

1           **Building a field- and model-based climatology of local**  
2           **water and energy cycles in the cultivated Sahel –**  
3           **Annual budgets and seasonality**

4           (Short title : *Climatology of water and energy cycles in the Sahel*)

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## 1     **Abstract**

2     In the sub-Saharan Sahel, energy and water cycling at the land surface is pivotal for regional  
3     climate, water resources and land productivity, yet it is still very poorly documented. As a  
4     step towards a comprehensive climatological description of surface fluxes in this area, this  
5     study provides estimates of long-term average annual budgets and seasonal cycles for two  
6     main land use types of the cultivated Sahelian belt: rainfed millet crop and fallow bush. These  
7     estimates build on the combination of a 7-year field dataset from two typical plots in  
8     southwestern Niger with detailed physically-based soil-plant-atmosphere modelling, yielding  
9     a continuous, comprehensive set of water and energy flux and storage variables over this  
10    multiyear period. In this study case in particular, blending field data with mechanistic  
11    modelling makes the best use of available data and knowledge for the construction of the  
12    multivariate time series. Rather than using the model only to gapfill observations into a  
13    composite series, model-data integration is generalized homogeneously over time, by  
14    generating the whole series with the entire data-constrained model simulation. Climatological  
15    averages of all water and energy variables, with associated sampling uncertainty, are derived  
16    at annual to subseasonal scales from the time series produced. Similarities and differences in  
17    the two ecosystem behaviors are highlighted. Mean annual evapotranspiration is found to  
18    represent ~82-85% of rainfall for both systems, but with different soil evaporation/plant  
19    transpiration partitioning and different seasonal distribution. The remainder consists entirely  
20    of runoff for the fallow, whereas drainage and runoff stand in a 40-60% proportion for the  
21    millet field. These results should provide a robust reference for the surface energy- and water-  
22    related studies needed in this region. Their significance and the benefits they gain from the  
23    innovative data-model integration approach are thoroughly discussed. The model developed  
24    in this context has the potential for reliable simulations outside the reported conditions,  
25    including changing climate and land cover.

## 26 27    **Keywords**

28    ecohydrology, evapotranspiration, energy budget, water budget, SVAT model, fallow bush,  
29    pearl millet

## 1 **1. Introduction**

2 In Africa, counterintuitive water cycle dynamics (Favreau et al., 2009, Descroix et al., 2013)  
3 and prospects of increased water stress (Boko et al., 2007) or decreasing yields of rainfed  
4 agriculture (Schlenker and Lobell, 2010) challenge our ability to provide reliable projections  
5 of these key resources, especially in the densely populated, semiarid Sahel (rainfall ~300-700  
6 mm.yr<sup>-1</sup>; Fig. 1a). Surface-atmosphere interactions are critical processes for the water cycle in  
7 this region. Strong evaporation recycles much of the rainfall to the atmosphere locally  
8 (Boulain et al., 2009b), and the surface feedback as vapor and radiative or turbulent energy  
9 plays a major role in atmosphere dynamics (Koster et al. 2004; Wolters et al., 2010; Taylor et  
10 al., 2011, 2012). Hence, meteorology, rainfall, and primary production all strongly depend on  
11 processes at the Ground-Atmosphere Interface (GAI), as does recharge of the many ponds and  
12 of the underlying aquifer (Cappelaere et al., 2009, Favreau et al., 2009, Massuel et al., 2011).

13 Despite the importance of these surface processes, quantitative knowledge on surface  
14 exchanges and ground-atmosphere interactions is still very limited in sub-Saharan Africa.  
15 Their distribution in space and time is all the more poorly documented. In the Sahelian  
16 domain of the West African monsoon, scarce field observations generally covered only short  
17 periods of time – typically a few days to a few weeks – at a few sites (e.g., Lloyd et al., 1997;  
18 Ezzahar et al., 2009, Timouk et al., 2009). Few studies covered a complete seasonal cycle  
19 (Wallace et al., 1991; Miller et al., 2009; Ramier et al., 2009). To our knowledge, none were  
20 based on a period of several years that is needed to capture the strong interannual variability  
21 of Sahelian rainfall. Current adverse public security conditions all over the Sahelian belt leave  
22 little hope that the complex type of instrumentation required (eddy covariance, scintillometry)  
23 could be significantly densified in the near future. In this context, remote sensing estimations  
24 are particularly promising for this region. However methods are still in development, and  
25 require context-specific field evaluation and calibration (e.g., Tanguy et al., 2012; Verhoef et  
26 al., 2012; Marshall et al., 2013). This is also true for model-derived estimates, as the ability of  
27 the current generation of land surface models (LSMs) to correctly reproduce dominant land  
28 processes in Africa is still largely in question (Boone et al., 2009a). Evaluating and improving  
29 the capabilities of general-purpose LSMs for this large continental region requires substantial  
30 reliable documentation of surface energy and water cycles at various time/space scales  
31 (Boone et al., 2009b).

32 When available, field estimates of surface fluxes are undoubtedly an invaluable asset. Nearly  
33 all components (radiative, conductive, turbulent) of the surface energy cycle are now more or

1 less readily accessible to field estimation, even though this involves rather complex  
2 techniques and inhomogeneous representative scales. However these data are associated with  
3 significant uncertainty, particularly for turbulent fluxes of sensible and latent heat. This  
4 uncertainty arises from a variety of sources such as instrumental error, departure of field  
5 conditions from underlying theory, or processing pitfalls (Foken et al., 2006; Aubinet et al.,  
6 2012). The general lack of energy balance closure that results from these estimation problems  
7 typically ranges 10–35% of the available energy (Foken, 2008). Its assignment to the various  
8 possible sources is still a matter of debate (Aubinet et al., 2012). When estimation becomes  
9 unreliable, the corresponding data must be discarded. Added to recurrent interruption of  
10 sensitive equipment in hard field conditions (dust, temperature, wind), this generally leads to  
11 substantial gap rates in the derived time series. For the surface water cycle, a number of  
12 components can hardly be field-measured precisely and continuously on a routine basis, e.g.,  
13 overland runoff, vertical drainage and lateral subsurface flow, or partitioning of  
14 evapotranspiration into direct soil evaporation and canopy transpiration. For all these reasons  
15 – sparse data sets, unobserved components, uncertain data with conservation biases – it is not  
16 feasible to estimate complete and reliable water and energy balances at various time scales  
17 from field observations only, and some sort of modelling is thus necessary. Combining as  
18 many field observations as possible with physics-driven models, that integrate available  
19 knowledge on the main local water and energy cycling processes, appears to be the most  
20 reliable way to make robust quantitative estimates of surface-atmosphere exchanges, in this  
21 region particularly.

22 In this context, the purpose of this study is to propose - for the first time to our knowledge - a  
23 description that can be representative in a climatological sense of water and energy cycles for  
24 two dominant land cover types in the cultivated Sahel, namely rainfed millet crop and fallow  
25 bush. First-order dynamics at annual to subseasonal scales are analyzed here, through  
26 estimation of long-term means. A reliable climatology is useful as a powerful reference for a  
27 variety of purposes, including extracting the most significant features in system dynamics,  
28 deriving anomalies, analyzing processes and understanding system behaviour, making robust  
29 comparisons between systems or across different bioclimatic settings (globally or regionally  
30 as expected from the AMMA-CATCH<sup>1</sup> network in West Africa; Lebel et al., 2009), or

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<sup>1</sup> African Monsoon Multidisciplinary Analyses – Coupling Tropical Atmosphere and Hydrological Cycle;  
<http://www.amma-catch.org>

1 evaluating and improving land surface models and remote sensing algorithms efficiently (e.g.,  
2 bias detection and characterization).

3 This climatological description is based on the production and analysis of a multivariate series  
4 covering an unprecedented full 7 year-long period for two plots in Niger (Velluet, 2014). This  
5 continuous series was obtained by combining a unique field dataset over that period (Boulain  
6 et al., 2009a; Cappelaere et al., 2009; Ramier et al., 2009) with the physically-based SiSPAT  
7 (Simple Soil-Vegetation-Atmosphere Transfers) model (Braud et al., 1995). The study area is  
8 located in the so-called Central Sahel region, which is considered the most representative of  
9 the West African monsoon rainfall regime (Lebel and Ali, 2009). Available data include local  
10 rainfall and meteorology, vegetation phenology, all surface energy cycle components, and soil  
11 moisture and temperature profiles. The SiSPAT model solves the 1D-vertical equations for  
12 coupled diffusive transfers of water and heat in a heterogeneous soil, coupled with surface  
13 and plant exchanges with the atmosphere. It has been shown (Demarty et al., 2004; Shin et al.,  
14 2012) that even in the general heterogeneous, layered case, this type of soil water model can  
15 be reliably inverted for hydrodynamic properties from soil moisture observations when the  
16 profile is predominantly draining (no underlying moisture source), which is the case in nearly  
17 all of this region. SiSPAT has already been tested successfully over a short period in this  
18 environment (Braud et al., 1997; Braud, 1998). Other GAI studies, either data-based (e.g.,  
19 Miller et al., 2009; Ramier et al., 2009; Lohou et al., 2013) or model-based (e.g., Daamen,  
20 1997; Pellarin et al., 2009; Saux-Picart et al., 2009a,b), were carried out in the study area.  
21 However, as mentioned earlier for the whole subregion, they were all limited to subseasonal  
22 periods or at most to one particular year. Models used were generally less detailed than in this  
23 study, in a more exploratory perspective. Deriving a reference climatology as done here  
24 requires a long-enough, complete and reliable series. This required continuous multivariate  
25 series is provided by the strongly data-constrained 7-year model simulation, which is used in  
26 its entirety rather than only for gapfilling observations into a composite series. As the paper  
27 shows, the series allows capturing statistical population averages for the variables  
28 investigated, while minimizing the effect of possible decadal non-stationarities of the  
29 monsoon (Lebel and Ali, 2009) or of land management. As it carries the most robust features  
30 in the dynamics, analysis of mean system behavior enables a powerful comparison of the two  
31 investigated systems. These results should contribute a substantial step to documenting the  
32 dynamics of surface fluxes in the Sahel.

1 After a brief description of sites, data, model, and overall methodology (Sect. 2), results are  
 2 presented for the climatology of a synthetic average year from annual to subseasonal  
 3 timescales (Sect. 3). Significance of these results – as induced in particular by the study  
 4 methodology – as well as information inferred on key processes are discussed in Sect. 4. As  
 5 they play a key part in the study methodology, implementation and evaluation steps for  
 6 model-data integration (parameter estimation, model validation) are detailed separately in  
 7 Appendices A and B, for better overall legibility.

## 9 **2. Materials and methods**

10 Equations of water and energy conservation are written as:

$$11 \quad P = R + D + Ev + Tr + dS/dt$$

$$12 \quad SWin = SWout + LWnet + Rn \quad \text{with :} \quad Rn = G + H + LE \quad (1)$$

$$13 \quad \text{and:} \quad LWnet = LWout - Lwin; \quad LE = \lambda \cdot ET; \quad ET = Ev + Tr$$

14 where:  $P$  is precipitation,  $R$  runoff,  $D$  drainage below soil column,  $Ev$  direct soil evaporation,  
 15  $Tr$  plant transpiration,  $ET$  evapotranspiration,  $dS/dt$  water storage variation in soil column,  $Rn$   
 16 net radiation,  $SWin$  global radiation,  $SWout$  reflected solar radiation,  $LWnet$  net longwave  
 17 radiation,  $LWin$  &  $LWout$  down- & up-welling longwave radiation,  $G$  ground heat flux,  $H$   
 18 sensible heat flux,  $LE$  latent heat flux,  $\lambda$  latent heat of vaporization (units used hereafter are  
 19 mm per unit time for  $P$ ,  $R$ ,  $D$ ,  $Ev$ ,  $Tr$ ,  $ET$  and  $dS/dt$ ,  $W.m^{-2}$  for  $SWin$ ,  $SWout$ ,  $LWin$ ,  $LWout$ ,  
 20  $LWnet$ ,  $Rn$ ,  $G$ ,  $H$  and  $LE$ , and  $kJ.m^{-3}$  for  $\lambda$ ).

### 21 **2.1. Study area**

22 The study area is located ~60 km east of Niamey, at 13.6°N–2.6°E in the south-west of the  
 23 Republic of Niger (Fig. 1). It consists of two plots of around 15 ha each, located ~0.5 km  
 24 apart on the slope of the 2-km<sup>2</sup> Wankama catchment, in the AMMA-CATCH observatory  
 25 (Cappelaere et al., 2009; Lebel et al., 2009). The plots consist of a millet field – millet is the  
 26 single most important staple crop in the whole Sahel belt – and of a fallow field which is an  
 27 integral part of the traditional cropping system. These are now by far the two main land use  
 28 types in southwestern Niger (Leblanc et al., 2008; Descroix et al., 2009), as in much of the  
 29 cultivated Sahel (van Vliet et al., 2013). Climate of the area is tropical semiarid, with average  
 30 rainfall of ~500 mm.yr<sup>-1</sup> and mean temperature of ~30 °C. It is typical of the West African  
 31 monsoon regime, with a long dry season of ~6 months (November–April) with practically no

1 rain, and a wet season with 30–50 convective storms concentrated mostly from June through  
2 September. Figure 2 shows this strong meteorological seasonality at Wankama, especially for  
3 rainfall, humidity and wind, and to a lesser extent temperature. Soils are sandy, weakly  
4 structured, poor in nutrients and prone to surface crusting, with an unsaturated depth of  
5 several tens of meters (Massuel et al., 2006). Pearl millet (*Pennisetum glaucum*) is grown  
6 using traditional techniques, relying on rainfall and animal manuring with no irrigation and  
7 very little or no chemical fertilization. Sparse shrubs of *Guiera senegalensis* are left to grow  
8 in the crop fields and cut yearly just before the growing season (April-May). Before sowing,  
9 weeds are removed by shallow tilling with a hand hoe. After the first 5–10 mm of rainfall,  
10 traditional non-photosensitive varieties of millet are sown in pockets with a ~1m spacing.  
11 Depending on subsequent rain or drought, it may need to be re-sown several times before  
12 plants can actually develop. Millet is harvested in late September or October, after the end of  
13 the rain season. Shrubs are allowed to grow again from any remaining soil moisture in the late  
14 monsoon, until the end of the dry season. The fallow vegetation typically consists of a shrub  
15 layer dominated by *Guiera senegalensis* ( $< 10^3$  individuals per ha, ~2m high) and of a grass  
16 layer made of annual C3 and C4 species in variable composition, interspersed with bare soil  
17 patches (Boulain et al., 2009a). Traditional crop-fallow cycles used to alternate 10–20 years  
18 of fallow with 3–5 years of cropping, but with the acute need for food production this ratio is  
19 now almost reversed.

## 20 **2.2. Field data and study period**

21 At the start of the 2005 monsoon, the two plots were equipped with an identical data  
22 acquisition setup for continuous recording of (i) meteorology: rainfall, air pressure,  
23 temperature and humidity, wind speed and direction, 4-component radiation; (ii) high-  
24 frequency eddy covariance for sensible and latent heat flux estimation: 3D wind, temperature,  
25 and vapor concentration; (iii) soil variables: shallow ground heat flux, 2.5 m-deep temperature  
26 and moisture profiles. Details of this setup are given in Table 1. The millet plot was turned to  
27 cultivation just before instrumentation began in 2005, while the fallow field had not been  
28 cropped since the early 2000s. In both plots, land use remained unchanged throughout the 7-  
29 year study period (May 2005 – April 2012). Soil texture and bulk density were analyzed from  
30 samples taken over several 2.5 m-deep profiles at different dates through the period to  
31 calibrate soil moisture sensors for volumetric water content. Consistent particle size  
32 distributions of ~84–92% sand and ~5–13% clay were found at all profiles. Porosity was  
33 estimated from bulk density, in the range  $0.32\text{--}0.36\text{ m}^3\cdot\text{m}^{-3}$ .

1 For the practical and theoretical reasons mentioned earlier (e.g., equipment failure,  
2 temporarily unsuitable conditions), the data series include gaps of variable lengths (10–35%  
3 missing data). Meteorological variables, needed for model forcing, were gap-filled by  
4 substituting the closest available data from similar instruments deployed over the Wankama  
5 catchment (Cappelaere et al., 2009). Eddy covariance data were processed into half-hourly  
6 turbulent fluxes, using *EdiRe* software (R. Clement, U. of Edinburgh) and CarboEurope  
7 recommendations (Mauder and Foken, 2004), as described in Ramier et al. (2009). Energy  
8 balance closure obtained with the different measured and estimated flux components is typical  
9 of what is commonly obtained with this type of instrumentation (Ramier et al., 2009).  
10 Extracts from these eddy covariance data have been extensively analyzed in various – local,  
11 regional, methodological – studies (e.g., Boulain et al., 2009a; Merbold et al., 2009; Ramier et  
12 al., 2009; Tanguy et al., 2012; Verhoef et al., 2012; Lohou et al., 2013; Marshall et al., 2013;  
13 Sjöstrom et al., 2011, 2013).

14 A field survey of vegetation phenology was conducted at both plots every 1 or 2 weeks from  
15 June through December of all seven years (Boulain et al., 2009a). Of particular interest for  
16 this study are the seasonal courses of vegetation height and leaf area index (LAI). Height was  
17 sampled from 15-30 individuals per plot and date. LAI was derived from hemispherical  
18 photographs, following the protocol prescribed by the VALERI project  
19 (<http://www.avignon.inra.fr/valeri>). They were acquired at 13 locations in a 20×20m square in  
20 each plot, using a *Canon EOS 500* numerical camera with a *Sigma-8mm-F4* fisheye lens, and  
21 were processed with the Can-Eye software (Weiss et al., 2004). To obtain continuous daily  
22 series over the study period (Fig. 3), LAI was interpolated between surveys and extrapolated  
23 outside surveying periods based on a regression on surface albedo, as recorded by the  
24 shortwave radiometers.

### 26 **2.3. Model principles**

27 The SiSPAT model (Braud et al., 1995; Braud, 2000; Demarty et al., 2002) was chosen for its  
28 ability to simulate the coupled heat and water exchanges through the soil-plant-atmosphere  
29 continuum on physical bases. Model overview diagrams are provided by Fig. 1 in Demarty et  
30 al. (2004) and Fig. 6.2 in Velluet (2014). As a SVAT (Soil-Vegetation-Atmosphere Transfer)  
31 column model, it is forced at a reference level with observed meteorology (rainfall, wind  
32 speed, air temperature and humidity, atmospheric pressure, incoming short and long wave



1 radiation). Two energy budgets, one for the vegetation canopy and one for the soil surface, are  
2 solved concurrently and continuously for surface-atmosphere exchanges over the diurnal  
3 cycle, with temperature and humidity at the soil surface, at the leaf surface, and at the canopy  
4 level of the atmosphere as state variables. Leaf area is prescribed as time-variable LAI, and  
5 also conditions a rainfall interception reservoir. Turbulent fluxes are expressed using a  
6 classical electrical analogy in this two-layer system, based on the computation of a bulk  
7 stomatal resistance and of three aerodynamic resistances. The bulk stomatal resistance,  
8 representing the plant physiological response to climatic and environmental conditions, is  
9 modeled in terms of incoming global radiation, vapor pressure deficit and leaf water potential  
10 (Jarvis, 1976). The three aerodynamic resistances are determined using Shuttleworth and  
11 Wallace's (1985) wind profile parameterization inside and above the canopy. Radiation  
12 transfers in the short and long wave bands account for the two layer formalism with shielding  
13 and multiple reflection effects (Taconet et al., 1986).

14 A major strength of the model is its mechanistic representation of soil thermal and hydraulic  
15 dynamics, by solving the coupled differential equations of heat and mass transfer, including  
16 vapor phase. This allows in particular to account for strong heterogeneity in the soil profile,  
17 e.g., the common presence of a surface crust in this environment, or of several soil horizons  
18 with contrasted thermal and hydraulic conduction and retention properties. Different  
19 parameterizations of the hydraulic conductivity and retention curves are possible. Each  
20 horizon is discretized for numerical solution of the dynamic and continuity equations, with  
21 variable node density in relation to magnitude of state variable gradients (e.g., higher near the  
22 surface or horizon boundaries). Water is extracted by plants based on a prescribed, constant or  
23 dynamic root density profile, assuming no plant storage (Federer, 1979; Milly, 1982). The  
24 above- and below-surface model components are coupled through soil surface temperature  
25 and humidity, leaf water potential, as well as conservation of energy and mass at the soil and  
26 plant surfaces. A lower boundary condition needs to be assigned for both the heat and mass  
27 transfer equations at the bottom of the simulated soil column. Various boundary condition  
28 types, including Dirichlet and Neumann types, are proposed (Braud, 2000). The model is  
29 forced with meteorological data at a sub-hourly timestep to capture the diurnal cycle, and the  
30 data are linearly interpolated at the computational timestep. The timestep is adjusted  
31 automatically according to soil water pressure and temperature gradients. This enables  
32 accurate representation of process dynamics, e.g., when sharp variations occur during rain  
33 events, as well as satisfaction of numerical convergence and stability criteria.

1 The SiSPAT model has been previously applied to Sahelian sites near the study area (Braud et  
2 al., 1997; Braud, 1998), for relatively short simulation periods, but with encouraging results  
3 as to the model's ability to reproduce the Sahelian GAI behavior. It has also been used  
4 successfully in a variety of other complex, physics-oriented applications, such as isotopic  
5 tracing (e.g., Rothfuss et al., 2012) or remote-sensing simulations (e.g., Demarty et al., 2005).

## 6 **2.4. Methodology**

7 The SiSPAT model is forced for the fallow and millet plots with their 7-year (May 1, 2005 –  
8 April 30, 2012) time series of half-hourly meteorological variables and daily LAI. A 4 m-deep  
9 soil domain is considered, to minimize possible errors in surface energy and water fluxes  
10 arising from assumed bottom conditions. These conditions are gravitational water drainage,  
11 and constant temperature taken as the observed multiyear average at 2.5 m depth. To allow for  
12 vertical non-homogeneity, the soil column is divided into five horizons named H1 to H5, with  
13 depth ranges of 0-0.01 m, 0.01-0.20 m, 0.20-0.70 m, 0.70-1.20 m, and 1.20-4.00 m,  
14 respectively. The thin H1 horizon makes it possible to differentiate a surface crust – if any –  
15 from the soil proper. Separation of the latter into H2–H5 is derived from soil density profiles  
16 observed in the two fields. The 5-layer soil column is discretized into a total of 194  
17 computation nodes to ensure accurate state variable profiles. These are initialized with soil  
18 water content and temperature profiles observed on May 1, 2005, linearly interpolated over  
19 the computation domain.

20 SiSPAT involves a rather large set of input parameters defining soil, vegetation, and surface  
21 properties (Table 2). Regarding soil properties, and based on previous experience with the  
22 model for these Sahelian ecosystems (Braud et al., 1997; Braud, 1998), the water retention  
23 and conductivity curves for each horizon are parameterized using the van Genuchten (1980) –  
24 with Burdine's (1953) condition – and the Brooks and Corey (1964) models, respectively.  
25 This leads to six hydrodynamic parameters ( $\theta_{sat}$ ,  $\theta_r$ ,  $K_{sat}$ ,  $\beta$ ,  $h_g$ ,  $n$ ) for each soil horizon  
26 (Table 2). For most model parameters, estimated values or plausible ranges are derived  
27 directly either from field observations or from the literature (Table 2). Note that pedotransfer  
28 functions are found of little help for prior conditioning of soil hydrodynamic properties, as  
29 ranges obtained are considerably larger than what is to be expected from the other information  
30 sources on these parameters (Velluet, 2014). Four groups of parameters - denoted A to D in  
31 Table 2 - are distinguished, differing in the way they are assigned values in this model  
32 implementation, from direct assignment to model calibration on data from two of the seven

1 years. Definitions of these groups and corresponding parameter assignment methods are  
2 detailed in Appendix A. Retained parameter values are shown in Table 2 and discussed in  
3 Appendix B together with model evaluation against the whole observation record, which  
4 reveals high model capability. Calibration evidences surface crusting at both sites, while  
5 much more significantly for the fallow.

6 The extensive validation of the simulated series (Appendix B) permits derivation, from these  
7 entire multivariate series directly, of climatological averages for the water and energy fluxes  
8 at both plots, for annual to subseasonal (running-monthly with a view to daily) timescales  
9 (section 3). Despite the moderate sample size, sampling-induced uncertainty on estimated  
10 means is quite small. Combined with the high model skill, this small statistical uncertainty  
11 suggests that robust climatological features can be inferred from the analysis. The  
12 significance of these results, as governed by the data and model used and by the way these  
13 two sources of information are blended in the study methodology, is discussed in section 4.1.

### 15 **3. Results: climatology of energy and water cycling at the GAI**

16 Over the 7-year period, rainfall shows interannual variability in amount and timing in line  
17 with that reported for the Wankama catchment over the longer 1992–2006 period (Ramier et  
18 al., 2009), suggesting that our study period is representative of the general conditions  
19 prevailing in this area. Specifically, annual rainfall (values in Fig. 3) ranges from 350 to  
20 580 mm.yr<sup>-1</sup>, with a mean and a standard deviation of 465 and 81 mm.yr<sup>-1</sup> respectively. Three  
21 years have similar, moderately below-average annual rainfall (420–430 mm.yr<sup>-1</sup>), but differ in  
22 the number (38–50), intensity, and time distribution of rain events.

23 Simulated variables are analyzed in their distribution at annual, semi-annual, seasonal, and  
24 subseasonal scales over the study period, with the aim of estimating an average year for each  
25 site from this 7-year sample. Since climatological differences in forcing fluxes (rainfall,  
26 incoming short and long wave radiation) between the two sites are all very small, these  
27 specific variables are not duplicated in the following.

#### 28 **3.1. Annual and semi-annual scale**

29 The two pie charts in Fig. 4a display the distribution of the interannual mean water balance  
30 into its component parts for the fallow and the millet systems, respectively. It can be seen  
31 that: (i) direct soil evaporation is the largest component for both systems, and for the fallow

1 particularly (60% of total rainfall against 52% for the millet field); (ii) canopy transpiration is  
2 the second largest in both cases, albeit lower in the fallow (25%) than in the millet field  
3 (31%); (iii) these two evaporative components result in quite similar total evapotranspiration  
4 for the two systems, that largely dominates the water balance (85% and 82%, respectively);  
5 (iv) runoff ranks next in magnitude for both systems, but is substantially larger for the fallow  
6 (15% against 10% for the millet field), (v) drainage (<7%) and to a lesser extent interannual  
7 0–4 m soil storage variation (<2%) are significant in the millet system only (none in the  
8 fallow). Canopy interception/evaporation is found to be non-significant in both systems.

9 Because, at this largest timescale, differences between the two systems are much less  
10 substantial for the energy balance, a similar decomposition – in this case of total global  
11 radiation – is presented only for the average of the two systems (Fig. 4b). It shows that net  
12 longwave radiation is the main component (40% of global radiation), closely followed by  
13 reflected solar radiation (31%). Sensible heat ranks next (17%), followed by latent heat  
14 (12%). Soil heat flux is negligible at this scale of integration. When compared to a globe-  
15 averaged continental energy budget (Trenberth et al., 2009), all components are found larger  
16 at the study site, including latent heat. Regarding radiative losses, reflected short wave is  
17 closer to net longwave loss than it is globally. As for turbulent losses, sensible heat is greater  
18 than latent heat, contrary to globe averages.

19 Figure 5 displays in more detail the climatological water and energy balances for both  
20 systems, at annual scale and for two 6-month periods corresponding to the monsoon (May–  
21 Oct.) and dry (Nov.–Apr.) seasons, respectively. Elemental components are also grouped by  
22 type: liquid versus atmospheric vapor fluxes for water (Fig. 5a), radiative versus turbulent for  
23 energy (Fig. 5b). Estimated annual means are shown with standard estimation errors and  
24 sample ranges. It can be seen that sampling uncertainty on estimated means is very small for  
25 all energy variables (max. standard error of  $2.8 \text{ W.m}^{-2}$ , for latent heat flux in the fallow)  
26 relative to energy input ( $248 \text{ W.m}^{-2}$ ). Relative to the  $465 \text{ mm.yr}^{-1}$  rainfall, standard estimation  
27 error is higher for water balance components: up to 14.3 and  $21.2 \text{ mm.yr}^{-1}$  for evaporation and  
28 total evapotranspiration from the fallow, respectively.

29 Results suggest that annual-scale differences between ecosystems – even though small for the  
30 energy balance – are statistically significant for most elemental components. Exceptions are  
31 turbulent (latent or sensible) heat fluxes, and also aggregated liquid fluxes. Hence, when  
32 switching ecosystems, tradeoffs occur at annual scale between runoff and drainage  
33 ( $\sim 30 \text{ mm.yr}^{-1}$ , with more runoff for the fallow and vice-versa), between direct soil evaporation

1 and canopy transpiration ( $\sim 33 \text{ mm.yr}^{-1}$ , with more transpiration from the millet field & v.-v.),  
2 or to a lesser extent between short and long wave radiation losses ( $< 6 \text{ W.m}^{-2}$ , with more long  
3 wave for the millet field & v.-v.). Stronger yet is the tradeoff ( $\sim 50 \text{ W.m}^{-2}$ ) between radiative  
4 and turbulent fluxes when switching seasons (more radiation in dry season & v.-v.),  
5 particularly between longwave and latent heat losses. Short wave and sensible heat are much  
6 less impacted, with only 9.3 and 6.6  $\text{W.m}^{-2}$  variation, respectively. When considering 6-month  
7 seasons separately, sensible heat and reflected solar radiation are still not very significantly  
8 different between ecosystems, nor is wet-season transpiration. In contrast, dry-season  
9 transpiration is much larger for the millet system with  $\sim 23\%$  of annual total, versus  $\sim 4\%$  for  
10 the fallow.

### 11 **3.2. Detailed seasonal cycle**

12 We are interested here in the general pattern of variation of daily variables over an average  
13 year, as can be derived from the 7-year sample. Figures 6a and 7a display the estimated  
14 interannual mean seasonal courses of water and energy budget components, respectively. A  
15 30-day running averaging was applied, to filter out high-frequency components and obtain a  
16 more robust estimate of the low frequency-dominated population's mean seasonal cycle (the  
17 value of this filtering is further discussed in Sect. 4.1.3). The sample-induced standard  
18 estimation error is shown as a confidence interval for each variable. It can be seen that the  
19 sample of years enables deriving interannual mean cycles with low statistical uncertainty,  
20 especially for most energy variables. Water cycle variables show somewhat larger relative  
21 uncertainties, with the noticeable exception of millet transpiration for which statistical  
22 uncertainty is very small ( $< 0.14 \text{ mm.d}^{-1}$ ).

#### 23 **3.2.1. Water**

24 The rainfall signal displays the slightly-skewed bell shape, with a slow rise and sharp tail, that  
25 is typical of Sahelian rainfall seasonality (Fig. 6a). It is even strikingly close to the 1990–2007  
26 mean seasonal cycle obtained for a  $5^\circ \times 5^\circ$  window centered on the study site (Lebel and Ali,  
27 2009), including: start/end timing, amplitude ( $\sim 5.7 \text{ mm.day}^{-1}$ ) and timing of peak as well as of  
28 the successive phases of monsoon development (plateau in June, secondary peak and break in  
29 late July) and recession (plateau at the turn of August to September) which are characteristic  
30 of the Sahelian monsoon regime.

31 Overall, both seasonal soil evaporation and runoff follow rather homothetic general courses  
32 relative to the rainfall bell, yet smoother for evaporation. Maxima are at 2.8 and  $2.4 \text{ mm.day}^{-1}$

1 for evaporation and 1.1 and 0.8 mm.day<sup>-1</sup> for runoff at the fallow and millet sites respectively  
2 (Fig. 6a). However, when considering the corresponding ratio to concomitant rainfall  
3 (Fig. 6b), a general V-shape is obtained for evaporation, from ~0.8 at the beginning and the  
4 end of the season, down to a low of 0.5 (fallow) or 0.4 (millet) at the monsoon peak. The  
5 shape is essentially opposite for the runoff ratio, in the range 0–0.2 (lower for the millet field  
6 than the fallow), albeit with a double peak: an absolute high in the 2<sup>nd</sup> half of July (cf.  
7 secondary rainfall peak, above, and peak rain intensity in Fig. 2a) and a relative high in late  
8 September, separated by a relative low at the monsoon peak.

9 As transpiration is strongly buffered by the whole soil/vegetation system, it displays a very  
10 smooth course (Fig. 6a), lagged relative to rainfall by about 1 month for the fallow and  
11 1.5 month for the millet system, and peaking around 1.5 mm.day<sup>-1</sup> (slightly higher for the  
12 millet system). The lag in millet-field transpiration is to be related to the late phenological  
13 development of this ecosystem (Fig. 3), due in part to shrub management in the mixed crop-  
14 shrub farming. It is worth noting however that transpiration in the millet field peaks not only  
15 well after soil water content (storage inversion in Fig. 6a), but also slightly after LAI, with a  
16 growing contribution of the deep root zone (Fig. 8a). This may be traced both (i) to the  
17 downward extension of root extraction capacity that continues in that period – with shrub  
18 regrowth – in a wet subsoil (Fig. 8b), and (ii), maybe more importantly, to the dynamics of  
19 the energy budget, with sustained global radiation but vanishing soil evaporation, allowing for  
20 higher density of transpiration flux per unit leaf area.

21 Drainage from the millet plot at 4 m depth starts the latest of all fluxes (around beginning of  
22 September), peaks in October with limited intensity (max.: 0.3 mm.day<sup>-1</sup>), and recedes slowly  
23 over the dry season. Until nearly the end of September, all “consumptive” fluxes (runoff,  
24 evaporation, transpiration, but not drainage which has just started) are substantially lower at  
25 the millet site than at the fallow, implying much higher storage/lower destorage up to then.  
26 This results in much higher soil water content in the millet plot through the whole average  
27 year, as illustrated by Fig. 8b for the root zone.

### 28 **3.2.2. Energy**

29 Due in particular to intertropical latitude and concomitance of the astronomical summer with  
30 the cloudier monsoon season, global radiation shows only limited seasonality (230–  
31 275 W.m<sup>-2</sup> range in average year), with two lows at winter solstice and peak monsoon, an  
32 absolute high in March, and a relative high, end of September (Fig. 7a). Yet seasonality is

1 strong for all consumptive – radiative or turbulent – components of the energy cycle, and is  
2 essentially driven directly or indirectly by the single-pulse monsoon and associated water  
3 cycle dynamics. Only ground heat flux exhibits a bimodal response, but with small amplitude  
4 ( $\pm 4 \text{ W.m}^{-2}$ ). Direct control by water occurs mostly through latent heat, whose dynamics  
5 shows among all energy components (i) the largest amplitude, peaking at  $\sim 110$  and  $90 \text{ W.m}^{-2}$   
6 for the fallow and millet plots respectively, and (ii) the shortest duration: latent heat vanishes  
7 very quickly in the dry season for the fallow, and  $\sim 2$  months later in the millet field. Soil  
8 moisture also directly impacts the ground heat flux and albedo, via soil thermal conductivity  
9 and color, respectively. Indirect water impact is that of vegetation on latent heat and albedo.  
10 Combined direct and indirect water effects on albedo (Fig. 7b) result in further reduction of  
11 net short wave seasonality (not shown). Due to the stronger dynamics of soil evaporation  
12 compared with canopy transpiration (Fig. 6a), latent heat peaks concomitantly with the  
13 former, during transpiration rise, even for the fallow. The time offset for transpiration results  
14 in a longer recession of latent heat – especially for the millet field – relative to soil  
15 evaporation alone.

16 As the monsoon sets in, consumption by latent heat of a major part of the net short wave  
17 energy (more than half at monsoon peak, even for the less-consuming millet plot), carves a  
18 corresponding hollow in the courses of both net longwave and sensible heat (Fig. 7a), through  
19 lowering of surface temperature. These hollows are modulated in their amplitude and timing  
20 by other atmospheric controls, such as air humidity for net longwave radiation (making  $LW_{net}$   
21 start decreasing by early April, i.e. before rain season onset and peak temperature, thereby  
22 offsetting increased solar interception by the atmosphere) or wind regime for sensible heat.  
23 Sensible heat and, to a much lesser extent, ground heat reflect a combination of these different  
24 forcings, suggesting they are more dependent than all previous fluxes on the interplay  
25 between the various land surface forcings and processes. Further illustrating the relative  
26 prominence of monsoon processes over incoming solar radiation, net radiation follows a  
27 relatively simple course with a long rise (late December – early September) and a short  
28 recession.

29 The energy cycle dynamics is overall sharper and more pronounced for the fallow plot,  
30 generally displaying a somewhat earlier timing. For example, like latent heat, net radiation is  
31 higher (lower net longwave) in the fallow until late September, and vice-versa until the next  
32 monsoon ( $\sim$ end of April). The evaporative fraction (part of latent heat in total turbulent heat  
33 flux, Fig. 7b) reaches around 0.9 in August in the fallow, versus less than 0.7 in the millet

1 field. Differences in sensible heat are shorter in time, with more pronounced extrema (high in  
2 May, low in August) for the fallow.

## 4. Discussion

### 4.1. Results significance

#### 4.1.1. Representativity of study sites and period

7 To our knowledge, this study is the first attempt to put forward a climatological view of GAI  
8 energy and water fluxes in the Sahel environment. While only two sites are considered in this  
9 study, a fallow bush field and a rainfed millet field, these are quite representative of dominant  
10 ecosystems in the Sahelian agricultural context. This not only applies to southwestern Niger  
11 but also to a very significant part of the whole sub-Saharan Sahelian belt. Variations  
12 obviously exist within this huge domain, depending on geology, monsoon specifics,  
13 population and agricultural practices, however first regional flux-site intercomparisons  
14 (Merbold et al., 2009; Sjöström et al., 2011, 2013; Lohou et al., 2013) evidenced strong  
15 similarities over the Sahelian domain, relative to the other eco-climatic domains of tropical  
16 Africa. Hence, it is believed that the new results obtained at these two sites can serve as a  
17 useful reference well beyond the study area.

18 Previous studies (e.g., Braud, 1998; Verhoef et al., 1999; Miller et al., 2009; Ramier et al.,  
19 2009; Saux-Picart et al., 2009a) provided specific experimental and/or modeling results for  
20 surface fluxes in such ecosystems over much shorter periods, i.e. at scales ranging from a  
21 single event to at most an annual cycle. For instance, Miller et al. (2009) made a detailed field  
22 analysis of the surface energy balance at subseasonal to seasonal scales, based on a one-year  
23 record at a Niamey fallow site, i.e. in conditions very similar to ours. However, in light of the  
24 7-year series studied here, it appears that the quite dry observation year (375 mm) at their site  
25 produced substantial flux anomalies, e.g. comparable latent and sensible heat fluxes at the  
26 height of the rain season. Such results could be misleading if they were considered alone.  
27 Conversely, the season analyzed by Ramier et al. (2009) was unusually wet (580 mm). This  
28 underlines the need for multi-year series to derive major features of surface response to  
29 variable monsoonal forcing. The unprecedented length of our study period for this region is a  
30 step in that direction. Seven years is probably a lower limit for producing robust results.  
31 However it seems a reasonable length in light of the rather small statistical uncertainty on



1 estimated variables. Comparison of rainfall statistics for the 7-year period (interannual mean  
2 and variability, seasonal distribution) with longer records for the catchment (Ramier et al.,  
3 2009) or for the area (Lebel and Ali 2009), suggests that our study period is quite  
4 representative of current monsoon conditions in the Central Sahel. Accounting for non-  
5 stationarities in climate or in the hydro-ecosystem (land cover, soil) or for land management  
6 variability (e.g., crop/fallow rotation, cultivation practices, animal grazing/manuring) is  
7 another challenge facing the long-term observatory in the Wankama catchment (Cappelaere et  
8 al., 2009). Now that a seemingly robust model has been developed for these ecosystems, it  
9 will be interesting to investigate additional years as more meteorological and phenological  
10 forcing data become available.

#### 11 **4.1.2. Model versus Data**

12 It was suggested in the introduction that the study's objectives could not be met with field  
13 data alone. This section further examines the need for and merits of the model-data  
14 integration performed. As mentioned, field data limitations include: (i) not all variables of  
15 interest being monitored (e.g., evapotranspiration partitioning, runoff, drainage), (ii)  
16 substantial, unevenly distributed gap rates (one fourth to one third of turbulent fluxes  
17 observations missing here after data-filtering - depending on variable and site -, 11 to 18% for  
18 other energy fluxes), and (iii) measurement representativity and accuracy issues, including  
19 scale discrepancies.

20 “Black-box” gap-filling techniques do exist, but they boil down to very basic data modelling,  
21 with crude hypotheses, which themselves may induce considerable errors and biases. Even  
22 when more elaborate modelling is achieved as it is here, observational shortcomings as well  
23 as likely statistical biases in deriving a climatology from a heterogeneous series of gapfilled  
24 observations, severely question the basic gapfilling approach. Using instead the physics-based  
25 model simulation for the whole reference series, provided it is constrained by successful  
26 calibration/validation with dense and diverse observations through the whole simulation  
27 period, better integrates all sources of information into a homogeneous, coherent series.  
28 Specifically, it allows to (i) make all available field information work together (across  
29 variable types and over time) instead of separately, (ii) constrain them with physical  
30 principles as regularization rules, to find the best compromise and make the most sense of all  
31 these different types of information/knowledge, (iii) produce output variables at a consistent  
32 plot scale, obeying known physics, and as compatible as possible with the whole dataset.

1 Attempting to match a long and diverse set of observations – all high-resolution surface  
2 energy fluxes, soil moisture/temperature profiles – with a rather complex model, could be  
3 seen as quite a challenge. Results show that this is feasible for the two ecosystems and the  
4 variable forcing conditions (Appendix B), with parameters assigned in part from prior  
5 knowledge from the field and the literature, and in part from split-sample  
6 calibration/validation (2 and 5 years, respectively). This was performed with a heuristic  
7 parameter adjustment method, based on expertise of the model, the data, and field properties  
8 and processes (Appendix A). In the authors' judgement, the compromise achieved in  
9 integrating the various data and regularization constraints is about the best possible. Some  
10 parameter equivalence does exist, however because of the strong conditioning by the wide  
11 range of control variables and simulation conditions, including those for validation, this  
12 should not affect the simulated trajectories unduly. In this study, model application is  
13 restricted in time to the observation period, which avoids extrapolating to weakly conditioned  
14 situations. However the calibrated model is thought to have the potential for reliable  
15 simulations well outside the observed conditions. Regarding unobserved fluxes, the fact that  
16 they may often occur separately in time (runoff during rainstorms, evaporation in the early  
17 rain season, transpiration during dry spells and in the early dry season) makes  
18 calibration/validation of their main controlling parameters, and hence their simulation, all the  
19 more reliable.

20 These key methodological issues are further discussed in Velluet et al. (2014).

#### 21 **4.1.3. Timescales of seasonal cycle analysis**

22 Strictly speaking, because of the 30-day filtering applied to the simulated time series, the  
23 mean seasonal cycles produced (Figs. 6, 7 and 8) pertain to moving monthly quantities.  
24 However, the very smooth variations to be expected for the population's mean cycles should  
25 imply low sensitivity of the latter to time resolution below one month. Hence it is believed  
26 that the estimated seasonal courses of Figs. 6-8 provide rather good climatological estimates  
27 for finer timescales as well, down to daily resolution. Only the peaks (highs & lows), for this  
28 finest resolution, would be expected to be slightly smoothed out (underestimated maxima,  
29 overestimated minima). To get an idea of the possible differences between the population's  
30 daily and running-monthly mean seasonal cycles, we can simulate their relationship by  
31 applying a 30-day filter to the estimated seasonal signals of Figs. 6a and 7a: this reduces the  
32 seasonal standard deviation of water cycle variables by only 2% (for soil evaporation) to 5%

1 (for runoff), and by 1.5% (net longwave or latent heat) to 3% (sensible heat) for all energy  
2 variables but global radiation and ground flux (~7%). Note that these figures are quite stable  
3 with respect to recursive filter application, suggesting a robust approach. To obtain more  
4 rigorous, direct/unbiased estimates for the daily resolution, a record of considerable length  
5 would be needed to filter out sampling-induced high-frequency noise and ensure acceptable  
6 standard estimation error. To reach everywhere the same order of statistical uncertainty as  
7 with the estimations presented here, the required length is evaluated to vary from ~15–  
8 20 years for soil water storage or drainage in the millet field, to several centuries for rainfall,  
9 runoff, ground heat flux, or reflected shortwave (with >2 decades for plant transpiration, >3  
10 decades for net longwave radiation, and 6-10 decades for soil evaporation and all turbulent  
11 heat fluxes).

12 Finally, as only the systems mean behaviors are investigated here, variability around  
13 climatological means is not reflected. Thus, it should be kept in mind that, at any timescale  
14 (daily to annual), some of the features highlighted by this first-order analysis may not hold at  
15 all times, and that they can even turn out to be the opposite under certain circumstances.

## 16 **4.2. Insights into some key GAI processes**

17 This discussion focuses on water cycle processes, as they were shown to also largely  
18 condition the other GAI processes in this environment (section 3.2.2).

### 19 **4.2.1. Runoff/infiltration, soil storage and drainage**

20 Runoff values for the two sites are compatible with results from previous field plot studies in  
21 the area (e.g., Peugeot et al., 1997; Estèves and Lapetite, 2003). They show high variability,  
22 with annual runoff spanning a range of ~120% of mean for both sites, and annual runoff  
23 coefficient ranging 5.6–18.8% for the fallow and 2.6–13.3% for the millet plot. High runoff  
24 from the fallow is due in particular to a low hydraulic conductivity and high retention in the  
25 thin surface horizon (H1), representing the soil crust observed in the field. Lower runoff from  
26 the millet field is largely due to the comparatively higher conductivity / lower retention of its  
27 own H1 horizon. However a sharp contrast with the underlying sandy soil (H2–H5 horizons)  
28 is also found, confirming that some degree of superficial restriction of infiltration/crusting  
29 subsists despite cultivation (Rockström and Valentin, 1997), even if infiltrability is  
30 significantly improved.

1 These differences in rain infiltration capacity between the two plots appear to be one cause for  
2 the consistently higher soil water storage obtained for the millet field, but not the only one.  
3 The other one – even more important, as hypothesized by Ramier et al. (2009) – appears to be  
4 lower evapotranspiration from the millet field, at least until late September (Fig. 7a). On  
5 average, these two factors account for respectively about one and two thirds of the difference  
6 in 0–4 m soil storage up to that date. Direct soil evaporation dominates in this  
7 evapotranspiration contrast, however both soil evaporation and rain-season plant transpiration  
8 are lower in the millet field, despite generally higher soil moisture. Hence it appears that a  
9 conjunction of factors leads to higher soil water content in the millet field through the wet  
10 season.

11 Consequences of this higher water storage are that, when the end of the rain season  
12 approaches, drainage can start to occur at the 4 m-depth in the millet field – at least in  
13 sufficiently wet years –, as well as shrub regrowth that sustains transpiration into the dry  
14 season. This is not the case for the fallow. Even though drainage amounts to a modest fraction  
15 of the plot water balance, the average  $31 \text{ mm.yr}^{-1}$  estimated under the millet field (plus the  
16  $8 \text{ mm.yr}^{-1}$  of net soil storage, essentially below the root uptake zone) represents a significant  
17 potential recharge source for the unconfined aquifer, given the considerable fraction of land  
18 now cropped (e.g., Leblanc et al., 2008). Due to the low water table ( $\sim 30\text{-}40$  m at the study  
19 site; Massuel et al., 2006; Descroix et al., 2012), soil drainage should take years or decades to  
20 actually reach the saturated zone (Ibrahim et al., 2014). Hence water infiltrated after the  
21 extensive clearing of recent decades may in the future contribute to sustain very significantly  
22 the current rise in the water table, attributed mainly to enhanced indirect recharge via runoff  
23 to surface ponds (Favreau et al., 2009).

#### 24 **4.2.2. Evapotranspiration and its partitioning**

25 Most of the year, evapotranspiration appears to be water-limited, with the latent heat flux  
26 being tightly connected to variations in soil water and rainfall. Only at monsoon peak  
27 (August–beginning of September) does the evaporative fraction (Fig. 7b) or the ratio to  
28 reference evapotranspiration (Allen et al., 1998; not shown) approach one, suggesting that  
29 evapotranspiration becomes then more energy-limited. Both ratios peak higher for the fallow,  
30 despite lower total soil moisture.

31 On average over the study period, estimated transpiration amounts to  $\sim 32\%$  of total  
32 evapotranspiration at the fallow site, and  $\sim 40\%$  at the millet site. This is a little more than that

1 obtained for the same fields by Saux-Picart et al. (2009b) with the SETHyS\_Savannah model  
2 (~27 and 31% respectively), but for a two-year period with higher average rainfall. Simulated  
3 millet transpiration is consistent with field estimates during the peak growth season at a  
4 nearby site (< 20km; Soegaard and Boegh, 1995). Relatively small contributions have been  
5 reported for transpiration from the shrub layer in fallows (Brunel et al., 1997; Tuzet et al.,  
6 1997). Although no direct continuous observation of this partitioning of evapotranspiration  
7 into plant transpiration and soil evaporation is available at the two study sites, the fact that  
8 one and only one of these two components is negligible at certain times of year (transpiration  
9 in the early rain season before LAI actually starts; evaporation after the rain season) enables  
10 validation of the other component through total evapotranspiration for those periods.

11 The increase in transpiration in the late monsoon when soil evaporation declines (Fig. 6a;  
12 especially for the millet system where soil moisture is still high) is interpreted partly as  
13 reflecting a relaxed competition for energy between the two processes. Note that the climatic  
14 water demand, as expressed by reference evapotranspiration, does not rise again after its  
15 monsoon low until the winter solstice. A corollary phenomenon, with soil evaporation bursts  
16 that appear to depress plant transpiration, is noticeable at smaller timescales, just after rain  
17 events. In the following days, transpiration recovers as evaporation declines (also reported by  
18 Braud et al., 1997), suggesting that evaporation extinction – for lack of shallow soil moisture  
19 – makes energy available for more plant transpiration.

20 Our results temper Miller et al. (2009)'s suggestion that the seasonal course of  
21 evapotranspiration is driven primarily by the contribution of plants to atmospheric moisture,  
22 in this environment. They also temper the hypothesized benefit that plants could draw during  
23 a growing season from subsurface moisture accumulated during the previous rainy season:  
24 while this does happen in our simulations for the millet field vegetation in the months just  
25 after the rain season (~7% of rainfall, on average; Figs. 5a, 6a and 8a) and possibly to a  
26 limited extent for moisture carried over from one monsoon season to the next in the 1.5–2.5 m  
27 depth range (Fig. 8b), no comparable benefit appears for the fallow in this study.

28 Partly due to this late wet season/early dry season shrub regrowth in the millet field, the  
29 general picture of higher evapotranspiration from a fallow ecosystem than from a millet field  
30 (Gash et al., 1997; Ramier et al., 2009) is also somewhat moderated by our results. In this  
31 study, this is true on average during most of the rainy season (Fig. 6) – despite generally  
32 lower soil moisture –, but not in the late September–January period, making annual totals turn  
33 out very similar (fallow slightly above). Also, when considering interannual variability,

1 rankings may revert both annually and/or at some periods of the wet season, likely in relation  
2 with higher short-timescale variability in transpiration for the fallow. This larger variability  
3 can be traced both to the lower and more variable soil storage (Fig. 8b) that makes fallow  
4 vegetation more exposed to rainfall shortage, and to the higher LAI variability (Fig. 3)  
5 reflecting higher ecosystem sensitivity to environmental conditions (Boulain et al., 2009a)  
6 and exposure to external factors such as pasturing.

7 Finally, our results also suggest that these contrasts in wet season evapotranspiration between  
8 the two ecosystems, originate at least partly from differences in generation of direct soil  
9 evaporation, which is clearly enhanced in the fallow field. Hence, higher rain season  
10 evapotranspiration from the fallow may not – only – be related to plant physiological effects  
11 on transpiration, but maybe more importantly to the physics of direct soil-atmosphere  
12 exchanges within these two ecosystems (e.g., differences in convective “shield” effect, cf.  
13 Tuzet et al., 1997, or in shallow soil properties). Whether this conclusion can be generalized  
14 requires further analysis.

## 16 **5. Conclusion**

17 The purpose of this work is to build upon a unique, multi-year record of local water and  
18 energy observations for two typical plots in south-west Niger, in order to propose for the first  
19 time a climatology of these processes in the Sahel region. The methodology relies on the  
20 development of a detailed, physically-based column model that is finely calibrated/validated  
21 against this important dataset. It provides a time/depth-continuous series of all water and  
22 energy variables involved, over a full 7-year period. This includes unobserved variables, most  
23 notably direct soil evaporation, plant transpiration, runoff, and drainage. The model, forced  
24 with observed meteorology and phenology, is calibrated against two years of data and  
25 evaluated against the full seven years, showing very good skill in reproducing the whole  
26 observation record. For instance, the model is able to reproduce faithfully the observation of  
27 larger evapotranspiration in the fallow than in the millet plot during most of the rainy season  
28 despite lower soil moisture. The variety of monsoon conditions encountered and of evaluation  
29 variables used – covering the full surface energy balance (short and long wave radiation,  
30 turbulent fluxes, soil heat flux), and 2.5 m-deep soil moisture and temperature profiles –  
31 offers a comprehensive set of constraints that ensures a reliable model trajectory.

1 The time series simulated for all water and energy variables are analyzed statistically at  
2 several timescales: annual and seasonal aggregates, seasonal cycle of running-monthly to  
3 daily values. A detailed documentation of climatological mean water and energy cycling, with  
4 sample-related uncertainty, is thus produced. From this analysis, new insights are derived on  
5 the interplay between processes, that corroborate, refine or question some ideas proposed so  
6 far in the literature. Uncertainty sources other than time sampling are not considered  
7 quantitatively in this study, as this requires elaborate assumptions to be made for all possible  
8 error sources, which is an upcoming step in this project.

9 With evapotranspiration/latent heat representing over 80% of the mean annual water budget  
10 and nearly half the energy budget in the peak monsoon, the case for studying these two  
11 strongly-coupled cycles jointly, and for resolving this coupling explicitly, is thus strongly  
12 supported for the Sahel region. The atmospheric vapor flux is shown to be dominated by  
13 direct soil evaporation during most of the monsoon season in the average year. Plant  
14 transpiration becomes dominant only in the last part of the wet season (from the second half  
15 of September) and continuing into the beginning of the dry season.

16 Differences between the two land cover types are substantial for most components of the  
17 water budget. For instance, differences in estimated annual mean runoff ( $\sim 45$  and  
18  $\sim 70$  mm.yr<sup>-1</sup> for the millet and the fallow, resp.) and drainage ( $\sim 30$  mm.yr<sup>-1</sup> and none, resp.)  
19 may induce potentially important land use effects on water resources. All climatological water  
20 fluxes are higher in the fallow until around end of September, and over the whole wet season  
21 for runoff and soil evaporation; conversely, soil storage, drainage and dry-season plant  
22 transpiration are always larger in the millet field. Differences are somewhat smaller for the  
23 energy cycle, with overall more pronounced dynamics in the fallow plot.

24 These qualitative and quantitative results should prove useful as reference field information  
25 for various purposes, such as evaluating and improving land surface models and remote  
26 sensing algorithms in the framework of the current ALMIP-2 project<sup>2</sup> (Boone et al., 2009b).  
27 To our knowledge, the study presented here represents one of the most extensive analyses of  
28 local field-scale water & energy cycling performed for the Sahelian context to date,  
29 associating both a unique dataset in length and quality and a very detailed, finely calibrated  
30 model. This climatological analysis is currently being extended to subseasonal variability

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<sup>2</sup> AMMA Land Model Intercomparison Project – Phase 2

1 around mean behavior, with the aim of providing comprehensive statistical signatures of  
2 surface fluxes to serve as reference for land-atmosphere studies. Observations are continuing  
3 at the Wankama site to extend model evaluation information, including to other land cover  
4 types, and to evaluate effects of land management practices on the water and energy balances  
5 (Cappelaere et al., 2009). Finally, as argued strongly in the discussion, it is believed that the  
6 unconventional approach used to combine all sources of information available into a  
7 homogeneous reference series through extensive model-data integration, is the best way to  
8 produce the desired climatological characterization. The model properties and qualities also  
9 allow considering its application to making projections beyond the study conditions (Velluet,  
10 2014).

## 11 12 13 **Appendix A: Model parameter assignment methodology**

14 For groups A and B, assignment is completely independent of model operation. Group A  
15 consists of soil parameters derived from field measurements only, either directly, for texture  
16 and residual water content  $\theta_r$  in each soil horizon, or indirectly, for the horizons' saturated  
17 water content  $\theta_{sat}$  and thermal capacity, as well as for dry and wet soil albedos.  $\theta_r$  is assigned  
18 the lowest water content measured within the horizon (Table 2). For lack of observation in  
19 horizon H1, the lowest of all measured values ( $0.01 \text{ m}^3 \cdot \text{m}^{-3}$ , in the fallow's top centimeters) is  
20 used instead.  $\theta_{sat}$  is taken uniformly equal to 90% of average porosity, as this parameter  
21 displays little heterogeneity or model sensitivity. One reason for low sensitivity is that soil  
22 moisture remains far from saturation in this dry sandy environment (except locally within  
23 surface crusts during strong rain events). Dry heat capacity is estimated from porosity, for low  
24 organic matter (expression in Table 2; Hillel, 1998). Soil albedos are derived from 2-way  
25 shortwave radiation measurements in periods with no foliage. Parameters in group B  
26 (vegetation and soil emissivity, maximum stomatal resistance, vapor deficit factor in plant  
27 stress function, critical leaf potential, longwave interception parameter) are assigned from the  
28 literature only (Table 2).

29 Group C consists of additional vegetation parameters (total plant resistance, minimum  
30 stomatal resistance, vegetation albedo, short wave interception parameter, and root density  
31 profile) that are also assigned from values in the literature, however unlike group B they are  
32 slightly adjusted in final stage of parameter assignment, once group D parameters are



1 calibrated. This enables fine tuning for some specific stages of the seasonal cycle (e.g., late  
2 monsoon, early dry season), when these parameters are most important. Root profiles are  
3 considered invariant for the fallow but seasonally-dynamic for the millet system. Finally,  
4 group D consists of soil parameters that cannot be ascribed prior values with sufficient  
5 accuracy, with respect to model sensitivity to these values, and are thus calibrated within prior  
6 ranges (Table 2). These are four hydrodynamic parameters -  $K_{sat}$ ,  $h_g$ ,  $n$ ,  $\beta$  - and the soil  
7 thermal conductivity scaling parameter, for each horizon. Only two contrasted hydrological  
8 years (May 1, 2006 - Apr.30, 2008) are used for calibration, the five remaining years being  
9 devoted to validation. Calibration is performed using a heuristic, stratified approach derived  
10 from prior sensitivity investigation, previous experience with the model (Braud, 1998; Boulet  
11 et al., 1999; Demarty et al., 2004, 2005), results from similar experiments (e.g., Ridler et al.,  
12 2012), and understanding of the physics of the various processes involved (see, e.g.,  
13 Cappelaere et al., 2009). All observed variables that are sensitive to a subset of parameters  
14 being calibrated are used for this purpose, at half-hourly timestep, with the aim of achieving  
15 the best compromise between these variables given their observability (accuracy,  
16 representativity). Several regularization rules are applied: (i) parameter values should remain  
17 within prior ranges; (ii) spatial variations (with depth and plot) in soil parameters should  
18 remain consistent with variations/similarities in observed characteristics. Impacts on the main  
19 evaluation variables (all energy fluxes, soil moisture and temperature profiles) are analyzed  
20 one parameter at a time, within its range, with the purpose of narrowing the latter  
21 conservatively. This analysis is repeated for every parameter in subset, and iterated several  
22 times until convergence is deemed acceptable. Finally the aforementioned slight adjustments  
23 are made to group C vegetation parameters.

## 26 **Appendix B: Model calibration/validation results**

27 Assigned and calibrated parameter values are listed in Table 2. Dry and wet soil albedo values  
28 for the two plots are in good agreement with qualitative field indicators such as soil color and  
29 surface roughness. Soil hydrodynamic and thermal parameters in the H2–H5 horizons are  
30 consistent with the sandy texture, and exhibit moderate heterogeneity with depth and between  
31 sites, especially for the H3-H5 horizons. Among the van Genuchten-Burdine retention  
32 parameters, and relative to prior ranges,  $h_g$  is the most variable between horizons (-0.2 to -

1 0.6 m), gradually with depth. Saturated hydraulic conductivity  $K_{sat}$  displays little variability  
2 across these horizons with values of  $5.10^{-5}$ – $7.10^{-5}$   $m.s^{-1}$ , on the upper side of the prescribed  
3 range. Most contrasting are the H1 hydraulic parameters, in accordance with surface crusting  
4 observed at the two sites that reduces permeability very substantially. A factor of 1:700 is  
5 found on  $K_{sat}$  between the surface and the underlying horizons at the fallow site. This factor is  
6 lower (1:200) at the millet site, presumably due to the cultivation effort by the farmer.  
7 Similarly, the  $\beta$  parameter is found higher for H1 at both sites, further reducing shallow soil  
8 hydraulic conductivity. The  $n$  water retention parameter and the thermal conductivity scaling  
9 parameter are also different for the H1 horizon. Finally, values obtained for vegetation  
10 resistance parameters agree very well with new experimental results at the fallow (Issoufou et  
11 al., 2013) and millet (Issoufou, unpublished) sites.

12 Statistics of model skill at half-hourly resolution (root mean square error  $RMSE$ , bias,  
13 correlation  $r$ , and Nash-Sutcliffe's efficiency  $NSE$ ) are shown in Table B1 for the whole 7-  
14 year period as well as for the calibration period alone. For both ecosystems, scores are overall  
15 very good, relative to the uncertainty that must be expected from these observations, and to  
16 what can generally be achieved when modelling these variables. A good balance is reached  
17 between the different types of evaluation variables, i.e., surface energy fluxes, soil moisture  
18 and temperature profiles. Scores for the two periods are of the same order, suggesting that  
19 although calibration uses only two years, it is quite robust across variable climatic and  
20 environmental conditions, without overfitting to those two years' specifics. For many criteria,  
21 performance over the whole period is even slightly better, due a lower weight of the wettest  
22 year (2006) which the model reproduces a little less efficiently.

23 Overall, model skill appears positively related with the field-estimation precision that can be  
24 expected for each variable. Upwelling short-wave radiation is always very well simulated,  
25 with  $RMSEs$  in the order of  $10 W.m^{-2}$  ( $NSE \approx 0.99$ ) for any site and period (whole simulation  
26 or calibration only). Scores for long-wave radiation are also quite good, albeit with slightly  
27 higher  $RMSEs$  (in the range  $15$ – $18 W.m^{-2}$ , depending on site and period;  $NSE \approx 0.93$ – $0.95$ ).  
28 Consequently,  $RMSEs$  of net radiation ( $Rn$ ) are small, slightly higher for the millet plot  
29 ( $< 19 W.m^{-2}$  versus  $< 15 W.m^{-2}$  for the fallow;  $NSE \geq 0.99$ ), while  $Rn$  shows slight positive  
30 bias for the fallow ( $\sim +5 W.m^{-2}$ ). This positive bias for  $Rn$  associated with negative biases for  
31  $G$  (at  $-5cm$ ),  $H$  and  $LE$ , illustrates the lack of energy balance closure in the observations,  
32 which unduly penalizes model evaluation scores like bias and  $RMSE$ . Nonetheless, all these  
33 components appear on the whole to follow the high-resolution observations quite well,

1 consistently for both sites and both periods, and better for soil heat ( $RMSE \approx 14\text{--}18 \text{ W.m}^{-2}$ ,  
2  $NSE \approx 0.92\text{--}0.95$ ) as well as sensible heat ( $RMSE \approx 26\text{--}29 \text{ W.m}^{-2}$ ,  $NSE \approx 0.87\text{--}0.91$ ) than for  
3 latent heat ( $RMSE \approx 26\text{--}39 \text{ W.m}^{-2}$ ,  $NSE \approx 0.76\text{--}0.78$ ). Turbulent fluxes, especially  $LE$ , are  
4 obviously the most difficult to measure accurately. In addition, the half-hourly time step is  
5 very challenging for modeling as it lies within the scales of turbulence, conferring fluctuations  
6 to the fluxes that the model does not resolve. For these reasons, calibration should not  
7 overweigh these observations, even though the variables are key with respect to the objectives  
8 pursued. The above scores compare very favorably with similar, state-of-the-art model  
9 applications, particularly for this type of climatic and environmental conditions (e.g., Saux-  
10 Picart et al., 2009b; Akkermans et al., 2012; Ridler et al., 2012). Biases in these fluxes are  
11 low, all below  $\sim 5 \text{ W.m}^{-2}$  for the whole period ( $\leq 6\%$  of observed standard deviations). At  
12 daily timescale (excluding gappy days), overall  $RMSEs$  across sites fall at or below  $9 \text{ W.m}^{-2}$ ,  
13 and biases at or below  $3 \text{ W.m}^{-2}$ , for all energy flux components and all available observations  
14 (scatter for  $Rn$ ,  $G$ ,  $H$  and  $LE$  in Fig. B1).

15 Soil water storage in the different horizons, as estimated from corresponding point  
16 measurements (from 0 to 2.5 m), is also very well reproduced (Table B1). This is especially  
17 true for the upper horizons showing significant dynamics, i.e., H1–H3 for the fallow  
18 ( $NSE \approx 0.74\text{--}0.92$ ) and H1–H5 for the millet field ( $NSE \approx 0.72\text{--}0.94$ ). The lower horizons H4  
19 and H5 of the fallow only show very limited dynamics, and can thus hardly be evaluated with  
20 this criterion. Although the model seems to infiltrate/store a little too much water in the  
21 fallow's H4 horizon (slight positive bias), this is not very significant. The high correlation  
22 coefficients, for all periods, sites and horizons, demonstrate the model's ability to capture the  
23 soil water dynamics, in response to the variability of external forcings at timescales from  
24 event to interannual. This is further illustrated by Fig. B2 for total storage down to 2.5 m, at  
25 both sites through the study period. These results, obtained under contrasted hydrologic  
26 conditions for two ecosystems responding quite differently, are highly satisfactory.

27 Scores for soil temperatures show that these are very well reproduced at the millet site  
28 ( $NSE \approx 0.72\text{--}0.96$ ), all the better as depth is smaller, i.e., as the impact of the bottom  
29 boundary assumption is lower and model physics is the main driver. Note that if in absolute  
30 terms deviations are higher near the surface ( $RMSE$  of  $1.3\text{--}1.9 \text{ }^\circ\text{C}$  at 0.1 m against  $0.6\text{--}0.9 \text{ }^\circ\text{C}$   
31 below), these have to be related to the much larger variability, making model skill actually  
32 turn out better. The same is true also for the fallow plot, albeit with overall lower performance  
33 ( $NSE$  of  $0.48\text{--}0.80$ ,  $RMSE$  of  $2.5\text{--}2.8 \text{ }^\circ\text{C}$  at 0.1 m and  $0.9\text{--}2.2 \text{ }^\circ\text{C}$  below). In fact, most of this

1 lack of fit consists of negative bias, which reaches -1.9 to -2.6 °C near the surface, and  
2 decreases with depth due to tighter constraint by the boundary condition. This is also true, but  
3 to a much smaller extent, for the millet plot (bias is -0.4 to -0.6 °C near the surface). Hence  
4 the temperature dynamics is actually very well represented, even for the fallow, both in phase  
5 (as testified by correlation), and in amplitude, only with constant underestimation. Such bias  
6 was already noticed in similar conditions (model and ecosystems) by Braud (1998), who  
7 attributed it to the 2-layer radiation conceptualization, when a significant bare soil fraction of  
8 the fallow plot actually receives radiation directly with no canopy shielding.

9 Finally, the high correlation values obtained at half-hourly timescale for both the energy  
10 fluxes and the shallow soil temperatures suggest that, in addition to event, seasonal, and  
11 interannual dynamics, the phasing of diurnal cycles is also very well represented by the  
12 model.

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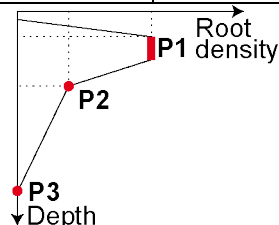
31

**Table 1.** Description of permanent GAI-recording stations in the Wankama fallow and millet plots.

<b>Instrument</b>	<b>Measurements</b>	<b>Height or depth</b>	<b>Frequency</b>
<b>ABOVE GROUND</b>			
Campbell CSAT-3 sonic anemometer (Campbell Scientific, Inc, Logan, USA)	3D wind speed and direction Sonic air temperature	5 m	20 Hz
LI-COR LI-7500 infrared gas analyzer (LI-COR Biosciences, Lincoln, USA)	CO <sub>2</sub> and H <sub>2</sub> O concentrations Air pressure	5 m	20 Hz
Kipp & Zonen CNR1 radiometer (Kipp & Zonen, Delft, The Netherlands)	Shortwave (0.362.8 m) and longwave (5650 m) incoming and outgoing radiation	3.5 m (fallow) 2.5 m (millet)	1 min
Wind monitor RM103 (Young, USA)	2D wind speed and direction	3 m	1 min
Vaisala HMP45C (Vaisala Oyj, Helsinki, Finland)	Air temperature and relative humidity	3 m	1 min
<b>SOIL MEASUREMENTS</b>			
Campbell CS616 water content reflectometer (× 6)	Soil volumetric water content	-0.1, -0.5, -1.0, -1.5, -2.0, -2.5 m	1 min
Campbell T108 temperature probe (× 6)	Soil temperature	-0.1, -0.5, -1.0, -1.5, -2.0, -2.5 m	1 min
Hukseflux HFP01SC heat flux plates (× 3, averaged) (Hukseflux, Delft, The Netherlands)	Surface soil heat flux	-0.05 m	1 min

**Table 2.** Vegetation, surface and soil parameters in SiSPAT model (Braud, 2000), with values either calibrated (parameter groups C and D; see Sect. 2.4 for group definitions) or non-calibrated (parameter groups A and B). Right column shows prior values or ranges obtained from literature (<sup>a</sup>Braud, 2000; <sup>b</sup>Jacquemin and Noihlan, 1990; <sup>c</sup>Hanan & Prince, 1997; <sup>d</sup>Monteny, 1993; <sup>e</sup>Jackson, 1988, and Demarty et al., 2004; <sup>f</sup>Roujean, J.L., personal communication in Braud, 1997; <sup>g</sup>François, 2002; <sup>h</sup>Hillel (1998); <sup>i</sup>Braud et al., 1997; <sup>j</sup>Im nek et al., 1998; <sup>k</sup>Vandervaere et al., 1997; <sup>l</sup>Manyame et al., 2007; <sup>m</sup>Klaij and Vachaud, 1992; <sup>n</sup>Rockström and Valentin, 1997; <sup>o</sup>Hoogmoed and Klaij, 1990; <sup>p</sup>Gaze et al., 1997).

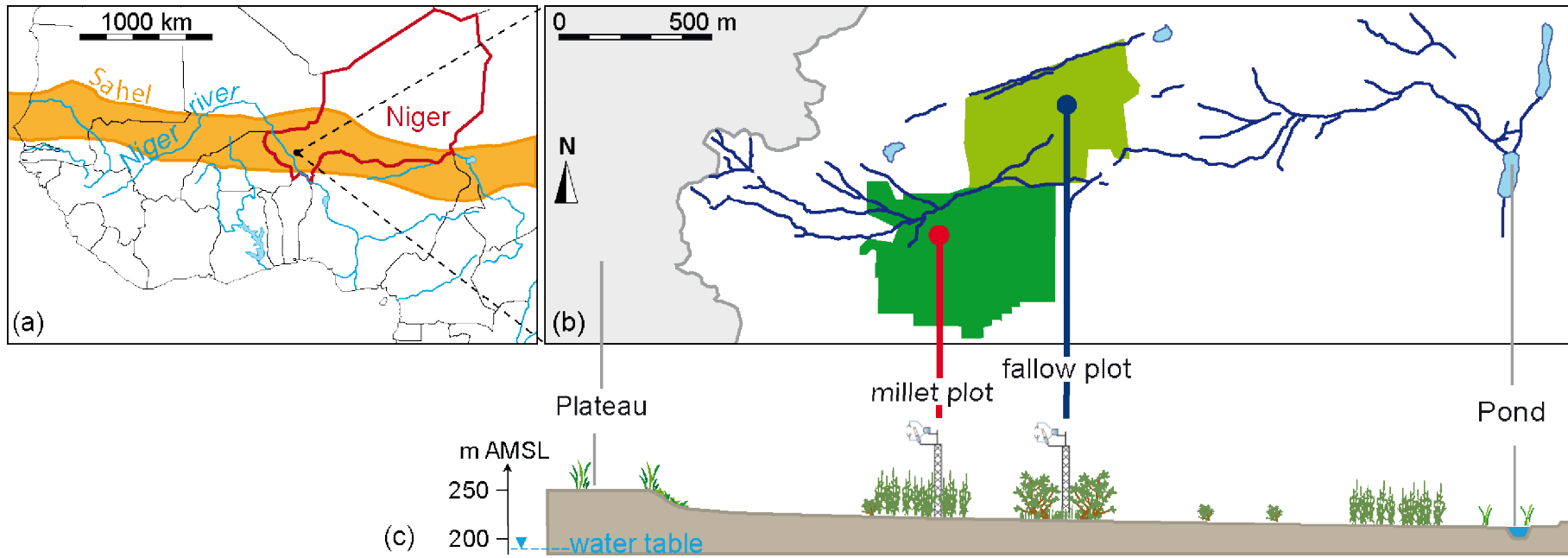
Parameter	Unit	Group	Fallow field					Millet field					Literature values	
			H1	H2	H3	H4	H5	H1	H2	H3	H4	H5	Crust	Soil
<b>Vegetation parameters</b>													<b>Fallow</b>	<b>Millet</b>
Vapor deficit factor in plant stress function	$Pa^{-1}$	B	2.50.10 <sup>-4</sup>					2.50.10 <sup>-4</sup>					2.50.10 <sup>-4</sup> <sup>a</sup>	
Critical leaf water potential	$m$	B	-140					-140					-140 <sup>i</sup>	-
Maximum stomatal resistance	$s.m^{-1}$	B	5000					5000					5000 <sup>b</sup>	
Minimum stomatal resistance	$s.m^{-1}$	C	70					100					80 <sup>c</sup>	125 <sup>c</sup>
Total plant resistance	$s.m^{-1}_{root}$	C	1.50.10 <sup>13</sup>					6.50.10 <sup>12</sup>					6.50.10 <sup>12</sup> <sup>i</sup>	
<b>Root density profile</b>			<i>(Time invariant)</i>					<i>(At peak development)</i>					<i>adjusted from</i>	
P1: maximum root density @ depth	$m_{root}.m^{-3}_{soil}$ @ $m$	C	22900 @ 0.03 to 0.1					25000 @ 0.03 to 0.1					Braud <i>et al.</i> (1997)	Rockström <i>et al.</i> (1998)
P2: intermediate root density @ depth	$m_{root}.m^{-3}_{soil}$ @ $m$	C	1603 @ 0.85					5000 @ 0.50						
P3: maximal root depth	$m$	C	3.5					2.3						
<b>Radiative surface parameters</b>														
Bare soil albedo = $f(\ )$ :														
■ dry albedo ( =0.04)	-	A	0.345					0.340					-	
■ wet albedo ( =0.18)	-	A	0.190					0.200					-	
Bare soil emissivity	-	B	0.97					0.97					0.97 <sup>d</sup>	
Vegetation emissivity	-	B	0.98					0.98					0.98 <sup>e</sup>	
Vegetation albedo	-	C	0.20					0.22					0.20 <sup>f</sup>	
Interception parameter:														
■ infrared	-	B	0.825					0.825					0.825 <sup>g</sup>	
■ short waves	-	C	0.45					0.55					0.50 <sup>g</sup>	
<b>Soil parameters</b>			<b>H1</b>	<b>H2</b>	<b>H3</b>	<b>H4</b>	<b>H5</b>	<b>H1</b>	<b>H2</b>	<b>H3</b>	<b>H4</b>	<b>H5</b>	<b>Crust</b>	<b>Soil</b>
	<b>Horizon depth (m)</b>		0-0.01	0.01-0.2	0.2-0.7	0.7-1.2	1.2-4.0	0-0.01	0.01-0.2	0.2-0.7	0.7-1.2	1.2-4.0		
Dry bulk density	$kg.m^{-3}$	A	1.70	1.70	1.80	1.70	1.75	1.70	1.70	1.80	1.70	1.75	-	
Porosity	-	A	0.358	0.358	0.321	0.358	0.340	0.358	0.358	0.321	0.358	0.340	-	
Sand	%	A	85	85	85	85	85	85	85	85	85	85	-	
Clay+Silt	%	A	13	13	13	13	13	13	13	13	13	13	-	
Organic matter	%	A	2	2	2	2	2	2	2	2	2	2	-	
Dry volumetric heat capacity	$10^6.J.m^{-3}.K$	A	1.28	1.28	1.36	1.28	1.32	1.28	1.28	1.36	1.28	1.32	=2.10 <sup>6</sup> (1- ) <sup>a,h</sup>	
Saturated water content <sub>sat</sub>	$m^3.m^{-3}$	A	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30	[0.25;0.35] <sup>i,j,k</sup>	[0.25;0.42] <sup>i,j,k,l</sup>
Residual water content <sub>r</sub>	$m^3.m^{-3}$	A	0.01	0.012	0.028	0.027	0.037	0.01	0.023	0.046	0.047	0.056	[0;0.03] <sup>i,j</sup>	[0;0.06] <sup>i,j,m</sup>
Retention curve shape parameter $h_g$	$m$	D	-0.85	-0.60	-0.40	-0.30	-0.20	-0.50	-0.30	-0.30	-0.20	-0.20	[-24;-0.31] <sup>i,j</sup>	[-0.60;-0.06] <sup>i,j,l</sup>
Retention curve shape parameter $n$	-	D	2.75	3.00	3.10	3.00	3.30	2.75	3.00	3.00	3.00	3.00	[2.35;3.53] <sup>i,j</sup>	[2.55;4.19] <sup>i,j,l</sup>
Saturated hydraulic conductivity $K_{sat}$	$m.s^{-1}$	D	1.10 <sup>-7</sup>	7.10 <sup>-5</sup>	5.10 <sup>-5</sup>	7.10 <sup>-5</sup>	7.10 <sup>-5</sup>	2.5.10 <sup>-7</sup>	5.10 <sup>-5</sup>	5.10 <sup>-5</sup>	5.10 <sup>-5</sup>	5.10 <sup>-5</sup>	[1.7.10 <sup>-8</sup> ; 2.10 <sup>-6</sup> ] <sup>i,j,k</sup>	[4.10 <sup>-6</sup> ; 7.10 <sup>-5</sup> ] <sup>i,p</sup>
Conductivity curve form parameter	-	D	6.0	5.0	5.0	4.5	5.0	6.0	5.0	5.5	5.5	6.0	[4.3;6.1] <sup>i</sup>	
Soil thermal conductivity scaling parameter		D	2.00	1.50	1.00	1.00	1.00	1.00	0.75	0.85	1.00	1.00	Default value : 1.00	



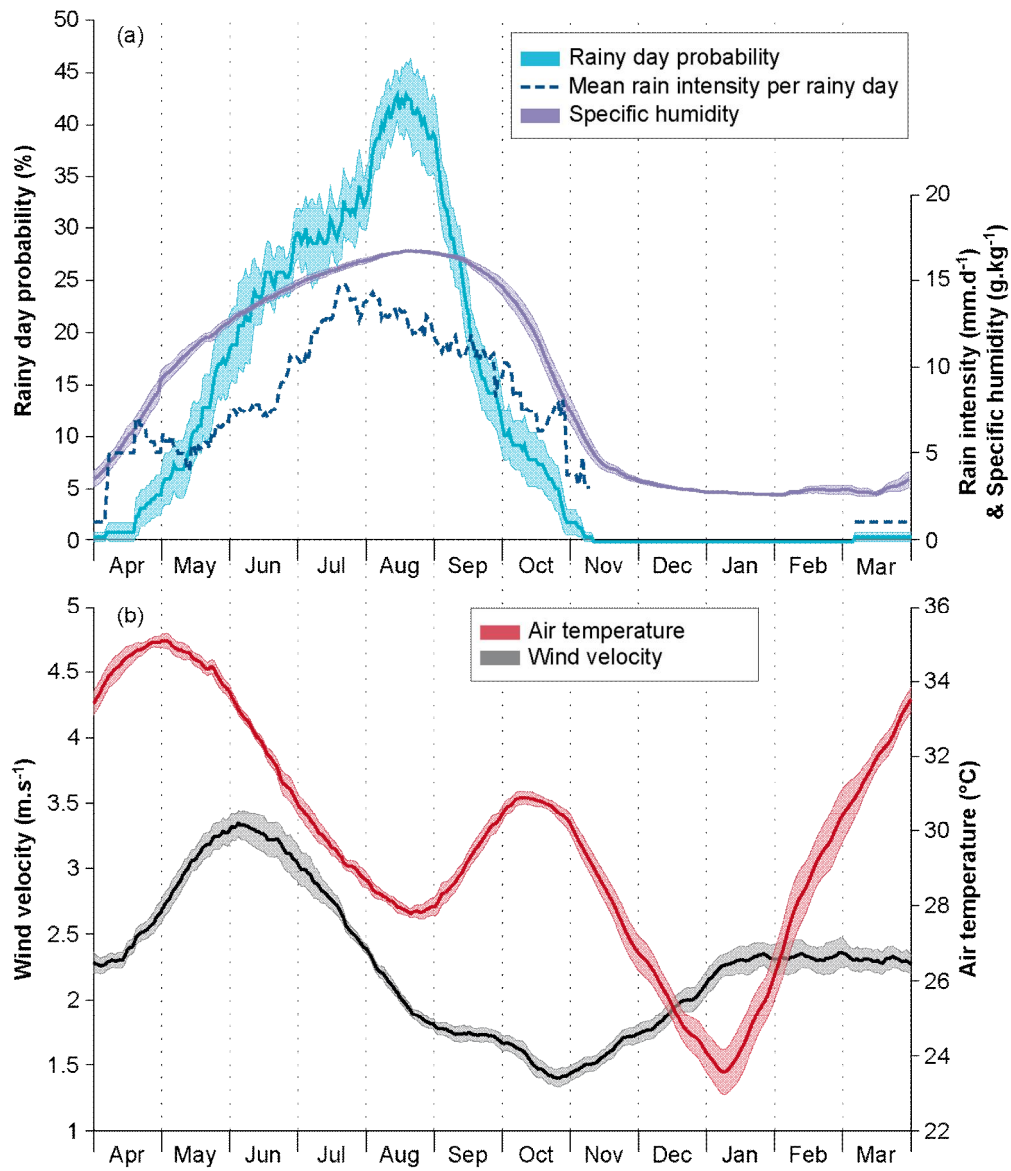


**Table B1.** Model skill scores against observed half-hourly surface fluxes and soil moisture and temperature. Each cell shows first the score for the whole study period (May 2005–April 2012; plain characters), then the score for the calibration period only (May 2006–April 2008; italic characters). RMSE is root mean square error, NSE Nash-Sutcliffe efficiency,  $r$  correlation coefficient, slope from linear regression of simulations against observations (units for RMSE and bias are given with variable type, NSE,  $r$  and slope are dimensionless); see Eq. (1) for other abbreviations.

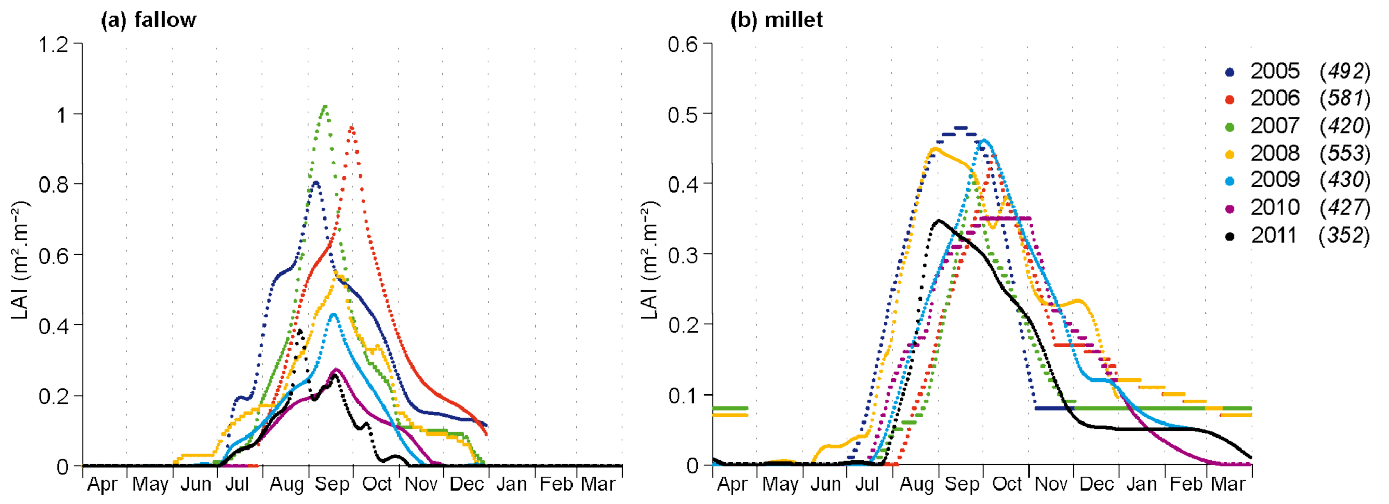
	Fallow field										Millet field									
	RMSE	bias	NSE	$r$	slope	RMSE	bias	NSE	$r$	slope	RMSE	bias	NSE	$r$	slope	RMSE	bias	NSE	$r$	slope
<b>Surface fluxes (<math>W.m^{-2}</math>)</b>																				
SWout	9.0	9.8	-0.5	2.4	0.99	0.99	>0.99	>0.99	1.02	1.07	9.9	11.5	-4.3	-4.5	0.99	0.99	>0.99	>0.99	0.97	0.97
LWout	15.6	18.3	-3.0	-6.7	0.95	0.93	0.99	0.99	0.82	0.80	15.9	14.9	7.2	6.5	0.94	0.95	0.98	0.98	1.06	1.07
Rn	14.5	13.3	4.7	5.5	0.99	>0.99	>0.99	>0.99	1.03	1.03	18.5	18.9	-1.9	-1.1	0.99	0.99	>0.99	>0.99	0.98	0.98
G <sub>0.05m</sub>	17.7	15.0	-4.3	-4.1	0.93	0.95	0.97	0.98	1.01	1.01	18.1	14.2	-3.8	-3.3	0.92	0.95	0.96	0.97	0.92	0.99
H	26.8	27.8	-5.2	-7.7	0.90	0.91	0.96	0.96	1.01	1.02	29.0	26.0	-0.8	-1.7	0.87	0.89	0.94	0.95	1.01	1.00
LE	33.3	38.7	-3.3	-2.2	0.77	0.76	0.88	0.88	0.80	0.84	26.2	27.4	-2.0	-0.3	0.78	0.77	0.89	0.88	0.88	0.88
<b>Soil water storage (mm)</b>																				
Whole column to 2.5 m depth	9.3	13.7	-1.8	1.8	0.68	0.55	0.92	0.95	1.20	1.47	15.3	15.8	0.6	3.8	0.83	0.87	0.93	0.96	1.04	1.12
H1-H2 (0-0.2 m)	1.6	1.5	0.1	0.2	0.87	0.92	0.96	0.98	1.13	1.17	1.1	1.2	-0.3	-0.3	0.94	0.94	0.97	0.97	0.99	0.95
H3 (0.2-0.7 m)	3.6	3.7	-1.3	-0.9	0.74	0.82	0.90	0.94	1.00	1.12	5.2	4.9	1.1	1.3	0.72	0.78	0.96	0.98	1.35	1.37
H4 (0.7-1.2 m)	5.4	8.0	1.7	2.6	<0	<0	0.80	0.85	1.37	1.76	4.3	3.6	-0.1	0.1	0.76	0.87	0.92	0.97	1.13	1.19
H5 (1.2-2.5 m)	3.8	4.4	-2.4	-0.2	<0	<0	0.71	0.93	1.24	2.10	10.1	11.2	0.0	2.7	0.75	0.78	0.88	0.91	0.89	0.99
<b>Point soil temperatures (°C)</b>																				
0.1 m	2.5	2.8	-1.9	-2.6	0.80	0.77	0.96	0.98	0.99	0.99	1.9	1.3	-0.6	-0.4	0.90	0.96	0.96	0.98	0.98	0.96
0.5 m	1.7	2.2	-1.5	-2.1	0.73	0.65	0.97	0.98	0.95	0.98	1.0	0.8	-0.5	-0.4	0.90	0.95	0.96	0.98	0.92	0.94
1.0 m	1.4	1.8	-1.3	-1.7	0.70	0.62	0.97	0.98	0.92	0.94	0.9	0.7	-0.6	-0.4	0.87	0.94	0.96	0.98	1.01	0.90
1.5 m	1.3	1.6	-1.2	-1.5	0.62	0.54	0.97	0.98	0.88	0.90	0.8	0.6	-0.5	-0.4	0.84	0.92	0.95	0.98	0.88	0.86
2.0 m	1.1	1.3	-1.0	-1.2	0.56	0.48	0.95	0.96	0.88	0.89	0.8	0.6	-0.4	-0.3	0.79	0.89	0.94	0.97	1.06	0.77
2.5 m	0.9	1.0	-0.7	-0.9	0.59	0.53	0.93	0.94	0.76	0.78	0.8	0.7	-0.4	-0.2	0.72	0.82	0.92	0.95	0.97	0.65



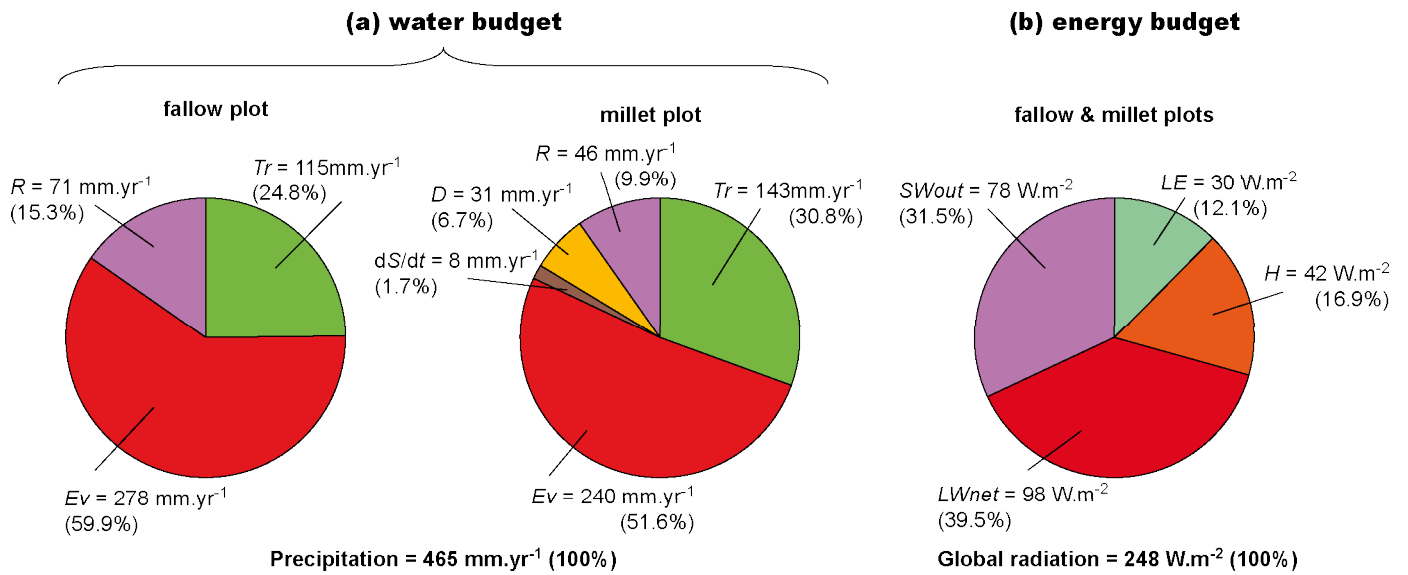
**Figure 1.** Situation of study plots: (a) location in Sahelian southwestern Niger, West Africa, (b) planar and (c) cross-sectional views of Wankama hillslope with plot locations (modified after Ramier et al., 2009; vegetation and towers not sketched to scale).



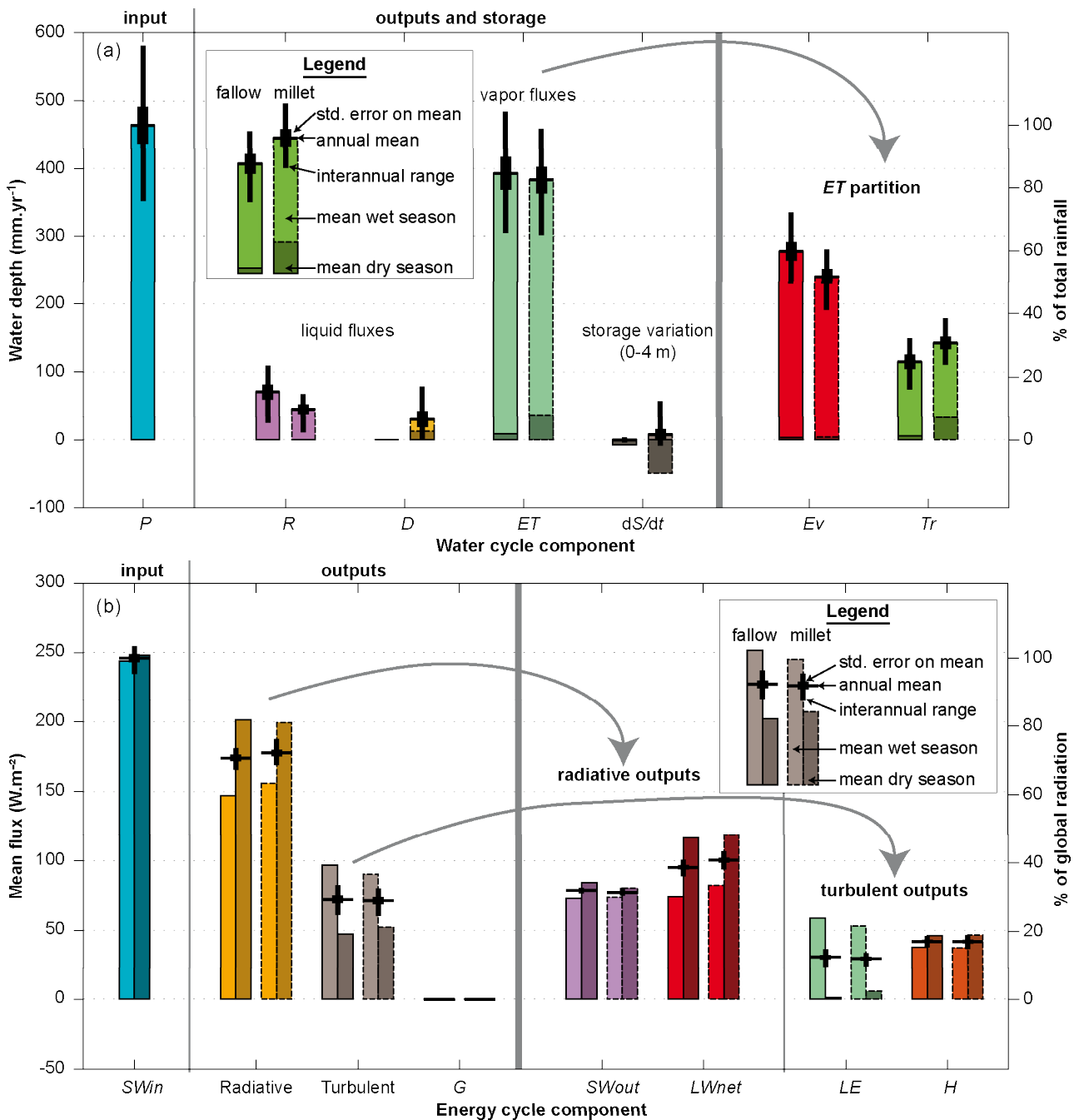
**Figure 2.** Mean seasonal courses of meteorological variables in Wankama catchment: (a) probability and mean rain intensity of rainy day, specific humidity; (b) air temperature and 3m-wind velocity. Values are 30-day running averages for 2005-2012, from instruments described in Table 1. Light-colored intervals represent a variation of  $\pm$  one standard estimation error.



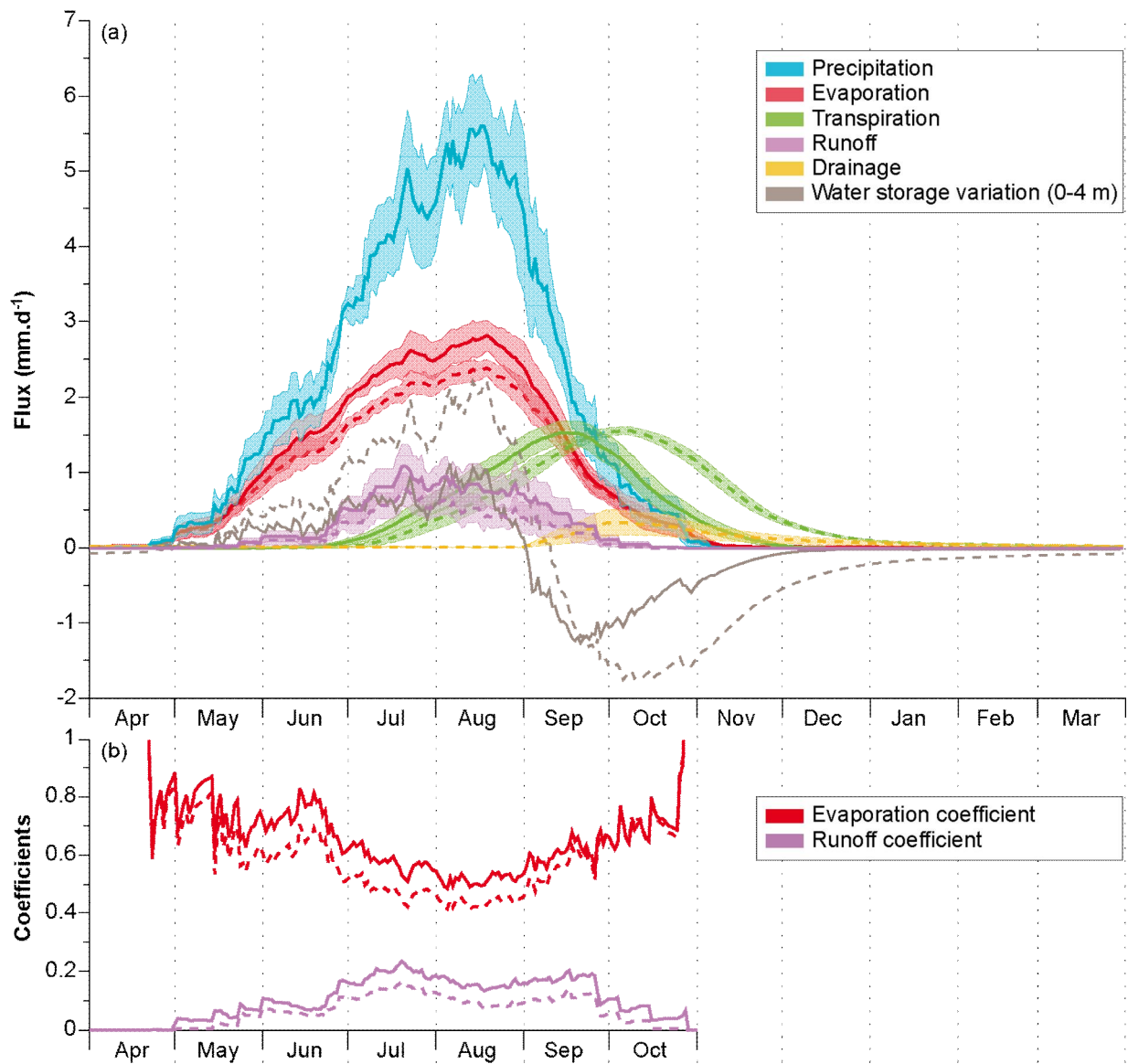
**Figure 3.** Seasonal course of daily LAI at (a) fallow and (b) millet plots, for each growing season of 2005-2011 (in brackets: total rainfall, in mm).



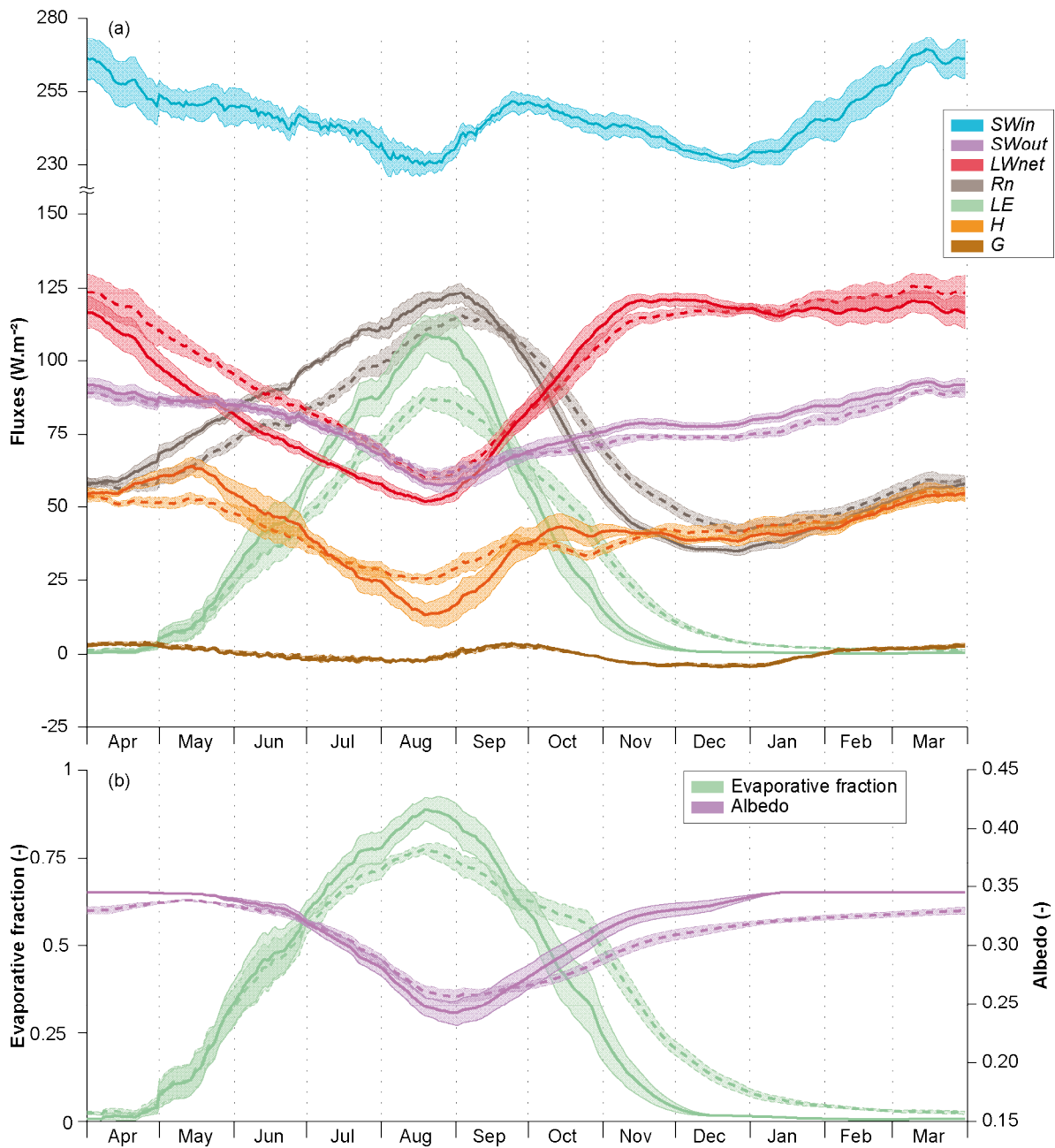
**Figure 4.** Estimated mean annual (a) water and (b) energy budgets for fallow and millet plots (average of two plots for energy budget, given similarity at that aggregation scale). Please see Eq. (1) for abbreviations, and Fig. 5 for standard estimation errors.



**Figure 5.** Estimated (a) water and (b) energy budgets at annual and semi-annual scales: interannual ranges (black thin bars), annual means with standard estimation errors (black thick bars), and seasons means (light color for wet season, May-October; dark color for dry season, November-April), for the fallow (solid contours) and millet (dashed contours) plots. See Eq. (1) for abbreviations. Note that half-year water depths (color bars in (a)) are stacked to yield annual values, whereas annual energy fluxes are obtained as the means of half-year mean intensities (b).

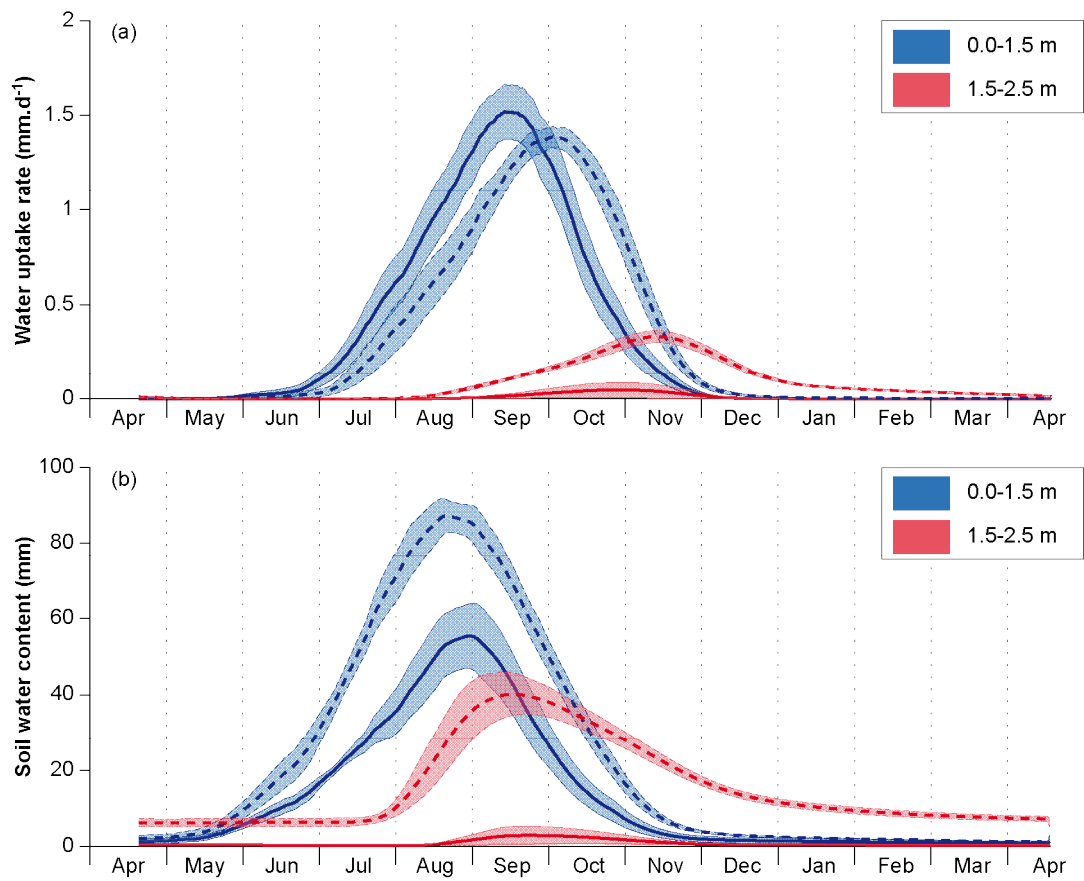


**Figure 6.** Estimated mean seasonal courses of water cycle components, for fallow (solid lines) and millet (dashed lines) plots: (a) fluxes, and rate of storage change in 0-4 m soil column; (b) ratios of above evaporation and runoff means to rainfall. Means are computed across years and over a 30-day running window. Light-colored intervals show a variation of  $\pm$  one standard estimation error around the estimated mean (not shown for storage change in (a), for legibility).

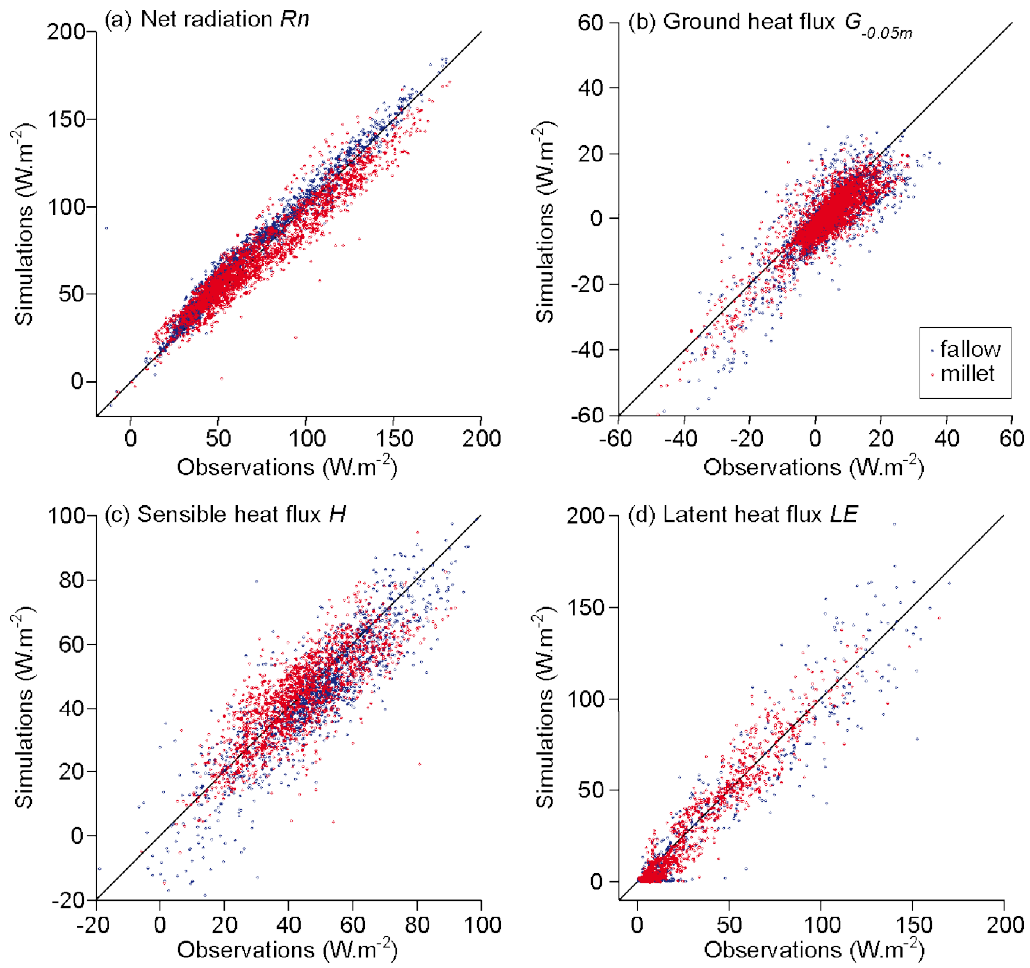


**Figure 7.** Estimated mean seasonal courses of energy cycle components, for fallow (solid lines) and millet (dashed lines) plots: (a) incoming shortwave and outgoing fluxes; (b) evaporative fraction and albedo. See Eq. (1) for abbreviations, and Fig. 6 for further explanation of curves and colored intervals.

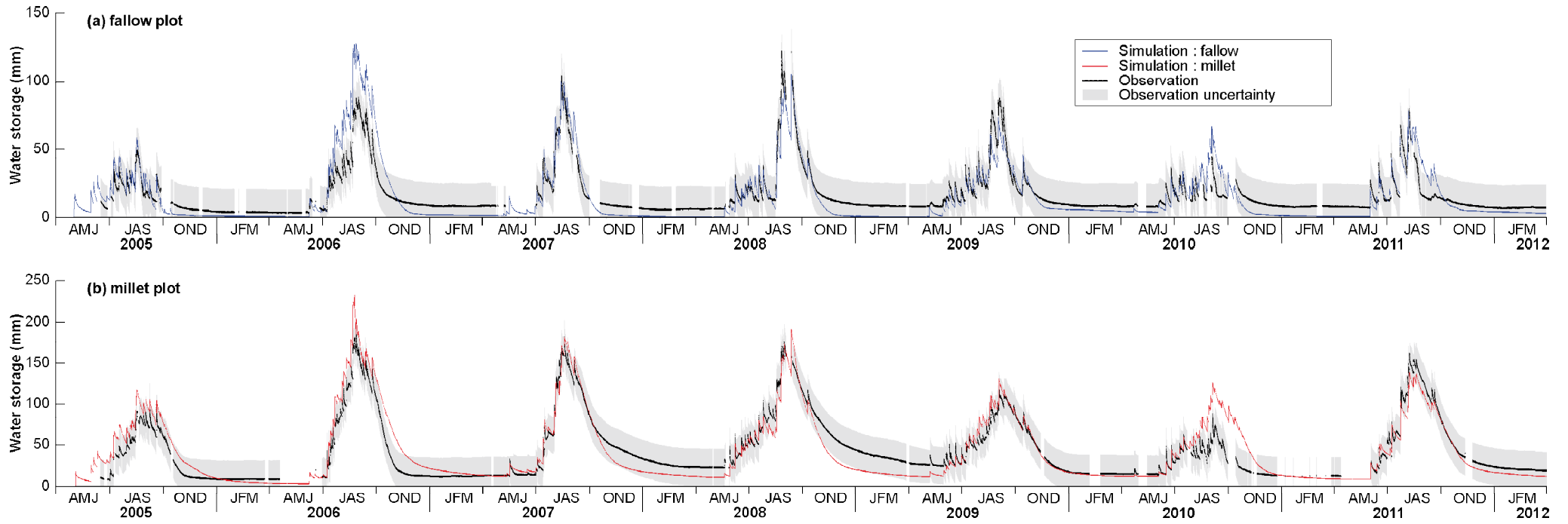




**Figure 8.** Estimated mean seasonal courses of (a) water uptake by plant roots and (b) soil water storage (above  $r$ ), separately in two active root zone layers (depths in legend), for fallow (solid lines) and millet (dashed lines) plots. See Fig. 6 for further explanation of curves and colored intervals.



**Figure B1.** Simulated vs. field-estimated daily energy fluxes of (a) net radiation, (b) ground heat (at 5cm-depth), (c) sensible heat, and (d) latent heat, for the fallow (blue) and millet (red) plots, through the 2005-2012 study period (only days with no missing data are represented).



**Figure B2.** Observed and simulated courses of total water storage in 062.5 m soil layer at (a) fallow and (b) millet plots over 2005-2012 (storage taken above residual water content  $r_r$ ).