Dear Editor and Referees,

Please find hereafter, successively:

- our responses to the reviews, with explanations on corresponding changes brought to the manuscript (*Editor's and Referees' comments in black, Authors' responses in blue*)
- a copy of the manuscript in revision mode, highlighting all changes made to the manuscript

with best regards,

B. Cappelaere, on behalf of the authors.

Editor Initial Decision: Reconsider after major revisions (21 Aug 2014) by Prof. Nadia Ursino Comments to the Author:

Dear Authors, your manuscript received two substantially positive reviews.

Addressing the reviewers' points could further improve the presentation of your work. Please, upload as soon as possible a new version of the manuscript where you evidence the reason why you concentrate on mean data behavior according to your reply to Referee #1 and possibly shorten and make more effective the whole text, according to the Referee #2's suggestion.

Sincerely,

Nadia Ursino

In this revision, we made modifications to the manuscript following three major directions, which we understood as being the most important in the Editor's and the Referees' recommendations:

- clarify and better justify the main focus of the paper, namely estimation of the longterm mean behaviour of two studied Sahelian systems (Introduction and Conclusion sections, essentially)
- clarify, justify and underline the methodological approach used (all but Results section)
- improve readability, in particular by condensing the paper body (Materials-Methods, Results and Discussion sections essentially)

Additionally, small modifications were made locally to account for specific demands from the referees, while limiting impact on paper length.

Changes in the first two directions are based on the explanations we provided in our interactive response to Referee#1 (Velluet et al., 2014b). Every effort was made to make objectives and methods clearer, in the abstract and introduction in particular. Changes made are explained in more detail in the responses to the referees, below.

Making the text more concise was obtained in particular by moving some of the very detailed material into two new appendices, following in this respect the recommendation by Referee#2. These appendices are dedicated respectively to the details of the model parameter assignment methodology, including calibration (initially in subsection 2.4 of Materials-Methods section, now Appendix A), and to the results of this calibration/validation step (initially subsection 3.1 in Results section, now Appendix B). This was done because we believe these information are of interest to the reader, allowing to fully evaluate the quality of the study results, but they are not critical within the paper body to follow the general approach and understand the results obtained. These changes allowed reducing the paper body by >15% and should improve overall paper legibility. With removal of former subsection 3.1, the structure of section 3 (Results) is now much simpler and more obvious to the reader.

## **DETAILED ANSWERS TO THE REVIEWS**

## **Anonymous Referee #1**

## General comments:

Velleut et al. describes a mechanistic model that is parametereized using a 7 year hydroclimatic data set including energy fluxes from 2 study sites in the Sahel region. This kind of data and model analysis are very sparse from the Sahel region, and a 7 years' time series of field measured data of evapotranspiration and sensible heat flux, are, as far as I am aware of, none-existing. This makes this manuscript very interesting. Additionally the mechanistic model evaluated is applied for making reliable estimates of the climatological budgets. The budgets between the two sites are compared.

We thank again the referee for his/her positive appreciation of this work.

However, for me it was quite unclear what the aim of this study was. After having read the abstract and the introduction, I thought that the focus of the paper would be the 7 years of field measured data, which are very interesting in it selves, as these data are so rare. And the model would only be used for filling the gaps to be able to produce reliable seasonal and annual budgets. However, later on in the text this was not the case. There are no results from the field measured data; these data are only used for the model evaluation and parameterization. This makes it kind of difficult; the field data set is very special and very interesting. These data have not been published elsewhere; therefore it would be nice with a focus on these data. On the other hand, the focus of the manuscript is, according the presented results, the parameterization, evaluation and output of the model. The manuscript is very long, and there are many parts that could easily being shorten substantially, or removed completely for a better focus around a specific aim of the study.

The manuscript thereby needs a better focus. This could be done either as a stronger focus on the model development in the introduction that could end in a clear aim in developing the model for estimating seasonal and annual budgets. Or a stronger focus on the field measured data in the results section, as there are no results from the field mentioned in the results section at all. Still the introduction needs to be better focused with a clear aim in the end to show the field data, and with a second aim of developing the model. I hope it is not completely impossible to combine a better description of the results of the field measured data, with a stronger focus in the introduction on the model development.

Referee#1's comments refer mainly to the expression of the objectives and methodology of the paper. We have tried to clarify and further justify these in our interactive response of July, 2014 (Velluet et al., 2014b). For the reasons developed in this response, the paper's focus is on the production of a climatological documentation of Sahelian water and energy cycles, through long-term mean courses of the component variables. To make this synthetic description possible, a mixed model/data-based methodology was necessary (Velluet et al., 2014b). The manuscript revision therefore concentrated on better explaining the paper objectives and methods, while improving legibility by making the text more concise. As the model development part in this paper is a tool rather than an objective per se, its description in the Methods section was shortened, with the detailed description of calibration and validation now being provided as appendices.

Manuscript: the paper's focus and methodology are now made clearer in the introduction, also in the abstract. Benefits of the methodology are highlighted in the discussion. Details of model parameter assignment and efficiency moved to Appendices.

Parts of the text were rather difficult to understand and the text would benefit by being checked by a native English speaker.

The initial manuscript had been checked by a native speaker.

Manuscript: Every effort was made in the revision to improve legibility, and the revised manuscript was checked again, by a native English-speaking scientist.

Should however some difficulties subsist, we would appreciate these being pointed specifically in the manuscript ? If the Editor thinks further professional editing is necessary, we would be prepared to do so.

It could be easier to follow the model description if some sort of overview diagram were shown. This is sometimes easier said than done though, but it would benefit the clarity of the manuscript. An overview diagram showing which are the input data, which are the physical processes modelled and which are the output data generated.

We agree that an overview diagram can be of great help in understanding the characteristics and requirements of a model like SiSPAT. As descriptions of this kind have been published previously by Demarty et al. (2004) and Velluet (2014) for this model, it is found best to refer to these existing illustrations, rather than making the paper yet longer with an additional figure. Should the referee still prefer inclusion of an equivalent figure in the paper, then this option could be changed.

Manuscript: sentence added at beginning of section 2.3: "Model overview diagrams are provided by Fig. 1 in Demarty et al. (2004) and Fig. 6.2 in Velluet (2014)."

## Specific comments:

P4755 L12. What purpose do you mean?

The purpose here is the construction of the continuous multivariate series.

Manuscript: "for this purpose" replaced with "for the construction of this multivariate time series."

# P4755 L12, According the results, P4770 L20, it is only the simulated variables that are given. Then the model is not used for extrapolating to unobserved periods?

Being used over the entire period as the homogeneous, continuous series needed for the climatological analysis, the simulation does extrapolate in particular to unobserved periods (whereas it is constrained by the data over observation periods). This methodological choice of extending the model-data integration method homogeneously to the entire period (i.e., including observation periods) is now more explicitly stated in the abstract, introduction, and Methods section to avoid misunderstanding. In particular, the notion of extrapolation was removed from the abstract as it proved potentially misleading when insufficiently developed.

Manuscript: the initial sentence (P4755 L12-13) :

"It extends observations by reconstructing missing data and extrapolating to unobserved variables or periods."

## is replaced in the abstract by :

"Rather than using the model only to gapfill observations into a composite series, model-data integration is generalized homogeneously over time, by generating the whole series with the entire data-constrained model simulation."

## P4755 I do not understand the sentence "Furthermore. . . from physical laws."

What was meant is that using the data-constrained model simulation rather than the observations directly, i.e. even for those times when observations are available, is a way to account for unavoidable field-estimation errors in the latter, by integrating at all times - through the model - all sources of information into the estimation of any given variable, rather than just its instant independent field-estimate. This includes all physical principles and process understanding expressed through the model, as well as all other simultaneous or close-in-time observations of all types. It removes sources of heterogeneities between observed variables.

Again, it is now felt that this idea belongs to the paper body (section 4.1.2) rather than to the abstract, where it is not easily understood. Instead, a short reference is added in the abstract to the discussion of these points and of their bearings on the study results.

## Manuscript:

sentence removed: "Furthermore, model constraining with observations compromises between extraction of observational information content and integration of process understanding, hence accounting for data imprecision and departure from physical laws."

sentence added (after "These results ...") : "Their significance and the benefits they gain from the innovative data-model integration approach are thoroughly discussed".

## P4756 L1-L6 In what way are the water cycle dynamics counterintuitive?

In West Africa, the long and severe drought of the 1970's-1990's has coincided in places with increased surface and/or ground water resources, a phenomenon which has been termed by some the Sahelian paradox (e.g., Descroix et al., 2013) and has been the subject of a number of publications. Given the need to limit the length of an already long introduction, we believe it would be undesirable to expand, beyond proper referencing, on this specific question which is only indirectly related to the main paper focus.

Manuscript: additional useful reference included : Descroix et al., 2013.

Why do they challenge our ability to make projections?

Making reliable projections for this region is an acute social need, but requires considerable increase in the knowledge on and understanding of these environmental systems. Again, only very terse statements on such general topics can be expressed in the context of this paper.

I think that you should place the references in the end of the sentence. The sentence is chopped apart by the references including in the middle; this make the it harder to read.

We understand the difficulty in reading condensed sentences interspersed with references. We have tried avoiding such construction everywhere possible. However, in some rare cases such as the one mentioned, where several distinct points are combined to make a sentence, it is

found more useful to the reader to associate each reference with the specific point it relates to, rather than collecting them all at the end of the sentence. In this latter case, the relationship would be lost and the reader would no longer know what point is supported by which reference(s), if any. We therefore propose keeping the references as they are in this particular sentence.

## P4757 L29 Why in this region particularly?

As mentioned earlier in this section, performing long-term field monitoring of these variables is particularly difficult in this region, for a variety of reasons (e.g., access, security, availability of materials and equipment maintenance, adverse environment conditions for instruments and persons, ...). This is why so few data sets are available for this region. It also means that long-term series when they exist are likely to be incomplete, in terms of monitored variables and time coverage. Combining the data with modeling can then be particularly useful in this context.

P4758 Always write out acronyms the first time they are mentioned. What is AMMACATCh, SiSPAT, etc...

Agreed, done.

P4758 L28 What do you mean by population averages?

The word "population" is used here in its statistical sense (theoretically, the entire set underlying a sample)

Manuscript: "statistical" added before "population".

I do not understand in what way the decadal non-stationarities could be an issue for this study?

If the variations in the sample were due not only to interannual variability but also to some longer-term trend, then inferring population statistics from the sample would be difficult, as the effects of the two types of variability would need to be disentangled. In our case, using a window of only seven consecutive years allows keeping effects of any possible long-term variability negligible relative to annual variability.

P4759 L21 Rainfall is usually given in mm

Manuscript: corrected, rainfall in mm.

P4761 L6 What practical reasons? Mention the reasons again.

Manuscript : "(e.g., equipment failure, temporarily improper conditions)" added

P4761 L19-L29 How was the field survey conducted? By taking photographs, harvesting biomass? What vegetation phenology parameters were measured? What camera was used for the LAI images? How was the additional information on vegetation dynamics used to derive continuous LAI series?

Manuscript: requested information added (end of subsection 2.2).

P4762 L1-L8 These are results and should hence be moved to the results section.

Manuscript: done (moved to beginning of section 3).

P4762 L25-L27 According to the K-theory, fluxes are estimated by relating them to aerodynamical resistances as fluxes of energy and matter are proportional to the gradient of the parameter we are looking at. However, it has been shown that the K-theory is not applicable within the canopy (Denmead and Bradley 1987, Foken 2008, Kaimal and Finnigan

1994, Raupach 1989a, b). The transfer of matter does not behave as is required by using the analogy to aerodynamic resistances. There are many different sinks and sources of water and energy throughout the canopy, and this interfere with the transfer of matter, which makes these models incorrect for estimates of transfer of matter within the canopy. If the model is dependent on the aerodynamic resistance within the roughness sub-layer, the mechanistic representation of physical processes within the model is incorrect.

We agree that K-theory can hardly represent the complexity of scalar transfer within the canopy, as shown in the papers cited by the reviewer, and that Lagrangian models such as proposed by Raupach (1989) are certainly more able to take these processes into account. However, we are not interested here in (and not able to document) the precise description of the sources and sinks of heat and water vapor within the canopy and in representing processes at very small time scales. The objective is to get a representation of heat and water balances at time scales which are larger than the scale of turbulent processes - even though the model is evaluated against half-hourly data. The model must represent the main processes at those scales for the conditions of the study area, as well as being able to build on the available types of data. SiSPAT uses a two-source approach which allows separate computation of one energy budget for the vegetation and one for the bare soil below the canopy. The Shuttleworth and Wallace (1985) approach was proposed to represent aerodynamic resistances associated with such a two-source approach. This approach has been shown to be consistent with observations in various ecosystems, including sparse canopies (e.g., Lafleur and Rouse, 1990; Wallace et al., 1990; Ham and Heilman, 1991; Sauer and Norman, 1995; Sauer et al., 1995; Soegaard and Boegh, 1995; Daamen, 1997). It has also been shown to give results close to those from Lagrangian modeling (e.g., Dolman and Wallace, 1991, for dual-source models of a sparse Sahelian canopy; Van den Hurk and McNaughton, 1995). In our study, model results at the half-hourly timestep for total latent heat flux and sensible heat flux show that the assumption is adequate to get results consistent with observations. In addition, as discussed in section 4.1.2, it was also possible to assess the model ability to represent bare soil evaporation and transpiration by selecting periods when those processes are dominant. Therefore, we believe that the model physics used to represent aerodynamic resistances in our study is well suited to our objectives and data. This is all the more supported in this case as the model is applied only to a period for which abundant constraining observations are available, corroborating simulated series.

## P4763 L6 How does the model know when a soil crust is formed and when there are none?

In our simulations, soil characteristics are taken invariant over time, including those of a soil crust if any (only root density is handled dynamically, for the millet field). Static presence of a soil crust is "detected" through the model calibration/validation procedure, depending on whether it attributes to the thin surface horizon in the soil discretization, parameter values that contrast with those of the underlying horizon. This is how the fallow was found to include a marked soil crust (much lower hydraulic conductivity), while the millet plot came up with a less contrasting surface horizon. These results are consistent with what we know and observe in the field about surface characteristics, and with published knowledge for this area (e.g., Vandervaere, 1995; Braud et al., 1997; Vandervaere et al., 1997; Simunek et al., 1998; Malam Abdou et al., 2014), in relation with local land management practices. The need for a time-varying (subseasonally, interannually) surface crust was not evidenced by the model calibration/validation from variations in model performance (similar conclusions were obtained for instance at the hillslope scale by Cappelaere et al., 2003). It should be noted that SiSPAT is especially suited to represent such sharp soil heterogeneities through its physics-based expression of soil transfer processes.

P4763 L10 Is H1 between 0.00-0.01, and H2 between 0.01-0.20? Be specific.

## That's correct

Manuscript: depth ranges now stated explicitly in section 2.4

## P4765 L8 Why is the lowest used for H1?

The lowest value was obtained in the first centimeters of the fallow soil. For lack of measurements in the thin soil crust itself, the hypothesis was made that the shallow soil in the fallow would be the closest to a crust. Hence the use of that value.

Manuscript: "(0.01 m3.m-3, in the fallow's top centimeters)" added after "the lowest of all measured values"

# P4766 L4 What do you mean by understanding of the physics of the various processes? How was this understanding accomplished?

The existing knowledge on the main physical processes at work in these specific systems is a great help in constructing such a model and in conducting/constraining calibration of its parameters (e.g.: parameter stratification / association of parameters with calibration observations). Substantial knowledge exists, from previous works such as those performed in the context of the SEBEX experiment (Wallace et al., 1991), the HAPEX-Sahel project (Goutorbe et al., 1997) and of the AMMA-CATCH programme (Lebel et al., 2009), and many others in this area (see for instance Cappelaere et al. 2009 for a review).

Manuscript: reference to Cappelaere et al. (2009) added

P4767 L15 If I understood table 2 correct, the parameters in table 2 are not results. They are parameters for the model. I think that you should include a section with results from the field measured data before the model calibration/validation section. Or restructure the manuscript so that more focus in the introduction is on the model development (see comment above).

As values of some of the parameters (see definitions of four groups, initially in section 2.4 now in Appendix A) are obtained through calibration, these can be considered as results. We believe that this point is no longer an issue in the revised version where the parameter assignment methodology and results from the calibration/validation step are no longer included within the paper body but handled as specific appendices.

P4769 L7 I do not understand the sentence: In addition, the half-hourly. . . Why is it a problem that it is at the scale of turbulence? Does the mode not estimate aerodynamicl resistance? Then this should not be an issue.

The K theory is believed to resolve timescales larger than those within the turbulent transport spectrum.

What are the range in RMSE and NSE, is it for different years?

Ranges refer to the two sites and the two periods (whole 7-year period and 2-year calibration period only) in Table 3/B1 (cf. initial P4768 L23, with first range supplied in this paragraph; and P4769 L1), not to different years.

Manuscript: Definition of periods now recalled at beginning of paragraph; "all periods" replaced by "both periods" later on in paragraph.

In a model evaluation you should also report the slope and intercept of a linear regression between modelled and observed data (Willmott, 1982).

Manuscript: regression slope now included in Table B1. As the intercept is believed to be less interpretable information (depends on how far from zero the range of values stands), and as the table already includes many columns, it was preferred not to overload the table with 4 more columns for intercept.

P4769 L9-L10 References to this sentence, which state-of-the-art model applications?

Manuscript: references added (Saux-Picart et al., 2009b; Akkermans et al., 2012; Ridler et al., 2012).

There are no references to any figure in the model evaluation. But among the figures I assume that figue 4 and 5 should be for the model evaluation.

Figure 5 was referenced (initial P4769 L24) but not Figure 4, as rightfully pointed out.

Manuscript: Both figures, newly labelled Figs. B1 and B2, are now referenced in Appendix B.

A similar figure to figure 5 could be created for the LE, H and G variables. Preferably at 30 min temporal resolution.

We do agree that, should model development be the main focus of this paper, this figure would then be quite important. In our case however, with the detailed model development part being now included only as appendices, and with the need for paper shortening, we find preferable not to further increase the number of figures.

P4771 L16 Be more specific about these components. Where did you get infrared radiation from? Infrared radiation is a part of the solar radiation. I do not remember any description of the model separating the solar irradiance into different wavelengths.

It appears that the term "infrared" radiation brings confusion. It was meant to refer to the long wave spectrum (> 4  $\mu$ m). The model accounts separately for the short and long wave radiation (initial P4762 L25).

Manuscript: "longwave" substituted to "infrared" throughout (and LWxxx symbols to IRxxx).

## P4771 L19 L23 This is not results, and should hence be moved to the discussion section.

We agree that this last part of the paragraph is a (little) step further than pure results. Only very little, though, as it is just sets our results relative to globe averages (= a result in itself ?). We believe providing this setting early in the paper gives the user useful information that can help getting a quicker and better grasp of the local energy cycle specifics. Besides, this short information would hardly fit in any of the Discussion subsections as they stand now, and would not justify changing that structure. We would therefore prefer keeping that small flexibility in the content of the Results section.

P4772 Why are you interested in the mean value specifically? I do not see any benefit in this for our understanding of the system. I think that it would be much more interesting to show the full 7 year cycle, and in that way also see the variability between years. That gives broader information about the system we are studying and why it behaves the way it does.

A response to this point was developed in our interactive post (Velluet et al., 2014b), as well as in answers to General comments above..

P4773 L9 Again, comparing results with other studies should be moved to the discussion section.

The problem here is really the same as discussed just above ("P4771 L19 \_L23 ...."). This short comparison, which we believe very interesting to have early in the paper, would be out of place in any other subsections. Again, we think that the contours of the Results section can be extended very slightly to include this kind of direct setting of our results relative to major references.

As the manuscript is rather lengthy, I think the discussion section could be more focused on actual discussion of the results.

For example the model-field data section could be shortened down to few sentences and placed in the introduction instead.

As the model-data integration approach (the way data and model are used and combined) is both a key and original –hence possibly disconcerting– aspect of our study, we feel necessary to give it sufficient development, which best finds its place in the discussion (subsection 4.1.2). Referee#2's insistence on emphasizing the value and novelty of the approach encourages us in this direction.

The focus of the results section is completely on the results of the model, and the evaluation of the model. Instead of discussing drawbacks with the field measured data, focus should be on your results of the model evaluation and the outputs of the model applied. Which was the years used for model evaluation and why and how was these years specifically chosen?

Detailed information on model development are now provided in the Appendices. Subsamples used for either calibration or validation are defined in Appendix A. The two calibration years were chosen because they show contrasted annual rainfall, relative to the whole sample. The remaining five years were used for validation. Results of the climatological analysis are discussed in terms of processes in section 4.2. It is felt that proposing this climatology as a reference for future studies warrants a discussion of its significance with respect to how it was produced (section 4.1).

P4780 L5-L10, where do these numbers come from? References please.

We estimated these numbers through standard statistical calculus (sample size effect on standard estimation error on the mean, assuming stationarity and independence of years)

Figure 2a and b. I think that the true data would be much more interesting to see than the rainy day probability.

Probability and mean intensity of rainy day are provided in this figure, rather than mean rainfall, as the latter is included in former Fig.8a (now Fig.6a) and as these properties also play specific roles in the investigated processes (ex.: runoff coefficient, section 3.2 and former Fig.8b, now 6b).

## Why don't you show the 7 years of true field measured data instead?

The interest in showing synthetic, climatological information, rather than a mere 7-year chronicle, is argued in length in our interactive response to the referee's comments (Velluet et al., 2014b), and summarized in the revised introduction. Climatological information is believed to give the reader a clearer and more robust description of local seasonality, for the meteorological variables in the case of this figure.

Figure 4 It looks like the model is doing a fantastic job. . .

Agreed. The referee's evaluation is a major backing for the methodology used in this study, and for the proposed climatology. We thank the referee for his/her knowledgeable appreciation.

Figure 7 is rather difficult to understand. Why are the bars for the dry and wet season different in a) and b)?

Elemental flux values (colored bars) in (a) are additive, as they represent water depths in each half-year. When stacked, they then express the whole-year value. Fluxes in (b) are mean intensities over each half-year period, hence whole-year values are obtained as their means, not their sums, requiring that they be displayed side-by-side.

Manuscript: Sentence added in figure caption: "Note that half-year water depths (color bars in (a)) are stacked to yield annual values, whereas annual energy fluxes are obtained as the means of half-year mean intensities (b)."

Figure 8-10, see comment above. Why not showing the full time series. . .

This question is answered in length in our answer above and our interactive response to Referee#1 (Velluet et al., 2014b)

## <u>References:</u>

Willmott CJ (1982) Some Comments on the Evaluation of Model Performance. Bulletin of the American Meteorological Society, 63, 1309-1313.

Raupach M R, 1989a. Applying Lagrangian fluid mechanics to infer scalar source distributions from concentration profiles in plant canopies. Agricultural and Forest Meteorology 47, 85–108.

Raupach M R, 1989b. A practical Lagrangian method for relating scalar concentrations to source distributions in vegetation canopies. Quarterly Journal of the Royal Meteorological Society 115,609–632.

Denmead O T, Bradley E F, 1987. On scalar transport in plant canopies. Irrigation Science 8,131–149.

Foken T, 2008. Micrometeorology. Berlin: Springer.

Kaimal J C, Finnigan J J, 1994. Atmospheric boundary layer flows: their structure and measurement.Oxford: Oxford University Press.

## Anonymous Referee #2

The authors describe a novel approach that combines data mining and modeling in order to represent water and energy dynamics in southwestern Niger. In the manuscript, the authors manage to present a multi-year climatology for water and energy in this region, with the fundamental help of the SiSPAT model.

The paper is generally well written and structured. The authors explain fairly well the aim of new approach, which can serve as a basis for further simulations in different environments. On the other hand, the extensive length of the paper and the very detailed description of methods and results make somehow the paper lose focus in the long Result section, which in my opinion should remain on the very interesting procedure of combining model and field observations.

My only general remark is therefore that there are some Subsections of the paper, which are nevertheless quite interesting and do allow the reader to get a deeper understanding of the procedure, that could be rather inserted in Supporting Materials, or an Appendix. In my opinion this would improve readability and help the authors to focus the paper to the novel approach that merges the model and observational data. For example, the sections regarding Water and Energy could be incorporated in a single one.

We thank Referee#2 for his/her very positive evaluation of the paper.

We agree with the fact that, while the paper length responds to the need to provide the reader with all the information for proper understanding and evaluation of the different study components, this length makes reading more difficult. We therefore followed the referee's recommendation to move to appendix some of the most detailed material that does not in itself respond to the main paper objective directly but rather is a means towards this objective. This is why details of the parameter assignment procedure and of the corresponding model evaluation results are now moved to two different appendices respectively, as the ultimate paper objective is to produce a data/model –based climatology of surface fluxes for these Sahelian land covers, not just a new model whatever its qualities.

This model takes part in an overall methodology that merges data & model, and - as Referee#2 - we think it is important to keep an emphasis on presenting and discussing this general methodology. We therefore tried to improve this presentation and discussion.

## Specific comments:

Page 4755, Line 13 and further: The sentence is too long, and not very clear. It might be useful to split the sentence in two parts.

Manuscript: the sentence was removed from the paper (cf. response to Referee#1).

Page 4756, Line 11-12: In order to make the acronym clearer, it should read: Ground-Atmosphere Interface.

Done.

Page 4758, Line 6: It is the first time the authors name the SiSPAT model, and the acronym should be defined. I know that it will be explained later, but it would be useful to introduce it here.

Yes, done (moved from the model description section to the introduction)

Page 4763, Line 18: It should read "Neumann"

Done.

Page 4764, Line 10: It would help to know how deep is the modeled soil column.

The modelled soil column is 4-m deep.

Manuscript: Depth ranges are now stated explicitly for every horizon, hence the total soil column depth is defined unambiguously.

*Page 4765, Line 12: How is the dry heat capacity estimated? Maybe a reference to a method would help* 

It is estimated with the expression given in the right column ("Literature values") of Table 2, which can be found for instance in Hillel (1998), assuming a negligible contribution of organic matter (given the low O.M. content).

Manuscript: information appended to that sentence in text (Appendix A): "Dry heat capacity is estimated from porosity, for low organic matter (expression in Table 2; Hillel, 1998)"; reference also specified in Table 2.

Page 4767 Lines 1-14: These first lines are not results, and should be moved to the methods section.

Agreed, done.

Page 4768 Line 10: why must we expect a certain particular uncertainty from these observation?

The sources of uncertainty for the various observations are relatively well established (see, e.g., Aubinet et al., 2012), and are briefly outlined in the paper introduction. Orders of magnitudes of these uncertainties have also been largely reported in the literature for most of the variables, allowing for crude, qualitative comparison with model accuracy.

## Pages 4773 and 4774: As I mentioned, these sections could be shortened

We agree that these detailed results subsections include a lot of information, that many readers may find somewhat "stodgy" when getting to the end of the section. However, these results pertain directly to the study objective, and should therefore be of particular value to the reader interested in Sahelian flux climatology specifically. After re-examining closely each of these information, it appears to us that while most of them would deserve being preserved in the revision, those in the last paragraph of the Energy subsection could be summarized without excessive loss of information (given the legibility of Figure 9).

Manuscript: Last paragraph in "Energy" subsection (initial P4775/L22-P4776/L6) summarized / shortened by more than half.

## and incorporated in a single one.

We think that keeping separate the analyses of the two component cycles (water, energy), which refer to distinct figures (initial Figs. 8 and 9, resp., now 6 and 7), should help reading these quite dense paragraphs. As much of the initial information is preserved in the revision, a single subsection would represent an excessive piece to get through, while by itself not making the paper shorter.

## The Subsections lack numbers.

Agreed, corrected (now subsections 3.2.1 and 3.2.2).

Page 4773, Line 22 and further: maybe the authors forgot a noun near to "absolute high", "relative high", and "relative low"?

As we understand, the words "high" and "low" can be used directly as nouns in English (please see; e.g.: <u>http://dictionary.cambridge.org/dictionary/learner-english/low\_3</u> and <u>http://dictionary.cambridge.org/dictionary/learner-english/high\_3</u>)</u>

Page 4777 Lines 25 and further: the first part of this paragraph is a summary of the motivation, in my opinion, and the authors already discussed it.

## Agreed

Manuscript: Section 4.1.2 "Model versus data" shortened by replacing the first part of the section with only a short recall and reference to the related material in the introduction.

## Questions:

What is the spatial scale at which the model is applied? It is clear how the model deals with vertical heterogeneities in the soil column, but what about possible spatial surface heterogeneities? Are they completely neglected?

As a column model, the model assumes horizontal invariance, which is obviously a strong simplification of reality. As such, it aims at representing average vertical fluxes over a field that can be considered relatively "homogeneous" when seen at the hillslope or landscape scales (hence the idea that vertical fluxes variations within the field are much smaller than over the hillslope or landscape), but not fully homogeneous at infra-field scales. Another difficulty relates to the differences in measurement scales for the various observed variables integrated in the modelling, covering a wide spectrum from "point" to field. This variety in constraining-data scales combined with the proven capability of the model to fulfil all these constraints using physically realistic parameterization, suggests that the model does express some representative average behaviour for the field-scale system. As in this study the model is applied only over a time period with abundant constraining data (calibration and validation), and as the study's main target variables (most fluxes) are measured at the scale of interest ("the field"), the climatological results can reasonably be considered as representative of that scale for the two studied plots.

Are there possible developments of this approach to not-managed ecosystems in the same region?

There is no conceptual obstacle to such developments, which would be particularly interesting to pursue in the context of Sahelian Africa. Please note however that some naturally-patterned vegetation ecosystems that are found in this region, such as tiger bush, would present specific difficulties related to the type/scale of spatial heterogeneity.

One last suggestion is to underline once again in the Conclusions the novelty of the combined "model and data"-based approach, and why it is essential in the construction of the 7-years climatology in the region.

Agreed.

Manuscript: concluding sentences added in this purpose.

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# Please find hereafter the revised manuscript, highlighting all changes made (note: "Supprimé" means Suppressed)

1	Building a field- and model-based climatology of local			
2	water and energy cycles in the cultivated Sahel –			
3	Annual budgets and seasonality			
4	(Short title : Climatology of water and energy cycles in the Sahel)			
5				
6	C. Velluet <sup>1</sup> , J. Demarty <sup>2</sup> , B. Cappelaere <sup>2</sup> , I. Braud <sup>3</sup> , H. BA. Issoufou <sup>4</sup> , N			
7	Boulain <sup>2</sup> , D. Ramier <sup>2,5</sup> , I. Mainassara <sup>6,7</sup> , G. Charvet <sup>2</sup> , M. Boucher <sup>2,8</sup> , JP.			
8	Chazarin <sup>2</sup> , M. Oï <sup>2</sup> , H. Yahou <sup>4,7</sup> , B. Maidaji <sup>4,6</sup> , F. Arpin-Pont <sup>9</sup> , N. Benarrosh <sup>2</sup> , A.			
9	Mahamane <sup>4</sup> , Y. Nazoumou <sup>7</sup> , G. Favreau <sup>2</sup> , J. Seghieri <sup>2</sup>			
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21				
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23				
24	Submitted to Hydrology and Earth System Sciences Discussions, March 2014			

## Abstract

1

2 In the sub-Saharan Sahel, energy and water cycling at the land surface is pivotal for regional 3 climate, water resources and land productivity, yet it is still very poorly documented. As a 4 step towards a comprehensive climatological description of surface fluxes in this area, this study provides estimates of long-term average annual budgets and seasonal cycles for two 5 6 main land use types of the cultivated Sahelian belt, rainfed millet crop and fallow bush. These 7 estimates build on the combination of a 7-year field dataset from two typical plots in 8 southwestern Niger with detailed physically-based soil-plant-atmosphere modelling, yielding 9 a continuous, comprehensive set of water and energy flux and storage variables over this 10 multiyear period. In this study case in particular, blending field data with mechanistic 11 modelling makes the best use of available data and knowledge for the construction of the 12 multivariate time series. Rather than using the model only to gapfill observations into a composite series, model-data integration is generalized homogeneously over time, by 13 14 generating the whole series with the entire data-constrained model simulation, Climatological 15 averages of all water and energy variables, with associated sampling uncertainty, are derived 16 at annual to subseasonal scales from the time series produced. Similarities and differences in 17 the two ecosystem behaviors are highlighted. Mean annual evapotranspiration is found to represent ~82-85% of rainfall for both systems, but with different soil evaporation/plant 18 19 transpiration partitioning and different seasonal distribution. The remainder consists entirely 20 of runoff for the fallow, whereas drainage and runoff stand in a 40-60% proportion for the 21 millet field. These results should provide a robust reference for the surface energy- and water-22 related studies needed in this region. Their significance and the benefits they gain from the 23 innovative data-model integration approach are thoroughly discussed. The model developed 24 in this context has the potential for reliable simulations outside the reported conditions, 25 including changing climate and land cover.

26

## 27 Keywords

28 ecohydrology, evapotranspiration, energy budget, water budget, SVAT model, fallow bush,

- 29 pearl millet
- 30

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## 1 **1. Introduction**

2 In Africa, counterintuitive water cycle dynamics (Favreau et al., 2009, <u>Descroix et al., 2013</u>)

3 and prospects of increased water stress (Boko et al., 2007) or decreasing yields of rainfed 4 agriculture (Schlenker and Lobell, 2010) challenge our ability to provide reliable projections 5 of these key resources, especially in the densely populated, semiarid Sahel (rainfall ~300-700 mm.yr<sup>-1</sup>; Fig. 1a). Surface-atmosphere interactions are critical processes for the water cycle in 6 7 this region. Strong evaporation recycles much of the rainfall to the atmosphere locally 8 (Boulain et al., 2009b), and the surface feedback as vapor and radiative or turbulent energy 9 plays a major role in atmosphere dynamics (Koster et al. 2004; Wolters et al., 2010; Taylor et 10 al., 2011, 2012). Hence, meteorology, rainfall, and primary production all strongly depend on 11 processes at the Ground-Atmosphere Interface (GAI), as does recharge of the many ponds and of the underlying aquifer (Cappelaere et al., 2009, Favreau et al., 2009, Massuel et al., 2011). 12

Despite the importance of these surface processes, quantitative knowledge on surface 13 14 exchanges and ground-atmosphere interactions is still very limited in sub-Saharan Africa. 15 Their distribution in space and time is all the more poorly documented. In the Sahelian 16 domain of the West African monsoon, scarce field observations generally covered only short 17 periods of time – typically a few days to a few weeks – at a few sites (e.g., Lloyd et al., 1997; Ezzahar et al., 2009, Timouk et al., 2009). Few studies covered a complete seasonal cycle 18 19 (Wallace et al., 1991; Miller et al., 2009; Ramier et al., 2009). To our knowledge, none were 20 based on a period of several years that is needed to capture the strong interannual variability 21 of Sahelian rainfall. Current adverse public security conditions all over the Sahelian belt leave little hope that the complex type of instrumentation required (eddy covariance, scintillometry) 22 23 could be significantly densified in the near future. In this context, remote sensing estimations 24 are particularly promising for this region. However methods are still in development, and 25 require context-specific field evaluation and calibration (e.g., Tanguy et al., 2012; Verhoef et 26 al., 2012; Marshall et al., 2013). This is also true for model-derived estimates, as the ability of 27 the current generation of land surface models (LSMs) to correctly reproduce dominant land processes in Africa is still largely in question (Boone et al., 2009a). Evaluating and improving 28 29 the capabilities of general-purpose LSMs for this large continental region requires substantial reliable documentation of surface energy and water cycles at various time/space scales 30 31 (Boone et al., 2009b).

When available, field estimates of surface fluxes are undoubtedly an invaluable asset. Nearly
 all components (radiative, conductive, turbulent) of the surface energy cycle are now more or

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1 less readily accessible to field estimation, even though this involves rather complex 2 techniques and inhomogeneous representative scales. However these data are associated with 3 significant uncertainty, particularly for turbulent fluxes of sensible and latent heat. This 4 uncertainty arises from a variety of sources such as instrumental error, departure of field 5 conditions from underlying theory, or processing pitfalls (Foken et al., 2006; Aubinet et al., 6 2012). The general lack of energy balance closure that results from these estimation problems 7 typically ranges 10–35% of the available energy (Foken, 2008). Its assignment to the various 8 possible sources is still a matter of debate (Aubinet et al., 2012). When estimation becomes 9 unreliable, the corresponding data must be discarded. Added to recurrent interruption of 10 sensitive equipment in hard field conditions (dust, temperature, wind), this generally leads to 11 substantial gap rates in the derived time series. For the surface water cycle, a number of 12 components can hardly be field-measured precisely and continuously on a routine basis, e.g., 13 overland runoff, vertical drainage and lateral subsurface flow, or partitioning of 14 evapotranspiration into direct soil evaporation and canopy transpiration. For all these reasons 15 - sparse data sets, unobserved components, uncertain data with conservation biases - it is not 16 feasible to estimate complete and reliable water and energy balances at various time scales 17 from field observations only, and some sort of modelling is thus necessary. Combining as 18 many field observations as possible with physics-driven models, that integrate available 19 knowledge on the main local water and energy cycling processes, appears to be the most 20 reliable way to make robust quantitative estimates of surface-atmosphere exchanges, in this 21 region particularly.

22 In this context, the purpose of this study is to propose - for the first time to our knowledge - a description that can be representative in a climatological sense of water and energy cycles for 23 24 two dominant land cover types in the cultivated Sahel, namely rainfed millet crop and fallow 25 bush. First-order dynamics at annual to subseasonal scales are analyzed here, through 26 estimation of long-term means. A reliable climatology is useful as a powerful reference for a 27 variety of purposes, including extracting the most significant features in system dynamics, 28 deriving anomalies, analyzing processes and understanding system behaviour, making robust 29 comparisons between systems or across different bioclimatic settings (globally or regionally 30 as expected from the AMMA-CATCH<sup>1</sup> network in West Africa; Lebel et al., 2009), or

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<sup>&</sup>lt;sup>1</sup> African Monsoon Multidisciplinary Analyses – Coupling <u>Tropical Atmosphere and Hydrological Cycle;</u> http://www.amma-catch.org

1 evaluating and improving land surface models and remote sensing algorithms efficiently (e.g.,

bias detection and characterization).

2

3 This climatological description is based on the production and analysis of a multivariate series covering an unprecedented full 7 year-long period for two plots in Niger (Velluet, 2014). This 4 5 continuous series was obtained by combining a unique field dataset over that period (Boulain 6 et al., 2009a; Cappelaere et al., 2009; Ramier et al., 2009) with the physically-based SiSPAT 7 (Simple Soil-Vegetation-Atmosphere Transfers) model (Braud et al., 1995). The study area is 8 located in the so-called Central Sahel region, which is considered the most representative of 9 the West African monsoon rainfall regime (Lebel and Ali, 2009). Available data include local 10 rainfall and meteorology, vegetation phenology, all surface energy cycle components, and soil moisture and temperature profiles. The SiSPAT model solves the 1D-vertical equations for 11 12 coupled diffusive transfers of water and heat in a heterogeneous soil, coupled with surface 13 and plant exchanges with the atmosphere. It has been shown (Demarty et al., 2004; Shin et al., 14 2012) that even in the general heterogeneous, layered case, this type of soil water model can 15 be reliably inverted for hydrodynamic properties from soil moisture observations when the 16 profile is predominantly draining (no underlying moisture source), which is the case in nearly 17 all of this region. SiSPAT has already been tested successfully over a short period in this environment (Braud et al., 1997; Braud, 1998). Other GAI studies, either data-based (e.g., 18 19 Miller et al., 2009; Ramier et al., 2009; Lohou et al., 2013) or model-based (e.g., Daamen, 20 1997; Pellarin et al., 2009; Saux-Picart et al., 2009a,b), were carried out in the study area. However, as mentioned earlier for the whole subregion, they were all limited to subseasonal 21 22 periods or at most to one particular year. Models used were generally less detailed than in this 23 study, in a more exploratory perspective. Deriving a reference climatology as done here requires a long-enough, complete and reliable series. This required continuous multivariate 24 25 series is provided by the strongly data-constrained 7-year model simulation, which is used in 26 its entirety rather than only for gapfilling observations into a composite series. As the paper 27 shows, the series allows capturing statistical population averages for the variables 28 investigated, while minimizing the effect of possible decadal non-stationarities of the 29 monsoon (Lebel and Ali, 2009) or of land management. As it carries the most robust features 30 in the dynamics, analysis of mean system behavior enables a powerful comparison of the two 31 investigated systems. These results should contribute a substantial step to documenting the 32 dynamics of surface fluxes in the Sahel.

Supprimé : The purpose of this paper is to present a detailed analysis of water and energy cycles for two plots that represent dominant land cover types in the cultivated Sahel, namely millet crop and fallow bush, over

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**Supprimé :** This model has already been successfully tested over a short time period in this environment (Braud et al., 1997).

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**Supprimé :** Braud et al., 1997; Braud, 1998;

**Supprimé :** A major contribution of our multi-year analysis is that, for the first time to our knowledge, a climatological picture of water and energy surface fluxes at annual to subseasonal scales can be established for these main Sahelian land cover types.

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Supprimé : continuous 7-year Supprimé : period Supprimé : that appear to affect Supprimé : and water cycle in this area Supprimé : Mean system behavior is highly informative a Supprimé : ing 1 After a brief description of sites, data, model, and overall methodology (Sect. 2), results are presented for the climatology of a synthetic average year from annual to subseasonal 2 timescales (Sect. 3). Significance of these results - as induced in particular by the study 3 methodology - as well as information inferred on key processes are discussed in Sect. 4. As 4 they play a key part in the study methodology, implementation and evaluation steps for 5 model-data integration (parameter estimation, model validation) are detailed separately in 6 7 Appendices A and B, for better overall legibility.

## 2. Materials and methods

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10	Equations of water and energy conservation are written as:	1	Supprimé : Results¶ W
11	P = R + D + Ev + Tr + dS/dt		<b>Supprimé :</b> is written herea with the following notation (E
11			Supprimé : IRnet
12	SWin = SWout + LWnet + Rn  with: Rn = G + H + LE (1)	1	
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13	and: $\underline{LWnet} = LWout - Lwin;$ $LE = \lambda \cdot ET;$ $ET = Ev + Tr$	1	
14	where: $P$ is precipitation, $R$ runoff, $D$ drainage below soil column, $Ev$ direct soil evaporation,		
15	Tr plant transpiration, ET evapotranspiration, dS/dt water storage variation in soil column, Rn		
16	net radiation, SWin global radiation, SWout reflected solar radiation, <u>LWnet</u> net longwave,	11	Supprimé : IRnet
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17	radiation, LWin & LWout down- & up-welling longwave radiation, G ground heat flux, H		Supprimé : infrared
18	sensible heat flux, LE latent heat flux, $\lambda$ latent heat of vaporization (units used hereafter are		
19	mm per unit time for P, R, D, Ev, Tr, ET and dS/dt, W.m <sup>-2</sup> for SWin, SWout, LWin, LWout,		
20	<u><i>LWnet</i></u> , <i>Rn</i> , <i>G</i> , <i>H</i> and <i>LE</i> , and kJ.m <sup>-3</sup> for $\lambda$ ).		<b>Comment ire [B1] :</b> Mov from beginning of section 3
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#### 2.1. Study area 21

22 The study area is located ~60 km east of Niamey, at 13.6°N-2.6°E in the south-west of the 23 Republic of Niger (Fig. 1). It consists of two plots of around 15 ha each, located ~0.5 km 24 apart on the slope of the 2-km<sup>2</sup> Wankama catchment, in the AMMA-CATCH observatory 25 (Cappelaere et al., 2009; Lebel et al., 2009). The plots consist of a millet field – millet is the 26 single most important staple crop in the whole Sahel belt – and of a fallow field which is an 27 integral part of the traditional cropping system. These are now by far the two main land use 28 types in southwestern Niger (Leblanc et al., 2008; Descroix et al., 2009), as in much of the 29 cultivated Sahel (van Vliet et al., 2013). Climate of the area is tropical semiarid, with average rainfall of  $\sim 500 \text{ mm.yr}^{-1}$  and mean temperature of  $\sim 30 \text{ °C}$ . It is typical of the West African 30 31 monsoon regime, with a long dry season of ~6 months (November-April) with practically no

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land surface models and remote sensing algorithms (Boone et al.,

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1 rain, and a wet season with 30–50 convective storms concentrated mostly from June through 2 September. Figure 2 shows this strong meteorological seasonality at Wankama, especially for 3 rainfall, humidity and wind, and to a lesser extent temperature. Soils are sandy, weakly 4 structured, poor in nutrients and prone to surface crusting, with an unsaturated depth of 5 several tens of meters (Massuel et al., 2006). Pearl millet (Pennisetum glaucum) is grown 6 using traditional techniques, relying on rainfall and animal manuring with no irrigation and 7 very little or no chemical fertilization. Sparse shrubs of Guiera senegalensis are left to grow 8 in the crop fields and cut yearly just before the growing season (April-May). Before sowing, 9 weeds are removed by shallow tilling with a hand hoe. After the first 5–10 mm of rainfall, 10 traditional non-photosensitive varieties of millet are sown in pockets with a  $\sim 1$  m spacing. 11 Depending on subsequent rain or drought, it may need to be re-sown several times before 12 plants can actually develop. Millet is harvested in late September or October, after the end of 13 the rain season. Shrubs are allowed to grow again from any remaining soil moisture in the late 14 monsoon, until the end of the dry season. The fallow vegetation typically consists of a shrub 15 layer dominated by *Guiera senegalensis* ( $< 10^3$  individuals per ha,  $\sim 2m$  high) and of a grass 16 layer made of annual C3 and C4 species in variable composition, interspersed with bare soil 17 patches (Boulain et al., 2009a). Traditional crop-fallow cycles used to alternate 10-20 years 18 of fallow with 3-5 years of cropping, but with the acute need for food production this ratio is 19 now almost reversed.

## 20 **2.2. Field data and study period**

21 At the start of the 2005 monsoon, the two plots were equipped with an identical data 22 acquisition setup for continuous recording of (i) meteorology: rainfall, air pressure, 23 temperature and humidity, wind speed and direction, 4-component radiation; (ii) high-24 frequency eddy covariance for sensible and latent heat flux estimation: 3D wind, temperature, 25 and vapor concentration; (iii) soil variables: shallow ground heat flux, 2.5 m-deep temperature 26 and moisture profiles. Details of this setup are given in Table 1. The millet plot was turned to 27 cultivation just before instrumentation began in 2005, while the fallow field had not been 28 cropped since the early 2000s. In both plots, land use remained unchanged throughout the 7-29 year study period (May 2005 – April 2012). Soil texture and bulk density were analyzed from 30 samples taken over several 2.5 m-deep profiles at different dates through the period to 31 calibrate soil moisture sensors for volumetric water content. Consistent particle size 32 distributions of ~84-92% sand and ~5-13% clay were found at all profiles. Porosity was 33 estimated from bulk density, in the range 0.32–0.36 m<sup>3</sup>.m<sup>-3</sup>.

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1 For the practical and theoretical reasons mentioned earlier (e.g., equipment failure, 2 temporarily unsuitable conditions), the data series include gaps of variable lengths (10–35% 3 missing data). Meteorological variables, needed for model forcing, were gap-filled by 4 substituting the closest available data from similar instruments deployed over the Wankama 5 catchment (Cappelaere et al., 2009). Eddy covariance data were processed into half-hourly 6 turbulent fluxes, using EdiRe software (R. Clement, U. of Edinburgh) and CarboEurope 7 recommendations (Mauder and Foken, 2004), as described in Ramier et al. (2009). Energy 8 balance closure obtained with the different measured and estimated flux components is typical 9 of what is commonly obtained with this type of instrumentation (Ramier et al., 2009). 10 Extracts from these eddy covariance data have been extensively analyzed in various – local, regional, methodological - studies (e.g., Boulain et al., 2009a; Merbold et al., 2009; Ramier et 11 al., 2009; Tanguy et al., 2012; Verhoef et al., 2012; Lohou et al., 2013; Marshall et al., 2013; 12 13 Sjöstrom et al., 2011, 2013).

14 A field survey of vegetation phenology was conducted at <u>both</u> plots every 1 or 2 weeks from June through December of all seven years (Boulain et al., 2009a). Of particular interest for 15 this study are the seasonal courses of vegetation height and leaf area index (LAI). Height was 16 17 sampled from 15-30 individuals per plot and date. LAI was derived from hemispherical photographs, following the protocol prescribed by the VALERI project 18 19 (http://www.avignon.inra.fr/valeri). They were acquired at 13 locations in a 20×20m square in 20 each plot, using a Canon EOS 500 numerical camera with a Sigma-8mm-F4 fisheye lens, and were processed with the Can-Eye software (Weiss et al., 2004). To obtain continuous daily 21 22 series over the study period (Fig. 3), LAI was interpolated between surveys and extrapolated 23 outside surveying periods based on a regression on surface albedo, as recorded by the 24 shortwave radiometers.

## 2.3. Model principles

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The SiSPAT model (Braud et al., 1995; Braud, 2000; Demarty et al., 2002) was chosen for its ability to simulate the coupled heat and water exchanges through the soil-plant-atmosphere continuum on physical bases. Model overview diagrams are provided by Fig. 1 in Demarty et al. (2004) and Fig. 6.2 in Velluet (2014). As a SVAT (Soil-Vegetation-Atmosphere Transfer) column model, it is forced at a reference level with observed meteorology (rainfall, wind speed, air temperature and humidity, atmospheric pressure, incoming short and long wave Supprimé : the two

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Supprimé : Additional information on the vegetation dynamics is available from biomass measurements, as well as from automatic cameras and radiometers especially for periods outside the growing season (e.g., shrub development in the millet field after cropping). These various information sources were used to derive a continuous daily LAI series for each plot and season over the study period (Fig. 3).

Supprimé : Over this 7-year period, rainfall shows interannual variability in amount and timing in line with that reported for the Wankama catchment over the longer 1992-2006 period (Ramier et al., 2009), suggesting that our study period is representative of the general conditions prevailing in this area. Specifically, annual rainfall (values in Fig. 3) ranges from 350 mm.yr<sup>-1</sup> to 580 mm.yr<sup>-1</sup>, with a mean and standard deviation of 465 and 81 mm.yr-1 respectively. Three years have similar, moderately below-average annual rainfall (420-430 mm.vr but differ in the number (38-50), intensity, and time distribution of rain events.

**Supprimé :** Simple Soil-Plant-Atmosphere Transfer model;

1 radiation). Two energy budgets, one for the vegetation canopy and one for the soil surface, are 2 solved concurrently and continuously for surface-atmosphere exchanges over the diurnal cycle, with temperature and humidity at the soil surface, at the leaf surface, and at the canopy 3 4 level of the atmosphere as state variables. Leaf area is prescribed as time-variable LAI, and 5 also conditions a rainfall interception reservoir. Turbulent fluxes are expressed using a 6 classical electrical analogy in this two-layer system, based on the computation of a bulk 7 stomatal resistance and of three aerodynamic resistances. The bulk stomatal resistance, 8 representing the plant physiological response to climatic and environmental conditions, is 9 modeled in terms of incoming global radiation, vapor pressure deficit and leaf water potential 10 (Jarvis, 1976). The three aerodynamic resistances are determined using Shuttleworth and 11 Wallace's (1985) wind profile parameterization inside and above the canopy. Radiation 12 transfers in the short and long wave bands account for the two layer formalism with shielding 13 and multiple reflection effects (Taconet et al., 1986).

14 A major strength of the model is its mechanistic representation of soil thermal and hydraulic 15 dynamics, by solving the coupled differential equations of heat and mass transfer, including 16 vapor phase. This allows in particular to account for strong heterogeneity in the soil profile, 17 e.g., the common presence of a surface crust in this environment, or of several soil horizons 18 with contrasted thermal and hydraulic conduction and retention properties. Different 19 parameterizations of the hydraulic conductivity and retention curves are possible. Each 20 horizon is discretized for numerical solution of the dynamic and continuity equations, with 21 variable node density in relation to magnitude of state variable gradients (e.g., higher near the 22 surface or horizon boundaries). Water is extracted by plants based on a prescribed, constant or 23 dynamic root density profile, assuming no plant storage (Federer, 1979; Milly, 1982). The 24 above- and below-surface model components are coupled through soil surface temperature 25 and humidity, leaf water potential, as well as conservation of energy and mass at the soil and 26 plant surfaces. A lower boundary condition needs to be assigned for both the heat and mass 27 transfer equations at the bottom of the simulated soil column. Various boundary condition 28 types, including Dirichlet and Neumann types, are proposed (Braud, 2000). The model is 29 forced with meteorological data at a sub-hourly timestep to <u>capture</u> the diurnal cycle, and the 30 data are linearly interpolated at the computational timestep. The timestep is adjusted 31 automatically according to soil water pressure and temperature gradients. This enables 32 accurate representation of process dynamics, e.g., when sharp variations occur during rain 33 events, as well as satisfaction of numerical convergence and stability criteria.

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The SiSPAT model has been previously applied to Sahelian sites near the study area (Braud et al., 1997; Braud, 1998), for relatively short simulation periods, but with encouraging results as to the model's ability to reproduce the Sahelian GAI behavior. It has also been used successfully in a variety of other complex, physics-oriented applications, such as isotopic tracing (e.g., Rothfuss et al., 2012) or remote-sensing simulations (e.g., Demarty et al., 2005).

## 6 2.4. Methodology

7 The SiSPAT model is forced for the fallow and millet plots with their 7-year (May 1, 2005 – 8 April 30, 2012) time series of half-hourly meteorological variables and daily LAI. A 4 m-deep 9 soil domain is considered, to minimize possible errors in surface energy and water fluxes 10 arising from assumed bottom conditions. These conditions are gravitational water drainage, 11 and constant temperature taken as the observed multiyear average at 2.5 m depth. To allow for 12 vertical non-homogeneity, the soil column is divided into five horizons named H1 to H5, with 13 depth ranges of 0-0.01 m, 0.01-0.20 m, 0.20-0.70 m, 0.70-1.20 m, and 1.20-4.00 m, 14 respectively. The thin H1 horizon makes it possible to differentiate a surface crust - if any -15 from the soil proper. Separation of the latter into H2–H5 is derived from soil density profiles 16 observed in the two fields. The 5-layer soil column is discretized into a total of 194 17 computation nodes to ensure accurate state variable profiles. These are initialized with soil 18 water content and temperature profiles observed on May 1, 2005, linearly interpolated over 19 the computation domain.

20 SiSPAT involves a rather large set of input parameters defining soil, vegetation, and surface 21 properties (Table 2). Regarding soil properties, and based on previous experience with the 22 model for these Sahelian ecosystems (Braud et al., 1997; Braud, 1998), the water retention 23 and conductivity curves for each horizon are parameterized using the van Genuchten (1980) – 24 with Burdine's (1953) condition - and the Brooks and Corey (1964) models, respectively. This leads to six hydrodynamic parameters ( $\theta_{sat}$ ,  $\theta_r$ ,  $K_{sat}$ ,  $\beta$ ,  $h_g$ , n) for each soil horizon 25 26 (Table 2). For most model parameters, estimated values or plausible ranges are derived 27 directly either from field observations or from the literature (Table 2). Note that pedotransfer 28 functions are found of little help for prior conditioning of soil hydrodynamic properties, as 29 ranges obtained are considerably larger than what is to be expected from the other information 30 sources on these parameters (Velluet, 2014). Four groups of parameters - denoted A to D in 31 Table 2 - are distinguished, differing in the way they are assigned values in this model 32 implementation, from direct assignment to model calibration on data from two of the seven Supprimé : equal to

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1 years. Definitions of these groups and corresponding parameter assignment methods are 2 detailed in Appendix A. Retained parameter values are shown in Table 2 and discussed in 3 Appendix B together with model evaluation against the whole observation record, which 4 reveals high model capability. Calibration evidences surface crusting at both sites, while 5 much more significantly for the fallow.

6 The extensive validation of the simulated series (Appendix B) permits derivation, from these 7 entire multivariate series directly, of climatological averages for the water and energy fluxes at both plots, for annual to subseasonal (running-monthly with a view to daily) timescales 8 9 (section 3). Despite the moderate sample size, sampling-induced uncertainty on estimated 10 means is quite small. Combined with the high model skill, this small statistical uncertainty 11 suggests that robust climatological features can be inferred from the analysis. The 12 significance of these results, as governed by the data and model used and by the way these two sources of information are blended in the study methodology, is discussed in section 4.1. 13

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## 3. Results: climatology of energy and water cycling at the GAI

Over the 7-year period, rainfall shows interannual variability in amount and timing in line 16 17 with that reported for the Wankama catchment over the longer 1992–2006 period (Ramier et al., 2009), suggesting that our study period is representative of the general conditions 18 19 prevailing in this area. Specifically, annual rainfall (values in Fig. 3) ranges from 350 to 20 580 mm.yr<sup>-1</sup>, with a mean and a standard deviation of 465 and 81 mm.yr<sup>-1</sup> respectively. Three 21 years have similar, moderately below-average annual rainfall (420-430 mm.yr<sup>-1</sup>), but differ in 22 the number (38–50), intensity, and time distribution of rain events.

23 Simulated variables are analyzed in their distribution at annual, semi-annual, seasonal, and 24 subseasonal scales over the study period, with the aim of estimating an average year for each 25 site from this 7-year sample. Since climatological differences in forcing fluxes (rainfall, incoming short and long wave radiation) between the two sites are all very small, these 26 27 specific variables are not duplicated in the following.

#### 28 3.1. Annual and semi-annual scale

29 The two pie charts in Fig. 4a display the distribution of the interannual mean water balance 30 into its component parts for the fallow and the millet systems, respectively. It can be seen 31 that: (i) direct soil evaporation is the largest component for both systems, and for the fallow

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For groups A and B, assignment is completely independent of model operation. Group A consists of soil parameters derived from field measurements only, either directly, for texture and residual water content  $\theta_r$  in each soil horizon, or indirectly, for the horizons saturated water content  $\theta_{sat}$  and thermal capacity, as well as for dry and wet soil albedos.  $\theta_r$  is assigned the lowest water content measured within the horizon (Table 2). For lack of observation in H1, the lowest of all measured values is used instead.  $\theta_{sat}$  is taken uniformly equal to 90% of average porosity, as this parameter displays little heterogeneity or model sensitivity. One reason for low sensitivity is that soil moisture remains far from saturation in this dry sandy environment (except locally within surface crusts during strong rain events). Dry heat capacity is estimated from porosity. Soil albedos are derived from 2-way shortwave radiation measurements in periods with no foliage. Parameters in group B (vegetation and soil emissivity, maximum stomatal resistance. vapor deficit factor in plant stress function, critical leaf potential, infrared interception parameter) are assigned from the literature only (Table 2).¶ Group C consists of additional vegetation parameters (total plant resistance, minimum stomatal resistance, vegetation albedo, short wave interception parameter, and root density profile) that are also assigned from values in the literature, however unlike group B they are slightly adjusted in final stage of parameter assignment, once group D parameters at ... [1] Supprimé : the two

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Supprimé : <#>Results¶ Water and energy conservation is written hereafter with the following notation (Eq. 1): ¶ P = R + D + Ev + Tr + dS/dt¶ SWin = SWout + IRnet + Rn with : Rn = G + H + LE [... [2]

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calibration/validation ¶ Assigned and calibrated parameter values are listed in Table 2. Drv and wet soil albedo values for the two plots are in good agreement with qualitative field indica . [3]

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1 particularly (60% of total rainfall against 52% for the millet field); (ii) canopy transpiration is 2 the second largest in both cases, albeit lower in the fallow (25%) than in the millet field (31%); (iii) these two evaporative components result in quite similar total evapotranspiration 3 for the two systems, that largely dominates the water balance (85% and 82%, respectively); 4 (iv) runoff ranks next in magnitude for both systems, but is substantially larger for the fallow 5 6 (15% against 10% for the millet field), (v) drainage (<7%) and to a lesser extent interannual 7 0-4 m soil storage variation (<2%) are significant in the millet system only (none in the 8 fallow). Canopy interception/evaporation is found to be non-significant in both systems.

9 Because, at this largest timescale, differences between the two systems are much less 10 substantial for the energy balance, a similar decomposition \_ in this case of total global 11 radiation - is presented only for the average of the two systems (Fig. 4b). It shows that net 12 Jongwave radiation is the main component (40% of global radiation), closely followed by reflected solar radiation (31%). Sensible heat ranks next (17%), followed by latent heat 13 14 (12%). Soil heat flux is negligible at this scale of integration. When compared to a globe-15 averaged continental energy budget (Trenberth et al., 2009), all components are found larger 16 at the study site, including latent heat. Regarding radiative losses, reflected short wave is 17 closer to net Jongwave loss than it is globally. As for turbulent losses, sensible heat is greater 18 than latent heat, contrary to globe averages.

19 Figure 5 displays in more detail the climatological water and energy balances for both 20 systems, at annual scale and for two 6-month periods corresponding to the monsoon (May-21 Oct.) and dry (Nov.–Apr.) seasons, respectively. Elemental components are also grouped by 22 type: liquid versus atmospheric vapor fluxes for water (Fig. 5a), radiative versus turbulent for 23 energy (Fig. 5b). Estimated annual means are shown with standard estimation errors, and 24 sample ranges. It can be seen that sampling uncertainty on estimated means is very small for all energy variables (max. standard error of 2.8 W.m<sup>-2</sup>, for latent heat flux in the fallow) 25 relative to energy input (248 W.m<sup>-2</sup>). Relative to the 465 mm.yr<sup>-1</sup> rainfall, standard estimation 26 error is higher for water balance components: up to 14.3 and 21.2 mm.yr<sup>-1</sup> for evaporation and 27 total evapotranspiration from the fallow, respectively. 28

Results suggest that annual-scale differences between ecosystems – even though small for the energy balance – are statistically significant for most elemental components. Exceptions are turbulent (latent or sensible) heat fluxes, and also aggregated liquid fluxes. Hence, when switching ecosystems, tradeoffs occur at annual scale between runoff and drainage (~<u>30</u> mm.yr<sup>-1</sup>, with more runoff for the fallow and vice-versa), between direct soil evaporation. Supprimé : which

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and canopy transpiration (~33 mm.yr<sup>-1</sup>, with more transpiration from the millet field & v.-v.), 1 or to a lesser extent between short and long wave radiation losses ( $\leq 6 \text{ W.m}^{-2}$ , with more long 2 wave for the millet field & v.-v.). Stronger yet is the tradeoff (~50 W.m<sup>-2</sup>) between radiative 3 4 and turbulent fluxes when switching seasons (more radiation in dry season & v.-v.). 5 particularly between Jongwave and latent heat losses, Short wave and sensible heat are much less impacted, with only 9.3 and 6.6 W.m<sup>-2</sup> variation, respectively, When considering 6-month 6 7 seasons separately, sensible heat and reflected solar radiation are still not very significantly 8 different between ecosystems, nor is wet-season transpiration. In contrast, dry-season 9 transpiration is much larger for the millet system with  $\sim 23\%$  of annual total, versus  $\sim 4\%$  for 10 the fallow.

## 11 **3.2. Detailed seasonal cycle**

12 We are interested here in the general pattern of variation of daily variables over an average year, as can be derived from the 7-year sample. Figures 6a and 7a display the estimated 13 14 interannual mean seasonal courses of water and energy budget components, respectively. A 15 30-day running averaging was applied, to filter out high-frequency components and obtain a 16 more robust estimate of the low frequency-dominated population's mean seasonal cycle (the 17 value of this filtering is further discussed in Sect. 4.1.3). The sample-induced standard 18 estimation error is shown as a confidence interval for each variable. It can be seen that the 19 sample of years enables deriving interannual mean cycles with low statistical uncertainty, 20 especially for most energy variables. Water cycle variables show somewhat larger relative 21 uncertainties, with the noticeable exception of millet transpiration for which statistical 22 uncertainty is very small ( $<0.14 \text{ mm.d}^{-1}$ ).

## 23 **3.2.1. Water**

- The rainfall signal displays the slightly-skewed bell shape, with a slow rise and sharp tail, <u>that</u> is typical of Sahelian rainfall seasonality (Fig. <u>6a</u>). It is even strikingly close to the 1990–2007 mean seasonal cycle obtained for a 5° × 5° window centered on the study site (Lebel and Ali, 2009), including: start/end timing, amplitude (~5.7 mm.day<sup>-1</sup>) and timing of peak as well as of the successive phases of monsoon development (plateau in June, secondary peak and break in late July) and recession (plateau at the <u>turn of August to September</u>) which are characteristic of the Sahelian monsoon regime.
- 31 Overall, <u>both</u> seasonal soil evaporation and runoff follow rather homothetic general courses 32 relative to the rainfall bell, <u>yet</u> smoother for evaporation, <u>Maxima are at 2.8 and 2.4 mm.day<sup>-1</sup></u>

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for evaporation and 1.1 and 0.8 mm.day<sup>-1</sup> for runoff at the fallow and millet sites respectively 1 (Fig. 6a). However, when considering the corresponding ratio to concomitant rainfall 2 3 (Fig. (b)), a general V-shape is obtained for evaporation, from ~0.8 at the beginning and the 4 end of the season, down to a low of 0.5 (fallow) or 0.4 (millet) at the monsoon peak. The 5 shape is essentially opposite for the runoff ratio, in the range 0-0.2 (lower for the millet field than the fallow), albeit with a double peak: an absolute high in the 2<sup>nd</sup> half of July (cf. 6 7 secondary rainfall peak, above, and peak rain intensity in Fig. 2a) and a relative high in late 8 September, separated by a relative low at the monsoon peak.

9 As transpiration is strongly buffered by the whole soil/vegetation system, it displays a very 10 smooth course (Fig. 6a), lagged relative to rainfall by about 1 month for the fallow and 1.5 month for the millet system, and peaking around 1.5 mm.day<sup>-1</sup> (slightly higher for the 11 millet system). The lag in millet-field transpiration is to be related to the late phenological 12 13 development of this ecosystem (Fig. 3), due in part to shrub management in the mixed crop-14 shrub farming. It is worth noting however that transpiration in the millet field peaks not only 15 well after soil water content (storage inversion in Fig. 6a), but also slightly after LAI, with a 16 growing contribution of the deep root zone (Fig. \$a). This may be traced both (i) to the 17 downward extension of root extraction capacity that continues in that period - with shrub regrowth – in a wet subsoil (Fig. 8b), and (ii), maybe more importantly, to the dynamics of 18 the energy budget, with sustained global radiation but vanishing soil evaporation, allowing for 19 20 higher density of transpiration flux per unit leaf area.

Drainage from the millet plot at 4 m depth starts the latest of all fluxes (around beginning of September), peaks in October with limited intensity (max.: 0.3 mm.day<sup>-1</sup>), and recedes slowly over the dry season. Until nearly the end of September, all "consumptive" fluxes (runoff, evaporation, transpiration, but not drainage which <u>has just started</u>) are substantially lower at the millet site than at the fallow, implying much higher storage/lower destorage up to then. This results in much higher <u>soil</u> water content in the millet plot through the whole average year, as illustrated by Fig. §b for the root zone.

## 28 3.2.2. Energy

Due in particular to intertropical latitude and concomitance of the astronomical summer with the cloudier monsoon season, global radiation shows only limited seasonality (230– 275 W.m<sup>-2</sup> range in average year), with two lows at winter solstice and peak monsoon, an absolute high in March, and a relative high, end of September (Fig. <u>7a</u>). Yet seasonality is



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1 strong for all consumptive - radiative or turbulent - components of the energy cycle, and is 2 essentially driven directly or indirectly by the single-pulse monsoon and associated water 3 cycle dynamics. Only ground heat flux exhibits a bimodal response, but with small amplitude 4  $(\pm 4 \text{ W.m}^{-2})$ . Direct control by water occurs mostly through latent heat, whose dynamics shows among all energy components (i) the largest amplitude, peaking at  $\sim 110$  and 90 W.m<sup>-2</sup> 5 for the fallow and millet plots respectively, and (ii) the shortest duration: Jatent heat vanishes 6 7 very quickly in the dry season for the fallow, and  $\sim 2$  months later in the millet field. Soil 8 moisture also directly impacts the ground heat flux and albedo, via soil thermal conductivity 9 and color, respectively. Indirect water impact is that of vegetation on latent heat and albedo. 10 Combined direct and indirect water effects on albedo (Fig. 7b) result in further reduction of 11 net short wave seasonality (not shown). Due to the stronger dynamics of soil evaporation compared with canopy transpiration (Fig. 6a), latent heat peaks concomitantly with the 12 13 former, during transpiration rise, even for the fallow. The time offset for transpiration results 14 in a longer recession of latent heat - especially for the millet field - relative to soil 15 evaporation alone.

16 As the monsoon sets in, consumption by latent heat of a major part of the net short wave 17 energy (more than half at monsoon peak, even for the less-consuming millet plot), carves a corresponding hollow in the courses of both net longwave and sensible heat (Fig. 7a), through 18 19 lowering of surface temperature. These hollows are modulated in their amplitude and timing 20 by other atmospheric controls, such as air humidity for net longwave radiation (making LWnet start decreasing by early April, i.e. before rain season onset and peak temperature, thereby 21 22 offsetting increased solar interception by the atmosphere) or wind regime for sensible heat. 23 Sensible heat and, to a much lesser extent, ground heat reflect a combination of these different 24 forcings, suggesting they are more dependent than all previous fluxes on the interplay 25 between the various land surface forcings and processes. Further illustrating the relative prominence of monsoon processes over incoming solar radiation, net radiation follows a 26 27 relatively simple course with a long rise (late December – early September) and a short 28 recession.

29 The energy cycle dynamics is overall sharper and more pronounced for the fallow plot, 30 generally displaying a somewhat earlier timing. For example, like latent heat, net radiation is 31 higher (lower net longwave) in the fallow until late September, and vice-versa until the next 32 monsoon (~end of April). The evaporative fraction (part of latent heat in total turbulent heat 33 flux, Fig. 7b) reaches around 0.9 in August in the fallow, versus less than 0.7 in the millet Supprimé : . Note the virtual absence of Supprimé : through much of the

dry season, starting

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Supprimé : Net longwave radiation is significantly higher for the millet field in the period from May to mid-September (up to +16 W.m<sup>2</sup>), and is only briefly lower at the beginning of the dry season (up to ~-6 W.m-2 Differences are reversed but smaller for upwelling short wave radiation, with the fallow reflecting more than the millet field through most of the dry season (~+4 W.m<sup>-2</sup>) and only slightly less in the peak of the wet season (~-3 W.m<sup>-2</sup>, ~late August). It also produces more sensible heat than the millet field at the turns from the wet to the dry season (~ 8 W.m<sup>-2</sup>) and from the dry to the wet season (up to ~+10 W.m<sup>2</sup>), but less in the core monsoon season (up to  $\sim 12$  W.m<sup>-2</sup>). Latent heat is higher in the fallow from June to September (up to ~+21 W.m-2) and lower from October through most of the dry season (up to 21.5 W.m<sup>-2</sup>). The net radiation pattern is similar (up to  $\sim \pm 15 \text{ W.m}^{-2}$ ), albeit starting earlier (mid-April to ~mid-September) and ending earlier (mid-October to mid-January) for the first and second periods, respectively. There is no significant difference in climatological ground heat flux between the two systems at this timescale.

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## 4. Discussion

## 5 4.1. Results significance

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## 4.1.1. Representativity of study sites and period

7 To our knowledge, this study is the first attempt to put forward a climatological view of GAI 8 energy and water fluxes in the Sahel environment. While only two sites are considered in this 9 study, a fallow bush field and a rainfed millet field, these are quite representative of dominant 10 ecosystems in the Sahelian agricultural context. This not only applies to southwestern Niger 11 but also to a very significant part of the whole sub-Saharan Sahelian belt. Variations 12 obviously exist within this huge domain, depending on geology, monsoon specifics, 13 population and agricultural practices, however first regional flux-site intercomparisons 14 (Merbold et al., 2009; Sjöström et al., 2011, 2013; Lohou et al., 2013) evidenced strong 15 similarities over the Sahelian domain, relative to the other eco-climatic domains of tropical Africa. Hence, it is believed that the new results obtained at these two sites can serve as a 16 17 useful reference well beyond the study area.

18 Previous studies (e.g., Braud, 1998; Verhoef et al., 1999; Miller et al., 2009; Ramier et al., 19 2009; Saux-Picart et al., 2009a) provided specific experimental and/or modeling results for 20 surface fluxes in such ecosystems over much shorter periods, i.e. at scales ranging from a 21 single event to at most an annual cycle. For instance, Miller et al. (2009) made a detailed field 22 analysis of the surface energy balance at subseasonal to seasonal scales, based on a one-year 23 record at a Niamey fallow site, i.e. in conditions very similar to ours. However, in light of the 24 7-year series studied here, it appears that the quite dry observation year (375 mm) at their site 25 produced substantial flux anomalies, e.g. comparable latent and sensible heat fluxes at the 26 height of the rain season. Such results could be misleading if they were considered alone. 27 Conversely, the season analyzed by Ramier et al. (2009) was unusually wet (580 mm). This 28 underlines the need for multi-year series to derive major features of surface response to 29 variable monsoonal forcing. The unprecedented length of our study period for this region is a 30 step in that direction. Seven years is probably a lower limit for producing robust results.

31 However it seems a reasonable length in light of the rather small statistical uncertainty on

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1 estimated variables. Comparison of rainfall statistics for the 7-year period (interannual mean 2 and variability, seasonal distribution) with longer records for the catchment (Ramier et al., 3 2009) or for the area (Lebel and Ali 2009), suggests that our study period is quite 4 representative of current monsoon conditions in the Central Sahel. Accounting for non-5 stationarities in climate or in the hydro-ecosystem (land cover, soil) or for land management variability (e.g., crop/fallow rotation, cultivation practices, animal grazing/manuring) is 6 7 another challenge facing the long-term observatory in the Wankama catchment (Cappelaere et ) 8 al., 2009). Now that a seemingly robust model has been developed for these ecosystems, it 9 will be interesting to investigate additional years as more meteorological and phenological 10 forcing data become available.

## 11 4.1.2. Model versus Data

It was suggested in the introduction that the study's objectives could not be met with field 12 data alone. This section further examines the need for and merits of the model-data 13 14 integration performed. As mentioned, field data limitations include: (i) not all variables of 15 interest being monitored (e.g., evapotranspiration partitioning, runoff, drainage), (ii), 16 substantial, unevenly distributed gap rates (one fourth to one third of turbulent fluxes) 17 observations missing here after data-filtering - depending on variable and site - 11 to 18% for 18 other energy fluxes), and (iii) measurement representativity and accuracy issues, including 19 scale discrepancies.

20 "Black-box" gap-filling techniques do exist, but they boil down to very basic data modelling, 21 with crude hypotheses, which themselves may induce considerable errors and biases. Even 22 when more elaborate modelling is achieved as it is here, observational shortcomings as well 23 as likely statistical biases in deriving a climatology from a heterogeneous series of gapfilled observations, severely question the basic gapfilling approach. Using instead the physics-based 24 model simulation for the whole reference series, provided it is constrained by successful 25 26 calibration/validation with dense and diverse observations through the whole simulation 27 period, better integrates all sources of information into a homogeneous, coherent series. 28 Specifically, it allows to (i) make all available field information work together (across 29 variable types and over time) instead of separately, (ii) constrain them with physical 30 principles as regularization rules, to find the best compromise and make the most sense of all 31 these different types of information/knowledge, (iii) produce output variables at a consistent 32 plot scale, obeying known physics, and as compatible as possible with the whole dataset.

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Supprimé : Another problem with working from raw data only, is the usual limitations of field observations as for accuracy and representativity. The difficulties of obtaining reliable field estimates of surface fluxes are well known, especially for turbulent fluxes and even more so for evapotranspiration/latent heat flux (Foken et al., 2006), also for ground heat flux. Failure to close the energy budget by ~15-20% is generally observed, but this can reach over 30% (Foken, 2008), casting substantial uncertainty on estimated fluxes. Part of the problem comes from the differences in measurement scales of the various fluxes or variables. from a point or a few cm<sup>2</sup> of soil to several hectares (variable in size and location depending on wind and atmospheric conditions for turbulent fluxes), with associated spatial heterogeneities.

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1 Attempting to match a long and diverse set of observations – all high-resolution surface 2 energy fluxes, soil moisture/temperature profiles – with a rather complex model, could be seen as quite a challenge. Results show that this is feasible for the two ecosystems and the 3 4 variable forcing conditions (Appendix B), with parameters assigned in part from prior 5 knowledge from the field and the literature, and in part from split-sample 6 calibration/validation (2 and 5 years, respectively). This was performed with a heuristic 7 parameter adjustment method, based on expertise of the model, the data, and field properties 8 and processes (Appendix A). In the authors' judgement, the compromise achieved in 9 integrating the various data and regularization constraints is about the best possible. Some 10 parameter equivalence does exist, however because of the strong conditioning by the wide 11 range of control variables and simulation conditions, including those for validation, this 12 should not affect the simulated trajectories unduly. In this study, model application is 13 restricted in time to the observation period, which avoids extrapolating to weakly conditioned 14 situations. However the calibrated model is thought to have the potential for reliable 15 simulations well outside the observed conditions. Regarding unobserved fluxes, the fact that 16 they may often occur separately in time (runoff during rainstorms, evaporation in the early 17 rain season, transpiration during dry spells and in the early dry season) makes 18 calibration/validation of their main controlling parameters, and hence their simulation, all the 19 more reliable.

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These key methodological issues are further discussed in Velluet et al. (2014).

#### 21 4.1.3. Timescales of seasonal cycle analysis

22 Strictly speaking, because of the 30-day filtering applied to the simulated time series, the 23 mean seasonal cycles produced (Figs. 6, 7 and 8) pertain to moving monthly quantities. 24 However, the very smooth variations to be expected for the population's mean cycles should 25 imply low sensitivity of the latter to time resolution below one month. Hence it is believed 26 that the estimated seasonal courses of Figs. 6-8 provide rather good climatological estimates 27 for finer timescales as well, down to daily resolution. Only the peaks (highs & lows), for this 28 finest resolution, would be expected to be slightly smoothed out (underestimated maxima, 29 overestimated minima). To get an idea of the possible differences between the population's 30 daily and running-monthly mean seasonal cycles, we can simulate their relationship by 31 applying a 30-day filter to the estimated seasonal signals of Figs. 6a and 7a: this reduces the 32 seasonal standard deviation of water cycle variables by only 2% (for soil evaporation) to 5%

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1 (for runoff), and by 1.5% (net <u>longwave</u> or latent heat) to 3% (sensible heat) for all energy 2 variables but global radiation and ground flux ( $\sim$ 7%). Note that these figures are quite stable 3 with respect to recursive filter application, suggesting a robust approach. To obtain more 4 rigorous, direct/unbiased estimates for the daily resolution, a record of considerable length 5 would be needed to filter out sampling-induced high-frequency noise and ensure acceptable 6 standard estimation error. To reach everywhere the same order of statistical uncertainty as 7 with the estimations presented here, the required length is evaluated to vary from  $\sim 15$ -8 20 years for soil water storage or drainage in the millet field, to several centuries for rainfall, 9 runoff, ground heat flux, or reflected shortwave (with >2 decades for plant transpiration, >310 decades for net <u>longwave</u> radiation, and 6-10 decades for soil evaporation and all turbulent

11 heat fluxes).

12 Finally, as only the systems, mean behaviors are investigated here, variability around

13 climatological means is not reflected. Thus, it should be kept in mind that, at any timescale

- 14 (daily to annual), some of the features highlighted by this first-order analysis may not hold at
- all times, and that they can even turn out to be the opposite under certain circumstances.

16 **4.2. Insights into some key GAI processes** 

17 <u>This discussion focuses on water cycle processes, as they were shown to also largely</u>
18 condition the other GAI processes in this environment (section 3.2.2).

## 19 **4.2.1.** Runoff/infiltration, soil storage and drainage

20 Runoff values for the two sites are compatible with results from previous field plot studies in 21 the area (e.g., Peugeot et al., 1997; Estèves and Lapetite, 2003). They show high variability, 22 with annual runoff spanning a range of ~120% of mean for both sites, and annual runoff 23 coefficient ranging 5.6-18.8% for the fallow and 2.6-13.3% for the millet plot. High runoff 24 from the fallow is due in particular to a low hydraulic conductivity and high retention in the 25 thin surface horizon (H1), representing the soil crust observed in the field. Lower runoff from the millet field is largely due to the comparatively higher conductivity / lower retention of its 26 27 own H1 horizon. However a sharp contrast with the underlying sandy soil (H2–H5 horizons) 28 is also found, confirming that some degree of superficial restriction of infiltration/crusting 29 subsists despite cultivation (Rockström and Valentin, 1997), even if infiltrability is 30 significantly improved.

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1 These differences in rain infiltration capacity between the two plots appear to be one cause for 2 the consistently higher soil water storage obtained for the millet field, but not the only one. 3 The other one – even more important, as hypothesized by Ramier et al. (2009) – appears to be 4 lower evapotranspiration from the millet field, at least until late September (Fig. 7a). On average, these two factors account for respectively about one and two thirds of the difference 5 in 0-4 m soil storage up to that date. Direct soil evaporation dominates in this 6 7 evapotranspiration contrast, however both soil evaporation and rain-season plant transpiration 8 are lower in the millet field, despite generally higher soil moisture. Hence it appears that a 9 conjunction of factors leads to higher soil water content in the millet field through the wet 10 season.

Consequences of this higher water storage are that, when the end of the rain season 11 12 approaches, drainage can start to occur at the 4 m-depth in the millet field - at least in sufficiently wet years -, as well as shrub regrowth that sustains transpiration into the dry 13 14 season. This is not the case for the fallow. Even though drainage amounts to a modest fraction of the plot water balance, the average 31 mm.yr<sup>-1</sup> estimated under the millet field (plus the 15 8 mm.yr<sup>-1</sup> of net soil storage, essentially below the root uptake zone) represents a significant 16 17 potential recharge source for the unconfined aquifer, given the considerable fraction of land 18 now cropped (e.g., Leblanc et al., 2008). Due to the low water table ( $\sim 30-40$  m at the study 19 site; Massuel et al., 2006; Descroix et al., 2012), soil drainage should take years or decades to 20 actually reach the saturated zone (Ibrahim et al., 2014). Hence water infiltrated after the 21 extensive clearing of recent decades may in the future contribute to sustain very significantly 22 the current rise in the water table, attributed mainly to enhanced indirect recharge via runoff 23 to surface ponds (Favreau et al., 2009).

24

## 4.2.2. Evapotranspiration and its partitioning

25 Most of the year, evapotranspiration appears to be water-limited, with the latent heat flux 26 being tightly connected to variations in soil water and rainfall. Only at monsoon peak 27 (August-beginning of September) does the evaporative fraction (Fig. 7b) or the ratio to 28 reference evapotranspiration (Allen et al., 1998; not shown) approach one, suggesting that 29 evapotranspiration becomes then more energy-limited. Both ratios peak higher for the fallow, 30 despite lower total soil moisture.

31 On average over the study period, estimated transpiration amounts to  $\sim 32\%$  of total 32 evapotranspiration at the fallow site, and  $\sim 40\%$  at the millet site. This is a little more than that Supprimé : 9

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1 obtained for the same fields by Saux-Picart et al. (2009b) with the SEtHyS Savannah model 2 (~27 and 31% respectively), but for a two-year period with higher average rainfall. Simulated 3 millet transpiration is consistent with field estimates during the peak growth season at a 4 nearby site (< 20km; Soegaard and Boegh, 1995). Relatively small contributions have been 5 reported for transpiration from the shrub layer in fallows (Brunel et al., 1997; Tuzet et al., 6 1997). Although no direct continuous observation of this partitioning of evapotranspiration 7 into plant transpiration and soil evaporation is available at the two study sites, the fact that 8 one and only one of these two components is negligible at certain times of year (transpiration 9 in the early rain season before LAI actually starts; evaporation after the rain season) enables 10 validation of the other component through total evapotranspiration for those periods.

11 The increase in transpiration in the late monsoon when soil evaporation declines (Fig. 6a; 12 especially for the millet system where soil moisture is still high) is interpreted partly as 13 reflecting a relaxed competition for energy between the two processes. Note that the climatic 14 water demand, as expressed by reference evapotranspiration, does not rise again after its 15 monsoon low until the winter solstice. A corollary phenomenon, with soil evaporation bursts 16 that appear to depress plant transpiration, is noticeable at smaller timescales, just after rain 17 events. In the following days, transpiration recovers as evaporation declines (also reported by 18 Braud et al., 1997), suggesting that evaporation extinction – for lack of shallow soil moisture 19 <u>– makes energy available for more plant transpiration.</u>

20 Our results temper Miller et al. (2009)'s suggestion that the seasonal course of 21 evapotranspiration is driven primarily by the contribution of plants to atmospheric moisture, 22 in this environment. They also temper the hypothesized benefit that plants could draw during 23 a growing season from subsurface moisture accumulated during the previous rainy season: 24 while this does happen in our simulations for the millet field vegetation in the months just 25 after the rain season ( $\sim 7\%$  of rainfall, on average; Figs. 5a, 6a and 8a) and possibly to a 26 limited extent for moisture carried over from one monsoon season to the next in the 1.5-2.5 m 27 depth range (Fig. **%**), no comparable benefit appears for the fallow in this study.

Partly due to this late wet season/early dry season shrub regrowth in the millet field, the general picture of higher evapotranspiration from a fallow ecosystem than from a millet field (Gash et al., 1997; Ramier et al., 2009) is also somewhat moderated by our results. In this study, this is true on average during most of the rainy season (Fig. <u>6</u>) – despite generally lower soil moisture –, but not in the late September–January period, making annual totals turn out very similar (fallow slightly above). Also, when considering interannual variability,

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rankings may revert both annually and/or at some periods of the wet season, likely in relation
with higher short-timescale variability in transpiration for the fallow. This larger variability
can be traced both to the lower and more variable soil storage (Fig. <u>8b</u>) that makes fallow
vegetation more exposed to rainfall shortage, and to the higher LAI variability (Fig. 3)
reflecting higher ecosystem sensitivity to environmental conditions (Boulain et al., 2009a)
and exposure to external factors such as pasturing.

7 Finally, our results also suggest that these contrasts in wet season evapotranspiration between 8 the two ecosystems, originate at least partly from differences in generation of direct soil 9 evaporation, which is clearly enhanced in the fallow field. Hence, higher rain season 10 evapotranspiration from the fallow may not – only – be related to plant physiological effects 11 on transpiration, but maybe more importantly to the physics of direct soil-atmosphere exchanges within these two ecosystems (e.g., differences in convective "shield" effect, cf. 12 Tuzet et al., 1997, or in shallow soil properties). Whether this conclusion can be generalized 13 14 requires further analysis.

15

## 16 **5.** Conclusion

17 The purpose of this work is to build upon a unique, multi-year record of local water and 18 energy observations for two typical plots in south-west Niger, in order to propose for the first 19 time a climatology of these processes in the Sahel region. The methodology relies on the 20 development of a detailed, physically-based column model that is finely calibrated/validated 21 against this important dataset. It provides a time/depth-continuous series of all water and 22 energy variables involved, over a full 7-year period. This includes unobserved variables, most 23 notably direct soil evaporation, plant transpiration, runoff, and drainage. The model, forced with observed meteorology and phenology, is calibrated against two years of data and 24 25 evaluated against the full seven years, showing very good skill in reproducing the whole 26 observation record. For instance, the model is able to reproduce faithfully the observation of larger evapotranspiration in the fallow than in the millet plot during most of the rainy season 27 28 despite lower soil moisture. The variety of monsoon conditions encountered and of evaluation 29 variables used – covering the full surface energy balance (short and long wave radiation, 30 turbulent fluxes, soil heat flux), and 2.5 m-deep soil moisture and temperature profiles -31 offers a comprehensive set of constraints that ensures a reliable model trajectory.

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1	The time series <u>simulated</u> for all water and energy variables are analyzed statistically at	
2	several timescales: annual and seasonal aggregates, seasonal cycle of running-monthly to	
3	daily values. A detailed documentation of climatological mean water and energy cycling, with	
4	sample-related uncertainty, is thus <u>produced</u> . From this analysis, new insights are derived on	Supprimé : presented
5	the interplay between processes, that corroborate, refine or question some ideas proposed so	
6	far in the literature. Uncertainty sources other than time sampling are not considered	
7	quantitatively in this study, as this requires elaborate assumptions to be made for all possible	
8	error sources, which is an upcoming step in this project.	Supprimé : of
9	With evapotranspiration/latent heat representing over 80% of the mean annual water budget	
10	and nearly half the energy budget in the peak monsoon, the case for studying these two	
11	strongly-coupled cycles jointly, and for resolving this coupling explicitly, is thus strongly	
12	supported for the Sahel region. The atmospheric vapor flux is shown to be dominated by	
13	direct soil evaporation during most of the monsoon season in the average year. Plant	
14	transpiration becomes dominant only in the last part of the wet season (from the second half	Supprimé : starting in
15	of September) and continuing into the beginning of the dry season.	
16	Differences between the two land cover types are substantial for most components of the	
17	water budget. For instance, differences in estimated annual mean runoff (~45 and	
18	$\sim$ 70 mm.yr <sup>-1</sup> for the millet and the fallow, resp.) and drainage ( $\sim$ 30 mm.yr <sup>-1</sup> and none, resp.)	
19	may induce potentially important land use effects on water resources. All climatological water	Supprimé : , but less so for the energy budget
20	fluxes are higher in the fallow until around end of September, and over the whole wet season	
21	for runoff and soil evaporation; conversely, soil storage, drainage and dry-season plant	
22	transpiration are always larger in the millet field, Differences are somewhat smaller for the	<b>Supprimé :</b> (no drainage from the fallow)
23	energy cycle, with overall more pronounced dynamics in the fallow plot.	Supprimé : Like latent heat, net radiation is higher (lower net
24	These qualitative and quantitative results should prove useful as reference field information	infrared) in the fallow until late September, and vice-versa until the next monsoon (~end of April).
25	for various purposes, such as evaluating and improving land surface models and remote	Differences in sensible heat are shorter-lived, with more
26	sensing algorithms in the framework of the <u>current ALMIP-2 project<sup>2</sup> (Boone et al., 2009b).</u>	pronounced extrema (high in May, low in August) for the fallow.
27	To our knowledge, the study presented here represents one of the most extensive analyses of	Supprimé : or
28	local field-scale water & energy cycling performed for the Sahelian context to date,	<b>Supprimé :</b> for the Sahelian belt, as undertaken for example
29	associating both a unique dataset in length and quality and a very detailed, finely calibrated	Supprimé : ongoing
30	model. This climatological analysis is currently being extended to subseasonal variability	Supprimé : /

<sup>&</sup>lt;sup>2</sup> AMMA Land Model Intercomparison Project – Phase 2

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1 around mean behavior, with the aim of providing comprehensive statistical signatures of 2 surface fluxes to serve as reference for land-atmosphere studies. Observations are continuing 3 at the Wankama site to extend model evaluation information, including to other land cover 4 types, and to evaluate effects of land management practices on the water and energy balances 5 (Cappelaere et al., 2009). Finally, as argued strongly in the discussion, it is believed that the unconventional approach used to combine all sources of information available into a 6 7 homogeneous reference series through extensive model-data integration, is the best way to 8 produce the desired climatological characterization. The model properties and qualities also 9 allow considering its application to making projections beyond the study conditions (Velluet, 10 2014).

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13 Appendix A: Model parameter assignment methodology

14 For groups A and B, assignment is completely independent of model operation. Group A 15 consists of soil parameters derived from field measurements only, either directly, for texture 16 and residual water content  $\theta_r$  in each soil horizon, or indirectly, for the horizons' saturated 17 water content  $\theta_{sat}$  and thermal capacity, as well as for dry and wet soil albedos.  $\theta_r$  is assigned 18 the lowest water content measured within the horizon (Table 2). For lack of observation in horizon H1, the lowest of all measured values (0.01 m<sup>3</sup>.m<sup>-3</sup>, in the fallow's top centimeters) is 19 used instead.  $\theta_{sat}$  is taken uniformly equal to 90% of average porosity, as this parameter 20 21 displays little heterogeneity or model sensitivity. One reason for low sensitivity is that soil 22 moisture remains far from saturation in this dry sandy environment (except locally within 23 surface crusts during strong rain events). Dry heat capacity is estimated from porosity, for low 24 organic matter (expression in Table 2; Hillel, 1998). Soil albedos are derived from 2-way 25 shortwave radiation measurements in periods with no foliage. Parameters in group B 26 (vegetation and soil emissivity, maximum stomatal resistance, vapor deficit factor in plant 27 stress function, critical leaf potential, <u>longwave</u> interception parameter) are assigned from the 28 literature only (Table 2).

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Group C consists of additional vegetation parameters (total plant resistance, minimum stomatal resistance, vegetation albedo, short wave interception parameter, and root density profile) that are also assigned from values in the literature, however unlike group B they are slightly adjusted in final stage of parameter assignment, once group D parameters are

1 calibrated. This enables fine tuning for some specific stages of the seasonal cycle (e.g., late 2 monsoon, early dry season), when these parameters are most important. Root profiles are 3 considered invariant for the fallow but seasonally-dynamic for the millet system. Finally, 4 group D consists of soil parameters that cannot be ascribed prior values with sufficient 5 accuracy, with respect to model sensitivity to these values, and are thus calibrated within prior 6 ranges (Table 2). These are four hydrodynamic parameters -  $K_{sat}$ ,  $h_g$ , n,  $\beta$  - and the soil 7 thermal conductivity scaling parameter, for each horizon. Only two contrasted hydrological 8 years (May 1, 2006 - Apr.30, 2008) are used for calibration, the five remaining years being 9 devoted to validation. Calibration is performed using a heuristic, stratified approach derived 10 from prior sensitivity investigation, previous experience with the model (Braud, 1998; Boulet 11 et al., 1999; Demarty et al., 2004, 2005), results from similar experiments (e.g., Ridler et al., 12 2012), and understanding of the physics of the various processes involved (see, e.g., 13 Cappelaere et al., 2009). All observed variables that are sensitive to a subset of parameters 14 being calibrated are used for this purpose, at half-hourly timestep, with the aim of achieving 15 the best compromise between these variables given their observability (accuracy, 16 representativity). Several regularization rules are applied: (i) parameter values should remain 17 within prior ranges; (ii) spatial variations (with depth and plot) in soil parameters should 18 remain consistent with variations/similarities in observed characteristics. Impacts on the main 19 evaluation variables (all energy fluxes, soil moisture and temperature profiles) are analyzed 20 one parameter at a time, within its range, with the purpose of narrowing the latter 21 conservatively. This analysis is repeated for every parameter in subset, and iterated several 22 times until convergence is deemed acceptable. Finally the aforementioned slight adjustments 23 are made to group C vegetation parameters.

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## Appendix B: Model calibration/validation results

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Assigned and calibrated parameter values are listed in Table 2. Dry and wet soil albedo values for the two plots are in good agreement with qualitative field indicators such as soil color and surface roughness. Soil hydrodynamic and thermal parameters in the H2–H5 horizons are consistent with the sandy texture, and exhibit moderate heterogeneity with depth and between sites, especially for the H3-H5 horizons. Among the van Genuchten-Burdine retention parameters, and relative to prior ranges,  $h_g$  is the most variable between horizons (-0.2 to -

1 0.6 m), gradually with depth. Saturated hydraulic conductivity  $K_{sat}$  displays little variability across these horizons with values of  $5.10^{-5} - 7.10^{-5}$  m.s<sup>-1</sup>, on the upper side of the prescribed 2 range. Most contrasting are the H1 hydraulic parameters, in accordance with surface crusting 3 4 observed at the two sites that reduces permeability very substantially. A factor of 1:700 is found on  $K_{sat}$  between the surface and the underlying horizons at the fallow site. This factor is 5 6 lower (1:200) at the millet site, presumably due to the cultivation effort by the farmer. 7 Similarly, the  $\beta$  parameter is found higher for H1 at both sites, further reducing shallow soil 8 hydraulic conductivity. The *n* water retention parameter and the thermal conductivity scaling 9 parameter are also different for the H1 horizon. Finally, values obtained for vegetation 10 resistance parameters agree very well with new experimental results at the fallow (Issoufou et 11 al., 2013) and millet (Issoufou, unpublished) sites.

12 Statistics of model skill at half-hourly resolution (root mean square error RMSE, bias, correlation r, and Nash-Sutcliffe's efficiency *NSE*) are shown in Table B1 for the whole 7-13 14 year period as well as for the calibration period alone. For both ecosystems, scores are overall 15 very good, relative to the uncertainty that must be expected from these observations, and to 16 what can generally be achieved when modelling these variables. A good balance is reached 17 between the different types of evaluation variables, i.e., surface energy fluxes, soil moisture 18 and temperature profiles. Scores for the two periods are of the same order, suggesting that 19 although calibration uses only two years, it is quite robust across variable climatic and 20 environmental conditions, without overfitting to those two years' specifics. For many criteria, 21 performance over the whole period is even slightly better, due a lower weight of the wettest 22 year (2006) which the model reproduces a little less efficiently.

23 Overall, model skill appears positively related with the field-estimation precision that can be 24 expected for each variable. Upwelling short-wave radiation is always very well simulated, with *RMSEs* in the order of 10 W.m<sup>-2</sup> (*NSE*  $\approx$  0.99) for any site and period (whole simulation 25 or calibration only), Scores for long-wave radiation are also quite good, albeit with slightly 26 higher *RMSEs* (in the range 15–18 W.m<sup>-2</sup>, depending on site and period;  $NSE \approx 0.93-0.95$ ). 27 Consequently, RMSEs of net radiation (Rn) are small, slightly higher for the millet plot 28 (< 19 W.m<sup>-2</sup> versus < 15 W.m<sup>-2</sup> for the fallow;  $NSE \ge 0.99$ ), while Rn shows slight positive 29 bias for the fallow ( $\sim+5$  W.m<sup>-2</sup>). This positive bias for *Rn* associated with negative biases for 30 31 G (at -5cm), H and LE, illustrates the lack of energy balance closure in the observations, 32 which unduly penalizes model evaluation scores like bias and RMSE. Nonetheless, all these 33 components appear on the whole to follow the high-resolution observations quite well, Supprimé : 3

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consistently for both sites and <u>both</u> periods, and better for soil heat (*RMSE*  $\approx$  14–18 W.m<sup>-2</sup>. 1  $NSE \approx 0.92-0.95$ ) as well as sensible heat ( $RMSE \approx 26-29$  W.m<sup>-2</sup>,  $NSE \approx 0.87-0.91$ ) than for 2 Jatent heat (RMSE  $\approx 26-39$  W.m<sup>-2</sup>, NSE  $\approx 0.76-0.78$ ). <u>Turbulent fluxes</u>, especially LE, are 3 4 obviously the most difficult to measure accurately. In addition, the half-hourly time step is 5 very challenging for modeling as it lies within the scales of turbulence, conferring fluctuations 6 to the fluxes that the model does not resolve. For these reasons, calibration should not 7 overweigh these observations, even though the variables are key with respect to the objectives 8 pursued. The above scores compare very favorably with similar, state-of-the-art model 9 applications, particularly for this type of climatic and environmental conditions (e.g., Saux-10 Picart et al., 2009b; Akkermans et al., 2012; Ridler et al., 2012). Biases in these fluxes are low, all below  $\sim 5 \text{ W.m}^{-2}$  for the whole period ( $\leq 6\%$  of observed standard deviations). At 11 daily timescale (excluding gappy days), overall RMSEs across sites fall at or below 9 W.m<sup>-2</sup>, 12 13 and biases at or below 3 W.m<sup>-2</sup>, for all energy flux components and all available observations 14 (scatter for *Rn*, *G*, *H* and *LE* in Fig. B1).

15 Soil water storage in the different horizons, as estimated from corresponding point 16 measurements (from 0 to 2.5 m), is also very well reproduced (Table B1). This is especially 17 true for the upper horizons showing significant dynamics, i.e., H1-H3 for the fallow 18  $(NSE \approx 0.74-0.92)$  and H1-H5 for the millet field  $(NSE \approx 0.72-0.94)$ . The lower horizons H4 19 and H5 of the fallow only show very limited dynamics, and can thus hardly be evaluated with 20 this criterion. Although the model seems to infiltrate/store a little too much water in the 21 fallow's H4 horizon (slight positive bias), this is not very significant. The high correlation coefficients, for all periods, sites and horizons, demonstrate the model's ability to capture the 22 23 soil water dynamics, in response to the variability of external forcings at timescales from 24 event to interannual. This is further illustrated by Fig. <u>B2</u> for total storage down to 2.5 m, at 25 both sites through the study period. These results, obtained under contrasted hydrologic 26 conditions for two ecosystems responding quite differently, are highly satisfactory.

Scores for soil temperatures show that these are very well reproduced at the millet site ( $NSE \approx 0.72-0.96$ ), all the better as depth is smaller, i.e., as the impact of the bottom boundary assumption is lower and model physics is the main driver. Note that if in absolute terms deviations are higher near the surface (*RMSE* of 1.3-1.9 °C at 0.1 m against 0.6-0.9 °C below), these have to be related to the much larger variability, making model skill actually turn out better. The same is true also for the fallow plot, albeit with overall lower performance (*NSE* of 0.48-0.80, *RMSE* of 2.5-2.8 °C at 0.1 m and 0.9-2.2 °C below). In fact, most of this

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1 lack of fit consists of negative bias, which reaches -1.9 to -2.6 °C near the surface, and 2 decreases with depth due to tighter constraint by the boundary condition. This is also true, but 3 to a much smaller extent, for the millet plot (bias is -0.4 to -0.6 °C near the surface). Hence 4 the temperature dynamics is actually very well represented, even for the fallow, both in phase 5 (as testified by correlation), and in amplitude, only with constant underestimation. Such bias 6 was already noticed in similar conditions (model and ecosystems) by Braud (1998), who 7 attributed it to the 2-layer radiation conceptualization, when a significant bare soil fraction of 8 the fallow plot actually receives radiation directly with no canopy shielding.

9 Finally, the high correlation values obtained at half-hourly timescale for both the energy 10 fluxes and the shallow soil temperatures suggest that, in addition to event, seasonal, and 11 interannual dynamics, the phasing of diurnal cycles is also very well represented by the 12 model.

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## Supprimé : E.D. Sibaghe,

Table 1. Description of permanent GAI-recording stations in the Wankama fallow and millet plots.

Instrument	Measurements	Height or depth	Frequency					
Above ground								
Campbell CSAT-3 sonic anemometer (Campbell Scientific, Inc, Logan, USA)	3D wind speed and direction Sonic air temperature	5 m	20 Hz					
LI-COR LI-7500 infrared gas analyzer (LI-COR Biosciences, Lincoln, USA)	CO <sub>2</sub> and H <sub>2</sub> O concentrations Air pressure	5 m	20 Hz					
Kipp & Zonen CNR1 radiometer (Kipp & Zonen, Delft, The Netherlands)	Shortwave (0.362.8 m) and longwave (5650 m) incoming and outcoming radiation	3.5 m (fallow) 2.5 m (millet)	1 min					
Wind monitor RM103 (Young, USA)	2D wind speed and direction	3 m	1 min					
Vaisala HMP45C (Vaisala Oyj, Helsinki, Finland)	Air temperature and relative humidity	3 m	1 min					
	SOIL MEASUREMENTS	3						
Campbell CS616 water content reflectometer (× 6)	Soil volumetric water content	-0.1, -0.5, -1.0, -1.5, -2.0, -2.5 m	1 min					
Campbell T108 temperature probe (× 6)	Soil temperature	-0.1, -0.5, -1.0, -1.5, -2.0, -2.5 m	1 min					
Hukseflux HFP01SC heat flux plates (× 3, averaged) (Hukseflux, Delft, The Netherlands)	Surface soil heat flux	-0.05 m	1 min					

**Table 2.** Vegetation, surface and soil parameters in SiSPAT model (Braud, 2000), with values either calibrated (parameter groups C and D; see Sect. 2.4 for group definitions) or non-calibrated (parameter groups A and B). Right column shows prior values or ranges obtained from literature (<sup>a</sup>Braud, 2000; <sup>b</sup>Jacquemin and Noihlan, 1990; <sup>c</sup>Hanan & Prince, 1997; <sup>d</sup>Monteny, 1993; <sup>c</sup>Jackson, 1988, and Demarty et al., 2004; <sup>f</sup>Roujean, J.L., personnal communication in Braud, 1997; <sup>g</sup>François, 2002; <sup>h</sup>Hillel (1998); <sup>i</sup>Braud et al., 1997; <sup>j</sup>T¥m nek et al., 1998; <sup>k</sup>Vandervaere et al., 1997; <sup>I</sup>Manyame et al., 2007; <sup>m</sup>Klaij and Vachaud, 1992; <sup>n</sup>Rockström and Valentin, 1997; <sup>o</sup>Hoogmoed and Klaij, 1990; <sup>p</sup>Gaze et al., 1997).

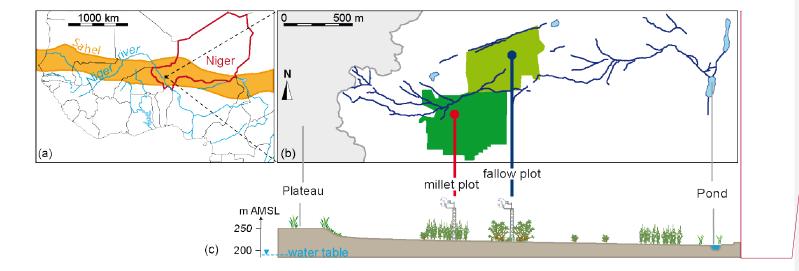
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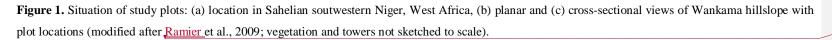
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			1					1					i.	
Parameter	Unit	Group	p	F	allow fie	ld			М	/lillet fiel	ld		Literatu	ire values
Vegetation parameters													Fallow	Millet
Vapor deficit factor in plant stress function	Pa <sup>-1</sup>	В			2.50.10-4	ł				2.50.104	4		2.50	0.10 <sup>-4</sup> a
Critical leaf water potential	m	В			-140					-140			-140 <sup>i</sup>	-
Maximum stomatal resistance	s.m <sup>-1</sup>	В			5000					5000			50	000 <sup><i>b</i></sup>
Minimum stomatal resistance	s.m <sup>-1</sup>	С			70					100			80 <sup>c</sup>	125 °
Total plant resistance	s.m <sup>-1</sup> root	С			1.50.1013	3			(	6.50.1012	2		6.50.10 <sup>12 i</sup>	-
Root density profile			(Time	e invaria	nt)			Ro P1 de	⇒ ot	(At pe	eak devel	lopment)	adius	ed from
P1: maximum root	m <sub>root</sub> .m <sup>-3</sup> soil	C	22900	,				r i dei	isity	250	000		Braud	Rockström
	@ m	C		3 to 0.1			P2				0.03 to 0	).1	et al. (1997)	et al. (1998)
	m <sub>root</sub> .m <sup>-3</sup> soil @ m	С	1603 @ 0.8	5		+/-				500	00			
		с		,		P3				2.3				
P3: maximal root depth	m	t	3.5			<b>↓</b> Dep	th			2.5				
Radiative surface param	neters													
Bare soil albedo = $f($ ):														
<b>_</b> dry albedo ( =0.04)	-	А			0.345					0.340				-
<u>•</u> wet albedo ( =0.18)	-	А			0.190					0.200				-
Bare soil emissivity	-	в			0.97					0.97			0.	97 <sup>d</sup>
Vegetation emissivity	-	в			0.98					0.98			0.	98 °
Vegetation albedo	-	С			0.20					0.22			0.20 <sup>f</sup>	-
Interception parameter:													For a sphe	rical canopy:
infrared	-	в			0.825					0.825			-	325 8
short waves	-	С			0.45					0.55			0.	50 <sup>s</sup>
Soil parameters	Horizon depth (m)		H1 0-0.01	H2 0.01-0.2	H3 2 0.2-0.7	H4 0.7-1.2	H5 1.2-4.0	<b>H1</b> 0-0.01 (	H2 0.01-0.2	H3 2 0.2-0.7	H4 0.7-1.2	H5 1.2-4.0	Crust	Soil
Dry bulk density	kg.m <sup>-3</sup>	А	1.70	1.70	1.80	1.70	1.75	1.70	1.70	1.80	1.70	1.75		-
Porosity	-	А	0.358	0.358	0.321	0.358	0.340	0.358	0.358	0.321	0.358	0.340		-
Sand	%	А	85	85	85	85	85	85	85	85	85	85		-
Clay+Silt	%	А	13	13	13	13	13	13	13	13	13	13		-
Organic matter	%	А	2	2	2	2	2	2	2	2	2	2		-
Dry volumetric heat capacity	10 <sup>6</sup> .J.m <sup>-3</sup> .K	Â	1.28	1.28	1.36	1.28	1.32	1.28	1.28	1.36	1.28	1.32	=2.10	5(1-) <u>a.h</u>
Saturated water content	<i>m<sup>3</sup>.m<sup>-3</sup></i>	А	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	$[0.25; 0.35]^{i,j,k}$	$[0.25; 0.42]^{i,j,k,l}$
Residual water content r	m <sup>3</sup> .m <sup>-3</sup>	Α	0.01	0.012	0.028	0.027	0.037	0.01	0.023	0.046	0.047	0.056	[0;0.03] <sup><i>i</i>,<i>j</i></sup>	$[0;0.06]^{i,j,l,m}$
Retention curve shape parameter $h_g$	m	D	-0.85	-0.60	-0.40	-0.30	-0.20	-0.50	-0.30	-0.30	-0.20	-0.20	$[-24;-0.31]^{ij}$	$[-0.60; -0.06]^{ij,l}$
Retention curve shape parameter n	-	D	2.75	3.00	3.10	3.00	3.30	2.75	3.00	3.00	3.00	3.00	$[2.35; 3.53]^{i,j}$	$[2.55; 4.19]^{ij,l}$
Saturated hydraulic conductivity K <sub>sat</sub>	m.s <sup>-1</sup>	D	1.10.7	7.10-5	5.10.5	7.10-5	$7.10^{-5}$	2.5.107	5.10-5	$5.10^{-5}$	5.10-5	5.10-5	[1.7.10 <sup>-8</sup> ; 2.10 <sup>-6</sup> ] <sup><i>i</i>,<i>j</i>,<i>k</i></sup>	[4.10 <sup>-6</sup> ; 7.10 <sup>-5</sup> ] <sup>i-p</sup>
Conductivity curve form parameter	-	D	6.0	5.0	5.0	4.5	5.0	6.0	5.0	5.5	5.5	6.0	[4.3	;6.1] <sup><i>i</i></sup>
Soil thermal conductivity scaling parameter		D	2.00	1.50	1.00	1.00	1.00	1.00	0.75	0.85	1.00	1.00	Default v	value : 1.00

**Table B1.** Model skill scores against observed half-hourly surface fluxes and soil moisture and temperature. Each cell shows first the score for the whole study period (May 20056April 2012; plain characters), then the score for the calibration period only (May 20066April 2008; italic characters). RMSE is root mean square error, NSE Nash-Sutcliffe efficiency, *r* correlation coefficient, slope from linear regression of simulations against observations (units for RMSE and bias are given with variable type, NSE, *r* and slope are dimensionless); see Eq. (1) for other abbreviations.

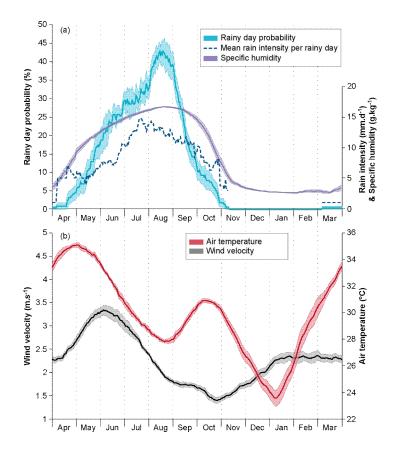
			Fallow field									Millet field								
		RM	1SE	bi	as	Ν	SE	i	r	<u>slope</u>	F	RMSE	b	ias	Ν	SE		r	<u>slo</u>	ope
Surface	e fluxes (W.m	<sup>-2</sup> )																		-
SWout		9.0	9.8	-0.5	2.4	0.99	0.99	>0.99	>0.99	<u>1.02</u> 1.0	<mark>7</mark> 9.9	11.5	-4.3	-4.5	0.99	0.99	>0.99	>0.99	<u>0.97</u>	0.97
LWout		15.6	18.3	-3.0	-6.7	0.95	0.93	0.99	0.99	0.82 0.8	2 15.	9 14.9	7.2	6.5	0.94	0.95	0.98	0.98	1.06	1.07
Rn		14.5	13.3	4.7	5.5	0.99	>0.99	>0.99	>0.99	1.03 1.0	<u>3</u> 18.	5 18.9	-1.9	-1.1	0.99	0.99	>0.99	>0.99	0.98	0.98
G-0.05m		17.7	15.0	-4.3	-4.1	0.93	0.95	0.97	0.98	<u>1.01 1.0</u>	18.	1 14.2	-3.8	-3.3	0.92	0.95	0.96	0.97	0.92	0.99
Н		26.8	27.8	-5.2	-7.7	0.90	0.91	0.96	0.96	1.01 1.0	2 29.	0 26.0	-0.8	-1.7	0.87	0.89	0.94	0.95	1.01	1.00
LE		33.3	38.7	-3.3	-2.2	0.77	0.76	0.88	0.88	<u>0.80                                   </u>	<u>4</u> 26.	2 27.4	-2.0	-0.3	0.78	0.77	0.89	0.88	0.88	0.88
Soil wa	iter storage (n	1 <b>m</b> )																		
Whole co depth	blumn to 2.5 m	9.3	13.7	-1.8	1.8	0.68	0.55	0.92	0.95	<u>1.20 1.4</u>	Z 15.	3 15.8	0.6	3.8	0.83	0.87	0.93	0.96	<u>1.04</u>	1.12
H1-H2	(0-0.2 m)	1.6	1.5	0.1	0.2	0.87	0.92	0.96	0.98	1.13 1.1	7 1.	1.2	-0.3	-0.3	0.94	0.94	0.97	0.97	0.99	0.95
H3	(0.2-0.7 m)	3.6	3.7	-1.3	-0.9	0.74	0.82	0.90	0.94	1.00 1.1	-		1.1	1.3	0.72	0.78	0.96	0.98	1.35	1.37
H4	(0.7-1.2 m)	5.4	8.0	1.7	2.6	<0	<0	0.80	0.85	1.37 1.7	-		-0.1	0.1	0.76	0.87	0.92	0.97	1.13	1.19
H5	(1.2-2.5 m)	3.8	4.4	-2.4	-0.2	<0	<0	0.71	0.93	1.24 2.1	-		0.0	2.7	0.75	0.78	0.88	0.91	0.89	0.99
Point s	oil temperatu	res (°C	5)																	
0.1 m		2.5	2.8	-1.9	-2.6	0.80	0.77	0.96	0.98	0.99 0.9	2 1.9	) 1.3	-0.6	-0.4	0.90	0.96	0.96	0.98	0.98	0.96
0.5 m		1.7	2.2	-1.5	-2.1	0.73	0.65	0.97	0.98	<u>0.95    0.9</u>	<u>8</u> 1.0	0.8	-0.5	-0.4	0.90	0.95	0.96	0.98	0.92	0.94
1.0 m		1.4	1.8	-1.3	-1.7	0.70	0.62	0.97	0.98	0.92 0.9	<b>4</b> 0.9	0.7	-0.6	-0.4	0.87	0.94	0.96	0.98	1.01	0.90
1.5 m		1.3	1.6	-1.2	-1.5	0.62	0.54	0.97	0.98	<u>0.88 0.9</u>	0.8	3 0.6	-0.5	-0.4	0.84	0.92	0.95	0.98	0.88	0.86
2.0 m		1.1	1.3	-1.0	-1.2	0.56	0.48	0.95	0.96	0.88 0.8	0.8	3 0.6	-0.4	-0.3	0.79	0.89	0.94	0.97	1.06	0.77
2.5 m		0.9	1.0	-0.7	-0.9	0.59	0.53	0.93	0.94	0.76 0.7	8 0.3	3 0.7	-0.4	-0.2	0.72	0.82	0.92	0.95	0.97	0.65





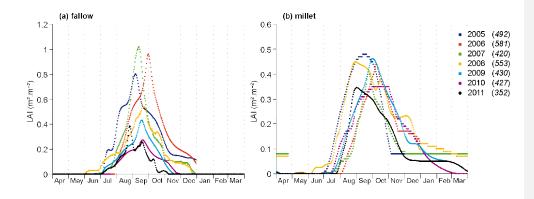
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#### Commenté [B1]: Figure presentation was improved



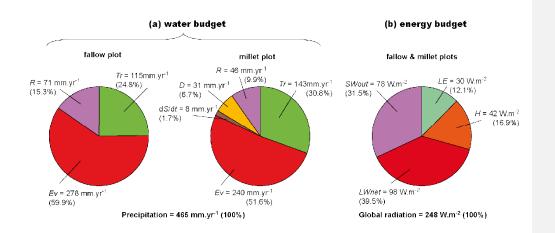
**Figure 2.** Mean seasonal courses of meteorological variables in Wankama catchment: (a) probability and mean rain intensity of rainy day, specific humidity; (b) air temperature and 3m-wind velocity. Values are 30-day running averages for 2005-2012, from instruments described in Table 1. Light-colored <u>intervals</u> represent a variation of  $\pm$  one standard estimation error.

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**Figure 3.** Seasonal course of daily LAI at (a) fallow and (b) millet plots, for each growing season of 2005-2011 (in brackets: total rainfall, in mm).

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**Figure 4**, Estimated mean annual (a) water and (b) energy budgets for fallow and millet plots (average of two plots for energy budget, given similarity at that aggregation scale). Please see Eq. (1) for abbreviations, and Fig. 5 for standard estimation errors.

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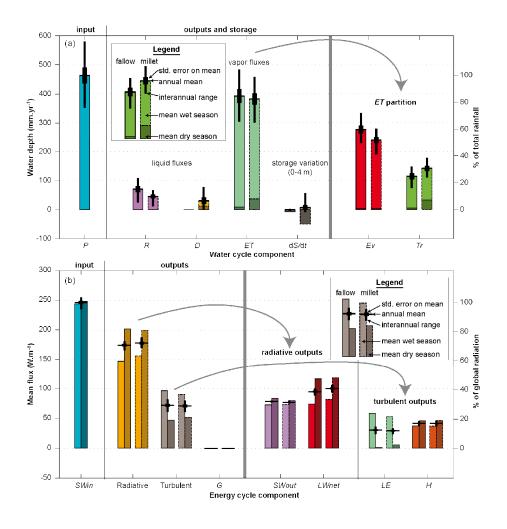
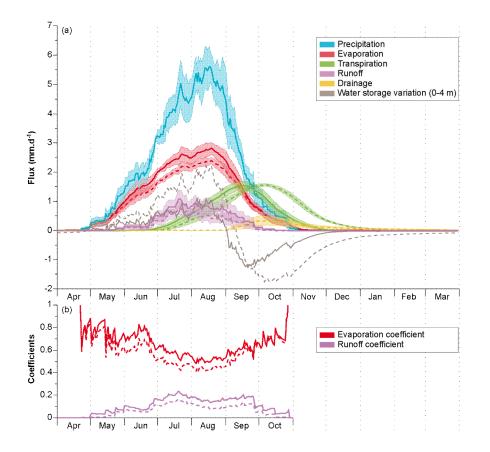


Figure 5. Estimated (a) water and (b) energy budgets at annual and semi-annual scales: interannual ranges (black thin bars), annual means with standard estimation errors (black thick bars), and seasons means (light color for wet season, May-October; dark color for dry season, November-April), for the fallow (solid contours) and millet (dashed contours) plots. See Eq. (1) for abbreviations. Note that half-year water depths (color bars in (a)) are stacked to yield annual values, whereas annual energy fluxes are obtained as the means of half-year mean intensities (b).

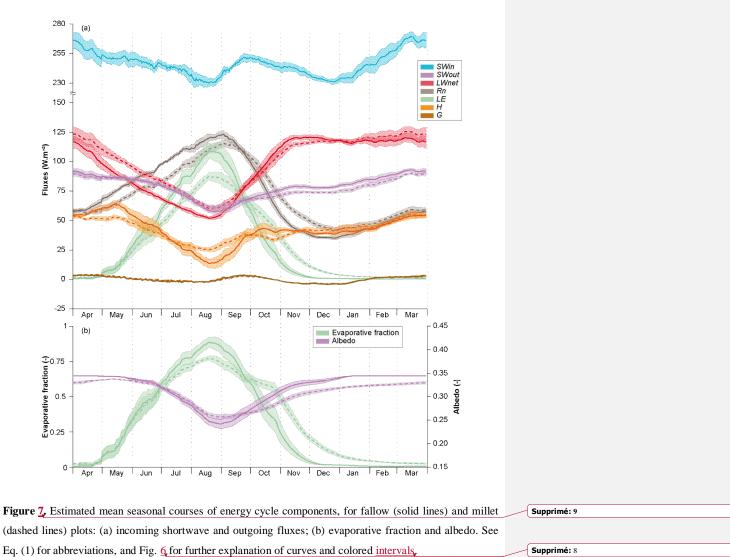
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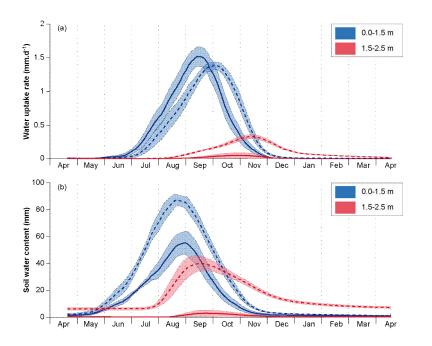
**Figure 6**, Estimated mean seasonal courses of water cycle components, for fallow (solid lines) and millet (dashed lines) plots: (a) fluxes, and rate of storage change in 064 m soil column; (b) ratios of above evaporation and runoff means to rainfall. Means are computed across years and over a 30-day running window. Light-colored intervals show a variation of  $\pm$  one standard estimation error around the estimated mean (not shown for storage change in (a), for legibility).

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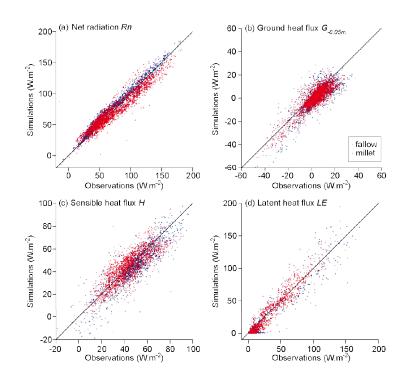
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**Figure §** Estimated mean seasonal courses of (a) water uptake by plant roots and (b) soil water storage (above *r*), separately in two active root zone layers (depths in legend), for fallow (solid lines) and millet (dashed lines) plots. See Fig. <u>6</u> for further explanation of curves and colored <u>intervals</u>.

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**Figure <u>B1</u>**. Simulated vs. field-estimated daily energy fluxes of (a) net radiation, (b) ground heat (at 5cm-depth), (c) sensible heat, and (d) latent heat, for the fallow (blue) and millet (red) plots, through the 2005-2012 study period (only days with no missing data are represented).

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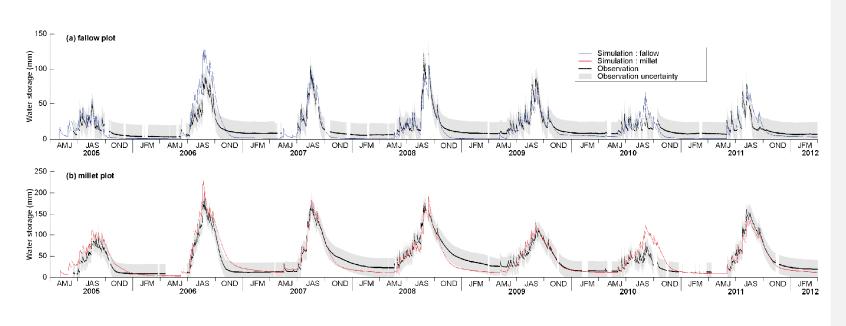


Figure <u>B2</u>. Observed and simulated courses of total water storage in 062.5 m soil layer at (a) fallow and (b) millet plots over 2005-2012 (storage taken above residual water content  $_{r}$ ).

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