

## **Point-by-point-replies to the reviewer comments and explanation of the corresponding changes in the manuscript**

Dear editors, dear Jesus,

I have revised the paper according to your instructions and the referee comments. In the following, please find a list of changes made following the suggestions of the referees.

In general:

I was pleased to see that all referees seem to have liked the manuscript and have recommended its publication. Despite some critical remarks and discussion, I didn't see or feel the need for major changes. There are a lot of locations where things could be explained and discussed (much) more thoroughly, also mentioning or acknowledging different perspectives on individual topics (e.g. to add a discussion whether or not considering global scale modelling would change the perspective on the topic). But this would have blown up the paper without adding significantly to conveying its key messages. To make sure what these key messages are, I have added some more lines explaining the scope of my paper more clearly both in the abstract and in the introduction.

In particular, some of the referees would obviously have wished to find a more "physics-based" discussion of the issue, i.e. a discussion of how better integration of groundwater and surface water can be achieved on a technical / physical level. But it was not my intention to talk much about this.

The changes I made are explained below. The reasoning behind the changes is explained briefly, a more thorough explanation can be found in my author comments to the individual referee comments. Therefore, please refer also to the direct replies to the referee comments made in the interactive discussion.

I have also added a paragraph that points out that some of the statements I make are rather short, simplified and bold to keep the paper short, even if the topics are complex and would require a much longer, more controversial discussion. In particular referee #3 focusses much on such details. I think it is clear that a discussion, e.g. on the differences of GW and SW hydrographs, cannot encompass all possible exceptions but only point to general tendencies.

Some of the referees have provided interesting references. I have not included many of these because I don't think that it is possible to include these without additional discussions and explanations that would make the text much much longer.

To make the changes better visible I have submitted an additional version of the manuscript where all changes are highlighted.

### **Referee 1:**

Referee #1 basically suggests two changes:

1. to remove or better integrate the examples in section 4
2. to add a discussion on which problems require cross-disciplinarity and which don't

Changes I made:

to 1.: as explained in my author comment (AC) to referee 1, I would like to keep the examples. I have rewritten the section where the examples are introduced and I have pointed out more clearly that a detailed explanation of those examples can be found in Barthel (2006). I have added a better explanation of what I meant with the connection of GW and SW being shallow in the hillslope example. The referee has a point when he says that the problem is rather one between GW-hydrologists and agronomists (soil scientists?) – which is true depending on definitions of the disciplines – however, most if not each hydrological models contain some sort of description of the process where “water percolates from the unsaturated to the saturated zone and eventually becomes baseflow...” – the conceptual views on this part of the model concept is what I am referring to. I have added an explanation of this.

to 2.: as explained in my author comment (AC) to referee 1, it is clear that such a discussion would be interesting (and needed), but it would make the paper very very long. But more importantly, the objective of this opinion paper is to point out that there is an issue, but not to provide a guideline of how to solve the related problems. In this sense I have decided not to extend the discussion. I have, however, added a better explanation of how I think the interdisciplinary aspects of a problem should be evaluated. In general, I think the questions raised by referee 1 are much better answered by larger publications as the one by Bronstert et al. (2005) (i.e. in a book!). I have put in this reference at this location.

Referee #2

The changes that Referee #2 suggests are essentially the following:

1. More focus on scale issues (space and time) as a cause for the separation of GW and SW hydrology
2. Put more emphasis on longer time scales
3. Include a real world example to demonstrate the interaction at regional scales / long time scales
4. Sharpen the scientific issues and focus less on the societal ones

Changes I made:

In general: reviewer 2 would obviously have liked to see more emphasis on the technical aspects (physical/chemical/mathematical) of the integration of GW and SW research. But as pointed out in my author's replies to his review, this is outside the scope of my paper. Such technical issues are discussed in many other papers and books, e.g. again (Bronstert et al., 2005).

to 1.: as pointed out in the AC I don't think that the issue of differences in time scales of interest is a major one with respect to the subject of my manuscript. I have thus not included a much longer discussion of the topic but only added a few lines, mainly following the line of thought of the reviewer

to 2.: I mention longer time scales in the same new paragraph as 1. see above

to 3.: this would be leading too far away from what I originally intended with this article. I did not want to approach the topic on a technical (physical, chemical) level. It is not supposed to be a research or review article. I did not make any changes related to this.

to 4.: again, this is outside the scope of my opinion paper. I would also claim that what the reviewer would like to see is not possible in a journal paper, too many different aspects and contexts have to be considered. Books as (Bronstert et al., 2005) fulfill this task. I did not make any changes related to this.

Finally, there is obviously a need to correct a misunderstanding: I am not saying that GW and SW will never come together. This is not what I intended to say. Even if I can't find the location where I might have said this, I felt the need to point out more clearly what I meant and have thus added an explanation.

### Referee #3

I am referring directly to the locations in the manuscript that Referee #3 is commenting on. Please see also my replies made in the AC. In general, R3 focusses much on details that I just can't address adequately in this paper.

1. Introduction: include / address / mention activities on even larger scales, comment on this: I mention these activities briefly, but I don't have a clear opinion how these may influence / change the views expressed in my opinion paper – therefore no further discussion.
2. Page 2022, 1st paragraph: See my comments in the AC. I tried to explain better what I really mean. It's difficult though, as it is a complex aspect with many different facets. Any detailed consideration would make the paper much longer. What I did is to point out, even more clearly, that this holds for the majority of hydrographs, but that there are exceptions and I am using one of the examples the referee gave.
3. Page 2022, 2nd paragraph: Same as previous. I have tried to find a better wording but I want to avoid making the text much longer.
4. Page 2022, 3rd paragraph: Same as previous.
5. Page 2023, 1st paragraph: I am pointing out that I observe tendencies that surface water models are less physics based as subsurface water models – the discussion about this could be endless. And yes, water goes into the atmosphere, I have corrected this!
6. Page 2024: The reviewer is right, but as he himself says: this discussion is an endless one. Again I am pointing now out that there is a tendency, but have avoided to go deeper into this discussion

7. Page 2026: The reviewer would like to see a discussion of the questions: “when should one couple a surface with a sub-surface model”? and “to what degree (one way, two way...) is a coupling useful?” These are indeed very valid and important questions but it is far beyond the scope and possibilities of this manuscript to discuss them.
8. Page 2027: I couldn’t figure out what he/she is referring to.

In general, the comments made by R3 made it clear to me, that I need to point out that much of the discussion is a harsh simplification of topics that are in fact extremely complex. I have added such a statement at the beginning of the section.

#### **Referee #4**

Reviewer 4 raises a lot of very interesting questions and adds many important aspects to the discussion but as I said in my author’s replies to his comments, I regard this rather as a follow-up discussion or an external extension of my manuscript, than as a request to revise the paper.

What I did though, is to add some lines in the introduction that better explain the scope of the paper, what it wants and, more importantly what it cannot provide.

#### **References**

Barthel, R.: Common problematic aspects of coupling hydrological models with groundwater flow models on the river catchment scale, *Adv. Geosci.*, 9, 63-71, 2006.  
Bronstert, A., Carrera, J., Kabat, P., and Lütke-meier, S.: *Coupled models for the hydrological cycle - integrating atmosphere, biosphere, and pedosphere*, Springer, 2005.

# 1 **Integration of groundwater and surface water research: an** 2 **interdisciplinary problem?**

3

4 **R. Barthel<sup>1</sup>**

5 [1]{Department of Earth Sciences, University of Gothenburg, Sweden}

6

## 7 **Abstract**

8 Today there is a great consensus that water resources research needs to become more holistic,  
9 integrating perspectives of a large variety of disciplines. Groundwater and surface water  
10 (hereafter: GW and SW) are typically identified as different compartments of the hydrological  
11 cycle and were traditionally often studied and managed separately. However, despite this  
12 separation, these respective fields of study are usually not considered to be different  
13 disciplines. They are often seen as different specialisations of hydrology with different focus,  
14 yet similar theory, concepts, methodology. The present article discusses how this notion may  
15 form a substantial obstacle in the further integration of GW and SW research and  
16 management.

17 The article focusses on the regional scale (areas of approx.  $10^3$  to  $10^6$  km<sup>2</sup>), which is identified  
18 as the scale where integration is most greatly needed, but ironically the least amount of fully  
19 integrated research seems to be undertaken. The state of research on integrating GW and SW  
20 research is briefly reviewed and the most essential differences between GW hydrology (or  
21 hydrogeology, geohydrology) and SW hydrology are presented. Groundwater recharge and  
22 baseflow are used as examples to illustrate different perspectives on similar phenomena that  
23 can cause severe misunderstandings and errors in the conceptualisation of integration  
24 schemes. It is also discussed that integration of GW and SW research on the regional scale  
25 necessarily must move beyond the hydrological aspects, by collaborating with social sciences

1 and increasing the interaction between science and the society in general. The typical  
2 elements of an ideal interdisciplinary workflow are presented and their relevance with respect  
3 to integration of GW and SW is discussed.

4 The overall conclusions are that GW hydrology and SW hydrogeology study rather different  
5 objects of interest, using different types of observation, working on different problem settings.

6 They have thus developed different theory, methodology and terminology. Yet, there seems to  
7 be a widespread lack of awareness of these differences which hinders the detection of the  
8 existing interdisciplinary aspects of GW and SW integration and consequently the  
9 development of truly unifying, interdisciplinary theory and methodology. Thus, despite

10 | having the ultimate goal of creating a more holistic approach, we ~~should~~may have to start  
11 | integration by analysing potential disciplinary differences. Improved understanding among  
12 | hydrologists of what *interdisciplinary* means and how it works is needed. Hydrologists,  
13 | despite frequently being involved in multidisciplinary projects, are not sufficiently involved in  
14 | *developing interdisciplinary strategies* and do usually not regard the process of integration as  
15 | such as a research topic of its own. There seems to be a general reluctance to apply (truly)  
16 | interdisciplinary methodology because this is tedious and few, immediate incentives are  
17 | experienced.

18 | The objective of the present opinion paper is to stimulate a discussion rather than to provide  
19 | recipes on how to integrate GW and SW research or to explain how specific problems of GW-  
20 | SW interaction should be solved on a technical level. For that purpose it presents complicated  
21 | topics in a rather simplified, bold way, ignoring to some degree subtleties and potentially  
22 | controversial issues.

# 1 Introduction

## 2 1.1 The status of integration of groundwater and surface water hydrology

3 “*Easy to say, hard to do: integrated surface water and groundwater management in the*  
4 *Murray–Darling Basin*” is the title of a recent publication (Ross, 2012a) on the difficulties of  
5 managing integratively what should be understood as “*a single resource*” (Winter et al.,  
6 1998). The Murray Darling Basin in Australia can be considered a good example for a  
7 regional-scaled catchment with a long tradition in integrated research (Blackmore, 1995), but  
8 still, as Ross (2012a) points out, there seem to be large deficits in the actual integration of  
9 groundwater and surface water management. To a lesser degree he identified the same  
10 problem setting in Colorado and Idaho (Ross, 2012b), and many other authors describe a  
11 similar separation in different parts of the world (e.g. Levy and Xu, 2011 for South Africa).  
12 Ross (2012a) studied the obstacles to integration from a foremost social science perspective  
13 with a focus on legal and economical questions. In his discussion, he mentions briefly the  
14 separation of groundwater and surface water researchers into different scientific communities  
15 as one cause for the lack of truly integrative approaches. The present article strives to look at  
16 this separation of communities as a cause for the lack of integration more closely:

- 17 • Are groundwater hydrology (or hydrogeology, or geohydrology - hereafter regarded as  
18 synonyms) and surface water hydrology just specializations of the same discipline  
19 (and thus following the same principle ideas and concepts) or is it possible that they  
20 are rather far from each other, each with their own traditions, concepts, models and  
21 objectives and thus not working together as closely as they should (or could)?
- 22 • Are these differences particularly emphasized for regional scale research?
- 23 • Should we thus regard the *integration* of groundwater and surface water research on  
24 the regional scale as an interdisciplinary problem and try to learn and benefit from  
25 interdisciplinary research concepts applied in other sectors of science?

1 The discussion of how acknowledging groundwater hydrology and surface water hydrology as  
2 different disciplines may help to better integrate them is the main but not the only aspect of  
3 the present article. Recognizing a problem as interdisciplinary is a first and very important  
4 step, but developing an interdisciplinary approach from there requires of course much more.  
5 Interdisciplinarity has long become a buzz-word in the scientific world. Hydrology maybe  
6 was not always at the forefront of their respective related activities, but more recently, more  
7 and more authors argue strongly for it. Both in the groundwater community (e.g. Galloway,  
8 2010; Langevin and Panday, 2012; Miller and Gray, 2002; Schwartz, 2013 ) and in the more  
9 surface water-oriented community (e.g. Montanari et al., 2013; Sivapalan et al., 2012;  
10 Wagener et al., 2010) authors argue convincingly for a more holistic perspective and more  
11 interdisciplinary approaches of hydrological research - including collaboration with social  
12 sciences and a much deeper integration of societal demands. However, all the above  
13 mentioned authors, even if they very persuasively point out why this is necessary, they do not  
14 say much about the practical ways to implement this.

15 It has to be pointed out that this discussion paper does not attempt to make suggestions of  
16 how integration of research in groundwater and surface water hydrology could be, or should  
17 be performed in a technical (i.e. physical/chemical/mathematical) sense. It is also beyond the  
18 scope of this paper to exemplify, which specific problems should be studied in an  
19 interdisciplinary way and which might not need such an approach. Many authors have  
20 discussed such aspects in excellent research and review papers (e.g. Sophocleous, 2002) or  
21 comprehensive compilations in books -(e.g. Bronstert et al., 2005). The objective of this  
22 opinion paper is rather to point out that knowing what separates GW and SW research, might  
23 help us to come to better mutual understanding, better communication and finally better  
24 integration. The level of this discussion is thereby rather non-technical, to avoid that the key  
25 messages of the discussion get lost in arguments about technical details.

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## 1 1.2 Why the regional scale?

2 As pointed out before there is an overwhelming consensus among scientist and practitioners  
3 in the entire water sector that the pressing problems in water resources management can only  
4 be solved in an integrated way (Savenije and Van der Zaag, 2008). Building on this, the  
5 discussion in the present paper is foremost concerned with integration on the regional scale or  
6 catchment scale, i.e., areas between  $10^3$  and  $10^6$  km<sup>2</sup>. This choice was made mainly because  
7 from a practical management (or societal) view point, the largest need for integration and thus  
8 integrated research exists on larger scales (Bouwer, 2002; Holman et al., 2012; Refsgaard et  
9 al., 2010). The larger the area of study, the more factors and processes have to be considered  
10 (including societal aspects) – thus integrated solutions are required (Højberg et al., 2013;  
11 McGonigle et al., 2012). The smaller an area is the more likely it is that a non-integrative

12 solution is sufficient. As many statements in this discussion paper, the latter is one that might  
13 be discussed controversially. From a purely scientific viewpoint, it could be argued that  
14 integration can best be achieved on small scales (where it is less time-consuming) and that the  
15 found approaches could then be scaled up from there. From a more practical, management  
16 point of view, however, it may be doubted that this is feasible, in particular with respect to the  
17 integration of socio-economic aspects. At the same time it could or should be mentioned that  
18 on the other end of the spectrum of scales, more and more attempts are made to integrate an  
19 even wider range of hydrological processes on continental and global scales. These are  
20 important developments and integration on those scales may be equally important as on the  
21 regional scale.

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## 23 2 Current status of integration of ground and surface water research on the 24 regional scale

25 The question discussed in this article is, if regarding groundwater hydrology and surface  
26 water hydrology as essentially different disciplines could help to better integrate groundwater

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1 and surface water research. This implies the assumption that the current state of integration of  
2 these two topics requires improvement. To see if this assumption is appropriate, three major  
3 domains of integrated research on groundwater and surface water are briefly evaluated:

- 4 1. Integrated regional field studies
- 5 2. Integrated regional modelling
- 6 3. Integrated regional management and assessment

7 **Integrated regional field studies** use field observations from both compartments to analyse  
8 and describe properties and processes across boundaries between groundwater and surface  
9 water. As comprehensive reviews of this subject are not available, it is difficult to provide  
10 evidence for the following statements without citing a huge number of individual references.  
11 Most readers might still agree that from the overwhelming number of scientific studies that  
12 use regional hydrological data sets (be it proprietary measurements or from public  
13 observations networks) relatively few combine groundwater and surface water observations in  
14 a truly integrated way. The majority of studies that actually do integrate observations from  
15 those different compartments, are rather descriptive (e.g. in to generate status reports, thereby  
16 often separating groundwater and surface water in different chapters) than oriented at  
17 analysing interaction between the compartments. On the other hand, the majority of field  
18 studies that actually do look at exchange processes and feedbacks of groundwater and surface  
19 water are carried out at local scales: hill slopes, riparian systems, the hyporheic zone, flood  
20 plains, etc.

21 **Integrated regional modelling:** In comparison to integrated field studies, models are not  
22 immediately constrained by the size of the study area and the costs of observations. In  
23 contrary, one essential purpose of models is to describe indirectly and evaluate processes and  
24 properties in between or in the absence of direct observations. This is why regional integrated  
25 models that provide coupled descriptions of groundwater and surface water processes are

1 quite abundant. Generally, two main types of integrated groundwater - surface water models  
2 can be distinguished: (i) fully coupled models - where equations governing surface and  
3 subsurface flows are solved simultaneously, (ii) loosely coupled models - iterative solution  
4 methods, where models are linked by using the results of one model as an input to another  
5 (for a more detailed description of the available schemes and the respective differences see  
6 Barthel and Banzhaf (2014). The full capacity of fully coupled codes like ParFlow (Kollet and  
7 Maxwell, 2006) and HydroGeoSphere (Brunner and Simmons, 2012) has so far foremost been  
8 demonstrated in study areas smaller than what was defined as *regional* in the present paper.  
9 The more common approach on the regional scale is loose coupling, with typically a focus on  
10 either groundwater or surface water, where the respective “less important” side is represented  
11 by rather simplified equations and geometry (Furman, 2008; Markstrom et al., 2008). In  
12 general, the vast majority of regional models cannot be called integrated in a process-based  
13 sense. Rossman and Zlotnik (2013), who reviewed 88 regional groundwater-flow modelling  
14 applications from the US, found that only 7% of those made an attempt to couple groundwater  
15 and surface water. An interesting observation regarding integrated groundwater-surface water  
16 models on the regional scale is that calibration (validation, verification) is often done using  
17 *only* surface water observations (Hattermann et al., 2004; Sebben et al., 2013).  
18 The objective of **integrated regional management and assessment** is managing the  
19 technical, environmental, social and economic aspects of groundwater and surface water  
20 resources and their interaction. While field studies and modelling can be carried out at any  
21 scale, the regional scale is the typical scale for integrated water resources management and  
22 assessment. The lack of integration of groundwater and surface water in water resources  
23 management was already pointed out in the very beginning of the article. While IWRM is a  
24 by now well-known and accepted concept (Savenije and Van der Zaag, 2008), the success of  
25 integrated management and assessment is different and greatly dependent upon traditions,

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1 water law, hydrological/hydrogeological conditions in different countries and regions (Ross,  
2 2012b). Various deficits and challenges encountered in the integrative management of  
3 groundwater and surface water are addressed by several authors (Brugnach et al., 2007; Croke  
4 et al., 2007; Foster and Ait-Kadi, 2012; Jakeman and Letcher, 2003; Junier and Mostert, 2012;  
5 Ross, 2012a). The integrated management of groundwater and surface water inherits the  
6 foremost technical problems for integrated field studies and integrated modelling described  
7 above as it necessarily bases on those. Additionally, it is facing institutional problems and  
8 social conflicts that even add another dimension – showing a need for a much wider scope of  
9 integrated groundwater-surface water research on the regional scale (see section 12).

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### 11 **3 Differences between groundwater and surface water hydrology**

12 The previous section indicated that integration of groundwater and surface water on the  
13 regional scale, be it in research or practical management, is not as advanced as it should be  
14 and could be. The following section will look at manifestation of differences between  
15 groundwater and surface water hydrology to be able to evaluate whether or not these  
16 differences are responsible for the deficiencies in integration. The discussion of the  
17 differences is mainly done based on a comparison of terminology and concepts used in both  
18 fields. As terminology and concepts are usually understood and applied differently depending  
19 on individual perspective and context, it is foreseeable that readers may agree or disagree to  
20 different extents. However, it should be acknowledged that the purpose of this discussion is  
21 not to draw clear lines and to arrive at unique definitions, but to raise awareness of obstacles  
22 that might not be immediately obvious.

### 1 **3.1 Definitions of hydrology and hydrological research areas**

2 “Hydrology” according to many general dictionaries, including for example Merriam-  
3 Webster<sup>1</sup>, is seen as the science of the properties, distribution, movement, use and  
4 management of water in the earth system. Brutsaert (2005) presents a short overview of  
5 definitions of the term “hydrology”. He draws the conclusion that the most widely agreed on  
6 definition of hydrology limits its scope to continental (terrestrial) water processes. In fact,  
7 there seems to be strong consensus that water in the oceans (oceanography), the atmosphere  
8 (meteorology, climatology), but to some degree also lakes (limnology), glaciers (glaciology)  
9 are not in the central focus of what most hydrologists mean when talking about their  
10 profession. Groundwater (groundwater hydrology, hydrogeology), on the contrary, is never  
11 explicitly excluded from “hydrology”, yet, there seems to be a relatively large group of  
12 scientists within the hydrological sciences that think of “hydrology” more or less exclusively  
13 as the science of terrestrial, (flowing) *surface* waters, that is rivers and their catchments.

14 Groundwater hydrology is often seen as the specialization of hydrology, which focusses on  
15 subsurface considerations. At the same time, a large number of people see *hydrogeology* as a  
16 sub-discipline of geology (note that this is the only occasion where this paper makes a  
17 distinction between groundwater hydrology and hydrogeology). The rationale behind this is  
18 that properties and processes in the subsurface are the domain of the discipline of geology and  
19 understanding stratigraphy, structural geology, mineralogy and geochemistry are essential to  
20 understanding groundwater systems.

### 21 **3.2 Manifestations of differences between groundwater and surface water** 22 **hydrology**

23 Different definitions are biased by the educational background and perspective of the  
24 respective discipline but also national scientific traditions and historical development of  
25 educational programs and in some cases by regional geological/hydrological conditions.

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<sup>1</sup> <http://www.merriam-webster.com/dictionary/hydrology>, visited 2014-01-10

1 Much more interesting than making semantic considerations is to analyze the practical  
2 manifestations of the differences between groundwater hydrology and surface water  
3 hydrology. The following paragraphs address some of the differences observed by the author.  
4 To keep this short, some rather complicated topics are presented in a rather simplified, bold  
5 way, to some degree ignoring controversial aspects and dissenting perspectives. As always,  
6 things are simply not just pure black or pure white. The differences between GW and SW  
7 hydrology presented here are thus not defined by clear separating boundaries; it is often rather  
8 tendencies into one or the other direction.

9 **Different objects of interest:** Most researchers in groundwater hydrology are foremost  
10 concerned with processes in the saturated domains of the subsurface. Thus, their central focus  
11 of interest is *aquifers or aquifer systems*. Also by necessity, groundwater hydrologists must be  
12 interested in water movement into and out of the saturated zone. Therefore, the unsaturated  
13 zone (“groundwater recharge from precipitation”) and to a lesser degree surface waters  
14 (“infiltration of surface water through the river bed”) play a certain role. They form important  
15 “boundary conditions” to groundwater systems, and it is of interest how these boundary  
16 conditions influence the groundwater system as such and not so much what outside processes  
17 create the conditions at the boundaries. Surface water hydrology has a focus on terrestrial,  
18 flowing surface waters, the main target of surface water hydrology are thus rivers and the near  
19 surface parts of their *catchments*. Groundwater is often seen as an essential part of a  
20 catchment’s characteristics. However, the focus is much less on processes within the  
21 groundwater system than how groundwater contributes to the runoff network at the land’s  
22 surface (as a source term or boundary condition).

23 *Aquifers* (groundwater systems) and *river catchments* are rather different spatial objects with  
24 respect to a large number of properties and processes (the term “groundwater catchment”  
25 which is eventually used is excluded from this discussion because of its ambiguity):

- 1       • Catchments can easily and almost unambiguously be delineated, based on relief, while  
2       groundwater systems in most cases have no clear limits in any direction. Their  
3       boundaries are often highly dynamic as a result of natural and anthropogenic  
4       influences and remain often unknown due to limited accessibility.
- 5       • In- and outflows of catchments can be clearly defined and measured (with some  
6       practical limitations) while the inflows and outflows of groundwater systems can  
7       hardly ever be measured and even a conceptual description can be difficult or  
8       impossible even if the location of boundaries is known (see previous item).
- 9       • Aquifers or groundwater systems are strictly three dimensional objects, often with a  
10      vertical differentiation into independent sub-systems. On the contrary, much of the  
11      spatial variability of a surface water catchment can be explained by the variability of  
12      near surface properties within the 2 horizontal dimensions.
- 13     • Data on groundwater systems is often only accessible by drilling or indirect  
14      observations, while very important characteristics of catchments can be retrieved by  
15      mapping the surface and remote sensing data.
- 16     • Groundwater systems are dominated by saturated flow, while flow in surface  
17      catchments is separated into surface runoff, open channel flow and unsaturated flow.  
18      Although governed by the same principle laws of fluid mechanics, the dominant  
19      processes are essentially different on the scale of process description and have thus led  
20      to entirely different sets of mathematical formulations. In groundwater systems, the  
21      main direction of flow is often horizontal, but strong and deep reaching vertical flow  
22      components with strong spatially varying magnitude may occur. On the contrary, the  
23      typical flow components studied in detail in surface hydrology are concentrated at or  
24      near the surface following topographical, rather than pressure gradients.

- Catchments and aquifers have different dynamics. Catchments are flow dominated, with typically relatively short residence time in the domains that are most interesting to surface water hydrology. It is often possible to close the water balance within one year and inflow and outflow are much larger than storage. Aquifers are often storage dominated, i.e. they can have very long residence and response times and can even be almost fully decoupled from seasonal variations. Storage can be huge in comparison to in- and outflows. This difference, however, is an apparent one created by different perception.

**Different types of observations:** In both surface water and groundwater hydrology there is a large and growing number of observational methods that can be used to characterise river catchments and groundwater systems and a wide variety of properties and processes therein. To simplify, this discussion disregards that many of the observations are related to water quality/chemistry. The focus of this discussion is on water quantity: i.e., discharge measured at gauging stations, and groundwater head or water table elevation in observation wells (piezometers). Both types of observations can be used to measure hydrographs, i.e., time series of water levels or discharge. But even if hydrographs of piezometric head and discharge often look quite similar they have essentially different characteristics:

- A discharge hydrograph is, with some limitations, an integral measurement summarizing the processes that occur within the catchment. In contrary, groundwater level observations are representative for a certain location and a certain depth only. This is a consequence of subsurface heterogeneity and hydraulic barriers or connections both in horizontal and vertical direction paired with the fact that those structures are often hidden in the inaccessible subsurface.
- While typically ~~most~~ discharge hydrographs often show cyclic behaviour with recurring features (wet, dry seasons occurring every year with only moderate long-



1 term fluctuations – exceptions, such as hydrographs in tidally influenced regions are of  
2 course possible), a groundwater hydrograph can be completely dominated by low-  
3 frequencies without any significant seasonal behaviour as a result of the long  
4 residence and response time of groundwater systems.

- 5 • Measurements of river discharge and river levels at a gauge can be much more directly  
6 interpreted with respect to consequences (risksflooded areas, general water  
7 availability). In contrary, a piezometric head or water table elevation has no immediate  
8 clear and simple relation to water availability. To interpret a groundwater level local  
9 knowledge and/or, other, often difficult to obtain information is required and  
10 conceptually difficult transformations need to be carried out. The meaning of a  
11 hydraulic head is always specific for the specific location and the  
12 geological/hydrogeological conditions there.

13 Different time scales: Quite often, when differences between GW and SW hydrology are  
14 discussed, different time scales are mentioned as a separating feature. Processes in SW  
15 hydrology are considered to be faster, thus shorter periods are studied, while processes in GW  
16 hydrology are considered to be less dynamic. These differences are technically very relevant,  
17 in particular for the coupling of GW and SW models. They might, however, play a minor role  
18 as a reason for the separation of GW and SW hydrology and the author refrains from further  
19 discussing this here. It is, however, important to acknowledge that integration on the regional  
20 scale has to look on longer timescales on either side.

21 **Differences of practical problems and applications:** Leaving water quality/chemistry  
22 aspects aside again, much research in groundwater hydrology is centred on the question of  
23 what is the influence of pumping/infiltration on groundwater systems, both locally (“aquifer  
24 testing”) and regionally (“water resources management”). The underlying questions are often  
25 related to how much is stored in a groundwater system and how much / how fast one can

1 | withdraw from storage – usually over long periods of time. In surface hydrology ~~the most~~  
2 | ~~important water quantity related issue is~~ there is a lot of emphasis on the prediction of  
3 | discharge, often in relation to floods. The temporal dynamic is quite often more interesting  
4 | than average conditions. Differences of problem context and application are difficult to frame  
5 | in a few sentences, in particular, because it is well-known that in the long run groundwater  
6 | and surface water problems cannot be decoupled. However, it is still important to keep in  
7 | mind that groundwater and surface water research historically started from different types of  
8 | questions and that this had and still has a large impact on the development of the respective  
9 | scientific studies.

10 | **Different methodology:** Even if the basic physical (and chemical) laws are the same for  
11 | surface and subsurface hydrological process, the different objects of interest, different types  
12 | of observations and different sets of problems led to the development of different  
13 | methodology. Many approaches to predict the behaviour of groundwater systems follow  
14 | mechanistic continuum approaches, with the aim to describe flow and transport pathways  
15 | explicitly in space and time. In contrast, the characteristics of surface water hydrographs and  
16 | the integral character of catchments (see above) have opened ways for more conceptual  
17 | empirically-based and statistical approaches. Concepts, such as the unit hydrograph or the  
18 | concept of linear storage cascades, are only feasible because of the assumption that all water  
19 | going into a catchment (minus evapotranspiration losses) ends up at the gauge at the outlet of  
20 | the catchment. Making a prediction of what might happen in the future based on a statistical  
21 | analysis of the past behaviour of a catchment, as it is done for example by deriving flood  
22 | return periods from past data, is a concept hardly known in groundwater hydrology. Probably  
23 | not so much because of methodological constraints, but because such an approach usually  
24 | does not yield any answers to typical groundwater problems. On the other hand, all the

1 methods used in groundwater hydrology to derive groundwater flow direction, velocity and  
2 origin are not necessarily applicable and/or meaningful in surface hydrology.

3 **Different models:** A discussion of all the different modelling approaches and strategies in  
4 surface and groundwater hydrology is clearly beyond the scope of this paper. The huge  
5 amount of modelling concepts and codes in both the groundwater and the surface water field  
6 makes even a brief description of this subject difficult different. What adds to the problem is  
7 the different use of modelling terminology - even within groundwater or surface water  
8 hydrology, respectively (see next section). Even if this might be debateable in many cases  
9 general, one could say -that in general in subsurface hydrology on the regional scale, the  
10 majority of models used are distributed, numerical models based on a continuum approach,  
11 i.e., the governing differential equations describing flow and transport are solved numerically  
12 for a given domain that is accordingly discretized in elements. These models can be called  
13 mechanistic, i.e., based on physical and chemical laws and the use of parameters that are  
14 assumed to represent measurable properties. In surface hydrology, as a tendency, many more  
15 models are “conceptual”, ranging from black box models to more physically based distributed  
16 process models, but in general, surface hydrological models often involve “parameters” that  
17 have no direct relation to measurable physical or chemical properties. Such parameters are  
18 often determined by calibration. It may be very well argued that there is “not much physics”  
19 in hydraulic conductivity calibrated for a 100\*100 m model cell in a groundwater flow model,  
20 but probably still more than in a purely empirically determined recession coefficient of a SW  
21 hydrological model. There is a very strong tendency of SW water models being more  
22 conceptual and GW models being more physics based, but there is no clear separation and  
23 many exceptions exist on either side. In summary it can be said, that models in surface and  
24 subsurface hydrology necessarily *have to be different*, because they are used to describe

1 different objects, attempt to match different types of observations, and answer quite different  
2 questions.

3 **Different terminology:** Groundwater and surface water hydrologists often use the same  
4 terms, yet with a slightly, sometimes even a completely different meaning. For example, the  
5 term “conceptual model” has a completely different meaning in groundwater hydrology than  
6 it has in surface hydrology. The author wants to avoid a lengthy (and hopeless) discussion of  
7 such terms and relies on the hope that most readers have experienced such terminology issues.  
8 It should be mentioned that the ambiguous use of model related terminology is discussed  
9 within the respective fields but such discussions of model terminology hardly span both  
10 groundwater and surface water models (see e.g., Beven and Young, 2013).

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11 The problem of different use of terminology goes beyond modelling. A specific example for  
12 this (“groundwater recharge”) will be given in section 4. The problem is that because the  
13 same terms are used in a similar context, it is often assumed that they have the same meaning  
14 – with the consequence that the differences are not detected at all or only after these  
15 misunderstandings have led to problematic situations.

16 **Differences in administration, management and legislation:** In many countries,  
17 groundwater and surface water were traditionally managed by different agencies (surveys)  
18 and under different legislation. The consequences of this for research might not be  
19 immediately obvious:

- 20 • Administration and policy makers have always sought advice from research.  
21 Questions, coming from different agencies have thus led to the development of  
22 different problem settings (see above) and different solutions.
- 23 • Monitoring networks for groundwater and surface water developed largely  
24 independently and were not designed to monitor interactions between the systems.

1            Their implementation followed the sectorial problem settings and has thus created data  
2            sets that can foremost be used only in a specified context.

3    **Different education:** In section 3.1, different ways to place groundwater and surface water  
4 hydrology into different disciplinary contexts were presented. These differences are reflected  
5 by different disciplinary educational programs. Different national and regional traditions and  
6 the increasing number of programs and specializations make it again difficult to cover this  
7 field in a few sentences. A good proxy to indicate difference and separation of education  
8 might be the relatively clear distinction of textbooks on groundwater hydrology (here usually  
9 hydrogeology) and surface water hydrology. Typically, a groundwater or a surface water  
10 oriented textbook will contain a shorter sub-section of the respective other subject, but books  
11 with a balanced coverage of both subjects do not exist to the knowledge of the author.

### 12    **Different scientific communities**

13    Without being able to prove this with quantitative data, the author observes that groundwater  
14 hydrogeologists and surface water hydrologists tend to separate into different scientific  
15 communities, who have their own conferences, organisations and networks. This might differ  
16 from country to country as a result of different scientific traditions (see above), but even if the  
17 existence of different communities might not be considered a hard fact, there are some  
18 indications that this separation exists and has consequences everywhere. For example, it  
19 might be the reason why the convincing concepts of PUB (Predictions in Ungauged Basins)  
20 | (Sivapalan et al., 2003)- that were discussed intensively for over more than a decade in  
21 | (surface water) hydrology, have not found much recognition in groundwater hydrology (see  
22 | Barthel, 2014).  
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## 4 Different perspectives and misunderstandings: Examples

The discussion presented in the previous sections indicates first a lack of integration and second quite large differences between research in surface water hydrology and groundwater hydrology on the regional scale. The following section will illustrate practical implications of these using two examples. The description of these examples is rather brief. Interested readers are referred to (Barthel, 2006) and (Götzinger et al., 2008) where those examples were presented in more detail. It is important to acknowledge, that example 1 is mainly, if not exclusively relevant on the regional scale, where processes in the entire catchment (and not just in on river reach or aquifer) are integrated. This means, for example, e.g. that from a SW hydrology point of view, percolation of water through the unsaturated zone must be regarded as a main process in generating baseflow. This again, opens a new discussion on whether or not infiltration or percolation through the vadose zone is a hydrological or rather a soil sciences topic. Again, the presentation here is rather bold, ignoring the subtleties.

### 4.1 Example 1: Different perspectives on groundwater recharge and baseflow

Within the hydrological cycle, groundwater, surface waters and the unsaturated zone form a continuum without clear boundaries in a strict mechanical sense. However, from a practical point of view, one can observe quite essential changes of properties and processes at relatively distinct locations – forming *conceptual boundaries* in a less strict sense. Fully coupled approaches to describe groundwater- unsaturated zone - surface water systems as a continuum are feasible, but difficult to implement at-on the regional scale. Therefore, integration across conceptual system boundaries is quite often done by looking at each of the systems separately and coupling them through the processes that occur at the boundaries. In the following, two major connections between the compartments will be discussed:

- 1 1. Fluxes across the bottom of a river. Depending on climate, relief and geology this flux  
2 can occur in different directions and under different saturation conditions (see, e.g.  
3 Sophocleous, 2002) but the example will only look at the contact of a river to a  
4 saturated aquifer and discuss the flux directed to the river exclusively. This flux is  
5 often referred to as “baseflow”.
- 6 2. Fluxes across the transition between saturated and unsaturated zone. Here, the  
7 example looks only at the vertically downward directed flux from the unsaturated into  
8 the saturated zone, which is commonly called “groundwater recharge”.

9 Baseflow and groundwater recharge play an essential role in both groundwater and surface  
10 water research and practice. The amount of literature on both concepts is overwhelming. As  
11 neither groundwater recharge nor baseflow can usually be measured directly, a large number  
12 of indirect methods for their estimation exists (for overviews see e.g., de Vries and Simmers,  
13 2002; Ghasemizade and Schirmer, 2013; Jie et al., 2011; Scanlon et al., 2002; Tallaksen,  
14 1995). The available methods are conceptually very different and often yield very different  
15 results.

16 Baseflow is usually determined using conceptual approaches (conceptual hydrological  
17 models, hydrograph separation (Levy and Xu, 2011)), however, recently it often also includes  
18 hydrochemical and isotopic methods (Ghasemizade and Schirmer, 2013) or numerical models  
19 (Levy and Xu, 2011). There is a lot of evidence originating from different studies worldwide  
20 that the results of most approaches to baseflow estimation are highly unreliable or at least  
21 only valid under very specific conditions (see e.g., Halford and Mayer, 2000; Partington et al.,  
22 2012; Vogel and Kroll, 1996). Groundwater recharge estimation methods have an even wider  
23 spectrum of approaches (see e.g., de Vries and Simmers, 2002). One reason why so many  
24 different methods were established – and thus often yield very different results – are  
25 contrasting catchment / groundwater system characteristics, different data availability and

1 different scales of application. However, different approaches are also the result of a  
2 *difference in understanding of recharge and baseflow.*

3 In the case of groundwater recharge, two different perspectives can be identified: From a  
4 groundwater-focused perspective, groundwater recharge is defined as the sum of inflows  
5 *entering* the saturated groundwater zone from above, below and laterally. Surface  
6 hydrologists and soil scientists, in contrast, often assume that groundwater recharge is the  
7 amount of water *leaving* the soil or root zone vertically downwards (see Barthel, 2006;  
8 Scanlon et al., 2002). The basic assumption here is: When water leaves the domain influenced  
9 by vegetation and evaporation moving downwards, it will eventually have to reach the  
10 groundwater and therefore must be equivalent to groundwater recharge. An even simpler, but  
11 closely related, understanding of groundwater recharge is a water balance based  
12 consideration, where recharge has to be what is left of precipitation after evapotranspiration,  
13 surface runoff, soil moisture storage, etc. have been subtracted. In general, this kind of  
14 consideration works well for approaches which are calibrated against observed river  
15 discharge. The question, whether or not water has actually entered the groundwater domain  
16 (see recharge definition in the hydrogeological sense presented above), will then not have an  
17 influence on the quality of the calibration results.

18 | It should, however, be immediately clear that groundwater recharge defined as ‘root zone  
19 percolation’ and groundwater recharge defined as ‘water entering the saturated zone’ cannot  
20 be fully identical because, depending on the distance between the root zone bottom and the  
21 groundwater surface, at least a temporal delay must occur. This delay can be ignored or at  
22 least easily determined, when the groundwater table is close to the surface, which is often the  
23 case on *local scales* (see e.g., hillslopes, in section 4.2). On a *regional scale* it is highly  
24 unlikely that shallow (and unconfined) groundwater tables are present everywhere. On a large  
25 scale, relief and heterogeneity of the deep unsaturated zone will lead to considerable

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1 differences in temporal delay at different locations. Even more important is that on the  
2 regional scale, and with growing depth to the groundwater, domains of low permeability in  
3 the unsaturated zone will lead to the formation of local, independent saturated zones (perched  
4 water) and subsequently to horizontal flow. Water transferred horizontally may discharge at  
5 the surface at springs and thus does not reach the groundwater system (the mysterious  
6 “interflow”). Thus, with a growing scale the differences between the two recharge definitions  
7 start to grow (Barthel, 2006).

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8 In the case of baseflow, the differences in conceptualisation are even more pronounced.  
9 Again, two perspectives can be identified: Standard “hydrograph separation” methods derive  
10 baseflow simply speaking as the slow component of a river discharge time series. The  
11 empirical methods mainly used cannot identify where baseflow actually originates. Baseflow  
12 becomes a portion of discharge measured/simulated at a gauging station, i.e., an integral  
13 measure for a catchment. From a groundwater perspective, however, “baseflow” is seen rather  
14 as “groundwater discharge”, and for most practical applications it is important to know  
15 (exactly) where and when the groundwater enters the river.

16 Problems related to different definitions of groundwater recharge and baseflow typically  
17 occur when numerical groundwater models are driven by recharge that is calculated by  
18 conceptual hydrological models or when groundwater and surface water models are coupled  
19 using recharge and baseflow as linking processes. The spatial distribution of this recharge  
20 calculated by hydrological models often ignores the actual geological situation (Barthel, 2006;  
21 Göttinger et al., 2008).

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## 22 **4.2 Example 2: The hillslope**

23 Mismatching perspectives are also related to the choice of study-objects: It is quite interesting  
24 to see that when surface water hydrologist become more deeply involved with the saturated  
25 zone (groundwater) this is often done in the context of *hillslopes*, the sloping region adjacent

1 to a river. Often, groundwater at such a location is shallow and unconfined. Hillslopes are of  
2 great interest in surface hydrology as they, from a surface-oriented perspective, encompass  
3 almost all relevant processes. On the other hand, the hillslope situation is not of much  
4 particular interest to groundwater hydrologists, mainly because the spatial and temporal scales  
5 of hillslope processes are much too small to be of interest for “real” groundwater processes or  
6 because many local scale groundwater problems are not situated in this special topographic  
7 condition. Not exactly a quantitative proof of this but still interesting are the results of a  
8 simple literature research: Scopus lists only 18 papers containing “hillslope” or “hill-slope”  
9 under article title, keywords or abstract for the almost exclusively groundwater oriented  
10 journals *Hydrogeology Journal* AND *Groundwater*, while 717 papers meet the same criteria  
11 in the *Journal of Hydrology* AND *Hydrological Processes*, which are more surface water  
12 oriented. The reason to be interested in groundwater at hillslopes may be less the interest in  
13 groundwater system properties and processes itself, but rather the contribution of groundwater  
14 to the discharge in the adjacent river. ~~There is essentially nothing wrong with this—yet the~~  
15 ~~connection between groundwater and surface water remains rather “shallow” both in a~~  
16 ~~conceptual and spatial sense.~~

17 The most problematic aspect of this might be that the groundwater situation at hillslopes  
18 seems to have a big influence on the general perception of groundwater. Without being able to  
19 provide hard evidence for this, the author has made the observation that many surface water  
20 hydrologists tend to regard ~~Finally, many hydrogeologists complain about surface water~~  
21 ~~hydrologists, who tend to see~~ groundwater systems as shallow, undifferentiated, shallow  
22 systems, which form bucket-like sinks (or sources) for water that comes from the unsaturated  
23 zone or flows into rivers. If you have a hillslope (or floodplain) in mind, there will be many  
24 cases where the situation will be exactly like this.; ~~But there is a danger that this view of the~~  
25 connection between the unsaturated zone, surface water and groundwater is extended to larger

1 systems, where the groundwater situation is usually much more complex and the connections  
2 are less straightforward.  
3

## 4 **5 Interdisciplinary aspects of groundwater and surface water integration on** 5 **the regional scale**

6 The question asked in the beginning of this article was if regarding groundwater hydrology  
7 and surface water hydrology as different disciplines and if acknowledging this might help to  
8 integrate them better. The previous sections have indicated a number of strong differences and  
9 several fields with lack of integration. To evaluate, whether applying interdisciplinary  
10 concepts may lead to better integration, the following section will at first briefly review  
11 interdisciplinary approaches and discuss their relevance with respect to integration of  
12 groundwater and surface water hydrology. Furthermore, this section will discuss the  
13 integration of groundwater and surface water in a wider context of interdisciplinarity, mainly  
14 with respect to the integration of natural and social sciences and the interaction between  
15 science and society in general.

16 According to Repko (2011), *“academic disciplines are scholarly communities that specify*  
17 *which phenomenon to study, advance certain central concepts and organizing theories,*  
18 *embrace certain methods of investigation, provide forums for sharing research and insights*  
19 *... Each discipline has its own defining elements – phenomena, assumptions, epistemology,*  
20 *concepts, theories and methods”*. Looking at the differences derived in section 3, a number of  
21 aspects can be identified that suggest that groundwater hydrology and surface water  
22 hydrology actually could be considered different disciplines. To actually prove this might be  
23 impossible, yet, this applies generally to the delineation of disciplines (Abbott, 2001).

### 24 **5.1 Interdisciplinarity and interdisciplinary methodology**

25 If applying interdisciplinary methodology to the integration of groundwater and surface water  
26 research is considered beneficial, than first a clarification of interdisciplinarity is necessary.

1 Some definitions of interdisciplinarity focus on how research is performed (e.g., Roy et al.,  
2 2013) others emphasize the problem context of research. Repko (2011) reviews several  
3 widely used definitions of interdisciplinary research, extracts the common elements of these  
4 definitions and finally condenses them into the following: “*Interdisciplinary studies is a*  
5 *process of answering a question, solving a problem or addressing a topic that is too broad or*  
6 *complex to be dealt with adequately by a single discipline, and draws on the disciplines with*  
7 *the goal of integrating their insight to construct a more comprehensive understanding*”.

8 Within the huge body of literature on interdisciplinarity, a large number of partly conflicting  
9 theories of how interdisciplinary research should be conducted are available. The author does  
10 not make an attempt to review and compare the different theories but instead presents the one  
11 that comes closest to his own ideas: Szostak (2002) presents a 12-step process for  
12 interdisciplinary research and discusses very comprehensively the relevance of performing,  
13 and the risks of omitting any of the steps. Some of the steps may at first seem trivial– yet it is  
14 the interdisciplinary context which makes them worth considering:

- 15 1. *Start with an interdisciplinary question.* This step can mean and encompass different  
16 things depending on the starting point of research: either to explore whether or not a  
17 research question is suitable for an interdisciplinary approach, or to frame a research  
18 problem or question in an interdisciplinary way. In the context of the discussion  
19 presented in this article, this first step is difficult to define and maybe difficult to  
20 understand. To determine, if a question is interdisciplinary or in order to ask a question in  
21 an interdisciplinary way, Iterations with steps 2-4 will be needed for clarification.- Only  
22 the later steps in the workflow will help to decide whether or not an interdisciplinary  
23 approach adds new insights and gives better results than a disciplinary one.
- 24 2. *Identify the key phenomena involved, but also subsidiary phenomena.* This will help to  
25 identify the degree of interdisciplinarity needed. Subsidiary phenomena might be

- 1 regarded negligible from one disciplinary view point but they might be the key  
2 phenomena of another.
- 3 3. *Ascertain what theories and methods are particularly relevant to the question at hand ...*  
4 *Be careful not to ignore casually theories and methods that may shed some lesser, but*  
5 *significant light on the question.* While disciplinary research often focusses on a few  
6 established methods in the field, the key to true interdisciplinarity is openness to any  
7 theory or method.
- 8 4. *Perform a detailed literature survey.* This means a review of literature describing a  
9 problem from *all* possible disciplinary perspectives. This step thus also requires a review  
10 of the different terminology and how terms are used by different disciplines.
- 11 5. *Identify relevant disciplines and disciplinary perspectives.* This step could be seen as a  
12 conclusion of steps 1-4 and may require several iterations of those.
- 13 6. *If some relevant phenomena (or links among these), theories, or methods identified in (2)*  
14 *and (3) have received little or no attention in the literature, the researcher should try to*  
15 *perform or encourage such research.*
- 16 7. *Evaluate the results of previous research.* The goal is to identify key phenomena that may  
17 have been excluded from previous analyses and to evaluate the impact this may have had  
18 on results. It is important to identify disciplinary perspectives and the biases resulting  
19 from this.
- 20 8. *Compare and contrast results from previous disciplinary or interdisciplinary research.* If  
21 different disciplines reach differing conclusions, it should be checked whether these  
22 differences are merely semantic or real. If differences are real, the question needs to be  
23 asked: What would have to change in order to generate similar (unique) results?
- 24 9. *Develop a more comprehensive/integrative analysis.* This step encompasses a wide range  
25 of activities. In addition to understanding the parts, the interdisciplinary researcher must

1 attempt to understand how multiple causation and feedback loops interact. It is necessary  
2 to check whether one unifying theory or methodology is possible or if different  
3 phenomena within the problem in question require different methodology.

4 10. *Reflect on the results of integration:* How and why do the results of interdisciplinary  
5 research differ from previous disciplinary research? What is the added value of  
6 integration? What degree of integration is truly necessary and what could be omitted?

7 11. *Test the results of integration.* That results should be validated or verified does not  
8 require justification in the field of natural sciences, however, as Szostak (2002) points out  
9 one has to be careful of biasing such tests and one should also be prepared to adjust the  
10 analysis in the face of new information.

11 12. *Communicate the results.* Again, this is an obvious part of any research. Yet  
12 interdisciplinary research faces the great challenge of having to communicate to both an  
13 interdisciplinary audience AND to various disciplinary audiences.

14 A good example of where and when research could benefit from such an interdisciplinary  
15 approach is the example of groundwater recharge on the regional scale presented in section  
16 4.1. The author leaves it to the interested reader to do this exercise. For example, such an  
17 analysis could reveal that flow and transport through the deeper vadose zone have hardly  
18 received any attention (see step 6) but have a significant impact on the results (step 8). In  
19 general, the question of where and when integration is feasible and useful are discussed in  
20 excellent books like the one by Bronstert et al. (2005).

## 22 **5.2 The regional scale as a platform for broader inter and transdisciplinary** 23 **research**

24 Integration of groundwater and surface water is an important step towards holistic research in  
25 water resources, but truly integrated research has to go far beyond these first efforts. The best  
26 integrated groundwater-soil-surface-water model still requires meaningful inputs and

1 boundary conditions – i.e., meteorological input and information of water demand, land use  
2 changes, hydraulic structures, etc. In particular on the regional scale, anthropogenic impacts  
3 and processes in neighbouring compartments require integration of a much wider range of  
4 aspects, in particular also those of socio-economic nature. A detailed evaluation of the  
5 different usage of the term “integration” is provided by Kelly et al. (2013) and Jakeman and  
6 Letcher (2003).

7 Furthermore, it is difficult to imagine integrated GW-SW research on the regional scale that is  
8 purely driven by scientific interest. Research will quite often need to have an applied  
9 component to justify the efforts and they can hardly ignore existing problems and demands of  
10 practical management. Stakeholder involvement, participatory modelling approaches and  
11 communication strategies are thus also an essential part (see Carmona, 2013  
12 for a comprehensive discussion). Any researcher who wants to  
13 become involved in integrated water research on the regional scale should thus become  
14 acquainted with the idea (and challenges) of working together with social scientists and a  
15 wider non-scientific public. A good starting point for this are the discussions presented by

16 Strang (2007), Fischer et al. (2011) or Jahn et al. (2012), who discuss the collaboration  
17 between natural and social scientists in general. A large body of literature is also available on  
18 the interaction between science and the non-scientific world. Keywords are the “science  
19 policy interface”, “participatory research”, “trans-disciplinarity” {see e.g., Brugnach, 2007  
20 for Croke, 2007; Pahl-Wostl, 2007; Carr, 2012; Pohl, 2010; Pohl, 2008  
21 for Schoot Uiterkamp, 2007}. Highly recommended discussions on the role of  
22 science in society are provided by Naustdalslid (2011), Weber et al. (2011) and  
23 Weichselgartner and Kasperson (2010).

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### 1 **5.3 Interdisciplinary challenges**

2 The preceding sections have provided arguments why regional scale research in general  
3 should be carried out from an interdisciplinary perspective or at least that such research  
4 should start with a careful evaluation of the potentially interdisciplinary aspects involved (see  
5 items 1-8 in section 5.1). The consensus that interdisciplinarity (and transdisciplinarity) is  
6 needed to tackle the challenges of water resources management is overwhelming. To mention  
7 interdisciplinary components of research seems to be seen as important when describing  
8 individual research profiles or strategies and visions of research institutions. However,  
9 scientific evaluation of interdisciplinary research shows a different reality. Much of the  
10 research that is considered interdisciplinary by those who perform it, is at best multi-  
11 disciplinary, i.e., two disciplines work together on one problem yet stay in their own  
12 disciplinary tradition without creating new unifying theory, concepts and methodology (Roy,  
13 2013). Moreover, the majority of research remains strictly disciplinary.

14 So, why are there these differences between proposed plans and actual outcomes? Among the  
15 obstacles in interdisciplinary research that are usually mentioned first are the traditional  
16 disciplinary organization of educational systems and research institutes, etc. Related to this is  
17 the observation that interdisciplinary research limits career advancement and funding  
18 possibilities (Froedeman et al., 2010; Vasbinder et al., 2010). This might be difficult to  
19 believe in view of the overwhelming consensus on the importance of interdisciplinarity. A  
20 reason might be that both career advancement and research funding is based on (still mainly)  
21 strictly disciplinary review processes. “Good research” is defined differently in different  
22 disciplines, but few reviewers will have an overview over what “good interdisciplinary”  
23 research is (see Fischer et al., 2011; Froedeman et al., 2010; Heberlein, 1988; Vasbinder et al.,  
24 2010). Publishing a (truly) interdisciplinary manuscript is tedious and still a great challenge  
25 (Schoot Uiterkamp and Vlek, 2007; Wood, 2012). Planning a (truly) interdisciplinary research  
26 proposal with a careful evaluation of all aspects (see section 5.1) requires great effort. To

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1 design such research in a way that satisfies all the disciplinary biased reviewers is  
2 challenging. The most crucial aspect is the tediousness of interdisciplinary research. There is  
3 an overwhelming consensus on the fact that interdisciplinary research requires much more  
4 time than disciplinary research (e.g., Campbell, 2005; Lerner et al., 2011; Strang, 2007).  
5 Collaboration requires a significant amount of time to be spent in communication between the  
6 participants, so that all achieve at least a basic understanding of the types of theory, methods,  
7 data and analysis used by the others. Collaboration also requires commitment and an openness  
8 to acknowledge and understand differences (MacMynowski, 2007; Strang, 2007). Marzano et  
9 al. (2006) and Bell et al. (2005) show that the majority of researchers are not particularly  
10 excited about this side of interdisciplinarity. In particular researchers in the early career stages  
11 are discouraged by the disadvantageous time-consuming, publication record limiting aspects  
12 of interdisciplinary research (Bruhn, 2000).

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## 14 **6 Discussion and conclusions**

15 The discussion presented in this article is inspired by the recently published concept of the  
16 new IAHS scientific decade “Panta Rhei” (Montanari et al., 2013), which emphasizes the  
17 necessity of a more holistic perspective of hydrological research. The integration of  
18 groundwater and surface water hydrology is thereby particularly interesting in retrospect of  
19 the previous scientific decade on PUB. The assumption here is that PUB might not have been  
20 entirely successful in integrating groundwater, and the groundwater community might not  
21 have taken adequate notice of the PUB activities.

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22 As mentioned earlier in this paper, the question of whether GW-SW should be called different  
23 disciplines, sub-disciplines or just specialisations within one common field is not considered  
24 important. What is important though is the *awareness* that substantial differences exist. It  
25 seems that difficulties in collaboration and mutual understanding between surface water

1 hydrologists and groundwater hydrologists arise often because the fundamental differences  
2 between the two subjects are not acknowledged. The *apparent* closeness of the two  
3 disciplines leads to the result that partners in a collaboration often *assume* that they fully  
4 understand what the others are doing (and how they do it, why they do it, what their  
5 perspectives on problems and processes are), because they use very similar terminology and  
6 seemingly similar concepts. The danger is that this assumption is not questioned and the  
7 actual dissimilarity of terms and concepts goes undiscovered. This could not happen if such a  
8 collaboration would be designed using interdisciplinary methodology, where determining and  
9 understanding the differences in research concepts is always the first step (see section 5.1 as  
10 well as MacMynowski (2007) and Strang (2007)).

11 It might seem contradictory to the goal of more holistic research in the water field (see e.g.,  
12 Galloway, 2010; Wagener et al., 2010) to focus on differences rather than on the  
13 commonalities of research fields. Yet, even if it is highly desirable in the future that all  
14 problems in water resources management are solved in a holistic effort, we still need to face  
15 the fact that knowledge, expertise and perspectives are distributed irregularly amongst  
16 individual researchers, who, in turn, have rather limited possibilities to share and  
17 communicate their full knowledge and viewpoints. Each contribution to integrated research  
18 will thus be biased by individual expertise and constraint by different “backgrounds”. The key  
19 to successful integration might not so much lie in the attempt to make everyone a universal  
20 scientist (or practitioner, decision maker, etc.), but rather in the attempt to enable better  
21 communication, i.e., sharing of knowledge between disciplinary experts. An essential step in  
22 communication is to make sure that there is a common understanding about the different  
23 individual perspectives on the subject. This requires awareness of difference: We need to  
24 acknowledge that there are (surface water oriented) hydrologists and (groundwater oriented)  
25 hydrogeologists. This does not mean that there is a sharp insuperable boundary between these

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1 groups, neither does it mean that there are no scientist that are located somewhere in between.

2 Collaboration between individuals or groups of either affinity should be considered  
3 interdisciplinary and based on a workflow as presented in section 5.1. Good collaboration  
4 requires knowing *what* the collaboration partners deal with, *how* they deal with it and *why*  
5 they do it in a specific way. One of the referees who review this this article provided a nice

6 analogy by mentioning that the discussion reminded him of the famous book by John Gray  
7 “Men Are from Mars, Women Are from Venus”. I have not read this book and don’t what to  
8 judge its quality and the opinions it conveys, but the title makes it easy to assume what is  
9 meant: Even if men and women are from the same species, the assumption their behavior and

10 thinking is motivated by the same reasoning might not be helpful in the attempt to achieve  
11 good “integration”. ~~There is no principle difference between cooperation between a natural~~

12 and a social scientist or a hydrologist and a hydrogeologist. Back to hydrology: A work flow,  
13 as presented in section 5.1, will help to identify gaps and overlaps and eventually to develop  
14 an appropriate new theory and methodology.

15 Four essential findings result from this discussion:

- 16 1. Groundwater hydrology and surface water hydrology are significantly different and  
17 have developed a different theory, methodology and terminology.
- 18 2. A lack of awareness of these differences hinders a detection of the existing  
19 interdisciplinary aspects of GW-SW integration and thus the application of an  
20 interdisciplinary methodology that would help to identify a unifying theory and  
21 methodology.
- 22 3. Most hydrologists (groundwater and surface water) are not sufficiently involved in  
23 truly interdisciplinary research, have a lack of understanding of what interdisciplinary  
24 is and how it works. They are not sufficiently involved in developing interdisciplinary

1 strategies and do not usually regard the process of integration as such a research  
2 topic of its own.

3 4. There seems to be a general reluctance to apply (truly) interdisciplinary methodology  
4 because this is tedious and few incentives are provided.

5 The key to tackle the resulting problems seems to be that scientists at all levels need to be  
6 educated in interdisciplinary thinking and in understanding the benefits, but also the  
7 challenges of interdisciplinarity. Interdisciplinary educational programs (for a compilation of  
8 further references see Seibert et al. (2013)) are a good start. It is probably inevitable to follow  
9 a bottom up approach, i.e., to start in early undergraduate training to establish the awareness  
10 that each problem can be viewed from different perspectives. It seems to me that the focus of  
11 interdisciplinary education should be not so much about trying to make each student a  
12 universal scientist but to establish knowledge on how highly specialized experts can combine  
13 their knowledge in a meaningful way:

14 *“It appears clear to us that, within interdisciplinary projects, as much conscious effort and*  
15 *time has to be put into ‘making it work’ as is required for the scientific research itself and*  
16 *that relational issues are of crucial importance.” (Marzano et al., 2006).*

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