

Editor Initial Decision: Reconsider after major revisions (20 Oct 2014) by Dr. Jim Freer: Comments to the Author

Editor Comment

First I thank the reviewers and the author for their very useful correspondence on this paper. I believe the editors have made some clear points that need to be addressed in the final manuscript and if this would be acceptable for publication in HESS. From the reviewer comments and author responses I suggest the following that include some major changes:

Reply I greatly appreciate the work of the Editor on this manuscript.

Editor Comment

1) I agree the manuscript needs to be made more readable. I agree that reviewer 1 has covered most of the areas that need attention. The author says he will work on these and that will need significant improvement in the manuscript

Reply Major changes have been made to the manuscript particularly in response to the comments of Anonymous Referee #1. In particular, I have drastically changed the structure (it is now structured as: 1. Introduction, 2. Methods, 3. Results of Application of New Approaches, 4. Discussion and 5. Conclusions) and removed the sections concerned with isotope and transit time theory.

I realise now that a major problem with the paper is that it has two main messages (the new baseflow separation method and the need for a new approach to recession analysis). I have now tried to make these clearer without separating the paper into two papers as it probably needed.

Editor Comment

2) I agree the technical elements of the paper need better development. This goes hand in hand with other comments made by both reviewers about the general applicability of this method. I do have some sympathy that the title is miss-leading and I do feel the case has not been made about how the method has utility across a range of catchment types. I do feel if these are not addressed (with further studies) then a modification to the title will be appropriate. I would argue a minimum of 3 catchments of different scales and behaviour would be appropriate to state that the method has been properly explored as a general approach and any shortcomings identified (or that the approach can be successfully applied)

Reply The paper is still focussed on the one catchment (Glendhu) so I have changed the title as suggested in comment 6) below. The reasons for not including three catchments are 1) there was insufficient time to do justice to three catchments, and anyway 2) the catchments I planned to use were similar in scale and baseflow index, although very different in hydrogeological character.

The applicability of the method is addressed by devoting more attention to describing how the method was applied, and particularly bringing out the two ways of applying the method (i.e. simulating tracer separations or based on hydrometric information when there is no tracer data).

Editor Comment 3)

3) I agree the literature does not appear to be adequately followed by the author and this does need improvement. There are other papers I have already mentioned (Lamb et al. being one), others by Martyn Clarke and all are exploring recession methods. This generally needs to be improved throughout

Reply A wider selection of the literature (particularly more recent work on baseflow separation and recession analysis) has been cited in line with the comments of Anonymous Referee #1 and the Editor. The two papers above are included. Other papers related to transit time theory etc. have been removed.

Editor Comment 4)

4) There are a number of comments from Reviewer 1 that again criticism the utility about the method and again this is because the method has been applied to one particular catchment. The emphasis and title of the paper will need to be re-written if the author cannot apply this to additional catchments as there is little discussion of the dangers of suggesting a method without further exploration and if experience and data needs will mean general applications will not be possible or easy

Reply I have changed the title. I now consider the application of the baseflow separation method in much more detail in the new section 3 (Results of Application of New Approaches to Glendhu GH1 Catchment) and 4.2 (Calibration of the BRM Algorithm).

Editor Comment 5)

5) Most of the minor comments from reviewer 1 appear to be well covered by the author

Reply I have implemented essentially all of the comments of Reviewer #1, especially in regard to describing the technical assumptions to apply the method, improving the link to the literature (especially the recent literature), extensively revising the structure of the paper and giving an expanded description of the Glendhu Catchment. The minor comments have also been dealt with in accordance with what I said in my reply to Reviewer #1.

Editor Comment 6)

6) I think what reviewer 2 is driving at is that the methods application is one catchment and a nice detail of that analysis has been conducted by the author. I agree the title reads as if this is a method for general applicability, but this has not been demonstrated and in fact is not discussed in this way in the conclusions. To state that approaches are misleading cannot in essence be based on one catchment unless that is clearly clarified in the context of the paper. No such discussion is currently generated. I would argue more a title like. A promising new base flow method and recession approach for streamflow applied at one catchment in New Zealand - could be more appropriate for

example

Reply I have changed the title as suggested. I think that if I make clear what I think is important about the methods suggested then readers will be able to see their relevance. For the new baseflow separation method this is the more accurate simulation of tracer separation results (demonstrated at Glendhu) and for the new recession analysis approach this is the effect that the varying mixture of components in streamflow has on how it appears on recession plots and therefore how it can give misleading power-law slopes (also I believe demonstrated at Glendhu). These can be stated quite simply and are general ideas that are not intrinsically associated with one catchment so whether they were applied at one or three or fifty catchments doesn't seem to me to really change their nature. Of course they may not work on some catchments – this should now be taken care of by the new title.

The methods of Hewlett and Hibbert (1967) and Eckhardt (2005) are now included for comparison with the BRM.

The manuscript has been changed very extensively, and the way the BRM was applied has also been changed – not that I thought the earlier way was wrong, but the new way demonstrates that there are two alternative ways of applying the method. The two ways are reconciled in Section 4.2.

The comments of Reviewer #2 have led to extensive changes of the paper in line with my reply to Reviewer #2.

Editor Comment 7)

7) I do agree the justification of why base flow recession should be applied first and the linkage to other methods deserves more attention. The difficulty again is the evidence base of one catchment in confirming this and the author needs to get this right in the context of the one study they provide. I appreciate the long response from the author on this and good points are made, I will look out for ensuring the right balance in this discussion is made in the final manuscript

Reply The three digital filter baseflow separation methods (H & H, Eckhardt and BRM) are now compared in terms of their fidelity in simulating tracer separations, and the new BRM method is clearly superior (because it was developed for that purpose).

A wider literature has been examined on the new recession analysis approach and I think the idea is now presented more in context with previous ideas on the subject. I have also modified how I see it since plotting the baseflow on recession plots is not necessarily helpful because of the calculation procedure. The important part is the effect that the varying mixture of components in streamflow has on how it appears on recession plots and therefore how it can give misleading power-law slopes. This is seen by plotting quickflow and streamflow e.g. Fig. 6c.

Editor Comment Can I note I will send this manuscript out for further review after the next major correction of this manuscript are obtained, best wishes

4 **A promising new baseflow method and recession**
5 **approach for streamflow at Glendhu Catchment, New**
6 **Zealand**

7
8 ~~**New baseflow separation and recession analysis**~~
9 ~~**approaches for streamflow**~~

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Abstract

Understanding and modelling the relationship between rainfall and runoff has been a driving force in hydrology for many years. Baseflow separation and recession analysis have been two of the main tools for understanding runoff generation in catchments, but there are many different methods for each and no consensus on how best to apply them.

~~The~~ A new baseflow separation method presented, ~~which here (the bump and rise method or BRM) is~~ simulates the shape of tracer-determined baseflow or pre-event water more accurately than previous methods. Application of the method by calibrating its parameters, using (a) tracer data or (b) an optimizing method, is demonstrated for the Glendhu Catchment, New Zealand. The calibrated algorithm is then applied to the Glendhu streamflow record. is justified by being based generally on the more objective tracer separation methods and by being optimised by fitting to the recession hydrograph. The new recession approach advances the thesis that recession analysis of streamflow alone gives misleading information on catchment storage reservoirs because streamflow is a varying mixture of components of very different origins and characteristics (at the simplest level, quickflow and baseflow as identified by the BRM method). Recession analyses of quickflow, baseflow and streamflow show that the steep power-law slopes often observed for streamflow at intermediate flows are artifacts due to such mixing and are not representative of catchment reservoirs. Using this baseflow separation method, the thesis is advanced that recession analysis should be applied to the separated components (quickflow and baseflow), because of their very different origins and characteristics, rather than to the streamflow itself because analysing the latter alone gives misleading results. Applying baseflow separation before recession analysis could shed sheds new light on water storage reservoirs in catchments and may possibly resolve some current problems with recession analysis. ~~It may also have implications for rainfall-runoff modelling.~~ Among other things it shows that both quickflow and baseflow reservoirs in the studied catchment have (non-linear) (quadratic) characteristics. ~~in the studied catchment (Glendhu, New Zealand).~~

1 Introduction

Interpretation of streamflow variations in terms of catchment characteristics has been a major theme in hydrology for many years in order to improve catchment and stream management. Two of the main tools for this task are baseflow separation and recession analysis (Hall, 1968; Brutsaert and Nieber, 1977; Tallaksen, 1995; Smakhtin, 2001). Baseflow separation aims to separate streamflow into two components (quickflow and baseflow), where quickflow is direct runoff following rainfall, and baseflow is delayed streamflow during periods without rain. Recession analysis aims to model the decrease of streamflow during rainless periods to extract parameters descriptive of water storage in the catchment. In a similar way, transit time analysis determines transit time distributions of water in the stream and catchment in order to quantify flowpaths and storages through the catchment. To fully understand and satisfactorily model the movement of water and chemicals through catchments, it is necessary to understand in detail the water stores and flowpaths (Fenicia et al., 2011; McMillan et al., 2011; Beven et al., 2012; Hrachowitz et al., 2013).

The technique of baseflow separation has a long history in practical and scientific hydrology ~~because knowledge about baseflow is very useful in predicting low flow progressions and understanding water quality variations. However, the many baseflow separation methods have been regarded with suspicion for a long time.~~ ~~Because they many baseflow separation methods~~ were often associated with “the Hortonian view of catchments” (Beven, 1991), ~~and are or were~~ considered “to a large extent, arbitrary” (Hewlett and Hibbert, 1967; Beven, 1994), ~~the technique has been regarded with suspicion for a long time although it is still used practically. Some recent modelling studies have avoided using baseflow separation altogether, although it may be embedded in later modelling calculations. However~~ Nevertheless, arbitrary as they may be, most of the methods yield results that are quite similar (e.g. Gonzales et al., 2009 obtained long-term baseflow fractions (i.e. baseflow indexes, called BFIs below) ranging from 0.76 to 0.91 for nine non-tracer baseflow separation methods, not too different from their tracer-based result of 0.90), and all show that baseflow is often quantitatively important in annual flows and, of course, very important during low flows. This work contends that baseflow should also be considered during middle and high flows, because streamflow during high flows such events is composed of comparable amounts of both quickflow and baseflow components (e.g. Sklash and Farvolden, 1979) and they are produced by very different mechanisms. It is believed that process descriptors such as hydrograph recession constants (or transit time distribution parameters) should be determined on separated components, not total streamflow, because the latter is a mixture and therefore gives misleading results. All such process descriptors should be qualified by the components they were derived from. Putting it simply, the contention is that to properly understand the streamflow hydrograph it is first necessary to separate it into its quickflow and baseflow components. While this may be considered obvious by some, recession analysis has not previously been applied to other than the total streamflow.

Recession analysis also has a long history for practical hydrology reasons, but Stoelzle et al. (2013) recently highlighted large discrepancies between different methods of analysis, in particular contrasting recession parameters derived by the methods of Brutsaert and Nieber, (1977), Vogel and Kroll, (1992), and Kirchner, (2009). Stoelzle et al. suggested that “a multiple methods approach to investigate streamflow recession characteristics should be considered”. This indicates that the general technique itself is in

100 some disarray, and that there is little general consensus on how best to apply recession
101 analysis to streamflow.

102
103 This paper presents a new method of baseflow separation (called the bump and rise
104 method or BRM-method) which simulates the shape of tracer-determined baseflow or
105 pre-event water more accurately than previous methods. The two BRM parameters are
106 calibrated by (a) fitting to tracer data if it is available, or (b) using an optimizing process
107 if it is not. The calibrated BRM filter is then applied to the streamflow record. Two other
108 baseflow separation methods (those of Hewlett and Hibbert (1967) and Eckhardt (2005))
109 are compared with the BRM. is optimised by fitting to the recession hydrograph and
110 based generally on the results of tracer hydrograph separations. The paper It also takes a
111 fresh look at the application of recession analysis for characterising runoff generation
112 processes in the light of surprising effects of first separating the baseflow. Recession
113 analysis of streamflow can give misleading slopes on a recession plot particularly at
114 intermediate flows because streamflow is a varying mixture of components (at the
115 simplest level, quickflow and baseflow). When quickflow, baseflow and streamflow are
116 all analysed, the effect of the more rapidly receding quickflow on the streamflow can be
117 seen. The same procedure is applied to flow duration curves gives insight into the
118 processes of streamflow generation at each exceedence percentage when applied to flow
119 duration curves (Section 2.4). The methods are illustrated using streamflow data from the
120 Glendhu Catchment in Otago, South Island, New Zealand. The new approaches may be
121 opening a new door to understanding of catchment functioning.
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123

124 **2 A New Method of Baseflow Separation Methods**

125 **2.1 Baseflow Separation**

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127
128 Justification for making baseflow separations rests on the dissimilarity of quickflow and
129 baseflow generation processes in catchments. Evidence of this is given by the different
130 recession slopes, and chemical and stable isotope compositions of early and late
131 recessions in hydrographs (examples are given for Glendhu, see below). In addition,
132 transit times of stream water show great differences between quickflow and baseflow.
133 While quickflow is young (as shown by the variations of conservative tracers and
134 radioactive decay of tritium), baseflow can be much older with substantial fractions of
135 water having mean transit times beyond the reach of conservative tracer variations (4
136 years) and averaging 10 years as shown by tritium measurements (Stewart et al., 2010,
137 2012; Michel et al., 2014). For these reasons, it is believed that it is not justifiable to treat
138 the streamflow as a single component, but that at least two components should be
139 considered by applying baseflow separation to the hydrograph before analysis.

140
141 Streamflow at any time (Q_t) is composed of the sum of quickflow (A_t) and baseflow (B_t)

$$142 \quad 143 \quad 144 \quad Q_t = A_t + B_t \quad (1)$$

145 where time steps are indicated by the sequences ... Q_{t-1} , Q_t , Q_{t+1} ... etc. The time
146 increment is normally one hour in the examples given below, but can be days in larger
147 catchments or any regular interval. Quickflow or direct runoff results from rainfall events
148 and often drops to zero between events, while baseflow is continuous as long as the
149 stream flows. As shown by the names, the important distinction between them is the time

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150 of release of water particles to the stream (i.e. their transit times through the catchment).
151 They are supplied by fast and slow drainages within the catchment, direct precipitation
152 and fast storage reservoirs (soil stores) supply quickflow, and slow storage reservoirs
153 (groundwater aquifers) supply baseflow. This simple separation has proven to be
154 effective in many catchments, and is practical for the general case considered here.
155 However, particular catchments may have a variety of different possible streamflow
156 components that could be separated in principle. Fig. 1 gives a recession curve showing
157 the two flow components and the early and late parts of the curve. The late part of the
158 recession curve starts when baseflow dominates streamflow (i.e. quickflow becomes very
159 small).

160
161 Many methods have been developed for baseflow separation (see reviews by Hall, 1968;
162 Tallaksen, 1995; Gonzales et al., 2009). Baseflow separation methods can be grouped
163 into three categories: analytical, empirical and chemical/isotopic or tracer methods.
164 Analytical methods are based on fundamental theories of groundwater and surface water
165 flows. Examples are the analytical solution of the Boussinesq equation, the unit
166 hydrograph model and theories for reservoir yields from aquifers (Boussinesq, 1877; Su,
167 1995; Nejadhashemi et al., 2003).

168
169 Empirical methods based on the hydrograph are the most widely used (Zhang et al.,
170 2013), because of the availability of such data. The methods include 1) recession analysis
171 (Linsley et al., 1975), 2) graphical methods, filtering streamflow data by various methods
172 (e.g. finding minima within predefined intervals and connecting them) (e.g. Sloto and
173 Crouse, 1996), 3) low pass filtering of the hydrograph (Eckhardt, 2005; Zhang et al.,
174 2013), and 4) using groundwater levels to calculate baseflow contributions based on
175 previously determined relationships between groundwater levels and streamflows (Holko
176 et al., 2002).

177
178 One widely-used empirical method [for small catchments](#) was proposed by Hewlett and
179 Hibbert (1967) who argued that: “since an arbitrary separation must be made in any case,
180 why not base the classification on a single arbitrary decision, such as a fixed, universal
181 method for separating hydrographs on all small watersheds?” They separated the
182 hydrograph into “quickflow” and “delayed flow” components by arbitrarily projecting a
183 line of constant slope from the beginning of any stream rise until it intersected the falling
184 side of the hydrograph. The steady rise is described by the equations

$$185 \quad B_t = B_{t-1} + k \quad \text{for} \quad Q_t > B_{t-1} + k \quad (2)$$

$$186 \quad B_t = Q_t \quad \text{for} \quad Q_t \leq B_{t-1} + k \quad (3)$$

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189 where k is the slope of the dividing line. The slope they chose was $0.05 \text{ ft}^3/\text{sec}/\text{mile}^2/\text{hour}$
190 ($0.000546 \text{ m}^3/\text{s}/\text{km}^2/\text{h}$ or $0.0472 \text{ mm}/\text{d}/\text{h}$). [Other authors have adapted the method by](#)
191 [changing the value of the constant \(\$k\$ \) to be more suitable for their catchments. This](#)
192 [universal slope gives a firm basis for comparison of BFIs between catchments.](#)
193

194 Tracer methods use dissolved chemicals and/or stable isotopes to separate the hydrograph
195 into component hydrographs based on mass balance of water and tracers. Waters from
196 different sources are assumed to have unique and constant (or varying in a well-
197 understood way) compositions (Pinder and Jones, 1969; Sklash and Farvolden, 1979;
198 McDonnell et al., 1991). These tracer methods allow objective separation of the
199 hydrograph, but it is important to consider just what water components are being

200 separated. For example, deuterium varies much more in rainfall than it does in soil or
 201 groundwater, which has average deuterium concentrations from contributions from
 202 several past events. When the deuterium content of a particular rainfall is very high or
 203 very low, it becomes an effective indicator of the presence of “event” water in the stream,
 204 compared with the “pre-event” water already in the catchment before rainfall began (as
 205 shown in Fig. 2a adapted from Bonell et al., 1990). Baseflow separations (i.e.
 206 identification of a groundwater component) have been more specifically shown by three-
 207 component separations using chemicals and stable isotopes (Bazemore et al., 1994;
 208 Hangin et al., 2001; Joerin et al., 2002; Iwagami et al., 2010). An example of separation
 209 of direct precipitation, acid soil and groundwater components using silica and calcium is
 210 given in Fig. 2b redrawn from Iorgulescu et al. (2005).

211
 212 | A remarkable and by now well-accepted characteristic of these separations is that
 213 the components including groundwater often respond to rainfall as rapidly as the stream
 214 itself. Chapman and Maxwell (1996) noted that “hydrograph separation using tracers
 215 typically shows a highly responsive old flow”. Likewise Wittenberg (1999) comments
 216 “tracers such as ¹⁸O ... and salt ... [show] that even in flood periods outflow from the
 217 shallow groundwater is the major contributor to streamflow in many hydrological
 218 regimes”. And Klaus and McDonnell (2013) observe “most [tracer studies] showed a
 219 large preponderance of pre-event water in the storm hydrograph, even at peak flow”. This
 220 has been a general feature in tracer studies and includes all of the components tested
 221 whether quickflow or baseflow (e.g. Hooper and Shoemaker, 1986; Bonell et al., 1990;
 222 Buttle, 1994; Gonzales et al., 2009; Zhang et al., 2013). In the case of groundwater, the
 223 rapid response is believed to be partially due to rapid propagation of rainfall effects
 224 downwards (by pressure waves or celerity) causing rapid water table rise and
 225 displacement of stored water near the stream (e.g. Beven, 2012, page 349; McDonnell
 226 and Beven, 2014; Stewart et al., 2007, page 3354).

227
 228 Chapman and Maxwell (1996) and Chapman (1999) compared baseflow separations
 229 based on digital filters (like the low pass filters referred to above) with tracer separations
 230 in the literature and identified a preferred two-parameter algorithm given by

$$232 \quad B_t = \frac{m}{1+C} B_{t-1} + \frac{C}{1+C} Q_t \quad (4)$$

233
 234 which approximately matched the tracer separations. m and C are parameters identified
 235 by trial and error fitting to the pre-event hydrograph identified by tracers. Wittenberg
 236 (1999) and Wittenberg and Sivapalan (1999) used their inverted nonlinear reservoir
 237 algorithm which describes baseflow as a sequence of recessions of groundwater recharges
 238

$$239 \quad B_{t-1} = \left(B_t^{\frac{b-1}{a}} + \frac{(b-1)}{ab} t \right)^{1/(b-1)} \quad (5)$$

240
 241 combined with a procedure for connecting pre-storm lower baseflow with post-storm
 242 higher baseflow after each groundwater recharge event has occurred. Equation 5 is the
 243 inverted form of equation 11 applied to a time step, and a and b are constants. Equations
 244 4 and 5 give baseflow separations that are similar in shape to that given by the BRM
 245 method below. Eckhardt (2005) demonstrated that some previously published digital
 246 filters (Lyne and Hollick, 1979; Chapman and Maxwell, 1996; Chapman, 1999) could be
 247 represented by a more general digital filter equation by assuming a linear relationship
 248 between baseflow and baseflow storage (see equation 9 below). Eckhardt’s filter is

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$$B_t = \frac{(1-BFI_{max})aB_{t-1}+(1-a)BFI_{max}Q_t}{1-aBFI_{max}} \quad (5)$$

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where parameter a is a recession constant relating adjacent baseflow steps during recessions, i.e.

$$B_t = aB_{t-1} \quad (6)$$

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and is determined by recession analysis. On the other hand, there was no objective way to determine parameter BFI_{max} (the maximum value of the baseflow index that can be modeled by the algorithm corresponding to low-pass filtering of a wave of infinite length). Eckhardt (2005) suggested that typical BFI_{max} values can be found for classes of catchments based on their hydrological and hydrogeological characteristics. Others have pointed out that these BFI_{max} values should be regarded as first approximations, and more refined values can be determined using tracers (Eckhardt, 2008; Gonzales et al., 2009; Zhang et al., 2013), by a backwards filtering operation (Collischonn and Fan, 2013) or by the relationship of two characteristic values from flow duration curves (i.e. Q_{90}/Q_{50} ; Smakhtin, 2001; Collischonn and Fan, 2013).

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2.1.1 The new baseflow separation method

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The new baseflow separation method put forward in this paper (hereafter called the bump and rise method or BRM) has an algorithm chosen to simulate tracer separations simply but as accurately as possible. ~~is also based on the evidence from tracer separations.~~ Tracer separations ~~hese~~ show rapid baseflow responses to storm events (the “bump”), which is followed in the method by a steady rise in the sense of Hewlett and Hibbert, (1967) (the “rise”). The steady rise is justified by increase in catchment wetness conditions and gradual replenishment of groundwater aquifers during rainy periods. The size of the bump (f) and the slope of the rise (k) are ~~regarded as~~ parameters that can be optimised in particular catchments by fitting to the hydrograph recession of the recursive digital filter that can be applied to the streamflow record. The separation procedure is described by the equations:

$$B_t = B_{t-1} + k + f(Q_t - Q_{t-1}) \quad \text{for} \quad Q_t > B_{t-1} + k \quad (67)$$

$$B_t = Q_t \quad \text{for} \quad Q_t \leq B_{t-1} + k \quad (78)$$

where f is a constant fraction of the increase or decrease of streamflow during an event. The values of f and k can be determined from tracer measurements, like the parameters of other digital filters. If no tracer information is available, f and k can be determined by an optimization process as described in an earlier version of this paper (Stewart, 2014a). An advantage of the BRM method (like the Chapman (1999) and Wittenberg (1999) methods) is that while it is generally based on the tracer evidence, it can be applied using streamflow data alone. An unusual feature of the BRM method is that two types of baseflow response are included, a short-term response via the bump and a longer-term response via the rise.

2.2.3 Recession Analysis

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297 | Recession analysis also has a long history. Stoelzle (2012,2013) recently highlighted
 298 | discrepancies between methods of extracting recession parameters from empirical data by
 299 | contrasting results from three established methods (Brutsaert and Nieber, 1977, Vogel
 300 | and Kroll, 1992, and Kirchner, 2009). They questioned whether such parameters are
 301 | really able to characterise catchments to assist modelling and regionalisation, and
 302 | suggested that researchers should use more than one method because specific catchment
 303 | characteristics derived by the different recession analysis methods were so different.

304
 305 | The issue of whether storages can be represented by linear reservoirs or require to be
 306 | treated as non-linear reservoirs has been widely discussed in the hydrological literature
 307 | (in the case of recession analysis by Brutsaert and Nieber, 1977, Tallaksen, 1995, Lamb
 308 | and Beven, 1997 and Fenicia et al., 2006, among others). Lamb and Beven (1997)
 309 | identified three different storage behaviours in the three catchments they studied. Linear
 310 | reservoirs only require one parameter each and are more tractable mathematically. They
 311 | are widely used in rainfall-runoff models. Non-linearity can be approximately
 312 | accommodated by using two or more linear reservoirs in parallel, but more parameters
 313 | are required (three in the case of two reservoirs). Linear storage is expressed by the
 314 | formulation

$$316 | \quad V = Q/\beta \quad (89)$$

317
 318 | where V is storage volume, and β is a constant (with dimensions of T^{-1}). The exponential
 319 | relationship follows for baseflow recessions

$$321 | \quad Q_t = Q_o \exp(-\beta t) \quad (910)$$

322
 323 | where Q_o is the streamflow at the beginning of the recession.

324
 325 | However, evidence for non-linearity is strong (Wittenberg, 1999) and the non-linear
 326 | formulation is often used

$$328 | \quad V = aeQ^b \quad (110)$$

329
 330 | where a and b are constants. This gives the recession equation

$$332 | \quad Q_t = Q_o \left[1 + \frac{(1-b)Q_o^{(1-b)}}{ae} t \right]^{1/(b-1)} \quad (124)$$

333
 334 | The exponent b has been found to take various values between 0 and 1.1, with an average
 335 | close to 0.5 (Wittenberg, 1999). $b=1$ gives the linear storage model (equations 8 and 9).
 336 | For $b=0.5$, equation 11 reduces to the quadratic equation

$$338 | \quad Q_t = Q_o \left[1 + \frac{1}{ae} \cdot Q_o^{0.5} \cdot t \right]^{-2} \quad (132)$$

339
 340 | This quadratic equation is similar to the equation derived much earlier by Boussinesq
 341 | (1903) as an analytical solution for drainage of a homogeneous groundwater aquifer
 342 | limited by an impermeable horizontal layer at the level of the outlet to the stream

$$344 | \quad Q_t = Q_o(1 + at)^{-2} \quad (143)$$

345
346 where α is

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348
$$\alpha = KB/PL^2 \quad (154)$$

349
350 Here K is the hydraulic conductivity, P the effective porosity, B the effective aquifer
351 thickness, and L the length of the flow path. Dewandel et al. (2003) have commented that
352 only this quadratic form is likely to give correct values for the aquifer properties because
353 it is an exact analytical solution to the diffusion equation, albeit with simplifying
354 assumptions, whereas other forms (e.g. exponential) are approximations.

355
356 In order to generalise recession analysis for a stream (i.e. to be able to analyse the
357 stream's recessions collectively rather than individually) Brutsaert and Nieber (1977)
358 presented a method based on the power-law storage-outflow model, which describes flow
359 from an unconfined aquifer into a stream. The negative gradient of the discharge (i.e. the
360 slope of the recession curve) is plotted against the discharge, thereby eliminating time as
361 a reference. This is called a recession plot below (following Kirchner, 2009). To keep the
362 timing right, the method pairs streamflow $Q = (Q_{t-1} + Q_t)/2$ with negative streamflow
363 recession rate $-dQ/dt = Q_t - Q_{t-1}$.

364
365 Change of storage in the catchment is given by the water balance equation:

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$$\frac{dV}{dt} = R - E - Q \quad (165)$$

368
369 where R is rainfall and E is evapotranspiration. Assuming no recharge or extraction, we
370 have

371
372
$$\frac{dV}{dt} = -Q \quad (176)$$

373
374 from whence equation 10 leads to

375
376
$$-\frac{dQ}{dt} = \frac{1}{\epsilon eb} Q^{2-b} = cQ^d \quad (187)$$

377
378 The exponent d allows for both linear (d=1) and non-linear (d≠1) storage outflow
379 relationships, with d=1.5 giving the frequently observed quadratic relationship (equation
380 12). Authors who have investigated the dependence of -dQ/dt on Q for late recessions
381 (low flows) have generally-often found d averaging close to 1.5 (e.g. Brutsaert and
382 Nieber, 1977; Wittenberg, 1999; Dewandel, 2005; Stoelzle et al., 20132). Higher values
383 of d were often found especially at higher flows, e.g. Brutsaert and Nieber (1977) found
384 values of d = 3 for the early parts of recessions.

385
386 Recent work has continued to explore the application and possible shortcomings of the
387 recession plot method. Rupp and Selker (2006) proposed scaling of the time increment to
388 the flow increment which can greatly reduce noise and artifacts in the low-flow part of
389 the plot. Biswal and Marani (2010) identified a link between recession curve properties
390 and river network morphology. They found slopes of individual recession events in
391 recession plots (d values) averaging around 2 and ranging from 1.1 to 5.5. In a small (1
392 km²) catchment, McMillan et al. (2011) showed that individual recessions plotted on the
393 recession plot “shifted horizontally with season”, which they attributed to changes in

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394 contributing subsurface reservoirs as streamflow levels changed with season. This
395 explanation is analogous to the approach below in that two water components with
396 different storage characteristics are implied. The slopes of individual recessions in their
397 analysis were in excess of 2 with the low-flow tails being very much steeper. In medium
398 to large catchments (100 - 6,414 km²), Shaw and Riha (2012) found curves of individual
399 recessions “shifted upwards in summer relative to early spring and late fall curves”,
400 producing a data cloud when recessions from all seasons were combined. They speculate
401 that the movement with season (which was similar, but less extreme to that seen by
402 McMillan et al., 2011 above) was due to seasonal changes of catchment
403 evapotranspiration. They found that the slopes of individual recessions were often close
404 to 2 and had an extreme range of 1.3 to 5.3.

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405
406 Problems in determining recession parameter values from streamflow data on recession
407 plots are due to 1) different recession extraction methods (e.g.i.e. different selection
408 criteria for data points), and 2) different parameter-fitting methods to the power-law
409 storage-outflow model (equation 17). Depending on 1) There is generally a very broad
410 scatter of points on the plots, which makes parameter-fitting difficult. In 2) Clearly
411 evapotranspiration is likely to play a role in producing some of the scatter because
412 evapotranspiration was neglected from equation 16.

413 414 **2.2.1 The New Recession Analysis Approach**

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415
416 However, it is believed that part of the scatter as well as the steep slopes of recession
417 curves often observed at intermediate flows in recession plots are due to as shown below
418 applying recession analysis being applied to streamflow (during early parts of recessions)
419 rather than to its separated components. As shown below, the changing proportions of
420 quickflow and baseflow in streamflow during early parts of recessions cause recession
421 analyses of streamflow to give mixed messages, i.e. misleading results not characteristic
422 of storages in the catchment because the storage for each component is very different.
423 This has has probably led to some previous recession analysis studies giving misleading
424 results in regard to catchment storage in cases where early recession streamflow has been
425 analysed.

426 427 **2.43 Flow Duration Curves**

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428
429 Flow duration curves (FDCs) represent in one figure the flow characteristics of a stream
430 throughout its range of variation. They are cumulative frequency curves that show the
431 percentages of time during which specified discharges were equalled or exceeded in
432 given periods. They are very useful for practical hydrology (Searcy, 1959), and have been
433 used as calibration targets for hydrologic models (Westerberg et al., 2011).

434
435 FDCs can also be determined for the separated stream components as shown below (Fig.
436 5d). Although FDCs for streamflow are not misleading and obviously useful in their own
437 right, FDCs of separated components can give insight into the processes of streamflow
438 generation at each exceedence percentage.

439 440 **5 Transit Time Analysis**

441
442 The different flowpaths of water through catchments means that streams aggregate water
443 with different transit times. Consequently, streamwater does not have a single transit

444 time, but has a transit time distribution (TTD) with a mean transit time (MTT). The
445 distribution is described by a conceptual flow model.

446
447 Rainfall incident on a catchment is affected by immediate surface/near surface runoff and
448 longer term evapotranspiration loss. The remainder constitutes recharge to subsurface
449 water stores. Tracer (chemical or isotopic) concentrations in the input are modified by
450 passing through the hydrological system (as represented by the flow model) before
451 appearing in the output. The convolution integral and an appropriate flow model are used
452 to relate the tracer input and output (Maloszewski et al., 1983). The convolution integral
453 is given by

454
$$C_{out}(t) = \int_0^t C_{in}(t-\tau)h(\tau)d\tau \quad (18)$$

455 where C_{in} and C_{out} are the input and output tracer concentrations in the precipitation and
456 streamflow respectively. t is calendar time and the integration is carried out over the
457 transit times τ . $h(\tau)$ is the flow model or response function of the hydrological system. An
458 additional term may be included for chemical or radioactive decay, but is not shown here.
459 The TTD for the catchment is determined by matching the simulation to tracer
460 measurements.

461 The selected flow model is normally assumed to apply to all of the samples from a
462 particular stream (McGuire and McDonnell, 2006), because equation (18) applies to
463 steady flow, although it is becoming clear that flow models change with catchment
464 wetness (McGuire and McDonnell, 2010; McDonnell et al., 2010; Morgenstern et al.,
465 2010; Birkel et al., 2012). Transit time analysis has mostly been applied to measurements
466 on total streamflow based on the variations of environmental isotopes or chemicals
467 (McGuire and McDonnell, 2006). However, there have been a number of studies where
468 transit time distributions (TTDs) have been determined on different flow components
469 (e.g. Maloszewski et al., 1983; Uhlenbrook et al., 2002; Stewart et al., 2007; Stewart and
470 Thomas, 2008) using both chemical/stable isotope variations and tritium. These give
471 better insight into the runoff generation processes.

473 3 Results of Application of New Approaches to Glendhu GH1 474 Catchment

475
476 The BRM baseflow separation method is applied to Glendhu GH1 catchment to
477 investigate its applicability, demonstrate how it is applied and present what it reveals
478 about the catchment. The results are compared with those from two other widely-used
479 baseflow separation filters, the Hewlett and Hibbert (1965) method (called the H & H
480 method below) and the Eckhardt (2005) method (called the Eckhardt method). We need
481 to know the values of the parameters of these methods in order to apply them, the
482 parameters are k (the universal slope of the rise through the event) for the H & H method,
483 BFI_{max} (the maximum value of the baseflow index that can be modeled by the Eckhardt
484 algorithm) and a (recession constant) for the Eckhardt method, and f (bump fraction) and
485 k (slope of the rise) for the BRM method.

486
487 The parameter k for the H & H method has the universal (arbitrary) value of 0.0472 mmd^{-1}
488 h^{-1} , as explained above. Estimation of the Eckhardt parameters is not so simple (see
489 above) and has similarities to the estimation of the BRM parameters. There are two ways
490 of determining the Eckhardt and BRM parameters: (1) By adjusting the baseflow
491 parameters to give the best fits between the baseflows and the tracer-determined pre-

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492 event or baseflow water. This is regarded as the only objective way, and is able to be used
493 in this paper because deuterium data is available for Glendhu (Bonell et al., 1990). But it
494 requires tracer data during events which is not generally available for catchments. (2)
495 Where there is no tracer data, the parameters can be estimated in several ways. In the
496 prescribed Eckhardt method, a is calculated from the late part of the recession by an
497 objective procedure. BFI_{max} is estimated to a first approximation based on the
498 hydrological and hydrogeological characteristics of the catchment (Eckhardt (2005), and
499 possibly more precisely by hydrograph methods suggested by Collischonn and Fan
500 (2013) (see below). For the BRM, the BFI can be estimated approximately from
501 catchment considerations (in analogy with the Eckhardt method) and possibly more
502 precisely by a flow duration curve method suggested by Collischonn and Fan (2013).
503 The BFI can then be used as a constraint while optimising the fit between the sum and the
504 streamflow (where the sum equals the baseflow plus a fast recession). This optimising
505 procedure was used in the earlier version of this paper (Stewart, 2014a). The optimising
506 procedure was also applied to the H & H and Eckhardt methods in the Author's Reply
507 (Stewart, 2014b).

508
509 Once baseflow separation has been achieved, recession analysis via the recession plot can
510 be applied to the separated quickflow and baseflow components (the new approach
511 suggested here), in addition to the streamflow (the traditional method). Whereas the
512 streamflow can show high power law slopes (d values of 2 or more), the components
513 generally have slopes around 1.5. However, note that the baseflow is a subdued reflection
514 of the streamflow because of its calculation procedure (equations 6 and 7) ~~the baseflow in~~
515 the early part of the recession. In the late part of the recession, the baseflow and the
516 streamflow are the same. Flow duration curve analysis can also be applied to the
517 components as well as to the streamflow in order to show the makeup of the streamflow
518 at each exceedence percentage.

519
520 In the following, the characteristics of the Glendhu Catchment are briefly described, then
521 the three baseflow separation methods are applied and compared, and then the effects of
522 applying recession analysis and FDC analysis to the separated components as well as to
523 the streamflow itself are examined. The methods are then applied to the master recession
524 curve

527 **6 — Glendhu Catchment**

528 **3.1 Hydrogeology of Glendhu Catchment**

529
530 GHI catchment (2.18 km²) is situated 50 km inland from Dunedin in the South Island of
531 New Zealand. It displays rolling-to-steep topography and elevation ranges from 460 to
532 650 m.a.s.l. (Fig. 3). Bedrock is moderately-to-strongly weathered schist, with the
533 weathered material filling in pre-existing gullies and depressions. Much of the bedrock-
534 colluvial surface is overlain by a loess mantle of variable thickness (0.5 to 3 m). Well-to-
535 poorly drained silt loams are found on the broad interfluves and steep side slopes, and
536 poorly drained peaty soils in the valley bottoms.

537
538 Amphitheatre-like sub-catchments are common features in the headwaters and frequently
539 exhibit central wetlands that extend downstream as riparian bogs. Snow tussock
540 (*Chionochloa rigida*) is the dominant vegetation cover and headwater wetlands have a
541 mixed cover of sphagnum moss, tussock, and wire grass (*Empodisma minus*). The mean

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542 annual temperature within GH1 at 625 m.a.s.l. elevation is 7.6C, and the mean annual
543 rainfall is 1350 mm/a. Annual runoff is measured at all weirs to an accuracy of ±5%
544 (Pearce et al., 1984).

545
546 Pearce et al. (1984) showed that GH1 and GH2 (before the latter was forested), had very
547 similar runoff ratios. Long term precipitation and runoff at GH1 weir average 1350 mm/a
548 and 743 mm/a respectively (Fahey and Jackson, 1997). Actual evapotranspiration of 622
549 mm/a was measured for tussock grassland in the period April 1985 to March 1986 at a
550 nearby site in catchment GH1 (570 m a.s.l.) by Campbell and Murray (1990) using a
551 weighing lysimeter. The Priestley-Taylor estimate of PET was 643 mm/a for the period,
552 and 599 mm/a for 1996, so ET for GH1 is taken as 600 mm/a. The GH1 hydrological
553 balance is: Precipitation (1350 mm/a) – ET (600 mm/a) = Runoff (743 mm/a), and loss
554 around the weir is clearly negligible (Pearce et al. 1984). Comparison of runoff from
555 GH1 and GH2 (after the latter had been forested for 7 years), showed that there was a
556 decrease of 260 mm/a in GH2 runoff due to afforestation (Fahey and Jackson, 1997).
557 Consequently, the GH2 balance is: Precipitation (1350 mm/a) – ET (860 mm/a) = Runoff
558 (483 mm/a). The increase in ET for GH2 is attributed to increased interception (with
559 evaporative loss) and transpiration.

560
561
562 Bonell et al. (1990) carried out separation of event and pre-event waters using deuterium
563 and chloride concentrations to investigate the runoff mechanisms operating in GH1 and
564 GH2 at Glendhu (see example in Fig. 2a). The results showed that for quickflow volumes
565 greater than 10 mm (over the catchment area), the early part of the storm hydrograph
566 could be separated into two components, pre-event water from a shallow unconfined
567 groundwater aquifer, and event water attributed to “saturated overland flow” (Bonell et
568 al., 1990). The pre-event water responded more rapidly to rainfall than event water. The
569 late part of the storm hydrograph consisted of pre-event water only. Hydrographs for
570 smaller storms had pre-event water only, but this may be partly because measurement
571 accuracy of the deuterium may not have been sufficient to detect event water in these
572 smaller events.

574 **3.2 Application of Baseflow Separation Methods**

575 **7—Application of the BRM Baseflow Separation Method to Glendhu** 576 **Streamflow**

578 **7.1—Winter and summer events**

579
580 Fig. 2a showed the pre-event component determined using deuterium during the large
581 storm on 23 February 1988 (Bonell et al., 1990). The pre-event component has a BFI of
582 0.529 during the event (Table 1). Baseflows determined by the three baseflow separation
583 methods are compared with the pre-event component in Figs. 4a-c. The goodness of fit of
584 the baseflows to the pre-event water was determined using least squares,

$$585 \quad \quad \quad sd = (\sum(B_i - PE_i)^2 / N)^{0.5} \quad \quad \quad (19)$$

586
587
588 where PE_i is the pre-event water at each time step, and N the number of values. The H &
589 H baseflow is totally inflexible with a pre-determined parameter and does not match the
590 BFI or shape of the pre-event hydrograph at all well (its BFI is 0.255 and sd is 6.41
591 mm/d, Table 1, Fig. 4a).

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592 The Eckhardt baseflow with prescribed parameters ($BFI_{max} = 0.8$ for a porous perennial
593 stream, $a = 0.99817$ calculated from the baseflow recession) does not match the pre-event
594 hydrograph well either ($BFI = 0.272$, $sd = 6.34$ mm/d, Fig. 4c). However, a better match
595 of the BFI and a slightly better fit is found with the optimized version when both BFI_{max}
596 and a are treated as adjustable parameters using the method of Zhang et al., 2013 (i.e.
597 BFI_{max} was adjusted first to match the Eckhardt BFI to the pre-event BFI, then a was
598 adjusted to improve the fit between the shapes of the baseflow and the pre-event
599 hydrographs, then the steps were repeated, etc.). An extra constraint was to prevent the
600 Eckhardt baseflow falling too far below the streamflow at very low flows. These give a
601 BFI of 0.524, which is the same as that of the pre-event hydrograph (0.529, Table 1), and
602 the baseflow has a similar shape to the pre-event water (Fig. 4c), but the peak is delayed
603 in time giving only a small improvement in the fit ($sd = 5.40$ mm/d).

604
605
606 The BRM baseflow gives a BFI of 0.526, the same as that of the pre-event hydrograph,
607 and the fit between the two hydrographs is very close ($sd = 1.98$ mm/d, Fig. 4e). This
608 reflects the choice of the algorithm to mimic tracer baseflow separations (equations 7 and
609 8), which it does very well.

610
611 The three methods have been applied to hourly streamflow data for 1996. A sample of
612 each is shown for a two-week period in Figs. 4b, 4d and 4f. Only this short period is
613 shown because otherwise it is difficult to see the baseflow clearly. The parameters used
614 are listed in Table 2 along with the annual BFI values determined. The H & H baseflow
615 rises gradually through the stormflow peak, then follows the falling limb of the
616 streamflow after it intersects with it. The prescribed Eckhardt baseflow also rises
617 gradually through the peak then stays close to the recessing streamflow. The optimised
618 Eckhardt baseflow rises sharply then falls sharply when it intersects the falling limb of
619 the streamflow, and then gradually falls below the recessing streamflow curve. The BRM
620 baseflow mirrors the streamflow peak then follows the falling streamflow after it
621 intersects with it. It is also instructive to compare the BFI values derived by the various
622 methods. The H & H method gives a BFI of 0.679, the Eckhardt methods BFIs of 0.617
623 and 0.754 and the BRM method a BFI of 0.780 (almost the same as the Q_{90}/Q_{50} -derived
624 BFI of 0.779, see below).

625
626 Table 2 also shows estimates based on the characteristic flows from the flow duration
627 curve (Q_{90}/Q_{50}). Smakhtin (2001) observed that the ratio of the two characteristic flows
628 could be used to estimate BFI, and Collischonn and Fan (2013) derived equations
629 connecting Q_{90}/Q_{50} and BFI_{max} and BFI based on results from fifteen catchments of
630 varying sizes in Brazil. Their equations were

$$631 \quad BFI_{max} = 0.832 \frac{Q_{90}}{Q_{50}} + 0.216 \quad (20)$$

$$632 \quad BFI = 0.850 \frac{Q_{90}}{Q_{50}} + 0.163 \quad (21)$$

633
634
635
636 These have been used to determine BFI_{max} and BFI in Table 2 (marked as FDC BFI_{max}
637 and FDC BFI for clarity) for comparison with those derived using the three baseflow
638 separation methods. There is a close correspondence between the FDC BFI and the BRM
639 BFI, as noted, but the others are not particularly close. The backwards filter method of
640 Collischonn and Fan (2013) has also been applied to estimate the BFI_{max} values for the

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641 prescribed and optimized Eckhardt parameters (Table 2). The resulting BFIs do not agree
642 particularly well with the BFIs obtained from the other methods.

643
644 The second way of determining the BRM parameters was described in the earlier version
645 of this paper (Stewart, 2014a). Streamflow data was available for a summer month
646 (February 1996) and a winter month (August 1996). These had different BFIs, but the
647 bump fractions (f) obtained by finding the best-fits of the sum (i.e. baseflow plus fast
648 recession) to the streamflow were similar at 0.16, while the slopes (k) were different. The
649 fast recession was assumed to have a quadratic form (i.e. $d = 1.5$, equation 14) when
650 fitting the sum to the streamflow, but the exponential ($d = 1$) and reciprocal ($d = 2$) forms
651 were also tested and found to give the same quadratic result for the quickflow (i.e. slope
652 of $d = 1.5$ on Fig. 5c) (Stewart 2014a). This optimizing process was also applied to the
653 Eckhardt method in Stewart (2014b).

654 655 656 3.3 Application of New Approach to Recession and Flow Duration Curve 657 Analysis

658
659 The recession behavior of the streamflow, BRM baseflow and BRM quickflow from the
660 hourly streamflow record during 1996 are examined on recession plots (i.e. $-dQ/dt$ versus
661 Q) in Figs. 5a-c. ~~Quickflow is determined by subtracting baseflow from streamflow.~~
662 Discharge data less than two hours after rainfall has been excluded. ~~Quickflow is~~
663 ~~determined by subtracting baseflow from streamflow.~~ The three figures have the same
664 two lines on each. The first is a line through the lower part of the streamflow data with
665 slope of 6 (this is called the streamflow line, see Fig. 5a). The second is a line through the
666 quickflow points with slope of about 1.5 (this is called the quickflow line, see Fig. 5c).
667 The streamflow points define a curve approaching the quickflow ~~points~~ line at high flows
668 when baseflow makes up only a small proportion of the streamflow, and diverging from
669 ~~itthem~~ when baseflow becomes more important. The slope of a line through the points
670 becomes much steeper in this lower portion (as shown by the streamflow line). The
671 baseflow points (Fig. 5b) have a similar pattern to the streamflow points because the
672 BRM baseflow shape mimics the streamflow shape at high to medium flows because of
673 the form of equations 7 & 8. At low flows the baseflow plots on the streamflow and
674 hence shows the same low flow pattern as the streamflow.

675
676 Quickflow is determined by subtracting baseflow from streamflow (Equation 1). It rises
677 rapidly from zero or near-zero at the onset of rainfall to a peak two to three hours after
678 rainfall, then falls back to zero in around 24 to 48 hours unless there is further rain. ~~(the~~
679 ~~line shown on the lower part of the streamflow points has a slope of 4).~~ The quickflow
680 points at flows above about 1 mm/d fall on ~~the~~ quickflow line with slope ~~about of~~ 1.5.
681 ~~E~~errors become much larger as quickflow becomes very small (i.e. as baseflow
682 approaches streamflow and quickflow is the small difference between the two). As Rupp
683 and Selker (2006) have noted “time derivatives of Q amplify noise and inaccuracies in
684 discharge data”. Nevertheless the quickflow points show a clear pattern supporting near-
685 quadratic fast recessions. The streamflow points ~~might would~~ be expected to show a
686 recession slope of 1.5 at very low flows as the streamflow becomes dominated by
687 baseflow, but the data ~~may not be~~ ~~are not~~ accurate enough to show this (see Section
688 7.3.4).

689

690 Fig. 5d shows the recession plot for 12/2/96 to 15/2/96 when there were the highest flows
691 in the month, although they were still quite small. The rest of the month had very low
692 flows so is not plotted in Fig. 5d. Again, the lower streamflow points show a slope of
693 about four, and the quickflow points a slope of about 1.5 (i.e. near-quadratic recession
694 behavior).

695
696 Flow duration curves for streamflow, baseflow and quickflow are given in Figs. 4e-5d and
697 5e. The streamflow FDCs has a ~~ve~~ relatively-very shallow slopes indicating groundwater
698 dominance ~~over the higher at lower~~ exceedance percentages. ~~In the winter period (Fig.~~
699 ~~4e, August 1996), S~~streamflow began to diverge~~s~~ noticeably from baseflow ~~at about~~
700 ~~40% below about 17%~~ exceedance (when quickflow ~~had reached-reaches~~ about 10% of
701 streamflow). ~~In the summer period (Fig. 5e, February 1996), streamflow began to diverge~~
702 ~~from baseflow at around 90% exceedance.~~ ~~Thi~~se figures reveals the reasons for
703 breakpoints (i.e. changes of slope) in streamflow FDCs, which have been related to
704 contributions from different sources/reservoirs in catchments (Pfister et al., 2014).

705 **7.2 — Choice of fast recession curve**

706
707 It is not immediately apparent what type of recession curve would be appropriate to
708 describe drainage from the fast water stores. Linear reservoirs ($d=1$) will have the
709 exponential recession equation given by equation (9). Fig. 6a shows the fit between the
710 streamflow recession and the sum using the exponential form. The simulation does not
711 bend enough to match the streamflow and gives a relatively poor fit as shown by the
712 standard deviation plotted in Fig. 4c. The quickflow was calculated using the best fit
713 ($f=0.06$, Table 1) and is shown in a recession plot in Fig. 6b. The line through the
714 quickflow points has power law slope around 1.3 so is quite similar to that expected for a
715 quadratic aquifer (1.5).

716
717 The result of using the quadratic form ($d=1.5$) has already been demonstrated (Figs. 4b-
718 d). This gives a more accurate fit between the sum and the streamflow, and yields a
719 power law slope of around 1.4 which is close to that expected for a quadratic aquifer.

720
721 For $d=2$, substituting in equation 17 gives the reciprocal equation

$$722 \quad Q_t = Q_o(1 + \gamma t)^{-1} \quad (20)$$

723
724 whose parameters are Q_o and γ . Fig. 6c shows the fit between the sum and the streamflow
725 using this equation. In this case, the simulation bends too much and the fit to the
726 streamflow is relatively poor. The quickflow has been calculated using the best fit ($f=0.3$)
727 and is plotted in Fig. 6d. The power law slope of the line through the quickflow points is
728 1.5, again close to that expected for a quadratic aquifer.

729
730 These comparisons show that quickflow drains from approximately quadratic reservoirs
731 and the conclusion is not affected by what type of fast recession is assumed. But the fit is
732 best when quadratic recessions are assumed so that is a good reason to use the quadratic
733 equation for fast recessions.

734 **7.33.4 “Master” recession curve for Glendhu**

735
736
737
738

739 | Fig. ~~7a-6a~~ shows the master recession curve not involving snowmelt or additional rainfall,
740 | derived by Pearce et al. (1984) from the longest recessions observed during a three year
741 | study period in GH1 and GH2 (before afforestation of GH2). The data for the curve come
742 | from four storm events during winter and six during summer. These authors reported that
743 | “This recession curve is typical of high to medium runoff events. The plot shows that
744 | there is a marked change of slope between the early and late parts of the recessions (at a
745 | flow of about 2.6 mm/d). Quickflow, as defined by the method of Hewlett and Hibbert
746 | (1967), comprises 30% of the annual hydrograph and ceases shortly after the change in
747 | recession rate in most hydrographs.”

748 |
749 | The streamflow points from the master curve have been fitted by the sum of a quadratic
750 | fast recession curve and the baseflow (Fig. ~~7b6b~~). The ~~early part of the~~ baseflow was
751 | ~~determined-calculated~~ using the parameters identified by the fitting to the pre-event
752 | hydrograph above the methods outlined above (with $f = 0.40$, and $k = 0.0090876 \text{ mm d}^{-1}$
753 | h^{-1} , Table 2), and These parameters give a BFI of 0.828. During the late part of the
754 | recession, when the baseflow dominates the streamflow, by a slow recession curve was
755 | fitted to the streamflow. The data are given in Table ~~2~~. The sum fits all of the points
756 | well and there is a smooth transition between the early and late parts of the recession. The
757 | inflexion point (Fig. 7b) occurs when the baseflow stops falling and begins to rise. The
758 | inflexion point is therefore an expression of the change from the bump to the rise in the
759 | baseflow and supports the BRM baseflow separation method. The change from early to
760 | late recession when baseflow begins to dominate the recession comes considerably after
761 | the inflexion point (Fig. ~~67b~~).

762 |
763 | It is also instructive to see the recession plot of the data (Fig. ~~7e6c~~). The quickflow (i.e.
764 | fast) and baseflow (i.e. slow) recessions are shown, both with slopes of 1.5. The early
765 | part of the baseflow (i.e. the bump) is shown by the dashed curve. The sum of the fast
766 | recession and the baseflow, which fits the streamflow points, is close to the fast recession
767 | at high flow and matches the slow flow recession at low flows, as expected. The slope is
768 | steeper at the medium flows between these two end states (the slope is about 6). This
769 | ~~reiterates-emphasises~~ the point that the slope of the streamflow points on a recession plot
770 | is meaningless in terms of catchment storages at medium flows. Only the slopes of the
771 | quickflow and the late-recession~~ow~~ streamflow/baseflow (which is the same as the late-
772 | recession baseflow) slopes have meaning in terms of storage types.

773 |
774 | Fig. ~~7d-6d~~ shows the fraction of baseflow in the streamflow versus time according to the
775 | tracer-based BRM. BThe baseflow makes up 4732% of the streamflow at the highest
776 | flow, then rises to 50% in about three hours (0.12 d), 75% at 14 hours (0.6 d) and 95% at
777 | 43 hours (1.8 d). The change from early to late recession is shown at 1.8 d.

778 | 779 | **7.4 — Deuterium separation flow event**

780 |
781 | ~~Bonell et al. (1990) carried out separation of event and pre-event waters using deuterium~~
782 | ~~and chloride concentrations to investigate the runoff mechanisms operating in GH1 and~~
783 | ~~GH2 at Glendhu (Fig. 2a). The results showed that for quickflow volumes greater than 10~~
784 | ~~mm (over the catchment area), the early part of the storm hydrograph could be attributed~~
785 | ~~to two sources, pre-event water from a shallow unconfined groundwater aquifer, and~~
786 | ~~event water from “saturated overland flow” (Bonell et al., 1990). The pre-event water~~
787 | ~~responded more rapidly to rainfall than event water. The late part of the storm hydrograph~~
788 | ~~consisted of pre-event water only.~~

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Fig. 8a shows their results for the large storm on 23 February 1988. Their pre-event water hydrograph is compared with quickflow and baseflow hydrographs determined by the BRM method (using the same baseflow constants as for the 16/8/96 storm, Table 1). However, note that rainfall continued for several hours after the peak of the flow event so the sum could only be matched to the streamflow several hours after the peak. All of the component hydrographs have similar shapes, but the pre-event water peak is higher than the baseflow peak (Fig. 8a). The baseflow could be adjusted to fit the pre-event water peak, but this would require $f = 42\%$, $k = 0 \text{ mm d}^{-1} \text{ h}^{-1}$, and would not be compatible with the previous results (sections 7.1 and 7.2), as it would necessitate much higher baseflow fractions over all events in Glendhu Catchment. Instead, it is believed that “pre-event water” is a more encompassing term than “baseflow”, and in particular includes a component here called “soil water”. Since baseflow is considered to be slow storage water, then the pre-event component logically contains both slow storage and fast storage (i.e. soil) waters.

The soil water hydrograph is shown in Fig. 8b along with the event water and baseflow hydrographs. Soil water was computed by subtracting baseflow from pre-event water. All three components show similar shapes. This “three component hydrograph separation” can be compared with that reported by Joerin et al., 2002 and Iorgulescu et al., 2005 (see Fig. 2b) for the Haute-Mentue Catchment in Switzerland based on the chemicals silica and calcium. Their three components were called direct precipitation (equivalent to event water here), acid soil (soil water), and deep groundwater (baseflow).

7.5 — Tritium measurements as probes of the baseflow

Tritium measurements were reported by Stewart and Fahey (2010) for GH1 stream at Glendhu. Samples were collected on three occasions (5/12/2001, 21/2/2005 and 26/2/2009) in moderate streamflow conditions in summer. The present analysis shows that the samples were all collected when baseflow was dominant (not shown). The results were interpreted as showing the presence of two components in the baseflow. One component was young groundwater (with mean transit time of a few months) from loess horizons and weathered colluvium mantling the slopes and connected to the stream by a shallow groundwater system making up 84% of the baseflow. The other was old groundwater (with mean transit time of 26 years) from aquifers in the crystalline schist bedrock connected to the stream via a wetland. It is expected that the fraction of the young component (b) would tend to be greater at higher baseflow giving the streamwater a younger overall mean transit time (τ_m), according to the equation

$$\tau_m = b\tau_{m1} + (1 - b)\tau_{m2} \tag{21}$$

where τ_{m1}, τ_{m2} are the mean transit times of the baseflow components. Thus τ_m may vary inversely with streamflow. Further tritium measurements are needed to show this at Glendhu, but measurements at Toenepi (which has similar rainfall and is situated near Hamilton in the North Island of New Zealand) have demonstrated such variations (Morgenstern et al., 2010).

84 Discussion

839 | **84.1 A new baseflow separation method: Advantages and limitations**

840
841 A new baseflow separation method (the BRM method) is presented. Advantages of the
842 method are:

843
844 (1) It simulates the shape of the baseflow or pre-event component determined by tracers
845 more accurately than previous baseflow separation methods. This should mean that it
846 gives more accurate baseflow separations and BFIs, because tracer separation of the
847 hydrograph is regarded as the only objective method. The BRM method involves a rapid
848 response to rainfall (the “bump”) and then a gradual increase with time following rainfall
849 (the “rise”). is based on evidence from tracer separations, which show that all
850 components of streamflow including groundwater show rapid responses to rainfall (the
851 “bump”). In the case of groundwater it is attributed to celerity in the unsaturated zone.
852 The method also includes a gradual increase with time following rainfall (the “rise”)
853 which is attributed to slow recharge of the groundwater aquifer. Such recharge must
854 occur, otherwise the aquifer would run dry.

855
856 (2) The parameters (f and k) quantifying the baseflow can be determined by fitting the
857 baseflow to tracer hydrograph separations (as illustrated in Section 3.2) or by fitting the
858 sum of the baseflow and a fast recession to the recession hydrograph under the constraint
859 of a BFI determined by flow considerations (as illustrated in Stewart, 2014a). This is
860 applied to the early (fast recession influenced) part of the recession.

861 (3) The method can be applied using tracer data or streamflow data alone, and

862
863
864 (4) The method is easy to implement mathematically.

865
866 Current limitations or areas where further research may be needed are:

867
868 (1) Where there is no tracer data, sSpecification of f and k depends on an initial estimate
869 of the ~~baseflow fraction~~BFI, although the optimisation procedure means that this is not
870 critical.

871
872 (2) The method produces an avergaed-generalised representation of the baseflow
873 hydrograph when applied to long-term data, so seasonal or ~~inter~~intra catchment
874 variations are likely.

875
876 (3) Separation of the hydrograph into three or more components (as shown by some
877 tracer studies) could be explored. The next section considers three components.

878 879 | **4.2 Calibration of the BRM Algorithm**

880
881 This paper describes and demonstrates two ways of calibrating the BRM method (i.e.
882 determining its parameters f and k). These were also applied to the H & H and Eckhardt
883 methods. These are (1) fitting the methods to tracer separations, and (2) applying an
884 optimizing or other procedure. The tracer-based (first way) is demonstrated in this paper,
885 the optimizing procedure (second way) was demonstrated in the early (unreviewed)
886 version of this paper (Stewart, 2014a) and applied to the Eckhardt method in Stewart
887 (2014b). Additional procedures put forward by Collischon and Fan (2013), based on

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888 characteristic flow duration curve flows (Q_{90}/Q_{50}) and a backwards filter, are also
889 compared with the other methods in this paper, but are not considered in detail.

890
891 Tracer separation of streamflow components depends on the tracer or tracers being used
892 and the experimental methods, etc. Klaus and McDonnell (2013) recently reviewed the
893 use of stable isotopes for hydrograph separation and restated the five underlying
894 assumptions. In the present case, deuterium was used by Bonell et al. (1990) to separate
895 the streamflow into event and pre-event components (Fig. 2a). The pre-event component
896 includes all of the water present in the catchment before the recorded rainfall event. The
897 pre-event component therefore includes soil water mobilized during the event as well as
898 groundwater. Three-component tracer separations have often been able to identify soil
899 water contributions along with direct precipitation and groundwater contributions in
900 streamflow (e.g. Iorgulescu et al. (2005) identified direct precipitation, acid soil and
901 groundwater components, Fig. 2b).

902
903 The second way of calibrating the BRM assumes a value for the BFI and then uses this as
904 a constraint to enable the sum (baseflow plus a fast recession) to be fitted to a streamflow
905 recession (winter and summer events were examined in Stewart, 2014a). It is assumed
906 that when the best-fit occurs (i.e. the baseflow has the optimum shape to fit to the
907 streamflow) that the baseflow shape will be most similar to the “true” groundwater shape.
908 The winter event BFI assumed is approximately in agreement with the BFIs given by the
909 H & H and prescribed Eckhardt methods when applied to the 1996 streamflow record
910 (the BFIs given by the H & H, prescribed Eckhardt and winter BRM methods are 0.679,
911 0.617 and 0.622 respectively). If this represents groundwater alone, then the difference
912 with the pre-event water (or the BRM baseflow matched to it) is the soil water component
913 as explained in Stewart (2014a). The groundwater and soil water components derived are
914 shown in Fig. 7 for the 23/2/88 event and two-week period in 1996. The soil water
915 component responds to rainfall more than the groundwater during events, then falls more
916 rapidly after them. In the absence of tracers, it is not generally possible to identify the
917 true groundwater component, but some BFI results appear to be “hydrologically more
918 plausible” than others (quoted phrase from Eckhardt, 2008). The BFI assumed for the
919 groundwater here is considered to be hydrologically plausible.

920 921 **84.23 Why is it necessary to apply baseflow separation to understand the** 922 **hydrograph?**

923
924 The answer is straightforward:

925
926 *Because streamflow is a mixture of quickflow and baseflow components, which have very*
927 *different characteristics and generation mechanisms and therefore give very misleading*
928 *results when analysed as a mixture.*

929
930 Previous authors (e.g. Hall, 1968, Brutsaert and Nieber, 1977, Tallaksen, 1995) addressed
931 “baseflow recession analysis” or “low flow recession analysis” in their titles, but
932 nevertheless included both early and late parts of the recession hydrograph in their
933 analyses. Kirchner (2009, P. 27) described his approach with the statement “the present
934 approach makes no distinction between baseflow and quickflow. Instead it treats
935 catchment drainage from baseflow to peak stormflow and back again, as a single
936 continuum of hydrological behavior. This eliminates the need to separate the hydrograph
937 into different components, and makes the analysis simple, general and portable”. This

938 | work contends that catchment runoff is *not* a single continuum, and the varying
939 | contributions of two or more very different components need to be kept in mind when the
940 | power-law slopes of the points on recession plots are considered, and should be
941 | separated into its two components for analysis. Lack of separation has probably led to
942 | misinterpretation of the ~~results of recession analysis in many previous studies, and may~~
943 | ~~have distorted scientific understanding of catchment functioning and hindered rainfall-~~
944 | ~~runoff modellingslopes in terms of catchment storage reservoir types.~~

945 |
946 | Kirchner's (2009) approach may be appropriate for his main purpose of "doing
947 | hydrology backwards" (i.e. inferring rainfall from catchment runoff), but the current
948 | author suggests that it gives misleading information about catchment storage reservoirs
949 | (as illustrated by the different slopes of streamflow, quickflow and probably baseflow
950 | (Fig. 6c) in Figs. 4d, 5d and 7e). Likewise Lamb and Beven's (1997) approach ~~was may~~
951 | ~~have been~~ fit-for-purpose for assessing the "catchment saturated zone store", but by
952 | combining parts of the early recession with the late recession may give misleading
953 | information concerning catchment reservoir type (and therefore catchment response).
954 | Others have used recession analysis on early and late streamflow recessions for
955 | diagnostic tests of model structure at different scales (e.g. Clark et al., 2009; McMillan et
956 | al., 2011) and it is suggested that these interpretations may have produced misleading
957 | information on storage reservoirs.

958 |
959 | Evidence of the very different characteristics and generation mechanisms of quickflow
960 | and baseflow are provided by:

961 |
962 | (1) The different timings of their releases to the stream (quick and slow) as shown by the
963 | early and late parts of the recession curve. (Note: The rapid response of slow storage
964 | water to rainfall (the "bump" in the BRM baseflow hydrograph) does not conflict with
965 | this because the bump is due to celerity not to fast storage.)

966 |
967 | (2) Many tracer studies (chemical and stable isotope) have shown differences between
968 | quickflow and baseflow, and substantiated their different timings of storage.

969 |
970 | (3) Transit times of streamwaters show great differences between quickflow and
971 | baseflow. While quickflow is young (as shown by the variations of conservative tracers
972 | and radioactive decay of tritium), baseflow can be much older with substantial fractions
973 | of water having mean transit times beyond the reach of conservative tracer variations (4
974 | years) and averaging 10 years as shown by tritium measurements (Stewart et al., 2010).

975 |
976 | These considerations show that quickflow and baseflow are very different and in
977 | particular have very different hydrographs, so their combined hydrograph (streamflow)
978 | does not reflect catchment characteristics (except at low flows when there is no
979 | quickflow).

980 | 981 | **8.4.34 A new approach to recession analysis**

982 |
983 | It appears that streamflow recession analysis is a technique in disarray (Stoelzle et al.,
984 | 2013). Different methods give different results and there is "a continued lack of
985 | consensus on how to interpret the cloud of data points" (Brutsaert, 2005). ~~little consensus~~
986 | ~~on how best to apply recession analysis to streams. And in fact-This work asserts that the~~
987 | recession studies have been giving misleading results in regard to catchment functioning

988 | because ~~streamflow is a varying mixture of components~~ ~~baseflow separation has not been~~
989 | ~~applied before analysis~~ (unless the studies were ~~exclusively applied to~~ late recessions
990 | ~~only or stringent conditions have been applied~~). The new approach of applying recession
991 | analysis to ~~the~~ separated quickflow ~~and baseflow~~ components as well as streamflow may
992 | help to resolve this confusion, ~~by demonstrating the underlying structure due to the~~
993 | ~~different components in recession plots (as illustrated in Fig. 6c). Plotting baseflow from~~
994 | ~~the late part of the recession may also be helpful~~. In particular, ~~it is believed that~~
995 | recession analysis on quickflow, and ~~late recession~~ baseflow ~~as well as streamflow~~ will
996 | give information that actually pertains to those components, giving a clearer idea than
997 | ever before on the nature of the water storages in the catchment, and contributing to
998 | broader goals such as catchment characterisation, classification and regionalisation.
999 |
1000 | Observations from the limited data set in this paper and from some other catchments to be
1001 | reported elsewhere are:
1002 |
1003 | (1) Quickflow appears to be quadratic in character (Section 7.2). This may result from a
1004 | variety of processes such as ~~surface detention~~, passage through saturated zones within the
1005 | soil (perched zones) or within riparian zones near the stream. Whether this is true of
1006 | catchments in a wider variety of climatic regimes remains to be seen.
1007 |
1008 | (2) The baseflow reservoirs at Glendhu appear to be quadratic in character, as has been
1009 | previously observed at ~~some many~~ other catchments by other authors (~~Brutsaert and~~
1010 | ~~Nieber, 1977; Wittenberg, 1999; Dewandel, 2005; Stoelzle et al., 2013~~). Hillslope and
1011 | valley groundwater aquifers feed the water slowly to the stream.
1012 |
1013 | (3) The many cases of high power-law slopes ($d > 1.5$) in recession plots reported in the
1014 | literature appear to be artifacts due to plotting early recession streamflow (~~particularly in~~
1015 | ~~the mid-flow range~~) instead of separated components. This ~~may have~~ ~~has~~ also contributed
1016 | to the wide scatter of points generally observed in recession plots (referred to as “high
1017 | time variability in the recession curve” by Tallaksen, 1995).
1018 |
1019 | (4) The most problematic parts of streamflow recession curves are those at intermediate
1020 | flows when quickflow and baseflow are approximately equal. This is where steep power-
1021 | law slopes are found. Data at high flows ~~are often removed because they are shortly after~~
1022 | ~~rainfall or~~ are dominated by quickflow, and baseflow contributes ~~almost~~ all of the flow at
1023 | low flows, so these parts ~~are less confusing~~ ~~do not have high power-law slopes~~.
1024 |
1025 | (5) Some other causes of scatter in recession plots are: insufficient accuracy of
1026 | measurements at low flows (Rupp and Selker, 2002), effects of rainfall during recession
1027 | periods (most data selection methods try to exclude these), different rates of
1028 | evapotranspiration in different seasons, different effects of rainfall falling in different
1029 | parts of the catchment, and drainage from different aquifers in different dryness
1030 | conditions. These effects will be able to be examined more carefully when the
1031 | confounding effects of baseflow are removed from intermediate flows.
1032 |
1033 | (6) Splitting the recession curve into early and late portions based on baseflow separation
1034 | turns out to be a very useful thing to do. The early part has quickflow plus the
1035 | confounding effects of baseflow, while the late part has only baseflow. The late part starts
1036 | when baseflow becomes predominant ($>95\%$, Fig. ~~7d6d~~), ~~this can be calculated by~~
1037 | ~~identifying the point where $B_t/Q_t = 0.95$ during a recession. The separation can be made~~

1038 | It appears that at Glendhu, tThe inflexion point, ~~when visible~~, records a change of slope
1039 | *in the baseflow* and lies within the early part of the recession.

1040

1041 | (7) The close links between surface water hydrology and groundwater hydrology are
1042 | revealed as being even closer by this work. Baseflow is ~~almost entirely~~mostly
1043 | groundwater, and quickflow is also starting to look distinctly groundwater-influenced (or
1044 | saturation-influenced). The success of ~~a~~ groundwater models (Gusyev et al., 2013, 2014)
1045 | in simulating tritium concentrations and baseflows in streams while being calibrated to
1046 | groundwater levels in wells and groundwater levels in wells shows the intimate
1047 | connection between the two. The feeling that catchment drainage can be treated as a
1048 | single continuum of hydrological behavior has probably prevented recognition of the
1049 | disparate natures of the quick and slow drainages. This may be a symptom of the fact that
1050 | surface water hydrology and groundwater hydrology can be regarded as different
1051 | disciplines (Barthel, 2014). Others however are crossing the divide by examining
1052 | geological controls on BFIs (Bloomfield et al., 2009) and relating baseflow simulation to
1053 | aquifer model structure (Stoelzle et al., 2014).

1054

1055 | **8.4 — Transit time analysis and chemical discharge relationships**

1056

1057 | ~~In line with the thesis of this work, it is contended that transit time analysis should also~~
1058 | ~~take account of the flow components being analysed. Transit time analysis applied to~~
1059 | ~~undifferentiated streamflow has similar problems to recession analysis being applied to~~
1060 | ~~streamflow. At first sight, it appears that transit time analysis looks through the mix of~~
1061 | ~~waters that is streamflow by assigning a distribution of transit times to the water in the~~
1062 | ~~stream. However, Stewart et al. (2010, 2012) have pointed out that the most used~~
1063 | ~~technique (smoothing of stable isotope or chemical variations) does not “see” water older~~
1064 | ~~than about four years. The unseen older water (“hidden streamflow”) is a problem~~
1065 | ~~because incorrect conclusions are then drawn about the flowpaths through the catchment,~~
1066 | ~~in particular the amount of deep (bedrock) paths are underestimated. When the stable~~
1067 | ~~isotope/chemical variation method is used, an effort should be made to quantify the~~
1068 | ~~amount of old baseflow water (by modelling or using tritium or gas tracers (³H,³He,~~
1069 | ~~CFCs, SF₆)). When tritium alone is used, only baseflow should be sampled as tritium~~
1070 | ~~measurements reveal old water but are not effective for dating young water.~~

1071

1072 | ~~As with recession and transit time analysis, results of regular measurements of chemicals~~
1073 | ~~and environmental isotopes in streams should also be considered in relation to the flow~~
1074 | ~~components. Correlations of chemicals with discharge (e.g. Godsey et al., 2009) based on~~
1075 | ~~regularly spaced sampling intervals may be most strongly influenced by baseflow,~~
1076 | ~~because baseflow conditions apply for a much greater proportion of the time than~~
1077 | ~~quickflow conditions and even when quickflow is present there is also baseflow. Only~~
1078 | ~~rarely is quickflow dominant in the stream. Of course, many other chemical and isotopic~~
1079 | ~~studies in streams have taken explicit notice of different stream components (e.g. by~~
1080 | ~~applying mixing models such as EMMA—end member mixing analysis, e.g.~~
1081 | ~~Christophersen and Hooper, 1992).~~

1082

1083 | **8.5 — Nature of quickflow and baseflow stores at Glendhu**

1084

1085 | ~~Although Glendhu data has been used, this study has not primarily been about Glendhu.~~
1086 | ~~Nevertheless some observations can be made about the water stores and functioning of~~
1087 | ~~Glendhu Catchment (GH1).~~

1088
1089 Quickflow is composed of water stored in wetlands near the stream fed by regolith on the
1090 surrounding hillslopes (soil water) plus event water. Bowden et al. (2001) showed that
1091 lateral flow in the thin Organic and A Horizon layers in the lower hillslopes was
1092 substantial and probably often emerged as flow over the wetland surface in large events
1093 (identified as the soil water component in Fig. 8). To this was added direct rainfall (event
1094 water). The quickflow reservoirs have a quadratic signature reflecting near-stream
1095 groundwater involvement (Figs. 4d, 5d).
1096

1097 Most of the baseflow (84%) is slow drainage from deep loess horizons (layers B and C)
1098 and weathered bedrock colluvium mantling the slopes which connect through a shallow
1099 groundwater system to the stream. This has relatively young MTTs of a few months to
1100 years. A small proportion (16%) is much older water (MTT=26 yrs) that drains through
1101 the schist bedrock and emerges in or around the wetland and stream (Stewart and Fahey,
1102 2010). Both have the quadratic signature (Fig. 7e).
1103

1104 Four flow components have been identified at Glendhu based on the previous tracer
1105 studies (Bonell et al., 1990; Stewart and Fahey, 2010). Nevertheless, my approach here
1106 has been to separate the streamflow into two components, because 1) the older baseflow
1107 component is small in volume compared to the younger baseflow component so the
1108 younger component dominates baseflow, and 2) the quickflow components do not appear
1109 to differ greatly in their transit time responses. However, if three components with
1110 different transit times can be justified based on tracer studies (e.g. Iorgulescu et al., 2005)
1111 then recession analysis can be performed just as easily on three components as on two.
1112

1113 1114 **95 Conclusions**

1115
1116 This paper has two main messages. The first is the introduction of a new baseflow
1117 separation method (the bump and rise method or BRM). The advantage of the BRM is
1118 that it enables simulation of the shape of the baseflow or pre-event component
1119 determined by tracers more accurately than previous methods. Tracer separations are
1120 regarded as the only objective way of determining baseflow separations and BFIs, so the
1121 BRM method should give more accurate baseflow separations and BFIs. The BRM
1122 parameters are determined by either fitting them to tracer separations (which are usually
1123 determined on a small number of events) as illustrated in this paper, or by estimating the
1124 BFI and using it as a constraint which enables determination of the BRM parameters by
1125 an optimization procedure on an event or events as illustrated in an earlier version of this
1126 paper (Stewart, 2014a). The BRM algorithm can then be simply applied to the entire
1127 streamflow record.
1128

1129 Current limitations or areas where further research could be needed are: (1) specification
1130 of f and k depends on tracer information or an initial estimate of the BFI, although the
1131 optimisation procedure means that this is not critical, (2) the method applied to long-term
1132 data produces an averaged representation of the baseflow hydrograph, so seasonal or intra
1133 catchment variations are likely, and (3) separation of the hydrograph into three
1134 components (as shown by some tracer studies) could be explored (and has been for the
1135 Glendhu Catchment).
1136

1137 The second main message is that recession analysis of streamflow alone on recession
1138 plots can give very misleading results regarding the nature of catchment storages because
1139 streamflow is a varying mixture of components. Instead, plotting separated quickflow
1140 gives insight into the early recession flow sources (high to mid flows), and separated
1141 baseflow (which is equal to streamflow) gives insight into the late recession flow sources
1142 (low flows). The very different behaviours of quickflow and baseflow are evident from
1143 their different timings of release from storage (shown by the early and late portions of the
1144 recession curve, by tracer studies, and by their very different transit times). Clearer ideas
1145 on the nature of the storages in the catchment can contribute to broader goals such as
1146 catchment characterisation, classification and regionalization, as well as modelling. Flow
1147 duration curves can also be determined for the separated stream components, and these
1148 help to illuminate the makeup of the streamflow at different exceedance percentages.
1149

1150 Conclusions drawn from applying recession analysis ~~curves~~ to separated components in
1151 this paper are: (1) ~~M~~The many cases of high power-law slopes ($d > 1.5$) in recession plots
1152 reported in the literature are ~~revealed as likely to be~~ artifacts due to plotting early
1153 recession streamflow instead of quickflow ~~or baseflow~~. The most problematic parts of
1154 streamflow recession curves are those at intermediate flows when quickflow and
1155 baseflow are approximately equal. This is where steep power-law slopes are found. ~~This~~
1156 ~~has also contributed to the wide scatter of points generally observed in recession plots.~~ (2)
1157 Both quickflow and baseflow reservoirs appear to be quadratic in character, suggesting
1158 that much streamwater passes through saturated zones (perched zones in the soil, riparian
1159 zones, groundwater aquifers) at some stage. (3) Other causes of scatter in recession plots
1160 will be able to be examined more carefully when the confounding effects of baseflow are
1161 removed from intermediate flows. (4) Splitting the recession curve into early and late
1162 portions is very informative, because of their different makeups. The late part starts when
1163 baseflow becomes predominant.
1164

1165 Some suggestions for the way forward in light of the findings of this paper are: (1)
1166 Recession analyses (and ~~transit time~~ analyses and chemical/discharge relationships)
1167 should be qualified with the component being analysed. This will make the significance
1168 of the results clearer. (2) Rainfall-runoff models should make more use of (non-linear)
1169 quadratic storage systems for simulating streamflow. (3) Much more data on many other
1170 catchment areas needs to be examined in this way to develop and refine these concepts.
1171
1172

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1174

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1179

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1413

1414 Table 1. Tracer calibration of the baseflow separation methods by comparison with pre-
 1415 event water determined using deuterium for a streamflow event on 23 February 1988 at
 1416 Glendhu GH1 Catchment (Bonell et al., 1990). The listed parameters were determined as
 1417 described in the text. The standard deviations (sd) show the goodness of fit between the
 1418 various baseflows and the pre-event water.

Separation Method	BFI ^a	f ^a	k ^a mmd ⁻¹ h ⁻¹	BFI _{max} ^a	a ^a h ⁻¹	sd mmd ⁻¹
Pre-event water	0.529	--	--	--	--	--
H & H	0.255	--	0.0472	--	--	6.41
Eckhardt (prescribed)	0.272	--	--	0.8	0.9982	6.34
Eckhardt (optimised)	0.524	--	--	0.886	0.991	5.40
BRM	0.526	0.4	0.009	--	--	1.98

1419 ^aBFI is baseflow index, f bump fraction, k slope parameter, BFI_{max} maximum value of the
 1420 baseflow index that can be modelled by the Eckhardt algorithm, and a recession constant.

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1425 Table 2. BFIs and parameters of the baseflow separation methods applied to the hourly
 1426 streamflow record in 1996, and to the master recession curve. The Q₉₀/Q₅₀ ratio is from
 1427 the flow duration curve for 1996, and the FDC BFI_{max} and FDC BFI are from equations
 1428 20 and 21 in the text.

Separation Method	BFI ^a	f ^a	k ^a mmd ⁻¹ h ⁻¹	BFI _{max} ^a	a ^a h ⁻¹
Q ₉₀ /Q ₅₀	0.728	--	--	--	--
FDC BFI _{max} (eqn 20)	--	--	--	0.824	--
FDC BFI (eqn 21)	0.779	--	--	--	--
H & H	0.679	--	0.0472	--	--
Eckhardt (prescribed)	0.617	--	--	0.8	0.9982
Eckhardt (back filter)	0.521	--	--	0.593	0.9982
Eckhardt (optimised)	0.754	--	--	0.886	0.991
Eckhardt (back filter)	0.580	--	--	0.668	0.991
BRM	0.780	0.4	0.009	--	--
Master recession curve	0.828	0.4	0.009	--	--

1429 ^aBFI is baseflow index, f bump fraction, k slope parameter, BFI_{max} maximum value of the
 1430 baseflow index that can be modelled by the Eckhardt algorithm, and a recession constant.

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1432 **Figure Captions**

1433

1434 Figure 1 Quickflow and baseflow components of streamflow, and the early and late parts
1435 of the recession curve. Quickflow is represented by the area between the streamflow and
1436 baseflow curves, and baseflow is the area under the baseflow curve.

1437

1438 Figure 2 Tracer hydrograph separation results. (a) Event/pre-event water separation from
1439 catchment GH1, Glendhu, New Zealand using deuterium (replotted from Bonell et al.,
1440 1990). (b) Three component separation from Haute-Mentue research catchment,
1441 Switzerland using silica and calcium (replotted from Iorgulescu et al., 2005). R/F is
1442 rainfall, SF streamflow and the flow components are DP direct precipitation, AS acid soil
1443 and GW groundwater.

1444

1445 Figure 3 Map of Glendhu catchments (GH1 and GH2). The inset shows their location in
1446 the South Island of New Zealand.

1447

1448 Figure 4 (a, c, e) Application of the three baseflow separation methods to fit the pre-event
1449 component determined by deuterium measurements at Glendhu GH1 Catchment for an
1450 event on 23/2/88. The parameters determined by fitting are given in Table 2. (b, d, f)
1451 Baseflows resulting from the best-fit parameters for a two-week period in 1996. Note the
1452 logarithmic scales.

1453

1454 Figure 5. (a-c) Recession plots showing streamflow, baseflow and quickflow from the
1455 1996 GH1 hourly flow record. The line through the mid-flow streamflow and baseflow
1456 points has slope of 6.0, and that through the higher flow quickflow points (flows greater
1457 than 1 mm/d) has slope of 1.5. (d) Flow duration curve showing streamflow, baseflow
1458 and quickflow.

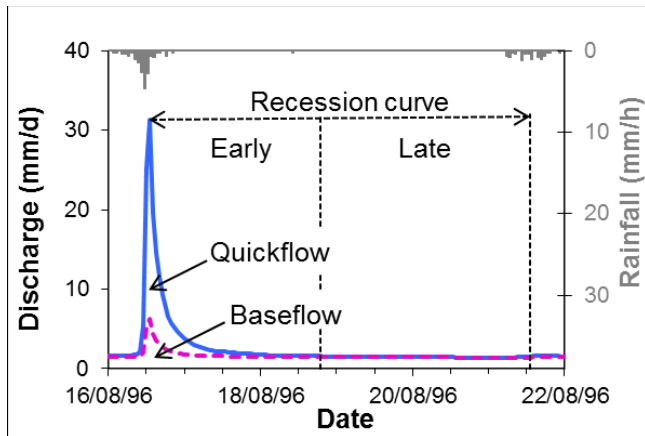
1459

1460 Figure 6. (a) "Master" recession curve for Glendhu GH1 catchment (redrawn from Pearce
1461 et al., 1984). (b) Master recession data matched by the sum of the baseflow and a fast
1462 recession curve. The arrow shows the inflexion point. Early and late parts of the master
1463 recession curve are shown. (c) Recession plot of master recession curve (sum), baseflow
1464 and fast recession. The sum is close to the fast recession curve at high flows and close to
1465 the baseflow (slow recession curve) at low flows. The dashed part of the curve shows the
1466 "bump" in the baseflow. (d) Variation of the baseflow contribution to streamflow with
1467 time during the master recession curve.

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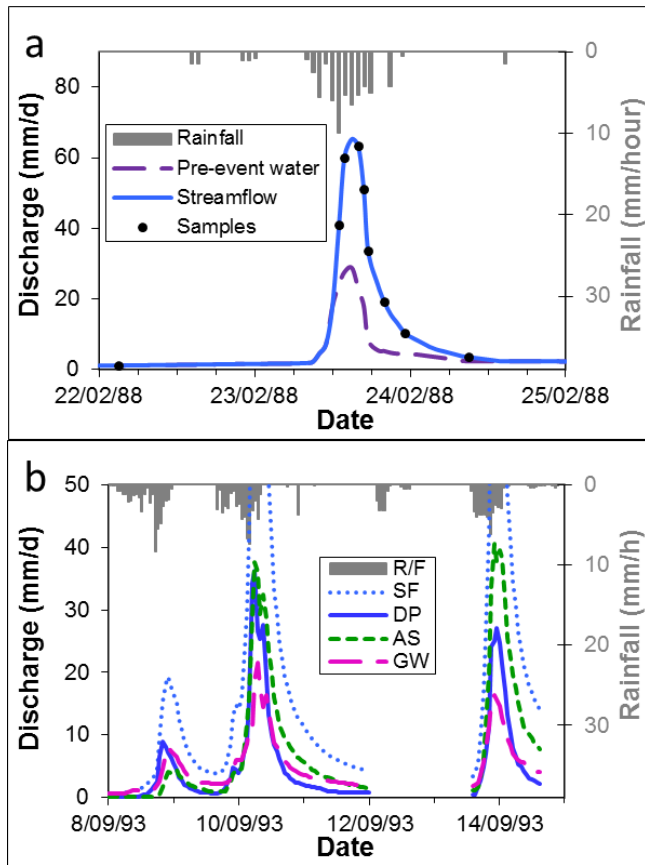
1469 Figure 7 (a, b) Plots showing groundwater and soil water components of the baseflow
1470 matched to the pre-event hydrograph. Streamflow is pre-event water plus event water.

1471



1472

1473 Figure 1 Quickflow and baseflow components of streamflow, and the early and late parts
 1474 of the recession curve. Quickflow is represented by the area between the streamflow and
 1475 baseflow curves, and baseflow is the area under the baseflow curve.
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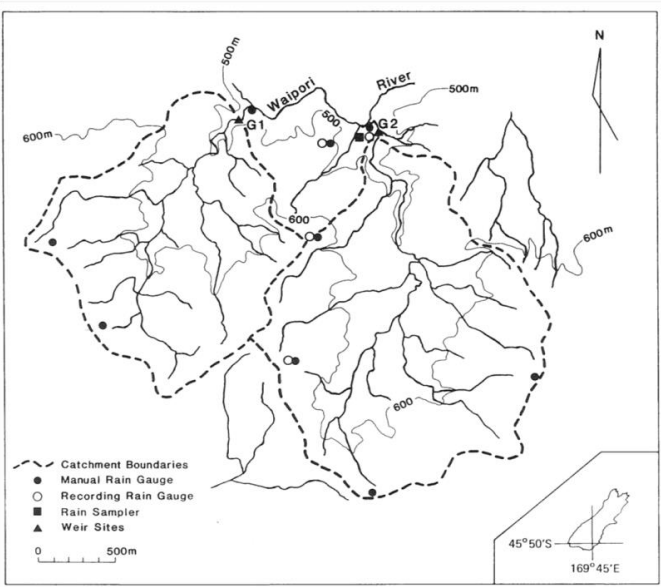
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1480 Figure 2 Tracer hydrograph separation results. (a) Event/pre-event water separation from
 1481 catchment GH1, Glendhu, New Zealand using deuterium (replotted from Bonell et al.,
 1482 1990). (b) Three component separation from Haute-Mentue research catchment,
 1483 Switzerland, using silica and calcium (replotted from Iorgulescu et al., 2005). R/F is
 1484 rainfall, SF streamflow and the flow components are DP direct precipitation, AS acid soil
 1485 and GW groundwater

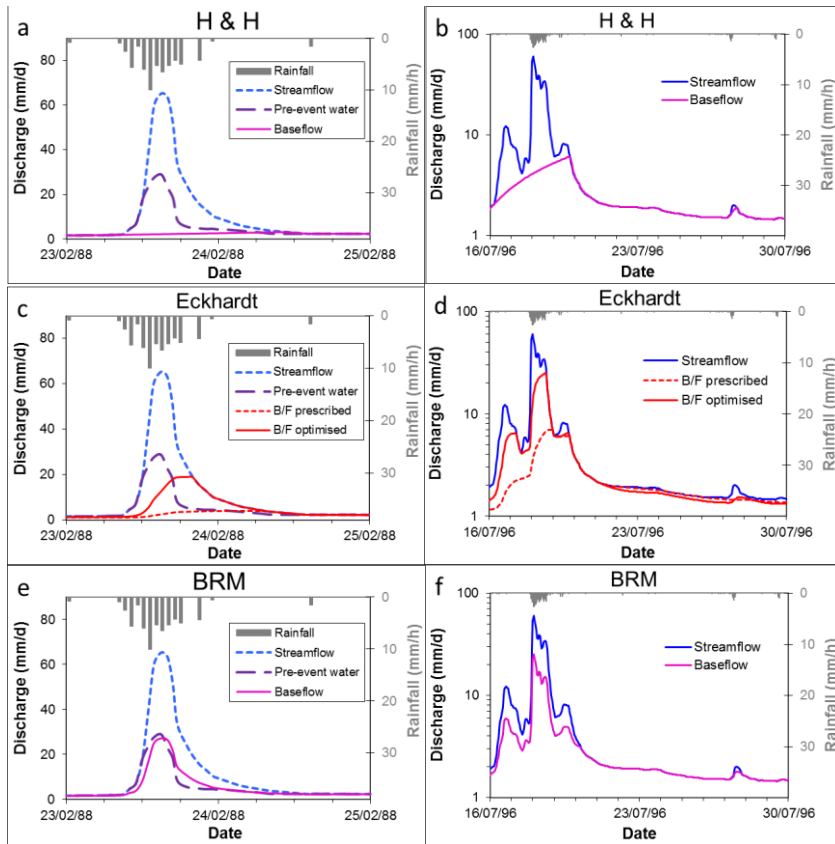
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1488 Figure 3 Map of Glendhu catchments (GH1 and GH2). The inset shows their location in
 1489 the South Island of New Zealand.
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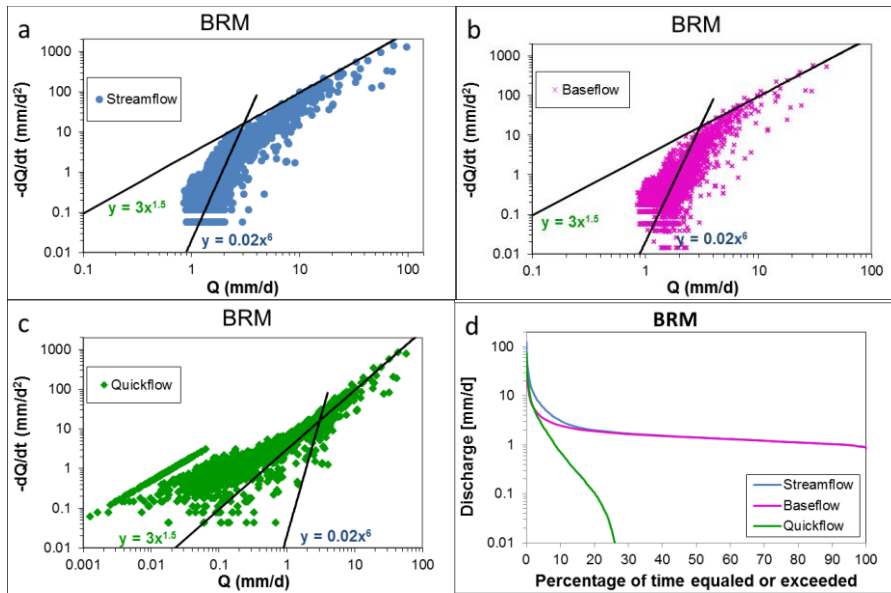
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Figure 4 (a, c, e) Fits of the three baseflow separation methods to pre-event water determined by deuterium measurements at Glendhu GH1 Catchment for an event on 23/2/88. The parameters determined by fitting are given in Table 12. (b, d, f) Baseflows resulting from the best-fit parameters for a two-week period in 1996. Note the logarithmic vertical scales.

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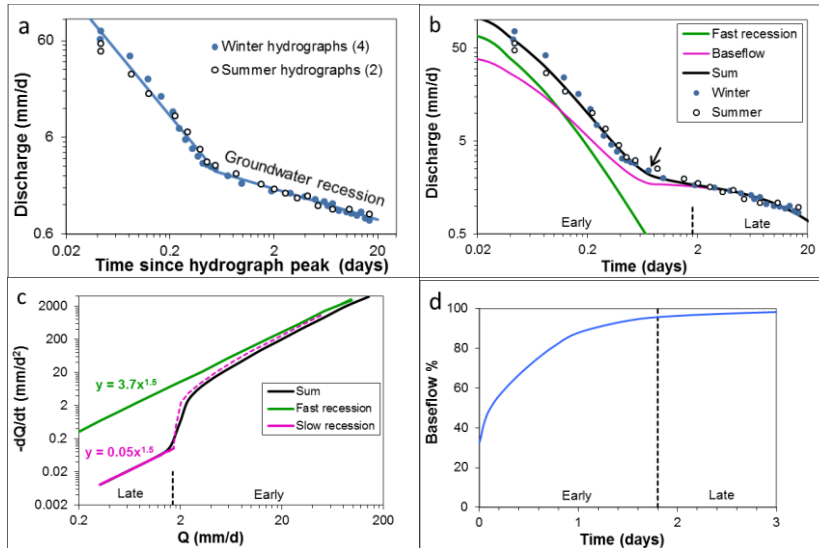
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Figure 5 (a-c) Recession plots showing streamflow, baseflow and quickflow from the 1996 GH1 flow record using the BRM method. The line through the mid-flow streamflow and baseflow points has slope of 6.0, and that through the higher flow quickflow points (flows greater than 1 mm/d) has slope of 1.5. Note the wider range of the horizontal axis in (c). (d) Flow duration curve showing streamflow, baseflow and quickflow.



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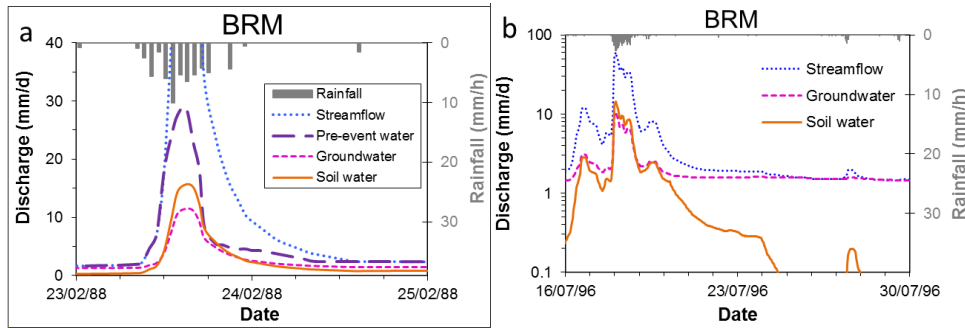
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Figure 6 (a) "Master" recession curve for Glendhu GH1 catchment (redrawn from Pearce et al., 1984). (b) Master recession data matched by the sum of the BRM baseflow and fast recession curve. The arrow shows the inflexion point. Early and late parts of the master recession curve are shown. (c) Recession plot of master recession curve (sum), baseflow and fast recession. The sum is close to the fast recession curve at high flows and close to the baseflow (slow recession curve) at low flows. The dashed curve shows the "bump" in the baseflow. (d) Variation of the baseflow contribution to streamflow with time during the master recession curve.

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Figure 7 (a, b) Plots showing groundwater and soil water components of the baseflow matched to the pre-event hydrograph. Streamflow is pre-event water plus event water.

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