1	<b>Evaluation of Surface Properties and Atmospheric Disturbances Caused by</b>
2	Post-dam Alterations of Land-use/Land-cover
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#### Abstract

This study adopted a differential land-use/land-cover (LULC) analysis to evaluate dam-triggered 27 land-atmosphere interactions for a number of LULC scenarios. Two specific questions were 28 addressed: 1) can dam-triggered LULC heterogeneities modify surface and energy budget which, 29 in turn, change regional convergence and precipitation patterns? and 2) how extensive is the 30 modification in surface moisture and energy budget altered by dam-triggered LULC changes 31 occurring in different climate and terrain features? The Regional Atmospheric Modeling System 32 (RAMS, version 6.0) was set up for two climatologically and topographically contrasting 33 regions: the American River Watershed (ARW) located in California and the Owyhee River 34 Watershed (ORW) located in eastern Oregon. For the selected atmospheric river precipitation 35 36 event of Dec 29 1996 to Jan 03 1997, simulations of three pre-defined LULC scenarios are performed. The definition of the scenarios are: 1) the *control* scenario representing the 37 38 contemporary land-use, 2) the *pre-dam* scenario representing the natural landscape before the 39 construction of the dams and 3) the non-irrigation scenario representing the condition where previously irrigated landscape in the *control* is transformed to the nearby land-use type. Results 40 41 indicated that the ARW energy and moisture fluxes were more extensively affected by dam-42 induced changes in LULC than the ORW. Both regions, however, displayed commonalities in 43 the modification of land-atmosphere processes due to LULC changes, with the control - nonirrigation scenario creating more change than the control - pre-dam scenarios. These 44 commonalities were: 1) the combination of a decrease in temperature (up to  $0.15^{\circ}$ c) and an 45 increase in dew-point (up to  $0.25^{\circ}$ c) was observed, 2) there was a larger fraction of energy 46 partitioned to latent heat flux (up to  $10 \text{ W/m}^2$ ) that increased the amount of water vapor to the 47 atmosphere and resulted in a larger convective available potential energy (CAPE), 3) low level 48

49 wind flow variation was found to be responsible for pressure gradients that affected localized 50 circulations, moisture advection and convergence. At some locations, an increase in wind speed 51 up to 1.6m/s maximum was observed, 4) there were also areas of well developed vertical 52 motions responsible for moisture transport from the surface to higher altitudes that enhanced 53 precipitation patterns in the study regions.

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Key words: land-use/land-cover (LULC), land-atmosphere interactions, Regional Atmospheric
Modeling System (RAMS), dams, Owyhee dam, Folsom dam.

## 58 1. Introduction

LULC modifications, in the post-dam era, often lead to changes in land-surface (soil 59 properties) and vegetation characteristics such as albedo, root distribution and roughness height 60 (Beltran, 2005; Narisma and Pitman, 2003). For instance, Narisma and Pitman (2003) pointed 61 out that conversion of a tree into grass reduces leaf area index (LAI), increases albedo and 62 decreases roughness length. Zhao and Pitman (2002) found out that the change in vegetation 63 cover from forest to grass and crops causes a large reduction in roughness height resulting in an 64 increase in low-level wind fields. From a hydrometeorological point of view, such 65 transformations affect the available water flow regime that influences soil moisture and 66 precipitation. These changes also regulate the partitioning of energy between sensible and latent 67 68 heat, boundary layer structures, local air temperature and wind patterns (Betts et al., 1996; Sud 69 and Smith, 1985; Zhang et al., 1996; Zhao and Pitman, 2002).

Irrigation practices, which are one of the major post-dam LULC changes, for instance, can 70 modify not only the precipitation pattern but also the surface moisture and energy distribution, 71 which alter boundary layers and regional convergence, as well as mesoscale convection (Douglas 72 et al., 2009). Irrigation has also an effect of cooling the ambient surface and near-surface 73 temperature by decreasing the sensible heat fluxes and increasing latent heat fluxes (Boucher et 74 al., 2004; Eungul et al., 2011), thus increasing the convective available potential energy (CAPE) 75 (Pielke, 2001). The added moist enthalpy from irrigation tends to create strong spatial gradients 76 77 of CAPE with respect to the surrounding non-irrigated landscape, which in turn can produce localized wind circulations. This process can enhance the likelihood of convective precipitation. 78

Another component of the post-dam induced LULC modification can be downstream urbanization. In urban landscapes, surface properties drastically are modified resulting in a modification of the energy budget and precipitation distribution (Shepherd, 2005). There is also an increase in surface roughness as compared to a previously uninhabited area. This increase in surface roughness creates a slower near-surface wind that facilitates convergence and assists in convective cell formation. Surface albedo also is modified as a result of the altered surface conditions due to urbanization.

It is plausible that the future points to a continuing trend for construction of more dams to satisfy societal demands for water and flood disaster alleviation, particularly in the developing world (Graf, 1999). As a result, LULC changes will also accelerate in the 21<sup>st</sup> -century (Pitman, 2003). The pressing issue, however, is how to create a scientifically credible link among the LULC changes that occur after the construction of a dam, the associated alteration in the landsurface properties and their interaction with atmospheric conditions.

The underlying objective of why the need arises to assess anthropogenic-land-atmosphere 92 interactions should be perceived from the effect such assessments have on the formation and 93 modification of precipitation. According to Georgescu (2008), the positive feedback created by 94 the complex land-atmosphere interactions within the planetary boundary layer (PBL) establish a 95 physical pathway for the enhancement of precipitation. Precipitation by itself can serve as a 96 feedback mechanism (through the soil-precipitation feedback) by allowing for more soil 97 98 moisture storage and further moisture supply through physical evaporation and transpiration, and precipitation recycling (Schar et al., 1998). Betts et al. (1996) also suggested that there is a 99 positive feedback between soil moisture, surface evaporation and precipitation. This loop of 100

101 complex interrelationship warrants the evaluation of all aspects of processes involved within the102 PBL in addition to precipitation.

In recent years, the scientific community has given attention to the impacts induced by LULC changes (such as irrigation and urbanization) on weather and climate. However, only a few quantitative and numerical modeling assessments address the effects of the combined changes that are apparent due to the presence of dams (Hossain et al., 2012; Degu and Hossain, 2012; DeAngelis et al., 2010, Woldemichael et al., 2012; Woldemichael et al., 2013) and contrasting settings. There remains a large gap in understanding the post-dam feedbacks due to LULC variability on surface properties and atmospheric disturbances.

Numerical modeling approaches, in a wide range of LULC scenarios, have been used to 110 111 evaluate localized atmospheric disturbances. For instance, the regional atmospheric modeling system (RAMS) was applied for the assessment of interactions between atmospheric processes, 112 such as mesoscale circulations and cloud formations, and land surface processes, such as heat 113 114 and moisture fluxes from a set of different LULC scenarios (Stohlgren et al., 1998). The model was also implemented to evaluate the influence of anthropogenic landscape changes on the 115 atmospheric conditions in South Florida (Pielke et al., 1999). The hydrometeorological effects of 116 land-use heterogeneities on various spatial and temporal scales have also been modeled using 117 118 different types of atmospheric models (Narisma and Pitman, 2006; Schneider et al., 2004; Marshall et al., 2010; Douglas et al., 2006; ter Maat et al., 2013). 119

120 This study focuses on the evaluation of human-land-atmosphere interactions, through a 121 differential LULC change analysis, for a number of pre-defined LULC scenarios using the 122 regional atmospheric modeling system (RAMS). The study tries to address the associated

123 atmospheric disturbances due to variations in LULC properties that occur after dam construction 124 for regions of different climatic zones. Moreover, the following two specific questions were 125 addressed: 1) *can LULC heterogeneities that result due to the presence of a dam modify surface* 126 *and energy budget which, in turn, change regional convergence and precipitation patterns?* and 127 2) *how extensive is the modification in surface moisture and energy budget altered by LULC* 128 *changes near artificial reservoirs occurring in different climate and terrain features?* 

Previous works reported in Woldemichael et al. (2012; 2013) investigated effects of land-use 129 heterogeneities on modification of extreme precipitation for the same regions. Those studies 130 reported that there was discernible alteration of extreme precipitation that resulted from the dam-131 induced changes in LULC. Findings of the present study allow for comparisons of the role of the 132 133 localized mesoscale circulations against the changes observed in the extreme precipitation patterns. The previous two works focused entirely on a numerical modeling approach to estimate 134 135 extreme precipitation (EP) and discusses about how the engineering community can benefit from 136 such approaches in a changing climate situations. In this paper, particular emphasis is made on 137 the actual storm patterns which has very little to do with extremes. It is tried to addresses the 138 behavior of storm dynamics and how this behavior is affected in a changing LULC situation.

As a broader impact, such findings can assist engineers and managers to establish weather and climate monitoring protocols, in addition to existing observation platforms, on regions where dam-induced LULC changes are prominent. The paper is organized as follows: section 2 presents the study region. Section 3 explains the data and methods used in the study. Section 4 discusses the results. Finally, section 5 presents the conclusions and recommendations of the study.

# 145 2. Study Regions

Based on climatological and topographical contrasts, the Folsom dam and reservoir on 146 the American River, windward of the Sierra-Nevada, and the Owyhee dam and reservoir on the 147 148 Owyhee River, leeward of the Cascades, were selected for this study. The Folsom dam is located about 20 miles northeast of the city of Sacramento, California (Ferrari, 2005). The 149 reservoir impounds the American River above Folsom dam that covers a watershed area of 4823 150 km<sup>2</sup> (U.S. Army Corps of Engineers, USACE, 2005). The major purposes of the reservoir 151 152 include irrigation, water supply, power generation, flood control and recreation. The climate of the American River watershed (ARW) is predominantly continental that receives rain primarily 153 during the winter season (http://www.eoearth.org/article/). 154

The Owyhee dam, on the other hand, is located in Malheur County, Oregon and its reservoir impounds a watershed area of 26,617 km<sup>2</sup> (U.S. Bureau of Reclamation, USBR, 2009). The major purpose of the reservoir is to irrigate the arid deserts occupied by the Owyhee irrigation district. Other purposes also include flood damage reduction, fishery, recreation and hydropower. The Owyhee River watershed (ORW) predominantly belongs to dry (arid) climate that receives little or no precipitation during most of the year.

161 The Folsom dam and the Owyhee dam became functional in 1955 and 1932, respectively. 162 During the post-dam era, the natural landscape altered significantly in both regions where land 163 was converted to irrigated agriculture and downstream regions became more urbanized. Figure-164 1 shows the contemporary LULC of both ARW and ORW along with the simulation domains as 165 of 2003. The post-dam era had also experienced extreme flood events that resulted in 166 unprecedented damage in life and property. For instance, both regions were highly affected by

the 1996-97 flood (the so-called the *new-year's* eve flood) where very heavy precipitation
generated a devastating runoff that triggered a relook of management and operation of the dams.

The common underlying hydrometeorological factor that contributed to the 1996-97 169 flooding episode was the presence of "Atmospheric Rivers" (AR's), which accounted for 170 171 advective transport of water vapor along highly concentrated streamlines (Dettinger et al., 2012). The AR's that extended over much of California and the Pacific Northwest, when 172 assisted with a strong low-level wind, carried large amount of moisture from the Pacific Ocean 173 that eventually precipitated inland. In this study, we put forward the premise that dam-induced 174 175 LULC changes during the post-dam era may have further influenced the storm through humanland-atmosphere feedback mechanisms. We hypothesize that these LULC changes played a role 176 in modifying the surface properties and atmospheric circulations creating a path way for 177 precipitation intensification for the 96-97 event. Accordingly, this study selected the 1996-97 178 179 heavy precipitation episode. Moreover, the 1996-97 flood episode is consistent with the flood period studied in previous separate works of extreme precipitation modification on ARW and 180 ORW (Woldemichael et al., 2012; 2013). Consistency in the study periods allowed us to 181 182 explore a relationship among the observed extreme precipitation and the forcings and feedbacks for the precipitation formation. Moreover, the winters in these regions are favorable seasons for 183 crops that cannot take the summer heat and hence the anticipated LULC change is also there in 184 the winter time. 185

186 **3. Data and Methods** 

### 187 **3.1 Land-use/Land-cover (LULC) scenarios**

Figure-1 shows the existing state of the LULC in the respective study regions as per the
MODIS land cover type product (MCD12Q1, https://lpdaac.usgs.gov/). The MODIS-LULC,

with a footprint of 500m×500m, uses a supervised classification algorithm that is estimated by
utilizing database of high quality land cover training sites developed using high resolution
imagery (Muchoney et al., 1999).

The first LULC scenario, the *control* (as shown in Fig.1 top panel), represents the contemporary landscape of the study regions. In order to separate out the influence of the irrigated agriculture on land-atmosphere interaction the second scenario represented the *nonirrigation*. Finally, the third scenario, the *pre-dam*, assimilated the no-dam/reservoir condition with the natural (undisturbed) landscape. These LULC scenarios are established based on the hypothesis that most anthropogenic changes around dams are prominent right after the dam becomes functional (i.e. the post-dam represented by the *control* scenario in this case).

In order to represent the non-irrigation scenario, irrigation extent was initially extracted 200 201 from the global maps of irrigated areas from the Oak Ridge National Laboratory Distributed Active Archive Center (ORNL DAAC) for biogeochemical dynamics data source (also found at 202 http://webmap.ornl.gov/). The initial extractions are shown in Fig. 2a and 2c both for ORW and 203 204 ARW, respectively. The grid cell units are provided as percentage coverage and, in this study, regions with 50% or more irrigation coverage in each grid cell are predominantly assumed to be 205 206 irrigated. This kind of approach has also been previously adopted in the works of Douglas et al. (2009), where they assumed a threshold of 50% or more as irrigated cropland. Accordingly the 207 irrigated patch was generated with this assumption and is shown as an overlay map (Fig. 2b and 208 209 2d). To represent the *non-irrigation* scenario, this land coverage is converted to the nearby land cover type (woody savanna in case of ARW and grassland in case of ORW). The urban area is 210 211 also hypothetically assumed to be converted accordingly.

212 In order to represent the *pre-dam* scenario, there were a set of steps followed in the process of re-creating the 1950's LULC for ARW and the 1930's LULC for ORW, respectively. 213 The transformations were made in closer proximity to the respective watersheds. First, the pre-214 215 dam land-use for both regions was extracted from the History Database of the Global 216 Environment (HYDE) website (also available at http://themasites.pbl.nl/tridion/en/themasites/hyde/download/index-2.html). 217 HYDE was developed under the authority of the Netherlands Environmental Assessment Agency and 218 presents gridded time series of population and land-use for the last 12,000 years. According to 219 220 HYDE, land-use was allocated as *cropland* and *grassland* under six assumptions mentioned on 221 the HYDE site, and in this study, the 1950's land-use for ARW and the 1930's land-use for ORW were extracted. The percent coverage of each crop and grass is spatially represented in 222 223 Fig. 3a & 3c for ORW and Fig.4a & 4c for ARW. The analysis was made with the aid of the Geographical Information System (GIS). 224

225 Second, the representation of the cropland and grassland was made by considering which one of the two dominated in each grid cell (by considering the grid cells having more than 75%226 227 of coverage to be representative). For instance, from Fig. 3c and Fig. 4c, the maximum percent coverage for grassland is 32% for ORW and 36% for ARW, respectively and it is assumed that 228 24% or more (i.e. 75% of the Maximum) for ORW and 27% or more (i.e. 75% of the maximum) 229 for ARW are considered predominantly grasslands. These transformations are indicated by the 230 green patches in Fig. 3d and the hatches in Fig. 4d. However, in case of the ORW, the grassland 231 232 coverage that was predominant in the pre-dam persisted in the post-dam era (the 2003 LULC 233 shown in Fig. 3d), hence, no transformation was required for it. For cropland, 50% in grid cell or more for both regions was considered as predominant (Fig. 3b and Fig. 4b). The pre-dam 234

extents of the city of Sacramento downstream of Folsom dam and Boise City downstream ofOwyhee dam are also included in the merged LULC representation.

Finally, merging procedure between the current land-use and the re-constructed croplands and grasslands as well as the urban regions was performed. The fact that there are only two broad classifications in the HYDE scheme (i.e. cropland and grassland), allows for the HYDE's  $\sim 82 \text{km}^2$  (9×9 km) grid extent to be merged with the fine-tuned (current) LULC used for the analysis. Tables 1 and 2 represent percentage coverage of the LULC classes in each of the considered scenarios along with the vegetation parameters for each class.

## 243 **3.2 Atmospheric Model**

For this study, we used the Regional Atmospheric Modeling System (RAMS-version 6.0). RAMS was developed to investigate cloud and land surface atmospheric phenomena and interactions, among other atmospheric weather features (Pielke et al., 1992; Tremback et al., 1985). RAMS is most often used as a limited area model, and many of its parameterizations have been formulated for high resolution mesoscale grids. The model has been extensively used to model detailed land-use descriptions and various land use scenarios and their interactions with the atmosphere (Pasqui et al., 2000; Douglas et al., 2009; Woldemichael et al., 2012; 2013).

The grid domains used for this study are shown in Fig. 1. In both regions, a nested grid configuration was adopted. In ARW, the coarser gird (Grid-1) consisted of 60×40 grid points at 10km intervals and it covered much of the northern California, part of western Nevada and small portion of the eastern Pacific Ocean. The nested grid (Grid-2) had 62×62 grid points spaced at 3.3km interval and covered all of the ARW. In ORW, the coarser grid (Grid-1) consisted of 66×66 grid points at 10km grid intervals and covered portions of Oregon, Idaho, and Nevada.

The nested grid (Grid-2) consisted of 86×86 grid points at 3km grid intervals and falls over the ORW. In both regions, 30 vertical levels were assigned with a vertical grid spacing of 100m at the ground. The grid stretch ratio used was 1.15 up to 1.5km and kept constant from there on up to the model top. In both cases, a 20 second time step was set for the Grid-1 and a 5 second for Grid-2.

In order to represent the land-atmosphere interaction in the model, the recent version of the Land-Ecosystem-Atmosphere Feedback model (LEAF-3) was used (Walko and Tremback, 2005). Accordingly, 11 soil layers, 1 snow layer and 10 patches per grid cell for vegetation were assigned. The level-3 cloud microphysics scheme was adopted for this study (Meyers et al., 1997). Lateral boundary condition was represented by Klemp and Wilhelmson scheme (Klemp and Wilhelmson, 1978).

268 Through a set of ensemble experiments for both regions (not shown here), a combination of cumulus parameterization and radiative schemes that best represent an observed spatial 269 precipitation pattern were selected. These results were independently reported in the works of 270 271 Woldemichael et al. (2012) for ARW and Woldemichael et al. (2013) for ORW and the reader is encouraged to refer to those works. Accordingly, the short- and long-wave radiative transfer 272 parameterization for both regions was furnished through the Harrington scheme (Harrington, 273 1997). The Kain-Fritsch (1993) convective parameterization was used for deep cumulus clouds 274 in ORW, while the Kuo parameterization scheme was adopted for ARW (Kuo, 1974). The 275 reason for using the relatively old Kuo parameterization for ARW was based on previous works 276 of Castro (2005) which suggested that the Kain-Fritsch scheme generally overestimated 277 precipitation in steep topography regions. 278

The inputs for RAMS model initialization were furnished by the National Center for Environmental Prediction/ National Center for Atmospheric Research (NCEP/NCAR) reanalysis data (Kalnay et al., 1996). The surface characteristic datasets were obtained from the Atmospheric-Meteorological and Environmental Technologies (ATMET) data archive (available at http://www.atmet.com). These datasets include digital elevation model (DEM) data at 30s (~1km) spatial increments, soil moisture at various levels, the Normalized Difference Vegetation Index (NDVI), sea surface temperature (SST), and LULC.

### 286 4. Results and Discussion

The surface and atmospheric analyses presented hereafter discusses the results obtained 287 in the land-atmosphere interaction and related atmospheric dynamical processes. These analyses 288 were done in the context of actual dam-induced LULC evolution that occurred in the study 289 290 regions. They also discuss the link between surface energy budget changes with the mesoscale convection initiation and observed heavy storm system in the study period. Atmospheric fields 291 were updated every 6-hr interval based on the availability of the NCEP/NCAR reanalysis data. 292 293 For the purpose of nudging the simulated values to the observed ones, and hence, remove any undesirable model drift, 4-dimensional data assimilation (4DDA) was activated in the model. 294 To analyze the impact of LULC changes related to the presence of dams, a selected six-day 295 period (29<sup>th</sup> Dec-1996 to 3<sup>rd</sup> Jan-1997) during the winter was primarily used. This period 296 297 corresponds to an exceptional heavy rain episode over both regions which was responsible for 298 causing devastating flooding and property damage.. The accumulated 6-day precipitation amount 299 for both regions is shown on Fig. 1 lower panel.

As an initial step, the RAMS simulations were validated with respect to Parameter Elevation Regressions on Independent Slope Model (PRISM) generated spatial monthly averages

302 of maximum, minimum and dew-point temperature. The validation period was Dec, 1996. 303 PRISM (available at http://prism.oregonstate.edu) uses point data, a digital elevation model (DEM) and other sets of spatial datasets to generate gridded monthly and annual precipitation, 304 maximum and minimum temperature and dew-point temperature, on a 4 km spatial grid (Daly et 305 al. 1994). The comparison between the PRISM generated monthly averaged minimum, 306 maximum temperatures (°c) and dew-point temperature (°c) and the RAMS simulated values are 307 shown on Fig.5 for both ARW and ORW. The RAMS simulations, in most cases, follow the 308 spatial patterns generated by PRISM, especially in the northeastern locations. The RAMS 309 310 simulated values for ORW, however, are widely spread than the PRISM values that are more detailed. These could be due to the scale variation between the RAMS (10km) and PRISM 311 (4km).In case of ARW, since the scales of the PRISM and RAMS at the calibration runs was 312 313 4km and 3km respectively, there is a better spatial similarity in the simulated and observed temperature vales between the two. 314

In addition to spatial comparisons, further validation was performed with radiosonde 315 archives from the NOAA Earth System Research Laboratory (ESRL) Global System 316 Division (GSD) for certain locations in the ARW and ORW for the period of 1996-97. Fig. 6 317 presents the radiosonde station archives versus the RAMS simulation results for 1<sup>st</sup> January 318 1997. The radiosondes soundings paint a clear picture of the existing atmospheric processes. 319 At the Oakland location (Fig. 6a), since the elevation was only 6m above sea level the 320 observed and simulated pressures at the lowest point is approximately 1000mb at all times. 321 The wind vectors showed similar direction with higher magnitudes recorded from the 322 observations than the simulations. There were abrupt decrease in temperature readings at the 323 324 about 750mb, 250mb and 450mb of the observations which were not present in the

325 simulation. Temperature inversions occurred at about 200mb for the observations while the 326 RAMS simulations showed temperature inversions at about 250mb levels. At the Reno, Nevada, station (Fig. 6b), the temperature inversions and other vertical profile characteristics 327 are quite similar for the observation and the simulated soundings. At about 700mb level, the 328 temperature and dew-points soundings become equal indicating saturation. At Elko station in 329 ORW (Fig. 6c), the observations indicate saturation at 600mb level which was not captured 330 by the simulated soundings. At Boise station (Fig. 6d), the observations sounding assumes 331 saturation between 600mb and 700mb. However, saturation was not observed for the 332 333 simulated soundings. In summary, from Fig. 6, it can be deduced that all the important vertical profile characteristics are captured adequately well by the RAMS simulations. 334

335 4.1 Surface Analysis

The lowest model level (1000mb) temperature averaged during the day over the heavy 336 337 storm episode in ARW was seen to be lower (up to 0.15°c) for most of the domain in the *control* 338 (or with the current irrigation) case as compared to the non-irrigation case as shown in Fig. 7a. The decrease in the temperature corresponded to the regions where irrigation was intensified, 339 340 indicating (expectedly) that irrigation had a tendency to suppress surface temperature and cause regional cooling. However, the pre-dam scenario showed little difference in temperature from the 341 control as shown in Fig. 7c. In fact, the control was seen to be warmer than the pre-dam at the 342 downstream of Folsom dam. This perhaps is due to the fact that much of the downstream area of 343 Folsom was urbanized and the urban heat island effect was likely dominant, causing a much 344 345 warmer surface environment than the pre-dam settlement. In case of ORW, although both the *control – non-irrigation* and *control – pre-dam* differences were relatively small; the temperature 346 was found to be lower and coincided with the region where irrigation had been introduced. 347

The dew-point was seen to be higher in the *control* (up to 0.25°c over the heavy storm 348 episode period) than the *non-irrigation* as well as for the *pre-dam* as shown in Fig. 7b & 7d and 349 7f & 7h. The result clearly indicated that irrigated agriculture created higher dew-points provided 350 that crops transpire and water applications were more frequent. This result also agrees with the 351 findings of Mahmood et.al. (2007) who evaluated dew-point temperature increases as a result of 352 353 land use change. In areas where natural landscape was converted to irrigated agriculture, as already observed previously, the near surface air temperature was changed (Karl et al., 2012, Fall 354 et al., 2010). These transformations have been seen to increase the dew-point temperature as it 355 356 was observed in California's central valley, which was converted from natural vegetation to agriculture (Sleeter, 2008). 357

In order to see how significant the simulated changes in temperature and dew-point were 358 359 among the different scenarios, we calculated statistical significance using t-test. The results of the significance tests are presented in Fig. 8. Fig. 8 presented the 85%, 90% and 95% statistical 360 significant levels shaded from light green to dark green. In general, statistically significant 361 362 temperature and dew-point changes occurred over area where LULC was changed. More prominently, in ARW *control – non-irrigation* case (Fig. 8a), the areas of significant changes of 363 temperature correspond to the area of maximum irrigation to non-irrigation transformation. In 364 ORW also the slight observed changes are statistically significant although the amounts of the 365 changes are minimal. Temperature increase in the ORW control - pre-dam case was also 366 367 statistically significant as observed by Fig. 8g. All in all, the simulation differences observed in the scenarios were found to be significant to an acceptable level. 368

369 It is understood that transformation of a non-irrigated region into irrigated agriculture 370 results in partitioning of sensible heat and latent heat, and hence, affecting the surface energy

balance (Mahmood et.al, 2007). It also results in reduction of mean daily temperature as shown
in Fig. 9. An increase in soil moisture, as a result of irrigation, decreases the sensible heat while
increasing the latent heat with respect to the *control* case. Fig. 9a to 9h compared the energy
fluxes for all the scenarios in ARW and ORW. The LULC transformation from the *pre-dam* to
the *control* appeared to have a limited effect both on ARW and ORW as far as areal extent is
concerned (Fig. 9b & 9d, 9f & 9h). In the inner grids of ARW sensible heat increased up to 21
W/m<sup>2</sup> and latent heat decreased on the order of more than 10 W/m<sup>2</sup>.

The ARW region experienced a change of cropland into *irrigated* cropland (rain-fed) in 378 the post-dam era. The albedo and the roughness height (Table 2) were similar for these two 379 land-uses. Pitman (2003) pointed out that changes in roughness height play a prominent role in 380 variations in sensible and latent heat fluxes. The majority of the land-use in ORW, on the other 381 382 hand, remained the same (i.e. grassland: Fig. 3) for most of the domain and as a result showed only a slight variability both in the sensible as well as latent heat. On the contrary, the change 383 384 from *non-irrigation* to *control* has resulted in a larger spatial variability of the energy fluxes. In 385 ARW, the exact location were the previously irrigated land was converted to nearest land-use pattern (i.e. woody savanna) in the control - non-irrigation case, showed a decrease in the 386 sensible heat flux on the order of 15  $W/m^2$  or greater. The decrease in sensible heat flux can be 387 due to the hypothetical replacement of the woody savanna in the non-irrigation scenario with 388 the existing cropland in the control. Crops transpire more due to their lower stomatal resistance 389 and increased evapotranspiration. This intern cooled the surface as shown in Fig. 7 and hence 390 reducing the outgoing radiation in the form of sensible heat flux. An exception was the 391 Sacramento urbanized region where the sensible heat flux was greater due to the UHI effect. 392 Inversely, the latent heat increased up to  $10W/m^2$  in the converted regions. 393

394 The combined comparison between sensible heat and the amount of latent heat is often essential in the energy balance determination. The comparison is usually made with the help of 395 the Bowen ratio that represents the ratio between sensible and latent heat. In ORW region, due 396 397 to its arid nature and that only small portion was under irrigation, the Bowen ratio was seen to be much higher as compared to the ARW, which had a more humid climate and where much of 398 the downstream area was in active irrigation. Fig. 10a to 10c and 10d to 10f presents the Bowen 399 ratio for ARW and ORW. Comparison of the average Bowen ratio in each region revealed that 400 it successively decreases from the *non-irrigation* to the *pre-dam* and to the *control* (Fig. 10a, 401 10b & 10c and 10d, 10e & 10f, respectively). This decrease was an indication that as the land 402 gets more irrigated due to the presence of the dam, the sensible heat diminishes while all the 403 available energy is converted into latent heat fluxes. A more significant transformation was 404 405 observed in the change between the non-irrigation to control compared to the pre-dam to *control* results due to its less difference in land use change. 406

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## 7 4.2 Atmospheric Disturbance Analysis

The partitioning of surface energy into sensible and latent heat has been a major driver of atmospheric circulations and convection in most parts of the world (Pielke, 2001). As established in the previous section, small thermal gradients across the landscape and lower atmosphere were created due to the surface energy budget variability. The low level wind flow can also be affected as a result of the chain effects of LULC variability and resultants in creation of local horizontal pressure gradients.

In order to investigate the dam-induced anthropogenic changes on the wind flow, early afternoon conditions at ARW and ORW were considered. Figure 11a to 11d represents the averaged low level (1000mb level) atmospheric wind speed and direction differences for both 417 regions. Looking at the wind vectors closely, there were regions of convergence on the northwestern end in ARW and northern end in ORW. In the ARW's control - non-irrigation 418 scenario, the presence of irrigation has obviously increased the wind flow by an amount of 419 420 1.6m/s or more in areas where land cover change was introduced. This is due to the fact that a land cover type characterized by larger roughness height (i.e. woody savanna with  $Z_0 = 1.5m$ , 421 Table 2) in the *non-irrigation* case was converted into an irrigated cropland ( $Z_0 = 0.06m$ ) in the 422 *control* case. The difference in the roughness height  $(Z_0)$  had clearly contributed to locally 423 induced wind flows in the region. 424

425 The control - pre-dam scenario of the ARW, however, showed a reduction in the wind speed (up to -1.4m/s in magnitude) confined in a small area. The land-cover change in this case 426 was characterized by the expansion of the city of Sacramento in the *control* case and the drag 427 caused by buildings in cities was responsible in reducing the speed. In ORW, a small area 428 429 convergence was observed in the inner grid north-eastern location. The control seemed to have 430 lower magnitudes of wind speed (up to -0.4 m/s difference) from both the non-irrigation and *pre-dam.* The types of land-use transformations in both scenarios had a modest difference in 431 432 roughness height than the control. In case of non-irrigation, the irrigated cropland was converted into grassland (roughness height,  $Z_0=0.06m$  and 0.04m respectively, Table 1) while in 433 the case of the *pre-dam* the predominant land-use type (i.e. grassland) remained unaltered for 434 the majority of the area. However, the small area wind speed difference observed in control -435 *non-irrigation*, as explained above, could be due to the drag effect resulting from the expansion 436 of the city. 437

Another analysis was performed at the mid-level of the maximum depth of the planetaryboundary layer (PBL). The average depth of the RAMS generated PBL for each scenario as

440 well as region is presented in Fig. 12. The mid-level PBL depth for ARW was at 1750m above the ground while for ORW it was at 1000m above the ground. The respective wind magnitudes 441 and directions midway through the PBL are shown in Fig. 13a to 13d. At this level, the 442 443 convergence zones in ARW tend to disappear unlike the wind directions noted on the low-level. On the other hand, the convergence zones, where two prevailing wind flows meet and interact, 444 within ORW still existed midway through the PBL, which indicates a stronger mesoscale 445 circulation. These observations indicated that, in case of ARW, the changes observed in the 446 latent and sensible heat fluxes influence only the lower boundary layer wind flow. However, in 447 448 both cases, local and mesoscale upward motion regions resulted from the low level convergence for both the ARW and ORW. This documents that the circulations due to LULC changes can 449 transport moisture and heat higher into the atmosphere as discussed below: 450

The specific low level convergence location selected for analysis was at 39.3<sup>°</sup>N latitude for 451 ARW and 43.4<sup>0</sup> N latitude for ORW. These locations were consistent with the region where cool 452 and moist airs from the irrigated regions contrasted with relatively drier air from the nearby 453 locations (indicated by the horizontal line in Fig. 11). Figure 14a to 14d shows the vertical cross 454 455 section of simulated water vapor mixing ratio differences from the lowest level up to the top of the PBL (3500m for ARW and 2000m for ORW) for the six day averages of 22:00 UTC (or 14:00 456 LST). Figure 14a & 14b is for ARW: *control – non-irrigation* and *control – pre-dam* respectively. 457 Both scenarios showed well developed vertical motion that was responsible in transporting 458 459 moisture from the surface to higher altitudes. For the control - non-irrigation, in particular, the 121W to 122.5W longitudes where the low-level wind convergence was observed (Fig. 11a); the 460 461 circulation cells were maximum for the lower half of the PBL. However, as convergence zone disappears as shown in Figure 14a, there is a discontinuity in the vertical circulation cells. The 462

463 *control – pre-dam* scenario, on the other hand, manifested a different pattern where there was no discontinuity throughout the whole depth of the PBL. Figure 14c & 14b showed vertical cells for 464 *control – non-irrigation* and *control – pre-dam* respectively. At longitudes of 116W to 117W, the 465 convergence zones were fully established all the way through the top of the PBL. Correspondingly, 466 the vertical water vapor mixing cells traversed from the ground up to top of PBL for both cases. In 467 468 this case the moisture was transported much deeper than the PBL indicating a much stronger vertical motion established in ORW than ARW. In both regions, the dense area of moisture 469 transport corresponded to the location where wind convergence occurred. 470

471 Finally, to understand the availability of potential energy and convective contribution for precipitation formation, a Convective Available potential Energy (CAPE) analysis, was 472 performed. Fig. 15 indicates the amounts of CAPE in the atmosphere for ARW and ORW 473 respectively during the time of maximum CAPE (Jan 3<sup>rd</sup> 1997) out of the considered 6-days of 474 analysis. Although the CAPE values were not large enough to warrant a convective initiation in 475 the regions, there was a progressive increase in CAPE value from the pre-dam to the non-476 477 irrigation and to the control, mostly in the ARW. In all cases, the observed increase in CAPE originated from the increase in the latent heat flux in much of the northwest in ARW and eastern 478 parts of ORW. There is also the important question as to how LULC affects these synoptically 479 driven winter time systems. Since positive CAPE is recognized as a major factor that is altered 480 by LULC, yet, during most days in the winter in the study regions, there is no CAPE, the general 481 482 impression is that LULC effects on precipitation cannot work in these situations.

However, during these synoptically driven rain events, CAPE is often quite positive.
Severe thunderstorms (with documented strong convective instability) and even tornadoes occur
during these events (e.g. Hanstrum et al 2002, Kingsmill et al 2006). (see also

https://ams.confex.com/ams/pdfpapers/115125.pdf). Our results indicated that during these
precipitation events, a significant fraction involves deep cumulus clouds, and thus changes in
CAPE, and other thermodynamic aspects of the atmosphere by LULC result in alterations in
precipitation from what otherwise would have occurred.

490 In order to see how the CAPE varies among the different scenarios, CAPE differences 491 between control and non-irrigation as well as control and pre-dam are shown in Fig. 16. Fig. 16 represents the six day day-time average differences in CAPE. According to Pielke (2001), a 492 larger fraction of energy partitioned to latent heat flux results in greater CAPE and added 493 494 moisture to facilitate deep convection provided that suitable conditions exist. Looking at Fig. 16 it is apparent that in both regions a larger CAPE is observed for the control as compared to the 495 non-irrigation and pre-dam. These larger CAPE values are especially prominent at location 496 497 where irrigation was intensified. In non-irrigated regions, there is larger sensible heat flux that doesn't favor CAPE than the latent heat flux. On the contrary, irrigation will add significant 498 latent heat flux resulting from transpiration of water vapor. For larger irrigated areas, there is a 499 500 possibility of development of mesoscale circulation. However, as discussed previously in such synoptically driven regions as ARW and ORW, the possibility of CAPE being a factor for 501 generating a storm is minimal. 502

# 503 5. Summary and Conclusions

Precipitation is highly dependent on both the vertical and horizontal pathways of water vapor flux. How dam-induced mesoscale atmospheric changes in an impounded region impact these fluxes needs to be further understood. In this study, a number of more primitive variables that accompany heavy precipitation patterns were evaluated. The Regional Atmospheric Modeling System (RAMS) was set up to model two impounded regions with climatic and

509 topographic contrasts: the Folsom dam in American River Watershed (ARW) and the Owyhee 510 dam in Owyhee River Watershed (ORW). For each of these regions, three experimental LULC scenarios were established: 1) the *control* scenario representing the contemporary land-use, 2) 511 the *pre-dam* scenario representing the natural landscape before the construction of the dams and 512 513 3) the *non-irrigation* scenario representing the condition where previously irrigated landscape in 514 the *control* is transformed to the nearby land-use type. Based on these scenarios, a differential LULC (i.e. control -non-irrigation and control - pre-dam) evaluation was performed to evaluate 515 surface energy changes and atmospheric disturbances. 516

517 From the point of view of locations, the ARW was found to be more sensitive to associated changes in energy and moisture fluxes than the ORW. This perhaps is due to the fact 518 519 that the areal extent of LULC change in the ARW is much greater than that of the ORW. It was also reported in our previous work (Woldemichael et al., 2013) that the post-dam LULC change 520 521 scenarios impact precipitation of ORW (Owyhee Dam) much more than that of the ARW (Folsom Dam). We hypothesized that, due to its semi-arid climate and flat terrain, the ORW was 522 very sensitive to even slight changes in the variables that lead to precipitation modification than 523 524 for the ARW, which is in a humid climate and mountainous terrain (Jeton et al., 1996; Vaccaro, 525 2002).

However, both regions showed a strong link between the sensitivity of the surface energy and moisture fluxes and precipitation in the LULC assessment. More prominently, the *control – non-irrigation* cases showed a much higher impact than the *control – pre-dam* conditions, which perhaps is because of larger roughness height ( $Z_0$ ) differences in the former case. Similarly, previous work indicated that precipitation modification was found to be much higher in the *control – non-irrigation* cases in ARW as well as ORW (Woldemichael et al., 532 2012). Both regions, however, displayed atmospheric conditions for a significant modification in precipitation to occur: 1) the combination of a decrease in temperature (up to 0.15°c and an 533 increase in dew-point (up to 0.25°c) was observed, 2) similar to the finds of Douglas et al. 534 (2009), there is a larger fraction of energy partitioned to latent heat flux (up to  $10 \text{ W/m}^2$ ) that 535 increases the amount of water vapor flux into the atmosphere and result in a larger convective 536 available potential energy (CAPE), 3) low level wind flow variation was found to be responsible 537 in creating a pressure gradient that affects localized circulations and moisture advection and 538 convergence. An increase in wind speed up to 1.6m/s maximum was simulated in the regions due 539 540 to the chain effects of LULC variability, 4) there were well developed vertical motions that can transport moisture from the surface to higher altitudes, and these were observed at locations 541 where the precipitation difference was also a maximum. All of these findings further reinforced 542 543 the fact that there is a strong correlation between the changes in surface and atmospheric properties, and corresponding resultant precipitation modification. 544

The 2003 Climate Change Science Program (CCSP 2003) proposed assessment 545 strategies to understand how current and predicted changes in LULC will modify weather and 546 547 climate. The report specifically mentioned that "assessment capabilities should include the means to evaluate the interactions of land use and management with climate change in a way 548 that will help decision makers mitigate or adapt to the change." It was also mentioned that both 549 climate systems and anthropogenic activities that result in LULC changes are complex processes. 550 In this regard, this study has shed light on two important aspects: 1) the LULC alterations that 551 552 result from dam construction, which is a new paradigm in the process of human-induced LULC 553 change assessment, and 2) the distinctiveness of land-atmosphere interaction of dam-driven LULC changes as a function of location. 554

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- **Table 1.** ORW: Percentage coverage of the LULC classes in each of the considered scenarios
- and vegetation parameters for each LULC class. (Source: Walko and Tremback, 2005:
- 728 Modification for the Transition from LEAF-2 to LEAF-3, ATMET technical note)

LULC-Class Name	Pe	rcent Area	(%)	Albedo	Emissivity	Roughness
	Pre-dam	Control	Non- Irrigation			height, Z <sub>o</sub> (m)
Urban and built up	0.50	0.80	0.40	0.15	0.90	0.80
Evergreen needleleaf						
forest	32.70	32.70	32.70	0.10	0.97	1.00
Deciduous needleleaf						
forest	1.70	1.70	1.70	0.10	0.95	1.00
Deciduous broadleaf						
forest	0.00	0.00	0.00	0.20	0.95	0.80
Evergreen broadleaf						
forest	0.00	0.00	0.00	0.15	0.95	2.00
Closed shrubs	2.70	2.70	2.70	0.10	0.97	0.14
Water	0.50	0.60	0.50	0.14	0.99	0.00
Mixed forest	0.60	0.60	0.60	0.14	0.95	0.40
Irrigated croplands	13.20	14.7	10.0	0.18	0.95	0.06
Grasslands	15.90	15.70	20.0	0.11	0.96	0.04
Savannas	1.00	1.00	1.00	0.20	0.92	1.50
Barren or sparsely						
vegetated	2.80	2.80	2.80	0.25	0.85	1.00
Woody savannas	16.10	16.10	16.10	0.20	0.92	1.50
Open shrublands	10.50	10.60	10.50	0.12	0.97	0.08
Crops, grass and shrubs	0.50	0.80	0.40	0.25	0.92	0.14

- **Table 2.** ARW: Percentage coverage of the LULC classes in each of the considered scenarios
- and vegetation parameters for each LULC class. (Source: Walko and Tremback, 2005:
- 733 Modification for the Transition from LEAF-2 to LEAF-3, ATMET technical note)

LULC-Class Name	Percent Area (%)			Albedo	Emissivity	Roughness
	Pre-dam Control		Non-			height, Z <sub>o</sub>
			Irrigation			( <b>m</b> )
Urban and built up	1.18	3.83	3.73	0.15	0.90	0.80
Evergreen needleleaf						
forest	26.75	27.69	27.44	0.10	0.97	1.00
Deciduous needleleaf						
forest	0.79	0.84	0.81	0.10	0.95	1.00
Deciduous broadleaf						
forest	0.002	0.002	0.002	0.20	0.95	0.80
Evergreen broadleaf						
forest	0.002	0.002	0.002	0.15	0.95	2.00
Closed shrubs	0.27	0.892	0.71	0.10	0.97	0.14
Water	0.26	1.79	1.69	0.14	0.99	0.00
Mixed forest	1.43	0.81	0.77	0.14	0.95	0.40
Irrigated croplands	0.68	21.42	2.77	0.18	0.95	0.06
Grasslands	25.16	8.23	7.34	0.11	0.96	0.04
Savannas	2.56	1.91	1.73	0.20	0.92	1.50
Barren or sparsely						
vegetated	0.33	0.06	0.04	0.25	0.85	1.00
Woody savannas	17.94	31.80	52.28	0.20	0.92	1.50
Open shrublands	0.65	0.68	0.67	0.12	0.97	0.08
Crops, grass and shrubs	22.12	-	0.001	0.25	0.92	0.14

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- Fig.1. The contemporary LULC (*i.e. Control* scenario) of the study regions along with simulation domains for both ARW and ORW (top panel). Courtesy of MODIS land cover type product or MCD12Q1 (also available at <a href="http://glcf.umiacs.umd.edu/">http://glcf.umiacs.umd.edu/</a>). Lower panel represents 6-day total precipitation (maximum of 350mm for ORW and 700mm for ARW) that was result of the same Atmospheric River (AR) event. Green circles represent locations of radiosonde stations.
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- 794 ORW *control non-irrigation* (a &d) and ARW and ORW *control pre-dam* (b &c).
- Note that values are six day daytime averaged for Dec  $29^{\text{th}}$  1996 to Jan  $3r^{\text{d}}$  1997.

















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