

Groundwater as an emergency source for drought mitigation in the Crocodile River catchment, South Africa

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Abstract

Global climate change has received much attention worldwide in the scientific as well as in the political community, indicating that changes in precipitation, extreme droughts and floods may increasingly threaten many regions. Drought is a natural phenomenon that may cause social, economical and environmental damages to the society. In this study, we assess the drought intensity and severity and the groundwater potential to be used as a supplementary source of water to mitigate drought impacts in the Crocodile River catchment, a water-stressed sub-catchment of the Incomati River catchment in South Africa. The research methodology consists mainly of three parts. First, the spatial and temporal variation of the meteorological and hydrological drought severity and intensity over the catchment were evaluated. The Standardized Precipitation Index (SPI) was used to analyse the meteorological drought and the Standardized Runoff Index (SRI) was used for the hydrological drought. Second, the water deficit in the catchment during the drought period was computed using a simple water balance method. Finally, a groundwater model was constructed in order to assess the feasibility of using groundwater as an emergency source for drought impact mitigation. Results show that the low rainfall areas are more vulnerable to severe meteorological droughts (lower and upper crocodile). Moreover, the most water stressed sub-catchments with high level of water uses but limited storage, such as the Kaap located in the middle catchment and the Lower Crocodile sub-catchments, are more vulnerable to severe hydrological droughts. The analysis of the potential groundwater use during droughts showed that a deficit of 97 Mm³/yr could be supplied from groundwater without considerable adverse impacts on the river base flow and groundwater storage. Abstraction simulations for different scenarios of extremely severe droughts reveal that it is possible to use groundwater to cope with the droughts in the catchment. However, local groundwater exploitation in Nelspruit and White River sub-catchment will cause large drawdowns (>10 m) and high base flow reduction (>20%). This case study shows that conjunctive water management of groundwater and surface water resources is necessary to mitigate the impacts of droughts.

1. Introduction

Global climate change is one of the serious environmental challenges which the world is facing this century (IPCC 2013). It is related to systematic changes of the entire world's weather and climate patterns beyond the natural variability limits, and increased droughts are among the consequences. Drought is a natural phenomenon that may cause serious social, economical and environmental damages, in particular in areas where the water resources are already highly utilised. A number of different reactive and proactive measures on regional or national scale can be used to reduce its impacts. These measures include: the use of resilience buildings of rain fed farming system for water harvesting for supplemental irrigation in semi-arid regions (Rockström, 2003); the use of groundwater, use of storages in mountain rivers where precipitation is higher, and the construction of water distribution and water storage systems (MacDonald, 2007); and the artificial groundwater recharge with excess water from wet periods and reuse of treated wastewater (Zhou et al., 2011). Along the same lines, Pavelic et al. (2012) proposes to capture the peak flow (surplus of water) during the wet season and recharge shallow alluvial aquifers in a distributed manner upstream of the flood prone areas. Two large regional projects have been conducted in Africa to investigate groundwater potential for water supply during the drought. Groundwater and Drought Management Project (SADC, 2014) has developed strategic regional approaches to support and enhance the capacity of Southern African Development Community in the definition of drought management policies, specifically in relation to the role, availability, and supply potential of groundwater resources. Groundwater Resources Investigation for Drought Mitigation in Africa Programme (GRIDMAP, 2014) aimed at assessing the availability of groundwater resources in the Horn of Africa and determining how much groundwater resources can be utilized safely for emergency and long-term development demands.

The Incomati river catchment is a transboundary river catchment located in the south-eastern part of Africa which flows through South Africa, Swaziland and Mozambique and discharges into the Indian Ocean. The river catchment is characterised as a semi-arid climate subject to hydrological extremes: severe droughts and floods. The Crocodile River is one of the most important tributaries of Incomati. In the Crocodile catchment, data and knowledge are limited regarding the groundwater resources and its potential for use during the drought period. One of the first attempts to provide maps of sustainable groundwater harvest potential (GHP) was by Baron et al. (1998), which was based on hydrogeological maps developed by Vegter (1995). The GHP maps cover the whole South Africa and provide a first estimate of the maximum mean annual amount of water that can be abstracted from groundwater without depleting the aquifers. However, the use of these maps for local groundwater management planning is limited due to high uncertainty. The GHP maps were updated by Water Systems Management (2001) and

1 DWAF (2006). However, the update in the part of the Incomati catchment is largely based on
2 interpolation from some experimental data from the surrounding catchments, thus associated with high
3 uncertainty.

4
5 Some groundwater studies have been carried out recently in the Incomati catchment. Consultec and BKS
6 (2001) quantified groundwater availability in the Incomati catchment aiming to assess its potential
7 contribution to the total water resources of the catchment. Mauritius et al. (2010) made a groundwater
8 potential assessment study for the whole Incomati catchment based on the aquifer classifications
9 suggested by (DWAF, 2006). Their study produced maps of the Incomati groundwater availability (in
10 terms of low, medium or high water availability) and the average well yield of Incomati, without
11 distinction between wet and dry periods. Some groundwater studies have been done in the Kruger
12 National Park, a conservation area partly located in the Lower Crocodile (Fundisi et al., 2012;Niekerk et
13 al., 2012;Fischer et al., 2010;Fischer et al., 2009;Leyland et al., 2008). So far, many of the groundwater
14 potential assessment studies were performed at large scale, but no groundwater potential assessment study
15 has been carried out in the Crocodile River catchment.

16
17 Due to the intense agricultural activity, the Crocodile River catchment is highly water stressed. The
18 surface water is insufficient to meet the demands especially during drought periods. Small scale farmers
19 are the most vulnerable and affected by drought hazards. The downstream country Mozambique is also
20 highly affected when droughts occur in this catchment because of reduced transboundary flows (Zaag and
21 Vaz, 2003). In order to mitigate and manage water shortage during droughts, measures are being taken on
22 the catchment scale. These measures include water transfer from adjacent catchments (Sabie and Komati)
23 into the Crocodile river catchment, storage in reservoirs, water restrictions to avoid system failure and
24 simple management models are being setup to quantify the risks (Mauritius et al., 2010). Although
25 groundwater is used locally, it is not a main component of the actual drought mitigation and management
26 plan. However, groundwater has been considered as a potential source to mitigate the impact of droughts
27 and help to meet future increased water demand in the region (DWA, 2013).

28
29 Given the vulnerability of the Crocodile catchment to climate change, the necessity in further expanding
30 agricultural activities and lack of knowledge on groundwater availability in drought periods, research on
31 drought and the feasibility of using groundwater as an emergency source to mitigate its impacts is of great
32 importance. The specific objectives of this study are: i) classifying spatially the meteorological and
33 hydrological droughts in terms of intensity and severity, ii) assessing the water availability versus demand

1 in the catchment during the drought periods, and iii) formulating drought mitigation strategies by
2 assessing the groundwater availability during drought periods.

3 **2. Material and methods**

4 **2.1. Study area**

5 The Crocodile River catchment has an area of around 10,446 km² and presents a wide range of elevation
6 varying from around 2,030m in the most upstream part and gradually decreasing to 140 m at the outlet
7 (Figure 1). The main economic activities in the catchment are agriculture and forestry, with urban
8 development and mining activities occupying a secondary role. According to the Incomati Water
9 Availability Assessment Study (DWAF, 2009) the total area of irrigated agriculture and commercial
10 forestry in the Crocodile catchment was 2,452 km² in 2004 which corresponds to around 61% of the total
11 irrigated area in the whole Incomati catchment.

12
13 The catchment is characterised by semi-arid climate with an annual rainfall and potential evaporation of
14 850mm/yr and 1,380mm/yr, respectively. The precipitation is highly seasonal; more than 80% of the
15 annual rainfall falls during the summer half-year October-March. The precipitation also varies over the
16 catchment, is higher in the middle part of the catchment where there are mountains and lower in the
17 upstream and downstream regions. Potential evaporation decreases from downstream (low altitudes) to
18 upstream (high altitudes).

19
20 The geology of the Crocodile catchment is complex. Around 60% of the total area (in the middle and
21 lower regions) consists mainly of granite and gneiss. It is characterized in the south by sedimentary rocks
22 (such as arenite) and volcanic rocks (mainly lavas) of the Barberton sequence. In the west it is composed
23 of a complex mixture of sedimentary rocks (such as arenite and shale), volcanic (mainly andesite) and
24 dolomitic rocks of the Transvaal sequence. In the east it contains a very small area of sedimentary rocks
25 (such as shale) and volcanic rocks (mainly basalt and rhyolite) of the Karoo sequence. The aquifers of the
26 Crocodile catchment are mostly consisted of regolith materials.

27 **2.2. Data sets**

28 Lynch (2003) developed a rainfall database of the South African region with data starting from around
29 1900 and ending in 2001. The database consists of daily precipitation records and data quality control
30 gathered from the three main custodians of rainfall data in South Africa which include: SAWS (South
31 Africa Weather Service), SASRI (South Africa Sugarcane Research Institution) and ARC (Agricultural
32 Research Council). Additionally, a large number of municipalities, private companies and individuals in

1 South Africa also contributed with rainfall data to the database. Lynch (2003) computed the percentage of
2 non-missing data of the time series for each station.

3
4 Data from this database was used and from 2001 onwards, data provided only by SAWS was used. 17
5 precipitation stations with low percentage of missing data (Table 1) and a good spatial variability (Figure
6 1) were selected. The time period for less missing data corresponds to the period of 1940 to 2011.
7 Similarly, 11 gauging stations of river discharges were selected based on the length of the time series (at
8 least 30 years of data), missing data in the time series (Table 1) and spatial variability of the stations
9 (Figure 1).

10 The Crocodile catchment has around 320 groundwater wells operated by DWA. Around 25% of the wells
11 do not have any water level measurement. Furthermore, there is only one water level measurement per
12 year in almost all the wells. Only a few wells have time series of water levels which covers the period
13 from 2000 onwards. Moreover, not many wells have water level measurements in the severe drought
14 periods, especially in the Lower Crocodile. Thus, only 10 wells with water level measurements during
15 drought periods were available for the model calibration (Figure 1).

16
17 A land use map was acquired from the Department of Water Affairs (DWA) in South Africa. Reference
18 evapotranspiration data for each sub-catchment was obtained from the DWA study (DWA, 2009).
19 Topography data consists of 90x90 m² Digital Elevation Model (DEM) of Shuttle Radar Topography
20 Mission (SRTM) from NASA. Hydrogeological characteristics were obtained from a simplified
21 hydrogeological map from the Council of Geosciences of South Africa (see Figure 1). Aquifer parameters
22 such as layers thickness and hydraulic conductivity were provided by the Water Resources of South
23 Africa study (WRC, 2005). Due to the lack of data, the values of specific yield were assigned to the
24 geological formations based on general knowledge available in literature, for instance, Nonner (2010).

26 **2.3. Methods**

27 An overview of the methodology used in this study is presented in Figure 2. The methodology consists of
28 drought classification, water deficit assessment during drought periods, and groundwater modelling for
29 analysing groundwater potential for drought mitigation.

31 **Drought classification**

32 Droughts can be defined as "a decrease of water availability to substantially below the normal condition
33 for a certain place and time" (Loucks and Beek, 2005) and are usually classified as meteorological,

1 hydrological and agricultural droughts. In this research, we focus on meteorological and hydrological
2 droughts. Several drought indices can be used to identify droughts (Werick et al., 1994; Baykan and
3 Özçelik, 2006; Palmer, 1965, 1968; Willeke, 1994; McKee et al., 1993; Shukla and Wood, 2008).
4 Furthermore, droughts can be classified according to its duration, severity and intensity. Drought duration
5 is the time during which a drought index remains below a certain critical value, whereas drought severity
6 represented as the cumulative of a drought index below a critical value within the drought duration and
7 drought intensity as the average of the drought index over the drought duration (Mishra and Singh, 2010).
8 Comparison of the advantages, disadvantages and applicability of the various drought indices has been
9 reported in the literature (Loucks and Beek, 2005; Zargar et al., 2011; Mishra and Singh, 2010; Guttman,
10 1998; Sims et al., 2002). In this study, we applied the commonly used Standardized Precipitation Index
11 (SPI) (McKee et al., 1993) and Standardized Runoff Index (SRI) (Shukla and Wood, 2008) to analyse
12 meteorological droughts and hydrological droughts, respectively. Both SPI and SRI can be expressed on
13 different time scales, e.g. 3 months, 6 months and 12 months. Table 2 shows how an event can be
14 classified according to the SPI and SRI values.

15
16 First, we calculated 12-month SPI for 17 precipitation stations and 12-month SRI for 12 discharge
17 stations for the period from 1940 to 2011. Then, for each severe drought with SPI or SRI values -1.5 or
18 below, we determined severity and intensity of both meteorological and hydrological droughts. A
19 threshold value of -1 (SPI or SRI) was used to define a drought event (beginning and ending of a
20 drought).

21 From the drought severity calculated for each rainfall station, we derived average severity of
22 meteorological drought for each sub-catchment based on the Thiessen polygons method. The hydrological
23 drought severity for each sub-catchment corresponds to the drought severity of the discharge station at the
24 outlet of that sub-catchment.

25 Furthermore, the most severe drought was selected to show the variability of the drought severity and
26 intensity over the catchment. For this drought, the drought severity and intensity was determined for each
27 precipitation station. Kriging interpolation (Matheron, 1963) was used to produce the meteorological
28 drought severity contour map over the catchment.

29 **Water deficit during drought period**

30 For the water deficit computation, the catchment was divided into 7 main sub-catchments (see Figure 1).
31 The water deficit per sub-catchment during a drought was computed as the water availability minus the
32 water requirements. The water availability was considered to be the natural flow of the river computed by
33 DWAF (2009) minus the stream flow reduction due to the forestry water use..

1 The main water requirements in the Crocodile catchment include irrigation, domestic and industrial
2 supply, and a minimum transboundary flow, which is the agreed minimum discharge that has to be
3 released to the Mozambican territory. Irrigation constitutes the principal water demand. Domestic and
4 industrial water requirements were provided by DWAF (2009) study and the minimum transboundary
5 flow of $0.9\text{m}^3/\text{s}$ was obtained from the Water Use Agreement signed between Mozambique, Swaziland
6 and South Africa (TPTC, 2002). Irrigation water requirements were computed based on the FAO's
7 recommendations (FAO, 1997). The effective precipitation, i.e. the precipitation available in the soil for
8 the plants, is one of the necessary components for the irrigation water requirements computation. We
9 computed the effective precipitation based on a fixed percentage approach (Smith, 1988). It consists of
10 determining the 80% probable rainfall (P_{80}) and correcting for possible outfluxes due to runoff and
11 percolation. As the main focus of this paper is to compute the irrigation requirements for the worst
12 drought, instead of using the P_{80} , the average observed precipitation during the drought period was used
13 which is close to the P_{70} .

14 **Groundwater modelling to develop a drought mitigation strategy**

15 A numerical groundwater model was constructed to assess groundwater potential during the drought
16 period and to simulate the impacts of groundwater abstraction on the storage, water levels and base flow
17 reduction in the river. The most severe drought observed within the study period was selected. The
18 groundwater model is based on the widely used modelling software MODFLOW (McDonald and
19 Harbaugh, 1983). First, a steady state model was constructed, with the objective of determining the initial
20 conditions for the transient model. Second, a simplified transient natural model was built with recharge on
21 a monthly scale representing the average monthly recharge for the drought period. The model consists of
22 one layer representing the weathered and fractured rocks. A model grid cell of $1 \times 1 \text{ km}^2$ was used, in line
23 with the coarse spatial data sets available. The river catchment boundary was defined as the model
24 boundary, given the fact that the shallow groundwater flow is mainly discharged to the rivers in the
25 catchment.

26
27 Initial values of the recharge to the groundwater from the sub-catchments were computed by using the
28 Thorntwaite water balance model from the U.S. Geological Survey (McCabe and Markstrom, 2007). The
29 water balance model was calibrated using the available river discharge data from several sub-catchments.

30
31 The MODFLOW Evaporation package parameters were determined for each sub-catchment. The
32 evaporation surface is the same as surface elevation of the catchment. An extinction depth of 5 m, the
33 average root depth of pine and eucalypt trees (Alliance, 2002), was assigned for the forestry dominated

1 sub-catchments, namely: Nelspruit, White River, Elands and Kaap sub-catchments; the depth of 2 m, the
2 average root depth of grass roots under semi-arid conditions (Murphy, 2010) was assigned for Kwena,
3 Middle Crocodile and Lower Crocodile sub-catchments which are mainly covered by savannas. The
4 reference evaporation provided by DWAF (2009) is assigned as the maximum rate of evaporation. The
5 River package was used to simulate groundwater discharges to rivers as base flow. Finally, the model was
6 calibrated in the steady state manually to adjust the groundwater recharge using the available observed
7 groundwater levels and the river discharges.

9 **2.4. Scenarios using groundwater as an emergency source**

10 As the objective here is to use the groundwater only as an emergency source, the existing drought
11 mitigation strategy of the catchment was taken into account for the computation of the groundwater
12 abstraction needs. The existing drought mitigation strategy comprises the storage of surplus water (during
13 the wet season) in dams and water transfers within the catchment and from out of the catchment (Table
14 3). Only the storage of the major dams (storage capacity > 1.0M m³) are considered, these dams are
15 Kwena, Klipkopje, Longmere and Primkop dams with full storage capacities of 158.9Mm³, 11.9Mm³,
16 4.3Mm³ and 2Mm³, respectively.

17
18 It was assumed that the surplus water of the wet season will be stored in dams and further used in the dry
19 period (useful water surplus - UWS). This useful water surplus was obtained by subtracting evaporation
20 from the dams from the water surplus and applying a reduction factor of 0.7 to take into account the
21 losses in the river channel. Thus, for each sub-catchment, the groundwater abstraction need was computed
22 using equation:

$$23 \quad GWN = WD_i - UWS + T_{out} - T_{in} \quad (2.1)$$

24 GWN - groundwater abstraction need (Mm³/yr);

25 WD_i - initial water deficit (Mm³/yr);

26 UWS - useful water surplus (Mm³/yr);

27 T_{out} - the water transferred out of the catchment (Mm³/yr); and

28 T_{in} - the water transferred in to the catchment (Mm³/yr).

29
30 The water to be abstracted from the groundwater per sub-catchment corresponds to the groundwater
31 abstraction needs. Based on the amount of groundwater abstraction needs, a number of wells were placed
32 over the sub-catchments based on the topography, places near cities and irrigation areas were also a target
33 for the well locations. Rock formations with higher borehole yield were also used as a criterion for the
34 well locations; however, in many cases it was not possible to avoid placing wells in low borehole yield

1 regions as these were found to be the most dominant formation in the sub-catchment, for instance, the
2 White River. Then model simulations were performed to test whether the amounts of water can be
3 abstracted. Finally, for an extremely severe drought, more severe than the most severe drought registered
4 in the last 50 years, model simulations were carried out for different scenarios. For such a severe drought
5 precipitation would be less, consequently recharge would be reduced and water demand would be higher.
6 Therefore, four simulation scenarios (Table 4) were proposed where baseline recharge (between 1992 and
7 1995) was reduced and well abstractions linearly increased. These scenarios of recharge values mimic
8 extremely severe drought conditions.

9 **3. Results and discussion**

10 **3.1. Results of drought classification**

11 **Drought classification over time**

12 The results of the SPI and SRI of 12-month scale indicated that droughts occurred during 1966, 1978,
13 1983, 1992-1995, and 2003-2004 (Figure 3). In other words, in 50 years from 1960 to 2011, 6 droughts
14 occurred. Results of the drought severity for Elands River sub-catchment (station X2H015) and Crocodile
15 catchment outlet (X2H016) are shown in Figure 4 as examples.

16
17 Three severe droughts occurred in 1983, in 1992-1995, and in 2003-2004. These droughts were also
18 noticed in most of South Africa and neighbouring countries. The most severe one was the 1992-1995
19 drought, it lasted for around 4 consecutive years. This drought can be classified as severely dry as a
20 meteorological drought and extremely dry as a hydrological drought. It appears from Figure 4 that the
21 severity of meteorological drought (SPI) used to be higher than the severity of the hydrological drought
22 (SRI) before 1975. But after 1975 the hydrological drought severity is higher than the meteorological
23 drought severity. This can be explained by the increasing abstractions of water from the rivers for
24 agricultural, domestic and industrial consumption.

25 **Drought classification over the catchment**

26 Figure 5 presents the 1992-1995 meteorological drought severity over the Crocodile catchment. Figure 6
27 shows the variability of the drought index (SPI) during the drought duration over the catchment. The plot
28 shows for each station the minimum, maximum, standard deviation and average SPI (drought intensity)
29 during 1992-1995.

30 The more severe droughts occur in the upstream and downstream areas of the catchment while the middle
31 part of the catchment presents low drought severity. Similarly, the upstream and downstream precipitation
32 stations present high variability of the drought index reaching very high and very low values of SPI. The

1 maximum value of SPI reached in this drought was -5.5 in two stations upstream and one station in
2 downstream. On the other hand, the stations in the middle part of the catchment present less variability of
3 SPI during the drought duration where the maximum value of SPI was around -2.5.

4
5 The variation of the hydrological drought severity for each sub-catchment is shown in Figure 7. Figure 8
6 shows the variability of the hydrological drought index during the drought duration over the entire
7 catchment; it follows the same spatial pattern of variation as for the meteorological drought intensity
8 presented in Figure 5.

9
10 The hydrological drought severity does not depend only on amount of rainfall; it was also affected by the
11 amount of water abstracted from the river. Therefore, sub-catchments with less rainfall and high water
12 requirements are the most affected by droughts. For instance, the Kaap catchment (gauging station
13 X2H022) and the Lower Crocodile catchments (gauging station X2H016), which are located in low
14 rainfall regions and have very high water requirements, are the most vulnerable to droughts and present
15 high drought severity, -90.7 and -103.1 for the Lower Crocodile and Kaap, respectively. On the other
16 hand, the Kwena sub-catchment is the less affected by the hydrological drought, the hydrological drought
17 severity is around -22.4. It has less water requirements, in addition its discharge station (X2H070) is
18 located downstream of the major dam of the Crocodile River (the Kwena dam). Therefore, the dam's
19 operation to keep the flows in regulated levels together with the low water requirements contributes
20 significantly to its low vulnerability to droughts.

21
22 The hydrological drought severity on the other small upstream catchments, such as stations X2H012,
23 X2H008, X2H068 are more dependent on precipitation. On the other hand, the severity on the
24 downstream stations which drain bigger areas (X2H015, X2H022, X2H016) are not only affected by
25 precipitation but also highly affected by the increased water abstraction from the river for irrigation,
26 domestic and industrial use, thus presenting very high values of hydrological drought severity.
27 Accordingly, most upstream discharge stations have less variability on the SRI, and the downstream
28 stations present high variability of SRI and higher drought intensity. The maximum SRI reached by most
29 upstream sub-catchments is -2.1 and the maximum SRI reached by the downstream stations is -3.2. It
30 seems that the sub-catchment water transfers do not have much influence on the drought severity.

32 **3.2. Water deficit and groundwater abstraction**

33 The total water available versus water demand in the whole catchment since 1960 is presented in Figure
34 9. The total irrigated area in the Crocodile River catchment is 466.5 km² which correspond to around 4.5%

1 of the catchment. The main crops are the sugarcane, vegetables and citrus occupying about 44%, 31% and
2 20% of the total irrigated area, respectively. The remaining 5% is occupied by maize which is mostly
3 cultivated in the upper region. Results of the irrigation water requirements per sub-catchment are
4 presented in Table 5. The sub-catchment which presents the highest demand in terms of irrigation is the
5 Lower Crocodile; it demands around 50% of the total irrigation requirements in the catchment and it is
6 part of the driest area of the catchment. The variation of the irrigation water demand over the year (Figure
7 8) does not change according to the season, as it depends on many factors, mainly precipitation,
8 evaporation and crop type. The crop factor varies with the crop type, cropping pattern and plant
9 development, for instance, the vegetables are only planted in winter (between March and August) thus
10 requiring water only in this period, while sugar cane exists in the whole year but requires more water
11 during the hot season. Therefore, there is no correlation with evaporation or temperature for the total
12 irrigation water demands. However, it can be noted that despite the low evaporation between April and
13 September, the average irrigation water requirement during this period is slightly higher than the
14 irrigation average water requirement during October and March. This is mainly due to the low
15 precipitation in this period that coincides with the low temperature season.

16
17 The annual domestic and industrial water requirements in the Crocodile catchment are 95 Mm³/yr and
18 22.4 Mm³/yr, respectively, (DWAF, 2009). The Water Use Agreement (TPTC, 2002) signed between
19 Mozambique, South Africa and Swaziland stipulated that the Incomati River should maintain a minimum
20 flow of 2.6 m³/s average of a 3 days period in Ressano Garcia (in Mozambique). Thus, they
21 recommended that a minimum of 1.2m³/s should be maintained by the Crocodile River and 1.4 m³/s
22 should be maintained by the Komati River system. The annual water requirements for domestic and
23 industrial supply were distributed equally per month and per sub-catchment. The transboundary flow
24 requirement was distributed per sub-catchment based on the percent distribution of annual discharge of
25 each sub-catchment and further distributed equally per month.

26
27 Results of the water deficit computation (Table 5) show that the most stressed sub-catchments are those
28 located in the downstream area where precipitation is lower, evapotranspiration is higher and have higher
29 irrigation water demand. The upper catchments Kwena and Elands did not present any water deficit in
30 this period due to low water requirements. The total water deficit of the catchment, in the drought period,
31 is estimated to be to 159.8 Mm³/yr.

32 A water surplus in the wet season of around 57.1 Mm³/yr was obtained for the Kwena and White River
33 catchments. Results show that, the use of the existing drought mitigation plan (see Table 3), roughly,
34 would reduce the water deficit from 159.8 Mm³ to 97 Mm³, a reduction of 40%. This shows the critical

1 importance of using an additional source of water to cope with this hazard - a key role that groundwater
2 resources could play. The groundwater requirements for combating drought are listed in Table 5.

4 **3.3. Results of groundwater modelling**

5 The calibration of the steady groundwater flow model resulted in a good agreement between measured
6 and computed groundwater levels with R^2 of 0.96 and Nash Sutcliffe efficiency of 0.97 (Figure 10). The
7 simulated base flow per sub-catchment fits the observed base flow (Table 6), which was separated using
8 the HYSEP software (Sloto and Crouse, 1996) The net recharge represents the actual recharge; it is the
9 recharge from precipitation plus the river leakage into the groundwater storage minus the evaporation
10 from the groundwater storage. During the drought period the total net recharge for the whole catchment
11 was found to be 529 Mm³/yr which correspond to 50 mm/yr, around 8% of the total annual precipitation
12 during the drought. According to the groundwater study which covers the Crocodile area (WRC, 2005),
13 the long term annual average recharge in the Crocodile catchment is around 77.9 mm/yr which
14 correspond to 9% of the long-term average rainfall in the region. Thus, the percentage of recharge from
15 precipitation of this research and the (WRC, 2005) study are very close.

16
17 The calculated groundwater level contour lines generally follow the topography of the catchment.
18 Groundwater level is deeper in the high mountains within the catchment and shallower in plane areas as
19 the downstream region. The river is mainly fed by the aquifer, only in few areas as in the higher
20 mountains the aquifer is fed by the river. Water budget results show that the principal input of water in the
21 groundwater storage is the recharge from precipitation (479.52Mm³/yr). Evaporation from the
22 groundwater storage (120.26Mm³/yr) is low compared to the recharge given the fact that the groundwater
23 table is deep (> 5 m) in many parts of the catchment.

27 **4. Feasibility of using groundwater as an emergency source**

28 **4.1. Use of groundwater in a drought period (1992-1995 drought)**

29 Transient abstraction simulations were performed by assigning well abstraction rates equal to the
30 groundwater abstraction needs per sub-catchment listed in Table 5 and showing in Figure 11. Results
31 show that if 97 Mm³ of water is abstracted per year, then river base flow for the whole catchment would
32 reduce only by 3.1% (16.51 Mm³/yr), meaning that it is possible to use the groundwater as an emergency
33 source for drought mitigation. However, looking at the results in a sub-catchment scale, the most affected

1 sub-catchments in terms of reduction of base flow, as expected, are the drier sub-catchments: White
2 River, Kaap and Lower Crocodile. The most affected is the White River with a base flow reduction of
3 18%. The other catchments present a base flow reduction of around 8%. However, it is still feasible to
4 abstract water in these sub-catchments. The groundwater levels in Kwena and Elands sub-catchments do
5 not change because there are no abstractions in these sub-catchments while a maximum water table
6 drawdown of around 4m can be observed in the other catchments where there are abstractions, except for
7 White River catchment where drawdown reach values of around 20m.

8
9 **4.2. Use of groundwater in case of extremely severe drought**

10 Four scenarios of using groundwater in case of extremely severe drought proposed in Table 4 were
11 simulated with the transient groundwater flow model. The model simulation period consists of 4 drought
12 years (taking 1992-1995 drought as reference) followed by 11 normal years. Groundwater is abstracted
13 during the drought years, but switched off during the normal years. The monthly stress period is used to
14 consider seasonal variation of groundwater recharge. Model simulation results were analysed for the
15 maximum drawdown and reduction of base flow and compared the natural groundwater flow model, a
16 model where abstraction wells are not taken into account. For the simulation scenario 1, the base flow
17 reduction is low; it varies between 2.4% to 8.6% for the sub-catchments, except in White River where
18 base flow reduction is around 18%. In the most extreme situation of simulation scenario 4, base flow
19 reduction is higher: 12.2%, 12.5%, 20.1%, 11.3% and 21.1% in Kwena, Elands, Kaap, Middle Crocodile
20 and Lower Crocodile, respectively. And even much higher in the White River and Nelspruit sub-
21 catchments where the base flow reduction is 28.8% and 58.6%, respectively. Figure 12 shows the
22 decrease of groundwater levels for the observation wells located in White River as example. A maximum
23 drawdown of 1.2m, 3.5m and 10m was observed after 3 years in Kwena, Elands and Kaap sub-
24 catchments, respectively, in the simulation scenario 4. However, in sub-catchments White River and
25 Nelspruit the drawdowns are very high, and the worse case is the White River. The drawdowns reach
26 values of 28m, 36m and 49m in simulation scenarios 2, 3 and 4, respectively after 3 year of abstractions.
27 This happens due to the fact that these two sub-catchments are mainly constituted by intergranular and
28 fractured aquifer type with low permeability. This high drawdown besides affecting the agricultural
29 activity causes a decrease in the river flows, thus reducing the water availability to less than 50% in
30 simulation scenario 4 for the White River catchment (see Figure 13). Briefly, results of the abstraction
31 simulations for the different scenarios show that in most of the sub-catchments it is possible to use the
32 groundwater water for drought mitigation in case of extremely severe droughts. Groundwater levels
33 would recover back to pre-drought situation when emergency wells are switched off after the drought.

1 However, groundwater exploitation in White River and Nelspruit sub-catchments is limited due to very
2 high drawdowns and, consequently, high river flow reduction during the drought.

3 **5. Conclusions**

4 Several severe droughts occurred in the Crocodile catchment over more than 50 years from 1960 to 2011.
5 The most severe drought was the 1992-1995 drought. There are spatial difference in drought severity and
6 intensity. The lower and upper catchments show high meteorological drought severity, while the middle
7 catchment shows low meteorological drought severity. The hydrological drought severity is not only
8 affected by metrological drought, but also affected by the human interventions on the catchment. Thus,
9 the hydrological drought severity is higher in the most water stressed sub-catchments, such as the Kaap
10 and Lower Crocodile and is lower in the less water stressed catchments such as Kwena where the flows
11 are regulated by the Kwena dam reducing the severity of droughts. It was found that before 1975's the
12 meteorological drought severity was higher than the hydrological drought severity. On the contrary, after
13 1975's the hydrological drought severity is higher than the meteorological drought severity. This shift
14 could be due to increased water consumption in the catchment by forestry, irrigation and domestic use
15 over time.

16
17 The water balance study of the catchment shows that the total water deficit during a severe drought (such
18 as 1992-1995 drought) amounts up to 159.8 Mm³/yr, and the most stressed sub-catchments are the Lower
19 Crocodile, Kaap, White River, Nelspruit and Middle Crocodile. Taking into account the existing drought
20 mitigation plan (water storage and inter-basin transfers) this water deficit reduces only by about 40% to
21 97Mm³/yr. This shows that it is important to consider the use of groundwater to mitigate the droughts.
22 Groundwater abstraction simulation reveals that it is possible to use the groundwater as an emergency
23 source of water to mitigate the drought hazards in the Crocodile River catchment. In general, the Kaap,
24 Middle Crocodile and Lower Crocodile sub-catchments are most feasible for groundwater exploitation
25 while the groundwater exploitation in Nelspruit and White River catchments is restricted due to high river
26 flow reduction and high drawdown during the drought.

27 This case study demonstrates that conjunctive water management of groundwater and surface water
28 resources is necessary to mitigate the impacts of droughts. This needs a multi-methods approach
29 including coupled modelling of surface water and groundwater fluxes, where the detailed geological
30 features of the study area are taken into account, as well as a long time series of groundwater levels which
31 are crucial for the good model calibration.

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9 Africa for the data provided.

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1 **Lists of Tables**

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1 **Table 1** - List of used data sets

Precipitation stations				
Station name	Station number	Start year	End year	% of missing data
Alkmaar	0555567 W	1940	2012	0.0
Oorschot	0518859 W	1940	2000	0.0
Elandshoek	0517816 W	1940	2000	3.3
Rietvallei	0555441 W	1940	2001	5.0
Machadodorp	0517430 W	1940	2012	11.1
Kaapsehoop	0518455 W	1940	2000	28.3
Vlakplaats	0518186 W	1940	2001	4.9
Dullstroom	0554175 W	1940	2000	0.0
Mayfern	0556088 W	1940	2012	9.7
Weltevreden	0517762 W	1940	2012	4.2
Nelshoogte	0518589 W	1940	2012	4.2
Brooklands	0555405 W	1940	2012	1.4
Riverside	0557115 W	1940	2000	3.3
Witklip	0555673 W	1940	2012	0.0
Malelane	0556898 W	1940	2000	0.0
Krokodilbrug	0557712 W	1940	2012	0.0
Uitsoek	0555137 W	1940	2012	16.7
Discharge stations				
Boschrand	X2H005	1960	2012	0.0
Sassenheim	X2H008	1948	2012	2.8
Bellevue	X2H010	1948	2012	0.0
Geluk	X2H012	1956	2012	0.0
Lindenau	X2H015	1959	2012	4.9
Ten Bosch	X2H016	1960	2012	3.5
Dolton	X2H022	1960	2012	7.5
Bornmans Drift	X2H031	1966	2012	5.9
Weltevrede	X2H032	1968	2012	3.1
Witklip Dam	X2H068	1969	2012	0.0
Kwena Dam	X2H070	1979	2012	0.0

2

3

1 **Table 2 - SPI or SRI classes**

SPI or SRI range	Classification
$\text{SPI or SRI} \leq -2.0$	Extremely dry
$-2.0 < \text{SPI or SRI} \leq -1.5$	Severely dry
$-1.5 < \text{SPI or SRI} \leq -1.0$	Moderately dry
$-1.0 < \text{SPI or SRI} \leq 1.0$	Near normal
$1.0 < \text{SPI or SRI} \leq 1.5$	Moderately wet
$1.5 < \text{SPI or SRI} \leq 2.0$	Severely wet
$\text{SPI or SRI} \geq 2.0$	Extremely wet

2 Source: (Sienz and Jahnke-Bornemann, 2012)

3

1 **Table 3- Water transfer in the Crocodile catchment**

Transfer from	Transfer to	Amount transfer (Mm³/yr)
Nelspruit	White River	3.0
(*) Sabie and Lomati	Kaap	8.5
Middle Crocodile	Lower Crocodile	25.6
(*) Sabie and Lomati	Lower Crocodile	6.0

2 Source: (DWAF, 2009), (*) Outside the Crocodile

3

4

5 **Table 4 - Abstractions simulation scenarios**

Scenarios	Recharge	Wells abstraction
Simulation 1	Reduced in 10%	Increased in 10%
Simulation 2	Reduced in 25%	Increased in 25%
Simulation 3	Reduced in 50%	Increased in 50%
Simulation 4	Reduced in 50%	Increased in 100%

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1

2 **Table 5** - Irrigation water requirements and water deficit per sub-catchment for the 1992-1995 drought

Sub-catchment	Irrigation requirements (Mm³/yr)	Water deficit before applying existing drought mitigation plan (Mm³/yr)	GW abstraction needs after applying existing drought mitigation plan (Mm³/yr)
Kwena	6.43	0	0
Elands	12.47	0	0
Nelspruit	22.83	-8.1	11.0
White River	17.58	-35.5	27.0
Kaap	80.3	-12.8	24.0
Middle Crocodile	55.18	-15.4	10.4
Lower Crocodile	196.48	-88	24.6
Whole catchment	391.27	-159.8	97.0

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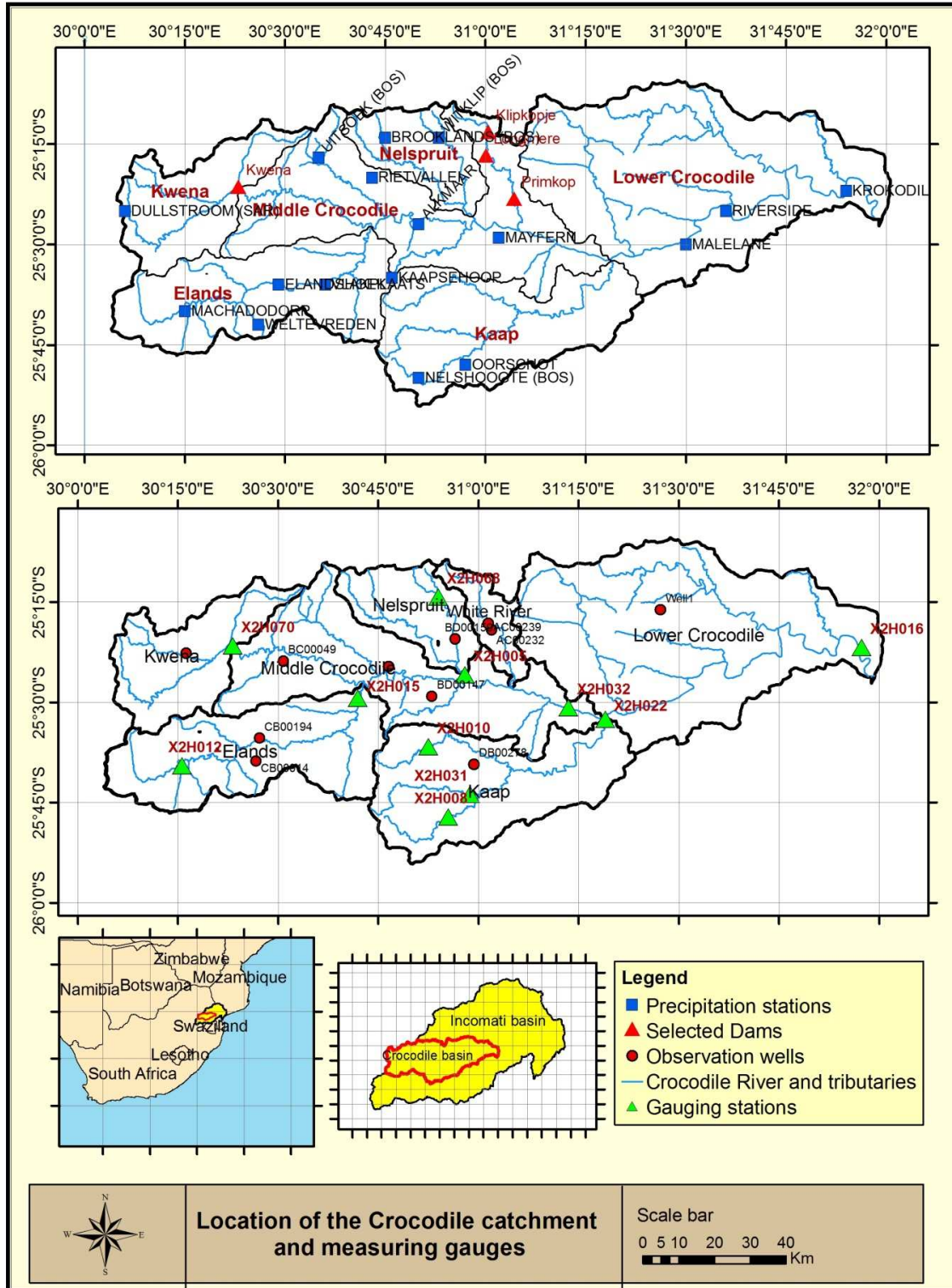
6 **Table 6**- Groundwater model calibration results

	Recharge (Mm³/yr)	Net recharge (Mm³/yr)	Simulated base flow (Mm³/yr)	Observed base flow (Mm³/yr)	% Difference
Kwena	97.15	99.39	55.74	54.97	1.4%
Elands	141.78	162.90	127.25	126.18	0.8%
Nelspruit	103.73	102.45	45.09	45.19	-0.2%
White River	21.98	24.48	10.01	9.91	1.0%
Kaap	21.80	54.28	61.20	61.32	-0.2%
Middle Crocodile	68.67	66.85	196.43	197.90	-0.7%
Lower Crocodile	21.98	18.67	32.87	32.56	0.9%
Whole catchment	477.07	529.01	528.59	528.04	0.1%

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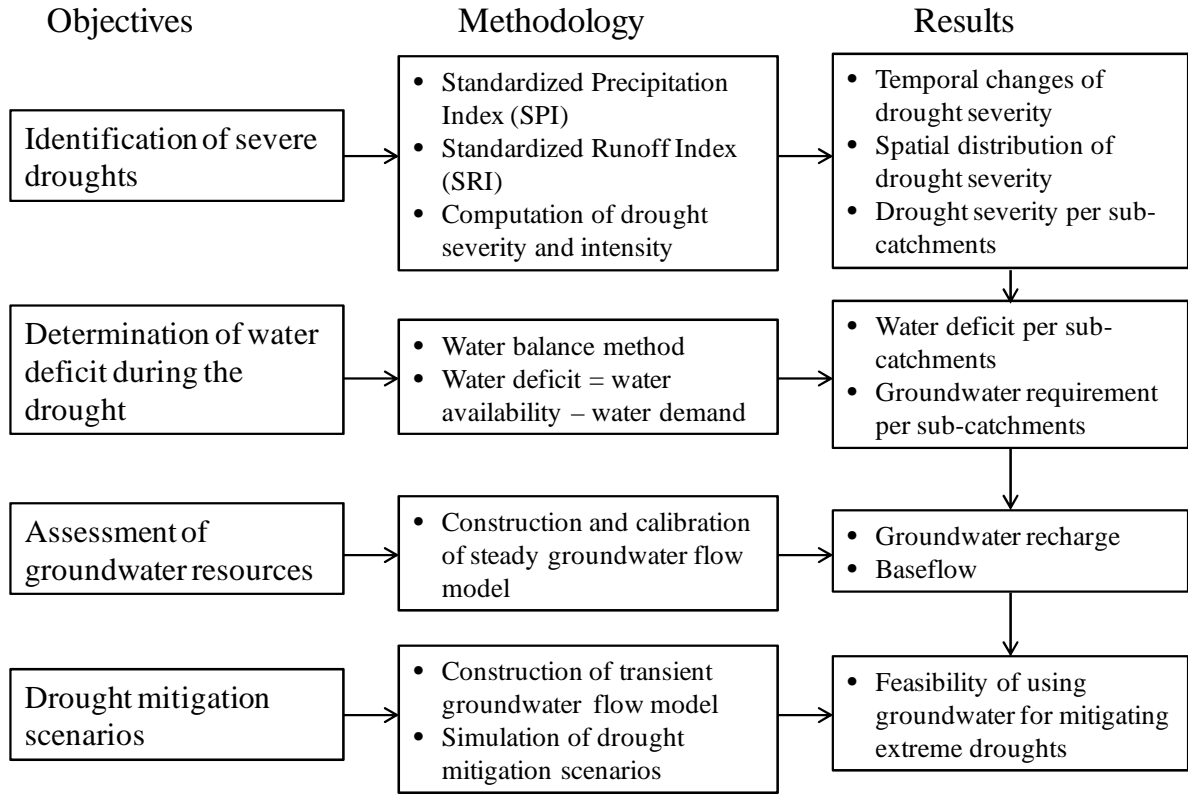
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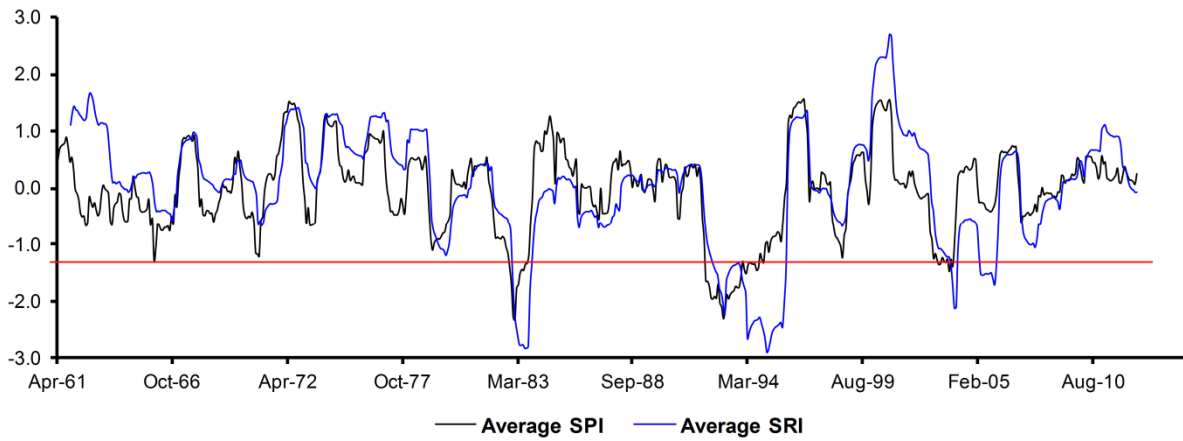
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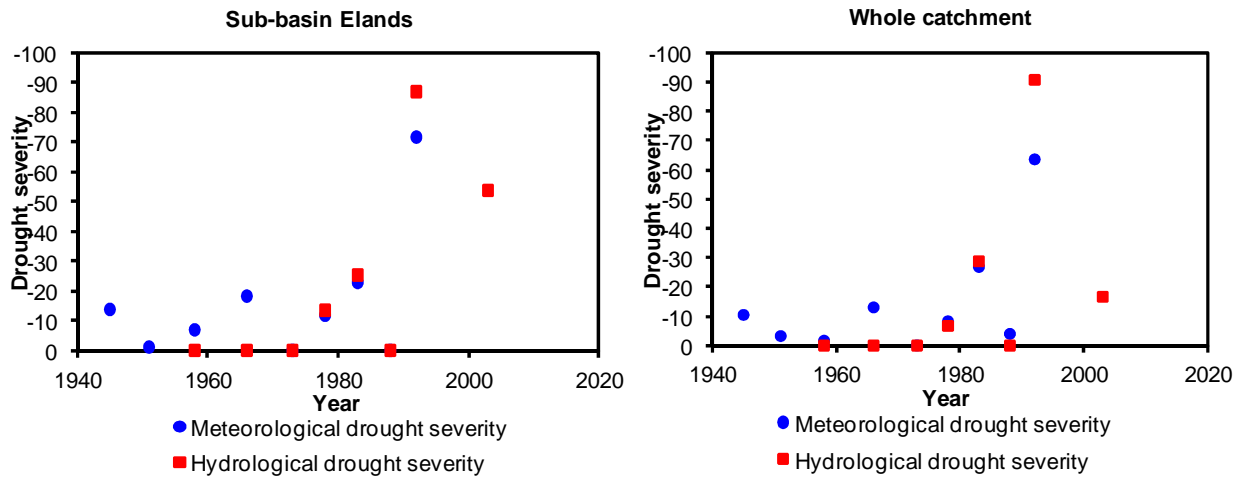
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Figure 2 Research methodology



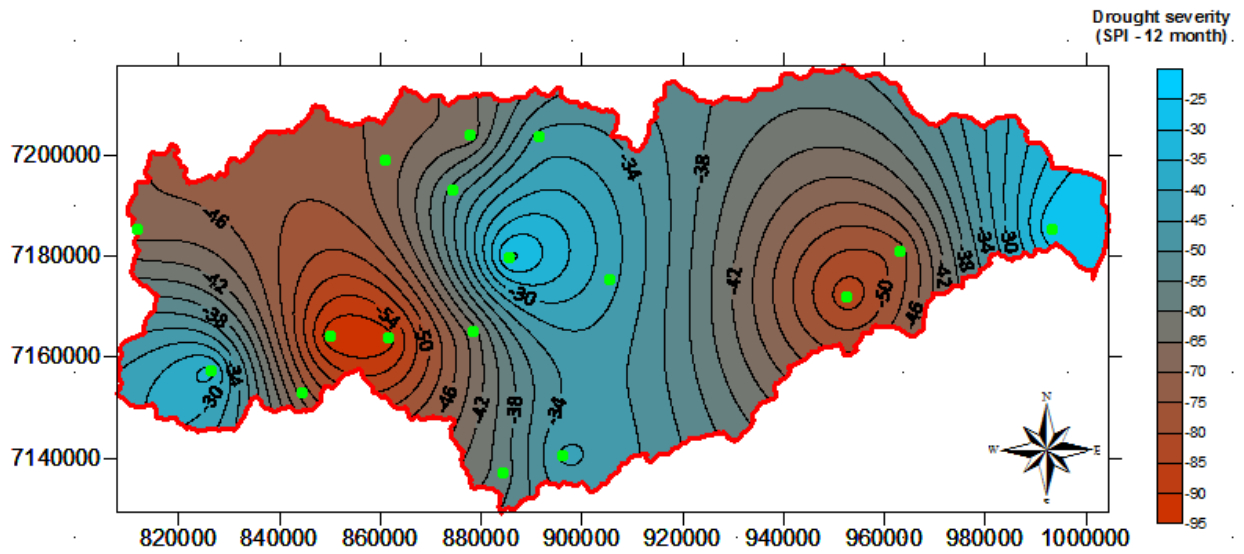
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Figure 3 Average SPI and SRI (12 month scale)



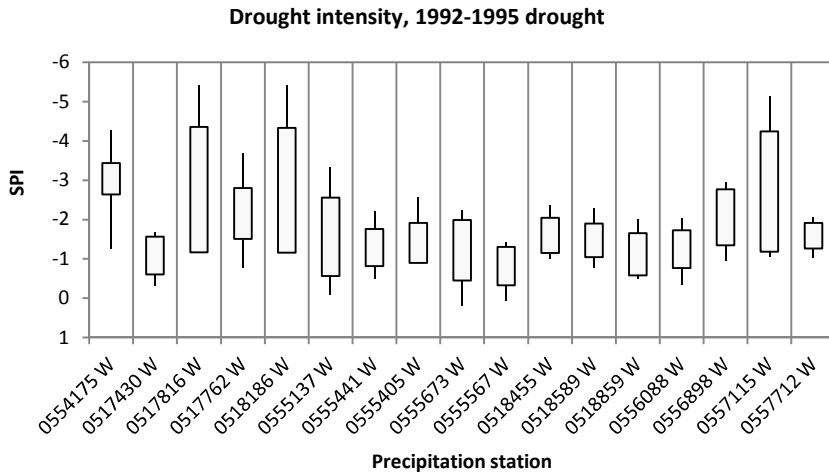
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2 **Figure 4** Drought severity in sub-catchment Elands and the whole catchment

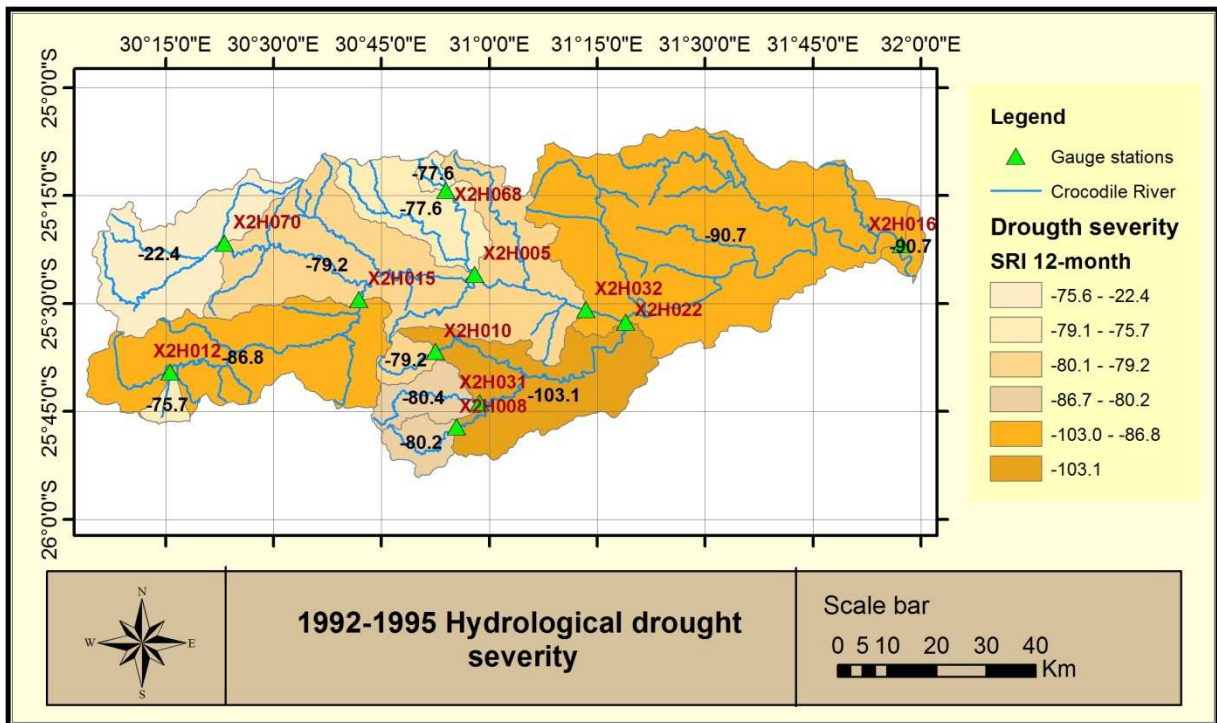


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4 **Figure 5** Distribution of the meteorological drought severity during the 1992-1995 drought

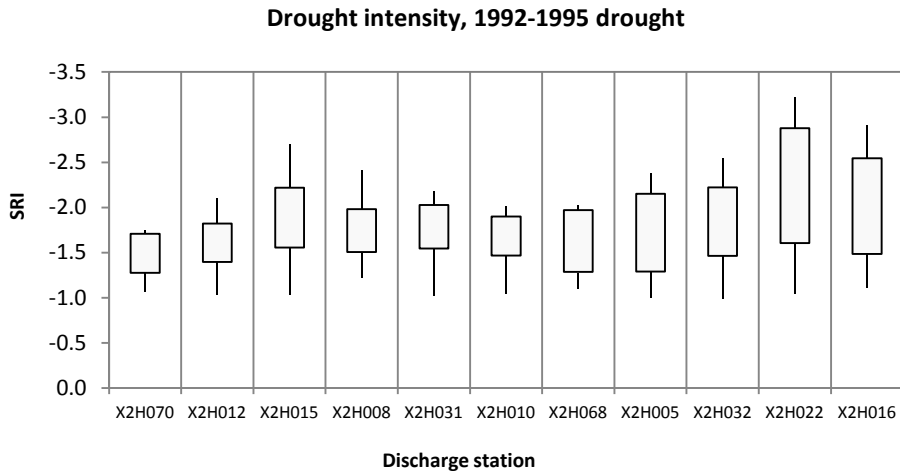


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2 **Figure 6** Meteorological drought intensity during the 1992-1995 drought

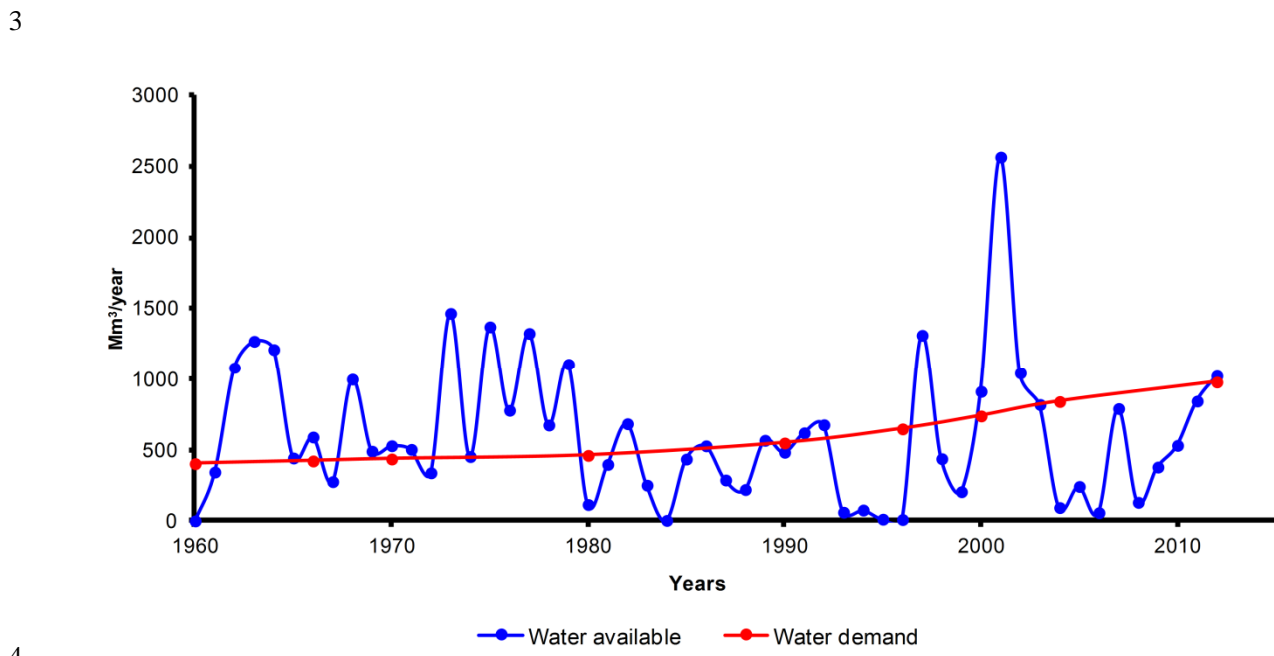


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4 **Figure 7** Spatial variability of the hydrological drought severity during the 1992-1995 drought

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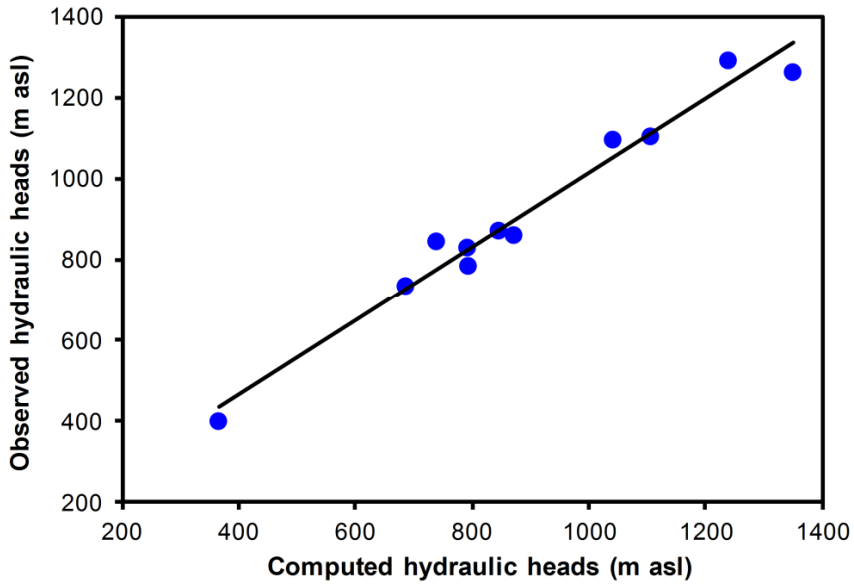


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2 **Figure 8** Hydrological drought intensity during the 1992-1995 drought



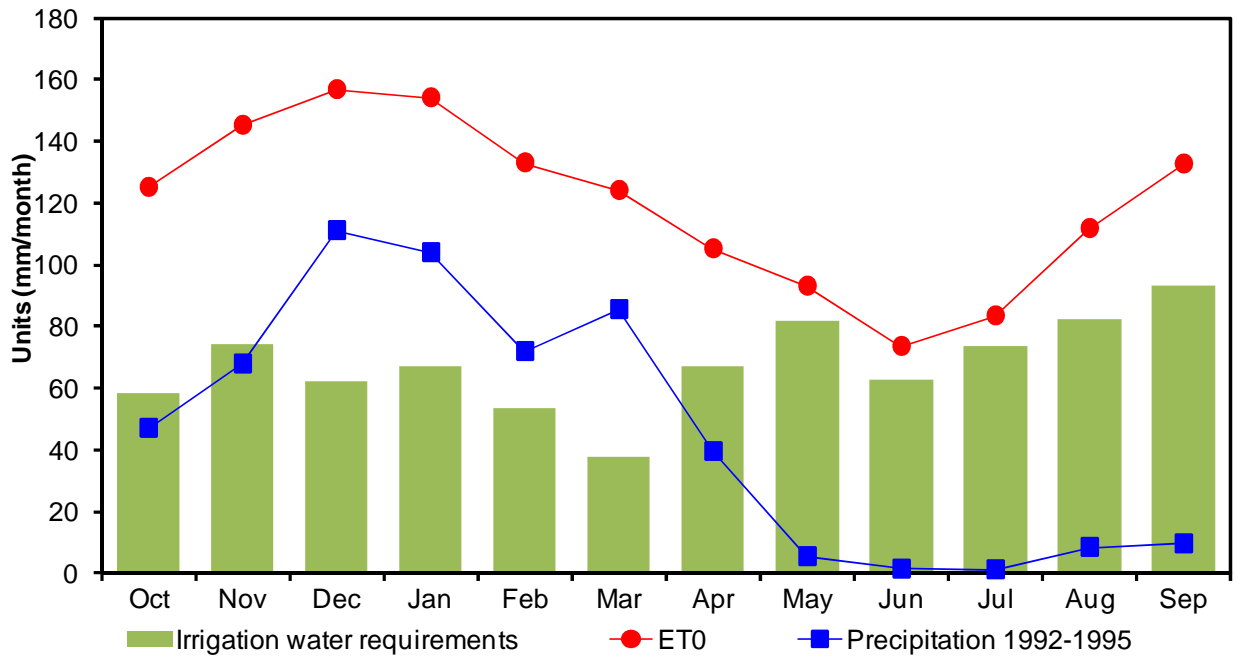
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5 **Figure 9** Water available versus water demand for the whole catchment

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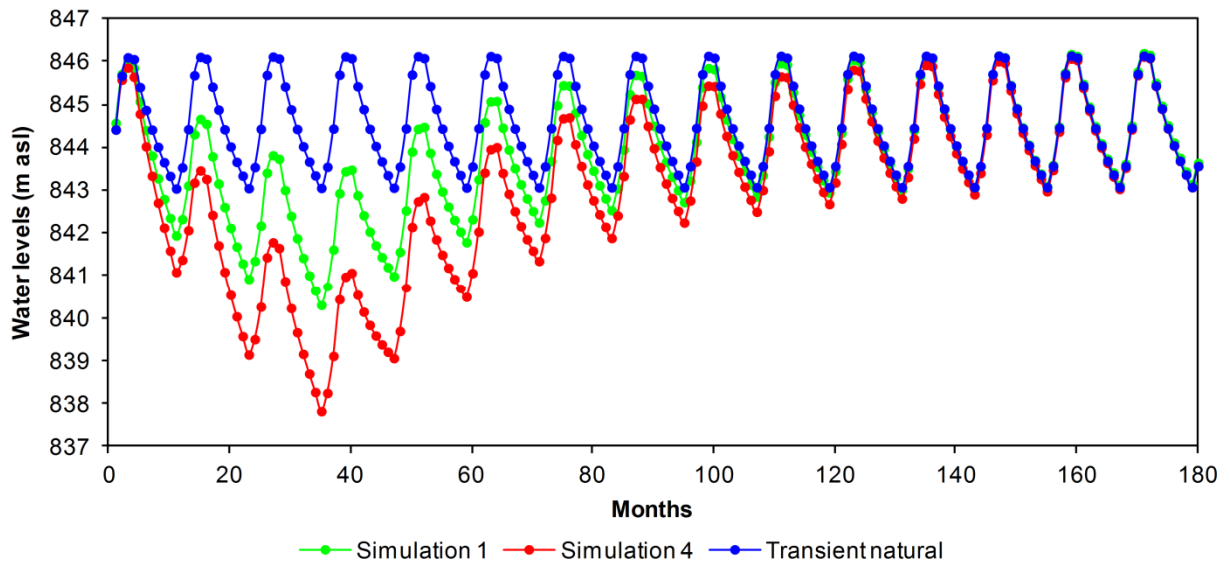
Figure 10 Scatter plot of the computed and observed groundwater heads



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Figure 11 Irrigation water requirements during drought period 1992-1995 for the whole catchment

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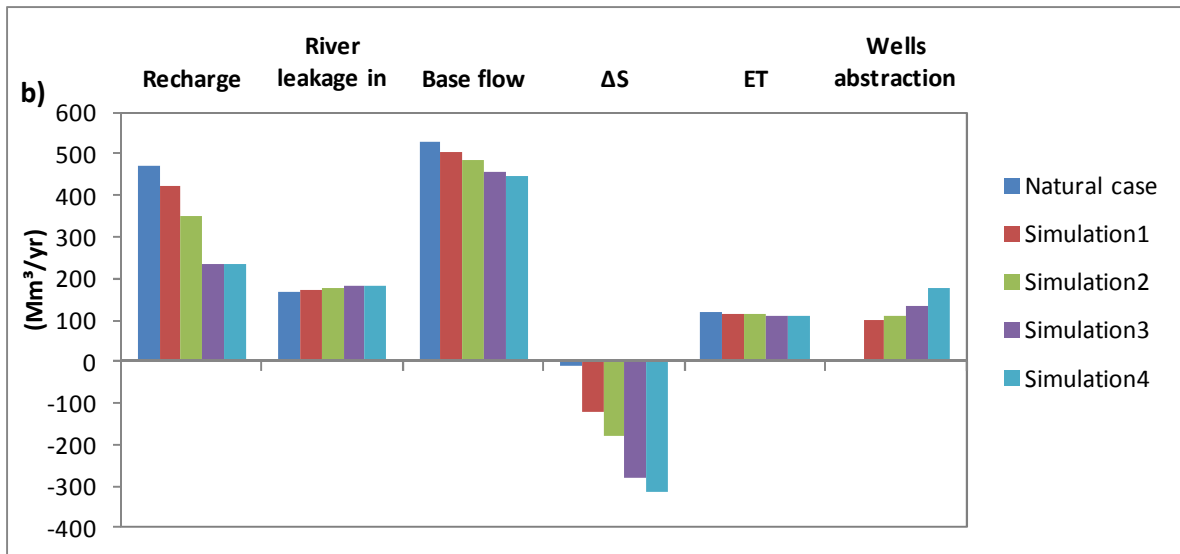
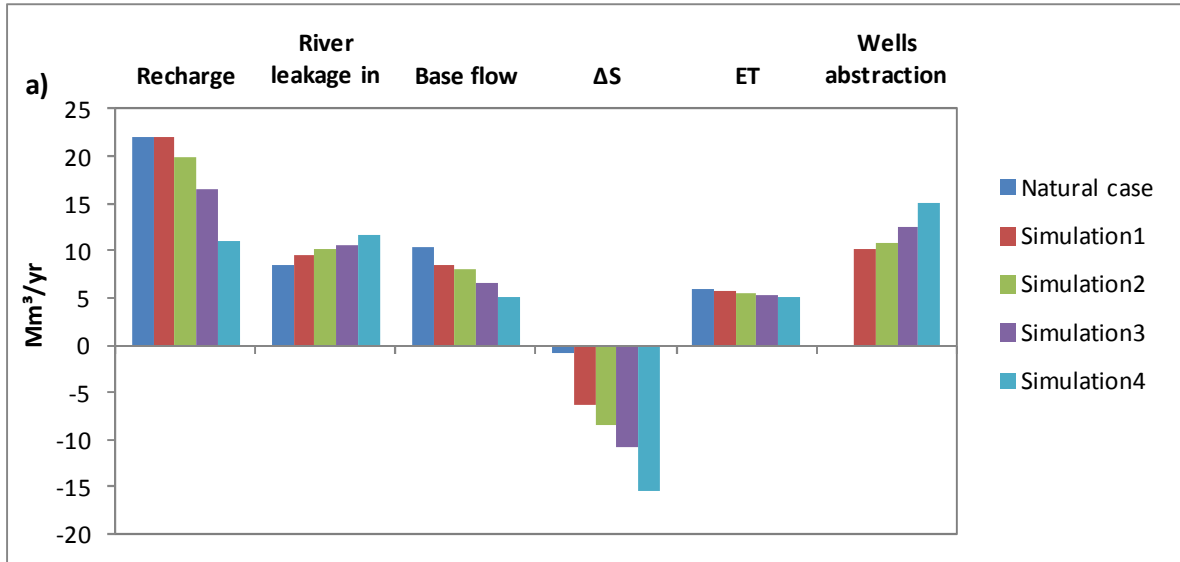


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3 **Figure 12** Simulated groundwater levels in well observation AC00232 at White river catchment

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 2 **Figure 13** Water balance components of 4 simulation scenarios for a) White River and b) whole
 3 catchment