# A hydrogeologic framework for characterizing summer streamflow sensitivity to climate warming in the Pacific Northwest, USA

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# 15 Abstract

- 16 Summer streamflows in the Pacific Northwest are largely derived from melting snow and
- 17 groundwater discharge. As the climate warms, diminishing snowpack and earlier snowmelt will
- 18 cause reductions in summer streamflow. Most regional scale assessments of climate change
- 19 impacts on streamflow use downscaled temperature and precipitation projections from General
- 20 Circulation Models (GCMs) coupled with large scale hydrologic models. Here we develop and
- 21 apply an analytical hydrogeologic framework for characterizing summer streamflow sensitivity
- to a change in the timing and magnitude of recharge in a spatially-explicit fashion. In particular, we incorporate the role of deep groundwater, which large scale hydrologic models generally fail
- 25 we incorporate the role of deep groundwater, which large scale hydrologic models generally large scale hydrologic models
- 25 sensitivities against two empirical measures of sensitivity derived using historical observations
- of temperature, precipitation, and streamflow from 217 watersheds. In general, empirically and
- analytically derived streamflow sensitivity values correspond. Although the selected watersheds
- 28 cover a range of hydrologic regimes (e.g. rain-dominated, mixture of rain and snow, and snow-
- 29 dominated), sensitivity validation was primarily driven by the snow dominated watersheds,
- 30 which are subjected to a wider range of change in recharge timing and magnitude as a result of
- 31 increased temperature. Overall, two patterns emerge from this analysis: first, areas with high
- 32 streamflow sensitivity also have higher summer streamflows as compared to low sensitivity
- 33 areas. Second, the level of sensitivity and spatial extent of highly sensitive areas diminishes over
- 34 time as the summer progresses. Results of this analysis point to a robust, practical, and scalable
- 35 approach that can help assess risk at the landscape scale, complement the downscaling approach,
- 36 be applied to any climate scenario of interest, and provide a framework to assist land and water
- 37 managers in adapting to an uncertain and potentially challenging future.
- 38 **Keywords:** Climate Change; Streamflow; Groundwater Processes; Pacific Northwest; Snowpack
- 39

#### Introduction 40 1

41 A fundamental challenge facing scientists and resource managers alike is grounding predictions

42 of climate change and its consequences in specific landscapes and at scales useful for resource

43 planning. This challenge is particularly acute for predictions of water abundance and scarcity, as

44 both the climatic and landscape controls on water availability are typically at a finer scale than

45 representations in the current class of climate and hydrologic models. Resource managers are

46 tasked to plan for an uncertain future by assessing vulnerabilities and sensitivities of different 47 landscapes to change. What strategy should they follow?

48 One way to assess streamflow vulnerability to changing climate is via a "top-down" approach,

49 which generally involves coupling General Circulation Models (GCMs) with hydrologic models

50 that predict regional streamflow (e.g. Nash and Gleick, 1991; Hamlet and Lettenmaier, 1999;

Nijssen et al., 2001; Christensen et al., 2004; Jha et al., 2004; Milly et al. 2005; Jha et al., 2006; 51

52 Tohver et al., 2014). This approach has many strengths, including simulation of hydrologic

53 processes under multiple climatic scenarios and across large spatial and temporal scales, and 54

forecasting hydrographs. But there are also limitations. GCMs coarsely parameterize terrain and

55 fail to incorporate important climatic processes, such as the El Niño/Southern Oscillation and 56 Pacific Decadal Oscillation, in predictions. Higher-resolution Regional Circulation Models

57 (RCMs) that include better topographic representation are improving this situation (Leung and

58 Qian, 2003; Maraun et al., 2010), but accurate forecasts of future climate by this method are still

59 several years off. Moreover, large scale hydrologic models commonly used in the Pacific

60 Northwest (PNW) for hydrologic forecasting (e.g. Variable Infiltration Capacity (VIC) (Liang et

al., 1994), do not explicitly simulate streamflow contributions from deep aquifers (Wenger et al., 61

62 2010). However, the issue of deep-groundwater representation is not limited to VIC alone.

Explicit representation of deep-groundwater is approximated by extended soil profiles in many 63

64 large scale land surface models (Vano et al. 2012).

65 Several recent studies have demonstrated the important role of geologically-controlled deep

groundwater in mediating streamflow response to climatic varial y and warming in the PNW 66

(Jefferson et al., 2008; Tague et al., 2008; Tague and Grant 2009; Wayer and Naman, 2011; Tague et al. 2013, Taibel et al. 2013 istorical streamflow analysis across the western United 67

68

States underscores the importance of both climatic and geologic controls on streamflow response 69

70 to climate change (Safeeq et al., 2013). Accordingly, approaches that capture both climate and

71 geologic controls are needed to identify landscape level streamflow vulnerability to changing

72 climate. This is particularly critical in the PNW, where local climate, topography and geology

73 combine to dictate hydrologic regimes.

74 In the PNW, seasonal asynchrony between winter and spring precipitation and runoff and

75 summer water demand makes summer water supplies scarce and vulnerable (Jaeger et al., 2013).

76 Climate change will intensify this water scarcity by reducing summer streamflows (Safeeq et al.,

77 2013). Declines have the potential to be acute, due to a combination of observed and predicted

78 shifts in precipitation phase from snow to rain, earlier onset and faster rates of snowmelt, and

increased summer evapetranspiration (Mote et al. 2005; Stewart et al. 2005; Nolin and Daly 79 2006; Das et al. 2011) Creasing inter-annual variability and changes in extreme flows

80

81 compound seasonal changes. Luce and Holden (2009), for example, documented widespread

declines in the lowest annual flows occurring from 1948-2009; these flows are critical for 82

- 83 consumptive water use, hydropower, and aquatic biota, including the region's prized and
- 84 declining salmon populations.

85 We present a complementary "bottom-up" approach, focusing on the PNW. Our methodology rests on the analytical framework of Tague and Grant (2009) that characterizes relative summer 86 87 streamflow sensitivity. Using a rigorous definition of summer streamflow sensitivity as function 88 of the first derivatives of the relationship between discharge and either the timing or magnitude 89 of recharge, we develop a spatial analysis that characterizes summer streamflow sensitivity at a 90 landscape scale. Relationships between observed climate and streamflows at specific gaged 91 locations in diverse hydrogeologic areas are used to extend the sensitivity relationships to 92 ungaged areas and map sensitivity for the entire study region. The uniqueness and strength of 93 this approach is that it is independent of climate change scenarios. Sensitivity is mapped as an 94 intrinsic property of the landscape as interpreted using the average historical climate and other 95 landscape properties, rather than as a response to future climate change alone.

- 96 This sensitivity assessment can then be integrated with climate scenario data to produce regional-
- scale summer streamflow vulnerability maps. We present an example of how this type of spatial
- analysis might be applied to National Forest lands in the Pacific Northwest. Land and water
- managers can tune this type of assessment to their specific needs in order to identify and
- 100 prioritize actions to adapt to uncertain and potentially challenging future conditions.

# 101 2 Study Location

102 This analysis encompasses Oregon (OR) and Washington (WA) in the northwestern United 103 States (US) with a population of nearly 10.5 million (US Census Bureau, 2010). The elevation 104 varies from sea level to over 4300 m at Mount Rainier, with the north-south trending mountains 105 of the Cascade Range dividing the western and eastern portions of the states (Fig. 1a). The study 106 region is devided into thirteen physiographic sections (Fig. 1b) based on common topography, 107 rock type Ducture, and geomorphic history (Fenneman and Johnson, 1946). The maritime 108 climate is highly influenced by the Pacific Ocean and varies with elevation and distance from the 109 coast (Fig. 1b, 1c). Long-term average precipitation ranges from 150 mm in the Columbia Valley 110 on the eastside of the Cascades to ~7000 mm in the Olympic Mountains (Daly et al., 2008, Fig. 111 1c). Both OR and WA have extreme wet (winter) and dry (summer) seasons, but the seasonal 112 distribution of precipitation varies between the region's eastern and western halves. While most 113 of the annual precipitation occurs during fall and winter, more frequent summer thunderstorms in 114 the eastern half result in a slightly higher summer precipitation (Mass, 2008). An altitudinal 115 temperature gradient, varying by latitude (Fig. 1c), controls the phase of precipitation with winter rain (R) in lower elevations, seasonal snow at higher elevations (SSZ), and transient snow at 116 intermediate elevations (TSZ) (Jefferson, 2011). The majority of the winter precipitation occurs 117 118 as rain in the Coast Range and as snow along the Cascades and other ranges (e.g., Wallowa and 119 Blue Mountains).

- 120 This strong climatic gradient and underlying geology that mediate landscape drainage efficiency
- 121 (Tague and Grant, 2009) are predominant controls on the hydrologic regime of this region
- 122 (Wigington et al., 2013). For example, streamflow recedes quickly in watersheds with low spring
- 123 snowmelt and minimal groundwater storage (e.g., the OR Coast Range and western side of the
- 124 Middle Cascade Mountains (e.g. Western Cascades)), resulting in higher winter peaks and

- prolonged summer low flows. In contrast, streams in groundwater-dominated regions such as the 125
- 126 volcanic dominated central and eastern portion of the Middle Cascade Mountains (High
- 127 Cascades) show a much more uniform flow regime, with higher summer baseflows, slower
- 128 recession rates, and significantly lower winter peak flows (Grant, 1997; Tague and Grant, 2004).

#### **Conceptual Model of Streamflow Sensitivity** 129 3

130 Our conceptual model is built around the assumption that the discharge from a watershed

131 depends solely on the amount of aquifer storage. Based on conservation of mass, the water

132 balance within the watershed is given by:

133 
$$\frac{dS}{dt} = I_{\rm R} + I_{\rm M} + GW_{\rm in} - ET - Q - GW_{\rm out}$$
(1)

- 134 where, S is water stored in watershed (mm),  $I_{\rm R}$  is rainfall (mm/day),  $I_{\rm M}$  is snowmelt (mm/day),
- 135 ET is evapotranspiration (mm/day), Q is discharge (mm/day), and  $GW_{in}$  and  $GW_{out}$  are the

groundwater (mm/d inflow and outflow, respectivily. Change in storage (dS/dt) is positive when  $I_R+I_M+GW_{in}-GW_{out}-ET > Q$  and negative whenever  $Q > I_R+I_M+GW_{in}-GW_{out}-ET$ . 136

137

138 Maximum aquifer storage (dS/dt = 0) occurs when  $Q = I_R + I_M + GW_{in} - GW_{out} - ET$ , which should

139 coincide with peak discharge (dQ/dt = 0) based on the storage-discharge relationship. In reality,

- 140 since peak discharge always lags the peak recharge (Kirchner, 2009), the peak of the hydrograph
- will occur when  $I_{\rm R}+I_{\rm M}+GW_{\rm in}-GW_{\rm out}-ET < Q$  and thus dS/dt < 0. However, we simplify and assume that at the peak of the hydrograph  $dS/dt \approx 0$ . However,  $W = I_{\rm R}+I_{\rm M}+GW_{\rm in}-GW_{\rm out}-ET$  and 141
- 142
- 143 equation (1) can be simplified to:

144 
$$Q_{\rm o} = I_R + I_{\rm M} + GW_{\rm in} - ET - GW_{\rm out}$$
(2)

145 where,  $Q_0$  is peak discharge (mm).

146 The recession curve of the hydrograph, or decay of  $Q_0$  over time, can be expressed by:

 $O(t) = O_0 e^{-kt}$ 147 (3)

where, Q(t) is strengthered by at time t (in days) from the beginning of the recession period,  $Q_0$  is streamflow at t=0, and k is a recession constant (Tallaksen, 1995). As the climate warms, any 148

149

150 change in the timing and magnitude of  $Q_0$  will affect Q(t). Additionally, the recession time t

depends on the day of the peak discharge  $t_p$  and the day  $t_d$  on which Q is quantified. Hence a 151

152 more general form of equation (3) can be written as:

153 
$$Q(\Delta Q_{\rm o}, t_{\rm s}) = (Q_{\rm o} + \Delta Q_{\rm o})e^{-k(t_{\rm d} - t_{\rm p} - t_{\rm s})}$$
(4)

154 where,  $\Delta Q_0$  and  $t_s$  are change in peak discharge rate and shift in time driven by climate change,

respectively. An earlier shift in peak discharge will result in a negative  $t_s$  and hence an overall 155

156 longer recession period between  $t_p$  and the day  $t_d$ . Following Tague and Grant (2009), streamflow

157 sensitivities to a shift in magnitude ( $\Delta Q_0$ ) and timing ( $t_s$ ) can be described using a first order

158 derivative of Eq. (4) with respect to peak discharge  $Q_0$  and time t:

159 
$$\frac{dQ(t)}{dQ_0} = \varepsilon_{Q_0} = e^{-kt}$$
(5)

160 
$$\frac{dQ(t)}{dt} = -\varepsilon_{t} = -kQ_{0}e^{-kt}$$
(6)

161 where, terms  $\varepsilon_{Q_0}$  and  $\varepsilon_t$  represent the metrics used in this study to describe the sensitivity of 162 discharge to changes in magnitude of peak discharge and timing, respectively. The negative sign 163 in Eq. (6) indicates that Q(t) decreases with increasing t.

164 The response surfaces of  $\varepsilon_{Qo}$  and  $\varepsilon_t$  (Fig. 2) illustrate the interaction between t and k and how the 165 two sensitivities are expressed over the course of the streamflow recession. In groundwater 166 dominated systems with low values of k (e.g. High Cascades),  $\varepsilon_{00}$  starts higher at the beginning 167 of the recession and shows a very subtle decline with increasing t (Fig. 2a). In contrast, in the 168 runoff dominated systems with high k (e.g. Western Cascades),  $\varepsilon_{Oo}$  is very comparable to low k 169 systems but diminishes very rapidly with increasing t. In the context of climate change, this 170 suggests that while changes in summer streamflow in groundwater and runoff dominated systems 171 with similar  $t_p$  and  $Q_0$  may be comparable in the beginning of recession, they vary drastically as the recession progresses. The interaction between t and k for  $\varepsilon_t$  is more complex as compared to 172 173  $\varepsilon_{O0}$  (Fig. 2b). In groundwater dominated systems with low k,  $\varepsilon_t$  starts low and shows a very subtle 174 decline with increasing t. In runoff dominated systems with high k,  $\varepsilon_t$  starts high but diminishes 175 very quickly with increasing t. The very subtle and rapid decline of sensitivities ( $\varepsilon_{Q_0}$  and  $\varepsilon_t$ ) between groundwater and runoff dominated systems expressed by the conceptual model are 176 177 consistent with those expressed in streamflow trends in the empirical record. In groundwater

178 dominated systems streamflow response to decreasing snowpack is mediated and streamflow

179 continues to decline throughout the summer (Mayer and Naman, 2011; Safeeq et al., 2013).

180 Although our conceptual model of streamflow sensitivity is consistent with trends shown in the 181 empirical streamflow record, we recognize that the complexity of the real world is not captured 182 by this simple formulation. Hence, several caveats and assumptions must be emphasized when 183 applying this model. While there is a physical basis for the conceptual model, it is not physically-184 based in a rigorous sense and involves several simplifying assumptions. Watersheds do not typically behave like linear reservoirs; filling (recharge) and emptying (discharge) often occur 185 simultaneously, even during recession periods. Also groundwater exchange ( $GW_{in}$  and  $GW_{out}$ ) 186 187 between watersheds dictates the streamflow regime in some parts of the landscape (Jefferson et 188 al., 2006; Wigington et al., 2013; Patil et al., 2013). Physically accounting for groundwater gain 189 and loss in this conceptual sensitivity framework with little or no data to draw on undermines the 190 simplicity of this approach but introduces some error in some landscapes, notably those with 191 large groundwater systems in young volcanic terranes. Additionally, this sensitivity approach assumes that  $Q_0$  and t are independent and any change in  $Q_0$  will not affect t. This assumption 192 193 may hold true in rain dominated systems but could be problematic in snowmelt driven 194 environments. However, this is a much less an issue in our study domain, where most of the 195 snowmelt occurs during spring and summer recession characteristics depend primarily on peak 196 initial recharge ( $Q_0$ ). Additionally, approximating the  $I_R$  or  $I_M$  for  $Q_0$  and  $t_R$  or  $t_M$  for  $t_p$ , even when  $ET \approx 0$  and  $GW_{in} = GW_{out}$  (Eq. 2) could result in biased estimates of sensitivity described in 197 198 equations 5 and 6. In places where the reservoir is large,  $Q_o$  gets delayed following recharge  $I_{\rm R}$  or 199  $I_{\rm M}$ , and  $t_{\rm R}$  or  $t_{\rm M}$  may not represent  $t_{\rm p}$ . For example, in watersheds within the seasonal snow zone 200 (see Section 4.2 for the definition),  $t_p$  is on average delayed by six days from  $t_M$  (Fig. 3). In rain dominated watersheds, the time lag between  $t_{\rm R}$  and  $t_{\rm p}$  is on average nine days for the first peak as 201

- 202 streamflow recovers from the long summer recession. This time lag between rainfall and
- streamflow decreases to one or two days for the subsequent peaks (Fig. 3a, 3c). Although the
- time lag between peak recharge and streamflow may vary significantly depending on data (e.g.
- observed vs. simulated  $I_{\rm M}$  and  $t_{\rm M}$ ) and method (e.g. isotopic techniques vs. simple rechargerunoff relationship) used to characterize the relationship, our goal here is to highlight the issue
- 206 runoff relationship) used to characterize the relationship, our goal here is to highlight the issu 207 and how it might affect the sensitivity expressed using the conceptual model. Finally, the
- 208 watershed recession constant, *k*, may vary year-to-year depending on evapotranspiration losses
- and other forms of water withdrawals (Thomas et al., 2013), which are not explicitly considered
- in the model. Given these limitations, our intent is not to precisely predict the change in actual
- flow regimes, but to assess the comparative sensitivity of those flow regimes across the
- 212 landscape.

# 213 **4** Parameterizing the Model

# 214 **4.1** Recession Constant (*k*)

215 Daily average streamflow data for a set of 227 (111 in OR and 116 in WA) unregulated

- 216 watersheds were obtained from the United States Geologic Survey (USGS)
- 217 (<u>http://waterdata.usgs.gov/or/nwis/sw;</u> data accessed on October 31, 2011) and the Oregon
- 218 Department of Water Resources (<u>http://apps2.wrd.state.or.us/apps/sw/hydro\_report/;</u> data
- accessed on November 1, 2011) (Fig. 1a). Watershed drainage areas range from  $4 \sim 21000 \text{ km}^2$
- 220 with an average of approximately  $950 \text{ km}^2$ . These watersheds were classified as part of the
- USGS Hydroclimatic Climatic Data Network (HCPA) (Slack et al. 1993), or were part of the
- reference gage network developed by Falcone et al (10) based on Geospatial Attributes of
- Gages for Evaluating Streamflow (GAGES). Both the HCDN and GAGES datasets have been
   screened to ensure that they are minimally affected by upstream anthropogenic activities such as
- irrigation diversions, road networks, and reservoir operations. To minimize the effect of climate
- bias (i.e., wet vs. dry years) on estimates of k, all selected watersheds were further screened to
- have a minimum of 20 years of complete daily streamflow data within the water years 1950–
- 228 2010. Since the majority of the streamflow gages were located in the western half of the study
- area (Fig. 1a), we added 12 additional non-reference, non-HCDN gages to the eastern side to
- ensure a more uniform population of basins. These 12 gages were selected after visual
- examination of the historic streamflow data records for homogeneity, and view of site
   information, including hydrologic disturbance index (Falcone et al. 2010) ensure there were
- no major diversions or impoundments. The selected 227 watersheds were delineated using a
- 234 30 m resolution digital elevation model (DEM).

# 235 **4.1.1 Recession analysis**

236 Following Vogel and Kroll (1992), an automated recession algorithm was employed to search 237 the historical record of daily streamflows for all recession segments lasting 10 days or longer. 238 Peak and end of recession segments were defined as when the 3-day moving average streamflow 239 began to recede and rise, respectively. The beginning of recession (inflection point) was 240 identified following the method of Arnold et al. (1995). To minimize the effect of snowmelt on k, and thereby derive estimates of k that were intrinsic to the geology of the watershed, we 241 excluded recession segments that fell between the onset of snowmelt-derived streamflow pulse 242 and August 15<sup>th</sup>. We used August 15<sup>th</sup> as a cutoff for melt-out date determined based on 243 snowpack data from snowpack telemetry (SNOTEL) sites in OR and WA. The date of snowmelt 244

- 245 pulse onset was determined following the method of Cayan et al. (2001) and mean flow for
- calendar days 9–248 after Stewart et al. (2005). Similar to Vogel and Kroll (1992), spurious
- observations were avoided by only accepting pairs of receding streamflow  $(Q_t, Q_{t-1})$  when  $Q_t >$
- 248 0.7 $Q_{t-1}$ . The recession constant *k* was calculated as:

249 
$$k = exp\left[\frac{1}{m}\sum_{t=1}^{m} \{ln(Q_{t-1} - Q_t) - ln[0.5(Q_t + Q_{t+1})]\}\right]$$
(7)

250 where *m* is the total number of pairs of consecutive daily streamflow,  $Q_t$  and  $Q_{t-1}$ , at each site.

Among the 227 watersheds, the values of m varied between 24 and ~8000 (average ~3000).

252 Importance of k in characterizing the low flow behavior of streams has long been recognized but

there is a considerable debate on appropriate techniques for recession analysis (Tallaksen, 1995;
Vogel and Kroll, 1996; Smakhtin, 2001; Sujono et al., 2004). Estimates of *k* are comparable

using some techniques (Sujono et al., 2004) but not others (Vogel and Kroll, 1996). To ensure

256 that our k estimates for the candidate sites are robust and were not influenced by our choice of

the technique for recession analysis, we recalculated k from the master recession curve generated

for each site using the matching strip method (Posavec et al., 2006). We also calculated average

- 259 k from semi-logarithmic plots of individual recession segments lasting 10 days or longer during
- 260 non-snowmelt period as described earlier. The recession constant derived from the three methods
- showed a strong correlation (R > 0.77, p < 0.001). We used the recession constant k from Eq. (7)
- in the sensitivity analysis.

#### 263 4.1.2 Regression model development

- 264 We established a regression model for transferring k to the ungaged landscape. Average 265 watershed relief and slope were estimated from a 30-m DEM using the ArcGIS spatial analyst. 266 Soil permeability ( $K_{soil}$ , cm/hr) values for the top 10 cm soil depth were obtained from the 267 STATSGO database (Miller and White, 1998; available online: http://www.cei.psu.edu). A digital 1:500,000 scale ArcGIS coverage of aquifer perpublicity ( $K_{aqu}$ , m/day) derived from existing aquifer unit maps for eastern OR (Gonthier 1965) and western OR (McFarland 1983) 268 269 was obtained from Wigington et al. (2013). Because this  $K_{aqu}$  dataset was not available for WA, 270 271 we developed a geologic index (ranging from 1 to 9 with higher values corresponding to higher 272 permeability) for OR and WA based on a 1:500,000-scale aquifer porosity and rock unit map 273 (Huntting et al., 1961; Walker et al. 2003). A regression between drainage densities estimated 274 using the National Hydrography Dataset (NHD) flowlines and the area-weighted geologic index 275 was used to assign the  $K_{aqu}$  values to each geologic index in WA. Area-weighted values of
- average relief, slope,  $K_{soil}$ , and  $K_{aqu}$  were determined and log-transformed prior to the regression analysis.
- Starting with the entire list of parameters (i.e., relief, slope,  $K_{soil}$ , and  $K_{aqu}$ ) from 227 watersheds,
- 279 we developed a multiple linear regression model. The established regression model was then

used to generalize k values across the region (wall-to-wall) at the landscape scale. The prediction

281 for k was made at the 5<sup>th</sup> field Hydrologic Unit Code (HUC) scale of the national Watershed

Boundary Dataset; 5<sup>th</sup> field HUC units are termed watersheds and typically range in area from

- 160 to 1010 km<sup>2</sup>. Outliers in the model parameters were identified based on Cook's distance
- 284 (Cook, 2000) and subsequently excluded from the regression analysis using the recommended
- threshold of  $4/n_s$ - $n_i$ -1, where  $n_s$  is the sample size and  $n_i$  is the number of independent variables. Non-significant ( $p \ge 0.15$ ) model parameters were then eliminated via backward stepwise
- regression, until all remaining parameters were significant and the predictive power of the

equation (based on adjusted  $R^2$ ) began to decline. This regression equation was developed 288

individually for OR and WA as well as the entire domain with both states combined (Table 1). 289

290 The correlation matrix for the watershed parameters used for predicting k showed strong cross-

- 291 correlation (as high as 0.72), particularly among  $K_{aqu}$ , Slope, and  $K_{soil}$  in OR. However, since
- 292 these variables are used to predict k and not to characterize their relationship with each other, the

293 cross-correlation and sign of the regression coefficients can be ignored.

The regression coefficients  $(R^2)$  for the three geographic domains (OR: Model 1a, WA: Model 294 295 1b, or OR and WA combined: Model 2) ranges between 0.44 for WA and 0.59 for OR (Table 1), 296 which are within the range of values reported elsewhere with a different set of independent variables (e.g. Thomas et al., 2013). The overall standard error of the estimate is low for the 297 298 fitted regressions, and modeled k is only slightly biased, over-predicting small values and under-299 predicting higher values of k (Fig. 4). There is no clear spatial pattern of systematic bias based on residuals, however (Fig. 4d). The predicted k map using Model 2 at the 5<sup>th</sup> field HUC scale 300 broadly distinguishes among different hydrologic regions with different drainage characteristics, 301 302 including fast-draining regions such as the OR Coast Range, parts of the Columbia River Basin 303 in OR and WA and the Owyhee uplands and much of the Ochoco Mountains in OR. Slower-304 draining regions include the eastern (High) Cascades in OR and WA and the Okanogan 305 highlands in WA (Fig. 5a), but the Okanogan k values are at the high end of the range for this bin

306 (0.02 - 0.04).

#### 307 4.2 Historical Recharge Magnitude and Timing $(Q_0, t_p)$

308 We approximated the peak discharge ( $Q_0$ ) in Eq. (2) by peak recharge ( $I_R$  or  $I_M$  depending on the 309 dominant recharge type), ignoring the groundwater exchange between HUC units ( $GW_{in} = GW_{out}$  $\approx$  0) and with  $ET \approx$  0 at the start of the recession. In the PNW, the peak recharge pulse during the 310 311 water year can be either rain or snowmelt, depending on geographic location. We assigned the 312 primary type of peak recharge pulse (rain or snowmelt) based on a temperature threshold and 313 snow to precipitation proportion. Following Jefferson (2011) and Nolin and Daly (2006), a winter temperature-based threshold of 0°C was chosen to approximate the boundary between the 314 315 transitional snow zone (TSZ) and rain zone, while  $-2^{\circ}$ C was chosen to approximate the 316 boundary between the seasonal snow zone (SSZ) and TSZ. Following Knowles et al. (2006), we 317 define winter as beginning in November, rather than January, and only use wet-day minimum 318 temperatures, which showed a strong correlation with the snow to precipitation ratio. We defined 319 a wet-day as a day when daily precipitation is greater than zero. In addition, we used the 320 temperature threshold-based empirical relationship of Dai (2008) and the United States Army 321 Corps of Engineers (USACE, 1956) to calculate the median value (water year 1916-2006) of the 322 fraction of annual precipitation falling as snow. We classified the peak recharge pulse as rain for 323 the entire area within the identified rain zone and the portion of area in TSZ with a median snow 324 fraction <10%; the remaining TSZ and entire SSZ were classified as snowmelt recharge pulse 325 (Fig. 5b).

326 A lack of spatially-distributed precipitation gauge and snowpack telemetry sites, particularly at

327 higher altitudes, precluded using empirical data to calculate recharge magnitude and timing.

Instead, we calculated the peak recharge magnitude ( $I_R$  and  $I_M$ ) and timing ( $t_R$  and  $t_M$ ) using 328

spatially distributed gridded ( $1/16^{\text{th}}$  degree resolution) daily precipitation and VIC simulated daily snowmelt data from Hamlet et al., 213). The simulated snowmelt data from Hamlet et al., 329

- 330
- (2013) were limited to the Columbia River Basin and coastal river basins of OR and WA and did 331

- not include the OR portions of the Klamath and Great Basins. VIC simulated daily snowmelt
- data for the Klamath and Great Basins at 1/8 degree spatial resolution were obtained from the US
- Bureau of Reclamation (Reclamation, 2011). VIC uses a two-layer energy and mass balance
- approach to model the process of snow accumulation and melt; descriptions of snow
- accumulation and melt processes within the VIC model are well described elsewhere (Liang et

337 al. 1994; Ni-Meister and Gao 2011).

338 The daily (1-365) average (1916-2006) maximum one-day recharge,  $I_R$  and  $I_M$  were calculated

on the water year basis as:

340

$$I_{\rm R} = max\left(\frac{\sum_{i=1}^{N} R_{i,1}}{N}, \frac{\sum_{i=1}^{N} R_{i,2}}{N}, \dots, \dots, \frac{\sum_{i=1}^{N} R_{i,365}}{N}\right)$$
(8)

341 
$$I_{\rm M} = max\left(\frac{\sum_{i=1}^{N} M_{i,1}}{N}, \frac{\sum_{i=1}^{N} M_{i,2}}{N}, \dots, \frac{\sum_{i=1}^{N} M_{i,365}}{N}\right)$$
(9)

342 where, *R* is the daily precipitation (mm), *M* is the daily snowmelt (mm), and *N* is the length of

record (year). The corresponding timing  $t_{\rm R}$  and  $t_{\rm M}$  were calculated as the day of water year on which  $I_{\rm R}$  and  $I_{\rm M}$  occurred.

The spatial distribution of recharge magnitude ( $I_R$  and  $I_M$ ) and timing ( $t_R$  and  $t_M$ ) show distinct geographic contrasts between the eastern and western study domains (Fig. 6). The average peak

daily recharge from precipitation  $(I_R)$  varies from less than 5 mm/day in the Walla Walla Plateau

348 and much of eastern OR to as high as 44 mm/day in the Olympic Mountains to the west.

- 349 Similarly, the average daily peak snowmelt  $(I_{\rm M})$  varies between 0 in coastal southeastern OR to
- as much 40 mm/day in northern WA. Although the magnitudes of  $I_{\rm R}$  and  $I_{\rm M}$  are small in north-

astern WA and much of eastern OR as compared to those in the Coast Range, northern WA, and

352 Cascades, they occur later during the water year. In northern WA, the timing of  $I_{\rm M}$  occurs quite

late during the water year (Fig. 6). Timing of  $I_{\rm R}$  is also quite variable across the region and

354 occurs as early as October to as late as mid-September (Fig. 6). For the sensitivity analysis, in

systems with rain as dominant recharge we substituted  $Q_0$  with  $I_R$  and  $t_p$  with  $t_R$ . Similarly, in systems with snowmelt as dominant recharge we substituted  $Q_0$  with  $I_M$  and  $t_p$  with  $t_M$ .

Systems with shownen as dominant reenarge we substituted  $\mathcal{Q}_0$  with  $I_M$  and  $i_p$  w

## **4.3 Future Recharge Magnitude and Timing (***Q*<sub>0</sub>, *t*<sub>p</sub>**)**

358 Changes in actual streamflow in the future will not only depend on the intrinsic sensitivity of the 359 landscape but also the magnitude and direction of climate change in terms of magnitude ( $I_{\rm R}$  or 360  $I_{\rm M}$ ) and timing ( $t_{\rm R}$  or  $t_{\rm M}$ ) of recharge to which a landscape is exposed. The actual exposure or magnitude of change in  $I_{\rm R}$  or  $I_{\rm M}$  and  $t_{\rm R}$  or  $t_{\rm M}$  will depend on future emission scenarios, which are 361 362 highly uncertain. However, to illustrate this concept of intrinsic sensitivity and exposure, we 363 present a climate change scenario consistent with regional-scale climate projections for the PNW 364 of decreasing snowpacks (Mote, 2003; Elsner et al., 2010) as a proxy for exposure. An integrated 365 daily snow product based on the 1-km resolution Snow Data Assimilation System (Carroll et al., 366 2001) was selected and  $I_{\rm M}$  and  $t_{\rm M}$  were calculated as described earlier. We used the differences between  $I_{\rm M}$  and  $t_{\rm M}$  values for the wet year 2004 (an El Niño year) and dry year 2011 (a La Niña 367 368 year), which correspond to a  $\sim$ 50% regional snowpack decline, as a potential climate change 369 scenario. Changes in precipitation magnitude and timing are unclear for this region (Salathe et 370 al., 2007; Mote and Salathe, 2010), and were excluded from this analysis.

## 371 **5 Model Validation**

We validated our derived streamflow sensitivities ( $\varepsilon_{Qo}$  and  $\varepsilon_t$ ) against empirical measures of climate sensitivity extracted from historical records of 217 (Fig. 1a) watersheds for the months of

374 July, August, and September. Our approach was to use streamflow response to historical climate

extremes as a proxy for streamflow sensitivity. Measures used included the: 1) change in

- 376 streamflow with respect to a change in annual precipitation between wet and dry periods; and 2)
- change in streamflow with respect to a change in spring air temperature between cool and warm
- 378 periods. These two empirical measures of sensitivity were calculated as:

379 
$$\varepsilon_{\rm p} = \frac{Q_{\rm wet} - Q_{\rm dry}}{P_{\rm wet} - P_{\rm dry}} \tag{10}$$

380 
$$\varepsilon_{\rm T} = \frac{Q_{\rm cool} - Q_{\rm warm}}{T_{\rm cool} - T_{\rm warm}} \tag{11}$$

381 Average annual precipitation (*P*) for each watershed was used to identify the 5 years with the

lowest and highest precipitation as dry and wet periods, respectively. Similarly, the watershed
 average of mean daily spring (April - June) temperature (*T*) was used to identify the 5 years with
 the coolest and warmest springs. This approach is analogous to the precipitation and temperature
 elasticity measure of streamflow sensitivity proposed by Schaake (1990) and

386 Sankarasubramanian et al. (2001). The empirical measures  $\varepsilon_p$  and  $\varepsilon_T$  were calculated as an

- 387 indicator of streamflow sensitivity to a change in magnitude and timing of recharge, respectively.
- However, magnitude ( $I_R$  and  $I_M$ ) and timing ( $t_R$  and  $t_M$ ) are each affected by wet and dry periods
- and cool and warm springs (Table 2). Also, the effect of wet and dry climate on peak recharge
   magnitude and timing differs for rain and snowmelt dominated systems. For example, during a
- wet as compared to a dry period,  $t_{\rm M}$  shifts 16 days later whereas  $t_{\rm R}$  shifts 20 days earlier. Hence,
- 392 the empirical measures  $\varepsilon_{\rm p}$  and  $\varepsilon_{\rm T}$  are representative of the streamflow sensitivities as a
- 393 convolution of timing and magnitude. We used the non-parametric Spearman rank correlation ( $\rho$ )
- 394 coefficient to evaluate the correspondence between empirical ( $\varepsilon_p$  and  $\varepsilon_T$ ) and conceptual ( $\varepsilon_{Qo}$  and

 $\mathcal{E}_t$ ) measures of streamflow sensitivities. Spearman rank correlation is less sensitive to outliers

and considered a robust alternative to the Pearson product moment correlation.

#### 397 6 Results and Discussion

#### 398 6.1 Sensitivity Validation

399 Summer streamflow sensitivities derived from the conceptual framework are in agreement with 400 the climate sensitivity estimators calculated from historical data (Table 2). The absolute 401 magnitudes of both empirical ( $\varepsilon_{\rm p}$  and  $\varepsilon_{\rm T}$ ) and conceptual ( $\varepsilon_{\rm Oo}$  and  $\varepsilon_{\rm t}$ ) measures of streamflow 402 sensitivities decrease from July to September. Also, both precipitation- and temperature-based estimators of streamflow sensitivity  $\varepsilon_p$  and  $\varepsilon_T$  are significantly (p<0.001) correlated with  $\varepsilon_{Qo}$  and 403  $\varepsilon_{\rm t}$ . The Spearman rank correlation coefficient for  $\varepsilon_{\rm p}$  and  $\varepsilon_{\rm Qo}$  decreases from 0.73 in July to 0.50 404 in September, and for  $\varepsilon_p$  and  $\varepsilon_t$  decreases from 0.77 in July to 0.54 in September. The Spearman 405 rank correlations between  $\varepsilon_p$  and  $\varepsilon_{Qo}$  or  $\varepsilon_t$  are weaker and ranged between -0.66 ( $\varepsilon_p$  vs.  $\varepsilon_{Qo}$ ) and -406 0.71 ( $\varepsilon_p$  vs.  $\varepsilon_t$ ) in July and -0.5 in September. The overall slightly lower values of Spearman rank 407

408 correlations between empirical and conceptual measures of streamflow sensitivities are not

- 409 surprising given the fact that changes in  $I_{\rm M}$  and  $t_{\rm M}$  between wet and dry periods were very small.
- 410 Similarly, between cool and warm periods  $I_{\rm R}$  and  $t_{\rm R}$  were relatively constant. So although we
- 411 used a total 217 watersheds for validation, not all of them were subjected to a change in
- 412 magnitude and timing of recharge between wet and dry or cool and warm periods. In fact, all of
- 413 the rain dominated watersheds had similar  $I_{\rm R}$  and  $t_{\rm R}$  between cool and warm periods. This
- smaller change in  $I_{\rm R}$  and  $t_{\rm R}$  limits the range of our validation for rain dominated watersheds.

## 415 **6.2 Sensitivity Analysis & Distribution**

- 416 Streamflow sensitivities to a change in magnitude,  $\varepsilon_{Qo}$ , are very similar during the first weeks
- 417 after peak recharge for all HUC units across the range of k values (Fig. 7a). In groundwater
- 418 dominated HUCs, the  $\varepsilon_{Qo}$  are mediated and show very sharp contrasts from runoff dominated
- HUCs even after 110 days of recession. Since peak recharge  $I_{\rm M}$  occurs late during the year in
- 420 most of the low k HUCs (Fig. 6), these mediated sensitivities will be expressed throughout the
- 421 summer. In contrast, the sensitivities to a change in timing,  $\varepsilon_t$ , are very different during the first
- 422 weeks after peak recharge across all HUC units (Fig. 7b). Most of the HUCs with higher  $\varepsilon_t$  (>0.5
- 423 mm/day) are in the rain dominated Coast Range (Fig. 1) where recharge magnitude ( $I_R$ ) is higher
- 424 overall when compared to the snow dominated Cascades, Olympics, and other western parts of
- 425 OR and WA. However, in most of these coastal HUCs the peak recharge occurs early in the year
- 426 (Fig. 6), resulting in a long recession with lower sensitivities in the summer months.
- 427 Summer streamflow sensitivities to a change in the magnitude ( $\varepsilon_{Q_0}$ ) and timing ( $\varepsilon_t$ ) of recharge at
- 428 the beginning of July, August, and September show several distinct patterns (Fig. 8). First, there
- 429 is a clear north-south grain to the sensitivity of both variables due primarily to the corresponding 430 orientation of the topography, with the Cascade Range in both OR and WA clearly showing up
- 431 as most sensitive to both types of changes. Snow-dominated regions with late melt, such as the
- 432 mountains along the WA-Canada border and the Wallowa Mountains in OR also show a high,
- though diminished, sensitivity. Second, the maps show that 5<sup>th</sup> field HUCs sensitive to a change
- 434 in magnitude ( $I_R$  and  $I_M$ ) are also sensitive to a change in timing ( $t_R$  and  $t_M$ ). Third, the level of
- 435 sensitivity and its spatial extent diminish as the day of interest  $(t_d)$  moves from early to late
- summer. The highest magnitudes of sensitivity to changes in  $I_{\rm R}$  and  $I_{\rm M}$ , were 0.47, 0.25, and 0.14
- 437 mm/mm at the start of July, August, and September, respectively; The highest magnitudes of
- 438 sensitivity to changes in  $t_R$  and  $t_M$  were 0.28, 0.10, and 0.03 mm/day, at the start of July, August, 439 and September, respectively. The highest sensitivity for July streamflow is primarily located in
- 440 the northern WA and along the Cascades, but portions of OR Cascades continue to show high
- sensitivity throughout the summer. This contrasting pattern is attributed to relatively high k
- 441 sensitivity infoughout the summer. This contrasting patern is attributed to relatively high x 442 values in the OR Cascades compared to northern WA. By the end of August, OR Cascade
- streams are mainly sourced from deep groundwater, as most of the above-ground storage in the
- 444 form of snow has melted out (Tague and Grant, 2004).
- 445 The influence of *k* becomes more important than peak recharge magnitude and timing as summer
- 446 proceeds. Thus, although the different regions display similar levels of sensitivity, the reasons for
- 447 this sensitivity vary by locale. In contrast, summer streamflow (i.e., July, August, and
- 448 September) in HUCs that receive recharge in the form of rain (e.g., Coast Range) and do not
- have deep groundwater, are less sensitive to a change in the  $I_R$  or  $t_R$  compared to HUCs driven by
- 450 snowmelt recharge (e.g., High Cascade range and much of northern WA). This lower sensitivity
- 451 primarily results from peak rainfall occurring earlier in the year (Fig. 6), leading to a long

- 452 summer recession. A similar low sensitivity is observed in eastern OR, where peak snowmelt
- 453 occurs later in the year but the magnitude of recharge  $I_{\rm M}$  is small and there is very little deep
- 454 groundwater contribution to sustain the recession.
- 455 Over the entire study area, streamflow at the start of July is at least moderately sensitive ( $\varepsilon_{00}$  and
- $\varepsilon_t > 0.001$ ) to a change in peak recharge magnitude and timing in 49% and 27% of the area, 456
- 457 respectively. As the day of interest moves towards the start of September, the spatial extent of at
- least moderately sensitive areas diminishes to 25% and 11% of the region for  $\varepsilon_{Qo}$  and  $\varepsilon_t$ , 458
- 459 respectively. Within the individual states, streamflow at the start of July in OR is at least
- 460 moderately sensitive in 38% and 16% of the area as compared to 64% and 44% of the area in
- 461 WA, to a change in peak recharge magnitude and timing, respectively. Similarly, streamflow at
- 462 the start of September in OR is at least moderately sensitive in 15% and 6% of the area as 463
- compared to 39% and 18% of the area in WA, to a change in peak recharge magnitude and
- 464 timing, respectively.

#### 465 6.3 Summer Streamflow Vulnerability

466 This analysis yields a spatially-explicit prediction of the sensitivity of late summer streamflow to climate change based on the convolution of geology, as represented by k, and recharge dynamics, 467 as represented by  $I_R$ ,  $I_M$ ,  $t_R$  and  $t_M$  (Fig. 8). To better understand this sensitivity, we consider how 468 469 the processes driving it vary across the landscape. For example, the OR High Cascades and much 470 of WA show similar levels of sensitivity, but for different reasons. The OR High Cascades are 471 sensitive because of low k and, as a result, abundant deep, and slow draining groundwater that 472 recharges streams over many months. Peak snowmelt recharge,  $I_{\rm M}$  in much of the OR Cascades is not only small compared to northern WA, but also melts earlier (Fig. 6), leaving deep 473 474 groundwater as the only source of late season streamflow. These groundwater-dominated 475 landscapes in effect "remember" changes in climate as reflected in either the magnitude or timing of recharge in the winter or spring, resulting in higher sensitivity of late-season

- 476
- 477 streamflow.
- 478 In contrast, much of northern WA is sensitive not because of low k but because of higher  $I_{\rm R}$  or  $I_{\rm M}$
- 479 and late  $t_{\rm R}$  and  $t_{\rm M}$ . The  $I_{\rm M}$  is higher in much of this region and melts later during the year (Fig. 6),
- 480 contributing a substantial portion of the late season streamflow. If the climate changes so that
- 481 less snow accumulates and snowmelt occurs earlier in spring, the corresponding changes in
- 482 recharge timing and magnitude are reflected in late summer streamflow, which relies almost
- 483 exclusively on snowmelt in this region.
- 484 The hydrogeologic sensitivities (Fig. 8) illustrate the magnitude of change to existing summer
- 485 streamflows during early July, August, and September, per unit change in recharge magnitude
- 486 and timing. Hence, the sensitivity is an intrinsic, mappable landscape property driven primarily
- 487 by current climate and geology. This information is valuable for climate change planning and 488 mitigation efforts, particularly in ungauged basins, which represent most of the landscape. Our
- 489 analysis predicts sensitivity to change, but not actual changes to magnitude or timing of
- 490 streamflow. Actual changes in summer streamflow are a product of both this hydrogeologic
- 491 sensitivity (Fig. 8) and realized changes in  $I_{\rm R}$  or  $I_{\rm M}$  and  $t_{\rm R}$  or  $t_{\rm M}$  under a given climate change
- 492 scenario. Changes in ET are also a factor, but are not considered here.

493 Summer streamflow change resulting from this test scenario can be expressed both in absolute

- 494 (units of flow increase or decrease over time) and relative (percentage increase or decrease over
- time with respect to  $Q_0$ ) terms, depending on the application and subject of interest. The average change in  $I_M$  and  $t_M$  between the year 2004 and 2011 was 4.1±4.5 mm and 38±34 days,
- respectively. We then calculated late summer streamflow at the beginning of July, August, and
- 498 September using the change in  $I_{\rm M}$  and  $t_{\rm M}$  values separately (Fig. 9). Only 7% of the region
- showed a decline in July  $1^{\text{st}}$  streamflow by at least 1 mm (a threshold equivalent to average daily
- 500 September streamflow) under the  $I_{\rm M}$  scenario as compared to 8% under the  $t_{\rm M}$  scenario. Most of
- the HUCs with a 1 mm or greater decline are located in WA. Nearly 16% of the area in WA
- showed at least a 1 mm decline in July 1<sup>st</sup> streamflow as compared to only 3% in OR to a change
- 503 in  $t_{\rm M}$  between the years 2004 and 2011. Similarly, 12% of the area in WA showed at least a 1 mm
- decline in July 1<sup>st</sup> streamflow as compared to only 3% in OR to a change in  $I_{\rm M}$  between the year
- 505 2004 and 2011. As expected, streamflow changes in July were larger than in August and 506 September under both the  $I_M$  (Fig. 9a) and  $t_M$  (Fig. 9b) scenarios. Relative changes (%) in
- 506 September under both the  $I_M$  (Fig. 9a) and  $t_M$  (Fig. 9b) scenarios. Relative changes (%) in 507 streamflow were calculated after normalizing the absolute change by the peak snowmelt recharge
- $(I_{\rm M})$  as a proxy for  $Q_0$ . In the absence of spatially distributed observed streamflow data, we
- $(I_{M})$  as a proxy for  $\mathcal{Q}_{0}$ . If the absence of spatially distributed observed streamnow data, we solve utilized the peak recharge as a proxy for available water in the streams at the start of the
- 50 recession. In general, areas showing greater absolute change also showed greater relative change
- 511 (Fig. 9a, 9b).
- 512 This disparity between absolute and relative change across the landscape illustrates a key aspect
- 513 of interpreting sensitivity: our prediction of future streamflows reflects both the intrinsic
- sensitivity of the landscape (as reflected in k and average historic climate) as well as changes in
- 515 snowpack between cooler and warmer years. Both factors affect the timing or magnitude of
- recharge. Specifically, under our assumed scenario, the changes in  $I_{\rm M}$  and  $t_{\rm M}$ , are greater in
- 517 places with "warmer" snowpacks (Nolin and Daly, 2006), such as the Cascades and other
- 518 mountain ranges that are closer to marine influence (e.g., Olympics, Fig. 1B). In these areas,
- 519 small temperature changes directly affect the total proportion of snow to precipitation. In
- 520 contrast, colder snowpack areas such as the Harney and Great Basins, Payette, and Walla Walla
- 521 Plateau (Fig. 1b) are less sensitive to temperature changes. The net effect to streamflow is that 522 some regions (e.g. Northern Cascades, Fig. 1B) experience both more vulnerable snowpack and
- 522 some regions (e.g. Normern Cascades, Fig. 1B) experience both more vulnerable showpack and 523 more sensitive landscapes (i.e. lower k values). This is reflected in both a greater absolute and
- relative change (Fig. 9). The drier eastern portions of the study region, in contrast, have lower
- 524 relative change (Fig. 9). The drier eastern portions of the study region, in contrast, nave lower 525 absolute change because their snowpacks are relatively insensitive to warming, and k values are
- 526 higher.

# 527 7 Management Applications

528 A central goal in developing this spatially-explicit, analytical framework was to help resource 529 managers, such as the US Forest Service (USFS), evaluate vulnerabilities of key resources to

- 530 changing summer streamflows, and develop and implement adaption strategies to reduce
- 531 potential impacts. While such strategies may introduce some new activities (e.g., facilitated
- 532 migration of species, mulching forests) (Grant et al., 2013), we expect that most will involve
- adjustments in the location, timing, and scope of current actions or modification of their site-
- 534 specific designs.

- 535 To explore this, we consider how this type of spatial analysis might inform management of
- 536 National Forest lands in the Pacific Northwest. National Forests comprise a particularly large
- fraction of the region (nearly 27 % of OR and WA) and support diverse, valuable, and climate-
- 538 sensitive resources. The largest changes in summer streamflows are expected to occur on these
- 539 forest lands, which may affect and alter numerous forest management activities. Such activities
- 540 include timber harvest and fuels management, watershed restoration, resource assessment and
- 541 monitoring, and construction and operation of dams, water diversions, roads, and recreational
- 542 facilities.
- 543 Watershed restoration is currently a major focus for the USFS (Potyondy and Geier, 2011).
- 544 Much of this work in the Pacific Northwest is directed towards maintaining or improving water
- 545 quality and aquatic habitats for salmon and other cold water biota, as directed by the Northwest
- 546 Forest Plan and other forest plans in the region. Common restoration actions include removal of
- 547 physical barriers in streams (e.g., poorly designed culverts), road improvements and
- 548 decommissioning, improved livestock management, reconstruction of stream channels and
- 549 floodplains, restoration of riparian vegetation and streamflows, decommissioning or alteration of
- dams and water diversions, and enhancement of instream habitats via additions of wood,
- boulders, and nutrients (Roni et al., 2002).
- 552 Implementing these restoration projects in a 'climate informed' way is critical, as changes in
- summer streamflows and other habitat components (e.g., stream thermal regimes) may
- significantly influence their effectiveness (Battin et al., 2007). This can be accomplished by
- 555 integrating assessment products like the one presented here into existing strategic planning and
- 556 project design processes. For example, to maximize the effectiveness of its restoration program,
- the USFS is currently focusing investments in 'priority watersheds' based on assessments of
- 558 non-climatic stressors and other factors (Watershed Condition Framework at
- 559 <u>http://www.fs.fed.us/publications/watershed/</u>). In the PNW, those watersheds where the greatest
- 560 ecological gains can be achieved with the least funding have typically been selected as priorities.
- 561 In general, such areas have high ecological values (e.g., high biodiversity, rare or legally
- 562 protected species), mild to modest levels of non-climatic impacts (e.g., water diversions, water 563 quality problems, altered stream habitats), high sensitivities to those impacts (e.g., cold water
- biota with narrow thermal tolerances), and significant opportunities for restoration (e.g.,
- 565 important and technically-solvable problems, sufficient financial resources and workforce
- 566 capacity community support few legal barriers)
- 566 capacity, community support, few legal barriers).
- 567 This sensitivity assessment provides an opportunity to consider an additional factor in the 568 priority-setting: climate-induced changes in summer streamflow. In many cases, such changes 569 may not alter priority areas selected for restoration. For example, current priority watersheds 570 may remain priorities after consideration of climate change information (Fig. 10). In others, 571 however, likely climate impacts may shift emphasis away from some watersheds and towards
- 572 others. For example, watersheds with large projected changes in summer streamflows and water
- 573 resources highly sensitive to those changes may be considered a lower restoration priority if
- 574 restoration treatments are unlikely to address the cumulative effects of both climatic and non-
- 575 climatic impacts or if the cost of those treatments greatly exceed available funding (i.e., adaptive
- 576 capacity is limited). Conversely, the relative priority of other watersheds may increase in cases
- 577 where significant climate impacts are expected, but managing both climatic and non-climatic
- 578 impacts is deemed technically, socially, and financially achievable (Fig. 10).

- 579 Moreover, this analysis could influence the type, intensity, location, or timing of restoration
- 580 actions considered necessary to sustain critical resources in priority watersheds, both at a
- 581 watershed and project scale. The prospect of late-season streamflow change in some portions of
- the watershed could lead to redesign of water diversions, proactive efforts to reduce stream
- 583 temperatures, re-thinking low-flow channel dimensions for fish passage and stream channel
- reconstruction projects, and reconsideration of what riparian species are likely to survive into the
- 585 future (Fig. 10).

# 586 8 Conclusions

- 587 Our results provide a hydrogeologic framework to identify watersheds most and least vulnerable
- to summer streamflow changes. This method reveals landscape level patterns and their
- relationship to topographic, geologic and climatic controls, and can be applied to interpret the
- 590 effects of any climate change scenario of interest. As such, we believe the sensitivity maps
- 591 represent a robust, scalable tool that can be used in climate change assessment and adaptation in
- 592 both gaged and ungauged basins.
- 593 Lack of geologic (i.e., aquifer permeability) and snowmelt information at appropriate spatial
- scales and accuracies to predict drainage efficiency and peak recharge magnitude and timing is a
- challenge. For example, aquifer permeability used for OR and WA at the scale of 1:500,000
- reflects far less spatial heterogeneity and it is unclear how a finer scale (i.e., 1:100,000)
- 597 permeability or geology map would influence k. Similarly, we relied on simulated historic 598 snowmelt data at 1/16 and 1/8 degree grid resolution due to the absence of long-term, spatially-
- distributed measurements. It is unclear how the changes in temperature and precipitation will
- affect or assumption to approximate the peak discharge with recharge. As the climate continues
- to warm, the time lag between recharge and streamflow (Fig. 3) in rain and snow dominated
- 602 watersheds will likely shift. More rain instead of snow will also alter the dominant recharge
- 603 regime (Fig., 5b) and eventually the streamflow sensitivities. Also, this sensitivity analysis
- 604 should be applied carefully in places where subsurface groundwater exchange or summer
- 605 evapotranspiration dominate summer streamflow regime. As finer-resolution data on both
- geological and climatic factors becomes available, this approach can be refined to capture new
- 607 information.
- More broadly, we recognize that this approach does not yield the specific streamflow values or
- future hydrographs of the current generation of hydrologic models. There are many applications
- 610 where having a spatio-temporal prediction of how much water is present would be quite useful.
- 611 Beyond the uncertainty in both our approach and streamflow modeling, each method has
- 612 strengths and limitations. The spatial map of sensitivity reveals broad landscape patterns and is
- 613 applicable where data, time, or cost limit applying a more sophisticated hydrologic model.
- 614 Hydrologic models give detailed predictions, but may not always illuminate underlying
- 615 mechanisms or provide sound future predictions. Both approaches have their place. Although our
- 616 results are independent of GCM predictions, the two approaches are not necessarily mutually
- 617 exclusive. New CMIP5 high resolution, terrain sensitive model predictions could be incorporated
- 618 into this framework. .
- 619 Predicting future streamflows is an uncertain task at best, but is essential to address a rapidly
- 620 changing environment. The "bottom up" approach described here is intended to complement

- other "top down" approaches involving sophisticated and coupled climate and hydrologic
- models. These spatial maps based on simple theory and supported by empirical data represent
- 623 spatially-explicit hypotheses about how streamflow is expected to respond to climate changes in
- 624 the future. Other more complex approaches also yield spatially-explicit hypotheses in the form of
- 625 future hydrographs. We can now compare these two approaches, highlight their strengths and
- 626 limitations, and integrate knowledge from each to guide managers and communities in facing the
- 627 uncertain future of water resources in the Pacific Northwest and beyond.

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# 825 Tables

**Table 1:** Regression analysis for prediction of *k* in Oregon (*Model 1a*) and Washington (*Model* 

*Ib*) and the entire domain (*Model 2*), using *relief*, soil permeability ( $K_{soil}$ ), aquifer permeability 828 ( $K_{aqu}$ ) and *slope*.

	<b>Regression Equation</b>	d.f.	Se	R <sup>2</sup>	Adj. R <sup>2</sup>	F Statistics
Model 1a						
OR	<i>k</i> =0.2939448		0.010	0.59	0.58	45.39
	-0.0272553 log (Relief)	07				
	-0.0118343 log (K <sub>soil</sub> )	91				
	$-0.0011999 \log (K_{aqu})$					
Model 1b						
WA	<i>k</i> =0.159973	05	0.011	0.44	0.43	25.36
	-0.014864 log (Relief)					
	$-0.012880 \log (K_{aqu})$	95				
	$+0.006182 \log (K_{soil})$					
Model 2						
Domain	<i>k</i> =0.1942972					
(OR & WA)	-0.0214605 log ( <i>Relief</i> )	199	0.011	0.50	0.49	65.88
	+0.0043926 log ( <i>Slope</i> )					
	-0.0027865 log (K <sub>aqu</sub> )					

d.f. is degree of freedom; Se is standard errror.

- **Table 2:** Watershed average (n = 217) values of peak recharge magnitude and timing between wet/dry and cool/warm periods with corresponding empirical and analytically derived
- streamflow sensitivity values.

Scenario	Average Parameter Value				Empirical Validation			Derived Sensitivity			
	$I_{\rm R}$	$I_{\rm M}$	t <sub>R</sub>	t <sub>M</sub>	<i>E</i> <sub>p</sub> (mm/mm), Eq. 10			$\mathcal{E}_{ ext{Qo}}$ (mm/mm), Eq. 5			
	(mm)	(mm)	(day)	(day)	July	Aug	Sep	July	Aug	Sep	
Wet	35.95	6.95	86	167	0.046	0.046 0.016	0.016	0.012	0.046	0.017	0.0066
Dry	21.56	4.32	106	151	0.040	0.010	0.015	0.040	0.017	0.0000	
	$I_{\rm R}$	$I_{\rm M}$	t <sub>R</sub>	$t_{\rm M}$ $\mathcal{E}_{\rm T}$ (mm/°C), Eq. 11 $\mathcal{E}_{\rm t}$ (mm/day), Eq. 6			<b>𝕲</b> <sub>T</sub> (mm/⁰C), Eq. 11			Eq. 6	
	(mm)	(mm)	(day)	(day)	July	Aug	Sep	July	Aug	Sep	
Cool	28.03	7.33	89	180	22.17	22.17	7 80	2 80	0.014	0.004	0.0016
Warm	28.13	4.56	87	154	-22.17	-7.09	-2.89	0.014	0.004	0.0010	

## 844 Figures

- 845
- **Figure 1:** (A) Study domain and selected stream gages (n = 227; all circles) in Oregon and
- 847 Washington used to calculate k. Stream gages (n = 217; light blue circles) with at least 20 years
- of daily streamflow between 1950 and 2010 were used in the sensitivity validation and other
- time series comparisons of rain, snowmelt, and streamflow; (B) Physiographic regions based on
- common topography, rock type, structure, and geomorphic history; (C) average (1981-2010)
- annual precipitation; (D) average (1981-2010) temperature.
- **Figure 2:** Theoretical response surface from conceptual model (Tague and Grant, 2009) for
- representative k values for the study region. Sensitivity of summer streamflow to (A) a change in the magnitude of recharge (mm/mm) and (B) an earlier shift in the timing of recharge (mm/day)
- assuming an initial recharge volume of 1 mm.
- **Figure 3:** Time series of daily rainfall (A), snowmelt (B), and streamflow (C) averaged over the
- available lengths of record and *n* watersheds in rain (R, n = 44; green), transitional snow zone
- 858 (TSZ, n = 43; red), and seasonal Snow zone (SSZ, n = 130; blue). Solid lines represent the mean
- value and shaded areas represent the standard error of the mean.
- **Figure 4:** Calculated and modeled flow recession constant (*k*) for watersheds in (A) OR, (B)
- 861 WA, and (C) entire domain based on the regression equations developed individually for OR
- 862 (Model 1a), WA (Model 1b) and for the entire domain (Model 2) ); (D) Spatial distribution of
- 863 residuals (Calculated-Modeled) using Model 2.
- Figure 5A: Spatial distribution of recession constant *k* using Model 2 for the entire domain of
  Oregon and Washington. Lower *k* values represent deep groundwater-dominated systems; higher
- 866 *k* values represent surface flow-dominated systems.
- **Figure 5B:** Study domain discretized between rain (R; green), transitional snow zone (TSZ;
- 868 blue), and seasonal snow zone (SSZ; gray) based on Nov-Jan average wet day air temperature.
- Areas in the TSZ with a snow to precipitation ratio (Sf) > 10% are shaded with light blue.
- **Figure 6:** Spatial distribution of peak recharge magnitude (mm/day) for precipitation  $I_R$  (a),
- 871 snowmelt  $I_M$  (b) and recharge timing (day of water year) for precipitation  $t_R$  (c) and snowmelt  $t_M$ 872 (d) across the study domain.
- **Figure 7:** Decline of streamflow sensitivities for the range of *k* across all HUC units to a change
- in (A) magnitude,  $\varepsilon_{Oo}$  and (B) timing,  $\varepsilon_t$  during the first 110 days of recession from the peak
- 875 recharge,  $t_{\rm p}$ . White shading indicates no data.
- 876 **Figure 8**: Spatial distribution of (A) July, (B) August and (C) September streamflow sensitivities
- to a change in (i) magnitude  $\mathcal{E}_{Qo}$  (mm/mm) and (ii) timing  $\mathcal{E}_t$  (mm/day) of recharge.

- **Figure 9A**: Predicted decline in streamflow in absolute (i) and relative (ii) terms, based on: 1)
- the intrinsic sensitivities to changes in peak snowmelt magnitude (Fig. 8); and 2) a scenario
- similar to the differences experienced between a warm, dry year (2003, El Niño) and a cool, wet
- 881 year (2011, La Niña). Gray areas are rain dominated recharge and were excluded from this
- analysis.
- **Figure 9B:** Predicted decline in streamflow in absolute (i) and relative (ii) terms, based on: 1)
- the intrinsic sensitivities to changes in peak snowmelt timing (Fig. 8); and 2) a scenario similar
- to the difference experienced between a warm, dry year (2003, El Niño) and a cool, wet year
- 886 (2011, La Niña). Gray areas are rain dominated recharge and were excluded from this analysis.
- **Figure 10:** Examples of hypothetical watershed prioritization based on USDA Forest Service
- 888 Watershed Condition Classification, an assessment of non-climatic impacts, sensitivities to those
- 889 impacts, and opportunities to address them. Priority watersheds (red stars) differ for
- 890 classifications without (A) and with (C) streamflow sensitivity analysis (B).



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assuming an initial recharge volume of 1 mm.







- 908 **Figure 3:** Time series of daily (1-365) rainfall (A), snowmelt (B), and streamflow (C) averaged
- 909 over the available lengths of record (1915-2006) and *n* watersheds in rain (R, n = 44; green),
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- 931 snowmelt  $I_M$  (b) and recharge timing (day of water year) for precipitation  $t_R$  (c) and snowmelt  $t_M$ 932 (d) across the study domain.
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947 year (2011, La Niña). Gray areas are rain dominated recharge and were excluded from this948 analysis.



- 951 **Figure 9B:** Predicted decline in streamflow in absolute (i) and relative (ii) terms, based on: 1)
- 952 the intrinsic sensitivities to changes in peak snowmelt timing (Fig. 8); and 2) a scenario similar
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