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2  
3 **Editor Initial Decision, Dr Roger Moussa:**

4 Reconsider after major revisions (11 Sep 2014) by Dr Roger Moussa  
5  
6

7 Dear Authors,  
8

9 The paper has been appreciated by the two referees. However, the main issue raised by the first referee  
10 is that the authors should make a further effort to highlight the originality of the work and increase the  
11 impact of their study. I think the first referee made valuable suggestions in this regard: i) analyse the  
12 impact on results of the method used to extract the channel network from DEM and the calculation of  
13 the slope on the topographic index (TI); ii) improve the analysis of the results obtained by comparing  
14 TI and CTI (Compound Topographic Index) on a limited number of specific basins to overcome some  
15 limitations of the study (different terrain data quality depending on latitude, impact of the grid size on  
16 indices, impact of the slope direction method chosen, limitations discussed in section 4.2, etc.).  
17

18 In general this paper offers an important contribution to modelling land surface water flow using  
19 TOPMODEL. The paper will be reconsidered after revisions of these points are being taken and  
20 included into the manuscript. Please highlight clearly what you changed in the revised manuscript, so  
21 the reviewers are able to assess your changes.  
22

23 Kind regards,  
24

25 Roger MOUSSA  
26  
27

28 Thank you very much for your time considering this MS over this review period. After much  
29 consideration we have made substantial changes to the paper from our submission in June, including  
30 extra analysis and figures. Please find details described below in relation to the original comments of  
31 Referee 1. We feel that these extra sections address all the concerns raised by Referee 1 and we hope  
32 that you will therefore be able to appraise our MS again for publication in *HESS*.  
33

34 All changes to the MS have been made on Track Changes, and a second copy simply with all the track  
35 changes accepted has also been attached for easier reading.  
36

37 Yours sincerely,  
38

39 Toby Marthews and coauthors.  
40  
41

1  
2  
3 **Anonymous Reviewer #1 (C2256):**

4  
5 **General Comments**

6  
7 ...[not repeated here]

8  
9 **Specific Comments**

10  
11 It may be acknowledged that the single flow direction method D8 has been improved by D8-LTD  
12 method (Orlandini et al., 2003; Orlandini et al., 2014). The impact of the D8-LTD method is especially  
13 relevant in the analysis of high-resolution complex terrains. However, since the determination of the  
14 slope is crucial in TI calculation, it should at least be acknowledged that different slope direction  
15 methods can produce different results. This reviewer agrees with the statements reported on page  
16 6149, lines 13–20, of the manuscript, but he feels that some more comments about the more advanced  
17 slope direction methods developed in the last decade would be beneficial.

18  
19 As mentioned before, we thank Reviewer 1 for the steer towards these Orlandini references, which  
20 have been incorporated into the paper. The method used to extract the channel network from the  
21 HydroSHEDS DEM and the calculation of the slope on the topographic index (TI) are indeed crucial  
22 steps in our calculations.

23  
24 We have now inserted the following text into the main body of the text:

25  
26 *“...we used HydroSHEDS UPLAND data which is ultimately based on the underlying D8*  
27 *concept of deriving drainage directions from steepest slopes. We acknowledge that recent*  
28 *advances in creating DEM based drainage networks (e.g. D8-LTD or other options such as*  
29 *FD8 or MD8, Orlandini et al., 2014) provide avenues to alter and potentially improve the*  
30 *drainage-direction calculations and, in consequence, the topographic index values, but testing*  
31 *for the individual effects is beyond the scope of this project due to the multi-scale complexity*  
32 *involved (see Appx. B for further explanations). We believe, however, that while these methods*  
33 *may have a significant effect on local drainage directions and channel routing, the cumulative*  
34 *calculation of “contributing upstream area” is less affected.”*

35  
36 Also, we have now inserted the following text into the Appendix B (formerly A2):

37  
38 *“Our calculations of topographic index values depend on the HydroSHEDS UPLAND layer*  
39 *containing the upstream catchment area draining into each point, and this layer in turn depends*  
40 *on the underlying drainage direction grid of HydroSHEDS. At its highest resolution of 3 arc-*  
41 *seconds, HydroSHEDS follows the D8 algorithm to determine drainage directions based on*  
42 *steepest slopes, which is considered the standard for use with large-scale routing models (e.g.*  
43 *TRIP, Grid-2-Grid, Dadson & Bell 2010). However, in areas where turbulence or diffusional*  
44 *effects lead to significant hydrologic dispersion, flow lines may not coincide uniformly with*  
45 *slope lines (Rice et al., 2008, Orlandini et al., 2014). Deriving channel networks from terrain*  
46 *data has been an area of active recent research (e.g. Orlandini et al., 2014) and there is not yet*  
47 *universal agreement between the many different methods for calculating drainage/flow*  
48 *directions from DEM data (see discussions in Wilson & Gallant 2000, Zhao et al., 2009,*  
49 *Orlandini et al., 2014).*

50 *At the upscaled 15 arc-second resolution of HydroSHEDS, the D8 concept is still valid in*  
51 *terms of providing one of eight possible neighbour pixels as the downstream direction;*  
52 *however, the direction values are not based on steepest slopes alone but also incorporate*

1 *information from the 3 arc-sec flow accumulation maps (Lehner 2013). Additionally, a large*  
2 *number of manual corrections have been implemented over several years which modify the*  
3 *native DEM values ('hydrological conditioning', Lehner 2013). As a consequence, our use of*  
4 *HydroSHEDS has unavoidably involved an acceptance of these algorithms and manipulations,*  
5 *and testing alternative settings to derive drainage directions or routing schemes is beyond the*  
6 *logistical limits of this study as it would require coordinated changes in slope, upscaling, and*  
7 *correction procedures at the multiple scales involved."*

8  
9 We hope that these extensive additional explanations fulfill the reviewer's and editor's request to  
10 acknowledge that there are more sophisticated algorithms available now than D8.

11  
12 As we have stressed in these new sections, testing alternative routing calculations was unfortunately  
13 not possible for this study because the HydroSHEDS flow directions and *UPLAND* layers have been  
14 upscaled from a 3 arc-sec drainage direction map involving some automated calculations but also a  
15 large number of manual corrections applied to every river catchment worldwide ('hydrological  
16 conditioning' described in Lehner 2013). We do not see an approach within a reasonable timescale to  
17 replicate these manual corrections for routing algorithms other than D8 (which has been a multi-year  
18 effort at a global scale), so we found it necessary to accept the uncertainty in this layer as a result of  
19 the use of D8.

20  
21 We thank the reviewer for raising the points above and we believe that the additional section inserted  
22 has very much improved the technical clarity of the Methods section.  
23  
24

1  
2 The analysis of the obtained results is another weak point in the manuscript. This study will grow in  
3 novelty by providing a new method for comparing the results obtained from GA2 and existing TI  
4 computations. For instance, the authors may want to make a further effort to compare TI and CTI in a  
5 selected basin or in a limited number of representative basins where wetlands may be surveyed.  
6 Testing the new procedure by using a single catchment would allow the authors to overcome some  
7 limitations of the present form of the study. In fact, TI calculated for pixels lying above the 60°N  
8 parallel are obtained from different terrain data set compared with pixels lying below that latitude.  
9 How does the dataset influence the TI calculation? Are differences between TI and CTI for pixels  
10 lying above 60°N affected by the selection of the slope direction method or by the downscaling of the  
11 same Land Surface Model? Which disaggregating method is used to resample to 15 arcsec the  
12 HYDRO1k data set? In Figure 4, histograms of Lena river basin for TI and CTI calculations are  
13 reported. A wide portion of this basin extends above the 60\_ N parallel and the two histograms seem  
14 to be very similar and close each other. A more comprehensive evaluation of the downscaling method  
15 preformed by using selected basins for which both HydroSHEDS and HYDRO1k are available would  
16 certainly increase the impact of the presented study.

17  
18 We have addressed this comment by extending Fig. 4 considerably and inserting two completely new  
19 figures (Fig. 5 and Fig. 6) based on the requested new analysis comparing CTI and TI values (please  
20 see new text at the end of the Results and Figs. 4-6).

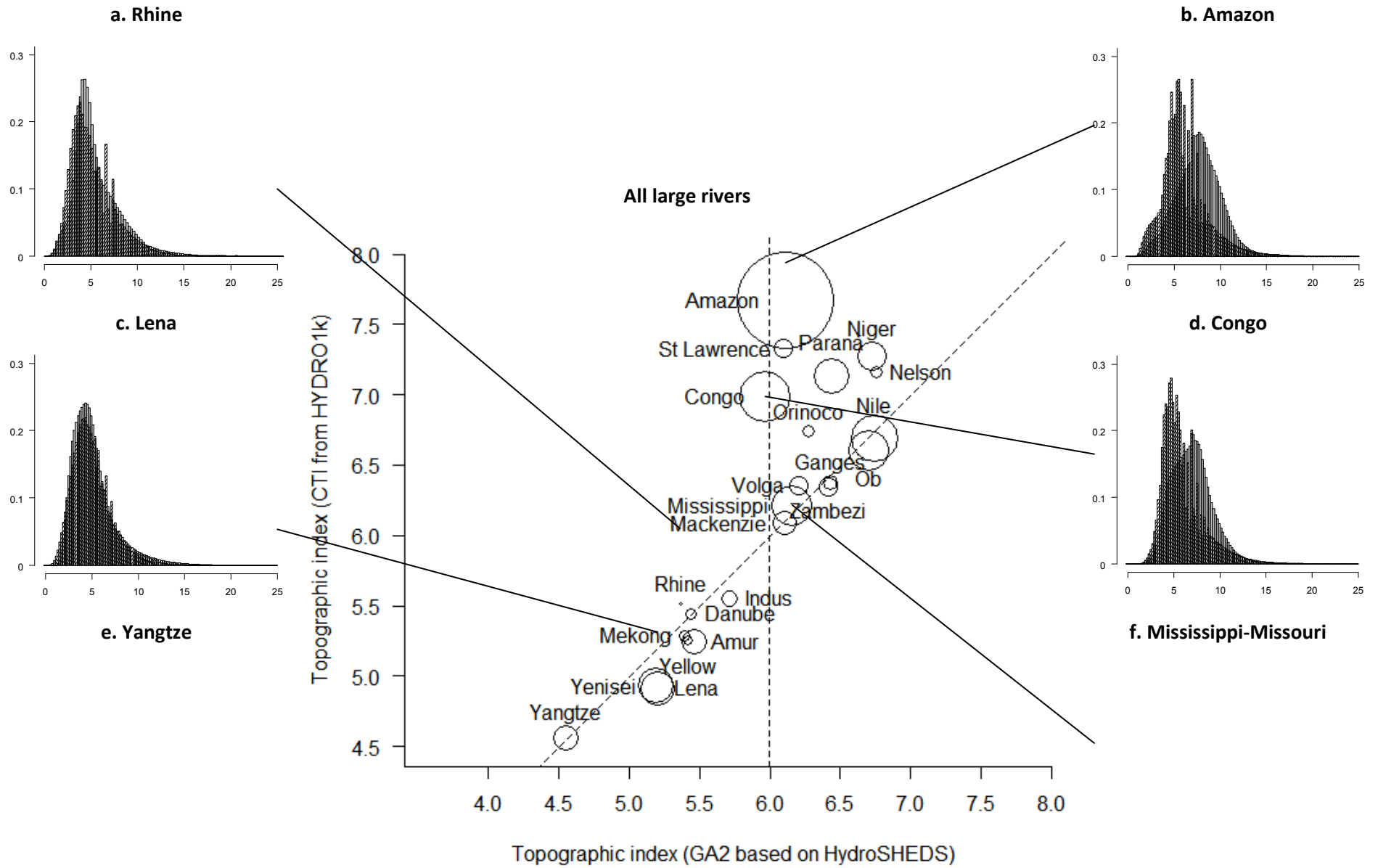
21 Because we have already presented comparisons of TI and CTI in selected basins where  
22 wetlands occur (see Tables), we decided to take a slightly different slant on the  
23 comparison and identify four large example areas (1 million sq km each). We decided  
24 to use relatively homogeneous areas in addition to specific catchments because  
25 catchment-based topographic index histograms are composites of the low values in the  
26 highlands and higher values in the lowlands.

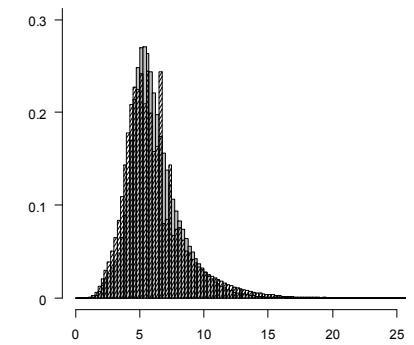
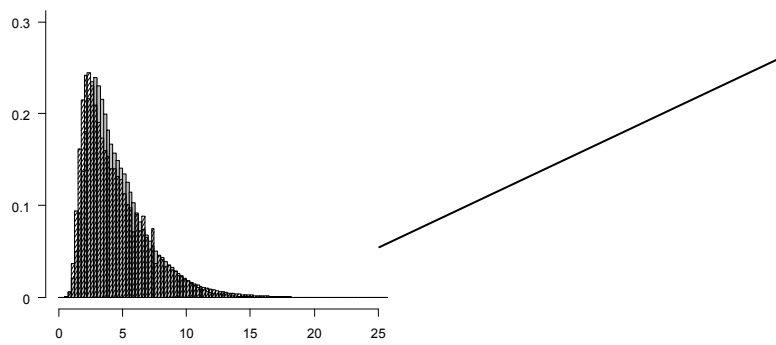
27 By comparing histograms of index values from both CTI and TI in these areas  
28 we find that our new values differ from CTI only in ways that seem reasonable given  
29 the differences in resolution and small methodological differences. Here is the text that  
30 has been added to the paper:

31  
32 *In Results:*

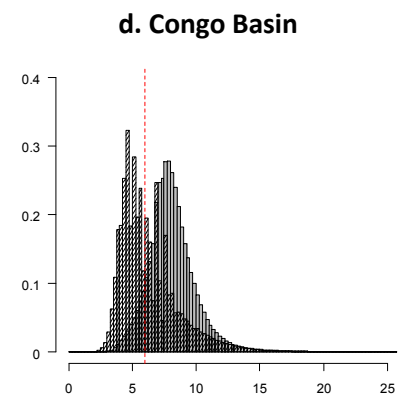
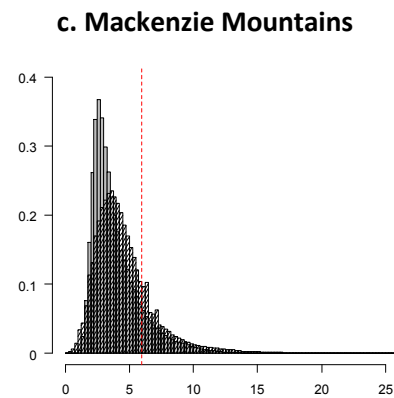
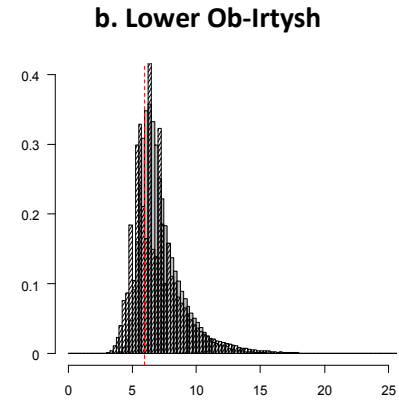
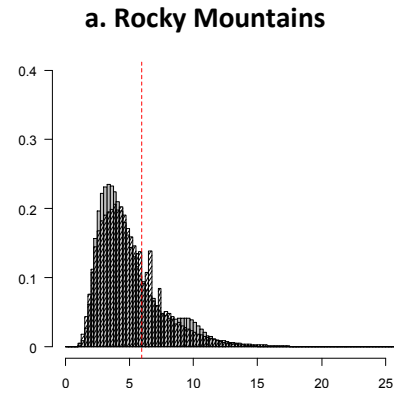
33 “As expected, our new index values reflect the same pattern of below-average values in  
34 mountainous areas and above-average values in lowland areas as seen in HYDRO1k, however more  
35 variability is visible in the histograms for GA2 because the higher resolution means that more of the  
36 smaller river valleys within the mountain ranges become visible (leading to an increase in the mean  
37 and spread of index values e.g. in the Mackenzie Mountains, Fig. 5c). Also visible on the zoomed  
38 comparison maps of the Rocky Mountains (Fig. 6) is an example of differing qualities of HYDRO1k  
39 vs. HydroSHEDS data: on the eastern half of the maps, the CTI version shows a series of blue, lake-  
40 shaped objects with topographic indices in the range of 10 (also visible as a small rise in the  
41 corresponding histogram, Fig. 5a), while the GA2 version does not show these features. These objects  
42 represent valleys that are drained, in reality, through narrow gorges or river channels. The higher  
43 resolution data of HydroSHEDS (and possibly manual corrections) are capable of resolving this issue  
44 correctly. Yet due to the coarser resolution of HYDRO1k, the valleys would appear as closed  
45 depressions in the DEM; the standard GIS solution to enforce continued drainage in such cases is to  
46 lift the topography until overflow occurs (using a sink-filling algorithm); the resulting (erroneous) flat  
47 topography then leads to overestimated CTI values.”

48  
49 We hope that with our new analyses and extra plots (Figs. 4-6, copied below) we have fully addressed  
50 this request for a better comparison between HYDRO1k and our new topographic index values. We  
51 thank the reviewers and editor for their valuable suggestions which we believe have significantly  
52 improved the manuscript.

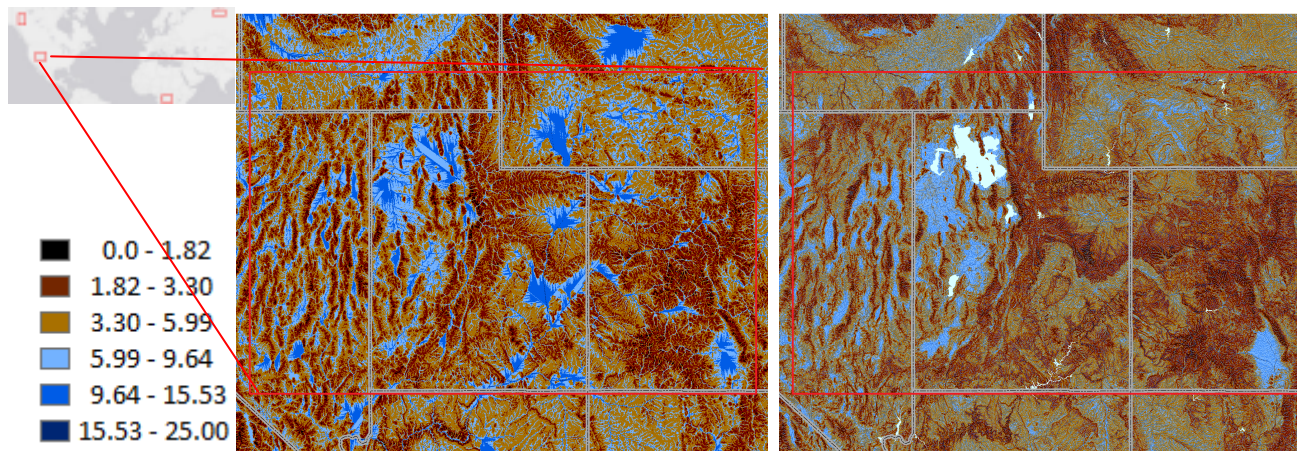




**Figure 4:** Comparison of the CTI and *GA2* calculations of the topographic index (from Table 2), showing that CTI values are larger for some catchments, most notably the Amazon, Congo, Paraná, Niger and St. Lawrence. Circle areas are proportional to catchment area and a one-one line is shown for reference. The largest catchments tend to be closest to the global average index value of 5.99 (also shown for reference). Histograms are shown for six catchments: the Rhine, Amazon, Lena, Congo, Yangtze and Mississippi-Missouri (each grey histogram shows CTI values, hatched histogram shows *GA2*; Axes on all histograms are omitted: all are Topographic Index (horizontal) and Fraction of Pixels (vertical)): for catchments close to the one-one line, the corresponding histograms were closely similar.



**Figure 5:** Comparison of the CTI and GA2 calculations of the topographic index for four example areas of  $10^6 \text{ km}^2$  each: (a) an area of the Rocky Mountains (USA), (b) the Lower Ob-Irtysh (Russian Federation), (c) an area of the Mackenzie Mountains (Canada) and (d) the Congo Basin (Democratic Republic of the Congo, Republic of the Congo, Cameroon and the Central African Republic) (see inset). These examples were chosen so that two are mountainous, two lowland plains, two are north of  $60^\circ\text{N}$  and two south, to demonstrate that the new topographic index values are a refinement on the CTI values of HYDRO1k. On each histogram, grey bars shows CTI values, hatched bars shows GA2 and a red broken line shows the global average index value of 5.99 for reference. Axes on all histograms are omitted: all are Topographic Index (horizontal) and Fraction of Pixels (vertical).



**Figure 6:** Comparison of the CTI and *GA2* calculations of the topographic index for an area of the Rocky Mountains (USA). Maps of the CTI (left) and *GA2* (right) values are shown (from which the histograms of Fig. 5a were calculated), with identical colour scale to Fig. 1. Note the 4400 km<sup>2</sup> Great Salt Lake, Utah, to the N of the area (which is masked out of the *GA2* map (light blue) but included in CTI as if it were a flat plain) and the San Luis Valley, Colorado, to the SE, being the headwaters of the Rio Grande, USA.



# A high-resolution global dataset of topographic index values for use in large-scale hydrological modelling

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## Abstract

Modelling land surface water flow is of critical importance for simulating land-surface fluxes, predicting runoff and water table dynamics and for many other applications of Land Surface Models. Many approaches are based on the popular hydrology model TOPMODEL, and the most important parameter of this model is the well-known *topographic index*. Here we present new, high-resolution parameter maps of the topographic index for all ice-free land pixels calculated from hydrologically-conditioned *HydroSHEDS* data sets using the GA2 algorithm ('GRIDATB 2'). At 15 arc-sec resolution, these layers are ~~four times~~ finer than the resolution of the previously best-available topographic index layers, the Compound Topographic Index of HYDRO1k (CTI). ~~For in terms of~~ the largest river catchments occurring on each continent, we found that, in comparison ~~with CTI~~ to our revised values, ~~CTI values~~ were up to 20% ~~low~~ higher in, e.g., the Amazon. We found the highest catchment means were for the Murray-Darling and Nelson-Saskatchewan rather than for the Amazon and St. Lawrence as found from the CTI. For the majority of large catchments, however, the spread of our new GA2 index values is very similar to those of CTI, yet with slightly more spatial variability apparent at fine scale. We believe these new index layers represent ~~greatly-improved~~ ~~the most robust existing~~ global-scale topographic index values and hope that they will be widely used in land surface modelling applications in the future.

1

## 2 1 Introduction

3 Land Surface Models (LSMs) are widely used for predicting the effects of global climate  
4 change on vegetation development, runoff and inundation, evapotranspiration rates and land  
5 surface temperature (Gerten et al., 2004, Prentice et al., 2007, Clark & Gedney 2008, Dadson  
6 & Bell 2010, Dadson et al., 2010, 2011, Wainwright & Mulligan 2013, IPCC 2013).  
7 However, the simulation of hydrological dynamics within LSMs remains relatively simplified  
8 because these models are usually run at ~~coarse spatial resolution~~~~grid-box scales~~ (up to 300 km  
9 ~~grid boxes~~~~resolution~~) and the physics they follow is based predominantly on approximations  
10 of processes that occur at much finer spatial scales (Ducharne 2009, Wainwright & Mulligan  
11 2013). Correctly characterising hydrology is very important because ~~meso-scale~~/landscape-  
12 scale water movements (~10-100 km scale) and changes in the water cycle control many  
13 effects ranging from local energy and carbon fluxes to land-atmosphere feedbacks to the  
14 climate system to potentially-catastrophic changes in vegetation distributions.

15 When coupled ~~with~~ atmospheric models, most LSMs can simulate a wide variety of  
16 natural and human-modified processes from soil moisture feedbacks on precipitation  
17 (Seneviratne et al., 2006, 2010, Coe et al., 2009) and river flow (Gedney et al., 2004, 2006,  
18 Clark & Gedney 2008, Milly et al., 2008, Falloon & Betts 2010, Sanderson et al., 2012)  
19 through to vegetation development and carbon productivity (Prentice et al., 2007, Marthews  
20 et al., 2012, IPCC 2013). Although usually applied at ~~landscape-meso~~scale resolutions (grid-  
21 cell ~~sizes~~ of ~~scales~~ 10-100 km, e.g. Harding & Warnaars 2011), LSMs are increasingly  
22 finding applicability at finer resolutions approaching 1-10 km, at which the physics they  
23 encapsulate begins to approach the more detailed scales (0.1-1.0 km) typically required in  
24 process-based hydrological models or used in catchment-based water resources assessments  
25 (e.g. Ke et al., 2012, Choi, 2013; cf. discussions in Wood et al., 2011, 2012, Beven & Cloke  
26 2012). A growing body of work has lately emerged using LSMs to produce large-area  
27 projections of current and future water resources for use in applications related to climate  
28 change ~~impacts~~ ~~assessments~~ (Gedney & Cox 2003, Gerten et al., 2004, Falloon & Betts 2010,  
29 Wood et al., 2012, Zulkafli et al., 2013, Harding et al., 2013).

30 Land Surface Models require a representation of surface and subsurface runoff.  
31 Models of runoff production used in regional and continental applications typically contain  
32 parameterised physics based on statistical representations of processes known to operate at

1 finer scales (Ward & Robinson 2000, Clark & Gedney 2008), which can lead to inaccurate  
2 predictions in data-sparse regions and generally high uncertainty. The large quantity of  
3 detailed topographic information now widely available at sub-~~landscape-mesoscale~~  
4 resolutions offers an opportunity to improve the fidelity of large-area simulations of the  
5 hydrological cycle, for the benefit of both climate and hydrological models (Dharssi et al.,  
6 2009, Wainwright & Mulligan 2013).

7 Currently, the most common approach to inundation prediction is to use a runoff  
8 production scheme such as TOPMODEL, which partitions runoff from the soil column into  
9 surface and subsurface components (Beven & Kirkby 1979, Quinn et al., 1991, 1995, Beven  
10 1997, 2012; e.g. MacKellar et al., 2013). One of the most important configurational  
11 parameters for TOPMODEL is the well-known *topographic index* (defined in Appx. A),  
12 which is widely used in hydrology and terrain-related applications (Ward & Robinson 2000,  
13 Wilson & Gallant 2000).

14 The HYDRO1k global values for [the](#) Compound Topographic Index (CTI) were  
15 released by USGS in 2000 (USGS 2000) and they have since become the most commonly  
16 used global ancillary files for topographic index values. HYDRO1k was a great step forward  
17 in the development of global hydrological modelling applications: it allowed spatially-explicit  
18 hydrological routines to be incorporated [into](#) LSMs for the first time and large-scale  
19 applications of the TOPMODEL hydrological model to become standard (Beven 2012).  
20 ~~However, The recent availability of higher resolution topographic maps at even higher spatial~~  
21 ~~resolution with globally-consistent coverage builds on this and means that further~~  
22 ~~improvements can now be made because of its relatively coarse resolution (30 arc sec,~~  
23 ~~approximately 1 km at the equator) which limits precise slope [direction](#) calculations, and~~  
24 ~~because it was based on mosaicked elevation data of differing quality over different~~  
25 ~~geographical areas (USGS 2000), CTI ancillary files are no longer considered ideal and there~~  
26 ~~is a need for improvement.~~

27 The limitations of HYDRO1k CTI values become most apparent when considering  
28 wetland areas. Wetlands are critical nodes in the Earth System where land-atmosphere fluxes  
29 are strongly dependent on seasonal and inter-annual hydrological variability (Coe 1998, Baker  
30 et al., 2009, O'Connor et al., 2010, Dadson et al., 2010). In wetlands, the availability of water  
31 introduces important feedbacks on climate via surface fluxes of energy and water and these  
32 areas form a key link between the hydrological and carbon cycles (Ward & Robinson 2000,  
33 Gedney et al., 2004, Seneviratne et al., 2006, 2010, Coe et al., 2009, Dadson et al., 2010).

1 Some analyses based on CTI values have ~~percon~~sistently overestimated the extent and  
2 ~~duration~~~~persistence~~ of tropical wetlands of various types. Notably, simulations using the Earth  
3 System Model HadGEM2, which is parameterised using CTI (Collins et al., 2011), predict  
4 much larger and more persistent Amazonian wetlands than actually exist according to current  
5 surveys (e.g. Lehner & Döll 2004, Prigent et al., 2007, Junk et al., 2011), which may at least  
6 partly be caused by the ~~limited resolution and~~ quality of the HYDRO1k CTI.

7 In the context of LSMs, the need for high-resolution topographical data across wide  
8 spatial domains has recently been highlighted (Lehner et al., 2008, Wood et al., 2011, Lehner  
9 & Grill 2013). With the advent of satellite-based global mapping, notably the Shuttle Radar  
10 Topography Mission (SRTM), there has been a significant improvement in the availability of  
11 high-resolution datasets with continental coverage, such as in the high-resolution global  
12 ~~HydroSHEDS data~~~~base layers~~ (Lehner et al., 2008), but ~~unfortunately~~ such datasets have  
13 generally not yet been utilised to support large-scale hydrological modelling studies (Wood et  
14 al., 2011, 2012).

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15 In this study, we respond to the need for higher-resolution data for use in LSMs. We  
16 have three main aims: (1) to calculate the topographic index using the GA2 algorithm based  
17 on high-resolution global ~~HydroSHEDS~~ data; (2) to compare our values to the current  
18 standard for values of this index (the CTI of HYDRO1k) and (3) to discuss current  
19 developments in large-scale hydrological modelling and how models can benefit from higher-  
20 resolution parameter maps such as these.

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## 22 **2 Methods**

### 24 **2.1 Topographic index**

25  
26 The topographic index is a parameter of the TOPMODEL hydrological model (Beven &  
27 Kirkby 1979, Quinn et al., 1991, 1995, Beven 1997, 2012). The algorithm required for  
28 calculating this index is relatively simple (Appx. A), but it has not previously been applied to  
29 generate a global ~~dataset~~ ~~layer~~ at very high ~~spatial~~ resolution because (1) the index must be  
30 calculated from harmonised topographic information, which only became available in the

1 2000s and (2) LSMs have only recently become sophisticated enough to make use of such a  
2 high-quality layer (Prentice et al., 2007).

3

4 *The HydroSHEDS 'hydrologically-conditioned' layers*

5

6 Grid-based topographic index calculations require a Digital Elevation Model (*DEM*) and we  
7 have used the Hydrological data and maps based on Shuttle Elevation Derivatives at multiple  
8 Scales (*HydroSHEDS*) *DEM* (Lehner et al., 2008; <http://www.hydrosheds.org/>). The  
9 *HydroSHEDS* data ~~layersbase~~ ~~were~~ derived from raw SRTM data at 3 arc-sec pixel  
10 resolution (approximately 90 m at the equator) through the application of hydrological  
11 conditioning in a sequence of correction steps (Lehner 2013) which resulted in a globally  
12 consistent suite of grid layers which were subsequently upscaled to a resolution of 15 arc-sec  
13 (approx. 450 m at the equator). We acquired the *HydroSHEDS* *DEM* and also a layer of pre-  
14 calculated contributing upstream catchment areas for each 15 arc-sec pixel (*UPLAND* in m<sup>2</sup>,  
15 B. Lehner *unpubl. data* 2013). As of April 2014, *HydroSHEDS* data has only been produced  
16 at its highest quality for all land areas south of 60°N ~~(the limit of SRTM)~~, so for areas at  
17 higher latitude we substituted the HYDRO1k *DEM* ~~to provide seamless global grids (more~~  
18 ~~specifically, (i.e., its underlying~~ [the GTOPO30 \*DEM\* underlying HYDRO1k](#) disaggregated to  
19 15 arc-sec resolution ~~by tiling the larger pixels and applying a 3x3 kernel average filter to~~  
20 ~~smooth the resulting surface)~~ ~~to provide seamless global grids.~~

21

## 22 **2.2 Generating the ancillary files**

23

24 Our calculations had to be carried out over domains composed of complete watersheds, so we  
25 mosaicked both the *DEM* and *UPLAND* tiles into a global data layer using ArcGIS 10.1 (Esri  
26 Inc., Redlands, California). These two input layers were then converted to NetCDF format  
27 using *gdal* (OSGF 2011).

28 Topographic Index values were calculated using the *GA2* algorithm, which is the  
29 widely-used *GRIDATB* algorithm with some modifications for use with *HydroSHEDS* data  
30 (see Appx. A for details). Resulting index values for the global land surface were then filtered  
31 to remove areas for which topographic index values are invalid or meaningless, including  
32 lakes and reservoirs (masked out using the Global Lakes and Wetlands Database, Lehner &

1 Döll 2004), mountain glaciers and ice caps (using the Randolph Glacier Inventory, Pfeffer et  
2 al., 2014) and the Greenland ice sheet (using Lewis 2009).

3 | Because of the large layer size ( $1.2 \times 10^9$  land pixels; ~~11.0 Gb as NetCDF~~), GA2 was  
4 run on the ARCUS server for all continental-scale calculations, a 1344-core computer cluster  
5 at the Oxford e-Research Centre (OeRC). Zonal histograms were plotted using ArcGIS 10.1  
6 and subsequent statistics calculated using R (R Development Core Team 2013).

7

8

### 9 **3 Results**

10 We produced a layer of topographic index (TI) values following the GA2 algorithm for all  
11 ice-free land pixels worldwide (Fig. 1). TI values calculated this way are not just relative  
12 measures but consistent and comparable between catchments (Appx. A), so we may compare  
13 global values:

14 As expected, TI values are low at ridge-tops (minimal catchment area) and high in  
15 valleys (along drainage paths and in zones of water concentration in the landscape, Wilson &  
16 Gallant 2000), yielding a global range of 0.00-25.00 and average of 5.99 (Fig. 2).

17 Wetter areas of the globe generated generally higher TI values (Fig. 1), although there  
18 are many exceptions to this (e.g. in desert areas where high TI values do not correlate with  
19 | high flow accumulation, at least in the present climate). Zonal statistics calculated for the  
20 various lake and wetland types of the world (as defined by the Global Lakes and Wetlands  
21 Database, see Table 1) show that pixels representing rivers had the highest TI values (global  
22 mean 8.81 over  $0.42 \times 10^6$  km<sup>2</sup>), but also the highest variance with some river pixels scoring  
23 below the global mean for ice-free land outside lakes, reservoirs, wetlands and wetland  
24 complexes (global mean 5.88 over  $128.99 \times 10^6$  km<sup>2</sup>). In terms of TI, wetland complexes in  
25 Asia (mostly occurring in India and Tibetan China, Table 1) and mires (mostly occurring in  
26 boreal Canada and the Russian Federation) were indistinguishable from dry land (Fig. 3),  
27 indicating that wetlands in both these areas are maintained by factors other than topography  
28 (e.g. rainfall and evapotranspiration).

29 In comparison to HYDRO1k, the new TI values from GA2 based on *HydroSHEDS*  
30 were higher for river pixels and slightly higher for intermittent wetlands and lakes. TI values  
31 were lower at pixels in tropical swamp forests and inundated forests and also slightly lower in  
32 coastal wetlands.

1 The new TI values from *GA2/HydroSHEDS* were in line with HYDRO1k values for  
2 ~~Compound Topographic Index~~CTI (CTI, USGS 2000) at most global pixels, but in certain  
3 areas there were significant divergences. Considering all river catchments larger than 10<sup>6</sup> km<sup>2</sup>  
4 in particular (Table 2), ~~values from GA2~~CTI values were ~~lower~~higher for many basins, most  
5 notably the Amazon, Congo, Paraná, Niger and St. Lawrence rivers, in the case of the  
6 Amazon as much as 20% ~~higher~~lower than the CTI values ~~from GA2~~ (Fig. 4). According to  
7 our calculations, the catchments with the highest spatially-averaged TI values were the  
8 Murray-Darling, Nelson-Saskatchewan, Nile and Niger (compared to the order Amazon, St.  
9 Lawrence, Niger and Nelson under the CTI calculations, although n.b. HYDRO1k's CTI  
10 included no estimates for the Murray-Darling, Table 2). Although it might be expected that  
11 the size of the Amazon floodplain would be enough to ensure it scored the highest TI, ~~please~~  
12 note that (i) there is no globally consistent correlation between wetland area and TI (Fig. 3)  
13 and (ii) because these are spatial averages, the density of wetland within each catchment is  
14 more important than the absolute wetland size (and the Nelson-Saskatchewan, for example, is  
15 known for a high density of wetland terrain).

16 ~~As expected, our new index values reflect the same pattern of below-average values in~~  
17 ~~mountainous areas and above-average values in lowland areas as seen in HYDRO1k, however~~  
18 ~~more variability is visible in the histograms for GA2 because the higher resolution means that~~  
19 ~~more of the smaller river valleys within the mountain ranges become visible (leading to an~~  
20 ~~increase in the mean and spread of index values e.g. in the Mackenzie Mountains, Fig. 5c).~~  
21 ~~(see Also visible on the zoomed comparison maps of the Rocky Mountains (Fig. 6) is an~~  
22 ~~example of differing qualities of HYDRO1k vs. HydroSHEDS data: on the eastern~~right half of  
23 ~~the maps, the CTI version shows a series of blue, lake-shaped objects with topographic~~  
24 ~~indices in the range of 10 (also visible as a small bump~~rise in the ~~corresponding~~according  
25 ~~histogram, Fig. 5a), while the GA2 version does not show these features. These objects~~  
26 ~~represent valleys that are drained, in reality, through narrow gorges or river channels. The~~  
27 ~~higher resolution data of HydroSHEDS (and possibly manual corrections) are capable of~~  
28 ~~resolving this issue correctly. Yet due to the coarser resolution of HYDRO1k, the valleys~~  
29 ~~would appear as closed depressions in the DEM; the standard GIS solution to enforce~~  
30 ~~continued drainage in such cases is to lift the topography (through a sink filling algorithm)~~  
31 ~~until overflow occurs (using a sink-filling algorithm); the resulting (erroneous) flat~~  
32 ~~topography would then leads to overestimated CTI values.~~

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1 | Index values at 15 arc-sec resolution are ~~now~~ available at <http://doi.org/10/t7d> in  
2 | NetCDF format (a version in GeoTIFF format - translated using *gdal*, OSGF 2011 - is  
3 | available on request).

## 6 | 4 Discussion

7 | Modelling soil water flow and runoff generation is of critical importance for simulating land-  
8 | surface fluxes, predicting water table dynamics, wetland inundation and river routing and, at a  
9 | regional scale, quantifying surface evaporation rates and the growth, transpiration and  
10 | seasonality of vegetation (Ward & Robinson 2000, Baker et al., 2009, Dadson et al., 2010,  
11 | Marthews et al., 2014). ~~Meso-scale or H~~andscape-scale hydrological processes are therefore  
12 | key elements in modelling land surface-atmosphere exchange processes and critical to the  
13 | successful use of coupled LSMs to predict the effects of climate change at larger scales.

14 | The hydrological routines of LSMs have undergone steady improvement in recent  
15 | years (Wood et al., 2011, Zulkafli et al., 2013, Wainwright & Mulligan 2013). However, these  
16 | ~~landscapemeso~~-scale processes remain generally less well-modelled than processes operating  
17 | at the finer local-scale (e.g. photosynthesis models) or larger continental-scale (e.g. general  
18 | circulation models). Arguably, the development of ~~landscapemeso~~-scale processes has been  
19 | relatively slow not just because of a lack of complete understanding of the processes  
20 | involved, but also, more simply, by the limited availability of high-resolution parameter maps  
21 | for the models concerned (Wood et al., 2011, Wainwright & Mulligan 2013, Marthews et al.,  
22 | 2014). Because LSMs are now being applied at increasingly high spatial resolution in order to  
23 | analyse the distribution and movement of water resources, model development is gaining  
24 | momentum. Large-scale gridded simulations based on high-resolution drivers are now  
25 | becoming routine, and this has led to an increasingly recognised need for the high-resolution  
26 | datasets required to drive those simulations (e.g. Wood et al., 2011, 2012, Beven & Cloke  
27 | 2012, Castanho et al., 2013).

### 29 | 4.1 High-resolution hydrological modelling

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The full citation is:  
Marthews, T., Dadson, S.J., Lehner, B., Abele, S., Gedney, N. (2014). High-resolution global topographic index values. NERC-Environmental Information Data Centre doi:10.5285/ce391488-1b3c-4f82-9289-4beb8b8aa7da

(this DOI has been created for this paper and replaces the Oxford URL that was here in previous drafts).



1 TOPMODEL was originally applied at the scale of small catchments, using pixels less than  
2 50 m x 50 m in extent (Quinn et al., 1991, 1995, Ward & Robinson 2000, Beven 2012), with  
3 the index values understood to have relative significance only (i.e. similar values calculated in  
4 different catchments do not necessarily imply hydrological similarity, see Chappell et al.,  
5 2006). There have been many developments from this basic framework over the years (e.g.  
6 see Wolock 1993, Wilson & Gallant 2000, Hjerdt et al., 2004, Beven 2012) and this study has  
7 likewise taken a novel approach. Notably, we have applied our calculations at continental  
8 scales with larger pixels (approximately 450 m x 450 m at the equator), using the resolution  
9 correction of Ducharme (2009; also see Moore et al., 1993, Wolock & McCabe 1995, Clark &  
10 Gedney 2008). Additionally, because our calculations are carried out over complete  
11 continental land masses, the index values derived may be considered to be consistent and  
12 comparable between catchments.

13 Although we accept the arguments of Beven & Cloke (2012) that moving to higher-  
14 resolution data-sets is not the only line of development that should be followed, ultimately we  
15 support the ideas of Wood et al., (2011, 2012) that increasing the resolution at which global  
16 hydrological simulations are carried out will have many benefits including the more realistic  
17 representation of processes currently at subgrid resolution and, ultimately, better weather and  
18 inundation prediction (Wood et al., 2011). Methane production in wetlands, for example, is  
19 critically dependent on the level of the water table (Gedney et al., 2004, O'Connor et al.,  
20 2010, Pangala et al., 2013), models of which are in turn dependent on accurate representation  
21 of the topography, therefore higher resolution simulations involving improved topographic  
22 index values should of necessity improve the representation of wetland fluxes of heat, water  
23 and trace gases to the atmosphere (Gedney et al., 2004) and overall estimates of methane  
24 release.

25 In this study we have refined the standard topographic index calculations and greatly  
26 improved their spatial resolution. We have presented our new maps of topographic index  
27 values both by wetland type (using the Global Lakes and Wetlands Database, Lehner & Döll  
28 2004) and also in terms of the largest river catchments occurring on each continent, finding  
29 that in comparison to our revised values, HYDRO1k's CTI topographic index values were  
30 significantly higher in some catchments (Table 2). In most large catchments, however, the  
31 spread of our new GA2 index values was very similar to those of CTI, only yet with slightly  
32 more spatial structure variability apparent at fine scale (Fig. 4, Fig. 5).

33

## 4.2 Limitations of the GA2 algorithm

The topographic index is a measure of the relative propensity for soil to become saturated to the surface as a result of local topography (Beven 2012). We have calculated it using a robust algorithm (*GA2*) based on the original implementation of these calculations (*GRIDATB*, Appx. A). Although topographic index values are comparable between different areas, it is important to remain careful when interpreting their meaning in different regions, such as arid vs. humid, or shallow vs. deep soils (i.e. when factors other than topography influence water accumulation in the landscape). In regions where saturation-excess overland flow (the component of runoff most affected by topography) is less than dominant as a runoff generation mechanism, uncertainties in inundation predictions based on TOPMODEL must be carefully calculated and predictions interpreted with care (see Beven 2012).

A well-known limitation of topographic index values is that they are not absolute because the maximum value in any particular catchment is dependent on the catchment's area and slope profile. Therefore, ~~when different calculation methods only result in a change of index distribution shape leaving the minimum the same (at 0), as is the case when comparing our TI values from GA2/HydroSHEDS vs. the CTI from HYDRO1k (Fig. 4), only a partial validation is possible. Therefore, although we could not carry out more than an ad hoc comparison between TI and CTI (because of no independent baseline to refer to other than HYDRO1k itself). Heannot state conclusively that our revised values are more correct than those of the CTI from HYDRO1k, -istograms of TI and CTI values correspond closely (e.g. Fig. 5), though, and the consistency and rigour of the algorithm we have usapplied-and-our closeness to the original GRIDATB implementation~~ as well as the improved *HydroSHEDS* base data used for the calculation lead us to believe that our values are at least asindeed more robust as CTI at all spatial points.

A second limitation of our method is that we have used global base elevation data that is not on an equal-area projection. The *HydroSHEDS* data layers are projected using the ~~World Geodetic~~Geographic Coordinate System (with WGS) 1984 datum, i.e. a grid of unrotated cells that ~~are getbecome~~ increasingly ~~stretched-distorted~~ in the ~~north-southeast-west~~ direction as latitude increases. This implies that slopes will be underestimated in east-west directions at higher latitudes as true pixel distances are getting shorter (Appx. A). There is no appropriate method, however, to avoid uncertainty completely in the slope calculations as the

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1 underlying SRTM elevation measurements are already unequally spaced, and as there is no  
2 commonly agreed ~~upon routing or channel slope~~ method to calculate slopes or ~~flow~~ drainage  
3 ~~(flow) direction~~ method (see Appx. A e.g., FD8, MD8, D8, D8-LTD: see Orlandini et al.,  
4 2014 for a discussion of these options). We assume that our calculations of steepest gradients  
5 with average pixel distances provide a reasonable compromise to approximate the real slope  
6 of each pixel (see Appx. A).

7 Finally, as a related issue, we used *HydroSHEDS UPLAND* data which is ultimately  
8 based on the underlying D8 concept of deriving ~~flow~~ drainage directions from steepest slopes.  
9 We acknowledge that recent advances in creating DEM based drainage networks (e.g. D8-  
10 LTD or other options such as FD8 or MD8, Orlandini et al., 2014) provide avenues to alter  
11 and potentially improve the ~~flow~~ drainage-direction calculations and, in consequence, the  
12 topographic index values, but testing for the individual effects is beyond the scope of this  
13 project due to the multi-scale complexity involved (see Appx. B for further explanations). We  
14 believe, however, that while these methods may have a significant effect on local  
15 ~~flow~~ drainage directions and channel routing, the cumulative calculation of “contributing  
16 upstream area” is less affected.

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## 18 5 Conclusions

19  
20 LSMs have now been applied over many years to the problem of explaining and predicting  
21 global climate change (Prentice et al., 2007, IPCC 2013). Recent developments in land-  
22 surface modelling and Earth Observation have attempted to incorporate better hydrological  
23 understanding into these applications, with a particular focus on a better characterisation of  
24 the physical processes that control the water cycle (Coe 1998, Gedney & Cox 2003, Coe et  
25 al., 2009, Dadson & Bell 2010, Dadson et al., 2010, 2011, Zulkafli et al., 2013). ~~In this study~~  
26 ~~we have contributed to this by calculating~~ This study offers a new high-resolution, spatially  
27 consistent data layer of topographic index values for all ice-free land pixels worldwide based  
28 on the hydrologically-conditioned *HydroSHEDS* database (Lehner et al., 2008). These data  
29 layers are at four times the resolution of the HYDRO1k compound topographic index layers  
30 (USGS 2000) and we believe represent the most ~~robust~~ accurate global-scale calculation of  
31 topographic index values that exists to date.

## 1 **Appendix A: Calculating the Topographic Index**

2

3 The *topographic index* is a fundamental parameter of *TOPMODEL*, the TOPography based  
4 hydrological MODEL (Kirkby 1975, Beven & Kirkby 1979, Quinn et al., 1991, 1995, Beven  
5 1997, 2012), alternatively known as the *topographic wetness index* (TWI, e.g. Wilson &  
6 Gallant 2000) or the *compound topographic index* (CTI, e.g. USGS 2000, Evans 2003). The  
7 topographic index is essentially a means of grouping runoff-producing elements in the  
8 landscape (Kirkby 1975, Beven & Kirkby 1979). Different landscape pixels that have similar  
9 topographic index values should be observed to have similar hydrological dynamics (Wolock  
10 1993, Quinn et al., 1995), allowing for a great simplification of hydrology calculations  
11 (Beven 1997, 2012).

12 The topographic index is a measure of the relative propensity for the soil at a point to  
13 become saturated to the surface, given the area that drains into it  $A$  and its local outflow slope  
14  $\beta$  (Beven 2012; increasing  $A$  will tend to increase the accumulation of water, but increasing  $\beta$   
15 will tend to reduce it by increasing gravitational outflow, Quinn et al., 1991). The index is  
16 often calculated using an algorithm called *GRIDATB*, originally written in 1983 by K. Beven  
17 of the Hydrology Group, University of Lancaster (revised for distribution 1993-95 by P.  
18 Quinn and J. Freer and described in Quinn et al., 1991, 1995; for alternative calculations see  
19 e.g. Wolock 1993).

20 We calculated topographic index values for each pixel using the *GA2* algorithm, which  
21 is a slightly modified version of *GRIDATB* version 95.01 (~~FORTTRAN program *gridatb.f*~~) [that](#)  
22 [has been](#) written specifically for this study based on the basic loop structure implemented in  
23 Buytaert (2011) with some modifications to allow for the use of *HydroSHEDS* data. *GA2*  
24 calculates the outflow gradient of each pixel (Fig. A1) and uses precalculated *UPLAND*  
25 values from *HydroSHEDS* for the catchment area  $A$  of each pixel (corrected for latitudinal  
26 projection distortions, B. Lehner *unpubl. data* 2013).

27 Because of the use of the *HydroSHEDS DEM*, we made three small modifications in  
28 *GA2* to the standard *GRIDATB* calculations:

29

- 30 • We applied the correction for *DEM* resolution suggested by Ducharne (2009) to allow  
31 calculations to be carried out at continental scales (see [Appx-A1](#) below).
- 32 • *GRIDATB* used the multiple ~~flow-drainage~~-direction algorithm of Quinn et al., (1991,  
33 1995), also known as the FD8 or MD8 routing model (Wolock & McCabe 1995, Zhao

1 et al., 2009, Lang et al., 2013). However, in *GA2* we instead used a direction-of-  
2 steepest-descent model: the Deterministic Eight Node (D8) routing model (Moore et  
3 al., 1993, Wolock & McCabe 1995, Wilson & Gallant 2000, Zhao et al., 2009,  
4 Orlandini et al, 2014). This was for consistency with the *HydroSHEDS* drainage  
5 direction approach used to derive *UPLAND* areas in this study, which were calculated  
6 using D8.

- 7 • The *HydroSHEDS DEM* does not have uniformly-sized grid-cells because of its native  
8 geographic projection ([GCS\\_WGS84](#)) where pixel dimensions vary with latitude (i.e.  
9 the real [height-width](#) of a pixel [gets](#) increasingly ~~exceeds its width~~ shorter than the  
10 [height](#) towards the poles). Because slope directions are restricted to the eight cardinal  
11 and diagonal directions, we account for varying pixel dimensions in our slope  
12 calculations by taking an average distance between neighboring pixels (rather than  
13 direction-dependent): We approximated *DX* as the square root of the area of each cell  
14 (with latitude-corrected pixel areas calculated using the Met. Office Unified Model  
15 routine *arealat1.f90* written by T. Oki in 1996, Dadson & Bell 2010). When away  
16 from the equator, this implies that slopes will be slightly overestimated in north-south  
17 directions and underestimated in east-west directions.
- 18 • Finally, because the value of *dfltsink* is undefined on plains (i.e. areas of no outflow  
19 and no inflow, which occur more often when vertical resolution is lower) we followed  
20 USGS (2000) and Evans (2003) in applying a minimum of 0.001 to  $\tan(\beta')$ .

## 23 **A1 Correcting for DEM resolution**

24  
25 A question arises when comparing catchments digitised at different resolutions (e.g. Chappell  
26 et al., 2006): how to compare topographic index values calculated from DEMs at different  
27 resolutions? Although not part of the original topographic index calculations, it has become  
28 accepted that topographic index values as calculated above should be reduced to the  
29 'equivalent' value for a 1 m resolution DEM by subtracting  $\ln(DX)$  (and restricting the result  
30 to be  $\geq 0$ ). ~~Although not universally implemented (e.g. neither Evans 2003 nor Buytaert 2011~~  
31 ~~applied it), applying~~Applying this scale-correction ~~has-is~~ becomingme standard: e.g. see

Ducharne (2009; also see Moore et al., 1993, Wolock & McCabe 1995, Clark & Gedney 2008).

## A2Appendix B.: SlopeRouting or channel networkDrainage direction, and UPLAND calculations

Our calculations of topographic index values depends on the *HydroSHEDS UPLAND* layer containing the upstream catchment area draining into each point, and this layer in turn depends on the underlying *flowdrainage* direction grid of *HydroSHEDS*. At its highest resolution of 3 arc-seconds, *HydroSHEDS* follows the D8 algorithm to determine *drainageflow* directions based on steepest slopes, which is considered the standard for use with large-scale routing models (e.g. TRIP, Grid-2-Grid, Dadson & Bell 2010). However, *in areas where turbulence or diffusional effects lead to significant hydrologic dispersion, flow lines may not coincide uniformly with slope lines (Rice et al., 2008, Orlandini et al., 2014). In fact, DRouting calculations for deriving channel networks from slopesterrain data have been an area of active recent research (e.g. Orlandini et al., 2014) and there is not yet universal agreement between the* There are many different methods for calculating *drainage/flow directions*slopes from DEM data *and there is not yet a universally agreed method (see discussions in* Wilson & Gallant 2000, Zhao et al., 2009, Orlandini et al., 2014); *so the use of D8 above is not an unreasonable modification. Additionally, slope values depend on the resolution of the DEM (being by default higher for smaller resolutions), therefore both the use of D8 (above) and the resolution correction (Ducharne 2009) modify the slope values in our calculations.*

The *HydroSHEDS* channel network layer is based on the D8 routing calculation scheme (*Drainage Directions* layer, Lehner 2013)At the upscaled 15 arc-second resolution of *HydroSHEDS*, the D8 concept is still valid in terms of providing one of *eight*8 possible neighbour pixels as the downstream direction; however, the direction values are not based on steepest slopes alone but also incorporate information from the 3 arc-sec flow accumulation maps (Lehner 2013). Additionally, a large number of manual corrections have been implemented over several years which modify the native DEM values –(‘hydrological conditioning’, Lehner 2013). As a consequence, *so our use of *HydroSHEDS* has unavoidably involved an acceptance of these algorithms and manipulations. Additionally, because the*

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1 ~~HydroSHEDS layers are derived from SRTM not only by applying the D8 calculations but~~  
2 ~~also a large number of manual corrections implemented over several years ('hydrological~~  
3 ~~conditioning', Lehner 2013), to test and testing alternative settings to derive flow drainage~~  
4 ~~directions or an alternative routing schemes was beyond the logistical limits of this study as it~~  
5 ~~would require coordinated changes in slope, upscaling, and correction procedures at the~~  
6 ~~multiple scales involved.~~ We acknowledge that provide avenues to alter and potentially  
7 improve the flow direction calculations and, in consequence, the topographic index values.  
8 We believe, however, that while these methods may have a significant effect on local flow  
9 directions and channel routing, the cumulative calculation of "contributing upstream area" is  
10 less affected, the D8 algorithm remains the current standard for use with large scale routing  
11 models (e.g. TRIP, Grid 2 Grid, Dadson & Bell 2010). The HydroSHEDS UPLAND layer  
12 containing the upstream catchment area draining into each point is calculated from the same  
13 channel network (Lehner 2013).

14

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25

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2 **Table 2:** Topographic index values from the GA2 algorithm applied to *HydroSHEDS* data (Appx. A)  
3 compared to CTI values from HYDRO1k for all global river basins larger than 10<sup>6</sup> km<sup>2</sup>. Note that some  
4 sources quote much higher index values, but these are often not scale-corrected values and are  
5 therefore not directly comparable (e.g. Yang et al., 2007).

River catchments	Area <sup>a</sup> (million km <sup>2</sup> )	CTI of HYDRO1k				GA2 based on HydroSHEDS				Percentage increase moving from CTI to GA2
		Mean	SD	Min	Max	Mean	SD	Min	Max	
<i>Africa</i>										
Congo	3.70 (0.04)	<del>6.98</del> <u>7.0</u>	2.20	<del>0.87</del> <u>9</u>	23.24	<del>5.97</del> <u>6.0</u>	2.42	<del>0.98</del> <u>1.0</u>	24.54	-14.5
Nile	3.40 (0.07)	<del>6.69</del> <u>7</u>	<del>2.39</del> <u>4</u>	<del>0.61</del> <u>+</u>	<del>23.29</del> <u>3</u>	<del>6.74</del>	<del>2.53</del>	<del>0.49</del> <u>5</u>	24.23	+0.7
Niger	2.12 (0.00)	<del>7.27</del> <u>3</u>	2.20	0.94	22.78	<del>6.72</del>	<del>2.36</del> <u>4</u>	<del>0.75</del> <u>8</u>	<del>23.97</del> <u>4.0</u>	-7.6
Zambezi	1.39 (0.03)	<del>6.34</del>	2.24	<del>0.47</del> <u>5</u>	<del>21.25</del> <u>3</u>	<del>6.41</del>	2.43	0.64	<del>23.42</del>	+1.1
<i>Asia</i>										
Ob-Irtysh	2.97 (0.02)	<del>6.60</del>	<del>2.06</del> <u>1</u>	0.64	22.2	<del>6.70</del>	2.41	<del>0.06</del> <u>1</u>	<del>24.58</del> <u>6</u>	+1.5
Yenisei	2.58 (0.07)	<del>4.93</del>	<del>2.06</del> <u>1</u>	<del>0.57</del> <u>6</u>	<del>22.95</del> <u>3.0</u>	<del>5.20</del>	<del>2.50</del>	0.00	<del>24.22</del>	+5.5
Lena	2.50 (0.00)	<del>4.91</del>	<del>1.98</del> <u>2.0</u>	0.73	<del>22.57</del> <u>6</u>	<del>5.20</del>	2.51	0.00	24.34	+5.9
Amur / Heilong Jiang	1.86 (0.02)	<del>5.24</del>	2.11	0.84	21.8	<del>5.47</del> <u>5</u>	2.73	0.74	<del>24.18</del> <u>2</u>	+4.4
Yangtze / Chang Jiang	1.81 (0.01)	<del>4.56</del>	2.33	0.04	21.63	<del>4.55</del> <u>6</u>	<del>2.75</del> <u>8</u>	0.00	<del>23.86</del> <u>9</u>	-0.2
Indus	1.17 (0.03)	<del>5.55</del> <u>6</u>	<del>2.85</del> <u>9</u>	0.00	21.56	<del>5.71</del>	3.03	0.01	<del>22.98</del> <u>3.0</u>	+2.9
Ganges	1.08 (0.01)	<del>6.38</del> <u>4</u>	2.61	<del>0.05</del> <u>1</u>	21.81	<del>6.43</del>	2.81	0.00	<del>23.22</del>	+0.8
Mekong	0.80 (0.00)	<del>5.29</del> <u>3</u>	2.50	0.54	22.01	<del>5.39</del> <u>4</u>	<del>2.85</del> <u>9</u>	0.53	<del>22.98</del> <u>3.0</u>	+1.9

**Comment [SD2]:** All at 2 SF precision now because after reconsideration we cannot justify precision to 3 SF.

Yellow Huang He	0.75 (0.00)	<b>5.253</b>	<b>2.263</b>	0.73	<b>21.687</b>	<b>5.42</b>	2.74	0.54	<b>23.04</b>	+3.2
<i>Australasia</i>										
Murray- Darling	1.06 (0.00)	- <sup>b</sup>	- <sup>b</sup>	- <sup>b</sup>	- <sup>b</sup>	<b>6.94</b>	2.44	1.04	<b>22.73</b>	- <sup>b</sup>
<i>Europe</i>										
Volga	1.38 (0.03)	<b>6.354</b>	<b>2.051</b>	1.30	<b>22.64</b>	<b>6.21</b>	2.33	1.14	<b>23.74</b>	-2.2
Danube	0.82 (0.00)	<b>5.44</b>	2.45	0.54	<b>21.42</b>	<b>5.44</b>	2.80	<b>0.462</b>	<b>23.462</b>	+0.0
Rhine	0.17 (0.00)	<b>5.52</b>	2.34	0.52	<b>19.798</b>	<b>5.364</b>	2.64	<b>0.091</b>	<b>21.64</b>	-2.9
<i>North America</i>										
Mississippi- Missouri	2.98 (0.02)	<b>6.21</b>	2.02	0.80	<b>22.576</b>	<b>6.162</b>	2.475	<b>0.475</b>	<b>24.465</b>	-0.8
Mackenzie	1.81 (0.17)	<b>6.091</b>	2.53	0.56	<b>22.576</b>	<b>6.11</b>	2.56	0.00	<b>24.24</b>	+0.3
St. Lawrence	1.34 (0.30)	<b>7.33</b>	2.74	1.33	<b>21.495</b>	<b>6.10</b>	2.364	0.94	<b>23.465</b>	-16.8
Nelson- Saskatchewan	0.89 (0.09)	<b>7.162</b>	2.13	<b>0.697</b>	<b>21.43</b>	<b>6.768</b>	2.34	<b>0.061</b>	<b>23.52</b>	-5.6
<i>South America</i>										
Amazon	7.05 (0.01)	<b>7.67</b>	2.42	0.00	<b>23.994.0</b>	<b>6.11</b>	2.54	0.42	<b>25.00</b>	-20.3
Paraná (excl. Río de la Plata)	2.58 (0.02)	<b>7.13</b>	<b>2.273</b>	0.56	<b>23.273</b>	<b>6.44</b>	2.62	0.54	<b>24.263</b>	-9.7
Orinoco	0.88 (0.00)	<b>6.74</b>	<b>2.273</b>	0.34	<b>22.492</b>	<b>6.283</b>	<b>2.657</b>	<b>0.384</b>	<b>23.452</b>	-6.8

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<sup>a</sup> Area of lakes, reservoirs, glaciers and ice sheets within the basin given in parentheses (the topographic index is not evaluated at these pixels by GA2, whereas the HYDRO1k CTI calculation assigns values to lakes as if they are flat plains, Appx. A). <sup>b</sup> HYDRO1k did not include mainland Australia therefore no CTI values are available for the Murray-Darling (USGS 2000).



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**Table 1:** Topographic index values from the GA2 algorithm applied to *HydroSHEDS* data (Appx. A). For a map of the extent of these wetland types, see Lehner & Döll (2004).

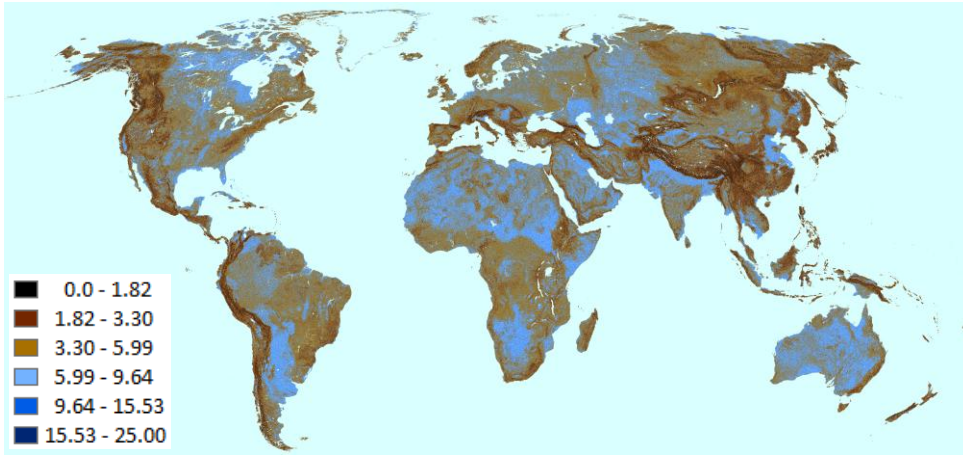
**Comment [T3]:** In response to reviewer comments I have swapped Tables 1 and 2.

Wetland type <sup>a</sup>	Area <sup>b</sup> (million km <sup>2</sup> )	Topographic index (dimensionless)			
		Mean value	SD	Min	Max
Ice-free land outside wetlands and wetland complexes	128.99	5.88	2.56	0.00	24.69
Intermittent Wetlands/Lakes (mostly in drylands)	0.66	8.07	2.89	0.59	24.03
Pans, Brackish/Saline Wetlands (mostly temperate and subtropical)	0.40	7.91	2.59	0.82	23.09
Freshwater Marsh, Floodplains	2.72	7.38	2.45	0.56	24.89
Mires (e.g. bogs, fens) (mostly boreal)	1.23	5.97	2.56	0.00	24.06
Swamp Forests, Inundated Forests (mostly S. America and Congo)	0.94	6.92	2.48	0.86	25.00
Coastal Wetlands (e.g. mangroves, estuaries, deltas, lagoons)	0.45	7.03	2.22	0.58	24.54
River pixels	0.42	8.81	4.80	0.16	25.00
Wetland Complex (0-25% wetland) (Asia only, mostly India and Tibetan China)	0.83	5.61	2.52	0.30	22.28
25-50% wetland (USA & Canada only)	4.01	6.47	2.30	0.09	24.33
50-100% wetland (USA & Canada only)	2.76	6.84	2.38	0.00	24.45

<sup>a</sup> Following the Global Lakes and Wetlands Database (*GLWD*, Lehner & Döll 2004).

<sup>b</sup> These areas sum to  $143.43 \times 10^6$  km<sup>2</sup> which is the global extent of land not covered by lakes, reservoirs, glaciers or ice sheets that lies outside Antarctica and other islands excluded from *HydroSHEDS* (*viz.* Antarctica, Polynesia east of the 180° meridian line, the Azores, St Helena, Ascension Is., Tristan da Cunha, South Georgia, the South Sandwich Is., the Kerguelen Archipelago and some smaller oceanic islands, Lehner et al., 2008). Permanent lakes and reservoirs cover  $1.23 \times 10^6$  km<sup>2</sup> globally (Lehner & Döll 2004), the Greenland ice sheet covers  $1.99 \times 10^6$  km<sup>2</sup> (Lewis 2009) and all glaciers cover  $0.80 \times 10^6$  km<sup>2</sup> (Pfeffer et al., 2014).

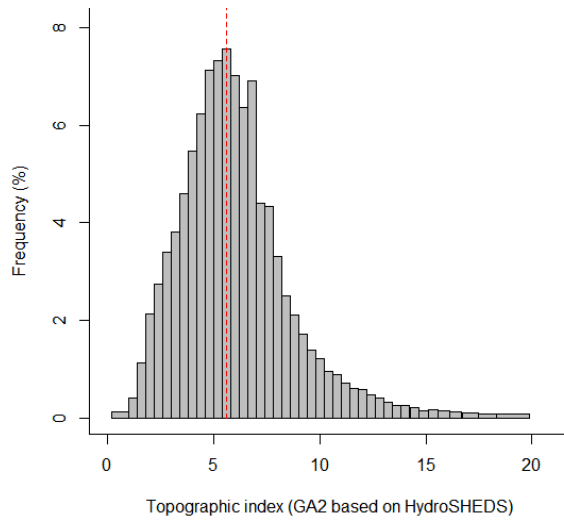
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**Figure 1:** Global topographic index values based on *GA2* applied to *HydroSHEDS* base data (Appx. A). Blue shades indicate pixels with index values above the global mean (5.99) and brown shades indicate below-average values.

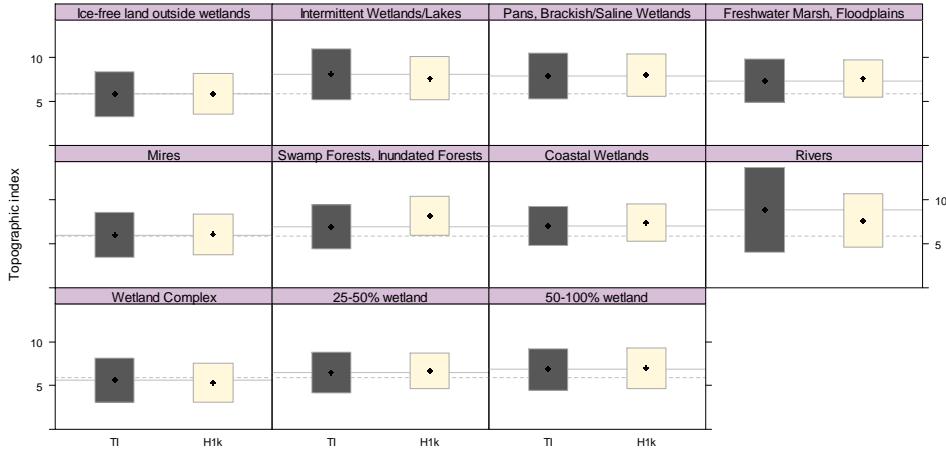
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**Figure 2:** Histogram of global topographic index values (vertical line shows global mean of 5.99; global maximum is 25.0044 at a pixel within a river island at the confluence of the Amazon and Xingú rivers in Brazil).

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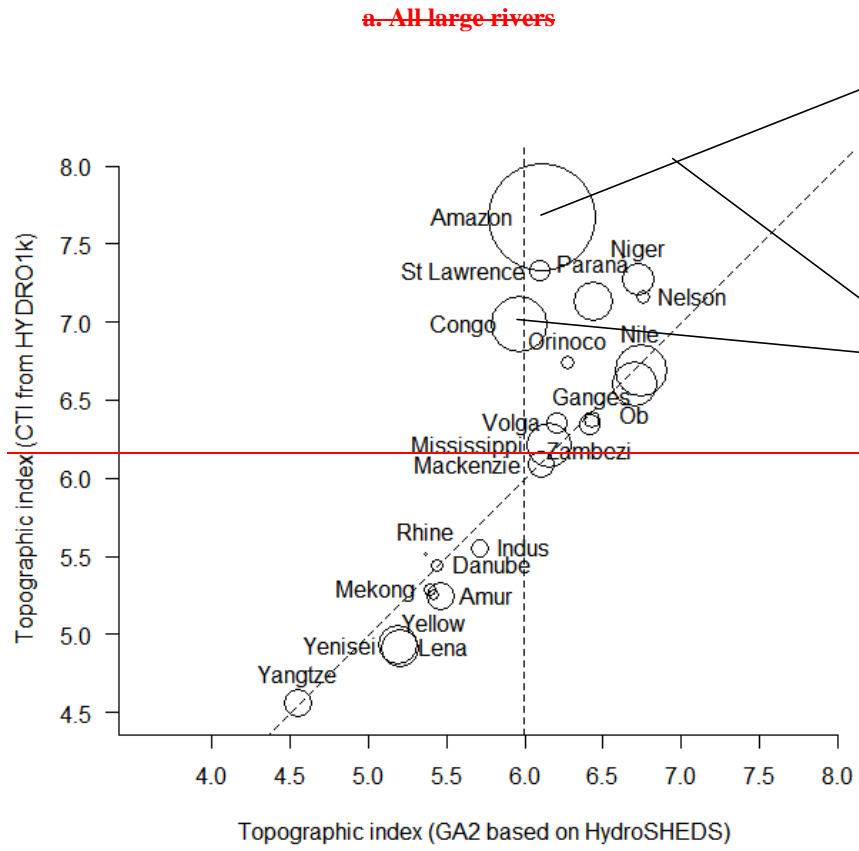
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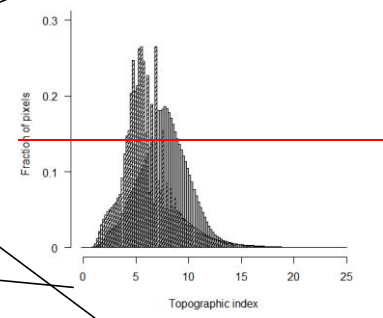
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**Figure 3:** Comparison of topographic index calculations, divided by wetland type (following Lehner & Döll 2004, excluding lakes and reservoirs): TI (dark shaded box) = calculations of topographic index from this study (also shown as a horizontal solid line; precise figures given in Table 1), and H1k (light shaded box) = the Compound Topographic Index of HYDRO1k (USGS 2000), both of which applied the scale-correction of Ducharme (2009). Boxes show mean±SD index values for the global distribution of that wetland type. For reference, the mean topographic index value for ice-free land outside wetlands is shown by a broken line on all panels (Table 1).

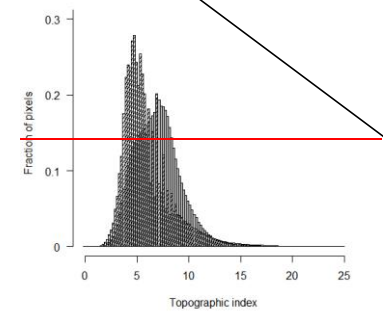




**b. Amazon**



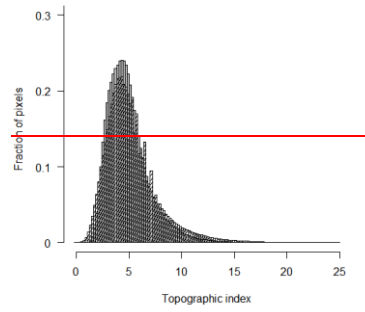
**c. Congo**



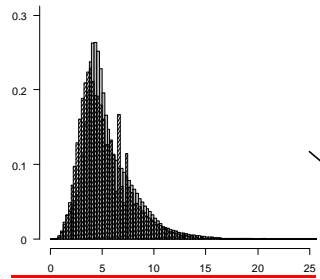
**d. Lena**

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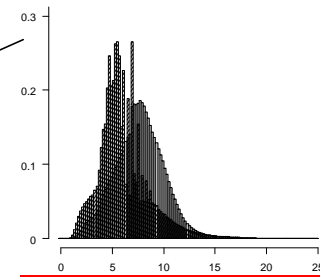
**a. Rhine**



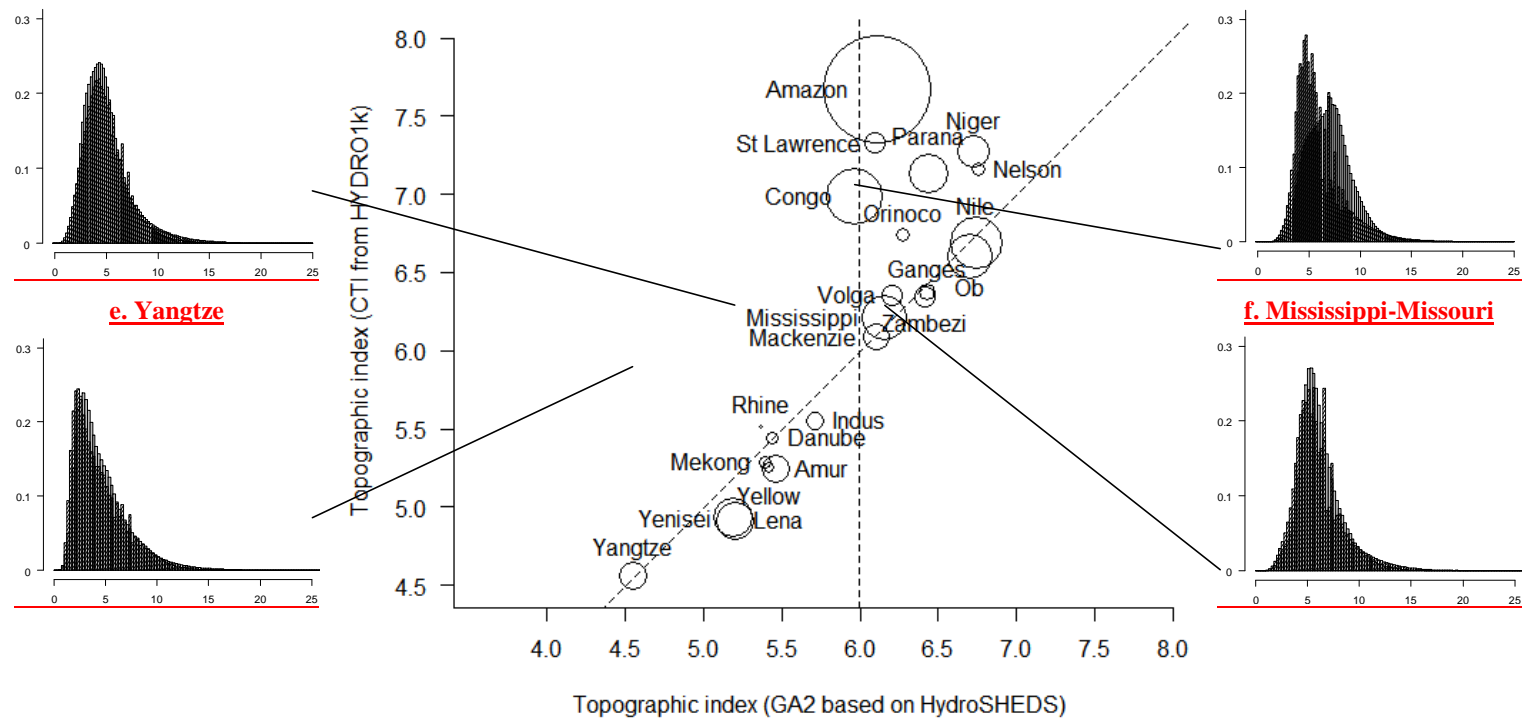
**c. Lena**

**All large rivers**

**b. Amazon**

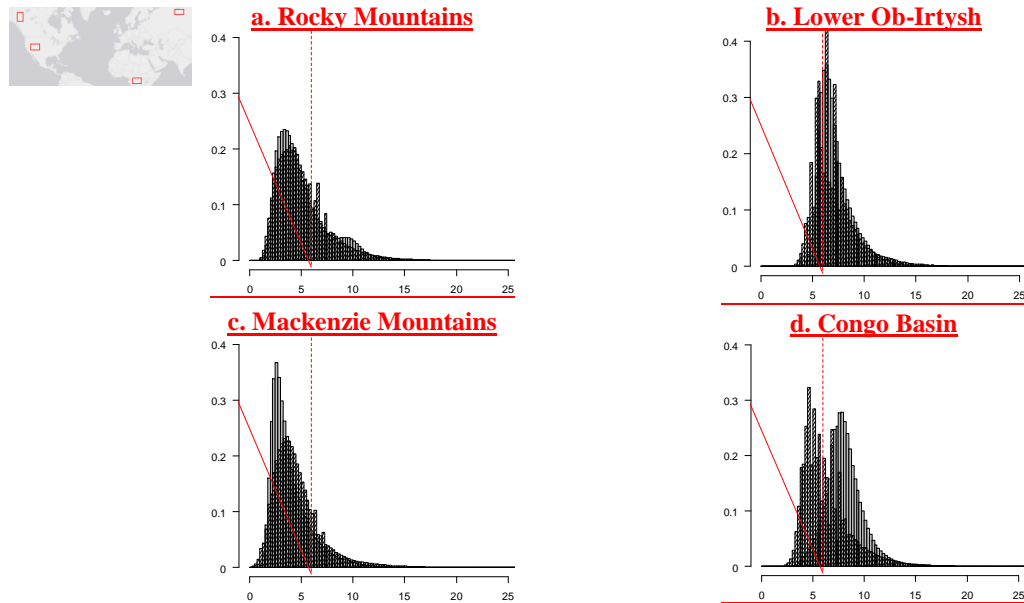


**d. Congo**

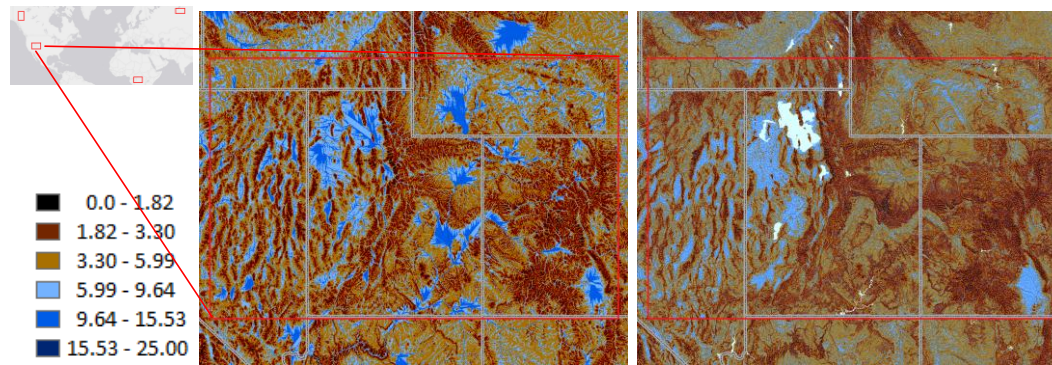


**Figure 4:** Comparison of the CTI and GA2 calculations of the topographic index (from Table 2), showing that CTI values are larger for some catchments, most notably the Amazon, Congo, Paraná, Niger and St. Lawrence. ~~CA one one line is shown for reference and~~ circle areas are proportional to catchment area ~~and a one-one line is shown for reference~~. The largest catchments tend to be closest to the global average index value of 5.99 (also shown for reference). Histograms are shown for ~~six~~ three catchments: the Rhine, Amazon, Lena, Congo, Yangtze and Mississippi-Missouri and Lena (each grey histogram shows CTI values, hatched histogram shows GA2; Axes on all histograms are omitted: all are Topographic Index (horizontal) and Fraction of Pixels (vertical)): for catchments close to the one-one line, the corresponding histograms were closely similar.





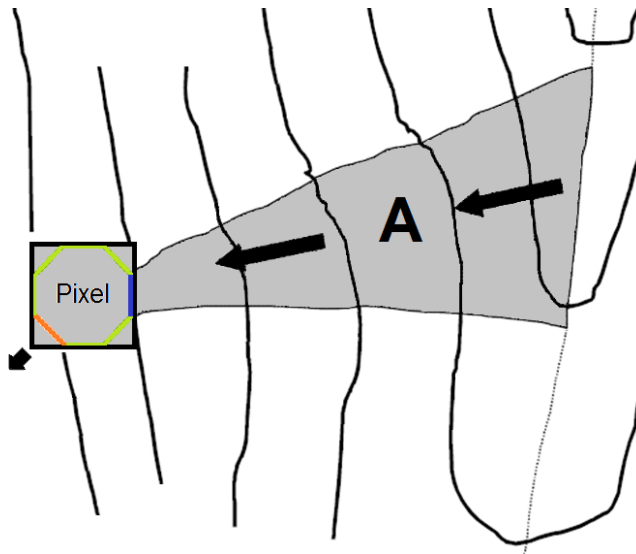
**Figure 5:** Comparison of the CTI and GA2 calculations of the topographic index for four example areas of  $10^6 \text{ km}^2$  each: (a) an area of the Rocky Mountains (USA), (b) the Lower Ob-Irtysh (Russian Federation), (c) an area of the Mackenzie Mountains (Canada) and (d) the Congo Basin (Democratic Republic of the Congo, Republic of the Congo, Cameroon and the Central African Republic) (see inset). These examples were chosen so that two are mountainous, two lowland plains, two are north of  $60^\circ\text{N}$  and two south, to demonstrate that the new topographic index values are a refinement on the CTI values of HYDRO1k. On each histogram, grey bars shows CTI values, hatched bars shows GA2 and a red broken line shows the global average index value of 5.99 for reference. Axes on all histograms are omitted: all are Topographic Index (horizontal) and Fraction of Pixels (vertical).



**Figure 6:** Comparison of the CTI and GA2 calculations of the topographic index for an area of the Rocky Mountains (USA). Maps of the CTI (left) and GA2 (right) values are shown (from which the histograms of Fig. 5a were calculated), with identical colour scale to Fig. 1. Note the 4400 km<sup>2</sup> Great Salt Lake, Utah, to the N of the area (which is masked out of the GA2 map (light blue) but included in CTI as if it were a flat plain) and the San Luis Valley, Colorado, to the SE, being the headwaters of the Rio Grande, USA.

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2 **Fig. A1:** Illustration of the topographic index calculation of *GA2* for one pixel of a *DEM* (the black square)  
3 downstream from a catchment area *A* (in  $m^2$ , defined to include the area of the pixel itself, which is usually  
4 negligible in comparison to *A*). The *inflow contour* of the pixel is shown in blue, the *outflow contour* in orange  
5 and the remaining perimeter of the octagon is shown green (q.v. the octagon of contour lengths shown in Quinn  
6 et al., 1991:Fig.1). We calculate  $DX$  = (pixel sidelength in m),  $\tan(\beta)$  = (mean slope across the outflow contour),  
7  $\tan(\beta')$  = (mean slope across the non-outflow contour (blue+green) ),  $clout$  = (outflow contour length in m),  $a$  =  
8 (specific catchment area in m) =  $A/clout$  (n.b. called an 'area' but units are  $m^2/m = m$ ) and  
9  $dfltsink = \ln\left(\frac{A}{2DX \tan \beta'}\right)$  (this default value for *sinks*, i.e. pixels with no outflow, is described in Quinn  
10 et al., 1995:Fig.14). The **topographic index value for this cell** is defined as  $\ln\left(\frac{a}{\tan \beta}\right)$  if  $clout \neq 0$  or  
11  $=dfltsink$  if  $clout=0$  (Quinn et al., 1991, 1995).  
12