

θ_L (porosity)	Soil evaporation, lichen	7.5...12.5	%	Data, lichen mean water retention \pm SD from samples
$k_{mat,L}$ (matrix saturated hydraulic conductivity)	Soil evaporation, lichen	$5 \cdot 10^4 \dots 5 \cdot 10^7$	mm d ⁻¹	Estimate, high K values assumed
t_{WD} (coefficient in the soil temperature response function)	Water uptake	10 ... 20	-	\pm 50%, estimate
Ψ_c (critical pressure head for water uptake reduction)	Water uptake	200...600	-	\pm 50%, estimate
$k_{mat,S}$ (matrix saturated hydraulic conductivity)	Soil profile	$\frac{1.707}{10^3} \dots 127.2 \cdot 10^3$	mm d ⁻¹	Data from soil sample particle size analysis
k_{minuc} (minimum unsaturated hydraulic conductivity)	Soil profile	$1 \cdot 10^{-4} \dots 1 \cdot 10^{-1}$	mm d ⁻¹	Estimate $k_{mat} \cdot 1E-5$
λ_s (pore size distribution index)	Soil profile	0.4 ... 1	-	Range to cover measured pressure-saturation curves
Ψ_s (air entry)	Soil profile	20 ... 40	-	Range to cover measured pressure-saturation curves
θ_s (porosity)	Soil profile	0.25...0.36	%	Range from soil samples
θ_r (residual water content)	Soil profile	0.01...0.05	%	Range to cover measured pressure-saturation curves

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1613 **Table 3.** Stream baseflow estimates compared to simulated recharge outputs calculated for
 1614 different timeperiods

Baseflow for 9-10 February 2010 [mm a ⁻¹]	Long term average recharge [mm a ⁻¹]	Recharge for preceding year 2009 [mm a ⁻¹]	Simulated recharge for 9-10 February 2010
312.7	362.8	421.8 (min)	110.0 (min)
		439.5 (max)	135.8 (max)

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1615 **Table 43.** Kendall correlation coefficient for simulation parameters and average annual sum of
 1616 simulation output variables. ET = evapotranspiration, E = evaporation, for other symbols see
 1617 Table 2.

Parameter	Total ET	Transpiration	Soil E	Snow E	Infiltration
LAI	0.59*	0.84*	-0.73*	-0.37*	0.18*
h	0.59*	0.84*	-0.73*	-0.37*	0.18*
r _ψ	-0.11*	-0.03	-0.03	-0.61*	0.58*
r _{lai}	-0.13*	-0.02	-0.11*	0.03	-0.05
λ _L	-0.09*	-0.01	-0.11*	0.01	-0.03
Ψ _L	0.01	-0.04	0.11*	-0.04	0.06
θ _L	0.06	0.03	0.01	-0.00	0.09*
k _{mat,L}	-0.01	0.02	-0.04	-0.00	-0.00
k _{mat,S}	-0.10*	-0.04	-0.07*	0.02	0.01
k _{minuc}	-0.10*	-0.04	-0.07*	0.02	0.01
t _{WD}	-0.05	-0.02	-0.03	-0.05	0.03

Ψ_c	0.18*	0.12*	-0.02	-0.04	0.05
λ_s	0.13*	0.06	0.06	-0.00	-0.23*
Ψ_s	-0.11*	-0.05	-0.04	-0.05	0.04
θ_s	0.02	-0.01	0.03	0.10*	-0.18*
θ_r	0.07*	0.05	-0.01	0.01	0.16*

1618 *Significant correlation, $p < 0.05$

1619

1620 Figure captions

1621

1622 **Figure 1.** Recharge area of the Rokua esker aquifer. Boreholes in the area were used for model
1623 validation and soil type characterization. Baseflow was measured from streams originating
1624 outside the groundwater recharge area. Profile of cross-section A-B is presented in Fig. 2.

1625 **Figure 2.** Cross-section A-B (Fig. 1) to demonstrate the geometry of the unsaturated zone and
1626 the aquifer (vertical axes exaggerated). A simulated soil profile is shown to give an example on
1627 how 1-D simulations are represented in the model domain. UZT represents the unsaturated zone
1628 thickness parameter.

1629 **Figure 3.** Spatial distribution of leaf area index (LAI) and a 20m x 20m cell-based histogram
1630 of LAI values. In areas where forestry inventory data were lacking, a weighted average value
1631 of 1.25 was used in simulations.

1632 **Figure 4.** Estimated ~~depth~~ thickness of the unsaturated zone in the model area and interpolation
1633 points for estimation of water table elevation.

1634 ~~Figure 3. Example of selection of water flow simulation data for a random cell in the model~~
1635 ~~domain for which LAI = 0.1 and UZD = 5.2 m.~~

1636 **Figure 5.** Flow chart of different evaporation processes considered in the study. Total
1637 evapotranspiration comprises of soil evaporation from the topmost soil layer, i.e. the lichen
1638 matrix, snow evaporation from snow surface, transpiration through the vascular system of tree
1639 canopy and lake evaporation from free water surface and transpiration (Fig. 4).

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1640 **Figure 6.** Assemblage of simulated recharge for individual recharge events, shown as boxplots
1641 where circles represent the median, bold lines 25-75th percentiles of the simulations, thin lines
1642 the remaining upper and lower 25th percentiles and crosses are outliers. The location of the
1643 boxplots on the x-axis is the WTF estimate for a given recharge event using a specific yield
1644 value of 0.225. The dashed lines indicate the uncertainty in the WTF estimates caused by the
1645 selection of specific yield. The two estimates would agree perfectly (given the uncertainty in
1646 S_y) if all simulations shown as boxplots fell between the dashed lines.

~~1647 **Figure 7.** Average water flow outputs at different soil profile depths with LAI range [1.2 ...~~
~~1648 **1.4]. Y axis is limited to 5 mm d⁻¹ to highlight the flow dynamics in the deeper layers, even**~~
~~1649 **though peak signal at 1.5 m reaches a value of several cm annually.**~~

1650 **Figure 7.** Annual recharge time series from simulations where the black area covers the
1651 minimum and maximum values for different recharge samples. The annual recharge pattern
1652 closely followed trends in infiltration. Effects of different land use management practices over
1653 time on annual recharge rates are shown as high and low leaf area index (LAI) scenarios.

1654 **Figure 8.** Spatial distribution of mean annual recharge, which was influenced mainly by the
1655 Scots pine canopy (LAI), the presence of lakes and, to some extent, areas with a shallow water
1656 table.

1657 **Figure 9.** Example of scatter plots with the mean annual ET components are plotted as a
1658 function of the variable leaf area index (LAI), showing clear dependence of all ET components
1659 on LAI.

1660 **Figure 10.** Values of different evapotranspiration (ET) components (mean and standard
1661 deviation) simulated for the study period.

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