1 Integration of groundwater and surface water research: an

Today there is a great consensus that water resources research needs to become more holistic,

interdisciplinary problem?

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Abstract

integrating perspectives of a large variety of disciplines. Groundwater and surface water (hereafter: GW and SW) are typically identified as different compartments of the hydrological cycle and were traditionally often studied and managed separately. However, despite this separation, these respective fields of study are usually not considered to be different disciplines. They are often seen as different specialisations of hydrology with different focus, yet similar theory, concepts, methodology. The present article discusses how this notion may form a substantial obstacle in the further integration of GW and SW research and management. The article focusses on the regional scale (areas of approx. 10^3 to 10^6 km²), which is identified as the scale where integration is most greatly needed, but ironically the least amount of fully integrated research seems to be undertaken. The state of research on integrating GW and SW research is briefly reviewed and the most essential differences between GW hydrology (or hydrogeology, geohydrology) and SW hydrology are presented. Groundwater recharge and baseflow are used as examples to illustrate different perspectives on similar phenomena that can cause severe misunderstandings and errors in the conceptualisation of integration schemes. It is also discussed that integration of GW and SW research on the regional scale necessarily must move beyond the hydrological aspects, by collaborating with social sciences

1 and increasing the interaction between science and the society in general. The typical 2 elements of an ideal interdisciplinary workflow are presented and their relevance with respect 3 to integration of GW and SW is discussed. 4 The overall conclusions are that GW hydrology and SW hydrogeology study rather different 5 objects of interest, using different types of observation, working on different problem settings. They have thus developed different theory, methodology and terminology. Yet, there seems to 6 7 be a widespread lack of awareness of these differences which hinders the detection of the 8 existing interdisciplinary aspects of GW and SW integration and consequently the 9 development of truly unifying, interdisciplinary theory and methodology. Thus, despite 10 having the ultimate goal of creating a more holistic approach, we may have to start integration 11 by analysing potential disciplinary differences. Improved understanding among hydrologists 12 of what *interdisciplinary* means and how it works is needed. Hydrologists, despite frequently 13 being involved in multidisciplinary projects, are not sufficiently involved in developing 14 interdisciplinary strategies and do usually not regard the process of integration as such as a 15 research topic of its own. There seems to be a general reluctance to apply (truly) 16 interdisciplinary methodology because this is tedious and few, immediate incentives are 17 experienced. 18 The objective of the present opinion paper is to stimulate a discussion rather than to provide 19 recipes on how to integrate GW and SW research or to explain how specific problems of GW-20 SW interaction should be solved on a technical level. For that purpose it presents complicated topics in a rather simplified, bold way, ignoring to some degree subtleties and potentially 21 22 controversial issues.

1 Introduction

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1.1 The status of integration of groundwater and surface water hydrology

- 3 "Easy to say, hard to do: integrated surface water and groundwater management in the
- 4 Murray–Darling Basin" is the title of a recent publication (Ross, 2012a) on the difficulties of

5 managing integratively what should be understood as "a single resource" (Winter et al.,

6 1998). The Murray Darling Basin in Australia can be considered a good example for a

7 regional-scaled catchment with a long tradition in integrated research (Blackmore, 1995), but

8 still, as Ross (2012a) points out, there seem to be large deficits in the actual integration of

groundwater and surface water management. To a lesser degree he identified the same

problem setting in Colorado and Idaho (Ross, 2012b), and many other authors describe a

similar separation in different parts of the world (e.g. Levy and Xu, 2011 for South Africa).

Ross (2012a) studied the obstacles to integration from a foremost social science perspective

with a focus on legal and economical questions. In his discussion, he mentions briefly the

separation of groundwater and surface water researchers into different scientific communities

as one cause for the lack of truly integrative approaches. The present article strives to look at

this separation of communities as a cause for the lack of integration more closely:

- Are groundwater hydrology (or hydrogeology, or geohydrology hereafter regarded as synonyms) and surface water hydrology just specializations of the same discipline (and thus following the same principle ideas and concepts) or is it possible that they are rather far from each other, each with their own traditions, concepts, models and objectives and thus not working together as closely as they should (or could)?
- Are these differences particularly emphasized for regional scale research?
- Should we thus regard the *integration* of groundwater and surface water research on the regional scale as an interdisciplinary problem and try to learn and benefit from interdisciplinary research concepts applied in other sectors of science?

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1 The discussion of how acknowledging groundwater hydrology and surface water hydrology as 2 different disciplines may help to better integrate them is the main but not the only aspect of 3 the present article. Recognizing a problem as interdisciplinary is a first and very important 4 step, but developing an interdisciplinary approach from there requires of course much more. Interdisciplinarity has long become a buzz-word in the scientific world. Hydrology maybe 5 6 was not always at the forefront of their respective related activities, but more recently, more 7 and more authors argue strongly for it. Both in the groundwater community (e.g. Galloway, 8 2010; Langevin and Panday, 2012; Miller and Gray, 2002; Schwartz, 2013) and in the more 9 surface water-oriented community (e.g. Montanari et al., 2013; Sivapalan et al., 2012; 10 Wagener et al., 2010) authors argue convincingly for a more holistic perspective and more 11 interdisciplinary approaches of hydrological research - including collaboration with social 12 sciences and a much deeper integration of societal demands. However, all the above 13 mentioned authors, even if they very persuasively point out why this is necessary, they do not 14 say much about the practical ways to implement this. 15 It has to be pointed out that this discussion paper does not attempt to make suggestions of 16 how integration of research in groundwater and surface water hydrology could be or should 17 be performed in a technical (i.e. physical/chemical/mathematical) sense. It is also beyond the 18 scope of this paper to exemplify, which specific problems should be studied in an 19 interdisciplinary way and which might not need such an approach. Many authors have 20 discussed such aspects in excellent research and review papers (e.g. Sophocleous, 2002) or 21 comprehensive compilations in books (e.g. Bronstert et al., 2005). The objective of this 22 opinion paper is rather to point out that knowing what separates GW and SW research might 23 help us to come to better mutual understanding, better communication and finally better 24 integration. The level of this discussion is thereby rather non-technical, to avoid that the key 25 messages of the discussion get lost in arguments about technical details.

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1.2 Why the regional scale?

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3 in the entire water sector that the pressing problems in water resources management can only 4 be solved in an integrated way (Savenije and Van der Zaag, 2008). Building on this, the 5 discussion in the present paper is foremost concerned with integration on the regional scale or catchment scale, i.e., areas between 10³ and 10⁶ km². This choice was made mainly because 6 7 from a practical management (or societal) view point, the largest need for integration and thus 8 integrated research exists on larger scales (Bouwer, 2002; Holman et al., 2012; Refsgaard et 9 al., 2010). The larger the area of study, the more factors and processes have to be considered 10 (including societal aspects) – thus integrated solutions are required (Højberg et al., 2013; 11 McGonigle et al., 2012). The smaller an area is the more likely it is that a non-integrative 12 solution is sufficient. As many statements in this discussion paper, the latter is one that might 13 be discussed controversially. From a purely scientific viewpoint, it could be argued that

integration can best be achieved on small scales (where it is less time-consuming) and that the

found approaches could then be scaled up from there. From a more practical, management

point of view, however, it may be doubted that this is feasible, in particular with respect to the

integration of socio-economic aspects. At the same time it could or should be mentioned that

on the other end of the spectrum of scales, more and more attempts are made to integrate an

even wider range of hydrological processes on continental and global scales. These are

important developments and integration on those scales may be equally important as on the

As pointed out before there is an overwhelming consensus among scientist and practitioners

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2 Current status of integration of ground and surface water research on the regional scale

The question discussed in this article is, if regarding groundwater hydrology and surface water hydrology as essentially different disciplines could help to better integrate groundwater

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- and surface water research. This implies the assumption that the current state of integration of
- 2 these two topics requires improvement. To see if this assumption is appropriate, three major
- 3 domains of integrated research on groundwater and surface water are briefly evaluated:
- 4 1. Integrated regional field studies
- 5 2. Integrated regional modelling
- 6 3. Integrated regional management and assessment
- 7 Integrated regional field studies use field observations from both compartments to analyse 8 and describe properties and processes across boundaries between groundwater and surface 9 water. As comprehensive reviews of this subject are not available, it is difficult to provide 10 evidence for the following statements without citing a huge number of individual references. 11 Most readers might still agree that from the overwhelming number of scientific studies that 12 use regional hydrological data sets (be it proprietary measurements or from public 13 observations networks) relatively few combine groundwater and surface water observations in 14 a truly integrated way. The majority of studies that actually do integrate observations from 15 those different compartments, are rather descriptive (e.g. in to generate status reports, thereby 16 often separating groundwater and surface water in different chapters) than oriented at 17 analysing interaction between the compartments. On the other hand, the majority of field 18 studies that actually do look at exchange processes and feedbacks of groundwater and surface 19 water are carried out at local scales: hill slopes, riparian systems, the hyporheic zone, flood 20 plains, etc. 21 **Integrated regional modelling:** In comparison to integrated field studies, models are not 22 immediately constrained by the size of the study area and the costs of observations. In 23 contrary, one essential purpose of models is to describe indirectly and evaluate processes and 24 properties in between or in the absence of direct observations. This is why regional integrated 25 models that provide coupled descriptions of groundwater and surface water processes are

1 quite abundant. Generally, two main types of integrated groundwater - surface water models 2 can be distinguished: (i) fully coupled models - where equations governing surface and 3 subsurface flows are solved simultaneously, (ii) loosely coupled models - iterative solution 4 methods, where models are linked by using the results of one model as an input to another (for a more detailed description of the available schemes and the respective differences see 5 6 Barthel and Banzhaf (2014). The full capacity of fully coupled codes like ParFlow (Kollet and 7 Maxwell, 2006) and HydroGeoSphere (Brunner and Simmons, 2012) has so far foremost been 8 demonstrated in study areas smaller than what was defined as regional in the present paper. 9 The more common approach on the regional scale is loose coupling, with typically a focus on 10 either groundwater or surface water, where the respective "less important" side is represented by rather simplified equations and geometry (Furman, 2008; Markstrom et al., 2008). In 11 12 general, the vast majority of regional models cannot be called integrated in a process-based 13 sense. Rossman and Zlotnik (2013), who reviewed 88 regional groundwater-flow modelling 14 applications from the US, found that only 7% of those made an attempt to couple groundwater 15 and surface water. An interesting observation regarding integrated groundwater-surface water 16 models on the regional scale is that calibration (validation, verification) is often done using only surface water observations (Hattermann et al., 2004; Sebben et al., 2013). 17 The objective of integrated regional management and assessment is managing the 18 19 technical, environmental, social and economic aspects of groundwater and surface water 20 resources and their interaction. While field studies and modelling can be carried out at any 21 scale, the regional scale is the typical scale for integrated water resources management and 22 assessment. The lack of integration of groundwater and surface water in water resources 23 management was already pointed out in the very beginning of the article. While IWRM is a 24 by now well-known and accepted concept (Savenije and Van der Zaag, 2008), the success of 25 integrated management and assessment is different and greatly dependent upon traditions,

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water law, hydrological/hydrogeological conditions in different countries and regions (Ross,

2 2012b). Various deficits and challenges encountered in the integrative management of

3 groundwater and surface water are addressed by several authors (Brugnach et al., 2007; Croke

4 et al., 2007; Foster and Ait-Kadi, 2012; Jakeman and Letcher, 2003; Junier and Mostert, 2012;

5 Ross, 2012a). The integrated management of groundwater and surface water inherits the

6 foremost technical problems for integrated field studies and integrated modelling described

above as it necessarily bases on those. Additionally, it is facing institutional problems and

social conflicts that even add another dimension – showing a need for a much wider scope of

integrated groundwater-surface water research on the regional scale (see section 12).

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3 Differences between groundwater and surface water hydrology

The previous section indicated that integration of groundwater and surface water on the regional scale, be it in research or practical management, is not as advanced as it should be and could be. The following section will look at manifestation of differences between groundwater and surface water hydrology to be able to evaluate whether or not these differences are responsible for the deficiencies in integration. The discussion of the differences is mainly done based on a comparison of terminology and concepts used in both fields. As terminology and concepts are usually understood and applied differently depending on individual perspective and context, it is foreseeable that readers may agree or disagree to different extents. However, it should be acknowledged that the purpose of this discussion is not to draw clear lines and to arrive at unique definitions, but to raise awareness of obstacles that might not be immediately obvious.

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3.1 Definitions of hydrology and hydrological research areas

2 "Hydrology" according to many general dictionaries, including for example Merriam-Webster¹, is seen as the science of the properties, distribution, movement, use and 3 4 management of water in the earth system. Brutsaert (2005) presents a short overview of 5 definitions of the term "hydrology". He draws the conclusion that the most widely agreed on definition of hydrology limits its scope to continental (terrestrial) water processes. In fact, 6 7 there seems to be strong consensus that water in the oceans (oceanography), the atmosphere 8 (meteorology, climatology), but to some degree also lakes (limnology), glaciers (glaciology) 9 are not in the central focus of what most hydrologists mean when talking about their 10 profession. Groundwater (groundwater hydrology, hydrogeology), on the contrary, is never 11 explicitly excluded from "hydrology", yet, there seems to be a relatively large group of 12 scientists within the hydrological sciences that think of "hydrology" more or less exclusively 13 as the science of terrestrial, (flowing) *surface* waters, that is rivers and their catchments. 14 Groundwater hydrology is often seen as the specialization of hydrology, which focusses on 15 subsurface considerations. At the same time, a large number of people see hydrogeology as a 16 sub-discipline of geology (note that this is the only occasion where this paper makes a 17 distinction between groundwater hydrology and hydrogeology). The rationale behind this is 18 that properties and processes in the subsurface are the domain of the discipline of geology and 19 understanding stratigraphy, structural geology, mineralogy and geochemistry are essential to 20 understanding groundwater systems.

3.2 Manifestations of differences between groundwater and surface water hydrology

Different definitions are biased by the educational background and perspective of the respective discipline but also national scientific traditions and historical development of educational programs and in some cases by regional geological/hydrological conditions.

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¹ http://www.merriam-webster.com/dictionary/hydrology, visited 2014-01-10

1 Much more interesting than making semantic considerations is to analyze the practical 2 manifestations of the differences between groundwater hydrology and surface water 3 hydrology. The following paragraphs address some of the differences observed by the author. 4 To keep this short, some rather complicated topics are presented in a rather simplified, bold way, to some degree ignoring controversial aspects and dissenting perspectives. As always, 5 6 things are simply not just pure black or pure white. The differences between GW and SW 7 hydrology presented here are thus not defined by clear separating boundaries; it is often rather 8 tendencies into one or the other direction. **Different objects of interest:** Most researchers in groundwater hydrology are foremost 10 concerned with processes in the saturated domains of the subsurface. Thus, their central focus of interest is aquifers or aquifer systems. Also by necessity, groundwater hydrologists must be 12 interested in water movement into and out of the saturated zone. Therefore, the unsaturated 13 zone ("groundwater recharge from precipitation") and to a lesser degree surface waters 14 ("infiltration of surface water through the river bed") play a certain role. They form important 15 "boundary conditions" to groundwater systems, and it is of interest how these boundary 16 conditions influence the groundwater system as such and not so much what outside processes 17 create the conditions at the boundaries. Surface water hydrology has a focus on terrestrial, 18 flowing surface waters, the main target of surface water hydrology are thus rivers and the near 19 surface parts of their catchments. Groundwater is often seen as an essential part of a 20 catchment's characteristics. However, the focus is much less on processes within the groundwater system than how groundwater contributes to the runoff network at the land's 22 surface (as a source term or boundary condition). 23 Aquifers (groundwater systems) and river catchments are rather different spatial objects with 24 respect to a large number of properties and processes (the term "groundwater catchment" 25 which is eventually used is excluded from this discussion because of its ambiguity):

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• Catchments can easily and almost unambiguously be delineated, based on relief, while groundwater systems in most cases have no clear limits in any direction. Their boundaries are often highly dynamic as a result of natural and anthropogenic influences and remain often unknown due to limited accessibility.

- In- and outflows of catchments can be clearly defined and measured (with some practical limitations) while the inflows and outflows of groundwater systems can hardly ever be measured and even a conceptual description can be difficult or impossible even if the location of boundaries is known (see previous item).
- Aquifers or groundwater systems are strictly three dimensional objects, often with a
 vertical differentiation into independent sub-systems. On the contrary, much of the
 spatial variability of a surface water catchment can be explained by the variability of
 near surface properties within the 2 horizontal dimensions.
- Data on groundwater systems is often only accessible by drilling or indirect observations, while very important characteristics of catchments can be retrieved by mapping the surface and remote sensing data.
 - Groundwater systems are dominated by saturated flow, while flow in surface catchments is separated into surface runoff, open channel flow and unsaturated flow. Although governed by the same principle laws of fluid mechanics, the dominant processes are essentially different on the scale of process description and have thus led to entirely different sets of mathematical formulations. In groundwater systems, the main direction of flow is often horizontal, but strong and deep reaching vertical flow components with strong spatially varying magnitude may occur. On the contrary, the typical flow components studied in detail in surface hydrology are concentrated at or near the surface following topographical, rather than pressure gradients.

• Catchments and aquifers have different dynamics. Catchments are flow dominated, with typically relatively short residence time in the domains that are most interesting to surface water hydrology. It is often possible to close the water balance within one year and inflow and outflow are much larger than storage. Aquifers are often storage dominated, i.e. they can have very long residence and response times and can even be almost fully decoupled from seasonal variations. Storage can be huge in comparison to in- and outflows. This difference, however, is an apparent one created by different perception.

Different types of observations: In both surface water and groundwater hydrology there is a large and growing number of observational methods that can be used to characterise river catchments and groundwater systems and a wide variety of properties and processes therein. To simplify, this discussion disregards that many of the observations are related to water quality/chemistry. The focus of this discussion is on water quantity: i.e., discharge measured at gauging stations, and groundwater head or water table elevation in observation wells (piezometers). Both types of observations can be used to measure hydrographs, i.e., time series of water levels or discharge. But even if hydrographs of piezometric head and discharge often look quite similar they have essentially different characteristics:

- A discharge hydrograph is, with some limitations, an integral measurement summarizing the processes that occur within the catchment. In contrary, groundwater level observations are representative for a certain location and a certain depth only. This is a consequence of subsurface heterogeneity and hydraulic barriers or connections both in horizontal and vertical direction paired with the fact that those structures are often hidden in the inaccessible subsurface.
- While typically discharge hydrographs often show cyclic behaviour with recurring features (wet, dry seasons occurring every year with only moderate long-term

fluctuations – exceptions, such as hydrographs in tidally influenced regions are of course possible), a groundwater hydrograph can be completely dominated by low-frequencies without any significant seasonal behaviour as a result of the long residence and response time of groundwater systems.

• Measurements of river discharge and river levels at a gauge can be much more directly interpreted with respect to consequences (flooded areas, general water availability). In contrary, a piezometric head or water table elevation has no immediate clear and simple relation to water availability. To interpret a groundwater level local knowledge and/or, other, often difficult to obtain information is required and conceptually difficult transformations need to be carried out. The meaning of a hydraulic head is always specific for the specific location and the geological/hydrogeological conditions there.

Different time scales: Quite often, when differences between GW and SW hydrology are discussed, different time scales are mentioned as a separating feature. Processes in SW hydrology are considered to be faster, thus shorter periods are studied, while processes in GW hydrology are considered to be less dynamic. These differences are technically very relevant, in particular for the coupling of GW and SW models. They might, however, play a minor role as a reason for the separation of GW and SW hydrology and the author refrains from further discussing this here. It is, however, important to acknowledge that integration on the regional scale has to look on longer timescales on either side.

Differences of practical problems and applications: Leaving water quality/chemistry aspects aside again, much research in groundwater hydrology is centred on the question of what is the influence of pumping/infiltration on groundwater systems, both locally ("aquifer testing") and regionally ("water resources management"). The underlying questions are often related to how much is stored in a groundwater system and how much / how fast one can

withdraw from storage – usually over long periods of time. In surface hydrology there is a lot of emphasis on the prediction of discharge, often in relation to floods. The temporal dynamic is quite often more interesting than average conditions. Differences of problem context and application are difficult to frame in a few sentences, in particular, because it is well-known that in the long run groundwater and surface water problems cannot be decoupled. However, it is still important to keep in mind that groundwater and surface water research historically started from different types of questions and that this had and still has a large impact on the development of the respective scientific studies. Different methodology: Even if the basic physical (and chemical) laws are the same for surface and subsurface hydrological process, the different objects of interest, different types of observations and different sets of problems led to the development of different methodology. Many approaches to predict the behaviour of groundwater systems follow mechanistic continuum approaches, with the aim to describe flow and transport pathways explicitly in space and time. In contrast, the characteristics of surface water hydrographs and the integral character of catchments (see above) have opened ways for more conceptual empirically-based and statistical approaches. Concepts, such as the unit hydrograph or the concept of linear storage cascades, are only feasible because of the assumption that all water going into a catchment (minus evapotranspiration losses) ends up at the gauge at the outlet of the catchment. Making a prediction of what might happen in the future based on a statistical analysis of the past behaviour of a catchment, as it is done for example by deriving flood return periods from past data, is a concept hardly known in groundwater hydrology. Probably not so much because of methodological constraints, but because such an approach usually does not yield any answers to typical groundwater problems. On the other hand, all the methods used in groundwater hydrology to derive groundwater flow direction, velocity and origin are not necessarily applicable and/or meaningful in surface hydrology.

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Different models: A discussion of all the different modelling approaches and strategies in surface and groundwater hydrology is clearly beyond the scope of this paper. The huge amount of modelling concepts and codes in both the groundwater and the surface water field makes even a brief description of this subject difficult different. What adds to the problem is the different use of modelling terminology - even within groundwater or surface water hydrology, respectively (see next section). Even if this might be debateable in many cases, one could say that in general in subsurface hydrology on the regional scale, the majority of models used are distributed, numerical models based on a continuum approach, i.e., the governing differential equations describing flow and transport are solved numerically for a given domain that is accordingly discretized in elements. These models can be called mechanistic, i.e., based on physical and chemical laws and the use of parameters that are assumed to represent measurable properties. In surface hydrology, as a tendency, many more models are "conceptual", ranging from black box models to more physically based distributed process models, but in general, surface hydrological models often involve "parameters" that have no direct relation to measurable physical or chemical properties. Such parameters are often determined by calibration. It may be very well argued that there is "not much physics" in hydraulic conductivity calibrated for a 100*100 m model cell in a groundwater flow model, but probably still more than in a purely empirically determined recession coefficient of a SW hydrological model. There is a very strong tendency of SW water models being more conceptual and GW models being more physics based, but there is no clear separation and many exceptions exist on either side. In summary it can be said, that models in surface and subsurface hydrology necessarily have to be different, because they are used to describe different objects, attempt to match different types of observations, and answer quite different questions.

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- 1 **Different terminology:** Groundwater and surface water hydrologists often use the same
- 2 terms, yet with a slightly, sometimes even a completely different meaning. For example, the
- 3 term "conceptual model" has a completely different meaning in groundwater hydrology than
- 4 it has in surface hydrology. The author wants to avoid a lengthy (and hopeless) discussion of
- 5 such terms and relies on the hope that most readers have experienced such terminology issues.
- 6 It should be mentioned that the ambiguous use of model related terminology is discussed
- 7 within the respective fields but such discussions of model terminology hardly span both
- 8 groundwater and surface water models (see e.g., Beven and Young, 2013).
- 9 The problem of different use of terminology goes beyond modelling. A specific example for
- this ("groundwater recharge") will be given in section 4. The problem is that because the
- same terms are used in a similar context, it is often assumed that they have the same meaning
- 12 with the consequence that the differences are not detected at all or only after these
- misunderstandings have led to problematic situations.
- 14 Differences in administration, management and legislation: In many countries,
- 15 groundwater and surface water were traditionally managed by different agencies (surveys)
- and under different legislation. The consequences of this for research might not be
- immediately obvious:
- Administration and policy makers have always sought advice from research.
- 19 Questions, coming from different agencies have thus led to the development of
- 20 different problem settings (see above) and different solutions.
- Monitoring networks for groundwater and surface water developed largely
- independently and were not designed to monitor interactions between the systems.
- Their implementation followed the sectorial problem settings and has thus created data
- sets that can foremost be used only in a specified context.

Different education: In section 3.1, different ways to place groundwater and surface water hydrology into different disciplinary contexts were presented. These differences are reflected by different disciplinary educational programs. Different national and regional traditions and the increasing number of programs and specializations make it again difficult to cover this field in a few sentences. A good proxy to indicate difference and separation of education might be the relatively clear distinction of textbooks on groundwater hydrology (here usually hydrogeology) and surface water hydrology. Typically, a groundwater or a surface water oriented textbook will contain a shorter sub-section of the respective other subject, but books with a balanced coverage of both subjects do not exist to the knowledge of the author.

Different scientific communities

Without being able to prove this with quantitative data, the author observes that groundwater hydrogeologists and surface water hydrologists tend to separate into different scientific communities, who have their own conferences, organisations and networks. This might differ from country to country as a result of different scientific traditions (see above), but even if the existence of different communities might not be considered a hard fact, there are some indications that this separation exists and has consequences everywhere. For example, it might be the reason why the convincing concepts of PUB (Predictions in Ungauged Basins) (Sivapalan et al., 2003) that were discussed intensively for over more than a decade in (surface water) hydrology, have not found much recognition in groundwater hydrology (see Barthel, 2014).

4 Different perspectives and misunderstandings: Examples

The discussion presented in the previous sections indicates first a lack of integration and second quite large differences between research in surface water hydrology and groundwater hydrology on the regional scale. The following section will illustrate practical implications of

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these using two examples. The description of these examples is rather brief. Interested readers

2 are referred to (Barthel, 2006) and (Götzinger et al., 2008) where those examples were

3 presented in more detail. It is important to acknowledge that example 1 is mainly, if not

exclusively relevant on the regional scale, where processes in the entire catchment (and not

just in on river reach or aquifer) are integrated. This means, e.g. that from a SW hydrology

point of view, percolation of water through the unsaturated zone must be regarded as a main

process in generating baseflow. This again, opens a new discussion on whether or not

infiltration or percolation through the vadose zone is a hydrological or rather a soil sciences

topic. Again, the presentation here is rather bold, ignoring the subtleties.

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4.1 Example 1: Different perspectives on groundwater recharge and baseflow

Within the hydrological cycle, groundwater, surface waters and the unsaturated zone form a

continuum without clear boundaries in a strict mechanical sense. However, from a practical point of view, one can observe quite essential changes of properties and processes at relatively

distinct locations - forming conceptual boundaries in a less strict sense. Fully coupled

approaches to describe groundwater- unsaturated zone - surface water systems as a continuum

are feasible, but difficult to implement on the regional scale. Therefore, integration across

conceptual system boundaries is quite often done by looking at each of the systems separately

and coupling them through the processes that occur at the boundaries. In the following, two

major connections between the compartments will be discussed:

1. Fluxes across the bottom of a river. Depending on climate, relief and geology this flux

can occur in different directions and under different saturation conditions (see, e.g.

Sophocleous, 2002) but the example will only look at the contact of a river to a

saturated aquifer and discuss the flux directed to the river exclusively. This flux is

often referred to as "baseflow".

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1 2. Fluxes across the transition between saturated and unsaturated zone. Here, the 2 example looks only at the vertically downward directed flux from the unsaturated into 3 the saturated zone, which is commonly called "groundwater recharge". 4 Baseflow and groundwater recharge play an essential role in both groundwater and surface 5 water research and practice. The amount of literature on both concepts is overwhelming. As 6 neither groundwater recharge nor baseflow can usually be measured directly, a large number 7 of indirect methods for their estimation exists (for overviews see e.g., de Vries and Simmers, 8 2002; Ghasemizade and Schirmer, 2013; Jie et al., 2011; Scanlon et al., 2002; Tallaksen, 9 1995). The available methods are conceptually very different and often yield very different 10 results. Baseflow is usually determined using conceptual approaches (conceptual hydrological 11 12 models, hydrograph separation (Levy and Xu, 2011), however, recently it often also includes 13 hydrochemical and isotopic methods (Ghasemizade and Schirmer, 2013) or numerical models 14 (Levy and Xu, 2011). There is a lot of evidence originating from different studies worldwide 15 that the results of most approaches to baseflow estimation are highly unreliable or at least 16 only valid under very specific conditions (see e.g., Halford and Mayer, 2000; Partington et al., 2012; Vogel and Kroll, 1996). Groundwater recharge estimation methods have an even wider 17 18 spectrum of approaches (see e.g., de Vries and Simmers, 2002). One reason why so many 19 different methods were established - and thus often yield very different results - are 20 contrasting catchment / groundwater system characteristics, different data availability and different scales of application. However, different approaches are also the result of a 21

In the case of groundwater recharge, two different perspectives can be identified: From a

groundwater-focused perspective, groundwater recharge is defined as the sum of inflows

entering the saturated groundwater zone from above, below and laterally. Surface

difference in understanding of recharge and baseflow.

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1 hydrologists and soil scientists, in contrast, often assume that groundwater recharge is the 2 amount of water leaving the soil or root zone vertically downwards (see Barthel, 2006; 3 Scanlon et al., 2002). The basic assumption here is: When water leaves the domain influenced 4 by vegetation and evaporation moving downwards, it will eventually have to reach the 5 groundwater and therefore must be equivalent to groundwater recharge. An even simpler, but 6 closely related, understanding of groundwater recharge is a water balance based 7 consideration, where recharge has to be what is left of precipitation after evapotranspiration, 8 surface runoff, soil moisture storage, etc. have been subtracted. In general, this kind of 9 consideration works well for approaches which are calibrated against observed river 10 discharge. The question, whether or not water has actually entered the groundwater domain 11 (see recharge definition in the hydrogeological sense presented above), will then not have an 12 influence on the quality of the calibration results. 13 It should, however, be immediately clear that groundwater recharge defined as 'root zone 14 percolation' and groundwater recharge defined as 'water entering the saturated zone' cannot 15 be fully identical because, depending on the distance between the root zone bottom and the 16 groundwater surface, at least a temporal delay must occur. This delay can be ignored or at 17 least easily determined, when the groundwater table is close to the surface, which is often the 18 case on local scales (see e.g., hillslopes, in section 4.2). On a regional scale it is highly 19 unlikely that shallow (and unconfined) groundwater tables are present everywhere. On a large 20 scale, relief and heterogeneity of the deep unsaturated zone will lead to considerable 21 differences in temporal delay at different locations. Even more important is that on the 22 regional scale, and with growing depth to the groundwater, domains of low permeability in 23 the unsaturated zone will lead to the formation of local, independent saturated zones (perched 24 water) and subsequently to horizontal flow. Water transferred horizontally may discharge at 25 the surface at springs and thus does not reach the groundwater system (the mysterious

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"interflow"). Thus, with a growing scale the differences between the two recharge definitions

2 start to grow (Barthel, 2006).

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3 In the case of baseflow, the differences in conceptualisation are even more pronounced.

Again, two perspectives can be identified: Standard "hydrograph separation" methods derive

baseflow simply speaking as the slow component of a river discharge time series. The

empirical methods mainly used cannot identify where baseflow actually originates. Baseflow

becomes a portion of discharge measured/simulated at a gauging station, i.e., an integral

measure for a catchment. From a groundwater perspective, however, "baseflow" is seen rather

as "groundwater discharge", and for most practical applications it is important to know

10 (exactly) where and when the groundwater enters the river.

11 Problems related to different definitions of groundwater recharge and baseflow typically

occur when numerical groundwater models are driven by recharge that is calculated by

conceptual hydrological models or when groundwater and surface water models are coupled

using recharge and baseflow as linking processes. The spatial distribution of this recharge

calculated by hydrological models often ignores the actual geological situation (Barthel, 2006;

16 Götzinger et al., 2008).

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4.2 Example 2: The hillslope

Mismatching perspectives are also related to the choice of study-objects: It is quite interesting to see that when surface water hydrologist become more deeply involved with the saturated zone (groundwater) this is often done in the context of *hillslopes*, the sloping region adjacent to a river. Often, groundwater at such a location is shallow and unconfined. Hillslopes are of great interest in surface hydrology as they, from a surface-oriented perspective, encompass almost all relevant processes. On the other hand, the hillslope situation is not of much particular interest to groundwater hydrologists, mainly because the spatial and temporal scales of hillslope processes are much too small to be of interest for "real" groundwater processes or

because many local scale groundwater problems are not situated in this special topographic condition. Not exactly a quantitative proof of this but still interesting are the results of a simple literature research: Scopus lists only 18 papers containing "hillslope" or "hill-slope" under article title, keywords or abstract for the almost exclusively groundwater oriented journals Hydrogeology Journal AND Groundwater, while 717 papers meet the same criteria in the Journal of Hydrology AND Hydrological Processes, which are more surface water oriented. The reason to be interested in groundwater at hillslopes may be less the interest in groundwater system properties and processes itself, but rather the contribution of groundwater to the discharge in the adjacent river. The most problematic aspect of this might be that the groundwater situation at hillslopes seems to have a big influence on the general perception of groundwater. Without being able to provide hard evidence for this, the author has made the observation that many surface water hydrologists tend to regard groundwater systems as shallow, undifferentiated, systems, which form bucket-like sinks (or sources) for water that comes from the unsaturated zone or flows into rivers. If you have a hillslope (or floodplain) in mind, there will be many cases where the situation will be exactly like this. But there is a danger that this view of the connection between the unsaturated zone, surface water and groundwater is extended to larger systems, where the groundwater situation is usually much more complex and the connections are less straightforward.

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5 Interdisciplinary aspects of groundwater and surface water integration on the regional scale

The question asked in the beginning of this article was if regarding groundwater hydrology and surface water hydrology as different disciplines and if acknowledging this might help to integrate them better. The previous sections have indicated a number of strong differences and several fields with lack of integration. To evaluate, whether applying interdisciplinary

1 concepts may lead to better integration, the following section will at first briefly review 2 interdisciplinary approaches and discuss their relevance with respect to integration of groundwater and surface water hydrology. Furthermore, this section will discuss the 3 4 integration of groundwater and surface water in a wider context of interdisciplinarity, mainly 5 with respect to the integration of natural and social sciences and the interaction between 6 science and society in general. 7 According to Repko (2011), "academic disciplines are scholarly communities that specify Field Cod 8 which phenomenon to study, advance certain central concepts and organizing theories, 9 embrace certain methods of investigation, provide forums for sharing research and insights 10 ... Each discipline has its own defining elements – phenomena, assumptions, epistemology, concepts, theories and methods". Looking at the differences derived in section 3, a number of 11 12 aspects can be identified that suggest that groundwater hydrology and surface water 13 hydrology actually could be considered different disciplines. To actually prove this might be 14 impossible, yet, this applies generally to the delineation of disciplines (Abbott, 2001). Field Cod Interdisciplinarity and interdisciplinary methodology 15 16 If applying interdisciplinary methodology to the integration of groundwater and surface water 17 research is considered beneficial, than first a clarification of interdisciplinarity is necessary. 18 Some definitions of interdisciplinarity focus on how research is performed (e.g., Roy et al., Field Cod 19 2013) others emphasize the problem context of research. Repko (2011) reviews several Field Cod widely used definitions of interdisciplinary research, extracts the common elements of these 20 21 definitions and finally condenses them into the following: "Interdisciplinary studies is a 22 process of answering a question, solving a problem or addressing a topic that is too broad or 23 complex to be dealt with adequately by a single discipline, and draws on the disciplines with

the goal of integrating their insight to construct a more comprehensive understanding".

- 1 Within the huge body of literature on interdisciplinarity, a large number of partly conflicting
- 2 theories of how interdisciplinary research should be conducted are available. The author does
- 3 not make an attempt to review and compare the different theories but instead presents the one
- 4 that comes closest to his own ideas: Szostak (2002) presents a 12-step process for
- 5 interdisciplinary research and discusses very comprehensively the relevance of performing,
- 6 and the risks of omitting any of the steps. Some of the steps may at first seem trivial—yet it is
- 7 the interdisciplinary context which makes them worth considering:
- 8 1. Start with an interdisciplinary question. This step can mean and encompass different
- 9 things depending on the starting point of research: either to explore whether or not a
- research question is suitable for an interdisciplinary approach, or to frame a research
- problem or question in an interdisciplinary way. In the context of the discussion
- presented in this article, this first step is difficult to define and maybe difficult to
- understand. To determine, if a question is interdisciplinary or in order to ask a question in
- an interdisciplinary way, iterations with steps 2-4 will be needed for clarification. Only
- 15 the later steps in the workflow will help to decide whether or not an interdisciplinary
- approach adds new insights and gives better results than a disciplinary one.
- 17 2. Identify the key phenomena involved, but also subsidiary phenomena. This will help to
- identify the degree of interdisciplinarity needed. Subsidiary phenomena might be
- regarded negligible from one disciplinary view point but they might be the key
- 20 phenomena of another.
- 21 3. Ascertain what theories and methods are particularly relevant to the question at hand ...
- Be careful not to ignore casually theories and methods that may shed some lesser, but
- 23 significant light on the question. While disciplinary research often focusses on a few
- established methods in the field, the key to true interdisciplinarity is openness to any
- 25 theory or method.

- 1 4. Perform a detailed literature survey. This means a review of literature describing a
- 2 problem from *all* possible disciplinary perspectives. This step thus also requires a review
- 3 of the different terminology and how terms are used by different disciplines.
- 4 5. Identify relevant disciplines and disciplinary perspectives. This step could be seen as a
- 5 conclusion of steps 1-4 and may require several iterations of those.
- 6 6. If some relevant phenomena (or links among these), theories, or methods identified in (2)
- 7 and (3) have received little or no attention in the literature, the researcher should try to
- 8 perform or encourage such research.
- 9 7. Evaluate the results of previous research. The goal is to identify key phenomena that may
- have been excluded from previous analyses and to evaluate the impact this may have had
- on results. It is important to identify disciplinary perspectives and the biases resulting
- from this.
- 13 8. Compare and contrast results from previous disciplinary or interdisciplinary research. If
- different disciplines reach differing conclusions, it should be checked whether these
- differences are merely semantic or real. If differences are real, the question needs to be
- asked: What would have to change in order to generate similar (unique) results?
- 17 9. Develop a more comprehensive/integrative analysis. This step encompasses a wide range
- of activities. In addition to understanding the parts, the interdisciplinary researcher must
- 19 attempt to understand how multiple causation and feedback loops interact. It is necessary
- 20 to check whether one unifying theory or methodology is possible or if different
- 21 phenomena within the problem in question require different methodology.
- 22 10. Reflect on the results of integration: How and why do the results of interdisciplinary
- research differ from previous disciplinary research? What is the added value of
- integration? What degree of integration is truly necessary and what could be omitted?

1 11	. Test	the	results of	integration.	That	results	should	be	validated	or	verified	does	not
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- 2 require justification in the field of natural sciences, however, as Szostak (2002) points out
- one has to be careful of biasing such tests and one should also be prepared to adjust the
- 4 analysis in the face of new information.
- 5 12. Communicate the results. Again, this is an obvious part of any research. Yet
- 6 interdisciplinary research faces the great challenge of having to communicate to both an
- 7 interdisciplinary audience AND to various disciplinary audiences.
- 8 A good example of where and when research could benefit from such an interdisciplinary
- 9 approach is the example of groundwater recharge on the regional scale presented in section
- 4.1. The author leaves it to the interested reader to do this exercise. For example, such an
- analysis could reveal that flow and transport through the deeper vadose zone have hardly
- received any attention (see step 6) but have a significant impact on the results (step 8). In
- general, the question of where and when integration is feasible and useful are discussed in
- excellent books like the one by Bronstert et al. (2005).

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5.2 The regional scale as a platform for broader inter and transdisciplinary

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18 Integration of groundwater and surface water is an important step towards holistic research in

water resources, but truly integrated research has to go far beyond these first efforts. The best

20 integrated groundwater-soil-surface-water model still requires meaningful inputs and

21 boundary conditions – i.e., meteorological input and information of water demand, land use

changes, hydraulic structures, etc. In particular on the regional scale, anthropogenic impacts

and processes in neighbouring compartments require integration of a much wider range of

aspects, in particular also those of socio-economic nature. A detailed evaluation of the

different usage of the term "integration" is provided by Kelly et al. (2013) and Jakeman and

26 Letcher (2003).

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1 Furthermore, it is difficult to imagine integrated GW-SW research on the regional scale that is 2 purely driven by scientific interest. Research will quite often need to have an applied 3 component to justify the efforts and they can hardly ignore existing problems and demands of 4 practical management. Stakeholder involvement, participatory modelling approaches and communication strategies are thus also an essential part (see {Carmona, 2013 5 6 #6649@@author-year} for a comprehensive discussion). Any researcher who wants to 7 become involved in integrated water research on the regional scale should thus become 8 acquainted with the idea (and challenges) of working together with social scientists and a 9 wider non-scientific public. A good starting point for this are the discussions presented by 10 Strang (2007), Fischer et al. (2011) or Jahn et al. (2012), who discuss the collaboration between natural and social scientists in general. A large body of literature is also available on 11 12 the interaction between science and the non-scientific world. Keywords are the "science 13 policy interface", "participatory research", "trans-disciplinarity" {see e.g.', \Brugnach, 2007 14 #99;Croke, 2007 #85;Pahl-Wostl, 2007 #6336;Carr, 2012 #6349;Pohl, 2010 #6388;Pohl, 2008 #6280;Schoot Uiterkamp, 2007 #6366}. Highly recommended discussions on the role of 15 16 science in society are provided by Naustdalslid (2011), Weber et al. (2011) and Weichselgartner and Kasperson (2010). 17

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5.3 Interdisciplinary challenges

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The preceding sections have provided arguments why regional scale research in general should be carried out from an interdisciplinary perspective or at least that such research should start with a careful evaluation of the potentially interdisciplinary aspects involved (see items 1-8 in section 5.1). The consensus that interdisciplinarity (and transdisciplinarity) is needed to tackle the challenges of water resources management is overwhelming. To mention interdisciplinary components of research seems to be seen as important when describing individual research profiles or strategies and visions of research institutions. However,

1 scientific evaluation of interdisciplinary research shows a different reality. Much of the 2 research that is considered interdisciplinary by those who perform it, is at best multi-3 disciplinary, i.e., two disciplines work together on one problem yet stay in their own 4 disciplinary tradition without creating new unifying theory, concepts and methodology (Roy, 2013). Moreover, the majority of research remains strictly disciplinary. 5 6 So, why are there these differences between proposed plans and actual outcomes? Among the 7 obstacles in interdisciplinary research that are usually mentioned first are the traditional 8 disciplinary organization of educational systems and research institutes, etc. Related to this is 9 the observation that interdisciplinary research limits career advancement and funding 10 possibilities (Froedeman et al., 2010; Vasbinder et al., 2010). This might be difficult to believe in view of the overwhelming consensus on the importance of interdisciplinarity. A 11 12 reason might be that both career advancement and research funding is based on (still mainly) 13 strictly disciplinary review processes. "Good research" is defined differently in different 14 disciplines, but few reviewers will have an overview over what "good interdisciplinary" 15 research is (see Fischer et al., 2011; Froedeman et al., 2010; Heberlein, 1988; Vasbinder et al., 16 2010). Publishing a (truly) interdisciplinary manuscript is tedious and still a great challenge (Schoot Uiterkamp and Vlek, 2007; Wood, 2012). Planning a (truly) interdisciplinary research 17 proposal with a careful evaluation of all aspects (see section 5.1) requires great effort. To 18 19 design such research in a way that satisfies all the disciplinary biased reviewers is 20 challenging. The most crucial aspect is the tediousness of interdisciplinary research. There is 21 an overwhelming consensus on the fact that interdisciplinary research requires much more 22 time than disciplinary research (e.g., Campbell, 2005; Lerner et al., 2011; Strang, 2007). 23 Collaboration requires a significant amount of time to be spent in communication between the 24 participants, so that all achieve at least a basic understanding of the types of theory, methods, 25 data and analysis used by the others. Collaboration also requires commitment and an openness

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to acknowledge and understand differences (MacMynowski, 2007; Strang, 2007). Marzano et

2 al. (2006) and Bell et al. (2005) show that the majority of researchers are not particularly

3 excited about this side of interdisciplinarity. In particular researchers in the early career stages

are discouraged by the disadvantageous time-consuming, publication record limiting aspects

5 of interdisciplinary research (Bruhn, 2000).

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6 Discussion and conclusions

8 The discussion presented in this article is inspired by the recently published concept of the

9 new IAHS scientific decade "Panta Rhei" (Montanari et al., 2013), which emphasizes the

necessity of a more holistic perspective of hydrological research. The integration of

groundwater and surface water hydrology is thereby particularly interesting in retrospect of

the previous scientific decade on PUB. The assumption here is that PUB might not have been

entirely successful in integrating groundwater, and the groundwater community might not

have taken adequate notice of the PUB activities.

15 As mentioned earlier in this paper, the question of whether GW-SW should be called different

disciplines, sub-disciplines or just specialisations within one common field is not considered

important. What is important though is the awareness that substantial differences exist. It

seems that difficulties in collaboration and mutual understanding between surface water

hydrologists and groundwater hydrologists arise often because the fundamental differences

between the two subjects are not acknowledged. The apparent closeness of the two

disciplines leads to the result that partners in a collaboration often assume that they fully

understand what the others are doing (and how they do it, why they do it, what their

perspectives on problems and processes are), because they use very similar terminology and

seemingly similar concepts. The danger is that this assumption is not questioned and the

actual dissimilarity of terms and concepts goes undiscovered. This could not happen if such a

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- 1 collaboration would be designed using interdisciplinary methodology, where determining and
- 2 understanding the differences in research concepts is always the first step (see section 5.1 as
- 3 well as MacMynowski (2007) and Strang (2007)).

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4 It might seem contradictory to the goal of more holistic research in the water field (see e.g.,

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5 Galloway, 2010; Wagener et al., 2010) to focus on differences rather than on the

commonalities of research fields. Yet, even if it is highly desirable in the future that all

problems in water resources management are solved in a holistic effort, we still need to face

the fact that knowledge, expertise and perspectives are distributed irregularly amongst

individual researchers, who, in turn, have rather limited possibilities to share and

communicate their full knowledge and viewpoints. Each contribution to integrated research

will thus be biased by individual expertise and constraint by different "backgrounds". The key

to successful integration might not so much lie in the attempt to make everyone a universal

scientist (or practitioner, decision maker, etc.), but rather in the attempt to enable better

communication, i.e., sharing of knowledge between disciplinary experts. An essential step in

communication is to make sure that there is a common understanding about the different

individual perspectives on the subject. This requires awareness of difference: We need to

acknowledge that there are (surface water oriented) hydrologists and (groundwater oriented)

hydrogeologists. This does not mean that there is a sharp insuperable boundary between these

groups, neither does it mean that there are no scientist that are located somewhere in between.

Collaboration between individuals or groups of either affinity should be considered

interdisciplinary and based on a workflow as presented in section 5.1. Good collaboration

requires knowing what the collaboration partners deal with, how they deal with it and why

they do it in a specific way. One of the referees who review this this article provided a nice

analogy by mentioning that the discussion reminded him of the famous book by John Gray

"Men Are from Mars, Women Are from Venus". I have not read this book and don't what to

- 1 judge its quality and the opinions it conveys, but the title makes it easy to assume what is
- 2 meant: Even if men and women are from the same species, the assumption their behavior and
- 3 thinking is motivated by the same reasoning might not be helpful in the attempt to achieve
- 4 good "integration". . Back to hydrology: A work flow, as presented in section 5.1, will help to
- 5 identify gaps and overlaps and eventually to develop an appropriate new theory and
- 6 methodology.
- 7 Four essential findings result from this discussion:
- 8 1. Groundwater hydrology and surface water hydrology are significantly different and
- 9 have developed a different theory, methodology and terminology.
- 10 2. A lack of awareness of these differences hinders a detection of the existing
- interdisciplinary aspects of GW-SW integration and thus the application of an
- interdisciplinary methodology that would help to identify a unifying theory and
- methodology.
- 3. Most hydrologists (groundwater and surface water) are not sufficiently involved in
- truly interdisciplinary research, have a lack of understanding of what interdisciplinary
- is and how it works. They are not sufficiently involved in developing interdisciplinary
- strategies and do not usually regard the process of integration as such as a research
- topic of its own.
- 4. There seems to be a general reluctance to apply (truly) interdisciplinary methodology
- because this is tedious and few incentives are provided.
- 21 The key to tackle the resulting problems seems to be that scientists at all levels need to be
- 22 educated in interdisciplinary thinking and in understanding the benefits, but also the
- challenges of interdisciplinarity. Interdisciplinary educational programs (for a compilation of
- further references see Seibert et al. (2013)) are a good start. It is probably inevitable to follow
- a bottom up approach, i.e., to start in early undergraduate training to establish the awareness

- 1 that each problem can be viewed from different perspectives. It seems to me that the focus of
- 2 interdisciplinary education should be not so much about trying to make each student a
- 3 universal scientist but to establish knowledge on how highly specialized experts can combine
- 4 their knowledge in a meaningful way:

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- 5 "It appears clear to us that, within interdisciplinary projects, as much conscious effort and
- 6 time has to be put into 'making it work' as is required for the scientific research itself and
- 7 that relational issues are of crucial importance." (Marzano et al., 2006).

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