

1 **Climate change and non-stationary flood risk for the Upper**
2 **Truckee River Basin**

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1 **Abstract**

2 Future flood frequency for the Upper Truckee River Basin (UTRB) is assessed using non-
3 stationary extreme value models and design life risk methodology. Historical floods are
4 simulated at two UTRB gauge locations, Farad and Reno using the Variable Infiltration
5 Capacity (VIC) model and non-stationary Generalized Extreme Value (GEV) models. The
6 non-stationary GEV models are fit to the cool season (November-April) monthly maximum
7 flows using historical monthly precipitation totals and average temperature. Future cool
8 season flood distributions are subsequently calculated using downscaled projections of
9 precipitation and temperature from the Coupled Model Intercomparison Project Phase-5
10 (CMIP-5) archive. The resulting exceedance probabilities are combined to calculate the
11 probability of a flood of a given magnitude occurring over a specific time period (referred to
12 as flood risk) using recent developments in design life risk methodologies. This paper
13 provides the first end-to-end analysis using non-stationary GEV methods coupled with
14 contemporary downscaled climate projections to demonstrate the evolution of flood risk
15 profile over typical design life periods of existing infrastructure that is vulnerable to flooding
16 (e.g. dams, levees, bridges, and sewers). Results show that flood risk increases significantly
17 over the analysis period (from 1950 through 2099). This highlights the potential to
18 underestimate flood risk using traditional methodologies that don't account for time varying
19 risk. Although model parameters, for the non-stationary method are sensitive to small changes
20 in input parameters, analysis shows that the changes in risk over time are robust. Overall,
21 flood risk at both locations (Farad and Reno) is projected to increase 10-20% between the
22 historical period 1950-1999 and the future period 2000-2050 and 30-50% between the same
23 historical period and 2050-2099.

24

1 1 Introduction

2 “Stationarity is Dead” [Milly et al., 2008], yet the standard practice for flood frequency
3 analysis is predicated on this very assumption. This discrepancy has not gone unnoticed
4 within the scientific community and there is a growing body of research investigating, (1)
5 trends in observed floods [e.g. Franks, 2002; Vogel et al., 2011], (2) ways to incorporate non-
6 stationarity into frequency distributions [e.g. Katz and Neveau, 2002; Raff et al., 2009] and
7 (3) methodologies to interpret risk and approach design within a non-stationary framework
8 [e.g. Mailhot and Duchesne, 2010; Rootzen and Katz, 2013; Salas and Obeysekara, 2014].
9 Both the frequency and intensity of extreme events are particularly susceptible to change
10 because small shifts in the center of a distribution can potentially have much larger impacts
11 on the tails [Meehl et al., 2000]. Regardless of climate change, naturally occurring long-term
12 climate oscillations, such as ENSO, have been linked to low frequency variability in flood
13 frequency [e.g. Cayan et al., 1999; Jain and Lall, 2001]. Anthropogenic climate change has
14 the potential to amplify natural climatic variability throughout the interconnected climate and
15 hydrologic systems.

16 Already trends in many hydrologic variables have been observed across the western United
17 States (as well as around the world). For example, clear increases in temperature have been
18 measured across the west [e.g. Cayan et al., 2001; Dettinger and Cayan, 1995]. Precipitation
19 trends are more variable. Regonda et al. [2005] found increased total winter precipitation (rain
20 and snow) from 1950 to 1999 in many sites across the western United States, although
21 springtime snow water equivalent (SWE) was shown to decline over the same period.
22 Similarly, Mote et al. [2005] analysed snowpack trends in western North America, and
23 reported widespread declines in springtime SWE over the period 1925–2000, especially since
24 the middle of the 20th century. They attribute this decline predominantly to climatic factors
25 such as El Niño–Southern Oscillation (ENSO), Pacific Decadal Oscillation (PDO), and
26 positive trends in regional temperature. Easterling et al. [2000] summarized previous studies
27 on precipitation trends. They note that trends vary from region to region, but in general,
28 increases in precipitation have occurred disproportionately in the extremes. Several
29 subsequent studies have observed increasing trends in extreme precipitation events, although
30 the changes are relatively small [Gutowski et al., 2008; Kunkel, 2003; Madsen and Figdor,
31 2007].

1 Research has also demonstrated increasing trends in flood frequency in some regions. Walter
2 and Vogel [2010] and Vogel et al. [2011] observed increasing flood magnitudes across the
3 United States using stream gauge records, and Franks [2002] showed statistically significant
4 increases in flood frequency since the 1940s. Still, non-stationary flood behaviour has been
5 historically difficult to quantify and there has been some debate on the significance of flood
6 frequency trends. For example, Hirsch [2011] noted both increasing and decreasing trends in
7 annual flood magnitudes in different regions of the US. Also, Douglas et al. [2000] found that
8 if one takes into account spatial correlation, many previous findings of flood trends are not
9 statistically significant. Difficulty in diagnosing flood trends is not unique to the western US;
10 a literature review of historical flood studies across Europe also found spatial variability in
11 flood trends [Hall et al., 2014].

12 Even when significant trends are found, the complexity of flooding mechanisms that depend
13 on many variables which can vary regionally and seasonally, makes it difficult to attribute
14 trends to specific causes. Illustrating the importance of seasonality, Small et al. [2006]
15 showed that if a high precipitation event occurs in the fall, as opposed to the spring, it will
16 contribute to baseflow rather than inducing flooding. Also, urbanization can drastically
17 increase the impervious area of a basin, thus amplifying floods by decreasing infiltration and
18 speeding runoff. The largest flood magnitude increases observed by both Walter and Vogel
19 [2010] and Vogel et al. [2011] were in basins with urban development. The influence of
20 development trends on flood behaviour can be difficult to separate from other variables. For
21 example, Villarini et al. [2009] could not conclusively tie reduced stationarity (i.e. changes in
22 mean and/or variance) in peak discharge records to climate change because of variability in
23 the other factors that influence runoff.

24 Merz et al. [2012] note that attributing changes in flood hazard is complicated by the complex
25 array of drivers that can include; land cover change and infrastructure development as well as
26 natural climate variability and change. Here we set aside the impacts of development and
27 management practices and focus on the role of climate change. However, even with this
28 simplification, future extremes can still be influenced by a number of interrelated variables
29 such as changes in temperature, precipitation efficiency, and vertical wind velocity [Mullet et
30 al., 2011; O'Gorman and Schneider, 2009]. Analysing global circulation model (GCM)
31 outputs Pierce et al. [2012] found total changes in precipitation to be small relative to the
32 existing variability but noted larger seasonal changes in storm intensity and frequency.

1 Despite uncertainty, many studies agree that warming will increase the potential for intense
2 rainfall [Allan, 2011; Gutowski et al., 2008; Pall et al., 2011; Sun et al., 2007]. Furthermore,
3 Min et al. [2011] found that some GCM simulations may underestimate extreme precipitation
4 events in the northern hemisphere. Indicating that projections of extreme precipitation based
5 on GCM outputs may be conservative.

6 Studies have also predicted increases in flood frequency and magnitude with a warmer
7 climate especially in snowmelt dominated basins [e.g. Das et al., 2011]. As with historical
8 flooding trends, translating forecasted climate variables to flood frequency is a complex
9 process and several methodologies have been used. Downscaled GCM climate forcings can
10 be used to drive hydrologic models and simulate future floods directly [e.g. Das et al., 2011;
11 Vogel et al., 2011; Raff et al., 2009]. With this approach, traditional stationary flood
12 frequency distributions can be fit to the simulated floods to calculate return periods of interest
13 [e.g. Raff et al., 2009; Vogel et al., 2011]. This allows for return periods and flood
14 magnitudes that change over time, as with the flood magnification and recurrence reduction
15 factors calculated by Walter and Vogel [2010] and Vogel et al. [2011]. While, these
16 approaches do capture temporal changes between analysis periods, they still assume that flood
17 mechanisms are stationary within each period of analysis.

18 This limitation can be overcome using non-stationary generalized extreme value (GEV)
19 distributions where the model parameters like mean (i.e. location) and spread (i.e. scale) are
20 allowed to vary as a function of time [e.g. Gilroy and McCuen, 2012] or with relevant
21 covariates [e.g. Griffis and Stedinger, 2007; Katz et al., 2002; Towler et al., 2010]. This
22 approach has been gaining popularity for flood frequency estimation. Using this technique it
23 is not necessary to simulate future floods directly by forcing a hydrologic model with
24 projected hydroclimate fields (e.g. precipitation and temperature). The parameters of the GEV
25 model, like mean and spread change with time (i.e. non-stationary) based on a linear
26 combination of covariates like precipitation and temperature. Historical relationships between
27 extreme events and hydroclimate fields are used to identify the weighting of covariates. These
28 weights are then used to estimate parameters for future time periods using precipitation and
29 temperature outputs from hydroclimate projections. For example, Gilroy and McCuen [2012]
30 used non-stationary GEV models of flood frequency that incorporated a linear trend in the
31 location parameter. Similarly, Griffis and Stedinger [2007] and Towler et al. [2010] used
32 climate variables as covariates for the distribution parameters.

1 While, non-stationary flood forecasting methods provide flexibility to analyse flood
2 variability, they are also incongruent with many of the traditional metrics used in water
3 resources planning. Historically, most infrastructures that are vulnerable to flooding (e.g.
4 dams, levees, sewers and bridges) have been designed to withstand flooding of specified
5 return period (e.g. the 100-year flood). However, these calculations rely on a flood frequency
6 distribution which is assumed to remain stationary with time, and hence the return period
7 design metric is also assumed to be stationary. When non-stationary methods are used, the
8 underlying flood frequency distributions, and associated return periods, vary with time. Thus,
9 under a non-stationary climate, the notion of static return period flood event (e.g., 100-year
10 flood, 200-year flood, etc.) is no longer a valid concept.

11 To address this issue, Rootzén and Katz [2013] introduced the concept of design life level to
12 calculate the risk of a given flood magnitude occurring over a specified time period. Salas and
13 Obeysekera [2014] further demonstrated the relevance of this technique to the hydrologic
14 community using flood frequency examples. However, this methodology has yet to receive
15 widespread attention within the hydrologic community. Here, we present a non-stationary
16 flood frequency assessment for the Upper Truckee River Basin (UTRB) using contemporary
17 downscaled climate projections and the non-stationary design life level technique introduced
18 by Rootzén and Katz [2013] to quantify flood risk. Note that, following the convention of
19 Rootzén and Katz [2013] we use the term flood risk to refer to the probability of an extreme
20 event occurring and not as a quantification of expected losses. While the methodology used
21 for this analysis is previously established, this paper provides the first end-to-end
22 demonstration of non-stationary GEV analysis coupled with contemporary downscaled
23 climate projections (specifically, downscaled climate projections from the Coupled Model
24 Intercomparison Project Phase-5 (CMIP-5)), to quantify how the flood risk profiles may
25 evolve in the Upper Truckee River Basin over the 21st century. The flood analysis presented
26 here is part of a larger study on climate change impacts in the Truckee River basin
27 [Reclamation, 2010]. This project is supported by local water managers and conducted by the
28 Bureau of Reclamation through the WaterSMART Basin Studies Program authorized under
29 U.S. Public Law 111-11, Subtitle F (SECURE Water Act). The intent of this work is, (1) to
30 investigate potential flood risk changes over time in the UTRB and (2) to demonstrate the
31 applicability of non-stationary techniques in a regional flood analysis to make these tools
32 more accessible to the hydrologic community.

1 The paper is organized as follows. Section 2 provides background on the study area along
2 with data sets and models used. The methodologies of using non-stationary spatial GEV
3 analysis in conjunction with climate projections and time evolving risk assessment are
4 described in section 3. Results and discussions of findings are given in section 4. Summary
5 and conclusions from the analysis are presented in section 5.

6

7 **2 Background**

8 This section provides background on the study area (2.1), streamflow data and simulations
9 (2.2) and climate data and models (2.3).

10 **2.1 Upper Truckee River Basin**

11 The Truckee River originates in northern Sierra Nevada Mountains in California (above Lake
12 Tahoe) and flows northeast to Nevada where it ends in the Pyramid Lake (Figure 1). The
13 total basin area is roughly 7,900 square kilometres, however the area upstream of Reno (2,763
14 square kilometres) provides the majority of the basin's precipitation through snowpack. The
15 focus of this analysis is on the Farad and Reno gauge locations shown in Figure 1, henceforth
16 referred to as Farad and Reno. The Farad gauge is located roughly 1.5 kilometres
17 downstream of the Farad hydropower plant and provides a cumulative measure of all of the
18 upper basin tributaries [Stokes, 2002]. Most of the available water supply is generated
19 upstream of the Farad gauge [USACE, 2013a]. The Reno gauge is located downstream of
20 Farad in the heart of Reno and is a good reference point for analysing urban flooding. The
21 intervening area between the Farad and Reno gauges is small, roughly 350 square kilometers
22 [km] and there are only two small tributaries that enter the main stem between Farad and
23 Reno.

24 Flooding in the upper Truckee generally takes one of three forms. Some of the most severe
25 floods have resulted from heavy rain events covering most of the basin and lasting one to six
26 days. These storms generally occur from November to April and may be linked to
27 Atmospheric Rivers [Ralph and Dettinger, 2012]. Snowmelt floods are also common from
28 April to July. Although, snowmelt floods transmit large volumes of water for longer
29 durations, they generally don't cause damage because they are typically well predicted and
30 can be regulated with upstream reservoirs. Finally, in late summer (July – August), local
31 cloudbursts can generate high intensity precipitation over small areas. These storms can

1 cause local damage to tributaries but generally don't have a large impact on the main stem of
2 the Truckee.

3 In the twentieth century, nine major floods have been recorded on the Truckee River,
4 all of which occurred from November to April [USACE, 2013b]. The flood of record
5 occurred in January of 1997 and was caused by warm rain falling on a large snowpack
6 (~180% of normal) and melting nearly all of the snowpack below 7,000 feet [USACE,
7 2013b]. The floods of 1950, 1955 and 1963 were some of the most damaging due to the
8 development of Reno along the river during this time period [USACE, 2013b]. Subsequent
9 flood damages have been, at least partially, mitigated by the implementation of flood
10 infrastructure starting in the 1960s.

11 **2.2. Streamflow data and simulations**

12 Streamflow has been measured at both the Farad and Reno USGS gauges. However, gauge
13 flows are not readily applicable to flood frequency analysis due to upstream developments of
14 water supply and flood control structures. For example, upstream of Reno there are four
15 dams with flood control capabilities (i.e. Martis Creek Dam, Prosser Creek Dam, Stampede
16 Dam and Boca Dam) in addition to Tahoe, Donner and Independence Lakes which provide
17 incidental flood regulation. Unregulated flow estimates were developed by the US Army
18 Corps of Engineers (USACE) but are only available for historical flood events [USACE,
19 2013b]. Therefore, we simulate unregulated flows from 1950 to 1999 using the three layer
20 Variable Infiltration Capacity (VIC) model and validate results using the available
21 unregulated flow estimates.

22 A brief summary of the VIC model is provided here, and for additional technical
23 specifications the reader is referred to Liang et al. [1994], Liang et al. [1996] and Nijssen et
24 al. [1997]. VIC is a gridded hydrologic model designed to simulate macro scale (spatial
25 resolution in greater than 1 km) water balances using parameterized sub-grid infiltration and
26 vegetation processes. In the VIC model, surface water infiltrates to the subsurface based on
27 soil properties, and soil moisture is distributed vertically through three model layers extending
28 up to about 2 meters below the land surface. At the surface, potential evapotranspiration
29 (PET) is simulated using the Penman Monteith PET model [Maidment et al., 1993]. Surface
30 flows are determined in a two-step process. First, the water balance for each grid cell is
31 calculated independently to determine surface runoff and baseflow, and subsequently runoff

1 from each cell is routed to river channels and outlets using a predefined routing network. Here
2 we drive VIC with daily weather forcings including precipitation, maximum and minimum
3 temperature, and wind speed. Additional climate variables such as short and long wave
4 radiation, relative humidity and vapour pressure are calculated within the model using
5 established empirical relationships. The VIC model is well documented and has already been
6 used in a number of hydrologic and climate change studies [e.g. Christensen and Lettenmaier,
7 2007; Christensen et al., 2004; Gangopadhyay et al., 2011; Maurer et al., 2007; Payne et al.,
8 2004; Reclamation, 2011; Van Rheezen et al., 2004]. Recently VIC has also been applied for
9 real time flood estimation [Wu et al., 2014].

10 The VIC model used for this analysis was part of the Bureau of Reclamation (Reclamation)
11 West Wide Climate Risk Assessment (WWCRA) effort and is described in Reclamation
12 [2011]. The WWCRA VIC model encompasses the western US. Simulated and observed
13 streamflows were compared at 152 locations primarily from the USGS Hydroclimatic Data
14 Network [Slack et al., 1993] and 43 additional locations of importance to Reclamation's water
15 management activities. Among the evaluated locations are several in the Truckee basin
16 including the Truckee River at Farad. For details on model calibration and development we
17 refer the reader to Reclamation [2011] and Gangopadhyay et al. [2011]. While we do not
18 discuss model calibration further here, in the subsequent sections we provide additional model
19 verification for flood simulation in the UTRB.

20 **2.3 Climate data and models**

21 As noted in the previous section, the VIC model requires daily climate inputs to drive water
22 balance simulations. We use the national $1/8^\circ$ (roughly 12 km) gridded dataset from Maurer
23 et al. [2002] for historical (i.e. 1950-1999) climate observations. Additionally, monthly total
24 precipitation and average temperature were aggregated for the upstream area of each gauge
25 for every month of the flood season (i.e. November through April). These values are used as
26 covariates for fitting non-stationary GEV models discussed in Section 3.

27 Future gridded precipitation and temperature values from 2000 to 2099 were generated from
28 Global Circulation Model (GCM) outputs. We analysed 234 projections generated by 37
29 different climate models from the CMIP-5 (Coupled Model Intercomparison Project Phase 5)
30 archive [Taylor et al., 2012]. In the absence of objective guidance in contemporary climate
31 literature to limit the number of projections, we chose to include all of the available CMIP-5

1 projections of future climate in this study. However, it should be noted that other studies have
2 demonstrated that a subset of projections could provide comparable results for specific study
3 objective (e.g., water supply) [Pierce et al, 2009; Harding et al, 2012]. Projections span four
4 Representative Concentration Pathways (RCPs) for greenhouse gas emissions. Each GCM
5 projection includes monthly gridded precipitation and temperature from 1950 to 2099 at a
6 coarse grid resolution ranging between ~65-250 km.

7 Reclamation in collaboration with other federal and non-federal partners has developed a
8 monthly archive of downscaled CMIP-5 projections at the finer $1/8^\circ$ resolution using the two-
9 step BCSD (Bias Correction and Spatial Disaggregation) algorithm described in Wood et al.
10 [2004]. For this analysis we extended the existing hydrology archive to cover the UTRB
11 domain for all 234 BCSD CMIP-5 climate projections following the steps detailed below. A
12 subset of the CMIP-5 hydrology projections is publically accessible through the "Downscaled
13 CMIP3 and CMIP5 Climate and Hydrology Projections" archive at [http://gdo-](http://gdo-dcp.ucllnl.org/downscaled_cmip_projections/)
14 [dcp.ucllnl.org/downscaled_cmip_projections/](http://gdo-dcp.ucllnl.org/downscaled_cmip_projections/). Additional documentation on the archive and
15 the methodology is provided in Reclamation [2014].

16 The downscaled climate variables include monthly total precipitation, monthly maximum and
17 minimum temperatures and monthly average temperature. Before applying the BCSD
18 algorithm all the 234 climate projections were first gridded from their respective native GCM
19 scale to a common grid of 1° latitude by 1° longitude. Similarly, the observed $1/8^\circ$ degree
20 gridded dataset [Maurer et al., 2002] was aggregated to the coarser 1° latitude by 1° longitude
21 grid. Next, for a given climate variable, GCM, and location (1° latitude by 1° longitude grid
22 cell), the bias correction (BC) step uses quantile mapping between monthly CDFs
23 (Cumulative Distribution Functions) of historical simulated and historical observed values to
24 identify biases over a common climatological period – in this case, 1950-1999. The projected
25 future climate variables from the same GCM at the same location are then bias corrected
26 using the identified bias. The result of bias-correction is an adjusted GCM dataset (20th
27 century and 21st century, linked together) that is statistically consistent with the observed data
28 during the bias-correction overlap period (i.e., 1950-1999 in this application). Note that, the
29 BC step happens at the coarse 1° latitude by 1° longitude grid. Next, multiplicative
30 adjustment factors (ratio of bias-corrected GCM to observed) for precipitation and offset
31 adjustment factors (bias-corrected GCM minus observed) for temperature are calculated for
32 each of the 1° latitude by 1° longitude grid cell [Reclamation, 2013]. These adjustments are

1 then spatially disaggregated (SD) to a 1/8° latitude by 1/8° longitude grid. Finally, the
2 adjustments are applied (multiplicative for precipitation; additive for temperature) to the finer
3 resolution, 1/8° degree gridded observed precipitation and temperature fields [Maurer et al.,
4 2002] to derive the 1/8° degree gridded BCSD climate projections.

5 **3 Methodology**

6 This section describes the methodology used for flood frequency analysis in the UTRB.
7 Discussion is divided into two sections. First, we describe the process of extreme value
8 modeling using non-stationary GEV distributions (Section 3.1). Second, the methodology for
9 design life level risk assessment is described (Section 3.2)

10 **3.1 Extreme value modelling**

11 Extreme values analysis (EVA) deals with the examination of the tail (i.e. extreme) values of
12 a distribution (as opposed to standard approaches which are generally more concerned with
13 the average system behaviour). EVA methods are standard practice for flood frequency
14 analysis because they are designed to capture the behaviour of low frequency high impact
15 events. Furthermore, in climate change studies Katz [2010] points out that traditional
16 approaches are not sufficient and extreme value statistics are needed. For this analysis, we use
17 the Generalized Extreme Value (GEV), which is commonly applied to flood frequency
18 analysis to model block maxima from streamflow time series [e.g. Katz et al., 2002; Towler et
19 al., 2010]. The cumulative distribution function (CDF) for the GEV, F is as follows:

$$20 \quad F(z; \theta) = \exp \left\{ - \left[1 + \xi \left(\frac{z - \mu}{\sigma} \right) \right]^{-1/\xi} \right\} \quad (1)$$

21 Where z is the streamflow maxima value of interest and θ is the parameter set (μ, σ, ξ) used to
22 specify the distribution such that the center is given by the location (μ) , the spread by the
23 scale (σ) and the behavior of the upper tail by the shape (ξ) . Based on the shape parameter, the
24 GEV can take one of three forms: Gumbel, or light tailed, when ξ is zero; Fréchet, or heavy
25 tailed, if ξ is positive; and Weibull, or bounded, when ξ is negative. Following the
26 methodology of Towler et al. [2010], GEV parameters (μ, σ, ξ) are fitted using the Maximum
27 Likelihood Estimation (MLE) technique.

28 In traditional stationary flood frequency analysis, it is assumed that observations are
29 independent and identically distributed (IID), and therefore model parameters (μ, σ, ξ) are
30 derived from the observed flood record and are assumed to remain constant across the period

1 of record and into the future. Here, we introduce non-stationarity into the distribution by
2 allowing location and scale parameters to change with relevant covariates. Such that:

$$3 \quad \mu(t) = \beta_{0,\mu} + \beta_{1,\mu}x_1 + \dots + \beta_{n,\mu}x_n \quad (2)$$

$$4 \quad \sigma(t) = \beta_{0,\sigma} + \beta_{1,\sigma}x_1 + \dots + \beta_{n,\sigma}x_n \quad (3)$$

5 Where the β variables represent the coefficients, and the x variables are the covariates. In
6 keeping with previous studies the shape parameter, which is the most difficult to estimate, is
7 assumed constant [e.g. Obeysekera and Salas, 2014; Salas and Obeysekera, 2013; Towler et
8 al., 2010].

9 Some previous studies [e.g. Salas and Obeysekera, 2013; Stedinger and Griffis, 2011] have
10 developed non-stationary location and scale parameters that are explicitly dependent on time.
11 This approach requires first, the derivation of temporal flooding trends and second, the
12 projection of this trend into the future. Here we derive location and scale parameters based on
13 time varying meteorological variables (i.e. temperature and precipitation). With the approach
14 used here, temporal trends in flooding are introduced as a function of temporal variability in
15 precipitation and temperature but no explicit trend is specified a priori.

16 To determine the optimal set of covariates for a non-stationary model, additional statistical
17 methods must be employed. The Akaike Information Criterion [AIC; Akaike, 1974], given in
18 Equation 4, weighs the goodness of fit for a model with the level of complexity.

19

$$20 \quad AIC = 2(nllh) + 2K \quad (4)$$

21 Here $nllh$ is the negative log likelihood (NLLH) estimated for a model fitted with K
22 parameters. In this formulation, higher ranked models have lower AIC scores. For this
23 analysis, the best model is selected using pairwise comparisons of NLLH scores following the
24 methods of Salas and Obeysekera [2014] and others. Models are compared using the deviance
25 statistic (D) which is equal to twice the difference in NLLH scores. Deviance statistics are
26 then tested for significance based on a chi-squared distribution with the degrees of freedom
27 set equal to the difference in the number of parameters (K) between models. Finally, p-values
28 less than 0.05 indicate a statistically significant improvement in model performance at the 5-
29 percent significance level.

1 Following the methodology described above, GEV distributions are fitted to time series of
2 maximum monthly historical (1950-1999) one day simulated stream flows (detailed in
3 Section 2) for the cool season (November to April). Although, there are some unregulated
4 historical flow estimates, the available dataset only covers six storms. Therefore, to be
5 consistent we fit our model only to the simulated flows. The dataset includes maximum daily
6 streamflows for each month in the cool season defined by the block of months November
7 through April, as opposed to the more traditional single value per year. This technique was
8 also used by Towler et al. [2010] who noted that expanding the dataset helps avoid the
9 problems associated with using maximum likelihood estimate on small datasets. However, as
10 noted by Towler et al. [2010], when multiple values are used per year the calculated
11 probabilities must be adjusted appropriately to derive annual values. Floods during the cool
12 season generally last between one and four days. Here we focus on the one day flood peak, as
13 opposed to multi-day flood volumes, because this is a representative metric for flood damage.
14 Additionally, using the one day flood maximum focuses the analysis on flood magnitude
15 rather than duration.

16 Two covariates were considered, monthly total precipitation (P) and mean temperature (T)
17 averaged over the upstream area for each gauge. As discussed in Section 2, precipitation is a
18 relevant covariate because many of the floods in this season are rain on snow events or
19 extreme rainfall events. Similarly, temperature drives snowmelt and is an important
20 contributor to UTRB flood events (e.g., January 1997 event). Both stationary and non-
21 stationary GEV models were evaluated using the extRemes package [Gilleland and Katz,
22 2011] in the ‘R’ statistical computing environment [R Core Team, 2012].

23 **3.2 Time varying risk assessment**

24 Traditional flood planning relies on the concept of return periods, which are usually
25 calculated as the inverse of annual exceedance probability for a given flood magnitude,
26 assuming a stationary distribution. For example, the log-Pearson Type III (LP3) distribution
27 described by the Interagency Advisory Committee on Water Data Bulletin 17B [IACWD,
28 1982]. However, when non-stationary models are used, the distribution parameters, and
29 hence the exceedance probabilities vary with time. Table 1 compares various flood
30 probability calculations between stationary and non-stationary approaches [Salas and
31 Obeysekera, 2014]. As shown here, when the flood distribution is stationary, the return
32 period for a given flood magnitude is constant and relies only on the exceedance probability

1 (Equation 4a in Table 1). However, if distribution parameters are non-stationary then the
2 return period will vary based on the period of interest (Equation 4b in Table 1). This concept
3 is easily extended to flood risk (here defined as the probability of a flood of a given
4 magnitude occurring, not expected losses). In traditional analyses, the risk of a flood occurring
5 in a given period depends only on the length of the period (5a), while in a non-stationary
6 analysis risk depends on both the length of time considered and the time period itself (5b).
7 This is the concept of design life level proposed by Rootzén and Katz [2013]. Here, we adopt
8 the design life level risk framework given by Equation 5b in Table 1 and calculate the risk of
9 flood for a range of future periods and design life lengths.

10

11 **4 Results and Discussion**

12 Results are grouped into three sections. First we present the development of the non-
13 stationary GEV models (4.1). Next the models are verified by comparing simulated results to
14 observations (4.2). Finally we present future projections of flood frequency analysis (4.3).

15 **4.1 Extreme value model development**

16 A suite of models were fit to the logarithms of block (cool season, November-April) maxima
17 flows (simulated by the calibrated VIC model) with different non-stationary parameter
18 combinations. The model structures tested include stationary, non-stationary location, non-
19 stationary scale and non-stationary location and scale. For all model structures, model fit was
20 tested using one or both covariates (i.e. precipitation and temperature). Models were also
21 tested using the block maxima flows directly; however, performance was improved
22 considerably with the logarithmic transformation. Validation of the VIC simulated flows as
23 well as the GEV models are presented in the following section.

24 Table 2 summarizes negative log-likelihood (NLLH) and Akaike Information Criterion (AIC)
25 scores for each model configuration. The deviance statistic (D) for pairwise comparisons of
26 NLLH scores and the p-values calculated for each D based on a chi-squared distribution are
27 also provided. The bottom row of Table 2 provides the number of parameters in each model
28 and the model number that was used for the pairwise comparisons. As shown here, the
29 models with non-stationary location and scale relying on both precipitation and temperature
30 as covariates have the best (i.e. lowest) NLLH scores for both stations, and are a statistically
31 significant improvement over the other models listed in Table 2. Figure 2 plots stationary and

1 non-stationary location and scale models with histograms of observed flow for both gauges.
2 Qualitatively, the stationary model fits well with the center of the distribution but
3 overestimates the tails. The non-stationary models overestimate the median values but are a
4 closer fit to the extreme values.

5 The coefficients for Equations 2 and 3 for the selected models are provided in Table 3. Using
6 the coefficients determined above, the location and scale parameters are calculated for every
7 climate projection (i.e. 234) and flood season month (i.e. November to April 1950 to 2099)
8 based on the downscaled precipitation and temperature values detailed in Section 2 (note that,
9 the shape parameter remains fixed). Thus, for every future month, there is a separate GEV
10 distribution curve for each of the 234 climate projections.

11 To address uncertainty of model parameters (namely, the model coefficients β in Equations 2
12 and 3), models of the same form (i.e. non-stationary location and scale with precipitation and
13 temperature as covariates) were also fit to the historical simulation period (1950-1999) using
14 downscaled precipitation and temperature from all 234 climate projections. Because each
15 climate projection seeks to reproduce similar behaviour over the historical 1950-1999 period,
16 the variability between projections in this time frame is a measure of uncertainty in model
17 coefficients given the representation of the same physical system. This differs from the
18 variability between climate projections in future periods (i.e. after 1999) which is a measure
19 of uncertainty in future forcing conditions. Table 3 shows the interquartile range of model
20 coefficients calculated from the 234 historical GCM simulations.

21 Using these parameters the return period of the design flood at Reno (37,600cfs, 1,065cms)
22 was calculated for every set of model parameters using observed historical precipitation and
23 temperature. The observed model estimates a return period of 45 years while the interquartile
24 range (IQR) using the simulated model parameters (i.e., the model parameters estimated from
25 each of the 234 historical GCMs) with observed precipitation and temperature varies from 28
26 to 247 years. Note that the return period of 45-years estimated from observed meteorology is
27 within the IQR of 28 to 247 years. Although the IQR is large it should be kept in mind that
28 some of the uncertainty in this range is a result of the performance of individual GCMs in
29 simulating historical climate and in the BCSD downscaling methodology. The monthly
30 BCSD algorithm used for downscaling GCM climate only constrains the monthly
31 precipitation and temperature statistics (total precipitation and mean monthly temperature)
32 over the historical 1950-1999 period. Furthermore, uncertainty is introduced when monthly

1 total precipitation and mean temperature are disaggregated to daily values. Thus, the
2 estimated IQR implicitly captures climate simulation and downscaling uncertainties, in
3 addition to explicitly representing model parameter uncertainty. The need to consider
4 uncertainties at each and every step of the process starting with, for example, downscaling
5 methods (statistical, dynamical or some combination of statistical and dynamical methods) is
6 a topic of ongoing research.

7 **4.2 Hydrologic and GEV model validation**

8 Since we used modelled VIC flows for flood analysis, there are two considerations for model
9 validation. First, we compare VIC simulated one day flood events to the observed unregulated
10 flow estimates (i.e. validating that our calibrated VIC model is accurately simulating flood
11 flows). Second, we compare the GEV modelled floods to the VIC simulated one day flood
12 events and the observed unregulated flow estimates (i.e. validating that the GEV models we
13 fit to the simulated data match both the observed unregulated flows and the VIC simulated
14 flows).

15 Although, unregulated flows are not available for the entire period of record, one-day
16 maximum unregulated flow estimates are available at Reno for six historical floods [USACE,
17 2013b]. Figure 3 plots the observed flow (blue triangle) with the one-day VIC flow that was
18 simulated using historical observed forcings from Maurer et al. [2002] (red triangle), and a
19 boxplot of the non-stationary GEV distribution for the same month generated using the same
20 monthly historical precipitation and temperature [i.e. Maurer et al., 2002]. Comparing first
21 the one day maximum VIC simulated flow with the observed flow the maximum percent
22 difference between the natural logarithm of simulated and observed flows is 12%. There does
23 appear to be a slight positive bias in the VIC simulations (i.e. VIC simulated flows are greater
24 than observed flood flows). Still, the simulated flood values (red circles) generally fall within
25 the interquartile range of the GEV distribution, except in the case of the February 2, 1963
26 flood and the January 2, 1997 flood.

27 In these instances the VIC simulation matches very closely (percent difference in the natural
28 logarithm of flows are 0.5% and 1.2% respectively) with the observed flow, however, the
29 GEV model underestimates the events. This discrepancy is caused by the flood timing. In
30 both cases the flood occurs at the very beginning of the month. In the GEV framework, the
31 precipitation and temperature are used as covariates for the flow of the same month. However,

1 for these storms, flooding is linked to precipitation and temperature in the month of flooding
2 and the preceding month. Therefore, the GEV model simulates the flood in the preceding
3 month and/or underestimates the flood magnitude if the precipitation is split between two
4 months. While this is a limitation for matching individual historical events, primarily timing,
5 it should not be a major concern for future projections. This is because, for the purposes of
6 risk calculations, it really doesn't matter in which month the GEV model simulates the flood
7 event as long as it realistically captures flood magnitude behaviour.

8 Comparing the GEV model distribution to the other observed floods (blue triangles), the
9 distribution encompasses the observed flood magnitude (within the 5th and 95th percentile)
10 for all except for two of the floods (1955 and 1963). For 1963, the VIC simulated and
11 observed floods are in close agreement (the difference between the natural logarithm of
12 simulated and observed flows is the smallest of any event at 0.5%) and the discrepancy with
13 the GEV model is consistent with the flood timing described above. The 1955 flood resulted
14 from 38 cm of melted snow combined with 33 cm of rainfall over a three day period [O'Hara
15 et al., 2007]. In the historical forcings used to drive the VIC model December 1955 has 75 cm
16 of precipitation which is the highest December precipitation value in the historical period. In
17 this instance, the VIC simulated flow falls within the interquartile range of the GEV model,
18 but the high monthly precipitation results in an overestimation of the flood magnitude. Again,
19 this is a limitation of using monthly forcings because the total December precipitation is used
20 as a covariate and not a storm specific value though in many cases the storm specific values
21 constitute the bulk of the monthly precipitation totals.

22 Figure 4 is a time series plot of VIC historical simulated flow along with the median and 5th
23 to 95th percentile flow of the GEV model. As would be expected from the model fit
24 demonstrated in Figures 2 and 3, Figure 4 shows that the VIC simulated flows are generally
25 close to the median GEV modelled flow and nearly always fall within the 5th to 95th
26 percentile range. Although there are differences in the simulation of individual events
27 discussed above, the median simulated flood magnitudes are only greater than the maximum
28 observed flood in two instances of the 300 historical months simulated.

29 In general, Figures 3 and 4 show that the VIC simulated flows match closely with the
30 observed floods (based on percent difference in the natural logarithm of flows) and that the
31 interquartile range of the GEV distributions encompass the observed and simulated flows in
32 most instances. Figure 3 does illustrate some of the complications in matching individual

1 events. However, based on analysis of the driving forces behind each individual event we are
2 able to explain and document the sources of these discrepancies. Based on this analysis we
3 conclude that the VIC model behaviour has a reasonable match with the natural system.

4 5 **4.3 Future flood risk**

6 Future flood risk is calculated using Equation 5b from Table 1. For the first part of this
7 analysis we define ‘flood’ as one-day flow exceeding 1,065 cms (37,600 cfs). This is the
8 maximum historical unregulated flow at Reno from the January 2, 1997 event and is
9 considered to be the design flood for flood protection infrastructure design. For each
10 simulation month (1950-2099 November –April) exceedance probabilities are calculated for
11 every climate projection (234 in total) using the selected non-stationary GEV models from
12 Table 3 (fit to the historical observations) and the projected monthly precipitation and
13 temperature. As detailed in the section 3.2, when exceedance probabilities are time dependent,
14 the flood risk (refer to equation 5b, Table 1) is a function of both the length of the design life
15 and the period of operation. Figure 5 plots the risk of flood versus project life for three time
16 periods, 1950 to 1999, 2000 to 2049 and 2050 to 2099. In other words, this is the risk of a
17 flood exceeding 1,065 cms in the next n years if you are standing in 1950, 2000 or 2050. The
18 median and interquartile ranges show the distribution of the 234 climate projections
19 simulated. Here we use the interquartile range, as opposed to the 5th and 95th percentile, to
20 focus on the central tendencies of each time period. Note that the ranges presented here
21 express the variability between climate projections. Uncertainty of future VIC model
22 simulations is not investigated here. For a detailed analysis of uncertainty in VIC simulations
23 the reader is referred to Elsner et al. [2014].

24 For both Farad and Reno there is a clear positive shift in flood risk between the three time
25 periods. In all cases the median risk for each subsequent time period falls outside the
26 interquartile range of the preceding time period although the prediction spread for Reno is
27 greater than Farad. It is important to note that the flood risk is actually higher at Farad than
28 Reno in both the historical and future periods despite the fact that the observed flow
29 distributions at the two stations are very similar (refer to Figure2). This shift between Farad
30 and Reno is caused by the differences in the shape parameters (refer to Table 3). Farad has a
31 relatively heavier tailed distribution (i.e., the GEV shape parameter for Farad is greater than

1 the shape parameter for Reno) and therefore flood risks are increased. The sensitivity of the
2 model parameters (and the associated flood risk) to small differences in the flow and covariate
3 distributions is further demonstrated by Figure 6.

4 Figure 6 presents the project life risk from Figure 5 for three project life periods (10, 20 and
5 30 years). Boxplots show the non-stationary model results for the 234 climate projections
6 with the different time periods compared side by side. Also, the risk calculated using a
7 stationary GEV model and a stationary LP3 model (i.e. the distribution prescribed by Bulletin
8 17B and fitted using L-moments [IACWD, 1982]) fit to the historical flow data are plotted for
9 reference (blue and red dashed lines respectively). Comparing between these three
10 approaches (non-stationary GEV, stationary GEV and stationary LP3) provides information
11 on the sensitivity of results to modelling approach and non-stationary parameters. For
12 instance, both stationary models are fit to the same historical simulated flows (one using MLE
13 and the other using L-moments) so differences between the stationary lines reflect the impact
14 of model choice and fitting approach on estimated risk. Conversely the stationary GEV
15 model (blue line) and the historical non-stationary models (grey boxplot) have the same
16 model form and cover the same time period; the only difference is the addition of covariates
17 to estimate model parameters. Thus differences between these two show the effect of model
18 parameter changes from the non-stationary approach. Finally, variability between the
19 boxplots for a given design period demonstrates the evolution of risk over time (i.e. the
20 impact of climate change on risk). The latter (i.e. changing risk over time), is the purpose of
21 this analysis, however before assessing change over time we must first discuss the impact of
22 model choice and parameters on risk estimates.

23 For both of the stationary methods, the risk increases with project life following equation (5a)
24 from Table 1. The distinction between these lines and the non-stationary approaches is that,
25 with the stationary approach, a single exceedance probability is calculated for the given flood
26 magnitude and this probability is assumed to remain constant throughout the design life. Also,
27 for both stationary approaches the model is fit directly to the historical one day maximum
28 flow distribution and no covariates are required (note that stationary models are not fit to the
29 future time periods because this would require future simulated flows). Comparing between
30 the GEV (blue line) and the LP3 (red line) stationary models there is a 10-20% increase in
31 risk between the two models. This difference is purely a function of model form and
32 highlights the sensitivity of the risk calculations to model choice.

1 Contrasting the difference between the stationary (blue line) and the non-stationary GEV for
2 the historical time period (grey boxplot) illustrates the effect of adding non-stationary
3 parameters to a given model form. Recall that in both cases the GEV model is fit to the
4 historical simulated flows. However, for the stationary approach, model fitting results in a
5 single set of parameters (location, scale and shape) whereas with the non-stationary approach
6 we derive the shape parameter and a set of coefficients for linear models to determine the
7 location and scale parameters based on precipitation and temperature values. Thus, for the
8 non-stationary approach, different location and scale parameters are calculated for every
9 historical cool season month and GCM model (234).

10 Overall, there is close agreement between the stationary (S) and average non-stationary (NS)
11 location parameters (6.55 S vs. 6.64 NS at Farad and 6.63 S vs. 6.78 NS at Reno). However,
12 for both gauges the scale parameter is lower with the non-stationary approach (1.30 S vs. 0.94
13 NS at Farad and 1.28 S vs. 0.96 NS at Reno). At Reno the shape parameter is similar (-0.24 S
14 vs. -0.27 NS), but at Farad the difference is somewhat larger (-0.24 S vs. -0.18 NS).
15 Differences in model parameters are reflected in the distance between the stationary GEV
16 model (blue line) and the median historical non-stationary GEV boxplots (centre of the grey
17 boxplots) in Figure 6. For Reno, the stationary line is closer to the historical boxplots.
18 However, at Farad, the non-stationary boxplots are consistently higher than the stationary
19 line. The larger differences between the stationary and non-stationary models for Farad result
20 from changes in the shape parameter between the stationary and non-stationary model fits.
21 This change demonstrates the sensitivity of model results to changes in model parameters.

22 As with Figure 5, Figure 6 shows significant increases in risk moving into the future and
23 subsequently larger differences between the stationary and non-stationary approach. By the
24 second future period the differences between the stationary and non-stationary models can be
25 as much as 50% or more. For both gauges difference in risk between the non-stationary and
26 stationary approaches grows over time, indicating greater potential to underestimate risk
27 looking further into the future if non-stationary parameters are not considered.

28 Results were also grouped by RCPs to analyse connections between greenhouse gas emission
29 rates and changes in flood risk. As shown in Figure 7, we observed no clear trend in flood risk
30 based on the different RCPs. This indicates that, for this flood statistic in this basin, the
31 variability between GCM model form and initial conditions likely overwhelms the influence
32 of greenhouse gas emissions when comparing between scenarios. Although we caution that

1 this is not a general finding, for this application, we show that the variability between
2 projections within any RCP scenario is larger than the difference between RCP scenarios.
3 Harding et al. [2012] also noted similar behaviour in their study of the Colorado River Basin.

4 Given the sensitivity of projected risk to model parameters, an obvious question is whether
5 increases in risk over time are similarly sensitive. For the 1,065 cms flood plotted in Figure 6,
6 the increased risk with added project life (i.e. 20 years vs. 10 years) is greater with the non-
7 stationary models than the stationary one at both stations. This is intuitive, given the increased
8 flood risk with time demonstrated in Figure 5 for the non-stationary models. Although, Farad
9 has higher overall risk, the relative increase in risk between time periods is similar between
10 the two stations. For example, the median ten year flood risk increases by 21% for Farad
11 comparing between the first (1950-1999) and second (2000-2049) time periods compared to
12 29% for Reno.

13 Next, analysis is expanded to a range of flood magnitudes. Figure 8 plots the flood risk over a
14 ten year project life starting in 1950, 2000, and 2050 for flood values ranging from 283 to
15 1,416 cms (10,000 to 50,000 cfs). As would be expected the ten year flood risk decreases
16 with increasing flood rate. The shapes of the curves are slightly different between Farad and
17 Reno; flood risk decreases more sharply with increased flow at Reno than Farad. Again this
18 behaviour is a function of the shape parameter of the respective GEV distributions. Despite
19 these differences, both gauges display clear shifts between time periods similar to Figure 5.
20 Here again, the median risk for each subsequent period consistently falls outside the
21 interquartile range of the preceding period.

22 Changes in the median flood risk (i.e. differences between the solid lines on Figure 8)
23 between each future period and the historical period are plotted in Figure 9 for both gauges.
24 As would be expected based on the qualitative differences in Figure 8, the shape of the Farad
25 and Reno difference curves are slightly different. However, the salient point for this analysis
26 is that the increased risk between periods is generally within 10% between the two stations.
27 Overall the increased risk between the first future period (2000-2050) and the historical
28 period (1950-1999) is between 10 and 20% for flows from 600 to 1,200 cms. Similarly, the
29 increased risk from the historical period to the second future period (2050-2099) is between
30 30 and 50%. Differences for the highest and lowest flows are difficult to assess because the
31 median is skewed for high and low flow values by the fact that the risk values are bound
32 between 0 and 100 percent.

1

2 **5 Summary and Conclusions**

3 The analysis presented is unique in its incorporation of non-stationary GEV analysis using
4 CMIP-5 projections and the design life level risk assessment concepts. We present our
5 findings as a relevant case study and an example application of recent developments in non-
6 stationary flood assessment. Lacking sufficient unregulated flow data we simulate historical
7 floods using the VIC model. Subsequently we use the simulated floods to fit non-stationary
8 GEV models with downscaled monthly precipitation and temperature as covariates. Although
9 there are some discrepancies between individual simulated and observed flood events, we
10 demonstrate that the VIC model adequately captures the range of flood magnitudes.
11 Furthermore, we show that that the GEV modelled historical floods are in good agreement
12 with both the VIC simulated floods and the published flood events [USACE, 2013b].

13 Discrepancies between historical and simulated events often result from the monthly time step
14 used for covariates. This can affect the ability to model floods that are generated by
15 precipitation that occurs in two months. Also, because the climate variables are monthly
16 aggregates, and not event based, large floods can be generated in months with high
17 precipitation even if that precipitation does not occur in one concentrated event. Despite these
18 differences, comparison with historical flood events demonstrates that the GEV model does
19 reasonably well at simulating historical flood magnitudes, even if some individual historical
20 events are not matched exactly.

21 Using the derived non-stationary GEV models, we generate flood distributions for 234
22 CMIP5 climate projections from 1950 to 2099. For the historical one-day design flood
23 magnitude of 1,065 cms, results show significant increases in the frequency of high flow
24 events in the future. From a water management standpoint this finding translates directly to
25 increased flood risk. For example, we calculate a 21% (29%) increase risk of a 1,065 cms
26 flood over a 10 year design life for Farad (Reno) from the historical time period to the first
27 future period, and similar increases from the first future period to the second. Increased risk
28 between time periods is also relatively consistent for longer design life periods and similar
29 shifts in flood risk are noted across a range of flood magnitudes. For both stations the
30 increased risk from the historical to the first future period is between 10 and 20% and from
31 the historical to the second future period is between 30 and 50% for floods ranging from 600
32 to 1,200 cms.

1 The significant increases in flood risk through time indicate the importance of non-stationary
2 flood frequency analysis for future infrastructure planning and the potential to underestimate
3 risk when stationarity is assumed. For both stations the difference between the stationary and
4 non-stationary approach increases over time. By the second future period (2000-2049),
5 differences in risk calculations between the stationary and non-stationary models can be 50%
6 or larger. This finding is in keeping with a number of recent studies [e.g. Griffis and
7 Stedinger, 2007; Katz et al., 2002; Towler et al., 2010] that have highlighted potential
8 applications for non-stationary analysis of flood frequency.

9 An important consideration for this approach is the sensitivity of results to model parameters.
10 In all cases the flood risk is higher at Farad than Reno due to the relatively heavier tailed
11 distribution that was fit. Estimated model parameters differed by station despite the fact that
12 the flow, precipitation and temperature distributions for both locations are very similar.
13 While these changes effected the overall risk projections the relative increase in risk over time
14 remained consistent between stations. This indicates that the more robust metric from this
15 analysis is the relative increase in flood risk and not the absolute values. This finding is
16 further supported by the fact that absolute flood risk estimates could be impacted by model
17 bias. By focusing on differences in risk we specifically highlight the impact of non-
18 stationarity on risk assessment, as opposed to parameter sensitivity. Similarly, it is important
19 to note that this analysis is based on natural flow estimates and does not include infrastructure
20 development or operation, and results indicate the potential increase in the underlying natural
21 flood risk in the UTRB over the 21st century.

22

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- 15

1 **Table 1: Flood calculations using stationary and non-stationary distributions (adapted**
 2 **from Salas and Obeysekeru [2014])**

Eqn. #	Description	a. Stationary	b. Non Stationary
1	Exceedance probability (Probability of flood ¹ occurring in year x)	p	p_x
2	Probability of the first flood occurring in year x ²	$f(x) = (1 - p)^{x-1}p$	$f(x) = p_x \prod_{t=1}^{x-1} (1 - p_t)$
3	Probability of a flood occurring before year x ³	$F(x) = \sum_{i=1}^x f(i)$	
		$F(x) = 1 - (1 - p)^x$	$F(x) = 1 - \prod_{t=1}^x (1 - p_t)$
4	Return Period (Expected waiting time between flood occurrences ^{4,5})	$E(X) = \sum_{x=1}^{\infty} x * P(X = x)$	
		$E(X) = 1/p$	$E(X) = 1 + \sum_{x=1}^{x_{max}} \prod_{t=1}^x (1 - p_t)$
5	Probability of a flood occurring before the design life n ⁶	$R = P(X \leq n) = F(n)$	
		$R = 1 - (1 - p)^n$	$R = 1 - \prod_{t=1}^n (1 - p_t)$

3

4 ¹ Flood is defined as a flow exceeding a predefined threshold

5 ² $f(x)$ = Probability density function of X

6 ³ $F(x)$ = Cumulative distribution function of X

7 ⁴ X = Random variable denoting the waiting time for the first flood occurrence

8 ⁵ x_{max} = Time when p_x equals 1

9 ⁶ n = The length of the time period over which flood risk is calculated

10

11

1
2 Table 2: Negative log likelihood(NLLH) and Akaike information Criterion (AIC) scores
3 for each model, as well as the deviance statistics (D) of pairwise comparisons of
4 different model configurations (P = precipitation only, T= temperature only P&T= both)
5 and the p-values of each D score based on a chi-squared distribution. The number of
6 parameters in each model and the models used for comparison are listed at the bottom
7 of the table. The selected model for each station is shaded in grey.

Station	Metric	Stationary 1	Non stationary Location			Non stationary Scale			Non stationary Location and		
			P & T 2	P 3	T 4	P & T 5	P 6	T 7	P & T 8	P 9	T 10
Farad	NLLH	508.9	422.9	467.1	499.7	487.3	500.9	506.5	416.4	462.2	496.9
	AIC	1023.7	855.9	942.3	1007.4	984.6	1009.8	1021.1	846.8	934.4	1003.8
	D		171.8	83.4	18.3	43.1	15.9	4.7	13.0	9.9	5.7
	p-value of D		<0.05	<0.05	<0.05	<0.05	<0.05	<0.05	<0.05	<0.05	<0.05
Reno	NLLH	505.4	418.4	462.5	496.0	484.4	497.6	503.1	408.8	457.4	493.2
	AIC	1016.8	846.8	932.9	1000.0	978.8	1003.2	1016.1	831.7	924.8	996.5
	D		174.0	85.9	18.8	42.0	15.6	4.7	19.1	10.1	5.5
	p-value of D		<0.05	<0.05	<0.05	<0.05	<0.05	<0.05	<0.05	<0.05	<0.05
# of model parameters		3	5	4	4	5	4	4	7	5	5
Model # compared to for pval			1	1	1	1	1	1	2	3	4

8

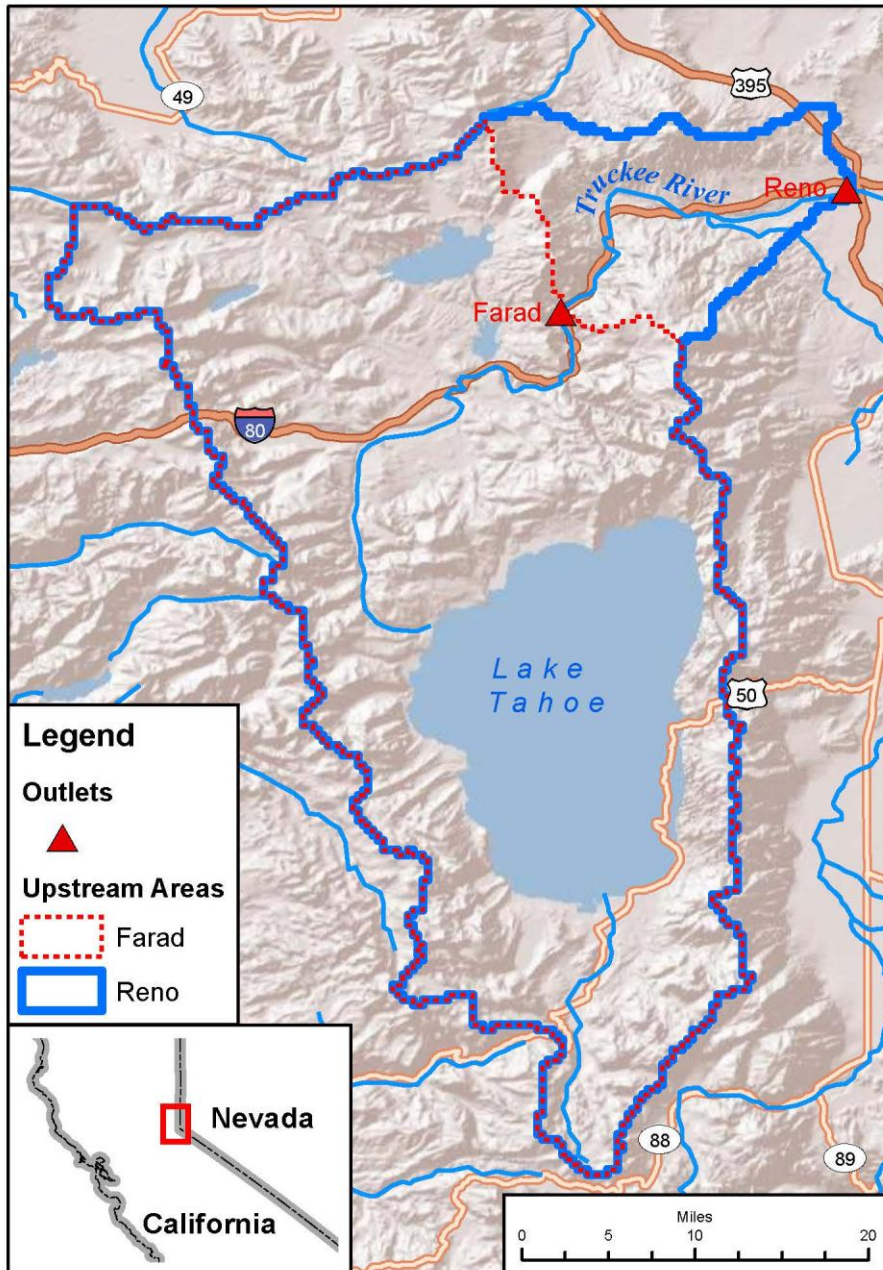
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2 Table 3: Summary of derived model covariates for equations 2 and 3 based on historical
3 observations (Historical Observed) and using historical simulated data from the 234
4 CMIP 5 Projections (Historical Simulated Interquartile Range, IQR).

5

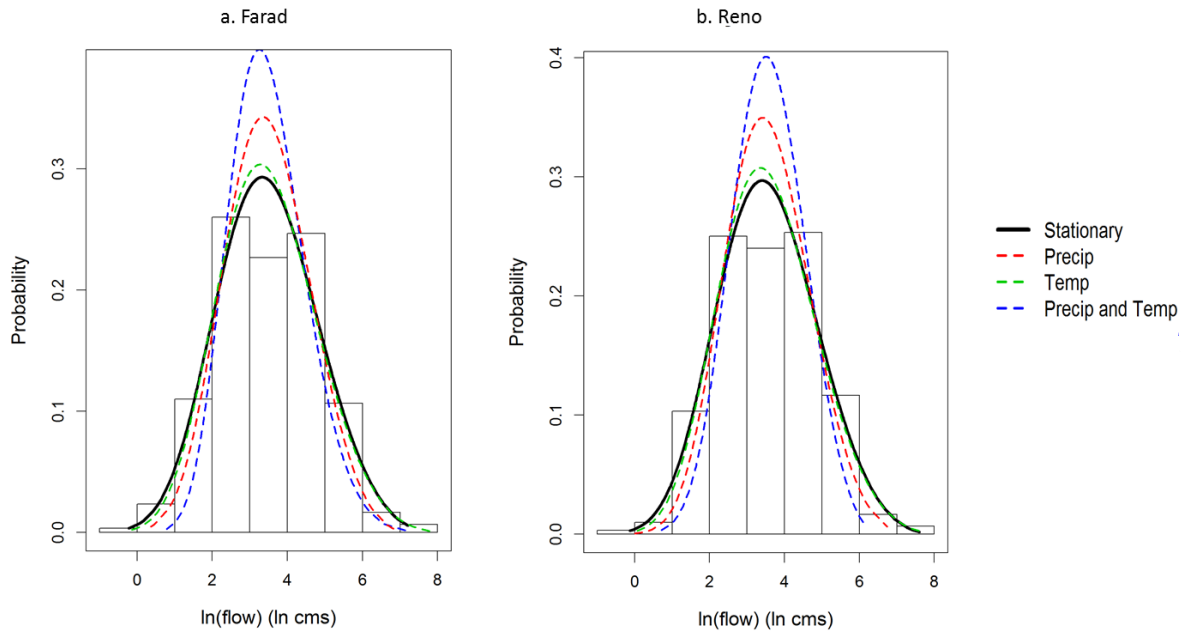
	Farad			Reno		
	Historical Observed	Historical Simulated IQR		Historical Observed	Historical Simulated IQR	
$\beta_{0\mu}$	2.155	1.738	4.794	2.582	2.135	4.827
$\beta_{1\mu}$	0.175	0.053	0.148	0.180	0.066	0.152
$\beta_{2\mu}$	0.115	0.046	0.138	0.105	0.046	0.124
$\beta_{0\sigma}$	0.211	0.517	1.673	0.530	0.569	1.748
$\beta_{1\sigma}$	-0.013	-0.020	0.006	-0.018	-0.023	0.008
$\beta_{2\sigma}$	0.027	-0.012	0.022	0.017	-0.015	0.019
Shape (ξ)	-0.178	-0.389	-0.094	-0.275	-0.389	-0.070

6



1
 2 Figure 1: Map of model domain including the Farad and Reno gauges and their drainage
 3 areas.
 4

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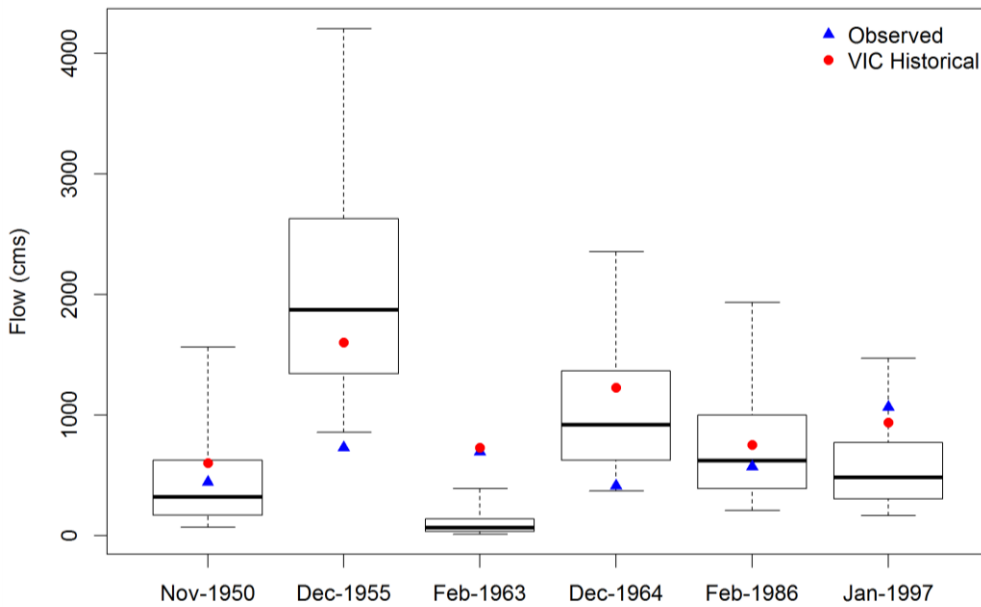


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3 Figure 2: PDFs of fitted stationary (solid black) and non-stationary (dashed) GEV models
4 compared to historical VIC simulated flow histogram.

5

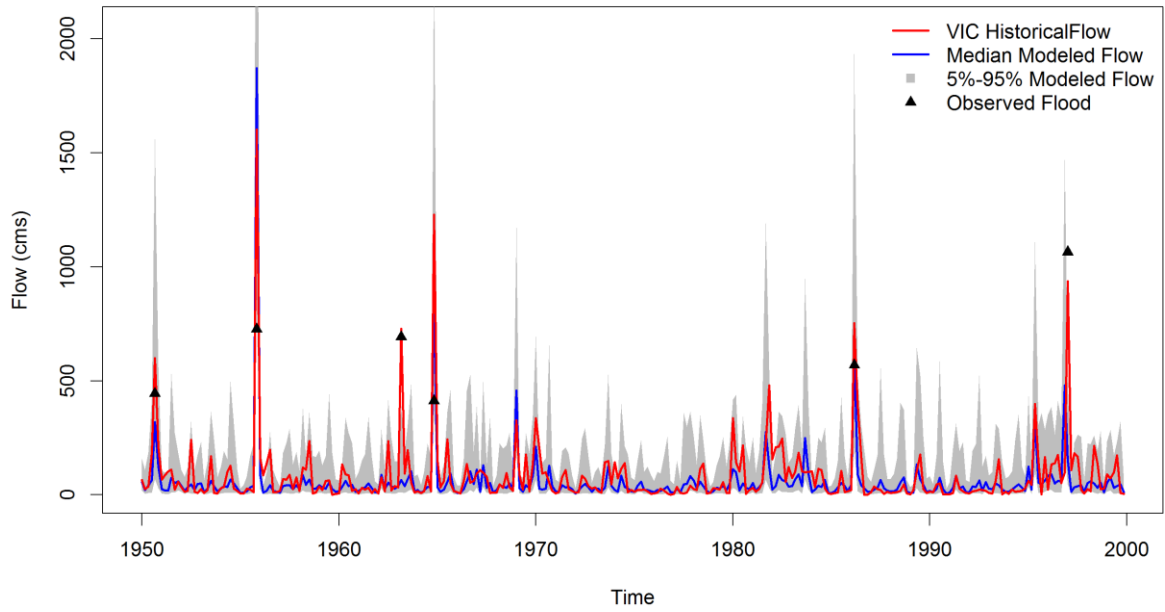
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8 Figure 3: 'Observed' unregulated flow estimated from gauge records (blue triangle)
9 compared with VIC simulated flow (red circles) and the simulated GEV distribution.
10 Boxes span the 25th to 75th percentile of the GEV distribution for a given month and the
11 whiskers extend to the 5th and 95th percentiles.

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Figure 4: VIC simulated one- day flood maximums for November through April 1950 to 1999 (red lines) compared with the historical GEV distributions (blue line is median and grey shading is the 5th to 95th percentile range) and the six observed flow rates.

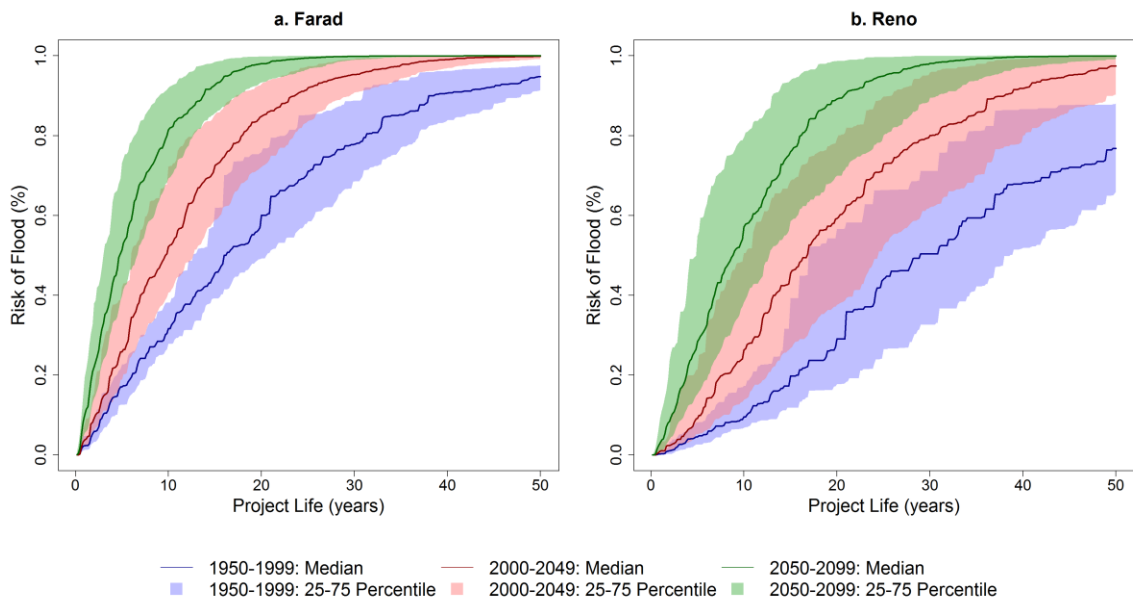


Figure 5: Probability of one day flood exceeding historical maximum of 1,065cms (risk) at Farad and Reno. Solid lines represent the median risk of the 234 climate projections and shading covers the interquartile range (i.e. 25th to 75th percentile).

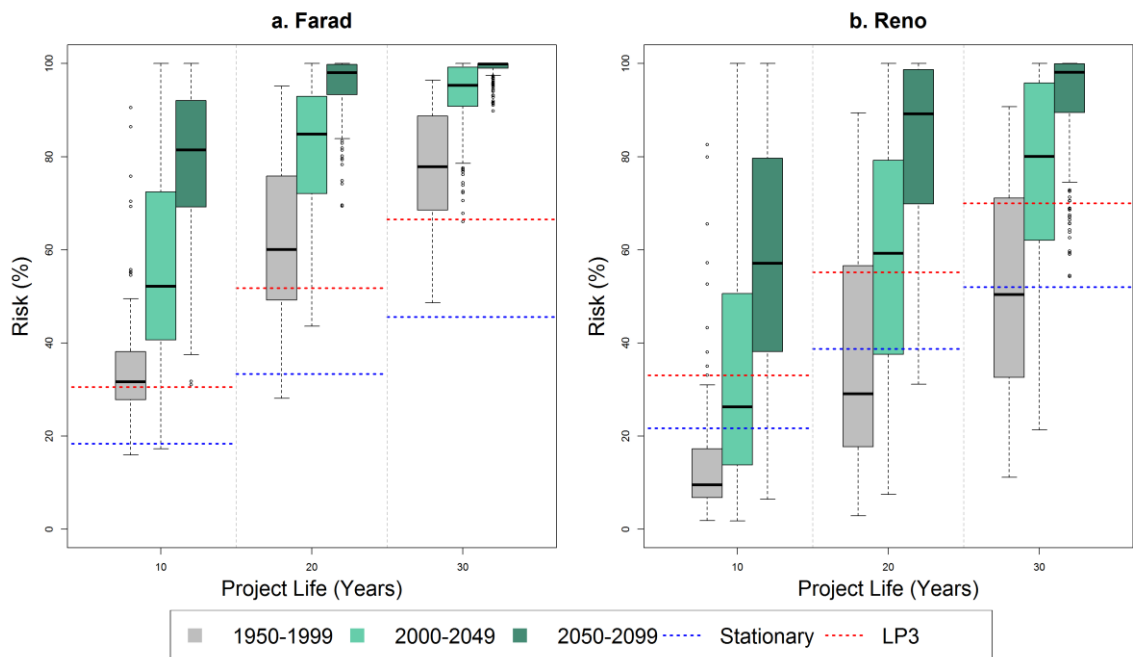
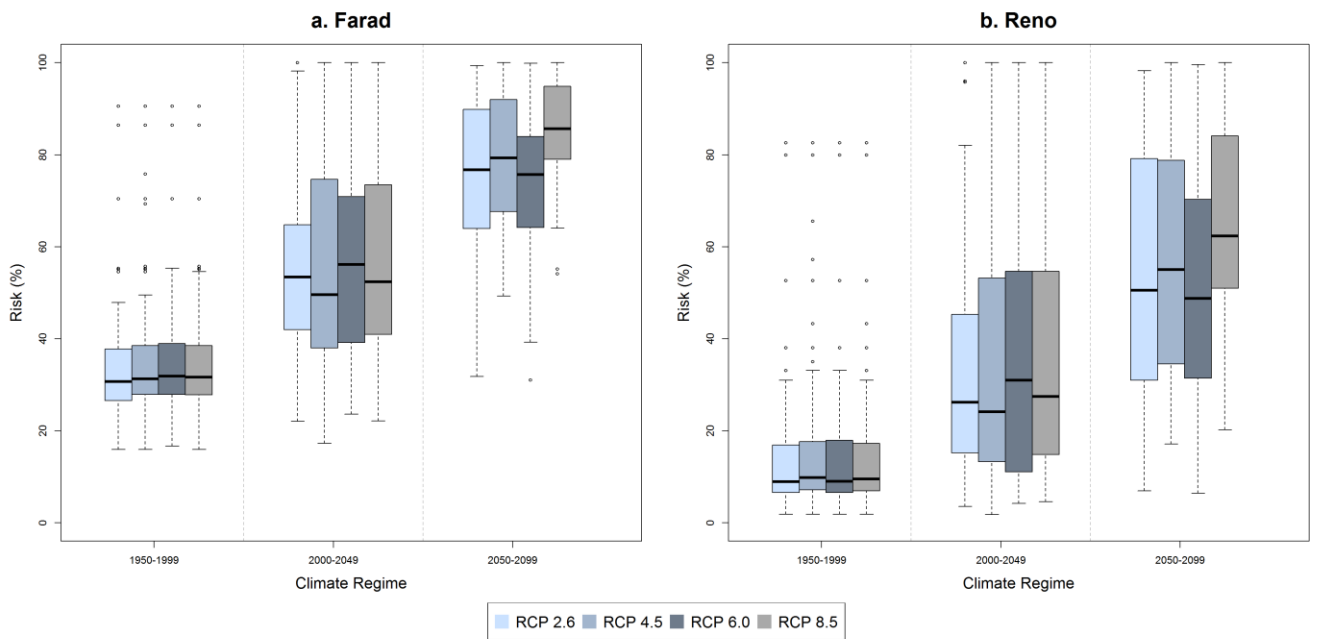
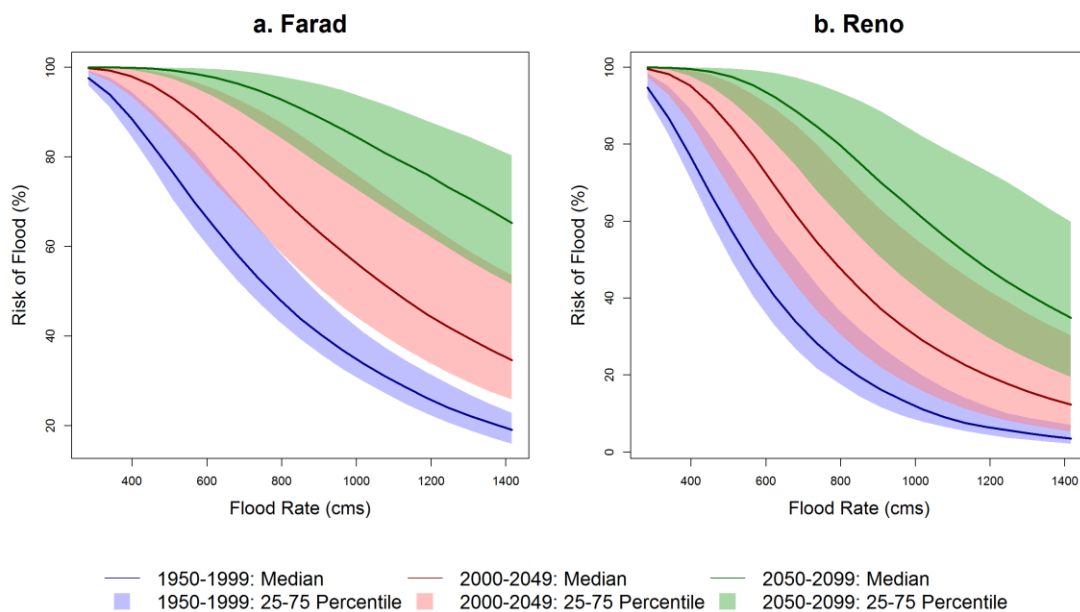


Figure 6: Boxplots of the probability of a one-day flood exceeding 1,065cms (risk) for three project life lengths (10, 20 and 30 years). Results are grouped by time period (1950-1999, 2000-2049 and 2050-2099). Blue dashed lines show the flood risk calculated from the stationary GEV model fit to the historical data.

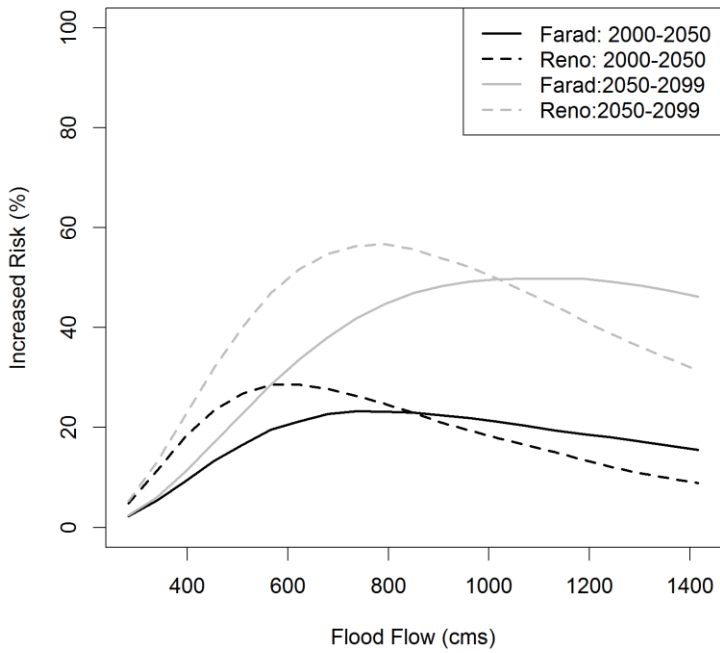


1
 2 Figure 7: Boxplots of the probability of a one-day flood exceeding 1,065cms (risk) in ten
 3 years for three fifty year periods. Results are grouped by the Representative
 4 Concentration Pathway (RCPs) used to drive the GCM projection. RCP 8.5 has the largest
 5 increase in greenhouse gas concentrations and RCP 2.6 the least.
 6



7
 8 Figure 8: Probability of flood in a ten year project life (risk) vs. median one day flood
 9 rate (a) Farad and (b) Reno for three time periods 1950-1999 (blue), 2000-2049 (red)
 10 and 2050-2099 (green). Solid lines represent the median of the 234 climate projections
 11 and shading covers the interquartile range (i.e. 25th to 75th percentile).

1



2

3 Figure 9: Increased probability of flood occurrence for a 10 year project life (risk) from
4 the historical period (1950-1999) to each of the two future periods 2000-2050 (black)
5 and 2050-2099 (grey). Farad is plotted with a solid line and Reno is a dashed line.

6