This document contains two parts: part I lists all three referees' comments that go beyond technical corrections and the authors' responses to them. Part II (beginning at page 12) contains a marked-up version that compares the current revision to the initial submission.

The most substantial difference to the initial submission is the removal of the normalised TF variants (this affects methods, results, including figures 4–9 as well as Tab. 4 and 5, and discussion) and the first appendix. The introduction was extended by references to more recent time-variant modelling approaches.

# Part I

# Responses to the referees

# 1 Comments and responses: Referee #1

We appreciate the helpful comments of the Anonymous Referee #1. The technical corrections will be implemented and the comments are answered below.

Comment #1 Steady state lumped parameter models were used to determine MTTs, although it is expected that time-variable parameters should apply especially during short-term high flow episodes. Such an approach (with their two-weekly sampling regimen) means essentially that baseflow transit times were being determined in this study (especially if sampling during high-flow periods was avoided). This may be a satisfactory approach, although it is by no means clear that baseflow MTTs will be constant in time (e.g. that high baseflow MTT will equal low baseflow MTT). If baseflow TTDs are time-variable, the long transit time tails will be even more "underdetermined" than indicated in the paper, and the need for a longer-term tracer greater. I think that studies such as this should point out that they are talking about baseflow transit times, not streamflow transit times.

**Reply** We clarified that we were considering baseflow (Sec. 2.3 lines 12–14 of the revised paper).

Comment #2 The paper uses two variants of the three TFs, normalised and unnormalised versions. I found these difficult to understand, although a description is given in Appendix A. In particular, if it is logical for the TFs to be normalised, then why give both versions? Is there doubt about which version is correct?

**Reply** Our initial reasons to consider the normalised TF versions were not sound enough to keep them in the paper and apart from a short mention in lines 24-28 of Sec. 5.4, we removed them altogether from the revised version of the paper .

Comment #3 Table 4 caption contains the sentence "Significant correlations (p-value < 0.05) are printed in boldface, correlations with p-values = 0.2 are printed in italics." I cannot see how this relates to the boldface and italic numbers in the table.

**Reply** We refrained from using italic numbers to indicate p values  $\geq 0.2$  and rewrote the caption of Tab. 4 and hope that those measures helped to increase clarity.

# 2 comments and responses: Referee #2

We appreciate the numerous and helpful comments of Anonymous Referee #2.

#### General comment

[...]. However, the methods applied are not always convincing, in particular the normalisation of the response functions. This practice, which is presented as necessary for a numerical implementation of the convolution model, seems to me confusing and unnecessary. Moreover, the relevance of the work is never clearly stated in the manuscript. A more consistent use of the terms "transfer function", "response function" and "transit time distribution", to which I would give very different meanings, would also help the reading and the understanding. Accordingly, I think the paper should be published under major revisions.

#### Reply

After a similar remark of Anonymous Referee #1, we came to the conclusion that the reasons we had to include the results of normalised transfer functions (see our response to Anonymous Referee #1) were insufficient to justify such a detailed consideration of those results. Consequently, we removed the normalised variants from the paper.

### **Detailed comments**

- Title: I dont think the title conveys the essential information about the paper. I would suggest to change it, focusing more on the core of the work, i.e. the determination of the catchment response functions from isotope data and the correlation between topographic indices and mean response times.

Reply

We changed the title.

- Page 6754, line 9: Here the term "transfer function" is mentioned for the first time, apparently with the same meaning of transit time distribution. I would suggest to be more cautious and consistent in the use of the terminology throughout the paper: transfer function (or, as it is sometimes called in the manuscript, response function) and transit time distribution are conceptually different. The transfer function, in fact, describes the causality between input pulses and output signals at the outlet, without requiring that the water the

flows out is exactly the same water that was injected. The transit time distribution, instead, implies this link. Evidently, the work does not investigate transit time distributions, for which the stationarity assumption cannot hold, but rather transfer functions. I would therefore avoid using the term "transit time distribution" and replace it with "hydraulic response function" and "tracer response function", respectively for discharge and isotopic composition. Accordingly also the MTT should become MRT (mean response time).

Reply

Some assumptions have to be made in order to treat an optimised transfer function as equivalent to the TTD of a catchment. However, these are exactly the same assumptions that have to be made in order to work with lumped convolution models. McGuire and McDonnell (2006), Hrachowitz et al. (2010), Roa-García and Weiler (2010) and Heidbüchel et al. (2012) all used the term TTD in the same sense as we do in this paper. We do mention the required assumptions in the introductory section of the paper. Consequently we adhere to our use of terminology, as we see it in agreement with the established framework.

- Page 6754, line 10: It was not clear to me why you decided to introduce the normalised response function. I do not see the necessity, neither from a mathematical nor from a numerical point of view. Mathematically, the normalisation introduces errors, because you are constraining the mass of the distribution in a finite range, which is given by the length of your record and is thus arbitrary. In fact, there is no physical reason to assign an upper limit to the random variable "response time". From a numerical perspective, the convolution is effectively computed by calculating the mean value of the distribution in the different time steps, without normalisation. This procedure is sufficient to conserve the mass, as it is correctly explained at the end of Appendix A. For these reasons, I would suggest to completely delete any references to normalisation and normalised distributions throughout the manuscript.

Reply

We removed the normalised TF versions from the paper.

- Page 6754, line 20: "which were also correlated to the mean annual precipitation sum". It is not clear if the authors observed a correlation among the geomor-phological and meteorological characteristics of the study catchments. Please clarify.

Reply

We rewrote the whole abstract and the according passage does not longer exist.

- Page 6755, introduction: In the introduction there are no references to a whole line of research that sought a more in-depth theoretical understanding of the non stationarity of the hydrologic response, the water age mixing and the old water paradox. I would suggest considering the relevant work of Botter et al. (2010) "Transport in the hydrologic response: Travel time distributions, soil moisture dynamics, and the old water paradox", Botter et al. (2011) "Catchment residence and travel time distributions: The master equation", Botter et al. 2005 "On the Lagrangian formulations of reactive solute transport in the hy-

drologic response", Rinaldo et al. (2011) "Catchment travel time distributions and water flow in soils".

Reply

We included relevant works of Botter et al. (2010), Botter et al. (2011) and Rinaldo et al. (2011) into the introductory section of the paper.

- Page 6756, line 22: "...assumed time invariant transfer functions". Here, I would briefly discuss the implications of this assumption. The resulting mean values of the response functions (mean response times) are not mean transit times (because the stationarity assumption cannot hold). Though, they can still give useful information on the catchment behaviour. A sentence discussing the relevance of the work would also be appreciated here.

Reply

We mentioned the required assumptions and justified our decision to work with time-invariant lumped convolution models in the introductory section of the paper.

- Page 6759, line 16: What type of initiation threshold did the authors use? Drainage area threshold or slope-area threshold?

Reply

We used a drainage area threshold and will added this information to the revised version of the paper.

- Page 6760, line 3: The way DD is defined in the manuscript does not correspond to the traditional drainage density, which is L/A [m 1] (length of the streams over catchment area). It could be calculated, using a DTM, as the inverse of the mean distance from the steam 1/< D>, where D is calculated for each non- stream pixel along the steepest descent direction. Why did the authors choose this definition? Different results would possibly be obtained if DD was computed as 1/< D>?

Reply

When we computed DD the way described in the manuscript, we also had its traditional definition as L/A in mind. Under the assumption of a sufficiently highly resolved DTM and the further assumption that different catchments' channels' directions are similarly distributed with regard to the raster orientation, we supposed our metric should be a sufficiently good approximation to L/A. After your criticism on our approximated approach we invested some time to compute the DD in according to its actual definition: we summed up the lengths of the line segments of the shapefiles that contained the computed channel networks to obtain the channel lengths L and divided them by the catchment areas A. Those actual DDs correlated remarkably well with the approximated DDs of our initial computation approach (but the dimensions were different) . When we computed DD according to your suggestion as 1/< D>, the correlation to the correctly computed DD was rather low(R² of 0.46).

- Page 6760, Eq. 1: What are the implications of assuming a constant

vertical gradient g of isotopic content? Is this a reasonable assumption that is supported by previous studies?

#### Reply

Siegenthaler and Oeschger (1980) have clearly shown that there is a vertical gradient of isotopic content in precipitation for the study area and we also saw this gradient in the site data. Most of this gradient is linked to the vertical temperature gradient, as there is a clear influence of the condensation temperature on the isotopic content of the resulting precipitation (Dansgaard, 1964). Apart from seasonally varying atmospheric conditions, temperature differences in the study area are predominantly caused altitudes differences.

To account for the seasonality, we did not assume one constant vertical gradient of isotopic content over the whole year, but we computed average gradients for each month of the year. We are aware of the fact, that the assumption of constant height gradients for each month of the year will certainly not hold for each and every specific month contained in our study, as atmospheric conditions do not strictly align with calendrical dates and we are sure that more sophisticated ways to estimate the vertical height gradient are conceivable. Within the scope of this study we decided on the described procedure and we were content with its results (see Appendix B (now A) and Fig. B1 (now A1) in the discussion paper).

- Page 6761, Eq. 5: It is not clear to me why only  $\overline{i_s}$  was interpolated and not  $i_s$ . If both were interpolated, there would be no need of identifying the closest measurement point  $s^*$ .

#### Reply

Our input time series of isotopes in precipitation exhibit various gaps. In months with few available site data, a direct interpolation of the available monthly site data will inevitably fail to reproduce the real spatial heterogeneity. By basing the estimation on average monthly values, we may retain at least the average component of spatial heterogeneity.

- Page 6761, line 16: It is not clear what is the purpose of considering the transit time proxy. "To complement the lumped convolution modelling" is too vague. It becomes clearer later in the manuscript but for the reader would be useful to have a more precise explanation here.
- Page 6761, Eq 6: I would suggest giving directly the definition of TTP, instead of defining ITTP and then saying that you preferred using TTP.

#### Reply

Since we directly referred to the ITTP defined by Tetzlaff et al. (2009), we chose to repeat their definition. We agree that this is not the best way to define the TTP we used in the study. Consequently we modified the according section and defined TTP more directly.

- Page 6762, Section 3.4.1: Additional information about the snow model would be useful. What is the resolution of the model? Do you account for the shading effect in the computation of incoming shortwave radiation? Do you account for snow drift?

Reply

Except for the modifications listed on page 6762 (lines 21–24) (referring to the initial submission), the snow model basically is ESCIMO Strasser and Marke (2010), which is a point based energy balance model. Therefore, it has no spatial resolution and we did not account for shading effects regarding incoming shortwave radiation or snow drift. In accordance with the available meteorological input data (see page 6758, lines 5–8) (referring to the initial submission) the model was computed for 100 m elevation bands of each catchment. We added this information to the revised version of the paper.

- Page 6765, line 9: Please change "pareto" to "Pareto". Also, briefly explain the meaning of Pareto-optimal parameters sets, for non specialised readers.
- Page 6767, line 21: Please explain the meaning of Pareto-fronts for non specialised readers.

Reply

We gave short explanations for the concepts of *Pareto optimality* and *Pareto front* in the revised version of the paper.

- Page 6765, line 24: "...with a population size of 1500 and 20 generations". I could not understand this. Are the generations the number of parameter sets that you extract? what is the population then? Please clarify.

Reply

Assuming that anyone interested in the details of the NSGAII algorithm would resort to the given reference (Deb et al., 2002), we avoided to give more information on the algorithm specific meaning of *popoulation size* (which is the number of parameter sets) and *generations* (which is the number of iterations of the algorithm). We included more explicit information on this in the revised version of the paper.

- Page 6768, line 3: If you decide to abbreviate transfer function as TF, please start doing it since the beginning of the manuscript. At this point of the text you have already mentioned this term several times and it seems a bit too late for an abbreviation. I would anyway suggest using the term response function and the abbreviation RF.

Reply

We will introduced the abbreviation earlier in the paper and paid attention to a more consistent use of it in the revised version of the paper.

- Page 6771, line 17: On which basis did you select the five catchments? Do they show any particular features or are they representative for all the other catchments?

Reply

We intended to choose catchments which represent all occurring types of distributions encountered in our study. As shown in Fig. 6, which shows the RTDs and TTDs of all catchments, the five selected catchments' distributions (coloured lines, belonging to the same five selected catchments whose results

are depicted in Fig. 5) encompass the other catchments' distributions and also contain some intermediate cases. We included our intention to select those five catchments in the revised version of the paper.

- Page 6755, lines 21-26: I am not sure I understand or agree with the explanation. I think the reason why the tails of the distributions were not influential in the computation of the objective function is rather caused by the length of the record, which is less than 3 years. Accordingly, when the convolution is computed over such a relatively small time period, the tail of the distribution (which in some cases extends far beyond the length of the record) does not play any important role.
- Page 6776, line 23: Since the main problem involved in the estimation of the MTT (that I would call MRT "mean response time") is the poor influence of the tail of the distributions, I would add here that reliable MRT estimates are not possible without a longer data set, because of the aforementioned reasons.

Reply

In that point we disagree. Our simulation period encompassed 20 years and we used an equally long time series of precipitation isotope data. The increased damping of the input signal towards the tailing of a transfer function with heavy tailing has nothing to do with the length of the output validation data time series. There is no measurable difference between a seasonal oscillation signal damped over 10 or 100 years: both will lie around the average value and both will be overlain by short term variation and noise. Longer stream discharge isotope data time series may be beneficial to decrease short term climatic influences on time-invariant transit time estimations or enable time-variant transit time modelling, but as long as the only considered input signal are annually recurring stable water isotope concentrations, they will not help to identify transit time distributions' tailings beyond a few years.

- Page 6780, Appendix A: I would suggest to delete this section of the manuscript.  $\dots$ 

Reply

We removed this Appendix from the paper.

- Fig. 2: Maybe the authors can find a way to convey the information with a simpler scheme. E.g. I would use only one arrow connecting the box "input variables from PREVAH" to the box "snow module".

Reply

Thanks to this comment, we realised that the explicit depiction of the five meteorological input variables does not help to convey the essential information and we merged them into one box. Apart from that, we would refrain from further simplifications of the model scheme.

- Fig. 5: The plots on the right are very confusing. I could not really understand why there are so many lines having the same colour but different thickness. "Thinner lines indicate ranges of the best solutions" is not really clear.

Range of what? Why dont you show ONLY the ones giving the best solution? I imagine that after removing the lines of the normalised distributions the plots may be more clear, but I would still suggest to explain it better.

Reply

We removed the normalised TF variants and hope that this step will makes the right column of the figure easier to comprehend. The bold lines in the right column of subfigures of Fig. 5 do not show the results of one particular parameter set, but represent the median value of 30 to 100 Pareto-optimal parameter sets, while the thinner lines indicate the upper and lower ranges of those Pareto-optimal parameter sets. We clarified this in the figure's caption.

- Fig. A1: The Figure on the left may be useful to understand the numerical computation of the convolution. The Figure on the right should be removed. Revlv

We removed the figure together with the appendix it belonged to.

# 3 Comments and responses: Referee #3

## 3.1 General Comments

[...]. I only have two main concerns reading the paper. First, I wonder whether the structure of the input data influences the results and conclusions. The data is rather sparse temporally as well as spatially and more smoothing is introduced by a novel interpolation method. I could for example imagine that due to the smoothed input, transfer functions that smooth data less than others would in this scenario produce better fits and fewer errors than they would otherwise (if the input was more variable). The authors should discuss this. Second, the authors should also discuss their results on the relations between mean transit times and physical catchment properties with regard to recent work on temporally-varying mean transit times. [...]

Reply

We would like to thank Anonymous Referee #3 for the thoughtful comments. We agree that the stream isotope data time series are rather sparse. The reasons for the sparse input data do mainly originate from financial constraints to the study design. While the precipitation isotope data is spatially sparse and its coarse temporal resolution of monthly bulk samples introduces smoothing, we would like to point out that our interpolation approach does not introduce any further smoothing. With the available data, an analysis of short-term stream discharge behaviour is not possible and the results of this study rather refer to baseflow conditions. We clarified this in the revised version of the paper (new abstract and Sec. 2.3 lines 12–14 of the revised paper).

As our study focused on time invariant transfer functions, we missed to consider studies which focus on temporally-varying mean transit times and their relation to physical catchment properties. We are thankful for the hint and now

we included a reference to Heidbüchel et al. (2013). Apart from that one study, we could not find any study that tried to relate time-variable transit times to catchment properties.

## 3.2 Specific Comments

- p. 6754, l. 2: The TTD is not only linked to water storage potential, so you should maybe add amongst other things to the statement. It is the first sentence of the abstract after all and should therefore be a little more general.
- p. 6754, l. 11: Reading the abstract I did not know what you mean by normalised. In the paper it becomes clear, but just reading the abstract alone leaves you wondering.
- p. 6754, l. 15: What do you mean by ...transfer functions mainly have to agree on an intermediate time scale...?

Reply

We completely rewrote the abstract.

- p. 6755, l. 12: Other important references would be van der Velde et al. (2010) and Botter et al. (2011).
- p. 6756, l. 1-17: There is a relatively new paper by Heidbüchel et al. (2013) that investigates MTTs under different meteorological conditions and assesses how these conditions alter the influence of the physical catchment characteristics on MTTs. You should definitely have a look.

Reply

We will included the works of consider these references in the revised introduction.

p. 6758, l. 22: Deuterium and oxygen-18 do only almost convey the same information (see Lyon et al. (2009)). It is fine, however, that you make this assumption.

Reply

The assumption we made might not be applicable to any circumstances, but even according to Lyon et al. (2009) this assumption should be fine for our study region, were all precipitation and discharge data are close to the global meteoric water line. We are thankful for the hint and included a reference to Lyon et al. (2009) in Sec. 2.4 (lines 16–19).

p. 6763, l. 5: Does this method also take into account the fact that early melt water is very much enriched in the heavy isotopes?

Reply

This effect is not taken into account, but is discussed in Sec. 5.3. If we considered a small area on a high temporal resolution, this certainly would play an important roll. In our case the fortnightly sampling of discharge isotopes as well as the vertical extent of most of the study catchments probably decreases the measurable influence of this effect sufficiently.

p. 6774, l. 3: Do you think that the averaging and smoothing of the input that is introduced by this method is one reason that no transfer function type could be singled out as the best one? Maybe if you had better input (i.e. more resolved in time and space) than you would find that for example the gamma function is better able to reproduce the short-term variability.

Reply

Our method of precipitation isotope data estimation works with (uninterpolated) deviations from average values. This approach may introduce a bias, but it should not introduce any smoothing compared to the station data. The monthly averaged precipitation isotope data and the fortnightly discharge sampling certainly do introduce a smoothing and temporally higher resolved isotope data would probably allow to decide between the TPLR and the gamma function.

p. 6777, l. 27 p. 6778, l. 21: Again, this is where it would be helpful to compare and discuss your results with regard to the results of Heidbüchel et al. (2013). They found that the MTTs of catchments for three different years correlated with different physical catchment properties, depending on the specific weather conditions during that specific year. Not only was it important how much precipitation fell in one year, it was also important whether this precipitation was more distributed over time or whether it was more concentrated in certain periods. They went on to explain this observation by linking weather conditions with storage states and storage states with predominant flow paths. Depending on the specific flow paths MTTs were then controlled by different physical catchment properties. Maybe you can find something similar in your study?

Reply

We included this point into our discussion (page 25 lines 14–23). Due to the sparse temporal resolution of our input data and limited time for further research in this direction, we refrained from a time-variant consideration of our data.

p. 6779, l. 14: What about using the median value instead? Since the long tails are not identifiable with stable isotope data anyways the median would not be affected that dramatically by the shape of the tail.

Reply

In comparison to the MTTs, the consideration of median transit times decreases the absolute range of uncertainty, but it hardly changes the ranking of the catchments. As previous studies focused on MTT comparisons, we would like to adhere to this practice, be it to point out its weakness. We also identified a relatively transit time measure which proved to be more identifiable and consistent between different TF types (the cumulated fraction of the TTD reached after 3 month) and included it into our analysis. However, in comparison to the consideration of MTTs, it looks like the relations to topographic characteristics are very similar.

#### 3.3 Technical Corrections

Reply

We appreciate the technical corrections and implemented them.

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# Part II

# Changes since last submission

The following marked-up version was created with the latexdiff-tool. Unfortunately, the changes in Tab. 4 and Tab. 5 could not appropriately be marked and the formatting of those two tables is lost.

Manuscript prepared for Hydrol. Earth Syst. Sci. Discuss. with version 4.1 of the LATEX class copernicus\_discussions.cls.

Date: 14 October 2014

Lumped convolution integral models revisited: on the meaningfulness Reevaluation of inter transit time distributions, mean transit times and their relation to catchment comparisonstopography

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#### **Abstract**

The transit time distribution of a catchment is linked to the water storage potential and affects the susceptibility of a catchment to pollution. However, this characteristic of a catchment is still problematic to determine within a catchment and to predict among catchments based on physiographic or geological properties. In this study, lumped response and transit time eonvolution models coupled with a distributed physically of water is a fundamental property of catchments, revealing information about the flow pathways, source of water and storage in a single integrated measure. While several studies have investigated the relationship between catchment topography and transit times, there are few studies that expanded the analysis to a wide range of catchments properties and assessed the influence of the selected transfer function model. We used stable water isotopes from mostly baseflow samples with lumped convolution models of time invariant transfer functions to estimate the transit time distributions of 24 meso-scale catchments covering different geomorphic and geologic regions in Switzerland. The sparse network of 13 precipitation isotope sampling sites required the development of a new spatial interpolation method for the monthly isotopic composition of precipitation. A point-energy-balance based snow model were applied to simulate the stable water isotope compositions in stream discharge measured fortnightly in 24 meso-scale eatchmentsin Switzerland. Three different types of transfer function was adapted to account for the seasonal water isotope storage in snow dominated catchments. Transit time distributions were estimated with three established transfer functions (exponential, gamma distribution and two parallel linear reservoirs)in two different implementation variants (strictly mathematical and normalised) were optimised and compared. The derived mean transit times varied widely for one and the same catchment depending on the chosen transfer function, even when the model simulations led to very similar predictions of the tracer signal. Upon closer inspection of the transit time distributions, it appeared that two transfer functions mainly have to agree on an intermediate time scale around three months to reach similarly good prediction results in respect to fortnightly discharge samples, while their short-term and. While the exponential transfer function proved to be less suitable to simulate the isotopic signal in most of the catchments,

the gamma distribution and the two parallel linear reservoirs transfer function reached similarly good model fits to the fortnightly observed isotopic compositions in discharge. Albeit, in many catchments the transit time distributions implied by equally well fitted models differed markedly of each other and in extreme cases, the resulting mean transit time differed by orders of magnitude. A more thorough comparison showed that equally suited models corresponded with agreeing values of cumulated transit time distributions only between three and six month. The short term (< 30 days) component of the transit time distributions did not play a role because of the limited temporal resolution of the available input data. The long-term behaviour seem to be of minor importance for the evaluation of the models. A couple of topographic indices showed significant correlations with the derived mean transit times. However, the collinearity of those indices, which were also correlated to mean annual precipitation sums, and the differing results among the different transfer functions, did not allow for the clear identification of one predictive topographical index. As a by-product of this study, a spatial interpolation method for monthly isotope concentrations in precipitation with modest input data requirement was developed and tested component (> three years) could hardly be assessed by means of stable water isotopes resulting in ambiguous mean transit time and hence questioning the relevance of a mean transit time determined with stable isotopes. Finally we investigated the relation between mean transit time estimates based on the three different transfer function types as well as other transit time properties and a range of topographical catchment characteristics. Depending on the selected transfer model, we found a weak correlation between transit time properties and the ratio between flow path length over the flow gradient, drainage density and the mean discharge. The catchment storage derived from mean transit times and mean discharge did not show any clear relation to any catchment properties, indicating that in many studies the mean annual discharge may bias the MTT estimates.

# 1 Introduction

Stable water isotopes or other natural constituents, like chloride, in precipitation act as environmental tracers whose signals are altered by hydrological processes, storage and mixing

inside a -catchment. Measurements of those environmental tracers in discharge can be used to infer transit (or travel) time distributions (TTDs) and mean transit times (MTTs) on the catchment scale (Kirchner et al., 2010). These inferred TTDs and MTTs might in turn enable a -deeper understanding of hydrological processes which cannot be assessed by discharge measurements alone.

Transit time estimations based on lumped <u>convolution</u> modelling approaches have been carried out in various studies, reviewed by <u>?-McGuire and McDonnell (2006)</u>, and subsequent studies like Soulsby and Tetzlaff (2008), Tetzlaff et al. (2009b), Hrachowitz et al. (2010), Roa-García and Weiler (2010), Lyon et al. (2010), Soulsby et al. (2011), Heidbüchel et al. (2012), and Capell et al. (2012).

\*\*Lumped convolution modelling approaches are based on the convolution of an input signal with a transfer function (TF) to obtain an appropriate output signal. McGuire and McDonnell (2006) pointed out that the widespread lumped convolution model this widespread modelling approach was originally developed for groundwater systems (Małoszewski and Zuber, 1982) and assumes a –hydrological steady state system (?) and a (Małoszewski et al., 1983) and a determinable representative input. For catchmentsthese assumptions are often violated. Consequently,

Botter et al. (2010), Botter et al. (2011) and Rinaldo et al. (2011) developed a mathematical framework for catchment based tracer studies and they reached the conclusion that the steady state assumption generally cannot hold in dynamically responding catchments. Botter et al. (2010) found that the steady state assumption is particularly unsuited to capture a catchment's short term behaviour. Rinaldo et al. (2011) pointed out that the TTD conditional on a specific input time usually is not the same as its counterpart, the TTD conditional on a certain exit time. The input TTD will be continuous, while the exit TTD will be as discrete as the respective input. Despite this clear discrepancy, lumped convolution modelling approaches assume an equivalence of both TDD. This also means that an optimized TF is assumed to be suited to reflect a catchments TTD, which remains a crude approximation as long as the catchment is not a steady state system with continuous input.

Some more recent studies abandoned the steady state assumption (Hrachowitz et al., 2010; Heidbüchel et al., 2012, 2013) have abandoned the time-invariant TF approach in favour of convolution model approaches with time variant TTDs(Hrachowitz et al., 2010; Heidbüchel et al., 2012) or even more flexible models with time-variant TFs. This approach allows for temporal variability of the TTDs, but it also greatly increases the computational cost and includes further assumptions. Another way to allow for time-variant TTDs is to abdicate the convolution approach altogether and to apply a more explicit modelling approaches (Hrachowitz et al., 2013). While these approaches are like van der Velde et al. (2010) or Hrachowitz et al. (2013).

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While these more recent approaches seem to be more suited to eapture the mostly short-term time variable behaviour of transit times in catchments, they come at a higher computational cost and require more extensive input data time series than usually available. In addition, other assumptions are required to apply these time variant approaches. Even though the application of time invariant transfer functions might lead to a less satisfactory fit to observed tracer signals, their indisputable advantage lies in their comparatively simple implementation and less extensive input data requirements (Mueller et al., 2013) reproduce a natural catchment's TTDs, they all come at an increased cost. In order to keep the computational cost of the optimisation manageable, Heidbüchel et al. (2012), who estimated time-variant TTDs for two catchments, limited the number of free parameters in their TFs to one. Whereas Hrachowitz et al. (2010) stated that the estimation of time-variant TTDs based on a two parameter gamma distribution TF took about 150 h for one catchment. In a flux tracking approach of Hrachowitz et al. (2013), the size of the multidimensional data matrix required for flux-tracking increases with the square of the time series length and tends to exhaust the commonly available memory capacity rather fast.

So even though the lumped convolution modelling approach with time-invariant TTDs has several shortcomings and is likely to be superseded by more sophisticated modelling approaches in the future, up to date the only practical alternatives to consider a greater amount of catchments without additional assumptions to reduce the number of parameters using commonly available computing resources are time-invariant TTDs. Neither the fitting of sine waves

(Małoszewski et al., 1983) nor the computation of damping ratios(Tetzlaff et al., 2009a) are suitable to account for time-variant TTDs. For the time being the lumped convolution approach with time-invariant TTDs will likely stay the method of choice for studies which have another focus than the advancement of transit time estimation methods (e.g. Mueller et al. (2013)).

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Several studies were dedicated to the investigation of the potential relationship between catchment topography properties and mean transit times. ?-McGuire et al. (2005) as well as Tetzlaff et al. (2009b) found a strong correlation between MTTs and the ratio of the median overland flow distance to median flow path gradient (L/G) for two nested catchment studiesin the Western Cascades of Oregon and the Scottish Cairngorm mountains, respectively. Hrachowitz et al. (2009), on the other hand, found no significant correlation between MTTs and L/G. They identified the catchments' proportions of responsive soils and their drainage densities as best predictors of MTTs. Soulsby and Tetzlaff (2008) and Capell et al. (2012) also found good correlations between MTTs and the proportions of responsive soils. Probably due to the small sample size of four catchments, Mueller et al. (2013) found no significant correlation between MTTs and any topographic index, but the highest correlation coefficient of 0.62 was obtained for the drainage density of base flow streams. They, however, they did not test for a -correlation to L/G. In a -comparative study Tetzlaff et al. (2009a) used the damping ratio of standard deviations of  $\delta^{18}$ O in precipitation and discharge as transit time proxy (TTP) instead of MTTs to investigate catchments of various geomorphic regions across the Northern Hemisphere and also found a -strong correlation to L/G. Considering time variant TTDs of zero order catchments, Heidbüchel et al. (2013) found that the relation between MTTs and catchment characteristics is not constant over time and hypothesized that internal catchment states as well as external forcings can alter the dominating factors that influence TTDs.

The objective of this study was to determine MTTs TTDs of 24 catchments in Switzerland and to assess their relationship to the relationship of MTT and other proxies to catchment's topographical indices, with the final aim of finding a topography driven regionalisation method. Due to limited input data availability and the comparatively high number of catchments, we chose the basic lumped convolution model approach and assumed time invariant transfer functions. The influence of water retention and release by Another focus of this study was laid

on a comparison of the MTT estimates from different TFs to assess the suitability of different TF types. Furthermore, the influence of seasonal snow storage in alpine catchments necessitated the development of a -snow module, which accounts for the isotopic composition of snow storage and melt water. Another focus of this study was laid on a comparison of the MTT estimates from different transfer functions and the assessment of the suitability of different transfer function types The sparse network of precipitation isotope sampling sites required the development of a new spatial interpolation method for the monthly isotopic composition of precipitation.

#### 2 Data

### 2.1 Study area

This study focused on 24 catchments distributed across the Swiss Plateau and the Swiss Alps (see Fig. 1), selected based on the following criteria: least possible human influence, glaciers covering less than 5 % of the catchment area, possibility for collecting isotope samples and data availability. The catchment area, mean elevation and average annual precipitation is listed for all catchments in Table 1. The mean catchment elevations are between 472 m and 2369 m a.s.l. and their areas range from 0.7 to 351 km². The dominating landcovers within these catchments are elevation dependent, with agricultural areas dominating at lower elevations (< 800 m), grasslands, pastures and forests at mid altitudes (800–1400 m) and grasslands or sparsely vegetated areas at higher elevations > 1700 m. Minor fractions of the catchments *Schaechen* and *Dischmabach* (2 and 5 %, respectively) are glaciated and around 10 % of the catchments *Biber* and *Aabach* are covered with permanent wetlands or open water.

Mean annual catchment precipitations precipitation sums range from 1012 to 2600 mmand their seasonal distributions are slightly skewed towards the summer half-year. The seasonal distribution of precipitation is fairly balanced, with 54 to 61 % of annual precipitation occurring during the summer half year. Primarily elevation dependent temperature differences cause a range of discharge regimes from pluvial for the colline and submontane catchments to nival for the more alpine catchments. Different underlying geologies, from crystalline and limestone in

the Alps to flysch and molasse in the Swiss Plateau, in connection with varying topographical conditions led to a variety of soils and further differences in discharge behavior among the catchments.

## 2.2 Discharge data and meteorological data

The Swiss Federal Office for the Environment (FOEN) provided the daily discharge data for most of the catchments. Discharge data for the catchments *Luempenenbach*, *Erlenbach* and *Vogelbach* were obtained from the Swiss Federal Institute for Forest, Snow and Landscape Research (WSL). Additional discharge data for the catchments *Roethebach* and *Emme* were provided by the Amt für Abwasser und Umwelt (AWA) of the Swiss Canton Berne.

The climate data, like including average catchment precipitation, temperature, relative air humidity, wind speed and global radiation for 100 m elevation bands in each catchment based on interpolated site data from the national meteorological service of Switzerland (MeteoSwiss) were provided by the PREVAH working group (Viviroli et al., 2009a,b).

# 2.3 Discharge isotope data

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All isotopic compositions in this study are expressed in the  $\delta$  notation according to the VSMOV-standard VSMOW-standard. Water samples at the catchment outlets were taken fortnightly from mid 2010 to end 2012. The 100 mL samples were analyzed for stable water isotopes with a PICARRO cavity ringdown spectrometer at the Chair for of Hydrology at the University of Freiburg, Germany. According to the manufacturer's specifications the measurement accuracy for  $\delta^{18}$ O and  $\delta^{2}$ H is 0.16 and 0.6%, respectively. Additional discharge isotope data before 2010 for the catchment *Rietholzbach Mosnang* and its subcatchment *Oberer Rietholzbach* was received from the Institute for Atmospheric and Climate Science (IAC) of the Swiss Federal Institute of Technology (ETH), Zurich. Therefore, the available discharge isotope time series for those two catchments extent extend further into the past, though no discharge isotope samples for the subcatchment *Oberer Rietholzbach* have been taken after

February 2010. Due to limited financial and logistic resources, the sampling frequency remained temporally sparse and samples mostly include baseflow conditions.

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# 2.4 Precipitation isotope data

In our study region, the ratio of  $\delta^{18}O$  to  $\delta^{2}H$  in precipitation shows no seasonal variation. Therefore, we assume that the  $\delta^{18}O$  and  $\delta^{2}H$  data records convey virtually the same informationand the availability of. Lyon et al. (2009) presented a study in a different climatic setting, where this assumption would be untenable. As the data availability was better for  $\delta^{18}O$  values was better O than for  $\delta^{2}H$ , this study concentrated on only  $\delta^{18}O$  values, occasionally referring to them as isotopic composition were considered in our analyses.

# 2.5 Precipitation isotope data

The National Network for the Observation of Isotopes in the Water Cycle (NAQUA-ISOT) of the Federal Office for the Environment (FOEN) of Switzerland measures stable water isotopes ( $\delta^{18}$ O and  $\delta^{2}$ H) in the precipitation at monthly intervals at 13 sites. Supplemental data were taken from 5 sites of the Austrian Network of Isotopes in Precipitation (ANIP) and 5 sites of the Global Network of Isotopes in Precipitation (GNIP). Figure 1 shows the positions of these sites. The highest data availability is given for the period between July 1992 and October 2011, where at least for eleven sites monthly values were available.

#### 3 Methods

# 3.1 Derivation of topographic indices

In order to derive topography based indices for the 24 catchments, a topographic terrain analysis based on a digital elevation model (DEM) with a resolution of 25 m was carried out with the free open source software SAGA-GIS (Conrad et al., 2013). In a first step, the SAGA module

"Channel Network" was used to derive the channel network for each catchment. The required drainage area initiation threshold was adapted manually for each catchment to achieve the best agreement between the computed channel networks and the channel networks observed in maps and areal imagery, in our case from Google Maps WMS (Web Map Service) layers.

The SAGA module "Overland Flow Distance to Channel network" was used to calculate the flow path lengths L as well as their respective horizontal and vertical components ( $L_{\rm h}$  and  $L_{\rm v}$ ) for the 24 catchments. Furthermore, the flow gradient G was computed as the ratio  $L_{\rm v}/L_{\rm h}$ . These values were aggregated for each catchment by computing each catchment's median values. Eventually, the ratio L/G was computed for each of the study catchments. Additionally, the topographic wetness indices (TWI) were computed with the module "Topographic Wetness Index" (Böhner and Selige, 2006) and again aggregated by computing their median values for each catchment. Drainage densities (DD) were computed as the ratio of raster cells containing a part of the channel network to the total number of the catchments' raster cellschannel length to catchment area.

# 3.2 Spatial interpolation of precipitation isotope data

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The isotopic composition of precipitation is required as input for modelling transit time distributions. Since it was not directly measured within the catchments. Instead, the following procedure to interpolate the available site data was applied:

I Based on the  $\delta^{18}$ O values of the three measurement sites *Meiringen*, *Guttannen* and *Grimsel*, which lie along an elevation transect in the Bernese Alps between 632 and 1950 m a.s.l. (see the bold red line in the map in Fig. 1), average height elevation gradients  $\bar{g}$  for each month were computed. It was assumed that these gradients are representative for the whole study area.

II Monthly and average monthly  $\delta^{18}$ O values corrected to the sea level elevation ( $i_s$  and  $\overline{i_s}$ ) were computed for every measurement site s as follows:

$$i_{\rm S} = I_{\rm S} + h_{\rm S} \cdot \overline{g} \tag{1}$$

$$\overline{i_{\rm S}} = \overline{I_{\rm S}} + h_{\rm S} \cdot \overline{g} \tag{2}$$

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where  $I_s$  is the isotopic composition for measurement site s with the site elevation  $h_s$  for a certain month and year, while  $\overline{I_s}$  is the same, but averaged over all years for each month.

- III The average monthly elevation corrected  $\delta^{18}O$  values  $\overline{i_s}$  for all measurement sites were spatially interpolated using kriging (Delhomme, 1978), implemented in the *gstat*-package (Pebesma, 2004) for R. This resulted in continuous maps of average monthly sea level  $\delta^{18}O$  values for every point p within the study region for each month of the year.
- IV To derive the  $\delta^{18}$ O value for a certain location p at a specific year and month,  $I_{\rm p}$ , the following equations were used:

$$d_{\mathbf{S}^*} = i_{\mathbf{S}^*} - \overline{i_{\mathbf{S}^*}} \tag{3}$$

$$i_{\rm p} = \overline{i_{\rm p}} - d_{\rm s^*} \tag{4}$$

$$I_{\mathbf{p}} = i_{\mathbf{p}} - h_{\mathbf{p}} \cdot \overline{g} \tag{5}$$

First, the measurement site closest to the location p was chosen, denoted as s\*. In Eq. (3), the deviation  $d_{s^*}$  for a specific month's  $\delta^{18}{\rm O}$  value to its according average monthly value was computed for the measurement site s\*. By subtracting this deviation from the average monthly sea level  $\delta^{18}{\rm O}$  value at the location p, obtained from the interpolation in step III, the specific month's sea level  $\delta^{18}{\rm O}$  value at point p was estimated in Eq. (4). Finally  $h_{\rm p}$ , the elevation of the point of interest, was taken into account to obtain the actual  $\delta^{18}{\rm O}$  value  $I_{\rm p}$  at the location p in Eq. (5). Since most measurement sites have data gaps during the investigation period, s\* for the same p can refer to different sites for different time steps.

## 3.3 Transit time proxy

To complement the lumped convolution modelling, we adapted the inverse compare the results obtained by the lumped convolution approach using time-invariant TFs with a more simplistic approach, we also adopted the transit time proxy (ITTP) approach described by Tetzlaff et al. (2009a), similar to an approach used by DeWalle and Edwards (1997): According to Eq. (??), the ITTP. Instead of using the inverse transit time proxy used by Tetzlaff et al., we used its reciprocal value, the transit time proxy (TTP) which is computed according to eq. 6:

$$P = \frac{\sigma_{C_p}}{\sigma_{C_O}} \tag{6}$$

The TTP, denoted as P in eq. 6, is computed as the ratio of the standard deviations of  $\delta^{18}$ O values in discharge  $(\sigma_{C_Q})$  and precipitation  $(\sigma_{C_P}\sigma_{C_p})$  and discharge  $(\sigma_{C_Q})$ . The HTTP TTP reflects the precipitation input signal's damping in the discharge and showed an inverse proportionality to MTT estimates. For clarity's sake we preferred to use the inverted ITTP—the transit time proxy TTP, proved to be proportional to MTT estimates (Tetzlaff et al., 2009a).

Instead of long time series of climatic input data and stream discharge measurements, this approach only requires time series of the isotopic compositions of precipitation and stream water(Tetzlaff et al., 2009a).

## 3.4 Model framework

The model framework in this study is based on the TRANSEP-framework (Weiler et al., 2003), without the distinction of event and pre-event water and extended by a snow module to encounter the specific conditions in alpine catchments. Figure 2 provides an overview on the model structure and the data flow.

# 3.4.1 Distributed snow modelling

Since many several of the selected catchments are heavily notably influenced by snow accumulation and snow melt processes, the implementation of a snow model was crucial. Due

to a lack of suitable snow data for the calibration of a simple parameterized parametrised snow model and the availability of the appropriate climatic input data, a point-energy-balance based approach was chosen. This study uses a modified implementation of *ESCIMO* (Energy balance Snow Cover Integrated MOdel by Strasser and Marke, 2010), based on *ESCIMO.spread* and requires hourly input values for air temperature, precipitation amount, wind speed, relative humidity as well as for incoming short- and longwave radiation. To account for the available input data, the following modifications were made:

- change of time step length from hourly to daily (significant snowfall rate of  $0.5 \,\mathrm{mm}\,\mathrm{h}^{-1}$  to reset the albedo to its maximum value was adapted to  $2 \,\mathrm{mm}\,\mathrm{d}^{-1}$ )
- calculation of incoming longwave radiation with available input data and an empirical relationship given in Sicart and Hock (2010)

Like the original *ESCIMO*, this modified version predicts melt water amounts and sublimation for one point. Under the simplifying assumptions of complete mixing in the snow pack and negligible influence of fractionation processes, further minor modifications like the computation of weighted averages of snow pack and new snow enabled the prediction of average isotopic compositions of the snow pack and hence the melt water. Due to the distinct elevation dependence of snow accumulation and melt processes, it was decided to run the snow module for different elevation bands individually for each 100 m elevation band in each catchment. Melt water amounts (including precipitation not retained in the snow pack), sublimation from the snow pack and the isotopic composition of the melt water for all elevation levels of a bands of a catchment were then aggregated to calculate the average total catchment wide liquid input for the next modelling steps.

# 3.4.2 Lumped discharge and isotope modelling

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Discharge and its isotopic compositions were simulated with two similar lumped convolution models. Both of these models require effective precipitation as their input. The effective precipitation was obtained from computed with a rainfall-loss module. While the proposed

modelling framework is not bound to any particular method for computing the effective precipitation, we used the approach described by Jakeman and Hornberger (1993), which computes effective precipitation based on a storage index that underlies a decay rate depending on temperature. For further details see Jakeman and Hornberger (1993) or Weiler et al. (2003).

Discharge Q for a certain time step t is described by a convolution of the hydraulic transfer function  $h(\tau)$  with all preceding effective precipitation values  $p_{\text{eff}}$  (Weiler et al., 2003):

$$Q(t) = \int_{0}^{t} h(\tau) p_{\text{eff}}(t - \tau) d\tau \tag{7}$$

The tracer concentration in discharge C(t) is computed in a similar way. Instead of the effective precipitation, the mass weighted isotopic composition of the precipitation,  $C_P(t)$ , is convoluted by the tracer transfer function, or transit time distribution (TTD),  $g(\tau)$  (Stewart and McDonnell, 1991; Weiler et al., 2003; Hrachowitz et al., 2010):

$$C(t) = \frac{\int_0^t g(\tau) p_{\text{eff}}(t-\tau) C_P(t-\tau) dt}{\int_0^t g(\tau) p_{\text{eff}}(t-\tau) d\tau}$$
(8)

In this time-invariant modelling approach, the optimized tracer TF can be considered to represent the respective catchments TTD.

## **3.4.3** Transfer functions

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Table 2 shows all transfer functions TFs used in this study: the widely used exponential model (EM), described by Małoszewski and Zuber (1982); the more flexible gamma distribution model (GM), described by Kirchner et al. (2000) and the two parallel linear reservoir (TPLR) model (Weiler et al., 2003). Both, the GM as well as the TPLR, have special cases in which they are equal to the EM.

The discharge convolution module was mainly needed as an auxiliary mean to constrain the parameters of the rainfall-loss module. As initial testing revealed, the TPLR was clearly outperforming the GM and the EM as hydraulic transfer function  $\overline{\text{TF}}$  and was therefore a priori selected as the sole hydraulic transfer function  $\overline{\text{TF}}$   $h(\tau)$  of this study.

Regardless whether previous transit time studies mentioned different tracer transfer functions TFs or not, for catchment comparisons most of them focused on one of them: McGuire et al. (2005) and Mueller et al. (2013) chose the EM; Hrachowitz et al. (2010), Soulsby et al. (2011), Birkel et al. (2012) and Heidbüchel et al. (2012) chose the GM while Roa-García and Weiler (2010) selected the TPLR. An exception is a nested catchment study by Capell et al. (2012), who fitted GM as well as TPLR to eight catchments and considered both model types throughout the analysis of the results. When we implemented the mathematically defined transfer functions into the model framework, the issue of transfer function normalisation arose. The mathematical considerations of this are explained in Appendix ??. As normalisation might have a great impact on the shape of a transfer function and the mean transit time, normalised and not normalised variants of the same transfer function were distinguished in this study, denoting the normalised variant with an asterisk, i.e. the normalised variant of the TPLR was called TPLR\*. In this study we refrained from an a priori selection of the tracer transfer function. TF type and chose to optimise our models for each of the three transfer functions and their normalised and non-normalized variants. TF types.

# 3.5 Model optimisation and uncertainty

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Due to the large amount of optimisations (six models at three models with seven to nine parameters for 24 catchments) Monte Carlo sampling was deemed impracticable for this study. Instead, a multi objective optimisation approach using the NSGA-II algorithm after Deb et al. (2002), implemented in the R-package *mco* by Trautmann et al. (2013) , was chosen to obtain pareto-optimal parameter sets based on the agreement between simulated and observed values for discharge and isotope concentrations in dischargewas selected.

Three objective functions were applied to evaluate the model:  $\mathrm{KGE}'(Q)$  and  $\mathrm{KGE}'(\log(Q))$  were selected to compare the simulated discharge values against the observed values and  $\mathrm{KGE}^-(C)$  was used to compare the simulated isotopic composition of the discharge against the  $\delta^{18}\mathrm{O}$  values observed in the discharge.

KGE' is the modified Kling-Gupta Efficiency after Gupta et al. (2009) and Kling et al. (2012), which consists of a combination of the correlation coefficient, the ratio of standard deviations and the ratio of mean values. For the evaluation of simulated isotope concentrations, possible biases caused by the spatial interpolation of sparse input data had to be ignored. Therefore a reduced variant of the KGE', called KGE<sup>-</sup>, that only takes into account the correlation coefficient and the ratio of standard deviations was applied.

When dealing with multiple separate objective functions, there is no clear best solution, as the improvement of one objective function value can impair the value of another objective function. All combinations of objective function values where this is the case are Pareto optimal. The multi-objective NSGA-II optimisation algorithm was run (Deb et al., 2002) was run with a population size N=1500 over the curse of I=20 generations, which lead to a total number of  $N\times I=30000$  model runs for each of the 24 catchments and each of the six isotope transfer function models with a population size of 1500 and 20 generations the three TF types. The NSGA-II algorithm returns N parameter sets, but usually only a subset of them are Pareto optimal. In case the first run of the algorithm did not produce generate at least 300 pareto-optimal Pareto optimal parameter sets, the found solutions were remembered and the algorithm was repeated as often as needed to reach at least 300 Pareto optimal parameter sets for each catchment.

Not all of the pareto-optimal Pareto optimal parameter sets lead to reasonable sensible solutions, as at a certain point minimal improvements in respect to the value of one objective function lead to substantial deterioration of the values of the other objective functions. Similarly to combining three single objective functions into one for the Kling-Gupta Efficiency (Gupta et al., 2009), we used  $D_0$ , the euclidean distance to the ideal point (in our case zero), to evaluate the overall goodness of a parameter set:

$$D_0 = \sqrt{(1 - E(Q))^2 + (1 - E(\log(Q)))^2 + (1 - E_r(C))^2}$$
(9)

In Eq. (9), Q is the discharge amount, C is the isotopic composition in the discharge, E stands for KGE' and  $E_r$  stands for the previously explained reduced variant, KGE<sup>-</sup>.

The results of the iterative meta-heuristic NSGA-II algorithm are not suited to be used within the established Generalized Likelihood Uncertainty Estimation (Beven and Binley, 1992) method, which would require big large numbers of parameter sets obtained by random sampling over the whole parameter value ranges. Therefore another approach to estimate model uncertainty was utilised. All parameter sets with a  $D_0$  smaller than the 10% quantile of all parameter sets'  $D_0$  were considered acceptable. Parameter- and prediction uncertainties were then given by the ranges encompassed by all acceptable parameter sets and their respective simulation results. Most comparisons and analysis presented in this study refer to the median values of all acceptable solutions.

## 3.6 Transit time distribution comparison

To compare the characteristics of the six model types 'TTDs resulting from the three TF types across all catchments, we started by identifying the best model TF type for each catchment, i.e. the model type which reached the highest objective function value for its simulated isotopic compositions in discharge TF type with the highest median  $E_r(C)$  value amongst all acceptable solutions. This set of the best models served as a reference against which the six three model types were compared. We compared the models under the two aspects: time after which a certain cumulated transfer function (TF) TTD value is reached and the cumulated TF value reached TTD value after a certain time. Coefficients of determination as well as the mean ratio of the reference values and the respective values of a specific model were computed.

#### 4 Results

# 4.1 Spatial interpolation of isotopes in precipitation

Monthly elevation gradients of  $\delta^{18}$ O, averaged over the time period from mid 1992 to the end of 2011, computed along the three *NAQUA-ISOT* sites *Meiringen*, *Gutannen* and *Grimsel* reached values between -0.10% per  $100\,\mathrm{m}$  for January and -0.25% per  $100\,\mathrm{m}$  for September, with an overall mean value of -0.21% per  $100\,\mathrm{m}$ . This is in good agreement with the values

reported for the same region by Siegenthaler and Oeschger (1980) and Mueller et al. (2013). The interpolated average monthly  $\delta^{18}$ O values at sea level shown in Fig. 3 reveal a seasonal pattern, where  $\delta^{18}$ O values at sea level from May to September are higher and far more homogeneous than from October to April. Biggest differences occur from December to March, where  $\delta^{18}$ O values at sea level clearly decline in a south-eastern direction. A qualitative validation of the interpolation based predictions can be found in Appendix A.

## 4.2 Model optimisation and parameter identifiability

For some catchments, the required intended number of 300 pareto-optimal Pareto optimal solutions was exceeded after the first run and it could easily be increased to 1000, for other catchments the required number of 300 pareto-optimal solutions demanded many Pareto optimal solutions demanded several repetitions of the optimisation optimization algorithm. Consequently, the number of acceptable solutions and the quality of the pareto-fronts obtained Pareto fronts varied between the catchments and the models and parameter ranges are TF types, so that the final analyses were based on 30–100 parameter sets (10% of 300–1000) parameter sets for each catchment and TF type. The parameters of the rainfall loss module after Jakeman and Hornberger (1993) could hardly be identified - in many cases two of the three parameters spanned over wide ranges of the whole possible values value ranges. For the TPLR hydraulic transfer model,  $\tau_{\rm f}$  and  $\phi$  could be identified quite well, while the values for  $\tau_{\rm s}$  often covered large parts of the possible value range. Unsurprisingly, the EM with only one parameter showed the best parameter identifiability amongst all transfer functions (from now on TFs)tracer TF types. Even when the parameters of the rainfall-loss models proved to be unidentifiable, in most cases  $\tau_{\rm m}$  of the EM could be constrained to rather narrow ranges. Solely Only for the catchments Aabach and Mentue  $\tau_{\rm m}$  varied by orders of magnitude. The two parameters of the GM generally proved to be identifiable, even though in some cases they exhibited had a notable range. As expectable, parameter identifiability for the three parameter TPLR transfer function was the lowest. Similarly to the TPLR hydraulic transfer model, TF, the TPLR tracer TF's  $\tau_{\rm f}$ and  $\phi$  tended to be more identifiable than its  $\tau_s$ .

### 4.3 Rainfall-discharge model

Independently from the six different isotope TF modelsIndependent from the three different tracer TF types, the rainfall-runoff component of the model performed equally satisfactory satisfactorily for most of the studied catchments, reaching KGE' and KGE'<sub>log</sub> values between 0.7 and 0.9 for most of them (see Fig. 4). Notable exceptions are were *Riale di Calneggia*, whose KGE' value of 0.6 is was still acceptable but below the values of the other catchments, *Erlenbach* and *Vogelbach* with KGE'<sub>log</sub> values around 0.5 and *Oberer Rietholzbach* with KGE' values below 0.3 and KGE'<sub>log</sub> values around 0.6. Not only the values of the discharge based objective functions, but also the optimised parameter values for the rainfall-runoff component of the model turned out to have the same values, no matter which tracer transfer function TF type was part of the multi-objective optimisation. Obviously Unsurprisingly, the application of the snow module was essential for proved to be essential for the good performance of the rainfall-runoff model in particular for catchments at higher elevations.

# 4.4 **Isotope Isotopic** composition model

#### 4.4.1 Performance

Objective function values for the prediction of isotopic compositions in discharge for the six different TF models three different TF types are listed in the lower part of Fig. 4, while the left column of Fig. 5 shows the simulated and observed  $\delta^{18}$ O values for five selected eatchments. The differences represented in the objective function values between normalised and not normalised variants for one of the three basic transfer function types were negligible for most of the catchments (Fig. 4). catchments, which were selected to represent the range of all observed catchment behaviours. For the four catchments *Guerbe*, *Sitter* (see third column row of Fig. 5), *Riale di Calneggia* and *Schaechen*, all models performed similarly well. Comparison of simulated and observed  $\delta^{18}$ O values in discharge as well as the objective function values suggest a less satisfactory performance of the EM transfer function for the other catchments. Beyond that, it is not possible to announce name an overall superior TF type: The three parameter

TPLR models often reached the highest objective function values, but for some catchments the two parameter GM reached higher values. For many catchments the GM and TPLR performed very similarly, even though the simulated  $\delta^{18}$ O values in discharge were not the same for the two model types, as the GM tended to produce more short term variability than the TPLR.

#### 4.4.2 Prediction bias

Regardless of the applied model-TF types, all predicted  $\delta^{18}$ O time series in discharge were biased in one or the other direction (for some examples see the result of the bias calculation shown in the middle column of Fig. 5). A negative prediction bias means that the predicted  $\delta^{18}$ O values in discharge were lower than the respective observed values. These biases were not taken into account for the computation of the respective objective function values. For most catchments, the bias for all six TTDs three TF types varied within a range of 0.5%  $\delta^{18}$ O. Larger differences between different models TF types' bias values were observed for the catchments at higher elevation, with a maximum bias for the catchment Dischmabach, where the biases of the not normalised TPLR model were bias of the TPLR was around -0.2% $\delta^{18}$ O, while the biases of the other models-TF types were distinctly higher and reached 2%  $\delta^{18}$ O. An elevation dependent grouping was observed: the 16 catchments at mean elevations up to  $1300 \,\mathrm{m.a.s.l.}$  showed negative biases around  $-0.7 \,\%$  (ranging from -0.1 to  $-1.3 \,\%$ ), while seven catchments with higher mean elevations showed more positive biases between -0.2and 2%. The transition between those two groups is not gradually but abrupt. Being the only catchment south of the Alps, Riale di Calneggia with a mean elevation of nearly 2000 m.a.sl. showed high negative biases a distinctively negative bias around -2% for all three TF types.

# 4.4.3 Intercomparison of transfer functions

Despite the quite similar performance of the different TTDs in the catchments independent of taking normalisation of the transfer function into account, a performances of the simulations based on TPLR and GM TFs, clear differences of the TTD shapes and the resulting MTTs for

TPLR and GM was were observed (Fig. 6). For TTDs with long tailings, the normalised and not normalised variants clearly diverge for longer transit times (see right column of Fig. 6).

This effect also alters the resulting MTTs as illustrated in Fig. 7. As the EM generally lacks long tailings, normalisation did not affect the results and the MTTs for the normalised and not-normalised variants were identical. For the eleven catchments with the shortest MTTs, normalisation did not affect the GM, i.e. both variants were similar and resulted in similar MTTs. But for catchments with longer MTTs normalisation resulted in the described divergence of the tailings of the TTDs and hence in a significant increase in the resulting MTTs (up to 4 times higher). An even stronger effect of the normalisation was observed for the TPLR models, where normalisation also tended to distort the TTDs of catchments with small MTTs (see the blue highlighted lines in the top right section of The differences concerning TTD shapes and tailings were reflected by differences in MTT estimates for the different TF types (see Fig. 67).

The MTTs for all TFs agreed only for two catchments: *Schaechen* (MTT of 1.2 years) and *Sitter* (MTTs between 0.7 and 0.9 years). For the other catchments, the MTT estimates of the different model different TF types occasionally varied by orders of magnitude (see Table 5). One example is the catchment *Langeten* (see top of Fig. 5): while both EM variants result the EM results in a MTT of 2.3 years, the not-normalised variants of TPLR and GM result in a MTT of GM and TPLR result in MTTs of 29 and 67.2and 29 years, respectively, whereas their normalised variants show a MTTs of 8.4, and 6.1 years, respectively. Despite the distinctly different MTT estimates, nearly identical objective function values were reached by the two variants of the TPLR.

The ranking of the calculated MTTs for the different models appeared more or less consistent. Spearman 's Spearman rank correlation coefficients ( $\rho$ ) and Pearson correlation coefficients (r) and their respective p values were computed to assess the relationships between the MTTs estimated with the six different model three different TF types as well as the transit time proxy (TTP) TTP (Fig. 8). Correlations between the EM and TPLR models proved to be the lowest (correlation coefficients between 0.30 and 0.49)r = 0.49 and  $\rho = 0.53$ ), but still significant (both p values < 0.05). For all other combinations the correlations were clearly significant with highly significant with correlation coefficients between 0.6 and 0.8 and p values less

than below 0.005. The TTP significantly correlated with all models TF types' MTT estimates and reached highly significant (p values < 0.005) rank correlation coefficients between 0.61 (for MTTs based on normalised TPLR) and 0.92 (for MTTs based on normalised GM). TPLR based MTT estimates) and 0.87 (for EM based MTTs estimates). Generally, the Pearson correlation coefficients, which assumes a linear relationship, were smaller than the Spearman rank correlation coefficients.

The comparison of the cumulated TTDs of the six-three model types (examples for five selected catchments in the right column of Fig. 5) showed that the differences between the model types were greatest towards the longer transit times (>2 years). For some catchments there were also notable differences between different model types towards the shortest transit times (<1 month). Instead of discussing the cumulated TTD curves for all 24 catchments of each of the six models three TF types individually, Fig. ?? 9 shows the coefficients of determination and the mean cumulated TTD value ratios between a specific model type and the respective best model for each catchment (as described in Sect. ??). Figure ?? 9 shows that for the GM and TPLR the coefficient of determination as well as the mean value ratios reached values close to one around a time of three months. This means that after an elapsed time of around three months each variant of these two model these two TF types led to very similar cumulated TTD values, which were also close to the values of the overall best performing model of all the six applied models. For longer and shorter times, the coefficients of determination declined and the mean value ratios started to diverge from one, which means that the cumulated TTDs of the models were generally less similar and further apart from the respective best model's cumulated TTD.

# 4.4.4 Relation between topographic indices and mean transit times

Without discussing all topographic indices (see Table 3) in detail, it seems noteworthy to point out that  $\overline{TWI}$ , G, L, L/G and DD were significantly (p < 0.05) correlated to each otherand to the mean catchment elevation with each other. Furthermore, G significantly correlated with TWI and elevation, whereas L/G also significantly correlated with elevation. The higher the catchments, the bigger were the gradients G, the smaller the ratios L/G and the smaller the topographic wetness indices. Apparently all three indices are correlated to the steepness of the

eatchments. TWI values. The catchments Aach, Aabach and (to a lesser degree) Mentue proved to be much flatter than the remaining catchments and to avoid showed the lowest values for G and consequently extremely high values for L/G. To remove a distortion of the results caused by a leverage effect, correlations between MTTs transit time metrics and topographic indices were computed for all and excluding these for all but those three catchments.

Table  $\ref{table:eq:$ 

We observed a high agreement between the cumulated TTD fractions of the first three months (from now on CF3M) for GM and TPLR (see Fig. 9). On the other hand, the TTDs tailings and MTTs varied notably between different models and proved to be less identifiable. Therefore we decided to include CF3M as an apparently more consistent transit time metric than MTT into this analysis. For all model types the transit time metrics CF3M (first section of Tab. 4) as well as MTT (second section of Table ??) . Most notably, except for the normalised TPLR model, the correlations between MTTs (and the TTP) and Tab. 4) showed significant (p < 0.05) Pearson correlations and Spearman rank correlations to the ratio L/G were higher and nearly all but one were significant. Furthermore, there were significant correlations between CF3M values of all TF types and the drainage densities DD and significant correlations to MTT for some TF types.

However, the strongest correlations were found between MTTs (and the transit time metrics (CF3M and MTTs including TTPs) and the mean annual precipitation sums discharge of

the catchments (Q), which is also correlated to other topographic properties. Q primarily is a consequence of external climatic forcings, namely precipitation input and potential evapotranspiration. In order to neutralize the influence of this dominant external forcing, we multiplied MTT estimates with  $\overline{Q}$ -values to estimate catchment storage volumes. After this step, the correlations to topographic characteristics generally decreased (third section of Tab. 4). The only remaining significant rank correlations to topographic indices were those between EM and GM based MTT estimates and L/G and between EM based MTT estimates and DD. This is not surprising, as higher inputs into the same storage system consequently should lead to higher turnover rates and therefore lower MTTs. When this climatic component of the catchments' behaviours was neutralised by normalising the MTTs (and TTPs) by the mean annual precipitation sums, the seemingly clear impression of the first two sections of Table ?? disappeared.Only a few significant correlations and rank correlations can be found in the third and forth section of Table ?? and even less are found concordantly in both, the third and fourth, sections of Table ??

#### 5 Discussion

# 5.1 Modelling framework and optimisation procedure

For a better estimation of In order to estimate the effective precipitation amounts, the discharge amounts were considered during the multi-objective optimisation procedure. The relatively simple TPLR discharge convolution module managed to predict annual discharge reasonably well for most catchments. As it turned out, the optimised parameters for the rainfall loss module and the discharge convolution module did not depend on the chosen isotopic TFmodel. This suggests, that both of them could have been calibrated before and independently from the isotopic convolution module and only once for all TFs, as done by Weiler et al. (2003) – an approach that reduces the complexity of the optimisations and therefore frees computational resources. Considering the low parameter identifiability of the three parameter rainfall loss

module after Jakeman and Hornberger (1993), the use of another rainfall loss module might be advisable.

## 5.2 Applicability of the precipitation isotope interpolation method

Because Despite the availability of precipitation isotope concentration data was rather being suboptimal (insufficient precipitation isotope data; directly measured only within a few of the study catchments and a sparse measurement network in a region with distinct topography), the interpolation method described in Sect. 3.2 proved to work fairly well. Assuming the observed negative prediction biases for the lower catchments and the positive biases for the higher situated catchments can, for the most part, be explained by systematic errors of other model components (see next section), the interpolation method can be considered suitable for this application (see also Appendix A). More sophisticated interpolation procedures, taking other influence factors such as air temperatures, precipitation amounts, windward-leeward effects and dominant weather situations into account, are conceivable, but to the authors' knowledge up to the present there is no such interpolation method for the given temporal and spatial scales available and its development clearly exceeded the scope of this study.

## 5.3 Prediction bias of streamwater stable isotopes

The convolution model could adequately reproduce the seasonal variations of the isotope concentrations in streamwater, however all predictions exhibited a bias. For most of the catchments, the biases were independent from the applied transfer function TF, indicating that the systematic bias was not caused by the choice of transfer functions TFs. Upon closer inspection, three possible reasons for this bias have to be considered:

First, there could be a bias in the precipitation isotopes, caused by incorrect assumptions made during the interpolation of the sparse measurement site data. The resulting biases could be positive or negative and are more likely to occur in regions where the surrounding measurement sites are further apart and the catchment elevations exceed the elevations of the measurement sites.

Another error source for the input isotope concentration of alpine catchments could be assumptions made for the snow module. Particularly the assumption of isotopical homogeneous melt from the snow pack without significant enrichment is debatable as Taylor et al. (2001) as well as Unnikrishna et al. (2002) observed a range of melt water  $\delta^{18}$ O values of up to 3% around the snow pack's mean isotopic composition. Furthermore, Taylor et al. (2001) measured an overall  $\delta^{18}$ O enrichment of around 0.3% for the entire melt water amount. While this could explain deviations during the ablation period, it is not sufficient to explain the observed overall bias values of around 1% for the alpine catchments, unless the enrichment effect observed in the two aforementioned studies, both of them conducted in the Californian Sierra Nevada, is more pronounced for our study region.

The third possible cause of the prediction biases is inherent to the model, more precisely its rainfall-loss module. Since there is no representation of a soil storage, where winter- and summer precipitation can mix to a certain extent, the simulated evapotranspiration, occurring predominantly during summer, consists almost entirely of the isotopically heavier summer precipitation. On the other hand, nearly all of the isotopically lighter winter precipitation is routed to discharge. While it is likely, that the largest part of the yearly evapotranspiration stems from summer precipitation and that a larger fraction of winter precipitation contributes to discharge, it can be assumed that the missing model representation of a mixing soil storage necessarily leads to a prediction bias towards lighter discharge isotope concentrations. This kind of bias might be prevalent at the non-alpine catchments, where all predictions have a slightly negative bias between 0 and -1%  $\delta^{18}$ O, while no such bias can be recognised when the interpolated precipitation isotope concentrations are compared to the validation site data (see Appendix A) in the same region.

# 5.4 Temporal scope of the modelling approach

The As all simulated values can only be compared to the observed values, the coarse temporal resolution of the isotopic input data (fortnightly data in streamflow and monthly bulk sampled precipitation isotope data) is not suited to evaluate the short-term behaviour components of the TTDs. Consequently these differences are unaccounted for by the objective function since

only fortnightly data in streamflow was available. At the same time, the increased dampening of the seasonal variation of the  $\delta^{18}O$  signal in precipitation after a few years inevitably leads to a point, where the measurement uncertainties and faster components of the TTD wholly conceal the part of the signal which is caused by the long tailing of the TTD, which in turn also excludes the slowest fraction long tailings of a TTD from an objective evaluation using stable water isotopes. In fact, the However, the ratio between high frequency variations and complete dampening during different times of the years seems to define the fraction between the fast and slow part of the TPLR. Hence, the proportion of relative young water (< 2 years) and much older water (>10 years) can be estimated with a good certainty for most watersheds using the TPLR transfer function.

The inter-model comparison in Fig.  $\ref{eq:posterior}$  suggests that, at least for the available fortnightly stream sample data in combination with the monthly aggregated bulk sampled precipitation isotope data, the model optimisation is most sensitive on an intermediate time scale between one month and a year. During these time scales, the estimated cumulated discharge fractions of the more flexible TPLR and GM are almost similar. A comparison between the normalised and not normalised versions of the same model (see Fig. 5)suggests, that very similar. When we compared the TFs to arbitrarily normalised variants of themselves (forcing the cumulated TTD to reach unity after 20 years), it turned out that the latter lead to the tailings of the TFs did not seem to influence the predicted  $\delta^{18}$ O values or the objective function values at allexact same simulated isotopic compositions in discharge, even when their TTDs' tailings were distinctly compressed and had notably lower MTTs than their not normalised variants.

This might help to explain the low identifiability of the TPLR model's parameter representing the mean transit time MTT of the slow reservoir  $\tau_s$ . The long term tailing of a transfer function TTD simply does not matter in respect to an objective function based on natural precipitation's  $\delta^{18}O$  in discharge, no matter how long the input data time series is. To asses this part of a catchment's TTD, a tracer with an extended temporal scope, like  $^3H$ , would be required. This was already emphasised by McDonnell et al. (2010), Stewart et al. (2010) and Stewart et al. (2012).

## 5.5 Meaningfulness of the mean transit timeestimates

As mentioned in the previous section, a TTD containing longer transit times cannot be properly assessed solely with a cyclical annually varying environmental tracer like  $^{18}$ O or  $^{2}$ H. Still, it is possible to fit an arbitrary transfer function TF with any kind of long-term tailing to the measured environmental tracer data. A wide range of sufficiently flexible transfer functions is should be able to produce acceptable predictions of temporally sparse measurements of  $\delta^{18}$ O values in discharge. However, this is not enough to ensure an appropriate representation of a TTD's long-term behaviour. As the comparison of the not normalised and normalised variants of GM and TPLR in this study showed, the predicted tailing. The long-term behaviour of the TTDs tailing of a TTD strongly affects MTT estimates without having any discernible impact on the predicted time series. Thus, reliable MTT estimates are not possible without the consideration of a tracer with extended temporal scope.

Even though the MTT estimates vary between the different model types (see Fig. 7), Fig. 8 indicates that the MTT estimates are not random, as there are significant, yet not very strong, correlations between most of the models' MTT estimates the MTT estimates based on different TF types. It turns out that in respect to MTT estimates relying solely on stable water isotope data, TTP values seem to be just as good as more complex convolution models: both can be used for a general classification into catchments with short, intermediate and long MTTs, neither can provide sound absolute values for MTT.

Given a sufficiently high measurement frequency, stable water isotope data seems to should be suited to characterise the short term and intermediate part of a catchment's TTD, but it certainly does not contain enough information to determine complete TTDs or actual MTTs of a catchment.

# 5.6 Relationship between MTT and topography

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Despite the distinct differences between different model types' MTTsMTT estimates based on different TF types, the results in Table  $\ref{types}$  suggest a significant correlation between MTTs (and the TTP) and the ratio L/G for most transfer functions all TFs.

?-McGuire et al. (2005) also reported a strong correlation between MTTs estimated by the EM and L/G for the Lookout Creek catchment and six of its subcatchments in the H. J. Andrews Experimental Forest in the central western Cascades of Oregon, USA. Tetzlaff et al. (2009b) likewise found the strongest correlation between MTTs and L/G for three Scottish catchments and their subcatchments, while the study of Hrachowitz et al. (2009) did not find a significant correlation between MTTs estimated by the GM and L/G for 20 catchments in the Scottish Highlands. Though, according to the method description in Hrachowitz et al. (2009) the stream network for all of the 20 Scottish catchments was computed with a fixed stream initiation threshold. At least for our study area, in some cases a fixed stream initiation threshold area caused large discrepancies between the computed and the observed channel networks and consequently led to different values for L as well as G. Therefore it cannot be excluded that Hrachowitz et al. (2009) found no significant correlation between MTTs and L/G because they worked with values for L and G which were derived with fixed stream initiation thresholds.

However, in this study most of the described observed correlations were only significant as long as the elimatic influence of mean annual precipitation external climatic forcing was not taken into account. For most of the models, the The correlation between MTTs and mean annual precipitation were discharge was higher than for any of the topographical indices. When For two hypothetical catchments, which share identical properties regarding geology, topography, soils and vegetation were considered, the catchment with the higher effective precipitation would undoubtedly expose have higher turnover rates and hence lower MTTs. Consequently, a catchment's MTT actually always will be determined by two components: external forcings (precipitation and potential evapotranspiration) and catchment internal properties. When the aim of a study is the assessment of the influence of catchment properties (like topography) on MTTs, it would appear that it is necessary to first eliminate the influence of such first order climatic controls, i. e. to normalise MTTs by their respective mean annual precipitation, would be essential to take external forcings into account. Yet, many studies (e.g. ?Tetzlaff et al., 2009a,b; Hrachowitz et al., 2009; Soulsby et al., 2011; Mueller et al., 2013 McGuire did not account for this and directly compared MTTs of catchments with varying differing mean annual precipitation sums or discharge amounts. This practice is likely to, at least

partially, obscure the true influence of the (non-climatic) catchment properties. In this study, the mean annual precipitation normalised MTTs of different model types showed no consistent correlation to any topographic index, as significant correlations could be found or cancelled out by contemplating different subsets of catchments.

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Due—Troch et al. (2013) found strong evidence for a general co-evolution of catchment properties and climatic influences. When climate as well as catchment properties determine MTTs, but at the same time there is a relation between climate and catchment properties which leads to collinearity between many of the topographic indices and the mean annual precipitation sums, the identification of crucial catchment properties is difficult and as long as the determination of the actual MTTs itself is uncertain catchment properties, it gets near to impossible to identify catchment properties that actually control MTTs independently from the climatic influences, unless there is a possibility to compare different catchments that underlie identical climatic forcings.

Together with the aforementioned issue, the uncertainties connected to the determination of MTTs (Which is the most appropriate model? TF? Is the time-invariant TF approach suited at all? How can the TTDs tailing properly assessed?), any method to regionalise MTTs will expose will lead to high degrees of uncertainty for any approach to regionalise MTTs.

Similar to the work of Tetzlaff et al. (2009a), we suggest the combination of as many isotope tracer studies as possible to obtain a data set which, if sufficiently comprehensive, might be suited to compensate for the uncertainties in MTT estimations. Furthermore, to asses the influence of non climatic controls on tracer transit times, the consideration and neutralisation of mean effective precipitation sums is essential, as any direct comparison of MTTs will be dominated by the prevailing amounts of incoming waterOn top of that, Heidbüchel et al. (2013) showed that depending on varying external forcings and internal catchment states MTTs can be highly variable. This means that the outcome of any catchment TTD comparison study is likely to be influenced by time-variant climatic conditions prior to and during the time when the catchments were studied. The reliability of the results might be impaired, when the observational time series do not cover representative periods. Furthermore, Heidbüchel et al. (2013) showed that in some years topographical characteristics

might be a good predictor, while in other years, with different external forcings, other factors, like soil characteristics or underlying geology, have greater influence on the observed TTDs. Consequently, when TTDs are considered as time-invariant, it is possible to miss temporally critical relations.

#### 6 Conclusions

In this study, we used six different transfer models three different TF types in a time-invariant lumped convolution modelling approach to estimate the TTDs and MTTs of 24 meso-scale catchments in Switzerland on the basis of  $\delta^{18}O$  data. We showed, that different transfer functions TF types could be used to reach similarly acceptable fits to fortnightly sampled  $\delta^{18}O$  data in discharge. A comparison of the cumulated TTDs of those equally well performing models indicated that their cumulated values agreed tended to agree at an intermediate time scale between three months and one year, while they diverged on shorter and even more so on longer time scales. From a certain point on, differences in TTD tailings did not influence the predicted  $\delta^{18}O$  values in discharge at all. Hence, to properly assess a catchment's TTD on all time scales, a higher sampling frequency of precipitation and discharge would be needed for more information on the catchment's short term behaviour and a more persistent tracer is required to determine the catchment's actual long term behaviour.

The poorly identifiable tailings of the TTDs greatly influenced MTT estimates, which partially exhibited high uncertainties. For catchments with longer MTTs, different model types' MTT estimates could differ by orders of magnitude while the available data was not suited to determine the most appropriate model type. In many cases the EM proved to be less appropriate than the more flexible GM and the TPLR. Given the fact, that the easily computable TTP values showed a good correlation significant rank correlations to MTT estimates of most of the more complex transfer functionsall TF types, they might serve as a coequal replacement for them, as long as the latter are as underdetermined as in this study and only relative differences among the catchments are the focus.

The results of this study suggest that seemingly good correlations between MTTs and the ratio of median flow path lengths over median flow path gradients L/G or the closely related drainage densities DD are mainly caused by the mean annual precipitation sums discharge, which considerably influence influences these topographic indices as well as the MTTs. In order to assess the actual influence of topographic indices on MTTs, the influence of the mean annual precipitation should be removed (normalised) beforehandin catchment comparison studies, the dominant influence of climate should be considered and removed.

## Appendix A

#### Technical considerations of convolution models

When a time t is inserted into a mathematically defined transfer function  $g(\tau)$  (see Table 2), the resulting value of the transfer function (from now on TF) belongs to exactly this point t in time. Model data, on the other hand, do not correspond to certain points in time, but rather to the time periods single model time steps are covering.

Furthermore, the sum of an infinite, equally spaced series of TF point values usually differs from unity, even when the curve of the TF itself integrates to unity, as is the case for all TFs shown in Table 2. However, for the sake of mass conservation, an, if necessary infinite, row of discrete model TF values eventually has to sum up to unity. This is usually achieved through normalisation, i.e. each of the discrete model TF values is divided by the total sum of all model TF values. Three issues arise from the practice described above: at the beginning of a steeply declining TF, the use of point based computations of TF values can lead to big deviations from a time step's average TF value (see left of Fig. ??) when a substantial part of the TF's tail exceeds the simulation period, normalisation to unity distorts the actual TF and redistributes a significant part of the signal to a place not defined by the TF's equation (see right side of Fig. ??) MTTs of normalised TFs cannot longer be computed analytically (right column of Table 2) and have to be inferred numerically The first two issues, one caused by the point based TF value computation, the other caused by normalisation, cause the TF values used for

modelling to deviate from the mathematically defined TF and make them depend on model time step length and simulation period length. Both issues can be encountered by the same measure: instead of computing the value of the original transfer function  $g(\tau)$  for a certain point within time step t, the definite integral for  $g(\tau)$  has to be computed over the whole time step: This approach allows for an accurate computation of the average TF value over any model time step and is independent from model time step length and transfer function steepness. In the case of TFs which integrate to unity, it also eliminates the need for normalisation and therefore preserves long tailings of TFs which exceed the simulated time range.

### Appendix A

### Validation of the interpolated precipitation isotope data

# A1 Origin of the validation data

Within the frame project of this study, bulk precipitation samples have been taken to determine the isotopic composition of the precipitation at five sites in Central Switzerland. With lengths of not more than one year and limited spatial coverage, these time series were of little use as model input data. Three of those sites, *Benglen*, *Schallenberg* and *Aeschau* have been chosen to validate the interpolated precipitation isotope data.

Further isotope composition data was thankfully obtained from Mueller et al. (2013), who collected precipitation bulk samples for the summer half years of 2010 and 2011 for four small alpine catchments in the Ursern Valley in southern Central Switzerland. Data from the two sites *Bonegg* and *Laubgaedem* were included into the validation data to extend the their elevation range up to 1720 m.

The Institute for Atmospheric and Climate Science (IAC) of the Swiss Federal Institute of Technology Zurich maintains the field measurement site *Messtelle Büel* within the catchment *Rietholzbach* for which fortnightly bulk sample data for  $\delta^{18}$ O from 1994 until the beginning of the year 2010 were available.

#### A2 Reasons for the qualitative validation

The method described in Sect. 3.2 was not only applied to obtain precipitation isotope compositions for the studied catchments, but also for all available validation sites. Unfortunately, the temporal resolutions of the monthly interpolation derived predictions and the sub-monthly observed  $\delta^{18}$ O time series were not the same. To aggregate isotope composition data to a coarser time scale, mass weighted averaging would be required, but the respective precipitation amounts to the bulk sample isotope data were not available. Hence, a quantitative validation of the interpolation based predictions was not possible, instead a qualitative comparison was made.

#### 10 A3 Comparison of predictions and validation data

Figure A1 shows the monthly predicted  $\delta^{18}O$  values obtained by the interpolation procedure described in Sect. 3.2 plotted with the on-site measured validation data. All validation time series have been collected over shorter periods than one month and thus exhibit more variance and higher amplitudes than the monthly predictions. Nevertheless, a qualitative comparison of predicted and validation data indicates a reasonably well good performance of the interpolation method.

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**Table 1.** Areas, elevations, and mean annual precipitation sums of the 24 studied catchments.

catchment name	gauging station	catchment ID	area [km²]	mean elev. [m]	min elev. [m]	max elev. [m]	$\begin{array}{c} \text{prcp} \\ [\text{mm a}^{-1}] \end{array}$
Dischmabach	Davos	DIS	43.2	2369	1663	3139	1391
Ova da Cluozza	Zernez	OVA	26.9	2364	1519	3160	1053
Riale di Calneggia	Cavergno	RIA	23.9	1986	881	2908	2104
Allenbach	Adelboden	ALL	28.8	1852	1293	2742	1651
Schaechen	Buerglen	SCH	107.9	1719	487	3260	1687
Sitter	Appenzell	SIT	88.2	1301	768	2500	1870
Biber	Biberbrugg	BIB	31.6	999	827	1495	1639
Alp	Einsiedeln	ALP	46.5	1154	845	1894	2112
Luempenenbach	_	ALP_L	0.9	1336	1092	1508	2615
Erlenbach	_	ALP_E	0.7	1359	1117	1650	2168
Vogelbach	_	$ALP_{-}V$	1.6	1335	1038	1540	2161
Sense	Thoerishaus	SEN	351.2	1068	554	2184	1270
Ilfis	Langnau	ILF	187.9	1037	681	2087	1450
Emme	Eggiwil	EMM	127	1285	743	2216	1559
Roethebach	Eggiwil	ROE	54.1	991	731	1542	1099
Guerbe	Burgistein	GUE	55.4	1037	556	2152	1241
Mentue	Yvonand	MEN	105.0	679	447	926	1060
Langeten	Huttwil	LAN	60.3	760	598	1100	1195
Aach	Salmsach	AAC	50.0	472	408	560	1095
Ergolz	Liestal	ERG	261.2	584	305	1165	1012
Aabach	Moenchaltorf	AAB	55.6	635	519	1092	1081
Murg	Waengi	MUR	76.8	648	467	1036	1281
Rietholzbach	Mosnang	RIE	3.2	794	671	938	1555
Oberer Rietholzbach	-	RIE_O	0.9	815	748	938	1670

**Table 2.** Overview of transfer functions with specification of the parameters and analytical mean transit time (MTT).

Transfer function	Parameters	analytical MTT
Linear reservoir (EM)		
$g( au) = \frac{1}{ au_{ m m}} \exp\left(\frac{- au}{ au_{ m m}}\right)$	$ au_{ m m}$ mean transit time	$ au_{ m m}$
Gamma Distribution (GM)		
$g(\tau) = \frac{\tau^{\alpha - 1}}{\beta^{\alpha} \Gamma(\alpha)} \exp(-\tau/\beta)$	$\alpha$ shape parameter	
, , , ,	$\beta$ scale parameter	lphaeta
Two parallel linear reservoirs (TPLR)		
$h(\tau) = g(\tau) = \frac{\phi}{\tau_{\rm f}} \exp\left(-\frac{\tau}{\tau_{\rm f}}\right) + \frac{1-\phi}{\tau_{\rm s}} \exp\left(-\frac{\tau}{\tau_{\rm s}}\right)$	$\phi$ fraction of fast reservoir	
	$ au_{ m f}$ MTT of fast reservoir $ au_{ m s}$ MTT of slow reservoir	$\phi \tau_{\rm f} + (1 - \phi) \tau_{\rm s}$

**Table 3.** Results of the topographic analysis. <u>L.L.</u> is the flowpath length, <u>G.G.</u> the flow gradient, DD the drainage <u>densities density</u> and TWI the topographic wetness index.

catchment	<i></i> ŁL	GG	£/GL/G	DD	TWI
ID	[m]	$[m m^{-1}]$	[m]	$[\underbrace{mkm}_{k}\underbrace{mkm}^{-2}]$	[-]
DIS	647	0.33	1961	0.024-1.14	9.52
OVA	616	0.46	1339	0.020 0.91	8.87
RIA	647	0.46	1407	0.025-1.17	9.10
ALL	423	0.31	1365	0.033-1.53	9.27
SCH	646	0.38	1700	0.023-1.08	9.38
SIT	329	0.27	1219	0.045 2.14	9.48
BIB	207	0.16	1294	0.060 2.80	9.96
ALP	196	0.21	933	0.079 3.72	9.69
ALP_L	155	0.17	912	0.098 4.52	9.61
ALP_E	169	0.20	845	0.104-4.75	9.67
$ALP_{-}V$	193	0.28	689	0.070-3.30	9.22
SEN	227	0.20	1135	0.056-2.63	9.76
ILF	157	0.30	523	0.075-3.54	9.00
EMM	286	0.27	1059	0.046-2.18	9.43
ROE	210	0.18	1167	0.050-2.34	9.67
GUE	258	0.19	1358	0.065_3.06	9.88
MEN	364	0.08	4550	0.028-1.32	10.83
LAN	308	0.11	2800	0.030-1.40	9.85
AAC	481	0.02	24 050	0.026-1.17	11.67
ERG	421	0.15	2807	0.022 - 1.05	9.99
AAB	407	0.04	10 175	0.032 - 1.49	10.92
MUR	219	0.10	2190	0.049-2.29	10.07
RIE	194	0.18	1078	0.056-2.59	9.51
RIE_O	254	0.15	1693	0.043_1.86	9.46

Table 4. Correlation coefficients of different model types' MTTs and the transit time proxy (TTP) to topographic indices and mean annual precipitation sums. Pearson correlation coefficients are given as  $(r_{\tau})$  and Spearman rank correlation coefficients are given as  $(\rho)$  between catchment characteristics and different transit time metrics of different models. Significant correlations (p-value < 0.05) are printed in boldface. The shown correlations with p values  $\geq 0.2$  are printed in italies were computed for 21 of the 24 catchments (the three flattest catchments *Mentue* (MEN), *AAbach* (AAB) and *Aach* (AAC) were omitted).

model

**EM** GM

GM\*0.260.26-0.2-0.40.060.18-0.24-0.33-0.010.45-0.42-0.380.060.17-0.63-0.59TPLR

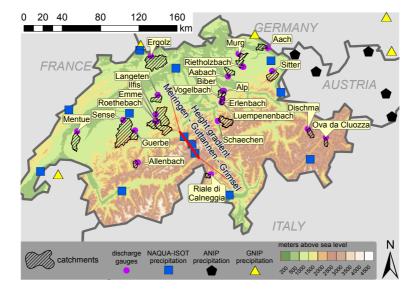
TTP 0.06 0.27 - 0.16 - 0.28 0.06 0.28 - 0.17 - 0.14 - 0.06 0.47 - 0.37 - 0.45 - 0.03 0.05 - 0.43 - 0.53 EEM\* 0.21 0.32 - 0.33 - 0.51 0.07 0.26 - 0.32 - 0.38 0.6 0.61 - 0.47 - 0.46 0.16 0.15 - 0.63 - 0.65 GM6M\*0.250.28-0.2-0.420.070.22-0.26-0.37 0.52 0.55 -0.44-0.450.090.19-0.67-0.67 TPLR TPLR\* 0.090.04 - 0.01 - 0.220.030.07 - 0.14 - 0.170.320.22 - 0.23 - 0.33 - 0.03 - 0.03 - 0.45 - 0.6T

EM

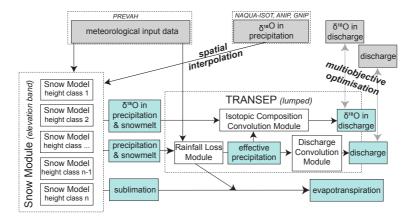
EM\* - 0.1 0.02 0.09 0.02 0.26 0.29 0.01 0.03 0.32 0.41 - 0.39 - 0.39 - 0.18 - 0.24 - 0.13 - 0.14GM  $GM^* - 0.05 - 0.07 \ 0.14 - 0.07 \ 0.15 \ 0.09 - 0.03 - 0.07 \ 0.24 \ 0.24 - 0.31 - 0.3 - 0.18 - 0.23 - 0.25 - 0.29 TPL$ TTP

**Table 5.** Mininum, median and maximum MTT in years for each catchment and transfer function type and the respective transit time proxy (TTP) values.

	GM			TPLR			EN	1	ape	
catchment	min	med	max	min	med	max	min	med	max	min med max m
DIS	9.16	10.5	12	84.5	96.7	102	1.42	1.51	1.54	<del>5.67 5.73 5.78 8.18 8.3</del>
OVA	3.32	4.81	8.46	32.1	67.7	94.9	0.87	0.91	0.94	<del>2.54 3.88 4.73 7.09 3</del>
RIA	0.43	0.6	0.75	0.65	1.79	72.7	0.45	0.57	0.68	0.41 0.59 1.05 0.96 <b>4</b> .
ALL	4.33	6.25	8.65	22.3	70.5	108	1.53	1.58	1.65	4.1 5.06 5.39 4.16
SCH	1.11	1.21	1.25	1.11	1.2	1.27	1.11	1.2	1.26	1.08 1.18 1.24 1.09
SIT	0.69	0.92	1.7	0.79	0.9	68	0.61	0.68	0.74	0.66 0.9 1.43 0.81 0.8
BIB	0.52	0.76	1.23	0.78	8.49	71.6	0.3	0.36	0.4	0.52 0.72 1.08 0.76 4.
ALP	0.35	0.46	0.57	0.58	0.8	35	0.24	0.28	0.32	<del>0.35 0.47 0.75 3.33 4</del> .
ALP L	1.7	1.91	2.24	18.5	65.7	80.8	0.52	0.55	0.59	<del>1.44 1.76 2 5.28 5</del>
ALP E	0.14	0.21	0.35	0.52	0.57	1.51	0.15	0.17	0.18	0.16 0.21 0.33 2.43 3.
ALP V	0.66	1.02	1.48	1.47	47.3	78.8	0.18	0.27	0.35	0.66 0.95 1.56 3.57 5.
SEN	2.36	5.82	17.6	3.13	39	84	1.25	1.34	1.43	2.72 4.13 4.64 2.82
ILF	5.31	8.88	20.7	3.62	12.1	88.6	1.49	1.55	1.75	4.07 5.05 5.32 3.12 6.
<b>EMM</b>	1.14	1.66	2.77	3.18	12.3	71.5	0.39	0.42	0.48	1.08 1.41 2.23 4.24 5.
ROE	6.5	12.2	25.6	5.31	59.5	108	0.29	1.77	2.45	4.25 5.22 5.56 1.98 6
GUE	1.04	1.33	2.52	1.43	5.55	75.4	0.84	1.04	1.15	1.05 1.35 2.48 1.64 3
MEN	12.9	18.2	20.7	23.7	69.3	105	0.02	0.86	1.84	3.96 4.43 4.85 6.19 <del>6</del> .
LAN	20.4	29	31.8	21.6	67.2	122	2.17	2.31	2.36	5.91 6.07 6.18 7.62 8.4
AAC	1.05	1.6	2.96	1.72	19.6	98.7	0.76	1.03	1.1	1.13 1.79 2.91 3.3 (
ERG	7.42	18.8	29	18.2	81.4	114	1.45	1.63	1.86	4.59 5.53 5.83 3.49 7
AAB	11.7	18.5	21.6	4.28	17.2	105	0.01	0.09	2.36	<del>3.76 4.49 4.85 5.39</del>
MUR	12.4	20.5	26.4	8.79	74	112	1.71	1.79	1.82	4.89 5.3 5.46 2.68
RIE	2.45	6.44	14.3	4.05	15.8	68.9	0.79	1.34	2.02	3.14 4.76 5.48 4.84 <del>6</del>
RIE <u>Q</u>	2.02	8.44	26.4	3.1	21.9	100	0.91	2.02	4.04	<del>1.86 5.29 6.45 3.31 6</del>



**Fig. 1.** Map of the study area with elevation and catchment borders. The not shown catchment *Oberer Rietholzbach* is a subcatchment of the *Rietholzbach*-catchment. The symbols indicate positions of isotope measurement sites of various sources.



**Fig. 2.** Overview scheme of the model modules. Grey boxes represent input data, blue boxes represent data computed by model modules (white boxes).

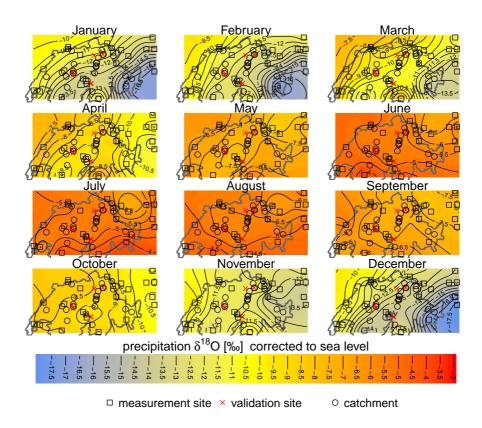
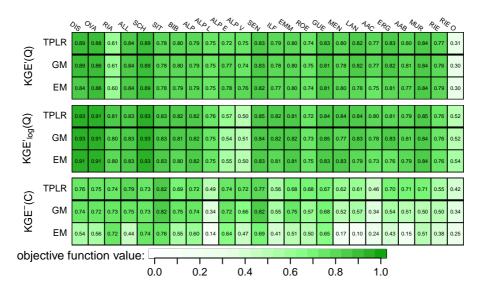
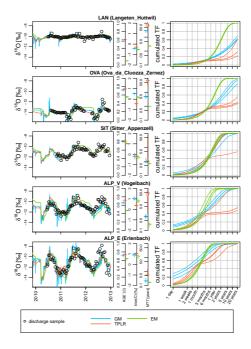


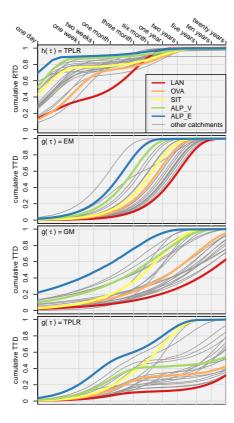
Fig. 3. Monthly maps of interpolated sea level precipitation  $\delta^{18}{\rm O}$  values.



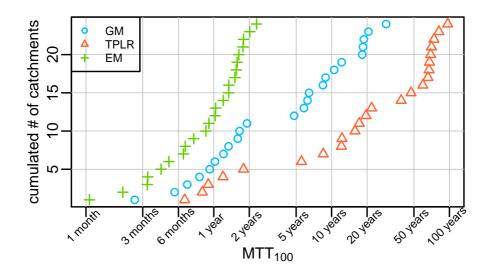
**Fig. 4.** Values of the three objective functions for all catchments for the six\_three\_different transfer functions function types. Asterisks mark normalised transfer functions.



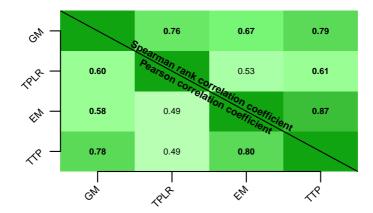
**Fig. 5.** Optimisation results for selected catchments. Left: observed and predicted isotope concentrations in discharge. Right: cumulated TTDs (thinner thicker lines indicate ranges of represent the best median values of all accepted solutions, thinner lines indicate their range). Centre: objective function values for isotopic composition predictions, biases of the predictions and MTTs implied by the optimised TFs; lines indicate the full value range, diamonds indicate the 25to, 50 and 75 percentiles of the best accepted solutions.



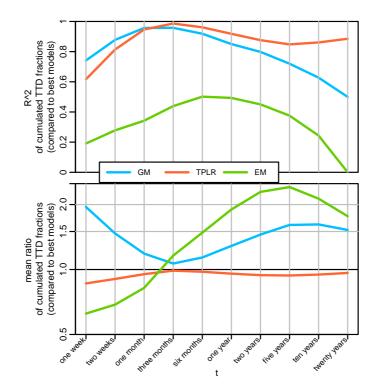
**Fig. 6.** Topleft: cumulated response time distributions (RTD) from the rainfall-discharge module; bottom left and right columnlower three subfigures: cumulated transit time distributions (TTD) for different transfer functions TTDs obtained by the three TF types. The asterisks shown curves are the median values of all (\*i.e. 30–100) in the legends indicate normalised transfer function variants accepted solutions.



**Fig. 7.** Cumulative distribution of catchment mean transit times implied by optimised transfer functions of different MTT estimates based on the three TF types. Normalised transfer function variants are indicated by asterisks.



**Fig. 8.** Combined matrix of Pearson correlation coefficients (lower left) and Spearman rank correlation coefficients (upper right) for MTTs of all catchments derived by the six-three different transfer functions TF types and the TTP. Correlation All correlations were significant (p values < 0.05), correlation coefficients with p values < 0.005 are printed in boldfaceand those with p values>=0.05 are printed in italies.



**Fig. 9.** Comparison of cumulated discharge fractions after certain elapsed times. Top: correlation coefficients between <u>TTDs of</u> specific TFs and a selection of the best <u>TFs-TTDs</u> for each catchment. Bottom: mean value ratios between specific and selected best TFs.

Left: Exemplary transfer function values for different computation approaches; right: Exemplary illustration of the effect of transfer function normalization. All three curves are based on a TPLR transfer function with identical parameters. For a long enough modelling time frame (dashed black line), the normalised curve is close to the actual function (red line). For short modelling time frames normalisation leads to considerable distortion (solid black line).

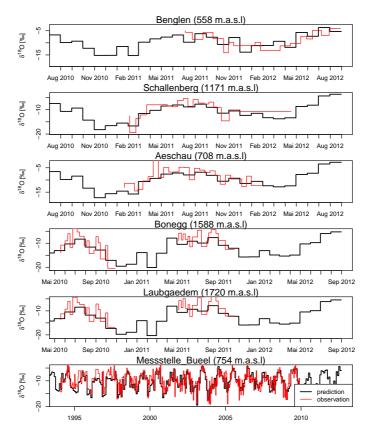


Fig. A1. Comparison between measured  $\delta^{18}$ O values (red lines) in precipitation and values obtained by the spatial interpolation method (black lines.)