

Time-Series Analysis of the Long-Term Hydrologic Impacts of Afforestation in the Águeda Watershed of North-Central Portugal

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Abstract

The north-central region of Portugal has undergone significant land-cover change since the early 1900s, with large-scale replacement of natural vegetation types with plantation forests. This transition consisted of an initial conversion primarily to *Pinus pinaster*, followed by a secondary transition to *Eucalyptus globulus*. This land-cover change is likely to have altered the hydrologic functioning of this region; however these potential impacts are not fully understood. To contribute to a better understanding of the potential hydrologic impacts of this land cover change, this study examines the temporal trends in 75 years of data from the Águeda watershed (part of the Vouga Basin) over the period of 1936 to 2010. A number of hydrometeorological variables were analyzed using a combined Thiel-Sen / Mann-Kendall trend testing approach, to assess the magnitude and significance of patterns in the observed data. These trend tests indicated that there have been no significant reductions in streamflow over either the entire test period, or during sub-record periods, despite the large-scale afforestation which has occurred. This lack of change in streamflow is attributed to the specific characteristics of the watershed and land cover change. By contrast, a number of significant trends were found for baseflow index, with positive trends in the early data record (primarily during *Pinus pinaster* afforestation), followed by negative trends later in the data

1 record (primarily during *Eucalyptus globulus* afforestation). These trends are attributed to
2 land-use and vegetation impacts on streamflow generating processes, both due to species
3 differences and to alterations in soil properties (i.e. infiltration capacity, soil water
4 repellency). These results highlight the importance of considering both vegetation
5 types/dynamics and watershed characteristic when assessing hydrologic impacts, in particular
6 with respect to soil properties.

7

8 **1 Introduction**

9 Water resource management is inherently tied to watershed-scale land dynamics, and proper
10 management requires understanding how changes in land cover/use will impact hydrological
11 processes (Calder, 2005). A key land cover type are forests, as changes in forest cover have
12 the potential to significantly affect watershed-scale hydrologic processes, particularly by
13 altering interception, evaporation, and streamflow. Changes in water availability due to
14 afforestation/deforestation are driven by several factors controlling the water consumption of
15 different vegetation species, in particular canopy interception and evapotranspiration rates,
16 which are typically higher in tree species than in shrub and herbaceous species (Calder, 1998).

17 Meta-analyses of paired catchments studies have found that afforestation typically results in
18 decreased streamflow while deforestation typically leads to increased streamflow (e.g. Bosch
19 and Hewlett, 1982; Brown et al., 2005). However, the hydrologic response to deforestation is
20 in general more consistent than the response to afforestation. This difference may be due to
21 higher variability in land cover following afforestation compared to deforestation, and the
22 effects of different transitional species and/or changes in forest physiology (Andréassian,
23 2004). In a global synthesis of afforestation studies, Farley et al., (2005) found that
24 afforestation of grasslands or shrublands will lead, on average, to reductions of one-third to
25 two-thirds of streamflow, with these reductions occurring rapidly after planting (i.e. within
26 the first 5 years) and reaching their maximum reduction 15 to 20 years following planting.

27 Changes in forest cover can also impact hydrologic processes by altering physical soil
28 conditions, for example by reducing soil bulk density, increasing macro-porosity, or changing
29 soil water repellency. Forested areas tend to have higher infiltration and groundwater recharge
30 rates than alternate land cover types (e.g. Bruijnzeel, 2004). Higher infiltration rates will
31 increase soil moisture levels, and therefore increase water availability as well as streamflow
32 during dry periods (e.g. Scott and Lesch, 1997). The increased infiltration capacity of forested

1 areas may also help mitigate storm-driven peak flows, and therefore reduce potential flood
2 damage; however, this effect may be subordinate to other watershed characteristics,
3 particularly during severe flooding events (Calder, 2005; Wahren et al., 2012).

4 While the hydrologic impacts of forests at the watershed scale are generally well understood,
5 predicting the effects of forest land-cover change for a specific watershed requires
6 consideration of both the physical site conditions and vegetation types involved. In this
7 respect, Andréassian (2004) identifies several prerequisite conditions that need to be met in
8 order to observe hydrologic impacts at the watershed scale. These include climatic (i.e.
9 periods of hydrologic surplus / deficit), pedological (i.e. soil depth) and eco-physiological (i.e.
10 forest age-dependence) conditions.

11 The European Mediterranean region has undergone significant land cover changes over its
12 long history of human habitation, which has left only an estimated 4.7 % of primary
13 vegetation unaltered (Geri et al., 2010). These land cover changes are likely to have altered
14 hydrologic processes at multiple scales, and the impacts of these changes are often not well
15 understood. Gaining a better understanding of these past changes is critical for predicting the
16 impact of future land-cover changes, particularly given widespread concerns over potential
17 water shortages in this region due to changing temperature and rainfall regimes (Giorgi and
18 Lionello, 2008). Some of the most significant land cover/use changes observed in the
19 European Mediterranean region in recent decades have been: increased rural abandonment, a
20 decrease in traditional agricultural/pastoral activities, and widespread planting of fast-growing
21 tree species (Geri et al., 2010; Serra et al., 2008).

22 These regional trends are representative of the changes which have taken place in north-
23 central Portugal, where traditional rural agrosilvopastoral activities have been widely replaced
24 by plantations of the tree species *Pinus pinaster* and *Eucalyptus globulus* (Jones et al., 2012;
25 Moreira et al., 2001). Both of these tree species have relatively high consumptive water
26 demand and the potential to substantially reduce local water availability. Bosch and Hewlett
27 (1982) estimated that pine and eucalypt forests cause an average decrease of over 40 mm/yr in
28 water yield per 10 % change in land cover, while Farley et al. (2005) reported that
29 afforestation with pines and eucalypts lead to reductions in streamflow of 40 % (± 3 %) and
30 75 % (± 10 %), respectively. Rodríguez-Suárez et al. (2011) found that afforestation with
31 *Eucalyptus globulus* caused a drop in water table depth as well as a decrease in streamflow

1 during the summer period, which they attributed to the higher transpiration capacity of the
2 eucalypt plantations compared to the original crop lands.

3 In addition to consumptive water use through transpiration, evaporation from canopy
4 interception is an important component of water use by Mediterranean forests. Interception
5 rates have been found to vary widely in this region, depending on the tree species, canopy
6 density, and climatic conditions. With respect to *Pinus pinaster*, Ferreira, (1996) reported
7 interception rates of 15-18 % in the Águeda watershed of north-central Portugal (mean
8 precipitation \approx 1700 mm/yr), while (Valente et al., 1997) found similar rates of 17 % in a
9 drier region of central Portugal (mean precipitation \approx 600 mm/yr). For *Eucalyptus globulus*,
10 both Ferreira (1996) and Valente et al. (1997) observed lower rates, amounting to 10-14 %
11 and 11 %, respectively. By contrast, much higher interception rates have been found for other
12 tree species in different parts of the Mediterranean, with values near and even exceeding 50
13 %. For example, Scarascia-Mugnozza et al. (1988) found canopy interception rates of 68 %
14 for a mature *Quercus cerris* forest in central Italy (mean precipitation 1006 mm/yr), Iovino et
15 al. (1998) found rates of 58 % for a mature *Pinus negra* forest in southern Italy (mean
16 precipitation 1179 mm/yr), and Tarazona et al. (1996) observed rates of 48 % for a mature
17 *Pinus sylvestris* forest in northern Spain (long-term mean precipitation of 895 mm/y, 1253
18 mm/yr during the study period).

19 A further hydrologic factor relevant to afforestation in north-central Portugal is the potential
20 for impacts on soil water repellency (SWR). Both pine and eucalyptus tree species can
21 promote SWR in the topsoil due to the considerable amount of resins, waxes, and aromatic
22 oils contained in their organic matter (Benito and Santiago, 2003; Doerr and Thomas, 2000;
23 Ferreira et al., 2000; Keizer et al., 2005a, 2005b). SWR is a key factor in triggering land
24 degradation processes due to reductions in infiltration capacity and increased overland flow
25 (Benito and Santiago, 2003; Doerr and Thomas, 2000; Keizer et al., 2005b; Shakesby et al.,
26 2000). While in many regions SWR is associated primarily with post-fire soil conditions,
27 Doerr et al. (1996) demonstrated that SWR is a widespread characteristic of both burned and
28 unburned soils in the Águeda watershed during dry periods, in particular for stands of
29 *Eucalyptus globulus*. Santos et al. (2013) examined temporal patterns in topsoil
30 hydrophobicity in the Águeda watershed between July 2011 and June 2012 in unburnt pine
31 and eucalypt plantations. Their findings suggested that the breakdown of SWR following dry
32 summer conditions occurs through different mechanisms in the pine and eucalypt stands. In

1 the pine stands, SWR breakdown occurred from the top-down (i.e. vertically downwards),
2 while in the eucalypt stands, breakdown occurred from the bottom-up (i.e. vertically
3 upwards). Unpublished results indicated that this contrast reflected varying infiltration
4 patterns, with infiltration occurring relatively slowly (i.e. matrix flow) in pine stands, as
5 opposed to much faster (i.e. macropore flow) in eucalypt stands. This contrast in infiltration
6 patterns appeared to be a product of SWR induced alterations in flow pathways.

7 Despite the well-documented potential for hydrologic impacts from afforestation in the
8 Mediterranean region, there has been little investigation into the long-term effects in north-
9 central Portugal. This is in part due to a lack of long-term streamflow records that allow for
10 historical analyses. A notable exception to this lack of data is the Águeda watershed in the
11 Caramulo Mountains, where streamflow data records are available from 1936 until the
12 present.

13 Afforestation/deforestation studies typically focus on small paired watersheds, of which one
14 has undergone fairly abrupt and well-recorded changes in land cover (e.g. Bosch and Hewlett,
15 1982). By contrast, this study is conducted on a meso-scale watershed (404 km²), where
16 afforestation has occurred progressively over an extended period of time. Furthermore, the
17 present study case lacks a nearby watershed to serve as a paired site, which has a similarly
18 long data record, similar physical-environmental characteristics, or a land use history without
19 similar land cover changes (to serve as a control site).

20 To assess the hydrologic impacts of afforestation in the Águeda watershed, this study
21 therefore adopts a data-driven and exploratory approach, which conducts multiple trend
22 analyses on the 75-years of hydrometeorological data available from 1936 to 2010. This
23 assessment is conducted over the entire data record as well as over multiple (overlapping)
24 sub-periods for both annual and seasonal trends. The significant trends detected through this
25 analysis are then considered with respect to the regional afforestation trends, and discussed in
26 the context of previous field-studies conducted in this watershed. Therefore, the objective of
27 this study is to apply a trend-testing methodology to a long-term data set in a watershed which
28 has undergone progressive afforestation over a 75-year period, to assess what significant
29 trends can be detected, and to relate these changes to the afforestation which has occurred
30 there.

1 2 Methods

2 2.1 Watershed Description

3 The Águeda watershed is located in the Caramulo Mountains of north-central Portugal, east of
4 the coastal city of Aveiro (Fig. 1). From the streamflow gauging point of Ponte Águeda, the
5 watershed area is approximately 404 km². The Águeda River is a left bank tributary to the
6 Vouga River, which terminates at the coastal wetland of the Ria de Aveiro lagoon. This
7 region of Portugal is categorized as a wet Mediterranean climate zone, with pronounced
8 seasonal differences in temperature and precipitation between dry summer and wet winter
9 seasons (Fig. 2). The Serra do Caramulo Mountains, which forms the source area of the
10 Águeda river network, receives a substantial amount of annual rainfall, which can range from
11 1 000 to 2 500 mm/yr. Topographically the landscape is dominated by steep hill-slopes with
12 stony and shallow soils (< 0.5 m), which have a long history of anthropogenic impacts. These
13 shallow soil were characterized by Ferreira et al. (2000) as stony, sandy loam, weakly
14 structured Umbric Leptosols.

15 North-central Portugal has undergone substantial land cover/use changes over the past
16 centuries, which have fundamentally altered the vegetative landscape of this region. From the
17 1800s until the 1980s, the region had a general trend towards both increased agricultural and
18 forest land cover, with reductions in natural vegetation types (e.g. Matos shrublands and
19 mixed forests). This trend was primarily driven by the adoption of fertilizers and
20 mechanization, as well as the abolition of feudal land systems (Estêvão, 1983; Jones et al.,
21 2011; Silva et al., 2004). The period between 1930 and 1980 saw particularly rapid
22 afforestation, due to incentives from the establishment of related government regulations and
23 subsidies.

24 A key driver was the enactment of legislation in 1938 which encouraged afforestation of areas
25 classified as “uncultivated/wasteland”, which often consisted of areas of matos (shrublands),
26 mountain ranges, and sand dunes (Coelho et al., 1995; Estêvão, 1983; Ferreira et al., 2010;
27 Jones et al., 2011; Silva et al., 2004). The primary species planted during this earlier period
28 was *Pinus pinaster*, and beginning in the 1970s *Eucalyptus globulus* became the preferred
29 species due to its faster growth and higher profitability for use in the paper pulp industry.
30 During this period, eucalypt plantations began to replace pine forests as these were harvested,

1 as well as being widely introduced into remaining areas of shrublands and in recently burned
2 areas (Jones et al., 2011).

3 Wildfire is another important factor in land cover/use change in Portugal, which has some of
4 the highest rates of wildfire in Europe. Figure 3 shows the burned area of the Águeda
5 watershed from 1975 to 2010, during which a total of 30 790 hectares burned, with some
6 single years having wildfire over more than 10 % of the watershed (i.e. 1986 and 1995;
7 Instituto da Conservação da Natureza e das Florestas, 2014). Wildfire can have significant
8 short-term impacts on hydrologic functions in the study region, such as decreased infiltration
9 and increased surface runoff / erosion (Malvar et al., 2011; Prats et al., 2012; Shakesby et al.,
10 1993). In addition to these short-term impacts, wildfire can have potential long-term impacts
11 by promoting changes in vegetation type. Wildfire has been a major driver of land-cover
12 change in north-central Portugal in this respect, by allowing land-owners to convert from pine
13 to eucalyptus plantations in the post-fire period.

14 This region-wide trend of the afforestation of shrubland with *Pinus pinaster*, followed by a
15 secondary transition from *Pinus pinaster* to *Eucalyptus globulus* plantations, is representative
16 of the land cover changes in the Vouga basin as a whole, and for the Águeda watershed in
17 particular. From this regional pattern, and from afforestation maps of the Serra do Caramulo
18 Mountains (Rego, 2001), a general timeline of land-cover change in the Águeda watershed
19 during the period of investigation can be approximated, which is summarized in Table 1.

20 The current land cover in the Águeda watershed reflects this large-scale transition towards
21 eucalyptus forests. According to the Corine Land Cover classification of 2006, approximately
22 46 % of the watershed was covered by broad-leaf forest - which is predominantly eucalyptus
23 (Corine Land Cover, 2010). Other land cover types with significant areal coverage in 2006
24 include: 22 % mixed forest (mostly mixed stands of eucalypt and pine), 14 % agriculture, 10
25 % pine forest, 6 % mato shrubland, 2 % urban, and 1% grasslands (Fig. 1).

26 **2.2 Hydrometeorological Data**

27 Hydrometeorological records for the Águeda watershed were compiled from hydrological
28 year 1935/36 (i.e. Oct 1st 1935 to Sep 30th 1936) until hydrological year 2009/10, for the
29 variables: precipitation, temperature, potential evapotranspiration, streamflow quantity,
30 streamflow yield, baseflow quantity, and baseflow index. Table 2 provides an overview of the
31 hydrometeorological variables used in this study.

1 Precipitation data were obtained from the rain-gauge “Campia”, of the ‘Sistema Nacional de
2 Informação de Recursos Hídricos’ (SNIRH, 2013), which consists of 24 hour rainfall totals
3 collected at 9:00 each day. The SNIRH provides a reliability ranking for the data in the range
4 of 5 – 15, for which Campia is ranked as 14 (highly reliable). Data gaps occurred with the
5 greatest frequency between 1997 and mid-2003, which were filled by linear regression with
6 the nearby rain-gauges “Varzielas” ($r^2 = 0.82$) and “Barragem de Castelo Burgães” ($r^2 = 0.79$).

7 Temperature data was compiled using data from the gauge “Campia” of the Instituto
8 Portugues do Mar e Atmosfera (IPMA, 2014). When data for “Campia” was not available, the
9 time-series gaps were filled using linear regression with the temperature gauge “Coimbra” (r^2
10 $= 0.93$) which is part of the Global Historical Climate Network available at the National
11 Climatic Data Center (NCDC). Using the mean monthly temperature ($^{\circ}\text{C}$) from this time-
12 series, potential evapotranspiration (PET) was estimated using the Thornthwaite equation
13 (1948). The Thornthwaite equation was utilized rather than more sophisticated equations (e.g.
14 Hargreaves, Penman–Monteith), as there is insufficient data available over the entire time-
15 series to calculate PET using the Penman–Monteith equation, and the estimates using the
16 Hargreaves equation were unreliable, due to the reliance of this method on a stable measure of
17 minimum and maximum temperature (which was not available at this site).

18 Streamflow data consists of daily average discharge measurements from the gauging station
19 “Ponte Águeda” of the ‘Sistema Nacional de Informação de Recursos Hídricos’ (SNIRH,
20 2013). This station was operational from June 1935 until the end of September 1990, and was
21 then reactivated in October 1999. Streamflow for the interim period (1990/91 until 1998/99)
22 was estimated by linear regression with the upstream gauges “Ribeiro” ($r^2 = 0.76$) and “Ponte
23 Redonda” ($r^2 = 0.75$). However, the streamflow estimates from the hydrologic years of
24 1999/2000 through 2002/03 were eliminated from the dataset due to low data quality, owing
25 to the absence of an adequate stage-discharge curve during this period.

26 In addition, a number of smaller streamflow gaps occurred throughout the daily streamflow
27 dataset. When they occurred during periods with little or no precipitation, the gaps were filled
28 by fitting a logarithmic decay curve (traditional linear reservoir with a semi-log fitting) to the
29 streamflow recession. If gaps occurred during a precipitation event, then this approach was
30 not applied and the gaps were left unfilled. If the number of gaps was greater than 5 % of the
31 total record, then the entire period was removed from analysis, which was the case for the
32 hydrologic years 1954/55 and 1975/76. Finally, data for the driest months of the year (i.e.

1 June to September) during the period from before 1963 and after 2004 had very high
2 uncertainty, due to unreported and variably occurring impoundments of streamflow during
3 these months. Therefore, this four month period had to be removed from the streamflow
4 analysis for the entire data record, to keep the inter-annual comparisons consistent. After the
5 streamflow gaps were filled, the ratio of precipitation which becomes streamflow was
6 calculated, to allow potential changes in the streamflow-precipitation relationship to be
7 assessed. This ratio is defined as the “streamflow yield”, which is the total streamflow divided
8 by total precipitation, with the period of summation determined by the period being
9 considered (*i.e.* the annual or the seasonal ratio).

10 The final data set utilized in this study is a baseflow time-series calculated with the Eckhardt
11 digital filter (Eckhardt, 2005) using the daily streamflow dataset. Baseflow corresponds to the
12 portion of streamflow which does not come directly from a precipitation event, and can be
13 used as a proxy of the sustained streamflow contribution from slow-flow. The relative
14 proportion of baseflow from each day of streamflow was estimated, which was then
15 aggregated to the time periods used for analysis. To assess the baseflow time-series calculated
16 using the Eckhardt digital filter, a supplementary data set from 2001 to 2009 was also utilized,
17 which calculates baseflow contribution using conductivity data from the SNIRH streamflow
18 data using the ‘Conductivity Mass-Balance Method’ (Stewart et al., 2007).

19 **2.3 Thiel-Sen / Mann-Kendall Trend Testing Approach**

20 To examine the magnitude and significance of potential trends in the hydrometeorological
21 time-series, a multi-step trend-testing approach was applied, following the general approach
22 presented in (Yue et al., 2002). This approach first determines the magnitude (*i.e.* slope) of
23 any potential trend in the data using the non-parametric Thiel-Sen slope estimator (Sen,
24 1968). This value is determined by calculating the median slope among the set generated
25 between all sample points. This method also estimates the 95 % confidence intervals of the
26 true slope, based on the set of slopes from the sample points, which provides a measure of
27 uncertainty of the median Thiel-Sen value. If a potential trend is detected by the Thiel-Sen
28 test (*i.e.* a non-zero slope), then the data is processed using the ‘Trend Free Pre-whitening’
29 procedure of (Yue et al., 2002). This step reduces the over-estimation of significance which
30 can occur in time-series data that exhibits positive serial correlation, as is typically the case
31 for streamflow time-series data.

1 After the “Trend Free Pre-whitening procedure”, a Mann-Kendall test was applied to assess
2 the statistical significance of any non-zero slope identified by the Thiel-Sen test. The Mann-
3 Kendall test is a widely used, rank-based significance test, where the null hypothesis is that
4 there is no trend in the observed data (Helsel, 1993). For this study, statistical significance
5 was determined using an α value of 0.05.

6 For each hydrometeorological variable, this trend testing procedure was applied over 12
7 different time periods with varying start/end dates and lengths (Fig. 4). The longest period
8 tested contains the entire 75-year data record (hydrologic year 1936-2010), followed by two
9 periods of 50 years, three periods of 35 years, and six periods of 25 years. These overlapping
10 periods of different lengths aim to thoroughly sample the potential range of years, while still
11 allowing enough years of data to produce a robust significance test within each test period
12 (i.e. a minimum of 25 years). Figure 4 provides an overview of the testing periods, and their
13 temporal correspondence with the afforestation periods listed in Table 1.

14 When conducting multiple simultaneous hypothesis tests, it is necessary to correct for the
15 false discovery rate (FDR). FDR corresponds to the expected proportion of incorrectly
16 rejected null hypotheses, and therefore a method is needed to reduce the chance of receiving
17 false-positive results (i.e. type I errors). A number of different methods can be applied to
18 control for FDR, however given the overlapping time periods examined in this study, a
19 method is needed which can deal with FDR under the assumption of positive dependence.
20 Therefore, the Benjamini–Hochberg–Yekutieli procedure was applied to the trend-testing
21 output from each individual ‘analysis set’ (Benjamini and Yekutieli, 2001). An analysis set
22 corresponds to a group of tests which are expected to exhibit mutual positive dependence,
23 which in this case are the 12 overlapping periods over which each hydrometeorological
24 variable was tested for the different annual and seasonal periods (i.e. Fig. 4 for a given
25 variable and period).

26 Over the time periods shown in Fig. 4, the trend testing was conducted over both annual and
27 seasonal time periods. The seasonal breakdown corresponds to the prevailing precipitation
28 patterns of the study site, which consists of: a “Wet Season” from October to January when
29 the largest amount of precipitation occurs, a “Transitional Season” from February to May
30 when precipitation rates are reduced, and a “Dry Season” from June to September when
31 precipitation is lowest. Due to gaps in the streamflow record (discussed in section 2.2), the
32 hydrologic years 1999/2000 through 2002/03 were unavailable for the trend testing for both

1 the annual and seasonal time periods, and the hydrologic years 1954/55 and 1975/76 were
2 unavailable for the annual and transitional season.. In addition, the trend tests were not
3 conducted during the “Dry Period” for streamflow (and therefore also baseflow), due to the
4 uncertain data quality during these months.

5

6 **3 Results**

7 **3.1 Summary of the Seasonal Breakdown**

8 To characterize the hydrometeorological conditions of the three seasons’ used in this study;
9 the median values of the hydrometeorological variables during the study period are presented
10 in Table 3. This summary shows the strong climatic pattern in the watershed, with distinctly
11 contrasting precipitation, temperature, and potential evapotranspiration values between
12 seasons. With respect to streamflow, the values are similar during the wet and transitional
13 seasons, however both streamflow yield and baseflow index are higher during the transitional
14 season, which reflects the sustained streamflow carried over from the wet season
15 precipitation, and the lower proportion of streamflow coming directly from precipitation
16 events.

17 **3.2 Analysis of the Elimination of the Dry Season Streamflow**

18 As discussed in the data section, the months of June to September had to be removed from all
19 streamflow analyses, due to uncertainty related to unrecorded seasonal impoundments during
20 this part of the year. To quantify the percentage of streamflow that this excluded from the
21 analysis, an assessment was made over the years when streamflow impoundments did not
22 occur (45 % of years). During these years, approximately 6.5 % of streamflow occurred
23 between the months of June to September (Fig. 5, monthly mean values presented).

24 **3.3 Assessment of the Baseflow Calculations**

25 To provide a check on the baseflow values estimated with the Eckhardt digital filter
26 (Eckhardt, 2005), the results were compared against baseflow values calculated using
27 conductivity data from 2001 to 2009 with the ‘Conductivity Mass-Balance Method’ (Stewart
28 et al., 2007). At the monthly time-scale, the two baseflow data-sets have a Pearson’s
29 correlation coefficient of 0.96 for all months (Fig. 6a), and 0.83 for months with less than 100

1 mm of baseflow (Fig. 6b), which indicates that the Eckhardt method agreed well with the
2 more empirical Conductivity Mass-Balance Method. This in itself does not confirm the
3 accuracy of the baseflow values utilized, but it does indicate their consistency over the study
4 period, and thus their suitability for the time-series trend analysis.

5 **3.4 Thiel-Sen / Mann-Kendall Trend Testing Results**

6 The results for the Thiel-Sen/Mann-Kendall trend tests for the variables with the most
7 noteworthy results (i.e. precipitation, temperature, potential evapotranspiration, streamflow
8 yield, and baseflow index) are presented by Fig. 7. The full test results for all
9 hydrometeorological variables and test periods are provided in the supplementary material.

10 For the precipitation data, three significant trends were identified during the transitional
11 season. All trends corresponded to decreases in precipitation: -7.9 mm/yr trend over the 50
12 years from 1961 to 2010, -11.3 mm/yr trend over the 35 years from 1976 to 2010, and -14.3
13 mm/yr trend over the 25-year period from 1976 to 2000. These trends indicate that there was
14 a pattern of decreasing precipitation totals during the transitional season (February to May)
15 starting during the P2 land-cover period, and this pattern continued through the E1 and E2
16 land-cover periods (cf. Table 1).

17 Three significant trends were also found for potential evapotranspiration (PET) during the
18 transitional season: a -0.8 mm/yr trend over the 50 years from 1936 to 1985, a -1.3 mm/yr.
19 trend over the 25 years from 1956 to 1980, and a 1.7 mm/yr trend over the 25-year period
20 from 1976 to 2000. Therefore the PET data shows a pattern of negative trends throughout the
21 P1, P2, and into the E1 land-cover periods, which reverses and becomes positive during the
22 E1 period and into the E2 land-cover period (cf. Table 1).

23 For the streamflow data record, no significant trends were found for either streamflow
24 quantity or streamflow yield. No significant trends were found for baseflow quantity either,
25 however a number of significant trends were found for baseflow index (BFI). For the annual
26 test period, four significant trends were found in total: including significant positive trends of
27 $0.16\%/yr$ for the 35 year period from 1936 to 1970 and of $0.31\%/yr$ for the 25 year period
28 from 1946 to 1970; and negative trends of $-0.22\%/yr$ for the 35 year period from 1956 to
29 1990 and a $-0.46\%/yr$ trend for the 25 year period from 1966 to 1990. Two significant trends
30 were found for BFI during the wet season: a $0.28\%/yr$ trend for the 35 year period from 1936
31 to 1970 and a $-0.33\%/yr$ trend for the 25 year period from 1966 to 1990. Therefore, the BFI

1 data showed an overall pattern of positive trends during the P1 and P2 land-cover periods,
2 which reverse to negative trends during the P2 period and throughout the E1 land-cover
3 period (cf. Table 1).

4

5 **4 Discussion**

6 **4.1 Streamflow Trends**

7 The streamflow trend tests revealed that there were no significant trends for either quantity or
8 yield over any of the periods tested (Fig. 7). These results therefore contrast with the overall
9 pattern found in meta-analysis studies dealing with the hydrologic impacts of
10 afforestation/deforestation, which indicate that afforestation tends to reduce streamflow (e.g.
11 Bosch and Hewlett, 1982; Brown et al., 2005; Farley et al., 2005). However, there are a
12 number of individual cases within these meta-analyses studies which show contrasting trends
13 to the overall pattern. These cases are difficult to directly compare to the current study
14 however, as most were conducted at the plot to micro-catchment scale, which underwent
15 relatively rapid land-cover change. By contrast, this study was conducted on a 404 km²
16 watershed, which underwent relatively gradual land-cover change over a 75 year period. In
17 this case, any potential changes in hydrologic processes are likely to be far more diffuse and
18 difficult to detect, when compared to the paired catchment studies.

19 Despite this limitation, some comparisons can be made to sites with similar site conditions, in
20 terms of having winter-dominant precipitation and shallow soils. Across a number of
21 catchments with winter-dominant rainfall, Brown et al. (2005) found that afforestation led to
22 much larger proportional reductions in summer flows compared to winter flows, which they
23 attributed to the afforestation-induced changes in interception and evapotranspiration. Among
24 these catchments, those of Gallart et al. (2001) and Lewis et al. (2000) demonstrated the
25 importance of soil depth in controlling the hydrological response of Mediterranean mountain
26 catchments in the Pyrenees and California, respectively. Other studies with somewhat similar
27 site conditions (i.e. Bari et al., 1996; Van Lill et al., 1980) were conducted at very different
28 temporal and spatial scales than the present study, making comparisons to their findings
29 difficult. In spite of the lack of comparable studies for direct comparison, the absence of a
30 marked reduction in streamflow was an unexpected finding, given the scale of afforestation in
31 the Águeda watershed.

1 A potential explanation for this lack of observed impact could be the presence of offsetting
2 climatic trends over the same period. Either an increase in water availability due to higher
3 precipitation (P) and / or a reduction in atmospheric demand due to lower potential
4 evapotranspiration (PET) could compensate for any land-cover induced changes. While no
5 significant trends were found for either P or PET at the annual time scale, or during the wet or
6 dry seasons, significant trends were found during the transitional season, which may have
7 impacted water availability.

8 With respect to increasing water availability during the transitional season, negative trends in
9 PET were found from 1936 to 1985 and from 1956 to 1980 (Fig 7). These trends occur
10 primarily during the periods of pine afforestation (P1, P2) and partially during the transition
11 to eucalyptus (E1; Cf. Table 1). The trends in PET would lead to a reduction in atmospheric
12 demand during this period, and therefore could be responsible for offsetting an increase in
13 consumptive demand that occurred from afforestation.

14 With respect to reductions in water availability during the transitional season, negative trends
15 in P were found from 1961 to 2010, 1976 to 2010, and 1976 to 2000; and a positive trend in
16 PET was found from 1976 to 2000 (Fig. 7). These trends indicate movement toward a
17 relatively more arid environment, which could therefore lead to a reduction in water
18 availability. However, no corresponding trends in streamflow were found during this period.
19 This lack of change is particularly noteworthy given that these trends occurred during the
20 eucalyptus afforestation periods (E1, E2; Cf. Table 1), which would also be expected to
21 increase consumptive demand, and would therefore amplify, rather than offset, an increase in
22 atmospheric demand.

23 Given the lack of significant climate trends at the annual time scale, and the contrasting
24 findings during the transitional season, offsetting climatic trends do not appear to be an
25 adequate explanation for the overall lack of observed streamflow changes in the Águeda
26 watershed. However, given that the observed climate trends occurred during the transitional
27 season, there may have been streamflow impacts during the (following) dry season. This can
28 only be speculated on however, since no assessment can be made on streamflow during the
29 dry season, due to the limitations in the streamflow data (i.e. the summer streamflow
30 impoundments). Therefore, no comparison could be made with the findings of Rodríguez-
31 Suárez et al. (2011), who found dry season reductions in the water table and streamflow
32 discharge following afforestation with eucalyptus; or to Brown et al. (2005) which found that

1 afforestation led to much larger proportional reductions in summer flows compared to winter
2 flows.

3 An alternate explanation for the lack of streamflow change could relate to the specific
4 characteristics of the watershed, which may make it less responsive to changes in forest land-
5 cover than is typical. With respect to watershed characteristics, (Andréassian, 2004) identifies
6 several prerequisites conditions necessary to observe hydrologic impacts, including soil,
7 climatic, and eco-physiological factors.

8 With respect to soil conditions, the characteristics of the soils of the Águeda watershed may
9 be a key factor in the lack of a reduction in streamflow. Under conditions of well-developed
10 soils, the deeper rooting depths of trees will give greater access to soil moisture, allowing for
11 more transpiration, resulting in higher water consumption. However, the soils of the Águeda
12 watershed tend to be fairly shallow, being typically less than 1 meter deep and often as
13 shallow as 20-30 cm (Santos et al., 2013). These depths are less than the maximum rooting
14 depth of pine and eucalypt trees, and therefore are likely to be a constraint to deep rooting for
15 both species (Canadell et al., 1996). In addition, the schist and granite bedrock in this
16 watershed is relatively impermeable and not easily penetrated by tree roots, which restricts the
17 access of tree species to groundwater reserves as well. Therefore, the capability of the fast-
18 growing pine and eucalypt trees to access deeper sources of soil moisture than the original
19 shrub and slow-growing tree species is likely much less relevant in this watershed than it
20 would be in a location with deeper soils. In the case of the Águeda watershed, the most
21 important soil related factor in water consumption appears to be the low moisture storage
22 capacity of the soils, severely off-setting the potential impact of widespread planting of trees
23 with higher water consumptive capacity.

24 A second factor which could contribute to the lack of reductions in streamflow is the
25 Mediterranean climate regime of the study area. In all Mediterranean-type climates, the period
26 of peak sunlight and temperature, and therefore potential evapotranspiration, is out of phase
27 with the maximum precipitation period (Brown et al., 2005). Given the low amount of
28 summer precipitation, and the shallowness of soils in this watershed, there will typically be
29 little soil water available for summer evapotranspiration (David et al., 1997; Doerr and
30 Thomas, 2000). In this regard, the climatic conditions of the Águeda catchment may have an
31 amplifying effect on the impacts of the shallow soils, by further reducing the higher
32 evapotranspiration potential of fast-growing trees species.

1 With respect to eco-physiological conditions, the specific land-cover changes in the Águeda
2 watershed may also be a factor in the lack of an observed reduction in streamflow. One of the
3 primary drivers of increased consumptive water use by tree species is their typically high
4 canopy interception capacity (Domingo et al., 1994; Scarascia-Mugnozza et al., 1988;
5 Tarazona et al., 1996). In the Águeda watershed, however, the interception rates appear to be
6 comparatively low for pine and eucalypt species (Coelho et al., 2008; Ferreira, 1996; Valente
7 et al., 1997), while the interception capacity of Mediterranean shrublands can be relatively
8 high. Garcia-Estringana et al. (2010) found that Mediterranean shrub species can have
9 interception capacities similar to those of forests. In addition, interception rates are
10 particularly high in shrublands growing in dense stands (Llorens and Domingo, 2007). These
11 characteristics apply to the ‘matos’ shrubland that was the most common vegetation type in
12 the Águeda watershed prior to pine afforestation, as it has a relatively high leaf-area index and
13 the tendency to grow in very dense stands (Asner et al., 2003). By contrast, given the poor
14 soil conditions of the study site, the densities of the tree plantations are not as high as they
15 could be on well-developed soils. Average tree density from unpublished plot assessments put
16 the density of unevenly spaced eucalyptus stands (< 15 yr old) at 1 600 trees/ha, of evenly
17 spaced eucalyptus stands on terraces (< 5 years old) at 1,500 trees/ha, of eucalyptus on flat
18 terrain (< 5 yr old) at 2,600 trees/ha, and of unevenly spaced pines (< 30 yr old) at 500
19 trees/ha. Therefore, the land cover/use change from shrubland to pine/eucalypt forest might
20 not have resulted in large changes in either transpiration rates or canopy interception rates.

21 Therefore, the Águeda watershed does not meet the prerequisites conditions identified by
22 Andréassian (2004) for observing afforestation-driven streamflow changes at the watershed
23 scale. Given this lack of prerequisites conditions, and the absence of offsetting climate trends
24 as an alternative explanation, the streamflow findings of this study appear to be primarily a
25 function of watershed characteristics, with soil properties as the most important factor.

26 **4.2 Baseflow Trends**

27 No significant trends were founds for baseflow quantity (BF) over any of the periods or
28 seasons tested. However a number of trends were found for baseflow index (BFI), for both the
29 annual data and the wet season data, which includes both positive and negative trends over
30 different parts of the data record.

1 Positive trends in BFI were found from 1936 to 1970 for the annual data and the wet season,
2 and from 1946 to 1970 for the annual data (Fig. 7). These trends correspond with the pine
3 afforestation land-cover periods P1 and P2 (Cf. Table 1). These trends could be an indication
4 that the pine afforestation promoted slower flow pathways, by increasing the amount of water
5 entering the soil matrix via infiltration, and reducing surface flow and fast subsurface flow
6 (i.e. via macropores). However, given that previous studies in Águeda watershed have found
7 soil water repellent (SWR) conditions at pine stands (during dry periods), pine afforestation
8 would not normally be expected to increase matrix infiltration in this location (Keizer et al.,
9 2005a, 2005b; Santos et al., 2013). However, the land-cover state during the initial conversion
10 to pine forests were significantly different from the state during these studies, which may
11 have led to a more positive impact on infiltration rates. This is due to the ground preparation
12 and planting operations used, which would have the effect of breaking-up the repellent topsoil
13 layer and creating sinks for overland flow, both of which would promote infiltration. This
14 effect would be reduced over time, and eventually SWR would recover in established stands.

15 Negative BFI trends were found from 1956 to 1990 for the annual data, and from 1966 to
16 1990 for the wet season (Fig. 7). This corresponds with the early part of the P2 land-cover
17 period, and the entirety of the first eucalyptus afforestation period (E1, Cf. Table 1).
18 Therefore, the negative BFI trends occur during the period when *Pinus pinaster* plantations
19 had reached greater maturity and (after logging) were being rapidly replaced with *Eucalyptus*
20 *globulus*. The reductions in baseflow during this period may therefore be related to high rates
21 of soil water repellency (SWR) in the established pine stands and the newly established
22 eucalypt stands. An increase in SWR could lead to an increase in quick flow, particularly via
23 fast sub-surface flow from macropore infiltration, and lead to more rapid conversion of
24 precipitation into streamflow.

25 The temporal correspondence between the significant trends in BFI and land cover changes
26 which could affect hydrologic flow pathways indicate there may be a relationship between
27 afforestation and changes in baseflow index in the Águeda watershed. These findings are
28 further supported by field studies conducted in the watershed, which show the strong impact
29 of SWR in pine and (particularly) eucalyptus stands on hydrologic flow pathways (Santos et
30 al., 2013). However, given that there is no field data available to verify the site conditions
31 during the time of the observed trends, the attribution of the changes in BFI to land-cover
32 change is necessarily speculative. To test this hypothesis, further field studies would be

1 needed to examine baseflow dynamics under land-cover conditions which replicate the
2 historic conditions.

3

4 **5 Conclusions**

5 This study did not detect statistically significant – negative or positive – trends in streamflow
6 quantity or yield in the Águeda watershed of north-central Portugal over the 75 year period
7 examined, despite the large scale afforestation with *Pinus pinaster* and later *Eucalyptus*
8 *globulus* which has taken place there. While these findings differ from the general conclusion
9 of afforestation/deforestation meta-analysis studies, such as Bosch and Hewlett (1982),
10 Brown et al. (2005), and Farley et al. (2005), they do support the assertion of Andréassian
11 (2004) that there are requisite climatic, pedological, and eco-physiological watershed
12 conditions that are necessary to observe hydrologic impacts at the watershed scale. These
13 conditions are not present in the Águeda watershed, and the lack of soil moisture holding
14 capacity is likely the primary controlling factor.

15 With respect to baseflow trends, the initial conversion from more natural land-cover types
16 (i.e. matos shrublands, mixed forests) to pine plantations appears to have had a significant –
17 initial – positive impact on baseflow index, while the substitution of pine plantations by
18 eucalypt plantations had a negative impact on baseflow index. The positive trends are
19 attributed to the impact of the site preparation methods applied during the initial pine planting
20 on soil infiltration capacity, while the negative baseflow trends are attributed to the onset of
21 soil water repellency (SWR) under the mature pine and eucalypt stands. Therefore, from the
22 standpoint of promoting well-regulated streamflow (i.e. higher baseflow) the impacts of the
23 afforestation with pine appear generally positive, while those of re-/afforestation with
24 eucalypts were generally negative.

25 However, it is important to stress that the pine and eucalypt planting in the study catchment
26 took place on dissimilar types of land cover. Pines were primarily replacing naturally
27 occurring shrublands, which was followed by the replacement of the planted pines by
28 eucalypts. Therefore, a direct comparison between the impacts of widespread planting with
29 pine or with eucalypt cannot be drawn from this study. In addition, these baseflow findings
30 are based on a statistical / historical analysis, with no field data available for validation. To
31 further test this hypothesis, field studies would be needed to examine baseflow dynamics
32 under different land-cover conditions replicating the historic conditions.

1

2 **Acknowledgements**

3 The authors would like to express their gratitude to Professor Celeste Coelho at the University
4 of Aveiro, Centre for Environmental and Marine Studies (CESAM) for providing her
5 expertise knowledge on the background and land use history of the study region. Thanks are
6 also given to Dr. Ameer Manceur and Dr. Robert Schlicht, for providing assistance on the
7 statistical methods. Funding for this research was provided by the ‘Erasmus Mundus - Forest
8 and Nature for Society (FONASO) Joint Doctoral Program’ ; the European Regional
9 Development Fund (through COMPETE), the European Social Fund and the Portuguese
10 Republic (through FCT), both by individual fellowships (references:
11 SFRH/BPD/87571/2012; SFRH/BPD/64425/2009; SFRH/BD/61451/2009) and research
12 projects (references: FCOMP-01-0124-FEDER-009308; FCOMP-01-0124-FEDER-008534;
13 PTDC/CTE-ATM/111508/2009); and the German Academic Exchange Service (DAAD) for
14 sponsoring a bilateral collaboration action between Universidade de Aveiro and TU Dresden.

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4

5 **Tables**

6 Table 1. Land-cover periods and dominant afforestation trends in Águeda watershed from
 7 1935 to 2010.

<i>Land-Cover Period</i>	<i>Time Period</i>	<i>Dominant Afforestation Trend</i>
P1	1935 - 1950	Large scale replacement of shrubland with <i>Pinus pinaster</i> .
P2	1950 - 1970	Continuing afforestation with <i>Pinus pinaster</i> , but at a slower rate.
E1	1970 - 1990	Rapid reforestation with <i>Eucalyptus globulus</i> (particularly post '86 wildfire), replacement of <i>Pinus pinaster</i> .
E2	1990 - 2010	Relatively stable forested area, with continued replacement of <i>Pinus pinaster</i> with <i>Eucalyptus globulus</i> .

8

9 Table 2. Summary of hydrometeorological variables.

Hydrometeorological Variables			
Variable	Description	Data Source	Unit
P	Precipitation	SNIRH Gauge Data	mm
T	Temperature	IPMA Gauge Data	°C
PET	Potential Evapotranspiration	Thornthwaite Equation	mm
Q	Streamflow Quantity	SNIRH Gauge Data	mm
Q _{yld}	Streamflow Yield	$\sum Q_{mm} / \sum P$	%
BF	Baseflow Quantity	Recursive Digital Filter	mm
BFI	Baseflow Index	$\sum BF_{mm} / \sum Q_{mm}$	%

10

11

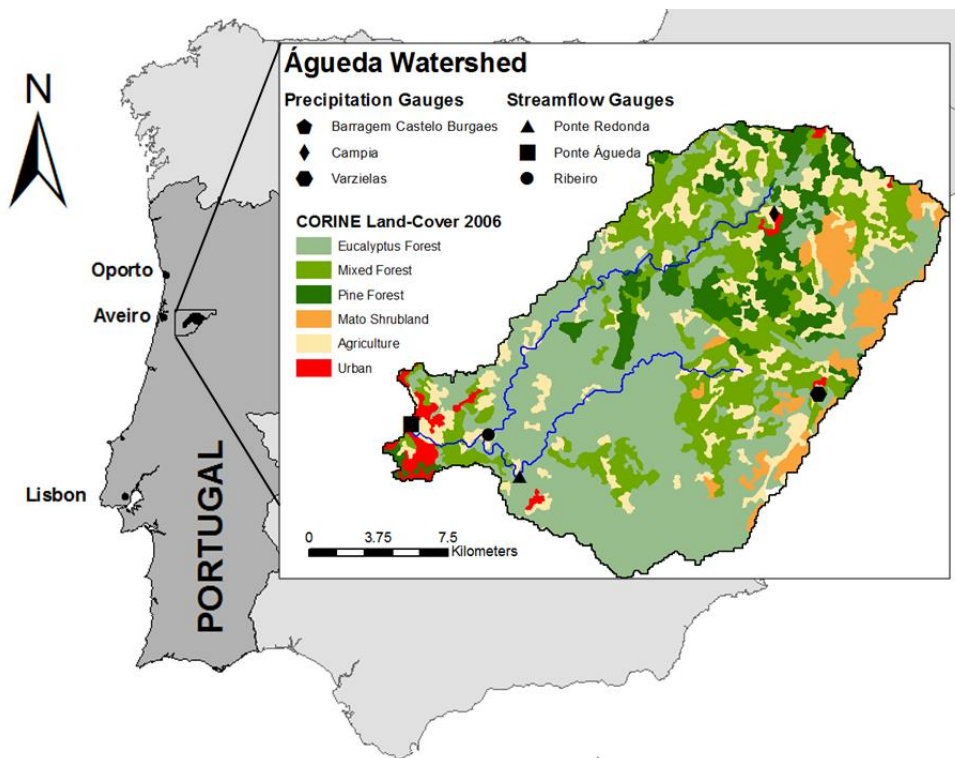
1 Table 3. Seasonal and annual median values of the hydrometeorological variables in Águeda
 2 watershed from 1936 - 2010.

Median Values: 1936 - 2010								
Season	Months	P (mm)	T (°C)	PET (mm)	Q (mm)	Q _{ylid} (%)	BF (mm)	BFI (%)
Wet	Oct - Jan	965	11.7	145	301	30 %	149	55 %
Transitional	Feb - May	626	12.6	198	281	43 %	184	63 %
Dry	Jun - Sep	193	19.3	390	NA	NA	NA	NA
Annual	All*	1 787	14.7	732	565	36 %	320	59 %

3 * The months of June to September are not included for Q (mm), Q_{ylid} (%), BF (mm), and BFI (%).

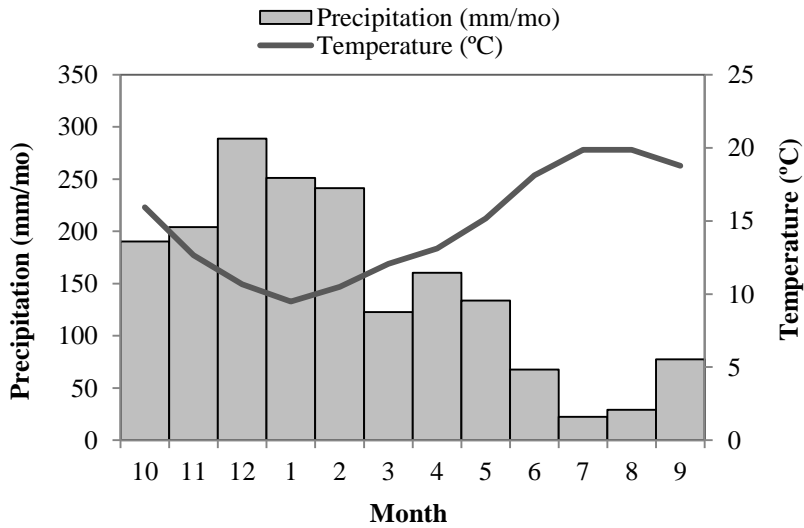
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Figures



8
9 Figure 1. Location and Land-Cover of the Águeda watershed.

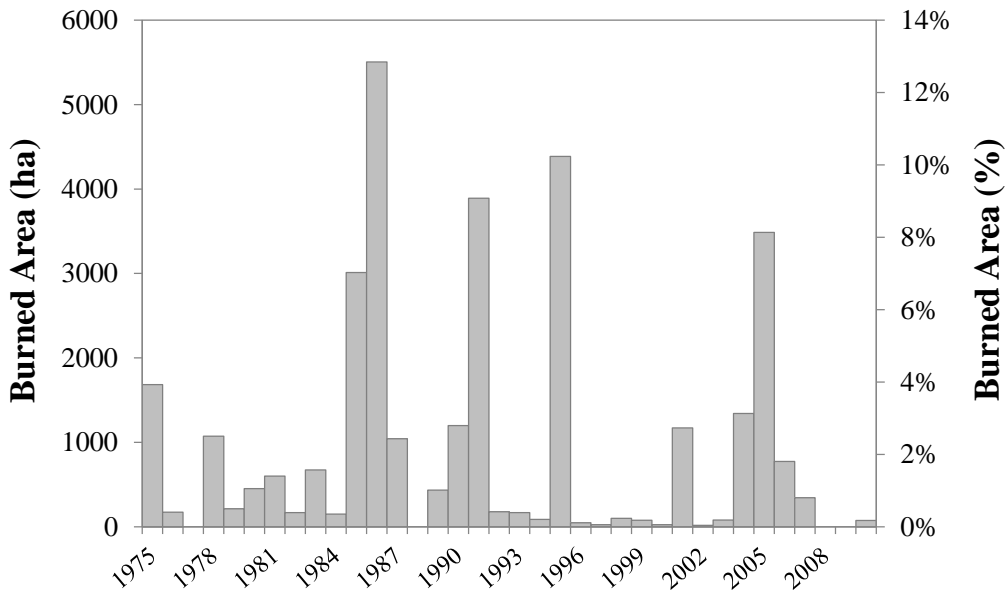
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1

2 Figure 2. Average monthly precipitation and temperature in the Águeda watershed from 1971
 3 to 2000.

4



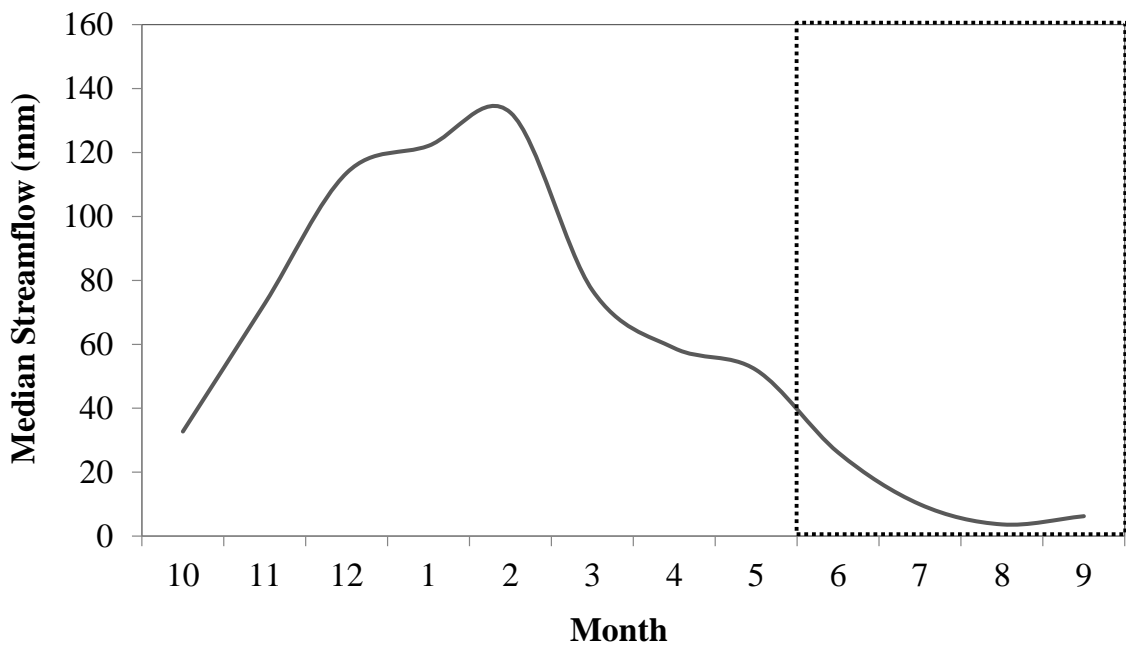
5

6 Figure 3. Burned area in the Águeda watershed from 1975 to 2010; total watershed area is
 7 404 km².

8

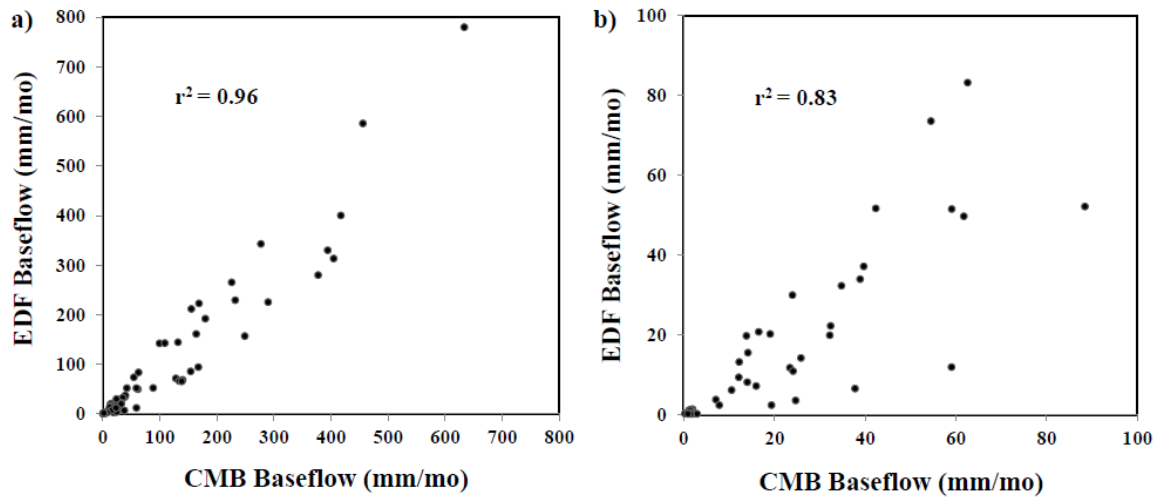
Timeline	1935	1940	1945	1950	1955	1960	1965	1970	1975	1980	1985	1990	1995	2000	2005
Afforestation Period	P1			P2			E1			E2					
75 yr Trend Test	1936 to 2010														
50 yr Trend Tests	1936 to 1985														
						1961 to 2010									
35 yr Trend Tests	1936 to 1970														
					1956 to 1990										
						1976 to 2010									
25 yr Trend Tests	1936 to 1960														
			1946 to 1970												
				1956 to 1980											
					1966 to 1990										
							1976 to 2000								
													1986 to 2010		

1
2 Figure 4. Timeline of the trend-testing periods and their correspondence with the different
3 afforestation periods.



5
6 Figure 5. Monthly mean streamflow during the years without seasonal impoundments; the
7 boxed off period (June - September) indicates the period removed from the streamflow and
8 baseflow analysis.

9



1

2 Figure 6. Monthly plots of baseflow from the Conductivity Mass-Balance (CMB) and
 3 Eckhardt digital filter calculations; 5a includes all months ($r^2 = 0.96$) and 5b includes months
 4 with less than 100 mm of baseflow ($r^2 = 0.83$).

5

6

1

2 Figure 7. Summary of the trend testing results, with the afforestation periods (P1, P2, E1, E2: cf. Table 1) overlain for comparison. Significant
3 trends are indicated with dashes lines.

