Dear Prof. Nunzio Romano,

A detailed sheet showing the changes and progress regarding our manuscript is provided as follows:

- in order to better explain the rationale of our study, the introduction has been improved. Moreover, in the paper, a new test comparing the recharge-displacement correlation between the recharge estimated with our method and a recharge obtained with a common simplification in landslide studies (recharge = precipitation minus non-calibrated ET<sub>0</sub>) has been added. This test shows that our method more faithfully allows to estimate the groundwater recharge. We also propose to slightly modify the title as follows: AN EFFICIENT WORKFLOW TO ACCURATELY COMPUTE GROUNDWATER RECHARGE FOR THE STUDY OF RAINFALL-TRIGGERED DEEP-SEATED LANDSLIDES, APPLICATION TO THE SÉCHILIENNE UNSTABLE SLOPE (WESTERN ALPS).
- a clarification of the data needed to implement the proposed workflow has been added
- the section dealing with the estimation of the recharge-area parameters has been completely rewritten and the figure 1 has been updated accordingly
- the site description (and especially hydrogeology functioning) as well as the explanation of the delimitation of the recharge area have been improved
- the section dealing with the correlation between precipitation-recharge and displacement has been clarified
- results and discussions are now separated
- the benefit of the study regarding the Séchilienne landslide (site specific) has been moved to a new appendix

Number of section	Title 1	Title 1.1	Title 1.1.1	Appendix
Old version	5	15	14	2
New version	5	15	4	3
Difference	0	0	-10	+1

• the manuscript structure has been simplified:

• the manuscript has been shortened:

Number of word	Manuscript	Appendix	Captions	Total
Old version	9814	928	987	11729
New version	8165	1821	971	10957
Difference	-1649	+893	-16	-772

• most of the technical corrections from the two referees have been taken into account

• the manuscript have been proofread a second time by an English hydrogeologist

A detailed point-to-point reply to the comments received is provided in appendix 1 for referee 1 and in appendix 2 for referee 2 of this letter. These appendices are a copy of our answers from the interactive discussion which have been updated with the last changes we made to the submitted manuscript. A marked-up manuscript version is provided in appendix 3.

We agree with most of the referees's comments. For those we disagree with, reasons are developed in Appendices 1 and 2. However, we would like to insist on the fact that our research can bring a great contribution in the landslide community and can lead to a significant improvement of the knowledge of the rainfall-destabilisation relationship of numerous landslide sites. The proposed study is intended for non-hydrologists and shows that an accurate estimation of the recharge is crucial. We provide a guideline workflow to remove this scientific obstacle. We believe that our manuscript should be published as an original research article rather than a technical brief note.

Please let us know if you require further details about the revised manuscript.

Yours sincerely, Aurélien Vallet

# Appendix 1: detailed point-to-point reply to the referee#1's comments

We would like to thank Referee #1 for his/her interest in the topic and for valuable comments to improve the manuscript. A point-by-point response to the comments is as follows:

## **General comments:**

1: The site description, deformation mechanism and rainfall triggering have been improved to explain how the geology and the structural setting influence groundwater circulation and how the groundwater flow path is developed.

The recharge area is defined following geological and hydrogeochemical studies of Vengeon (1998), Guglielmi et al. (2002) and Mudry et Etievant (2007). The following figure shows a sketch of the conceptual groundwater flow defined by Guglielmi et al. (2002). In addition the sensitivity analysis allows to refine the estimation of the recharge parameters if a bias is introduced by the delimitation of the recharge area.



To clarify how the recharge area is delimited, we propose to modify the first paragraph of the section 3.2 (section 4.2 in the initial submitted manuscript) as follow:

'The delimitation of the recharge area of the two-layer hydrosystem (Fig. 3) of the Séchilienne landslide is based on the geological and hydrochemical studies of Vengeon (1998), Guglielmi et al. (2002) and Mudry and Etievant (2007). The recharge area is delimited by the spatial extent of the sedimentary cover of which the hosting perched aquifer recharges the two-layer hydrosystem. Groundwater flow of the entire Mont-Sec massif is controlled by faults and fractures. The N20 fault bordering the sedimentary cover to the east as well as the N-S fault zone bordering the landslide to the east are structures which delimitate the recharge area. The scarcity of information does not allow to accurately define the actual extent of the recharge area. The sensitivity analysis mentioned in Section 2.5 allows to compensate for the possible biases introduced by this uncertainty.'



Further, the figure 3 will integrate the spatial extent of the sedimentary cover:

**2:** In this study, we analyse displacements measured once a day. This measurement is actually a daily displacement and is equivalent to a displacement velocity in mm/day. For the sake of simplicity we propose to use the term displacement instead of daily displacement. We propose to modify the section 2.1 with the following sentences:

'Similarly, this study is based on displacement recorded at a daily time-step. For the sake of simplicity, the daily displacement, equivalent to a velocity measurement in mm/day, is hereafter referred to as displacement.'

In the part of the text preceding these sentences, the displacement will be referred to as displacement velocity.

The method develop to approximate the groundwater saturation state allows to provide a landslide response-time analysis with the shift factor and the cumulative period from the decreasing sum. We propose to elaborate on this point in the appendix C (new appendix of the revised manuscript) with the following sentences and the table 2 (table 6 in the initial submitted manuscript):

'The cumulative period and the shift factor deduced from the antecedent cumulative sum allow to determine the response-time of the Séchilienne landslide to rainfall events. Displacement stations located in the high motion zone show homogenous time delays with shift factors of 2 to 3 days. The average cumulative periods beyond which precipitation or  $R_{LRIW}$  have no longer any influence on the landslide destabilisation are estimated at about 50 days for precipitation and 75 days for  $R_{LRIW}$ . The station G5 shows significantly different time delays and cumulative periods, whatever the precipitation or  $R_{LRIW}$  data used. This difference can be explained by the low signal-to-noise ratio which makes the correlations difficult to interpret.'

Table 2: Statistics of the displacement records and results of the best linear correlation between precipitation/ $R_{LRIW}$  and displacement records for 4 displacement stations (1101, A13, A16 and G5). The displacement column indicates basic statistics of the displacement records:  $1^{st}$  quartile (Q1), median and  $3^{rd}$  quartile (Q3). Cumulative period (n), shift factor ( $\beta$ ) and weighting factor ( $\alpha$ ) are the terms of the equation (3). P stands for precipitation,  $R_1$  stands for  $R_{PMNE}$  and R2 stands for  $R_{LRIW}$ .

Station	Displacement mm/day	Cu p	umulat eriod (	tive (n)	fa	Shif actor	ṫ (β)	Wei	ghting fa (α)	actor		R <sup>2</sup>	
	Q1/median/Q3	Р	$R_1$	$R_2$	Р	$R_1$	$R_2$	Р	$R_1$	<b>R</b> <sub>2</sub>	Р	$R_1$	<b>R</b> <sub>2</sub>
1101	1.75 / 2.50 / 3.84	42	54	68	2	2	2	0.071	0.065	0.091	0.28	0.35	0.50
A13	1.18 / 1.75 / 3.41	52	80	82	3	2	2	0.102	0.070	0.091	0.28	0.37	0.52
A16	1.94 / 2.98 / 4.39	64	71	76	2	2	2	0.163	0.125	0.168	0.34	0.44	0.59
G5	0.02 / 0.05 / 0.08	8	169	132	0	6	6	0.039	0.003	0.011	0.001	0.08	0.24

**3:** We agree with this comment about our paper, but we prefer to wait for the comments of the other referees before addressing this comment

**4:** The revised manuscript will be proof-read by an English native speaker.

# **Specific comment:**

- **1:** modified in the revised manuscript
- **2:** reference added in the revised manuscript
- **3:** modified in the revised manuscript
- **4:** Typesetting error, corrected in the revised manuscript
- **5:** modified to earth flow in the revised manuscript

# Appendix 2: detailed point-to-point reply to the referee#2's comments

We appreciate the thorough and helpful comments of Anonymous Referee #2. A point-bypoint response to the comments is as follows:

#### **General comments:**

A: Foremost, the rationale for the study is not strongly communicated....the authors do not provide a convincing case that the current practice of predicting land-mass movement is inadequate specifically due to the failure to accurately represent groundwater recharge. The reader is left wondering if this work is really needed in the specific case study discussed in this paper.

We agree with this comment. In the introduction, the incriminated sentences are replaced by:

'These approaches can over-estimate the groundwater recharge and can thus bias the characterisation of the relationship between rainfall and destabilisation. A more accurate estimation of the groundwater recharge signal can improve the accuracy of these studies.'

Please refer to additional answers to this comment in the answers to the specific comments 3 and 22.

B: The authors also present this work as a method that can be readily adapted and used by practitioners and non-hydrologist... it is doubtful that this method can be easily adapted and used by practitioners or other researchers.

We agree with this comment. The revised manuscript has been modified accordingly (please refer to the answer to the specific comment 1).

C: The soil-water-balance model is used in this paper to estimate groundwater recharge.

There is no evidence provided to indicate if the model is even remotely accurate (e.g. measurements of water table fluctuations)... of the utility of their more complicated scheme. These points are further discussed in specific comment 22.

Please refer to the answer to the specific comment 22

D: In my opinion, a workflow, which presents no new quantitative representation of any process, does not constitute new scientific knowledge. It could be a potentially useful tool for practitioners. As such, I recommend that when this article is resubmitted, it is resubmitted as a technical brief rather than an original research article.

Although the proposed workflow does not constitute a new scientific progress for hydrologists for who the recharge characterisation is a common knowledge, this is not the case of the scientific community working on landslides. Indeed, several studies estimate the recharge without calibration of the ET<sub>0</sub> reduced-set methods and without soil-water balance by only subtracting the evapotranspiration from the precipitation data or by the use of empirical methods (Canuti et al., 1985; Alfonsi, 1997; Hong et al., 2005; Binet et al., 2007b; Durville et al., 2009; Pisani et al., 2010; Prokešová et al., 2013). In addition, several studies use precipitation data instead of recharge (Rochet et al., 1994; Zêzere et al., 2005; Meric et al., 2006; Helmstetter and Garambois, 2010; Belle et al., 2013). The proposed study is intended for non-hydrologists and aims at showing that an accurate estimation of the recharge is crucial and we provide a guideline workflow to remove this scientific obstacle. For all these reasons, we consider that our manuscript should be published as an original research article rather a technical brief note. In addition, this manuscript was initially submitted to NHESS at the intention of the landslide scientific community, but was rejected before review. The reason of the rejection was "out of scope for NHESS", and the editor told us to submit our manuscript to HESS.

I would strongly encourage the authors to develop a simple software tool (in Microsoft Excel, or other readily available platform like R). The authors suggest this was one of their primary motivations. Providing a readily usable tool might prompt people to use this workflow, otherwise it is doubtful that many people will wade through this 18-page methods section and appendices and develop their own software to execute the workflow.

We are aware that the implementation of the workflow for a non-hydrologist mainly interested in characterising the rainfall-destabilisation relationship can be laborious. We have been planning to develop a free software is the near future but, before starting this development, we logically wait for the validation of the scientific rationale of the proposed workflow. The software will be based on this manuscript and will require an additional detailed user guide. The software in the form of either a standalone software or a toolbox from an available platform such as R or Matlab (still in discussion). As the purpose of this work is to provide a readily usable tool, we will develop this software with a software engineer in order to design an easy-to-use and friendly interface. This clarification is added in the conclusion:

*Within this scope, a software is planned to be developed in the near future in order to provide a user-friendly tool for recharge estimation.* 

# **Specific comments/questions:**

1: We agree with this comment. It is modified in the revised manuscript as follows:

'A workflow to compute daily groundwater recharge is developed. This workflow requires the records of precipitation, air temperature, relative humidity, solar radiation and wind speed within or close to the landslide area. The determination of the parameters of the recharge area is based on a spatial analysis requiring field observations and spatial datasets (digital elevation models, aerial photographs and geological maps).'

2: We agree with this comment. It is modified in the revised manuscript.

3: We agree with this comment. The introduction of the revised manuscript has been modified.

Regarding the already published studies, given the difficulty to obtain the complete dataset and the details of the methods used in these studies, we could not recalculate the recharge and therefore we cannot determine the benefit of our method for these studies. Moreover, to carry out such recalculations would require several months and would bring the manuscript to an unacceptable length. We rather propose a new test, based on a suggestion in the specific comment 22, which allows the reader to realize the benefit of our method with respect to one common assumption related to the estimation of the recharge (please refer to answer to the specific comment 22).

Regarding the following comment: Again, more detail is needed here about what exactly is wrong with the assumption that the infiltration rate at the soil surface is equivalent to precipitation.

We apologise for this ambiguous wording. By "infiltration", we mean "deep percolation". This was modified in the revised manuscript and replaced by recharge.

4: We agree with this comment. It is modified in the revised manuscript.

5: We agree with this comment and we follow the recommendation of Referee 2 by inserting his suggested sentences in the revised manuscript. However we do not insert the following sentence "In principle, the actual groundwater recharge flux controls the dynamics of

pore-water pressures and water table fluctuations, rather than the precipitation flux at the land surface". Instead, we suggest to insert the following sentence in the introduction of the revised manuscript 'In the absence of piezometric measurements, the groundwater recharge is used as the most relevant parameter to characterize the pore water pressure of the landslide aquifers'.

6: We agree with this comment. The entire section 2.1 is deleted in the revised manuscript. Only the sentences from lines 5 to 12 (p 6347) and from lines 24 (p 6347) to 2 (p 6348) are kept and moved to the section 2.2.

7: We partly agree with this comment.

In the revised manuscript, the standard equation FAO-56 PM is now defined in the introduction. Appendix A with the details equations is now announced in the beginning of the sub-sections 2.2.1 and 2.2.2.

The calibration of the reduced-set equations is a common method acknowledged by the scientific community (Allen et al., 1994; Itenfisu et al., 2003; Alkaeed et al., 2006; Lu et al., 2005; Tabari et al., 2013; Alexandris et al., 2008; Shahidian et al., 2012). We refer the reader to these studies. However, we agree with Referee 2 to move the statement from page 6345 (line 18-20) and to be more explicit. The following sentence is added to the section 2.2 (section 2.3 in the initial submitted manuscript):

 ${}^{\circ}ET_0$  reduced-set and  $R_s$  temperature methods were initially developed for given regions or sites with their own climatic conditions and must be calibrated to take into account the weather conditions of the study site. Details about calibration can be found in the literature (Allen et al., 1994; Itenfisu et al., 2003; Lu et al., 2005; Alkaeed et al., 2006; Alexandris et al., 2008; Shahidian et al., 2012; Tabari et al., 2013).

The purpose of the calibration is to account for the weather conditions specific to the study site. Although three stations can appear as a small sample size, the network density of weather stations recording the required parameters at a daily rate is generally weak. Increasing the number of reference stations can lead to use remote stations that might be located in remote areas not representative of the climatic conditions of the study site. The user has to maintain a balance between the sample size and the representativeness of the reference weather stations. One reference weather station can be sufficient, provided that the weather conditions are the

same at the reference station as at the study site. In the case of the Séchilienne landslide, in order to rely on three stations, we had to look for stations located as 60 kilometres from the study site. The section 2.2 (section 2.3 in the initial submitted manuscript) is modified as follows in the revised manuscript:

'The user has to maintain a balance between the number of selected reference stations and the necessity for these stations to be located in areas with climatic conditions similar to those of the study site.'

The median is an interesting estimator if the data number is significantly high or if the studied dataset shows outliers. In the proposed calibration, the number of required weather reference stations can be limited. The selected reference weather station(s) should be representative of the study site conditions and the calibration coefficient should be within the same range. Consequently, the median estimator is not relevant and the calibration parameters should be within the same range (no outliers). The average estimator allows integrating in one estimator small variations between the various reference stations used. We do not think we need to elaborate on that point in the required effort to reduce the manuscript length.

8: We agree with this comment. The paragraph is clarified in the revised manuscript as follows:

'The performance assessment of regional-scale calibrated methods is based on the comparison between observed measurements and calibrated estimates for  $R_s$  and between FAO-56 PM estimates and calibrated estimates for  $ET_0$  for each reference weather station.'

Regarding the sub-comment "**though again, we have not yet seen the actual Equations**" in the revised manuscript, the standard equation FAO-56 PM is now defined in the introduction. Appendix A with detailed equations is now announced in the beginning of the sub-sections 2.2.1 and 2.2.2.

9: We agree with this comment. The revised manuscript has been revised accordingly.

10: We agree with this comment. The equations pertaining to solar radiation have been moved to the Appendix A.

11: We agree with this comment. The manuscript has been revised as follows:

'The  $\alpha$  coefficient is applied for the two first rain-event days since, for a rain period longer than two days, the value of the Rs estimated from  $\Delta T$  and the actual  $R_s$  value become almost identical.'

12: We agree with this comment. The first part of this comment (Page 6353; lines 10-18: The description of methods here is wholly inadequate. You say, "For one given parameter, the recharge area was divided into sub-areas, each being characterized by a constant value estimated according to field measurements, literature values or calculation." A methods section should be written with sufficient detail that another scientist could replicate your work based solely on its description within the manuscript. That would be impossible given only this description of how the average parameter values were determined based on landscape characteristics. The subsections that follow (within section 2.4) are similarly vague. For example, in section 2.4.2 the authors state that "SAWC is deduced from soil properties (type of horizon, texture and bulk density) and depth extent from auger hole cores, using a pedotransfer function." Did you actually measure the soil texture and bulk density using a laboratory method, or did you assume a value based on some soil survey data?) has been addressed by a complete rewriting of the incriminated section and by a modification of the figure 1.

Regarding the second part of this comment (Did you assume that the maximum depth of your auger hole was the maximum depth of the soil? Or do you have other information that indicates the depth of the soil? What is the depth to bedrock, and is the bedrock impermeable, fractured, other? Do you think one core is sufficient to extrapolate to the entire sub-area for which you are estimating the SAWC parameter? Soil texture and hydraulic properties can vary by orders of magnitude over small distances.), our answer is as follows:

All these questions need no longer to be asked because our analysis just requires rough estimates of the various parameters. These estimates will subsequently be refined by a sensitivity analysis.

Regarding the third part of this comment (Last, you state that the dependency of SAWC on vegetation species is taken into account through the Kc coefficient. More detail is needed here. The description of Kc in the preceding section indicates that it is a function of vegetation height, albedo, canopy resistance and soil evaporation. It is not immediately

apparent how any of those factors are related to the SAWC, which is a theoretical (and questionable) value indicating the fraction of the total soil-pore volume that can be utilized by plants for solution uptake. Also, you already stated that the SAWC was estimated from a pedotransfer function (all of which are rough approximations for any individual soil), so how is that estimate of SAWC from the pedotransfer function modified based on the Kc coefficient?), our answer is as follows:

The  $K_c$  coefficient takes into account the specificity of the vegetation involved in the evapotranspiration process and therefore integrates the specific extent of the root zone. This point is not necessary to understand the method and is removed from the revised manuscript.

13: The estimated runoff in our study includes both the overland flow and the subsurface flow. The distinction between the two is therefore useless.

14: We do not entirely agree with this comment. Since this study also targets nonhydrologists, we believe it is important to keep this section to help the reader to understand the soil-water balance procedure.

15: We agree with this comment. The revised manuscript has been modified accordingly.

16: According to Verstraeten et al. (2005), the specific vegetation evapotranspiration ( $ET_c$ ) is a lumped parameter including potential transpiration, potential soil evaporation and canopy interception evaporation. This is why, in our approach, the interception component does not appear on the diagram of Figure 2b since it is taken into account by the  $ET_c$ . We agree with Referee 2 that this paragraph is confusing regarding the interception component. The paragraph is modified as follows:

'The  $ET_c$  is a lumped parameter including potential transpiration, potential soil evaporation and canopy interception evaporation (Verstraeten et al., 2005). In the proposed computation diagram workflow (Fig. 2B) the interception component is therefore integrated in the  $ET_c$ component.'

17: We agree with this comment and we modified the revised manuscript accordingly.

18: We agree with this comment. The phrase 'aquifer saturation state' has been removed from the manuscript. Same for 'decreasing sum'.

19: We agree with this comment and the manuscript is modified accordingly as follows:

The correlation between water input and displacement requires measurements of landslide displacements at the same temporal frequency (daily frequency in this study) as the measurements of water input (precipitation or recharge). The groundwater hydrodynamic processes in aquifers are non-linear. A former rainfall event displays less impact (though not negligible) than a recent one on the aquifer hydrodynamic fluctuations (Canuti et al., 1985; Crozier, 1986; Diodato et al., 2014). The daily precipitation/recharge time series cannot therefore be used without appropriate corrections. An antecedent cumulative sum of precipitation/recharge time series (Eq. (3)). The antecedent cumulative sum allows to approximate the daily triggering impact of the aquifer ATI on the landslide destabilisation. In order to take into account the groundwater transit time, a  $\beta$  time-lag factor is introduced. This factor can shift the moving window from the target date t.

$$ATI_{t} = \sum_{i=t+\beta}^{t+\beta+n} \frac{W_{i}}{1+\alpha \ (i-(t+\beta))}$$
(3)

where:

ATI<sub>t</sub> Aquifer Triggering Impact at the date t (in mm)

 $\beta$  time shift of the moving window (in days)

*i*  $i^{th}$  day from the date t ( $i=t+\beta$ : start of the moving window and  $i=t+\beta+n$ : end of the moving window)

*n length of the moving window of the cumulative period (in days)* 

 $W_i$  water input, i.e., precipitation or recharge at the *i*<sup>th</sup> day (in mm)

 $\alpha$  weighting factor

An iterative grid search algorithm is used to find the optimal set of parameters of the antecedent cumulative sum. The optimal set of parameters is the set that maximizes the correlation performance itself based on the  $R^2$  indicator. The grid search algorithm investigates the following parameter ranges: n from 1 to 250 days (increment: 1 day),  $\alpha$  from 0 to 0.5 (increment: 0.0001) and  $\beta$  from 1 to 10 days (increment: 1 day).

20: The site description is improved in the revised manuscript. For further details please refer to the answer to the general comment 1 of Referee 1.

21: We partly agree with this comment which is actually more general than specific. We added a one-page long "general workflow" section that summarizes the workflow. So far, the revised manuscript is more than one thousand words shorter than the previous submission. We prefer to separate the method details from the application of the method to the Séchilienne landslide. By doing so, any reader who is interested either by the method or by the results for the Séchilienne landslide can select the relevant part.

22: We are aware of the existence of recharge-weighting functions, but these functions are used in the case of tracer-based studies. In our opinion, relying only on  $ET_0$  and precipitation data, and without tracer data, the recharge-weighting functions cannot be used in this study.

Regarding the comment (**Comparing estimated recharge versus precipitation is a fairly** weak test. We know, in principle, that recharge is more relevant than simply precipitation for influencing pore-water pressure.), we answer as follows:

First, several landslide studies use precipitation data instead of the recharge (Rochet et al., 1994; Zêzere et al., 2005; Meric et al., 2006; Helmstetter and Garambois, 2010; Belle et al., 2013). This demonstrates that our precipitation vs. recharge test is not an useless effort.

Furthermore, following Referee 2 comment, we carried out an additional test to compare the performance of our proposed method with an estimated recharge signal itself obtained with the commonly used simplification: Recharge = precipitation minus *non-calibrated*  $ET_0$ , as used by the following authors (Canuti et al., 1985; Binet et al., 2007b; Pisani et al., 2010; Prokešová et al., 2013). In this additional test, we use the non-calibrated Turc evapotranspiration equation as it is the most appropriate equation for the Séchilienne site. Indeed, the Turc equation has been developed initially for the French climate.

In the revised manuscript, the recharge estimated with our workflow (named LRIW in the revised manuscript: Landslide Recharge Input Workflow) is called  $R_{LRIW}$  and the recharge estimated by subtracting the non-calibrated  $ET_0$  from precipitation is called  $R_{PMNE}$  (PMNE standing for Precipitation Minus Non-calibrated  $ET_0$ ).

Accordingly, new Null Hypothesis tests have been performed as follows:

To estimate whether the  $R_{PMNE}$ /displacement correlation  $R^2$  is significantly better than the precipitation/displacement correlation  $R^2$  value, the Null Hypothesis 1 (NH1) is tested. The

NH1 states that the  $R_{PMNE}$ /displacement correlation  $R^2$  value is not significantly greater than the  $R^2$  value obtained from precipitation. In other words, the NH1 statistic test is the difference between the  $R_{PMNE} R^2$  value and the precipitation  $R^2$  value, expected to be 0 if no difference. Similarly, the Null Hypothesis 2 (NH2) and the Null Hypothesis 3 (NH3) are tested. NH2 estimates whether the  $R_{LRIW}$ /displacement correlation  $R^2$  is significantly better than the precipitation/displacement correlation  $R^2$  value. NH3 estimates whether the  $R_{LRIW}$ /displacement correlation  $R^2$  is significantly better than the  $R_{PMNE}$ /displacement correlation  $R^2$  value.

The results of this additional test are added in the revised manuscript and Figure 10 is modified as follows:

Figure 10 summarizes the comparison of the performances between the precipitation, the  $R_{PMNE}$  and the  $R_{LRIW}$  based on the NH1, NH2 and NH3 tests for the four extensometers. All LBCI values from bootstrap testing of NH1, NH2 and NH3 are greater than zero, allowing to reject the three null hypotheses for the four stations (Fig. 2A). Rejection of the NH1 null hypothesis shows that  $R^2$  obtained with  $R_{PMNE}$  are significantly higher than those computed with precipitation. Rejection of the NH2 null hypothesis shows that  $R^2$  obtained with precipitation. Similarly, rejection of the NH2 null hypothesis shows that  $R^2$  obtained with  $R_{LRIW}$  are significantly higher than those computed with precipitation. Similarly, rejection of the NH3 null hypothesis shows that  $R^2$  obtained with  $R_{LRIW}$  are significantly higher than those computed with  $R_{PMNE}$ .  $R^2$  values vary from 0.0006 to 0.343 for precipitation, from 0.076 to 0.444 for  $R_{PMNE}$  and from 0.243 to 0.586 for  $R_{LRIW}$ , for G5 and A16 extensometer respectively (Table 2). On average,  $R_{PMNE}$  allows to increase the  $R^2$  by 78% (Fig. 2B). The  $R^2$  obtained with  $R_{LRIW}$  are 38% higher on average than those obtained with  $R_{PMNE}$ .

These results are confirmed by the LBCI and by the observed values of the NH2 test which are always greater than those from the NH1 test as well as by the positive LBCI values of the NH3 test (Fig. 10). The correlation performance for the recharge estimated with the LRIW method significantly exceeds the performances of the two other signals, making the LRIW method particularly appropriate to be used in landslide studies. A discussion about the benefit of this study for the understanding of the rainfall-displacement relationship in the case of the Séchilienne landslide can be found in appendix C.'



Figure 10: Performance of the LRIW workflow. A: Bootstrap distribution of null hypothesis NH1, NH2 and NH3 tests for four displacement recording stations. LBCI is the lower bound of the confidence interval. B:  $R^2$  values for the four displacement recording stations obtained with the precipitation, recharge-PMNE, and recharge-LRIW. LBCI is the lower bound of the confidence interval. G5 station is disregarded in the calculation of the performance average variation calculation since the  $R^2$  value obtained at G5 from precipitation is close to 0, therefore leading to a non-representative variation.

# **Technical corrections:**

Most technical corrections have been taken into account. Those not taken into account are discussed below:

Page 6366: methods rather than results. We partly agree with this comment.

Lines 5 to 10 are moved to the section 'Application to the Séchilienne landslide'. The rest is kept at the same place as it is the result of the GIS composite analysis.

#### Page 6389: relative error of 25% seems non-trivial.

We misused the phrase 'relative error'. In the former manuscript, the coefficient of variation of the RMSE (root mean square error) should have been used instead of 'relative error'. The CV(RMSE) is equal to RMSE divided by the observed dataset mean. The CV(RMSE) indicator is used to compare models with different units, which is not the case of this study. In the revised manuscript, the CV(RMSE) is replaced by the RMSE performance indicator. Table 3 is modified as follows:

Table 3: Calibration and performance of the five tested  $ET_0$  methods relatively to the FAO-56 PM  $ET_0$  standard (Penman-Monteith method defined in the FAO-56 paper). All the  $ET_0$  methods are detailed in the appendix A. a, b and R<sup>2</sup> are the results of linear regression between FAO-56 PM  $ET_0$  and tested  $ET_0$  methods. RMSE is the root mean square error

Method	a	b	$R^2$	RMSE
HS Et <sub>0</sub>	0.920	0.130	0.917	0.548
Turc ET <sub>0</sub>	0.880	0.434	0.900	0.588
PS ET <sub>0</sub>	0.352	0.365	0.919	0.533
M ET <sub>0</sub>	1.107	-0.018	0.910	0.565
PM <sub>red</sub> ET <sub>0</sub>	0.994	0.013	0.932	0.505

# **Appendix 3: marked-up manuscript version**

A new method to compute the groundwater recharge for the study of rainfall-triggered deep seated landslides. Application to the Séchilienne unstable slope (western Alps).

#### 3 A. Vallet<sup>1</sup>, C. Bertrand<sup>1</sup>, O. Fabbri<sup>1</sup>, J. Mudry<sup>1</sup>

4 [1] (CNRS:AN EFFICIENT WORKFLOW TO ACCURATELY COMPUTE
5 GROUNDWATER RECHARGE FOR THE STUDY OF RAINFALL-TRIGGERED DEEP6 SEATED LANDSLIDES, APPLICATION TO THE SÉCHILIENNE UNSTABLE SLOPE
7 (WESTERN ALPS)

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#### 13 Abstract

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14 Pore water pressure builtbuild-up by recharge of underground hydrosystems is one of the main triggering factors of deep-seated landslides. Groundwater recharge, which is the 15 contribution of the precipitation to the recharge of the saturated zone, is a significant 16 parameter. However, in landslide studies, methods and recharge area parameters used to 17 18 determine the groundwater recharge amount are rarely detailed. Currently, no turnkey method 19 has been proposed to simply and accurately estimate the groundwater recharge. In this study, the groundwater recharge is estimated with a soil water balance based on characterization of 20 21 evapotranspiration, soil available water capacity and runoff. Although evapotranspiration estimation is a data-demanding method, many landslide sites have limited meteorological 22 23 datasets. A workflow method is developed to compute daily groundwater recharge. The method requires only temperature and precipitation as inputs. Soil available water capacity 24 and runoff quantities are determined from field observations and spatial datasets using a 25 spatial composite approach before being refined with a sensitivity analysis. The proposed 26 method is developed to be as versatile as possible in order to be readily applied to other 27 landslide sites, and to be sufficiently simple to be used by any specialist who intends to 28 29 characterise the relationship between rainfall and landslide displacements. Moreover, this method can be applied to any other parameters, as long as these parameters have a 30 relationship with groundwater recharge. This study demonstrates that, for the Séchilienne 31 32 landslide, the performance of the correlation between rainfall and displacement is 33 significantly improved with groundwater recharge (average R<sup>±</sup> of 0.46) compared to results obtained with precipitation data (average  $R^2$  of 0.25). 34

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## **1. Introduction**

Groundwater recharge (hereinafter called recharge) is the part of the precipitation which
recharges the saturated zone (aquifer). In mostPatwardhan et al. (1990) showed that the soilwater balance method is an accurate way to estimate recharge. Recharge computation with a
soil-water balance depends mainly on the surface runoff, the soil available water capacity
(SAWC) and the specific vegetation (so called crop) evapotranspiration (ET<sub>e</sub>, also referred as
potential evapotranspiration) which is deduced from reference vegetation evapotranspiration
(ET<sub>0</sub>). The Penman Monteith method (Allen et al., 1998) is the widely acknowledged
standard method to estimate ET<sub>0</sub>. This method requires the knowledge of the relative
humidity, the temperature, the wind speed and the solar radiation.

However. most weather stations in landslide areas record only temperature and rainfall. 13 Additionally, solar radiation and relative humidity measurements are subject to drift and 14 15 inaccuracies leading to bias in evapotranspiration computation (Samani, 2000; Droogers and Allen, 2002). Alternate methods based on empirical or physical equations using a reduced 16 17 meteorological dataset (reduced-set in short) allow a simpler expression of ET<sub>0</sub> based only on temperature and/or solar radiation (Tabari et al., 2013). Alongside, reduced-set methods have 18 also been developed to estimate solar radiation based on temperature records only (Almorox, 19 20 2011). Combination of ET<sub>0</sub> and solar radiation reduced set methods allow an estimation of 21 ET<sub>0</sub>\_suitable for landslide analyses by requiring only temperature records. Reduced-set 22 methods were developed under specific site conditions and must be calibrated in order to improve accuracy (Allen et al., 1994; Shahidian et al., 2012). 23

24 Pore water pressure built up by recharge of the aquifer(s) is one of the main triggering factors of motion of deep-seated landslides (Noverraz et al., 1998; Van Asch et al., 1999; Bonzanigo 25 et al., 2001; Guglielmi et al., 2005; Bogaard et al., 2007). In most natural deep-seated 26 27 landslides, pore water pressure data are not available since piezometers, if any, have a very short lifespan because of slope movements. As a consequence, indirect parameters, such as 28 29 the calculated recharge, are the only data which enable to understand landslide hydrodynamic 30 behaviour. In this context, recharge is a crucial parameter to estimate. However, in landslide 31 studies, methods and recharge-area parameters used to determine the groundwater recharge 32 are rarely detailed. In this study, the groundwater recharge is estimated with a soil-water 33 balance based on characterization of evapotranspiration and parameters characterising the 34 recharge area (soil-available water-capacity, runoff and vegetation coefficient). A workflow 35 to compute daily groundwater recharge is developed. This workflow requires the records of precipitation, air temperature, relative humidity, solar radiation and wind speed within or 36 37 close to the landslide area. The determination of the parameters of the recharge area is based 38 on a spatial analysis requiring field observations and spatial datasets (digital elevation models, aerial photographs and geological maps). This study demonstrates that the performance of the 39 40 correlation with landslide displacement velocity data is significantly improved using the recharge estimated with the proposed workflow. The coefficient of determination obtained 41 42 with the recharge estimated with the proposed workflow is 78% higher on average than that obtained with precipitation, and is 38% higher on average than that obtained with recharge 43 44 computed with a commonly used simplification in landslide studies (recharge = precipitation minus non-calibrated evapotranspiration method). 45

In most cases, deep-seated landslide studies take into account recharge, either without calibration of the ET<sub>0</sub> reduced set methods

# **<u>1. Introduction</u>**

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14 Pore water pressure build-up by recharge of aquifers is one of the main triggering factors of destabilisation of deep-seated landslides (BinetNoverraz et al., 2007; Durville\_1998; Van 15 Asch et al., 2009; Pisani 1999; Guglielmi et al., 2010 2005; Bogaard et al., 2007; Bonzanigo 16 17 et al., 2007), or with the use of elaborate or indirect methods. In most deep-seated landslides, 18 pore water pressure data are not available since piezometers, if any, have a very short lifespan 19 because of slope movements. In addition, landslides show heterogeneous, anisotropic and 20 discontinuous properties (Hong et al., 2005; Cappa et al., 2006; Prokešová2004; Binet et al., 20132007a). Some studies have used precipitation data as an infiltration input signal and 21 22 local measurements are rarely representative of the overall behaviour of the landslide aquifers. In the absence of piezometric measurements, the groundwater recharge is used as the most 23 24 relevant parameter to characterize the pore water pressure of the landslide aquifers. 25 Groundwater recharge (hereafter recharge), also referred to as deep percolation, is the part of 26 the precipitation which recharges the saturated zones (aquifers).

27 Landslide studies involve a wide range of specialities (sub-surface geophysics, structural geology, modelling, geotechnics, and geomechanics). Scientists or engineers in charge of 28 29 landslides may not have the required hydrology knowledge to accurately estimate the 30 recharge. In most cases, deep-seated landslide studies devoted to characterise the rainfall-31 destabilisation relationships do not take into account recharge with enough accuracy. In 32 particular, some studies estimate the recharge without calibration of the evapotranspiration estimation methods and without soil-water balance (RochetCanuti et al., 19941985; Alfonsi, 33 1997; ZêzereHong et al., 2005; MericBinet et al., 2006; Zizioli 2007b; Durville et al., 2009; 34 Pisani et al., 2010; Prokešová et al., 2013). These approaches can lead to significant errors in 35 36 estimates of infiltration and tend to under-estimate or over-estimate the destabilisation triggered by rainfall. In addition, in these studies, the methods and the recharge area 37 38 parameters used to determine the recharge are rarely detailed and no turnkey method has so 39 far been proposed to estimate simply and accurately the recharge.

40 The purpose of this study is to develop an efficient method in order to take into consideration

41 the recharge in the studies of landslides with limited meteorological dataset. The objective of

- 42 the method is to improve the reliability in calculation for the widest possible audience, by
- 43 balancing the technical complexity and the accuracy. Indeed, landslide studies involve a wide

 range of specialities (sub-surface geophysics, structural geology, modelling, geotechnics, and geomechanics), for which scientists do not necessarily have the required hydrology training, but are nevertheless capable of following a simplified and robust method to compute the recharge.

To demonstrate that an accurate estimation of the recharge improves the characterization of the groundwater conditions which trigger the motion of deep-seated landslides, a simple linear correlation between recharge and displacement signals is carried out. The aim of the demonstration is to prove that recharge is a more relevant parameter than precipitation for accounting for the motion of deep seated landslides, and is performed with no intention to model or to quantify the displacement.

## 2. Strategy and Methods 2.1.Recharge computation strategy

13 The computation of the recharge has been simplified and detailed to increase its utility for the widest possible audience, without losing the accuracy required for its intended purpose (the 14 15 prediction of slope displacement). The concept of requisite simplicity. Lastly, several studies use precipitation data instead of the recharge (Stirzaker et al., 2010)(Rochet et al., 1994; 16 17 Zêzere et al., 2005; Meric et al., 2006; Helmstetter and Garambois, 2010; Belle et al., 2013). 18 These approaches can over-estimate the groundwater recharge and can thus bias the 19 characterisation of the relationship between rainfall and destabilisation. A more accurate 20 estimation of the groundwater recharge signal can improve the accuracy of these studies. So 21 far, no computation workflow has been proposed to estimate simply and accurately the recharge in the context of landslide studies. 22

Patwardhan et al. has been central to the design of an efficient method, balancing technical
 accuracy with utility for non hydrologist users. It is thought that the method developed in this
 study is suitable for a typical scenario concerning both the availability of data for the site and
 the technical background of the user.

27 With respect to this aim, only the soil available water capacity (SAWC), the runoff 28 coefficient, the vegetation coefficient and the evapotranspiration have been taken into account 29 over the recharge area (averaged estimation for the whole recharge area). Evapotranspiration 30 is the major factor influencing the recharge signal. Surface runoff is also a significant process 31 to determine in order to accurately estimate the recharge. This is particularly true in 32 mountainous areas or in areas prone to intense storms. Additional parameters such as the 33 exposure to solar radiation or the influence of the unsatured zone and discrete calculation 34 could be taken into account, but at the expense of a greater complexity, with no guarantee of 35 significantly improving the accuracy.

36 Typically, the deeper the aguifer, the slower the recharge and the more smoothed the recharge 37 signal. However, a landslide is not a homogeneous medium. A part of the groundwater flows 38 is slow and occur over several months, while another part is rapid and occur over a few days 39 (Mudry and Etievant, 2007)(1990). Both slow and rapid flows play a role in landslide 40 destabilization. A monthly resolution is therefore too long to take the groundwater response 41 into account in the analysis. For a deep seated landslide triggered by a deep water saturated 42 zone, the impact of a multi-day cumulative rainfall is far more significant than rainfall duration or intensity (Guzzetti et al., 2008). The hourly rainfall input signal is smoothed 43 44 through hydrogeologic processes, depending on the hydrosystem inertia and connectivity. For 45 these reasons, this study is based on a daily time-step. The availability of the environmental

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data on a daily resolution determines which weather stations should be selected to supply the
 input data.

# 2.2.Method workflow

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4 The recharge method workflow (Figure 1) includes three steps. The first step showed that the 5 soil-water balance method is an accurate way to estimate groundwater recharge. Recharge 6 computation with a soil-water balance depends mainly on the surface runoff, the soil-available 7 water-capacity (SAWC) and the specific vegetation (so-called crop) evapotranspiration (ET<sub>c</sub>, 8 also referred to as potential evapotranspiration), itself being deduced from reference 9 vegetation evapotranspiration  $(ET_0)$  with a vegetation coefficient  $(K_c)$ . The Penman-Monteith 10 method (Eq. (A6) in appendix A)), hereafter referred to as the  $ET_0$  standard equation or FAO-56 PM, developed in the paper FAO-56 (Food and Agriculture Organization of the United 11 12 Nations) is considered by the scientific community as a global standard method to estimate ET<sub>0</sub> worldwide (Jensen et al., 1990; Allen et al., 1998). This method requires the knowledge 13 14 of the air relative humidity, the air temperature, the wind speed and the solar radiation. However, most weather stations in landslide areas record only air temperature and rainfall. 15 16 Unlike the FAO-56 PM method, methods based only on air temperature and solar radiation 17  $(R_s)$  allow a simpler expression of  $ET_0$  (Tabari et al., 2013). Besides,  $R_s$  can also be estimated 18 only from air temperature (Almorox, 2011), thus allowing  $ET_0$  to be obtained only from air 19 temperature records. These reduced-set methods are developed under specific site conditions 20 and must be calibrated in order to improve accuracy (Allen et al., 1994; Shahidian et al., 21 2012).

22 The objective of this study is to develop a parsimonious, yet robust, guideline workflow to 23 calculate time series of groundwater recharge at the scale of the recharge area, time series that 24 can subsequently be used as a deterministic variable in landslide studies. To maximize the 25 accessibility to diverse user groups, we strive to develop an efficient method, balancing 26 technical accuracy with operational simplicity. The proposed workflow is applied on the 27 deep-seated Séchilienne landslide. To test its utility, a correlation analysis is used to evaluate whether the calculated groundwater recharge is more strongly correlated with measured land 28 29 mass displacement velocities than with precipitation or with recharge estimated with a 30 common simplification in landslide studies (recharge = precipitation minus non-calibrated ET<sub>0</sub>; Canuti et al., 1985; Binet et al., 2007; Pisani et al., 2010; Prokešová et al., 2013). The 31 32 significance of the correlations is assessed with bootstrap tests. The proposed study aims at 33 showing that an accurate estimation of the recharge can significantly improve the results of 34 rainfall-displacement studies.

# 2. Method 2.1.General workflow

37 In the case of deep-seated landslides triggered by deep water-saturated zones, the impact of a 38 multi-day cumulative rainfall is far more significant than rainfall duration or intensity (Van 39 Asch et al., 1999; Guzzetti et al., 2008). For these reasons, the workflow is developed to 40 compute daily groundwater recharge. Similarly, this study is based on displacement recorded 41 at a daily time-step. For the sake of simplicity, the daily displacement, equivalent to a velocity 42 measurement in mm/day, is hereafter referred to as displacement. The groundwater recharge 43 is estimated with a soil-water balance based on characterization of  $ET_0$  and parameters 44 characterising the recharge area (SAWC, runoff and K<sub>c</sub>). The computation workflow (Fig. 1), 45 hereafter referred to as LRIW (Landslide Recharge Input Workflow), includes four steps.

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1 The estimation of the  $ET_0$  requires the records of air temperature within the landslide area and 2 relative humidity, solar radiation and wind speed within or close to the landslide area. In the 3 case of a landslide-located weather station recording only the temperature, the first step 4 (detailed in section 1.1) consists of a regional calibration of reference vegetation 5 evapotranspiration ( $ET_0$ ) and solar radiation ( $R_s$ ) reduced-set methods, with respect to the 6 standard evapotranspiration method and direct measurements using reference weather stations 7 recording all required parameters (equations (equations detailed in section 2.3). 8 Calibrated appendix A). The calibrated methods then allow to estimate evapotranspiration at 9 the landslide site equipped with a weather station measuringbased only on temperature 10 records. In the case of a landslide weather station recording the full set of parameters, the first step can be skipped and the FAO-56 PM method can then be used to estimate  $ET_0$  The second 11 step (detailed in section 2.3) consists in estimating the vegetation coefficient, the SAWC, and 12 13 therecharge-area parameters (surface runoff-coefficient across the recharge area, SAWC and 14 K<sub>c</sub>) using a GIS (Geographic Information Systems) composite method (detailed in section 15 2.4 requiring field observations and spatial datasets (digital elevation models, aerial 16 photographs and geological maps). The third step (detailed in section 2.4) uses a soil-water balance to estimate the recharge with <u>calibrated</u> the estimated  $ET_0$  and  $R_s$  reduced-set 17 18 methods, and the estimation of the recharge-area parameters. The fourth step (detailed in 19 section 2.5). Besides,0) consists of a sensitivity analysis based on a recharge-displacement 20 velocity correlation and is performed in order to refine the estimations of SAWC and runoff 21 coefficient estimations.

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### **2.3.Methods calibration** – Step 1

# **<u>2.2. The regional method</u>**: Regional calibration of ET<sub>0</sub> and R<sub>S</sub> methods

ET<sub>0</sub> reduced-set and R<sub>S</sub> temperature methods were initially developed for given regions or
sites with their own climatic conditions and must be calibrated to take into account the
weather conditions of the study site. Details about calibration can be found in the literature
(Allen et al., 1994; Itenfisu et al., 2003; Lu et al., 2005; Alkaeed et al., 2006; Alexandris et al.,
2008; Shahidian et al., 2012; Tabari et al., 2013).

30 The regional calibration method (Fig. 1– Step 1) is performed using the records of nearby weather stations (Figure 1 Step 1). These stations record the necessary meteorological 31 32 parameters and will behereafter referred to as reference weather stations. Calibrations) having 33 similar climatic conditions as the study site and recording the required meteorological 34 parameters. The calibration of  $R_S$  and  $ET_0$ -reduced-set methods are performed for each 35 reference weather station (local scale). The local adjustment coefficients of the reference stations, deduced from the local calibration, are then averaged in order to define a regional 36 37 calibration for sites where more than one reference station can be used. The elevation and the 38 latitude of the reference weather stations should be within the range of the studied landslide. 39 The user has to maintain a balance between the number of selected reference stations and the 40 necessity for these stations to be located in areas with climatic conditions similar to those of 41 the study site-elevation and latitude. For sites with a sparse weather station network, one 42 reference station can be sufficient for the calibration, provided that this station has the same weather conditions as those of the studied site. 43

#### 44 The performance assessment and ranking of each of the regionallyregional-scale calibrated 45 methods is based on the comparison between observed measurements and calibrated estimates

45 methods is based on the comparison between observed measurements and calibrated estimates 46 for  $R_s$  and between FAO-56 PM estimates and calibrated estimates for  $ET_0$  for each reference

47 weather station. Performance indicators are the coefficient of determination ( $\mathbb{R}^2$ ), the slope

and the intercept from linear regression (independent variable: estimated parameter;
 dependant variable: observedreference parameter), and the relative error RE (root mean
 square error, or (RMSE, divided by the observed dataset mean).

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#### 2.3.1.2.2.1. Solar radiation methods

5 Bristow and Campbell (1984) and Hargreaves and Samani (1985) proposed each a reduced-6 set method to compute solar radiation (Rs) based on temperature (equations A1 and A2 in 7 appendix A). Castellvi (2001) demonstrated that both methods show good results for daily 8 frequencies. Almorox (2011) compared the performance of a more extensive list of 9 temperature based R<sub>S</sub> methods which might be more suitable to local conditions at other 10 landslide sites. In this study, the calibration of the Rs reduced-set method was performed using the following modified equations of which a constant is added to take into account 11 12 eventuality of a R<sub>s</sub> estimation shift from the original method:

13 Bristow Campbell modified equation 
$$(BC_{mod} R_s)$$
:

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$$BC_{mod} R_s = A_{BC} Ra \left[ 1 - exp \left( -B_{BC} \left( \alpha \Delta T \right)^{C_{BC}} \right) \right] + D_{BC}$$
(1)

15 Hargreaves Samani modified equation (proposed methods to compute  $R_S$  based solely on the 16 air temperature measurement (Eq. (A1) and Eq. (A2) in appendix A). Castellvi (2001) 17 demonstrated that both methods show good results for daily frequencies. The coefficients of 18 the Bristow-Campbell method have to be evaluated. The coefficients of the Hargreaves-19 Samani method have default values. However, Trajkovic (2007) showed that the regional 20 calibration of the Hargreaves-Samani method is significantly improved by an adjustment of 21 the coefficients rather than by a linear regression. Therefore, all the  $HS_{mod} R_s$   $\Rightarrow$ 

$$HS_{mod} R_s = A_{HS} Ra \left( \alpha \Delta T \right)^{B_{HS}} + C_{HS}$$
(2)

23 where

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24  $A_{BC}, B_{BC}, C_{BC}, D_{BC}$  are coefficients are adjusted. In this study, modified forms of the 25 Bristow-Campbell regional calibration coefficients

26  $A_{HS}, B_{HS}, C_{HS}$  method (Eq. (A3)) and Hargreaves-Samani method (Eq. (A4)) are the 27 Hargreaves-Samani regional used. For the R<sub>S</sub> equations, the adjustment of the local calibration 28 coefficients

29  $\alpha$  is the cloud cover adjustment factor

34 all the  $HS_{mod} R_s$  coefficients are adjusted.

35 A cloud cover adjustment factor  $\alpha$  is furthermore applied to  $\Delta T$ -since, for cloudy conditions, 36  $\Delta T$ -can produce an estimate larger than the incoming solar radiation (Bristow and Campbell, 37 1984). The  $\alpha$  coefficient is applied for the two first rain event days since, for a rain period 38 longer than two days, the temperature and  $R_s$  get equilibrated. If  $\Delta T$  on the day before a rain 39 event ( $\Delta T_{j-1}$ ) is less than  $\Delta T_{j-2}$  by more than 2°C, the coefficient  $\alpha$  is also applied assuming 40 that cloud cover was already significantly present. For the remaining days,  $\alpha$  is not applied ( $\alpha$ 41 = 1). The 2°C threshold and the 2 days period are based on Bristow and Campbell (1984). In

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1 this study, the cloud cover adjustment factor  $\alpha$  is calibrated according to site conditions. This 2 approach is based on the principle that if this adjustment is not relevant, a calibrated  $\alpha$ 3 coefficient would be equal to 1 (no effect).

4 Adjustment of coefficients (including  $\alpha$ ) for the R<sub>s</sub> regional calibration is non-linear. To 5 adjust the calibration coefficients, a grid search iterative algorithm is used to maximise the <u>R<sup>2</sup></u> 6 value of R<sub>s</sub>-performance (equation 3).

$$R_{s} performance = \frac{\sum_{i=1}^{m} \left(R_{m}^{2} - RE_{m}\right)}{m}$$
(3)

8 where m is while minimizing the number of weather stations used for the calibration, R<sup>2</sup> is the coefficient of determination and RE is the relative error, both R<sup>2</sup> and RE being computed
 10 between measured and estimated values RMSE at each reference weather station.

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#### **2.3.2. Evapotranspiration methods**

12 The reference vegetation evapotranspiration  $(ET_0)$  is the evapotranspiration from a reference 13 grass surface and is used as a standard from which specific vegetation evapotranspirationET<sub>c</sub> is deduced. The Penman-Monteith method has been extensively evaluated worldwide and is 14 15 considered as the most widely accepted method for ET<sub>0</sub> estimation follows (Jensen Allen et al., 19901998). Following this work, Allen et al. (1998) in the paper FAO-56 (Food and 16 17 Agriculture Organization of the United Nations) developed a modified form of the Penman-Monteith method (FAO 56 PM ET<sub>0</sub>), which is adopted by the scientific community as a 18 19 global standard-method to estimate ET<sub>0</sub> worldwide.

20 Several reference vegetation evapotranspiration  $(ET_0)$  methods using a reduced dataset in 21 comparison to FAO-56 PM  $ET_0$ , have been developed worldwide. Only a few methods are 22 commonly used. This is the case with the five  $ET_0$  methods selected for this study, which 23 have shown good performance when using daily to weekly frequencies (Trajkovic, 2005; 24 Yoder et al., 2005; Alexandris et al., 2008; Shahidian et al., 2012; Tabari et al., 2013). The 25 five  $ET_0$  methods include one temperature-based method, that is the Hargreaves-Samani 26 method:

$$ET_c = ET_0 \times K_c \tag{1}$$

27 where K<sub>c</sub> is the vegetation coefficient.

28 Several ET<sub>0</sub> methods using a reduced dataset in comparison to the FAO-56 PM method have 29 been developed worldwide. Only a few methods are commonly used. This is the case with the 30 five ET<sub>0</sub> methods selected for this study, which have shown good performance when using daily to weekly frequencies (1985)(Trajkovic, 2005; Yoder et al., 2005; Alexandris et al., 31 2008; Shahidian et al., 2012; Tabari et al., 2013), four solar radiation/temperature-based. The 32 33 five selected ET<sub>0</sub> methods, namely the methods of MakkinkHargreaves-Samani (19571985), 34 TureMakkink (19611957), and Priestley and Taylor, Turc (19721961), Priestley and the 35 Penman Monteith reduced set methodTaylor (Allen et al., 1998)(1972) (equations A4 to A10 in appendix A). The estimation of solar radiation with a R<sub>S</sub> temperature-based method allows 36 to compute the evapotranspiration with the five above ET<sub>0</sub> methods based only with 37 38 temperature. The reference vegetation evapotranspiration  $(ET_0)$  corresponds to the maximum 39 possible water loss by evaporation and transpiration from an actively growing grass with an extensive and uniform surface, and the Penman-Monteith reduced-set method (Allen et al., 40 1998). The Priestley-Taylor and Penman-Monteith ET<sub>0</sub> reduced-set methods use net solar 41

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8 Previously cited ET<sub>0</sub> methods were developed for specific weather conditions. FAO 56 PM 9 calculated data at reference weather stations are used as standards to calibrate the  $ET_{\Omega}$ 10 reduced-set methods, in order to take into account the landslide site weather conditions. A 11 linear regression is performed for each of the reduced-set evapotranspiration methods and for 12 each weather station (Eq. 4). The slope a and the intercept b of the best-fit regression line 13 obtained for each reference weather station are used as local calibration coefficients. Regional 14 calibration coefficients are calculated by averaging the local coefficients of each reference 15 weather station.

#### 16 $ET_{0 FAO-56 PM} = a ET_{0 method} + b$

(4)

17where  $ET_{0 \text{ FAO-56 PM}}$  is the reference vegetation evapotranspiration and  $ET_{0 \text{ method}}$  is obtained by18any of the five reduced set methods tested in this study. The linear regression method has19been widely used to calibrate  $ET_0$  reduced set methods , require records of  $R_s$  and20temperature (Eq. (A7) to Eq. (A12) in appendix A). As  $R_s$  can be estimated with a calibrated21 $R_s$  temperature-based method,  $ET_0$  can thus be obtained with temperature records only.

ET<sub>0</sub> is calculated using data collected at each reference weather stations (independent ET<sub>0</sub>
 estimates). These calculations follow FAO-56 PM method outlined in the FAO-56 document
 (Allen et al., 1994; Trajkovic, 2005; Shahidian et al., 2012 1998).

25 Reduced-set  $ET_0$  methods do not take into account the wind speed variations. By removing 26 saturated air from the boundary layer, wind increases evapotranspiration (Shahidian et al., 27 2012). Several studies show the influence of the wind speed on reduced-set  $ET_0$  method 28 performance and therefore on calibration (Itenfisu et al., 2003; Trajkovic, 2005; Trajkovic and 29 Stojnic, 2007). For this study, the days with wind speed above the 95<sup>th</sup> percentile of the 30 dataset (extreme values) were disregarded for the calibration.

31 The combination of calibrated  $ET_0$  and  $R_s$ -methods allows the estimation of  $ET_0$  based only 32 on temperature. The specific vegetation evapotranspiration ( $ET_e$ ) is calculated by applying a 33 vegetation coefficient ( $K_e$ ) to  $ET_0$  (i.e.  $ET_c = ET_0 \times K_c$ ).

#### 34

#### 2.4.Recharge area: Composite GIS – Step 2

35 No attempt of discrete calculation of recharge over the recharge area is undertaken in this study. The recharge area parameters (soil available water capacity SAWC, runoff coefficient, 36 37 vegetation coefficient Ke) were assumed to be spatially uniform and constant over time 38 (except for K<sub>c</sub>, which varies in time). However, the spatial heterogeneity of the recharge area 39 was taken into account in order to estimate an average value for each parameter over the 40 recharge area by performing a GIS composite method (Figure 1 - Step 2). For one given 41 parameter, the recharge area was divided into sub-areas, each being characterised by a 42 constant value estimated according to field measurements, literature values or calculation. For 43 each parameter, the matching sub-area is estimated by combining the different land use sub-44 areas which have an influence on the target parameter (for example vegetation + geology 45 substratum). Sub areas can be continuous or discontinuous, and their number and their  geometry can differ according to land use spatial distribution. The parameters are subsequently estimated at the scale of the recharge area according to the sub-area surface (Equation in Figure 1 Step 2). A wide range of input data (digital elevation model (DEM), aerial photographs, geological maps, field investigations and auger holes) were analysed and combined to estimate the three recharge area parameters required for recharge computation.

# 6

#### 2.4.1. Vegetation coefficient (K<sub>c</sub>)

7 The  $K_e$ -coefficient gather together four primary characteristics that distinguish the vegetation 8 from the reference grass: vegetation height, albedo, canopy resistance and evaporation from 9 soil. These independent  $ET_0$  estimates are then used as pseudo-standards for the purpose of 10 calibrating the regional-scale  $ET_0$  methods. A linear regression is performed for each of the 11 evapotranspiration methods and for each reference weather station (Eq. (2)). The slope *a* and 12 the intercept *b* of the best-fit regression line are used as local calibration coefficients.

$$ET_{0 FAO-56 PM} = a ET_{0 method} + b$$
(2)

13 where  $ET_{0 \text{ FAO-56 PM}}$  is the  $ET_{0}$  estimated with the standard method and  $ET_{0 \text{ method}}$  is the  $ET_{0}$ 14 obtained by any of the five methods tested in this study. The linear regression method has been widely used to calibrate ET<sub>0</sub> methods (Allen et al., <u>1998</u> 1994; Trajkovic, 2005; 15 16 Shahidian et al., 2012). As a consequence, the sub-areas were defined according to vegetation cover deduced from aerial photographs, with the main vegetation species described through 17 field observations. Because the Ke coefficient is dependent on the vegetation development 18 19 stages, it varies from a minimum during winter to a maximum during summer. For each sub-20 area, minimum and maximum K<sub>e</sub>-values were estimated from the literature and assigned respectively to 4<sup>th</sup> of February (middle of winter) and 6<sup>th</sup> of August (middle of summer) of 21 22 each year. A daily linear interpolation was performed for K<sub>e</sub> between these two dates 23 (Verstraeten et al., 2005).

24

#### 2.4.2. Soil available water capacity (SAWC)

SAWC is mainly affected by soil texture and thickness, which primarily depends on 25 geological substratum and vegetation cover. SAWC is also dependent on the root zone extent 26 27 and the permanent wilting point, both variables according to vegetation type. Sub-areas were defined according to vegetation cover (deduced from aerial photographs) and according to 28 29 geological substratum (deduced from geological maps). For each sub-area type, one auger hole was drilled at a representative location. SAWC is deduced from soil properties (type of 30 31 horizon, texture and bulk density) and depth extent from auger hole cores, using a 32 pedotransfer function (Jamagne et al., 1977; Bruand et al., 2004). SAWC values of auger 33 holes are then assigned to the sub-area. The SAWC varies over time since water demand 34 depends on the plant growing season. The SAWC dependency to vegetation species is taken 35 into account through the Ke coefficient.

36

#### 2.4.3. Runoff coefficient

37 Several rainfall runoff models (Jakeman et al., 1990; Tan and O'Connor, 1996; Chiew et al.,
38 2002; Brocca et al., 2011) have been designed for the purpose of hydrology, i.e. to
39 characterise catchment outlet. They are not tailored for recharge estimation. Rainfall-runoff
40 models require temporal flow calibration at the catchment outlet. In this study, there is no
41 such outlet flow data, as most of the recharge area discharges into an alluvial aquifer at the

landslide foot. As such, rainfall-runoff model calibration is not possible and this technique
 cannot be employed to estimate the recharge. The soil conservation service curve number
 runoff method (SCS) designed for storm rainfall events rather than daily continuous
 estimation is also not suitable.

The runoff estimation method applied in this study is similar to the well-known and commonly used 'runoff rational method'. The runoff coefficient depends mainly on the slope gradient and the vegetation cover. Sub-areas are defined according to vegetation cover deduced from aerial photographs. For each sub-area, an average slope gradient value is assigned, utilising DEM slope gradient analysis. For each sub-area, runoff coefficients are deduced from vegetation cover and slope gradient magnitude based on the Sautier chart.

11

### 2.3.Step 2: Estimation of the parameters of the recharge area

12 The estimation of the recharge with the soil-water balance (step 3 – section 2.4) requires the calculation, at the scale of the recharge area, of three parameters which are SAWC, runoff 13 14 coefficient R<sub>coeff</sub>, and K<sub>c</sub>. These three parameters are controlled by one or several factors which are, in this study, the slope gradient, the geological nature of the substratum and the 15 type of vegetation cover. Besides, at the scale of the recharge area, the controlling factors are 16 commonly heterogeneous and thus the recharge-area parameters cannot be readily computed. 17 18 For each of the controlling factors, the recharge area is divided into sub-areas (hereafter 19 referred to as factor sub-areas) characterized by homogenous factor properties. Factor sub-20 areas can be either continuous or discontinuous, and their number and shape can differ, 21 depending of the spatial distribution of the factors. Relevant factor sub-areas are in turn used 22 to define parameter sub-areas. For a given parameter sub-area, the value of the parameter is 23 estimated from either field measurements or from the literature. The parameter values at the 24 scale of the recharge area are then calculated by taking into account the relative surface of the 25 parameter sub-areas (Fig. 1 – Step 2). Lastly, if preferential infiltration structures (hereafter 26 referred to as infiltration structures) such as sinkholes, cracks, reverse slope areas, bare 27 ground or any topographical depression which can collect the surface runoff are present in the 28 recharge area, the above-mentioned parameters have to be adjusted. For such areas, the 29 SAWC and R<sub>coeff</sub>, being very low, will be set at zero in the calculations. Similarly, for such 30 areas, ET<sub>0</sub> is negligible and therefore the surface of these areas is disregarded for the K<sub>c</sub> 31 computation. The parameter values are afterwards refined by a sensitivity analysis (step 4section 0) in order to find the optimal set of recharge-area parameters. 32

 $\begin{array}{c|c} 33 & \underline{\text{The } K_c \text{ parameter takes into account four key characteristics (vegetation height, albedo, canopy resistance and evaporation from soil) that distinguish the vegetation type of a given sub-area from the reference grass used to estimate <math>\underline{\text{ET}}_0$  (Musy and Higy, 2011)(Allen et al., 1998). This chart was developed for Switzerland where environmental conditions are similar to the French Alps. \\ \end{array}

38 . The  $K_c$  sub-areas are defined according to the type of vegetation (e.g., meadow, forest...) 39 obtained from aerial photographs. The dominant vegetation species assigned to each 40 vegetation type can be obtained from literature (e.g., forest agency data) or from field 41 observations. Since the K<sub>c</sub> parameter depends on the stage of development of the vegetation, 42 it varies from a minimum value during winter to a maximum value during summer. The 43 minimum and maximum K<sub>c</sub> values are estimated from the literature and are assigned respectively to the 4<sup>th</sup> of February (middle of winter) and the 6<sup>th</sup> of August (middle of 44 summer) of each year. A daily linear interpolation is performed for K<sub>c</sub> between these two 45 46 dates (Verstraeten et al., 2005).

11

$ \begin{array}{c} 1\\2\\3\\4\\5\\6\\7\\8\\9\\10\\11\\12\\13\\14\\15\\16\\17\end{array} $	The SAWC parameter refers to the difference between a maximum water content above which all free water is drained through gravity (field capacity) and a minimum moisture content below which plant roots cannot extract any water (permanent wilting point). The SAWC is mainly affected by soil texture and thickness, both depending primarily on the geological substratum and the vegetation. The SAWC sub-areas are defined according to the type of vegetation (obtained from aerial photographs) and to the geological substratum (obtained from geological maps). SAWC values can be either calculated with pedotransfer functions (Bruand et al., 2004; Pachepsky and Rawls, 2004) from soil properties (type of horizon, texture and bulk density) and thickness or obtained directly from the literature. Soil properties and thickness can be obtained from the literature (e.g., pedological maps) or from morphological description or laboratory measurements of auger hole cores. The method used to estimate the surface runoff is similar to the commonly used 'runoff rational method'. The R <sub>coeff</sub> parameter depends mainly on topography and vegetation. The R <sub>coeff</sub> sub-areas are defined according to the vegetation (obtained from aerial photographs). An average slope gradient obtained from the DEM is assigned to each vegetation sub-area. The R <sub>coeff</sub> values can then be calculated from vegetation cover and slope gradient through the use of charts such as the Sautier chart (Musy and Higy, 2011).
18	2.4.4.—Infiltration structure
19 20 21 22 23 24 25 26	An additional sub area type is defined to take into account preferential infiltration structures such as sinkholes, cracks, reverse slope areas, bare ground and any topographical depressions which can collect runoff. For such sub-areas, SAWC and runoff coefficient are very low and are considered to be null in the calculation at the scale of the recharge area. The consequence of preferential infiltration structures is a global decrease of SAWC and runoff coefficient values at the scale of recharge area. Infiltration structures are defined through inspectionare first located through examination of aerial photographs (lineaments), analysis) and geological mappingmaps, and are then inspected in the field-observations.
27 28	2.5. <u>2.4. Soil-water balance: recharge Step 3: Recharge</u> computation - <u>Step 3</u> with soil-water balance
29 30 31 32 33 34 35	Recharge is estimated according to the following The soil-water balance workflow used to estimate the recharge at a daily frequency is detailed in Fig. 2. All terms required for the soil-water balance estimation are expressed in water amount (millimetres), except for $R_{coeff}$ expressed in %. The soil-water balance with the ET <sub>0</sub> -computed with the combination of $R_s$ -and ET <sub>0</sub> -reduced-set calibrated methods and the SAWC, the vegetation coefficient and the runoff coefficient deduced from the GIS method (Figure 1 – Step 3).
36 37 38 39 40 41 42 43 44	The precipitation (P) is the amount of liquid (rain) or solid (snow) water which falls on the recharge area. is based on $ET_c$ , SAWC, $K_c$ and $R_{coeff}$ . The precipitation (P) is the amount of liquid (rain) or solid (snow) water which falls on the recharge area. However, in the remaining part of the paper, the The precipitation will be considered to be the sametaken here as the sum of snow melt and rainfall. A part of this water amount is intercepted by the vegetative canopy (interception) (Figure 2; Fig. 2A). The remainder of precipitation reaches the ground surface and forms <sup>‡</sup> (i) the runoff (Rf), which is the water joining the surface drainage network <sup>‡</sup> and (ii) the infiltration (I) into the soil layer which supplies the SAWC (also called soil available moisture capacity). The SAWC is the maximum soil water

1 not been taken offuptaken by evapotranspiration and runoff and which has not been stored 2 in the SAWC is called the recharge (R). It corresponds to deep percolation and it is the 3 component of the rainfall precipitation which recharges the saturated zone (Figure 2(Fig. 4  $2\underline{A}$ ).

SAWC refers to the difference between a maximum water content above which all free water
is drained through gravity (field capacity) and a minimum moisture content below which plant
roots cannot extract anymore water (permanent wilting point). The difference between the
maximum of SAWC and the actual SAWC is called the SAWC deficit (SAWC<sub>max</sub>-SAWC<sub>j-1</sub>-in
Figure 2B).

The The ET<sub>c</sub> is a lumped parameter including potential transpiration, potential soil evaporation
 and canopy reservoir capacity was not evaluated in this study and therefore water evaporated
 by the interception process is taken off the SAWC reservoir (Figure 2B). Evapotranspiration
 is the total evaporative loss from the surface, i.e. evaporation from soil and plants
 ((Verstraeten et al., 2005). In the proposed computation diagram workflow (Fig. 2B) the
 interception), and transpiration from plants. Interception is the part of precipitation which is
 caught by leaves and branches, and which is subsequently evaporated.

17 The specific vegetation evapotranspiration  $(ET_e)$ , deduced from  $ET_0$  method and vegetation 18 coefficient, component is therefore integrated in the  $ET_c$  component. The  $ET_c$  is the water 19 evapotranspired without any <u>other</u> restrictions <del>other</del> than the atmospheric demand (assuming 20 unlimited soil water availability). However, field conditions do not always fulfil these 21 requirements, particularly during low rainfall periods, when water supplies are inadequate to 22 support vegetation uptakes. Actual The actual evapotranspiration (ET<sub>a</sub>) corresponds to the 23 actual amount of evapotranspired water.

Runoff takes place when the intensity of a precipitation event exceeds the soil infiltration capacity. The use of a daily measurement frequency for precipitation does not allow an accurate estimation of rainfall intensity (hourly rainfall resolution is not available). Instead, a runoff coefficient ( $R_{coeff}$ ) is applied only for days when precipitation is greater than the average. Such days are considered as high intensity rainfall days. The runoff coefficient  $\underline{R}_{coeff}$ is applied only to excess precipitation, after the demands of evapotranspiration and SAWC are met-(, i.e., when SAWC is fulfilled) (Figure 2B (Fig. 2B).

- The soil-water balance workflow used to estimate the recharge at a daily frequency is detailed
   in Figure 2B. Each term (P, Rf, I, Et<sub>a</sub>, ET<sub>e</sub>, SAWC and R) is expressed in water amount
   (millimetres), except for R<sub>coeff</sub> which is expressed in %.
- 34 35

# **2.6.2.5.** Step 4: Sensitivity analysis of the recharge—<u>-</u>area parameters

In the landslide recharge area, infiltration recharge can be assumed to be considered as spatially 36 heterogeneous. Indeed, in fractured rock hydrogeologyrocks, the groundwater flow is mainly 37 driven by an anisotropic fracture network. The proportion of infiltrated water which flows 38 toward the landslide aquifer can be significantly different differ between two zones of the 39 recharge area. Nevertheless, the GIS composite method considers that any part of the recharge 40 area has the same weigh relatively weight with respect to the infiltrated water groundwater 41 42 which flows toward the landslide aquifer (i.e., homogeneous infiltration). This homogeneous recharge assumption can lead to a bias estimation biased estimations of the recharge-area 43 44 parameters. On the other hand, uncertainties in the delimitation of the recharge area can also 45 lead to biased estimations.

1 OnA sensitivity analysis evaluates the other hand, numerous uncertainties remain about the 2 recharge area delimitation, the SAWC possible over-estimation and or under-estimation of the canopy 3 reservoir influence. These uncertainties can also lead to biases in theset of recharge-area parameters estimation. First, the delimitation of the recharge area only approximates the 4 5 boundary of the actual recharge area. Secondly, the SAWC is deduced from soil properties 6 and depth extent. However, variations in the root zone of different vegetation types have not 7 been evaluated. Finally, for this study, the canopy reservoir is not evaluated in the soil-water 8 balance which considers, by default, that the SAWC reservoir combines the water storage of 9 both soil and canopy.

10 A sensitivity analysis is performed to evaluate the overestimation or underestimation of recharge area parameters. Infiltration structures. The infiltration-structure sub-areas are used 11 as a fitting factor factors (varying from 0 to 100% of the recharge area surface) to adjust the 12 recharge area parameter estimation based on a heterogeneous assumption (identical land use 13 14 properties but different infiltration contribution weight toof the landslide aquifer).set of 15 recharge-area parameters. A variation of the infiltration structure percentage corresponds to a 16 variation of the contribution weight of the infiltration structures contribution weight to the 17 recharge of the landslide aquifer. As a consequence, a percentage Consequently, a variation of 18 the infiltration structure percentage does not affect the relative proportion of the other sub-19 area surfaces, which remain the same, but only their contribution weights. In summary, with 20 the assumption of an homogeneous infiltration, the recharge area parameters are defined from 21 sub-area surfaces, while in the case of the heterogeneous infiltration assumption, the recharge area parameters are defined according to the sub-area infiltration contribution proportion 22 23 (weighting) to the landslide aquifer.

24 The sensitivity analysis is based on rainfall-the performance of a linear correlation between 25 daily time series of recharge and displacement correlation performance. The landslide displacement velocity of the landslide triggered by rainfall depends on the groundwater 26 saturation state and pore water pressure is therefore representative of related to the 27 hydrodynamic variations of the landslide aquifers. For this reason, rainfall-the performance of 28 29 the correlation between recharge and displacement correlation performance informs whether the recharge--area parameters are suitable to characterise the water infiltration flowing toward 30 the landslide aquifer. 31

32 satisfactorily estimated. The sensitivity analysis allows to determine the optimal set of 33 recharge-area parameters which maximize the rainfall/displacement correlation performance. The SAWC estimation deduced from the sensitivity analysis will take into account the 34 35 contribution of canopy storage and vegetation coverperformance of the correlation.

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#### 2.6.1. Saturation state approximation of the landslide triggering aquifer 2.6. The groundwater hydrodynamic processes due to aquifer drainage are non-linear. An ancient rainfall event displays less impact (though not null) than the most recent one on the aquifer saturation state Correlation between water input and displacement

## 2.6.1. Antecedent cumulative sum

43 The correlation between water input and displacement requires measurements of landslide 44 displacements at the same temporal frequency (daily frequency in this study) as the measurements of water input (precipitation or recharge). The groundwater hydrodynamic 45 processes in aquifers are non-linear. A former rainfall event displays less impact (though not 46

1 negligible) than a recent one on the aquifer hydrodynamic fluctuations (Canuti et al., 1985; 2 Crozier, 1986; Diodato et al., 2014). As a consequence, in this study, the aquifer saturation 3 state is approximated by an The daily precipitation/recharge time series cannot therefore be 4 used without appropriate corrections. An antecedent cumulative sum amount of 5 precipitation/recharge weighted by a decreasing factor ( $\alpha$ ) (Equation 5). is applied as a 6 moving window to the daily precipitation/recharge time series (Eq. (3)). The antecedent 7 cumulative sum corresponds allows to approximate the total amount daily triggering impact of 8 rainfall that occurred over a defined period prior to athe aquifer ATI on the landslide 9 destabilisation. In order to take into account the groundwater transit time, a  $\beta$  time-lag factor 10 is introduced. This factor can shift the moving window from the target date. In equation 5, for  $\alpha$ -equalling zero, the decreasing sum matches a classic arithmetic sum of rainfall t. 11

$$Decreasing \quad sum = \sum_{i=1}^{n} \frac{W_{i+\beta}}{1+\alpha(i-1)}$$
(5)

 $ATI_{t} = \sum_{i=t+\beta}^{t+\beta+n} \frac{W_{i}}{1+\alpha \ (i-(t+\beta))}$ (3)

18 where:

12

13 14

15 16 17

ATI, <u>cumulative period (dayAquifer Triggering Impact at the date t (in mm)</u> 19  $\beta$  time shift of the moving window (in days) 20  $-i^{\text{th}} \frac{day}{i^{\text{th}}} \frac{day}{i^{\text{th}}}$ i 21 22 end of the moving window) 23 length of the moving window of the cumulative period (in days) п water input:, i.e., precipitation or recharge at the i<sup>th</sup> day (in mm) 24  $W_i$ 25 α -weighting factor ß shift factor (day) 26 2.6.2. Rainfall-displacement correlation 27 Linear regressions between cumulative precipitation and displacement and/or betweenAn 28 29 iterative grid search algorithm is used to find the optimal set of parameters of the antecedent cumulative recharge and displacement are performed for each decreasing sum type, with n 30 rangingsum. The optimal set of parameters is the set that maximizes the correlation 31 performance itself based on the R<sup>2</sup> indicator. The grid search algorithm investigates the 32 33 following parameter ranges: n from 1 to 250 days (1 day increment: 1 day),  $\alpha$  ranging from 0 34 to 0.5 (increment: 0.0010001) and  $\beta$ -ranging from 1 to 10 days (increment 1). The coefficient of determination (R<sup>2</sup>) is used to assess the performance of rainfall-displacement correlation.
 An iterative grid search algorithm is used to find the best solution based on R<sup>2</sup>.: 1 day).

3 Between two correlation performance solutions, a small improvement of the R<sup>2</sup> value can be the result of adding an extra long computation period to which very low weighting factors are 4 5 associated. The increase of the period computation to which low weights are applied acts as a 6 smoothing function. The correlation improvement is explained by the randomness/noise 7 smoothing of input signal rather than by a physical process. A R<sup>2</sup> tolerance of 0.001 for the best correlation performance is implemented. The correlation performance which ranges 8 9 within the R<sup>2</sup> tolerance window and which has the lowest computation period is then selected 10 as the best solution.

11 12

# **2.6.3.2.6.2.** Significance of rainfall<u>the water input</u>-displacement correlation

13 The significance is evaluated only for the characterization of the relationship between 14 precipitation/recharge and displacement. The significance of R<sup>2</sup> for solar radiation and 15 evapotranspiration calibration is not evaluated, because the purpose of the calibration was

16 only to tune adjustment coefficients and the significance of the relationship is not on purpose.

17 The bootstrap method, which is an inference statistical resampling method, is used to estimate 18 the confidence interval (CI) of estimated parameters and to perform statistical hypothesis tests 19 (Chernick, 2008). The bootstrap method uses resampling with replacement and preserves the 20 pair-wise relationship. However, for inter-dependent data (such as time series), the structure 21 of the dataset has to be preserved during the resampling. The moving block bootstrap is a 22 variant of the bootstrap method. It divides data into blocks for which the structure is kept<sub> $\tau$ </sub> 23 which makes it suitable for times series (Cordeiro and Neves, 2006). The moving block 24 bootstrap method is performed with a 90-day block size (season) and 50,000 iterations for 25 each run.

To estimate the significance of the linear regression, the lower bound of the confidence interval (LBCI) of  $R^2$  is used at the level of confidence of 95%. An LBCI value greater than 0 means that the relationship is significant.

Particular to statistical hypothesis tests is the definition of the tested null hypothesis which is often a default position opposite to the aim of the test, i.e. by stating that "there is no relationship between the two considered quantities". The null hypothesis is assumed to be true until it is rejected by statistical evidence in favour of the alternative hypothesis (that is the contrary).opposite hypothesis. The recharge estimated with the LRIW workflow is hereafter called R<sub>LRIW</sub>. The recharge estimated by subtracting a non-calibrated ET<sub>0</sub> from precipitation is hereafter called R<sub>PMNE</sub>, PMNE standing for Precipitation Minus Non-calibrated ET<sub>0</sub>.

To estimate whether the recharge  $R_{PMNE}$ /displacement correlation  $R^2$  is significantly better 36 than the precipitation/displacement correlation  $R^2$  value, the Null Hypothesis 1 (NH1) was 37 tested. The NH1 states that the recharge  $R_{PMNE}$ /displacement correlation  $R^2$  value is not 38 significantly greater than the  $R^2$  value obtained with from precipitation. In other words, the 39 NH1 statistic test is the difference between the recharge  $R_{PMNE} R^2$  value and the precipitation 40  $R^2$  value, expected to be 0 if no differences difference. Similarly, the Null Hypothesis 2 (NH2) 41 42 and the Null Hypothesis 3 (NH3) are tested. NH2 estimates whether the R<sub>LRIW</sub>/displacement correlation  $R^2$  is significantly better than the precipitation/displacement correlation  $R^2$  value. 43 NH3 estimates whether the  $R_{LRIW}$ /displacement correlation  $R^2$  is significantly better than the 44 45  $R_{PMNF}$ /displacement correlation R<sup>2</sup> value.
1To estimate whether the best rainfallprecipitation- $R_{LRIW}$ /displacement correlation  $R^2$  value2computed from the sensitivity analysis is significantly better than the other  $R^2$  values3obtained, the Null Hypothesis 2 (NH2) was4 (NH4) is tested. The NH2NH4 states that the4best  $R^2$  value is not significantly greater than the ones obtained with all the remaining5combinations. In other words, the NH2NH4 statistic test is the difference between the best  $R^2$ 6value and the  $R^2$  values obtained with the remaining combinations, expected to be 0 if no7differences

8 For bothall null hypotheses <u>NH1 and NH2</u>, the decision to reject the null hypothesis<u>of</u> 9 rejection is made by determining how much of the bootstrap distribution (among 50,000 10 iterations) falls below zero by using the lower bound of the confidence interval (LBCI) at the 11 level of confidence of 95%. An LBCI value greater than 0 allowallows to reject the null 12 hypotheses.

#### 13

19

# **3.** Application to the Séchilienne landslide

Several studies on the Séchilienne landslide concerning the rainfall trigger use precipitation or
 indirect infiltration estimates (Rochet et al., 1994; Alfonsi, 1997; Meric et al., 2006;
 Helmstetter and Garambois, 2010). Similarly, the warning system of Séchilienne is partly
 based on precipitation. Séchilienne landslide investigations and the warning system could be
 significantly improved by evaluating recharge instead of precipitation.

#### **3.1.Context**

20 **<u>3.1. The Séchilienne landslide is located 25 km south-east of Grenoble, (France),</u>** 21 on the right bank of the Romanche River, on the southern slope of the Mont-22 See Massif. The site is located in the external part of the French Alps, in the 23 Belledonne crystalline range. The geological nature of the area is composed 24 of vertical N-S foliated micaschists unconformably covered by Carboniferous 25 to Liassic sedimentary deposits along the massif ridge line above the unstable 26 zone (Figure 3). Locally, Quaternary glacio-fluvial deposits overlie these formations. The landslide is delineated to the east by a major N20° trending 27 28 fault zone. Two major wrench faults, N140° dextral and N20° sinistral, 29 compartmentalize the disturbed zone into blocks. The slope is cut by a dense 30 network of two conjugate sub-vertical fracture sets, striking N140° and N50-70° Geological settings and rainfall triggering 31

32 The Séchilienne landslide is located in the French Alps on the right bank of the Romanche 33 river, on the southern slope of the Mont-Sec Massif (Fig. 3). The climate is mountainous with a mean annual precipitation height of 1200 mm. The geological nature of the area is 34 35 composed of vertical N-S foliated micaschists unconformably covered by Carboniferous to 36 Liassic sedimentary deposits along the massif ridge line above the unstable zone. Ouaternary glacio-fluvial deposits are also present. The Séchilienne landslide is limited eastwards by a N-37 38 S fault scarp and northwards by a major head scarp of several hundred meters wide and tens of meters high below the Mont Sec. The slope is cut by a dense network of two sets of near-39 vertical open fractures trending N110 to N120 and N70 (Vengeon, 1998)(Le Roux et al., 40 41 2011).

The latter dividesSéchilienne landslide is characterized by a deep progressive deformation controlled by the
 slope into numerous sub-vertical compartments.

#### 3.2.Deformation mechanismnetwork of faults and rainfall triggering

2 An originality fractures. A particularity of the Séchilienne landslide is the absence of a well-defined basal 3 sliding surface. The Séchilienne landslide deep seated progressive deformation is controlled by 4 the main discontinuities (faults/fractures). The slopelandslide is affected by a deeply rooted (about 5 100-150 m) toppling movement of the N50-70° E striking blocks toward slabs to the valley, (accumulation 6 zone) coupled with the subsidence sagging of the upper part of the slope near (depletion zone) beneath the 7 Mont-Sec. This mechanism has been described by Vengeon (1998) (Vengeon, 1998; Durville et al., 8 2009; Lebrouc et al., 2013) as an internal rupture mechanism. The landslide displacement velocity 9 smoothes progressively toward the west and the slope foot, whereas it drops abruptly beyond 10 the N20° trending fault zone delimiting the eastern boundary.

The groundwater flow is mainly driven through a network of fractures with relatively high
 flow velocities (km/day). The moving zone, about 150 m deep. A very active moving zone is
 distinguishable from the unstable slope where high displacement velocities can be 10-time
 higher than the rest of the landslide.

15 The landslide shows a higher hydraulic conductivity than the underlying stable bedrock (Vengeon, 1998; Meric 16 et al., 2005; Le Roux et al., 2011), shows a higher hydraulic conductivity than the bedrock, thus 17 leading to a landslide perched aquifer (Vengeon, 1998)(Guglielmi et al., 2002)-and constitutes a perched 18 aquifer. The recharge of the landslide perched aquifer is essentially local, enhanced by the trenches and the 19 counterscarps which tend to limit the runoff and to facilitate groundwater infiltration in the landslide area. 20 However, the hydrochemical analyses of Guglielmi et al., 2002). The landslide 21 displacement has caused a wide opening of the fractures. The groundwater flow mechanisms 22 that are responsible for recharge in the disturbed zone are not agreed upon. Vengeon shows that 23 the sedimentary deposits distributed above the landslide hold a perched aquifer which can recharge the landslide 24 perched aquifer. The fractured metamorphic bedrock beneath the landslide contains a deep satured zone at the 25 base of the slope and an overlying vadose zone. The groundwater flow of the entire massif is mainly controlled 26 by the network of fractures with high flow velocities (up to a few kilometres per day; Mudry and Etievant, 27 2007). The hydromechanical study of Cappa et al. (19982014) shows that the moving zone perched 28 aquifer is recharged by water-level rise of the deep saturated zone whereas Guglielmi et al. 29 shows that the deep aquifer can also trigger the Séchilienne landslide destabilization as a result of stress transfer 30 and frictional weakening. Thus, the Séchilienne landslide destabilisation is likely triggered by a two-layer 31 hydrosystem: the landslide perched aquifer and the deep aquifer. The Séchilienne landslide behaviour is 32 characterized by a good correlation between precipitations and displacement velocities (2002)(Rochet et al., 33 1994; Alfonsi, 1997; Durville et al., 2009; Chanut et al., 2013) showed that the main recharge originates 34 from the top sedimentary perched aquifer. Increases in pore water pressure originate in the 35 disturbed zone, leading to landslide displacement. As a result, the Séchilienne landslide shows 36 a good correlation between antecedent cumulative precipitation and average displacements 37 (Rochet et al., 1994; Alfonsi, 1997). Helmstetter and Garambois (2010) showed a weak but 38 significant correlation between rainfall signals and rock fall micro-seismicity. Instability in 39 the Séchilienne slope is mainly triggered by rainfall events.

#### **3.3.Dataset**

The selected weather stations satisfy two conditions: (i) they .The seasonal variations of the
 daily displacements are clearly linked to the seasonal variations of the recharge (high
 displacements during high flow periods and low displacements during low flow periods).

#### 1

#### **3.2.Method implementation**

2 The recharge computation uses the daily rainfall recorded at the weather station located at 3 Mont-Sec, a few hundred meters above the top of the landslide (Table 1 and Fig. 3). This 4 station is equipped with rain and snow gauges and a temperature sensor. However, the 5 temperature measurements at the Mont-Sec station are considered unreliable because of a 6 non-standard setting of the temperature sensor and numerous missing data. Consequently, the 7 temperature at the Mont-Sec station has to be estimated in order to estimate the 8 evapotranspiration at the landslide site (see details about the computation in appendix B).

9 Since the Mont-Sec station does not record all the required full set of parameters to compute 10 ET<sub>0</sub> with standard FAO 56 PM (wind speed, (relative humidity, temperature, wind speed and solar radiation or relative sunshine duration, measured daily); and (ii) they are), a regional 11 12 calibration of ET<sub>0</sub> and R<sub>S</sub> reduced-set methods is required. Three weather stations located at 13 less than 60 kilometres from the studied site. Three are used as reference weather stations, 14 managed by MétéoFrance, fulfil these requirements: Grenoble-Saint-Geoirs, Saint-Jean-Saint-15 Nicolas and Saint-Michel-Maur (Table 1-and Figure 3). and Fig. 3). The Saint-Michel-Maur weather station does not measure R<sub>S</sub>. However R<sub>S</sub> can be calculated, which is estimated with 16 17 the Angström formula (equation A3Eq. (A5) in Appendix A) using sunshine duration data 18 recorded at the station (FAO-56 guidelines, Allen et al., 1998). The Angström formula 19 empirical default coefficients were are tuned with the two others weather stations ( $a_s = 0.232$ ) and  $b_{s} = 0.574$ ). The recharge computation was based on the rainfall recorded at the weather 20 station located at Mont-Sec, a few hundred meters above the top of the disturbed zone (Table 21 22 1 and Figure 3). This station is equipped with rain and snow gauges.

Although this study aims at estimating recharge using only temperature and precipitation
dataset, temperature measurements at the Mont-Sec station are considered unreliable because
of temperature sensor non-standard setting and numerous missing data. In order to estimate a
representative daily temperature dataset for the site, the two nearest weather stations
measuring temperature, named Luitel and La Mure, were used (The delimitation of the
recharge area of the two-layer hydrosystem (Fig. 3 and Figure 3). The estimation of the MontSec temperature is detailed in appendix B.

Aerial photographs of 0.5 m resolution and a digital elevation model (DEM) of 25 m
 resolution were provided by the "Institut National de l'Information Géographique et
 Forestière" (IGN). Geological maps from the French Geological Survey (BRGM) were used
 to determine the geology and faults within the recharge area.

34 ) of the Séchilienne landslide is based on the geological and hydrochemical studies of 35 Vengeon (1998), Guglielmi et al. (2002) and Mudry and Etievant (2007). The recharge area is delimited by the spatial extent of the sedimentary cover of which the hosting perched aquifer 36 37 recharges the two-layer hydrosystem. Groundwater flow of the entire Mont-Sec massif is 38 controlled by faults and fractures. The N20 fault bordering the sedimentary cover to the east 39 as well as the N-S fault zone bordering the landslide to the east are structures which delimitate the recharge area. The scarcity of information does not allow to accurately define the actual 40 extent of the recharge area. The sensitivity analysis mentioned in Section 0 allows to 41 42 compensate for the possible biases introduced by this uncertainty. The following spatial datasets are used for the estimation of the parameters of the recharge area. The aerial 43 photographs (0.5 m resolution) and a DEM of 25 m resolution are provided by the "Institut 44 45 National de l'Information Géographique et Forestière" (IGN) and geological maps are 46 provided by the French Geological Survey (BRGM).

1 The Séchilienne landslide is permanently monitored by several displacement stations using a 2 variety of techniques (extensometers, radar, a dense network of displacement stations managed 3 by the CEREMA Lyon (Duranthon et al., 2003). In this study, one infra-red, inclinometers, 4 GPS). This dense network has been implemented by the CEREMA Lyon (Duranthon et al., 5 2003). For the present study, one infra-red (named station (1101) and three extensioneter 6 (named stations (A16, A13 and G5) stations have been are used. Stations 1101, A13 and A16 7 and A13 are located on the surface are representative of the most active unstable zone which is 8 also the most reactive zone with respect to rainfall events (Figure 3). The A16 extensometer 9 was used for the sensitivity analysis whereas the three other stations were only used for rainfall-(median\_displacement correlation purposes. of 2.5, 1.75 and 2.98 mm/day, 10 respectively), while G5 is located on a much less active zone (median displacement of 0.05 11 12 mm/day, Fig. 3 and Table 2).

13 The sensitivity analysis is performed on the A16 extensometer on the period from 01 May 14 1994 to 01 January 2012, period during which both A16 extensioneter and recharge datasets are available. In order to compare the rainfall-displacement correlation The performance test 15 16 of the four selected stations, the correlation LRIW workflow against precipitation and R<sub>PMNE</sub> is 17 performed on the four displacements stations on the period from 01 January 2001 to 01 18 January 2012, period during which the fours extensioneters and recharge datasets are available. four stations and recharge datasets are available. The R<sub>PMNE</sub> is estimated with the 19 20 non-calibrated Turc equation (Eq. (A8)) which is the most appropriate  $ET_0$  reduced-set 21 equation for the Séchilienne site. Indeed, the Turc equation was developed initially for the climate of France. The Turc equation requires the estimation of R<sub>s</sub> which is performed with 22 the non-calibrated Hargreave-Samani equation (Eq. (A2)). 23

#### 24

#### **3.4.3.3.** Displacement data <u>detrending</u>

25 The long term displacement monitoring of the most active zone of the Séchilienne landslide shows that displacement rates and amplitudes have significantly increased over time as 26 27 illustrated with the records of the extensometer A16 (Figure 6A). This increase is also observed for all the records even the G5 station located in a less active zone. The trend could 28 29 be the result of a deterioration of near surface rock mechanical properties or of a change of 30 behaviour in groundwater hydrodynamics (Rutqvist and Stephansson, 2003). It means that for the same amount of rainfall, the displacement rate and the displacement amplitude are not the 31 32 same over time. In terms of time series analysis, the displacement data series shows a trend on 33 the variance amplitude as well as on the average. The observed trend is not dependent on 34 rainfall, but finds its origin in the modification of landslide mechanical properties. In order to 35 perform a pluri annual comparison between the rainfall signal and the displacement signal, 36 the trend of displacement data for the four stations has been removed (detrending).

37 The trend was defined by fitting a fourth-order polynomial to the recorded displacement. 38 Removal of the trend was performed with the multiplicative method (i.e., time series is 39 divided by the trend) which results in a unitless time series with both variance and mean trend 40 removed. The trend characterization is a statistical process which is enhanced by increasing 41 the amount of data used in the process. Using a larger framing interval allows to reduce edge 42 effects, which can be particularly high for the upper bounds of the interval (exponential 43 pattern). For this reason, the detrending was performed on a larger interval than the one used 44 for rainfall displacement correlation. An example of trend removal by the multiplicative 45 method is shown in Figure 6 for the extensometer A16 record. A16 record trend is defined from 06 March 1994 to 30 June 2012 whereas detrending is performed from 01 May 1994 to 46

31 December 2011. The detrended displacement data of the four displacement stations are
 then used for the correlation with precipitation and recharge.

## 4. Results and discussion

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4 The long-term displacement monitoring shows that displacement rate and amplitude 5 exponentially increased with time as illustrated by the records of extensioneter A16 (Fig. 4A). 6 The rainfall data series does not show any trend over the year, meaning that the displacement 7 trend is independent of the recharge amount. Consequently, on the Séchilienne landslide, for 8 the same amount of rainfall, the displacement rate and magnitude responses increase steadily 9 with time. The observed trend is the consequence of a progressive weakening of the landslide 10 due to long-term repetitive stresses. The accumulating deformation can be assimilated to longterm creep (Brückl, 2001; Bonzanigo et al., 2007) and can be explained by a decrease of the 11 slope shear strength (Rutqvist and Stephansson, 2003). As shown by the detrended 12 13 displacement, the Séchilienne landslide is constantly moving and shows large daily to 14 seasonal variations which seem to be the landslide response to the precipitation trigger. 15 Consequently, the precipitation-displacement correlation is performed on the detrended displacement. 16

17 The exponential trend is removed with the statistical multiplicative method ( $y_t = T_t S_t I_t$ )

18 where the time series  $(y_t)$  is composed of three components (Madsen, 2007; Cowpertwait and 19 Metcalfe, 2009; Aragon, 2011): trend  $(T_t)$ , seasonal  $(S_t)$  and irregular  $(I_t)$ . In this study, the 20 irregular and seasonal components are both assumed to be linked to the rainfall triggering 21 factor  $(y_t = T_t D_t \text{ with } D_t = S_t I_t)$ . The trend is determined by curve fitting of a fourth-order

 $\begin{array}{c|cccc} 22 & polynomial (parametric detrending). The result is a detrended unitless time series <math>(D_t)$  with 23 both variance and mean trend removed. The time series decomposition process is illustrated 24 with the A16 extensometer in Fig. 4.

# **4. Results of the recharge estimation with the LRIW method 4.1.Calibration of** <u>R<sub>S</sub> and ET<sub>0</sub> methods</u>

Data used for calibration are from 08 July 2009 to 01 January 2012 at Grenoble Saint Geoirs 28 29 (907 records) and from 01 January 2004 to 01 January 2012 for both Saint Jean Saint Nicolas 30 (2876 records) and Saint Michel Maur (2864 records) weather stations. The The two calibrated R<sub>S</sub> methods show good results with respect to R<sub>S</sub> measured at the reference weather 31 stations (Table 2). The BC<sub>mod</sub> R<sub>s</sub> method is selected as it shows a better performance ( $R^2$  = 32 0.864; RE = 0.119RMSE = 1.567) than the HS<sub>mod</sub> R<sub>s</sub> method (R<sup>2</sup> = 0.847; RE = 0.123). 33 Equation 6RMSE = 1.625). The equation (4) presents the calibrated BC  $R_s$  method with all the 34 35 calibrated coefficients.

36 
$$BC_{mod} R_{s} = 0.669 Ra \left[1 - exp(-0.010 (\alpha \Delta T)^{2.053})\right] + 1.733$$
(6)  
BC\_{mod} R\_{s} = 0.669 Ra \left[1 - exp(-0.010 (\alpha \Delta T)^{2.053})\right] + 1.733 (4)

The cloud cover adjustment factor α is either equal to 0.79 (calibrated) or to 1, according to
 the conditions mentioned in Section 2.5.cloud impact) or to 1. All the equation terms are

#### 4.1.2. Evapotranspiration methods

4 The data period used for  $ET_0$  method regional calibration was the same as the one for  $R_S$ 5 calibration. However strong wind days were removed. Overall, all of the  $ET_0$  methods tested show 6 good results for regional calibration, and are all suitable for the Séchilienne site (Table 3). PS  $ET_0$ , Ture  $ET_0$ 7 and M  $ET_0$  methods *a* and *b* coefficients show that the regional calibration is required (Table 8 3). Conversely, PM red  $ET_0$  and HS  $ET_0$  methods *a* and *b* coefficients show that these 9 methods have reliable performance even without regional calibration for the Séchilienne site.

10 ). Among the ET<sub>0</sub> methods tested, the PM<sub>red</sub> ET<sub>0</sub> method shows the best performance ( $R^2 = 0.932$ ; RERMSE=0.221505) and requires only a low regional adjustment. (a = 0.994 and b = 0.013). Therefore, the PM<sub>red</sub> ET<sub>0</sub> method wasis selected to compute ET<sub>0</sub> for the Séchilienne site (hereafter referred to as ET<sub>0</sub> Séch). Figure 45 displays the estimated PM<sub>red</sub>-ET<sub>0</sub>Séch versus the FAO-56 PM computation for each reference weather station. Equation 7

15 The equation (5) is the final calibrated  $PM_{red} = ET_0$  method with all the calibrated  $PM_{red} = ET_0$ 

16  $\frac{\text{method with all the calibrated coefficients.}}{\text{BC}_{mod} R_s \text{ method}_r (Eq. (4))}$ .

$$I / BC_{mod} R_S \text{ method}_{-}(Eq. (4))$$

$$\frac{0.408\Delta(R_n - 0) + \gamma \frac{900}{T_{avg} + 273} 1.5(e_s - e_a)}{\Delta + \gamma(1 + 0.34 \ 1.5)} + 0.013}$$
(7)

19 Although the HS  $ET_0$  method does not produce a performance as good as the PM red  $ET_0$ 20 method, it is one of the simplest methods from the five methods tested. The HS  $ET_0$  method 21 constitutes a simpler alternative for  $ET_0$  estimation on the Séchilienne site. The HS  $ET_0$ 22 method shows an acceptable performance when used for rough  $ET_0$  estimation without 23 calibration. Equation 8 presents the combination of calibrated  $BC_{mod}$ - $R_S$ - and calibrated HS 24  $ET_0$ -methods with all the calibrated coefficients. All the equation terms are described in the 25 respective equation references (Appendix A).

26 
$$ET_{0} = 0.920 \ 0.0135 \ 0.408 \left( 0.669 \ Ra \left[ 1 - exp \left( -0.010 \ \left( \alpha \Delta T \right)^{2.053} \right) \right] + 1.733 \right) \left( T_{avg} + 17.8 \right) + 0.130 (8)$$

$$ET_{0 \text{ Séch}} = 0.994 \frac{0.408 \,\Delta (R_n - 0) + \gamma \frac{900}{T_{avg} + 273} 1.5 (e_s - e_a)}{\Delta + \gamma (1 + 0.34 \ 1.5)} + 0.013$$
(5)

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#### 4.2.Recharge-\_area parameters

The overall recharge area is delimited by taking into account: (i) the results of natural tracing
combined with a tracer test which demonstrates that the highest summits of the massif
contribute to the recharge area (Mudry and Etievant, 2007); (ii) the results of a δ<sup>18</sup>O survey,
which confirm that remote, high elevation areas (up to 3km away) fall within the recharge
area of the landslide (Guglielmi et al., 2002); and (iii) topographical and geological maps.

Sub-areas are expressed in percentages of the whole recharge area (Table 4 and Figure 1 2 5).(Table 4 and Fig. 6). Two types of vegetation cover, pasture and forest, are 3 delineated defined using aerial photographs, with proportions of 23% and 53%, respectively. 4 The Séchilienne forest is mainly composed of beeches (Fagus sylvatica) and conifers (Picea 5 excelsa), which are associated occasionally with ashes (Fraxinus) and sweet chestnuts 6 (Castanea sativa). Three main geology sub-areas, micaschist bedrock (15%), sedimentary cover (20%) and superficial formations (41%), are defined through examination of the 7 8 geological map and field investigations. Infiltration structures are centred centered on the 9 major faults as-identified on the geological map, theon lineaments deduced from anaerialphotograph analysis of the aerial photographs and theon geomorphological features 10 (sinkholes, cracks...) for which a...). A 50-meter wide influence zone surrounding is added to 11 12 the identified objects is added, leading to an infiltration-structure sub-area representing 24% 13 of the recharge area.

**4.2.1.** For K<sub>c</sub> estimation, the proportion of beeches and conifers is assumed to be identical for the Séchilienne forest (each 50% of forest sub-area) and other species are ignored. K<sub>c</sub> are set to 0.71 and 0.97 for conifers, and to 0.78 and 0.9 for beeches according to Verstraeten et al. (2005). Most pastures are anthropogenic and consist of grass. K<sub>c</sub> are set to 0.85 and 1 according to Allen et al. (1998). Infiltration structure sub-areas are not taken into account in the K<sub>c</sub> estimation, so the relative proportions of pasture and forest become 30% and 70%, respectively. Vegetation coefficient (K<sub>c</sub>)

23 The Séchilienne forest is mainly composed of beech (Fagus sylvatica) and conifer (Picea excelsa) trees, which can be associated occasionally with ash (Fraxinus) and sweet chestnut 24 (Castanea sativa) trees. The proportion of beech and conifer was assumed to be identical for 25 the Séchilienne forest (each 50% of forest sub-area) and other species were ignored for Ke 26 27 estimation. Vegetation coefficient (Ke) were set to 0.71 and 0.97 for conifer, and to 0.78 and 0.9 for beech trees (Verstraeten et al., 2005). Most of the pastures are anthropogenic and 28 29 consist of grass (K<sub>e</sub> = 0.85 to 1, Allen et al., 1998). Infiltration structure sub-areas are not taken into account in the Ke estimation, so the relative proportions of pasture and forest 30 become 30% and 70%, respectively. The contribution of each sub-area is estimated (Table 31 4, (Table 4, column "K<sub>c</sub> RA"), allowing") allows the determination of the recharge area K<sub>c</sub> 32 33 values at the scale of the recharge area (0.777 to 0.955).

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#### 4.2.2. Soil available water capacity (SAWC)

The combination of geology and vegetation sub-areas results in six combined sub-areas for
the recharge area (Table 4). SAWC values based on soil auger investigations are assigned to
each sub-area. The contribution of each sub-area to the average recharge area estimation is
derived from the GIS composite method. The average estimation of SAWC at the recharge
area scale is 106 ±10 mm (rounded to 105 mm).

40

#### 4.2.3. Runoff coefficient

An The combination of geology and vegetation sub-areas results in six types of SAWC sub areas (Table 4). For each SAWC sub-area, at least one auger hole was drilled. For each soil
 auger core, the soil texture, the stoniness and the organic-matter content are estimated by
 morphological description (Jabiol and Baize, 2011). Based on these estimations, the SAWC is

then computed using the pedotransfer functions of Jamagne et al (1977) and Bruand et al.
 (2004). The average estimation of SAWC at the recharge area scale is 106 ±10 mm (rounded to 105 mm).

4 To estimate the R<sub>coeff</sub>, an average slope gradient is computed from slope gradient analysis of 5 the DEM and is assigned to each vegetation sub-area. Pasture and forest sub-areas show an 6 average slope gradient of 14° and of 20.6° respectively. Pasture and forest sub-areas show an 7 average slope gradient of 14° and of 20.6° respectively. Runoff coefficients R<sub>coeff</sub> values of 8 22% for pasture and 15% for forest are deduced from the Sautier chart- (Musy and Higy, 9 2011). This chart was developed for Switzerland where environmental conditions are similar 10 to the French Alps. A 12.8% runoff coefficient is then estimated at the recharge area scale, according to the respective proportions of sub-areas in the recharge area (Table 4).vegetation 11 12 sub-areas (Table 4).

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#### 4.3.Sensitivity analysis of the parameters of the recharge area

The data period for rainfall-displacement correlation is from 01 May 1994 to 01 January 2012
(6454 records). This is a common data interval for A16 extensometer and Mont-Sec weather
station records. Because the recharge is computed since 09 September 1992 onwards, there
are no edge effects due to SAWC initial conditions (sufficient time to equilibrate in the soilwater balance process).

20 Although the sensitivity analysis is based on infiltration structure percentage, the results of the 21 sensitivity analysis are described according to the corresponding estimated SAWC values. SWAC parameter is more informative than an infiltration structure percentage. Sensitivity 22 analysis is performed for SAWC ranging from 0 (100% of infiltration structures 23 24 corresponding to precipitation) to 145 mm of SAWC (0% infiltration structures +10 mm of 25 SAWC uncertainties measurement) with an increment increments of 10 mm. The coupled 26 surface runoff coefficient R<sub>coeff</sub> ranges from 0 to 16.3% (inwith increments of about 1%). For each combination, recharge is computed according to the soil-water balance (Figure 1(Fig. 1 – 27 Step 3 and Figure 2)Fig. 2) with: (i) the temperature estimated for the recharge area 28 29 (Appendix B), (ii) the precipitation recorded at Mont-Sec weather station, and (iii) the parameters of the recharge area (Appendix B), (ii) the precipitation recorded at Mont Sec 30 31 weather station, and (iii) the properties of the recharge area.

32 All the best computations have a one-day lag, with periods ranging from 56 to 104 days (Table 5). (Fig. 7A and Table 5). The best  $R^2$  obtained from recharge is obtained with both the 33 34 homogeneous infiltration assumption (SAWC = 105 mm,  $R^2$  = 0.618) and the heterogeneous infiltration assumption for SAWC from 85 ( $\mathbb{R}^2 = 0.618$ ) to 115 mm ( $\mathbb{R}^2 = 0.617$ ). estimated 35 recharge-area parameters (SAWC = 105 mm,  $R^2 = 0.618$ ) and the recharge-area parameters 36 for SAWC adjusted from 75 ( $R^2 = 0.616$ ) to 115 mm ( $R^2 = 0.617$ , Fig. 7B and Table 5). One 37 of the best correlation performances is obtained for the estimated recharge-area parameters. 38 This shows that the delimitation of the recharge area properly reflects the actual field 39 conditions. The best correlation performance is assumed to be obtained, with the estimated 40 parameter-recharge parameters for the NH4 null hypothesis, i.e. testing  $R^2$  obtained with the 41 estimated recharge-area set (SAWC = 105 mm) minus  $R^2$  obtained with each of the other 42 adjusted recharge-parameter sets of the sensitivity analysis (Table 5). 43

For all the recharge combinations tested, the LBCI values from bootstrap testing of <u>NH1NH2</u>
 are greater than 0, allowing to reject the null hypothesis <u>NH1 (Figure 7C).NH2 (Fig. 7C).</u> In

46 other words, it shows that the  $R^2$  obtained with recharge is always significantly higher than

1 the one computed with precipitation ( $R^2 = 0.311$ ) even for a SAWC of 5 mm ( $R^2 = 0.426$ ) 2 (Table 5).

3 One of the best correlation performances is obtained with the homogeneity assumption. This 4 reveals that the delimitation of the recharge area reflects properly the Séchilienne landslide 5 groundwater contributing recharge area. For the heterogeneous infiltration, Table 5). For the 6 adjusted recharge-area parameters scenarios having SAWC values above 5545 mm, the LBCI 7 values from bootstrap testing of NH1fromNH4 are lower than 0, not allowing to reject the 8 null hypothesis NH2 (Table 5 and Figure 7DNH4 (Table 5 and Fig. 7D). In other words, it 9 shows that the  $R^2$  obtained with the homogeneous assumption (a SAWC = of 105 mm) is not 10 significantly higher than the ones obtained from the heterogeneous assumption with SAWC above 55 mm. The best correlation from the sensitivity analysis can be influenced by local 11 properties of the A16 extensometer location and it is possible that infiltration structures could 12 sather a large proportion of the flow (up to 61% for SAWC = 55 mm) relative to their 13 14 recharge surface area (24%) (Table 5). If so, fractures can play an important role in the 15 groundwater drainage from the massif towards the landslide aquifer.

16 <u>45 mm</u>. Recharge-displacement correlations for SAWC values ranging from 75 (runoff = 9%) to 115 mm (runoff = 13.9%) show (i) a cumulative period computation (n) below 101 days, 17 that is within the third quartile, (ii) an  $R^2$  greater than 0.616, that is within the third quartile 18 19 and, (iii) LBCI values of NH2 greater than 0 (Table 5 and (iv) LBCI values of NH4 lower than 20 0 (Table 5 and Figure 7). ThisFig. 7). These SAWC and runoff range seems values seem to 21 statistically reflect the recharge area properties of the landslide, and is recommended are 22 suggested for further work on the Séchilienne landslide. For the remaining part of this paper, 23 the homogeneous infiltration assumption (SAWC = 105 mm) will be preferred to the 24 heterogeneous assumption because it is based on actual field observation data.

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#### 4.4.Estimation of the recharge for the Séchilienne landslide

26For the remaining part of this paper, the  $R_{LRIW}$  is based on the estimated recharge-area27parameters (infiltration structures = 24%, SAWC = 105 mm, and  $R_{coeff}$  = 12.8%). Indeed,28among all solutions giving satisfying performances in the sensitivity analysis, these29parameters arise from actual field data. The  $R_{LRIW}$  is compared with the precipitation signal in30Fig. 8.

The R<sub>LRIW</sub> signal differs significantly from the precipitation signals, marked by a high 31 32 seasonal contrast. This is especially true during summer when ET<sub>c</sub> is important. Indeed, the 33 first rainfall events after a dry period do not reach the aquifer until the SAWC is exceeded. 34 Figure 89 shows the best correlation results of cumulative for precipitation and recharge 35 (SAWC=105 mm)R<sub>LRIW</sub>, together with A16 detrended displacement daily displacements. The cumulative recharge signal reproduces well the displacement acceleration and deceleration 36 37 phases, and especially the dry summers where displacement dropped dramatically dropped (summers 1997, 1998, 2003, 2004 and 2009, Figure 8BFig. 9B). On the contrary, the 38 39 cumulative precipitation signal is more contrasted and more noisy, and does not manage to 40 reproduce manyseveral peaks (in width and as well as in intensity) of the detrended displacement signal (winters 1997, 2000, 2004, 2005 and 2010). In addition, the cumulative 41 42 precipitation signal shows a weak correlation with displacement deceleration phases 43 (summers 1998, 1999, 2000 2006, 2009 and 2010).

44 Because the displacements of deep seated landslides are strongly correlated with pore water

45 pressures, the weakness of the correlation performance ( $\mathbb{R}^2 < 0.7$ ) can be explained by the fact

46 that all the displacement data are correlated, not only the displacement acceleration stages.

Indeed, the displacement rate depends on rock properties and aquifer hydrodynamics, which behave differently according to either acceleration or deceleration stages.

#### 5. Discussion 4.4.<u>5.1.</u> Relevance of recharge signal for the Séchilienne landslidethe LRIW method

The recharge is computed according to the homogeneous assumption (i.e. infiltration structures = 24%, SAWC = 105 mm, and runoff coefficient = 12.8%) and is compared with the precipitation signal (Figure 9). The recharge signal differs significantly from10 summarizes the comparison of the performances between the precipitation signal, especially during summer when  $ET_e$  is important. Indeed, the first rainfall events after a dry period do not reach the aquifer until, the SAWC is exceeded.

12 In order to assess whether <u>R<sub>PMNE</sub></u> and the recharge is a relevant parameter <u>R<sub>LRIW</sub></u> based on the NH1, NH2 and NH3 tests for the Séchilienne landslide, the correlation between rainfall and 13 14 displacement was tested against four displacement stations (Figure 3) on their common data interval (01 January 2001 to 01 January 2012). Stations 1101, A13 and A16 are representative 15 of the most active zone (median displacement of 2.5, 1.75 and 2.98 mm/day, respectively), 16 17 while G5 is located on a much less active zone (median displacement of 0.05 mm/day) (Table 6).extensometers. All LBCI values from bootstrap testing of NH1, NH2 and NH3 are greater 18 than zero, allowing to reject the null hypothesis NH1. Rejection of NH1 three null hypotheses 19 20 for the four stations (Fig. 10A). Rejection of the NH1 null hypothesis shows that  $R^2$  obtained with R<sub>PMNE</sub> are significantly higher than those computed with precipitation. Rejection of the 21 NH2 null hypothesis shows that the  $R^2$  obtained with recharge R<sub>LRIW</sub> are significantly higher 22 23 than the ones those computed with precipitation for the four stations (Figure 10).  $R^2$  varies from 0.243 to 0.586 for recharge and from 0. Similarly, rejection of the NH3 null hypothesis 24 shows that  $R^2$  obtained with  $R_{LRIW}$  are significantly higher than those computed with  $R_{PMNE}$ . 25  $R^2$  values vary from 0.0006 to 0.343 for precipitation, from 0.076 to 0.444 for  $R_{PMNE}$  and 26 27 from 0.243 to 0.586 for R<sub>LRIW</sub>, for G5 and A16 extensioneter respectively (Table 6). However, the 2.5<sup>th</sup> and 97.5<sup>th</sup> percentile of NH1 bootstrap distribution and the observed 28 (Table 2). On average, <u>R<sub>PMNE</sub> allows to increase the R<sup>2</sup> value of NH1 test are rather constant</u> 29 for the four displacement stations, respectively about 0.145, 0.250 and 0.325 (Figure 10). In 30 31 other words, recharge is more significant than by 29% relatively to precipitation at the same level for the four stations whereas correlation with displacement is very variable. This may be 32 33 explained by the fact that groundwater hydrodynamic probably triggers the entire Séchilienne 34 landslide while the displacement velocity response depends on the damage level of the rock of 35 the displacement station location. This interpretation is supported by the variability of the 36 cumulative period, the shift factor, the weighting factor and the  $\mathbb{R}^2$  value especially between 37 G5 and the three others stations (Table 6). Finally, concerning the A16 extension extension  $R^2$  is better on the short interval (0.343) than the one from the sensitivity analysis (0.311) for 38 precipitation and inversely for the recharge (0.586 instead of 0.618 for the sensitivity 39 40 analysis). This could be the consequence of a degradation of near surface rock mechanical properties of the Séchilienne landslide (as suggested by the displacement trend, Figure 6) 41 which makes the landslide more sensitive to precipitation events in the recent period, while 42  $R_{LRIW}$  allows to increase the R<sup>2</sup> by 78% (Fig. 10B). The R<sup>2</sup> obtained with  $R_{LRIW}$  are 38% 43 higher on average than those obtained with R<sub>PMNE</sub>. 44

These results are confirmed by the LBCI and by the observed values of the NH2 test which
are always greater than those from the NH1 test as well as by the positive LBCI values of the
NH3 test (Fig. 10). The correlation performance for the recharge estimated with the LRIW

method significantly exceeds the performances of the two other signals, making the LRIW
 method particularly appropriate to be used in landslide studies. A discussion about the benefit
 of this study for the understanding of the rainfall-displacement relationship in the case of the
 Séchilienne landslide can be found in appendix C.

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#### 4.5.5.2. Applicability of the LRIW method to other landslides

Several studies have shown the relevance of recharge for coastal landslides (Maguaire, 2000; 6 Bogaard et al., 2013), unstable embankment slope landslides Several studies have shown the 7 8 relevance of the recharge signal for various landslide types: coastal landslides (Cartier and 9 Pouget, 1987; DelmasMaquaire, 2000; Bogaard et al., 1987; Matichard and Pouget, 10 19882013) and deep-seated earthflow, unstable embankment slope landslides (Malet et al., 2003; Godt et al., 2006) (Cartier and Pouget, 1987; Delmas et al., 1987; Matichard and Pouget, 11 12 1988). In addition, destabilization of shallow landslides is known to be influenced by antecedent soil moisture and precipitation and deep-seated earth flow landslides 13 (Brocca Malet et al., 2012; Garel 2003; Godt et al., 2012; Ponziani et al., 20122006). Recharge, 14 15 which implicitly gathers together In addition, destabilization of shallow landslides is known to 16 be influenced by antecedent soil moisture and precipitation can be a significant parameter to 17 consider. However, its relevance to landslide has to be evaluated in relation to classical methods (Van AschBrocca et al., 19992012; Garel et al., 2012; Ponziani et al., 2012)-18 19 Although the appropriateness of using the recharge to better characterise the precipitation-20 displacement relationship is demonstrated in previous studies, the parameters used are rarely 21 described and a state of uncertainty remains about the methods implemented. Recharge, which implicitly gathers antecedent soil moisture and precipitation, can be a significant 22 23 parameter to consider.

Although the method proposed in this study has not yet been tested at other sites, there are
several arguments which suggest its applicability elsewhere. First, the FAO Penman-Monteith
method used in this study is considered worldwide as the evapotranspiration method standard
(Maquaire, 2000; BinetAllen et al., 2007; Zizioli 1998; Shahidian et al., 2013; Padilla et al.,
2014 2012).

29 Although the method proposed in this study has not been yet tested at other sites, there are 30 several arguments which suggest its applicability to other sites. Firstly, the FAO Penman-Monteith method used in this study is used worldwide as the Several evapotranspiration 31 32 method standard methods were developed locally and many of them can be calibrated against reference methods in other contexts (Hargreaves and Allen, 2003; Yoder et al., 1998 2005; 33 34 Alkaeed et al., 2006; Igbadun et al., 2006; Trajkovic, 2007; Alexandris et al., 2008; López-Moreno et al., 2009; Sivaprakasam et al., 2011; Tabari and Talaee, 2011; Shahidian et al., 35 36 2012; Tabari et al., 2013). Several reduced set evapotranspiration methods have been developed locally and many of them can be calibrated against reference method in other 37 38 contexts. Otherwise, the Penman-Monteith or Hargreaves-Samani methods are recommended (Hargreaves and Allen, 2003; Yoder et al., 2005; Alkaeed et al., 2006; Igbadun et al., 2006; 39 Trajkovic, 2007; Alexandris et al., 2008; López Moreno et al., 2009; Sivaprakasam et al., 40 41 2011; Tabari and Talaee, 2011; Shahidian et al., 2012; Tabari et al., 2013)(Allen et al., 1998)-42 Otherwise, Penman-Monteith reduced-set or Hargreaves-Samani methods are recommended. 43 Several solar radiation methods were developed and can be applied worldwide if locally 44 calibrated, allowing estimation of evapotranspiration from temperature alone (Allen et al., 45 1998; Almorox, 2011). A number of reduced-set solar radiation methods have been developed and can be applied worldwide if locally calibrated, allowing estimation of vegetation 46 47 evapotranspiration with temperature alone. Recharge-area parameters can be estimated

locally or with local or global literature reference values. The use of global values will 1 2 increase recharge estimation uncertainties. However, the implementation of a sensitivity 3 analysis allows a refinement of recharge-area parameters in order to compensate for the lack 4 of site-specific data. Pachepsky and Rawls (Allen et al., 1998; Almorox, 2011)(2004). 5 Recharge area parameters can be estimated locally or with local or global literature reference 6 values according to land use. The use of global values will increase recharge estimation 7 uncertainties. However, the implementation of a sensitivity analysis allows a refinement of 8 recharge area parameters, in order to compensate for the lack of site specific data. Pachepsky 9 and Rawls developed pedotransfer functions to estimate SAWC for various regions of the 10 world. R<sub>coeff</sub> values from the widely used rational method can be applied, as well as most of the runoff coefficients from the literature (2004)(McCuen, 2005; Musy and Higy, 2011)-have 11 12 developed. In addition, pedotransfer functions to estimate SAWC can also be used for different regions of the world. Runoffrunoff estimation. Lastly, vegetation coefficients are 13 14 available from the widely used rational method can be applied, as well as most of the runoff 15 coefficients from the literaturelocal surveys (McCuen, 2005; Musy and Higy, 2011)(Gochis and Cuenca, 2000; Verstraeten et al., 2005; Hou et al., 2010). In addition, pedotransfer 16 functions can also be used for runoff estimation. Finally, vegetation coefficients are available 17 18 from local surveys, but can also be found in the literature for many species (Gochis and Cuenca, 2000; VerstraetenAllen et al., 2005; Hou et al., 2010 1998), but can also be found in 19 the literature for many species (Allen et al., 1998). 20 21 ·

# **<u>5.6.</u>** Conclusion and perspectives

2 This study demonstrates that the performance of landslide displacement data correlation with 3 rainfall is significantly enhanced using recharge (average R<sup>2</sup> of 0.46), compared to results obtained with precipitation (average  $R^2$  of 0.25). Most landslide sites include weather stations 4 5 with limited meteorological datasets. A workflow method A method based on a soil-water 6 balance, named LRIW, is developed to compute recharge on a daily interval, requiring only 7 temperature and rainfall as inputs. Two solar radiation (Rs) methods and five commonly used reference vegetation the characterization of evapotranspiration (ET<sub>0</sub>) reduced set methods are 8 tested at the Séchilienne site. However, the method and parameters characterising the 9 recharge area (soil-available water-capacity and runoff). A workflow is developed to be as 10 universal as possible in order to be applied to other landslides. SAWC, vegetation coefficient 11 12 and runoff coefficient are estimated at compute daily groundwater recharge and requires the records of precipitation, air temperature, relative humidity, solar radiation and wind speed 13 14 within or close to the landslide. The determination of the parameters of the recharge area scale 15 with a GIS composite method, and is based on a spatial analysis requiring field observations 16 and spatial datasets (digital elevation models, aerial photographs and geological maps). Once 17 determined, the parameters are refined with a sensitivity analysis. 18 For the Séchilienne landslide, the performances of all Rs tested methods are similar once they 19 are calibrated. The five ET<sub>0</sub> methods tested show acceptable to very good performance. The 20 reduced se equations of Bristow-Campbell (R<sub>S)</sub> and Penman-Monteith (ET<sub>0</sub>) show the best

- 21 performances, and are used for the recharge computation. A sensitivity analysis allows 22 definition of a bracketed estimation of SAWC (from 75 to 115 mm) and of surface runoff
- (from 9 to 13.9%). A vegetation factor is estimated from 0.777 (winter) to 0.955 (summer).

24 The sensitivity analysis The method has been tested on the Séchilienne landslide. The tests 25 demonstrate that the performance of the correlation with landslide displacement velocity data is significantly enhanced using the LRIW estimated recharge. The  $R^2$  obtained with the LRIW 26 recharge are 78% higher on average than those obtained with precipitation and are 38% 27 higher on average than those obtained with recharge computed with a commonly used 28 29 simplification in several landslide studies (recharge = precipitation minus non-calibrated ET<sub>0</sub>). The sensitivity analysis of the LRIW workflow appears to be an appropriate alternative 30 31 to estimate or to refine soil-water balance parameters of the recharge area, especially in the 32 case of insufficient field investigations or in the absence of the necessary spatial dataset. For 33 the Séchilienne site, temperature is missing and so has to be accurately estimated. 34 Temperature estimation brings the greatest uncertainty in the estimation of  $ET_{0}$ . Fortunately, 35 temperature is commonly measured at weather stations near landslides.

36 The use of recharge improves the relationship between landslide displacement and rainfall 37 signalLRIW workflow is developed to be as universal as possible in order to be applied to other landslides. The proposed method for estimation of the recharge workflow is developed 38 39 in order to be sufficiently simple for use by to guide any non-hydrophydrogeology specialist-40 The proposed method who intends to estimate the recharge signal in the case of rainfalllandslide displacement studies. Within this scope, a software is planned to be developed in the 41 42 near future in order to provide a user-friendly tool for recharge estimation. In addition, the 43 LRIW workflow also enables the reconstruction of retrospective time series for sites recently 44 equipped with weather stations designed to measure a full set of parameters. This method can 45 be adapted to any other scientific study attempting to correlate time series signals with 46 recharge. A further step will behave to account for the spatial and temporal 47 variability variabilities of precipitation and recharge area properties, which will provide thus

providing a better estimation of the recharge. In addition, taking recharge (i.e. into account can
 assist in determining a warning rainfall threshold for water budget computation). the deep seated slope movements.

4 In addition, taking into account recharge can assist in determining a warning rainfall threshold 5 for Séchilienne slope movements. To our knowledge, no attempt has led to a successful 6 determination of rainfall threshold for deep-seated landslides (Zizioli et al., 2013). Finally, an 7 accurate estimation of the recharge will allow to better characterise the relationship between 8 water and displacement. This would enable to determine the influence of groundwater on the 9 seasonal variations of destabilisation (detrended displacement) and multi-annual trend 10 behaviour. Such an understanding will be of great benefit for instance in the framework of global climate change. 11

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# Appendix A: Equations for evapotranspiration and solar radiation methods

A.1 Equation parameters terms for all equations are defined as 3 follow: 4 extraterrestrial solar radiation [MJ m<sup>-2</sup> day<sup>-1</sup>] 5  $-R_a R_a$ solar radiation [MJ m<sup>-2</sup> day<sup>-1</sup>] 6  $\frac{R}{R}R_{c}$ net solar radiation [MJ m<sup>-2</sup> day<sup>-1</sup>] 7  $-R_n R_n$ 8 -N - Nmaximum possible duration of sunshine [hour] 9 actual daily duration of sunshine [hour] <del>n</del> n  $\frac{T_{avg}}{T_{avg}}T_{avg}$ average air temperature at 2 m height [°C] 10  $\frac{T_{min}}{T_{min}}T_{min}$ minimum air temperature at 2 m height [°C] 11  $\frac{T_{max}}{T_{max}}T_{max}$ maximum air temperature at 2 m height [°C] 12 soil heat flux density [MJ m<sup>-2</sup> day<sup>-1</sup>] G G13 psychrometric constant [kPa °C<sup>-1</sup>] 14  $\gamma \gamma$ wind speed at 2 m height  $[m s^{-1}]$ 15  $\frac{u_2}{u_2}u_2$ 16 mean saturation vapour pressure [kPa]  $e_s e_s$ actual vapour pressure [kPa] 17  $e_a e_a$  $\overline{e^{o}}e^{o}$ 18 saturation vapour pressure at the air temperature T [kPa] slope of vapour pressure curve [kPa °C<sup>-1</sup>] 19  $\Delta$ relative humidity [%] <del>RH</del>RH 20 The procedure for calculating these equation terms are presented in detail in the FAO 56 21 guidelines for computing crop water requirements (Allen et al., 1998). 22 23 α cloud cover adjustment factor [unitless] 24 The procedure for calculating these equation terms are given in the FAO-56 guidelines for 25 computing crop water requirements (Allen et al., 1998). 26

1

#### A.2 Solar radiation (R<sub>S</sub>) +

2 The solar radiation BC R, BC R, obtained from the Bristow-Campbell method:  $BC R_{s} = A_{BC} Ra - 1 - exp\left(-B_{BC} (\alpha \Delta T)^{C_{BC}}\right) - with \Delta T_{BC} = T_{max(j)} - \frac{T_{\min(j)+}T_{\min(j+1)}}{2} - (A1)$ 3 The solar radiation -HS R<sub>s</sub> obtained from the Hargreaves-Samani method: 4  $HSR_{s} = A_{HS}Ra(\Delta T_{HS})^{B_{HS}}$  with is obtained from the Bristow-Campbell method (Bristow and 5 Campbell, 1984): 6  $\underline{BCR_s = A_{BC}Ra\left[1 - exp\left(-B_{BC}(\alpha \Delta T_{BC})^{C_{BC}}\right)\right]} \underline{\text{with }} \Delta T_{BC} = T_{max(j)} - \frac{T_{\min(j)+}T_{\min(j+1)}}{2}$ <u>(A</u>1) <u>The solar radiation  $\Delta T_{HS} = T_{max(j)} - T_{min(j)} HS R_s$ </u> 7 8 obtained from the Hargreaves-Samani method (Hargreaves and Samani, 1985): HS  $R_s = A_{HS} Ra \left(\Delta T_{HS}\right)^{B_{HS}} \underline{\text{with}} \Delta T_{HS} = T_{max(j)} - T_{min(j)}$ (A2) 9 where: j is for the current target day and j+1 is for the following day 10  $A_{BC}, B_{BC}, C_{BC}, A_{BC}, B_{BC}, C_{BC}$  are the Bristow-Campbell empirical coefficients (no default 11 12 values) 13  $A_{HS}, B_{HS} A_{HS}, B_{HS}$  are the Hargreaves-Samani empirical coefficients  $(A_{HS} = 0.16 \text{ and } B_{HS} = 0.5)$   $(A_{HS} = 0.16 \text{ and } B_{HS} = 0.5)$ 14 Rs can also be calculated with the Angström formula using sunshine duration data recorded at 15 16 a weather station (FAO-56 guidelines (Allen et al., 1998)): In this study, the modified forms of R<sub>S</sub> equation of Bristow-Campbell and Hargreaves-Samani 17 18 are implemented: (i) a constant is added to take into account the possibility of a R<sub>S</sub> estimation shift, (ii) the  $\Delta T$  from the Bristow-Campbell method is used in both equations, and (iii) a 19 cloud cover adjustment factor  $\alpha$  is applied to  $R_s = -a_s + b_s \frac{n}{N} - R_a \Delta T$ 20 21 -(A3)22 where: since, for cloudy conditions,  $a_s + b_s \Delta T$  is can produce an estimate larger than the 23 incoming solar radiation (Bristow and Campbell, 1984). 24 <u>Bristow-Campbell modified equation ( $BC_{mod} R_s$ ):</u>  $BC_{mod} R_s = A_{BC} Ra \left[ 1 - exp \left( -B_{BC} (\alpha \Delta T)^{C_{BC}} \right) \right] + D_{BC}$ <u>(A</u>3) <u>Hargreaves-Samani modified equation (</u> $HS_{mod} R_s$ ): 25

$$\frac{HS_{med} R_s = A_{HS} Ra(\alpha \Delta T)^{S_{ms}} + C_{HS}}{(\Delta 4)}$$

$$\frac{HS_{med} R_s = A_{HS} Ra(\alpha \Delta T)^{S_{ms}} + C_{HS}}{2}$$

$$\frac{(\Delta 4)}{2}$$

$$\frac{With}{\Delta T} = T_{mer(j)} - \frac{T_{mer(j)} + T_{mer(j+1)}}{2}$$

$$\frac{With}{2}$$

$$\frac{\Delta T}{2} = T_{mer(j)} - \frac{T_{mer(j)} + T_{mer(j+1)}}{2}$$

$$\frac{With}{2}$$

$$\frac{A_{RS} - B_{RC} - C_{RC} - D_{RC} - are the Bristow-Campbell regional calibration coefficients
$$\frac{A_{RS} - B_{RC} - C_{RC} - D_{RC} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RC} - C_{RC} - D_{RC} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RC} - C_{RC} - D_{RC} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - D_{RC} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - D_{RC} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - D_{RS} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - D_{RS} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - D_{RS} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - D_{RS} - are the Hargreaves-Samani regional calibration coefficients
$$\frac{A_{RS} - B_{RS} - C_{RS} - D_{RS} - are the two first rain-event (\Delta T_{J-1}) is less than \Delta T_{J-2} - by more than 2°C, the coefficient  $\alpha$  is also applied assuming that cloud cover was already significantly present. For the remaining days,  $\alpha$  is not applied ( $\alpha = 1$ ). A 2°C threshold and a 2-day period is used (Bristow and Campbell 1984). In this study, the calibration of  $\alpha$  is based on the principle that if this adjustment is not relevant, a calibrated  $\alpha$  coefficient would be equal to 1 (no effect). Rescan also be calculated with the Angström formula using sunshine duration data recorded at a weather station (FAO-56 guidelines, Allen et al., 1998);$$

$$\frac{R_s = a_s + b_s$$$$$$$$$$$$$$$$$$$$$$$$

25 <u>obtained from the Penman-Monteith method modified form from the FAO paper number 56</u>
 26 <u>(Allen et al., 1998) is:</u>

$$PT \ ET_0 = 1.26 \frac{\Delta}{\Delta + \gamma} (R_n - G)$$
(A10)

<u>The reference vegetation evapotranspiration</u>  $M ET_0$  obtained from the Makkink method (Makkink, 1957) is:

$$M ET_0 = 0.61 \frac{\Delta}{(\Delta + \gamma)} \frac{R_s}{2.45} - 0.012$$
 (A11)

The Penman-Monteith reduced-set method which allows to calculate the reference vegetation evapotranspiration  $PM_{red} ET_0 PM_{red} ET_0$  is identical to the PM FAO-56 method (Eq. A12) (A6)), but humidity and wind speed are estimated according to FAO-56 guidelines (Allen et al., 1998).(Allen et al., 1998). The actual vapour pressure is estimated with the Equation (A10).equation (A12):

8 
$$e_a = e^0(T_{min}) = 0.611 \exp \frac{17.27 T_{min}}{T_{min} + 237.3}$$
 (A10)  
 $e_a = e^0(T_{min}) = 0.611 \exp \frac{17.27 T_{min}}{T_{min} + 237.3}$  (A10)

9 In the case of the Séchilienne landslide, the wind speed <u>wasis</u> fixed at 1.5 m/s at <u>a 2-meters-</u>
10 <u>meter</u> height (2 m/s by default), which is the daily average of the nearby mountain weather
11 stations (Chamrousse, 2.33 m/s; Saint-Michel-Maur, 0.95 m/s; Saint-Jean-Saint-Nicolas, 1.26
12 m/s).

#### **A.4 Practical informations**

14 The  $ET_0$  methods used in this study were developed for irrigation scheduling, for which the 15 scope of application involves positive temperatures (plant water supply during the spring-16 summer growing period). However, in mountainous sites, winter temperatures are often below 17  $0^{\circ}$ C, and ET<sub>0</sub> empirical methods can compute negative ET<sub>0</sub> values. Negative ET<sub>0</sub> computed values do not have any physical meaning and are therefore set to zero for this study. 18 19 The Priestley-Taylor and Penman-Monteith ET<sub>0</sub> methods use net solar radiation (R<sub>n</sub>) instead 20 of R<sub>S</sub>, which can be deduced from R<sub>S</sub> following the FAO-56 guideline (Allen et al., 1998).  $ET_0$  reduce-set methods do not take into account the wind speed variations. By removing 21 saturated air from the boundary layer, wind increases evapotranspiration (Shahidian et al., 22 23 2012). Several studies show the influence of the wind speed on  $ET_0$  method performance and therefore on calibration (Itenfisu et al., 2003; Trajkovic, 2005; Trajkovic and Stojnic, 2007). 24 For this study, the days with average wind speed above the 95<sup>th</sup> percentile of the dataset 25

- 26 (extreme values) are disregarded for the calibration.
- 27

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# Appendix B: Temperature estimation at the Mont-Sec weather station

#### **<u>B.1</u>** Method

3

The temperatures at the Mont-Sec weather station are estimated with the characterisation of
the local air temperature gradient using two surrounding weather stations recording the
temperatures at a daily rate (Luitel et La Mure weather stations). Once the local air
temperature gradient is characterized, one of the station is used to estimate the Mont-Sec
temperatures.

9 The decrease in air density with elevation leads to a decrease in air temperature known as the lapse rate (Jacobson, 2005) - A commonly used value of this rate is -6.5 °C / 1000 m. The air 10 temperature can thus be related to elevation. In order to compute a local air temperature 11 12 gradient, two weather stations surrounding the Séchilienne site wereare used (weather stations 13 of: Luitel and La Mure). (Table 1 and Fig. 3). The Luitel station is located on the Séchilienne 14 massif whereas the La Mure station is located about 18 km from the landslide. Both stations 15 have weather conditions similar to the Séchilienne recharge area. Although, the temperature 16 estimation from the Luitel station would probably be more accurate, in order to maximize common interval lengths of temperature temperatures with displacement record records from 17 18 1994 to 2012, the La Mure station with record records from 1992 to 2012 was selected as a 19 reference is preferred to estimate temperature temperatures at Mont-Sec.

20 The local air temperature gradient in relation to elevation is defined by Equation (B1).(B1). 21 The La Mure station temperatures (minimum and maximum) are used to estimate the 22 temperatures at Luitel in relation to elevation, over their common recording period. A linear 23 regression between temperaturetemperatures measured at La Mure and Luitel wasis 24 performed to determine the *a* and *b* coefficients. The b coefficient, which gather 25 togethergathers the lapse rate ( $\lambda$ ) and the elevation difference, wasis then divided by the 26 elevation difference of the two stations used for the calibration.

27 
$$T_{(Station)} = aT_{(Mure)} + b = aT_{(Mure)} + \lambda Diff_{elevation} \quad \text{with} \quad Diff_{elevation} = Elevation_{Mure} - Elevation_{Station}$$
28 (B1)

$$\frac{T_{(Station)} = a T_{(Mure)} + b = a T_{(Mure)} + \lambda Diff_{elevation}}{\underline{\text{with } Diff_{elevation}} = Elevation_{Mure} - Elevation_{Station}}}$$
(B1)

29 where:

30 a and b regional calibration coefficients

31 T temperature minimum or maximum [°C]

- 32  $\lambda$  temperature lapse rate [°C m<sup>-1</sup>]
- 33 Diff<sub>elevation</sub> difference of elevation between two weather stations [m]
- 34 Elevation weather station elevation [m asl]
- 35 Station target station (Luitel for the calibration, Mont-Sec for computation)

### **<u>B.2</u>** Results

2 The recording period used for temperature calibration is from 06 July 2006 to 23 July 2012 3 (2193 records). This is a common data interval for the two weather stations used (La Mure 4 and Luitel). The estimation of the local air temperature gradient shows a very good 5 performance with  $R^2$  equal to 0.895 (LBCI at 5% level = 0.826) and 0.916 (LBCI at 5% level 6 = 0.850), and RERMSE equal to 2.12 and 2.48 respectively for minimum and maximum daily 7 temperature calibration. Equation (B2) The equations (B2) and (B3)(B3) are used to estimate 8 temperatures at Mont-Sec with temperatures measured at La Mure. Instead of Rather than 9 taking the elevation of the Mont-Sec weather station (1147 m), the average elevation of 10 recharge area (1200 m) is used, resulting in a difference of elevation with La Mure of 319 m. The recording period used for temperature calibration was from 06 July 2006 to 23 July 2012 11 12 (2193 records). This is a common data interval for the two weather stations used (La Mure, 13 Luitel). The estimated local air temperature gradient is 0.7°C per 100 meters of elevation (the 14 average of the  $\lambda$  of the two following equations).  $T_{\min(Mont Sec)} = 0.911 T_{\min(Mure)} - 0.0056 \times 319$ 15 <del>(B2)</del>  $T_{\max(Mont Sec)} = 0.928 T_{\max(Mure)} - 0.0087 \times 319$  (B3) 16 The absence of reliable temperature records at the Mont-Sec weather station increases the 17 18 estimation of R<sub>S</sub> and ET<sub>0</sub> uncertainty.  $T_{min (Mont Sec)} = 0.911 T_{min (Mure)} - 0.0056 \times 319$ (B2)

$$T_{max (Mont Sec)} = 0.928 T_{max (Mure)} - 0.0087 \times 319$$
 (B3)

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# **Appendix C: Rainfall-displacement relationship in the case of the** <u>Séchilienne landslide</u>

3 The rainfall-displacement relationship is hereafter discussed for the precipitation and the  $R_{LRIW}$  signals. Although the R<sup>2</sup> values are significantly variable from one station to another, 4 the 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles and the observed value of the NH2 test are rather constant for 5 6 the four displacement stations (respectively about 0.145, 0.250 and 0.325, Fig. 10A). These 7 results show that the improvement of the correlation performance by using recharge rather 8 than precipitation has the same order of magnitude for the four stations, whereas  $R^2$  values 9 vary considerably between the four stations. This may be explained by the fact that 10 groundwater hydrodynamics probably triggers the entire Séchilienne landslide while the displacement velocity response depends on the damage level of the rock at the location of the 11 12 displacement station. This interpretation is supported by the variability of the cumulative period, the shift factor, the weighting factor and the  $R^2$  value, especially between G5 and the 13 14 three others stations (Table 2).

15 The cumulative period and the shift factor deduced from the antecedent cumulative sum allow 16 to determine the response-time of the Séchilienne landslide to rainfall events. Displacement 17 stations located in the high motion zone show homogenous time delays with shift factors of 2 18 to 3 days. The average cumulative periods beyond which precipitation or R<sub>LRIW</sub> have no 19 longer any influence on the landslide destabilisation are estimated at about 50 days for precipitation and 75 days for R<sub>LRIW</sub>. The station G5 shows significantly different time delays 20 21 and cumulative periods, whatever the precipitation or R<sub>LRIW</sub> data used. This difference can be 22 explained by the low signal-to-noise ratio which makes the correlations difficult to interpret.

Concerning the A16 extensometer, regarding precipitation R<sup>2</sup> is better for the recent-short
 testing interval (0.343) than for the former-long interval of the sensitivity analysis (0.311).
 Conversely, regarding the recharge, R<sup>2</sup> is better for the former-long interval (0.618) than for
 the recent-short testing interval (0.586). This could be the consequence of a degradation of the
 near-surface rock mechanical properties of the Séchilienne landslide (as suggested by the
 displacement trend, Fig. 4), which makes the landslide more sensitive to precipitation events
 in the recent period.

- 30 Lastly, the best correlations from the sensitivity analysis suggest that infiltration structures 31 could gather a large proportion of the flow (up to 68% for SAWC = 45 mm; NH4 LBCI <0)
- $\frac{1}{32}$  with respect to their recharge surface area (24%, Table 5). If so, fractures can play an
- 33 important role in the groundwater drainage from the massif towards the landslide aquifers.
- 34

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Table  $1_{\frac{1}{2}}$  Summary of weather datasets used in this study with parameters used (•) at each

humidity, T is the temperature and P is the precipitations.precipitation depth

3 4

1

Number Elevation Distance R<sub>s</sub> N W H T P Station Name From То of days (m asl) (km) with data 01 01 JanuaryJan. Saint-Jean-1210 55 JanuaryJan. 2876 Saint-Nicolas 2004 2012 01 Saint-Michel-01 JanuaryJan. 698 54 <del>January</del>Jan. 2864 Maur 2004 2012 01 Grenoble-08 JulyJul. 384 51 <del>January</del>Jan. 907 Saint-Geoirs 2009 2012 12 01 MarchMar. Chamrousse 9 3261 1730 SeptemberSep. 2002 2012 9 01 La Mure 881 18 7517 September Sep. JanuaryJan. 1992 2012 06 JulyJul. 23 JulyJul. Luitel 1277 4 2193 2006 2012 9 01 Mont-Sec 11480.2 September Sep. 7517 JanuaryJan.

1992

2012

- Table 2. Rs (solar radiation) methods: calibration results and performance assessment 1 2 parameters (average of three weather stations).
- 3 A, B C and D are the calibration coefficients,  $\alpha$  is the cloud cover adjustment factor,  $\mathbb{R}^2$  is the
- 4 coefficient of determination of the linear regression (measured vs. estimated Rs) and RE is the 5 relative error. HS<sub>mod</sub> R<sub>S</sub> is the solar radiation calculated with the modified form of 6 Hargreaves-Samani method. BCmod Rs is the solar radiation calculated with the modified form
- 7 of Bristow-Campbell method.
- 8 : Statistics of the displacement records and results of the best linear correlation between 9 precipitation/R<sub>LRIW</sub> and displacement records for 4 displacement stations (1101, A13, A16 and G5). The displacement column indicates basic statistics of the displacement records: 1<sup>st</sup> 10 guartile (Q1), median and  $3^{rd}$  quartile (Q3). Cumulative period (n), shift factor ( $\beta$ ) and 11
- weighting factor ( $\alpha$ ) are the terms of the equation (3). P stands for precipitation, R<sub>1</sub> stands for 12 R<sub>PMNE</sub> and R2 stands for R<sub>LRIW</sub>.
- 13

		$\frac{\text{Weighting factor}}{(\alpha)} \qquad \qquad$			<u>Shift</u> factor (β)		<u>ulative St</u> od (n) <u>facto</u>		Cumulative period (n)		<u>Cu</u> pe	<u>Displacement</u> <u>mm/day</u>	Station
Cellules	RER						<u>R</u>	R	<u>R</u>	R		AQ1/median/	
Cellules	2	$\mathbf{R}^{\pm}\mathbf{R}_{1}$	<u>₩</u> P	$\underline{\mathbf{D}}\underline{\mathbf{R}}_2$	$\underline{\mathbf{C}}_{\underline{\mathbf{R}}_{\underline{1}}}$	<u><b>B</b>P</u>	2	<u>P</u> 1	2	1	<u>P</u>	<u>Q3</u>	Method
Cellules	0. <del>12</del>	0. <mark>84</mark>	0.74		0. <mark>670</mark> 0	0. <del>662</del>			<u>6</u>	<u>5</u>	4	<u>0.106</u> 1.75 /	HS <sub>mod</sub>
Cellules	<del>3</del> 50	7 <u>35</u>	<mark>0</mark> 28	<u>-0.091</u>	<u>65</u>	071	<u>2</u>	<u>2</u> <u>2</u>	<u>8</u>	<u>4</u>	<u>2</u>	2.50/3.84	<mark>₽</mark> §1101
Cellules	0.52	0.27	0.28	0.001	0.070	0.102	2	2 2	<u>8</u>	8	<u>5</u>	<u>1.18/1.75/</u> 2.41	A 12
Cellules	0.52	0.37	0.28 0.79	<u>0.091</u> 1.722	$\frac{0.070}{0.056}$	0.102	<u> </u>	<u> </u>	4	<u>U</u>	<u> </u>	<u>5.41</u>	$\frac{A15}{BC}$
Collulos			0.77	<del>1./33</del>	<del>2.030</del>	0.010			-7	_ <u>7</u> _	<u>6</u>	<del>0.009<u>1.94</u>7</del>	$\mathbf{P}_{\text{mod}}$
Cenules	- <u>739</u>	<u>+44</u>	<u><del>0</del>34</u>	0.108	0.125	105		∉€_	<u>0</u> 1	1	<u>4</u>	2.9874.39	<del>R</del> <u>SAI0</u>
Cellules	<u>}</u>		0.00						$\frac{1}{2}$	<u>1</u> 6		0.02/0.05/	
Cellules	0.24	0.08	<u>0.00</u> 1	0.011	0.003	0.039	6	0 6	$\frac{3}{2}$	$\frac{0}{9}$	8	0.0270.037	G5
Cellules	0.21	0.00	<u> </u>	0.011	0.005	0.000	<u> </u>	<u> </u>	=		<u> </u>	0.00	
Cellules													

Cellules Cellules Cellules

1Table 3: Calibration and performance of the reduced-set<br/>five tested  $ET_0$  methods relatively to2the FAO-56 PM  $ET_0$  standard (Penman-Monteith method defined in the FAO-56 paper). All3reduced-set<br/>the  $ET_0$  methods are detailed in the appendix A.

Method	а	b	$R^2$	RERMSE
HS Et <sub>0</sub>	0.920	0.130	0.917	0. <del>24<u>548</u></del>
Turc ET <sub>0</sub>	0.880	0.434	0.900	0. <del>257<u>588</u></del>
PS ET <sub>0</sub>	0.352	0.365	0.919	0. <del>231<u>533</u></del>
M ET <sub>0</sub>	1.107	-0.018	0.910	0. <del>246</del> 565
$PM_{red} ET_0$	0.994	0.013	0.932	0. <del>221<u>505</u></del>

\_a, b and  $R^2$  are the results of linear regression between FAO-56 PM ET<sub>0</sub> and reducedset<u>tested</u> ET<sub>0</sub>, <u>RE</u> methods. <u>RMSE</u> is the relativeroot mean square error.

 Table 4-: Estimation of Kc (vegetation coefficient), SAWC (soil available water capacity) and runoff estimation-for the recharge area of the Séchilienne landslide.

3 Geology and vegetation are the sub-area types factors identified and expressed in proportion

4 of the recharge area. Average <u>The average</u> slope gradient is the slope gradient for each

5 <u>identified</u> vegetation sub-area type identified factor. Kc, runoff  $\underline{R}_{coeff}$  and SAWC <u>columns</u> are

6 the estimated values from the spatial dataset or auger holes for each sub-area typefactor.

7 Kc RA, SAWC RA and  $\frac{runoff}{R_{coeff}}$  RA <u>columns</u> are the contribution of each sub-area type

8 relatively to sub-area surface proportion parameter at the scale of the recharge area.
 9 Recharge The recharge-area bottom-row stands for the average estimation at whole the scale

9 Recharge-The recharge-area bottom-row stands for the average estimation at whole the scale
 10 of the recharge area.

T	Geology <u>sub-area</u> (%)		Vegetation sub-area (%)	Average slope gradient (°)	Kc min. max.	Kc RA min. max.	Runoff <u>R<sub>coeff</sub></u> (%)	Runoff <u>R<sub>coeff</sub></u> RA (%)	SAWC (mm)	SAWC RA (mm)	
N	Micaschist	3							173	5	
S	edimentary	9	Pasture	14.0	0.85 1	0.256 0.301	22	5.1	100	9	
S f	Superficial	11	23						112	12	
Ν	Micaschist	12	Forest					7.7	254	30	
S	edimentary	11		20.6	0.745	0.521	15		81	9	
S f	Superficial	30	53		0.933	0.935	0.654			133	41
	Outcrop no soil	24	24	-	-	-	0	0	0	0	
	Recharge area	100	100	-	-	0.777 0.955	-	12.8	-	106	

1Table 5:5: Sensitivity analysis results of the best correlation between2precipitation/recharge  $R_{LRIW}$  and A16 extensioneter detrended displacement.

IS are theis for infiltration structures. SAWC is the soil--available water--capacity. LBCI is the lower bound of the confidence interval.  $R^2$  row is the  $R^2$  computed from recharge--area parameters indicated in each table row. Cumulative period (n), shift factor ( $\beta$ ) and weighting factor ( $\alpha$ ) are the terms of the Equation (5).equation (3). Null hypothesis NH1NH2 test:  $R^2_{row}$ - $R^2_{precipitation}$ . Null hypothesis NH2NH4 test:  $R^2_{SAWC 105}$ - $R^2_{row}$ -

SAWC mm	Runoff coeff. % <u>R<sub>coeff</sub></u>	IS %	Cumulativ e Period (n)	Shift _factor (β)	Weightin g factor	$\mathbf{R}^2$	LBC I of $R^2$	LBCI of NH1 <u>NH</u>	LBCI of <u>NH2NH</u>
	<u>%</u>		day	day	<u>(</u> α)		01 K	<u>2</u>	<u>4</u>
0	0.0	100	56	1	0.1697	0.311	0.23 0	0	0.241
5	0.6	96	92	1	0.1362	0.426	0.33 5	0.073	0.139
15	1.8	89	101	1	0.1226	0.522	0.43 5	0.158	0.055
25	3.0	82	104	1	0.1259	0.563	0.48 1	0.194	0.022
35	4.2	75	104	1	0.1317	0.585	0.50 8	0.214	0.005
45	5.4	68	103	1	0.1374	0.599	0.52 5	0.227	-0.004
55	6.6	61	102	1	0.143	0.608	0.53 7	0.234	-0.008
65	7.8	53	101	1	0.1484	0.613	0.54 4	0.238	-0.009
75	9.0	46	100	1	0.155	0.616	0.54 8	0.240	-0.009
85	10.3	39	98	1	0.1609	0.618	0.55 1	0.242	-0.007
95	11.5	32	94	1	0.1648	0.618	0.55 2	0.242	-0.004
105	12.8	24	92	1	0.1689	0.618	0.55 2	0.241	0.000
115	13.9	18	89	1	0.1727	0.617	0.55 1	0.240	-0.002
125	15.1	10	86	1	0.1745	0.614	0.54	0.237	-0.003

135	16.3	3	82	1	0.1746	0.611	0.54 5	0.235	-0.003
145	16.3	-	77	1	0.1731	0.609	0.54 3	0.234	-0.003
Table 6: Results of the best linear correlation between precipitation or recharge and displacement records for 4 displacement stations (1101, A13, A16 and G5). Displacement column indicates basic statistics of the displacement records (1<sup>st</sup> quartile (Q1), median and 3<sup>rd</sup> quartile (Q3)). Cumulative period (n), shift factor ( $\beta$ ) and weighting factor ( $\alpha$ ) are the terms of the Equation (5). LBCI is the lower bound of the confidence interval. Null hypothesis NH1 test:  $R^2_{\text{ precipitation}}$ .

			←	Precipitation / recharge		<del>`````````````````````````````````</del>
<del>Extenso-</del> <del>meter</del>	Displacement Q1/median/Q3 mm/day	<del>LBCI</del> of NH1	Cumulative period (n) day	<del>Shift</del> <del>factor</del> (β) day	<del>Weighting</del> <del>factor</del> (α)	<b>₽</b> <sup>2</sup>
<del>1101</del>	<del>1.75 / 2.50 / 3.84</del>	<del>0.124</del>	4 <del>2768</del>	2/2	<del>0.0714 / 0.091</del> 4	<del>0.284 / 0.495</del>
<del>A13</del>	<del>1.18/1.75/3.41</del>	<del>0.145</del>	<del>52/82</del>	<del>3/2</del>	<del>0.1019 / 0.091</del>	<del>0.275 / 0.520</del>
<del>A16</del>	<del>1.94 / 2.98 / 4.39</del>	<del>0.163</del>	<del>64 / 76</del>	<del>2/2</del>	<del>0.1628 / 0.1682</del>	<del>0.343 / 0.586</del>
<del>G5</del>	<del>0.02 / 0.05 / 0.08</del>	<del>0.144</del>	<del>8 / 132</del>	<del>0/6</del>	<del>0.0394 / 0.0110</del>	<del>0.0006 / 0.243</del>





Fig. 1: Landslide Recharge method workflow.

**Input Workflow (LRIW) diagram.** Step 1: calibration of standard  $ET_0$  (reference vegetation evapotranspiration)-and  $R_s$  (solar radiation)-methods.

Step 2: estimation of recharge—area parameters required for the soil-water balance ( $\frac{runoff-coefficient}{R_{coeff}-K_c}$  and SAWC) and the infiltration structures.

Step 3: computation of the recharge with the soil-water balance.

8 Reference \*In the case of a landslide having a weather station recording the full set of
9 parameters, the first step can be skipped and the ET<sub>0</sub> method matches with Penman 10 Monteith method defined in the of step 3 can be estimated directly at the study site with the
11 standard ET<sub>0</sub> (FAO-56 paper and reduced-set ET<sub>0</sub> method with ET<sub>0</sub> methods requiring
12 minimal meteorological data inputs.PM method)





1 Figure 2: Fig. 2: Soil-water balance: (A) soil-water balance conceptual representation and (B) 2 soil-water balance diagram used for recharge computation on a daily frequency. SAWC: soil-3 available water-capacity, SAWCmax: SAWC threshold (possible maximum), P: precipitation 4 (rainfall + snow melt), avg (P): precipitation average of the entire record, I: part of 5 precipitation which infiltrate the soil, Rf: surface runoff,  $\frac{Rf_{coeff}}{R_{coeff}}$ : runoff coefficient, ET<sub>c</sub>: 6 specific vegetation evapotranspiration, ET<sub>a</sub>: actual vegetation evapotranspiration, and R: recharge. Units: mm of water, except  $R_{coeff}$  in percent. JSubscript j is the computation day and subscript j-1 is the day before. TRUE and FALSE are the answers of the conditional 7 8 9 inequality statements.



1	Figure 3: Fig. 3: Location map of the studied Séchilienne landslide.	Mis Cou	<b>s en fo</b> uleur d
2	A: Map of the Séchilienne unstable slope and recharge area showing the with Mont-Sec weather station used for	per	rsonnal
3	recharge computation.	Mis	s en fo
4	.B: Enlarged map of the most active area showing displacement stations-USed.	Cou	uleur d rsonnal
5	.C: Map showing the weather stations used for the temperature estimation at Mont-Sec.	Mis	s en fo
6	_D: Map showing the weather stations used for evapotranspiration and solar radiation method	per	uleur d rsonnal
7	calibration	Mis	s en fo
8		Cou	uleur d rsonnal
		Mis	s en fo
		Cor	uleur d

personnal

Mis en fo Couleur d personnal Mis en fo : 0 pt Mis en fo Mis en fo



8 FAO-56 PM ET<sub>0</sub> stands for ET<sub>0</sub> computed with Penman-Monteith method defined in the 9 FAO-56 paper. ET<sub>0 Séch</sub> stands for ET<sub>0</sub> computed with the combination of calibrated ET<sub>0</sub>

10 Penman Monteith reduced set method and R<sub>S</sub> (solar radiation) modified Bristow Campbell

11 method.







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calibrated ET<sub>0</sub> Penman-Monteith reduced-set method and calibrated R<sub>S</sub> modified Bristow-

Campbell method

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Fig. 7: Results of the sensitivity analysis relative to SAWC (soil-available water-capacity) for (A) the computation period, (B) the  $R^2$  and the LBCI of  $R^2$ , (C) the LBCI of the null hypothesis NH1NH2 and (D) the LBCI of the null hypothesis NH2NH4. LBCI is the lower bound of the confidence interval-

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Cumulative period (n) and shift factor ( $\beta$ ) are the terms of the equation (3). A: Linear

regression between precipitation/R<sub>LRIW</sub> and A16 detrended displacement. B: Correlation

between precipitation/R<sub>LRIW</sub> and A16 detrended displacement as a function of time

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Fig. 10: Performance of the LRIW workflow. A: Bootstrap distribution of null hypothesis-NH1 test, NH2 and NH3 tests for four displacement recording stations. LBCI is the lower bound of the confidence interval. Null hypothesis NH1 test:  $R^2_{recharge} R^2_{precipitation} B: R^2$  values for the four displacement recording stations obtained with the precipitation, recharge-PMNE, and recharge-LRIW. LBCI is the lower bound of the confidence interval. G5 station is disregarded in the calculation of the performance average variation calculation since the  $R^2$ value obtained at G5 from precipitation is close to 0, therefore leading to a non-representative variation.

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