Sensitivity of simulated global-scale freshwater fluxes and storages to input data, hydrological model structure, human water use and calibration

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1 Abstract

2 Global-scale assessments of freshwater fluxes and storages by hydrological models under historic climate conditions are subject to a variety of uncertainties. Using the global 3 4 hydrological model WaterGAP 2.2, we investigated the sensitivity of simulated freshwater 5 fluxes and water storage variations to five major sources of uncertainty: climate forcing, land 6 cover input, model structure/refinements, consideration of human water use and calibration 7 (or no calibration) against observed mean river discharge. In a modelling experiment, five 8 variants of the standard version of WaterGAP 2.2 were generated that differed from the 9 standard version only regarding the investigated source of uncertainty. The basin-specific 10 calibration approach for WaterGAP was found to have the largest effect on grid cell fluxes as well as on global AET and discharge into oceans for the period 1971-2000. Regarding grid 11 cell fluxes, climate forcing ranks second before land cover input. Global water storage trends 12 13 are most sensitive to model refinements (mainly modelling of groundwater depletion) and 14 consideration of human water use. The best fit to observed time series of monthly river 15 discharge or discharge seasonality is obtained with the standard WaterGAP 2.2 model version which is calibrated and driven by daily observation-based WFD/WFDEI climate data. 16 Discharge computed by a calibrated model version using monthly CRU 3.2 and GPCC v6 17 climate input reduced the fit to observed discharge for most stations. Taking into account 18 uncertainties of climate and land cover data, global 1971-2000 discharge into oceans and 19 inland sinks ranges between 40 000 and 42 000 km³ yr⁻¹. Global actual evapotranspiration, 20 with 70 000 km³ yr⁻¹, is rather unaffected by climate and land cover uncertainties. Human 21 water use reduced river discharge by 1000 km³ yr⁻¹, such that global renewable water 22 resources are estimated to range between 41 000 and 43 000 km³ yr⁻¹. The climate data sets 23 24 WFD (available until 2001) and WFDEI (starting in 1979) were found to be inconsistent with 25 respect to short wave radiation data, resulting in strongly different actual evapotranspiration. Global assessments of freshwater fluxes and storages would therefore benefit from the 26 27 development of a global data set of consistent daily climate forcing from 1900 to current.

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1 1 Introduction

2 The estimation of global scale freshwater fluxes, in particular river discharge, is essential to 3 assess e.g. availability and scarcity of water resources for humans and the environment both 4 in recent times (Hoekstra et al., 2012; Oki and Kanae, 2006; Prudhomme et al., 2014) and in 5 future scenarios (Döll and Müller Schmied, 2012; Masaki et al., 2014; Schewe et al., 2014). 6 Global amounts and spatial distribution of precipitation (Harris et al., 2014; Schneider et al., 7 2014) and evapotranspiration (Jasechko et al., 2013; Jung et al., 2010; Sterling et al., 2012) 8 were estimated as well as groundwater related fluxes like groundwater recharge (Döll and Fiedler, 2008; Koirala et al., 2014; Portmann et al., 2013) or, as consequence of an 9 overexploitation of groundwater resources, groundwater depletion (Wada et al., 2010). 10

11 There are different ways to estimate global scale freshwater fluxes and storages. Interpolation 12 of in-situ measurements works well with a dense monitoring network, as for precipitation products (e.g. GPCC (Schneider et al., 2014), CRU (Harris et al., 2014) and many more) or, 13 14 even with less dense point measurements in combination with other data sources like remote sensing for evapotranspiration (Jung et al., 2010). In particular, remote sensing is used to 15 16 derive spatio-temporal input data for evapotranspiration schemes (Miralles et al., 2011; Vinukollu et al., 2011; Wang and Liang, 2008) or to assess total continental water storage 17 18 variations (Schmidt et al., 2006). Spatio-temporal patterns of consistent multiple fluxes and 19 storages can be obtained using land surface models (LSMs) and global hydrological models 20 (GHMs). LSMs, which have evolved as "land components" of Global Circulation Models (GCMs), usually have a high temporal resolution, solve the energy balance (Haddeland et al., 21 22 2011) and have some limitations, esp. in runoff routing and with regard to human alterations 23 of the water cycle (even though there are exceptions, e.g. Pokhrel et al. (2012)). GHMs are 24 explicitly designed to assess the state of freshwater resources and to address water-related problems like floods and droughts (Corzo Perez et al., 2011; Prudhomme et al., 2011) and 25 human impacts on freshwater resources. In the last 20 years, a number of GHMs have been 26 developed using different conceptual approaches, e.g. VIC (Nijssen et al., 2001), WBM 27 (Vörösmarty et al., 1998), Mac-PDM (Gosling and Arnell, 2011), WASMOD-M (Widén-28 Nilsson et al., 2007), H08 (Hanasaki et al., 2008), Water – Global Assessment and Prognosis 29 30 (WaterGAP) (Alcamo et al., 2003; Döll et al., 2003) and PCR-GLOBWB (Sperna Weiland et 31 al., 2010).

Results of LSMs and GHMs are highly uncertain. Epistemic uncertainty due to a lack of knowledge and understanding is of particular importance at the global scale (e.g. see discussion in Beven and Cloke (2012) and Wood et al. (2011, 2012)). Generally, three sources of uncertainty can be distinguished: spatially distributed input data (e.g. climate forcing, water use, land cover), model structure (or modeling approach) and model parameters.

6 Uncertainties due to the choice of climate forcing were focus of few studies. For example, 7 Guo et al. (2006) showed the large sensitivity of soil moisture simulated by 11 LSMs to 8 different climate forcing data sets (esp. to precipitation and radiation), and concluded that this 9 uncertainty on land surface hydrology is as large as the variations among the LSMs. Biemans 10 et al. (2009) evaluated seven global precipitation products for 294 river basins worldwide and quantified an average uncertainty of 30% per basin. They studied the dynamic global 11 12 vegetation and hydrology model LPJmL with these precipitation forcings and concluded with 13 an average uncertainty in discharge of about 90%. Even though climate forcing is of such 14 importance, only few studies are available reflecting this uncertainty in a global hydrological 15 model setup.

16 Uncertainties in terms of model structure are related to the design of the model, i.e. the 17 (number of) processes considered and their representation by conceptual approaches. To 18 consider this kind of uncertainty, Butts et al. (2004); Clark et al. (2008); Refsgaard et al. 19 (2006) and Song et al. (2011) developed approaches to diagnose different structures of 20 hydrological models and its uncertainties. Model intercomparison efforts in which identical 21 climate forcing is used to drive all investigated models (e.g. WATCH WaterMIP, ISI-MIP) 22 have shown the effects of different model structures (Gudmundsson et al., 2012a, 2012b; 23 Haddeland et al., 2011; Hagemann et al., 2013; Van Loon et al., 2012; Prudhomme et al., 24 2014; Schewe et al., 2014) even though this was not explored systematically. For example, values for global annual evapotranspiration between 60 000 and 85 000 km³ yr⁻¹ were 25 26 reported in the WATCH WaterMIP study (Haddeland et al., 2011). In such multi-model 27 studies, many completely different models are participating, which makes it very difficult to 28 identify the reasons for different model behavior. A sensitivity study using basically the same 29 model but with a refined model structure can therefore be of benefit (e.g. Thompson et al., 30 2013).

31 Model parameters are used to represent system dynamics in solvable equations, in particular 32 when the hydrological process cannot be described physically. These parameters are generally

not measurable and, hence, are a source of uncertainty that can influence model results to 1 2 varying degrees. Within the GCM community, the perturbed physics ensemble approach assessed this kind of uncertainty in a structured way (Collins et al., 2006; Rowlands et al., 3 2012). Global scale hydrology applications also assess parameter uncertainty. For example, 4 5 Gosling and Arnell (2011) used seven sets of parameter perturbations for two model 6 parameters of the GHM Mac-PDF.09. For the GHM WaterGAP, Kaspar (2003) investigated 7 the impact of uncertainty of 38 model parameters on simulated river discharge by conducting 8 various model runs with a sampling of parameter values within specific ranges. He found that 9 major uncertainties are related to evapotranspiration parameters and land cover specific 10 attributes. Schumacher et al. (2014) confirmed the sensitivity of model output (here: monthly 11 total water storage) to radiation calculation and related parameters in WaterGAP which, 12 together with a river roughness coefficient and precipitation, dominate uncertainty in many of 13 the 33 investigated river basins. Groundwater-related parameters and soil parameters were 14 found to be important for the timing and variation of total water storages in WaterGAP (Werth and Güntner, 2010). 15

Model parameters can be adjusted by calibration, such that model output matches an observed set of data. Whereas basin-scale hydrological models are routinely calibrated against observed river discharge (e.g. Beven, 2001), this is only seldom the case for GHMs. Widén-Nilsson et al. (2007) used different model parameter sets within WASMOD-M to define optimal parameter values on river basin scale. WaterGAP is calibrated against observed river discharge in a basin-specific manner by varying one soil parameter (and up to two correction factors) (Döll et al., 2003; Hunger and Döll, 2008).

23 The goal of this study is to analyze the impact of the uncertainty of 1) spatially distributed 24 input data and 2) model structure and modeling approach on water fluxes and storages at the 25 global scale, using the most recent version of the GHM WaterGAP 2.2. As previous studies 26 (Kaspar, 2003; Schumacher et al., 2014; Werth and Güntner, 2010) have already investigated 27 both parameter sensitivity and uncertainty for WaterGAP, and due to length issues, this is not 28 focus of this study. The study was motivated by newly available climate forcing and land cover input data as well as the significant modifications of the WaterGAP model structure 29 30 during the last decade.

31 In particular, we will answer the following research questions:

- i) How sensitive are freshwater fluxes and water storages to spatially distributed input
 data (climate forcing, land cover)?
- 3 ii) What are the benefits of WaterGAP model structure refinements implemented during4 the last decade?
- 5 iii) How does the modeling approach (calibration procedure, consideration of human
 6 water use) affect freshwater fluxes and water storages?
- 7 8
- iv) Which type of uncertainty is dominant for specific fluxes and variations of total water storage?

9 After an initial description of WaterGAP 2.2 (for details see the Appendix), the experimental 10 setup is explained (Sect. 2). In Sect. 3, the results are described; focusing on the effect of the 11 different model variants on global freshwater fluxes and water storages as well as spatial 12 patterns. In Sect. 4, we discuss the results with regard to the research questions. The paper 13 ends with a summary and conclusions (Sect. 5).

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15 2 Methods and study setup

16 2.1 Description of WaterGAP 2.2

The global hydrology and water use model WaterGAP (Fig. 1) consists of two major parts, 17 18 the water use models for five different sectors (Appendix C) and the WaterGAP Global 19 Hydrology Model (WGHM, Fig. A1). The submodel GroundWater-Surface-Water-USE 20 (GWSWUSE) (Appendix D) is used to distinguish water use from groundwater and surface 21 water sources and computes net abstractions from both sources which are an input to WGHM 22 (Fig. 1). Using a number of water storage equations (change of storage over time equals to 23 inflow minus outflows, Appendix A), WGHM calculates daily water flows and storages with a spatial resolution of 0.5° by 0.5° (55 km by 55 km at the equator) for the whole land area of 24 25 the Earth except Antarctica (66896 cells). WaterGAP 2.2 is calibrated against mean annual 26 river discharge at 1319 gauging stations, and the adjusted calibration factor is regionalized to 27 grid cells outside the calibration basin (Appendix B).

Since the initial publication of WaterGAP 2.1d (Döll et al., 2003), major changes were made
to keep the model up-to-date. For example, algorithms of reservoir operation were included
(Döll et al., 2009), groundwater recharge was optimized by distinguishing semi-arid / arid

regions from humid regions (Döll and Fiedler, 2008), a variable flow velocity algorithm was
 included (Verzano et al., 2012) and the source of abstracted water was considered (Döll et al.,
 2012).

4 2.2 Study setup

5 Six WaterGAP model variants (Table 1) were designed as follows. The standard version of 6 WaterGAP 2.2 (STANDARD) was modified regarding only one aspect, including either 7 alternative climate forcing (CLIMATE), land cover input (LANDCOVER) or model structure 8 (STRUCTURE). Each model variant was independently calibrated. Variant NoCal is an 9 uncalibrated simulation with the standard version of WaterGAP 2.2 to study the impact of the 10 calibration approach. Variant NoUse reflects naturalized water flows and storages without the 11 impact of human water use, and thus also renewable water resources.

In addition, for assessing the effect of uncertainties on renewable water resources, variants CLIMATE, LANDCOVER, STRUCTURE and NoCal are also run without considering any water abstractions. The modeled time span is from 1901 to 2009. In this paper, model results for 1971-2000 are evaluated.

16 2.2.1 Climate input

17 Climate forcing data for global scale hydrological models are a major source of uncertainty 18 for two main reasons: (1) they are subject to measurement errors which were not corrected in 19 the original input data and (2) they are subject to interpolation errors due to low spatial and 20 temporal monitoring network density and/or because (temporal) data gaps have to be filled. 21 To analyze the sensitivity of different climate forcing datasets on calibration and subsequently 22 on freshwater fluxes, two climate forcings were used to force both the WGHM and the Global 23 Irrigation Model GIM (Döll and Siebert, 2002) (Appendix C).

In variant STANDARD, the daily WATCH Forcing Data methodology applied to ERA-40 data (WFD) (Weedon et al., 2011) for the years 1901 to 1978 (the years 1901 to 1957 are based on reordered reanalysis data) and the WATCH Forcing Data methodology applied to ERA-Interim data (WFDEI) (Dee et al., 2011; Weedon et al., 2010, 2011) for the years 1979 to 2009 was chosen. Switching the climate input dataset in 1979 leads to inconsistencies in terms of AET (much higher in WFDEI) and therefore affects the storages until a new equilibrium is reached (see Sect. 3.1). WFD and WFDEI monthly sums/means are bias-

1 corrected with other data sources (temperature bias correction, shortwave radiation adjustment 2 using cloud cover and adjustment of number of wet days to CRU TS2.1 for WFD and to CRU TS 3.1 for WFDEI as well as adjustment of monthly precipitation sum to GPCC v4 (WFD) 3 and GPCC v5 (WFDEI) and snowfall undercatch corrected after Adam and Lettenmaier 4 5 (2003)). To calculate net shortwave radiation, the incoming shortwave radiation is reflected 6 by literature based land cover specific albedo values (see Table A2). Literature based 7 emissivity values for all land cover classes (Wilber et al., 1999) and the Stefan-Boltzmann-8 equation are used to calculate outgoing longwave radiation. The difference to incoming 9 longwave radiation represents net longwave radiation. Net radiation is the sum of both 10 components.

11 In variant CLIMATE, the monthly dataset CRU TS 3.2 (Harris et al., 2014) was used but 12 monthly precipitation totals were replaced by the latest GPCC v6 precipitation monitoring 13 product (Schneider et al., 2014) because it includes more observation stations. Monthly means 14 are disaggregated to daily values within WaterGAP (Döll et al., 2003). Neither CRU nor 15 GPCC precipitation is corrected for observational errors, e.g. wind induced precipitation undercatch. Thus, Döll and Fiedler (2008) included the catch ratios of Adam and Lettenmaier 16 17 (2003) and used the empirical function of Legates (1987) to correct especially snow undercatch by dividing snow and liquid precipitation using a temperature based approach. 18 19 The correction of precipitation measurement bias leads to an average increase of 8.7% 20 compared to the original product. On 37.5% of the land area (except Greenland and 21 Antarctica), the increase of precipitation is larger than 10%. Differences of mean values from 22 both datasets (CRU/GPCC and WFD/WFDEI) occur due to the slightly different precipitation 23 correction approach and the GPCC version used for scaling monthly sums. Monthly 24 precipitation is equally distributed to the number of wet days provided by the CRU 3.2 25 dataset; the distribution of wet days within a month is modeled as a two-state, first-order 26 Markov chain (Döll et al., 2003). Cloudiness fraction was used to calculate incoming short 27 wave radiation as well as outgoing long wave radiation after Shuttleworth (1993), see also 28 Döll et al. (2003).

29 2.2.2 Land cover input data

The distribution of land cover classes and associated attributes are affecting simulated fluxes in terms of radiation energy balance (albedo and emissivity), snow dynamics (degree-day factor D_F), available soil water capacity (rooting depth) and interception capacity (L) (for details see Appendix A). To estimate the effect of different, homogeneous-source land cover data, two input maps were used (Fig. 2). Attributes and model parameters associated to land cover classes were derived from literature or previous model versions (Table A2) and left equal in both variants.

5 In variant STANDARD we used the gridded MODIS (Moderate-resolution Imaging 6 Spectroradiometer) land cover product (MOD12Q1) for the year 2004. The product 7 MOD12Q1 (1 km resolution, global coverage up to 80° N) was used with land cover type 1 8 according to the International Geosphere-Biosphere Programme (IGBP) classification. After 9 resampling to 0.5° spatial resolution, the dataset was reclassified to fit to the WaterGAP land 10 cover classification system (Table A2). As water bodies (from the global lakes and wetlands 11 database, GLWD (Lehner and Döll, 2004)) and percentage of urban area (from previous model versions) are obtained by additional input files, the second land cover class was 12 13 appointed in case of "water" or "urban and built-up" as primary land cover. For coastal grid 14 cells which are not fully covered by MODIS and north of 80° N, a combination of Global 15 Land Cover Characteristics database GLCC (USGS, 2008) + CORINE land cover information 16 was used.

In variant LANDCOVER, a combination of the GLCC based on the years 1992/1993 and, for Europe, CORINE Land Cover based on the year 2000 (European Environment Agency, 2004) was used as land cover information, as also in a previous WaterGAP version (Haddeland et al., 2011). The idea was to use an IGBP based classification scheme and a remote sensing based land cover distribution instead of IMAGE (Alcamo et al., 1998) model outputs (as in previous model versions). Both input datasets have a resolution of 1 by 1 km and were aggregated to the 0.5° model resolution by assigning the majority land cover type.

24 2.2.3 Structural model changes

During the last 10 years, the WaterGAP model was subject to several revisions and improvements in terms of hydrologic process representation, resulting in an overall more complex model structure. To assess the sensitivity of simulated freshwater fluxes to model complexity, one model variant with a simplified structure comparable to Döll et al. (2003) (variant STRUCTURE) was set up which was run with the same input data as all other model variants. Differences of variant STRUCTURE as compared to the other variants are as follows.

- Flow velocity is globally set to 1 m s⁻¹ and the meandering ratio is set to 1, instead of
 the variable flow velocity algorithm of Verzano et al., (2012) in the other variants.
- Reservoirs are treated as global lakes, i.e. the reservoir operation algorithm of Döll et
 al. (2009) is not used, which should result in a more dynamic discharge downstream of
 reservoirs.
- Water for human water use is abstracted only from surface water bodies, i.e. there are
 no groundwater abstractions as introduced by Döll et al. (2012).
- Evaporation from lakes/wetlands is not adjusted by reduction factors (Hunger and
 Döll, 2008) resulting in evaporation at potential rate even at low storage.
- Snow accumulation and melt are modeled on 0.5° (instead of the 3 arc minute sub-grid
 (Schulze and Döll, 2004)) which should lead to less snow dynamics.
- Finally, there is no distinction in groundwater recharge for semi-arid / arid and humid
 regions (in contrast to Döll and Fiedler (2008) all regions are treated like humid
 regions) resulting in higher groundwater recharge in semi-arid / arid regions.

15 2.2.4 Human water use

In many areas of the globe, human water use significantly affects water flows and storage. In this study, all model variants except NoUse and STRUCTURE are taking into account water use from surface water and groundwater resources. In variant NoUse it is assumed that there are no water abstractions at all, while in STRUCTURE, water is only abstracted from surface water (as formerly no information on the source of water abstractions was available).

21 2.2.5 Calibration

22 As described in Appendix B, WGHM is calibrated in a basin-specific manner, against mean 23 annual discharge by adjusting, in all grid cells within each of the 1319 calibration basins, a 24 runoff coefficient that affects the outflow from the soil compartment, and - if necessary to 25 simulate mean annual discharge within 1% of the observed value - two additional correction factors. All other parameters are globally uniform (or land cover class dependent), based on 26 27 literature or experiences from past studies, i.e. there is no basin or region specific 28 modification. All model variants except NoCal are independently calibrated to the same 29 observational data. In variant NoCal, the runoff coefficient and both corrections factors are set

to 1 in all grid cells. The comparison of NoCal to e.g. STANDARD allows for a direct
quantification of the effect of calibration on simulated water fluxes and storages.

3 3 Results

4 **3.1 Global water balance**

5 Table 2 lists global values for various components of the global water balance and changes in 6 total water storages (TWS) (calculated excluding Antarctica, Greenland and inland sinks) as 7 estimated by the different model variants. Global values vary mainly due to calibration and 8 selected climate forcing. For interpreting Table 2 and Figure 3 it is important to know that 9 actual evapotranspiration (AET) does not include additional evapotranspiration caused by irrigation and other human water use. This part of evapotranspiration is called actual water 10 11 consumption (WC_a). For computing global values of AET and renewable water resources (RWR), the values were adjusted in calibration basins using the station correction factor CFS 12 such that a closed global water balance is achieved (for calibration details see Appendix B). 13 14 Grid cell values of AET and RWR (Figs. 3 and 4), however, do not reflect CFS to avoid physically implausible values that likely result from inconsistencies between precipitation 15 data and observed river discharge. Global precipitation P is about 1900 km³ yr⁻¹ (or 1.7%) 16 17 higher when using the CLIMATE model variant which results in an equal increase of 18 discharge compared to STANDARD. Except for NoCal, global AET (calculated as sum of E_c , E_{sn} , E_s and E_w , see Appendix A) does not vary considerably among the variants. In 19 20 general, discharge to oceans and inland sinks is lower by the amount of change in AET. WC_a 21 (row 4 in Table 2) varies due to the demand of surface water abstractions and groundwater 22 abstractions (which differs in CLIMATE due to the forcing of GIM (Appendix C) and in 23 STRUCTURE where water demand is entirely extracted from surface water resources) and 24 due to the different water availability for abstractions. In all cases, a large share of the total water demand could be satisfied (between 90% in STRUCTURE and 96% in CLIMATE). 25

When human water use is not taken into account (NoUse), AET increases by 131 km³ yr⁻¹ because evaporation from open water bodies increases as they are not depleted by water uses and additional evapotranspiration of irrigated crops is not included in AET (but quantified within WC_a, row 4 in Table 2). As expected, river discharge is higher (by 758 km³ yr⁻¹) in NoUse. Changes in total water storages (142 km³ yr⁻¹ less storage decrease) are also visible, especially due to no groundwater withdrawals in this variant (Table 3). The sum of these 1 differences between STANDARD and NoUse is 1031 km³ yr⁻¹ which equals to WC_a (row 4 2 for STANDARD in Table 2).

The calibration has a strong effect on freshwater fluxes. Global discharge to oceans and inland sinks (Q) in NoCal is about 6400 km³ yr⁻¹ (or 15.7%) higher than in STANDARD, meaning that the main effect of calibration is lowering discharge. In many river basins, the calibration parameter γ is higher than the value 1.0 globally used in NoCal which reduces the share of effective precipitation actually contributing to runoff. Consequently, AET is lower by nearly the same amount.

9 When comparing CLIMATE to STANDARD, P and Q are both increased by around 1900 10 km³ yr⁻¹ whereas global AET sums are nearly equal. When partitioning the increased Q into 11 calibrated and uncalibrated grid cells, most additional Q (1546 of overall 1906 km³ yr⁻¹) is 12 generated in non-calibrated grid cells mostly because of an increased P (which explains 1200 13 of the additional 1546 km³ yr⁻¹) and a reduced AET (which explains 282 of the additional 1546 km³ yr⁻¹) in these grid cells.

15 RWR equal long term averaged discharge to oceans and inland sinks (Q in Table 2) but 16 without considering human water withdrawals. For the STANDARD model variant, RWR are 17 1.9% higher than with WC_a (row 3 in Table 2, col NoUse and STANDARD). Q of the other 18 model variants and hence RWR increase about a similar value (NoCal 2.0%, LANDCOVER 19 and STRUCTURE 1.9%, CLIMATE 1.6%; values not shown in Table 2).

20 The decreasing trends of total water storage are mainly caused by groundwater depletion, 21 except in variants NoUse and STRUCTURE where no groundwater abstraction is modeled. 22 Interestingly, NoCal shows a smaller decrease in groundwater storage than STRUCTURE. 23 This is also due to the calibration parameter γ which is on average lower in case of NoCal. 24 The lower γ , the more water leaves the soil and can subsequently contribute to groundwater 25 recharge. Note that water abstractions from groundwater are taken directly from the groundwater storage and also return flows are added directly to groundwater storage (without 26 27 passing the soil compartment). Hence, there is no difference in soil water storage between 28 STANDARD and NoUse (Table 3).

Except for groundwater and snow, CLIMATE shows less storage depletion than all other variants that are forced by WFD/WFDEI (Table 3). The strong decrease in case of WFD/WFDEI is an artifact caused by combining WFD before 1979 with WFDEI after 1979.

With WFDEI that is based on ERA-Interim, AET is around 70 000 km³ yr⁻¹, compared to 65 1 000 km³ vr⁻¹ in case of WFD. This is caused by differences in the shortwave downward 2 radiation (much higher in WFDEI) which impacts the net radiation as main input for 3 4 calculating potential evapotranspiration after Priestley and Taylor (1972). As all model runs 5 are started in 1901, the storages are more or less in equilibrium until 1978. AET is increased in the following 22 years by ca. 10% which leads to a higher water loss and therefore to a 6 7 reduction of all storage compartments. For all storages except snow, reservoirs and 8 groundwater, a new equilibrium is achieved a few (around five) years after 1979 on a lower 9 level (STANDARD variant). Whereas snow storage is not influenced at all, groundwater 10 storage is affected by groundwater depletion and reservoirs by water use and obvious 11 limitations of the reservoir algorithm. Thus, an equilibrium is not reached in global average of 12 the latter two storages but decreasing since 1901.

13 **3.2** Actual evapotranspiration

Mean AET shows the highest values around the equator consistent with available energy,except for the Pacific Rim of South America (Fig. 3a).

16 Among the variants, the largest differences to STANDARD occur in case of the uncalibrated 17 version NoCal (Fig. 3f). As the calibration approach also affects grid cells outside of the 1319 calibration basins due to the regionalization (Appendix B3), all grid cells are affected. In most 18 19 regions, calibration leads to higher AET, but in the upstream Amazon, the Congo, Arctic river basins and some other basins, the opposite is true. The global sum of AET of NoCal is 9.2% 20 21 lower than estimated with STANDARD (Table 2). Notable differences in AET also occur when using an alternative climate input (Fig. 3b). AET increases in CLIMATE on 42.6% of 22 the land surface by more than 10 mm yr⁻¹ and decreases by more than 10 mm yr⁻¹ on 30.5% of 23 the land surface. It increases (decreases) by more than 100 mm yr^{-1} on 5.4% (5.6%) of the 24 25 land surface. When summed globally, only minor changes in AET occur in case of CLIMATE (increase of 0.06% or 39 km³ yr⁻¹, Table 2). In contrast, AET differences of the STRUCTURE 26 variant are higher for the global sum (increase of 0.6% or 414 km³ yr⁻¹) but occur on an 27 overall smaller area (increase by more than 10 mm yr⁻¹ on 11.9% of the land surface, decrease 28 on 14.2%). The effect of STRUCTURE is visible in areas with surface water bodies and in 29 30 snow-dominated areas. On the one hand, an increase in net radiation in snowy regions leads to a slight increase of AET but in small absolute numbers as total AET is comparatively low. On 31 32 the other hand, effects due to the evaporation reduction factor for surface water bodies are

1 visible. In all variants except STRUCTURE, evaporation is limited when the surface water 2 body storage is reduced to mimic the shrinking of surface area. Hence, in regions with a high percentage / volume of surface water bodies, AET is increased. In addition, more complex 3 effects occur. The Great Lakes, for example, evaporate with potential evapotranspiration PET 4 5 in STRUCTURE, even when the lake storage is relatively low. This results in a relatively low 6 modeled discharge which fits well to the observed ones. Hence, no correction factor (neither 7 CFA nor CFS) is required in the Great Lakes basin. However, in STANDARD, the reduction 8 factor reduces evaporation by up to ³/₄ of PET. The resulting higher modeled discharge has to 9 be reduced by an increased AET in STANDARD (and in the other model variants) on the land 10 around the lakes as compared to STRUCTURE (red areas around Great Lakes in Fig. 3).

11 Differences between NoCal and STANDARD are resulting due to the calibration parameter γ 12 which differs from 1.0 (NoCal) in most cases in STANDARD (and the other model variants). 13 For example, there are blue patterns in China and South America. In both regions, γ is less 14 than 1.0 in STANDARD which results in higher runoff and less modeled AET. In many other 15 regions (red areas), γ is greater than 1.0 in STANDARD.

16 AET differences between LANDCOVER and STANDARD (Fig. 3c) are caused by changes 17 in net radiation in energy-limited areas (not shown) as well as changes in rooting depth. In 18 general, minor differences occur (except in some basins, see explanation below). In some 19 regions, an increasing net radiation results in an increasing AET, e.g. in parts of Angola. In 20 water-limited areas (e.g. north eastern Brazil), insignificant changes of AET occur even if net 21 radiation strongly increases. In northern Australia, AET increases even when net radiation is 22 reduced. Here, large parts are defined in STANDARD as open shrubland (rooting depth of 0.5 23 m) and in LANDCOVER as savanna (rooting depth of 1.5 m). As soil storage capacity is a 24 function of rooting depth, even with more energy available for evapotranspiration, only half 25 of the soil water can be evapotranspirated due to the limited rooting depth. Neglecting human 26 water abstraction in variant NoUse would lead to an overestimation of AET in regions where 27 water abstraction for irrigation leads to reduction of wetlands areas (Fig. 3e), and a global 28 AET overestimation by less than 0.2% (Table 2).

In WaterGAP 2.2, AET can become negative in some (mostly snow dominated) regions,
where precipitation input is too low to reproduce observed discharge (grey colors in Fig. 3a).
The total water balance of each large water body is calculated in the outflow cell, hence AET

1 can become very large as the value in mm is calculated by dividing AET over the whole lake

2 by grid cell area.

3 **3.3 Renewable water resources**

RWR (mean annual runoff of the grid cell to the river without consideration of human water
use) are dominantly influenced by the calibration (NoCal) and subsequently by input data and
model structure (Fig. 4).

7 As RWR are approximately the difference between precipitation and AET, the difference 8 maps (Fig. 4b-e) represent more or less the inverted difference maps of Fig. 3 of the previous 9 section. Compared to STANDARD, largest differences occur in model variant NoCal. In contrast to AET, calibration leads in many cases to lower RWR. The global sum of RWR of 10 11 NoCal is 15.8% higher than with STANDARD (Table 2). The global sum of RWR from CLIMATE is 4.7% higher but with large spatial spread. RWR decreases in CLIMATE on 12 21.4% of land surface by more than 10 mm yr⁻¹ and increase by more than 10 mm yr⁻¹ on 13 29.9% of the land surface. RWR decreases (increases) by more than 100 mm yr⁻¹ on 4.7% 14 15 (9.0%) of the land surface. The differences in LANDCOVER mainly follow differences in net 16 radiation (not shown). In snow-dominated regions, RWR are lower in STRUCTURE because 17 snow cover dynamics are less intense than in STANDARD. In grid cells with (large) surface 18 water bodies, RWR are lower in STRUCTURE (as AET is unlimited here even if storages are 19 nearly empty).

20 3.4 River discharge

21 3.4.1 River discharge seasonality

River discharge is the integral result of runoff generation, water losses by evaporation from 22 23 surface water bodies, positive or negative net abstractions from surface water bodies and groundwater, and routing processes. It is one of the most important diagnostic variables in 24 25 water resources. In many regions, river discharges have been observed for decades, providing 26 an important data source for model evaluation. A good representation of modeled seasonality 27 in comparison to the observed one is therefore a criterion for model evaluation. We compared 28 observed and modeled discharge seasonality at the outflow of 12 large river basins, covering 29 different climatic zones and levels of anthropogenic influence (Fig. 5). Climate input and 30 model structure influence modeled discharge seasonality more than land cover changes for the

selected river basins. Where seasonality of climate is high, like in the monsoon-dominated 1 2 Mekong basin, only marginal differences occur due to land cover and model structure. Structural model refinements have also important effects on discharge seasonality. For 3 4 example, the constant flow velocity of STRUCTURE (in contrast to variable flow velocity in 5 the other variants) leads to a higher peak in the Lena. Here, the variable flow velocity 6 algorithm underestimates flow velocity in the lower reaches where bed slopes are very small. 7 This leads to a strong underestimation of peak flow (which explains the improved seasonality 8 of STRUCTURE compared to observed discharge in the Lena). The reservoir algorithm 9 which is not enabled in STRUCTURE has impacts at the Yangtze, Rio Parana, Mississippi 10 and the Volga in terms of smoothing the discharge. For the Rio Parana, this is the main 11 influence in the STRUCTURE variant. The representation of snow in STANDARD leads to a 12 more heterogeneous snow coverage as compared to the STRUCTURE variant. The strongest 13 impact occurs for the Rhine, where the snow algorithm is the dominant reason for the 14 differences to STRUCTURE. In STRUCTURE, the snow water storage of the Rhine headwater (Alps) is generally lower. In particular between May and October (the Alps are 15 modeled as snow-free between June and September), this leads to a decrease of discharge as 16 17 snowmelt cannot contribute any longer as it does e.g. in STANDARD. The importance of the 18 climate forcing can be seen in the Mississippi and the Rhine where CLIMATE results in 19 overestimated peak seasonal discharge. In the Danube, WFD/WFDEI climate input (in 20 STANDARD) is particularly beneficial, as the fit to observed seasonality is much better than 21 with CRU TS 3.2 / GPCC v6 climate (in CLIMATE).

For the Mackenzie River, all model variants are close to each other but far away from observations. Here, freezing and thawing of the river are not reproduced as none of the model variants represents these processes. Interestingly, the Lena river basin is also frozen during winter time but here, low flows are simulated quite well. In the Amazon, the model variants underestimate the delay of peak discharge which might be explained by the lack of modeling dynamic floodplain inundation.

The impact of alternative land cover is only slightly influencing discharge seasonality. Most effects occur at the Rhine, where CORINE-based land cover (variant LANDCOVER) consists dominantly of cropland. Many grid cells in the other model variants consists of mixed forest or cropland / natural vegetation mosaic which both have a lower albedo, resulting in more evaporation and less discharge especial in the summer months. Additional effects occur due to deeper roots at mixed forest class. Only for the Mackenzie, Lena and Yangtze, mean monthly river discharges of NoCal within the range of all other variants in some months. The NoCal values for the Orange river are so high that throughout the year, they are higher than the highest observed value (and the values of the other variants) (Fig. 5). This supports the use of a calibrated model for discharge analyses.

6 3.4.2 Monthly time series

Nash-Sutcliffe efficiencies E_{NS} (Eq. 1, Nash and Sutcliffe, 1970) were calculated for time series of monthly river discharges at 1319 gauging stations used for calibration.

9
$$E_{NS} = 1.0 - \frac{\sum_{i=1}^{n} (O_i - S_i)^2}{\sum_{i=1}^{n} (O_i - \overline{O})^2}$$
 (1)

10 with O_i is observed discharge, S_i is simulated discharge and \overline{O} is mean observed discharge 11 (all units in km³ month⁻¹).

12 By adjusting the mean annual river discharge as done in our calibration approach, E_{NS} of 13 monthly discharge increases in all calibrated model variants as compared to the NoCal variant, as E_{NS} is sensitive to both mean and variances (Fig. 6). Among all calibrated 14 15 variants, STANDARD and NoUse achieve the highest mean E_{NS} values, while variant 16 STRUCTURE shows a distinctly lower model performance (Fig. 6). This is further confirmed by the E_{NS} distribution per Köppen-Geiger region (Table 4, column "sum"), where in case of 17 18 STANDARD and NoUse, E_{NS} is larger than 0.5 in 53.5% of the basins. Comparing 19 STANDARD and STRUCTURE, model development clearly improved simulation results in 20 A, C and D climates. The CLIMATE variant performs better in cold areas but overall performs worse than STANDARD, in particular in temperate climate. No significant 21 22 differences occur when using an alternative land cover input (LANDCOVER). Performance of all variants is very poor in arid (B) climate. 23

24 **3.5** Variations of total water storages

25 Simulated temporal variations of TWS, i.e. the total amount of water in all continental water 26 storage compartments (Fig. A1), are used widely in the context of analyzing information

derived from the Gravity Recovery and Climate Experiment (GRACE). The dominant 1 2 seasonal changes of TWS can be characterized by the difference between the minimum and the maximum value of mean monthly TWS (1971-2000). The spatial distribution of seasonal 3 TWS variations (Fig. 7a) is similar to that derived with an earlier version of WaterGAP (see 4 5 Fig. 4 in Güntner et al. (2007)). Seasonal TWS variations are affected most strongly by the climate forcing (Fig. 7b). For example, in Europe and eastern US, they are more than 25 mm 6 7 higher in case of CRU/GPCC climate forcing. This finding is consistent with the impact of 8 climate forcing on river discharge, e.g. of the Danube (Fig. 5). The calibration approach leads 9 to a decrease of TWS variation in areas where runoff is overestimated (Fig. 7f). Where land 10 cover attributes vary significantly due to different land cover classes in LANDCOVER, 11 effects on TWS variations are strong (e.g. in Southern Congo or in Southern Amazon). 12 Neglecting groundwater abstractions (as done in NoUse, which neglects any human water 13 use, and in STRUCTURE, where water is only abstracted from surface water sources) leads to 14 lower seasonal TWS variations in areas of groundwater abstractions (if in case of STRUCTURE, surface water is not able to satisfy water uses) and groundwater depletion (e.g. 15 High Plains Aquifer in central USA, Iran and Northwestern India) (Fig. 7d and e). In these 16 two variants, seasonal groundwater storage variations are solely driven by seasonal variations 17 18 of groundwater recharge. Without simulating water use, some areas with large surface water 19 irrigation have higher seasonal variations than with water use because large return flows during the dry (irrigation) season smooth natural groundwater storage variations. 20

In addition, seasonal TWS variations in STRUCTURE differ from STANDARD particularly along large rivers (Fig. 7d), mostly with a smaller range in STRUCTURE. There, the flow velocity (variable in STANDARD) is lower than the constant 1 m s⁻¹ in STRUCTURE, resulting in increased river storage. In many cold areas, the simpler snow algorithm in STRUCTURE leads to increased TWS seasonality.

26 **4 Discussion**

4.1 Comparison of simulated freshwater fluxes to other estimates

The modeled AET and discharge to the oceans and inland sinks for all model variants are within the range of published values except the NoCal variant, which has very low AET and high discharge values (Tables 2 and 5). Difficulties with such comparisons can occur if different time spans are used. In addition, different land area is used, e.g. Mu et al. (2011) is based on remote sensing data and neglects bare land surfaces (their area: $109.03 \times 10^6 \text{ km}^2$) whereas Mueller et al. (2013) covers $130.92 \times 10^6 \text{ km}^2$ (which is also a reason for a larger AET).

4 Discharge estimates differ due to the applied estimation method and precipitation data set. Mueller et al. (2013) do not consider precipitation undercatch correction and assume a global 5 precipitation of ~99 000 $\text{km}^3 \text{ yr}^{-1}$ which is low compared to recent estimates of Schneider et 6 al. (2014) (117.000 km³ vr⁻¹) or the values used in this study (Table 2). Regarding WaterGAP. 7 8 estimated of global discharge, model refinements have led to an increase of discharge. The value of STANDARD is approx. 450 km³ yr⁻¹ higher than for STRUCTURE (Table 2), and 9 previous estimates (Döll et al., 2003) are even lower as precipitation undercatch was not taken 10 11 into account.

12 **4.2** Advantages and limitations of the calibration approach

The applied calibration approach is clearly beneficial as it leads to a better fit of simulated to 13 observed monthly river discharge time series (Fig. 6 and Table 4). Consequently, the basin-14 specific adjustment of 1-3 parameters (γ , CFA and CFS, see Appendix B1) based on 15 16 observed mean annual discharge has been part of the WaterGAP modeling approach since the 17 beginning. Calibration allows to a certain degree compensating errors in input data and 18 effective model parameters. Also, structural problems of the model, e.g. due to the simplified 19 representation of hydrological processes at a half-degree grid cell, may be balanced out. The 20 effect of calibration on modeled renewable water resources (Fig. 4e) dominates all other 21 modifications within this study setup.

However, the correction of total cell runoff using CFA and CFS that is required to force 22 23 simulated mean annual river discharge values to be equal to observed values is not ideal and has undesirable effects on estimated AET and RWR. AET is largely reduced in one half of the 24 25 basin (and vice versa) at the river basin Yenisey at station Igarka (western Siberian Plain) 26 when using alternative climate forcing. Transferring the correction factor CFS (which is, if 27 necessary, calculated at the outflow grid cell of the basin) to the upstream grid cells can lead 28 to unrealistic high positive and negative values for AET if precipitation is too low in these 29 parts of the basin to simulate observed discharge or the AET of surface water bodies has to be 30 reduced by CFA. This is the reason for some artificial patterns in Fig. 3 and consequently in Fig. 4. These kinds of consistency errors can be found in some more basins where cumulative 31

AET is low and parts of the basins are covered with surface water bodies. Nevertheless, the
 approach ensures a closed water balance for the whole basin.

3 Obviously, one parameter is not sufficient to calibrate the model. In many basins the γ parameter is not sensitive to input data and model structure in the current calibration approach 4 5 as the range of γ through all four variants (NoCal is not considered, NoUse has the same 6 value as STANDARD) is rather small. 59% of the basins in Fig. 8 are colored dark blue 7 which means that the calibration parameter γ has the same value in all model variants. Here, 8 γ is at its artificial boundaries minimum (0.1) or maximum (5.0) value and the influence of 9 input data and model structure, which were modified in this study, is insignificant. On the 10 other hand, in 21% of the basins, γ is differing by > 1 (green, yellow and red colors). In these 11 basins, the calibration parameter is sensitive to input data and model structure. Anyhow, within future model development, one task is to restructure the calibration approach with the 12 13 aim to avoid correction factors or rather to introduce and test alternative calibration 14 objectives. This could be achieved by either including more parameters (multi-parameter 15 calibration) and/or by integrating additional reference data, e.g. GRACE based data as was 16 shown by Werth and Güntner (2010) (multi-objective calibration). In addition, remote sensing based input data with global coverage have been available for a decade. Especially for land 17 cover characteristics (e.g. land cover type, L, albedo, see Appendix A), a more realistic 18 19 representation of dynamics (integration of time series as input data instead of static input 20 maps) can reduce the input data and model parameter uncertainty.

4.3 How sensitive are freshwater fluxes and water storages to spatially distributed input data (climate forcing, land cover)?

In general, more differences occur due to the alternative climate input than due to the 23 24 alternative land cover data. The major freshwater fluxes (AET, Fig. 3 and RWR, Fig. 4) as 25 well as river discharge (Fig. 5) show in many cases that land cover input has much less impact (except for some areas where the attributes of a changed land cover type differ significantly). 26 27 The effect of different land cover input would probably increase when the belonging 28 attributes were also modified. Forced with CRU 3.2 and GPCC v6 instead of WFD/WFDEI input, AET is increased by at least 10 mm yr⁻¹ in large parts in the world (light blue colors in 29 30 Fig. 3b). In those regions with similar precipitation amounts but different radiation, RWR 31 decreases by the same amount as AET increased (e.g. South East Asia, Australia, Saudi

Arabia). In other regions, no clear effect on RWR is detectable (e.g. North America). In some
parts of Europe, RWR increases by at least 10 mm yr⁻¹ even if AET increases. Here, besides
radiation (affecting AET), the amount of precipitation is of great importance (affecting
RWR).

5 In regions where the climate forcing datasets differ significantly (e.g. Danube River Basin), 6 the impact on discharge is large (Fig. 5 bottom center). Here, differences in temperature and 7 precipitation amounts lead to a poor fit compared to observed discharge when using the 8 CLIMATE variant which is also reflected in the E_{NS} criterion (Fig. 9b). Also, the two land 9 cover input data sets used here result in the same E_{NS} classes, with only a few exceptions 10 (Fig. 9c).

4.4 What are the benefits of WaterGAP model structure refinementsimplemented during the last decade?

In general, WaterGAP 2.2 STANDARD leads to improved results compared to the reduced 13 14 model version STRUCTURE that is comparable to the Döll et al. (2003) model version. For 15 example, the different elevations of the 100 subgrids used for the improved snow modeling 16 (Schulze and Döll, 2004) lead to different temperatures (see Appendix A2) and thus to more differentiated snow melting within one 0.5° grid cell in STANDARD as compared to 17 18 STRUCTURE where snow within the whole cell either melted or not on any day. In many 19 basins in the alpine region in central Europe, E_{NS} of STRUCTURE ranks behind 20 STANDARD (Fig. 9d, red colors) reflecting too early snow melt in STRUCTURE. In some 21 basins, the reservoir algorithm improves E_{NS} (and discharge seasonality). For example, the 22 Volga at station Volgograd Power Plant (see also Fig. 5) and basins in Brazil show a much better $E_{\rm NS}$ (Fig. 9d) in STANDARD compared to STRUCTURE. However, $E_{\rm NS}$ of some 23 basins with $E_{\rm NS}$ < 0.5 in STANDARD is improved in STRUCTURE. In summary, 24 integrating more complex and refined process descriptions (see Sect. 2.2.3) in the past decade 25 has led to improved simulation of monthly time series of river discharge with WaterGAP. 26 27 However, discharge before calibration tends to be higher with the implemented structural changes, e.g. due to the storage-dependent reduction of surface water evaporation. This 28 29 together with use of more calibration stations (Hunger and Döll, 2008) and the introduction of 30 a bias-correction for observed precipitation (Döll and Fiedler, 2008) has had the problematic consequence that correction factors to lower simulated river discharge have increasingly been
 required to ensure that simulated mean annual river discharges are equal to observed values.

4.5 How does the modeling approach (calibration procedure, consideration of human water use) affect freshwater fluxes and water storages?

5 The calibration procedure reduces simulated river discharge and water resources on most of the land area and increases the AET (Figs. 3 and 4, Table 2). Without calibration, global AET 6 7 and discharge would rank at the lower and higher end of the published values, respectively 8 (Table 5). In addition, the fit to observed monthly river discharge time series as quantified using the E_{NS} criterion would worsen almost everywhere (Fig. 9f). The impact of calibration 9 10 on freshwater fluxes and water storages is higher than those of alternative climate forcings 11 and land cover data, and of a more sophisticated model structure. This confirms the strong 12 benefit of calibration. However, as E_{NS} is affected by mean discharge as well as discharge 13 variations, the calibration approach improves this criterion.

14 Compared to the other variants, the consideration of human water use does not have large 15 effects on freshwater fluxes and storages at the global scale. In regions with intense water use, 16 in particular from surface water bodies (e.g. in Pakistan), AET without considering additional 17 evaporation from WC_a (Table 2) is reduced due to human water use (Fig. 3e). This effect 18 occurs because human water uses decrease surface water storages and thus the reduction factor (Appendix A5) decreases evaporation from surface water bodies. If the impact of 19 20 human water use on river discharge were not considered, van Beek et al. (2011) showed lower 21 performance in general. Within our study, higher correction factors would be necessary in 22 basins with large abstractions from surface water bodies or significant decreases of baseflow due to groundwater abstractions. Still, $E_{\rm NS}$ of basins with high amounts of human water use is 23 24 generally lower than those without human water use (not shown). In some basins mainly in 25 northeastern Europe, E_{NS} improves when neglecting human water use (Fig. 9e). This 26 obviously reflects uncertainties in water use models.

4.6 Which type of uncertainty is dominant for specific fluxes and variations of total water storage?

The answer to this question depends on the type of fluxes and the spatial aggregation.Regarding selected global sums of freshwater fluxes (Q and AET) and mean annual total

water storage trends dTWS, dominant uncertainties can be determined by computing 1 2 differences between the values computed with certain model variant and STANDARD. As 3 already shown above, global values of AET and Q as well as the fit of simulated to observed 4 river discharge time series (E_{NS}) are most sensitive to whether the model is calibrated or not (Table 6). STRUCTURE and NoUse have the strongest impact on the global TWS trend 5 6 (Table 6) as these model variants cannot reflect groundwater depletion. More refined model 7 algorithms rank second regarding global AET sums and E_{NS} , and alternative climate forcings 8 rank second regarding river discharge and third regarding median E_{NS} . The alternative land 9 cover input data sets have the overall lowest impact on computed freshwater fluxes and 10 storages.

11 Regarding grid cell-specific differences that are more relevant than global values for most 12 applications, the ranking of dominant uncertainties is quite different. Patterns of seasonal TWS variations are affected most strongly by the climate forcing (Fig. 7b), while climate 13 forcings show the second largest impact on the spatial distribution of AET and RWR, after 14 15 calibration (Figs. 3 and 4). The fraction of the global land area that is affected by significant differences of AET and river discharge between a certain model variant and the STANDARD 16 17 variant is largest in case of NoCal, followed by CLIMATE, LANDCOVER, STRUCTURE 18 and NoUse. Thus, both global and grid cell values are most sensitive to calibration. The larger 19 sensitivities to climate forcings and land cover input at the grid cell level (Table 7) cancel 20 when globally averaged. The larger sensitivities of globally aggregated values (Table 6) to 21 structural changes and the consideration of water use is due to unidirectional changes for all 22 affected grid cells, but different to alternative climate and land cover data, structural changes 23 and water use only affect a limited number of grid cells. This discussion on the dominant type 24 of uncertainty does not take into account parameter uncertainty which is a major additional 25 source of uncertainty (Kaspar, 2003).

26 **5** Conclusions

We studied the sensitivity of freshwater fluxes and storages as computed by the GHM WaterGAP 2.2 to spatially distributed input data (climate forcing and land cover input) as well as model structure (model refinements during the last decade), consideration of human water use and calibration (or no calibration). For the modeling experiment, we designed five model variants in addition to the standard variant. In each model variant, one component or

feature was modified with respect to the standard variant. Sensitivity of different freshwater 1 2 fluxes and water storage variations to the five types of uncertainty were analyzed and ranked considering both global sums and grid cell values, taking into account also the capability of 3 4 the model variants to simulate time series of observed river discharge. Basin-specific 5 calibration to mean annual river discharge was found to have the strongest impact on fluxes and storage variation and is the dominant reason for an improved simulation of observed 6 7 monthly river discharge time series (as characterized by the Nash-Sutcliffe criterion). 8 Uncertainty due to alternative climate forcing, and to a lesser extent, land cover input, leads to 9 significant variations of grid cell fluxes (actual evapotranspiration, renewable water resources 10 and river discharge) and storages (seasonal range of total water storage) even if the model 11 variants are individually calibrated. However, these uncertainties largely cancel at the global 12 scale while the more refined model structure, and to a lesser extent water use, are more 13 important for global sums of river discharge and actual evapotranspiration but also for an 14 improved fit to observed monthly time series of river discharge.

15 The STANDARD variant of WaterGAP 2.2 leads to the best fit to observed river discharge (monthly time series, Fig. 6 and Table 4, and seasonality, Fig. 5). We conclude that the daily 16 17 WFD/WFDEI data set as climate forcing is preferable to using a combination of the monthly 18 CRU 3.2 and GPCC v6 data sets as done for model variant CLIMATE. However, we found 19 that it is problematic to combine the WFD climate data set (covering 1901-2001) with the 20 only seemingly consistent WFDEI data set (covering 1979-2009) due to a radiation bias (short 21 wave downward radiation component) between the two data sets. This results in a steep 22 increase of actual evapotranspiration in 1979, and a water storage decrease between 1971 and 23 2000 that is an artifact of the combination of the two climate data sets (comp. section 3.1). It 24 would be very beneficial for an improved estimation of global freshwater fluxes and storages to have a consistent daily climate forcing that covers the whole 20th and the early 21st century. 25

The calibration approach of WaterGAP is necessary to compensate uncertainties of spatially distributed input data, parameters and model structure. However, a calibration of only one parameter related to soil water balance is not sufficient and correction factors have to be applied in a number of basins. Therefore, a redesign of the calibration approach, with additional observations (e.g. including TWS variations as derived from GRACE gravity fields), other calibration objectives and adjustment of more model parameters (without correction factors) is planned.

1 The improved representation of hydrological processes of WaterGAP within the last decade 2 led to a more complex model structure. In most cases, those modifications resulted in a better fit to observed river discharge. However, in some parts of the world, model performance is 3 4 still not satisfactory due to an inappropriate modeling of certain processes such that further 5 changes of the model structure are required. For example, the modeled discharge seasonality in the Amazon basin is shifted compared to the observed on, which is suspected to be caused 6 7 by inappropriate modeling of the temporal variations of inundations and the neglect of 8 backwater effects. The reservoir operation algorithm does not yet take into account the 9 construction year of the dam. Moreover, model results in semi-arid and arid regions are poor, 10 and improved modeling of evaporation from ephemeral ponds is planned.

11

1 Appendix

Appendix A describes the WaterGAP Global Hydrology Model (WGHM) in its current version 2.2. In the order of processing, the single storage compartments and belonging in- and outflows are explained. Appendix B provides information on the calibration and regionalization approach WaterGAP is based on. Appendix C gives a brief introduction of the

6 water use sub-models, and the GWSWUSE module is described in Appendix D.

7 Appendix A: Description of the WaterGAP Global Hydrology Model (WGHM)

8 A1 Canopy

9 The change of canopy storage S_c [mm] over time t [⁻¹] is calculated as

$$10 \qquad \frac{dS_c}{dt} = P - P_t - E_c \tag{A1}$$

11 where precipitation $P \text{ [mm d}^{-1}\text{]}$ is the inflow and the amount of throughfall $P_t \text{ [mm d}^{-1}\text{]}$ and 12 canopy evaporation $E_c \text{ [mm d}^{-1}\text{]}$ are the outflows.

13 Throughfall P_t is calculated as

14
$$P_{t} = \begin{cases} P & S_{c} \ge S_{c,\max} \\ 0 & S_{c} < S_{c,\max} \end{cases}$$
(A2)

15 Following Deardorff (1978), canopy evaporation E_c is calculated as

16
$$E_c = E_p \left(\frac{S_c}{S_{c,\max}}\right)^{\frac{2}{3}}$$
(A3)

17 where E_p is potential evapotranspiration [mm d⁻¹].

18 E_p is calculated according to the Priestley-Taylor model (Priestley and Taylor, 1972), 19 differentiating atmospheric water demand between humid ($\alpha = 1.26$) and semi-arid / arid ($\alpha =$ 20 1.74) areas. Grid cells were defined as semi-arid / arid if long term average (1971-2000) 21 precipitation is less than $0.5 \times E_p$ (UNEP, 1992).

22 S_c is limited between 0 and maximum canopy storage $S_{c,max}$, which is calculated as

$$1 \qquad S_{c,\max} = m_c L \tag{A4}$$

where m_c is 0.3 [mm] and L is the leaf area index [-]. L is calculated based on a modified
growth model described in Kaspar (2003) and is limited to minimum and maximum values.
Maximum L values per land cover class (Table A1) are based on literature (Schulze et al.,
1994; Scurlock et al., 2001). Minimum L values per land cover class are calculated as:

6
$$L_{\min} = 0.1 f_{d,lc} + (1 - f_{d,lc}) c_{e,lc} L_{\max}$$
 (A5)

7 where $f_{d,c}$ is the fraction of deciduous plants [-] and $c_{e,c}$ is the reduction factor for evergreen 8 plants [-] (Table A1). Development of L is simulated as a function of daily temperature and 9 precipitation. The growing season starts when the daily temperature is above 8 °C for a land 10 cover specific number of days (Table A1) and cumulative precipitation is at least 40 mm. 11 During the growing season, L increases linearly until it reaches L_{max} after 30 days. In semi-12 arid and arid regions, it is necessary that at least 0.5 mm daily precipitation occurs to keep the 13 growing season ongoing. If the condition for growing season is not fulfilled anymore, the 14 senescence phase is initiated, i.e. L is degraded to L_{min} linear within 30 days.

15 **A2 Snow**

16 The change of snow water storage S_{sn} [mm] over time t [⁻¹] is calculated as

$$17 \qquad \frac{dS_{sn}}{d_t} = P_{sn} - M - E_{sn} \tag{A6}$$

18 where P_{sn} is precipitation, falling as snow at temperatures below 0 °C [mm d⁻¹], *M* is snow 19 melt [mm d⁻¹] and E_{sn} is sublimation [mm d⁻¹].

Snow accumulation and melt are modeled on a 3 arc minute sub-grid (100 sub-grid cells per 0.5°) using a degree day algorithm (Schulze and Döll, 2004). Mean sub-grid elevation was derived from GTOPO30 (U.S. Geological Survey, 2003). The daily temperature for each subgrid cell is calculated from the temperature of the 0.5° cell, applying an adiabatic lapse rate of 0.6 °C per 100 m. To avoid excessive snow accumulation, temperature does not decrease if a snow water equivalent of 1000 mm is reached in one sub-grid.

At temperatures below 0 °C, all precipitation is assumed to fall and accumulate as snow. At sub-grid temperatures T [°C] above melting temperature T_m (0 °C) and if snow storage is 1 present, snow melts with land cover specific degree-day factor D_F [mm d⁻¹ °C⁻¹] (Table A2) 2 as:

$$3 \qquad M = \begin{cases} D_F (T - T_m) & T > T_m, \quad S_{sn} > 0\\ 0 & other \end{cases}$$
(A7)

Instead of using one specific albedo for snow as in previous versions ($\alpha = 0.4$), land cover specific snow albedo values are used to account for differences in reflective properties between the land use classes under snow-covered conditions (Table A2). The albedo value switches to snow albedo if snow water equivalent of the grid cell exceeds 3 mm, i.e. a closed snow cover is assumed. Sublimation E_{sn} is modeled like potential evaporation rate but applying a latent heat of 2.835 [MJ kg⁻¹] for temperatures below 0 °C and 2.501 – 0.002361 × T [MJ kg⁻¹] above 0 °C.

11 A3 Soil

12 Like snow and canopy, the change of soil water storage S_s [mm] over time t [⁻¹] is calculated 13 as one layer as:

$$14 \qquad \frac{dS_s}{dt} = P_{eff} - R_l - E_s \tag{A8}$$

15 with effective precipitation P_{eff} [mm d⁻¹] as inflow and runoff from land R_l [mm d⁻¹] and 16 actual evapotranspiration E_s [mm d⁻¹] as outflows.

$$17 \qquad P_{eff} = P_t - P_{sn} + M \tag{A9}$$

18 with P_t is through fall [mm d⁻¹], (see Sect A1), P_{sn} is precipitation falling as snow [mm d⁻¹] 19 and *M* is snow melt [mm d⁻¹].

Actual evapotranspiration from the soil E_s [mm d⁻¹] is a function of potential evapotranspiration from the soil E_p [mm d⁻¹] minus the already evaporated water from the canopy E_c [mm d⁻¹], actual soil water content in the effective root zone S_s [mm] and total available soil water capacity $S_{s,max}$ [mm] as

24
$$E_{s} = \min\left(\left(E_{p} - E_{c}\right), \left(E_{p,\max} - E_{c}\right) \frac{S_{s}}{S_{s,\max}}\right)$$
(A10)

1 where $E_{p,\text{max}}$ is 20 mm d⁻¹ in semi-arid and arid regions whereas 10 mm d⁻¹ in grid cells 2 classified as humid, $S_{s,\text{max}}$ is the product of total available water capacity in the upper meter 3 of the soil (Batjes, 1996) and the land cover specific rooting depth (Table A2).

4 Runoff from land R_1 [mm d⁻¹] is calculated after Bergström (1995) as

5
$$R_l = P_{eff} \left(\frac{S_s}{S_{s,\text{max}}}\right)^{\gamma}$$
 (A11)

6 Dependent on the soil water storage S_s , a part of effective precipitation P_{eff} becomes runoff. 7 If the soil water storage is empty, $R_l = 0$. If the soil is completely saturated (at $S_{s,max}$), runoff 8 equals effective precipitation. Between these points, the runoff coefficient γ determines the 9 amount of precipitation that converts to runoff. This parameter is used for calibration (see 10 Sect. B1). In urban areas (defined as separate input map from IMAGE 2.2), 50% of P_{eff} is 11 directly passed to the river.

12 A4 Groundwater

13 Inflow to groundwater storage S_g [mm] is groundwater recharge R_g [mm d⁻¹], whereas 14 outflows are baseflow Q_g [mm d⁻¹] and net abstractions from groundwater NA_g [mm d⁻¹] 15 (Section C), which can also act as inflow (e.g. as additional groundwater recharge due to 16 irrigation with surface water).

17
$$\frac{dS_g}{dt} = R_g - Q_g - NA_g$$
(A12)

18 Groundwater recharge R_g [mm d⁻¹] is calculated as a fraction of runoff from land:

$$19 \qquad R_g = \min(R_{g,\max}, f_g R_l)$$

where $R_{g,max}$ is soil texture specific maximum groundwater recharge [mm d⁻¹] (with values of 7/4.5/2.5 for sandy/loamy/clayey soils) and f_g is the groundwater recharge factor (ranging between 0 and 1) related to relief, soil texture, aquifer type and the existence of permafrost or glaciers. For a detailed description see Döll and Fiedler (2008). If a grid cell is defined as arid and has coarse (sandy) soil, groundwater recharge will only occur if precipitation exceeds a

- 1 critical value of 12.5 mm d⁻¹. Both values, $R_{g,max}$ and the precipitation threshold, are adapted 2 to the climate forcing used (WFD) aiming to reach comparable groundwater recharge patterns 3 of (Döll and Fiedler, 2008) as that groundwater recharge estimation is confirmed with experts 4 within the WHYMAP (<u>http://www.whymap.org</u>) efforts. Within CLIMATE, the original 5 values 5/3/1.5 for $R_{g,max}$ and 10 mm d⁻¹ as precipitation threshold were used.
- 6 The outflow is modeled with $k_g = 0.01 \text{ d}^{-1}$ as

$$7 \qquad Q_g = k_g S_g \tag{A13}$$

8 The runoff from land R_l , which is not groundwater recharge R_g , represents the fast surface 9 runoff R_s and is routed, together with Q_g , through a series of different storages representing 10 wetlands, lakes and reservoirs until reaching the river segment (Fig. A1).

11 A5 Surface water bodies

Surface water bodies (inland freshwater such as wetlands, lakes and reservoirs) play an 12 important role in the hydrologic cycle e.g. for evaporation and the lateral transport. In general, 13 surface water body storages S $[m^3]$ increase by inflow I $[m^3 d^{-1}]$ from other storages or from 14 upstream (see Fig. A1), and are reduced by the outflow $Q \text{ [m}^3 \text{ d}^{-1}\text{]}$. Additionally, the water 15 balance of the water body itself B [m³ d⁻¹] is calculated as $B = P - E_w$, where P is 16 precipitation $[m^3 d^{-1}]$ and E_w is potential evaporation of open water surfaces $[m^3 d^{-1}]$ applying 17 an albedo of 0.08. Finally, net abstractions of surface water NA_s [m³ d⁻¹] are considered, 18 19 resulting in the storage equation:

$$20 \qquad \frac{dS}{dt} = I - Q + B - \mathrm{NA}_{\mathrm{s}} \tag{A14}$$

Outflow is in principle modeled like groundwater outflow (Sect. A4) for "local" lakes and
wetlands, whereas "global" lakes and wetlands are linear storages whose equations are solved
analytically.

WaterGAP 2.2 does not consider variable land/water fractions as would be expected when a lake is shrinking due to evaporation and land surface increases; thus Hunger and Döll (2008) introduced a reduction parameter which reduces the evaporation when lake / wetland storage is low. In WaterGAP 2.2, for all surface water bodies the reduction factor r [-] is calculated as

$$1 r = 1 - \left(\frac{\left|S - S_{\max}\right|}{S_{\max}}\right)^{p} (A15)$$

where *S* is actual water body storage $[m^3]$, S_{max} is maximum water body storage $[m^3]$ and *p* is the reduction exponent [-]. As no truly global dataset on lake volumes is available, the maximum storage capacity is determined by multiplying the surface area with an "active" depth (set to 5 m and 2 m for lakes and wetlands, respectively). Values for *p* are 3.32 for lakes and wetlands which means a reduction of evaporation by 10% if storage is halved and 2.81 for reservoirs, which means a reduction of 15% if storage is half of the maximum storage capacity (and a reduction of 50% if storage is reduced to 20% of storage capacity).

9 The distribution of wetlands is derived from GLWD (Lehner and Döll, 2004) as percentage of 10 cell coverage. Locations and attributes of lakes and reservoirs are based on a combination of GLWD and a preliminary version of the GRanD database (Döll et al., 2009; Lehner et al., 11 12 2011). In total, 6553 reservoirs, 52 regulated lakes (lakes whose outflow is regulated by a 13 dam) (from GRanD) and 242 798 unregulated lakes (from GLWD) were considered. Out of these, 1386 large lakes (area> 100 km²), 1110 large reservoirs (storage capacity> 0.5 km²) 14 and 52 regulated lakes (are ≥ 100 km² or storage capacity ≥ 0.5 km²) were classified as 15 "global", i.e. they receive inflow not only from the grid cell itself but also from upstream 16 ("global" wetlands are defined in the same way, see Fig. A1). All other surface water bodies 17 18 were classified as "local". If "global" lakes or reservoirs cover more than one grid cell, the 19 water balance of the whole surface water body is calculated at the outflow cell.

20 A6 Lateral routing

The global drainage direction map DDM30 (Döll and Lehner, 2002) is used to route the discharge through the stream network until it reaches the ocean or an inland sink. Fast runoff $R_s = R_l - R_g$ is routed to the surface storages without any delay, whereas baseflow Q_g is a function of groundwater storage (Fig. A1, Appendix A4). Due to limited information on groundwater flow between grid cells, the groundwater recharge can only contribute to groundwater runoff of the same grid cell.

Verzano et al. (2012) improved the routing by introducing a variable flow velocity approach
based on the Manning-Strickler equation. The roughness coefficient is calculated after Cowan
(1956) by using different physiographic parameters and information about rural and urban

areas. The hydraulic radius is calculated using actual discharge of the cell and empirical relationships of river width and depth at bankfull flow conditions. Bankfull conditions are assumed to correspond to the 1.5 year maximum series annual flow (Schneider et al., 2011) and were accordingly calculated from daily discharge time series for the global land surface. River bed slopes were calculated based on the HydroSHEDS drainage direction map (Lehner et al., 2008) and a meandering ratio (method is described in Verzano et al. (2012)).

7 The reservoir algorithm of Hanasaki et al. (2006), distinguishing irrigation and non-irrigation 8 reservoirs and considering 1109 reservoirs was implemented and improved by Döll et al. 9 (2009) and slightly adapted in WaterGAP 2.2: If reservoir storage falls below 10% of storage 10 capacity, the release coefficient is set to 0.1 instead of 0.0 in Döll et al. (2009), assuring that 11 at least some water is released e.g. for downstream ecosystem demands.

12 Appendix B: Calibration and regionalization

13 **B1 Calibration approach**

14 WGHM is calibrated against mean annual discharge by adjusting the runoff coefficient γ (Eq. A11) for all grid cells of each calibration basin and - if necessary - two additional 15 16 correction factors. The calibration procedure of WGHM is described in Döll et al. (2003) and 17 Hunger and Döll (2008). As WaterGAP was developed to quantify water resources and water 18 stress, calibration forces simulated discharge to be, during the calibration period, between 99 19 and 101% of observed river discharge. It is implicitly assumed that the model should be 20 robust enough to reproduce intra- and interannual variability. Main reasons for calibration are 21 the uncertainty of input data, parameters and model structure as well as the scale of the model 22 and grid cell heterogeneity. To overcome overparameterization and to keep the calibration as 23 simple as possible, calibration is performed by adjusting the one free parameter γ (Eq. A11) 24 within the limits 0.1 and 5.0. With low γ , runoff is high even if the soil is at low saturation, and with a high value, runoff is small even with nearly saturated soils. However, in many 25 26 basins, adjustment of the soil water balance alone does not lead to a fit of simulated discharge 27 to observed discharge for various reasons. These include uncertainty of climate forcing, underestimation of evaporation losses in dry areas caused by neglecting formation of 28 29 ephemeral ponds and neglecting of streambed losses. In these cases, the area correction factor 30 (CFA) is computed, which adjusts net cell runoff of each cell in the sub-basins. With limits

between 0.5 and 1.5, cells with positive (precipitation > evapotranspiration) and negative 1 2 (water body evapotranspiration > precipitation, e.g. global lakes which are fed by upstream inflow) are multiplied with a value symmetric around 1.0 (Hunger and Döll, 2008). In some 3 4 basins, however, the adaptation of both γ and CFA is not sufficient for a successful 5 calibration, i.e. the deviation between simulated and observed long term average discharge 6 remains larger than 1%. Possible reasons are discussed in Hunger and Döll (2008). To avoid 7 error propagation to next the downstream basin, the modeled discharge is corrected to the 8 measured discharge in the grid cell where the discharge station is located by multiplying with 9 the station correction factor CFS (Hunger and Döll, 2008).

10 **B2 Discharge stations used**

11 Observed discharge time series were provided by the Global Runoff Data Center (GRDC). 12 Following Hunger and Döll (2008), gauging stations listed in the GRDC catalogue 13 (http://grdc.bafg.de/, download date: 28.09.2012) were included in the calibration setup if 14 they fulfilled three main criteria: (1) an upstream area of at least 9000 km², (2) a time series of 15 at least four (complete) years, and (3) an inter-station catchment area of at least 30 000 km². All in all, a number of 1319 stations, covering 53.6% of the global land area except Antarctica 16 17 and Greenland, was used for calibration (Fig. B1). If available, the 30-year period 1971 to 18 2000 was chosen as calibration years.

19 **B3 Regionalization**

In order to transfer the calibrated γ values to ungauged basins, the parameter is regionalized using a multiple linear regression approach relating the natural logarithm of the calibrated γ values to the following basin descriptors: mean annual temperature, mean available soil water capacity, fraction of open water bodies, mean basin land surface slope, fraction of permanent snow and ice, and the aquifer-related groundwater recharge factor. Like in calibration basins, the regionalized parameter values are constrained to the range 0.1 to 5.0. CFA and CFS are not regionalized but are set to 1.0 in uncalibrated basins.

1 Appendix C

2 **Description of water use models**

In pre-processing steps to the WGHM, the global water use sub-models (left side of Fig. 1) provide water withdrawal and water consumption (the part of withdrawn water that is not returned to the system but evaporated or incorporated in products) for five sectors: irrigation, livestock farming, domestic use (households and small businesses), manufacturing industries and thermal power plant cooling.

8 Irrigation water consumption is calculated on daily time steps for each grid cell by the Global 9 Irrigation Model (GIM) on the basis of gridded area equipped for irrigation (Siebert et al., 2005, 2007) and climate as full irrigation (the difference between potential evapotranspiration 10 11 and effective precipitation) of paddy rice and non-rice crops, based on modelled cropping patterns (Döll and Siebert, 2002). Consumptive livestock water use is calculated as a function 12 of animal numbers per grid cell and water requirements per capita for ten different livestock 13 types, while national values of domestic and manufacturing water use are downscaled to the 14 15 grid cells using population density (Flörke et al., 2013). Cooling water use per grid cell accounts for the location of more than 60 000 power plants, their cooling and combustion 16 17 type, and their electricity production (Flörke et al., 2013; Vassolo and Döll, 2005). Temporal 18 development of domestic, manufacturing, and cooling water use is calculated as water use 19 intensity per capita or unit industrial output (considering structural and technological change 20 over time), multiplied by the driving force of water use, either population (for domestic use), 21 national manufacturing output (as Gross Value Added, which is a share of Gross Domestic 22 Product), or national thermal electricity production (Flörke et al., 2013). While WGHM uses 23 aggregated monthly time series of irrigation consumptive use, the other sectoral water uses 24 are distributed equally throughout the year.

25 Appendix D

26 **Description of GWSWUSE**

In the water use models, the source of the abstracted water is not distinguished. This is done in the WaterGAP submodel GWSWUSE (Döll et al., 2012). Based on the results of the water use models, GWSWUSE computes net abstractions (abstractions minus return flows) from

groundwater and net abstraction from surface water bodies that serve as input to WGHM (Fig. 1 2 1). As a first step within GWSWUSE, the time series of consumptive water use in irrigation, which is computed by GIM for temporally constant irrigation areas but changing climate 3 4 variables, is scaled by using an annual time series of irrigated area by country uses 5 information (Döll et al., 2012). Then, groundwater use fractions for irrigation (Siebert et al., 2010), domestic and manufacturing water use are applied, and irrigation water abstractions 6 7 are determined by dividing consumptive use by irrigation water use efficiencies. In contrast to 8 Döll et al. (2012), irrigation water use efficiencies differ between surface water and 9 groundwater use in WaterGAP 2.2. While for surface water irrigation, country-specific values 10 are still used, irrigation water use efficiency was set to 0.7 worldwide in case of groundwater 11 irrigation (Döll et al., 2014). Return flows from irrigation to either groundwater or surface 12 water are computed as a function of cell-specific artificial drainage fraction (Döll et al., 2012). 13 In WaterGAP 2.2., the fraction of irrigation return flows that recharge groundwater was increased as compared to Döll et al. (2012) and is computed as 0.95-0.75 times the cell-14 specific artificial drainage fraction. Due to return flows, net abstractions can be positive 15 (water is abstracted from storage) or negative (water is added to storage) (see Fig. 1 of Döll et 16 17 al., 2014).

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Name	Characteristic	Description
STANDARD	standard WaterGAP 2.2 model version	MODIS land cover for the year 2004. WATCH Forcing Data as daily climate input. For 1901-1978 WFD is used, for 1979-2009 WFDEI. Calibration against mean annual river discharge, including regionalization of calibration parameter to grid cells outside calibration basins. Consideration of human water use.
CLIMATE	alternative climate forcing	Similar to STANDARD but CRU TS 3.2 and GPCC v6 for precipitation as monthly climate input.
LANDCOVER	alternative land cover data	Similar to STANDARD but a combination of GLCC and CORINE (for Europe) was used as land cover input.
STRUCTURE	alternative model structure	Similar to STANDARD but less refined process representation (comparable to Döll et al. (2003)).
NoUse	no water use	Similar to STANDARD but without considering water use.
NoCal	no calibration	Similar to STANDARD but without calibration to mean annual river discharge. Calibration parameter and correction factors are globally set to 1.0 (for details see Appendix B)

1 Table 1. Overview of the model variants.

- 1 Table 2. Long-term average (1971-2000) freshwater fluxes from global land area (except
- 2 Antarctica and Greenland) of WaterGAP 2.2 in km³ yr⁻¹. Cells representing inland sinks were
- 3 excluded but discharge into inland sinks was included.

nr	component	STANDARD	NoUse ^h	CLIMATE	LANDCOVER	STRUCTURE	NoCal
1	precipitation P ^a	111 070	111 070	112 969	111 070	111 070	111 070
2	actual evapotranspiration AET ^b	69 803	69 934	69 842	70 012	70 217	63 344
3	discharge into oceans and inland sinks Q ^c	40 458	41 216	42 364	40 250	40 002	46 822
4	water consumption (actual) (rows 5 + 7) WC _a	1031	0	927	1029	983	1054
5	net abstraction from surface water (actual) ^d	1102	0	960	1102	983	1126
6	net abstraction from surface water (demand) NA _s ^e	1154	0	1000	1154	1082	1154
7	net abstraction from groundwater NA_g^{f}	-72	0	-33	-72	0	-72
8	change of total water storage dS/dt ^g	-215	-73	-156	-214	-44	-143
0	long term averaged yearly volume balance error	-7	-7	-8	-7	-88	-7
9	$(P - AET - Q - WC_a - dS/dt)$ deviation to P	-0.006%	-0.006%	-0.007%	-0.006%	-0.08%	-0.006%

4 ^a mean annual P (1979-2001) is 110.309 km³ yr⁻¹ in WFD and 110.812 km³ yr⁻¹ in WFDEI, ^b

AET does not include evapotranspiration caused by human water use, i.e. actual water consumption WC_a, ^c including anthropogenic water use (except NoUse), ^d if not enough water is available, demand is not completely satisfied, ^e demand that needs to be satisfied (water use model output), ^f negative values indicate that return flows from irrigation with surface water exceed groundwater abstractions, ^g total water storage (TWS) of 31. December 2000 minus

- 1 TWS of 31. December 1970 divided by 30 years, ^h STANDARD but no subtraction of water
- 2 use; discharge into oceans and inland sinks equals renewable water resources.

- 1 Table 3. Mean change in water storage in different compartments between December 31,
- 2 1970, and December 31, 2000, in km³ yr⁻¹ (global sum except Antarctica and Greenland).

compartment	STANDARD	NoUse ^a	CLIMATE	LANDCOVER	STRUCTURE	NoCal
total water storage	-214.8	-73.7	-156.4	-214.8	-44.5	-143.0
canopy	-0.05	-0.05	0.002	-0.05	-0.05	-0.05
snow	-3.0	-3.0	-6.3	-3.3	-1.3	-3.0
soil	-21.6	-21.6	-0.9	-20.6	-20.9	-20.0
groundwater	-124.9	8.6	-126.9	-125.4	9.7	-82.7
local lake	-1.9	-1.5	-0.3	-1.9	-2.1	-1.1
local wetland	-4.9	-4.3	1.9	-5.1	-8.4	-2.2
global lake	-3.5	-3.4	-1.1	-3.4	-8.2	-3.8
reservoirs	-43.1	-37.5	-23.2	-43.1	*	-21.4
global wetlands	-4.9	-4.3	1.9	-5.1	-8.4	-2.2
river	-6.7	-6.0	2.7	-6.7	-4.6	-4.3

3 Cells representing inland sinks were excluded.

⁴ ^a In WaterGAP, increase of soil water storage by irrigation is not taken into account such that

5 storage values for STANDARD and NoUse variants are the same.

6 * not applicable as reservoirs are treated as global lakes

Variant	class	$E_{\scriptscriptstyle NS}$	А	В	С	D	E	sum
	1	> 0.7	75	19	117	129	29	369
STANDARD	2	0.5 - 0.7	100	17	68	134	18	337
	3	< 0.5	110	91	83	282	47	613
	1	> 0.7	67	8	77	145	30	327
CLIMATE	2	0.5 - 0.7	116	31	68	107	26	348
	3	< 0.5	104	79	127	293	41	644
	1	> 0.7	77	20	117	128	32	374
LANDCOVER	2	0.5 - 0.7	94	16	68	132	15	325
	3	< 0.5	114	91	83	285	47	620
	1	> 0.7	63	20	85	99	27	294
STRUCTURE	2	0.5 - 0.7	101	16	84	132	22	355
	3	< 0.5	121	91	99	314	45	670
	1	> 0.7	77	15	109	138	30	369
NoUse	2	0.5 - 0.7	97	26	68	130	17	338
	3	< 0.5	111	86	91	277	47	612
	1	> 0.7	17	5	39	61	12	134
NoCal	2	0.5 - 0.7	28	4	32	80	11	155
	3	< 0.5	240	118	197	404	71	1030

1 Table 4. Number of calibration basins per E_{NS} category and Köppen-Geiger climate zone^a.

^a Calculated by WaterGAP after (Kottek et al., 2006); A: equatorial climate, B: arid climate,
C: warm temperate climate, D: snow climate and E: polar climates. Note that the number of
basins per climate zone differs for CLIMATE as here, the basis for Köppen-Geiger climate
calculation is CRU TS 3.2 and GPCC v6 instead of WFD/WFDEI climate input for all other
variants.

1 Table 5. Comparison of diverse estimates of global actual evapotranspiration and discharge in

 $2 \quad km^3 yr^{-1}.$

	actual evapotranspiration	discharge		
62 800	Mu et al. (2011)	34 406	Mueller et al. (2013)	
64 512 ^a	Mueller et al. (2013)	36 200	Wada et al. (2010)	
65 000	Jung et al. (2010)	36 687	Döll et al. (2003)	
65 500	Oki and Kanae (2006)	37 288	Dai and Trenberth (2002)	
66 000	Sterling et al. (2012)	38 587	Baumgartner and Reichel (1975)	
71 000	Baumgartner and Reichel (1975)	38 605	Widén-Nilsson et al. (2007)	
72 000	Korzun (1978)	39 307	Fekete et al. (2002)	
75 981 ^b	Mueller et al. (2011)	39 414	Döll and Fiedler (2008)	
60 000-	Haddeland et al. (2011)	44 560	Korzun (1978)	
85 000		45 500	Oki and Kanae (2006)	
		42 000-	Haddeland et al. (2011)	
		66 000		
70576 [°]	STANDARD	40458 ^c	STANDARD	

3 ^a 1.35 mm d⁻¹ based on a land area of 130.922×10^6 km²

4 ^b 1.59 mm d⁻¹ based on a land area of 130.922×10^6 km² (value taken from Mueller et al.

- 5 (2013) as no area is given in Mueller et al. (2011))
- 6 ^c sum of AET and WC_a

1 Table 6. The three model variants with the largest differences to STANDARD variant (dSTA)

2 regarding global freshwater fluxes (Q and AET) and total water storages trends (dTWS/dt)

3 (from Table 2, values in km³ yr⁻¹) as well as median E_{NS} for monthly time series of river

Variable	STANDAR	rank 1	dSTA	rank 2	dSTA	rank 3	dSTA
	D						
Q	40 458	NoCal	6364	CLIMATE	1906	NoUse	758
AET	69 803	NoCal	-6459	STRUCTURE	414	LANDCOVER	209
dTWS/dt	-214	STRUCTURE	169	NoUse	140	NoCal	71
median E_{NS}	0.54	NoCal	-0.66	STRUCTURE	-0.05	CLIMATE	-0.03

4 discharge at the 1319 calibration basins.

5

- 1 Table 7. Rank of model variants where global land area (except Greenland and Antarctica) is
- 2 affected most based on a threshold which represents the 10th percentile of averaged (1971-
- 3 2000) global grid cell values for AET and discharge.

		% of area affecte	l by changes			
rank	variant	above 10 th percentile				
	AET		discharge			
 1	NoCal	60.5	13.5			
2	CLIMATE	45.5	3.2			
3	LANDCOVER	24.2	1.2			
4	STRUCTURE	13.6	1.1			
5	NoUse	0.9	0.03			

no.	land cover type	L _{max} [-]	fraction of deciduous plants $f_{d,lc}$	L reduction factor for evergreen plants $c_{e,lc}$	initial days to start/end with growing season [d]
1	Evergreen needleleaf forest	4.02 ^a	0	1	1
2	Evergreen broadleaf forest	4.78 ^b	0	0.8	1
3	Deciduous needleleaf forest	4.63	1	0.8	10
4	Deciduous broadleaf forest	4.49 ^c	1	0.8	10
5	Mixed forest	4.34 ^d	0.25	0.8	10
6	Closed shrubland	2.08	0.5	0.8	10
7	Open shrubland	1.88	0.5	0.8	10
8	Woody savanna	2.08	0.5	0.3	10
9	Savanna	1.71	0.5	0.5	10
10	Grassland	1.71	0	0.5	10
11	Permanent wetland	6.34	0	0	10
12	Cropland	3.62	0	0.1	10
13	Cropland/ natural vegetation mosaic	3.62	0.5	0.5	10
14	Snow and ice	0	0	0	0
15	Bare ground	1.31	0	1	10

^a L_{max} is assumed to be the mean value of land cover classes of Scurlock et al. (2001) TeENL and BoENL, ^bonly value for TrEBL and not TeEBL (Scurlock et al., 2001) as in WaterGAP this class is mainly in the tropics, ^cmean value from TeDBL and TrDBL (Scurlock et al., 2001), ^dmean value of all forest classes. Fraction of deciduous plants and *L* reduction factor for evergreen plants based on IMAGE (Alcamo et al., 1998), initial days to start/end with growing season are estimated.

Table A2. Attributes for IGBP la	nd cover classes used in	WaterGAP 2.2 for all model
variants, compiled from various liter	rature sources. Water has a	n albedo of 0.08, snow 0.6.

no.	land cover type	rooting depth ^a [m]	albedo ^a [-]	snow albedo [-]	emissivity ^b [-]	degree-day factor D_F^{c} [mm d ⁻¹ °C ⁻¹]
1	Evergreen needleleaf forest	2	0.11	0.278	0.9956	1.5
2	Evergreen broadleaf forest	4	0.07	0.3	0.9956	3
3	Deciduous needleleaf forest	2	0.13	0.406	0.99	1.5
4	Deciduous broadleaf forest	2	0.13	0.558	0.99	3
5	Mixed forest	2	0.12	0.406	0.9928	2
6	Closed shrubland	1	0.13	0.7	0.9837	3
7	Open shrubland	0.5	0.2	0.7	0.9541	4
8	Woody savanna	1.5	0.2	0.558	0.9932	4
9	Savanna	1.5	0.3	0.7	0.9932	4
10	Grassland	1	0.25	0.7	0.9932	5
11	Permanent wetland	1	0.15	0.2	0.992	4
12	Cropland	1	0.23	0.376	0.9813	4
13	Cropland/ natural vegetation mosaic	1	0.18	0.3	0.983	4
14	Snow and ice	1	0.6	0.7	0.9999	6
15	Bare ground	0.1	0.35	0.7	0.9412	6

^aadapted from the IMAGE model (Alcamo et al., 1998)

^b(Wilber et al., 1999)

^c(Maniak, 1997; WMO, 1994)



Figure 1. Schematic of WaterGAP 2.2. The output of five water use models is translated into
net abstractions from groundwater NA_g and surface water NA_s by the submodel GWSWUSE,
which allows computing the impact of human water use on water flows and storages by
WGHM. For details see Döll et al. (2012).



2 Figure 2. Land cover maps with a spatial resolution of 0.5° used as WaterGAP input based on

MODIS observations for the year 2004 (variant STANDARD) (a), land cover derived from
USGS GLCC but CORINE for Europe reflecting land cover distribution around the year 2000

5 (variant LANDCOVER) (b), and identification of grid cells where land cover class has

- 6 changed due to different input data (c).
- 7



2 Figure 3. Actual evapotranspiration AET for STANDARD (mean value 1971-2000, in mm yr⁻

 3^{-1} (a) and differences between the model variants and STANDARD in mm yr⁻¹ (b-f).





Figure 4. Renewable water resources (mean annual runoff from each cell if water use is
neglected) calculated by WaterGAP 2.2 NoUse variant (a) and differences to other variants
(variants here run without considering water use) (b-e).





Figure 5. Discharge seasonality for selected basins and the calibrated model variants. Values
for NoCal are only visible if they are in the range of calibrated model variants.



1

Figure 6. Nash-Sutcliffe efficiencies E_{NS} (excluding outliers) of monthly observed and simulated discharge at 1319 stations used for calibration.

4



Figure 7. Seasonal variation of total water storage (TWS) for STANDARD (a) and as
difference maps [mm] to all other model variants (b-f).





Figure 8. Range of calibration parameter γ through all four calibrated model variants (calculated as $\gamma_{\text{max}} - \gamma_{\text{min}}$) showing the general sensitivity to input data and model structure.

- 4 White colors indicate uncalibrated regions.
- 5



Figure 9. Spatial distribution of Nash-Sutcliffe efficiency E_{NS} classes (from Table 4, 1: E_{NS} > 0.7, 2: 0.5 < E_{NS} < 0.7, 3: E_{NS} < 0.5) for STANDARD (a), and differences of model variants (calculated as STANDARD E_{NS} class minus that of the model variant) (b-f). Red colors indicate a decrease, green an increasing E_{NS} when using the model variant compared to STANDARD.





Figure A1. Schematic structure of the water fluxes and storages as computed by WaterGAP Global Hydrology Model (WGHM) within each 0.5° grid cell. Boxes represent water storage compartments, arrows water fluxes (inflows, outflows). Numbers at net abstraction from surface waters (NA_s) are the order from which storage water is abstracted until demand is satisfied.





Figure B1. Calibration basins of WaterGAP 2.2 with number of years with dischargeobservations used for calibration.