## 1 Groundwater Dynamics under Water Saving Irrigation and

# 2 Implications for Sustainable Water Management in an

## 3 Oasis: Tarim River Basin of Western China

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- 5 Zhi Zhang<sup>1</sup>, Hongchang Hu<sup>1</sup>, Fuqiang Tian\*<sup>1</sup>, Xinhua Yao<sup>2</sup>, Murugesu Sivapalan<sup>3</sup>
- 6 { State Key Laboratory of Hydroscience and Engineering, Department of Hydraulic
- 7 Engineering, Tsinghua University, Beijing 100084, China;
- 8 <sup>2</sup>Xinjiang Tarim River Basin Management Bureau, Bayangol Prefecture, Xinjiang 841000,
- 9 China;
- 10 <sup>3</sup>Department of Civil and Environmental Engineering, Department of Geography and
- 11 Geographic Information Science, University of Illinois at Urbana-Champaign, Urbana, IL
- 12 61801, USA}
- 13 [\*]{now at: State Key Laboratory of Hydroscience and Engineering, Department of
- 14 Hydraulic Engineering, Tsinghua University, Beijing} Correspondence to: Fuqiang Tian

Water is essential for life. Specifically in the oases of inland arid basins, water is a critically

15 (tianfq@tsinghua.edu.cn)

#### 16 **Abstract**:

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18 limited resource, essential for the development of the socio-economy and the sustainability of 19 eco-environmental systems. Due to the unique hydrological regime present in arid oases, a 20 moderate groundwater table is the goal of sustainable water management. A shallow water 21 table induces serious secondary salinization and collapse of agriculture, while a deep water 22 table causes deterioration of natural vegetation. From the hydrological perspective, the 23 exchange flux between unsaturated vadose zone and groundwater reservoir is a critical link to 24 understand regional water table dynamics. This flux is substantially influenced by anthropogenic activities. In Tarim River Basin of western China, where agriculture consumes 25 26 over 90% of available water resources, the exchange flux between the unsaturated vadose 27 zone and groundwater reservoir is influenced strongly by irrigation. Recently, mulched drip 28 irrigation, a sophisticated water-saving irrigation method, has been widely applied in the

Tarim River Basin, which greatly impacted the exchange flux and thus the regional

groundwater dynamics. Capitalizing on recent progress in evaporation measurement techniques, we can now close the water balance and directly quantify the exchange flux at the field scale, thus gain a better understanding of regional groundwater dynamics. In this study, comprehensive observations of water balance components in an irrigated cropland were implemented in 2012 and 2013 in a typical oasis within Tarim River Basin. The water balance analysis showed that the exchange flux and groundwater dynamics were significantly altered by the application of water-saving irrigation. The exchange flux at the groundwater table is mostly downward (310.5 mm year<sup>-1</sup>), especially during drip irrigation period and spring flush period, while the upward flux is trivial (16.1 mm year<sup>-1</sup>) due to the moderate groundwater table depth (annual average depth 2.9 m). Traditional secondary salinization caused by intense phreatic evaporation (fed by upward exchange flux) is alleviated. However, a new form of secondary salinization may be introduced unwittingly if there is lack of water for periodic flushing, especially when brackish water is used in the irrigation. Furthermore, the water saved via drip irrigation has been used in further growth of irrigated lands instead of supporting the ecological system. This would lead to increasing risk of eco-environmental degradation and calls for improved governance schemes. The insights gained from this study can be potentially applied to other arid inland areas (e.g., central Asia) which face similar water shortages and human development problems.

#### 1 Introduction

According to the FAO (http://www.fao.org/docrep/t0122e/t0122e03.htm), one third of the world's total land area is classified as arid. In these arid regions, the limits to water availability make water a critical factor in socio-economic development (Hanasaki et al., 2013). Agriculture in arid regions greatly relies on irrigation and in addition the delicate natural ecosystem is also vulnerable due to exacerbation of water scarcity by human extraction (Shen and Chen, 2010). Recently, water scarcity is becoming more acute all over the world due to the effects of both climate change and population growth, further aggravating the water problems, especially in arid regions (Xu et al., 2010). Water-saving irrigation, as one approach to mediate water conflicts between humans and nature, has been popularized in many arid regions (Christen et al., 2007; Ibragimov et al., 2007; Scanlon et al., 2010). However, it is still not clear how water-saving irrigation alters overall water balance dynamics and, in the long term, how it affects the evolution of human-water systems. There is

- also concern about whether sustainable development can be maintained by the application of
- 2 water-saving irrigation, and what additional steps we should take to implement better water
- 3 management in the future. The Tarim River Basin in Western China provides an excellent
- 4 case study to generate insights into all of the above questions.
- 5 The Tarim River Basin (TRB) is the largest inland basin of China. It is also a typical hyper-
- 6 arid basin in Central Asia, itself one of the biggest arid zones of the world. Within TRB, water
- 7 resources are quite scarce due to its hyper-arid climate characterized by limited precipitation
- 8 (<50 mm year<sup>-1</sup>) and extremely high potential evaporation (>2000 mm year<sup>-1</sup>) (Xu et al.,
- 9 2010). As a home to an ancient human civilization dating back thousands of years, water has
- always played a key role in the growth and evolution of human settlements within TRB (Xu et
- al., 2004). Nowadays, about 10 million people live in the oases located along the river banks
- and in the alluvial plains downstream of the TRB (Zhang et al., 2010).
- 13 TRB remains an agricultural society, with agriculture accounting for more than 90% of all
- water consumption (Shen and Chen, 2010). However, oases continue to play an important role
- in the societal and economic development within the TRB. Since the 1950s, the hydrologic
- system has been significantly disturbed by the expansion of irrigation in this region (Xu et al.,
- 17 2007; Zhang et al., 2012). An ambitious plan for wasteland reclamation within TRB was
- 18 conducted by the Chinese central government and as a result the areal extent of the oases
- began to sharply increase (Bruelheide et al., 2003). Water consumption also rapidly increased,
- associated with the extensive wasteland reclamation and increased human population. More
- 21 water had been extracted from the river for flood irrigation, a traditional form of irrigation,
- 22 which resulted in negative long-term consequences. A continuous drying-up of the main
- 23 stream of the Tarim River has occurred since 1972 and natural vegetation has degraded due to
- 24 the drawdown of groundwater table within the riparian areas (Shen and Chen, 2010). Soil
- 25 salinization induced by the intense phreatic evaporation under the shallow groundwater tables
- 26 within the irrigated districts greatly hindered further agricultural development (Tang et al.,
- 27 2007a). Large-scale irrigation has also significantly altered the groundwater table dynamics
- within this region.
- 29 Recently, water-saving irrigation has been popularized within the TRB to enhance the
- 30 irrigation efficiency and mitigate soil salinization in the irrigated farmlands where the
- 31 groundwater table is quite shallow (Dou et al., 2011; Ma et al., 2010; Wang et al., 2011;
- 32 Zhang et al., 2014a). Mulched drip irrigation (MDI), a new micro-irrigation approach

- 1 incorporating surface drip irrigation and the film mulching technique, has been widely
- 2 adopted by local farmers. It is therefore crucial to understand the impacts of irrigation,
- 3 including the transformation of irrigation methods from flood irrigation to water-saving drip
- 4 irrigation, on the regional groundwater table dynamics, which is bound to further influence
- 5 agricultural development and ecological system health within TRB.
- 6 The key control on regional water table dynamics is the Exchange Flux (EF), which is defined
- as the water flux into or out of the lower boundary of the soil control volume representing the
- 8 vadose zone (Tang et al., 2007a; Timms et al., 2012). Since EF is substantially influenced by
- 9 irrigation and the conversion from flood irrigation to drip irrigation, any study of groundwater
- 10 table dynamics in this region requires that EF be appropriately quantified under these
- 11 different circumstances.
- Water balance analysis is a widely adopted approach to quantify components of the water
- balance equation that cannot otherwise be directly measured (Evett et al., 2012; Tang et al.,
- 14 2007b; Tennakoon and Milroy, 2003; Wilson et al., 2001). The method lends itself to the
- estimation of EF between the vadose zone and the groundwater table. In general, EF can be
- directly quantified by water balance analysis, provided that all other components in the water
- 17 balance equation are known. In the past it has been difficult to obtain the rates of all
- 18 components to close the water balance equation, especially evapotranspiration (Allen et al.,
- 19 2011). However, due to the recent rapid progress in measurement of evaporation, EF between
- 20 the vadose zone and groundwater table can now be quantified directly by means of water
- balance analysis at the field scale, which can then be used to gain improved understanding of
- 22 regional groundwater dynamics.
- 23 In this paper, we present the results of comprehensive measurements and water balance
- 24 analyses carried out within the irrigated cropland area associated with the Kaidu-Kongqi
- 25 River Basin, which is one of the four headwater basins of the Tarim River (Chen et al., 2010).
- 26 EF at the seasonal and annual timescales under water-saving irrigation are estimated based on
- 27 detailed water balance analysis, and the resulting groundwater table dynamics are quantified.
- 28 The results of these analyses are used to draw inferences regarding the impacts of
- anthropogenic activities, especially the conversion from flood irrigation to drip irrigation, on
- 30 regional groundwater dynamics, and for eventual achievement of water sustainability in a
- 31 highly dynamic region of China.

- 1 This paper is organized as follows: Sect. 2 provides a detailed description of the Kaidu-
- 2 Kongqi River Basin. Sect. 3 presents the methods and instruments used as part of the
- 3 comprehensive measurement system. The methodology for analysis of water balance and
- 4 groundwater dynamics is then proposed. The results of the study are presented in Sect. 4,
- 5 including seasonal and annual water balances and groundwater dynamics within the irrigated
- 6 cropland. Based on the results, in Sect. 5 we discuss the human-water interactions within the
- 7 oases of inland arid basins and the anthropogenic effects on groundwater, with the
- 8 conclusions being presented in Sect. 6.

## 2 Description of Tarim River and Kaidu-Kongqi River Basins

- 10 The main stream of Tarim River is 1321 km long, flowing west to east along the northern
- edge of Taklimakan Desert, the largest desert of China. At present, only four headwater
- streams, Aksu River, Yarkand River, Hetian River, and Kaidu-Kongqi River, feed the main
- 13 stream of the Tarim River (Fig. 1). Except for the Kaidu-Kongqi River, the other three
- 14 headwater streams directly flow into the main stream at the upper reaches of the Tarim River,
- with the annual surface runoff of  $4.6 \times 10^9$  m<sup>3</sup> at the confluence.
- 16 The Kaidu-Kongqi River naturally fed into the main stream of the Tarim River at the lower
- 17 reaches before the 1950s. However, due to excessive water extraction and stream regulation,
- 18 Kaidu-Kongqi River lost the natural surface hydraulic connection with the main stream,
- 19 aggravating the ecosystem degradation in the lower reaches of the Tarim River (Xu et al.,
- 20 2007). Recently, in an attempt to restore the degraded ecosystem downstream, the Chinese
- 21 government initiated project 'eco-water diversion', aimed at conveying water from the Kaidu-
- 22 Kongqi River to the Tarim River by artificial canals (Kuta Canal). Since 2000 this project has
- been activated 14 times, and to date approximately  $4.2 \times 10^9$  m<sup>3</sup> of water in total has been
- 24 released into the Tarim River (http://tahe.gov.cn/zhuanti/shushui/shouye.html). As a result of
- 25 the water conveyance project, the groundwater table along the Tarim River bank has risen
- 26 from 6-8 m to now 2-4 m, and the natural vegetation in the riparian areas has begun to recover
- 27 (Chen et al., 2010).
- Fig.1 Geographic location of Tarim River Basin and Kaidu-Kongqi River Basin
- 29 Hydrologically, the Kaidu-Kongqi River Basin (KKRB) is one of the typical inland basins,
- with a drainage area of  $8.9 \times 10^4$  km<sup>2</sup> (Gao et al., 2008; Xia et al., 2003) (Fig. 2). Glacier and
- 31 snow melt of southern Tianshan Mountains are concentrated in the months of April and May

1 (Zhang et al., 2007). Rainfall concentrated in the months of May to August, contributing to

2 57%-70% of the annual rainfall amount (Li et al., 2012). The precipitation decreases when the

3 elevation decreases (Wang et al., 2012). The Kaidu River flows through the middle and lower

4 mountainous areas and alluvial plains, and finally reaches Bosten Lake. Annual runoff of the

5 Kaidu River is  $3.41 \times 10^9$  m<sup>3</sup> (Wang et al., 2013). The contributions of rainfall and

snow/glacier melt to the total runoff are 85% and 15%, respectively (Gao and Yao, 2005).

#### Fig.2 Sketch of hydrologic cycle in Kaidu-Kongqi River Basin

8 Bosten Lake is the largest inland freshwater lake in China, with the total volume of  $8.8 \times 10^9$ 

m<sup>3</sup> (Wan et al., 2006). It is the final destination of the Kaidu River and also the source of the

Kongqi River. The annual runoff of Kongqi River is 1.19×10<sup>9</sup> m<sup>3</sup>, 80% of which is extracted

for irrigation (Zhou et al., 2001). Kongqi River used to flow to the Lop Nor before the 1950s,

but the downstream dried up after large scale reclamation of wasteland within KKRB. Oases

are clustered along the Kaidu and Kongqi Rivers in the alluvial plain and the annual

precipitation is approximately 60 mm only. Humans settled down in these oases and extracted

water from the river for agricultural, industrial and domestic use (Feng et al., 2000). In the

desert areas precipitation is rarer and ranged from 10 to 40 mm only.

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Within the KKRB, agriculture greatly depends on irrigation, which accounts for 96.2% of all

water consumption (Zhang, 2012). Cotton is the most important economic crop grown within

19 KKRB and cotton fields occupy more than 50% of the total agricultural area (the statistical

20 Yearbook of Tarim River Basin, Yearbook hereafter). In 2010, the fraction of water-saving

irrigated area to the total irrigated area is more than 54% within KKRB, with mulched drip

irrigation being the most extensive (Yearbook). With the wide application of water-saving

irrigation, the irrigation quota during the growth period is 854 mm in 2011 (Yearbook). The

fraction of area salinized to the total agricultural area is approximately 60% (Xia et al., 2003;

25 Zhang, 2012). To mitigate soil salinization, a non-growth season flushing approach in the

amount of 300-400 mm has been adopted for decades to leach soil salt from the surface into

the groundwater. Annual evapotranspiration within the irrigated cotton fields is about 630 mm

based on the measurements carried out as part of this study. The environmental problems such

as vegetation degradation and soil salinization are severe due to the large-scale irrigation in

30 KKRB, which also applies to the whole Tarim River Basin (Zhang, 2012).

#### 3 Methods and Materials

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#### 3.1 Field experimental site and cotton planting

3 The field experimental site (86°12'E, 41°36'N, 886 m a.s.l.; see Fig. 1) is located in one of 4 the oases scattered in the alluvial plain of the Kaidu-Kongqi River Basin. The experimental field has an area of 3.48 ha, and cotton (Gossypium hirsutum L.) is planted under mulched 5 drip irrigation. The surrounding fields have the same cotton planting and irrigation conditions 6 as the experimental field, which ensures the measurements can represent typical irrigated 7 8 cropland conditions in this region. In the experimental site, the mean annual precipitation is 9 approximately 60 mm, whereas the mean annual potential evaporation measured by a  $\Phi$ 20 10 evaporation pan (Pan Diameter 20 cm) is 2788 mm. The annual mean temperature is 11.48 °C, 11 and the annual total sunshine duration is 3036 h, which is favorable for cotton growth. The 12 major soil type in experimental field is silt loam, and the contents of sand, silt and clay are 32.8%, 62.4%, and 4.8%, respectively. The soil porosity is 0.42 which was directly 13 14 determined in the laboratory using the known volume of undisturbed soil columns collected in 15 the experimental field. 16 Within KKRB, cotton is planted in April and harvested from September to November. The 17 style of cotton planting and drip pipe arrangement is referred to as the "one pipe, one film, and four rows of cotton arrangement" (Hu et al., 2011), which means that one drip pipe 18 19 beneath the film is located in the middle of four rows of cotton. The width of film is 1100 mm, and the inter-film zone is 400 mm. The cotton seeds are sown at 0.1-m intervals in each row 20 to yield an anticipated population of 260,000 plants ha<sup>-1</sup>. But the emergence rates are usually 21 about 60% due to sandstorm and freezing damage, resulting in the actual plant density of only 22 about 160,000 plants ha<sup>-1</sup>. The maximum root depth of cotton is approximately 500 mm under 23 24 mulched drip irrigation.

#### 3.2 Data and measurements

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The field experiment was conducted from April, 2012 to September, 2013. A stationary tower was erected in the middle of the experimental field to mount an eddy covariance (EC) system, which is known as a reliable method for obtaining direct field ET measurements (Baldocchi et al., 2001). At this site, EC system consists of a fast response open-path infrared gas (H<sub>2</sub>O and CO<sub>2</sub>) analyzer (model EC150, Campbell Scientific Inc., Logan, UT, USA), a fast response 3D

1 sonic anemometer (model CSAT3, Campbell Scientific Inc., Logan, UT, USA), an air

2 temperature/humidity sensor (model HMP155A, Vaisala Inc., Woburn, MA, USA), and a

3 micro-logger (model CR3000, Campbell Scientific Inc., Logan, UT, USA). Since the

4 maximum height of crop is 0.7 m, the EC system was installed 2.25 m above the ground level

5 to maintain the appropriate footprint. The CSAT3 sensor was oriented towards the

6 predominant wind direction with an azimuth angle of 50 degrees from true north.

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7 Energy balance closure is used to evaluate the reliability of eddy covariance (EC)

8 measurements (Foken, 2008). Net radiation (R<sub>n</sub>) was measured at a height of 2.25 m (model

9 LITE2, Kipp & Zonen, Delft, the Netherlands). Meanwhile, two soil heat flux plates (model

HFP01SC, Hukseflux, The Netherlands) were placed 0.05 m below the ground surface in the

film-mulched zone and inter-film zone, respectively, to obtain the soil heat flux (G).A rain

gauge, a groundwater well, and two profiles for soil water content (SWC) measurements were

set up around the tower. Precipitation was measured by a tipping bucket rain gauge (model

TE525MM, Campbell Scientific Inc., Logan, UT, USA) which was mounted at 0.7 m above

the ground. Groundwater table level was measured using an automatic water depth sensor

16 (model HOBO U20 Titanium Water Level Data Logger, Onset Computer Corporation, Inc.,

17 Pocasset, MA, USA) installed in this groundwater well. More than 30 soil sensors (three

models, i.e., Hydra Probe, Stevens Water Monitoring System, Inc., Beaverton, OR, USA;

19 Digital TDT, Acclima Inc., Meridian, ID, USA; CS616, Campbell Scientific Inc., Logan, UT,

20 USA) were placed in the film-mulched zone and inter-film zone in two profiles, respectively.

21 The SWC were measured at 50, 100, 150, 200, 300, 400, 500, 600 and 900 mm depth below

the ground by these sensors and stored every 1 hour. In order to measure SWC in the zones

deeper than 900 mm, soil samples were collected once a month with 10 replications using an

auger (model Auger Edelman combination 50 mm, Eijkelkamp Agrisearch Equipment,

25 Giesbeek, The Netherlands) from the boreholes. The samples were obtained both from the

26 film-mulched and inter-film zones, respectively at the depths of 1000, 1200, and 1500 mm.

27 The SWC for the soil sample was determined using the gravimetric method.

28 The drip irrigation amount was measured by water meters installed on the branch pipes of the

drip irrigation system whereas the periodic flush amounts were obtained by measurements of

depth and velocity of flow in the channel. The irrigation schedule adopted in 2012 and 2013

has been summarized in Table 1. The annual irrigation amount was approximately 940 mm in

- 1 this site, including the flushing during the non-growth season and drip irrigation during the
- 2 growth season.

#### Table 1 Irrigation schedule

#### 4 3.3 Methodology

#### 5 3.3.1 Water balance analysis

- 6 In this study, since the deepest soil sensor was installed at the depth of 900 mm, the depth of
- 7 control volume (a cylindrical soil column of unit surface area) was extended to the 900 mm
- 8 soil depth. The water balance of the control volume can be written as follows (Evett et al.,
- 9 2012):

$$I + P + EF = ET + R + F_I + \Delta S, \qquad (1)$$

- where I is irrigation; P is precipitation; EF is exchange flux (EF) at the lower boundary of
- the control volume (900 mm soil depth); ET is evapotranspiration;  $\Delta S$  is the change of soil
- water storage within 900 mm soil depth and is calculated from the differences in soil water
- 14 content at the beginning and end of the period under consideration; R is the overland flow for
- 15 the control volume; and  $F_L$  is the lateral flow in the control volume. I, P,  $\Delta S$ , ET are
- measured at this site as described in Sect. 3.2. In most time of the year, the precipitation and
- amount of drip irrigation were limited, and the control volume was above the groundwater
- 18 table. Therefore, R and  $F_L$  were trivial and can be ignored during the calculation. During the
- 19 period of spring flush, the groundwater table exceeded the bottom boundary of control
- 20 volume. Under this situation, R and  $F_L$  also can be ignored due to the homogeneous
- 21 irrigation condition and short duration of water ponding and shallow groundwater table.
- Therefore, the Eq. (1) also can be applied to the period of spring flush.
- 23 The seasonal and annual trends of EF at 900 mm soil depth were obtained based on the daily
- 24 water balance analysis using Eq. (1) during the experimental period (Fig. 3). This method is
- 25 expected to provide reliable estimates of EF since the other four items of the water balance
- 26 equation were relatively well gauged at this site and this measurement site well represents the
- 27 surrounding vegetated environment and water availability.
  - Fig.3 Analysis zone for water balance and groundwater dynamics

#### 3.3.2 Groundwater table dynamics

- 2 Groundwater is replenished by both local recharge and regional (lateral) flow (Tang et al.,
- 3 2007b). In the irrigated cropland, the local recharge is the EF. The lateral flow refers to the
- 4 subsurface inflow from mountainous areas and the subsurface outflow to the drainage canals
- 5 or the desert areas. Because the groundwater always contains high level of salinity in this
- 6 region, there is only small-scale groundwater withdrawal for human activities (Gao and Yao,
- 7 2005; Zhang and Hu, 2008).

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8 In this study, the groundwater dynamics can be written as follows (Tang et al., 2007b):

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$$LF = EF + (\theta_{sat} - \theta')\Delta z_{wt} + \Delta S_D, \qquad (2)$$

- where EF is exchange flux at 900 mm soil depth (local recharge), LF is the net lateral flow
- (regional flow),  $\theta_{sat}$  is the SWC at saturation,  $\theta'$  is the SWC within the range of groundwater
- table changes,  $\Delta z_{wt}$  is the change of groundwater table depth,  $(\theta_{sat} \theta')\Delta z_{wt}$  is the soil water
- storage change associated with a falling or rising groundwater table, and  $\Delta S_D$  is the soil water
- storage change in the zone between 900 mm soil depth (the bottom of control volume) and
- 15 upper boundary of groundwater table variation.
- 16 Using Eq. (2), the groundwater dynamics was analyzed at this experimental site (Fig. 3). As
- described in Sect. 3.3.1, the EF at 900 mm soil depth was obtained based on the measurement
- data. The SWC at the depth of 1000, 1200 and 1500 mm were measured once a month, and
- 19 assumed to apply to soil levels at 900-1100 mm, 1100-1300 mm, and 1300-2000 mm,
- 20 respectively. In addition,  $\theta$  was assigned to the layer between the 2000 mm depth and the
- 21 groundwater table, and assumed to have a linear variation in this zone.  $\theta_{sat}$  was determined
- from the porosity with the value of 0.42.

#### 4 Results

- 24 In consideration of irrigation, cotton growth and climate variability, we divided the whole
- 25 year into five analysis periods, i.e., Period 1 is the period after cotton is sown and before drip
- 26 irrigation, Period 2 is the period of drip irrigation, Period 3 is the period after drip irrigation
- and before soil freezing, Period 4 is the period of winter, and Period 5 is the period of spring
- 28 flush. The seasonal water balance and groundwater dynamics were analyzed during each of
- 29 these five analysis periods, following which the annual characteristics were also explored.

#### 4.1 Energy balance closure of eddy covariance and seasonal ET variation

- 2 The evapotranspiration (ET) was measured by eddy covariance (EC) in this study. Energy
- 3 balance closure is used to evaluate the reliability of EC measurements. The variations in daily
- 4 averaged sensible heat (H), latent heat (LE), net radiation (R<sub>n</sub>) and soil heat flux (G) during
- 5 2012-2013 are shown in Fig. 4. Using all valid half-hourly data in 2012 and 2013 (data points,
- 6 n=21886), the slope between the available energy flux ( $R_n$ -G) and the sum of sensible (H) and
- 7 latent heat fluxes (LE) for this site was 0.72, the intercept was 12.59 W m<sup>-2</sup>, and the
- 8 coefficient of determination (r<sup>2</sup>) was 0.90 (Fig. 5). Based on the previously obtained slope
- 9 values of 0.53-0.99 for the energy closure (Wilson et al., 2002), these performance results are
- deemed reasonable and provide us confidence in the eddy covariance measurements at this
- 11 site (Zhang et al., 2014b).

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- Fig.4 The daily variations in averaged sensible heat (H), latent heat (LE), net radiation (R<sub>n</sub>)
- and soil heat flux (G) during 2012-2013
- Fig. 5 Slope, intercept and r<sup>2</sup> of the half-hourly energy balance closure
- 15 The seasonal ET variation is presented in Fig. 6. There were two ET peaks within the whole
- 16 year due to the flush in spring and drip irrigation in summer. The maximum ET rate was
- 17 almost 8 mm day<sup>-1</sup> and happened in July and August. The total evapotranspiration in cotton
- growth period (April 29, 2012 to October 31, 2012) was approximately 560 mm, which was
- 19 consistent with the result obtained in the cotton field under mulched drip irrigation in northern
- 20 Xinjiang (538 mm, Zhou et al., 2011). However, ETs in the cotton fields under flood
- 21 irrigation were much higher (670 mm in USA, Chavez et al., 2009; 735 mm in Australia,
- 22 Tennakoon and Milroy, 2003), suggesting that water-saving irrigation significantly reduced
- 23 the ET in the farmland.

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Fig.6 Seasonal evapotranspiration variation during 2012-2013

#### 4.2 Seasonal water balance analysis and exchange flux

#### 4.2.1 Period after cotton is sown and before drip irrigation

- 27 Cotton is usually planted in late April and drip irrigation starts in early June. This period after
- cotton is sown and before drip irrigation (Period 1) is the seedling stage of cotton growth. In
- 29 2012 and 2013, upward EFs at 900 mm soil depth derived from water balance analysis during

- 1 Period 1 were 53.5 mm and 36.5 mm in total (Table 2), respectively, suggesting that the soil
- 2 moisture went upward from deep soil zone and the groundwater table to the land surface.
- Table 2 The water balance in the control volume (Unit: mm)
- 4 During Period 1, the potential evaporation was high due to higher temperature and net
- 5 radiation. However, there was no irrigation during this period, and soil water storage and
- 6 precipitation cannot completely satisfy the evapotranspiration water demand. On the other
- 7 hand, the spring flush implemented about 40 days earlier led to the rising of groundwater
- 8 table and high soil water content in the deep soil zone, thus leading to the upward EF that was
- 9 estimated. Most of the EF was consumed by evapotranspiration, while the soil storage
- 10 contributed a small part to ET. It is worth to noting that precipitation impacted the EF
- significantly. The precipitation was higher in 2013 than in 2012 during Period 1, with the
- result that the EF was lower in 2013. The sum of precipitation and EF was almost the same
- 13 for these two years (2012: 3.5+53.5=57 mm; 2013: 23.2+36.5=59.7 mm), indicating the
- consistent water demand for evapotranspiration during Period 1.
- 15 The daily EF is presented in Fig. 7 (a), (b). Most days during Period 1 experienced upward
- 16 fluxes while there were some exceptions during the rainy days because of infiltration. EF
- 17 gradually became larger as time went by indicating that more water was required to satisfy the
- intense evapotranspiration. The groundwater table continuously declined during this period.
- 19 The relationship between EF and groundwater dynamics will be analyzed in more detail in
- 20 Sect. 4.3. The results above were consistent during both years.
- Fig. 7 Exchange flux at 900 mm soil depth and groundwater table variation. Positive EFs
- represent upward fluxes and negative EFs represent downward fluxes.

#### 4.2.2 Period of drip irrigation

- 24 The drip irrigation schedules during the study period are shown in Table 1. The period of drip
- 25 irrigation (Period 2) covered the flower, square, and bolling stages of cotton growth. The total
- 26 EFs were downward with the value of 133.4 mm and 252.5 mm in 2012 and 2013 during
- 27 Period 2, respectively. The downward EFs were induced by drip irrigation conducted during
- 28 this period and more irrigation amount in 2013 led to more leakage.
- 29 On the one hand, the irrigation water was sufficient to cause the leakage; on the other hand,
- 30 some upward EF still occurred just before the next irrigation event when the interval between
- 31 irrigation events was long (Fig. 7 (c), (d)). The irrigation frequency was lower in 2012 than in

- 1 2013, resulting in more upward EFs to satisfy the water requirement of plant transpiration and
- 2 soil evaporation. The results indicate that more scientific irrigation schedules can further
- 3 improve irrigation efficiency. In fact, the evapotranspiration values were 375-400 mm during
- 4 Period 2 (Table 2), far less than the sum of irrigation and precipitation which was
- 5 approximately 600 mm. There is therefore still great potential for water saving under current
- 6 irrigation conditions.
- 7 However, compared with flood irrigation, the downward EF was remarkably reduced due to
- 8 its limited irrigation amount each time. In fact, the irrigation amount each time under flood
- 9 irrigation is about 300 mm in this region, leading to notable leakage and groundwater table
- 10 rise, whereas the irrigation amount is only 30-80 mm under drip irrigation, and the
- groundwater table is relatively stable during the irrigation periods (Fig. 7 (c), (d)).

## 12 4.2.3 Period after drip irrigation and before soil freezing

- 13 The period after drip irrigation and before soil freezing (Period 3) is the open boll stage for
- 14 cotton growth. This period is characterized by no irrigation, decreased potential evaporation
- and declining groundwater table. The upward EF during Period 3 was 46.6 mm in 2012
- 16 (Table 2) and contributed to more than 50% of the evapotranspiration during this period.
- 17 Meanwhile, the soil water content rapidly decreased and provided the remainder of the water
- demand for evapotranspiration.

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- 19 Since the evaporative demand rapidly decreased during this period due to the climatic
- 20 condition, the upward EFs were significant in September and became smaller in October and
- November, as shown in Fig. 7 (e). The sharply declined groundwater table was another reason
- for decreased upward EFs. In fact, the soil water storage decrease within the 900 mm depth
- 23 was also concentrated in September with the total value of 45.3 mm. In October and
- November the soil water storage even increased by 2.6 mm.

#### 4.2.4 Period of winter and spring flush

- 26 The hydrologic processes slowed down in winter (Period 4). No irrigation and only rare
- 27 precipitation (less than 5 mm) occurred during this period. The soil was frozen up to 1000
- 28 mm depth and soil moisture was immovable in the frozen zone. Groundwater table declined
- 29 to below 4.5 m. The evapotranspiration was very low and the total value was only 9.4 mm for
- 30 105 days from November 23, 2012 to March 7, 2013. Since the soil sensors cannot provide

- 1 accurate measurement in frozen soil, we cannot obtain soil water storage change to close the
- water balance. Therefore, the detailed analysis was not conducted in Period 4.
- 3 The spring flush is usually conducted in March for the purpose of leaching soil salt and
- 4 enhancing soil moisture (Period 5). In 2013, the spring flush was conducted in the period
- 5 March 22 to 26 at the experimental site. The groundwater table increased before March 22
- 6 due to the spring flush in the surrounding fields (Fig. 7 (f)). Data was missing during April 10
- 7 to 16 because of sowing. The total downward EF during Period 5 (March 22 to April 9) was
- 8 296.4 mm, accounting for 79% of the amount of water flushed (Table 2). Meanwhile, the soil
- 9 water storage increased by 44.4 mm. The groundwater table continually declined after spring
- 10 flush during this period.

#### 4.3 Seasonal groundwater table dynamics

- 12 The seasonal groundwater dynamics are analyzed in this section using the Eq. (2). Since the
- available soil moisture data is quite limited in the deep unsaturated zone, only the seasonal
- trends were analyzed rather than the daily trends, and the results are shown in Table 3.
- Table 3 Evaluation of groundwater and lateral flow (Unit: mm)
- 16 The EF above the groundwater table was downward in all periods except Period 1 in 2012,
- indicating that salinization caused by phreatic evaporation had been controlled under the
- current irrigation condition. The groundwater table rose during Period 2 and Period 5, while it
- 19 always declined during the other periods. The rate of decline was highest during Period 5 due
- 20 to the groundwater plateau formed by spring flush. Groundwater plateau was also formed
- during Period 2 because of drip irrigation. The rate of decline was as high as 36.3 mm day<sup>-1</sup>
- during the first 10 days of Period 3 just after the drip irrigation period in 2012. During Period
- 23 1, since the plateau effects caused by spring flush were attenuated, the rates of decline were
- 24 not so high, with the value of 12.8 to 15.8 mm day<sup>-1</sup>.
- 25 The net lateral flow was obtained by groundwater balance analysis using Eq. (2) and the flow
- direction was outward during all the analysis periods, indicating that groundwater plateau
- 27 caused by irrigation existed within the irrigated croplands. To present the regional
- 28 groundwater balance clearly, representative sketches of seasonal groundwater dynamics are
- 29 presented in Fig. 8. When irrigation was implemented during Period 2 and Period 5, the
- 30 groundwater table rose significantly. Then the groundwater table declined after irrigation
- 31 periods and the rates gradually decreased when the water table became lower, which has been

- shown in Fig. 7 (e) and Fig. 7 (f). The lateral flow out of the analysis zone during Periods 2
- 2 and 5 was expected to be high due to the recharge caused by irrigation and the high
- 3 groundwater table. However, the outflow rate was only 1.0 and 3.0 mm day<sup>-1</sup> during Period 2,
- 4 indicating that the lateral flow into this zone was also significant. In fact, snowmelt happened
- 5 during spring and summer, and the precipitation is also concentrated in the summer period in
- 6 the mountainous areas. They resulted in significant subsurface flow into this zone during
- 7 Period 2 (Fig. 8). Similarly, snowmelt in spring led to the subsurface flow into this zone,
- 8 resulting in the fact that the net lateral flow was larger during Period 3 than during Period 1.
- 9 Fig.8 Sketch of seasonal groundwater dynamics (typical qualitative patterns in this region)

#### 4.4 Annual water balance and groundwater dynamics

- Annual water balance and groundwater dynamics were analyzed based on the data collected
- from April 29, 2012 to April 28, 2013. The evapotranspiration was 637.5 mm for the whole
- 13 year and precipitation was 48.9 mm. The total irrigation amount was 915.3 mm, which was
- 14 composed of drip irrigation (540.3 mm) and spring flush (375 mm). Soil water storage
- increased by 16.2 mm in the unsaturated zone during this year. Therefore, the total downward
- 16 EF above the groundwater table was 310.5 mm. The downward flux recharged the
- groundwater, which eventually flowed into the desert or drained to the Bosten Lake.
- 18 Groundwater table declined by 540.1 mm from April 29, 2012 to April 28, 2013, resulting in
- 19 45.1 mm groundwater storage decrease. Therefore, after taking the downward EF of 310.5
- 20 mm into account, the annual net lateral flow at the experimental site was 355.6 mm, flowing
- out of this zone to the desert or the lake. Groundwater table slightly declined during this year
- 22 mainly due to the limited irrigation amount.

## 23 **4.5 Error analysis**

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24 The exchange flux (EF) is calculated in Section 4.2 by the following equation:

$$EF = ET + \Delta S - P - I, \qquad (3)$$

- 26 Barry (1978) indicated that when a final result is calculated from direct measurements, its
- 27 precision is a function of the variability in the direct measurements. The exchange flux is
- 28 computed from direct measurements including evapotranspiration, soil water content,

- 1 precipitation and irrigation. Therefore, the standard error (SE) of EF can be expressed by SE
- 2 of the direct measurements:

$$3 \sigma_{EF}^{2} = \sigma_{ET}^{2} + \sigma_{\Lambda S}^{2} + \sigma_{P}^{2} + \sigma_{I}^{2}, (4)$$

- 4 where  $\sigma_{\rm EF}$ ,  $\sigma_{\rm ET}$ ,  $\sigma_{\rm AS}$ ,  $\sigma_{\rm P}$  and  $\sigma_{\rm I}$  are the standard errors for EF, ET,  $\Delta S$ , P and I,
- 5 respectively. The variabilities of EF, ET,  $\Delta S$ , P and I are assumed to be normally
- 6 distributed and independent since the ET,  $\Delta S$ , P and I are separately measured. We can
- 7 rewrite Eq. (4) and express the variabilities of all parameters relative to their respective means:

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$$\frac{\sigma_{EF}}{EF} = \{ (\frac{ET}{EF})^2 (\frac{\sigma_{ET}}{ET})^2 + (\frac{\Delta S}{EF})^2 (\frac{\sigma_{\Delta S}}{\Delta S})^2 + (\frac{P}{EF})^2 (\frac{\sigma_P}{P})^2 + (\frac{I}{EF})^2 (\frac{\sigma_I}{I})^2 \}^{\frac{1}{2}}, \quad (5)$$

- 9 This analysis shows that the variabilities of all components of water balance affect the
- accuracy of EF estimate. The effect of one specific component will be more significant when
- its value becomes larger. In this study, ET and I are relatively larger compared with  $\Delta S$
- 12 and P. Therefore,  $\frac{\sigma_{ET}}{ET}$  and  $\frac{\sigma_I}{I}$  play an important role in the variability of EF. Since ET
- measured by eddy covariance is relatively stable, we can suppose that  $\frac{\sigma_{ET}}{FT}$  is quite small with
- 14 the value of 0.001 (Zhang et al., 2014b). Water is delivered precisely to the cotton plants
- under drip irrigation, thus the water availability in the field is homogeneous and  $\frac{\sigma_I}{I}$  is
- assigned a value of 0.05. The variability of P is supposed to be small because of the small
- area of experimental field and  $\frac{\sigma_p}{P}$  is assigned a value of 0.02. Soil moisture is highly
- spatially variable. In this study, we only considered the change of soil water storage ( $\Delta S$ )
- during water balance analysis. Therefore, although there will be huge spatial heterogeneity in
- SWC, the SWC change for each measured location is relatively consistent and reliable.  $\frac{\sigma_{\Delta S}}{\Delta S}$
- 21 is assigned a value of 0.2.
- 22 The  $\frac{\sigma_{EF}}{EF}$  results are shown in Table 2.  $\frac{\sigma_{EF}}{EF}$  is large during Periods 2 and 3, and small during
- 23 Period 1. The results indicate that the exchange flux is difficult to evaluate under the
- 24 following situations: (1)  $\Delta S$  is the large component of EF. Soil water storage is highly
- 25 variable and difficult to measure. When it is significant in EF estimate, the variability of EF

- will increase. (2) EF is relatively small when the other compents of water balance are large.
- 2 That is to say, it is difficult to evaluate the small EF at the bottom when the surface fluxes
- 3 are significant. However, it is worth noting that the analysis above is based on the standard
- 4 error, and only represents variation relative to the mean, and is not an indication of accuracy.

#### 5 **5 Discussion**

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- 6 In this study, water balance and groundwater table dynamics under water-saving irrigation in
- 7 an oasis within the Tarim River Basin were analyzed based on comprehensive measurements
- 8 that helped to close the water balance. The study region represents the typical social and
- 9 hydrologic system of oases in inland basins. Therefore, the conclusions drawn in this study
- 10 can be potentially applied to other arid inland areas (e.g., in central Asia) which face similar
- water shortage and development problems (Christen et al., 2007).

#### 5.1 Three stages of human-water system development

- 13 Due to the unique hydrological regime in oases, a moderate groundwater table is the desired
- 14 goal of sustainable water management (El Bastawesy et al., 2013; Zhu et al., 2004). The
- 15 groundwater table is significantly affected by anthropogenic activities, especially irrigation
- 16 (Tang et al., 2007a). To discuss the irrigation effects on groundwater table, we can divide the
- evolution of the human-water system in these inland basins into three stages in terms of water
- use, i.e., the natural stage before the 20<sup>th</sup> century; the exploitation stage in the 20<sup>th</sup> century;
- and the balanced development stage in 21<sup>th</sup> century. As shown in this study, Tarim River
- Basin presents us with a good case study to gain insights into the effects of irrigation on
- 21 groundwater dynamics, and associated human development.

#### 22 5.1.1 Natural stage: limited anthropogenic impacts on groundwater

- During the natural stage, the anthropogenic activities had limited impacts on water resources.
- 24 The groundwater table did not change much and the water table was relatively deep in the
- 25 irrigated districts (Fig. 9). Oases were restricted along the river and isolated from each other.
- Natural factors dominated the hydrologic cycle and socio-economic development (Liu et al.,
- 27 2013). Rivers had sufficient water to support the natural vegetation in the riparian areas. The
- 28 relationship between surface water and groundwater was quite weak during this stage.
- 29 Fig.9 Groundwater dynamics in the natural stage (typical qualitative patterns in this region)

#### 5.1.2 Exploitation stage: salinization and natural vegetation degradation

During the exploitation stage during the 20<sup>th</sup> century, water balance and groundwater dynamics were significantly altered by anthropogenic activities, especially by the rapid development of agriculture (Cui and Shao, 2005; El Bastawesy et al., 2013). Groundwater tables significantly rose in the irrigated districts due to flood irrigation and canal leakage, resulting in severe soil salinization. Within the KKRB, groundwater table in most irrigated croplands had risen to less than 1 m below the surface due to long-term flood irrigation in the late 1990s (Cui and Shao, 2005). More than 60% of the irrigated croplands suffered severe salinization due to intense phreatic evaporation induced by high groundwater table. As the area of irrigated cropland greatly expanded, more water drained into Bosten Lake from the irrigated district. During the period 1958 to 2002, 1.9×10<sup>8</sup> m<sup>3</sup> year<sup>-1</sup> saline water from the irrigated district directly drained into the Bosten Lake, contributing to 9% of the total influx of this lake (Gao and Yao, 2005). The salinity of the lake increased from 0.38 g/L in 1956 to 1.32 g/L in 2005 (Xie et al., 2011) as a result. The water quality in the lake changed from fresh water into brackish water as a consequence. At the same time, the ecosystem of the lake suffered severe degradation. The area covered by reeds within the lake decreased from 558 km<sup>2</sup> in 1958 to 300 km<sup>2</sup> in 1998 (Wan et al., 2006).

During the exploitation stage, when the groundwater table sharply rose in the irrigated districts, the water table in riparian areas in the lower reaches obviously declined. The large amount of water extraction from the river for irrigation led to the interruption of the lower reaches, resulting in the drawdown of the groundwater table (Chen et al., 2010). Within the KKRB, the area of irrigated croplands increased rapidly from 39.9 ×10<sup>3</sup> ha to 101.2×10<sup>3</sup> ha from 1950 to 1979, while the water use for agriculture increased faster from 0.6×10<sup>9</sup> m<sup>3</sup> to 1.83×10<sup>9</sup> m<sup>3</sup> (Table 4). The irrigation quota reached the peak of 1811.3 mm in 1979. During the period 1979 to 1999, irrigation quota decreased to 1037.7 mm benefiting from the improved canal systems and reduced leakage. However, the cropland area still rapidly expanded and the annual water withdrawal did not decrease too much and remained at the value of 1.78×10<sup>9</sup> m<sup>3</sup> (Gao and Yao, 2005; Wang et al., 2002; Xia et al., 2003; Zhou et al., 2001). The natural ecosystem in the lower reaches suffered severe degradation due to the drawdown of the groundwater table. Thus, irrigation dramatically altered the natural hydraulic river regimes and regional hydrologic cycle in inland basins during the exploration stage.

# 5.1.3 Balanced development stage: drawdown of groundwater table and efficiency paradox

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In order to mitigate soil salinization within the irrigated croplands, groundwater table should be lowered to an appropriate depth. In order to leave more water in the river for ecologic use, water extraction from the river should be reduced. Since agriculture consumes more than 90% of water in most inland basins, water-saving irrigation is the best choice to realize the two goals above. In fact, water-saving irrigation has been popularized in many inland basins in recent years of the 21<sup>th</sup> century (Ibragimov et al., 2007; Rajak et al., 2006; Zhang et al., 2012). After the 2000s, the government began to promote the development of water-saving irrigation through the employment of subsidies in TRB (Ma et al., 2010). Water-saving irrigation not only improved water use efficiency, but also significantly enhanced crop yield, and thus it was quickly and enthusiastically adopted by local farmers (Liu et al., 2013; Wang et al., 2011). Groundwater tables significantly declined with the implementation of water-saving irrigation during the balanced development stage. Within the KKRB, as we showed in this study, mulched drip irrigation amount is approximately 625 mm in the cotton field. The total irrigation amount for the whole year is about 925-1025 mm including the non-growth season flush. The annual recharge to groundwater was only 310.5 mm due to the limited irrigation amount, accounting for 32% of the total amount of irrigation and precipitation. It should also be noted that the daily downward fluxes were small during the drip irrigation period, avoiding a significant rise of groundwater table. The long-term series data observed in Yanqi County within KKRB showed that the groundwater table in this region continually declined in the past decade and has been managed to the favorable depth needed for the plant growth, which is in the range of 2-4 m (Fig. 10). The relatively stable groundwater table under mulched drip irrigation helped to reduce the intense phreatic evaporation, which was common after previous flood irrigation events (El Bastawesy et al., 2013; Tang et al., 2007a). The seasonal analysis in this study showed that the upward EFs from the groundwater only happened during spring with a trivial amount. Since the groundwater is 4-6 times more saline than the irrigated water from the canal, the reduced upward EFs are a significant benefit towards salinization control as well. Thus, the purpose of soil salinization mitigation is realized to a large extent by the implementation of water-saving irrigation.

Fig. 10 Long-term groundwater table variation in Yanqi County in KKRB

The yearbook shows that the irrigation quota dropped from 1037.7 mm in 1999 to 853.7 mm in 2011 following the adoption of the new irrigation methods within KKRB (Table 4). If the water savings gained by the replacement of flood irrigation with water-saving irrigation remained in the river for ecological use, the recovery of ecosystem and environment could be easily realized (Scheierling et al., 2006). However, the water "saved" can also lead to increased use of the water through irrigation area expansion (Ward and Pulido-Velazquez, 2008). This phenomenon which is known as "efficiency paradox" has also happened in many other regions, such as Spain, Chile and the United states (Scott et al., 2013). Within KKRB, subsidies provided by the government not only popularized water-saving irrigation, but also stimulated farmers to reclaim more wastelands where they could reuse the saved water. The consequence was that more water is extracted to irrigate more fields with the smaller irrigation quota. In 2011, the area of the irrigated cropland amounted to 206.3×10<sup>3</sup> ha, and the water withdrawal also was up to 1.76×10<sup>9</sup> m<sup>3</sup> (Table 4). As predicted by the "efficiency paradox", water saving irrigation indeed resulted in more (not less) water usage in this basin. In fact, as shown in this study, high irrigation efficiency under water-saving irrigation means high water consumption by evapotranspiration and reduced recharge to the groundwater (Perry, 2011). Then the groundwater table will continuously decline in the whole region. Drawdown of groundwater table is good for salinization control in irrigated districts. However, it is also the main reason for the disappearance of natural vegetation and desert expansion. Therefore, the water savings gained through advanced drip irrigation should remain within the river basin to recharge the riparian groundwater and support the natural vegetation in future. Meanwhile, soil salinization is problematic under the water-saving irrigation and may threaten the sustainable development (Zhang et al., 2014a). The mechanism of salinization is different from that caused by flood irrigation. Intense phreatic evaporation induced by shallow groundwater table is the main reason for salinization under flood irrigation. However, under water-saving irrigation, the salinization is mainly caused by deficient leaching of water. For instance, under mulched drip irrigation, the dissolved salts accumulate at the periphery of the wetted soil mass and increase with distance from the emitters in the soil profile (Palacios-Diaz et al., 2009; Wang et al., 2011). This kind of salinization is serious especially when brackish water is used for irrigation (Phocaides, 2007). Since precipitation is rare in inland basins, irrigation plays an important role flushing and draining the salt from the surface soil to the

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- 1 groundwater. Therefore, a more comprehensive treatment of water and salt balances is
- 2 essential in these regions.

### 5.2 Future: water-saving irrigation with restriction of reclamation

4 To avoid further deterioration of the environment and maintain sustainable development in 5 oases in inland basins, water management which takes ecosystem and environment into account should be implemented across the whole region (Ward and Pulido-Velazquez, 2008). 6 7 On the one hand, the groundwater table should remain deep in the irrigated districts by 8 conversion from flood irrigation to water-saving irrigation; on the other hand, the agriculture 9 field expansion should be strictly controlled. Most of the water savings which derive from 10 increasing irrigation efficiency should remain in the river to recharge the groundwater in the 11 lower reaches. The application of water-saving irrigation and restriction of cultivated land 12 area will dramatically reduce water extraction from the river. The groundwater table in 13 irrigated districts will then continually decline and salinization will be mitigated. The water 14 shortage of the ecosystem in the lower reaches can be addressed and the riparian vegetation 15 can gradually recover from degradation. The lake can also be fed by more fresh water from 16 the river rather than by saline water from the irrigated croplands, and the salinity level of the 17 lake water will thus decrease. The non-growth season flush is highly recommended for salt leaching in inland basins. However, the frequency and amount of flushing still should be 18 19 optimized, which requires more study. 20 In this study, the exchange fluxes between the unsaturated zone and the groundwater table 21 were obtained by water balance analysis based on comprehensive field measurements. The 22 wide application of eddy covariance provided the possibility to perform this kind of analysis 23 to quantify the exchange fluxes. The exchange flux as the linkage of groundwater dynamics 24 between field scale and oasis scale is important for water management (Tang et al., 2007a; 25 Timms et al., 2012). Therefore, the methodology of this study can be applied to evaluate the 26 exchange fluxes and predict the salinization trend in arid regions. 27 Anthropogenic activities significantly affect water balance and groundwater table dynamics in 28 the oases located in inland basins. The study showed that the water saving not only needs advanced irrigation technology, but also enlightened scientific management. It is important to 29 understand the dynamics of human-water systems to maintain sustainable development. This 30 study can be regarded as the first step of quantitative studies of process socio-hydrology, 31

- 1 which can generate much insight into human-water system dynamics and water management
- 2 in inland basins (Sivapalan et al., 2012). The anthropogenic effects on human-water system
- 3 should be clarified further by both field observations and monitoring and through the use of
- 4 an integrated model. This study could potentially contribute towards the development of such
- 5 an integrated model.

#### 6 Conclusions

- 7 In this study the seasonal water balance and groundwater dynamics under water-saving
- 8 irrigation have been quantified based on comprehensive measurements conducted in an oasis
- 9 within Tarim River Basin. The effects of conversion of irrigation methods on human-water
- 10 systems were discussed to maintain sustainable water management in the future. In general,
- 11 anthropogenic activities have significantly affected the water balance and groundwater
- 12 dynamics in this arid area. After application of water-saving irrigation, the downward
- exchange flux is greatly reduced during irrigation periods, while the upward flux is trivial
- during non-irrigation periods due to the moderate groundwater table depth. Some problems
- 15 such as salinization induced by shallow groundwater table are mitigated, while new
- 16 challenges also emerge. The water savings resulting from water-saving irrigation have not
- 17 remained in the river to recharge the groundwater for ecologic use. In fact, they have instead
- been reused towards the expansion of irrigation croplands, resulting in even more water
- 19 consumption. The continued decline of the groundwater table will lead to the destruction of
- 20 the natural vegetation that relies on the groundwater and this will further result in the
- 21 degradation of the whole ecosystem. In addition, the salinization risk still exists due to the
- insufficient leaching water under water-saving irrigation.
- 23 To be noted, the water balance terms in the agricultural oasis have been comprehensive
- 24 measured in our study. However, the information about the snow, forest and desert areas (see
- 25 Fig. 2) is still limited and their groundwater dynamics can only be qualitatively analyzed. In
- 26 further studies, the detailed measurement should be implemented in the whole region. In
- 27 addition, a more comprehensive treatment of water and salt balances should be introduced
- 28 into the water management practice. The frequency and amount of the non-growth season
- 29 flushing should be optimized to avoid the potential risk of secondary salinization, and
- 30 groundwater dynamics under other crops should be explored.
- 31 To address the water challenges in the arid area, improved irrigation efficiency is, of course,
- 32 important. However, improved understanding of human-water system is also significant. With

- 1 the adoption of a socio-hydrologic framework, there is potential for the dynamics of
- 2 interactions between people and water to be further clarified, and for sustainable development
- 3 to be achieved.

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Table 1 Irrigation schedule

Cotton														Total	
growth stage	Spring flush		Squaring stage			Flower stage				Bolls stage				drip irrigation	Total
2012	3-25	6-10/11													
Irrigation date	to 3-29	6-14/15	6-21	6-28	7-6/7	7-15/16	7-26	8-4/5	8-8	8-12/13	8-17	8-22/23	8-27/28		
Amount (mm)	375	65.17	34.35	35.32	36.77	33.26	44.1	40	59.28	46.73	42.19	50.84	52.22	540.23	915.23
2013	3-22		6-18/19			7-14/15									
Irrigation date	to 3-26	6-12	6-26/27	7-1/2	7-7/8	7-20	7-26	8-1/2	8-7/8	8-11/12	8-16/17	8-21/22			
Amount (mm)	375	48.45	62.93	39.16	76.02	46.55	38.97	53.09	63.23	51.83	52.10	58.77		591.09	966.09

2 Period Start and Exchange Evapotran Irrigation Precipitation Soil water  $\sigma_{\scriptscriptstyle EF}$ storage change  $\Delta S^b$ flux at 90 end date spiration EFcm depth a +53.5 0.0 Period 1 2012-04-29 0.02 3.5 -4.6 61.6 2012-06-10 0.21 540.3 Period 2 2012-06-11 -133.4 409.3 38.3 +35.9 2012-09-04 Period 3 2012-09-05 +46.6 0.1890.7 0.0 1.4 -42.7 2012-11-22 Period 5 2013-03-22 -296.4 0.07 34.2 375.0 0.0 +44.42013-04-09 Period 1 2013-04-30 +36.5 0.04 66.8 0.0 23.2 -7.1 2013-06-11 Period 2 2013-06-12 -252.5 0.12375.2 591.1 36.9 +0.32013-08-29

<sup>3</sup> a: Positive represents upward fluxes; b: Positive represents increased storage;  $\sigma_{EF}$  are the standard

<sup>4</sup> errors for EF.

Table 3 Evaluation of groundwater and lateral flow (Unit: mm)

Period	Start and end date	Average ground- water depth	Exchange flux at 90 cm depth <sup>a</sup>	Soil water storage change $\Delta S_d$	Exchange flux above groundwater table <sup>a</sup>	Groundwater table change change change	Groundwater storage change b	Net lateral flow d, e
Period 1	2012-04-29 2012-06-10	2416.0	+53.5	-37.4	+16.1	-551.7(-12.8)	-40.1	-24.0(-0.6)
Period 2	2012-06-11 2012-09-4	2457.2	-133.4	+39.9	-93.5	+239.1 (+2.8)	+7.2	-86.3(-1.0)
Period 3	2012-09-5 2012-11-22	3210.6	+46.6	-109.3	-62.7	-1284.1(-16.3)	-104.1	-166.9(-2.1)
Period 5	2013-3-22 2013-4-9	1038.9	-296.4	+87.2	-209.2	-1056.4(-55.6)	-63.1	-272.3(-14.3)
Period 1	2013-04-30 2013-06-11	2996.6	+36.5	-59.8	-23.3	-677.3(-15.8)	-29.5	-52.8(-1.2)
Period 2	2013-06-12 2013-08-29	3218.4	-252.5	+11.3	-241.2	+143.8(+1.8)	+1.3	-239.8(-3.0)

<sup>&</sup>lt;sup>a</sup>: Positive represents upward fluxes; <sup>b</sup>: Positive represents increased storage; <sup>c</sup>: Positive represents rising water table; <sup>d</sup>: Positive represents flow into this region; <sup>e</sup>: the value per day in the brackets.

Table 4 Development of irrigation in Kaidu-Kongqi River Basin

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Year	1950	1979	1989	1999	2005	2011
Agricultural water use(10 <sup>9</sup> m <sup>3</sup> )	0.60	1.83	1.66	1.78	1.81	1.76
Cropland area (10 <sup>3</sup> ha)	39.9	101.2	134.4	171.1	193.9	206.3
Irrigation quota (mm)	1503.8	1811.3	1236.2	1037.7	935.4	853.7

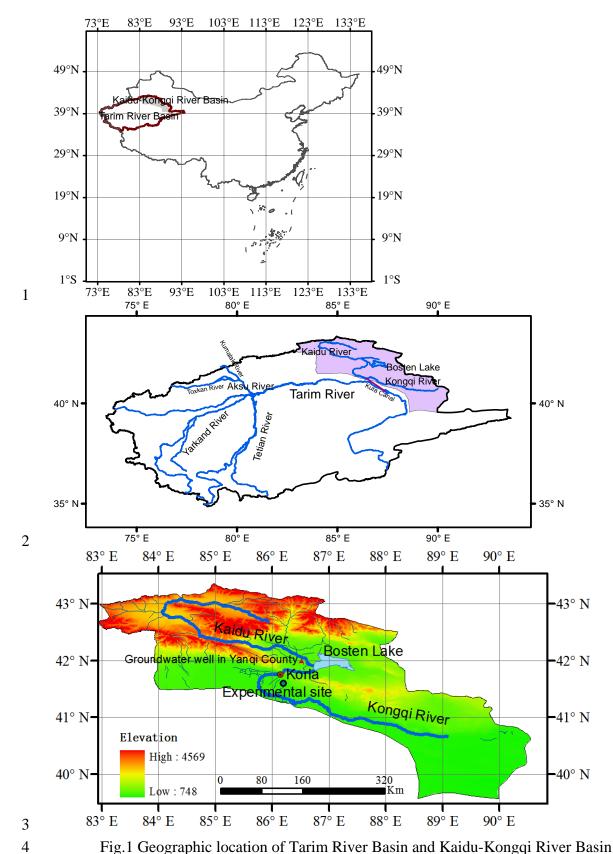


Fig.1 Geographic location of Tarim River Basin and Kaidu-Kongqi River Basin

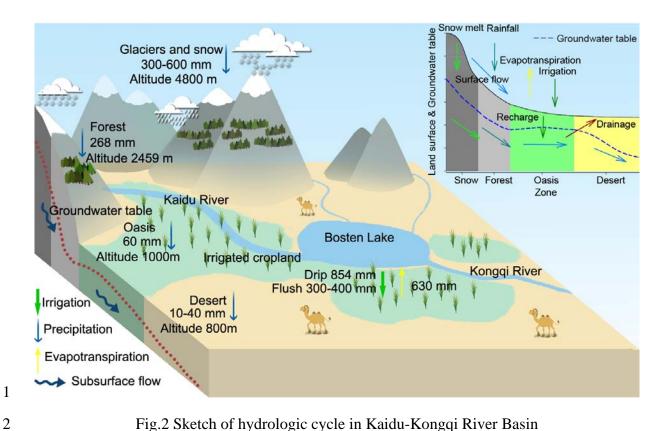


Fig.2 Sketch of hydrologic cycle in Kaidu-Kongqi River Basin

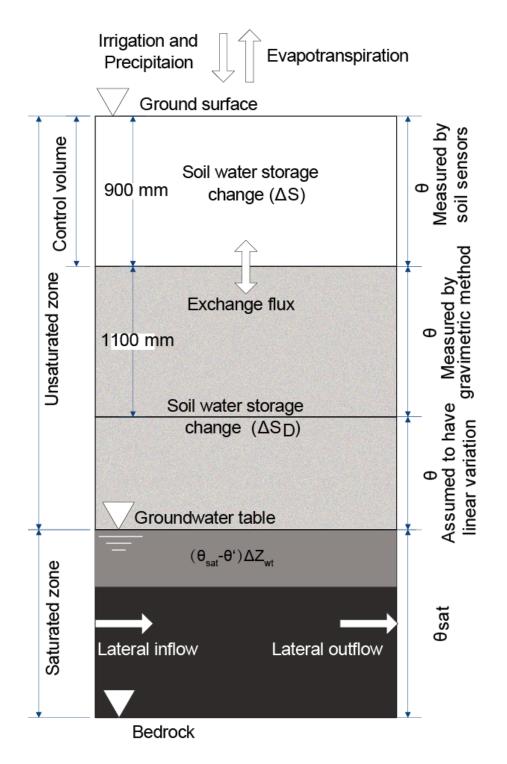


Fig.3 Analysis zone for water balance and groundwater dynamics

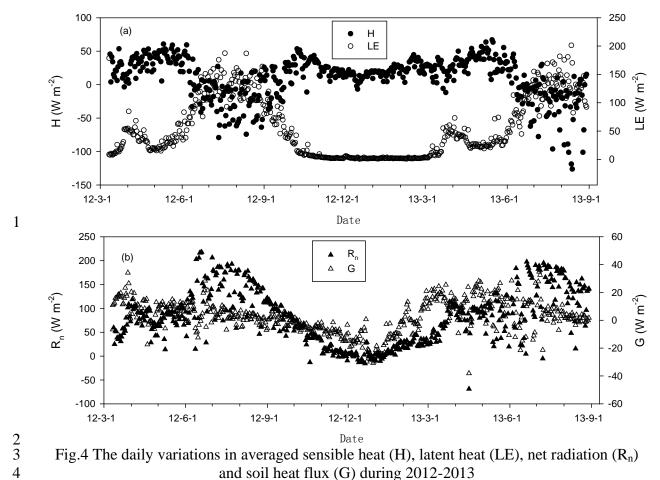


Fig.4 The daily variations in averaged sensible heat (H), latent heat (LE), net radiation (R<sub>n</sub>) and soil heat flux (G) during 2012-2013

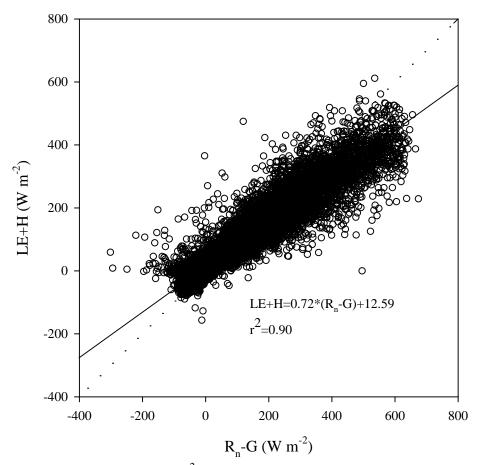


Fig.5 Slope, intercept and r<sup>2</sup> of the half-hourly energy balance closure

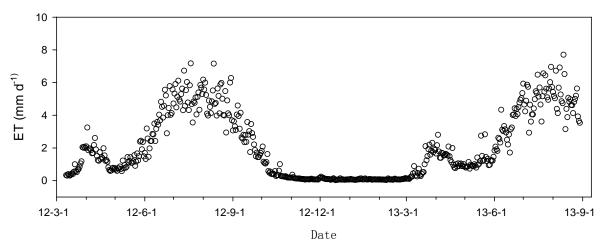
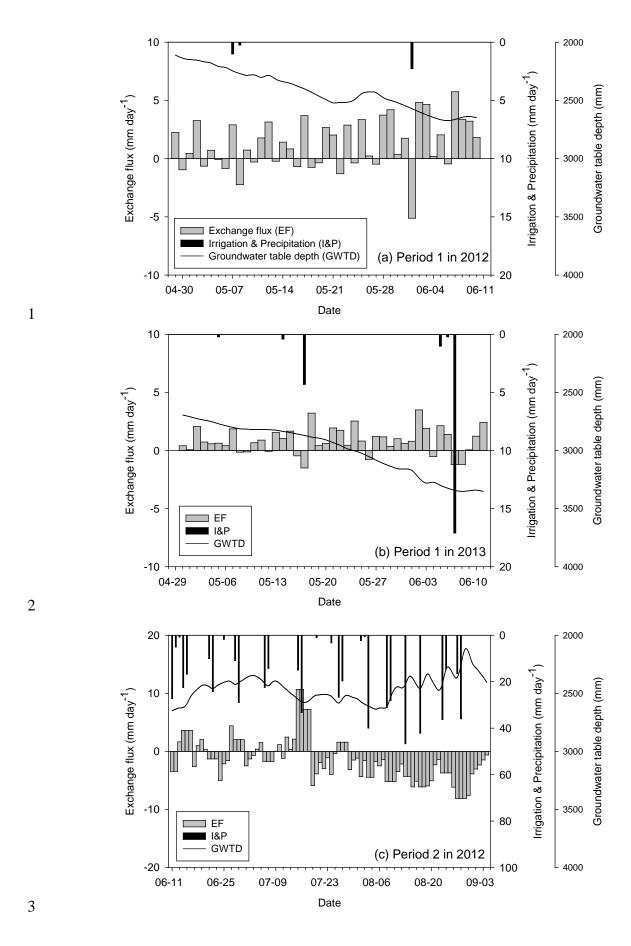


Fig.6 Seasonal evapotranspiration variation during 2012-2013



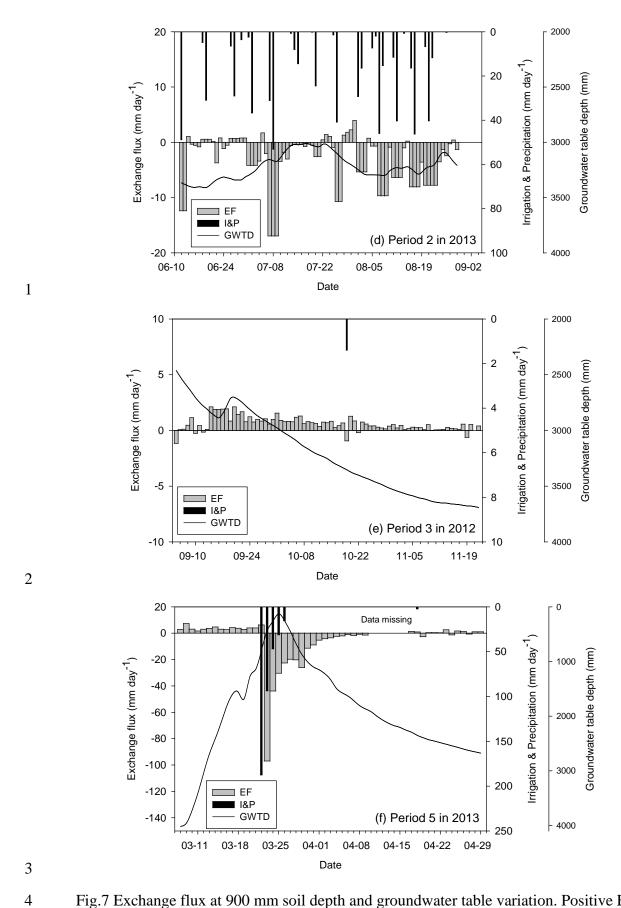


Fig.7 Exchange flux at 900 mm soil depth and groundwater table variation. Positive EFs represent upward fluxes and negative EFs represent downward fluxes.

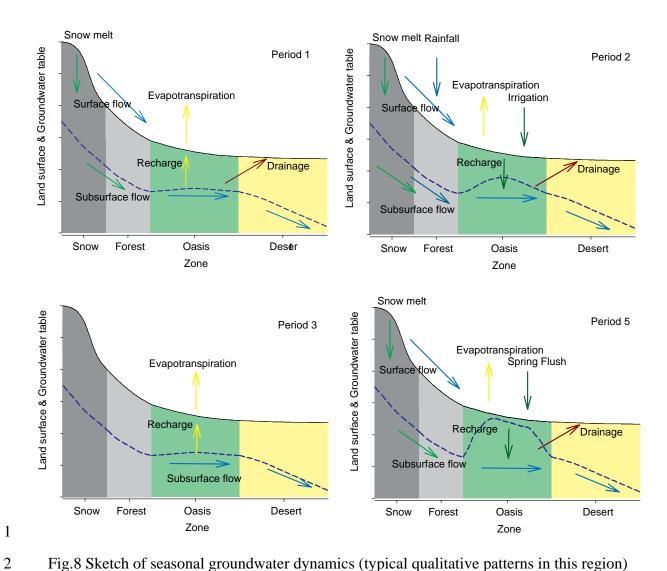


Fig.8 Sketch of seasonal groundwater dynamics (typical qualitative patterns in this region)

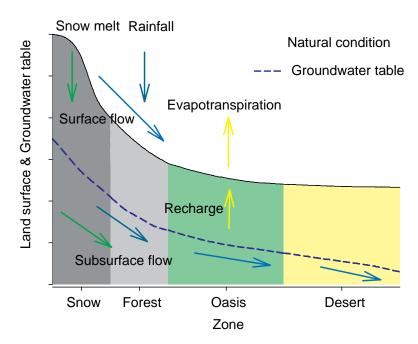


Fig.9 Groundwater dynamics in the natural stage (typical qualitative patterns in this region)

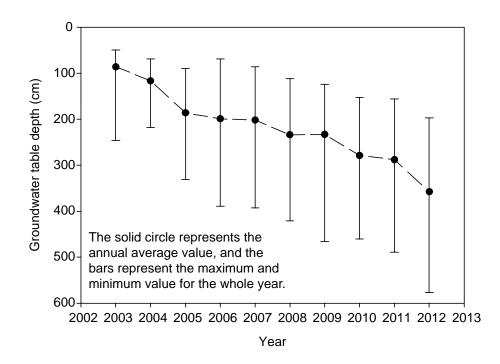


Fig.10 Long-term groundwater table variation in Yanqi County in KKRB