Response to the Reviews and revised manuscript with marked changes for 'Observed groundwater temperature response to recent climate change' by K. Menberg et al.

#### **Reply to review by H. Kooi:**

#### **General comments**

**Comment #1:** The work presented in this manuscript involves a case(s) study of the impact of climate warming (atmospheric temperature rise) on groundwater temperatures at depths of about 10-30 m. This topic is relevant to the hydrological community. The authors draw particular attention to the potential relevancy for stream/river temperatures and (associated) groundwater dependent ecosystems.

**Reply #1:** This statement accurately captures the scope of this paper.

**Comment #2:** Novel and/or particularly interesting aspects of the work are the rather long time series of observational data on (pumped) groundwater temperature and the 'regime shift analysis' approach. The advantage of the latter is that it allows to establish in an elegant way a relation between local (diffused) 'shifts' in groundwater temperature and climatic regime shifts that can be recognized over very large spatial domains, even up to the global scale. It is interesting to see that it apparently works. However, applicability of the method to the 'diffused signals', which inherently do not include 'abrupt shifts' needs to be justified and findings need to be interpreted more carefully to avoid misinterpretations of air-ground temperature coupling (SAT-GST).

**Reply #2:** We agree that the applicability of the analysis of 'abrupt shifts' in groundwater temperatures is certainly limited due to the diffusive heat transport in the subsurface. Abrupt shifts in the thermal signal at the surface will diminish within the subsurface and actually disappear in a certain depth, appearing rather as diffused signals. However, in the quite shallow GWT time series evaluated here, the breakpoints observed in the long term mean GWT are statistically significant, though exhibiting lower p-values than in the atmospheric time series. Additional information on this issue and the statistical requirements of the regime shift analysis are addressed in detail according to the specific comment #22. The interpretation of the observed regime shift in GWT regarding the damping of the thermal signals and the adjacent

discussion of the air-ground temperature coupling (SAT-GST) was thoroughly revised according to these concerns and the comments #17, #20 and #22.

**Comment #3:** Where virtually all geothermal climate studies use borehole temperature logging data, here temperatures obtained by well pumping are used. This aspect (value of this type of data) deserve to be elaborated more comprehensively and better, because results basically confirm what is already known about propagation of surface temperature signals into the subsurface or air-ground temperature coupling.

**Reply #3:** As also stated correctly in comment #12, the novelty of our study is the type of data used for the analysis of air-ground temperature coupling. The measured time series of groundwater temperature and the applied methods enable an evaluation of the temperature coupling over the last decades with a temporal resolution of a few years. Palaeoclimatic studies using deep borehole temperature profiles can track ground temperatures over hundreds of years before present, but with a much lower temporal resolution in the order of decades. A respective statement was included in the manuscript to highlight these distinctions (p. 4, lines 12-16).

Due to general knowledge about the propagation of thermal signals in the subsurface, the observed influence of atmospheric temperature signals on groundwater temperature might indeed be anticipated. However, according to our knowledge this is the first study that examines this short term coupling by statistical analysis of actual measured data and analytical modeling. Details about the newly derived implications of this short term coupling for groundwater dependent ecosystems are addressed with the associated comments #12 and #25.

**Comment #4:** The conclusions provided in the final conclusions section are generally sound. However, the forward modelling with the analytical solution, its results, and the discussion thereof is inadequate in several respects and causes unnecessary confusion as will be explained below. These aspects can be remedied fairly easily.

**Reply #4:** Thank you for raising these concerns. They are addressed on a point by point basis below according to the comments #9, #10, #17 and #21-25.

#### **Specific comments**

**Comment #5:** GWT data. Compared with temperature logging in standing water in a well bore, interpretation of temperatures obtained via well pumping is subject to a large number of unknown influences. Firstly, the water represents a mix of water entering the well bore along

the whole! vertical of the well screen and hence different depths. The inflow can be fairly even, but can also have a dominant inflow near the base or the top of the screen depending on aquifer heterogeneity, backfill and screen clogging processes. This distribution would even depend on the pumping rate/ induced water level drop in the well bore during pumping. This is of fundamental importance for the Hardtwald wells which have very long screens.

**Reply #5:** Thank you for noting the influence of the well screen depth and how heterogeneities can influence the vertical well capture zone. These comments are addressed in more detail below in the replies to comments #8-10 and #21. Based on your concerns, we included a statement in the methods that describes why we now consider a range of depths in the analytical model (p. 10, lines 11-15).

**Comment #6:** Secondly, depending on the heterogeneity structure of the aquifer around the well bore, there could in principle even be a relatively large groundwater contribution from below the bottom of the well screen or above its top.

**Reply #6**: We employed this information to present a plausible reason for the measured Sinthern GWT not adhering to the predicted GWT trends (p. 14, line 30 - p.15, line 4).

Comment #7: Thirdly, the water flows upward from the pump through the pumping hose/tube which exchanges heat with the air in the well bore, air outside, and, a notorious factor is the impact of direct solar insolation of the hose which can heat up even fairly fast flowing water very quickly. The heat exchange very much depends on factors such as pumping rate and tube size, length and material, and ambient air temperature during sampling (season). A constant outflow temperature for a constant pumping rate does not guarantee the temperature is representative of the groundwater temperature. A simple check for seasonal trends in the data would be a minimum (for the mean parameters used for Dansweiler, for instance, at the depth of the screen (20 m), numerical modelling shows a seasonal GST change between 0 and 20°C corresponds to a groundwater temperature fluctuation of about 0.02°C). Much larger fluctuations point at 'contamination effects 'such as those mentioned above. The observed inter-annual fluctuations of several tenths of a degree for the Dansweiler well already indicate that such influences are significant. Furthermore, has the whole procedure (protocol and instrumentation) used for sampling been exactly the same over the 40 years? This is an additional potential cause of fluctuation or systematic changes. Such uncertainties should be acknowledged/considered when using the data.

**Reply #7:** We agree that there are several potential reasons for the rather large fluctuations in the inter-annual GWT time series, which are not properly addressed in the manuscript so far. We made the according changes to reflect the uncertainties of this type of data (p. 5, line 29 - p. 6, line 5) and the subsequent implications for the interpretation (p. 11, lines 25-30). The major concerns are briefly addressed here.

Due to the length of the time series of up to 30 years, detailed information about the pumping rate during sampling, the used equipment or ambient air temperatures are not available for the whole time period. The GWT time series from all wells exhibit certain seasonality with temperature measurements in summer and autumn being on average 0.4°C higher than in winter in Dansweiler, and 0.5°C in the Hardtwald wells. These values are considerably higher than the predicted fluctuations of 0.02°C from the seasonal SAT changes, which means that there is most likely an impact of ambient air temperature on the groundwater in the hose during sampling. Yet, it should be noted that the accuracy of the measurements is  $\pm 0.1$  °C, which is close to the observed variations. Consequently, the sentence stating that the measured temperature is representative for the upper aquifer will be deleted. However, years with only one measurement are scarce, so that annual mean GWT is rarely influenced by these seasonal fluctuations. Individual outliers in the time series caused by such variations or due to other reasons are accounted for in the statistical analysis and do not influence the results of the regime shift analysis due to the sequential approach (see comment #22 on statistical requirements below).

The measurements were performed by the water authorities within the groundwater quality observation program. The measurement protocol, which is standardized by the environmental state agencies to assure good quality of the obtained data, has undergone no significant changes in the last decades (p. 5, lines 25-27). The instrumentation will have certainly changed within this rather long period, which is now addressed as a possible source for GWT fluctuations in the manuscript (p. 6; lines 3-6).

**Comment #8:** Modelling and its interpretation. Given the general groundwater flow behaviors for pumped wells mentioned above, it is conceptually inappropriate to compare the observed temperature time series with a model-generated time series for the depth corresponding to the water table. Most logical would be to generate a time series for the mean temperature (integral divided by length) along the depth of the screen. Even depth-weighted

integrals could be considered in a sensitivity analysis for uneven inflow into the well. The 'cone of depression' (p. 3654, line 8) is not a concept which would justify the adopted water table depth approach.

**Reply #8:** We agree that our original approach was not ideal, and we thank you for raising this concern. We solved out the integral of the analytical solution, and the resultant equation was several lines long. Given the approximate nature of this study, we think it is best to rather simply use the midpoint of the screen depths for our 'best guess' results (p. 14, lines 17-19). A quick glance at Figure 4 indicates that the temperature results corresponding to halfway down the well screen are approximately halfway between the upper and lower limits of the temperature envelope. These upper and lower limits represent results for the top and bottom of the well screen, respectively (p. 14, lines 10-17).

**Comment #9:** For Dansweiler and Sinthern a single depth of about 20 or 21 m may be appropriate because of the short well screen. For the Hardtwald wells an integral approach is crucial; the water table depth (6 m) definitely is way too shallow to generate a meaningful time series. This most likely accounts for the inferred offset between  $\Delta$ SAT and  $\Delta$ GST for these wells, which therefore seems an artifact. The text of sections 2.3 and 3.2 should be modified accordingly.

**Reply #9:** We now consider depths that range from the top of the well screen to the bottom (p. 10, lines 11-15; p. 14, lines 10-19; p. 15, lines 22-26). As you suggest, this change to the manuscript eliminates any suggestion of an offset between  $\Delta$ SAT and  $\Delta$ GST. We have removed such statements and are pleased with how the manuscript has been improved based on your suggestion.

**Comment #10:** Presently, the predictive uncertainty of the model is captured in Figure 4 in the 'predicted GWT range'. However, this is due to uncertainty in thermal parameters only. The uncertainty caused by the screen length in combination with unknown inflow distribution is way larger. Point depths ranging between 15 and 25 m may be reasonable estimates for this uncertainty (or specified uneven inflow distributions with the integral approach).

**Reply #10:** Good suggestion. We now include uncertainties in the predicted envelope due to thermal properties AND depths. The maximum limit of the temperature envelope was obtained using the depth to the top of the well screen and the highest diffusivity and lowest heat capacity (heat capacity is in the U term in the solution),

whereas the minimum limit of the temperature envelope was obtained using the depth to the bottom of the well screen, the lowest diffusivity, and the highest heat capacity (p. 14, lines 10-21).

**Comment #11:** Table 3 lists ranges of thermal parameter values. However, the combination of heat capacity and thermal diffusivity values in not clear from the way they are presented. Probably a small bulk heat capacity would correspond to a large diffusivity, otherwise thermal conductivities seem unrealistic. This should be clarified.

**Reply #11:** In order to clarify this issue, we included the assumed literature values of the thermal conductivity of the saturated and unsaturated zone in Table 3 (p. 27). The given ranges in thermal conductivity and heat capacity account for varying water saturation of the porous media and for variation in the composition of the sedimentary material, i.e. different contents of gravel, sand, etc. Due to the interaction of these variations the small bulk heat capacities in Table 3 do not necessarily correspond to the larger diffusivities. The corresponding paragraph in the manuscript was changed accordingly (p. 10, lines 1-10).

#### **Other comments/corrections**

**Comment #12:** p. 3638: line 35: Rather vague and in my opinion incorrect statement. In what sense are the implications of climate change for groundwater temperatures not comprehensively understood? The present study certainly does not add to or require changes in present understanding. What is shown (with corrections suggested) was predicable on forehand. What is new here is that it is shown that long temperature time series obtained from pumping wells can also be valuable to document and study climate impacts, in spite of its more 'contaminated' and vertically integrated signature.

**Reply #12:** We do not necessarily agree. There is, in fact, a poor understanding of how shallow groundwater temperature rise may impact ecologically important aquifers and rivers. This is manifested by the plethora of surface water temperature papers that consider stream warming due to climate change but do not consider the potential of groundwater temperature rise to influence stream warming. Surface water hydrologists are one of our target audiences for this paper, and this is partly why we have included so much ecohydrological content. In particular, our study shows that groundwater may warm rapidly and drastically in response to climate change, which are surprising results to some (although not necessarily to anyone who understands subsurface heat

transport). The matter of predictability of our results and the novelty of the used data and methods is already described in the reply to comment #3.

**Comment #13:** line 10: Abrupt changes in groundwater temperature? Violates heat transport behavior.

**Reply #13:** We agree that this was not a good descriptor of the diffuse signal. We meant abrupt increases in terms of breakpoints in the long term mean. This was confusing; hence 'abrupt' has been removed from this sentence in the abstract (p.1, lines 23-24) as well as a related sentence in the conclusions (p.17, line 19).

**Comment #14:** p. 3642: lines 16/17: Variations of water table of 6m (and mean water table 6m below land surface beg for some explanation. Relevancy for the present study, and the magnitude in relation with the recharge of about 220 mm/yr. Is there a pumping station nearby? Irrigation extraction by farmers? How can this be consistent with a steady vertical advective heat flow (U) in the model?

**Reply #14:** The variation in the depth of the water table stated here is the total variation, i.e. the mean depth is 7 m, with a maximum depth of 10 m and a minimum depth of 4 m, which occurred in individual years during observation time. We rephrased the variation to 'maximum variations of  $\pm 3$ m' to make this clear (p. 5, lines 10-12).

Variations in the depth of water table are relevant for the interpretation of the time lags of the shifts between atmospheric and groundwater temperature. In particular, a trend in the depth of the water table would impair the comparison of time lags of different shifts in one well. However, there is no obvious long term trend in the water level of the four observation wells over the last decades. The wells in the Hardtwald are located near a pumping station, which is likely to influence the water level. According statements were included in the manuscript (p. 5, lines 11-13).

Time-series for the annual groundwater recharge were unfortunately not available for the whole observation time. To account for the long-term variability an uncertainty of  $\pm 20\%$  was assigned to the annual recharge values, which were also applied to the vertical advective heat flow U in the model (p. 10, lines 16-20).

**Comment #15:** p. 3646: section 2.3: Would be good to also explicitly state the model assumes (a) uniform and steady vertical groundwater flow over a depth range deeper than the well depths and (b) recharge temperature equals the average annual surface temperature.

These assumptions, together with assumed heterogeneity of thermal properties for a variably saturated system, merit discussion in later sections in relation to conclusions drawn from the modelling.

**Reply #15:** These are certainly assumptions associated with the governing conduction advection equation. We noted these, along with others, in the methods section (p. 8, lines 4-8). We also included a new paragraph in the discussion that explains the shortcomings of this approach (p. 16, lines 6-21).

**Comment #16:** p. 3648: Equation (6): For sake of completeness mention that the contribution of each summation term only applies for  $t \ge t_i$ . Otherwise unwarranted cooling is calculated before the relevant step change in surface temperature. U<sub>z</sub> is not defined and appears to equal U.

**Reply #16:** This is precisely what the Heaviside function indicates (i.e., the Heaviside function turns on and stays on when the value inside the Heaviside function is positive). Nonetheless, such a statement was added (p. 9, lines 13-14).  $U_z$  should have been Uz as can be shown from an analysis of the units within the exponential term (Eq. 6).

**Comment #17:** p. 3647, line 17-25: This is inappropriate reasoning. In the model initial GWT and hence GST are set equal to observed GWT. Potential offsets in SAT GST due to surface conditions in the real world system are subsequently of no consequence for the imposed step changes in annual GST (unless a step change in surface conditions (e.g. vegetation or snow regime) occurred at the same time, which is not the case).

**Reply #17:** As other studies have shown (Kurylyk et al., 2013, HESS; Mellander et al, 2007, Clim. Change), a shift in SAT can produce a shift in snowpack conditions and/or deciduous vegetation, which produces decadal GST changes that do not necessarily follow SAT changes. However, this text has been removed from the manuscript based on the modifications we have made to the depths utilized in the analytical solution. In the approach of the modified manuscript we have set  $\Delta$ GST =  $\Delta$ SAT for all wells (p. 9, lines 25-31).

**Comment #18:** p. 3650, lines 3-5: This is a vague statement, in particular the 'up to 30 m' and 'significant'. It can be readily shown that for the well sites studied here variations due to inter-annual fluctuations of GST (or SAT) are much smaller than those observed in the data.

Analytical solutions to quantify the damping of periodic GST fluctuations with depth (also with advection influences) can be used to show this. Or numerical solutions can be used.

**Reply #18:** We agree that this was poorly worded. Stallman's (1965) equation could be used to demonstrate that intra-annual fluctuations should be completely damped at this depth even under high recharge rates. The sentence was removed.

**Comment #19:** p. 3650: If the accuracy of each shift is +/- 1 year, then the accuracy of the difference between two shifts (lag) is less accurate than that.

**Reply #19:** We agree. As the accuracy of  $\pm 1$  year applies to the shift in SAT and to the succeeding shift in GWT, the overall accuracy of the difference is  $\pm 2$  years. The values in Table 4 was changed accordingly (p. 28).

Comment #20: lines 18-20: What is the relevancy of this statement?

**Reply #20:** The point is that local SAT will not necessarily follow global SAT or even regional SAT changes. We included the adjective 'local' and change 'yet' to 'furthermore' to clarify the purpose of this statement (p. 12, lines 12-15). This helps explain why the timing of regime shifts at different spatial scales may not completely overlap.

**Comment #21:** lines 23-25: This is not substantiated and not evident. The depth of the well screens may be more important (can be evaluated via sensitivity analysis).

**Reply #21:** This sentence was deleted. The sensitivity of the GWT response to the well screen depth is now considered in the results 'envelope' in the analytical solution figure (p. 14, lines 10-19; Fig. 4, p. 33).

**Comment #22:** p. 3651, lines 8-12: Indeed. Due to this slow and 'smoothed' response in the subsurface I would expect the regime shift method is NOT suited to determine the proper amplitude of the GWT and hence the GST shift, and overestimate its timing. The inferred amplitude step change of the diffused signal would depend on the length of the stable regime. The inferred amplitude can therefore NOT be used to draw conclusions regarding damping of GST change relative to SAT change. Aren't there statistical requirements of time series for regime shift analysis? And do diffused signals meet these requirements? The discussion of lines 25-28 seems inappropriate in this light.

**Reply #22:** We agree that the regime shift method can underestimate the groundwater rise in response to climate change (unless equilibrium has been met due to long stable

regime). That is why, unlike Figura et al. (2011, GRL), we include the process-based modeling which demonstrates that GWT will eventually rise (with the same magnitude) in response to the regime shift in SAT or GST if the new climate regime shift lasted indefinitely. We agree that no conclusion regarding  $\Delta$ GST damping in comparison to  $\Delta$ SAT can be made. Such statements were removed. We now explicitly state that in the absence of snowpack evolution or land cover changes,  $\Delta$ GST should follow  $\Delta$ SAT, and  $\Delta$ GWT should in turn follow  $\Delta$ GST if given enough time (p. 15, line 27 – p. 16, line 5; p. 17, lines 27-29).

There are requirements regarding the length of a time series in order to detect the regime shifts within a certain level of confidence depending on the assigned length of a stable regime. With an assigned regime length of 10 years, as in our study, up to 3 statistical significant regime shifts could theoretically be identified in a time series of 40 years. In principle the regime shift analysis resembles the fitting of a step function to a time series, though with a limited number in the degrees of freedom. Not only is the length of the identified regimes restricted by a minimum value (cut-off length), also the difference in the long term means between two subsequent has to be statistically significant according to a student's t-test. In this test also the variance of the input data is considered, which means that the discussed large fluctuations in GWT are accounted for. Regarding time series of completely diffuse signals with some kind of trend the test would certainly fail to find a significant regime shift. However, in the rather shallow GWT time series evaluated here the breakpoints observed in the long term mean GWT are still statistically significant (p-values < 0.01), despite their, to a certain degree, diffusive nature. Thus, we are certain that our data fulfil the mentioned requirements and that the results are statistically sound.

Comment #23: p. 3653 and 3654: See specific comments on modelling and its interpretation.

**Reply #23:** This section was extensively modified. Please see our replies to the specific comments #8-10.

**Comment #24:** p. 3655, lines 17-20: This statement should be removed/modified. Results of the present study do not support this.

**Reply #24:** This sentence was modified to remove any reference to GWT damping in comparison to SAT warming. This has been shown to be the case in other studies, but admittedly was not demonstrated in the present one.

**Comment #25:** Conclusions should be more geared to specific findings/result of the study and not repeat discussion items that are not true results / have not been explicitly demonstrated.

**Reply #25:** We agree. We removed the sentences at the end of the conclusions that discussed GDE (this information will be retained in the discussion) and included a sentence that states that groundwater temperature evolution in response to climate change can be tracked by analyzing long term records of the temperature of pumped groundwater (p. 18, lines 7-10).

**Comment #26:** Referencing is generally fairly complete. A modelling study dealing with the same time period and various factors influencing subsurface signals, including groundwater flow, heterogeneity and surface influences that may be of some use: Earth and Planetary Science Letters, 270, 86-94.

**Reply #26:** Kooi (2008) will be added as a citation in the introduction (p. 3, lines 30-32) and in the reference list (p. 21, lines 10-12).

#### **Reply to review by Y. Fan:**

**Comment:** I find it interesting that the water table is deeper at Dansweiler and Sinthern (~17m), at a lower elevation of ~61m, than the Hardtwald wells (water table ~6m), although at a much higher elevation of ~121m. The two sites are not too far apart, and unless the underlying geology and hydrogeology (sources/sinks) make them entirely separate flow systems, one would expect that the water table is shallower in the lower part of the landscape. Perhaps the authors could discuss the hydrogeological system in more details and provide insights on this.

**Reply**: The two study sites close to Karlsruhe and Cologne are approx. 240 km apart from each other and belong to different aquifer systems, which cannot be seen from Figure 1. The description of the hydrogeological settings was extended accordingly to clarify this issue (p. 5, lines 3-6).

**Comment:** Equation-1. The bulk thermal diffusivity is missing.

**Reply**: Correct. In the submitted version of the manuscript the bulk thermal diffusivity was indeed missing. This error was corrected during type-setting and in the online HESSD version of the manuscript Equation 1 is displayed correctly including the thermal diffusivity (p. 7, line 23).

**Comment:** Equation-2. Perhaps the authors should mention that the Darcy velocity q in the convective term is related to water table recharge rate.

Reply: We agree. A statement that the q term (Darcy velocity) is taken as the recharge rate was included in the manuscript below Equation 2 (p. 7, lines 29-30).

Comment: Page-11, line 33. Remove one of the "in".

**Reply**: The second 'in' was removed (p. 13, line 3).

**Comment:** Page-12, line 21-24. I tend to think that GWT change should be more as a trend than as steps, because of its delay and dampening of atmospheric signals, which smooth out the sharp rises (and falls) of the surface forcing. So a more fundamental explanation is perhaps not the short regime, but the nature of groundwater response. This bears out in the p values anyway, as the last sentence of the paragraph suggested.

**Reply**: We agree that the general diffusive nature of the subsurface thermal signal in comparison to the air or surface thermal signals should be discussed more prominently in this section. We rephrased the paragraph accordingly, not only noting possible

methodological reasons for this significant trend here (p. 13, lines 22-23), but also mentioning the generally more gradual behavior of the GWT regimes (p. 13, lines 16-20, lines 25-26).

**Comment:** Page-14, line 18 and onward. Maybe replace the word "annual" to "inter-annual" to avoid confusion with "annual cycle" which refers to seasonal cycle within a year, not between years as is the case here? There are more of this word later, e.g., page-16, line-11.

**Reply**: We agree. The word 'annual' may indeed be misleading in this context, as we refer to the variations in the annual values. Consequently, the respective words were changed into 'inter-annual' (p. 15, lines 13-14; p. 18, line 1).

# **Observed groundwater temperature response to recent**

## 2 climate change

3

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Keywords: groundwater temperature, climatic change, regime shift, aquifer thermal regime,
 groundwater-dependent ecosystems

14

#### 15 Abstract

16 Climate change is known to have a considerable influence on many components of the 17 hydrological cycle. Yet, the implications for groundwater temperature, as an important driver 18 for groundwater quality, thermal use and storage, are not yet comprehensively understood. 19 Furthermore, few studies have examined the implications of climate change-induced 20 groundwater temperature rise for groundwater-dependent ecosystems. Here, we examine the 21 coupling of atmospheric and groundwater warming by employing stochastic and deterministic 22 models. Firstly, several decades of temperature time-series are statistically analyzed with 23 regard to climate regime shifts (CRS) in the long-term mean. The observed increases in 24 shallow groundwater temperatures can be associated with preceding positive shifts in regional 25 surface air temperatures, which are in turn linked to global air temperature changes. The 26 temperature data are also analyzed with an analytical solution to the conduction-advection 27 heat transfer equation to investigate how subsurface heat transfer processes control the 28 propagation of the surface temperature signals into the subsurface. In three of the four 29 monitoring wells, the predicted groundwater temperature increases driven by the regime shifts 30 at the surface boundary condition generally concur with the observed groundwater

1 temperature trends. Due to complex interactions at the ground surface and the heat capacity of 2 the unsaturated zone, the thermal signals from distinct changes in air temperature are damped 3 and delayed in the subsurface, causing a more gradual increase in groundwater temperatures. 4 These signals can have a significant impact on large-scale groundwater temperatures in 5 shallow and economically important aquifers. These findings demonstrate that shallow 6 groundwater temperatures have responded rapidly to recent climate change and thus provide 7 insight into the vulnerability of aquifers and groundwater-dependent ecosystems to future 8 climate change.

9

#### 10 **1** Introduction

Atmospheric climate change is expected to have a significant influence on subsurface 11 12 hydrological and thermal processes (e.g. Bates et al., 2008; Green et al., 2011; Gunawardhana 13 and Kazama, 2012). While the consequences for groundwater recharge and water availability 14 were scrutinized by many studies (e.g. Maxwell and Kollet, 2008; Ferguson and Maxwell, 2010; Stoll et al., 2011; Taylor et al., 2013; Kurylyk and MacQuarrie, 2013), the implications 15 16 of changing climate conditions for the long-term evolution of shallow groundwater 17 temperatures are not comprehensively understood (Kløve et al., 2013). Groundwater 18 temperature (GWT) is known to be an important driver for water quality (e.g. Green et al., 19 2011; Sharma et al., 2012; Hähnlein et al., 2013) and therefore, it is a crucial parameter for 20 groundwater resource quality management (Figura et al., 2011).

21 Furthermore, increasing groundwater temperatures can have a significant influence on 22 groundwater and river ecology (e.g. Kløve et al., 2013). Numerous studies on the impact of 23 recent or projected climate change on the thermal regimes of surface water bodies and the 24 associated impact for coldwater fish habitats have already been conducted (e.g. Kaushal et al., 25 2010; van Vliet et al., 2011, 2013; Wenger et al., 2011; Isaak et al., 2012; Wu et al., 2012; 26 Jones et al., 2014), but the thermal sensitivity of shallow aquifers to climate change is a 27 relatively unstudied phenomenon (e.g. Brielmann et al., 2009, 2011; Taylor and Stefan, 2009; 28 Kurylyk et al., 2013, 2014a). The thermal response of GWT to climate change is of particular 29 interest to river temperature analysts, as the thermal regimes of baseflow-dominated streams 30 or rivers and hydraulically connected aquifers are inextricable linked (Hayashi and 31 Rosenberry, 2002; Tague et al., 2007; Risley et al., 2010). Furthermore, groundwater sourced 32 coldwater plumes within river mainstreams are known to provide thermal refuge for 33 threatened coldwater fish (e.g. Ebersole et al., 2001; Breau et al., 2007), and questions have

arisen regarding the sustainability of these groundwater-dependent ecosystems (GDEs) in a
warming climate (Deitchman and Loheide, 2012). The current lack of knowledge regarding
the thermal vulnerability of GWT to climate change and the associated impacts to GDEs has
been highlighted as a research gap in several recent studies (e.g. Bertrand et al., 2012; Mayer,
2012; Kanno et al., 2014).

6 Thermal signals arising from changes in ground surface temperatures (GST) propagate 7 downward into the subsurface, causing GWT to deviate from the undisturbed geothermal 8 gradient. Heat transport theory has been applied for inverse modeling of temperature-depth 9 profiles to infer paleoclimates based on measured deviations from the geothermal gradient 10 (e.g. Mareschal and Beltrami, 1992; Pollack et al., 1998; Beltrami et al., 2006; Bodri and 11 Cermak, 2007) and for forward modeling the impact of projected climate change on measured 12 temperature-depth profiles (e.g. Gunawardhana and Kazama, 2011; Kurylyk and MacQuarrie, 13 2014). Such studies are often based on the assumption that long term trends in GST will track 14 long term trends in surface air temperature (SAT), although this has been a matter of 15 considerable debate (e.g. Mann and Schmidt, 2003; Chapman et al., 2004; Schmidt and Mann, 16 2004). For example, decreases in the duration of thickness of the insulating winter snowpack 17 due to rising SAT can paradoxically lead to decreased winter GST (Smerdon et al., 2004; 18 Zhang et al., 2005; Mellander et al., 2007; Mann et al., 2009; Kurylyk et al., 2013), which 19 lead to a decoupling of mean annual SAT and GST trends.

20 Heat advection due to groundwater flow may also perturb subsurface temperature-depth 21 profiles, and it can be difficult to determine if deviations from a linear geothermal gradient 22 have arisen from past climate change or from groundwater flow (Reiter, 2005; Ferguson and 23 Woodbury, 2005; Ferguson et al., 2006). Thus, several analytical solutions have been 24 proposed that account for subsurface thermal perturbations arising from a combination of 25 climate change and vertical groundwater flow (e.g. Taniguchi et al., 1999a, b; Kurylyk and 26 MacQuarrie, 2014). The solutions vary depending on the nature of the surface boundary 27 conditions employed (e.g. linear, exponential, or step trends in temperature), which can be 28 used to match measured or predicted GST trends for a region. These solutions do not account 29 for horizontal groundwater flow, which can also perturb subsurface thermal regimes in certain 30 environments (Ferguson and Bense, 2011; Saar, 2011). Numerical solution techniques can 31 also be applied to account for inhomogeneous subsurface thermal properties, complex surface

32 temperature evolution, and groundwater flow (e.g., Kooi, 2008).

1 Figura et al. (2011) show that temperature variations in Swiss aquifers that are recharged by 2 river water through bank infiltration can be related to changes in climate oscillations systems 3 by applying a statistical regime shift analysis. Characterizing changes in time-series of various 4 climatic, physical and biological parameters with the concept of abrupt regime shifts has been 5 the focus of numerous studies in the last two decades (e.g. Hare and Mantua, 2000; Overland 6 et al., 2008). In this context, a regime is often defined as a period with quasi-stable behavior 7 or with a quantifiable quasi-equilibrium state (deYoung et al., 2004), and accordingly a rapid 8 transition between states with differing average characteristics over multi-annual to multi-9 decadal periods is referred to as a regime shift (Bakun, 2004).

10 In this study, we demonstrate the direct influence of atmospheric temperature development on 11 shallow GWT at two sites in Germany by analyzing time-series of SAT and GWT with regard 12 to abrupt changes in the long-term annual mean. Compared to previous studies, which used borehole temperature profiles for the analysis of temperature coupling between the 13 14 atmosphere and the subsurface, the measured time series of annual GWT of the last decades 15 in this study allow for an evaluation of this coupling on a shorter time scale with a higher 16 temporal resolution. Furthermore, we compare different spatially averaged temperature time-17 series from individual weather stations to global mean air temperature change bringing our 18 observations in the context of global climate change. The magnitudes of the regime shifts and 19 the time lags between the shifts in the chosen time-series are evaluated under consideration of 20 the different thermal processes in the subsurface and the site-specific hydrogeological 21 settings. A standard analytical solution to the conduction-advection subsurface heat transfer 22 equation is applied to investigate the physical thermal processes underlying the observed 23 correlation between SAT regime shifts and GWT rise.

24

#### 25 2 Data and methods

26

#### 2.1 Data and site description

For the analysis of shallow GWT, we use time-series from four observation wells in porous and unconfined aquifers in Germany (Table 1, Fig. 1a and b). Two of the wells are installed in the surrounding area of Cologne outside the small villages of Dansweiler and Sinthern in agricultural areas. The other two wells are located in a rather densely vegetated forest, called Hardtwald, close to the city of Karlsruhe and are therefore named Hardtwald 1 and 2. The proximate surroundings of all four wells were undisturbed over the last decades, so that variations in GWT due to land use changes are unlikely. The distances from the observation
wells to the nearest streams are several kilometers (Table 1), thus the influence of river water
on the groundwater temperature in the wells can be excluded. The two study areas close to
Karlsruhe and Cologne are located approx. 240 km apart from each other and belong to
different aquifer systems. Yet, the basic geological and hydrogeological settings of the two
aquifers are rather similar (Table 1 and 2).

7 Table 2 lists some basic hydrogeological properties of the studied aquifers and the observation 8 wells. The depth of water table differs considerably between the two well fields, and is 9 approximately 17m for the Cologne aquifer and 7m near Karlsruhe. Variations in the depth of 10 water table during the observation period are within  $\pm 1m$  for the Dansweiler and Sinthern 11 wells and more pronounced in the Hardtwald wells with about  $\pm 3$  m, which are likely caused 12 by a pumping station nearby. However, no statistically significant trend was observed over the 13 last decades in the water level of the observation wells. Both aquifers are recharged by 14 infiltration of meteoric water through the unsaturated zone with estimated recharge rates of 221±45mmyr<sup>-1</sup> for the Cologne aquifer and 228±45mmyr<sup>-1</sup> for the aquifer near Karlsruhe 15 16 (Table 2). A schematic cross-section of the two aquifers near Cologne (left) and Karlsruhe 17 (right) in Fig. 1c and d shows the average depth of the water table below surface level and the 18 depth of the underlying aquitard. Details on the wells' constructions are also depicted with the 19 overall depth and the locations of the filter screens (black areas) that indicate the depth where 20 the pumped water is captured. Furthermore, Fig. 1c and d shows the distance between the 21 wells pairs as well as the distances to the weather stations from which the SAT time-series 22 were obtained.

23 GWT in all observations wells was measured one to six times per year for a period of at least 24 32 years (1974–2006) during frequent water quality assessments by the local groundwater 25 authorities. The measurement protocol, which is standardized by the environmental state agencies to assure data quality and comparability, has undergone no significant changes in the 26 27 last decades. During the specified procedure, water is pumped from the wells until the water 28 temperature and other on-site parameters are constant. The temperature measurements are 29 thereby conducted with a probe directly at the outlet, to minimize influences on ambient air 30 temperatures. An examination of the time series for seasonal effects revealed that they contain 31 certain minor seasonal effects with variations, which indicates an impact of ambient air 32 temperature on the GWT during the sampling. However, the natural temperature variations 33 due to seasonal GST variations in depths of over 20 m (Table 2) are expected to be less than 34 0.1 K as can be demonstrated by Stallman's (1965) equation. In most years, at least two measurements per year were available, so that the arithmetic mean can be adopted as an annual mean value to minimize such effects. It should also be noted that the measurement accuracy is in the range of ±0.1 K. Also changes in the measurement procedure, such as variations in the pumping rate or in the placement of the pump within the well, as well as changes in the measurement equipment, can influence the measured GWT and should be considered for the evaluation and interpretation of the data.
Annual SAT data are available from weather stations operated by the German Weather

8 Service (DWD) outside the cities of Cologne and Karlsruhe in agricultural surroundings (Fig. 9 1a and b). Though located several kilometers from the observation wells, the SAT from these 10 stations is expected to yield a good approximation for the development of SAT at the well 11 sites. Furthermore, for the evaluation of abrupt shifts in the time series of SAT and GWT, the 12 absolute temperature is only of minor importance, while the main focus is on the timing of the shifts and the temperature differences. For the comparison with air temperatures on a larger 13 14 scale, we use time-series of mean air temperature anomalies based on the reference period 15 1951–1980 from the NASA Goddard Institute for Space Studies (GISS) (e.g. Hansen et al., 16 2010). Of the spatially averaged temperature data sets available, we evaluate the annual global 17 mean from land-surface air and sea-surface water temperature anomalies and the annual zonal 18 mean for the Northern Hemisphere between 90° and 24°N based on land-surface air 19 temperature anomalies.

#### 20 2.2 Regime shift analysis

21 There are several possibilities to statistically evaluate temperature changes in time series with 22 rather simple functional forms. Seidel and Lanzante (2004) compared different approaches 23 (e.g. linear and flat steps models) and revealed that often time-series of atmospheric 24 temperatures can be represented more appropriately by models using breakpoints than by 25 models assuming monotonic functions. Hence, we here apply a sequential t-test analysis for 26 regime shifts (STARS) to detect possible abrupt regime shifts (CRS) in the temperature time-27 series (Rodionov, 2004; Rodionov and Overland, 2005). The STARS method has been 28 successfully used by recent studies to identify abrupt changes in the long-term mean of 29 environmental time-series (Marty, 2008; North et al., 2013) and GWT time-series (Figura et 30 al., 2011). STARS is a parametric test that can detect multiple regime shifts and needs no a 31 priori assumption for the timing of possible shifts. Identification of a shift is based on the 32 calculation of the Regime Shift Index (RSI), which represents the cumulative sum of the 33 normalized deviations from the mean value of a regime and thus reflects the confidence of a

1 regime shift (Rodionov, 2004). For the regime shift analysis, several test parameters need to 2 be adjusted to account for specific characteristics, such as the length of the tested time-series. 3 The target significance level in our analysis is set to 0.15, which corresponds to the p-level of 4 false positives. The actual p value of an identified shift between subsequent regimes is 5 calculated separately with a Student's t test. The cut-off length of the test corresponds to a 6 low-pass filter, so that regimes with a shorter length are disregarded in the analysis (Rodionov 7 and Overland, 2005). Here, we set the cut-off length to 10 years as atmospheric oscillations 8 often occur at decadal intervals (Overland et al., 2008). Furthermore, the Huber weight 9 parameter (set to 1 in our study) included in the STARS procedure improves the treatment of outliers by weighting them proportionally to their deviation from the mean value (Overland et 10 11 al., 2008). As pointed out by Seidel and Lanzante (2004) atmospheric data tend to be highly 12 temporally auto-correlated, so that especially in short time-series, spurious regime shifts may 13 be detected due to serial correlation (Rudnick and Davis, 2003). Therefore, we apply a pre-14 whitening procedure that removes the red noise component from the temperature time series 15 prior to testing for a regime shift (Rodionov, 2006). To investigate the potential stationarity within detected regimes, the non-parametric Mann-Kendall test for the absence of trend is 16 17 also applied to the temperature data (von Storch, 1995).

#### 18 **2.3 Analytical solutions**

19 The governing equation for transient subsurface heat transport is the one dimensional 20 conduction equation for homogeneous media, which equates the divergence of the conductive 21 flux with the rate of the change of thermal energy in the medium (Carslaw and Jaeger, 1959; 22 Domenico and Schwartz, 1990):

23 
$$\kappa \frac{\partial^2 T}{\partial z^2} = \frac{\partial T}{\partial t}$$
 (1)

where  $\kappa$  is the bulk thermal diffusivity of the subsurface (m<sup>2</sup> s<sup>-1</sup>), *T* is temperature (°C), *z* is depth (m), and *t* is time (s). The governing heat transport equation becomes slightly more complex when advective heat transport (or 'forced convection') due to groundwater flow is considered:

28 
$$\kappa \frac{\partial^2 T}{\partial z^2} - U \frac{\partial T}{\partial z} = \frac{\partial T}{\partial t}$$
 (2)

where U (m s<sup>-1</sup>) is the thermal plume velocity under pure advection and a function of the Darcy velocity *q* (downwards or recharge is positive, m s<sup>-1</sup>), the bulk volumetric heat capacity 1 of the soil-water matrix C (J m<sup>-3</sup> °C<sup>-1</sup>), and the volumetric heat capacity of water  $C_w$  (J m<sup>-3</sup> °C<sup>-2</sup> 2 <sup>1</sup>):

$$3 U = q \frac{C_w}{C} (3)$$

The governing conduction-advection equation (2) employs several limiting assumptions, including spatiotemporally constant groundwater velocity over the entire domain (including depths below the well screen), one dimensional heat transport, homogenous thermal properties, constant pore water phase, and isothermal conditions between the soil grains and pore water. Here we employ a distinct analytical solution to Eq. (2) to simulate the influence of a climate regime shift on GWT. We assume thermally uniform initial conditions and boundary conditions that are subject to a series of *n* step increases in GST:

11 Initial conditions: 
$$T(z,t=0) = T_0$$
 (4)

12 Boundary condition: 
$$T(z=0,t) = T_0 + \sum_{i=1}^{n} \Delta GST_i \times H(t-t_i)$$
 (5)

13 where  $T_0$  is the initial uniform temperature (°C) prior to the beginning of the regime shift, 14  $\Delta GST_i$  is the step increase in GST for regime shift *i* (°C), *H* is the Heaviside step function, and  $t_i$  is the time (s) of the beginning of regime shift *i*. In this formulation,  $\Delta GST_i$  refers to a step 15 16 change in GST in comparison to the GST conditions immediately preceding that change (not 17 necessarily in comparison to initial GST,  $T_o$ ). We ignore short term (e.g. annual) variations in 18 SAT and GST and rather drive the subsurface heat transport models with temperatures 19 averaged for a given climate regime and then instantaneously increased at the beginning of 20 the next climate regime. The thermally uniform initial conditions is a reasonable assumption 21 given that we begin by considering mean annual GWT at or near the water table following a 22 relatively stable climate regime (i.e. prior to 1988, Fig. 2). Moreover, for the wells observed, 23 the vadose zones and near-surface aquifers are too shallow to realize the influence of any 24 geothermal gradient. The isothermal condition assumption previously noted extends to the 25 surface boundary, which implies that the groundwater recharge entering the semi-infinite 26 domain at the ground surface has a temperature equal to the mean annual surface temperature 27 for that climate regime.

The transient conduction-advection heat transport model (TCA model) employed in this study is an analytical solution to the transient conduction-advection Eq. (2) subject to the initial and boundary conditions given in Eqs. (4) and (5). This solution was originally developed by

1 Carslaw and Jaeger (1959) and subsequently employed by Taniguchi et al. (1999b) to study 2 subsurface temperature evolution due to land cover changes in regions of significant 3 groundwater flow. Because we assume initially thermally uniform conditions in the 4 unsaturated zone and shallow groundwater, the resultant solution is simpler than in the 5 original derivations. Unlike the original derivation, it is also presented here with superposition principles applied to allow for a series of regime shifts rather than one event. This 6 7 superposition approach is valid given the linearity of the governing partial. This superposition 8 approach is valid given the linearity of the governing partial differential equation and the 9 boundary and initial conditions (Farlow, 1982):

$$10 T(z,t) = T_0 + \sum_{i=1}^n \frac{\Delta GST_i}{2} \left\{ erfc\left(\frac{z - U(t - t_i)}{2\sqrt{\kappa(t - t_i)}}\right) + \exp\left(\frac{Uz}{\kappa}\right) erfc\left(\frac{z + U(t - t_i)}{2\sqrt{\kappa(t - t_i)}}\right) \right\} \times H(t - t_i) (6)$$

11 where T(z,t) is the spatiotemporally varying subsurface temperature (GWT, °C),  $\kappa$  is the bulk 12 thermal diffusivity of the subsurface (m<sup>2</sup> s<sup>-1</sup>), and erfc is the complementary error function. 13 The Heaviside function indicates that the subsurface thermal influence of each regime shift *i* 14 in the boundary condition is not realized until the time *t* exceeds  $t_i$ . Comparisons between the 15 model results and measured GWT indicate whether these simple analytical solutions are 16 applicable for modeling the influence of observed and projected climate regime shifts in the 17 wells considered in this study.

18 It should be noted that it is the GST rather than the SAT that drives subsurface thermal 19 regimes and thus forms the boundary condition in Eq. (5). However, complete GST time 20 series were not available for the locations considered in this study. Thus, in the present study, the magnitude and timing of the regime shifts in GST are obtained from the local SAT data as 21 22 follows. In all cases, the timing of the GST regime shifts is assumed to correspond to the 23 timing of the local SAT regime shifts for that location obtained from the statistical analysis. 24 This approach is reasonable given the efficient heat transfer that occurs between the lower 25 atmosphere and the ground surface (e.g. Bonan, 2008). The magnitude of the GST regime 26 shift was set to be equal to the magnitude of the SAT. Measured SAT and GST data (not 27 shown) indicate that this approach is valid as the measured magnitude of the climate regime shift in 1988 was 1.1 C in both the SAT and GST data near Cologne. No GST data were 28 29 available for the sites near Karlsruhe (Hardtwald sites, Figure 1 and Table 2), but it is 30 reasonable to assume that the magnitude of the GST changes track the magnitude of the SAT changes like in the case of the sites near Cologne. 31

1 Table 3 presents the assumed subsurface thermal properties for each well for both the 2 saturated and unsaturated zones. A potential range in these values was estimated from 3 literature values taking into account variations in lithology obtained from drilling logs as 4 wells as the variability of water content in the unsaturated zone ranging from dry to saturated 5 conditions (VDI, 2010; Menberg et al., 2013). Because we consider temperature rise within 6 the aquifer, the effective thermal diffusivities utilized in the analytical solution for each of the 7 four locations were obtained from a weighted arithmetic average (weighted by zone 8 thickness) of the saturated and unsaturated zone thermal diffusivities. For example, the 9 unsaturated zone thickness was taken as the depth to the water table, and the saturated zone 10 thickness was taken as the distance from the water table to a point along the well screen. 11 Different points in the well screen were considered, as described in the results, because the 12 vertical well capture zone flow dynamics may be complex depending on the nature of the 13 pumping and heterogeneities in near-well hydraulic properties. This is particularly important for the Hardtwald wells, which have longer well screens than in the case of the Dansweiler or 14 15 Sinthern wells (Fig. 1).

Regional recharge rates were extracted from Table 2 with a potential range to reflect the variability of recharge in this region over the last decades (Erftverband, 1995; W. Deinlein, personal communication, 2013). Similar thermal properties and recharge values are assumed for Hardtwald 1 and Hardtwald 2 based on their similar land cover and subsurface properties and the geographical proximity (about 200 m) between the wells.

21

#### 22 3 Results and Discussion

#### 23 3.1 Statistical analysis

#### 24 3.1.1 Regime shifts in air and groundwater temperatures

At least two climate regime shifts (CRS) could be detected in the later decades of all analyzed time-series (Fig. 2). The time-series of global mean temperature change and zonal mean temperature change in 90-24° N show significant (STARS, p < 0.005) positive shifts in 1977, 1987, 1997 and 1977, 1988 and 1998, respectively (Table 4). The observation of shifts in air temperature change in these years is in good agreement with the observation of decadal shift in atmospheric oscillation indices in the late 1970s, late 1980s and late 1990s (Overland et al., 2008). Only the CRS in the late 1980s and late 1990s can be found from examining the time-

1 series of local SAT data from Cologne and Karlsruhe. However, this is not surprising as 2 previous studies observed that the CRS in the late 1970s was most prominent in the North 3 Pacific region (Hare and Mantua, 2000; Overland et al., 2008), and less accentuated in 4 Europe. The same applies to the CRS in the late 1990s (Overland et al., 2008; Swanson and 5 Tsonis, 2009), which is reflected by the differing RSI values in Fig. 2. While the high RSI for 6 the CRS in 1997 in the global mean temperature change indicates a significant shift, the RSIs 7 for the late 1990s CRS in the German SAT time-series are much lower than the RSIs in the 8 late 1980s. Figura et al. (2011) correlated the abrupt increase in SAT in Switzerland with a 9 change in the Artic Oscillation (AO) that has a strong influence on air temperatures in Europe. 10 However, no such change in the AO Index was found in the late 1990s, suggesting that the 11 CRS in the German SAT is also coupled to the general air temperature increase in the 12 Northern Hemisphere.

13 Two regime shifts were detected in the GWT time-series for the four wells near Cologne and 14 Karlsruhe. These shifts correspond to the CRS in the atmosphere with a certain time lag (Fig. 15 2, Table 4). The regime shifts in GWT time-series are all statistically significant (p < 0.01), 16 except for the second regime shift in the late 1990s in Dansweiler. Two prominent outliers in 17 the third regime of the time-series influence the statistical significance for this shift, while the 18 RSI value is calculated under consideration of the outliers according to the Huber weight 19 parameter. Furthermore, the RSI values in Fig. 2 for the second shifts in Dansweiler and 20 Sinthern are not the final values, as the 10-year cut-off length of the STARS test in the last 21 regime has not yet been reached. In general, the time-series of GWT show a more gradual 22 increase than the SAT time-series. In particular, the GWT in the Sinthern well appears to 23 exhibit a linear trend rather than a step increase, which is subsequently discussed. The GWT 24 time-series partly exhibit considerable inter-annual variability, which appears to be more 25 significant in the Hardtwald wells than in Dansweiler and Sinthern. Potential reasons for these 26 rather large fluctuations in annual GWT are related to the uncertainties associated with the 27 measurements as mentioned in the method section. Other possible factors that influence the 28 inter-annual variability could be the pumping station close to the wells in the Hardtwald, 29 where groundwater is extracted at irregular intervals, and impacts by undetected land use changes in the close surroundings. 30

#### 1 3.1.2 Statistical analysis of time lags and magnitude of temperature change

2 The time lags between the regime shifts in SAT and GWT are listed in Table 4. The regime 3 shifts in global mean temperature change and the zonal mean in 90-24° N occur 4 simultaneously, except for the regime shift in the late 1980s that has a time lag of one year. 5 However, as annual mean values are used for the analysis, the accuracy of the shift detection is limited to  $\pm 2$  year, so that the shifts occur within the uncertainty range. The same applies to 6 7 the first regime shifts in the local SAT time-series in Cologne and Karlsruhe. A possible 8 explanation for this variation in the time lags would be that the late 1980s regime shift was 9 very prominent in the Arctic Oscillation that directly influences the European climate (Figura 10 et al., 2011). The late 1990s regime shift however, was more distinct in the North Pacific 11 region (Overland et al., 2008), thus probably causing the delayed shift in the SAT in 12 Germany. Furthermore, changes in local SAT are also expected to be temporally and spatially 13 highly heterogeneous due to the variability of local climate and the complexity of atmospheric 14 circulation systems (Hansen et al., 2010). The observed CRS in shallow GWT lag behind the 15 abrupt increase in local SAT by 1–4 years (Table 4). In Karlsruhe the time lag is generally 16 small with one year for all shift events, while the time lags in Cologne vary between 2–4 17 years. This difference in the time lags reflects the specific hydrogeological site conditions 18 with the unsaturated zone in Cologne (17m) being significantly thicker than in Karlsruhe (7m, 19 Table 2). The thermal properties in the unsaturated zone differ significantly from those in the 20 saturated zone (Table 3). Thus the propagation of the thermal signal in Cologne is retarded 21 due to the lower thermal diffusivity than in Karlsruhe.

22 The magnitudes of the temperature increase between two subsequent regimes in the zonal 23 mean SAT change are considerably higher than in the global mean SAT change (Fig. 3), 24 because the global temperature data set contains ocean temperature measurements, and ocean 25 temperatures are known to respond more slowly to climatic forcing due to the ocean's large 26 thermal inertia (Hansen et al., 2010). The above mentioned temporal and spatial heterogeneity 27 of the CRS accounts also for the higher increase in SAT in the German time series, which is 28 above the average of the zonal mean in 90-24° N. The significant abrupt increase in the long-29 term mean of SAT with the late 1980s CRS of close to 1°C was likewise observed in Swiss 30 SAT by Figura et al. (2011).

The magnitudes of the increases in the long-term means of GWT are lower and damped by up to 70% compared to the shift magnitude in SAT (Fig. 3). This damping arises from the fact that, due to the thermal inertia of the subsurface, the GWT has not yet fully equilibrated with the GST at the time when the regime shift is observed in the GWT. The magnitudes of the regime shifts in Fig. 3 also reveal that the damping in the time-series from the Hardtwald wells is more pronounced than the damping in Dansweiler and Sinthern. This likely occurs due to depth of groundwater extracted for temperature measurements. For example, the depth to the midpoint of the well screen is higher for the Hardtwald wells than it is for the Dansweiler and Sinthern wells (Table 2). This will be investigated in more detail with the TCA model.

#### 8 3.1.3 Stationarity within the regimes

9 In order to investigate the stationarity within the identified regimes the Mann-Kendall test for 10 the absence of trend was performed for the individual regimes. The resulting p-values are 11 listed in Table 5, in which high p-values close to 1 indicate stationary conditions. No 12 significant trends could be found within the individual regimes of the examined SAT time-13 series, suggesting that the temperature increase in the last decades can be attributed 14 completely to the detected CRS.

15 In the GWT time series, the p-values of the Mann-Kendall test are generally lower (median of 16 0.20, Table 5) than the p-values of the SAT time series (median of 0.53), indicating that the 17 SAT time-series are more stationary than GWT time series. This more gradual increase in 18 GWT reflects the effects of subsurface heat transport dynamics, which convert the sharp surface temperature signal to a more diffuse subsurface temperature signal. A significant trend 19 20 (p < 0.05) with a slope of 0.13°C was detected in the third regime (2001–2006) in the 21 Sinthern well. However, it has to be noted, that this regime is quite short, and thus the trend 22 analysis may be biased by the last two rather high temperature values in 2005 and 2006. In the 23 regimes before 1991, the p-values of the time-series in Dansweiler and Sinthern are 0.05 and 24 0.06, respectively, and thus close to the critical p-value of 0.05 indicating a more gradual 25 increase rather than abrupt changes. For the wells near Karlsruhe no significant trends were 26 found in GWT within the regimes, which indicates that the temperature increase in the time-27 series can be linked to the regime shifts.

To compare the performance of the regime shift analysis to an approach with linear temperature increase, the RMSE values for the statistical step function model and a linear model were calculated for each time series (not shown). This analysis revealed that the RMSE of the step function fit for all GWT and SAT time series is slightly lower than the RMSE of the linear fit, indicating that the step function model performs slightly better. Thus, it can be stated, that, with the exception of the potentially biased last regime in Sinthern, all regimes in the time series of GWT are statistically stationary, which corroborates the feasibility of the
application of regime shift analyses on GWT time-series in addition to the low p-values of
STARS (Table 4).

#### 4 3.2 Analytical model

5 Predicted GWT were obtained from the analytical solution in Eq. (6) (TCA model) with the 6 thermal properties and recharge rates given in Table 3 and the magnitude and timing of the 7 regime shifts given in Table 4. Due to the availability of GWT data in each well, model runs 8 were started in 1970. Figure 4 shows the measured GWT, assigned GST boundary condition, 9 and predicted GWT for each of the four wells. The range of predicted GWT (shaded area, Fig. 10 4) is derived from the range of thermal properties, well screen depths, and recharge values 11 utilized as input parameters to the model (Table 3). In particular, the upper boundaries of the 12 temperature envelopes in Fig. 4 were obtained with Eq. (6) using depths to the tops of each 13 well screen (Table 2), maximum thermal diffusivities (Table 3), and minimum heat capacities 14 (Table 3, see Eq. 3). The lower boundaries of the temperature envelopes were obtained using depths to the bottom of the well screens, minimum thermal diffusivities, and maximum heat 15 capacities. Finally, the best estimates (red series, Fig. 4) for the predicted GWT data for each 16 17 well were obtained using depths equal to the midpoints of the well screens and mean thermal 18 diffusivity and heat capacity. In all cases, the thermal properties were taken as the weighted 19 arithmetic average of the unsaturated and saturated zone thermal properties as described in 20 section 3.2. 21 Note that the GST data simulated for the Hardtwald wells are characterized by a wider range 22 in the predicted temperature envelopes. This range is primarily due to the longer well screens 23 in the case of the Hartwald wells than for the Sinthern and Dansweiler wells (Table 2). 24 Hereafter, when we refer to the TCA model results we ignore the range in the modeling

results and only allude to the specific results obtained using the mean recharge values andmean thermal properties given in Table 3 (i.e. red series, Fig. 4).

The TCA model predicted trends in GWT generally concur with the long term trends exhibited in the measured data for Dansweiler, Hartwald 1, and Hartwald 2; however, the TCA model under-predicts the rise in the Sinthern GWT data. These differences suggest that, although they were assumed to be equal, the magnitude of the GST regime shifts in Sinthern may have been greater than those in Dansweiler, or that due to subsurface heterogeneity, the pumped water may be predominantly sourced from above the Sinthern well screen. Modeling

results (not shown) indicate that for the mean thermal properties and recharge values, the z1 2 value used in Eq. (6) would have to be approximately 9 m for the predicted and observed 3 **GWT** trends to generally concur. Furthermore, the recharge rates in this well may have been 4 greater than the obtained regional recharge rates for this area. Higher recharge would lead to 5 higher heat advection, which would reduce the lag between a GST signal and its realization in 6 the subsurface (see range in predicted Sinthern GWT, Fig. 4). Similarly, higher thermal 7 diffusivity would generally lead to higher GWT in Sinthern, as the Sinthern GWT is still 8 adjusting to the GST regime shifts in the data shown in Fig. 4. Finally, the last few years of 9 measured GWT data are not available for the Sinthern well. GWT data in the nearby Dansweiler well decreased during this period, thus the visual fit between the measured and 10 11 predicted Sinthern GWT would likely improve if these data were available.

12 Our approach does not reproduce inter-annual variability in GWT due to the nature of the 13 GST boundary condition, which is constant for a given climate regime (Fig. 4). Inter-annual 14 variability in GWT could theoretically be reproduced by considering a series of "GST 15 regimes" that only last one year; however, the objective of the present study was to examine 16 the subsurface thermal influence of climate regime shifts not inter-annual SAT or GST 17 variability. Finally, it is interesting to note that the abrupt regime shifts applied in the 18 simplified boundary condition manifest themselves as gradual changes in the predicted GWT 19 evolution in the deeper wells due to the influence of the heat capacity and thermal inertia of 20 the subsurface. These findings demonstrate that observed gradual increases in shallow GWT 21 are not necessarily suggestive of gradual trends in GST. The effect of the abrupt GST regime 22 shifts are discernible in the upper edge of the temperature envelopes in Hardtwald 1 and 2 23 (i.e., the GWT signal is diffused but the impact of the piecewise boundary condition is still 24 discernible). This is due to the fact that these particular results were obtained for depths to the

25 top of the well screens of only 10 m (Table 2).

26 With the exception of the anomalous Sinthern data, the general agreement between the 27 predicted and observed trends in GWT data (Fig. 4) indicates that TCA model can produce 28 first-order approximations of the thermal sensitivity of these shallow aquifers to past or future 29 climate regime shifts by conforming the boundary condition to climate model projections. The 30 boundary condition form employed in this study could be matched to future climate 31 projections by considering a series of short GST regimes, or alternatively, a boundary 32 condition that considers a gradual rise in GST could be employed (e.g., Kurylyk and 33 MacQuarrie, 2014). The form of the analytical solution indicates that if a new long term stable 34 climate is achieved, the GWT will eventually rise an equivalent magnitude to the changes in GST, which are often in turn assumed to follow changes in SAT. In the absence of snowpack
 evolution or land cover changes, any perceived damping in GWT changes in comparison to
 SAT changes based on statistical analyses likely results from the lagged subsurface thermal
 response to the boundary condition.

5 There are limitations associated with employing analytical solutions to simple one-6 dimensional heat transport equations. Several assumptions associated with the conduction-7 advection equation have been previously noted. For example, the governing equation, and 8 hence the analytical solution, assume that the water phase is constant. This assumption is 9 justified in the present study considering that no permafrost thaw (which retards soil warming, 10 Kurylyk et al., 2014b) is occurring. Also, the solution assumes homogeneous thermal properties; however, we considered heat transport in both the saturated and unsaturated zones. 11 12 The thermal diffusivity of the unsaturated zone for the wells considered in the present study 13 were up to 30% lower than the saturated zone thermal diffusivities (Table 3). We considered 14 both zones by employing a weighted arithmetic average (based on zone depths) for the 15 effective thermal diffusivity. Also, recharging water may be at a temperature different than 16 the mean annual surface temperature, particularly if the recharge mechanism is snowmelt. 17 However, snowmelt induced recharge is minimal at the observation areas in this study. In 18 general, due to these limitations, the results presented in Figure 4 should be considered first 19 order approximations of the sensitivity of these shallow aquifer thermal regimes to climate regime shifts. 20

# 3.2.1 Implications for future river temperatures and groundwater-dependent ecosystems

23 Although the wells analysed in this study were not located nearby streams, the timing and 24 magnitude of the measured GWT rise can provide insight into the potential warming of 25 alluvial aquifers feeding ecologically important rivers. Gaining rivers and streams can be 26 strongly influenced by the thermal regimes of surrounding aquifers (e.g. Tague et al., 2007; 27 Kelleher et al., 2012), and this is often particularly true during the dry, warm season when 28 baseflow can provide the majority of the river or stream discharge. Thus, deterministic models 29 of future base-flow dominated rivers temperature should explicitly account for the future 30 thermal regimes of aquifers. Various studies have demonstrated that the thermal regimes of 31 rivers respond to a warming climate, and these studies have generally tacitly ignored GWT 32 rise due to climate change. The results of this study however contradict this assumption by indicating that shallow GWT will respond to SAT warming and that the lag time between 33

SAT warming and the associated increase in shallow GWT can be rather short (< 5 years).</p>
Similar results were obtained by Kurylyk et al. (2014a) who employed a numerical model of
groundwater flow and energy transport driven by downscaled climate scenarios to
demonstrate a potential damping and short lagging of future groundwater discharge
temperature rise in response to air temperature changes.

6 Given the expected warming of rivers across the globe (van Vliet et al., 2011, 2013), 7 researchers have rightfully proposed that coldwater fish will begin to increasingly rely on the 8 occurrence and distribution of suitable coldwater refugia (e.g. Brewer, 2013). Our results 9 suggest that GDEs and groundwater-sourced coldwater refugia will also warm in response to 10 climate change. The magnitude and timing of the GWT warming however will depend on 11 several factors, including the timing and magnitude of the SAT warming, changes in 12 precipitation (and thus recharge and advection), the depth of the groundwater table, and the 13 presence or absence of seasonal snowpack.

14

#### 15 4 Conclusions

16 By applying a sequential t-test analysis for regime shifts (STARS) to time-series of air and 17 groundwater temperatures, we empirically demonstrated that groundwater temperatures in shallow aquifers show temperature changes that correspond to positive shifts in local SAT in 18 19 Germany, which in turn can be traced back to increasing global SAT. This observed direct 20 coupling of atmospheric and groundwater temperature development through the unsaturated 21 zone implies that climate warming does not only affect aquifers recharged by river-bank 22 infiltration (Figura et al., 2011), but also a large number of shallow aquifers on a wide spatial 23 scale. The regime shifts in GWT occur with a certain time lag to the CRS depending mainly 24 on the thermal properties and thickness of the unsaturated zone. The magnitude of these 25 regime shifts in GWT compared to the shifts in SAT is damped by the thermal propagation of 26 the temperature signal into the subsurface, leading to a more gradual increase in GWT. This 27 damping perceived in the statistical analyses is predominantly an artifact of the lagged 28 subsurface thermal response. However, despite the extenuation of the temperature signal in 29 the subsurface and the mixing of shallow groundwater during pumping, significant 30 temperature shifts were found in the extracted groundwater.

31 Process-oriented modeling was also performed with an analytical solution to the conduction-32 advection equation. In three of the four observation wells, the simulated decadal GWT trends 33 generally concurred with the measured decadal GWT trends, although inter-annual variability was not reproduced due to the simplistic nature of the boundary condition. This agreement is
indicative that the solution to the conduction-advection equation can also be applied to obtain
first-order estimates of the influence of future climate change on subsurface thermal regimes.

4 Our results indicate that increasing SATs are prone to have a substantial and swift impact, not 5 only on soil temperatures, but also on large-scale, shallow groundwater temperatures in 6 productive and economically important aquifers. Furthermore, this study has demonstrated 7 that long-term series of pumped groundwater temperature can be analyzed using stochastic 8 approaches to examine the relationship between local and global climate change and local 9 groundwater temperature evolution.

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**Table 1**: Location coordinates of the observation wells with basic information about the
 hydrological setting.

Well	Easting	Northing	Altitude [m asl]	Subsurface material	Distance to nearest stream	
Dansweiler	2553462	5646975	88.2	fine to coarse sand, minor contents of	~ 6 km (Erft)	
Sinthern	2555310	5648820	64.4	gravel and silt <sup>a</sup>	~ 9 km (Erft)	
Hardtwald 1	3457460	5435140	112.4	gravel and coarse sand	~ 6 km (Rhine)	
Hardtwald 2	3457500	5435200	112.1	with layers of fine sand and silt <sup>b</sup>	~ 6 km (Rhine)	

<sup>a</sup> Klostermann, 1992, <sup>b</sup> HGK, 2007.

Well	Depth of water table [m bgl]	Depth of well screens [m bgl]	Average hydraulic conductivity [m s <sup>-1</sup> ]	Groundwater recharge rates [mm yr <sup>-1</sup> ]
Dansweiler	$18 \pm 1$	<mark>22.5 - 22.6</mark>	$1.0-5.0 \times 10^{-4}$ a	$221 \pm 45^{\rm c}$
Sinthern	$16 \pm 1$	<mark>21.3 - 21.4</mark>	$1.0-5.0 \times 10^{-4}$ a	$221 \pm 45^{\rm c}$
Hardtwald 1	$7\pm3$	<mark>10 - 36</mark>	$1.1-1.4 \times 10^{-3}$ b	$228\pm45^d$
Hardtwald 2	$7\pm3$	<mark>10.5 - 38.5</mark>	$1.1-1.4 \times 10^{-3}$ b	$228\pm45^d$
	1			1

1 **Table 2**: Hydrogeological data of the four observation wells.

2 <sup>a</sup> Balke, 1973 <sup>b</sup> Wirsing and Luz, 2008 <sup>c</sup> Erftverband, 1995. <sup>d</sup> Deinlein, personal

3 communication, 2013.

Location	Thermal conductivity (W m <sup>-1</sup> K <sup>-1</sup> ) (min, mean, max)	Heat capacity (×10 <sup>6</sup> J m <sup>-3</sup> °C <sup>-1</sup> ) (min, mean, max)	Thermal diffusivity (×10 <sup>-7</sup> m <sup>2</sup> s <sup>-1</sup> ) (min, mean, max)	Recharge (mm yr <sup>-1</sup> ) (min, mean, max)		
		Unsaturated zone				
Dansweiler	<mark>0.4, 1.2, 2.4</mark>	1.3, 2.0, 2.8	3.1, 5.8, 8.4	176, 221, 265		
Sinthern	<mark>0.4, 1.1, 2.4</mark>	1.2, 2.0, 2.8	3.3, 5.6, 7.9	176, 221, 265		
Hardtwald	<mark>0.8, 1.5, 2.4</mark>	1.5, 2.0, 2.5	5.1, 7.4, 9.6	182, 228, 274		
Saturated zone						
<b>Dansweiler</b>	1.5, 2.2, 3.1	2.4, 2.6, 2.8	<mark>6.2, 8.4, 10.9</mark>	<mark>176, 221, 265</mark>		
Sinthern	1.4, 2.1, 3.0	2.3, 2.6, 2.8	<mark>6.1, 8.2, 10.7</mark>	<mark>176, 221, 265</mark>		
Hardtwald	<mark>2.4, 2.9, 3.5</mark>	<mark>2.5, 2.7, 2.9</mark>	<mark>9.6, 10.8, 12.0</mark>	<mark>182, 228, 274</mark>		

**Table 3**: Range of thermal properties and recharge values utilized in analytical solutions.

**Table 4**: Time lags and final p-values of the observed regime shifts in air and groundwater temperature. The specific years indicate the first year of the new regime. Time lags are defined as the period between the occurrence of a regime shift in local SAT and the corresponding successive shift in GWT.

Time-series	Regime shift late 1970s Regime shift late 1980s		980s	Regime shift late 1990s				
	Year	p-value	Year	Time lag to SAT (years)	p-value	Year	Time lag to SAT (years)	p-value
Global mean $\Delta T$	1977	$1.8 \times 10^{-5}$	1987	_	$4.4 \times 10^{-4}$	1997	_	$1.8 \times 10^{-7}$
Zonal mean $\Delta T$	1977	$9.1 \times 10^{-4}$	1988	_	$4.6 \times 10^{-3}$	1997	_	$5.7 \times 10^{-5}$
SAT Cologne	_	-	1988	_	$6.7 \times 10^{-4}$	1999	_	$5.5 \times 10^{-3}$
GWT Dansweiler	_	_	1991	+ 3 <mark>(±2)</mark>	1.1 × 10 <sup>-9</sup>	2003	+ 4 <mark>(±2)</mark>	$1.0 \times 10^{-1}$
GWT Sinthern	-	_	1991	+ 3 <mark>(±2)</mark>	9.3 × 10 <sup>-5</sup>	2001	+ 2 <mark>(±2)</mark>	$4.3 \times 10^{-4}$
SAT Karlsruhe	_	_	1988	_	$3.2 \times 10^{-5}$	1999	_	$3.8 \times 10^{-2}$
GWT Hardtwald 1	_	-	1989	+ 1 <mark>(±2)</mark>	$3.6 \times 10^{-4}$	2000	+ 1 <mark>(±2)</mark>	$1.3 \times 10^{-4}$
GWT Hardtwald 2	_	_	1989	+ 1 <mark>(±2)</mark>	$9.2 \times 10^{-4}$	2000	+ 1 <mark>(±2)</mark>	$1.0 \times 10^{-2}$

1 **Table 5**: Results (p-values) of the Mann-Kendall test for the absence of a trend for all regimes

Time-series Regime I Regime II Regime III Regime IV Period p-value Period p-value Period Period p-value p-value Global mean  $\Delta T$ 1950-1976 0.62 1977-1986 1.00 1987-1996 0.72 1997-2012 0.26 Zonal mean  $\Delta T$ 1950-1976 0.30 1977-1986 0.64 1987-1996 0.47 1997-2012 0.72 SAT Cologne 1962-1987 0.40 1988-1998 0.89 1999-2011 0.46 \_ \_ SAT Karlsruhe 1962-1987 0.43 1988-1998 0.31 1999-2009 0.76 \_ \_ GWT Dansweiler 0.05 1.00 1970-1990 1991-2002 0.78 2003-2010 \_ \_ GWT Sinthern 1974-1990 0.06 1991-2000 0.18 2001-2006 0.01 \_ \_ GWT Hardtwald 1 1968-1988 0.22 1989-1999 0.14 2000-2011 0.13 \_ \_

1968-1988

0.43

1989-1999

0.59

2000-2010

0.31

2 in SAT and GWT time-series.

3

GWT Hardtwald 2

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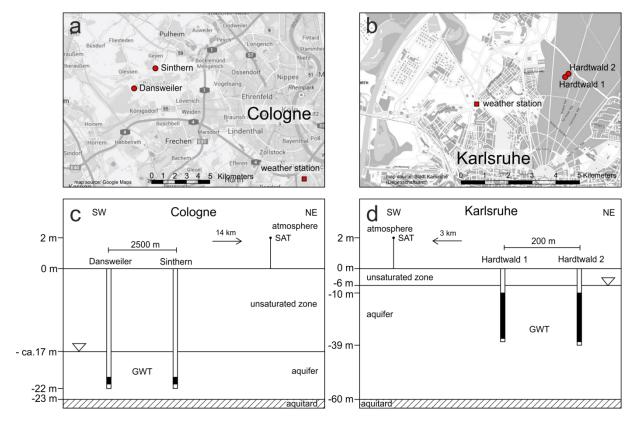


Figure 1: a, b: Locations of the four observation wells and two weather stations used in the present study. c, d: Conceptual sketch of the well settings in the aquifers close to Cologne (left) and Karlsruhe (right). The black zones in the wells indicate the location of the filter screens. Please note the different scales in the subsurface.

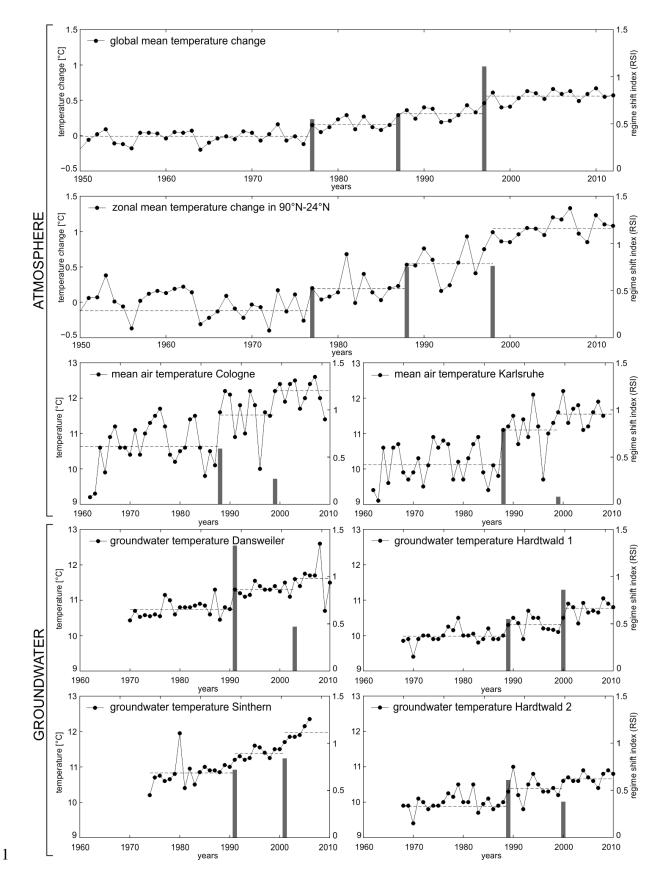
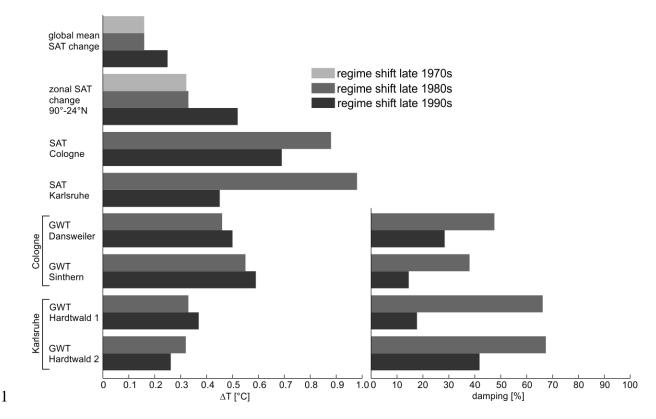
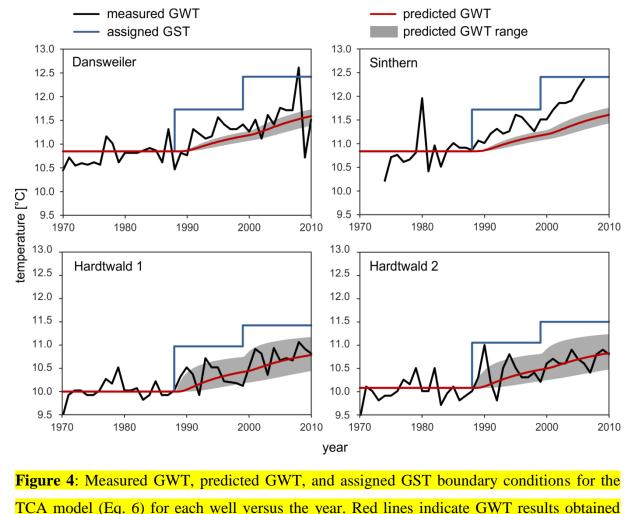


Figure 2: Time series of temperature data with long-term means (dashed lines) and observed
regime shift with RSI values.



**Figure 3**: Left: Magnitude of regime shifts in time-series of atmospheric and groundwater temperatures. Right: relative damping of the regime shift magnitude in groundwater temperatures compared to regional atmospheric regime shift, calculated as 100 minus the ratio of  $\Delta T$  in GWT to  $\Delta T$  in SAT in percent.



TCA model (Eq. 6) for each well versus the year. Red lines indicate GWT results obtained using the mean well screen depth, thermal properties and recharge rates presented in Table 2 and 3. The GWT data at the lower and higher ends of the temperature envelope are obtained

6 with the ranges in well screen depth, thermal diffusivity, heat capacity, and recharge rates

7 (Table 2 and 3).

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