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## **Linkages between ENSO/PDO signals and precipitation, streamflow in China during the last 100 years**

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34 **ABSTRACT**

35 This paper investigates the single and combined impacts of the El Niño-Southern  
36 Oscillation (ENSO) and the Pacific Decadal Oscillation (PDO) on precipitation and  
37 streamflow in China over the last century. Results indicate that the precipitation and  
38 streamflow overall decrease during the El Niño periods/ PDO warm phase while  
39 increase during the La Niña periods/ PDO cool phase in the majority of China,  
40 although there are still regional and seasonal differences. The precipitation/streamflow  
41 in the Yellow River basin, Yangtze River basin and Pearl River basin are more  
42 significantly influenced by El Niño and La Niña events compare to those in the  
43 Songhua River basin among different months, especially in October and November.  
44 Moreover, the significant influences of ENSO on streamflow in the Yangtze River  
45 mainly occur in summer and autumn while that in the Pearl River primary occur in the  
46 winter and spring. The precipitation/ streamflow are relatively more in the warm PDO  
47 phase in the Songhua River basin and several parts of Yellow River basin while are  
48 relatively less in the Pear River basin and most parts of the northwest China compare  
49 to those in the cool PDO phase, though there are rarely significances clarified using  
50 the Wilcoxon signed ranks test. When considering the combined influences of ENSO  
51 and PDO, the responses of precipitation/ streamflow are shown to be opposite from  
52 northern China to southern China, with the ENSO-related precipitation/streamflow  
53 enhance in the northern China and decrease in southern China during the warm PDO  
54 phases, and that enhance in the southern China and decrease in northern China during  
55 the cool PDO phases. This study conducted would beneficial for understanding  
56 how the precipitation/streamflow responses to the changing climate and would  
57 correspondingly provide valuable references for the water resources prediction and  
58 management over China.

## 60 **1. Introduction**

61 It is well known that El Niño-Southern Oscillation (ENSO) is an important factor  
62 influencing the interannual climate variability over East Asia (Zhou and Wu, 2010).  
63 The warm ENSO, which is also called El Niño, is usually accompanied by a weaker  
64 than normal East Asian winter monsoon (Zhang et al., 1996; Wang et al., 2008) and  
65 consequently induces a warmer and wetter climate over East Asia during El Niño  
66 winters (Li, 1990; Wen et al., 2000). As an example, the ENSO influences can persist  
67 to the following summer, with significantly abundant precipitation and annual  
68 maximum streamflow over the Yangtze River valley during the decaying stage of El  
69 Niño event (Huang and Wu, 1989; Zhang et al., 2007). However, the aforementioned  
70 anomalies are generally reverse during the cool ENSO phase, namely La Niña events  
71 (Wang et al., 2008).

72 Some previous studies (Latif and Barnett, 1996; Mantua et al., 1997; Cayan et  
73 al., 1998; Nigam et al., 1999; Higgins and Shi, 2000; Minobe, 2000; Neal et al., 2002;  
74 Krishnan and Sugi, 2003; Wang et al., 2008) have indicated that the interannual  
75 relationship between ENSO and global climate is not stationary and the Pacific  
76 Decadal Oscillation (PDO), which is a largely interdecadal oscillation, could  
77 modulate the interannual ENSO-related teleconnections. For instance, the already  
78 enhanced precipitation and streamflow in eastern Australia are demonstrated to be  
79 even further magnified during La Niña events that occurred in the PDO/IPO  
80 (Interdecadal Pacific Oscillation) cool phase (Verdon et al., 2004). Additionally, the  
81 precipitation patterns showed different responses in the El Niño periods for  
82 Southeastern South America and Myanmar during the PDO warm/cool phase (Silva et  
83 al., 2011; Sen Roy and Sen Roy, 2011). These studies mentioned indicated that the in

84 phase/out-of-phase of ENSO and PDO usually have distinct effects on precipitation  
85 and streamflow in different regions, and thus, the discussions considering the  
86 influences of ENSO in association with PDO are quite necessary in the related studies.  
87 There are various studies extensively documenting the linkages between ENSO/PDO  
88 and annual/ seasonal precipitation over China during the past several decades (Liu and  
89 Ding, 1995; Gong and Wang, 1999; Zhang et al., 1999; Wu et al., 2003; Zhu and Yang,  
90 2003; Xu et al., 2004; Li et al., 2005; Chan and Zhou, 2005; Ma and Shao, 2006; Hao  
91 et al., 2008; Zhou and Wu, 2010). For example, Zhou and Wu (2010) revealed that the  
92 warm ENSO mainly led to lower-level southwesterly winds deflect from the southeast  
93 coast of China and consequently influenced the winter precipitation in southern China.  
94 In addition, Chan and Zhou (2005) found that there was less precipitation over South  
95 China Monsoon Region during the period of high PDO index and vice versa. However,  
96 majority of aforementioned studies did not consider the combined influences of both  
97 ENSO and PDO on regional precipitation. On the other hand, streamflow, as a  
98 comprehensive integrator of rainfall over basin areas, also related to the variations of  
99 ENSO and PDO signals. If a strong relationship between river discharge and  
100 ENSO/PDO can be quantified, the streamflow forecasting, which is vital for effective  
101 water resource management, would be highly improved. Although many studies have  
102 been conducted nowadays on the relations between river streamflow and ENSO/ PDO  
103 nowadays in China (Chen and Xu, 2005; Fu et al., 2007; Xu et al., 2007; Zhang et al.,  
104 2007; Lü et al., 2011), as far as the authors are aware, there has not been a related  
105 study documenting the combined influences of both ENSO and PDO signals on  
106 streamflow in the major large rivers over China. Considering all of the above, in this  
107 paper, the possible influences of ENSO and PDO, coupled and separately, on the  
108 annual/monthly precipitation and streamflow are conducted over China. Additionally,

109 the precipitation and annual streamflow datasets adopted in this study were extended  
110 to the last 100 years (1901-2009) and full seasonal cycles were considered for  
111 presenting more reliable climate variability. The paper is organized as follows.  
112 Section 2 introduces the datasets and methodologies used. Section 3 examines the  
113 relationships among PDO, ENSO, precipitation and streamflow, and finally, the  
114 conclusions and proposed future research are presented in Section 4.

115

## 116 **2. Data and method**

### 117 *2.1 Data*

#### 118 2.1.1 The precipitation data

119 The precipitation data (1901-2009) in China were extracted from the newest  
120 Climatic Research Unit (CRU) Time Series (TS) 3.10 high resolution gridded datasets  
121 ([http://badc.nerc.ac.uk/view/badc.nerc.ac.uk\\_\\_ATOM\\_\\_dataent\\_1256223773328276](http://badc.nerc.ac.uk/view/badc.nerc.ac.uk__ATOM__dataent_1256223773328276))  
122 at the University of East Anglia (Mitchell and Jones, 2005). The monthly CRU  
123 TS3.10 datasets , which were calculated on high-resolution (0.5×0.5 degree) grids  
124 based on more than 4000 weather stations distributed around the world (with more  
125 than 160 meteorological station from China), were validated well matched with the  
126 observations over China except for the western Tibetan Plateau (Ma and Shao, 2006).

#### 127 2.1.2 The streamflow data

128 There are a few streamflow gauging stations have 100 years' continuous  
129 observational records in China. Therefore, four gauging stations, Harbin station in  
130 Songhua River basin, Shanxian Station (renamed Sanmenxia station in 1950) in  
131 Yellow River basin, Hankou Station in Yangtze River basin and Wuzhou Station in  
132 Pearl River basin, were chosen in this study considering the location, length of the  
133 observation period and the quality of the data observed. All of them are the control

134 stations which locate on the main channel of four main rivers in China. The location  
135 of the gauging stations and the four river basins can be referred to Fig.1. Songhua  
136 River basin, Yellow River basin, Yangtze River basin and Pearl River basin, being the  
137 four major large river basins in China, cover approximately from the north to south of  
138 China and almost all climate types of China. Songhua River basin locates in the north  
139 of northern China belongs to the zone of temperate monsoon climate. Yellow River  
140 basin can be divided three sub-regions (i.e. the eastern monsoon sub-region, the arid  
141 and semi-arid sub-region, and the high-elevation sub-region), which is accordance  
142 with the three natural zones in China (Liang et al. 2014).The southern part of Yangtze  
143 River basin is close to the tropical zone and the northern part is close to the temperate  
144 region. Pearl River basin covers a region of subtropical to tropical monsoon climate  
145 straddling the Tropic of Cancer. The selected basins are expected to be able to present  
146 the streamflow variability over China under climate change. In this study, one  
147 hundred years (1901-2009) of continuous quality-controlled annual streamflow data  
148 and fifty to a hundred years of monthly streamflow data were collected from National  
149 Hydrology Almanac.

### 150 2.1.3 ENSO and PDO

151 The ENSO index is represented by the Niño 3.4 SST defined as the January to  
152 March SST anomaly averages over the region ( $5^{\circ}\text{S}$ - $5^{\circ}\text{N}$ ,  $90$ - $150^{\circ}\text{W}$ ), which is  
153 downloaded from the National Oceanic and Atmospheric Administration (NOAA,  
154 [http://www.cgd.ucar.edu/cas/catalog/climind/Nino\\_3\\_3.4\\_indices.html](http://www.cgd.ucar.edu/cas/catalog/climind/Nino_3_3.4_indices.html))(Trenberth,  
155 1997). The PDO index “is the leading empirical orthogonal function (EOF) of SST  
156 anomalies (January to March) in the North Pacific Ocean, poleward of  $20^{\circ}\text{N}$ ” (Mantua  
157 et al., 1997; Chan and Zhou, 2005) and is available at the Joint Institute for the Study  
158 of the Atmosphere and Ocean (JISAO) website: <http://jisao.washington.edu/pdo/>.

159 (Barnett et al.,1999).

160 *<Fig.1 here, thanks>*

161 *<Table.1 here, thanks>*

## 162 *2.2 Method*

### 163 *2.2.1 Precipitation and streamflow stratification according to El Niño and La Niña*

164 ENSO is a quasi-periodic climate pattern that occurs across the tropical Pacific  
165 Ocean every several years (three to seven years' recurrence) which always couples  
166 two variations: the warm oceanic phase (El Niño) accompanies high air surface  
167 pressure in the western Pacific and the cold phase(La Niña) accompanies low air  
168 surface pressure in the western Pacific (Trenberth et al., 2007). Generally, it has been  
169 very difficult to define an El Niño / La Niña event and there is no universal single  
170 definition (Trenberth and Hoar, 1997; Anthony and Stewart, 2001; Fu et al., 2007). In  
171 this study, the definition of Trenberth (1997) is adopted that is “. . . an El Niño can be  
172 said to occur if 5-month running means of sea temperature (SST) anomalies in the  
173 Niño 3.4 region (5°N–5°S, 120°–170°W) exceed 0.4°C for 6 months or more.”.  
174 Similarly, La Niña, the opposite event of El Niño, can simply be said to occur if  
175 5-month running mean of SST anomalies below the threshold -0.4°C (See the upper  
176 panel in Fig. 2).

177 In this paper, the periods of El Niño events and La Niña events were used to  
178 stratify the precipitation and streamflow time series for analyzing the influences of El  
179 Niño and La Niña on hydro-climatic variables in China. The precipitation/streamflow  
180 time series were firstly extracted for each calendar month conditioned by El Niño/La  
181 Niña events, for instance, the multiyear mean value of January precipitation occurs  
182 during El Niño periods was treated as “January precipitation in El Niño”. Finally, the  
183 sum of monthly precipitation from January to December in El Niño/La Niña months

184 was treated as “annual” precipitation in El Niño/La Niña year (Fu et al., 2007).

185

### 186 *2.2.2 Precipitation/streamflow stratification according to the PDO cool/warm phase*

187 The PDO is a pattern of Pacific climate variability that shifts phases usually on  
188 at least 20-30 years’ inter-decadal time scale (Mantua et al., 1997). It is detected as  
189 warm/cool surface water in the Pacific Ocean (north of 20° N), during a “warm” or  
190 “positive” phase, the west Pacific becomes cool and part of the eastern ocean warms  
191 while during a “cool” or “negative” phase, the opposite pattern occurs. The cool and  
192 warm PDO phases (Fig. 2) are identified from the PDO index series in accordance  
193 with the approach used in Mantua and Hare (2000) and Sen Roy (2011). Over the past  
194 century, the PDO was in a cool phase approximately during the periods 1901-1924,  
195 1947-1976 and 1998-2009, and warm phase PDO regimes existed during the periods  
196 1925-1946 and 1977-1997 (See lower panel in Fig.2 ). It should be noted that these  
197 multi-decade epochs sometimes contain intervals of up to a few years in length in  
198 which the polarity of the PDO is reversed (e.g. the cool phase in 1998-2009 showed a  
199 warm phase in 2002-2005).

200 The precipitation/streamflow spanning the period 1901-2009 are stratified into  
201 two segments conditioned on the PDO warm/cool phase. Further, the series in warm  
202 PDO-El Niño, warm PDO-La Niña, Cool PDO- El Niño, and Cool PDO- La Niña are  
203 stratified used the method similar to the Section 2.2.1 from the  
204 precipitation/streamflow series extracted for PDO warm/cool phase, separately.  
205 Additionally, Wilcoxon signed ranks test were adopted to determine if average  
206 precipitation/streamflow received during PDO warm phases/ La Niña periods was  
207 statistically different from that received during PDO cool phase/ El Niño periods at  
208 the 0.05 significant level. It is a nonparametric test equivalent to the dependent t-test,



209 which does not assume normality in the data and could be used for the case that there  
210 are only small number of samples available for analysis (Kolivras and Comrie, 2007).

211

212 *<Fig.2 here, thanks>*

### 213 **3. Results and discussion**

#### 214 *3.1 Perspective impacts of ENSO on precipitation and streamflow over China*

##### 215 *3.1.1 Precipitation impacts of El Niño and La Niña events*

216 Compare to the long-term average (1901-2009), the “annual” precipitation  
217 changes in El Niño and La Niña periods are spatially opposite (Fig.3). For example,  
218 the overall “annual” precipitation increase in the North China Plain, southwest  
219 China as well as the Tibetan Plateau while decline in the northeast China, southeast  
220 China and northwest China during the La Niña periods(Fig.3). However, the trends in  
221 the El Niño periods over these regions are obviously reversed. The Yangtze River can  
222 be spatially treated as a dividing line of ENSO influences on precipitation for eastern  
223 China, with the “annual” average precipitation obviously less (differences  $< -5\%$ ) in  
224 the southern regions of Yangtze River while more (differences  $> 5\%$ ) in the northern  
225 regions (including the Yellow River, Hai River and Huai River) in La Niña periods  
226 rather than that in El Niño years. It should also be noted that the results obtained in  
227 the Yellow River basin (similar to North China Plain) are consistent with many  
228 previous studies (Gong and Wang, 1999; Fu et al., 2007; Hao et al., 2008).

229 *<Fig.3 here, thanks>*

230 The influences of El Niño and La Niña on precipitation are found have obviously  
231 seasonal-cycle and monthly characteristics (Fig. 4). For instance, the ENSO impacts  
232 on precipitation in summer and autumn are more significant than winter and spring,  
233 especially for September, October and November. Moreover, the precipitation in

234 southeast China (including the lower parts of Pearl River and Yangtze River) are  
235 relatively larger during El Niño winter and spring while lower during El Niño summer  
236 and autumn compare to those during the correspondingly La Niña periods. The  
237 possible reason is that southern coast of the South China are always influenced by  
238 different anomalous circulation systems between wet season and dry seasons (Wu et  
239 al., 2003). In addition, the percentage changes for the wet season precipitation (June  
240 to September) between El Niño and La Niña periods are similar to that for “annual”  
241 precipitation, because that more than 40% of the total annual precipitation falls in  
242 summer (Zhang et al., 2009).

243         The influences of El Niño and La Niña events on precipitation are also spatial  
244 distributed unevenly and are different from month to month over the entire China  
245 (Fig.4). Although monthly precipitation changes between two ENSO phases over  
246 majority of regions do not statistically significant at the 0.05 level, some consistent  
247 and interesting results are still drawn. The overall influences of El Niño and La Niña  
248 on precipitation are more significant in the eastern and southern China rather than in  
249 the western and northern China. Correspondingly, the ENSO influences become  
250 increasingly weaker from Pearl River, Yangtze River and Yellow River to Songhua  
251 River. The possible reason is that the eastern and southern portions of China received  
252 more total precipitation because they near to the ocean and are significantly influences  
253 by the East Asian Monsoon and South Asian Monsoon (Zhang et al., 1996). More  
254 specifically, the precipitation from November to March received from La Niña events  
255 are less than that received from El Niño events over almost the entire China and the  
256 tendencies reverse in the remaining seven months, especially in the wet seasons (June,  
257 July, August and September). While in October, the trends found above are reversed  
258 in most parts of Yellow river and Yangtze River.

259 In addition, precipitation patterns responses to El Niño/ La Niña events are also  
260 discrepancies among different parts of basin. For example, the ENSO influences in  
261 the lower basin of Songhua River are opposite to the head and middle basin. The  
262 difference responses for the four river basins (or even for the different parts of basin)  
263 to ENSO properly attribute to the spatially diverse influences of the different  
264 monsoon circulations and mid-latitude circulations. For example, the Pearl River  
265 basin is impacted by the retreating monsoon, East Asian Winter monsoon as well as  
266 the Taifoon-season, =the precipitation-streamflow regime in sub-basin is consequently  
267 considerable complex when response to the ENSO influences (Jiang et al., 2007;  
268 Zhang et al., 2011). Moreover, Li et al. (2010) indicated that the East Asia Summer  
269 Monsoon exhibited a southward shift in its major components due to the meridional  
270 asymmetric warming, which would weaken the influences of East Asian Summer  
271 Monsoon on Songhua River basin and result in difference ENSO responses on  
272 Songhua River basin and other three basins.

273

274 *<Fig.4 here, thanks>*

### 275 3.1.2 Streamflow impacts of *El Niño and La Niña events*

276 The “annual” streamflow changes overall more in the La Niña periods relatively  
277 to that in the El Niño periods for all four basins, especially for the Yellow River basin  
278 (Fig.5). Moreover, the ENSO influences on streamflow are spatial-temporally  
279 consistent with that on precipitation for the major river basins over China with  
280 obviously differences among months and basins. On the whole, the streamflow in the  
281 Yellow River basin, Yangtze River basin and Pearl River basin are more significantly  
282 influenced by El Niño and La Niña events compare to those in the Songhua River  
283 basin among different months, especially in the October and November. The

284 streamflows in Songhua River basin for all twelve months in La Niña periods  
285 consistently increase while those in majority months (eight in twelve) in El Niño  
286 periods decrease compare to the multiyear average monthly streamflow during the  
287 past 100 years, only the La Niña impacts on August are found statistically significant.  
288 The monthly streamflow trends influenced by El Niño/La Niña events in the Yellow  
289 River basin are basically coincident with precipitation with relatively lower than  
290 normal in El Niño periods and higher amount in La Niña periods almost for all  
291 months, but the statistical significance tests do not exhibit obviously seasonal  
292 characteristics (Fig. 5). The overall percentage difference between El Niño-related and  
293 La Niña-related streamflow is 32.1%, and varies monthly from 10.1% (March) to  
294 59.7% (November) (Fig. 5). The streamflow in January, February, April, July, October  
295 and November change significantly between El Niño and La Niña events. Moreover,  
296 the percentage changes of monthly streamflow are relatively smaller in spring (March  
297 to May) during the La Niña periods while larger in other seasons (especially in  
298 autumn), which are consistent with Fu et al. (2007) and Lü et al. (2011).

299

300

*<Fig.5 here, thanks>*

301 The significant influences of ENSO on streamflow in the Yangtze River mainly  
302 occur in summer and autumn while primary occur in winter and spring in the Pearl  
303 River. The spatial variability of streamflow is responsible for both the influences of El  
304 Niño mature phase on precipitation in summer when the intensified western Pacific  
305 subtropical high covers the southeastern periphery of China and the weakening of the  
306 Indian monsoon which provides less moisture inflow to the northern part of China  
307 (Zhang et al., 1999). The streamflow responses to ENSO for Yangtze River basin  
308 (Hankou station) exhibit obvious seasonal variations (Fig. 5). For example, the

309 streamflow are relatively higher in El Niño periods relatively to that in La Niña  
310 periods in winter (December to February) and spring while reverse in summer and  
311 autumn (September to November). Especially, compare to correspondingly average  
312 monthly streamflow, the differences of La Niña-related streamflow and El  
313 Niño-related streamflow change significantly in June, July, August and September. In  
314 the Pearl River basin (Wuzhou Station), the ENSO impacts seem to be more  
315 complicated. The absolute percentage difference of streamflow between La Niña and  
316 El Niño periods are all more than 10% from October to March, as well as July. In  
317 September, the streamflow in La Niña month exceeds that in El Niño month and their  
318 percentage difference exceed 63.0%. Different to Yangtze River basin, the ENSO  
319 influences in the Pearl River are only statistically significant (0.05 level) on autumn  
320 and winter streamflow, which possibly because that the regions Pearl River locates at  
321 is in tandem with the strengthening and weakening of sea surface temperature (SST)  
322 in western Pacific (Juneng and Tangang, 2005).

323

### 324 *3.2 Perspective impacts of PDO on precipitation and streamflow*

#### 325 *3.2.1 Variability of precipitation due to PDO impacts*

326 The percentage changes of “annual” precipitation also show spatially opposite  
327 responses to the PDO warm phase and cool phase, although only changes over a few  
328 regions are testified statistically significant at 0.05 levels (Fig. 6). Specifically, the  
329 “annual” precipitation in most parts of northeast China and northwest China tend to be  
330 higher during the PDO warm phase relatively to that in the cool phase, especially in  
331 the Songhua River basin and in the inland watersheds of Yellow River (blue regions in  
332 Fig 6b). The results obtained are consistent with Zhu and Yang (2003), which indicate  
333 that the summer precipitation (account for more than 50% of total annual precipitation)

334 in the northeast and northwest China increase during a warm PDO phase due to the  
335 weakening of East Asian Summer monsoon and the southward shift of Western  
336 Pacific Subtropical High. In contrast, the “annual” streamflow responses are found  
337 opposite over the North China Plain, southwest China and Central China with the  
338 precipitation to be less during the warm PDO phase and to be more during the cool  
339 phase (Yang et al., 2005; Fu et al., 2009). The results in the northern China areas  
340 maybe because that they always dominated by high pressure and experiencing  
341 precipitation decrease when the Pacific is in warm phase, with the sea temperature  
342 over topical mideastern Pacific rises and that over the central part of northern Pacific  
343 is lower than normal (Yang et al., 2005). Additionally, the precipitation over the  
344 Yellow River basin (Fu et al., 2004), Yangtze River basin and Pearl River basin  
345 decrease from the mid and late 1970s to 1990s when the PDO is in a persistent  
346 warming phase, while increase after 2000 when PDO entered into an unstable cool  
347 phase.

348 *<Fig.6 here, thanks>*

349

### 350 *3.2.2 Variability of streamflow due to PDO impacts*

351 The “annual” streamflow changes against the long-term average shown in Fig.7  
352 are basically consistent with those for precipitation during warm and cool PDO phases,  
353 although there are no significant trends tested. The PDO influences in Songhua River  
354 basin are opposite to that in other three basins with the streamflow obviously higher  
355 than the long-term average (6.1%) in the PDO warm phase while lower in the PDO  
356 cool phase (-4.0%). The streamflow changes related to the PDO warm/cool phase  
357 correspond to the variability of streamflow dry/wet stages: 1900-1907, 1915-1928,  
358 1975-1980 and 1999-2005 are four dry stages, 1970-1974 is a medium water stage

359 and 1908-1914, 1929-1969 and 1981-1998 are three wet stages (Song et al., 2010).  
360 Instead, in the Yellow River, Yangtze River and Pearl River, the streamflow are  
361 relatively lower in the PDO warm phase and are higher in the PDO cool phase and  
362 their percentage differences become increasing small from north to south. The results  
363 are consistent with Gordon and Giulivi (2004), which indicated that the high (low)  
364 runoff in the Yangtze River and Yellow River correspond to the PDO negative  
365 (positive) phase. Additionally, similar results are found when replace the 100 years  
366 streamflow observations by 50 years for analyzing the connections between  
367 streamflow and PDO in Songhua River and Yangtze River (not shown). It should be  
368 indicated that the gradually decreased streamflow tendency in the downstream of  
369 Yellow River in the PDO cool phase after 2000 maybe due to the influences of the  
370 human activities (Ren et al., 2002), for example, water withdrawal attributed to more  
371 than 60% of the streamflow decrease in the downstream of Yellow River after 2000  
372 (Zhang et al., 2011).

373

374 *<Fig.7 here, thanks>*

375

### 376 *3.3 Combined influences of ENSO and PDO on both streamflow and precipitation*

377 Many evidences (Chan and Zhou, 2005; Andreoli and Kayano, 2005) indicated  
378 that the PDO, which always effects the precipitation coupled with ENSO acting  
379 constructively (strong and well-defined anomalies) when they are in phase and  
380 destructively (weak and noisy anomalies) when they are out-of-phases. In this study,  
381 the precipitation/streamflow in El Niño periods are compared to that in La Niña  
382 periods during the PDO warm/cool phase, respectively (Fig. 8 and Fig. 9). Results  
383 show that the “annual” precipitation changes in El Niño/ La Niña period compare to

384 multi-year average in cool PDO phase are quite similar to Fig. 3, which indicates that  
385 the cool PDO phase do not significantly modulate the ENSO influences on  
386 precipitation. However, in the warm PDO phase, the percentage changes are  
387 obviously for the precipitation related to the El Niño/La Niña. For instance, in the  
388 northeast China and northwest China, the precipitation received from La Niña periods  
389 is obviously higher than that received from El Niño periods during the PDO warm  
390 phase while reverse during the PDO cool phase. However, the precipitation responses  
391 to the two PDO phases are almost opposite in the south China and central China,  
392 including the most parts of Yangtza River basin and the upper stream of the Pearl  
393 River basin.

394

395 *<Fig.8 here, thanks>*

396 The El Niño/ La Niña-related streamflow in the four basins show different  
397 responses during different PDO phases (Fig. 9). During the PDO cool phase, the  
398 streamflow in all basins tend to be higher in La Niña periods and to be lower in El  
399 Niño periods. The results obtained are quite similar to the single impacts of El Niño/  
400 La Niña shown in Fig.5, which indicate that the cool PDO also do not obviously  
401 change the El Niño/La Niña influences on streamflow anomalies. However, the cool  
402 PDO phase still acts both more negative anomalies in El Niño-related streamflow and  
403 more positive anomalies in La Niña-related streamflow in south China (including  
404 most parts of the Yangtze River basin and the Pearl River basin) and induces both less  
405 negative anomalies in El Niño-related streamflow and less positive anomalies in La  
406 Niña-related streamflow in the Northern China (including the Songhua River basin  
407 and the Yellow River basin). Moreover, it should also be noted that the streamflow  
408 and precipitation responses to El Niño/La Niña are opposite during the PDO cool



409 phase in the Songhua River basin, which maybe because that the Harbin station,  
410 locates at the middle stream cannot fully represent the entire basin.

411

412 *<Fig.9 here, thanks>*

413 During the PDO warm phase, the streamflow received from La Niña periods is  
414 relatively higher than that received from El Niño periods in Songhua River basin and  
415 Yellow River basin with the change percentages 9.7% and 44.1%, respectively.  
416 Obviously, the warm PDO enhances the anomalies in both two basins during the La  
417 Niña periods and the El Niño periods. However, the situations are different in the  
418 southern China. For example, fewer differences between the El Niño-related and La  
419 Niña-related streamflow in Yangtze River basin are found with the overall percentage  
420 changes only 0.7%, which indicates that compare to the cool PDO phase, the warm  
421 PDO phase weakens the ENSO influences in the Yangtze River basin. In the Pearl  
422 River basin, the La Niña-related streamflow tends to be lower than El Niño-related  
423 streamflow with the percentage difference of -21.8%. In addition, compares to the  
424 percentage changes in the PDO cool phase (10%) and in the long-term average  
425 without considering the impacts of PDO (0.6%), the warm PDO is proved increase the  
426 El Niño-related steamflow and decrease the La Niña-related streamflow. In other  
427 words, similar to Andreoli and Kayano (2005), the warm PDO acts constructively  
428 influences in the north China and destructively influences in the south China. Overall,  
429 the El Niño/ La Niña-related precipitation/streamflow experience similar variability  
430 during the warm/cool PDO phase except for the Songhua River basin in the cool PDO  
431 phase. Moreover, the streamflow, which is also influenced by many other factors such  
432 as global SST, longwave radiation, snow and human activities (Xu et al., 2007), seems  
433 to be more sensitive than the precipitation during the El Niño/ La Niña periods in both

434 warm and cool PDO phases (Fig. 9). However, the general influence patterns of the  
435 combined effects are basically consistent. Compare to the ENSO impacts, although  
436 the PDO indicator do not show significantly prediction capacity for annual streamflow  
437 which probably because of its multi-decadal cycles, its modulation effects on ENSO  
438 still deserve to be included in the researches when consider the long-term influences  
439 of ENSO on annual/seasonal/monthly water resources.

440

#### 441 **4. Summary and Conclusions**

442 This study investigated the single and combined impacts of ENSO and PDO on  
443 the precipitation/streamflow over China during the last century, which would enrich  
444 our knowledge for understanding their complex spatial-temporal teleconnections and  
445 provide a scientific basis for water resources prediction using ENSO/PDO as a  
446 potential predictor. The following conclusions can be drawn:

447 Overall, the El Niño events mainly decrease while La Niña events increase the  
448 precipitation/streamflow over China. However, there are considerable differences  
449 exist among months and basins, for example, the precipitation/streamflow changes in  
450 the Yellow River basin, Yangtze River basin and Pearl River basin are more  
451 significantly influenced by El Niño and La Niña events compare to those in the  
452 Songhua River basin among different months, which properly because that the  
453 precipitation/streamflow in the regions/basins close to the ocean seem to be more  
454 significantly influenced due to the mixed impacts of ENSO and other factors such as  
455 the East Asian Monsoon, South Asian Monsoon, and the Typhoon systems.  
456 Additionally, due to the influences of different circulation systems (Wu et al., 2003),  
457 the significant influences of ENSO on streamflow in the Yangtze River mainly occur  
458 in summer and autumn while that in the Pearl River primary occur in winter and

459 spring.

460         Although rarely significantly changes are detected, the influences of the PDO  
461 warm/cool phases on precipitation/streamflow are basically similar as but less than  
462 that of El Niño/La Niña. Spatially, the precipitation/ streamflow in the Songhua River  
463 basin and most parts of the Yellow River basin are relatively larger during the warm  
464 PDO phase than those during the cool PDO phase, while in the Pear River basin and  
465 the most parts of the northwest China these responses are reversed. When considering  
466 both the influences of the PDO and ENSO, the responses for precipitation/treamflow  
467 are shown to be opposite between northern China and southern China. The El  
468 Niño-related precipitation/streamflow decrease while the La Niña-related  
469 precipitation/ streamflow increases during the PDO warm phase in the northern China  
470 (including Songhua River basin and Yellow River basin) , and the cool PDO phase do  
471 not obviously change the El Niño/La Niña influences on positive-negative streamflow  
472 anomalies.

473         The variability of streamflow corresponding to ENSO/PDO is roughly consistent with  
474 that of precipitation on the annual scale. On the seasonal/monthly scale, its response seems  
475 more complex than precipitation. It is obviously that the streamflow is also affected by more  
476 other factors such as human activities and land use changes. However, ENSO and PDO still  
477 showed a significant influence on the observed streamflow among all four major basins in  
478 China. The results obtained indicate that the monthly/seasonal ENSO could be a  
479 potential predictor for streamflow prediction in the Yangtze River, Pearl River or even  
480 for the Yellow River; however, further researches on the physical mechanism driving  
481 these relations are still necessary. Firstly, the influences should be further  
482 quantitatively conducted to enhance the forecast abilities of the ENSO/PDO indicator  
483 for streamflow and water resource modeling and forecasting. Additionally, there are  
484 also other factors influencing the streamflow changes which should be

485 comprehensively considered in the future studies such as the East Asia Summer  
486 Monsoon (Wu and Wang, 2002) global SST, outgoing longwave radiation, sea level  
487 pressure, snow as well as human activities (Xu et al., 2007). Finally, ENSO/PDO  
488 events can be predicted one to two years in advance using the physical based coupled  
489 ocean-atmosphere models (Lü et al., 2011), their potential future states and influences  
490 of ENSO/PDO could be further conducted considering as much as possible  
491 aforementioned factors by coupling atmospheric/oceanic/land surface models with a  
492 proper distributed physical-based hydrological model.

493

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504

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627



628 **Table Captions:**

629 **Table 1** Background information of the four selected river basin in this study

**Table 1** Background information of the four selected river basin in this study

River basin	Station (Location)	Drainage area (km <sup>2</sup> )	Annual streamflow record period	Monthly streamflow record period	Annual mean precipitation (mm)	Annual mean streamflow (10 <sup>8</sup> m <sup>3</sup> /a)
Songhua River (I)	Harbin (126°46'E, 45°45'N)	390,526	1901-2009	1901-1948,1953-2004	491 (1901-2009)	386 (1901-2009)
Yellow River (II)	Sanmenxia (111°22'E, 34°49'N)	688,421	1901-2009	-	385 (1901-2009)	489 (1901-2009)
Yellow River (II)	Huayankou (113°40'E, 34°54'N)	730,036	-	1950-2004	449 (1901-2009)	555 (1950-2004)
Yangtze River (III)	Hankou (114°18'E, 30°37'N)	1,488,036	1901-2009	1901-2004	887 (1901-2009)	7256 (1901-2009)
Pearl River (IV)	Wuzhou (111°30'E, 23°48'N)	329,705	1901-2009	1950-2004	1307 (1901-2009)	2175 (1901-2009)

632 **Figure Captions:**

633 **Fig.1** Map of China showing four major river basins (I: Songhua River basin; II:  
634 Yellow River basin; III: Yangtze River basin and IV: Pearl River basin) and  
635 streamflow gauging stations used in this study

636  
637 **Fig.2** The definition of ENSO events (El Niño and La Niña) from 5-month running  
638 mean series of Niño 3.4 SST index (upper panel) and the partition of warm/cool phase  
639 PDO from monthly PDO index (lower panel)

640  
641 **Fig.3** Percentage changes of annual precipitation in El Niño months and La Niña  
642 months over the long-term average (1901-2009): (a) annual precipitation occurred in  
643 La Niña events; (b) annual precipitation occurred in El Niño events; and (c) annual  
644 precipitation occurred in La Niña events minus that occurred in El Niño events

645  
646 **Fig.4** Monthly precipitation changes in La Niña months over China compared to El  
647 Niño months. Regions change significantly at the 0.05 level based on the Wilcoxon  
648 signed ranks test are shown with shadow.

649  
650  
651 **Fig.5** Monthly and annual streamflow changes over four major river basins in China  
652 in El Niño months and La Niña month over the monthly/annual long-term average  
653 (1901-2004). The asterisks indicate the statistical significance based on Wilcoxon  
654 signed ranks test (lower than 0.05 is \*\*, lower than 0.10 is \* and otherwise is nothing).  
655 It should also be noted that the monthly streamflow changes in Yellow River basin  
656 and Pearl River basin were only calculated during the period 1950-2004 due to their  
657 limited availability of monthly streamflow data

658  
659 **Fig.6** Percentage changes of annual precipitation in PDO warm phase and cool phase  
660 over the long-term average (1901-2009): (a) annual precipitation in POD cool phase;  
661 (b) annual precipitation in POD warm phase; (c) annual precipitation in POD cool  
662 phase minus that in POD warm phase. Regions change significantly at the 0.05 level  
663 based on the Wilcoxon signed ranks test are shown with shadow

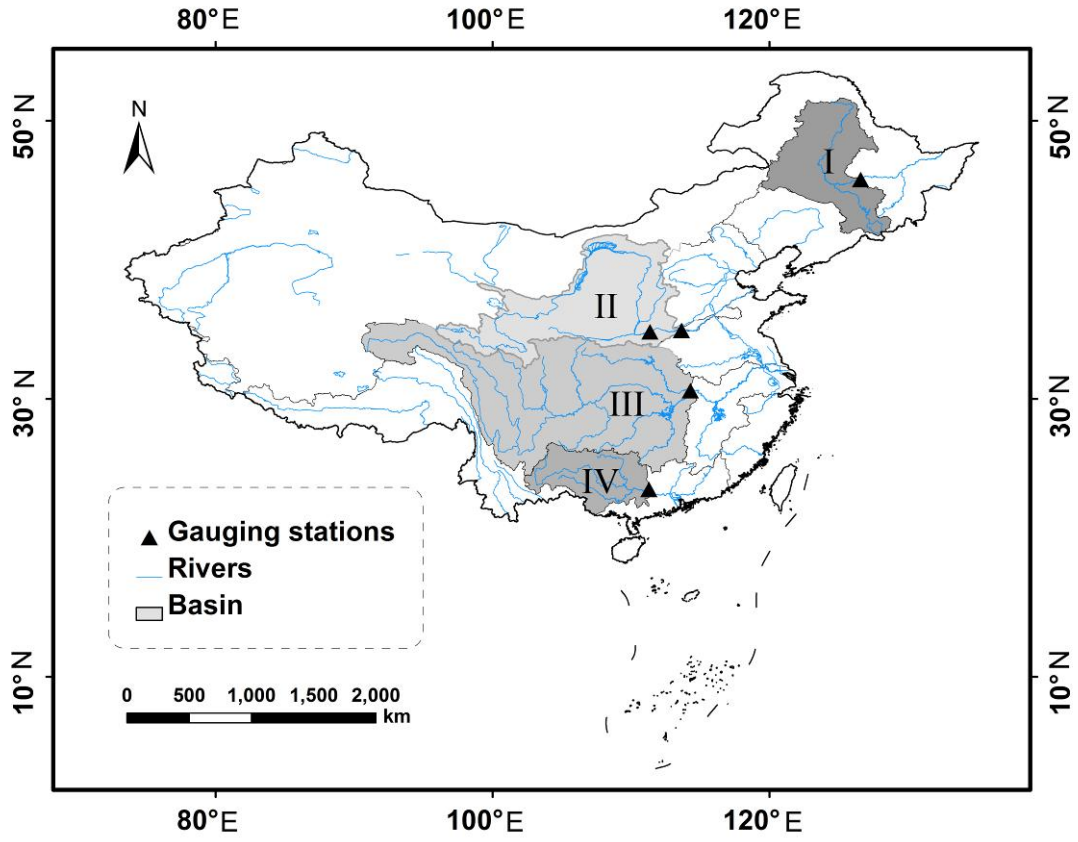
664  
665 **Fig. 7.** Percentage changes of annual streamflow over four major river basins in China  
666 in PDO warm phase and cool phase over the long-term average (1901-2009). P values  
667 based on Wilcoxon signed ranks test are showed on the top/bottom of each bar

668  
669  
670 **Fig.8.** Percentage changes of precipitation between El Niño periods and La Niña  
671 periods during the PDO warm phase (left panel) and the PDO cool phase (right panel)

672  
673 **Fig.9.** Percentage changes of streamflow between El Niño period and La Niña periods  
674 during the PDO warm phase (upper panel) and the PDO cool phase (lower panel)

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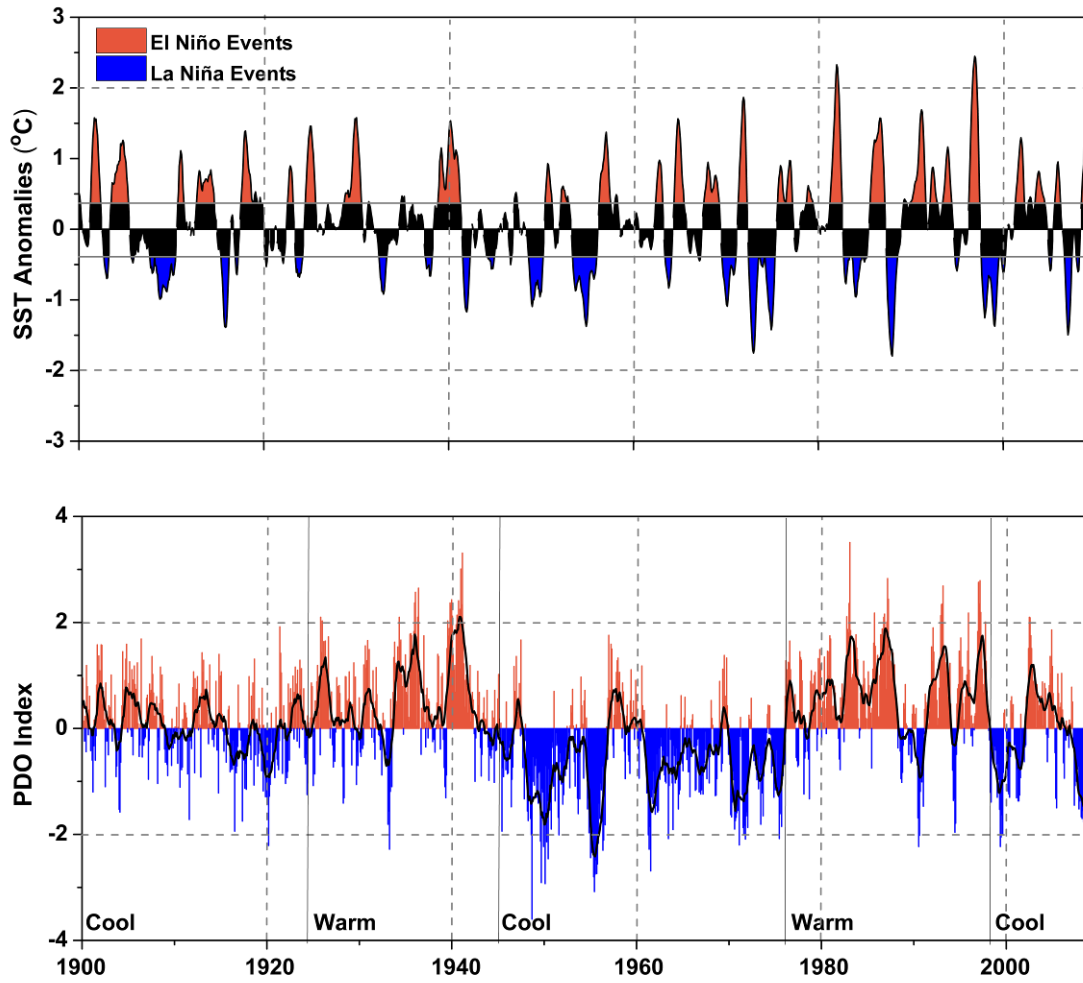
676 **Fig. 1.** Map of China showing four major river basins (I: Songhua River basin; II:  
677 Yellow River basin; III: Yangtze River basin and IV: Pearl River basin) and  
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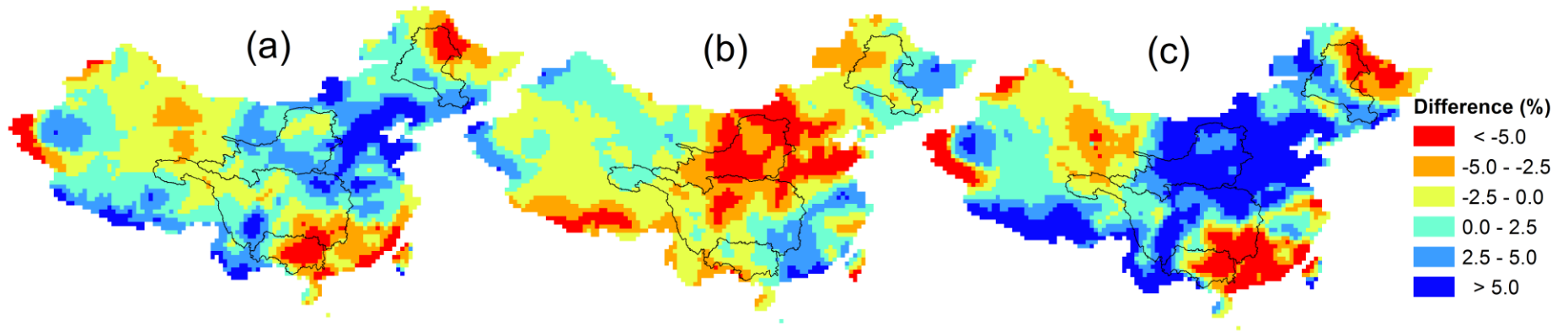
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**Fig. 2** The definition of ENSO events (El Niño and La Niña) from 5-month running mean series of Niño 3.4 SST index (upper panel) and the partition of warm/cool phase PDO from monthly PDO index (lower panel)



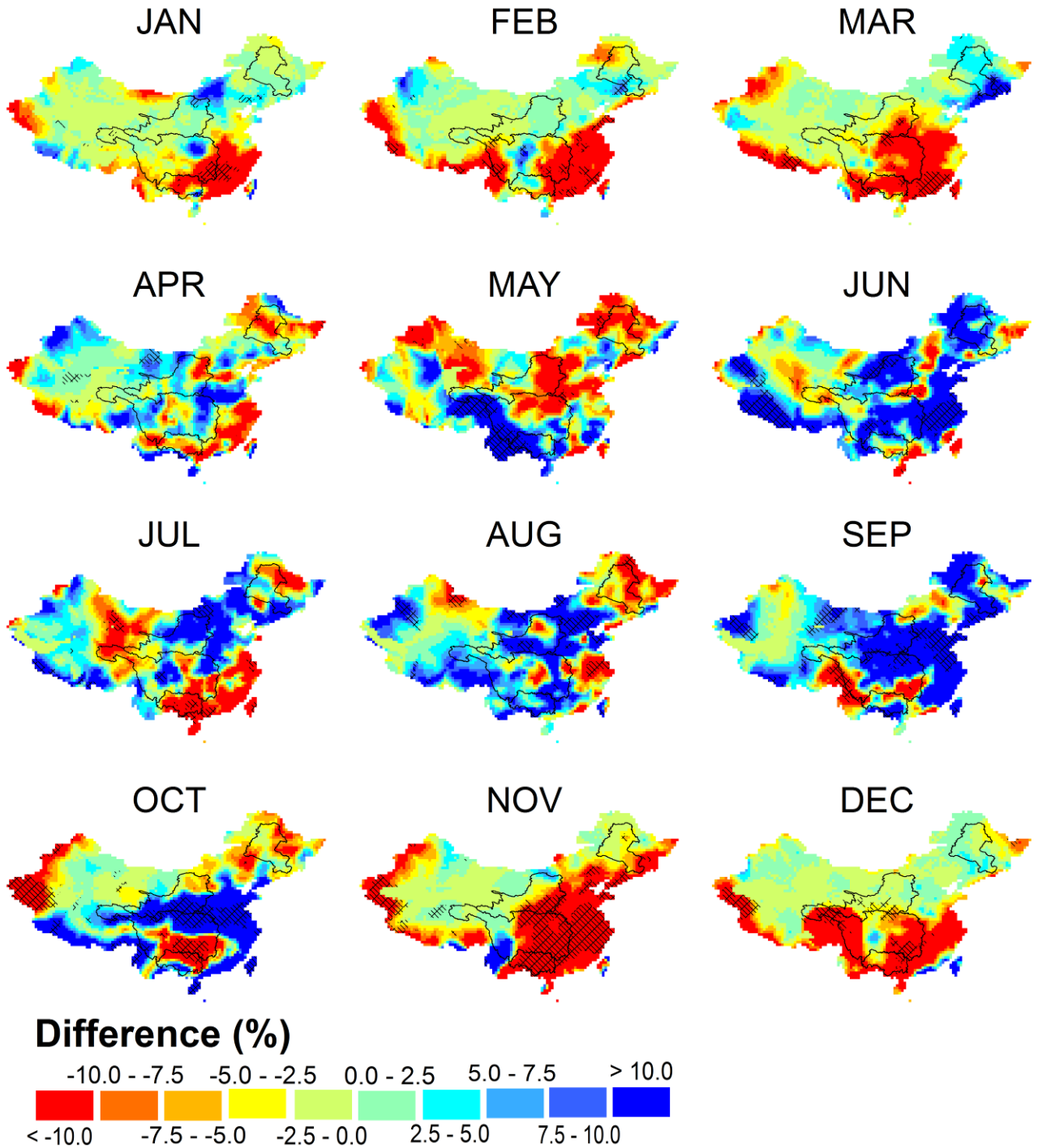
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687 **Fig. 3** Percentage changes of annual precipitation in El Niño years and La Niña years over the long-term average (1901-2009): (a) annual  
688 precipitation occurred in La Niña events; (b) annual precipitation occurred in El Niño events; and (c) annual precipitation occurred in La Niña  
689 events minus that occurred in El Niño events



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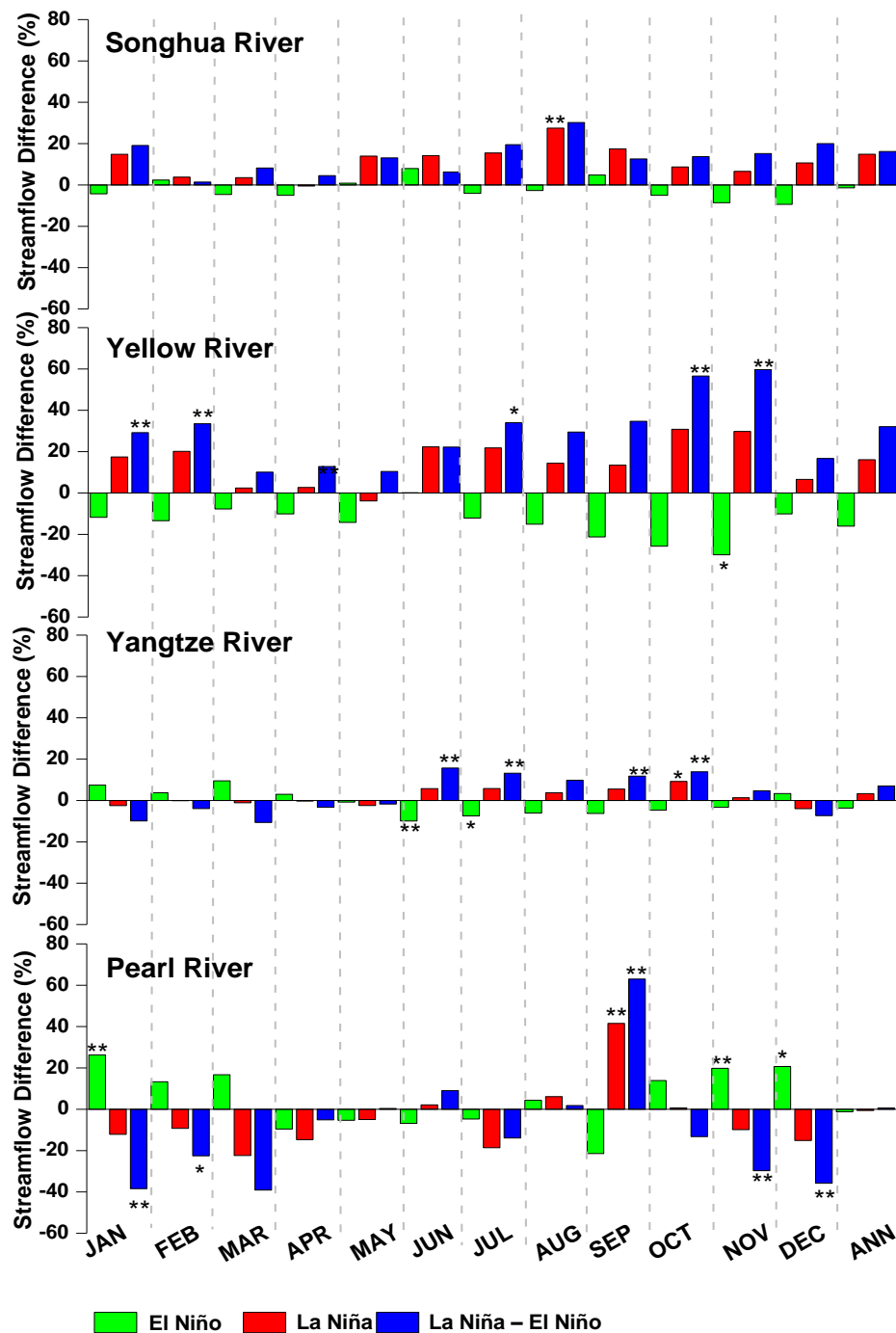
691 **Fig. 4** Monthly precipitation changes in La Niña months compared to El Niño months.  
 692 Regions change significantly at the 0.05 level based on the Wilcoxon signed  
 693 ranks test are shown with shadow.  
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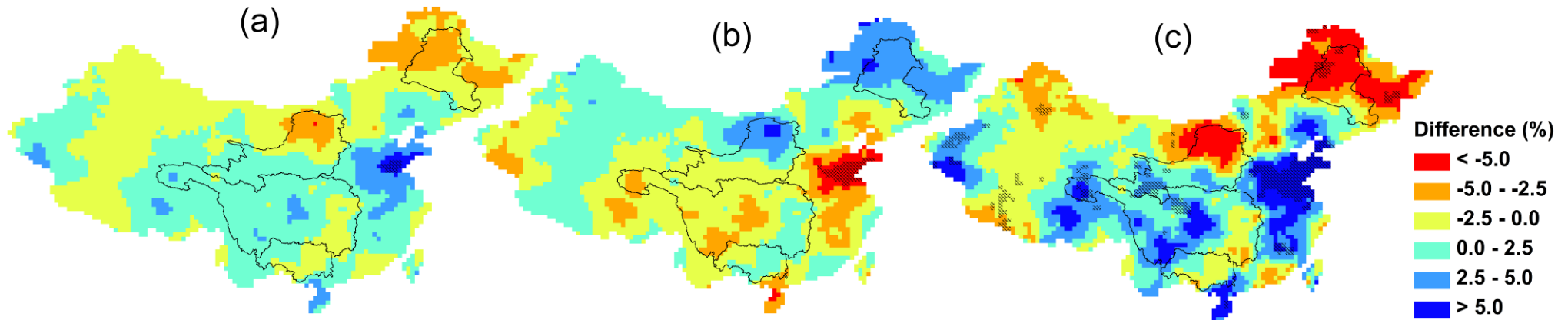
700 **Fig.5** Monthly and “annual” streamflow changes over four major river basins in China  
701 in El Niño months and La Niña month over the monthly/annual long-term average  
702 (1901-2004). The asterisks indicate the statistical significance based on Wilcoxon  
703 Signed Ranks Test (lower than 0.05 is \*\*, lower than 0.10 is \* and otherwise is  
704 nothing). It should also be noted that the monthly streamflow changes in Yellow River  
705 basin and Pearl River basin were only calculated during the period 1950-2004 due to  
706 their limited availability of monthly streamflow data



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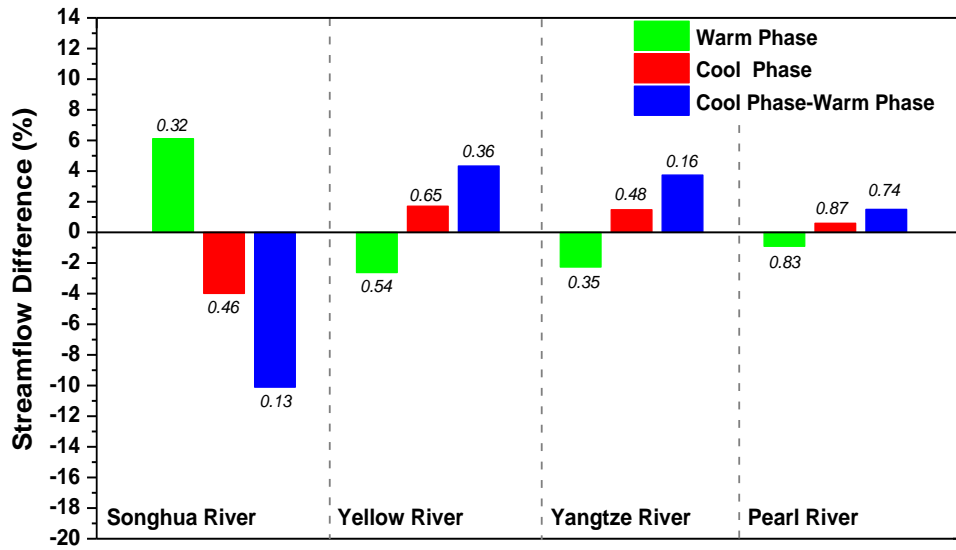


709 **Fig.6** Percentage changes of annual precipitation in PDO warm phase and cool phase over the long-term average (1901-2009): (a) annual  
710 precipitation in POD cool phase; (b) annual precipitation in POD warm phase; (c) annual precipitation in POD cool phase minus that in POD  
711 warm phase. Regions change significantly at the 0.05 level based on the Wilcoxon signed ranks test are shown with shadow



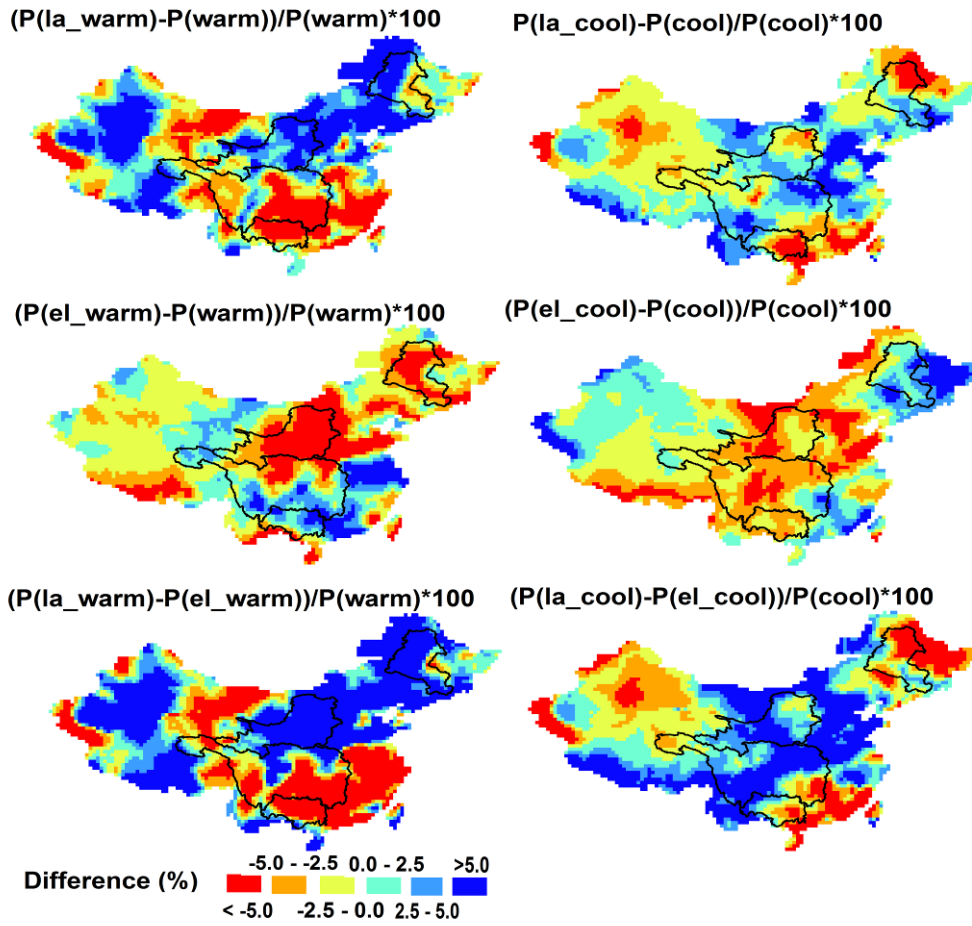
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714 **Fig. 7.** Percentage changes of annual streamflow over four major river basins in China  
 715 in PDO warm phase and cool phase over the long-term average (1901-2009). P values  
 716 based on Wilcoxon signed ranks test are showed on the top/bottom of each bar



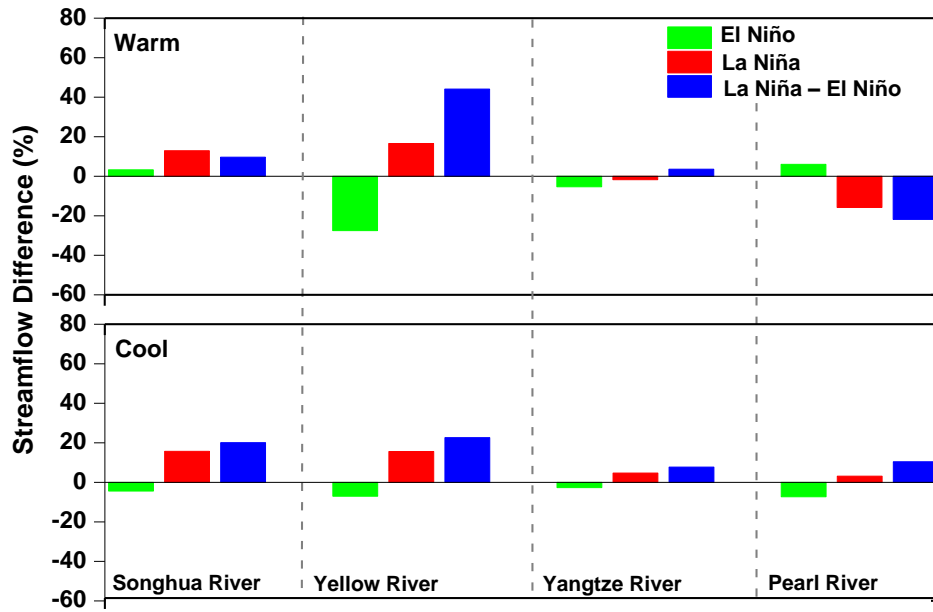
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721 **Fig.8.** Percentage changes of precipitation between El Niño periods and La Niña  
 722 periods during the PDO warm phase (left panel) and the PDO cool phase (right panel)



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726 **Fig.9.** Percentage changes of streamflow between El Niño period and La Niña periods  
 727 during the PDO warm phase (upper panel) and the PDO cool phase (lower panel)



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